

# Theoretical aspects of charm mixing



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# Introduction

- Charm quark mass is a unique scale.

$$m_c \approx 1.3 - 1.7 \text{ GeV}$$

- **too heavy** for ChPT
- **too light** for  $\Lambda_{\text{QCD}}/m_c$  expansion?

Theoretically challenging

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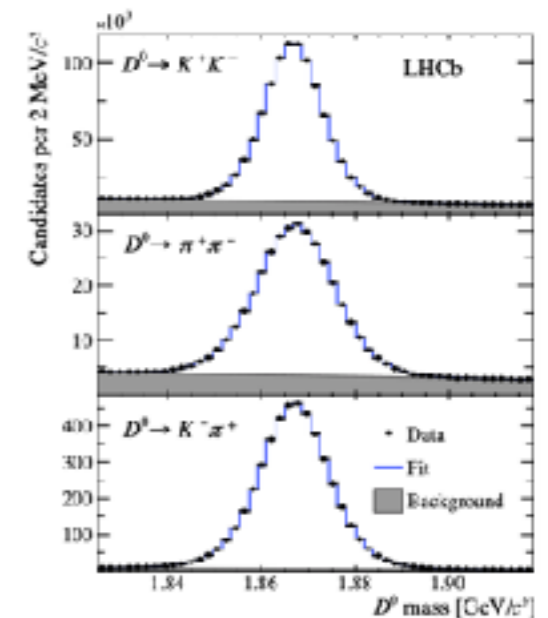
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Theoretically challenging

- **High statistics data are provided.**

- in a good stage to test theories



LHCb [1810.06874]

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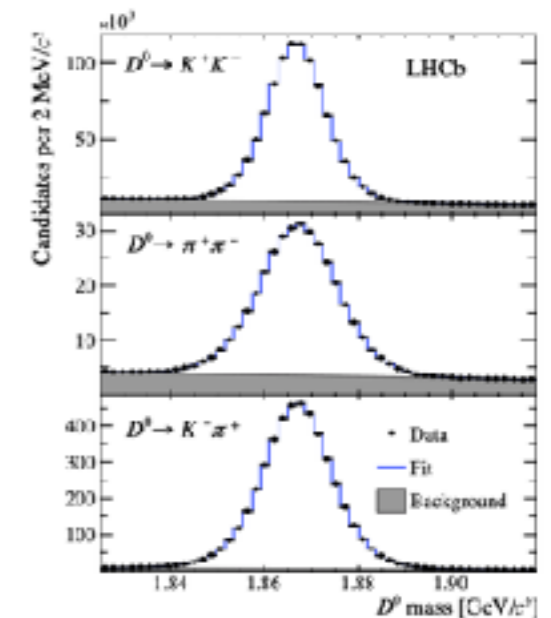
- High statistics data are provided.

- in a good stage to test theories

- $D^0 - \bar{D}^0$  mixing.

- $\Lambda_{\text{QCD}}/m_c$  expansion is not successful

- experimental data are not quantitatively reproduced yet



LHCb [1810.06874]

# Outline

## (A) $D^0 - \bar{D}^0$ mixing

- Exclusive approach: Hadronic processes
- Inclusive approach: Heavy quark expansion (HQE)

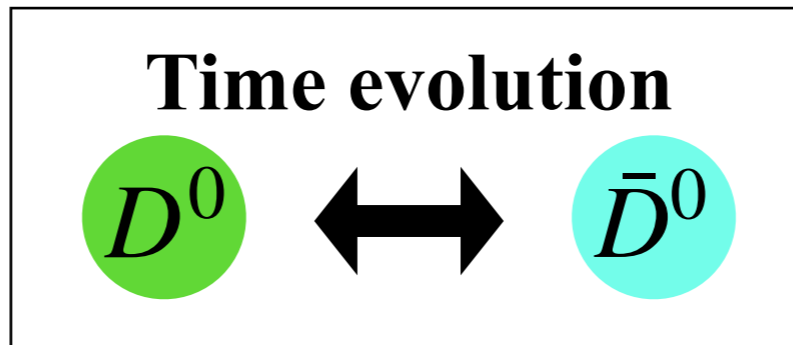
## (B) Quark-hadron duality in the 't Hooft model

- Duality violation in the  $D^0 - \bar{D}^0$  mixing

JHEP 09 (2021) 066 [arXiv:2106.06215 [hep-ph]]

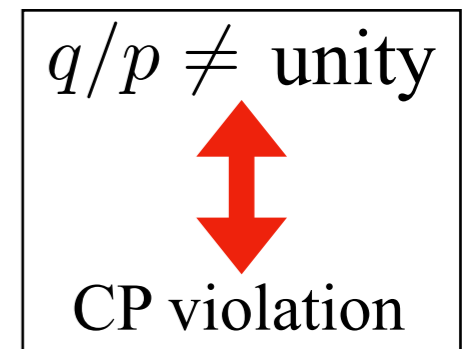
## (C) Summary

# $D^0 - \bar{D}^0$ mixing (notation in PDG)



Time evolution Eq. 
$$i \frac{\partial}{\partial t} \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix} = \left( \mathbf{M} - \frac{i}{2} \mathbf{\Gamma} \right) \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix}$$

Mass eigenstate  $|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$



●  $D_1$  ( $D_2$ ) coincides with a CP-odd (even) state in the CP conserving limit.

