



**Amplitude- and truncated partial-wave analyses combined:  
A single-channel method for extracting photoproduction multipoles  
directly from measured data**

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**Main idea:** *We all speak about partial waves as something self-understood and unique, so we need*  
**MODEL INDEPENDENT PWA**

**Focus of my interest:** **SINGLE ENERGY, SINGLE CHANNEL PWA**

**Main effort:** **MINIMIZE (ELIMINATE?) MODEL DEPENDENCE**

**After years of research the present state is (for me) disappointing:**

PHYSICAL REVIEW C **104**, 014605 (2021)

**Each single-energy, single-channel partial-wave analysis is inherently model-dependent**

A. Švarc

**WHY ?**

**PWA12/ATHOS7 Bristol 2021**

1. **CONTINUUM AMBIGUITY :**

Complete set of observables of any **single channel** scattering reaction in **inelastic region** where unitarity is lost is invariant with respect to the simultaneous phase rotation of all reaction amplitudes with arbitrary energy and angle dependent function  $\Phi(W, \vartheta)$   
(Bowcock-Burkhard Rep. Prog. Phys. 1975, 38, 1099 – 1141, et al)

Consequence: overall phase of a **SINGLE CHANNEL** process is undetermined

2. Angular dependent part of phase rotation  $\Phi(W, \vartheta)$  (**CONTINUUM AMBIGUITY**) MIXES MULTIPOLES  
(Omalaenko Sov. Jour. Nucl. Phys. 34(3) 1981, A. Švarc et al. Few-Body Systems (2018) 59:96, Phys. Rev. C 97, 054611 (2018) Phys. Rev. C 98, 056206 (2018))

In other words: For one set of observables there exist a number of **EQUIVALENT** sets of multipoles ( $\chi^{**2}$  is identical for all of them) **WITH DIFFERENT** analytic structure (pole content)!

Consequence: As resonance quantum numbers are defined by the partial wave decomposition which is non-unique in single-channel measurements, they **CANNOT BE UNIQUELY DEFINED** in a **single channel process**.

3. Fixing the phase  $\Phi(W, \vartheta)$  (**eliminating continuum ambiguity**) is achieved by **RESTORING UNITARITY!**  
This can **ONLY** be done if all open channels are analyzed **SIMULTANEOUSLY** in a coupled channel formalism!

Consequence: Resonance quantum numbers **CANNOT** be assigned **WITHOUT** coupled channel formalism, so each single-channel PWA must be model dependent!





So, **ANY SINGLE-CHANNEL PWA IS MODEL DEPENDENT!**

Question: *How do we see it?*

*In SE PWA: It produces discontinuities!*

*In ED PWA: The missing phase is implicitly defined by choosing the model!*



# AA/PWA Method

For unique, sensible SC-SE PWA we need to introduce some **MODEL DEPENDENCE**.

I introduce **MINIMAL MODEL DEPENDENCE** (existing because of first principles) by fixing the only quantity which is undetermined, and this is **THE REACTION AMPLITUDE PHASE**.

I choose to **FIX** all reaction amplitude phases **TO THE VALUE GIVEN BY SOME THEORETICAL MODEL**. In my choice this is Bonn-Gatchina coupled-channel model.

A reminder: the phase has two parts:

1. The part determined by certain polarization observables
2. The overall phase

In the proposed method we take over both parts from the theoretical model.

Remark:

This is only the first step. Only four observables in, for example,  $\eta$ -photoproduction are enough to uniquely determine reaction amplitude absolute values at a single energy, all other observables determine all reaction amplitude phases. So, if one has sufficient number of observables (a complete set) one could, at least in principle, determine all 4 phases too. However, the overall, continuum ambiguity phase remains undermined. We can get an ideal fit to the experiment, but analytic structure (pole content) of a solution is still undefined. So, we still need the overall phase which can be given only by a coupled-channel model. However, this is the next step. I have to stress that I hope to get the reliable results as used theoretical ED model by Bonn-Gatchina group fits all polarization observables fairly well.

**Warning!**

**The method relies *ENTIRELY* on the data, so quality of the data is essential !  
*DATA INCONSISTENCY WILL BE QUITE A PROBLEM!***

**Discontinuities are possible!**

***If the data are consistent, multipoles are smooth. It is checked for pseudo or numeric data, and shown in previous publications.***

***So, all discontinuities which might occur in AA/PWA are entirely *DUE TO DATA INCONSISTENCY!****

***If we want to get smooth multipoles we need more constraints! *An example: FIXED-t ANALYTICITY****

***It is introduced by Karlsruhe-Helsinki group in 1980-es.***

***It is revived recently, and used for eta and pion photoproduction!***

***Phys. Rev. C 97, 015207 (2018), Phys. Rev. C 100, 055203 (2019), Phys. Rev. C (2021) in press***



*Amplitude analysis/partial wave analysis (AA/PWA) method is fully explained and tested in  $\eta$ -photoproduction in ref:*

PHYSICAL REVIEW C **102**, 064609 (2020)

**Amplitude- and truncated partial-wave analyses combined: A single-channel method for extracting photoproduction multipoles directly from measured data**

A. Švarc, Y. Wunderlich, and L. Tiator

*It is important to stress that the method uses **MEASURED DATA ONLY**, and the only model dependence is the ED phase. Analyticity is enforced via partial wave decomposition.*

*The method is a two step process:*

*Step 1 – amplitude analysis of all observables with **FIXING THE PHASE** to the Bonn-Gatchina ED phase*

*Step 2 – constrained PWA using the results of Step 1*

$$\chi^2(W) = \chi_{\text{data}}^2(W) + \chi_{\text{pen}}^2(W),$$

$$\chi_{\text{data}}^2(W) = \sum_{i=1}^{N_{\text{data}}} w^i [\mathcal{O}_i^{\text{expt.}}(W, \Theta_i) - \mathcal{O}_i^{\text{theor.}}(\mathcal{M}^{\text{fit}}(W, \Theta_i))]^2,$$

$$\chi_{\text{pen}}^2(W) = \lambda_{\text{pen.}} \sum_{i=1}^{N_{\text{data}}} \sum_{k=1}^{N_{\text{amp}}} |\mathcal{A}_k(\mathcal{M}^{\text{fit}}(W, \Theta_i)) - \mathcal{A}_k^{\text{pen.}}(W, \Theta_i)|^2,$$

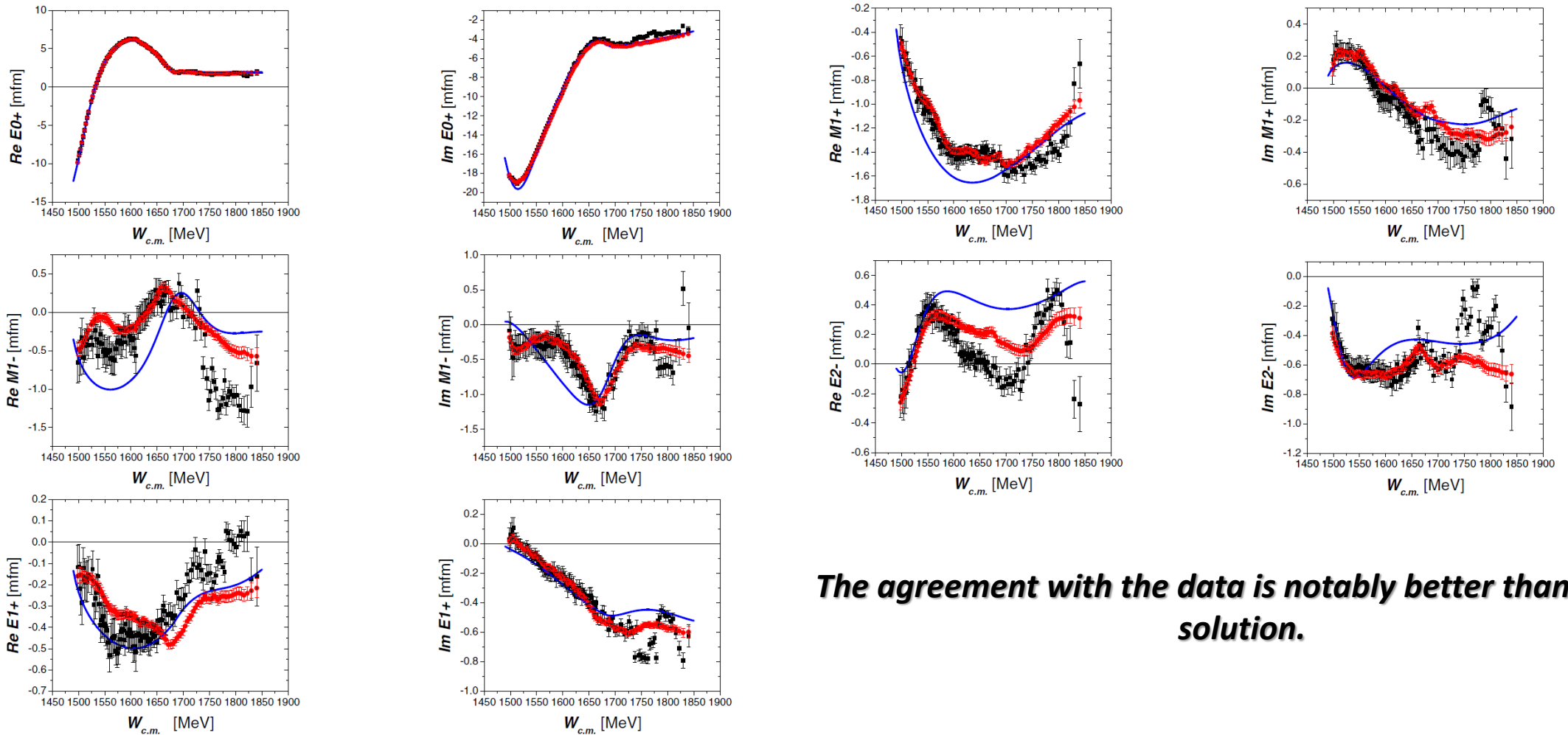


## We used the world collection of data

TABLE I. Experimental data from A2@MAMI, GRAAL, and CBELSA/TAPS used in our PWA. Data from CBELSA/TAPS are taken at the center of the energy bin.

