

From Neutrino Masses to Baryogenesis

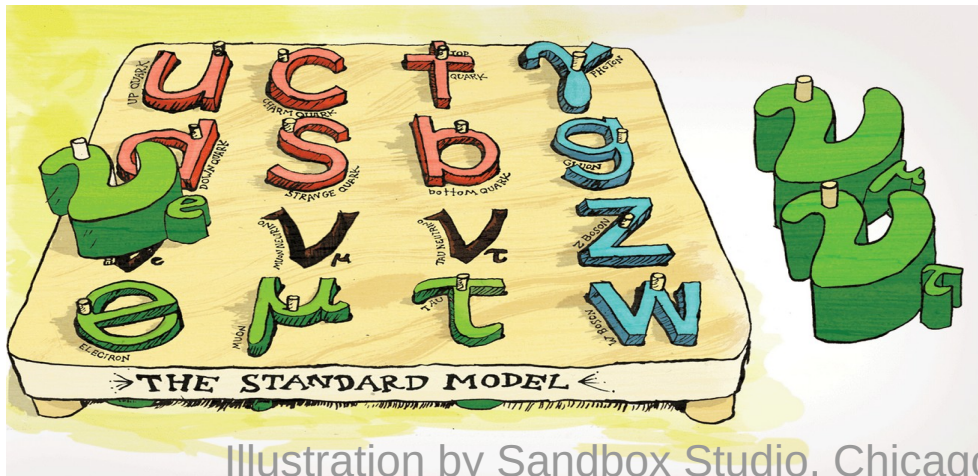


Illustration by Sandbox Studio, Chicago



Julia Harz

December 4th 2020
Gordon Godfrey Workshop



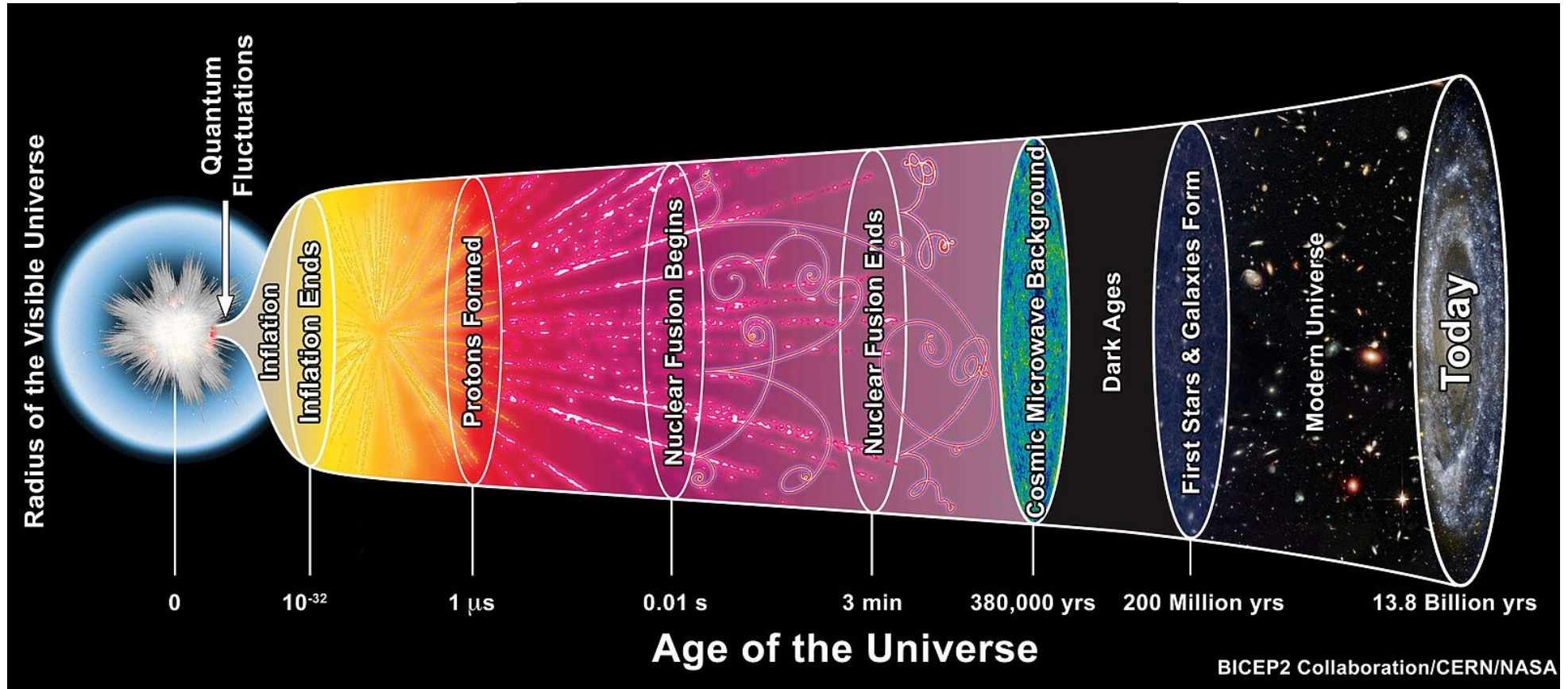
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From the Big Bang to Today...



How big is the baryon asymmetry?

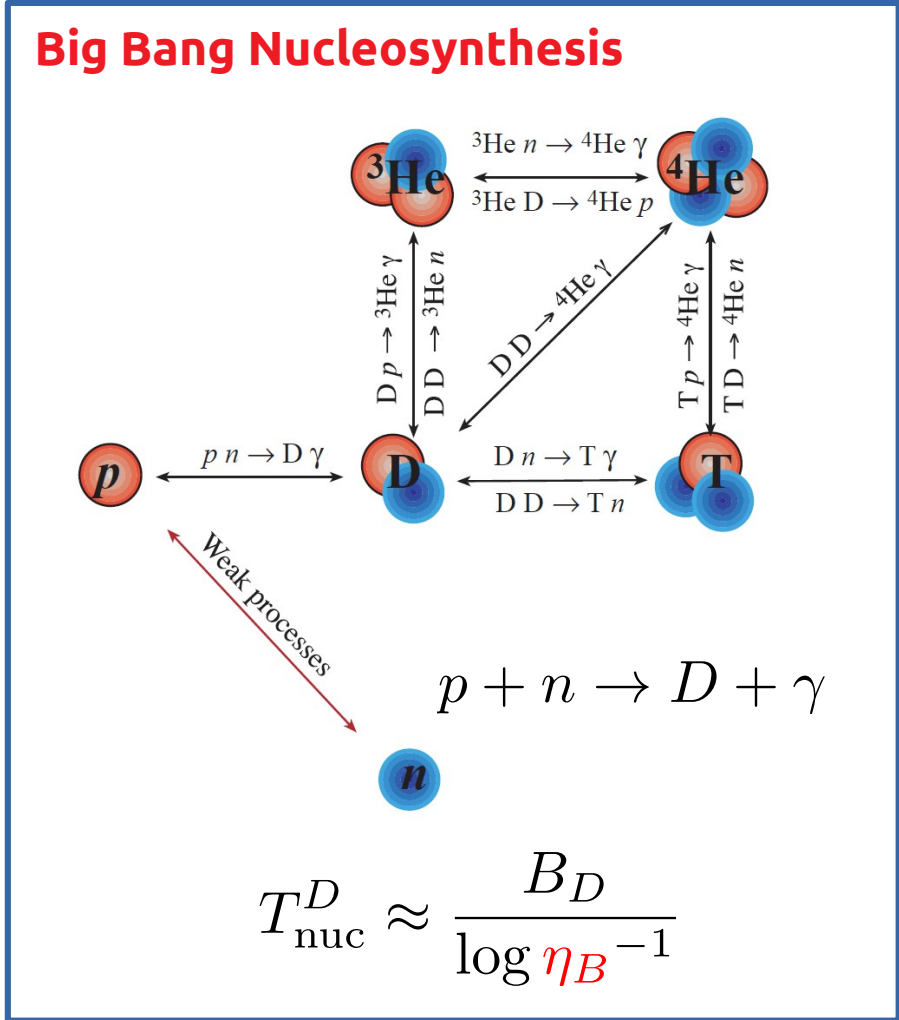
Our Universe consists mainly out of baryonic matter, quantified by the baryon-to-photon ratio:

$$\eta_B = \frac{n_B}{n_\gamma} = \frac{n_b - n_{\bar{b}}}{n_\gamma}$$



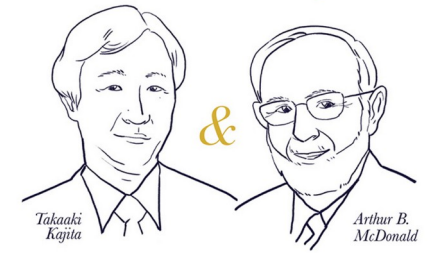
$$\eta_B^{\text{obs}} = (6.09 \pm 0.06) \times 10^{-10}$$

What created the asymmetry?

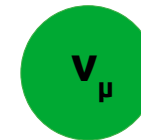
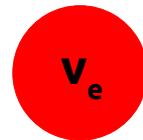
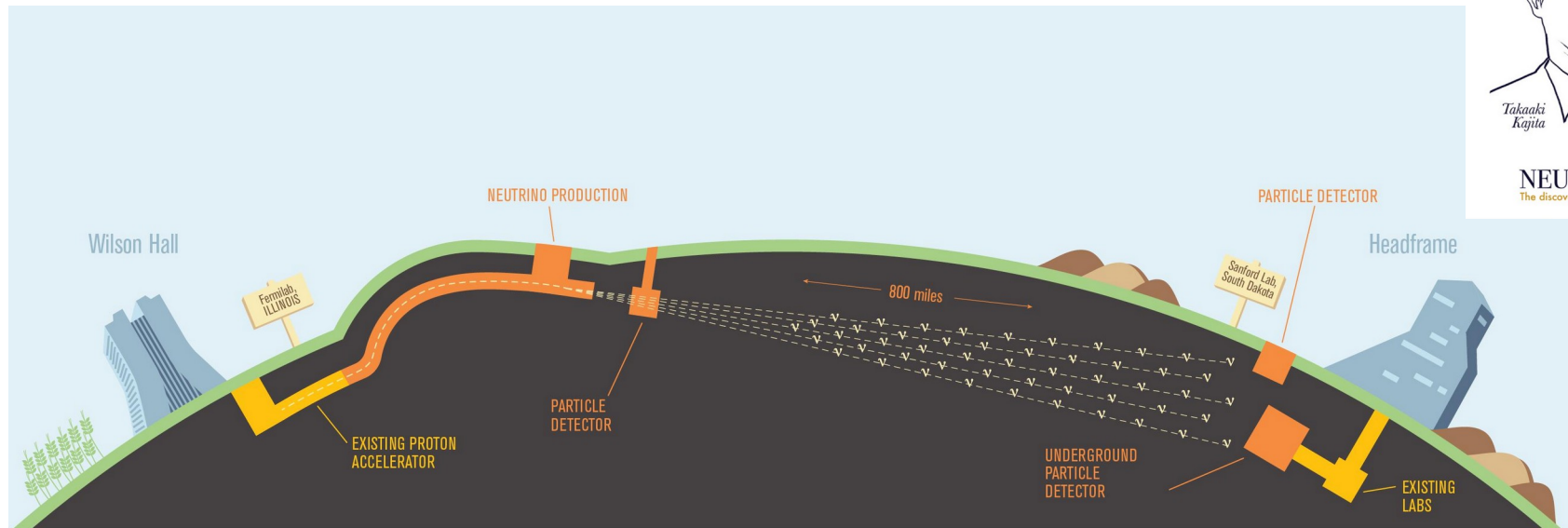


What is the nature of neutrinos?

2015 NOBEL PRIZE
in Physics



NEUTRINO OSCILLATIONS
The discovery of these oscillations shows that neutrinos have mass.



$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

Neutrino **oscillations** require **massive** neutrinos, forbidden in the Standard Model.

How do neutrinos get their masses?

What nature do neutrinos have? Are they their own anti-particles?

Outline

Neutrinos & Lepton-number violating interactions

- Neutrinos as a window to new physics
- Why lepton-number violation?
- Lepton-number violating operators
- Testability

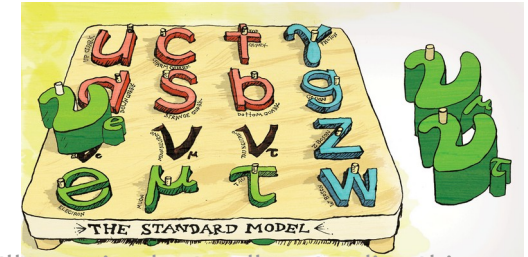
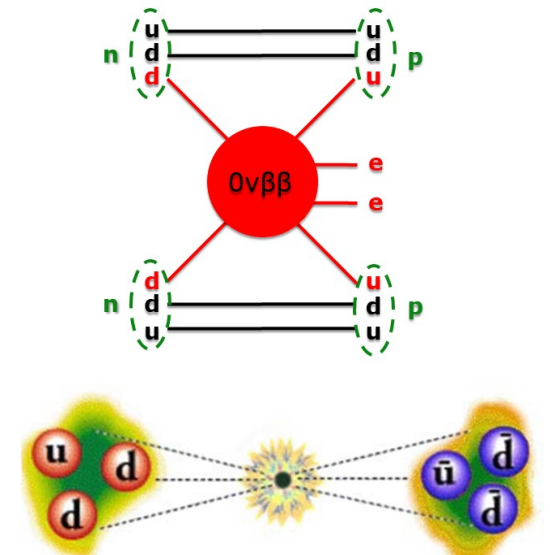


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Leptogenesis & Baryogenesis

- Difficulties to probe high-scale leptogenesis
- Falsifying high-scale leptogenesis
- Low-scale leptogenesis
- High-scale baryogenesis
- Low-scale baryogenesis
- Alternative tests
- Possible links to dark matter



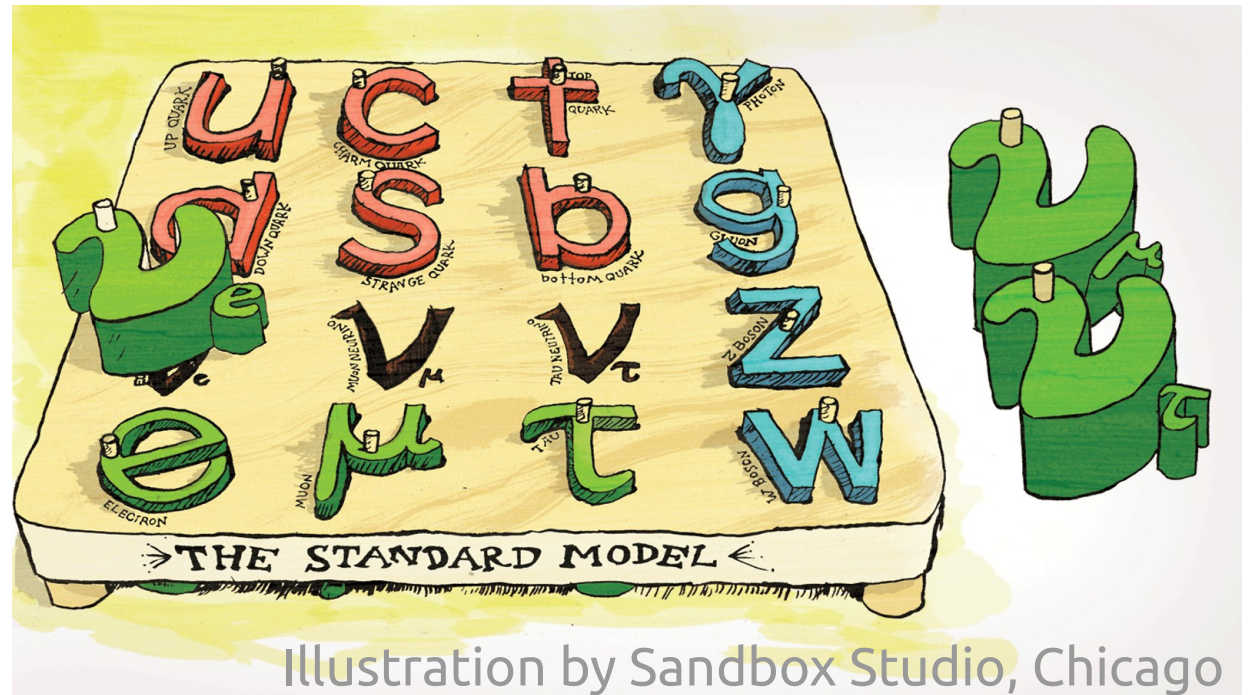


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“Neutrinos, the Standard Model misfits”

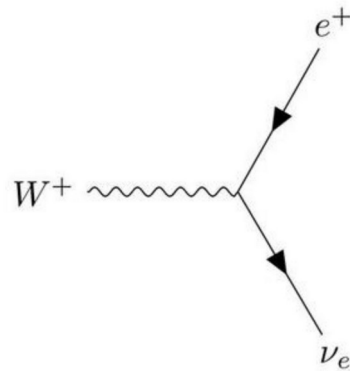
Neutrinos.

Neutrinos – what do we know?

- Standard Model (SM) contains **3 active neutrinos**

- $SU(2)_L$ **doublets**

$$L_i \rightarrow \begin{pmatrix} \nu_i \\ l_i \end{pmatrix}$$



	I	II	III	Bosons (Forces) spin 1		Higgs boson
mass →	2.4 MeV	1.27 GeV	171.2 GeV	0	0	~126 GeV
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0	0
name →	Left u Right up	Left c Right charm	Left t Right top	g gluon	γ photon	H Higgs boson
Quarks	Left d Right down	Left s Right strange	Left b Right bottom	Z weak force	W[±] weak force	spin 0
	Left ν_e Right electron neutrino	Left ν_μ Right muon neutrino	Left ν_τ Right tau neutrino			
Leptons	Left e Right electron	Left μ Right muon	Left τ Right tau			
	0 eV	0 eV	0 eV	91.2 GeV	80.4 GeV	
	-1	-1	-1	±1		

- Within the SM neutrinos are **massless**

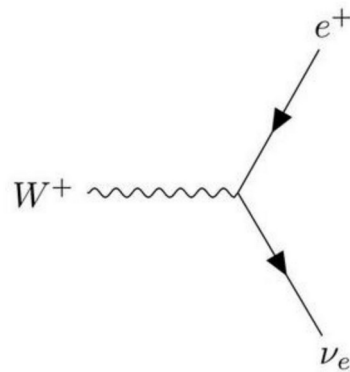
$$m_\nu = 0$$

Neutrinos – what do we know?

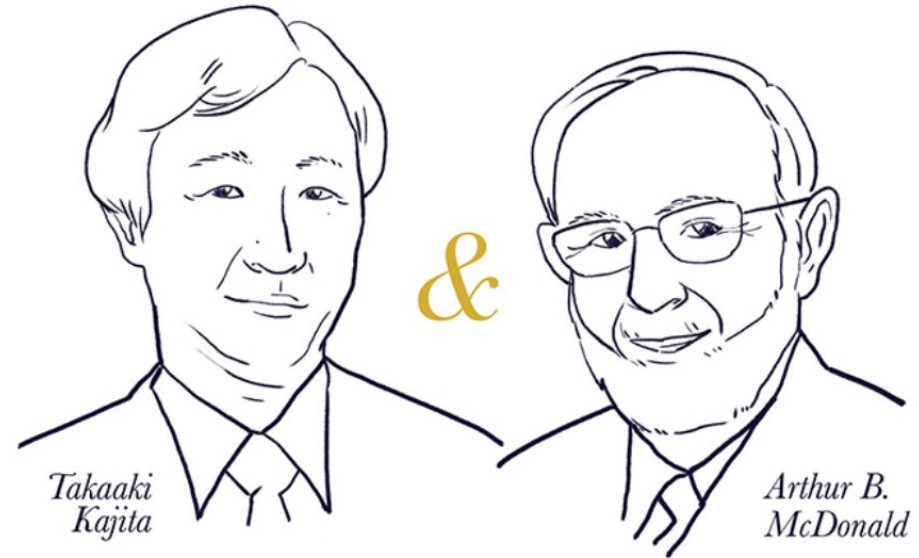
- Standard Model (SM) contains **3 active neutrinos**

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2015 NOBEL PRIZE
in Physics



NEUTRINO OSCILLATIONS
The discovery of these oscillations shows that neutrinos have mass.

- Within the SM neutrinos are ~~massless~~

$$m_\nu \neq 0$$

How do neutrinos get their mass?

- Masses of the active neutrinos cannot be explained within the SM
- **BUT** right-handed neutrinos could help

Dirac mass

$$y_\nu L \epsilon H \nu_R^c \supset m_D \nu_L \nu_R^c$$

$\begin{matrix} 1/2 \\ -1/2 \end{matrix}$
 $\begin{matrix} 0 \\ 0 \end{matrix}$

	I	II	III	
mass	2.4 MeV	1.27 GeV	171.2 GeV	0
charge	2/3	2/3	2/3	0
name	u up	c charm	t top	g gluon
Quarks	d down	s strange	b bottom	γ photon
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	Z weak force
Leptons	e electron	μ muon	τ tau	W weak force
				H Higgs boson
				spin 0

hypercharge

Majorana mass

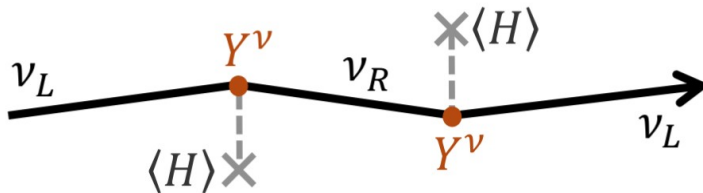
$$m_M \bar{\nu}_R \nu_R^c$$

$\begin{matrix} 0 \\ 0 \end{matrix}$
 $\begin{matrix} 0 \\ 0 \end{matrix}$

- tiny Yukawa couplings

$$m_\nu / \Lambda_{EW} \leq 10^{-12}$$

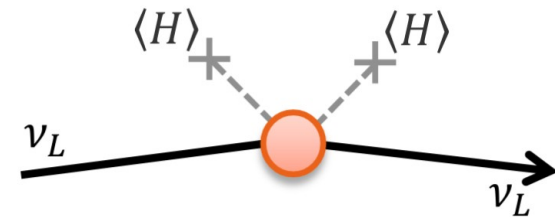
- Lepton number no accidental symmetry anymore



$$m_M \bar{\nu}_L \nu_L^c \quad \begin{matrix} -1/2 & 1/2 \\ LLHH \\ 1/2 & 1/2 \end{matrix}$$

not at tree-level within the SM possible

- higher dimensional operator
- **Lepton number violation (LNV)**



How do neutrinos get their mass?