Observables

{

(CP conserving limit)

$$x = (M_2 - M_1)/\Gamma \stackrel{\downarrow}{=} 2M_{12}/\Gamma$$

$$y = (\Gamma_2 - \Gamma_1)/2\Gamma = \Gamma_{12}/\Gamma$$

mass difference

width difference

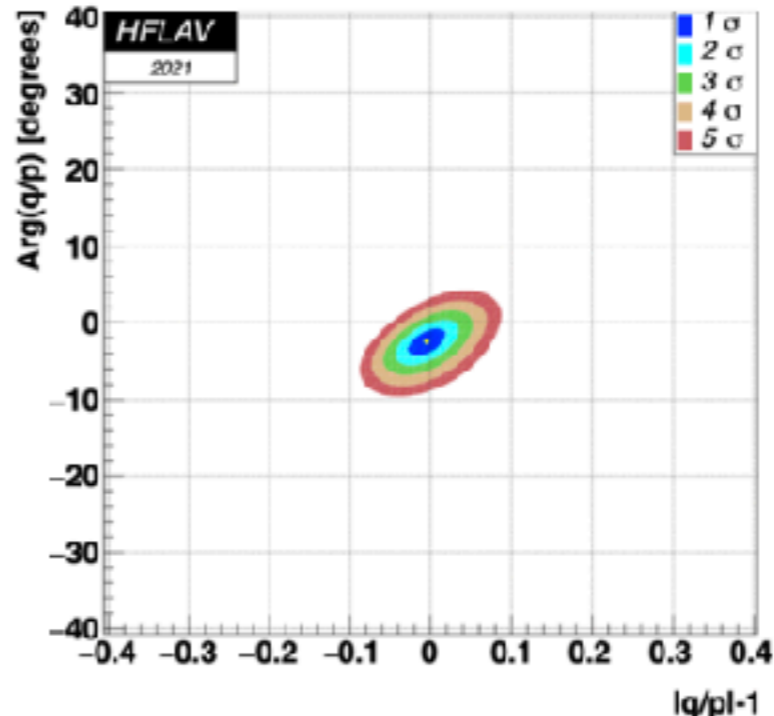
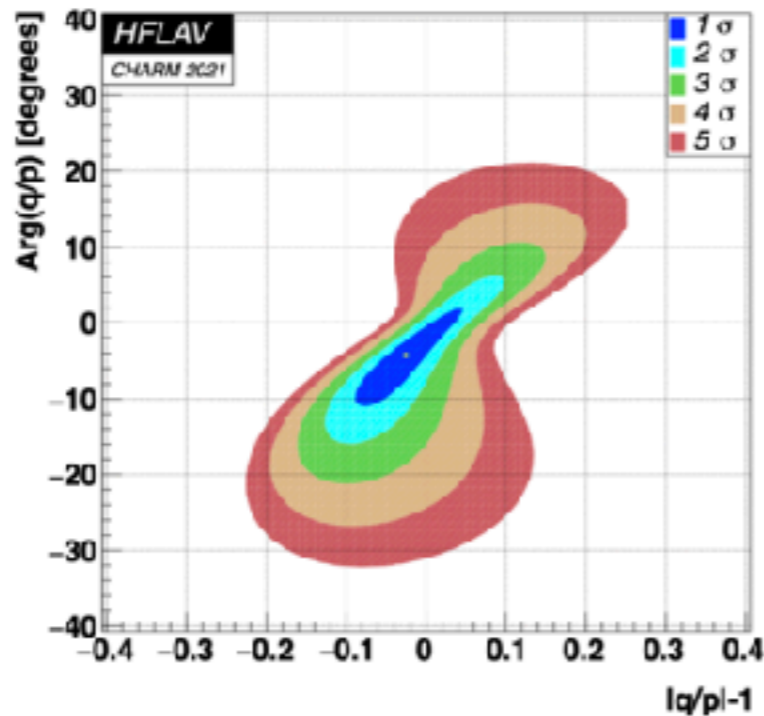
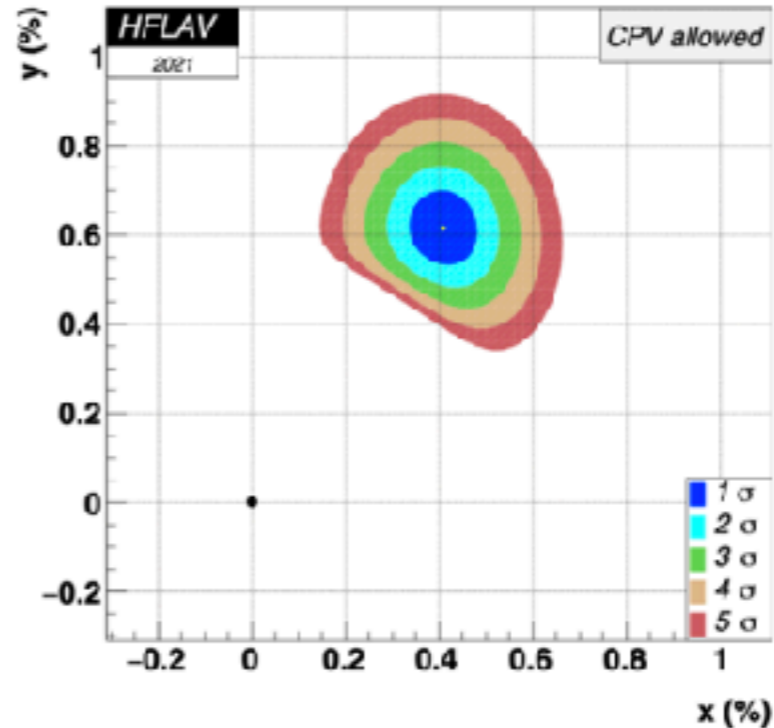
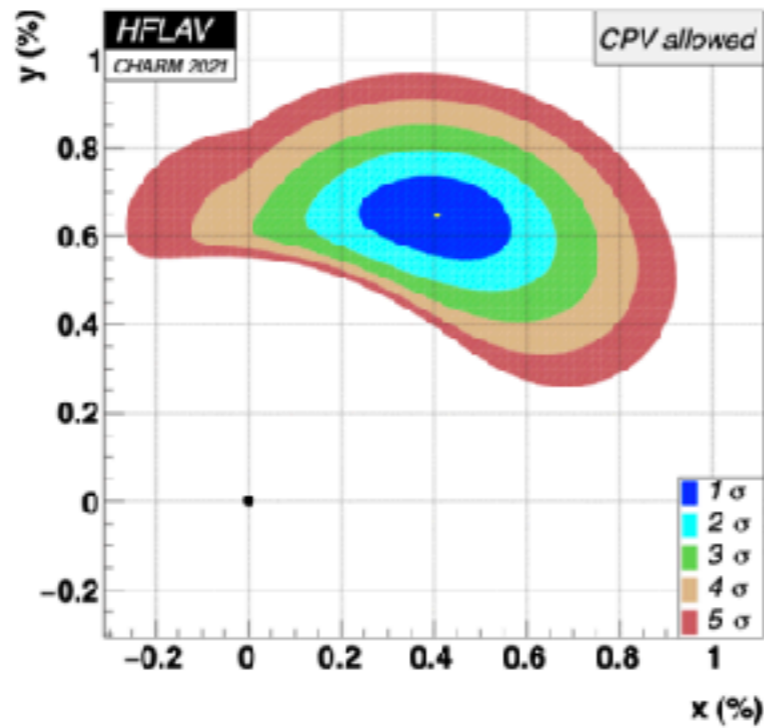
$\Gamma$  : total width

