

# Null test BSM searches with rare charm baryon decays

Marcel Golz

based on

JHEP 09 (2021) 208; [arxiv:2107.13010] MG, G. Hiller, T. Magorsch

## 11th International Workshop on the CKM Unitarity Triangle

24<sup>th</sup> November 2021



- ▶ Part I - Introduction to rare charm decays
  - ▶ Effective field theory framework
  - ▶ Null test overview
  - ▶ Baryon modes
- ▶ Part II - Null tests with baryons
  - ▶ Forward-backward asymmetry
  - ▶  $F_L$
  - ▶ LU ratios
  - ▶ CP-violation
- ▶ Outlook

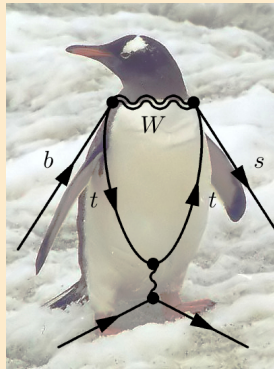
A city skyline featuring a river in the foreground with a bridge. The background is filled with various skyscrapers and buildings under a cloudy sky. The text is overlaid in the center.

# Part I - Introduction rare charm decays



## EFT at the charm scale de Boer, (2017), PhD thesis, TU Dortmund

What is the difference between  $b \rightarrow s$  and  $c \rightarrow u$  penguins?





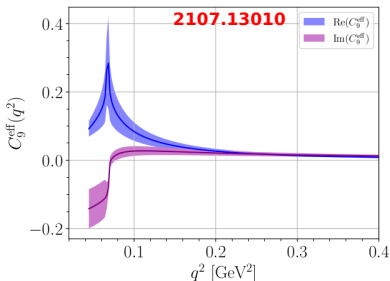
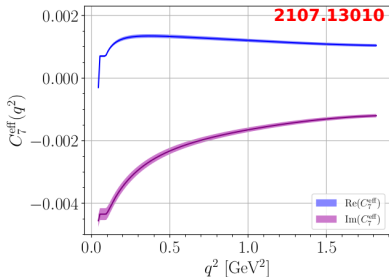


## EFT at the charm scale de Boer, (2017), PhD thesis, TU Dortmund

What is the difference between  $b \rightarrow s$  and  $c \rightarrow u$  penguins?



- ▶ Light quark masses need to be set to zero at  $\mu_W$
- ▶ Effective GIM-mechanism kills  $C_{7,9,10}$  at  $\mu_W$
- ▶  $C_{7,9}^{\text{eff}}$  are induced by RG running to  $\mu_c$
- ▶  $C_{10}(\mu_c) = 0$



$$\mathcal{H}_{\text{eff}} \supset -\frac{4G_F}{\sqrt{2}} \frac{\alpha_e}{4\pi} \left[ \sum_{i=7,9,10,S,P} (C_i O_i + C'_i O'_i) + \sum_{i=T,T5} C_i O_i \right],$$

$$O_7 = \frac{m_c}{e} (\bar{u}_L \sigma_{\mu\nu} c_R) F^{\mu\nu},$$

$$O_9 = (\bar{u}_L \gamma_\mu c_L) (\bar{\ell} \gamma^\mu \ell), \quad O_{10} = (\bar{u}_L \gamma_\mu c_L) (\bar{\ell} \gamma^\mu \gamma_5 \ell),$$

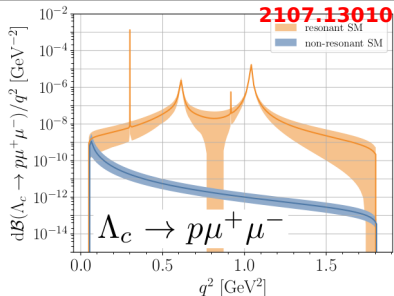
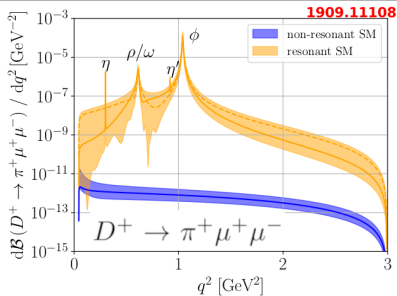
$$O_S = (\bar{u}_L c_R) (\bar{\ell} \ell), \quad O_P = (\bar{u}_L c_R) (\bar{\ell} \gamma_5 \ell),$$

$$O_T = \frac{1}{2} (\bar{u} \sigma_{\mu\nu} c) (\bar{\ell} \sigma^{\mu\nu} \ell), \quad O_{T5} = \frac{1}{2} (\bar{u} \sigma_{\mu\nu} c) (\bar{\ell} \sigma^{\mu\nu} \gamma_5 \ell).$$

- ▶ Primed operators obtained with  $L \leftrightarrow R$

- ▶  $C_7^{\text{eff}} = \mathcal{O}(10^{-3})$ ,  
 $C_9^{\text{eff}} = \mathcal{O}(10^{-2})$ .

