

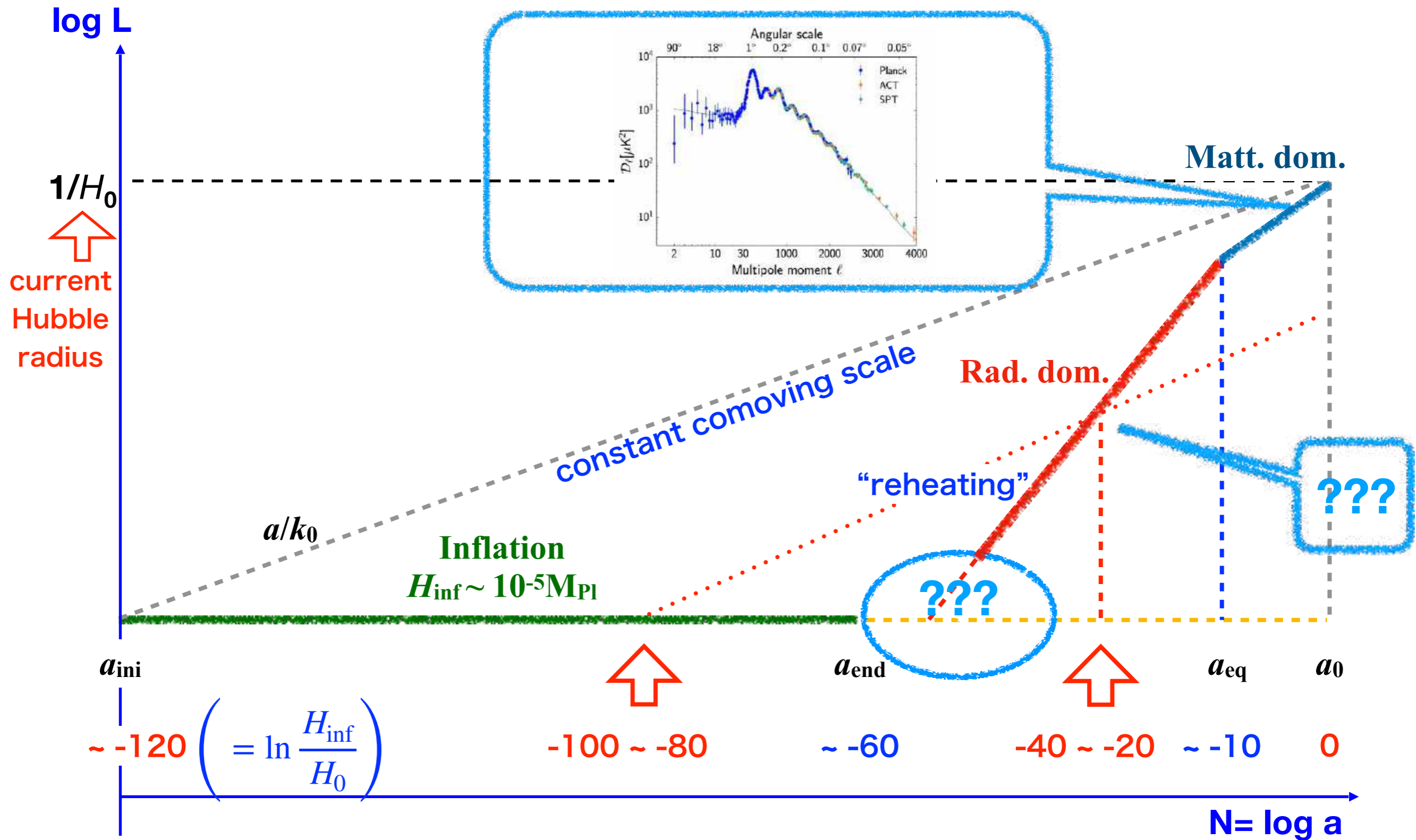
# Primordial Black Holes and Gravitational Waves

Misao Sasaki

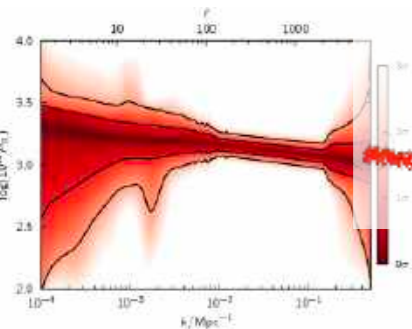
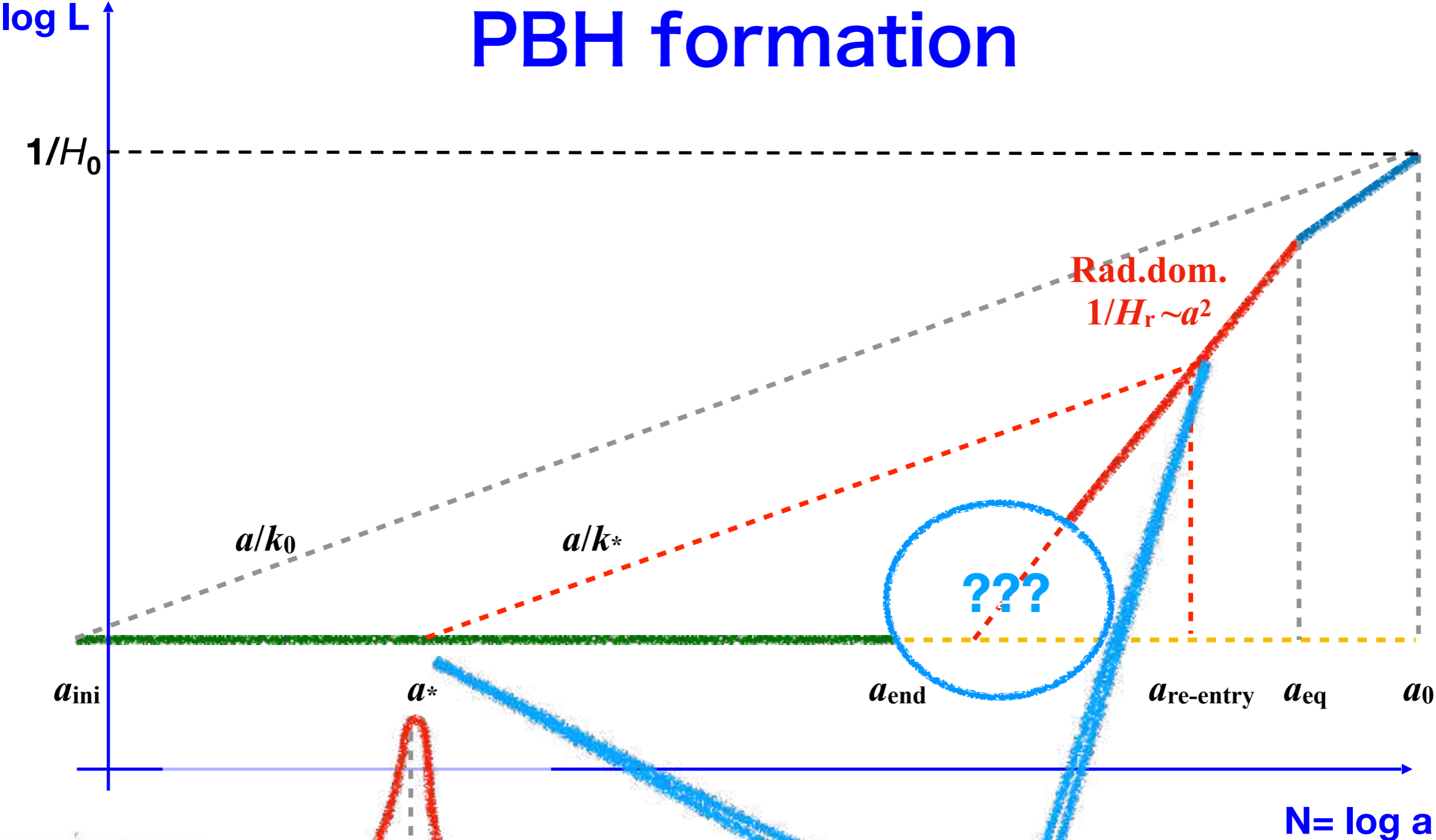
Kavli IPMU, University of Tokyo  
YITP, Kyoto University  
LeCosPA, National Taiwan University

# inflationary model construction

# cosmic spacetime diagram



# PBH formation



$k_* = Ha_*$

A peak in the curvature perturbation, which leaves horizon at  $a^*$  forms PBH right after horizon re-entry

# Curvature perturbation to PBH

- gradient expansion/separate universe approach

$$6H^2(t, x) + R^{(3)}(t, x) = 16\pi G\rho(t, x) + \dots \quad \text{Hamiltonian constraint (Friedmann eq.)}$$

$$\rightarrow R^{(3)} \approx -\frac{4}{a^2} \nabla^2 \mathcal{R}_c \approx \frac{8\pi G}{3} \delta\rho_c \rightarrow \frac{\delta\rho_c}{\rho} \approx \mathcal{R}_c \quad \text{at} \quad \frac{k^2}{a^2} = H^2$$

$$\underbrace{R^{(3)} \simeq 0} \quad \xleftrightarrow[H^{-1} = a/k]{R^{(3)} \sim H^2} \quad \text{formation of a closed universe}$$

- If  $R^{(3)} \sim H^2$  ( $\Leftrightarrow \delta\rho_c / \rho \sim 1$ ), it collapses to form BH

Young, Byrnes & MS '14

$$M_{\text{PBH}} \sim \rho H^{-3} \sim 10^5 M_{\odot} \left(\frac{t}{1\text{s}}\right) \sim 20 M_{\odot} \left(\frac{k}{1\text{pc}^{-1}}\right)^{-2}$$