# $D^0 - \bar{D}^0$ mixing: experiment

before

after LHCb  $5.4\text{fb}^{-1} D^0 \rightarrow K_S \pi^+ \pi^-$

$x, y$



CP violation

No mixing  $(x, y) = (0, 0)$  is excluded by  $\gg 11.5\sigma$

# $D^0 - \bar{D}^0$ mixing: theory

## Two methods

### ● Exclusive

Hadronic-level analysis

Hard to calculate  $\begin{cases} \Gamma[D \rightarrow \pi\pi] \\ \Gamma[D \rightarrow KK] \end{cases}$



Data are used

### ● Inclusive

Quark-level analysis

without data

purely theoretical method

(quark-hadron duality is assumed)



# Exclusive approaches

(CP limit) exclusive sum  $D_{\pm}$  : CP eigenstate

$$y \approx \frac{\Gamma_+ - \Gamma_-}{2\Gamma} = \frac{1}{2} \sum_n (\mathcal{B}(D_+ \rightarrow n) - \mathcal{B}(D_- \rightarrow n))$$

$$= \frac{1}{2\Gamma} \sum_n \rho_n \left( |\langle D_+ | H_w | n \rangle|^2 - |\langle D_- | H_w | n \rangle|^2 \right),$$

$\rho_n$  : phase space factor

For PP mode

$$y_{PP} = \mathcal{B}(\pi^+\pi^-) + \mathcal{B}(\pi^0\pi^0) + \mathcal{B}(\pi^0\eta) + \mathcal{B}(\pi^0\eta') + \mathcal{B}(\eta\eta) + \mathcal{B}(\eta\eta') + \mathcal{B}(K^+K^-) + \mathcal{B}(K^0\bar{K}^0)$$

$$- 2 \cos \delta_{K^+\pi^-} \sqrt{\mathcal{B}(K^-\pi^+)\mathcal{B}(K^+\pi^-)} - 2 \cos \delta_{K^0\pi^0} \sqrt{\mathcal{B}(\bar{K}^0\pi^0)\mathcal{B}(K^0\pi^0)}$$

$$- 2 \cos \delta_{K^0\eta} \sqrt{\mathcal{B}(\bar{K}^0\eta)\mathcal{B}(K^0\eta)} - 2 \cos \delta_{K^0\eta'} \sqrt{\mathcal{B}(\bar{K}^0\eta')\mathcal{B}(K^0\eta')} .$$

data is used to determine y

# Exclusive approaches

Exp:  $y = (0.615^{+0.056}_{-0.055})\%$  (HFLAV2021, CPV allowed)