Dirac

Majorana

$$\mathcal{L} \supset M_D^{ij} \nu_{L_i} \nu_{R_j}^c + M_M^{ij} \bar{\nu}_{R_i} \nu_{R_j}^c$$

$$\mathcal{L} \supset M^{ij} \nu \bar{\nu} \quad M = \begin{pmatrix} 0 & M_D \\ M_D^T & M_M \end{pmatrix}$$

Diagonalisation:

$$m_\nu = \frac{M_D^2}{M_M}$$

$$\nu \approx \nu_L - \frac{M_D}{M_M} \nu_R^c$$

$$m_N = M_M$$

$$N \approx \frac{M_D}{M_M} \nu_L + \nu_R^c$$



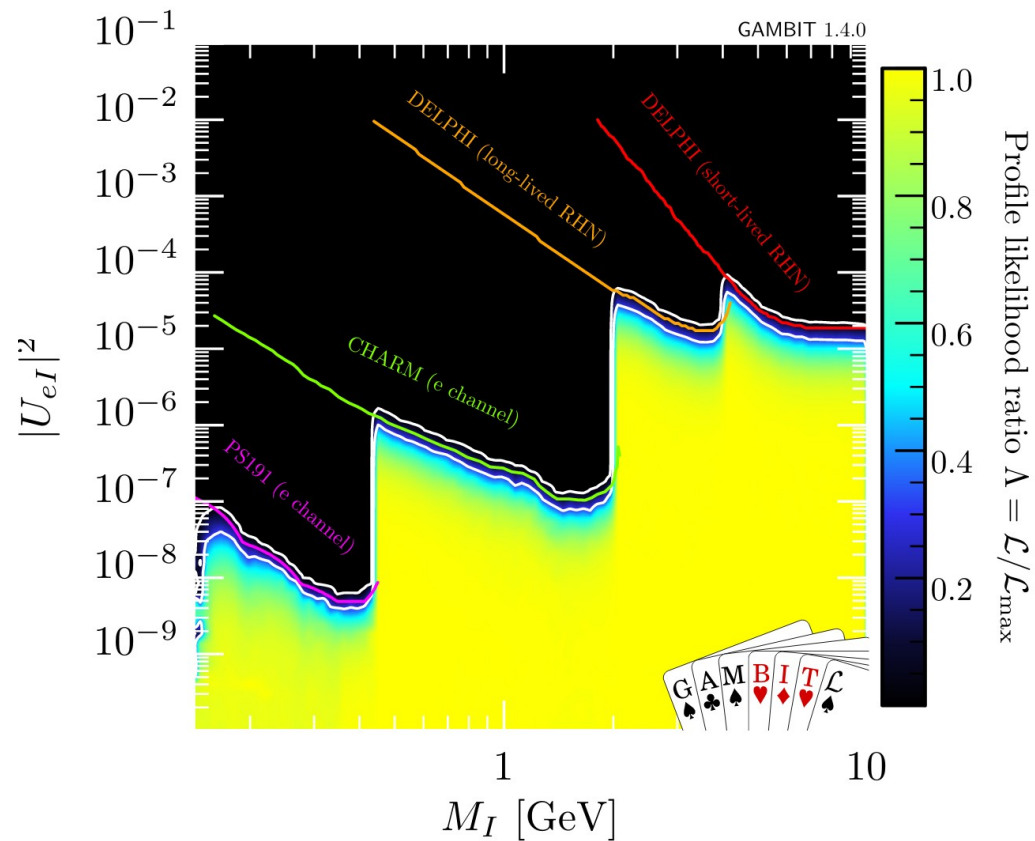
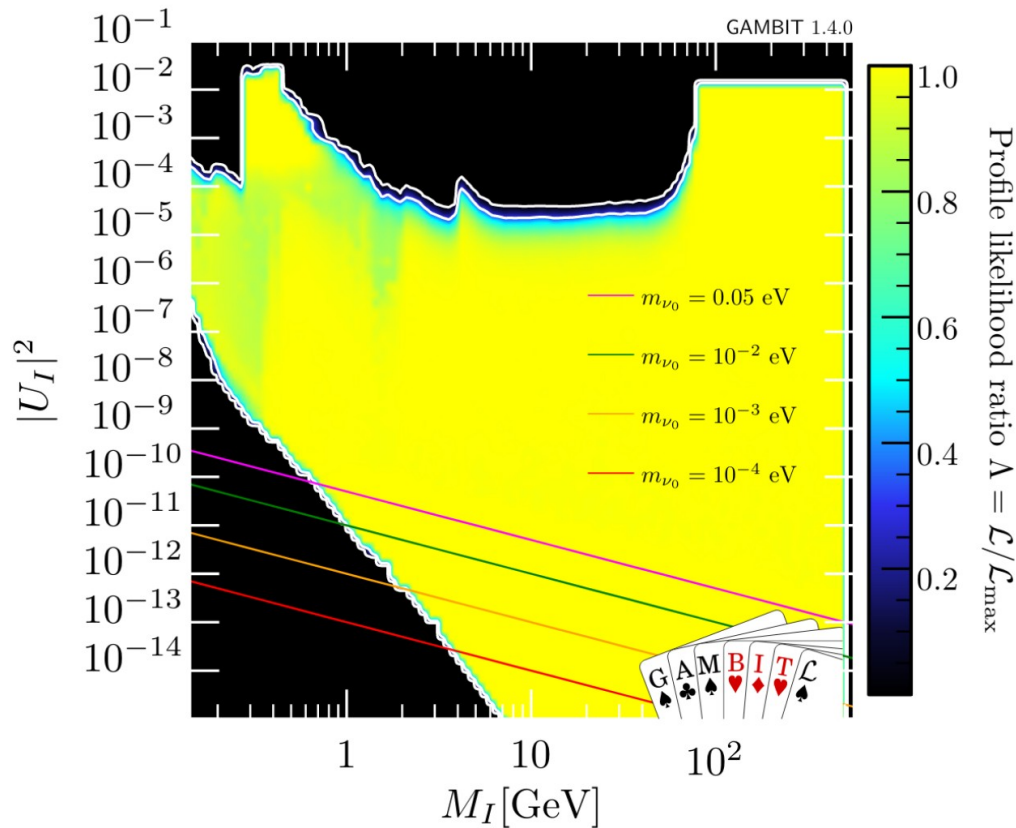
+ Sterile Neutrinos

mass →	2.4 MeV	1.27 GeV	171.2 GeV
charge →	2/3	2/3	2/3
name →	Left u Right up	Left c Right charm	Left t Right top
Quarks	4.8 MeV	104 MeV	4.2 GeV
	-1/3	-1/3	-1/3
	Left d Right down	Left s Right strange	Left b Right bottom
<0.0001 eV ~10 keV	~0.01 eV ~GeV	~0.04 eV ~GeV	
0	0	0	
Left ν_e Right electron neutrino	Left ν_μ Right muon neutrino	Left ν_τ Right tau neutrino	
sterile neutrino	sterile neutrino	sterile neutrino	
Leptons	0.511 MeV	105.7 MeV	1.777 GeV
	-1	-1	-1
	Left e Right electron	Left μ Right muon	Left τ Right tau

Where are the right-handed neutrinos (not)?

GAMBIT: NeutrinoBit

M. Chrzaszcz, M. Drewes, T. Gonzalo, JH, S. Krishnamurthy, C. Weniger (2019)



Most comprehensive analysis of see-saw I model with three right-handed neutrinos below the TeV scale

Lepton-Number Violation

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda_1} \mathcal{O}_1^{(5)}$$

$$\mathcal{O}_1^{(5)} = L^\alpha L^\beta H^\rho H^\sigma \epsilon_{\alpha\rho} \epsilon_{\beta\sigma}$$

3/2 3/2 1 1

mass dimension

Lepton-Number Violation

- LNV occurs only at odd mass dimension:

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda_1} \mathcal{O}_1^{(5)} + \sum_i \frac{1}{\Lambda_i^3} \mathcal{O}_i^{(7)} + \sum_i \frac{1}{\Lambda_i^5} \mathcal{O}_i^{(9)} + \dots$$

$$\mathcal{O}_1^{(5)} = L^\alpha L^\beta H^\rho H^\sigma \epsilon_{\alpha\rho} \epsilon_{\beta\sigma}$$

3/2 3/2 1 1

mass dimension

$$\mathcal{O}_{14b}^{(9)} = L^\alpha L^\beta \bar{Q}_\alpha \bar{u}^c Q^\rho d^c \epsilon_{\beta\rho}$$

$$\mathcal{O}_{16}^{(9)} = L^\alpha L^\beta e^c d^c \bar{e}^c \bar{u}^c \epsilon_{\alpha\beta}$$

$$\mathcal{O}_{3a}^{(7)} = L^\alpha L^\beta Q^\rho d^c H^\sigma \epsilon_{\alpha\beta} \epsilon_{\rho\sigma}$$

$$\mathcal{O}_{3b}^{(7)} = L^\alpha L^\beta Q^\rho d^c H^\sigma \epsilon_{\alpha\rho} \epsilon_{\beta\sigma}$$

$$\mathcal{O}_8^{(7)} = L^\alpha \bar{e}^c \bar{u}^c d^c H^\beta \epsilon_{\alpha\beta}$$

Babu, Leung (2001), de Gouvea, Jenkins (2007), Deppisch, Graf, JH, Huang (2017)

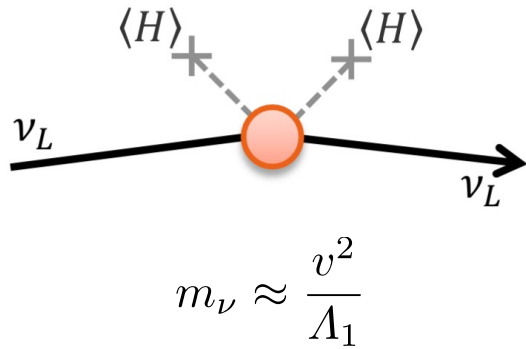
Neutrino mass generation

- LNV occurs only at odd mass dimension:

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda_1} \mathcal{O}_1^{(5)} + \sum_i \frac{1}{\Lambda_i^3} \mathcal{O}_i^{(7)} + \sum_i \frac{1}{\Lambda_i^5} \mathcal{O}_i^{(9)} + \dots$$

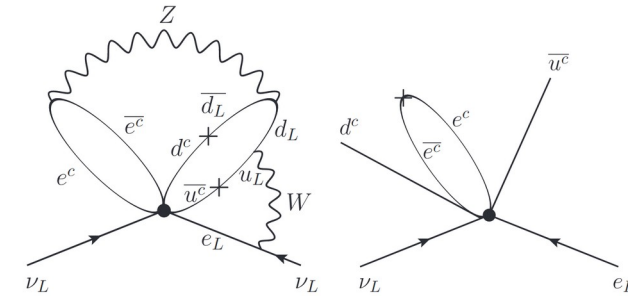
$$\mathcal{O}_1^{(5)} = L^\alpha L^\beta H^\rho H^\sigma \epsilon_{\alpha\rho} \epsilon_{\beta\sigma}$$

$$\mathcal{O}_{16}^{(9)} = L^\alpha L^\beta e^c d^c \bar{e}^c \bar{u}^c \epsilon_{\alpha\beta}$$



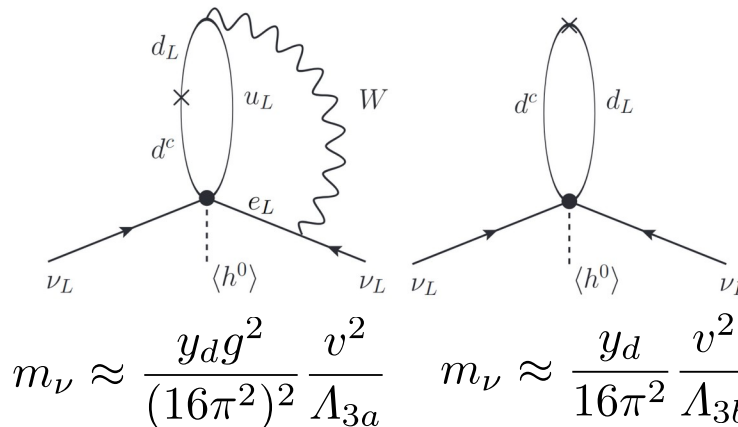
$$\mathcal{O}_{3a}^{(7)} = L^\alpha L^\beta Q^\rho d^c H^\sigma \epsilon_{\alpha\beta} \epsilon_{\rho\sigma}$$

$$\mathcal{O}_{3b}^{(7)} = L^\alpha L^\beta Q^\rho d^c H^\sigma \epsilon_{\alpha\rho} \epsilon_{\beta\sigma}$$



$$L^\alpha = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}^\alpha, \quad Q^\alpha = \begin{pmatrix} u_L \\ d_L \end{pmatrix}^\alpha, \quad H = \begin{pmatrix} h^+ \\ h^0 \end{pmatrix}$$

$$e_\alpha^c, \quad u_\alpha^c, \quad d_\alpha^c$$

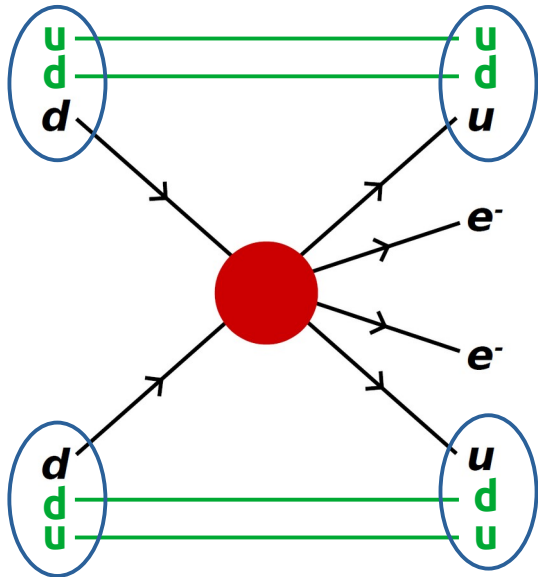


$$m_\nu \approx \frac{y_d y_u g^4}{(16\pi^2)^4} \frac{v^2}{\Lambda_{16}}$$

Deppisch, Graf, JH, Huang (2017)
de Gouvea, Jenkins (2007)

Probing LNV interactions – $0\nu\beta\beta$ decay

Neutrinoless double beta decay



Most stringent limits are currently set by GERDA and Kamland-Zen:

$$T_{1/2}^{\text{Ge}} \geq 0.9 \times 10^{26} \text{ y}$$

$$T_{1/2}^{\text{Xe}} \geq 1.07 \times 10^{26} \text{ y}$$

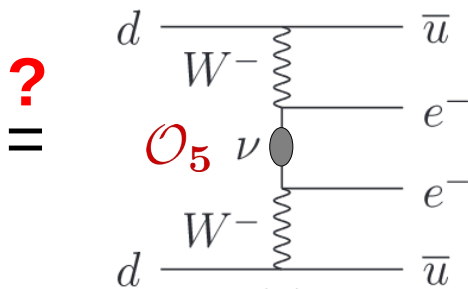
$$T_{1/2}^{-1} = |m_{\beta\beta}|^2 G^{0\nu} |M^{0\nu}|^2$$

particle physics

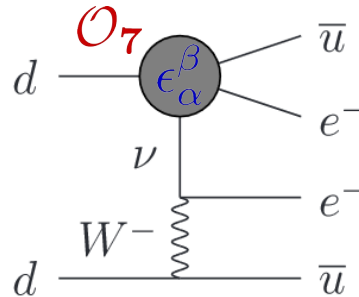
phase space factor

nuclear matrix element

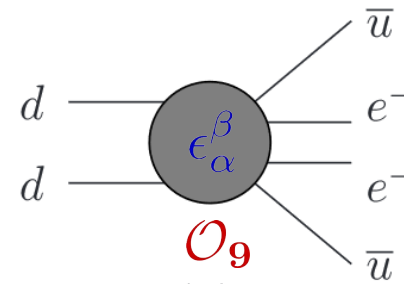
standard mass mechanism



long range contribution

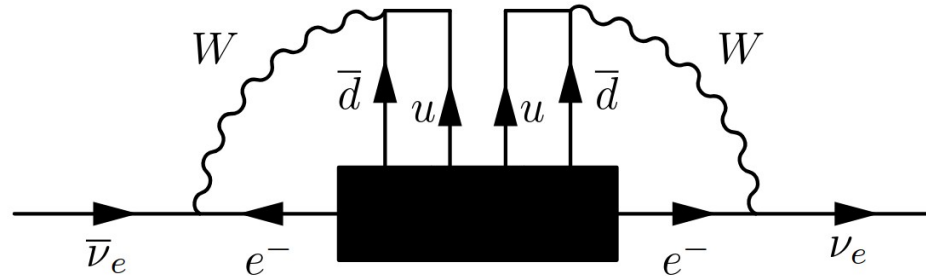


short range contribution



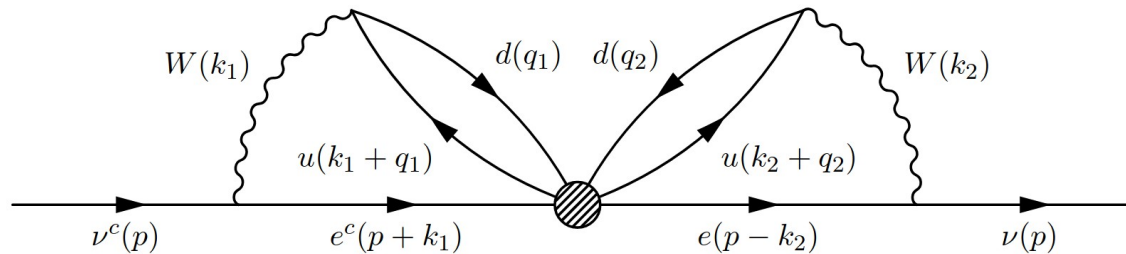
$0\nu\beta\beta$ decay probes only first generation!

Does $0\nu\beta\beta$ decay directly imply Majorana neutrinos?



Schechter, Valle (1982)

Any $\Delta L = 2$ operator that leads to $0\nu\beta\beta$ will induce a **Majorana mass contribution** via loop



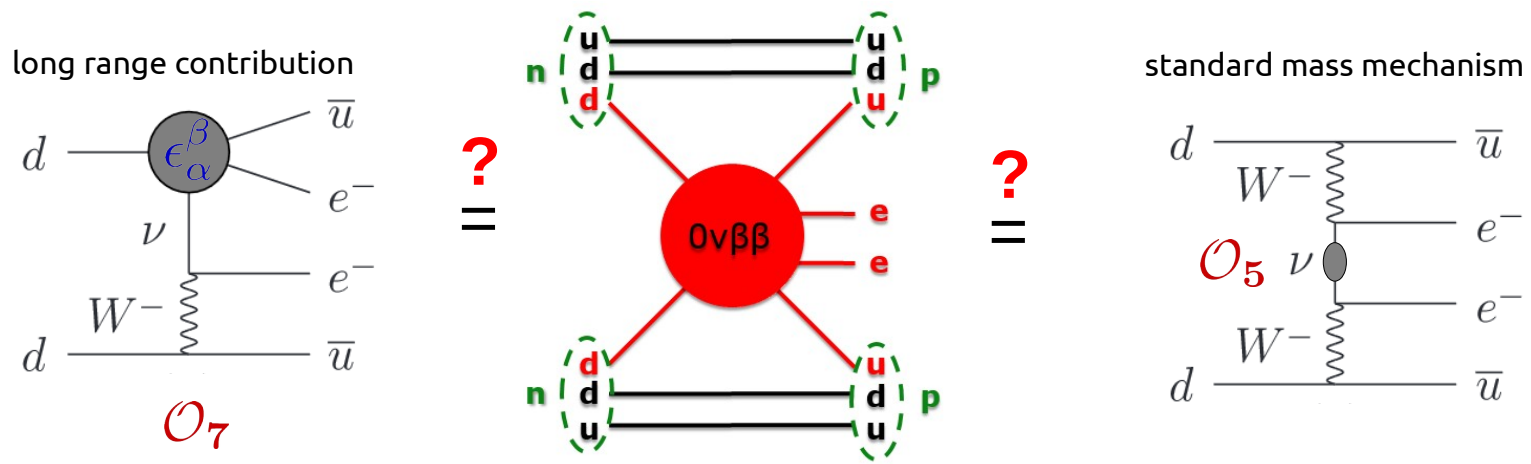
Dürr, Merle, Lindner (2011)

9-dim $\Delta L = 2$ operator will lead to $0\nu\beta\beta$ but only **tiny contribution** to neutrino mass

$$\delta m_\nu = 10^{-28} \text{eV}$$

Observation of $0\nu\beta\beta$ decay does not imply that the mass mechanism is the dominant contribution.