Obs.	$N$	$E_{\text{lab}}$ [MeV]	$N_E$	$\theta_{\text{c.m.}}$ [deg.]	$N_\theta$	Reference
$\sigma_0$	2400	710–1395	120	18–162	20	A2@MAMI(2010) [15]
$\Sigma$	150	724–1472	15	40–160	10	GRAAL(2007) [16]
$T$	144	725–1350	12	24–156	12	A2@MAMI(2016) [17]
$F$	144	725–1350	12	24–156	12	A2@MAMI(2016) [17]
$E$	64	750–1450	8	29–151	8	CBELSA/TAPS(2020) [18]
$P$	66	725–908	6	41–156	11	CBELSA/TAPS(2020) [18]
$G$	48	750–1250	6	48–153	8	CBELSA/TAPS(2020) [18]
$H$	66	725–908	6	41–156	11	CBELSA/TAPS(2020) [18]

**Results are stable and confident.**



**The agreement with the data is notably better than for ED solution.**

**The analytic structure of the obtained solution is given in PHYSICAL REVIEW C 104, 014605 (2021) and is completely consistent with PDG.**

TABLE I. Pole parameters for BG 2014-2, Sol 1, and Sol 1/21 extracted using L+P expansion.  $M_i$ ,  $\Gamma_i$ ,  $r_i$ , and  $\Theta_i$ ,  $i = 1, 2$  are pole masses, widths, absolute values of the residue, and its phase, while  $\chi^2$  and  $\chi_{\text{red}}^2$  are total and reduced  $\chi$ -squared values (reduced  $\chi$ -squared is defined as total  $\chi$ -squared divided by the difference of total number of points and free fitting parameters). Particle Data Group values from Ref. [13] are for reference given in bold text.

	Model	$M_1$	$\Gamma_1$	$ a_1 $	$\Theta_1$	$M_2$	$\Gamma_2$	$ a_2 $	$\Theta_2$	$\chi^2$	$\chi_{\text{red}}^2$
$S_{11} 1/2^-$	PDG	<b>1510(19)</b>	<b>130(20)</b>	-	-	<b>1655(15)</b>	<b>135(35)</b>	-	-	-	-
	BG 2014-2	1498(107)	158(157)	1780(5300)	164(345)	1661(5)	85(12)	126(47)	24(19)	18	0.13
$E_0^+$	Sol 1	1489(66)	158(78)	2043(5054)	148(146)	1664(5)	92(9)	140(36)	37(15)	140	0.6
	Sol 1/21	1484(37)	196(189)	2926(7330)	166(172)	1662(3)	101(7)	158(26)	34(9)	97	0.43
$P_{11} 1/2^+$	PDG	<b>1379(10)</b>	<b>175(15)</b>	-	-	<b>1700(20)</b>	<b>120(40)</b>	-	-	-	-
	BG 2014-2	-	-	-	-	1698(1)	123(1)	105(2)	-90(1)	102	0.75
$M_1^-$	Sol 1	-	-	-	-	1730(6)	80(10)	48(12)	-22(18)	140	0.7
	Sol 1/21	-	-	-	-	1660(6)	112(13)	49(15)	-168(16)	106	0.47
	Sol 1/21	1526(25)	73(37)	19(32)	-123(110)	1681(7)	103(12)	39(10)	-124(17)	25	0.11
$P_{13} 3/2^+$	PDG	-	-	-	-	<b>1675(15)</b>	<b>250(150)</b>	-	-	-	-
	BG 2014-2	-	-	-	-	1705(7)	195(21)	$\begin{pmatrix} 38(10) \\ 38(13) \end{pmatrix}$	$\begin{pmatrix} -133(15) \\ -107(16) \end{pmatrix}$	58	0.23
$\begin{pmatrix} E_1^+ \\ M_1^+ \end{pmatrix}$	Sol 1	-	-	-	-	1879(46)	200(68)	$\begin{pmatrix} 328(359) \\ 260(280) \end{pmatrix}$	$\begin{pmatrix} -8(44) \\ 67(50) \end{pmatrix}$	310	0.6
	Sol 1/21	-	-	-	-	1714(7)	102(13)	$\begin{pmatrix} 10(3) \\ 1(1) \end{pmatrix}$	$\begin{pmatrix} -167(16) \\ 20(47) \end{pmatrix}$	297	0.6
$D_{13} 3/2^-$	PDG	<b>1510(5)</b>	<b>110(10)</b>	-	-	<b>1700(50)</b>	<b>200(100)</b>	-	-	-	-
	BG 2014-2	1508(3)	106(7)	$\begin{pmatrix} 52(11) \\ 25(6) \end{pmatrix}$	$\begin{pmatrix} 122(12) \\ 118(13) \end{pmatrix}$	1664(76)	399(159)	$\begin{pmatrix} 119(155) \\ 72(86) \end{pmatrix}$	$\begin{pmatrix} 73(71) \\ 103(77) \end{pmatrix}$	1.7	0.06
$\begin{pmatrix} E_2^- \\ M_2^- \end{pmatrix}$	Sol 1	1528(23)	63(37)	$\begin{pmatrix} 11(22) \\ 2(3) \end{pmatrix}$	$\begin{pmatrix} -160(82) \\ 148(98) \end{pmatrix}$	1721(6)	64(13)	$\begin{pmatrix} 10(3) \\ 4(1) \end{pmatrix}$	$\begin{pmatrix} 149(19) \\ -168(18) \end{pmatrix}$	368	0.8
	Sol 1/21	1525(23)	121(60)	$\begin{pmatrix} 37(52) \\ 24(39) \end{pmatrix}$	$\begin{pmatrix} -156(91) \\ 158(94) \end{pmatrix}$	1664(12)	121(24)	$\begin{pmatrix} 11(6) \\ 13(7) \end{pmatrix}$	$\begin{pmatrix} -31(33) \\ 46(33) \end{pmatrix}$	50	0.1
$D_{15} 5/2^-$	PDG	-	-	-	-	<b>1660(5)</b>	<b>135(15)</b>	-	-	-	-
	BG 2014-2	-	-	-	-	1673(4)	225(6)	$\begin{pmatrix} 1(0.3) \\ 23(1) \end{pmatrix}$	$\begin{pmatrix} 54(17) \\ -17(6) \end{pmatrix}$	45	0.16
$\begin{pmatrix} E_2^+ \\ M_2^+ \end{pmatrix}$	Sol 1	-	-	-	-	1784(1)	11(1)	$\begin{pmatrix} 0.5(0.01) \\ 0.8(0.1) \end{pmatrix}$	$\begin{pmatrix} 23(9) \\ 95(9) \end{pmatrix}$	310	0.6
	Sol 1/21	-	-	-	-	1659(10)	145(23)	$\begin{pmatrix} 6(2) \\ 9(4) \end{pmatrix}$	$\begin{pmatrix} 17(20) \\ -40(22) \end{pmatrix}$	90	0.2
$F_{15} 5/2^+$	PDG	-	-	-	-	<b>1675(10)</b>	<b>120(15)</b>	-	-	-	-
	BG 2014-2	-	-	-	-	1677(1)	117(1)	$\begin{pmatrix} 13(1) \\ 7(0.5) \end{pmatrix}$	$\begin{pmatrix} 147(1) \\ 145(11) \end{pmatrix}$	12	0.05
$\begin{pmatrix} E_5^- \\ M_5^- \end{pmatrix}$	Sol 1	-	-	-	-	1767(2)	34(4)	$\begin{pmatrix} 3(0.5) \\ 2(0.5) \end{pmatrix}$	$\begin{pmatrix} -91(9) \\ 33(10) \end{pmatrix}$	690	1.5
	Sol 1/21	-	-	-	-	1690(4)	166(11)	$\begin{pmatrix} 11(2) \\ 23(4) \end{pmatrix}$	$\begin{pmatrix} 172(8) \\ 164(77) \end{pmatrix}$	156	0.34



***New results***



# Preliminary

***Advantage: there exist another SE-SC PWA to compare with***

PRL 119, 062004 (2017)

## **Strong Evidence for Nucleon Resonances near 1900 MeV**

A. V. Anisovich, V. Burkert, M. Hadžimehmedović, D. G. Ireland, E. Klempt, V. A. Nikonov, R. Omerović, H. Osmanović, A. V. Sarantsev, J. Stahov, A. Švarc, and U. Thoma