## Topological approach

H-Y Cheng, C-W Chiang, 2010

two solutions

$$y_{PP} = (0.086 \pm 0.041)\%$$

$$y_{PV} = (0.269 \pm 0.253)\% (A, A1)$$

$$y_{PV} = (0.152 \pm 0.220)\% (S, S1)$$

## Factorization assisted topological (FAT) approach

H-Y Jiang, F-S Yu, Q. Qin, H-n. Li and C-D Lu, 2017

$$D \rightarrow PP \quad D \rightarrow PV \quad D \rightarrow VV$$

$$y_{PP+PV} = (0.21 \pm 0.07)\%, \text{ below data}$$

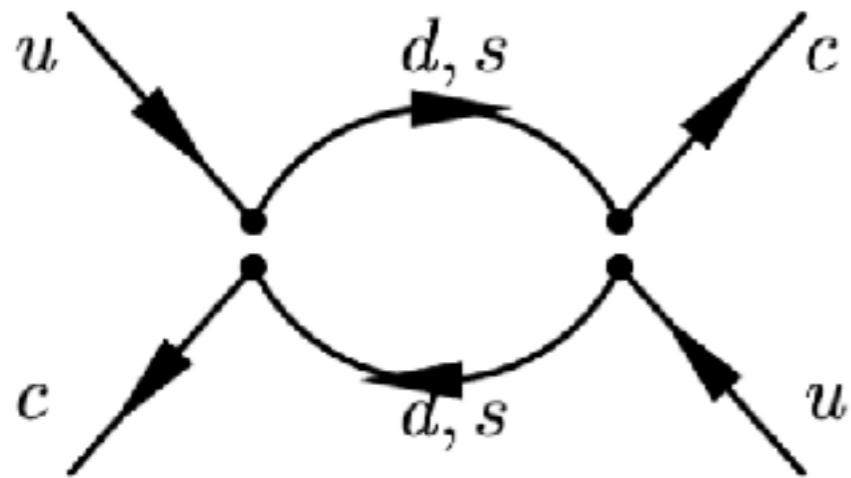
VV:negligible

➔ imply other **two/multi-body** final states' contribution

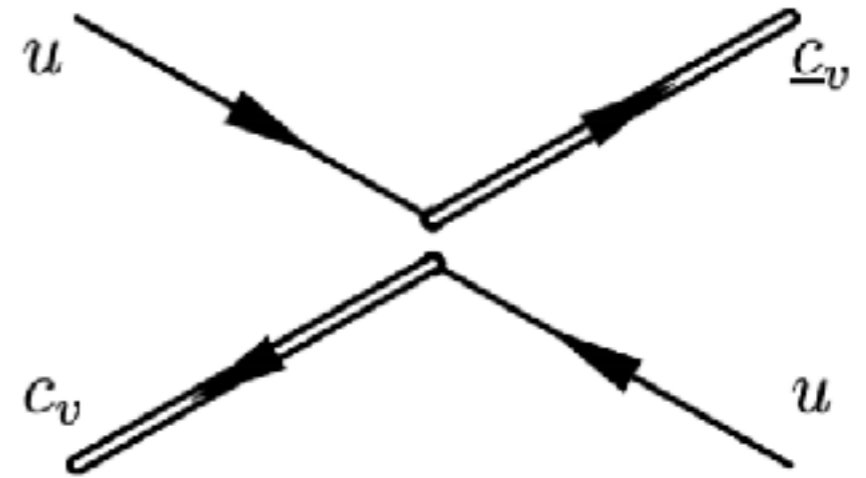
Exclusive ➔ half-value explainable

# Inclusive approach

## Quark-level



box diagrams ( $W$  integrated out)



4-quark operator

$$A_{12} = M_{12} - \frac{i}{2} \Gamma_{12} \quad \longrightarrow \quad \Gamma_{12} = \sum_n \frac{C_n}{m_c^n} \langle D^0 | \mathcal{O}_n^{\Delta C=2} | \bar{D}^0 \rangle$$

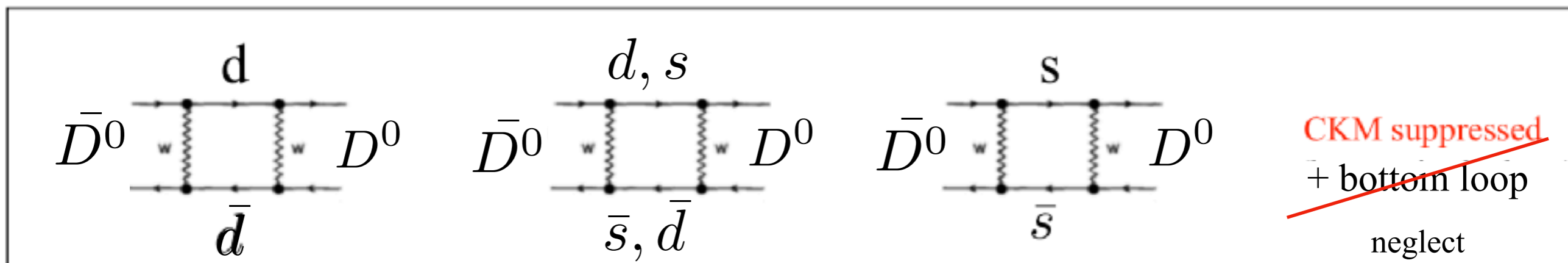
Heavy quark expansion (HQE)

$C_n$  : Wilson coefficient ( $\propto m_c^8$ )