Probing LNV interactions – $0\nu\beta\beta$ decay



$$T_{1/2}^{-1} = G_{0\nu} |\mathcal{M}|^2 |\epsilon_\alpha^\beta|^2$$

$$T_{1/2}^{-1} = G_{0\nu} |\mathcal{M}|^2 |m_{\beta\beta}|^2$$

Leptonic and hadronic current with different chirality structure:

$$\mathcal{L} = \frac{G_F}{\sqrt{2}} \left\{ j_{V-A}^\mu J_{V-A,\mu}^\dagger + \sum_{\alpha,\beta} \epsilon_\alpha^\beta j_\beta J_\alpha^\dagger \right\}$$

$$j_\beta = \bar{e} \mathcal{O}_\beta \nu$$

$$J_\alpha^\dagger = \bar{u} \mathcal{O}_\alpha d$$

$$\mathcal{O}_{V\pm A} = \gamma^\mu (1 \pm \gamma_5)$$

$$\mathcal{O}_{S\pm P} = (1 \pm \gamma_5)$$

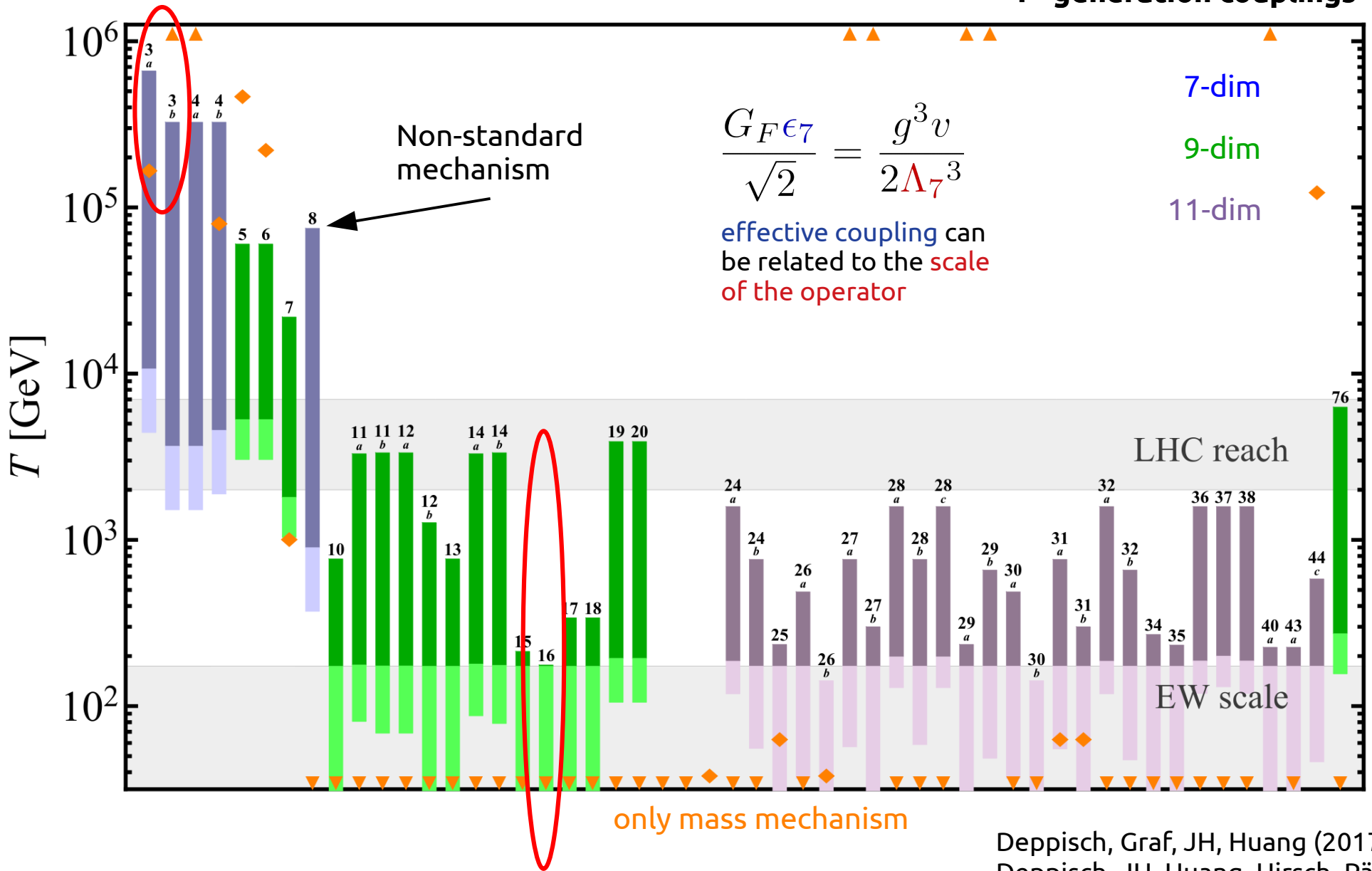
$$\mathcal{O}_{T_{R,L}} = \frac{i}{2} [\gamma_\mu, \gamma_\nu] (1 \pm \gamma_5)$$

$ \epsilon \times 10^8$	ϵ_ν	ϵ_{V-A}^{V+A}	ϵ_{V+A}^{V+A}	$\epsilon_{S\pm P}^{S+P}$	$\epsilon_{T_R}^{T_R}$
^{76}Ge	41	0.21	37	0.66	0.07
^{76}Xe	26	0.11	22	0.26	0.03

Deppisch, Hirsch, Päs (2012)

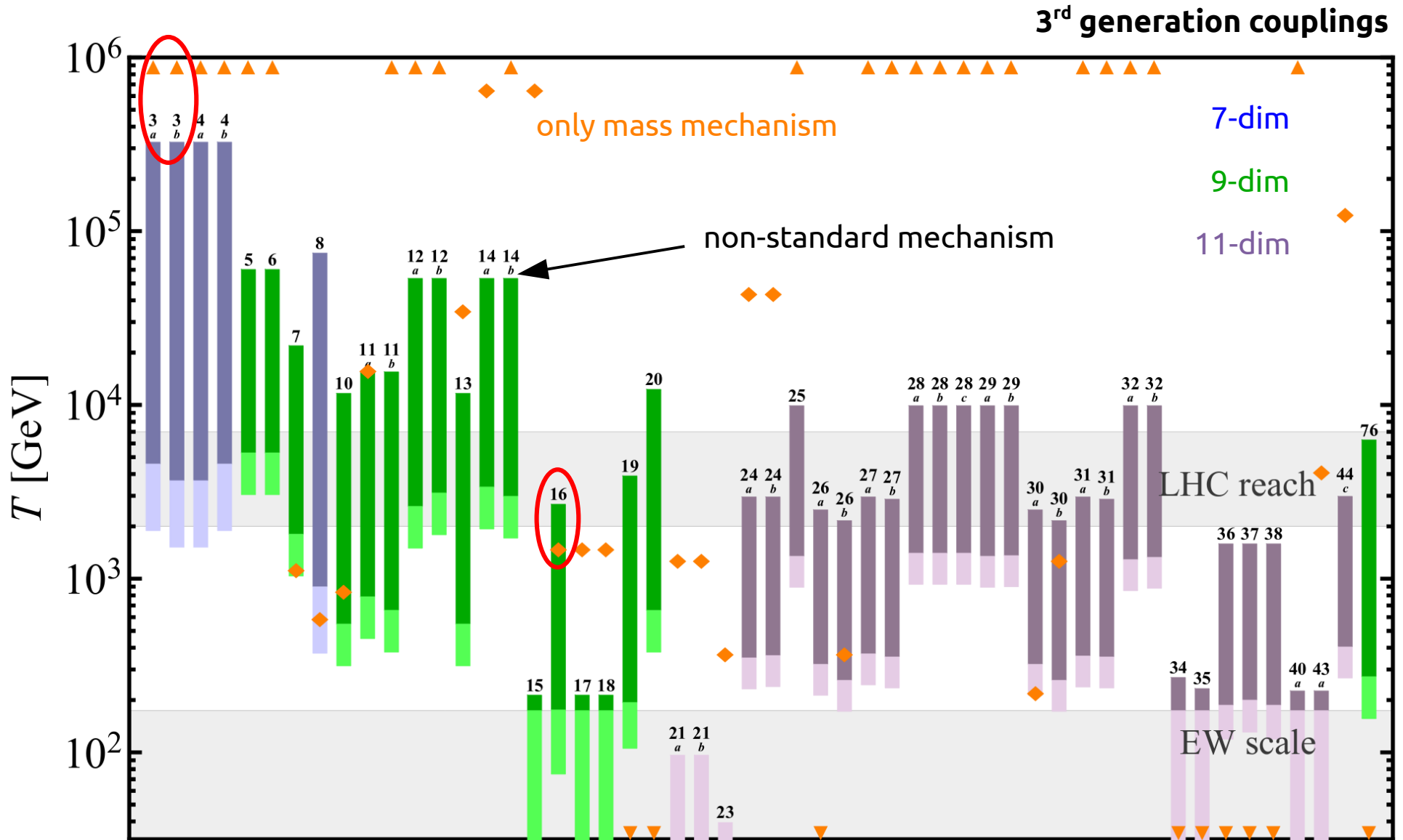
Scales of LNV New Physics

1st generation couplings

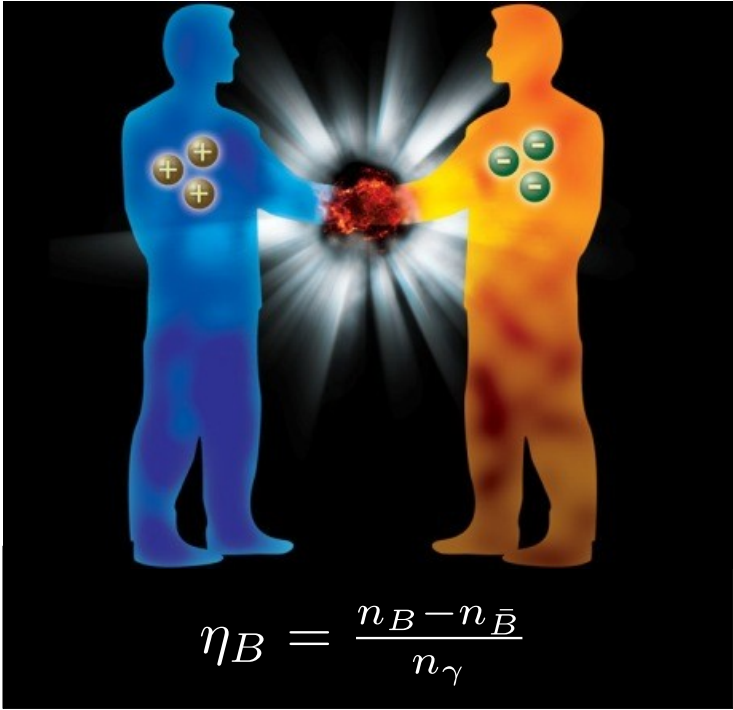


Deppisch, Graf, JH, Huang (2017)
Deppisch, JH, Huang, Hirsch, Päs (2015)

Scales of LNV New Physics



Observation of $0\nu\beta\beta$ decay does not imply that the mass mechanism is the dominant contribution.

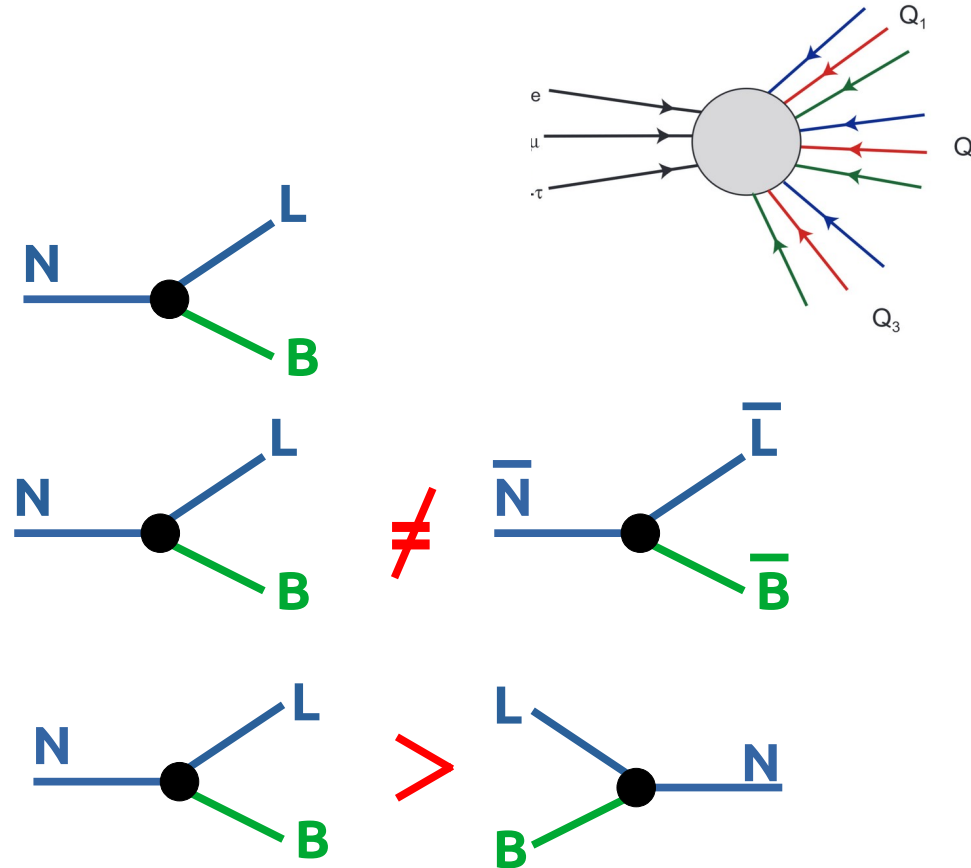


Baryon Asymmetry.

Why do we need new physics?

Theoretically, we know the **conditions on interactions** that have to be fulfilled (Sakharov conditions).

- baryon number violation
- C and CP violation
- departure from thermal equilibrium



Why do we need new physics?

Theoretically, we know the **conditions on interactions** that have to be fulfilled (Sakharov conditions).

Standard Model?



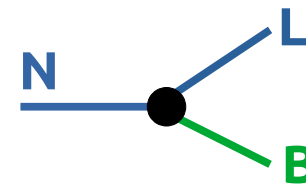
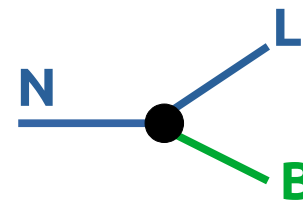
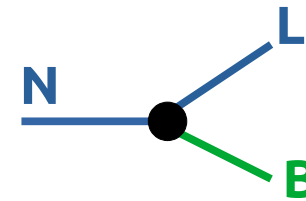
baryon number violation



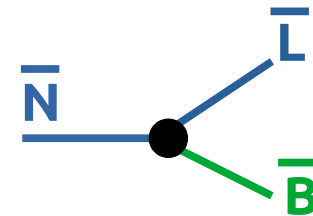
C and CP violation



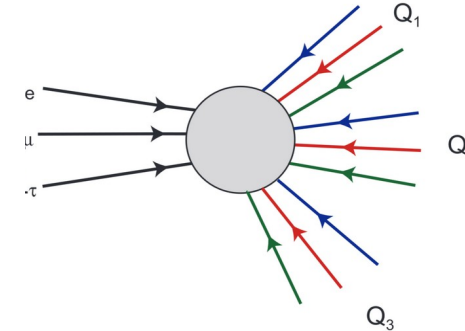
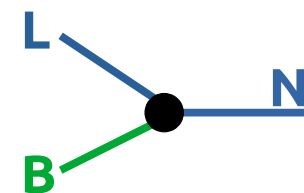
departure from thermal equilibrium



\neq



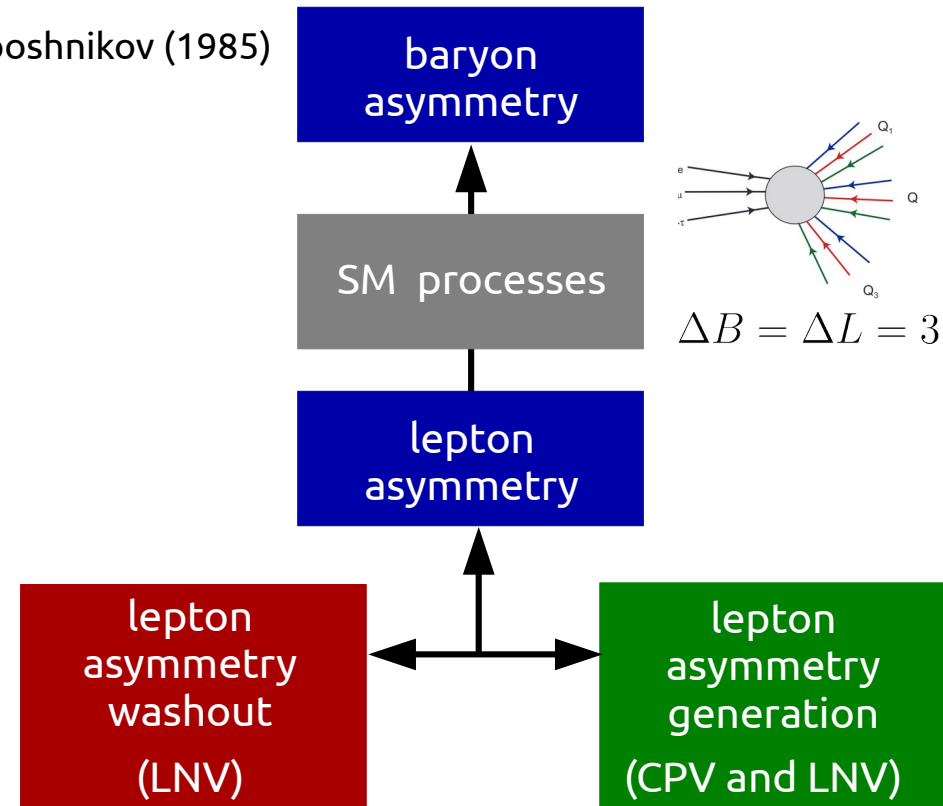
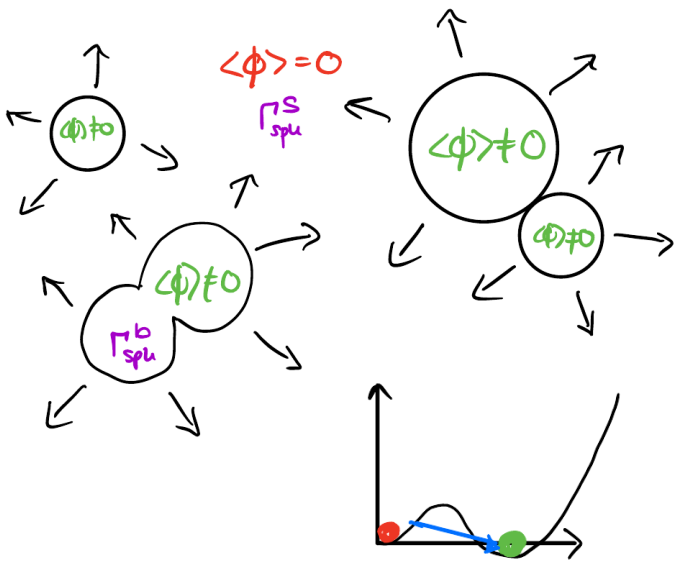
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There has to be new physics in order to explain our own existence!

Many theoretical models and ideas...