- ▶  $C_{10}^{(\prime)} = C_S^{(\prime)} = C_P^{(\prime)} = 0$  and  
 $C_T = C_{T5} = 0$



- ▶ SM contributions dominated by long range dynamics

$$\mathcal{B}^{\text{SM}}(D \rightarrow \pi l^+ l^-) \approx \mathcal{B}(D \rightarrow \pi V(\rightarrow l^+ l^-))$$

- ▶ Parametrized by a sum of Breit-Wigner contributions (fit from data)

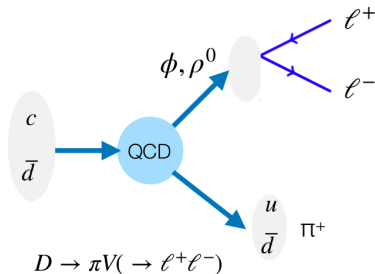
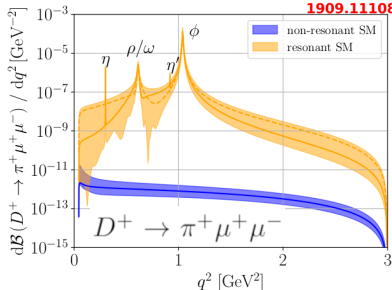
$$C_9^R(q^2) = a_\omega e^{i\delta_\omega} \left( \frac{1}{q^2 - m_\omega^2 + im_\omega \Gamma_\omega} - \frac{3}{q^2 - m_\rho^2 + im_\rho \Gamma_\rho} \right) + \frac{a_\phi e^{i\delta_\phi}}{q^2 - m_\phi^2 + im_\phi \Gamma_\phi}$$

$$C_P^R(q^2) = \frac{a_\eta e^{i\delta_\eta}}{q^2 - m_\eta^2 + im_\eta \Gamma_\eta} + \frac{a_{\eta'}}{q^2 - m_{\eta'}^2 + im_{\eta'} \Gamma_{\eta'}}$$





1909.11108



- ▶ SM contributions dominated by long range dynamics

$$\mathcal{B}^{\text{SM}}(D \rightarrow \pi l^+ l^-) \approx \mathcal{B}(D \rightarrow \pi V(\rightarrow l^+ l^-))$$

- ▶ Parametrized by a sum of Breit-Wigner contributions (fit from data)

$$C_9^R(q^2) = a_\omega e^{i\delta_\omega} \left( \frac{1}{q^2 - m_\omega^2 + im_\omega \Gamma_\omega} - \frac{3}{q^2 - m_\rho^2 + im_\rho \Gamma_\rho} \right) + \frac{a_\phi e^{i\delta_\phi}}{q^2 - m_\phi^2 + im_\phi \Gamma_\phi}.$$

$$C_P^R(q^2) = \frac{a_\eta e^{i\delta_\eta}}{q^2 - m_\eta^2 + im_\eta \Gamma_\eta} + \frac{a_{\eta'}}{q^2 - m_{\eta'}^2 + im_{\eta'} \Gamma_{\eta'}}$$



- ▶ Parameters in  $C_9^R$ ,  $C_P^R$  are main source of uncertainties
- ▶ Process dependent [1909.11108](#), [1805.08516](#)