$n$  : dimension of operator

# Contributions

$$\lambda_i = V_{ci}V_{ui}^*$$



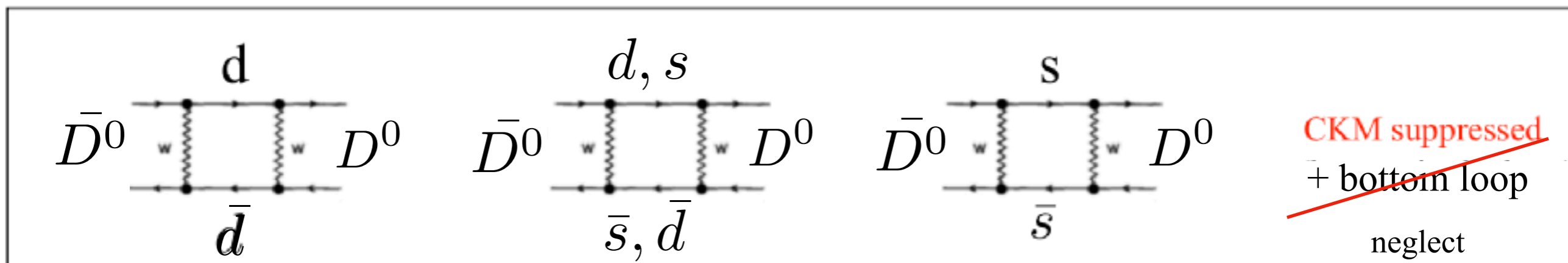
For  $m_s = m_d$

CKM unitarity  
 $\lambda_d + \lambda_s + \lambda_b = 0$   
 neglect

**summation**  $\propto \lambda_d^2 + 2\lambda_d\lambda_s + \lambda_s^2$

# Contributions

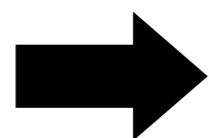
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 ~~$\lambda_b$~~  neglect

**summation**  $\propto \lambda_d^2 + 2\lambda_d\lambda_s + \lambda_s^2 = 0$



Suppressed by the GIM mechanism.

non-zero contributions  
to  $D^0 - \bar{D}^0$  mixing

SU(3) breaking:  $\left(\frac{m_s^2 - m_d^2}{m_c^2}\right)^n$

small CKM :  $\lambda_b = \mathcal{O}(\lambda^5)$

# Theory/Experiment comparison (for inclusive)

## $D$ meson

Box diagram

Hagelin 1981, Cheng 1982  
Buras, Slominski and Steger 1984

NLO QCD

✓ Golowich and Petrov 2005  
Bobrowski *et al.* 2010

SM

$$\begin{cases} x \simeq 6 \cdot 10^{-7} \\ y \simeq 6 \cdot 10^{-7} \end{cases}$$

suppressed by GIM cancellation

Ref. [1]

$$\text{Exp.} \begin{cases} x = (0.409^{+0.048}_{-0.049}) \% \\ y = (0.615^{+0.056}_{-0.055}) \% \end{cases}$$

## $B_s$ meson

SM

Lenz and Tetlalmatzi-Xolocotzi 2019

$$\Delta\Gamma_s = (0.091 \pm 0.013) \text{ ps}^{-1}$$

Exp.

Ref. [2]

$$\Delta\Gamma_s = (0.082 \pm 0.005) \text{ ps}^{-1}$$

## $B_d$ meson

SM

Lenz and Tetlalmatzi-Xolocotzi 2019

$$\Delta\Gamma_d = (2.6 \pm 0.4) \times 10^{-3} \text{ ps}^{-1}$$

Exp.

Ref. [3]

$$\Delta\Gamma_d/\Gamma_d = (0.001 \pm 0.010)$$

- For  $B_s, B_d$  mesons, the experimental data are reproduced. ( $\Delta\Gamma_d$  is consistent within the experimental uncertainty.)
- For  $D$  meson, the order of magnitude is not reproduced within 4-quark op.

[1] HFLAV2021 [https://hflav-eos.web.cern.ch/hflav-eos/charm/CHARM21/results\\_mix\\_cpv.html](https://hflav-eos.web.cern.ch/hflav-eos/charm/CHARM21/results_mix_cpv.html)

[2] HFLAV2020 [https://hflav-eos.web.cern.ch/hflav-eos/osc/PDG\\_2020/](https://hflav-eos.web.cern.ch/hflav-eos/osc/PDG_2020/)

[3] PDG2020 <https://pdg.lbl.gov/2021/reviews/rpp2020-rev-b-bar-mixing.pdf>

# Theory/Experiment comparison (for inclusive)

## **D meson**

Box diagram

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Exp.

$$\begin{cases} x = (0.409^{+0.048}_{-0.049}) \% \\ y = (0.615^{+0.056}_{-0.055}) \% \end{cases}$$

SM

Exp.

- For  $B_s, B_d$  mesons, the exp. ( $\Delta\Gamma_d$  is consistent within the
- **For  $D$  meson, the order of m**

[1] HFLAV2021 [https://hflav-eos.web.cern.ch/hflav-eos/osc/PDG\\_2020/](https://hflav-eos.web.cern.ch/hflav-eos/osc/PDG_2020/)

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[3] PDG2020 <https://pdg.lbl.gov/2021/reviews/rpp2020-rev-b-bar-mixing.pdf>

## Possibilities discussed in the literature

### ✓ Violation of quark-hadron duality?

— 20% violation explains the data (based on a simple model).