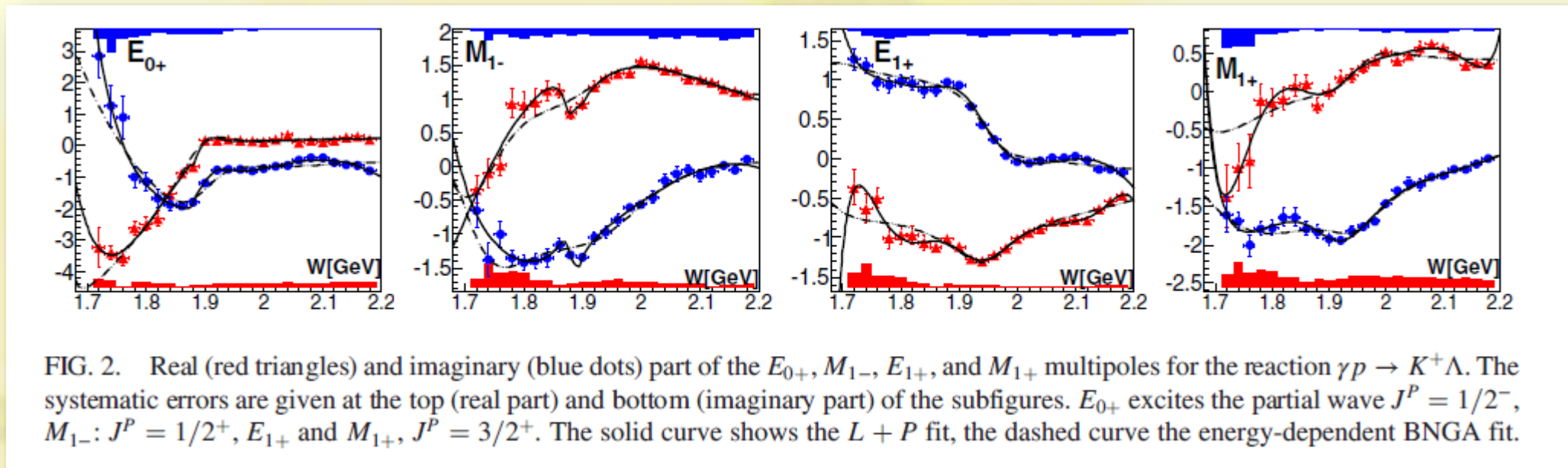
Eur. Phys. J. A (2017) 53: 242

## **$N^*$ resonances from $K\Lambda$ amplitudes in sliced bins in energy**

A.V. Anisovich, V. Burkert, M. Hadžimehmedović, D.G. Ireland, E. Klempt, V.A. Nikonov, R. Omerović, A.V. Sarantsev, J. Stahov, A. Švarc, and U. Thoma

**Method: classical constrained SE-SC PWA**

1. Four lowest multipoles  $E_{0+}$ ,  $E_{1+}$ ,  $M_{1+}$ ,  $M_{1-}$  **FREE**
2. Next three multipoles  $E_{2-}$ ,  $M_{2-}$ ,  $E_{2+}$  **CONSTRAINED TO BG MODEL**
3. All higher multipoles **FIXED TO BG MODEL**



**COMPARISON BETWEEN SE/SC PWA BG AND AA/PWA POSSIBLE!**

# Data base

**Data base is identical to Bonn-Gatchina publications!**

TABLE I: Experimental data from CLAS, and GRAAL used in our PWA.

Obs.	$N$	$E_{c.m.}$ [MeV]	$N_E$	$\theta_{cm}$ [deg]	$N_\theta$	Reference
$d\sigma/d\Omega \equiv \sigma_0$	3615	1625 – 2295	268	28 – 152	5 – 19	CLAS(2007) [18], CLAS(2010) [19]
$\Sigma$	252	1649 – 2179	34	35 – 143	6 – 16	GRAAL(2007) [20], <u>CLAS(2016) [22]</u>
$T$	247	1645 – 2179	34	31 – 142	6 – 16	GRAAL(2007) [20], <u>CLAS(2016) [22]</u>
$P$	1259	1625 – 2295	78	28 – 143	6 – 18	CLAS(2010) [19], GRAAL(2007) [20]
$O_{x'}$	252	1645 – 2179	34	31 – 143	6 – 16	GRAAL(2007) [20], <u>CLAS(2016) [22]</u>
$O_{z'}$	252	1645 – 2179	34	31 – 143	6 – 16	GRAAL(2007) [20], <u>CLAS(2016) [22]</u>
$C_x$	138	1678 – 2296	14	31 – 139	9	CLAS(2007) [18]
$C_z$	138	1678 – 2296	14	31 – 139	9	CLAS(2007) [18]

**Problem: CLAS(2016) data**



# Problem with BG2019 solution

## Baryon Spectroscopy

Transition amplitudes for pion induced reactions, multipoles and polarization observables.  
The polarization observables are defined as in [Phys.Rev.C46:2430-2455,1992](#).

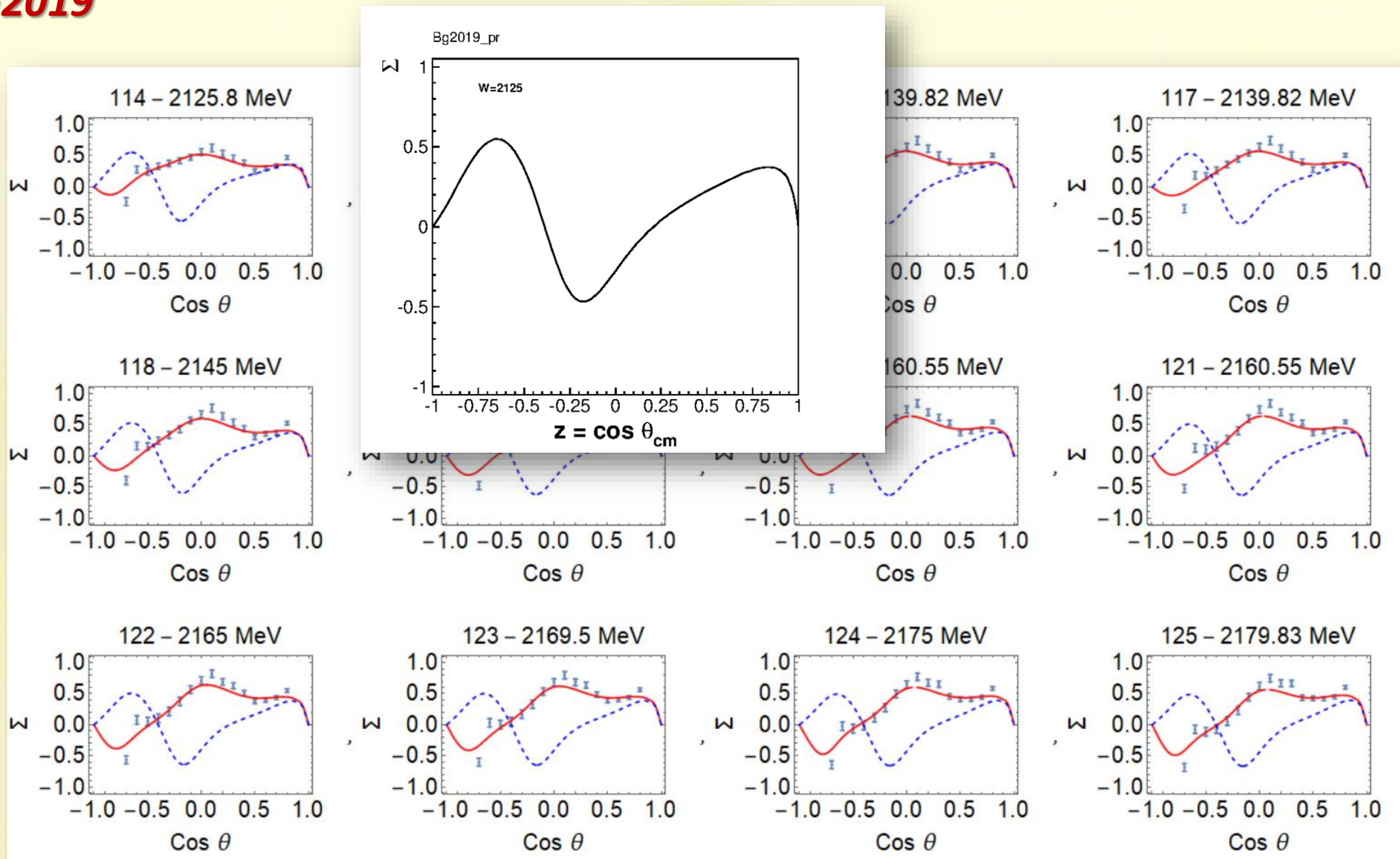
Solutions BG2011: [Eur.Phys.J. A47 \(2011\) 153](#); [Eur.Phys.J. A48 \(2012\) 15](#).  
Solutions BG2014: [Eur.Phys.J. A51 \(2015\) 95](#); [Eur.Phys.J. A52 \(2016\) 284](#).

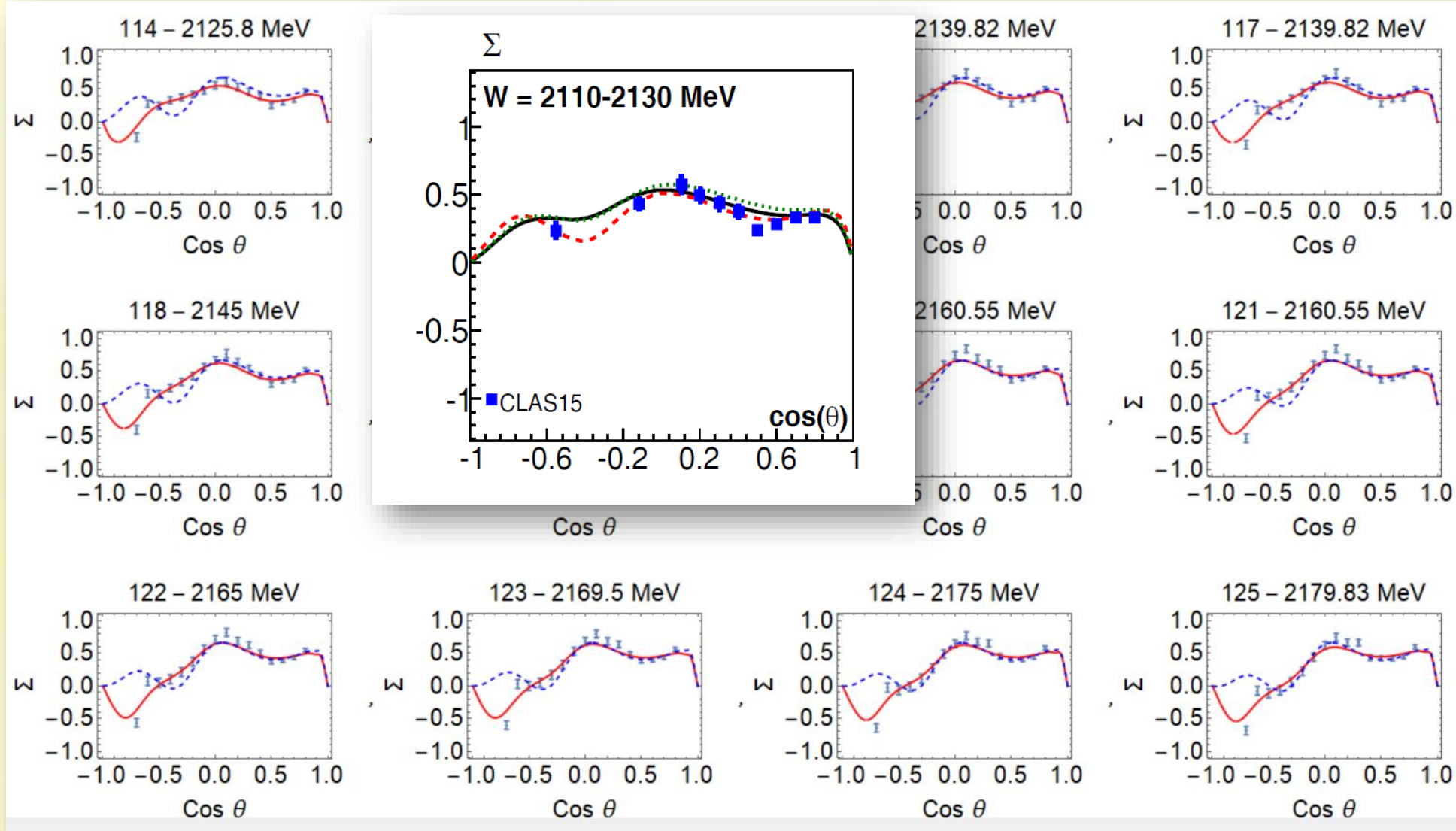
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	<input type="radio"/> BG2011-02	<input type="radio"/> BG2014-02	