	$a_\rho / \text{GeV}^2$	$a_\phi / \text{GeV}^2$	$a_\eta / \text{GeV}^2$	$a_{\eta'} / \text{GeV}^2$
$D^+ \rightarrow \pi^+$	$\sim 0.2$	$\sim 0.2$	$\sim 6 \times 10^{-4}$	$\sim 8 \times 10^{-4}$
$D^0 \rightarrow \pi^0$	$\sim 0.9$	$\sim 0.3$	$\sim 5 \times 10^{-4}$	$\sim 8 \times 10^{-4}$
$D_s^+ \rightarrow K^+$	$\sim 0.5$	$\sim 0.1$	$\sim 6 \times 10^{-4}$	$\sim 7 \times 10^{-4}$
$D^0 \rightarrow \pi^+\pi^-$	$\sim 0.7$	$\sim 0.3$	$\sim 1 \times 10^{-3}$	$\sim 1 \times 10^{-3}$
$D^0 \rightarrow K^+K^-$	$\sim 0.7$	—	$\sim 3 \times 10^{-4}$	—



- Parameters in  $C_9^R, C_P^R$  are main source of uncertainty
- Process dependent [1909.11108](#), [1805.00010](#)

	$\Lambda_c \rightarrow p$	$\Xi_c^+ \rightarrow \Sigma^+$	$\Xi_c^0 \rightarrow \Sigma^0$	$\Xi_c^0 \rightarrow \Lambda^0$	$\Omega_c^0 \rightarrow \Xi^0$
$a_\omega$	$0.062 \pm 0.009$	$\sim 0.06$	$\sim 0.06$	$\sim 0.06$	$\sim 0.05$
$a_\phi$	$0.110 \pm 0.008$	$\sim 0.1$	$\sim 0.1$	$\sim 0.1$	$\sim 0.09$
$D^+ \rightarrow \pi^+$					$\sim 8 \times 10^{-4}$
$D^0 \rightarrow \pi^0$					$\sim 8 \times 10^{-4}$
$D_s^+ \rightarrow K^+$					$\sim 7 \times 10^{-4}$
$D^0 \rightarrow \pi^+\pi^-$		$\sim 0.3$			$\sim 1 \times 10^{-3}$
$D^0 \rightarrow K^+K^-$	$\sim 0.7$	—			—

2107.13010



- Parameters in  $C_9^R, C_P^R$  are main source of uncertainty
- Process dependent [1909.11108](#), [1805.00011](#)

	$\Lambda_c \rightarrow p$	$\Xi_c^+ \rightarrow \Sigma^+$	$\Xi_c^0 \rightarrow \Sigma^0$	$\Xi_c^0 \rightarrow \Lambda^0$	$\Omega_c^0 \rightarrow \Xi^0$
$a_\omega$	$0.062 \pm 0.009$	$\sim 0.06$	$\sim 0.06$	$\sim 0.06$	$\sim 0.05$
$a_\phi$	$0.110 \pm 0.008$	$\sim 0.1$	$\sim 0.1$	$\sim 0.1$	$\sim 0.09$
$\delta_i$	$\sim 0.7$	$\sim 0.1$	$\sim 0.1$	$\sim 0.1$	$\sim 0.1$
$\Gamma / \text{GeV}^2$					
$D^+ \rightarrow \pi^+$					$\sim 8 \times 10^{-4}$
$D^0 \rightarrow \pi^0$					$\sim 8 \times 10^{-4}$
$D_s^+ \rightarrow K^+$					$\sim 7 \times 10^{-4}$
$D^0 \rightarrow \pi^+\pi^-$					$\sim 1 \times 10^{-3}$
$D^0 \rightarrow K^+K^-$					$\sim 1 \times 10^{-3}$
					$\sim 3 \times 10^{-4}$

[2107.13010](#)

- With more data model parameters ( $a_i, \delta_i$ ) can be constrained and the model can be improved.



- ▶ Rare charm decays observed only at the resonances, for the rest of the signal region U.L. are available at 90 % C.L. (see [2011.09478](#))
  - ▶  $\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 6.2 \times 10^{-9}$
  - ▶  $\mathcal{B}(D^+ \rightarrow \pi^+ \mu^+ \mu^-) < 6.7 \times 10^{-8}$
  - ▶  $\mathcal{B}(D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-) < 5.5 \times 10^{-7}$
  - ▶  $\mathcal{B}(\Lambda_c \rightarrow p \mu^+ \mu^-) < 7.7 \times 10^{-8}$
  - ▶ [New results from LHCb 2111.03327](#)

Direct bounds on WC's from  $\mathcal{B}(D^+ \rightarrow \pi^+ \mu^+ \mu^-)$ ,  $\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-)$  imply

- ▶  $C_{S,P} \lesssim \mathcal{O}(0.1)$      $C_{7,9,10,T,T5} \lesssim \mathcal{O}(1)$



NP searches in branching ratios are challenging.