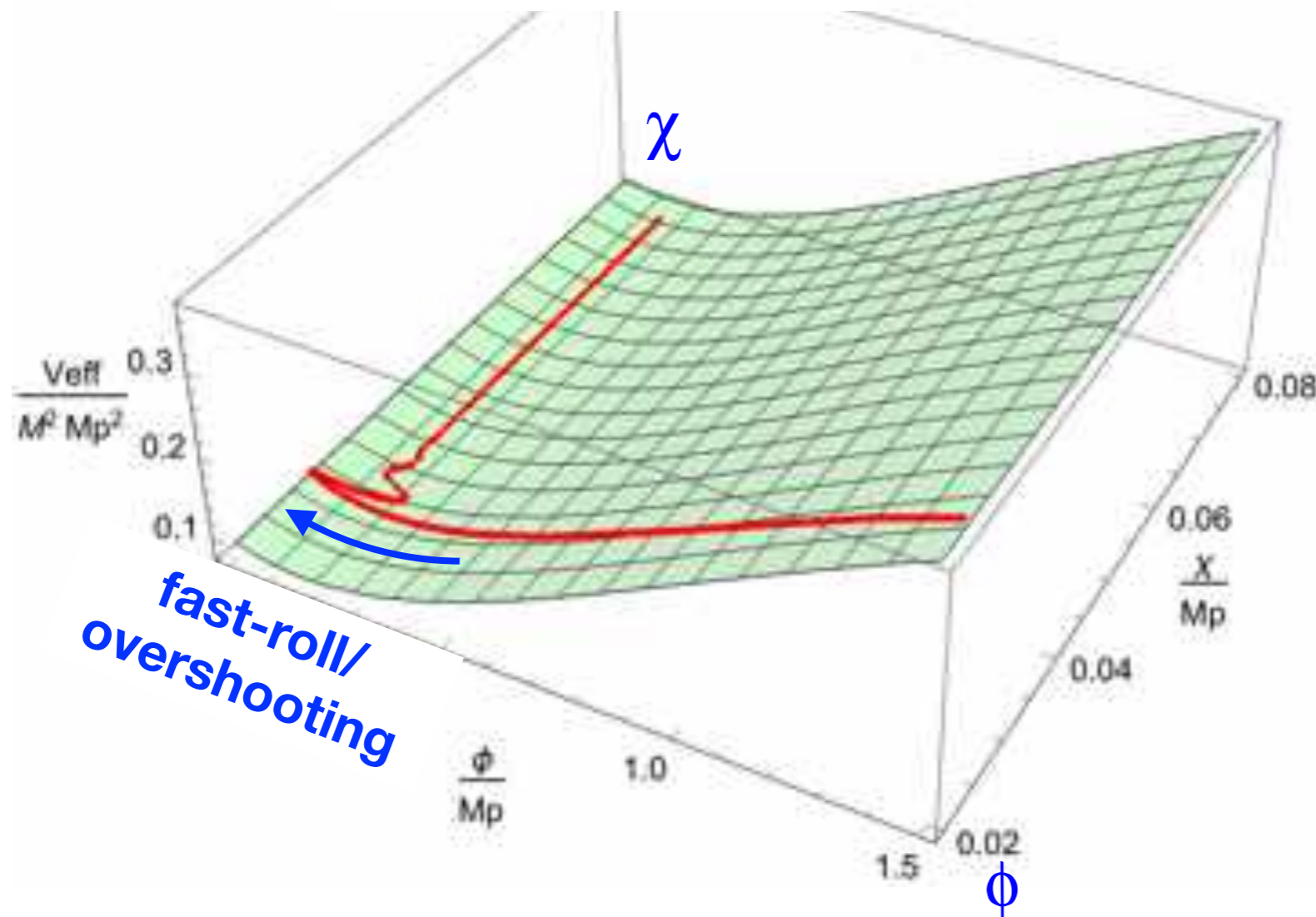
- Spins of PBHs are expected to be very small

de Luca et al. 2019, Harada et al. 2020, ...

# Model 1: Scalaron+ $\chi$ model

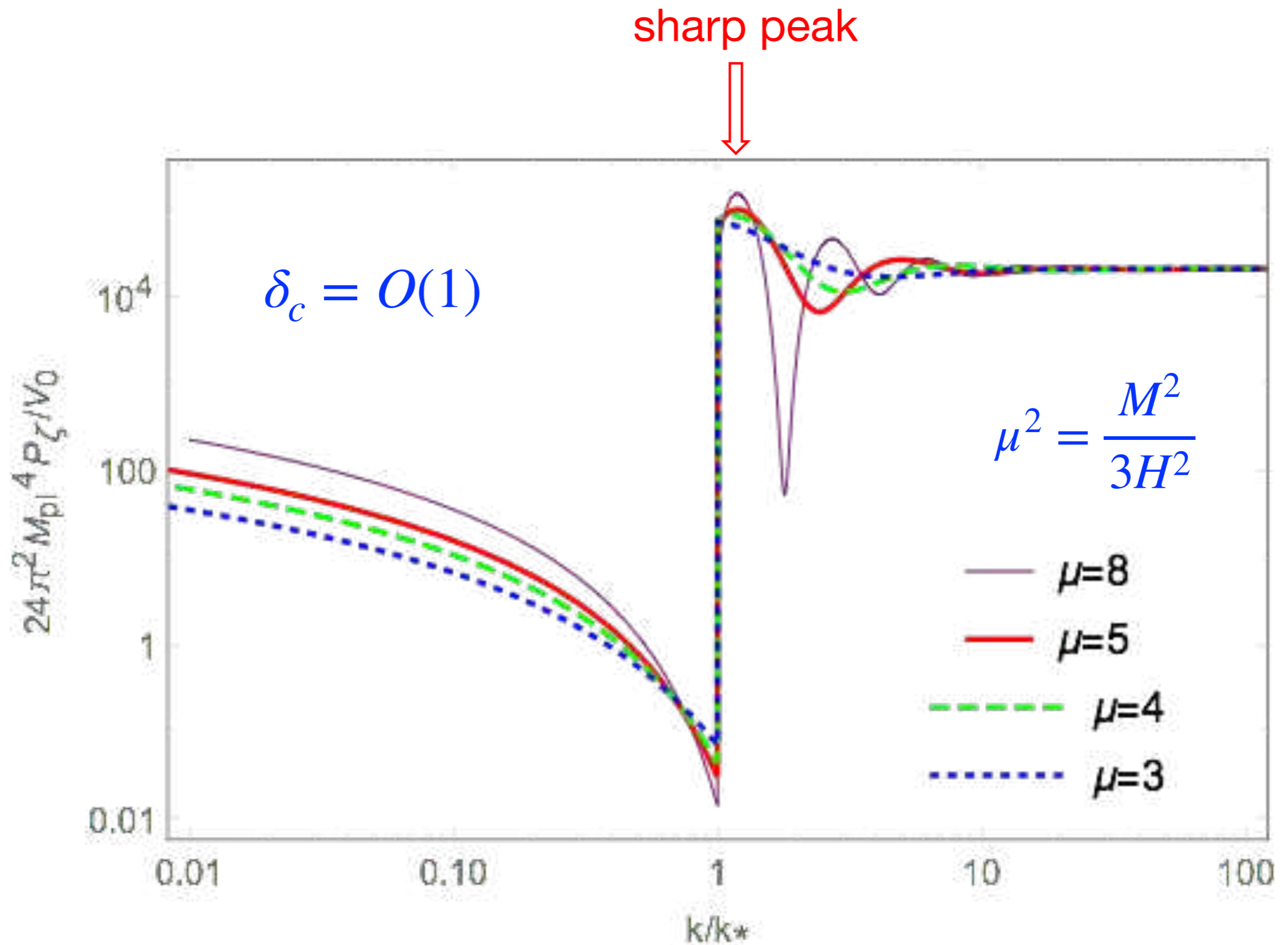
Pi, Zhang, Huang & MS '17

$$S_J = \int d^4x \sqrt{-g} \left\{ \frac{M_{\text{Pl}}^2}{2} \left( R + \frac{R^2}{6M^2} \right) - \frac{1}{2} g^{\mu\nu} \partial_\mu \chi \partial_\nu \chi - V(\chi) - \frac{1}{2} \xi R \chi^2 \right\}.$$



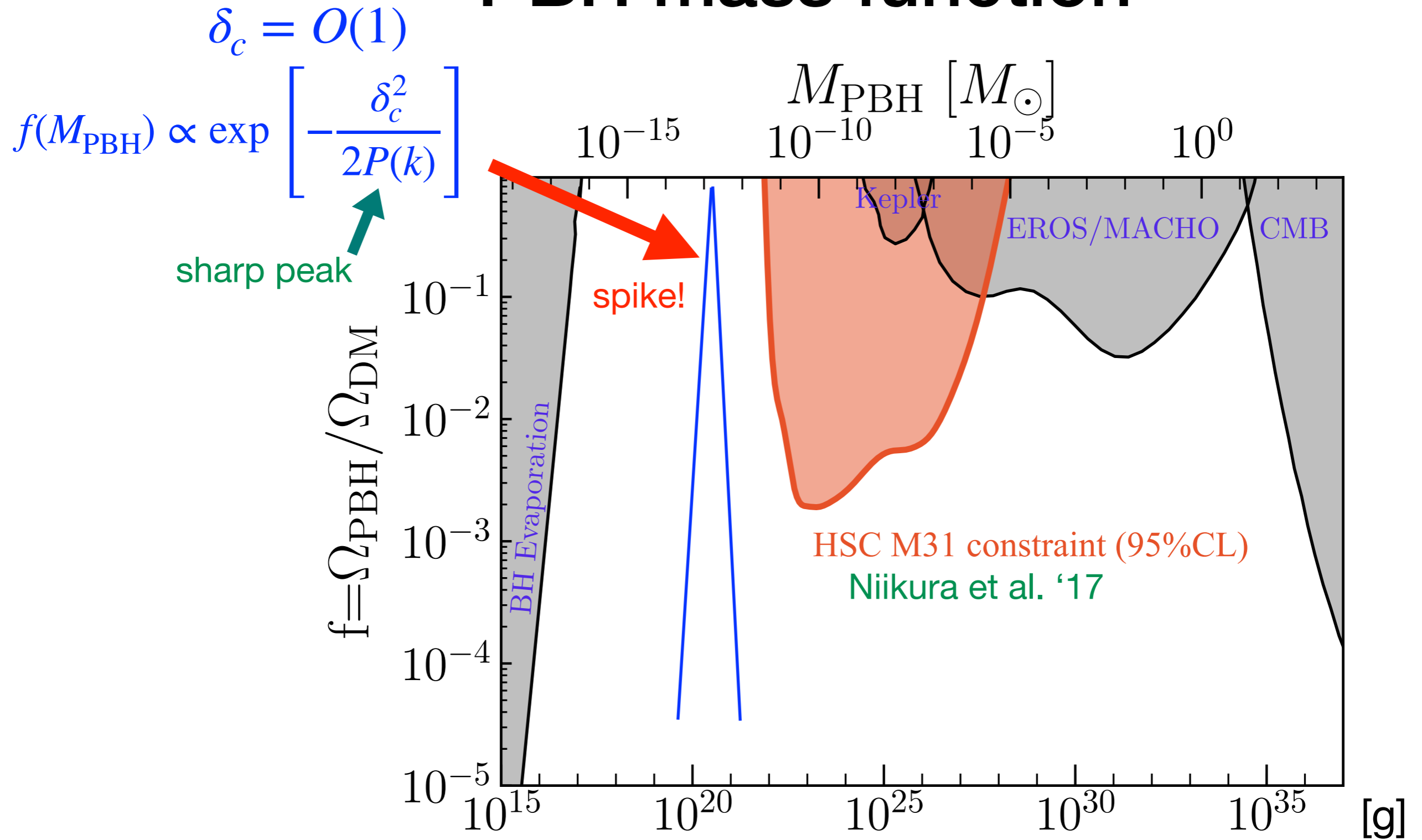
2-stage inflation

- Scalaron  $\phi$  becomes massive at the end of the 1st stage.
- Field  $\chi$  plays the role of inflaton at the 2nd stage.



scalaron + x model can produce a sharp peak in the curvature perturbation spectrum at small scale  
 non-Gaussianity is found to be small in this model