Jubb, Kirk, Lenz and Tetlalmatzi-Xolocotzi, 2017

### Contribution of higher dim. operators?

Suggested by Georgi, 1992, prior to the experimental measurement

— It gives a source of SU(3) breaking linear in  $m_s$ ,  
avoiding severe GIM cancellation?

$$x, y \sim \mathcal{O}(10^{-3}) \quad \text{Bigi and Uraltsev, 2001}$$

— With some assumption about hadronic matrix elements,

$$x \sim y \lesssim 10^{-3} \quad \text{Falk, Grossman, Ligeti and Petrov, 2001}$$

### Beyond the standard model?

e.g., Golowich, Pakvasa and Petrov, 2007

e.g.,

Golowich, Hewett, Pakvasa and Petrov, 2009

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— Exclusive approach: Hadronic processes

— Inclusive approach: Heavy quark expansion (HQE)

(B) Quark-hadron duality in the 't Hooft model

— Duality violation in the  $D^0 - \bar{D}^0$  mixing

JHEP 09 (2021) 066 [arXiv:2106.06215 [hep-ph]]

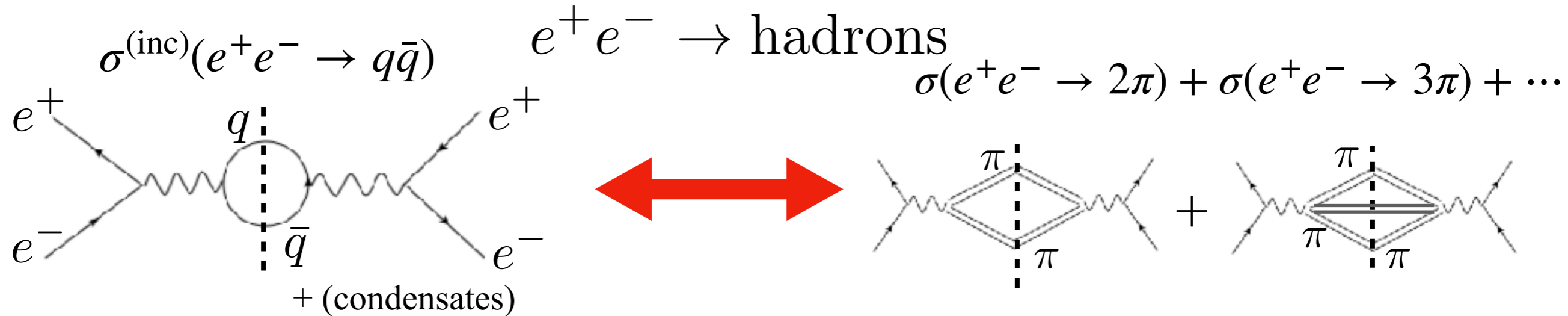
(C) Summary



# Inclusive and exclusive processes

Quark

hadron



Poggio, Quinn and Weinberg, 1976 for the case with smearing

Quark-hadron duality: inclusive = sum of exclusive

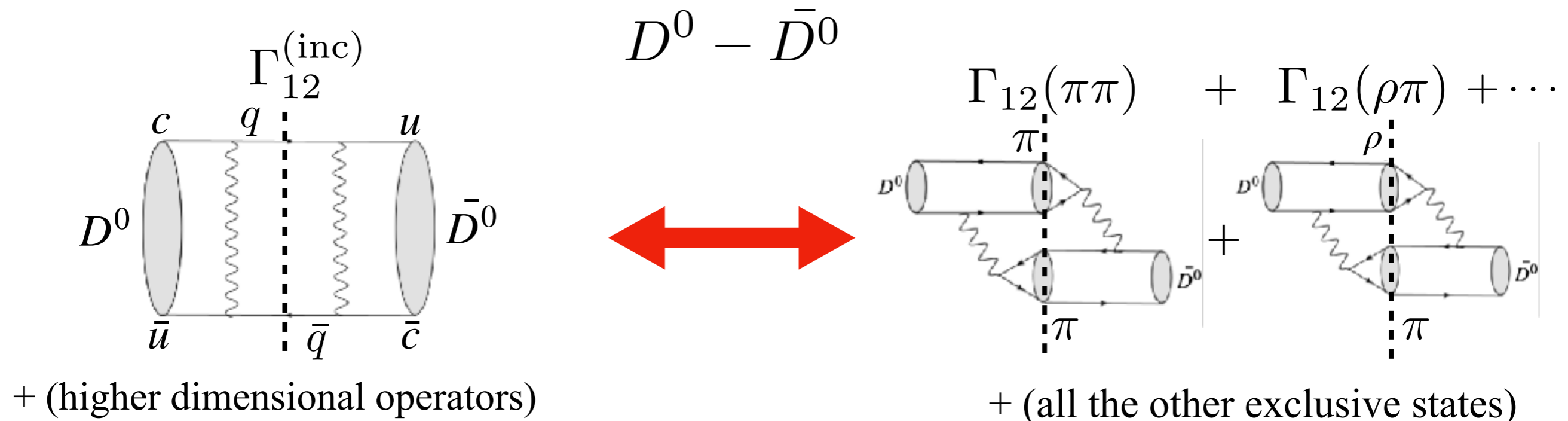
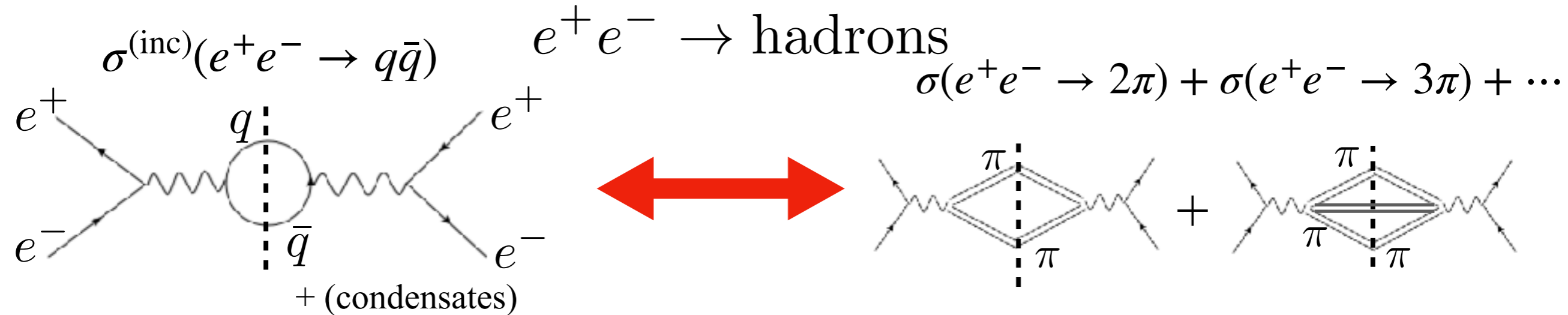
(one definition of)