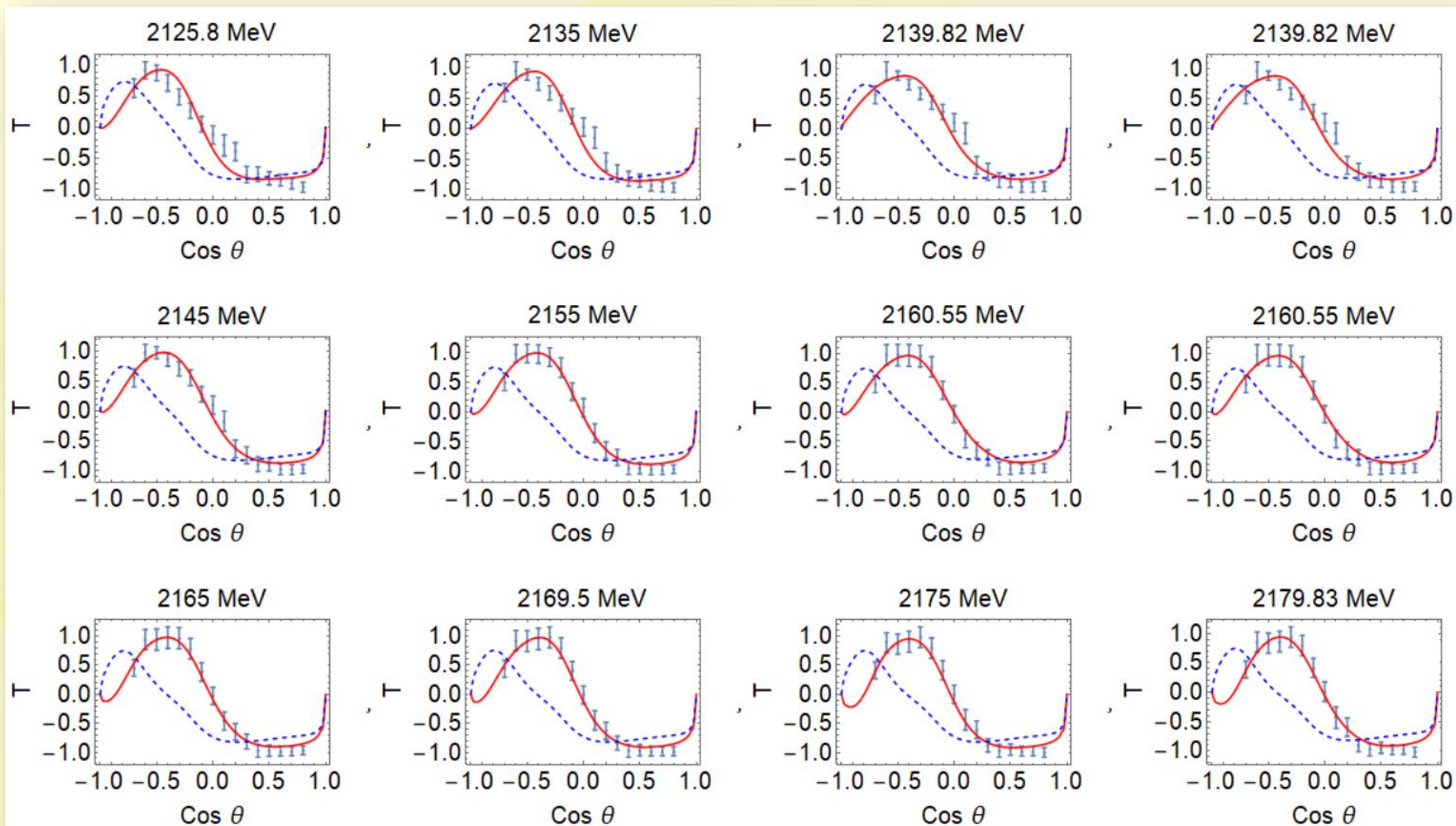
<a href="#">pi N Amplitudes</a>	<a href="#">Multipoles</a>	<a href="#">Single meson photoproduction off proton</a>	<a href="#">Single meson photoproduction off neutron (BG2011-02) (BG2014-02)</a>	<a href="#">Double meson photoproduction observables</a>

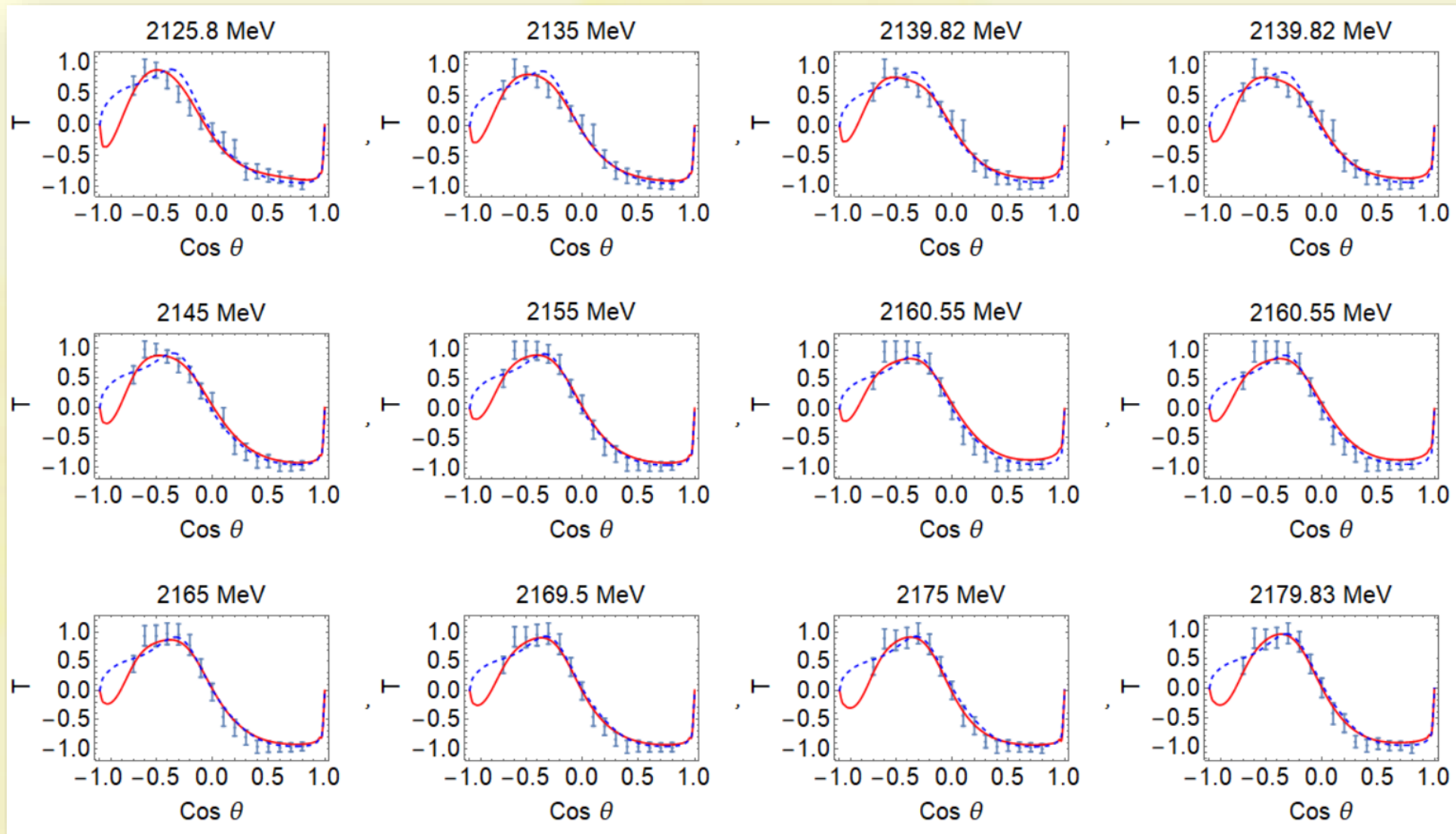
**Publicly available BG2019 solution fits CLAS(2016) data rather poorly, while the solution used in both Bonn-Gatchina 2017 publications, and which I call BG2017 is much better!**