# PBH mass function



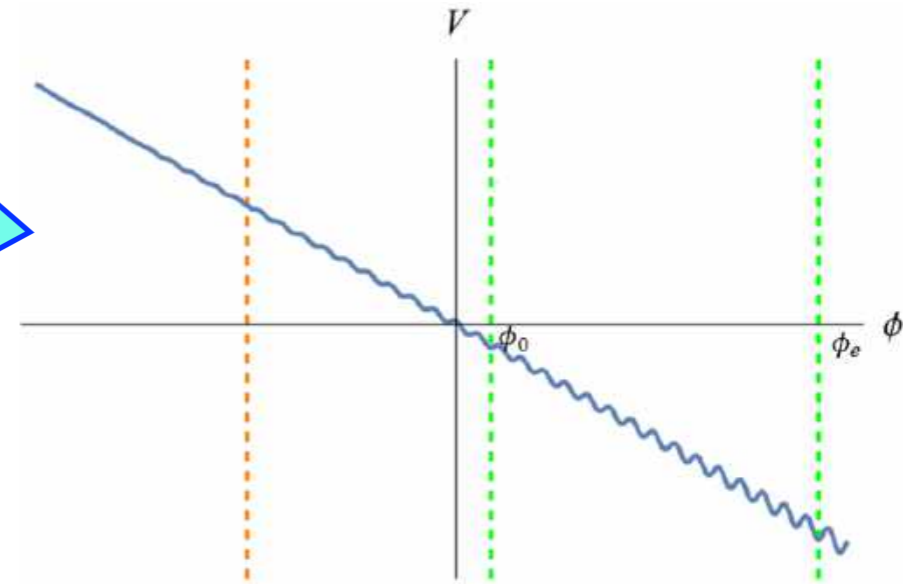
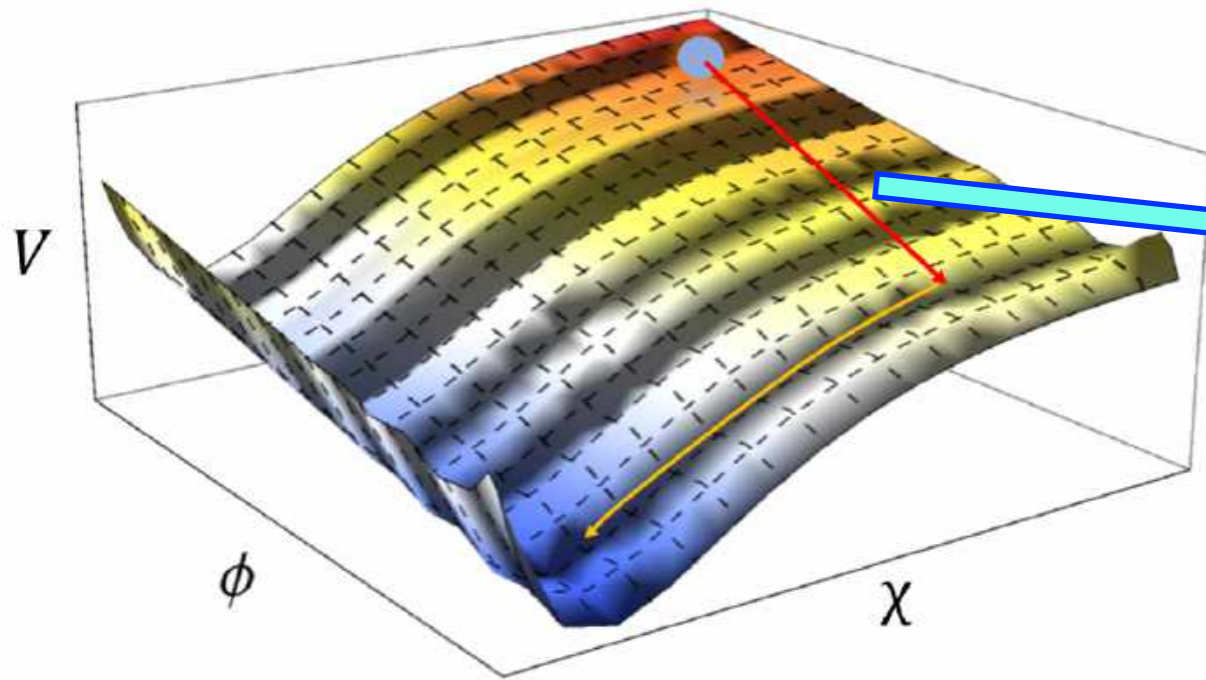
scalaron+x model can realize

PBH=CDM scenario with a monochromatic PBH mass!

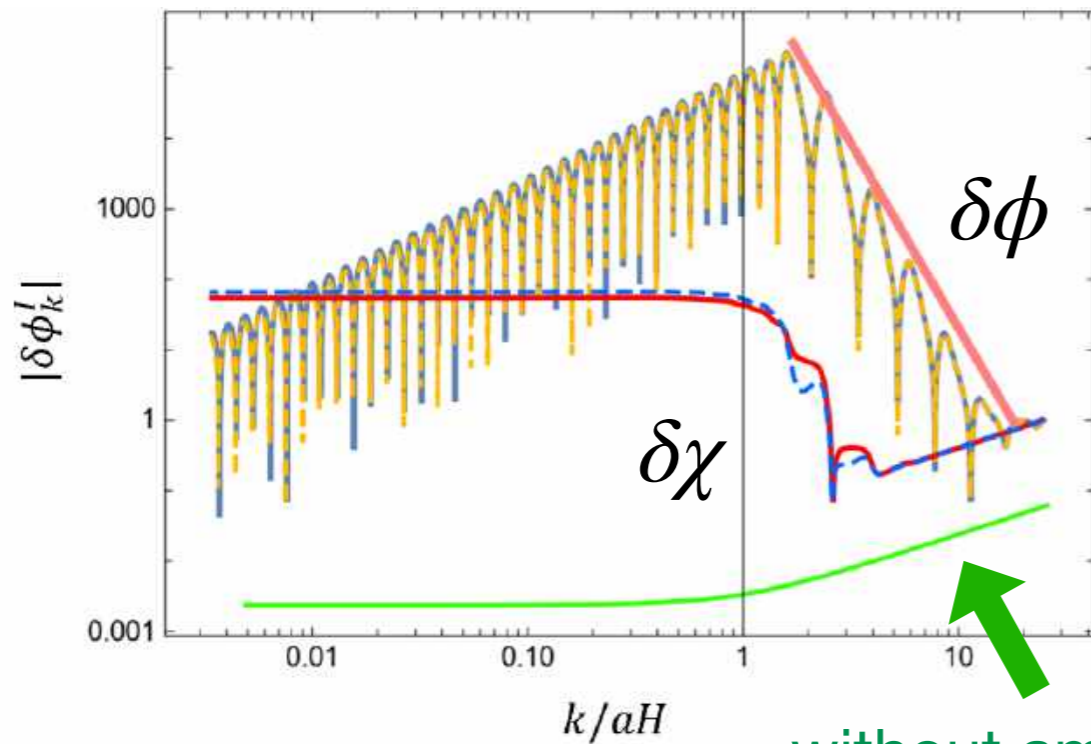


# Model 2: Resonant Amplification Model

Z. Zhou, J. Jiang, Y. Cai, MS & S. Pi, 2020



$$V(\phi) \sim \Lambda(\phi) \cos\left(\frac{\phi}{f_a}\right) \text{ with growing } \Lambda(\phi)$$



$\delta\phi$  : amplified by oscillating potential

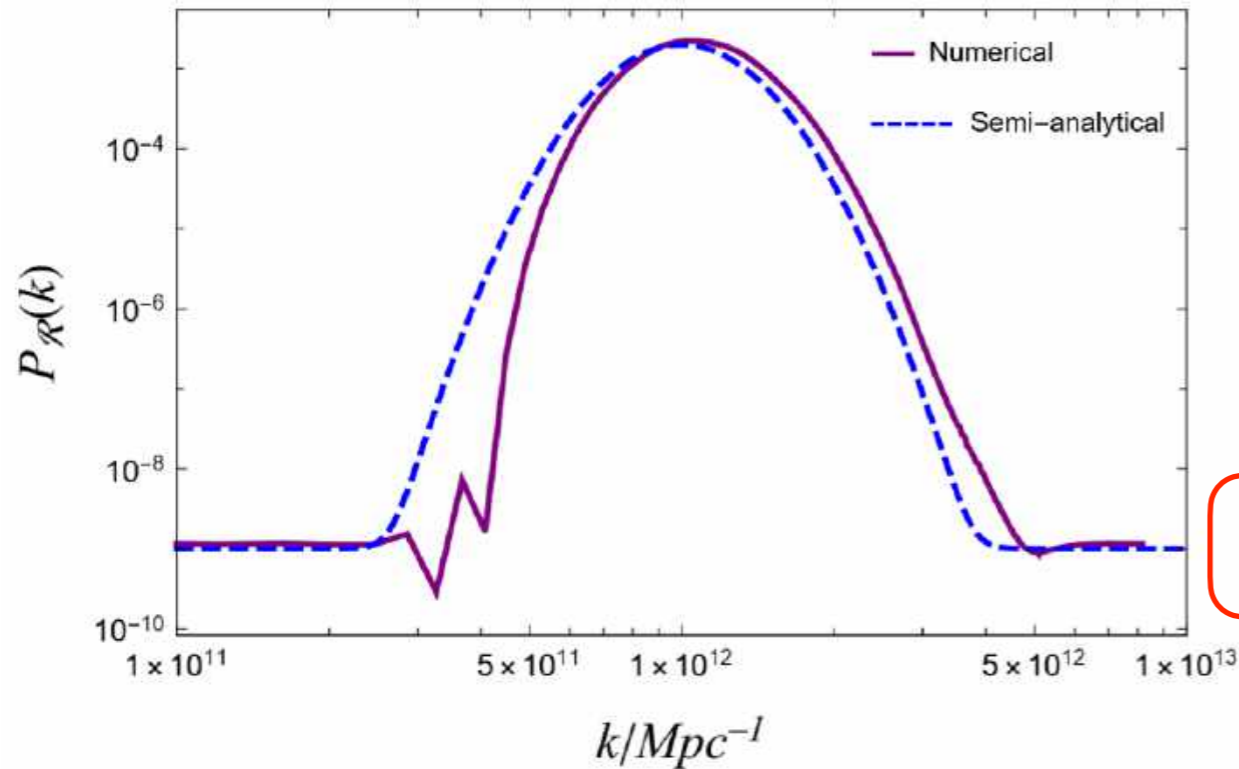
$\delta\chi$  : amplified through coupling to  $\delta\phi$



This leads to enhancement of curvature perturbation

without amplification

# Curvature perturbation spectrum and PBH mass function: an example



$$P_{\mathcal{R}}(k) = \frac{H^2}{8\pi^2 M_p^2 \epsilon_{\chi\chi}} \mathcal{A}^2(k)$$