Duality violation: inclusive  $\neq$  sum of exclusive

# Inclusive and exclusive processes

Quark

hadron



One non-trivial point

✓ Does duality violation possibly give a large correction to the box diagram? **This talk**

# Considered sources of duality violation

● Accuracy of the OPE is limited up to non-perturbative effects in perturbative series.

divergences { (1) Proliferation of Feynman diagrams  
(2) Renormalons  
(3) OPE series

● Duality violation is modeled by { (a) Instanton-based approach  
— takes account of the effect of (fixed sized) background instanton, discussed more or less as an orientation.  
(b) Resonance-based approach ✓  
— large- $N_c$  + linear Regge trajectory.  
factorial divergence (sign-flipping) for (3) is captured

● The previous works showed that duality violating terms are suppressed exponentially in Euclidean domain while having an oscillatory shape in Minkowski domain.  
For both cases, it is indicated that duality violation is suppressed for large energy or mass.

# Method to investigate duality violation

$$\Gamma_{12}^{(\text{inc})} \stackrel{?}{=} \sum \Gamma_{12}^{(\text{exc})}$$

Comparison between  $d = 4$  and  $d = 2$


	inclusive (HQE)	exclusive (Exp.)	exclusive (Theo.)
$d = 4$	✓	✓	✗
$d = 2$	✓	✗	✓

QCD is solvable in the large- $N_c$  limit

't Hooft, 1974

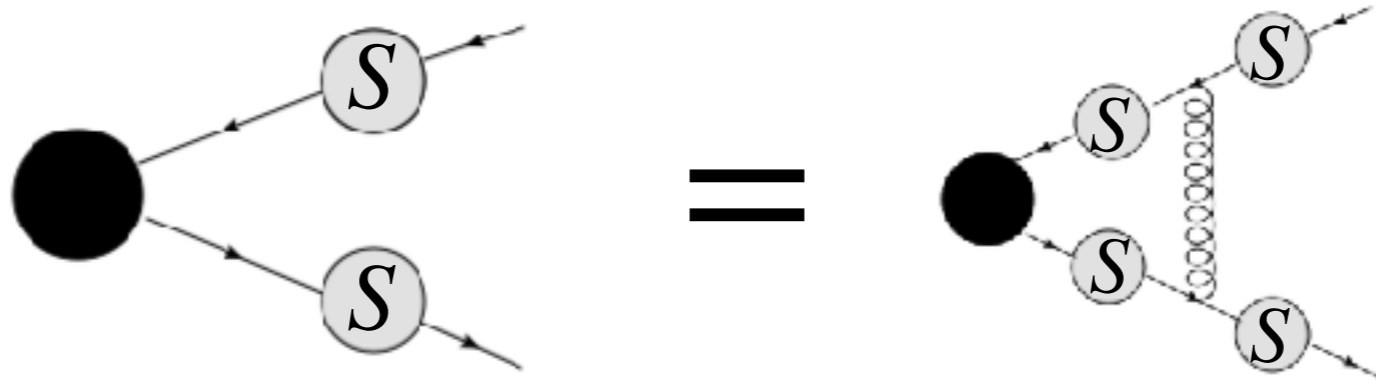
The 't Hooft model (QCD<sub>2</sub> in the large- $N_c$  limit)

- ⊙ Asymptotic free theory.      ⊙ HQE is in common with  $d=4$ .
- ⊙ Confinement is built-in.      ⊙ Gluon is not dynamical.
- ⊙ Phase space often has singularity in  $d = 2$ .

 Duality violation is qualitatively testable.

# Bound state equation in the 't Hooft model

Bethe-Salpeter equation (in the light-cone gauge):



The 't Hooft equation: 
$$M_k^2 \phi_k(x) = \left( \frac{m_1^2 - \beta^2}{x} + \frac{m_2^2 - \beta^2}{1-x} \right) \phi_k(x) - \beta^2 \text{Pr} \int_0^1 \frac{\phi_k(y) dy}{(x-y)^2}$$

QCD coupling: 
$$\beta^2 = \frac{g^2}{2\pi} \left( N_c - \frac{1}{N_c} \right), \quad \lim_{N_c \rightarrow \infty} \beta = \text{const.}$$

Masses and wavefunctions for mesons can be determined within the formalism.