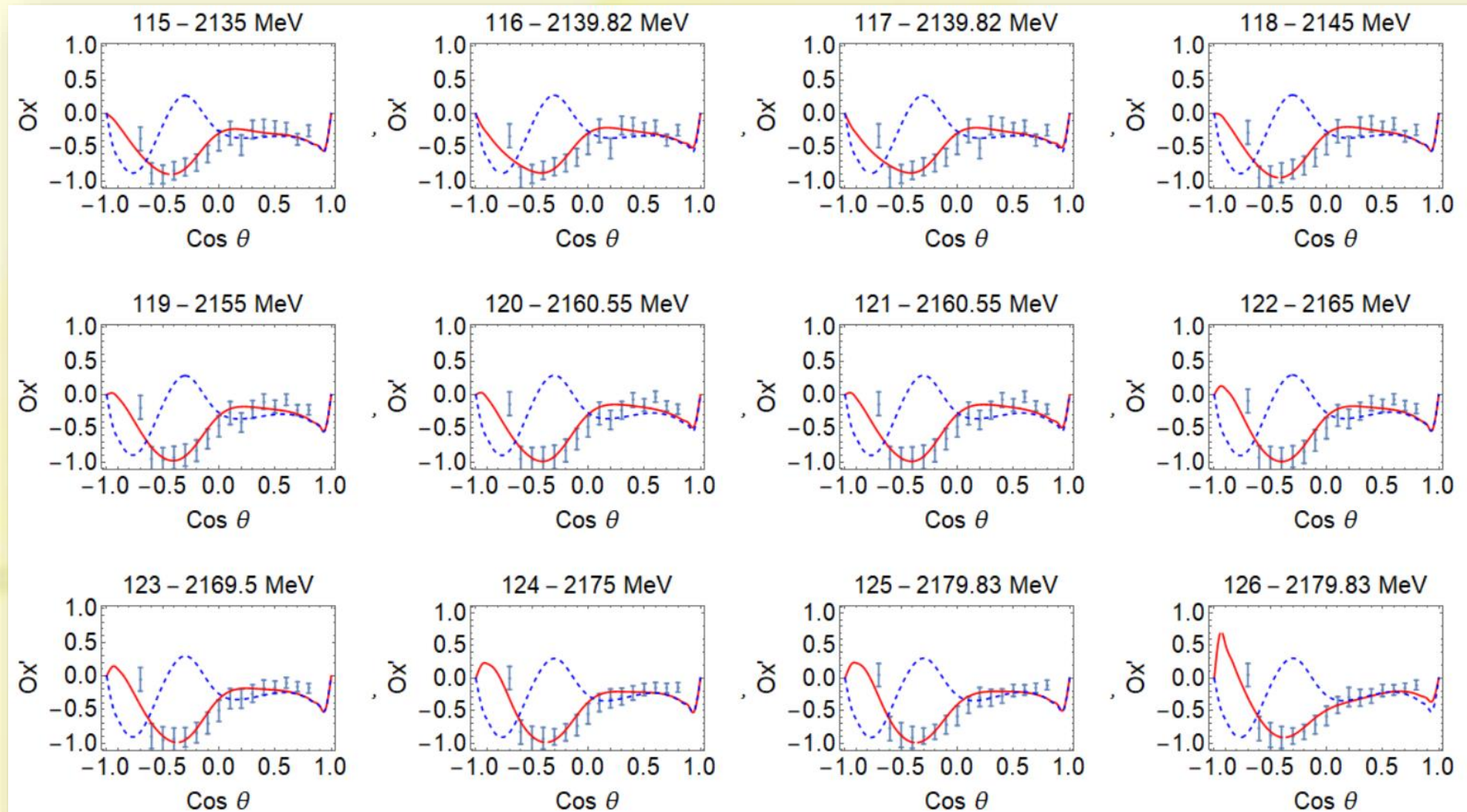




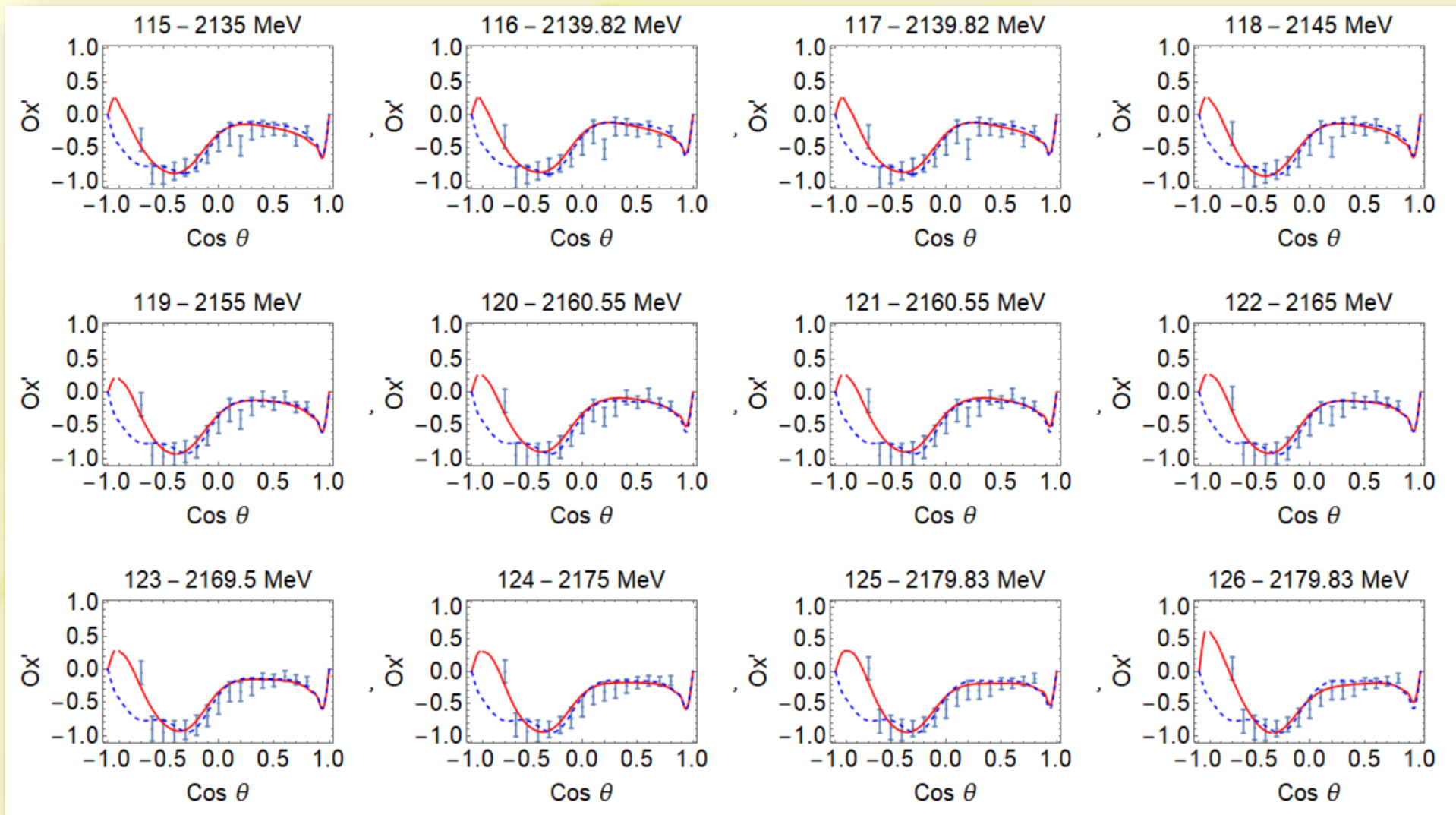


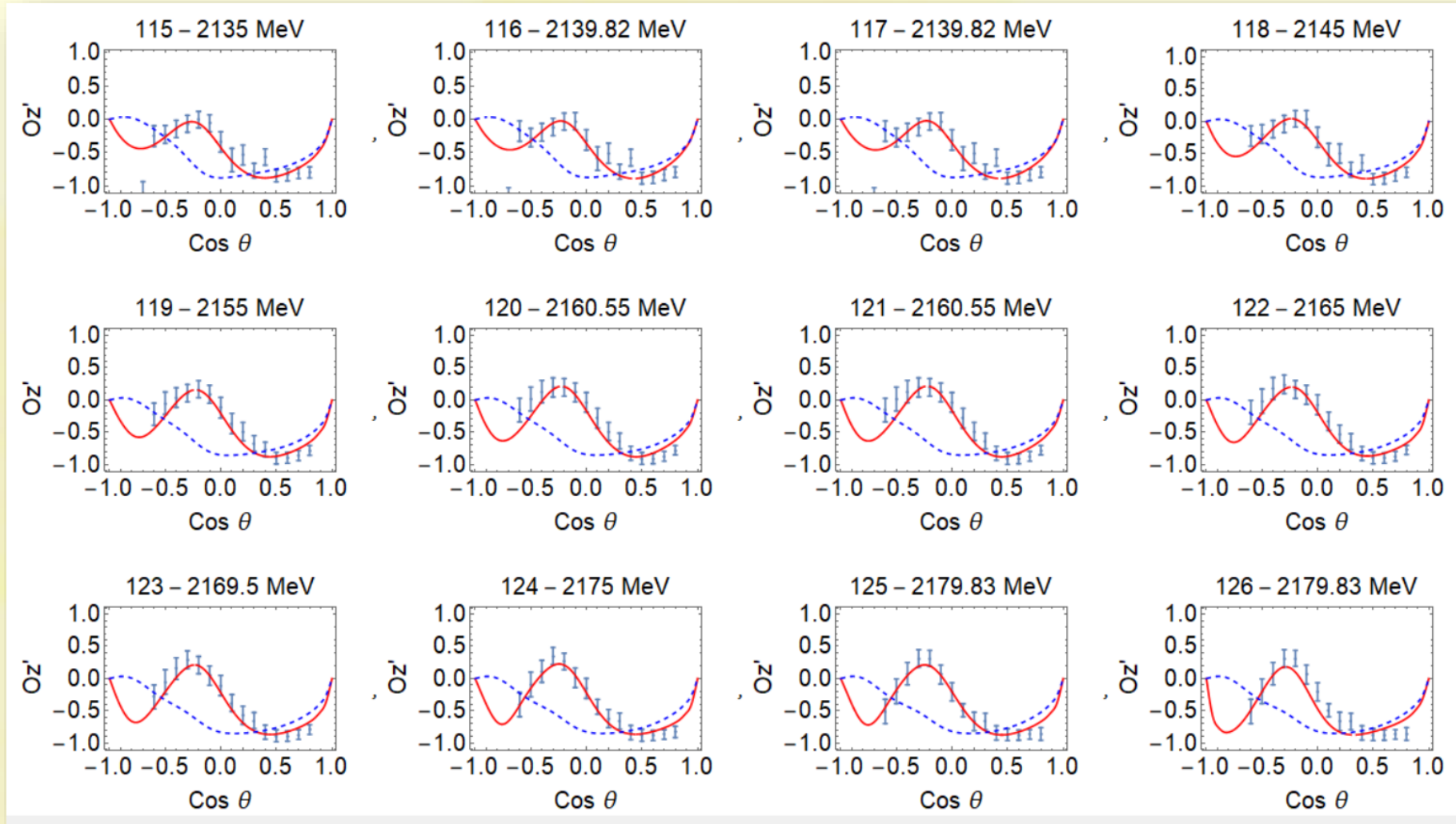


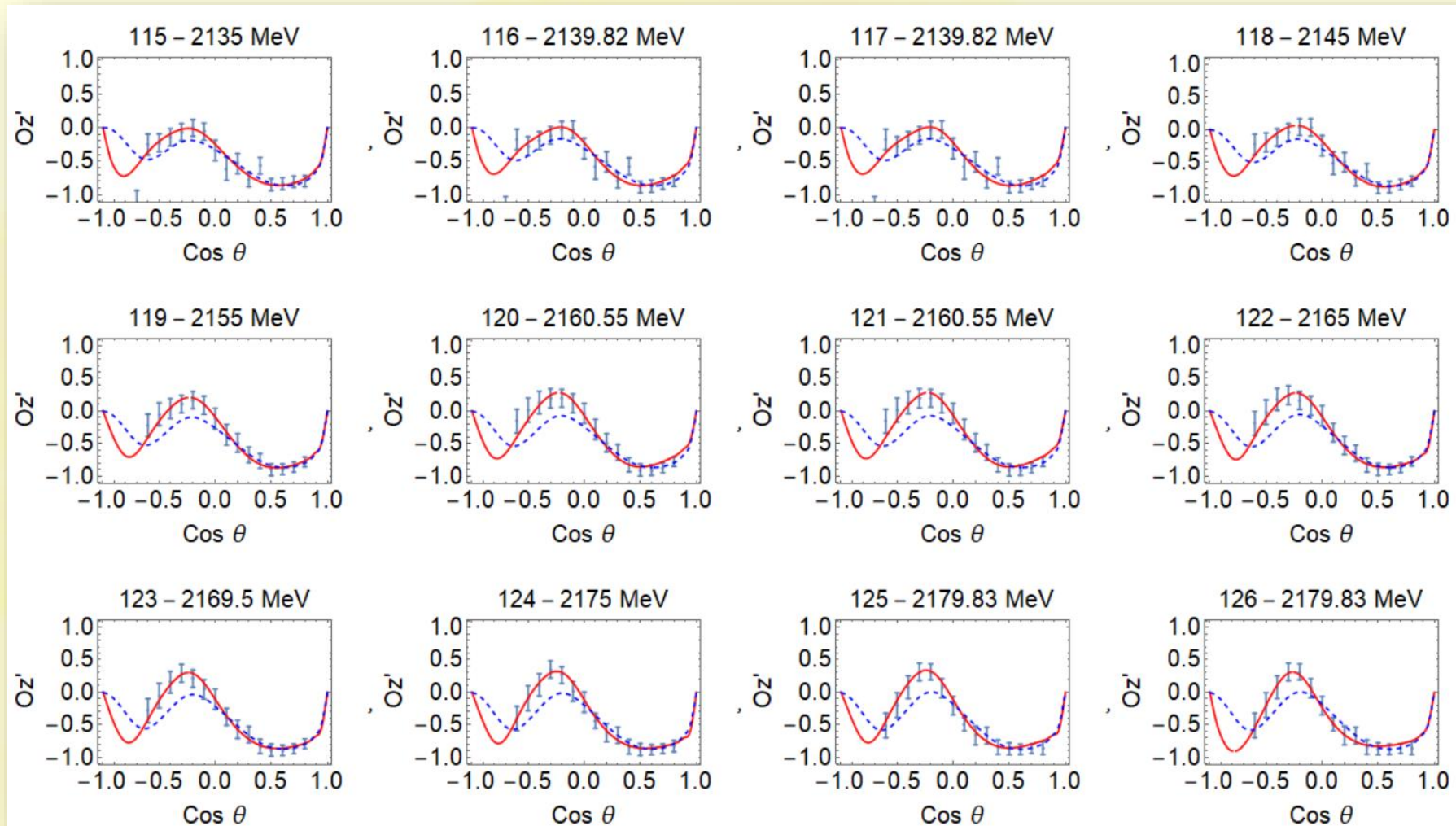










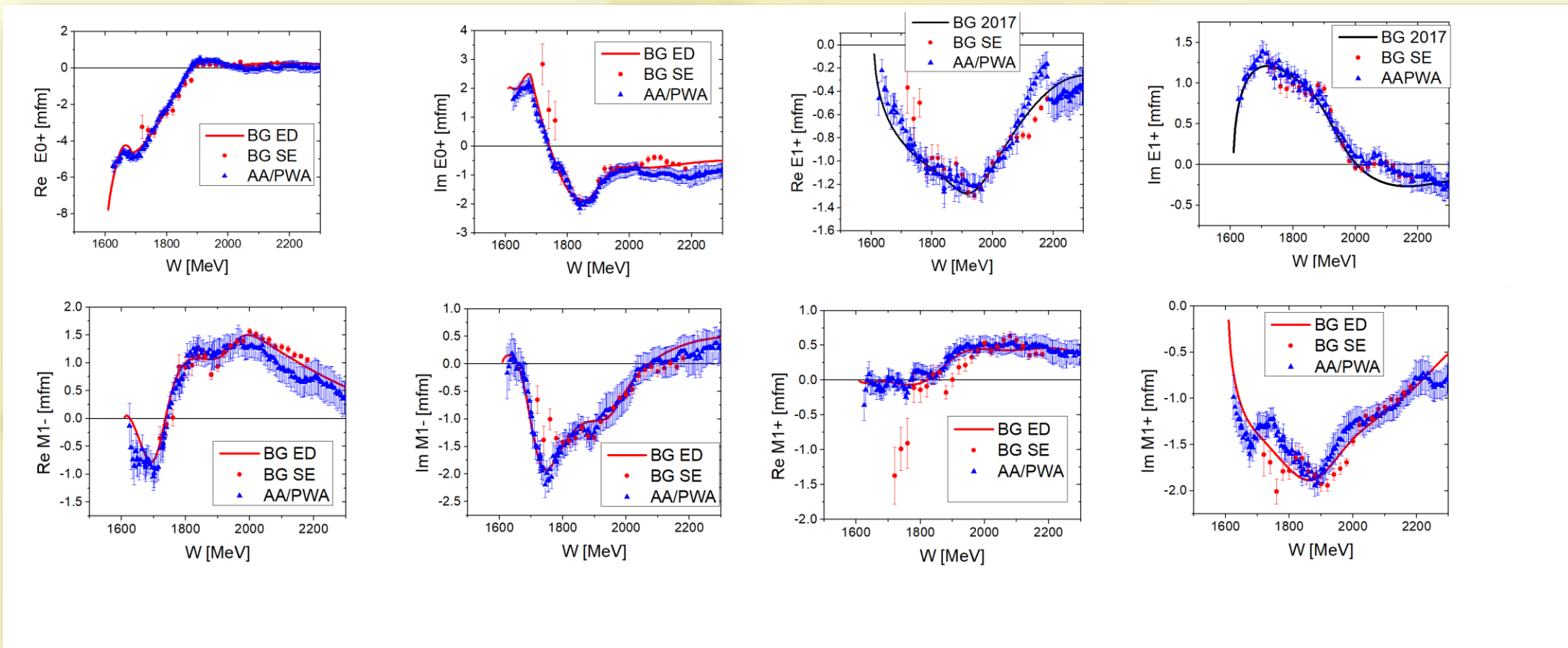




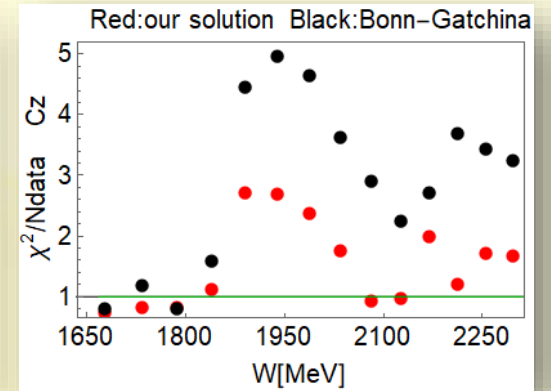
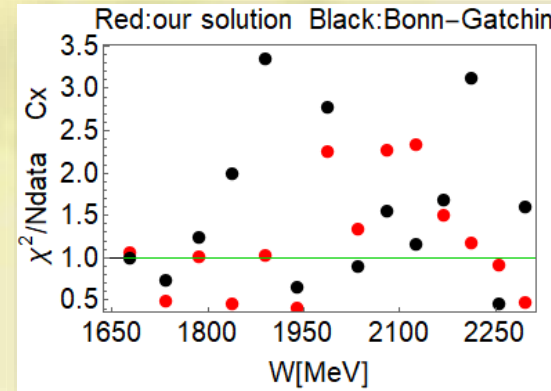