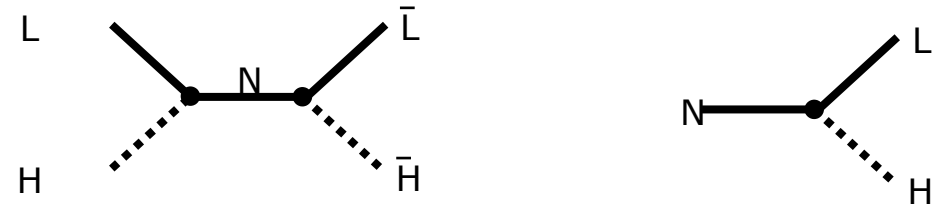
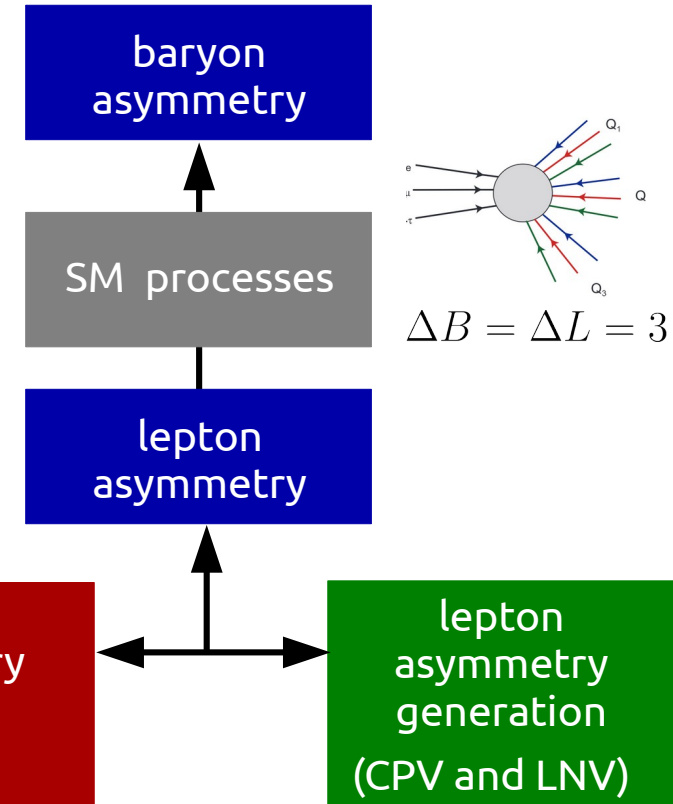
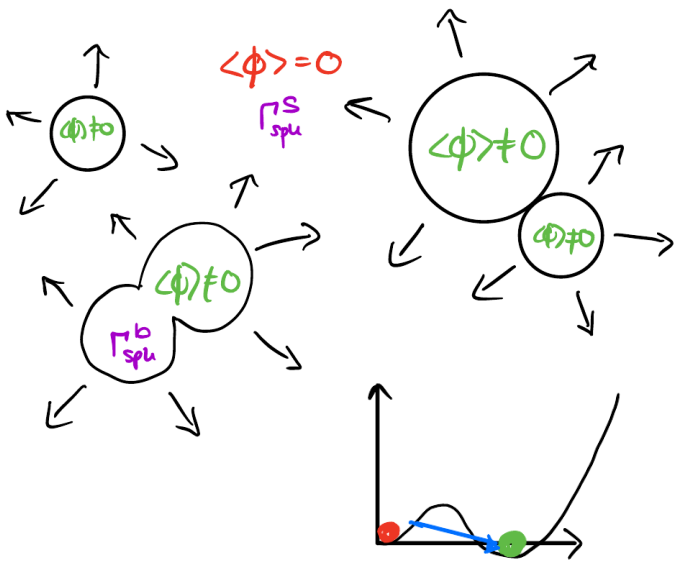
- **Electroweak Baryogenesis** Kuzmin, Rubakov, Shasposhnikov (1985)
- **Affleck-Dine Baryogenesis** Affleck, Dine (1985)
- **Spontaneous Baryogenesis** Kohen, Kaplan (1987)
- **GUT Baryogenesis** Youshimura (1978), Barr (1979), Toussaint et al. (1979), Dimopoulos, Susskind (1978)
- **Leptogenesis** Fukugita-Yanagida (1986)
- **and many new ones!**



Which mechanism is realised in nature?

Many theoretical models and ideas...

- **Electroweak Baryogenesis** Kuzmin, Rubakov, Shasposhnikov (1985)
- **Affleck-Dine Baryogenesis** Affleck, Dine (1985)
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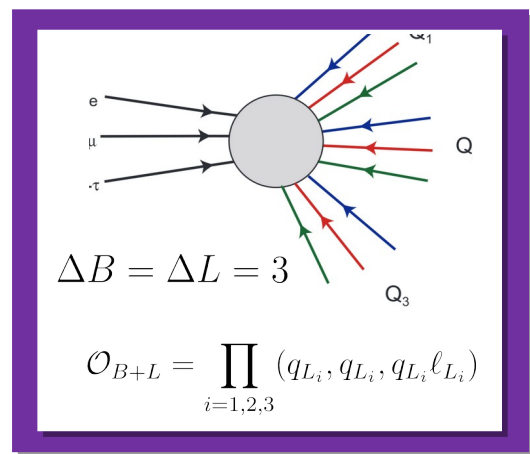
Probing Leptogenesis at the high scale

- generation of lepton asymmetry via **heavy neutrino decays**
- competition with lepton number violating (LNV) **washout processes**
- conversion to baryon asymmetry via **sphaleron processes** at

$$Hz \frac{dN_{N_1}}{dz} = -(\Gamma_D + \Gamma_S)(N_{N_1} - N_{N_1}^{eq})$$

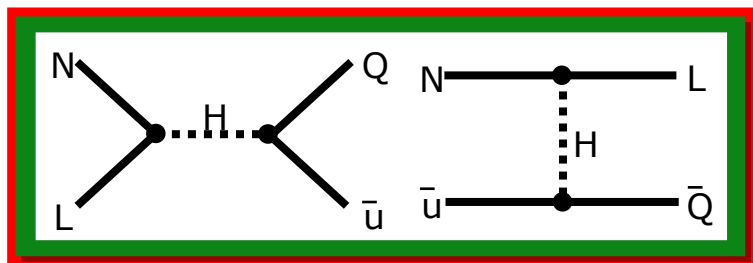
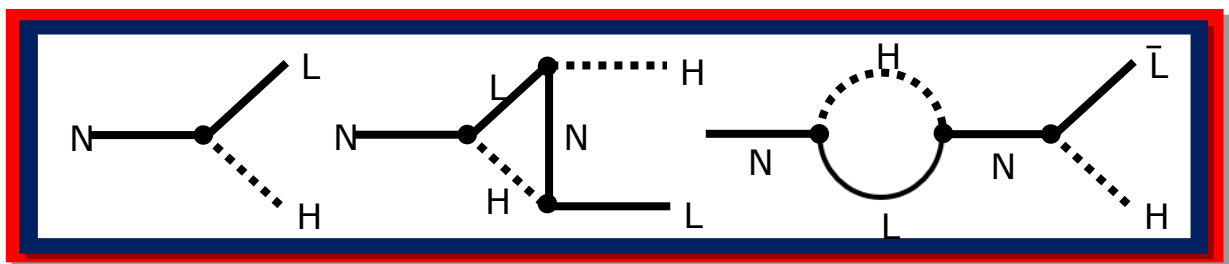
$$Hz \frac{dN_L}{dz} = \epsilon_1 \Gamma_D (N_{N_1} - N_{N_1}^{eq}) - \Gamma_W N_L$$

Fukugita et al. 1986

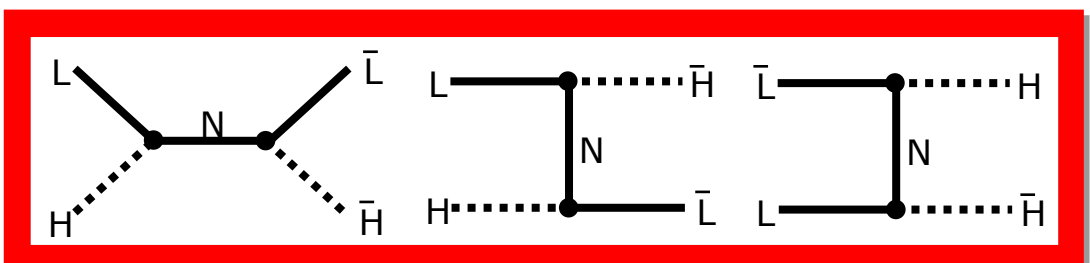


sphaleron processes

$\Delta L = 1$ **source of CP violation**



$\Delta L = 2$ **scattering processes**



$\Delta L = 2$ **washout processes**

Probing Leptogenesis at the high scale

Small neutrino masses and washout pushes the right-handed neutrino to high scales.

$$M_N \gtrsim 10^8 \left(\frac{\eta_B}{5 \times 10^{-11}} \right) \left(\frac{0.06 \text{eV}}{m_3} \right) \text{GeV}$$

Ways out: almost degenerate particles, late decays, massive decay (annihilation) products
review by Racker 2016

Plethora of examples:

- Extension of **seesaw type-I** by **new scalars** with same quantum numbers as SM fermions → e.g. long-lived scalars, R-hadrons, heavy sterile neutrinos *e.g. Fong et al. 2013*
- **Z' models** → same-sign di-lepton final states *e.g. Chun 2005*
- **Left-right symmetric models** → falsification by low mass W_R *e.g. Dev. et al. 2015*
- **Soft leptogenesis** → type-I: charged LFV *e.g. Adhikari et al. 2015*
→ type-II: same-sign di-lepton resonance, same-sign tetra-leptons
e.g. Chun et al. 2006

Probing Leptogenesis at the high scale

Small neutrino masses and washout pushes the right-handed neutrino to high scales.

$$M_N \gtrsim 10^8 \left(\frac{\eta_B}{5 \times 10^{-11}} \right) (0.06 \text{eV})$$

Probing Leptogenesis

Wave

Plet

- E
- fe
- Z' i
- Left
- Soft

1 [hep-ph] 8 Nov 2017

E. J. Chun*, G. Cvetič†, P. S. B. Dev‡, M. Drewes§,||, C. S. Fong¶, B. Garbrecht||
 T. Hambye**, J. Harz††, P. Hernández‡‡, C. S. Kim§§, E. Molinaro¶¶, E. Nardi|||,
 J. Racker***, N. Rius†††, J. Zamora-Saa†††

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→ low mass W_R e.g. Dev. et al. 2015

→ type-I: charged LFV e.g. Adhikari et al. 2015

→ type-II: same-sign di-lepton resonance, same-sign tetra-leptons

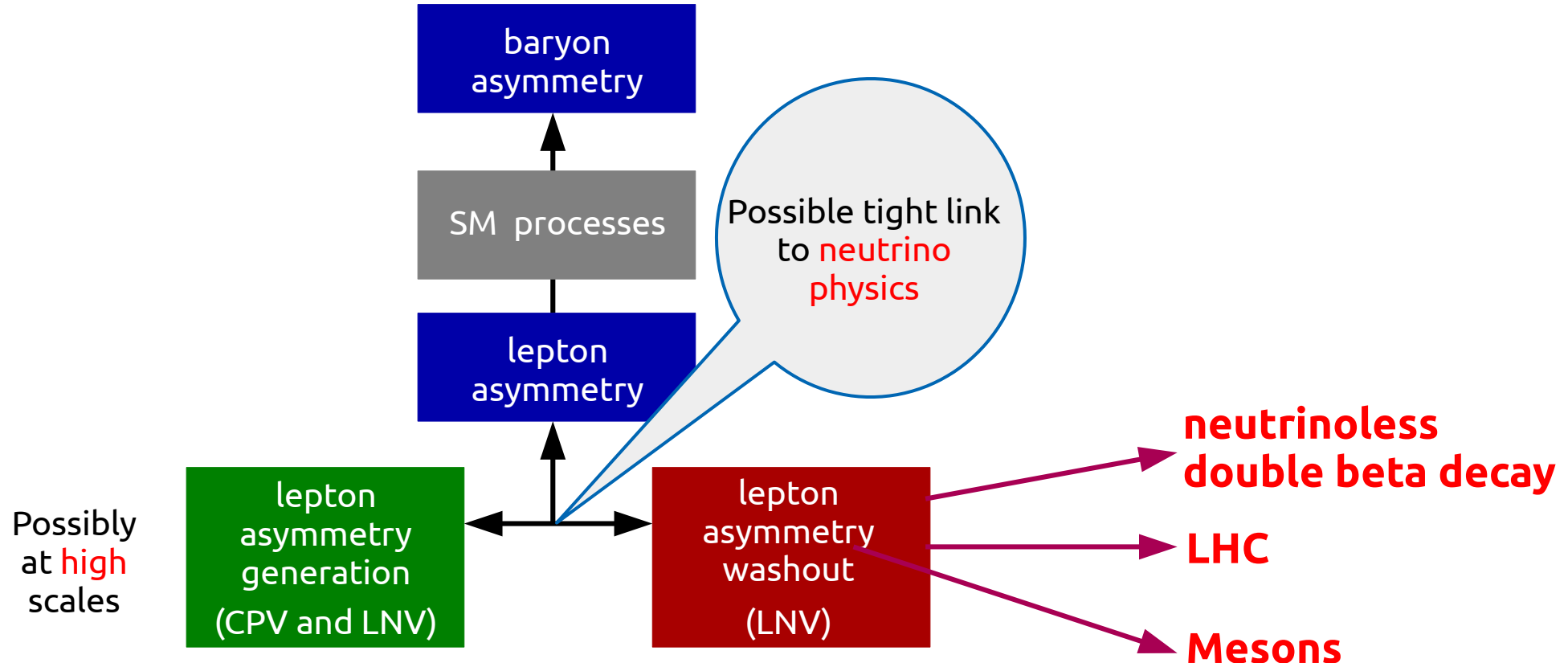
e.g. Chun et al. 2006

→ products
 by Racker 2016

M
 et al. 2013

LNV as a probe of baryogenesis models

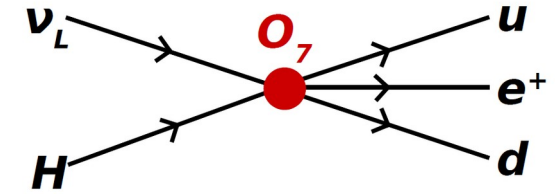
The generation of a baryon asymmetry – **baryogenesis** – can be created by a lepton asymmetry – **leptogenesis**:



In turn, **lepton number violation (LNV)** can **destroy** a lepton asymmetry, and thus even a **baryon asymmetry!**

Lepton Asymmetry Washout

- LNV operator would cause washout of **pre-existing** net lepton asymmetry in the early Universe



$$O_7 = (L^i d^c)(\bar{e}^c \bar{u}^c) H^j \epsilon_{ij}$$

$$z H n_\gamma \frac{d\eta_{L_e}}{dz} = - \left(\frac{n_{L_e}^{\text{eq}} n_{\bar{e}^c}^{\text{eq}}}{n_{L_e}^{\text{eq}} n_{\bar{e}^c}^{\text{eq}}} - \frac{n_{u^c}^{\text{eq}} n_{\bar{d}^c}^{\text{eq}} n_{\bar{H}}^{\text{eq}}}{n_{u^c}^{\text{eq}} n_{\bar{d}^c}^{\text{eq}} n_{\bar{H}}^{\text{eq}}} \right) \gamma^{\text{eq}} (L_e \bar{e}^c \rightarrow u^c \bar{d}^c \bar{H})$$

$$z H n_\gamma \frac{d\eta_{\Delta L_e}}{dz} = -c_D \frac{T^{2D-4}}{\Lambda_D^{2D-8}} \eta_{\Delta L_e}$$

$$\gamma^{\text{eq}} \propto \frac{T^{2D-4}}{\Lambda_D^{2D-8}}$$

c_D operator specific factor

η_L lepton density

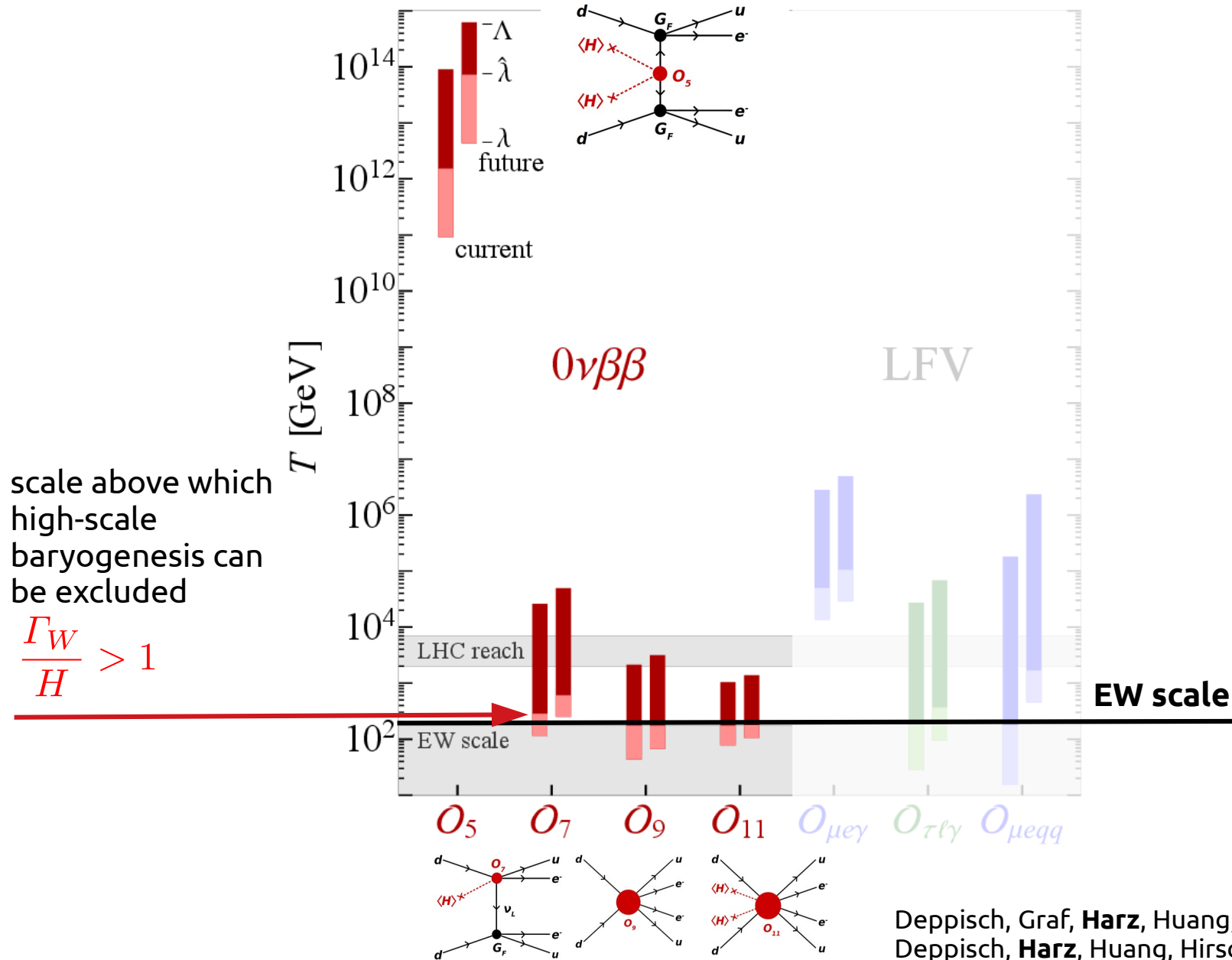
- **washout efficient if**

$$\frac{\Gamma_W}{H} \equiv \frac{c_D}{n_\gamma H} \frac{T^{2D-4}}{\Lambda_D^{2D-8}} = c'_D \frac{\Lambda_{\text{Pl}}}{\Lambda_D} \left(\frac{T}{\Lambda_D} \right)^{2D-9} > 1$$

If $0\nu\beta\beta$ is observed, washout efficient in the temperature interval

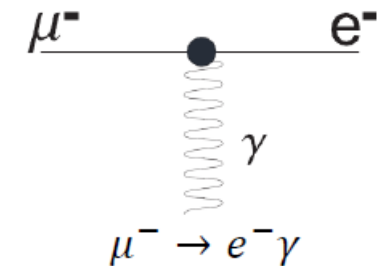
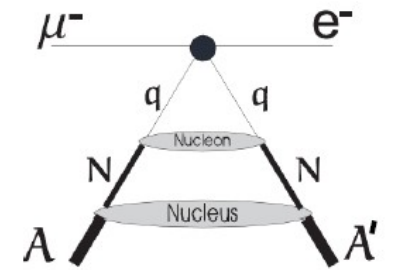
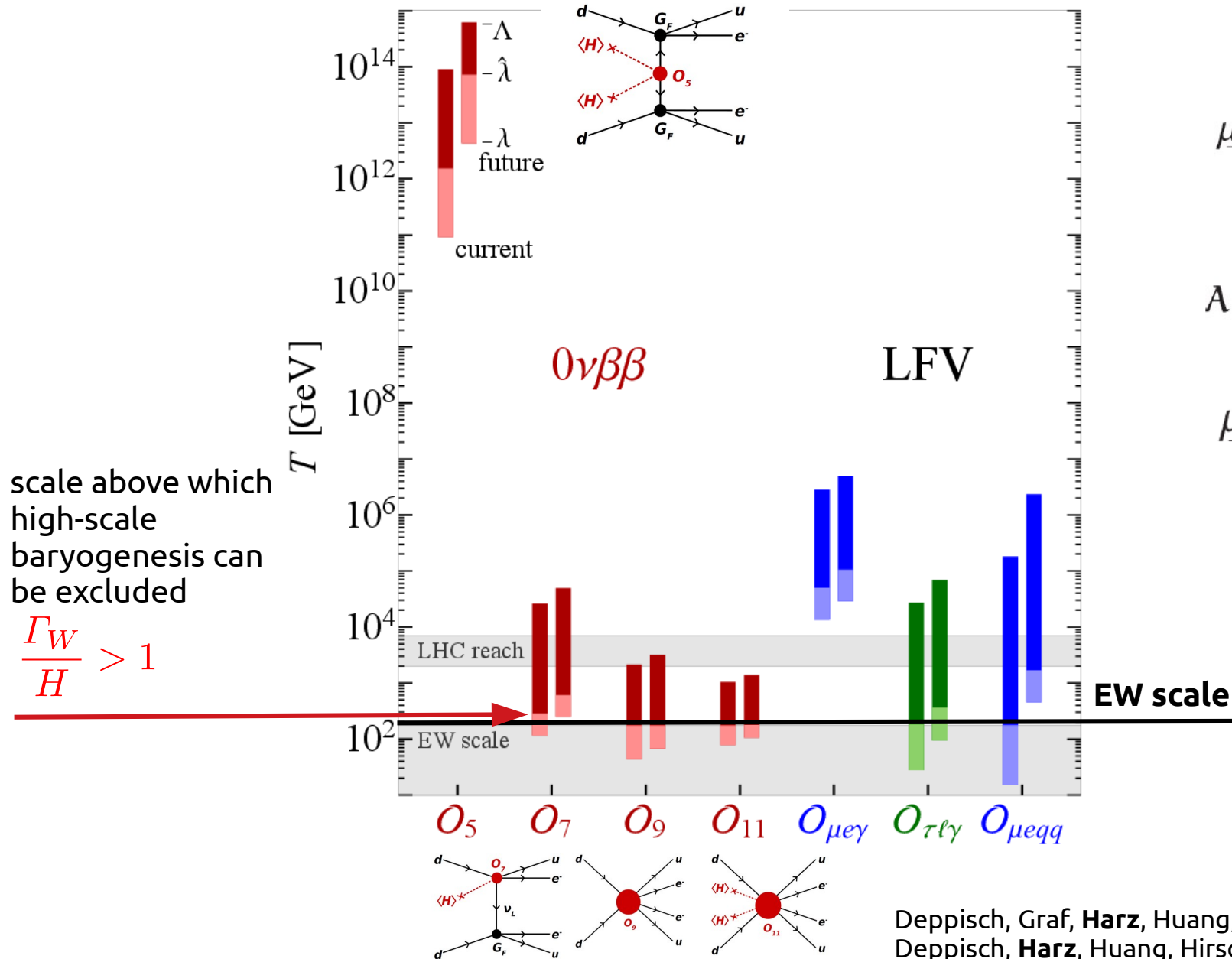
$$\Lambda_D \left(\frac{\Lambda_D}{c'_D \Lambda_{\text{Pl}}} \right)^{\frac{1}{2D-9}} \equiv \lambda_D < T < \Lambda_D$$