Instead define null test observables where

**Signal**  $\leftrightarrow$  **NP**

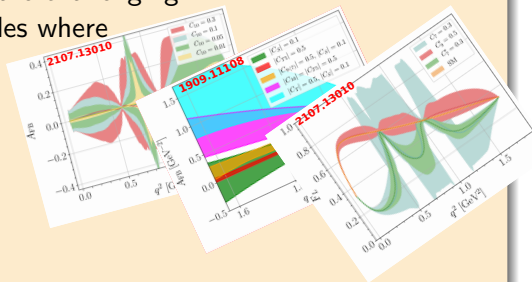


NP searches in branching ratios are challenging.

Instead define null test observables where

## Signal $\leftrightarrow$ NP

- ▶ Angular observables



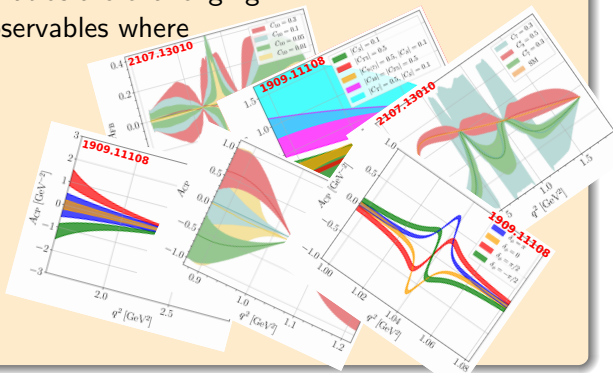


NP searches in branching ratios are challenging.

Instead define null test observables where

Signal  $\leftrightarrow$  NP

- ▶ Angular observables
- ▶ CP-asymmetries





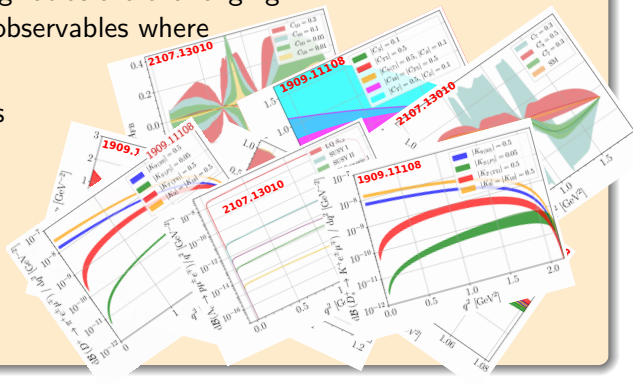


NP searches in branching ratios are challenging.

Instead define null test observables where

## Signal $\leftrightarrow$ NP

- ▶ Angular observables
- ▶ CP-asymmetries
- ▶ LFV



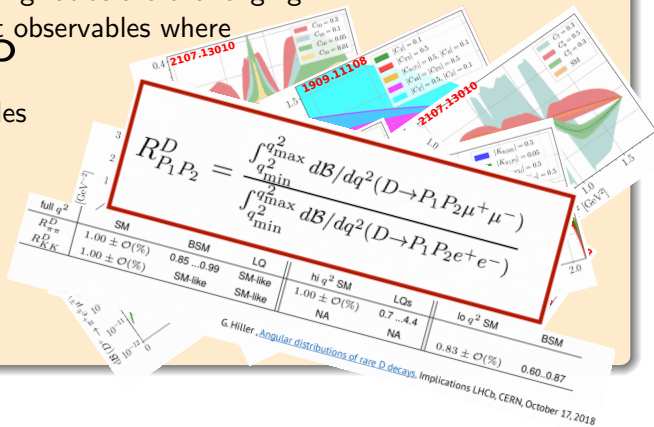


NP searches in branching ratios are challenging.