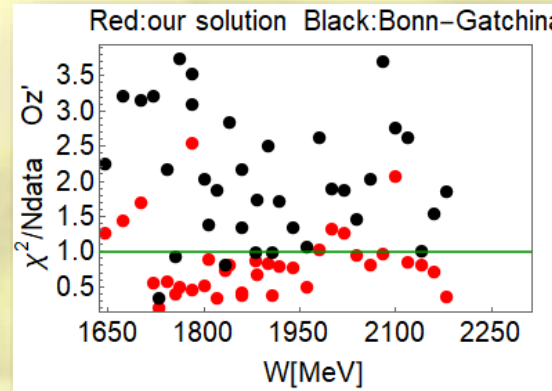
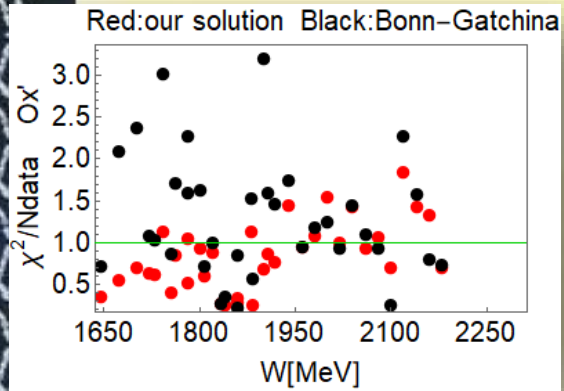
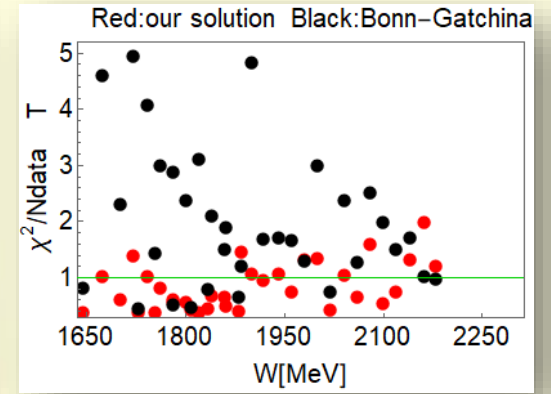
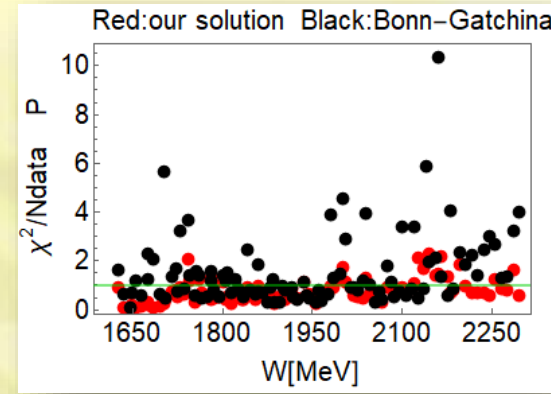
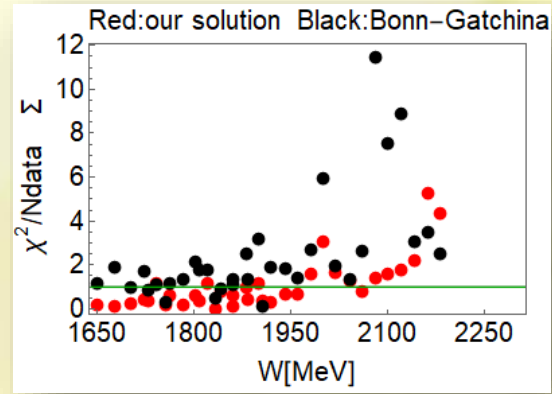
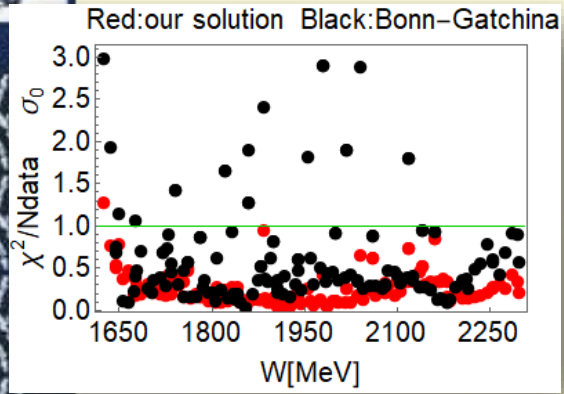
The choice of input: **BG2017**

**Results**

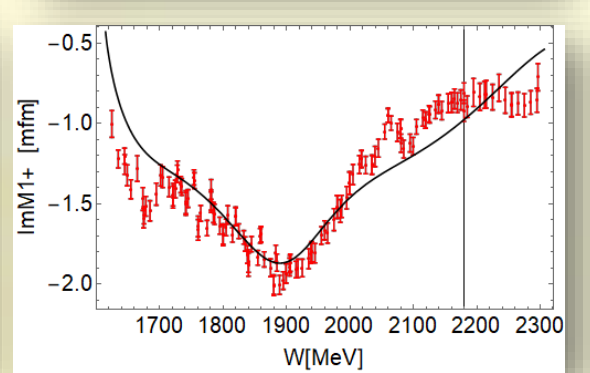