Falsifying Baryogenesis with $0\nu\beta\beta$



Deppisch, Graf, Harz, Huang, Phys. Rev. D98 (2018)
 Deppisch, Harz, Huang, Hirsch, Päs, Phys. Rev. D92 (2015)

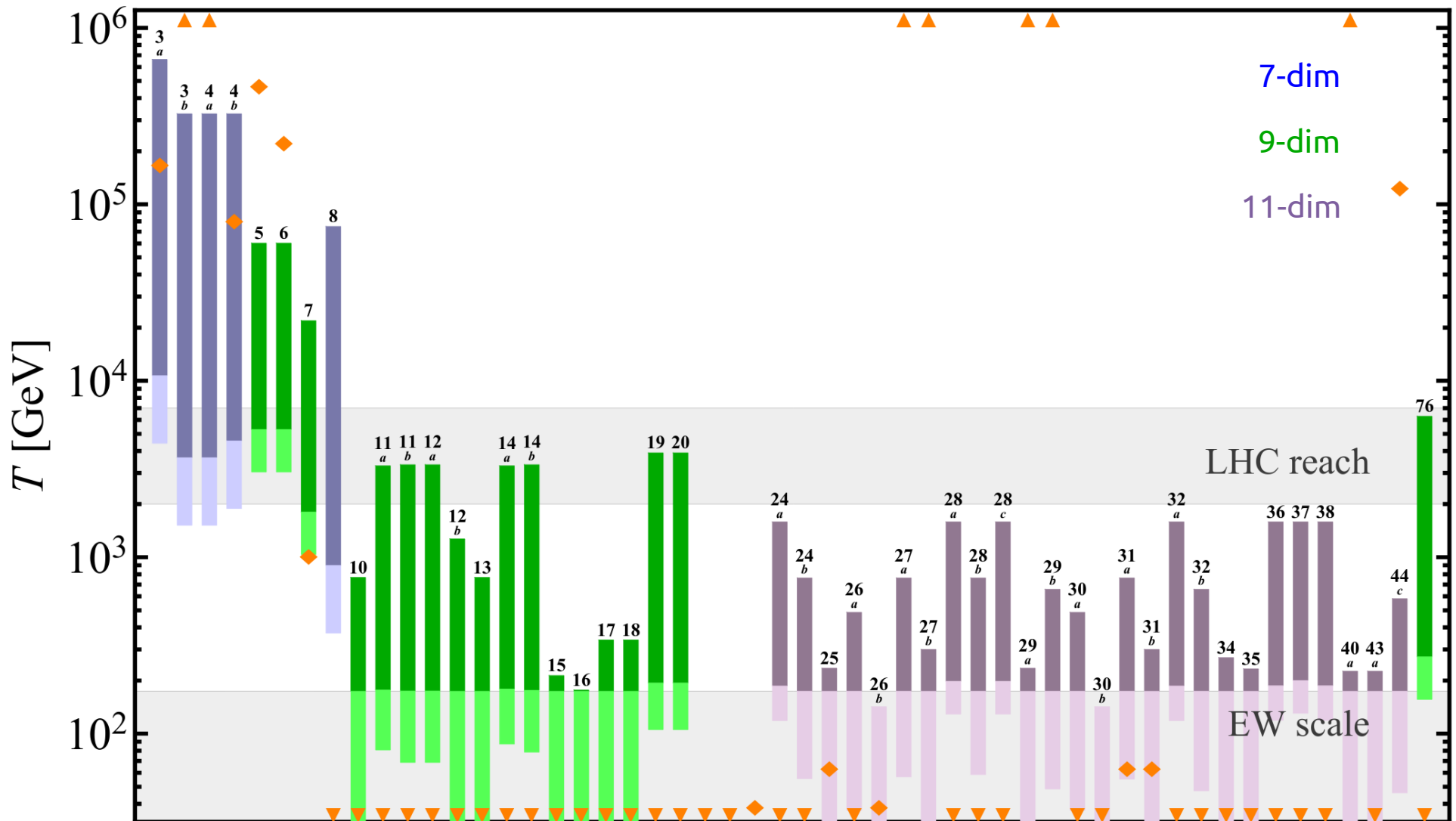
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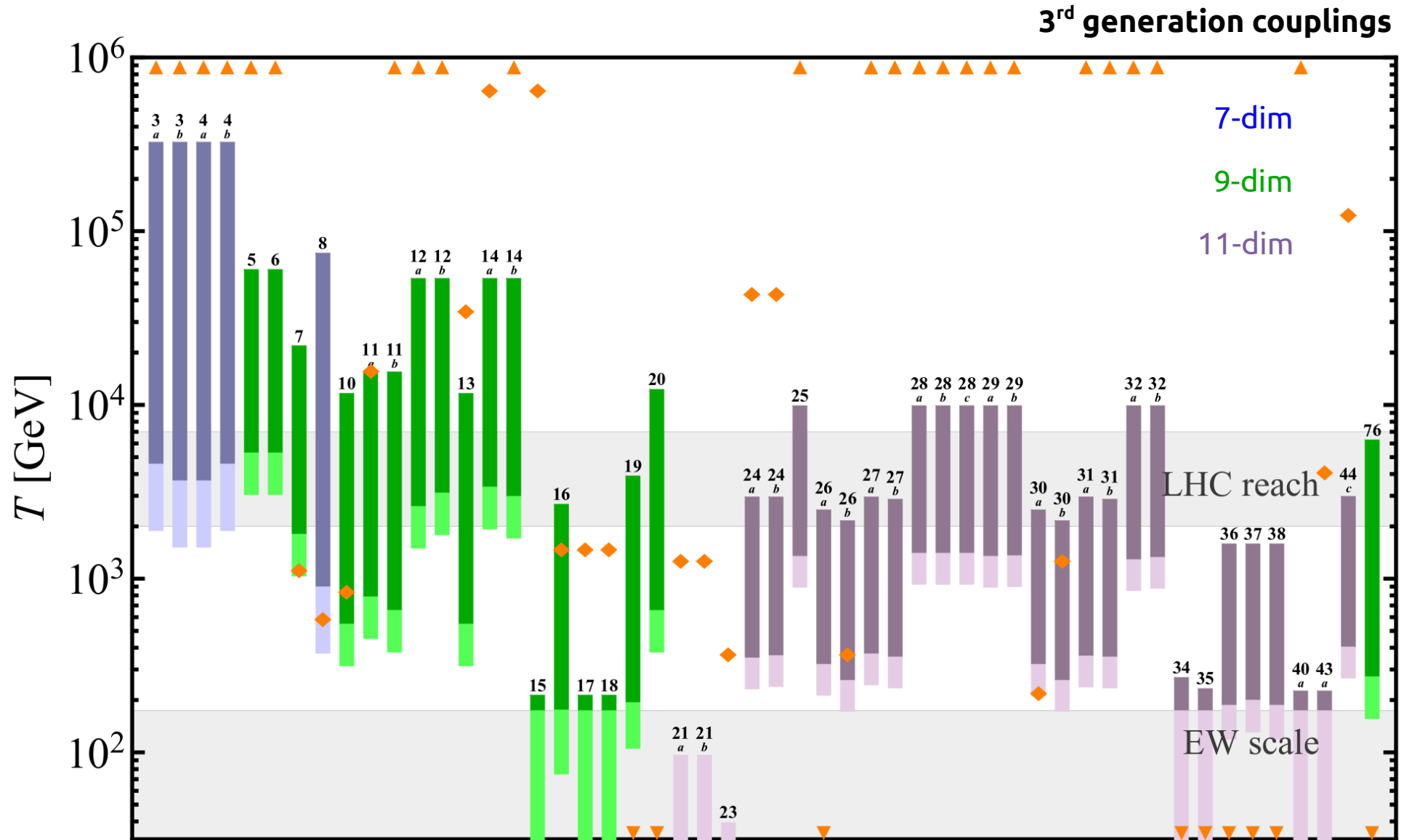
Lepton asymmetry washout

1st generation couplings



Deppisch, Graf, JH, Huang (2017)
Deppisch, JH, Huang, Hirsch, Päs (2015)

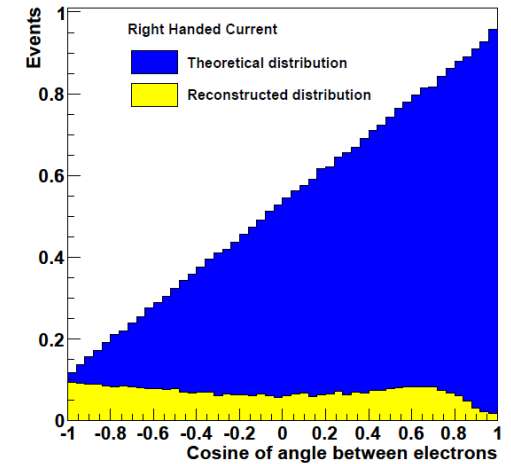
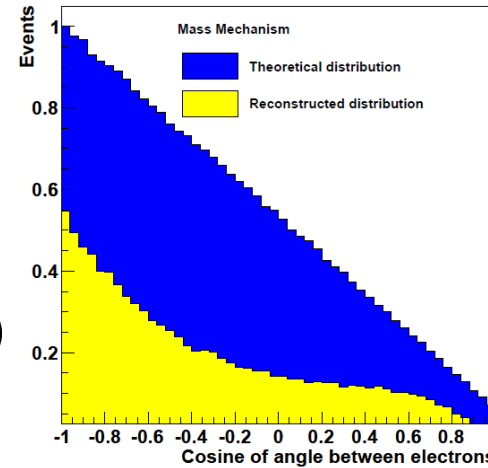
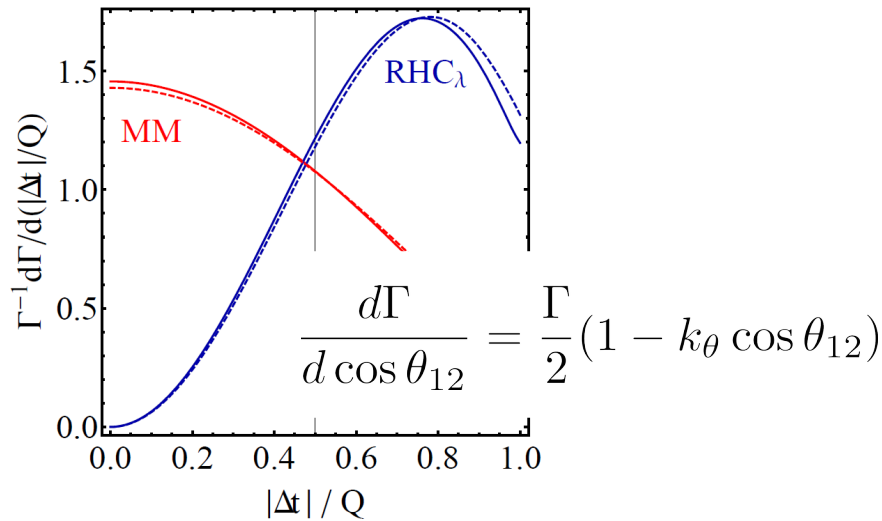
Lepton asymmetry washout



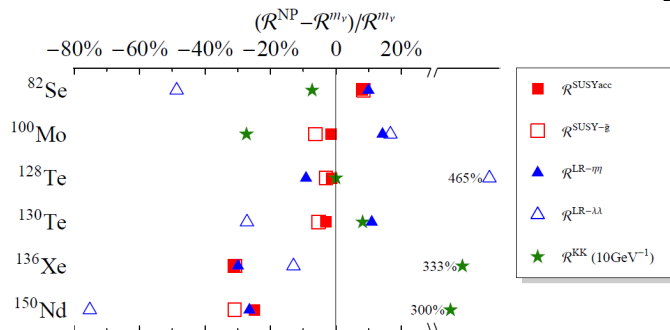
Deppisch, Graf, JH, Huang (2017)
Deppisch, JH, Huang, Hirsch, Päs (2015)

Distinguishing between different operators

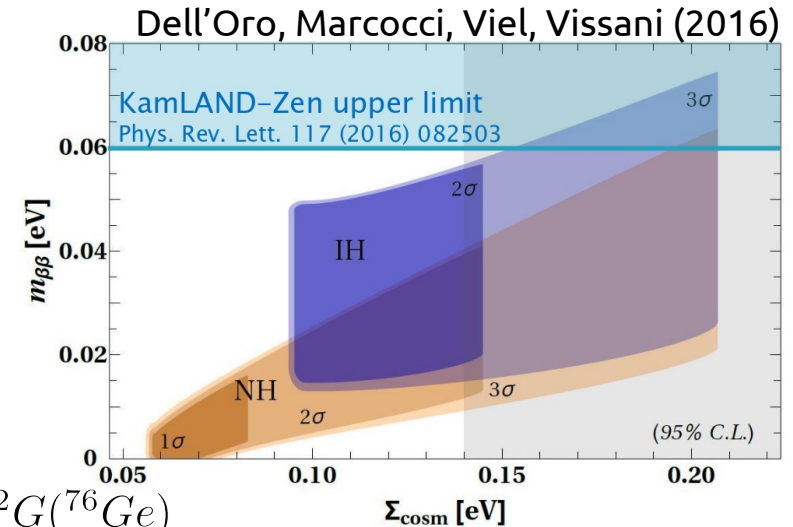
- **SuperNEMO** will be able to discriminate O_7 from others, due to e^-_R and e^+_L in the final state



- **discrepancy between sum of neutrino masses from cosmology and $0\nu\beta\beta$ half life measurements** could indicate non-standard mechanism
- distinguishing between different mechanisms via measurements in **different isotopes**



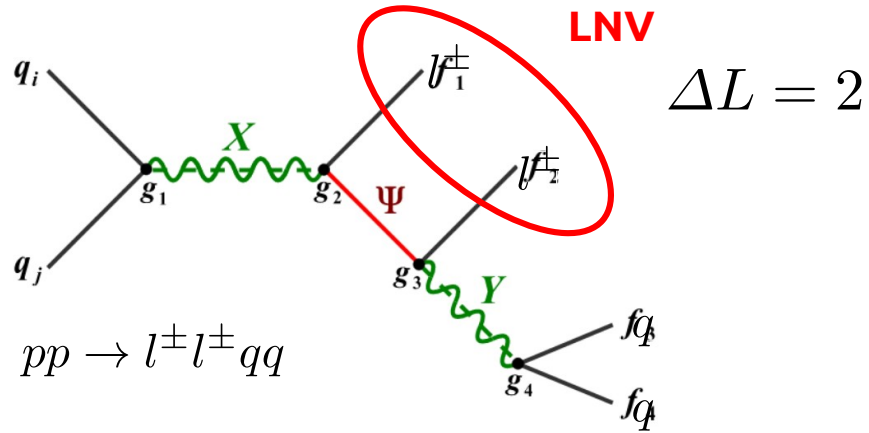
$$\frac{T_{1/2}(^A X)}{T_{1/2}(^A X)} = \frac{|\mathcal{M}(^{76}\text{Ge})|^2 G(^{76}\text{Ge})}{|\mathcal{M}(^A X)|^2 G(^A X)}$$



- observation of $0\nu\beta\beta$ via O_9 and O_{11} will imply observation of **LNV at LHC**

Falsifying Baryogenesis with the LHC

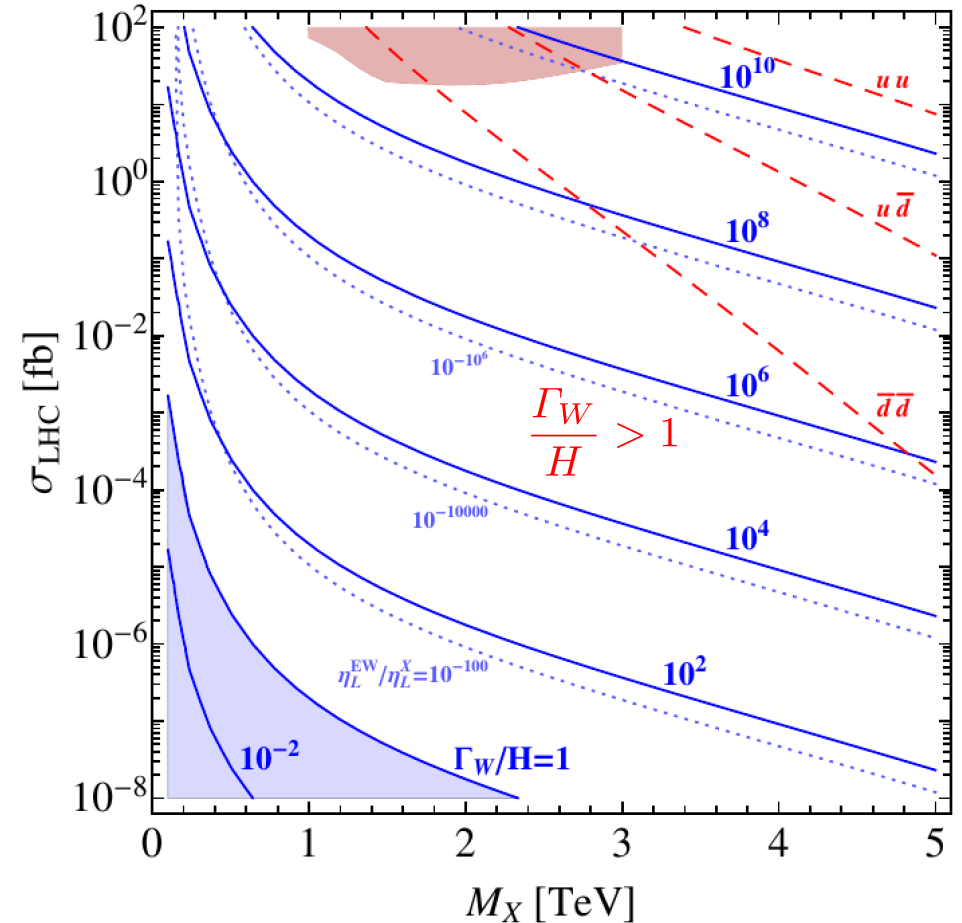
Washout processes could be observable at the **LHC**



Large washout, if $\frac{\Gamma_W}{H} > 1$.

$$\log_{10} \frac{\Gamma_W}{H} > 6.9 + 0.6 \left(\frac{M_X}{\text{TeV}} - 1 \right) + \log_{10} \frac{\sigma_{\text{LHC}}}{\text{fb}}$$

Observation of any washout process at the LHC would falsify high scale baryogenesis!