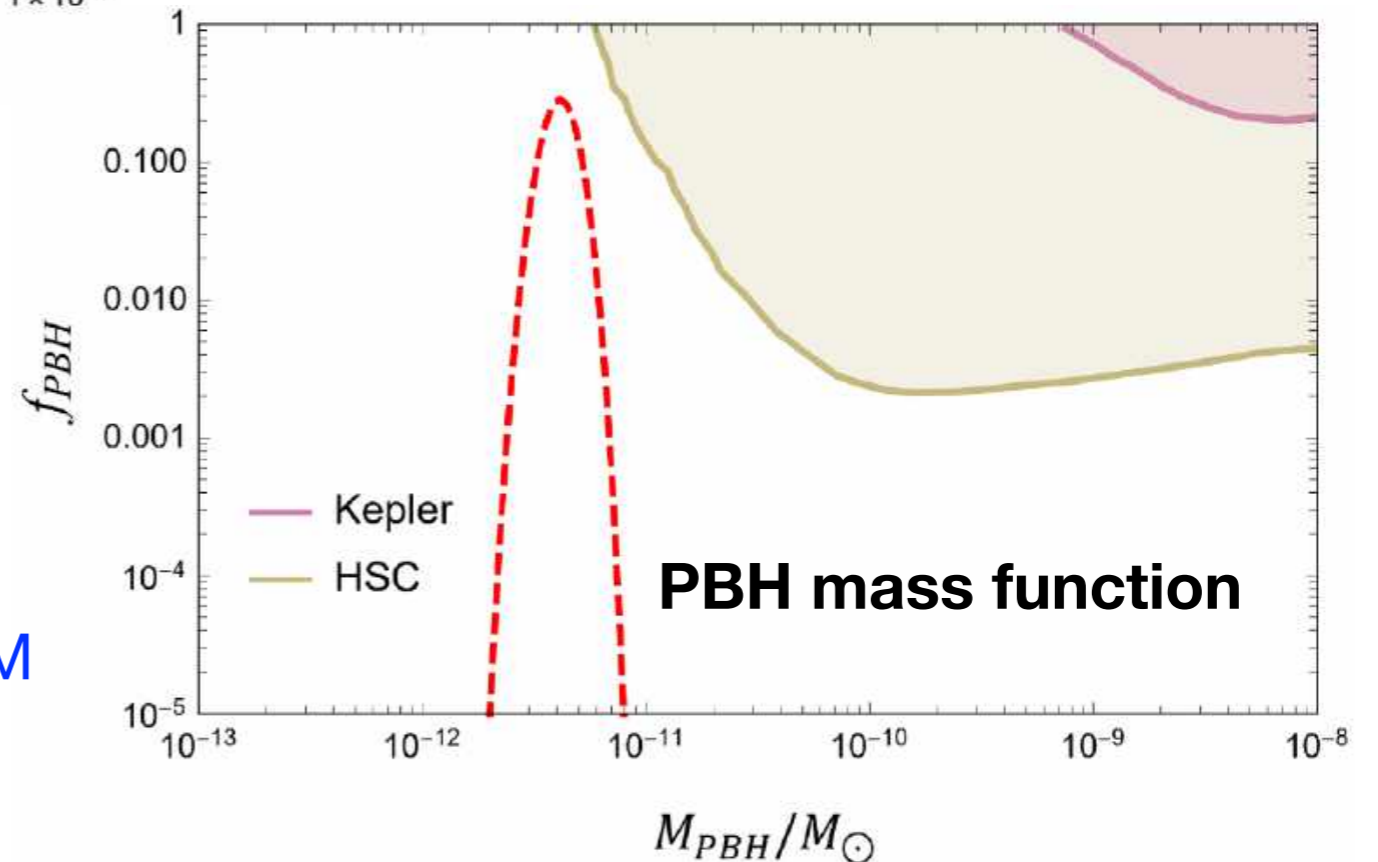
$$\mathcal{A}^2(k) = 1 + \mathcal{A}^2(k_*) \exp\left(-\frac{\ln^2(k/k_*)}{2\Delta^2}\right)$$

↑ amplification factor  
 $\sim 10^6$

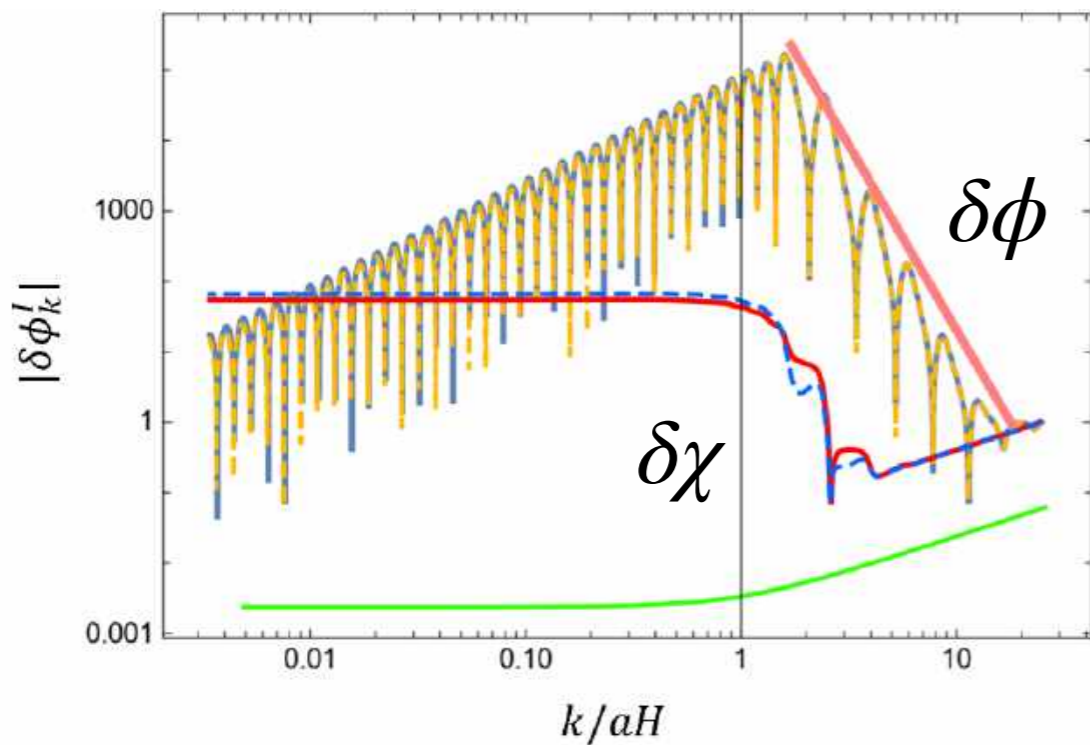
very good fit with log-normal function

$\Delta = O(1)$  for typical values of model parameters

PBHs can account for CDM



# GWs Generated during Inflation



This leads to GW generation during inflation

$\delta\phi$  : amplified by oscillating potential

$\delta\chi$  : amplified through coupling to  $\delta\phi$

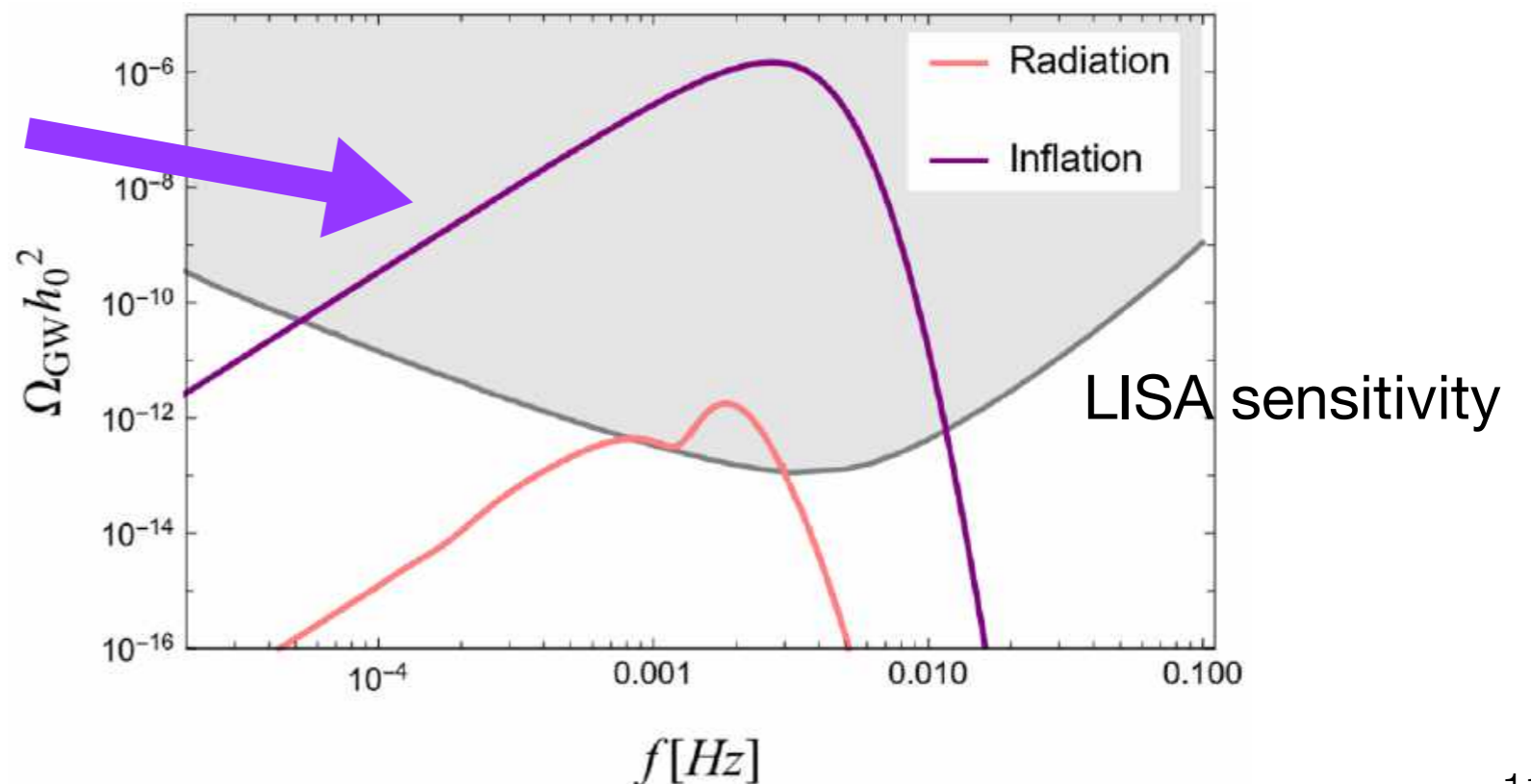
This leads to enhancement of curvature perturbation

$$\square h_{ij} \sim G\partial_i\delta\phi\partial_j\delta\phi$$

GW probes  $\delta\phi$



PBH probes  $\delta\chi$



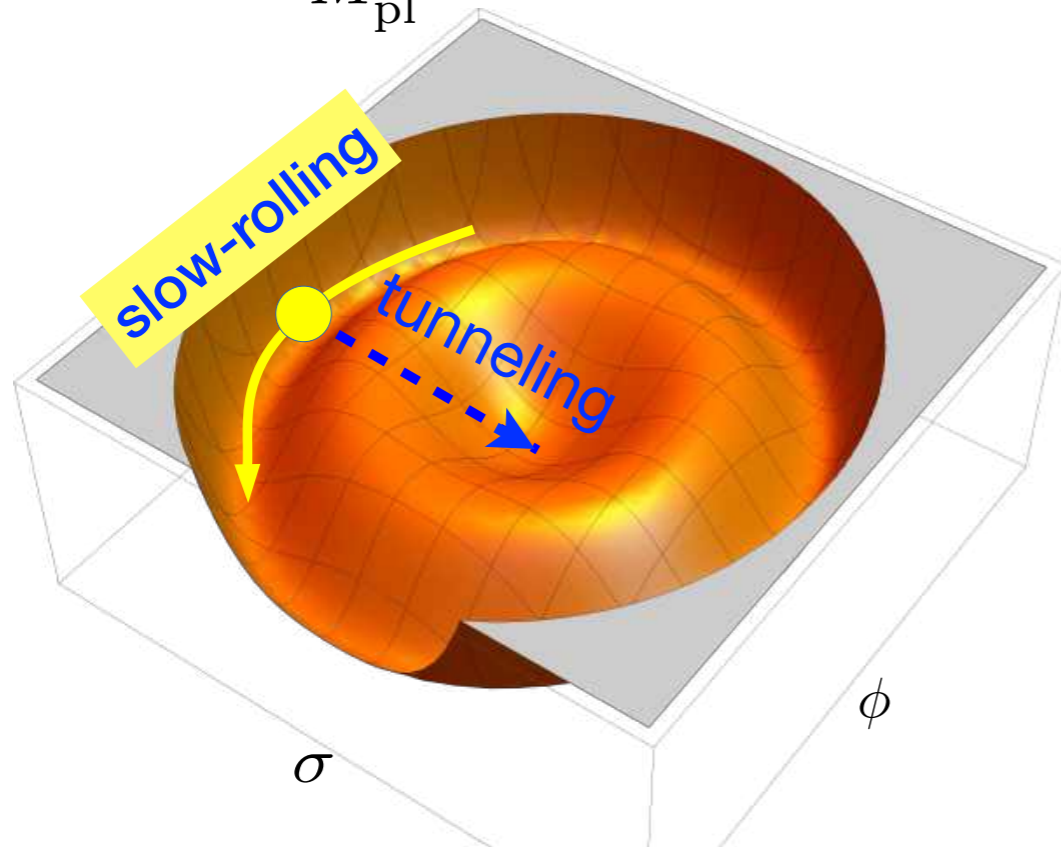
# Model 3: PBH-as-MVP scenario

PBH formation during inflation due to vacuum tunneling  
(not from curvature perturbation)