Instead define null test observables where

## Signal $\leftrightarrow$ NP

- ▶ Angular observables
- ▶ CP-asymmetries
- ▶ LFV
- ▶ LU ratios



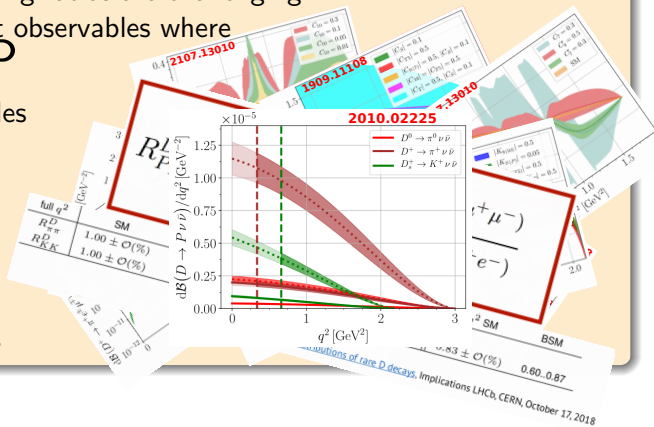


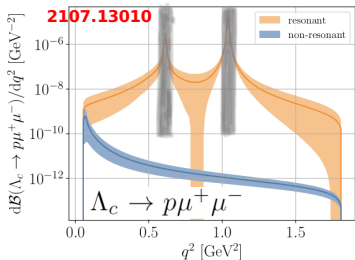
NP searches in branching ratios are challenging.

Instead define null test observables where

## Signal $\leftrightarrow$ NP

- ▶ Angular observables
- ▶ CP-asymmetries
- ▶ LFV
- ▶ LU ratios
- ▶ Dineutrino modes





- ▶ LHCb upper limit at 90 % C.L. with  $\pm 40$  MeV cuts around known resonance masses, then extrapolated to full  $q^2$  region

$$\mathcal{B}_{\text{LHCb}}(\Lambda_c \rightarrow p\mu^+\mu^-) < 7.7 \times 10^{-8}$$

- ▶ Including form factor and resonance uncertainties and integrating the LHCb search region

$$\mathcal{B}^{\text{SM}}(\Lambda_c \rightarrow p\mu^+\mu^-) = (1.9_{-1.5}^{+1.8}) \times 10^{-8}$$

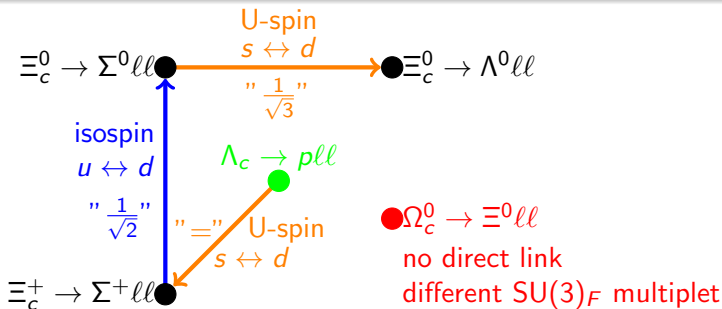
$$\mathcal{B}^{\text{SM}}(\Xi_c^+ \rightarrow \Sigma^+\mu^+\mu^-) \sim 1.8 \times \mathcal{B}^{\text{SM}}(\Lambda_c \rightarrow p\mu^+\mu^-)$$

$$\mathcal{B}^{\text{SM}}(\Xi_c^0 \rightarrow \Sigma^0\mu^+\mu^-) \sim 0.4 \times \mathcal{B}^{\text{SM}}(\Lambda_c \rightarrow p\mu^+\mu^-)$$

$$\mathcal{B}^{\text{SM}}(\Omega_c^0 \rightarrow \Xi^0\mu^+\mu^-) \sim 1.3 \times \mathcal{B}^{\text{SM}}(\Lambda_c \rightarrow p\mu^+\mu^-)$$



- ▶ Form factors are available for  $\Lambda_c \rightarrow p\mu^+\mu^-$  from Lattice QCD (see 1712.05783)
- ▶ No results for other decay modes (from the lattice) available yet.
- ▶ Use  $SU(3)_F$  flavor symmetries to relate modes



A cityscape featuring a river in the foreground with a bridge, and a dense urban skyline in the background. The scene is overlaid with a semi-transparent grey rectangular box containing the text "Part II - Null tests with baryons" in orange. The sky is blue with light clouds.