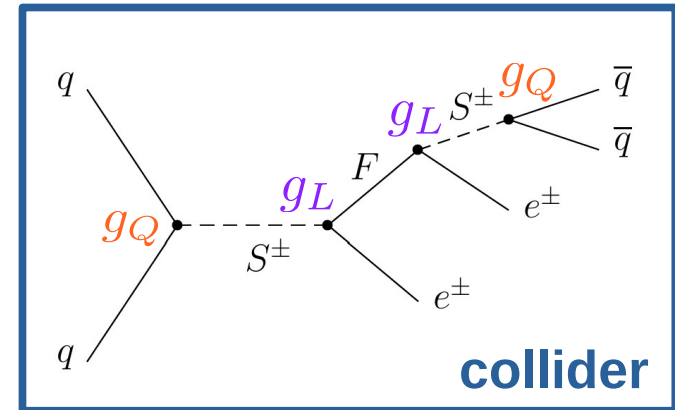
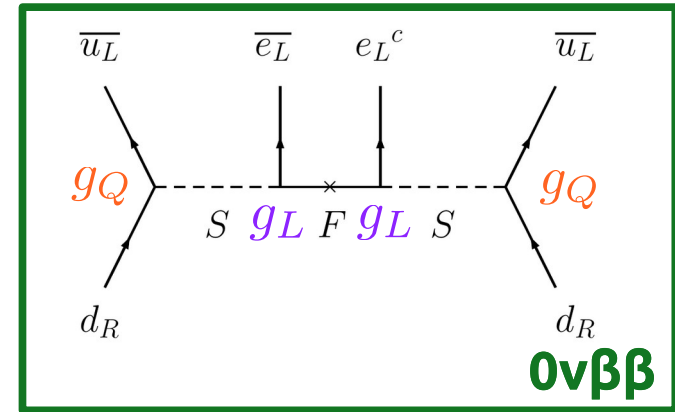
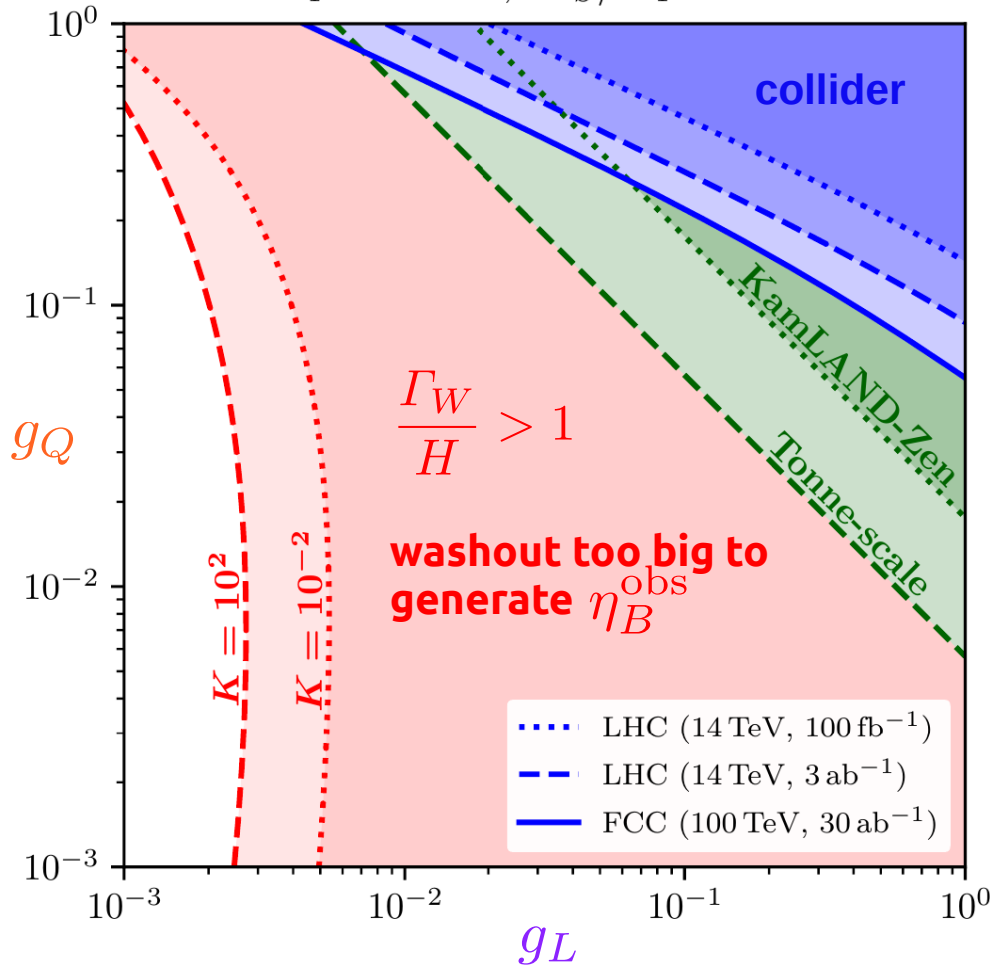


Deppisch, Harz, Hirsch, Phys. Rev. Lett. (2014)
Deppisch, Harz, Hirsch, Päs, Int. J. Mod. Phys. A (2015)

Combining LHC & $0\nu\beta\beta$

$$\mathcal{L} = g_Q \bar{Q} S d_R + g_L \bar{L} (i\tau^2) S^* F - m_S^2 S^\dagger S - \frac{m_F}{2} \bar{F}^c F + g_S (S^\dagger S)^2 + \lambda_{HS} (S^\dagger H)^2 + \text{h.c.}$$

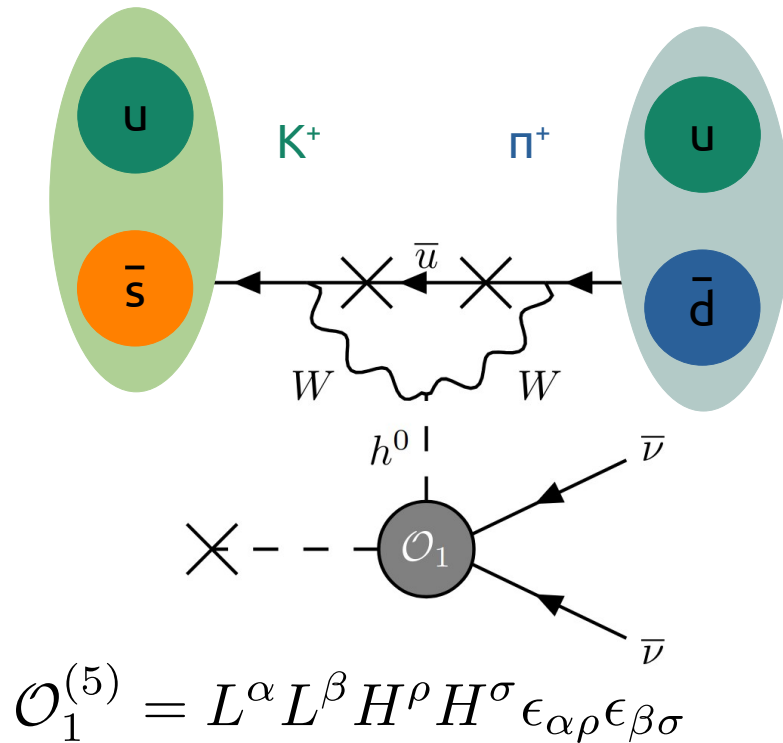
$$m_F = 1 \text{ TeV}, m_S/m_F = 0.99$$



Comprehensive analysis confirms EFT results and shows interesting interplay between collider and $0\nu\beta\beta$ reach.

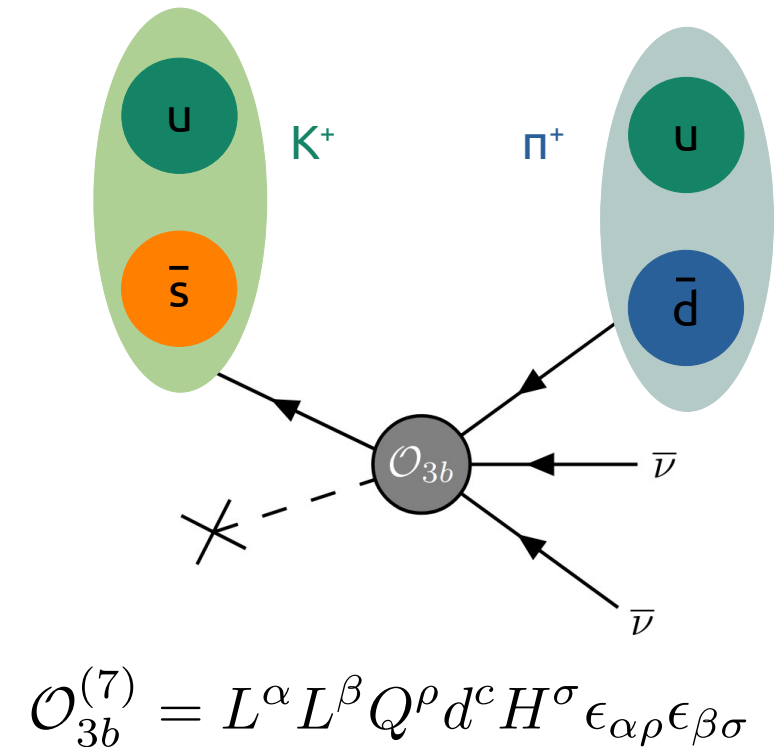
JH, Ramsey-Musolf, Shen, Urrutia, in preparation

Constraining LNV interactions with rare kaon decays



- GIM suppressed

Not explicit LNV!



- No GIM suppression
- Includes first and second generation

How are higher dimensional operators constraint by rare kaon decays?

Calculating LNV Branching Ratio

$$\mathcal{O}_{3b}^{(7)} = L_i^\alpha L_j^\beta Q_a^\rho d_b^c H^\sigma \epsilon_{\alpha\rho} \epsilon_{\beta\sigma}$$

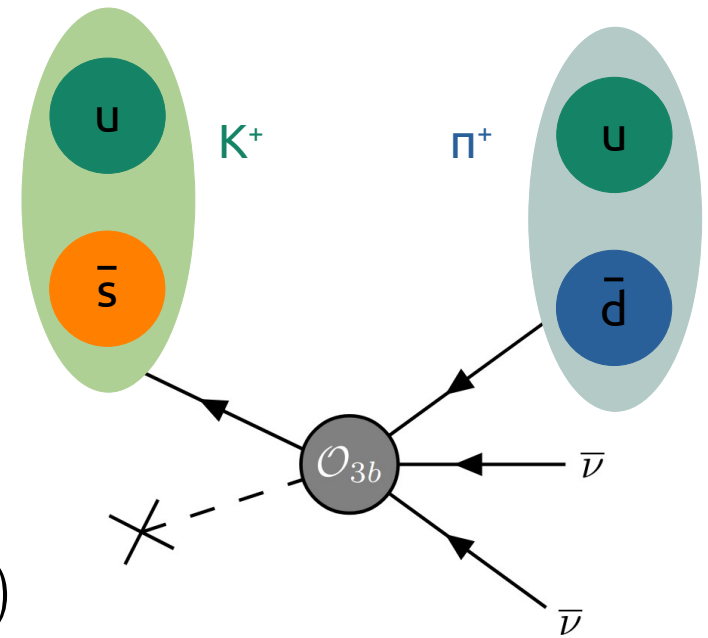
$$h^0 d_a^c d_{L_b} \nu_{L_i} \nu_{L_j}$$

$$c_1^{ijab} h^0 (d_a^c d_{L_b}) (\nu_{L_i} \nu_{L_j}) + c_2^{ijab} h^0 (d_a^c \nu_{L_i}) (\nu_{L_j} d_{L_b})$$

$$c_1^{ijab} - \frac{c_2^{ijab}}{2} h^0 (d_a^c d_{L_b}) (\nu_{L_i} \nu_{L_j}) - \frac{c_2^{ijab}}{2} h^0 (d_a^c \sigma^{\mu\nu} d_{L_b}) (\nu_{L_j} \sigma_{\mu\nu} \nu_{L_i}) + h.c.$$

$$i\mathcal{M} = \frac{v}{\Lambda_{ijsd}^3} \underbrace{\langle \pi(p') | \bar{d}s | K(p) \rangle}_{\frac{m_K^2 - m_\pi^2}{m_s - m_d} f_0^K(s)} \nu_i(k) \nu_j(k')$$

$$\frac{\Gamma(K \rightarrow \pi \nu_i \nu_j)}{ds dt} = \frac{1}{1 + \delta_{ij}} \frac{1}{(2\pi)^3} \frac{1}{32m_K^3} |\overline{\mathcal{M}}|^2$$



vanishes due to pseudoscalar nature of kaons and pions

- Usage of **known hadronic matrix elements / form factors**
- **Alternative approach:** SMEFT matched on chiral perturbation theory Li, Ma, Schmidt (2019)

Constraining power at E949

- **SM**, lepton number **conserving vector** current

$$\mathcal{L}_{\text{SM}}^{K \rightarrow \pi \nu \bar{\nu}} = \frac{1}{\Lambda_{\text{SM}}^2} (\bar{\nu}_i \gamma^\mu \nu_i) (\bar{d} \gamma_\mu s)$$

- **BSM**, lepton number **violating scalar** current

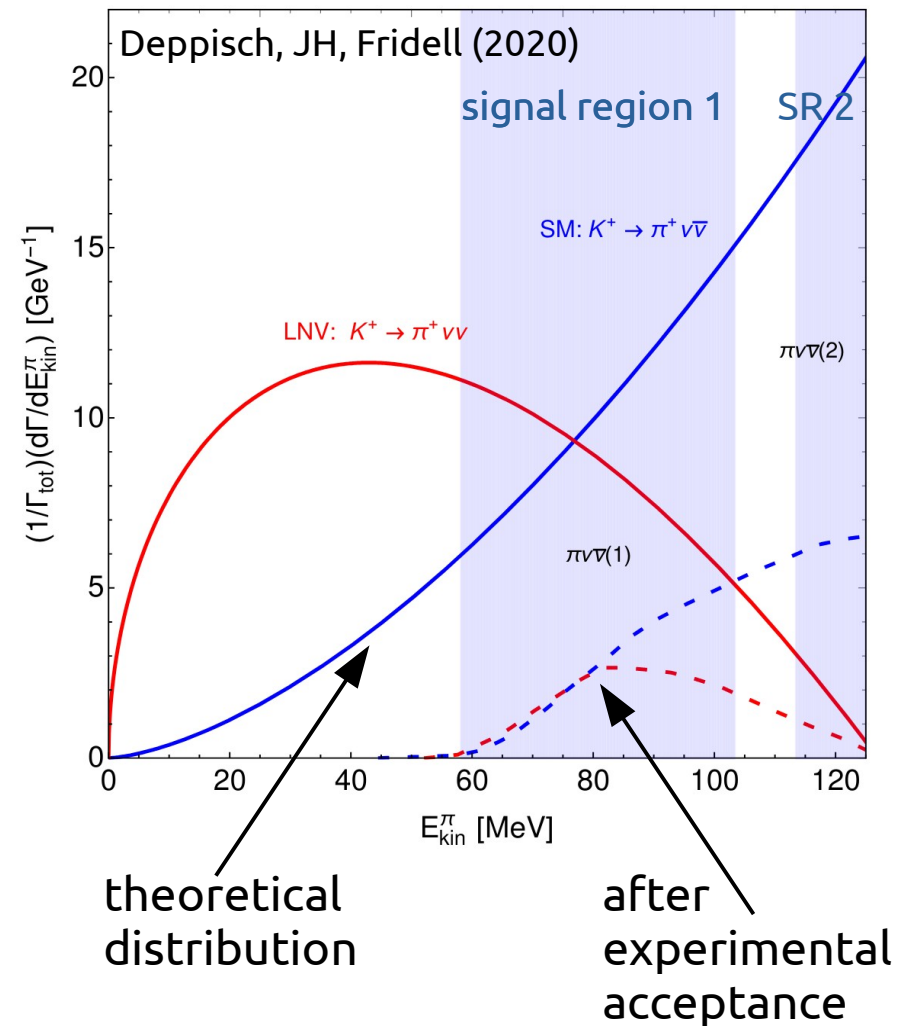
$$\mathcal{L}_{\text{BSM}}^{K \rightarrow \pi \nu \nu} = \frac{v}{\Lambda_{\text{BSM}}^2} (\nu_i \nu_j) (\bar{d} s)$$

→ **different phase space distribution**

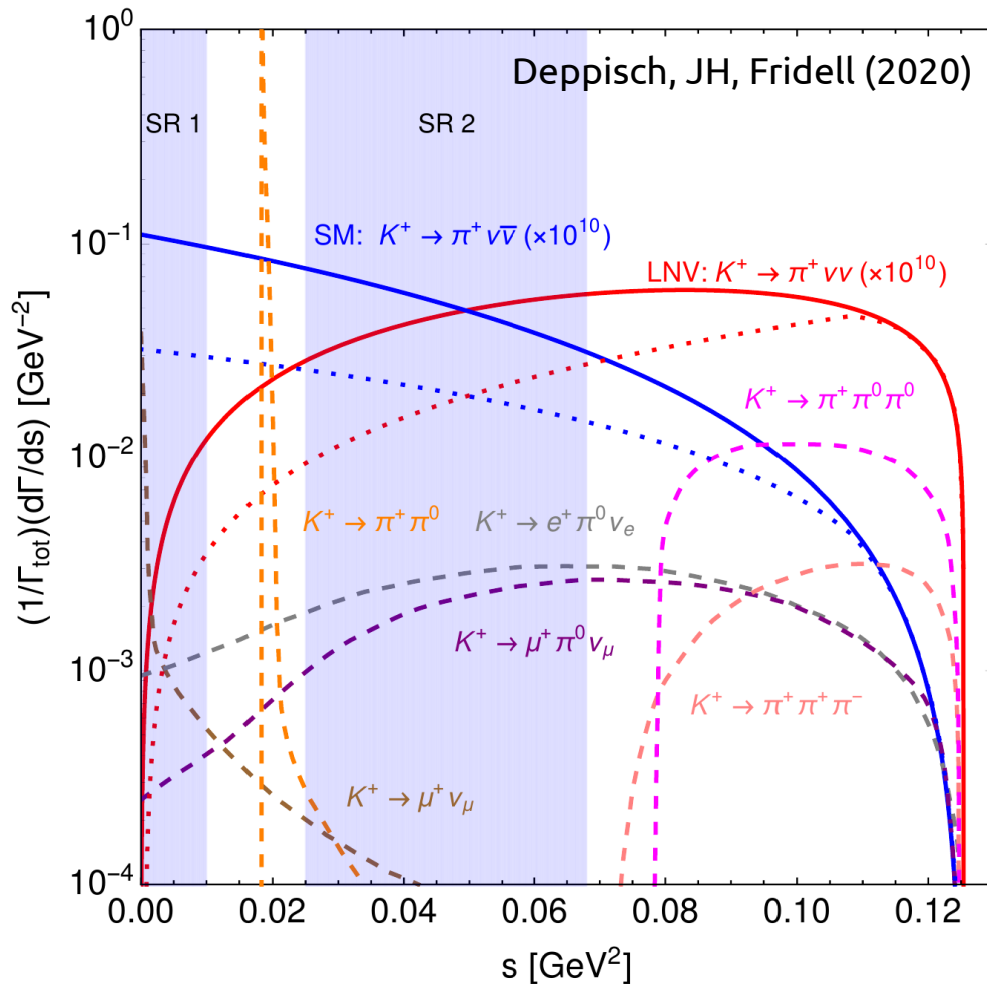
- **different acceptance:**

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{E949}}^{\text{vector}} < 3.35 \times 10^{-10} \text{ at 90\% CL}$$

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{E949}}^{\text{scalar}} < 21 \times 10^{-10} \text{ at 90\% CL}$$



Constraining power at NA62



$$s = (E_K - E_\pi)^2$$

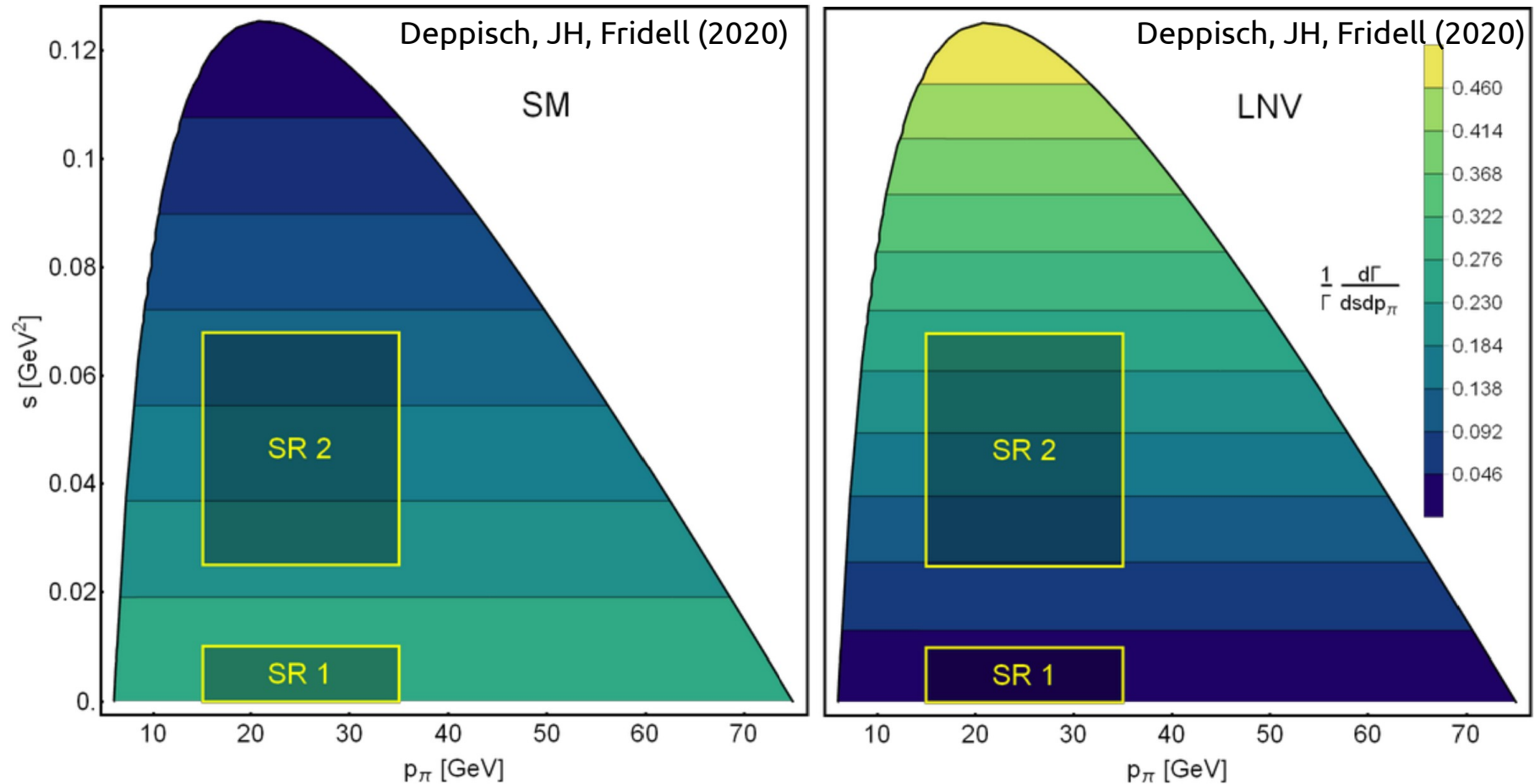
Possibility to disentangle a possible signal by improving on experimental sensitivity and strategy?

Summary of sensitivity to scalar current (based on kinematics only):

Experiment	SM (vector)	LNV (scalar)
NA62 SR 1	6%	0.3%
NA62 SR 2	17%	15%
E949 $\pi\nu\bar{\nu}(1)$	29%	2%
E949 $\pi\nu\bar{\nu}(2)$	45%	38%
KOTO	64%	30%

Experiments are generally more sensitive to vector currents

Constraining power at NA62



For **LNV** more events in **SR1** expected.
for **LNC** more events in **SR2** expected.