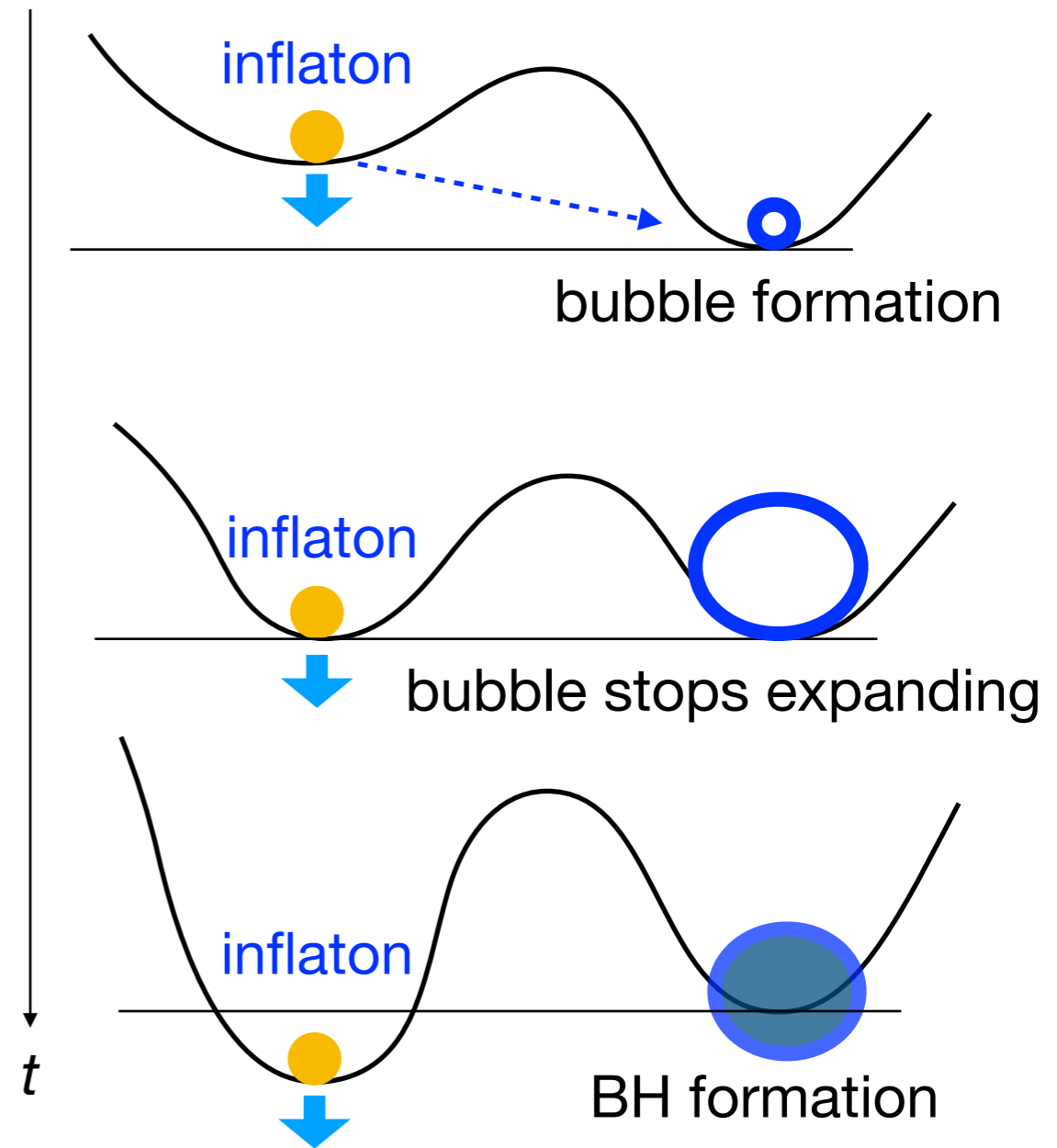
Garriga, Vilenkin & Zhang '15, Deng & Vilenkin '17,...

example:

$$V(\phi, \sigma) = m^2(\phi^2 + \sigma^2) - a(\phi^2 + \sigma^2)^2 + \frac{c}{M_{\text{pl}}^2}(\phi^2 + \sigma^2)^3 + gM_{\text{pl}}^4 \sin\left(\frac{\phi}{fM_{\text{pl}}}\right)$$

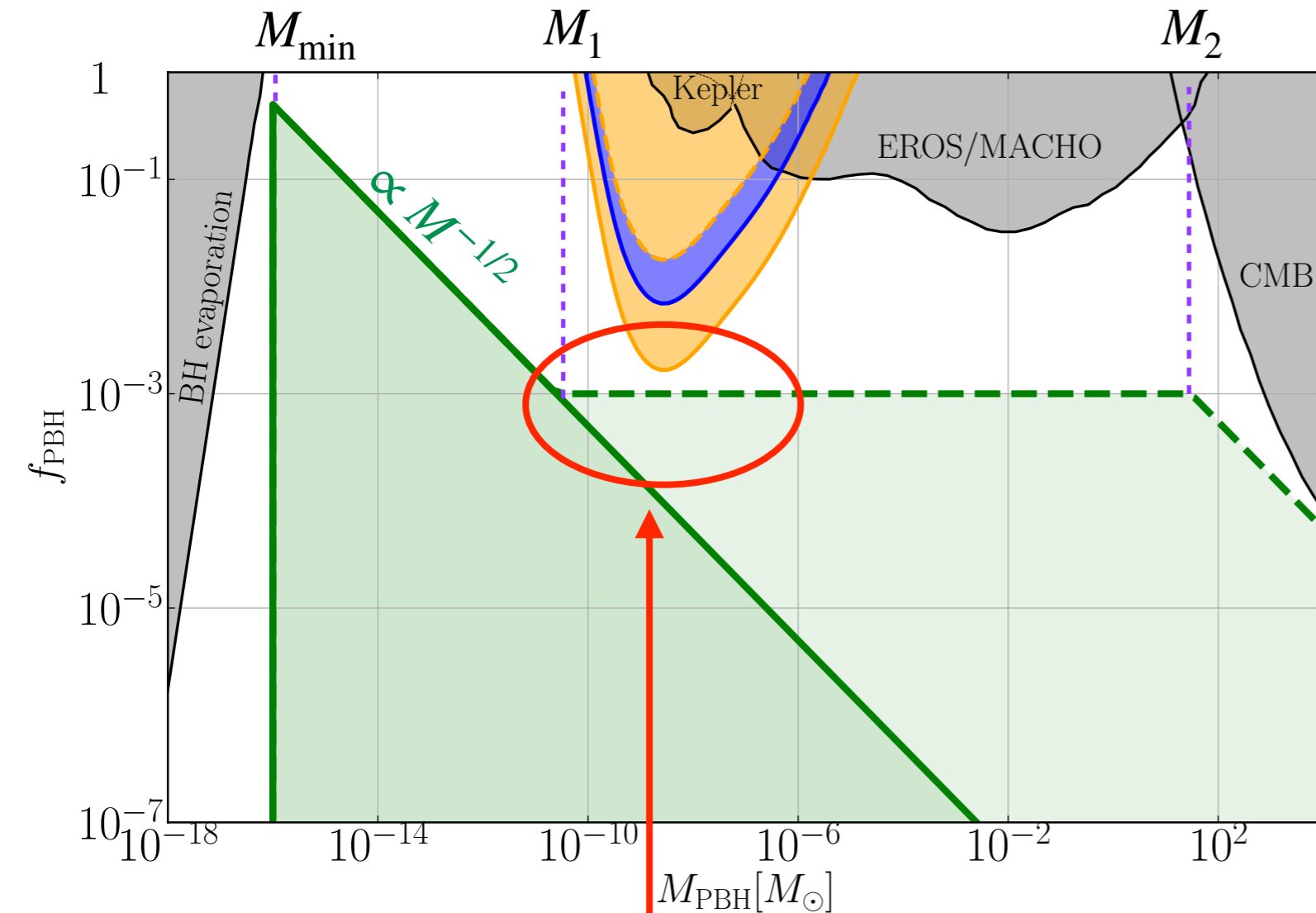


can probe multiverse!



# Mass function in PBH-as-VIP scenario

Kusenko, MS, Sugiyama, Takada, Takhistov & Vitagliano '20



may be tested by Subaru HSC

Subaru accepted our proposal!

4 night obs just done!

- for scale  $M$  re-entering horizon during radiation-dom stage

$$f(M) = \lambda \left( \frac{M}{M_{\min}} \right)^{-1/2} \quad : M_{\min} < M$$

$M \simeq M_{\min}$  ... CDM

- if there is an intermediate matter-dom stage

$$f(M) = \lambda \left( \frac{M_1}{M_{\min}} \right)^{-1/2} \quad : M_1 < M < M_2$$

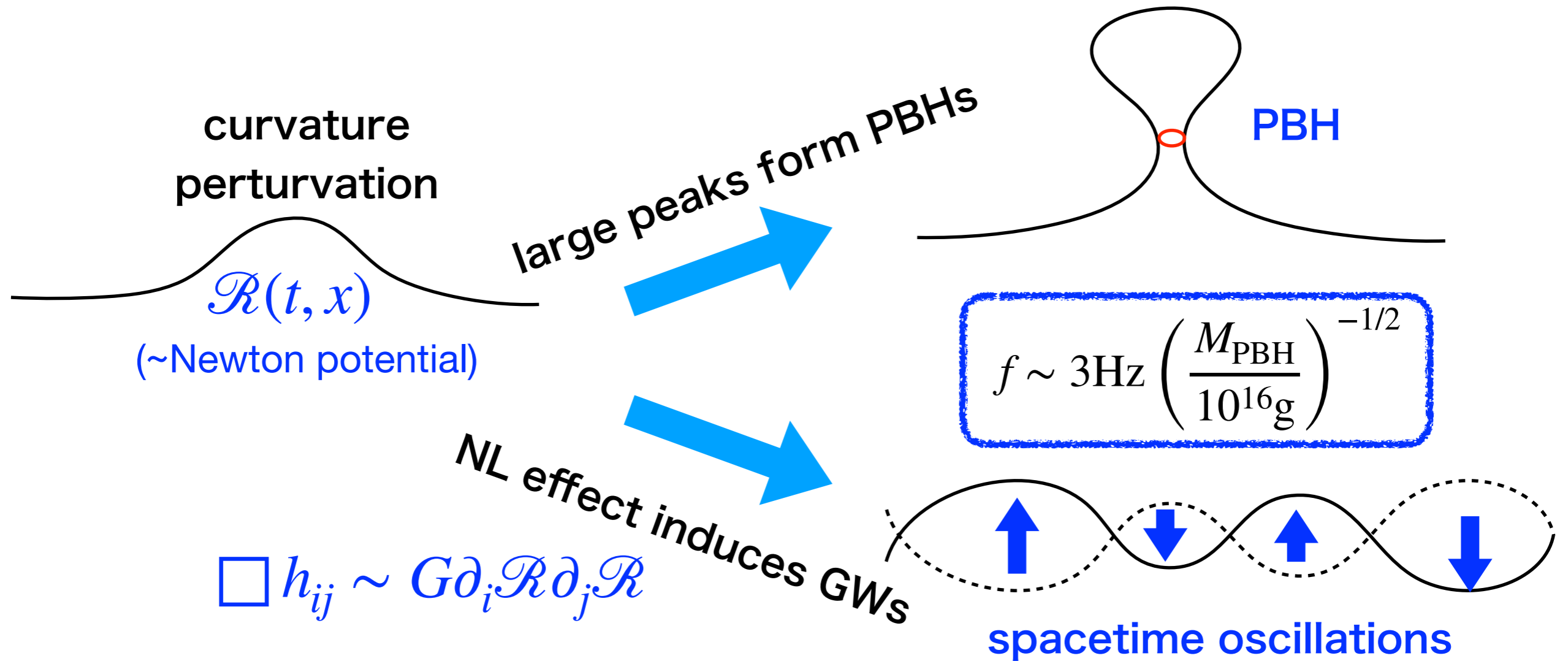
$M \simeq M_2$  ... LIGO BHs

$$f(M) = \lambda \left( \frac{M_2}{M_1} \right)^{1/2} \left( \frac{M}{M_{\min}} \right)^{-1/2} \quad : M_2 < M$$