# Part II - Null tests with baryons

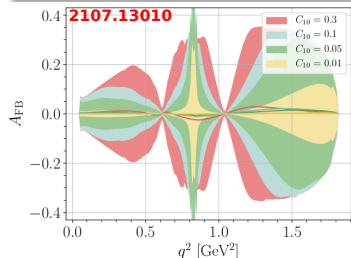


$\Lambda_c \rightarrow p\mu^+\mu^-$  decay distribution:

$$\frac{d\Gamma}{dq^2 d\cos\theta_\ell} = \frac{3}{2} (K_{1ss} \sin^2\theta_\ell + K_{1cc} \cos^2\theta_\ell + K_{1c} \cos\theta_\ell),$$

$$A_{FB} = \frac{1}{d\Gamma/dq^2} \left[ \int_0^1 - \int_{-1}^0 \right] \frac{d\Gamma}{dq^2 d\cos\theta_\ell} d\cos\theta_\ell = \frac{3}{2} \frac{K_{1c}}{2K_{1ss} + K_{1cc}}.$$

$K_{1c} \sim C_9 C_{10}, C'_9 C'_{10}$  and  $C_7^{(\prime)} C_{10}^{(\prime)}$  interference terms  $\Rightarrow$  **no SM.**





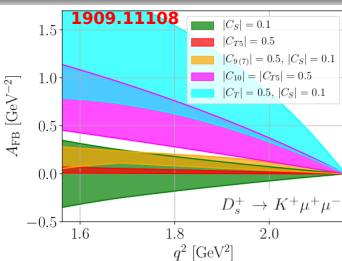
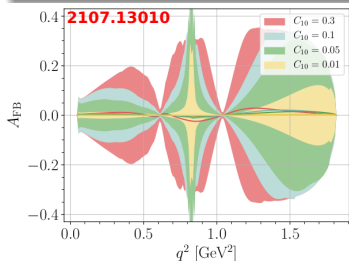
$\Lambda_c \rightarrow p\mu^+\mu^-$  decay distribution:

$$\frac{d\Gamma}{dq^2 d\cos\theta_\ell} = \frac{3}{2} (K_{1ss} \sin^2\theta_\ell + K_{1cc} \cos^2\theta_\ell + K_{1c} \cos\theta_\ell),$$

$$A_{FB} = \frac{1}{d\Gamma/dq^2} \left[ \int_0^1 - \int_{-1}^0 \right] \frac{d\Gamma}{dq^2 d\cos\theta_\ell} d\cos\theta_\ell = \frac{3}{2} \frac{K_{1c}}{2K_{1ss} + K_{1cc}}.$$

$K_{1c} \sim C_9 C_{10}, C'_9 C'_{10}$  and  $C_7^{(\prime)} C_{10}^{(\prime)}$  interference terms  $\Rightarrow$  **no SM.**

Complementary results in  $D \rightarrow P\mu^+\mu^-$  (Normalized to integrated rate)

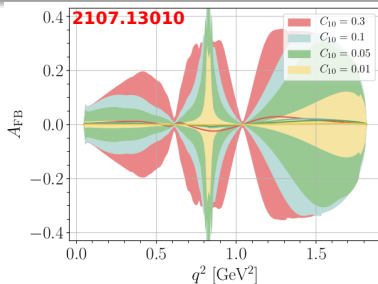
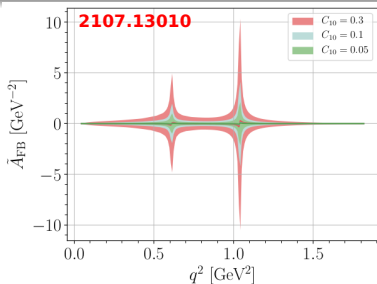






## Normalization matters

- ▶  $\tilde{A}_{\text{FB}} = \frac{3}{2} \frac{K_{1c}}{\Gamma}$  with  $\Gamma = \int_{q_{\text{min}}^2}^{q_{\text{max}}^2} (2 K_{1ss} + K_{1cc})$
- ▶  $A_{\text{FB}} = \frac{3}{2} \frac{K_{1c}}{d\Gamma/dq^2}$  with  $d\Gamma/dq^2 = 2 K_{1ss} + K_{1cc}$
- ▶ Resonance enhancement