Putting pieces together

1st generation couplings

\mathcal{O}	$1/\Lambda_{K \rightarrow \pi \nu \nu}^2$	$\sum_i \Lambda_{iisd}^{\text{E949}}$ [TeV]	m_ν	Λ^{m_ν} [TeV]
$1y_d$	$\frac{v^3}{\Lambda^5}$	2.4	$\frac{y_d}{16\pi^2} \frac{v^4}{\Lambda^3}$	11.6
$3b$	$\frac{v}{\Lambda^3}$	11.5	$\frac{y_d}{16\pi^2} \frac{v^2}{\Lambda}$	5.2×10^4
$3b^{H^2}$	$f(\Lambda) \frac{v}{\Lambda^3}$	5.7	$\frac{y_d}{16\pi^2} \frac{v^2}{\Lambda} f(\Lambda)$	330
5	$\frac{1}{16\pi^2} \frac{v}{\Lambda^3}$	2.6	$\frac{y_d}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	330
10	$\frac{1}{16\pi^2} \frac{y_e v}{\Lambda^3}$	0.8	$\frac{y_e y_d}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	9.6×10^{-4}
11b	$\frac{1}{16\pi^2} \frac{y_d v}{\Lambda^3}$	0.8	$\frac{y_d^2}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	8.9×10^{-3}
14b	$\frac{1}{16\pi^2} \frac{y_u v}{\Lambda^3}$	2.9	$\frac{y_d y_u}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	4.1×10^{-3}
66	$f(\Lambda) \frac{v}{\Lambda^3}$	5.1	$\frac{y_d}{16\pi^2} \frac{v^2}{\Lambda} f(\Lambda)$	330

Sensitivity to different flavors than most constraining $0\nu\beta\beta$!

Process	Experimental limit	\mathcal{O}	$\Lambda_{ijkn}^{\text{NP}}$ [TeV]
$K^+ \rightarrow \pi^+ \nu \nu$	$\text{BR}_{\text{future}}^{\text{NA62}} < 1.11 \times 10^{-10}$	\mathcal{O}_{3b}	$\sum_i \Lambda_{iisd} > 19.6$
$K^+ \rightarrow \pi^+ \nu \nu$	$\text{BR}_{\text{current}}^{\text{NA62}} < 1.78 \times 10^{-10}$ [67]	\mathcal{O}_{3b}	$\sum_i \Lambda_{iisd} > 17.2$
$K_L \rightarrow \pi^0 \nu \nu$	$\text{BR}_{\text{current}}^{\text{KOTO}} < 3.0 \times 10^{-9}$ [71]	\mathcal{O}_{3b}	$\sum_i \Lambda_{iisd} > 12.3$

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Summary

Process	Experimental limit	\mathcal{O}	$\Lambda_{ijkn}^{\text{NP}}$ [TeV]	$\hat{\lambda}$ [TeV]
$K^+ \rightarrow \pi^+ \nu \nu$	$\text{BR}_{\text{future}}^{\text{NA62}} < 1.11 \times 10^{-10}$	\mathcal{O}_{3b}	$\sum_i \Lambda_{iisd} > 19.6$	0.213
$K^+ \rightarrow \pi^+ \nu \nu$	$\text{BR}_{\text{current}}^{\text{NA62}} < 1.78 \times 10^{-10}$ [67]	\mathcal{O}_{3b}	$\sum_i \Lambda_{iisd} > 17.2$	0.196
$K_L \rightarrow \pi^0 \nu \nu$	$\text{BR}_{\text{current}}^{\text{KOTO}} < 3.0 \times 10^{-9}$ [71]	\mathcal{O}_{3b}	$\sum_i \Lambda_{iisd} > 12.3$	0.178
$B^+ \rightarrow \pi^+ \nu \nu$	$\text{BR} < 1.4 \times 10^{-5}$ [52]	\mathcal{O}_{3b}	$\sum_i \Lambda_{iibd} > 1.4$	0.174
$B^+ \rightarrow K^+ \nu \nu$	$\text{BR} < 1.6 \times 10^{-5}$ [52]	\mathcal{O}_{3b}	$\sum_i \Lambda_{iibs} > 1.4$	0.174
$B^0 \rightarrow \pi^0 \nu \nu$	$\text{BR} < 9 \times 10^{-6}$ [52]	\mathcal{O}_{3b}	$\sum_i \Lambda_{iibd} > 1.5$	0.174
$B^0 \rightarrow K^0 \nu \nu$	$\text{BR} < 2.6 \times 10^{-5}$ [52]	\mathcal{O}_{3b}	$\sum_i \Lambda_{iibs} > 1.3$	0.174
$K^+ \rightarrow \mu^+ \bar{\nu}_e$	$\text{BR} < 3.3 \times 10^{-3}$ [32]	\mathcal{O}_{3a}	$\Lambda_{\mu esu} > 2.4$	0.174
$\pi^+ \rightarrow \mu^+ \bar{\nu}_e$	$\text{BR} < 1.5 \times 10^{-3}$ [32]	\mathcal{O}_{3a}	$\Lambda_{\mu eud} > 1.9$	0.174
$\pi^0 \rightarrow \nu \nu$	$\text{BR} < 2.9 \times 10^{-13}$ [78]	\mathcal{O}_{3b}	$\Lambda_{\nu \nu ud} > 3.4$	0.174
$0\nu\beta\beta$	$T_{1/2}^{136\text{Xe}} \geq 1.07 \times 10^{26}$ yrs [79]	\mathcal{O}_{3b}	$\Lambda_{eeud} > 330$	3.5
$\mu^- \rightarrow e^+$	$R_{\mu^- e^+}^{\text{Ti}} < 1.7 \times 10^{-12}$ [80]	\mathcal{O}_{14b}	$\Lambda_{\mu eud} > 0.01$	0.174

Bright future perspective – B-meson constraints still in LHC reach. Could imply strong lepton asymmetry washout*).

***) If LNV interaction is confirmed.**

UV complete example: Leptoquarks I

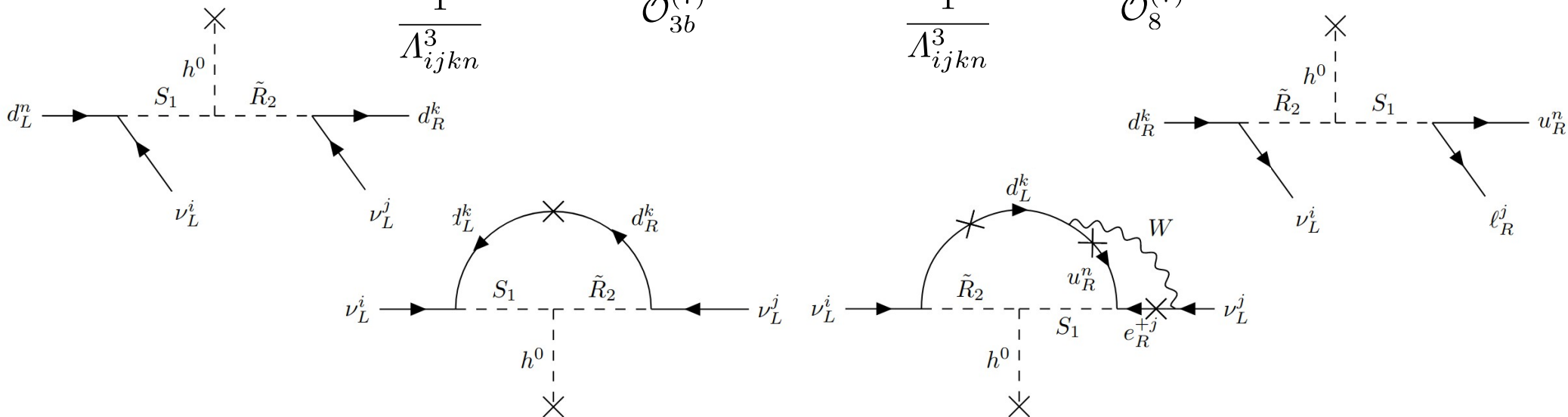
$$\mathcal{L} \supset \mathcal{L}_{\text{SM}} + \mu S_1 H^{\dagger\alpha} \tilde{R}_{2\alpha} - g_1^{ik} \bar{L}_{i\alpha} i\sigma_2^{\alpha\beta} \tilde{R}_{2\beta}^* \bar{d}_k^c - g_2^{jn} Q_n^\alpha L_j^\beta \epsilon_{\alpha\beta} S_1 - g_3^{jn} \bar{u}_n^c e_j S_1 + \text{h.c.}$$

Cata, Mannel (2019)

$$\begin{aligned} \tilde{R}_2 &\in 3, 2, 1/6, \\ S_1 &\in \bar{3}, 1, 1/3 \end{aligned}$$

	L	B
\tilde{R}_2	-1	$+\frac{1}{3}$
S_1	-1	$-\frac{1}{3}$

$$\mathcal{L}_{7D} = \underbrace{\frac{\mu g_1^{ik} g_2^{jn}}{m_{\tilde{R}_2}^2 m_{S_1}^2} L_i^\alpha H^\beta d_k^c Q_n^\mu L_j^\nu \epsilon_{\alpha\beta} \epsilon_{\mu\nu}}_{\frac{1}{\Lambda_{ijkn}^3} \mathcal{O}_{3b}^{(7)}} + \underbrace{\frac{\mu g_1^{ik} g_3^{jn}}{m_{\tilde{R}_2}^2 m_{S_1}^2} L_i^\alpha H^\beta d_k^c u_n^c e_j \epsilon_{\alpha\beta}}_{\frac{1}{\Lambda_{ijkn}^3} \mathcal{O}_8^{(7)}}$$

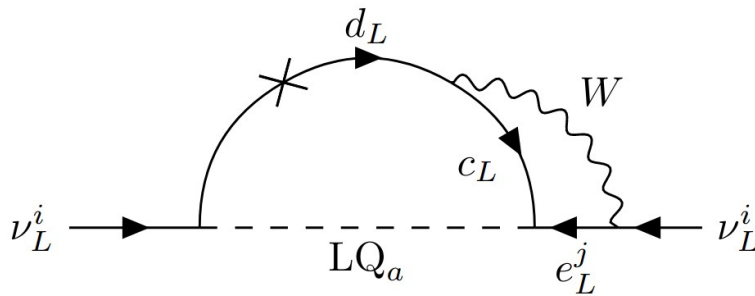


UV complete example: Leptoquarks II

$$\mathcal{L} \supset \mathcal{L}_{\text{SM}} + \mu S_1 H^{\dagger\alpha} \tilde{R}_{2\alpha} - g_1^{ik} \bar{L}_{i\alpha} i\sigma_2^{\alpha\beta} \tilde{R}_{2\beta}^* \bar{d}_k^c - g_2^{jn} Q_n^\alpha L_j^\beta \epsilon_{\alpha\beta} S_1 - g_3^{jn} \bar{u}_n^c e_j S_1 + \text{h.c.}$$

Cata, Mannel (2019)

$$(m_\nu)_i = \sum_j \frac{3 \sin(2\theta) g^2 V_{cd} \tilde{g}_1^{id} \tilde{g}_2^{jc} U_{ji}}{512\pi^4} m_d I(m_{\text{LQ}_1}^2, m_{\text{LQ}_2}^2, m_W^2)$$



1. gen / 2. gen / 3. gen

$$\tilde{g}_1 \sim \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

1. gen / 2. gen / 3. gen

$$\tilde{g}_2 \sim \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$(m_\nu)_i \approx 0.08 eV$$

A contribution of leptoquarks to rare kaon decays would imply a non-trivial flavour pattern to explain smallness of neutrino masses.

Probing Leptogenesis at the GeV Scale

Leptogenesis via the **Akhmedov-Rubakov-Smirnov (ARS)** mechanism

- Yukawa couplings yield small values with a certain hierarchy (equilibrium vs. non-eq.)
- $\not\propto$ due to mixing, oscillations distribute individual lepton number unevenly
- the one in equilibrium translates its L number via sphalerons to the active sector
→ baryon asymmetry

Akhmedov et al. 1998

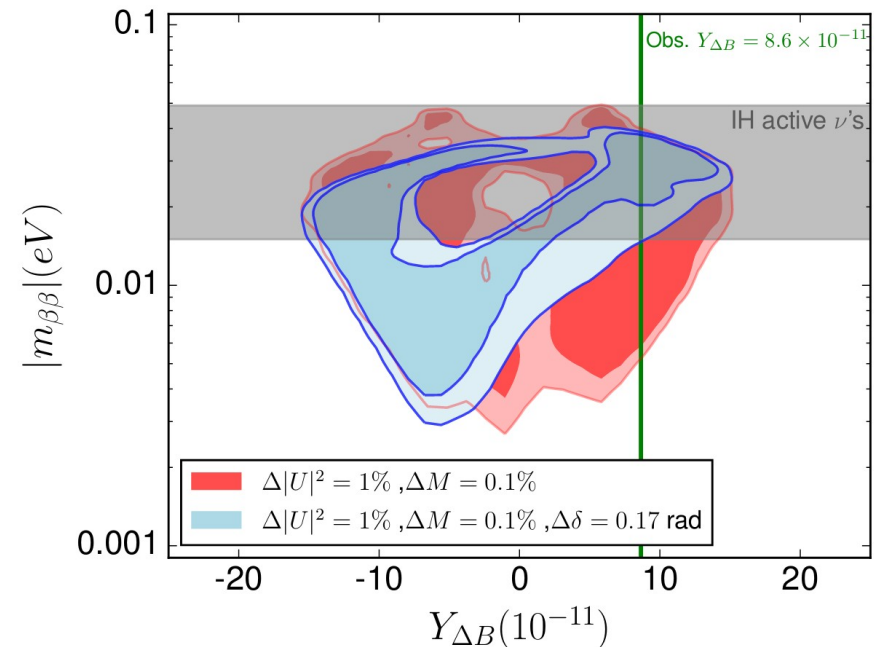
For **N=2** it was shown that the **baryon asymmetry is predictable by combination of different experimental measurements:**

- Neutrino oscillation experiments
- Neutrinoless double beta decay experiments
- Direct searches for heavy neutral leptons

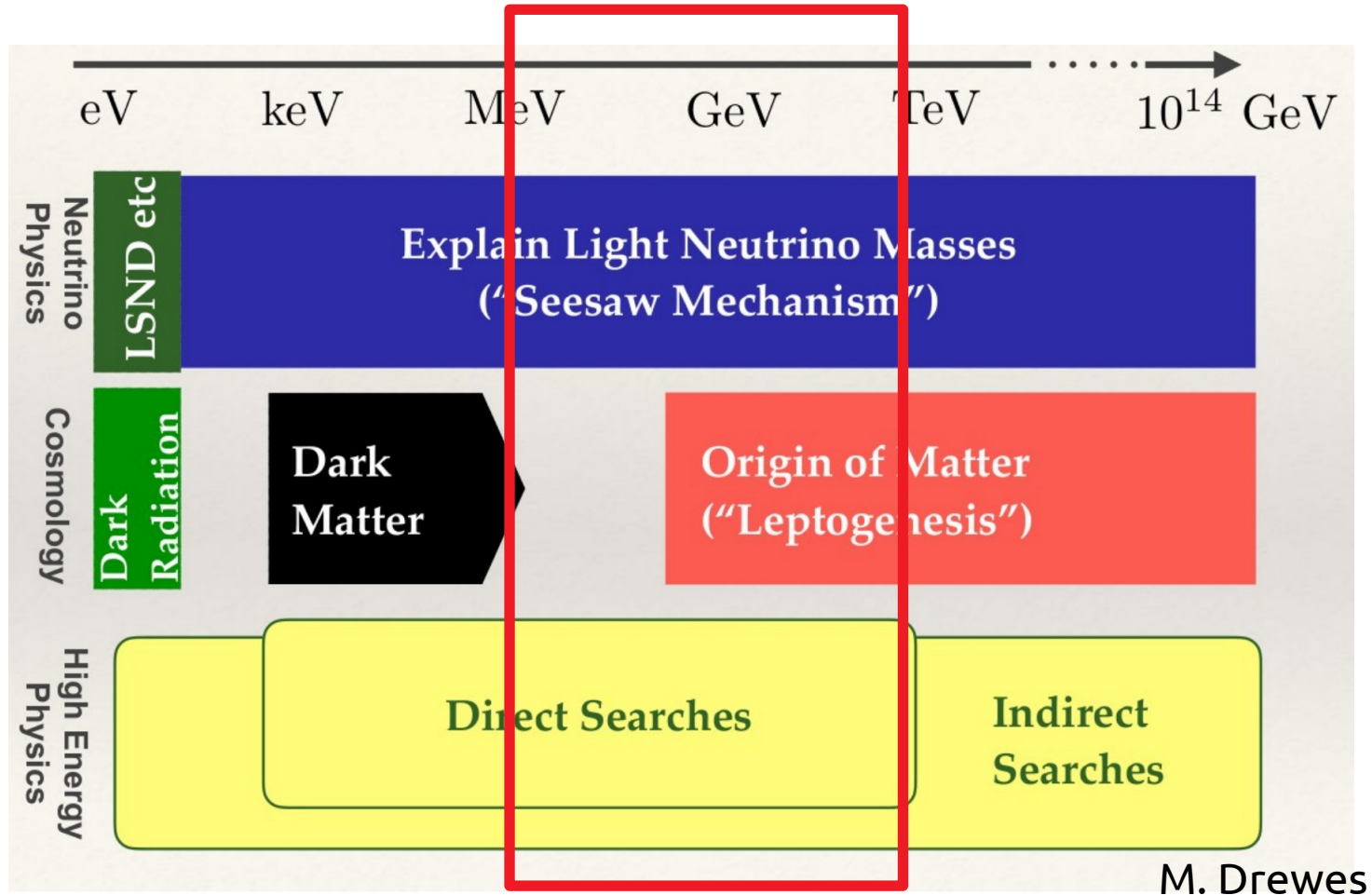
Hernandez et al. 2015, Abada et al. 2015, Drewes et al. 2016

Update to **N=3**

Abada et al. 2018



Leptogenesis & Sterile Neutrinos



Leptogenesis & Gravitational waves?

NanoGrav: Sign of cosmic strings?

If particle production dominates, stochastic gravitational wave spectrum depends on

$$\Omega_{\text{GW}} h^2 \propto G\mu^2$$

$$\mu \sim v^2$$

cosmic string tension

breaking scale

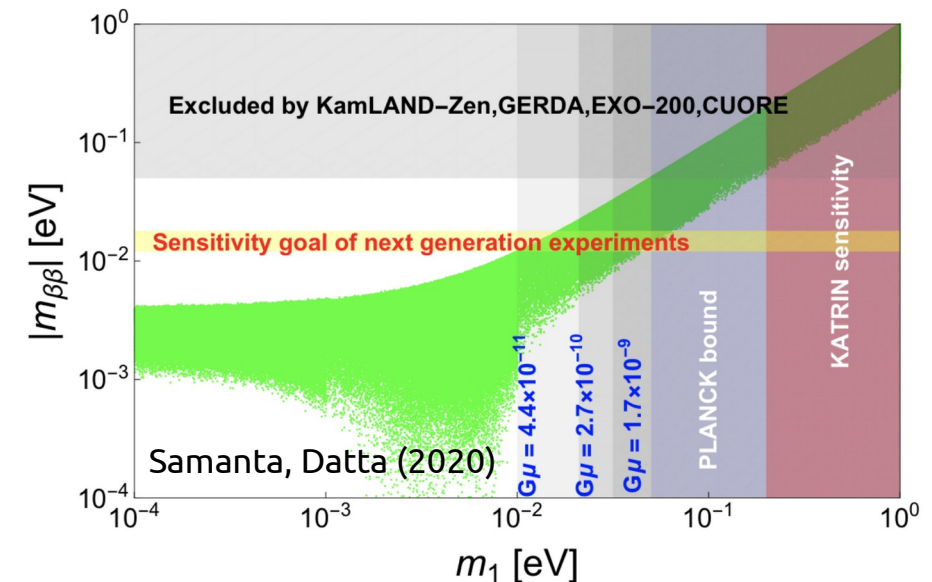
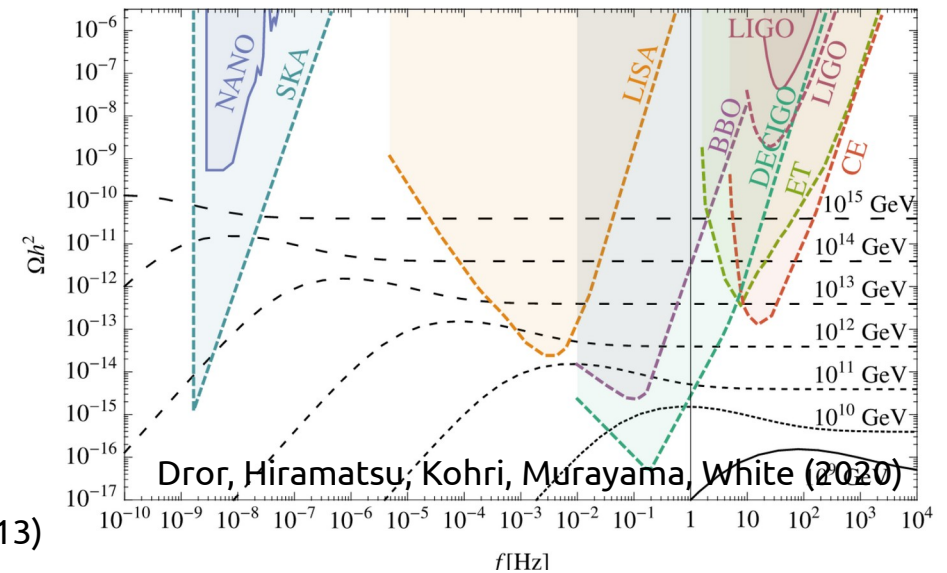
Hindmarsh (2011)