$M \gg M_2$  ... SMBHs

# GWs from Large Curvature Perturbation

# GWs can capture PBHs!



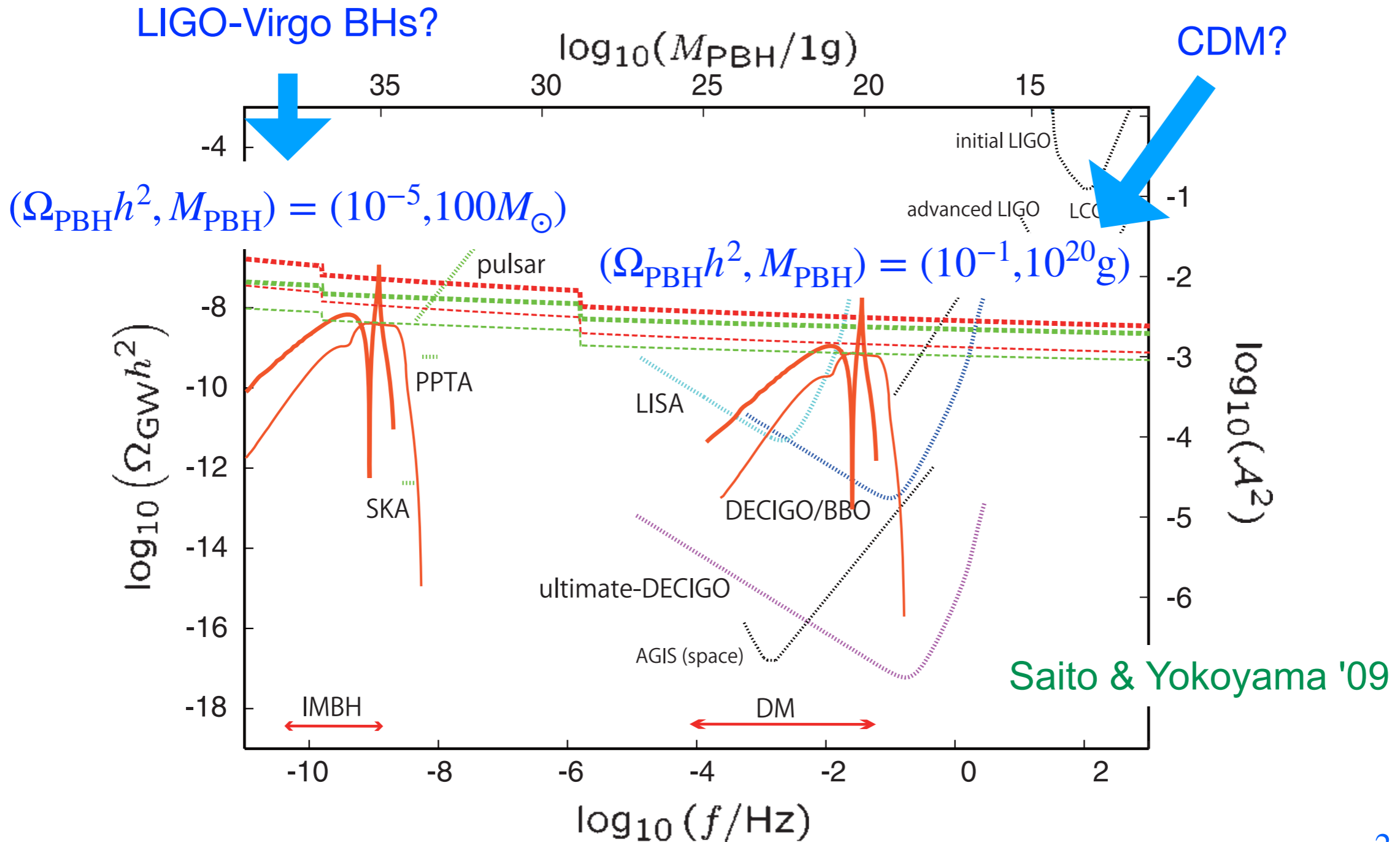
PBHs = CDM with  $M_{\text{PBH}} \sim 10^{21}\text{g}$   
generates GWs with  $f \sim 10^{-3}\text{Hz}$

Background GWs  
at LISA band!

cf.  $f \sim 10^{-9}\text{Hz}$  for  $M_{\text{PBH}} \sim 1-10 M_{\odot}$   
~ Pulsar Timing Array band

LIGO-Virgo(-KAGRA): 10-1000 Hz  
too high...

# Gaussian Case



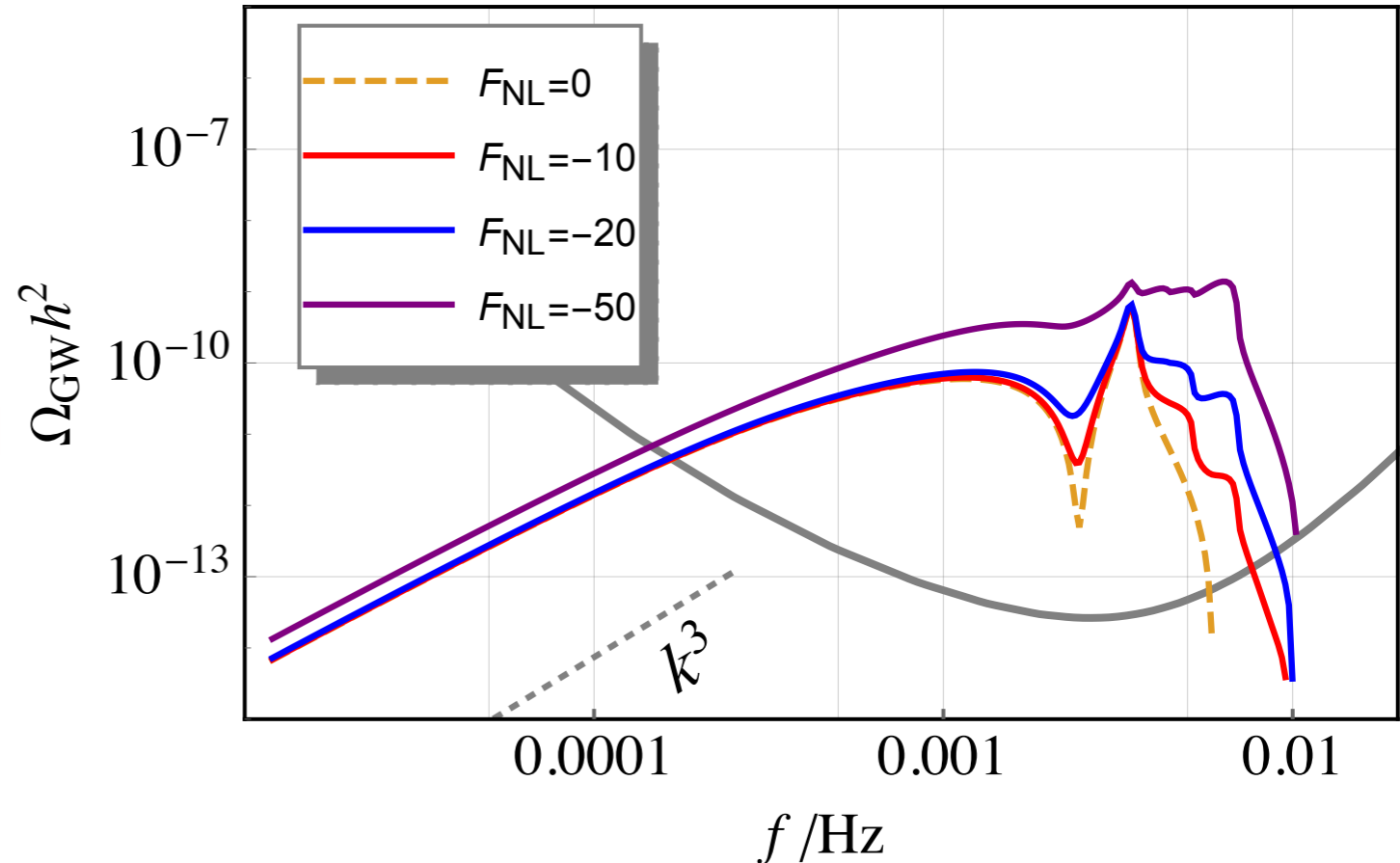
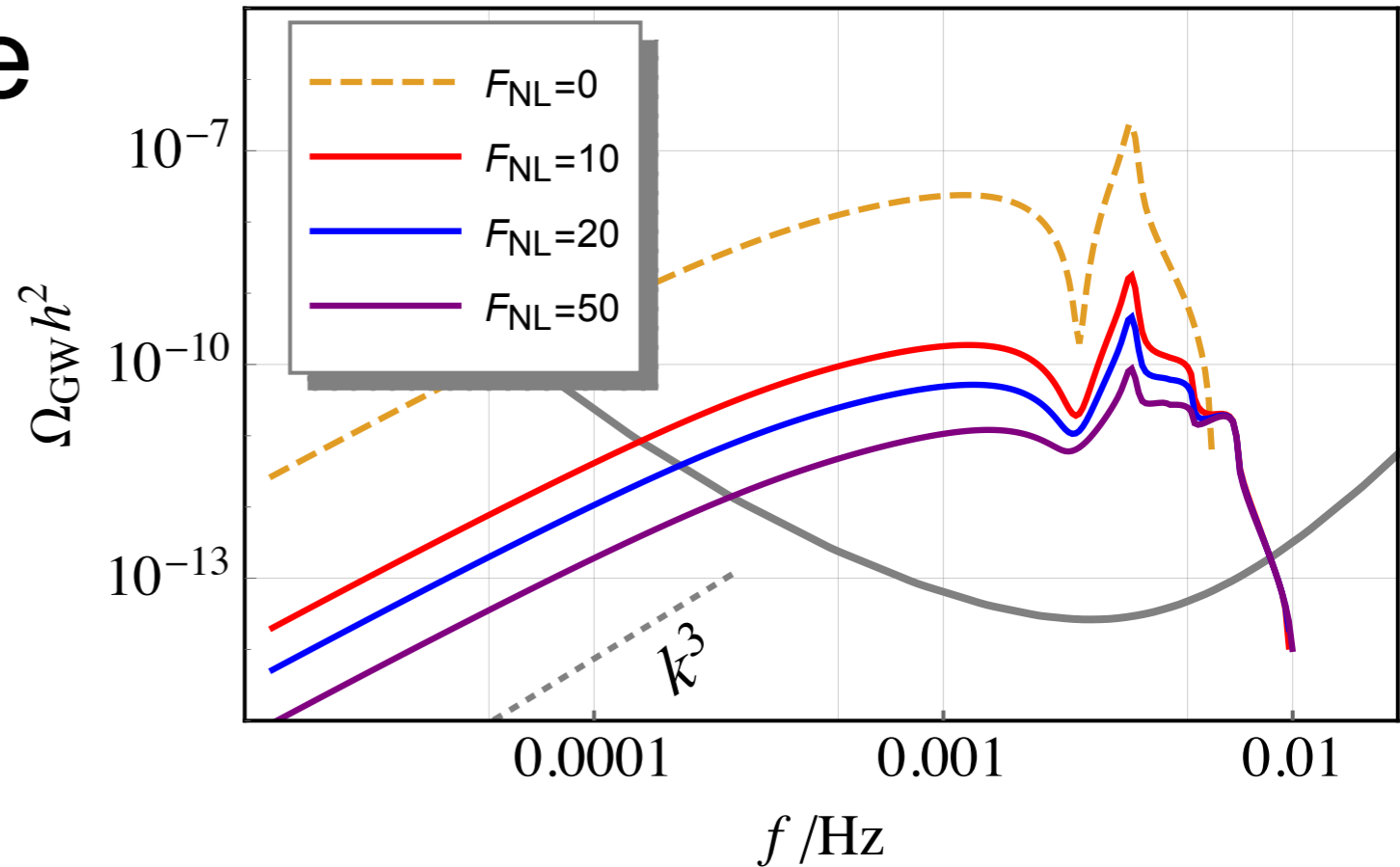
$$M_{\text{PBH}} \sim 0.1 M_{\odot} \left( \frac{1 \text{GeV}}{T} \right)^2 \sim 10 M_{\odot} \left( \frac{1 \text{pc}^{-1}}{k} \right)^2$$