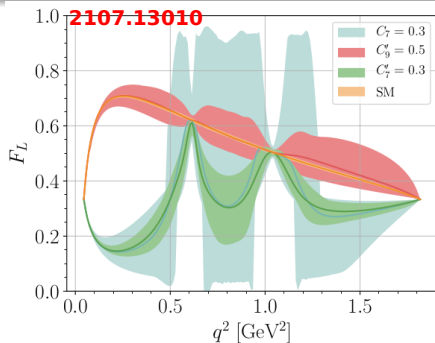
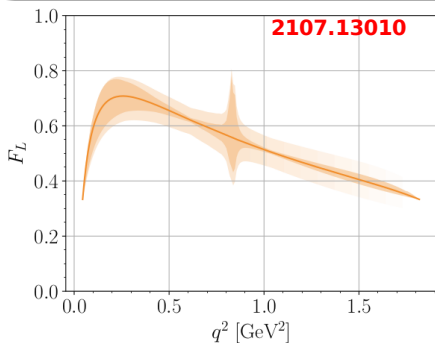




## Fraction of longitudinally polarized dimuons

$$F_L = \frac{2 K_{1ss} - K_{1cc}}{2 K_{1ss} + K_{1cc}}$$

No null test, but cancellation of hadronic uncertainties in the SM and highly sensitive to  $C_7^{(i)}$ .





$$R_{\pi}^D = \int_{q_{\min}^2}^{q_{\max}^2} \frac{d\mathcal{B}(D \rightarrow \pi \mu^+ \mu^-)}{dq^2} dq^2 / \int_{q_{\min}^2}^{q_{\max}^2} \frac{d\mathcal{B}(D \rightarrow \pi e^+ e^-)}{dq^2} dq^2$$

$R_{\pi}^D$	SM	$ C_9  = 0.5$	$ C_{10}  = 0.5$	$ C_9  = \pm  C_{10}  = 0.5$
full $q^2$	$1.00 \pm \mathcal{O}(\%)$	SM-like	SM-like	SM-like
low $q^2$	$0.95 \pm \mathcal{O}(\%)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$
high $q^2$	$1.00 \pm \mathcal{O}(\%)$	0.2...11	3...7	2...17

**1909.11108**



$$R_{\pi}^D = \int_{q^2_{\min}}^{q^2_{\max}} \frac{d\mathcal{B}(D \rightarrow \pi \mu^+ \mu^-)}{dq^2} dq^2 / \int_{q^2_{\min}}^{q^2_{\max}} \frac{d\mathcal{B}(\Lambda_c \rightarrow p \mu^+ \mu^-)}{dq^2} dq^2$$

$$R_{p}^{\Lambda_c} = \int_{q^2_{\min}}^{q^2_{\max}} \frac{d\mathcal{B}(\Lambda_c \rightarrow p \mu^+ \mu^-)}{dq^2} dq^2 / \int_{q^2_{\min}}^{q^2_{\max}} \frac{d\mathcal{B}(\Lambda_c \rightarrow p e^+ e^-)}{dq^2} dq^2$$

	SM	$ C_9^{\mu}  = 0.5$	$ C_{10}^{\mu}  = 0.5$	$ C_9^{\mu}  =  C_{10}^{\mu}  = 0.5$	$ C_9^{\mu\prime}  = 0.5$	$ C_{10}^{\mu\prime}  = 0.5$	$ C_9^{\mu\prime}  =  C_{10}^{\mu\prime}  = 0.5$
full $q^2$	$1.00 \pm \mathcal{O}(\%)$	SM-like	SM-like	SM-like	SM-like	SM-like	SM-like
low $q^2$	$0.94 \pm \mathcal{O}(\%)$	$7.5 \dots 20$	$4.4 \dots 13$	$11 \dots 32$	$4.6 \dots 14$	$4.4 \dots 13$	$8.2 \dots 26$
high $q^2$	$1.00 \pm \mathcal{O}(\%)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$

2107.13010



$$R_{\pi}^D = \int_{q_{\min}^2}^{q_{\max}^2} \dots dq^2$$

$$R_{P_1 P_2}^D = \frac{\int_{q_{\min}^2}^{q_{\max}^2} d\mathcal{B}/dq^2(D \rightarrow P_1 P_2 \mu^+ \mu^-)}{\int_{q_{\min}^2}^{q_{\max}^2} d\mathcal{B}/dq^2(D \rightarrow P_1 P_2 e^+ e^-)}$$

$$|C_9^{\mu}| = |C_{10}^{\mu}| = 0.5$$

SM-like  
8.2 ... 26  
 $\mathcal{O}(100)$

full $q^2$	SM	BSM	LQ	hi $q^2$ SM	LQs	lo $q^2$ SM	BSM
$R_{\pi\pi}^D$	$1.00 \pm \mathcal{O}(\%)$	0.85 ... 0.99	SM-like	$1.00 \pm \mathcal{O}(\%)$	0.7 ... 4.4		
$R_{KK}^D$	$1.00 \pm \mathcal{O}(\%)$	SM-like	SM-like	NA	NA	$0.83 \pm \mathcal{O}(\%)$	$0.60..0.87$

full $q^2$	SM	
low $q^2$	$0.94 \pm \mathcal{O}(\%)$	$7.5 \dots 26$
high $q^2$	$1.00 \pm \mathcal{O}(\%)$	$\mathcal{O}(100)$