Buchmueller, Domcke, Kamada, Schmitz (2013)

Direct and indirect links:

- cosmic string network is a generic prediction of the seesaw mechanism when B-L is broken spontaneously
- RH neutrino induced gravitational leptogenesis mechanism (RIGL)

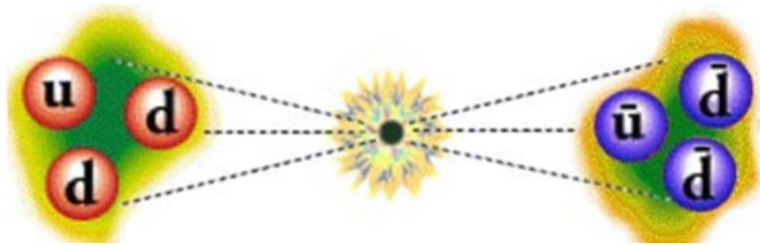
McDonald, Shore (2015)



High scale baryogenesis

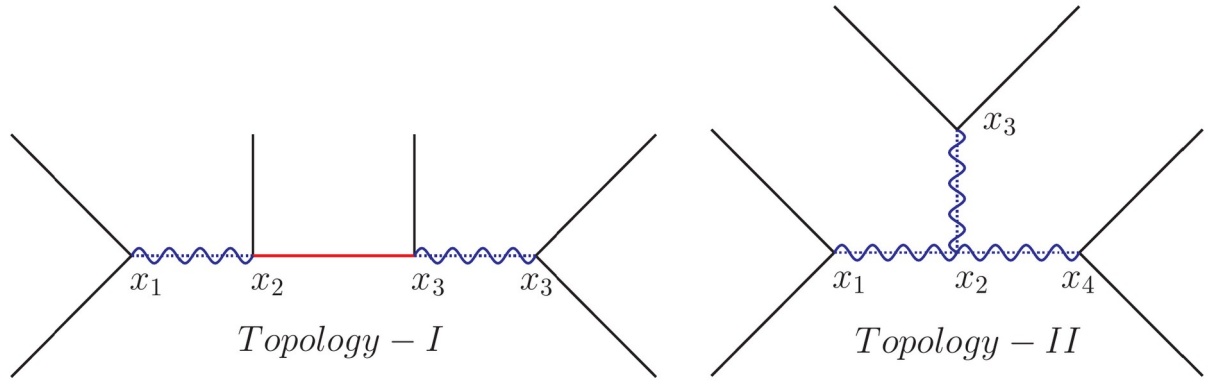
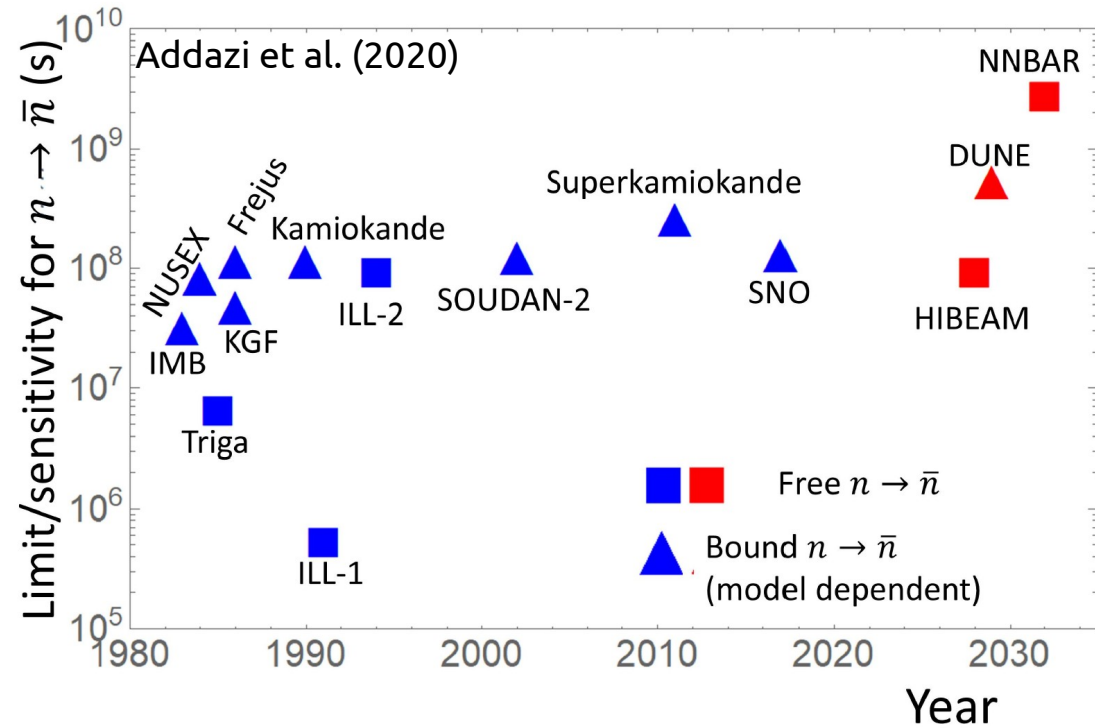
Testable B violation scenarios:

- $\Delta B = 1$: proton decay
- $\Delta B = 2$: n - \bar{n} oscillations



Future sensitivity at ESS: $\tau_{n\bar{n}} \geq 10^{10} s$

New high-sensitivity searches for neutrons converting into antineutrons and/or sterile neutrons at the European Spallation Source, Addazi et al. (2020)

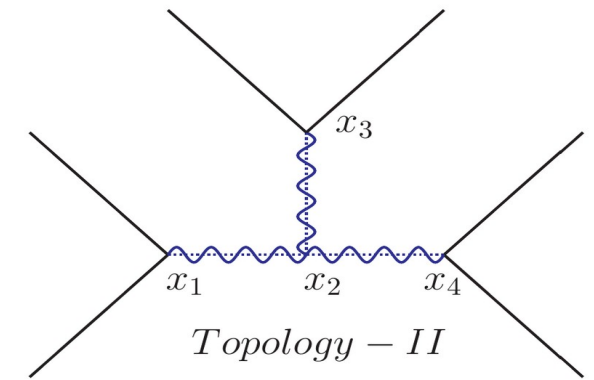


Mohapatra, Marshak (1980)
Babu, Mohapatra (2012)

High scale baryogenesis

Simplified model:

$$\mathcal{L}_{II} = f_{ij}^{dd} X_{dd} d_{iR} d_{jR} + \frac{f_{ij}^{ud}}{\sqrt{2}} X_{ud} (u_{iR} d_{jR} + u_{jR} d_{iR}) + \lambda \xi X_{dd} X_{ud} X_{ud} + \text{h.c.}$$

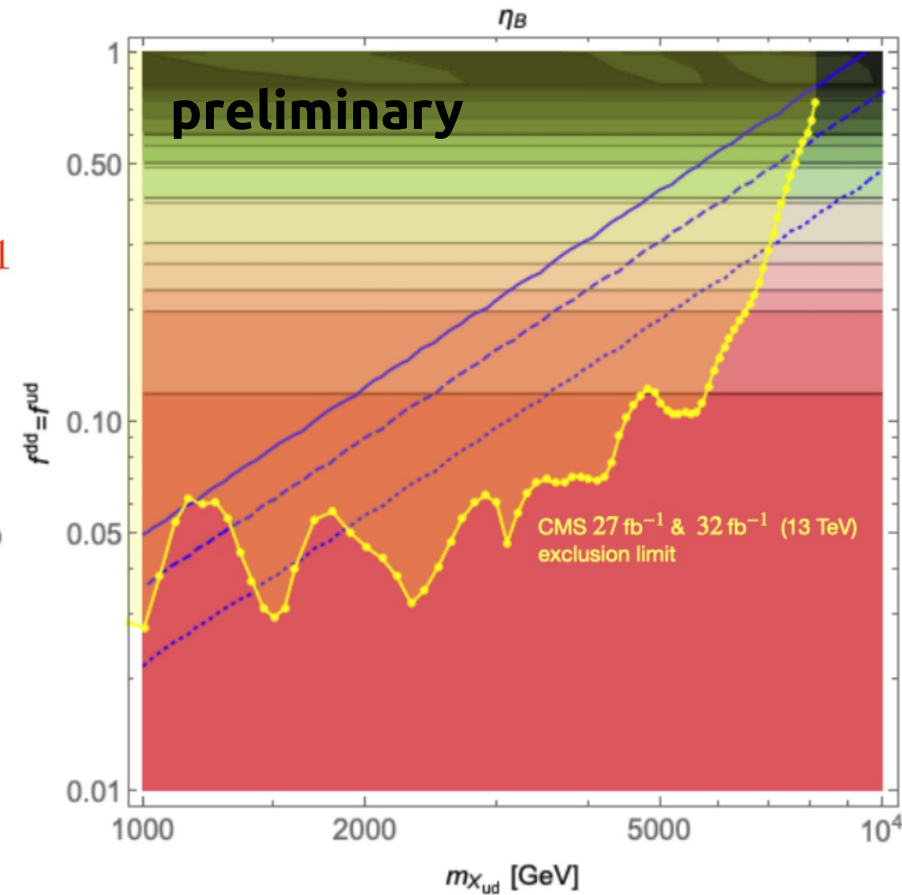
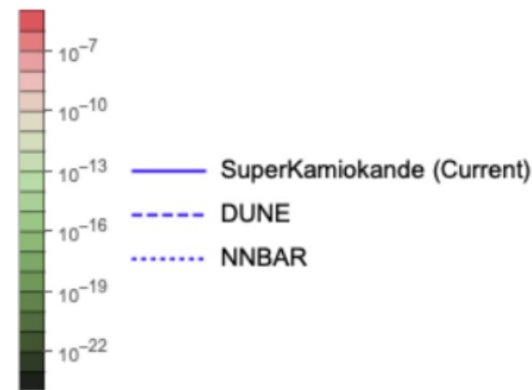


Interesting interplay with other experiments:

- N-nbar oscillations
- Di-nucleon decay
- LHC
- meson oscillations

$$m_{X_{dd}} = 10^{14} \text{ GeV} \quad \epsilon = 1$$

$$\lambda v_{B-L} = 6 \times 10^{14} \text{ GeV}$$



Can we learn something about baryogenesis by the complementarity of experiments?

Fridell, JH, Hati, in preparation

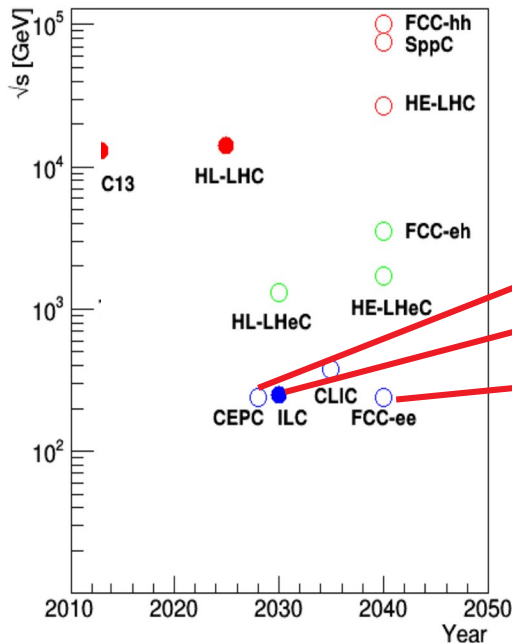
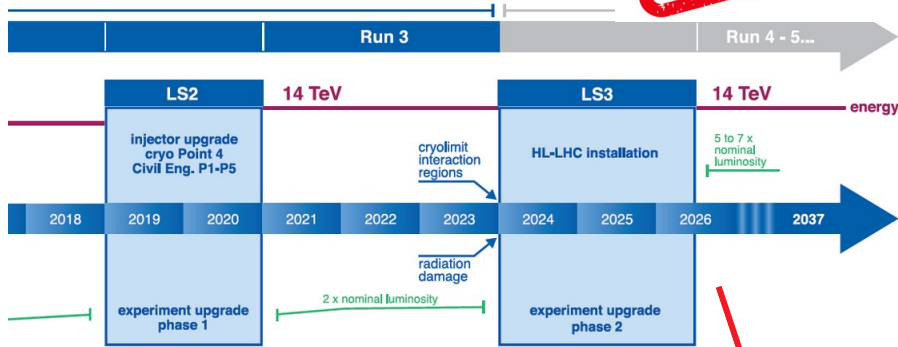
Many other possibilities...

electroweak
baryogenesis

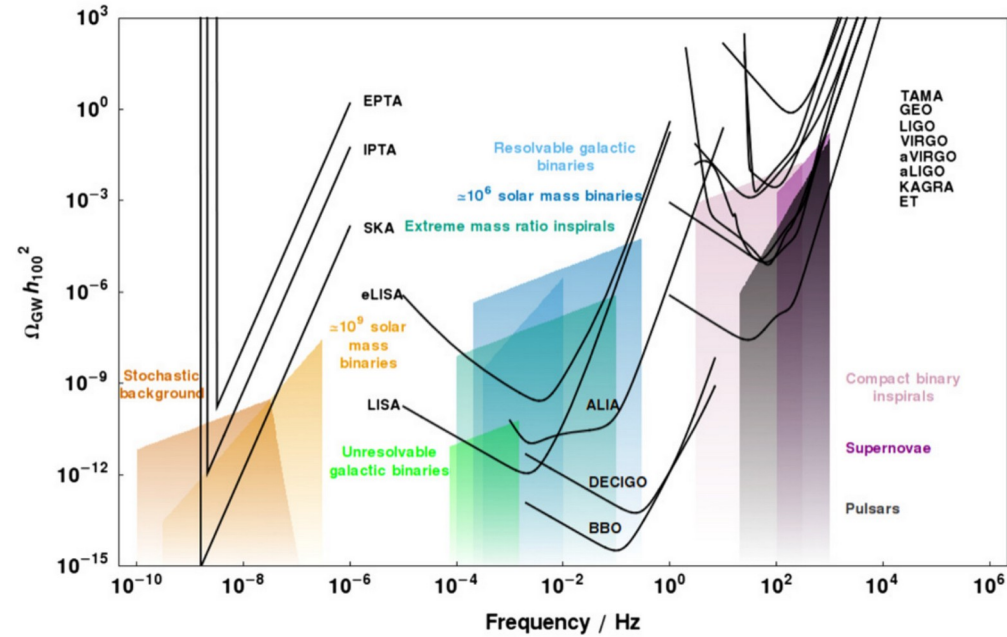
MISSING

CP violation

1st order phase transition



Higgs
factories

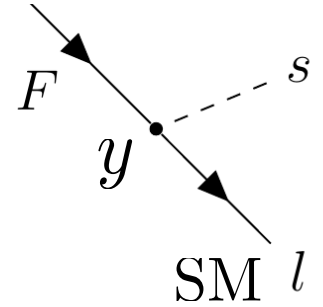


Stochastic gravitational
wave background

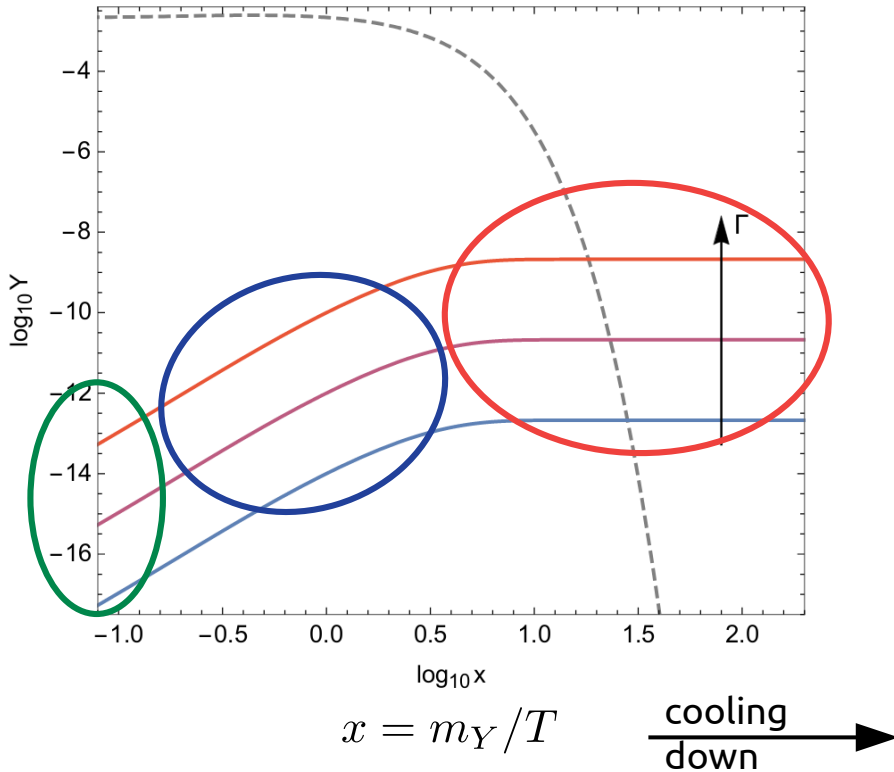
Probing freeze-in dark matter and baryogenesis

$$y_\chi Y_F X_{SM} \chi_s$$

$$y \sim \mathcal{O}(10^{-7})$$



Review Article: The Dawn of FIMP Dark Matter: A Review of Models and Constraints,
Bernal, Heikinheimo, Tenkanen, Tuominen, Vaskonen (2017)



(1) Thermal equilibrium regime ($T \gg m$)

DM is feebly interacting with the SM bath; abundance negligible

(2) DM production

DM gets produced via decay of a heavier particle Y that is in equilibrium with the SM bath

$$Y \rightarrow \text{SM } \chi$$

(3) Freeze-in

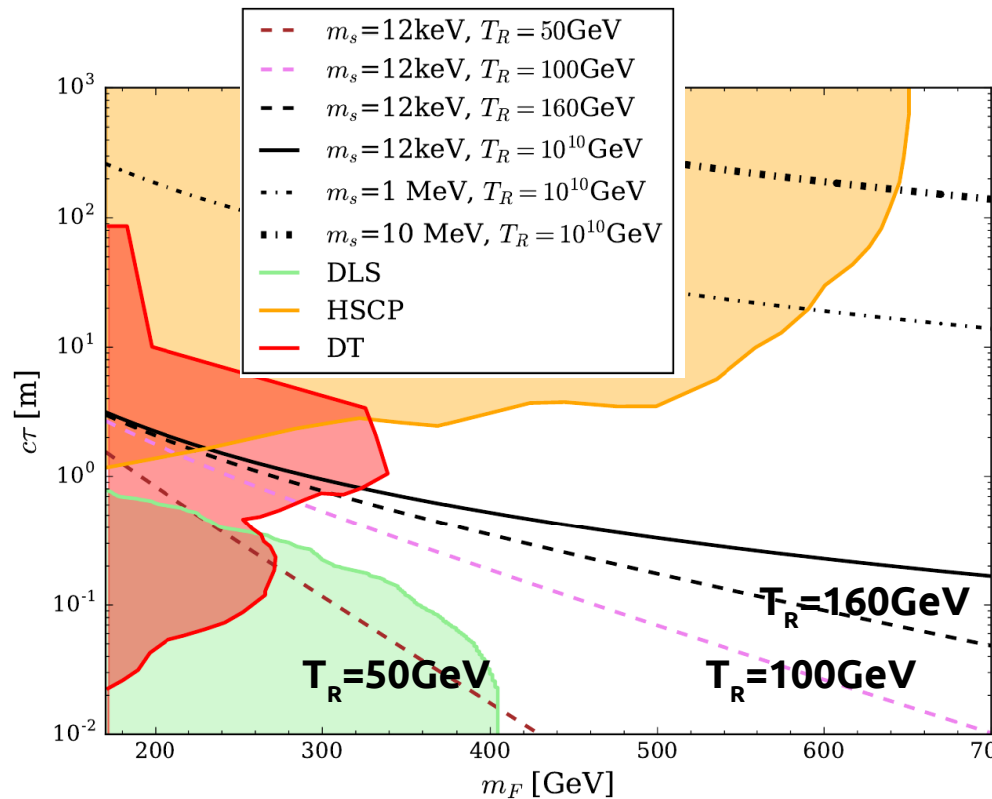
when T falls below mass of parent particle Y , production gets Boltzmann suppressed

$$n_Y \approx \exp(-m_Y/T)$$

Probing freeze-in dark matter and baryogenesis

Assuming that DM is mostly generated by decays of the parent F, we can relate the **relic abundance** with the parent particle life time

$$c\tau \approx 4.5 \text{ m} \xi g_F \left(\frac{0.12}{\Omega_s h^2} \right) \left(\frac{m_s}{100 \text{ keV}} \right) \left(\frac{200 \text{ GeV}}{m_F} \right)^2 \left(\frac{102}{g_*(m_F/3)} \right)^{3/2} \left[\frac{\int_{m_F/T_R}^{m_F/T_0} dx x^3 K_1(x)}{3\pi/2} \right]$$



- $m_s = 12 \text{ keV}$ is the **smallest possible mass** from Lyman- α constraints
 $m_s > 12 \text{ keV}$ would imply even smaller T_R
- If s made up **not all of the DM**, a smaller T_R would be implied

→ **most conservative choice**

Possibility to falsify baryogenesis / leptogenesis models that rely on effective sphaleron interactions.

Belanger, JH et al. (2018)

Conclusions



**We live in a world full of interesting mysteries!
Astroparticle physics might guide us to new physics.**

Thank you for your attention!