# Non-Gaussian Case

$$\mathcal{R}(\mathbf{x}) = \mathcal{R}_g(\mathbf{x}) + F_{\text{NL}} \left[ \mathcal{R}_g^2(\mathbf{x}) - \langle \mathcal{R}_g^2(\mathbf{x}) \rangle \right].$$

- Up:  $F_{\text{NL}} > 0$ , and we fix the PBH abundance to be 1.
- Down:  $F_{\text{NL}} < 0$ , and we fix the peak amplitude to be  $\mathcal{A}_{\mathcal{R}} = 10^{-2}$
- Frequency: PBH window  $\leftrightarrow$  LISA band
- GWs will be detected if BHs=CDM
- Conversely, if LISA doesn't see GWs, PBHs $\neq$ CDM

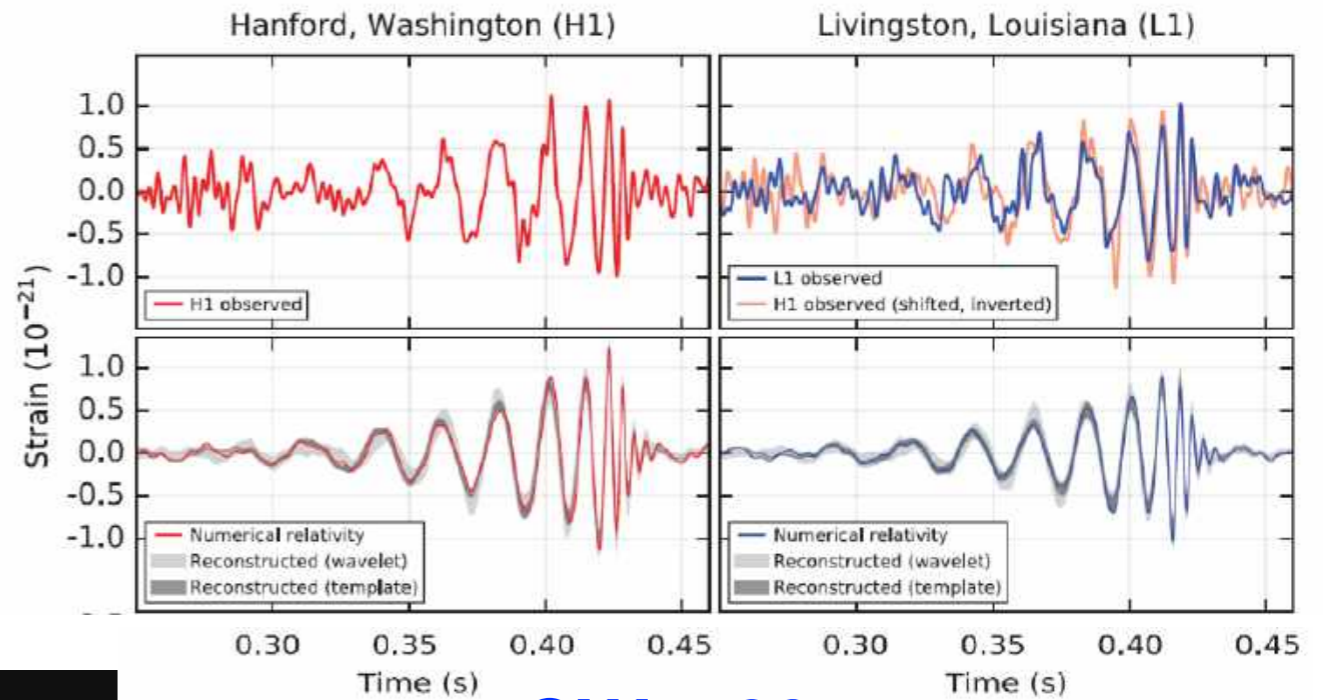


# GWs from Binary PBHs

# LIGO-Virgo (LV) BBHs

- LIGO discovered GWs from **Binary BHs** !
- Mass was large:  $M_{\text{PBH}} \sim 30M_{\odot}$

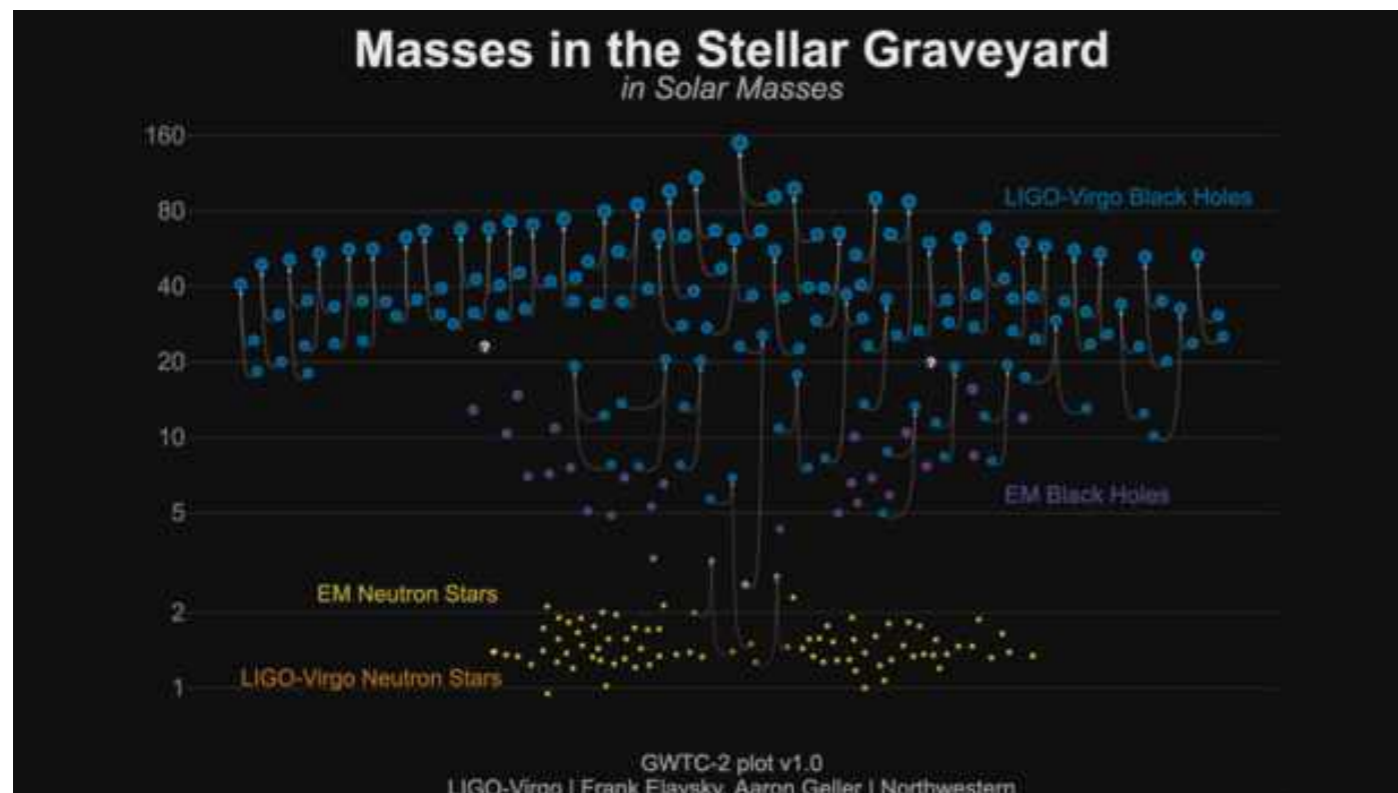
- **~ 50** BBH mergers up to now!  
LIGO-Virgo O1+O2+O3a