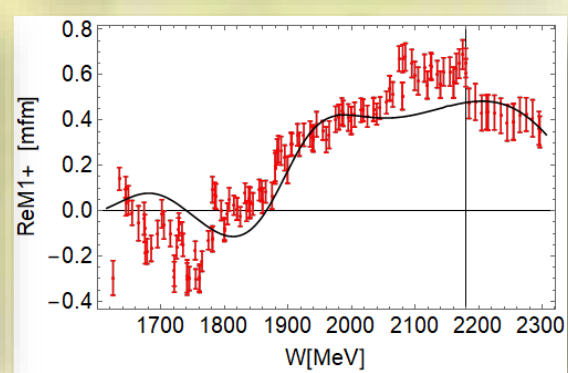
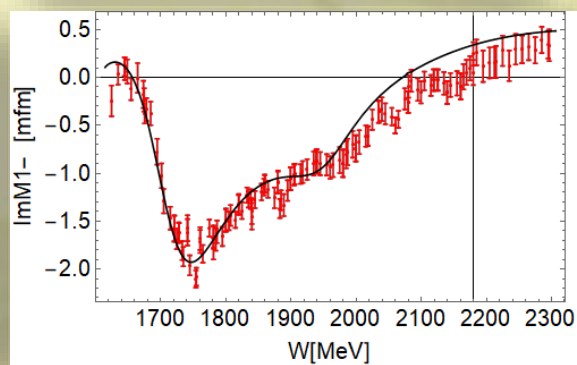
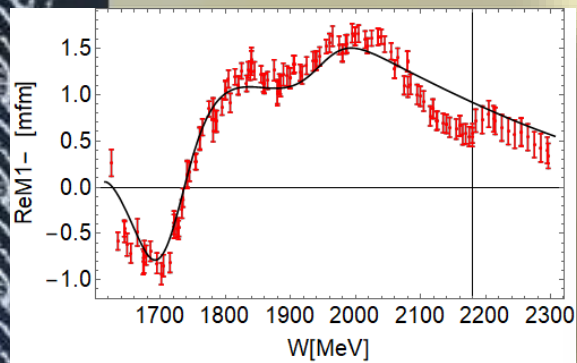
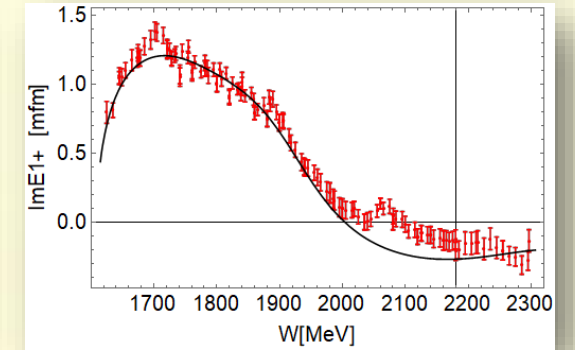
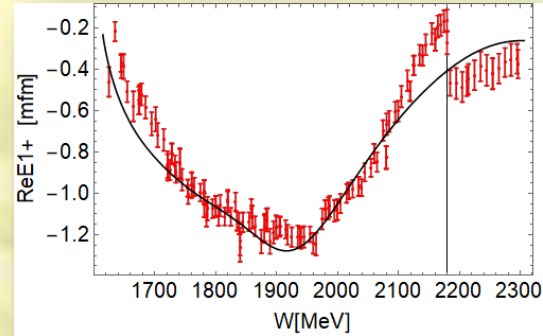
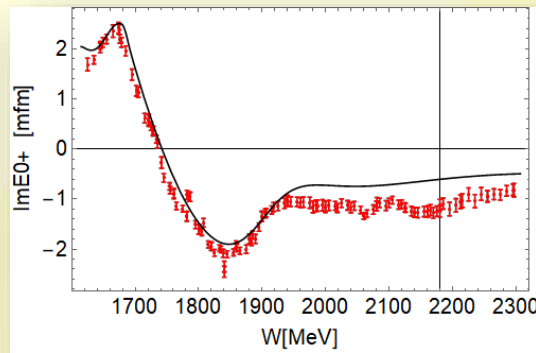
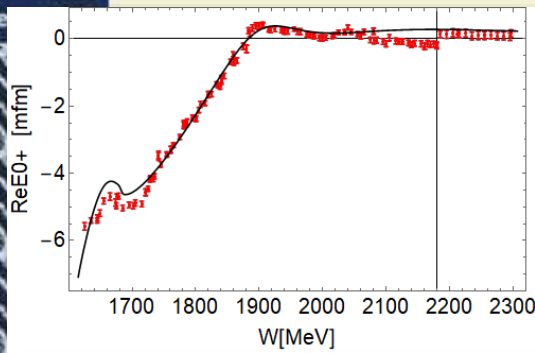
**Comparison with BG SE result**