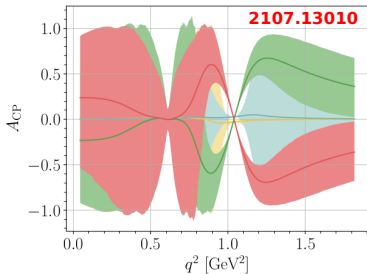
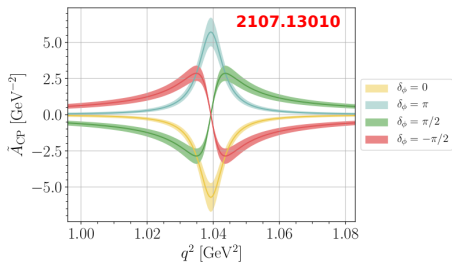
G. Hiller, [Angular distributions of rare D decays](#), Implications LHCb, CERN, October 17, 2018

**1805.08516**



$$\tilde{A}_{CP}(q^2) = \frac{1}{\Gamma + \bar{\Gamma}} \left( \frac{d\Gamma}{dq^2} - \frac{d\bar{\Gamma}}{dq^2} \right) \quad \text{with} \quad \Gamma = \int_{q_{\min}^2}^{q_{\max}^2} \frac{d\Gamma}{dq^2} dq^2 \quad \text{or} \quad A_{CP}(q^2) = \frac{\frac{d\Gamma}{dq^2} - \frac{d\bar{\Gamma}}{dq^2}}{\frac{d\Gamma}{dq^2} + \frac{d\bar{\Gamma}}{dq^2}}$$

- ▶ Strong phase from resonances needed → measurement around the  $\phi$  resonance. S. Fajfer and N. Kosnik [1208.0759](#)
- ▶ NP weak phase needed. → benchmark  $C_9 = 0.5 \exp(i\pi/4)$ .
- ▶ Binning necessary.



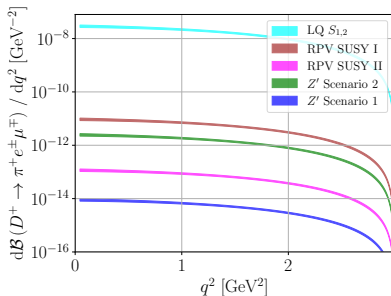
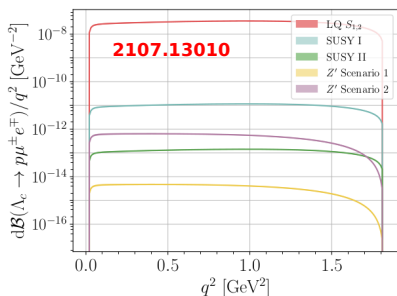


- ▶ Rare charm decays are dominated by long-distance resonance contributions
- ▶ NP can be probed in **clean null test** observables
- ▶ Plenty of opportunities with baryon modes
- ▶ Resonance enhancement turns the bug into a feature
- ▶ Different observables test different Wilson coefficients → complementarity

A city skyline featuring several tall skyscrapers and a bridge over a river. The word "BACKUP" is overlaid in large, bold, orange letters. The scene includes a river in the foreground with yellow buoys, a bridge with arches, and various buildings in the background under a cloudy sky.

**BACKUP**





LQ's ( $K'_9 = K'_{10} = 0.5$ ),  
 SUSY + R-parity violation ( $K_9 = -K_{10} = 0.009$ ),  
 SUSY no R-parity violation ( $K_9 = -K_{10} = 0.001$ ),  
 Z' 1 ( $K_9 = K'_9 = -K_{10} = -K'_{10} = 1.4 \cdot 10^{-4}$ ),  
 Z' 2 ( $K_9 = K'_9 = -K_{10} = -K'_{10} = 2.3 \cdot 10^{-4}$ ).