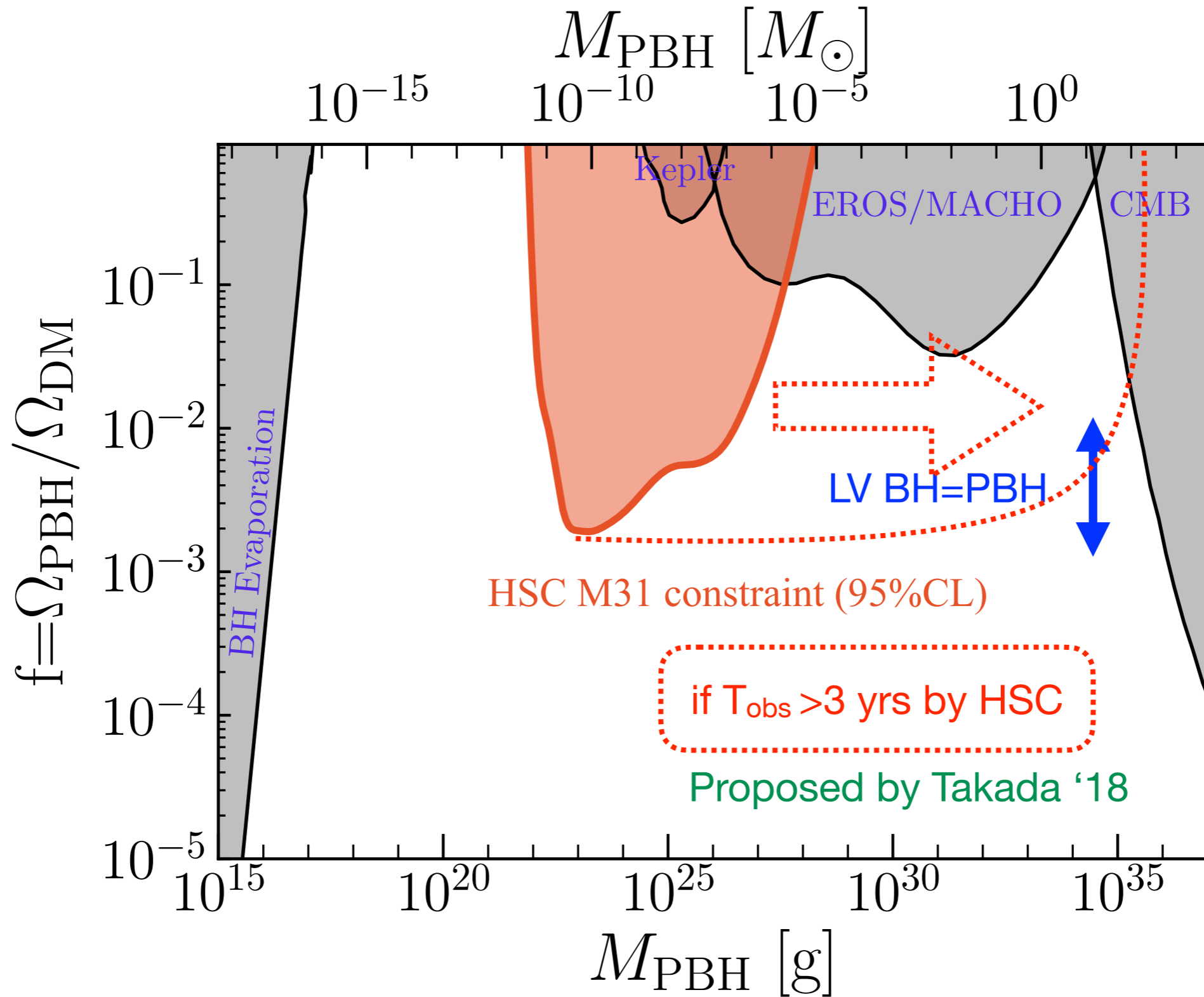
**GW150914**

~ consistent with **spin=0**

→ PBHs with  $f_{\text{PBH}} \sim 10^{-2} - 10^{-3}$   
MS, Suyama, Tanaka & Yokoyama, '16



# Testing LV BH=PBH scenario



# Testing LV BH=PBH scenario (cont.)

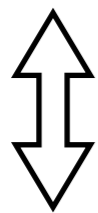
“Hidden Universality in ...”

Kocsis et al. ‘17

BBH merger rate:  $\mathcal{R}$

$$\alpha \equiv - (m_1 + m_2)^2 \times \frac{\partial^2}{\partial m_1 \partial m_2} \mathcal{R}(m_1, m_2, t)$$

$0.97 \lesssim \alpha \lesssim 1.05$  for PBHs

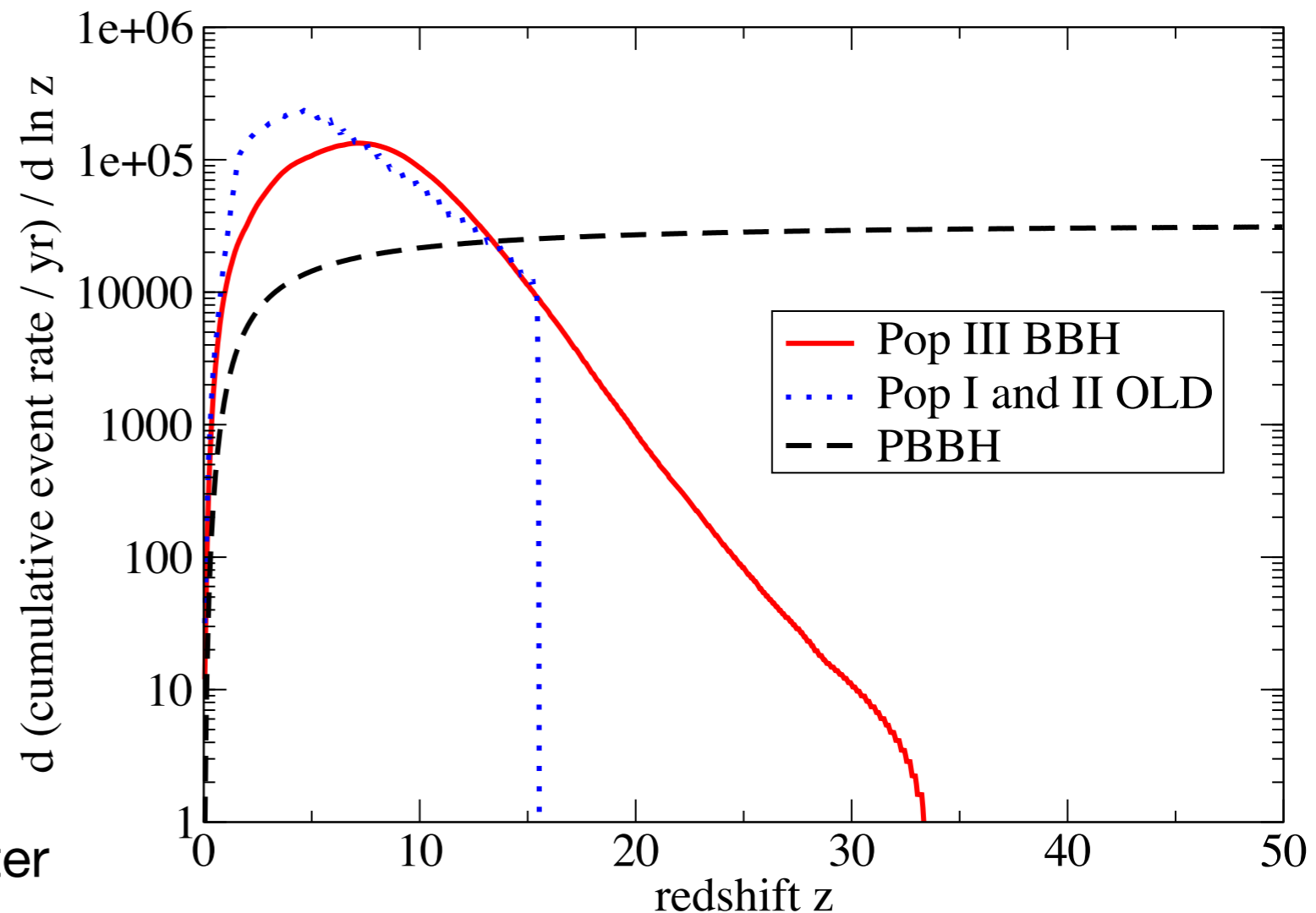


$\alpha \sim 4$  for BBH in dense stellar cluster

$\alpha \sim 1.4$  for BBH from close encounters

“Pre-DECIGO can get the smoking gun ...”

Nakamura et al. ‘15

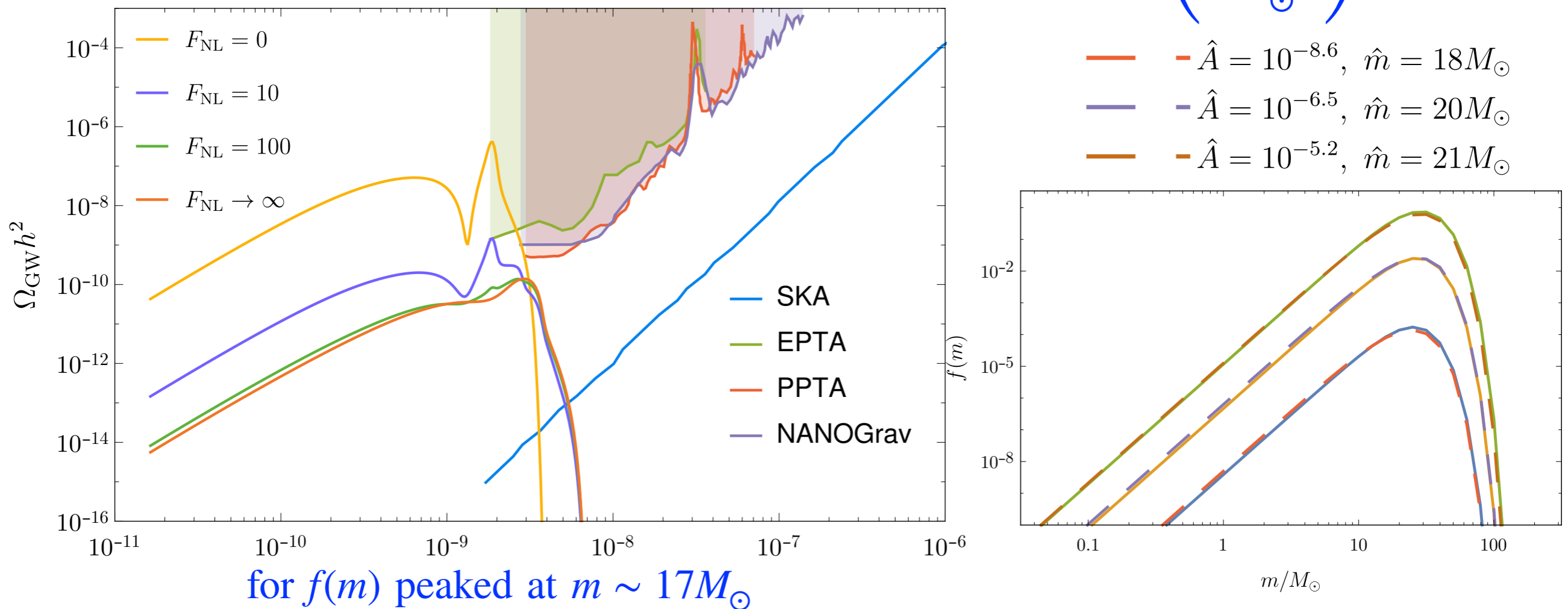


# Testing LV BH=PBH scenario (cont. 2)

“Pulsar Timing Array Constraints on ...”

Cai, Pi, Wang & Yang '19

$$f_{\text{peak}} \sim 6.7 \times 10^{-9} \left( \frac{M_{\text{PBH}}}{M_{\odot}} \right)^{-1/2} \text{ Hz}$$



Gaussian case seems on the verge of exclusion/or detection!

➡ lots of speculations after recent NANOGrav 12.5 years result...

NANOGrav collaboration '20

# Summary

- 2-field inflation models can produce abundant PBHs as well as GWs.
- If PBHs = CDM,  $M_{\text{PBH}} \sim 10^{19-22} \text{g}$ , induced GWs must be detectable by LISA, indep of non-Gaussianity.
- Conversely if LISA doesn't detect the induced GWs, it constrains the PBH abundances of  $M_{\text{PBH}} \sim 10^{19-22} \text{g}$ , where no other experiment can explore.
- If resonant amplification occurs, GWs generated during inflation can dominate GW background: PBHs and GWs give complimentary info of the 2-fields.
- LV BHs = PBHs scenario with  $M_{\text{PBH}} > \sim 20 M_{\text{solar}}$ , is on the verge of exclusion/detection by HSC & PTA.
- PBHs from vacuum tunneling during inflation may explain everything!