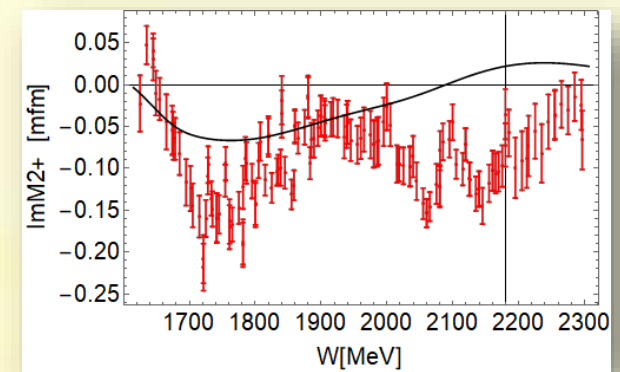
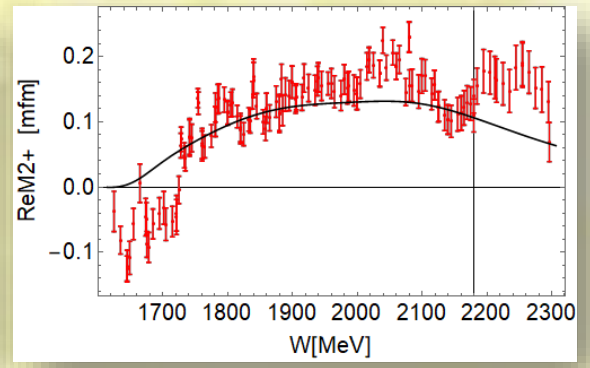
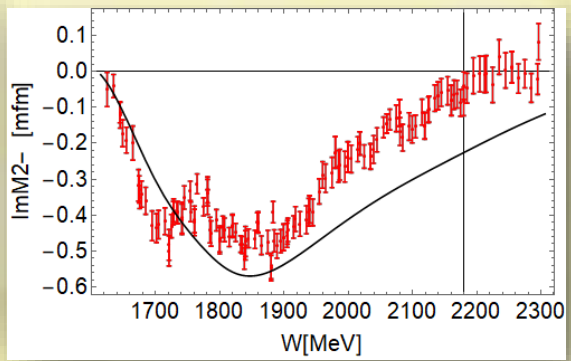
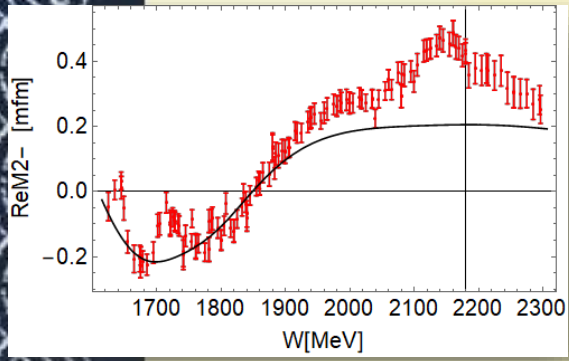
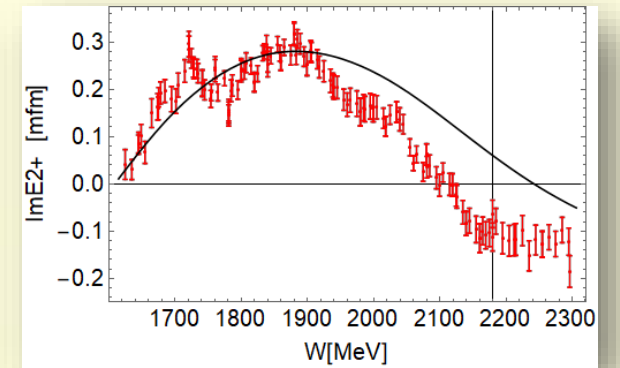
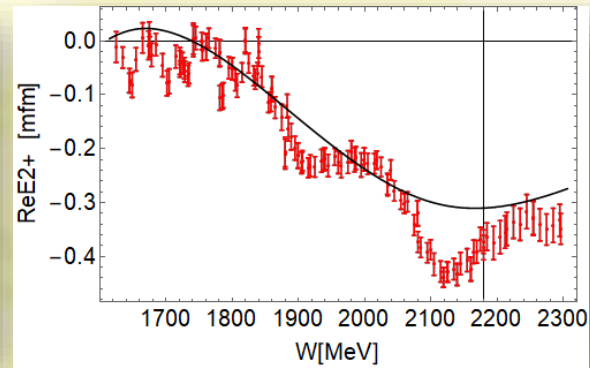
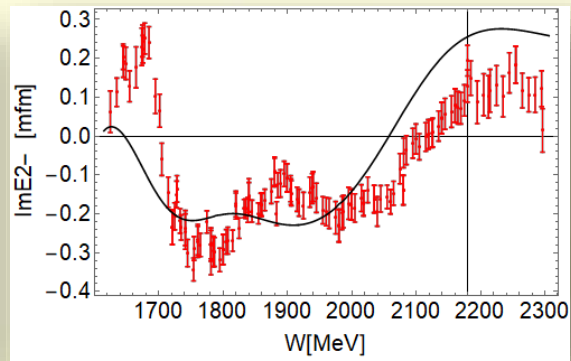
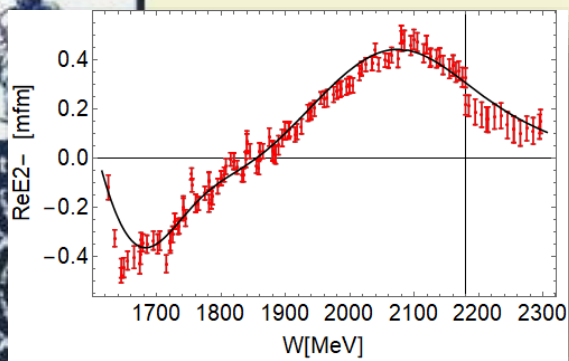
# Comparison of $\chi^2$ between AA/PWA and BG ED



# All multipoles







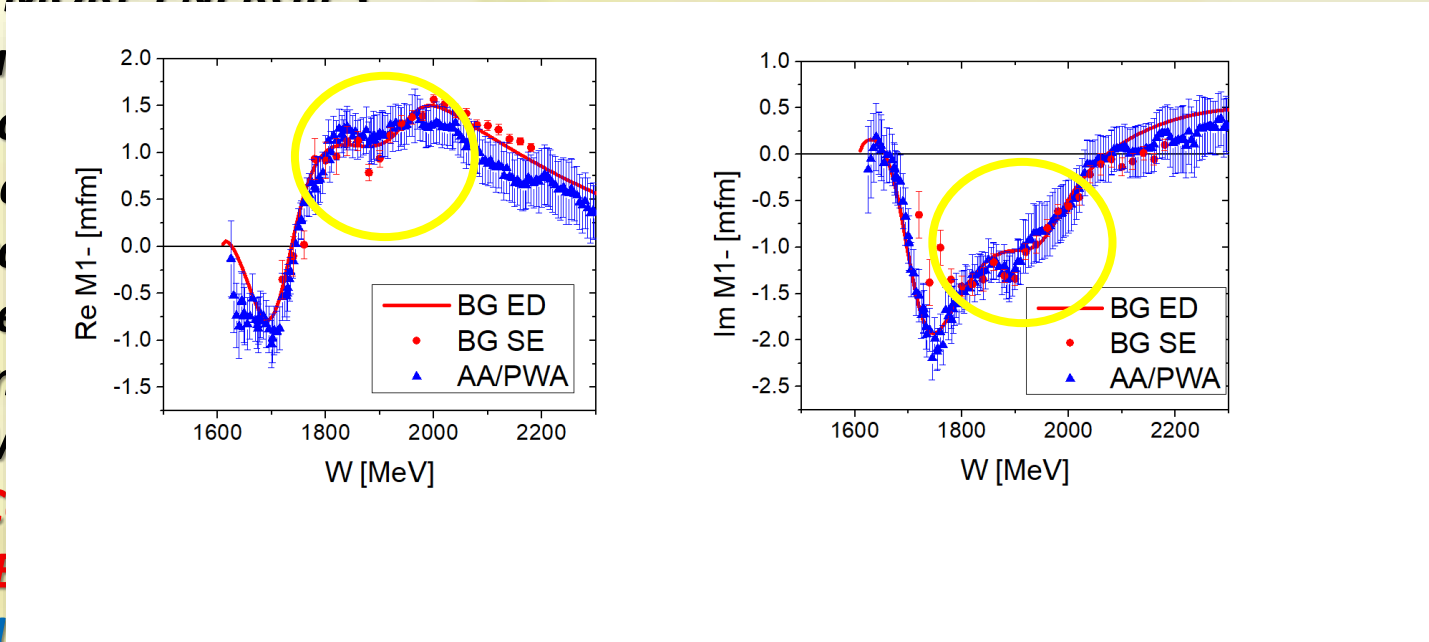
## Results and conclusions

1. AA/PWA reliably reproduces the complete data base for  $K^+\Lambda$  too
2. The solution is consistent with Bonn-Gatchina SE PWA, but **CAN BE DONE AT MUCH MORE ENERGIES**

3. Structure
4. Multipole
  - The
  - (fr
  - ev
5. If minim

AA/PWA  
DATA C  
(RE

MULTI-ANGLE DATA ARE COMPLETELY CONSISTENT. CHECKED FOR PSEUDO AND NUMERIC DATA IN  $\eta$ -PHOTOPRODUCTION.)



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**Working with real data is complicated!**



## **Future**

*If we want to avoid discontinuities, and work with real data, we have **TO INCREASE MODEL** dependence by introducing fixed-t analyticity!*

*Alternative to fixed-t analyticity is **FIXED AMPLITUDE ANALYTICITY** as a constrained, but it yet has to be developed!*