Numerical method to solve the 't Hooft equation

$$M_n^2 \phi_n^{q_1 \bar{q}_2}(x) = \left( \frac{m_1^2 - \beta^2}{x} + \frac{m_2^2 - \beta^2}{1-x} \right) \phi_n^{q_1 \bar{q}_2} - \beta^2 \text{Pr} \int_0^1 dy \frac{\phi_n^{q_1 \bar{q}_2}(y)}{(x-y)^2} \quad \text{for } q_1 \bar{q}_2 \text{ bound state}$$

The BSW-improved Multhopp technique

Brower, Spence and Weis, 1979

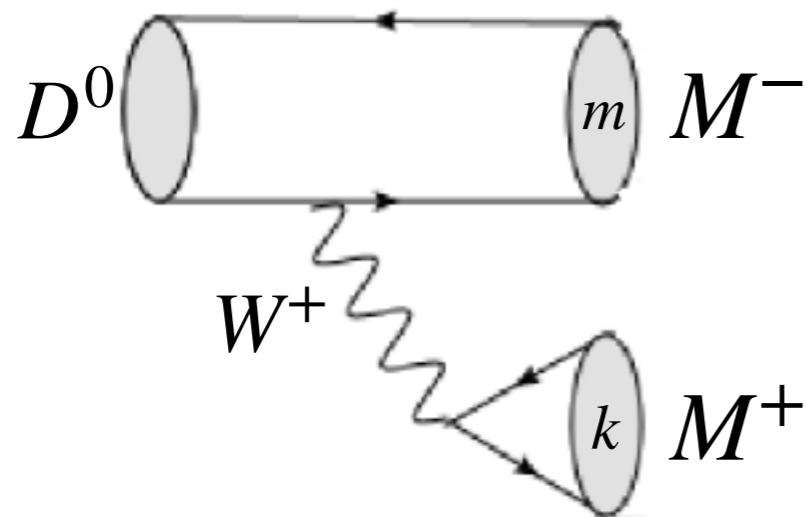
eigenvalue problem: 
$$M^2 a_i = (H_0 + V)_{ij} a_j \quad \phi = \sum_{k=1}^N a_k \sin(k\theta), \quad x = \frac{1 + \cos \theta}{2}$$

# Exclusive processes for $D^0 - \bar{D}^0$ mixing

Width difference in CP conserving limit:

$$\Gamma_{12}^{(D^0)} = \sum_{k,m} (-1)^{k+m} \frac{T^{(k,m)} T^{(m,k)*}}{4M_{D^0}^2 |p_{km}|} \leftarrow \text{phase space in 2D}$$

$T^{(k,m)}$ : color-allowed tree diagram



$M = \pi, K, +$  (excited states)

$k, m$ : labels of excited states

$k = 0, m = 0$  : ground states

Grinstein, Lebed 1997

$$T_{(Q\bar{q})(i,j)}^{(k,m)} = 2\sqrt{2}G_F(c_V^2 - c_A^2) \sqrt{\frac{N_c}{\pi}} c_k^{(qi)} \left[ \sum_{n=0} \frac{[(-1)^k q^2 + (-1)^n M_n^2] c_n^{(Qj)}}{q^2 - M_n^2} F_{nm} + (-1)^{k+1} q^2 C_m + m_Q m_j D_m \right],$$

$F_{nm}, C_m, D_m$  : overlap integrals of meson wave functions

# Inclusive result for $D^0 - \bar{D}^0$ mixing

$$\Gamma_{12} = C_A \langle \bar{D}^0 | (\bar{u}^\alpha \gamma^\mu \gamma_5 c^\alpha) (\bar{u}^\beta \gamma_\mu \gamma_5 c^\beta) | D^0 \rangle + C_P \langle \bar{D}^0 | (\bar{u}^\alpha i \gamma_5 c^\alpha) (\bar{u}^\beta i \gamma_5 c^\beta) | D^0 \rangle$$

$$\begin{cases} C_A = + \frac{2G_F^2}{M_{D^0}} (c_V^2 - c_A^2) V_{ci}^* V_{uj} [(c_V^2 - c_A^2)(F_{ij}^{(\text{th})} + 2G_{ij}^{(\text{th})}) - (c_V^2 + c_A^2)(H_{ij}^{(\text{th})} + H_{ji}^{(\text{th})})] \\ C_P = - \frac{2G_F^2}{M_{D^0}} (c_V^2 - c_A^2) V_{ci}^* V_{uj} [(c_V^2 - c_A^2)(G_{ij}^{(\text{th})} + 2H_{ij}^{(\text{th})}) + (c_V^2 + c_A^2)(H_{ij}^{(\text{th})} + H_{ji}^{(\text{th})})] \end{cases}$$

generalized weak vertex:	$\frac{-ig_2}{\sqrt{2}} V_{\text{CKM}} \gamma^\mu (c_V + c_A \gamma_5)$	the standard model $c_V = \frac{1}{2}, \quad c_A = -\frac{1}{2}$
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Matrix elements are calculated in the large- $N_c$  factorization.

$$F_{ij}^{(\text{th})} = \sqrt{1 - 2(z_i + z_j) + (z_i - z_j)^2} : \text{4D-like phase space}$$

$$G_{ij}^{(\text{th})} = \frac{z_i + z_j - (z_i - z_j)^2}{\sqrt{1 - 2(z_i + z_j) + (z_i - z_j)^2}} : \text{2D-specific phase space}$$

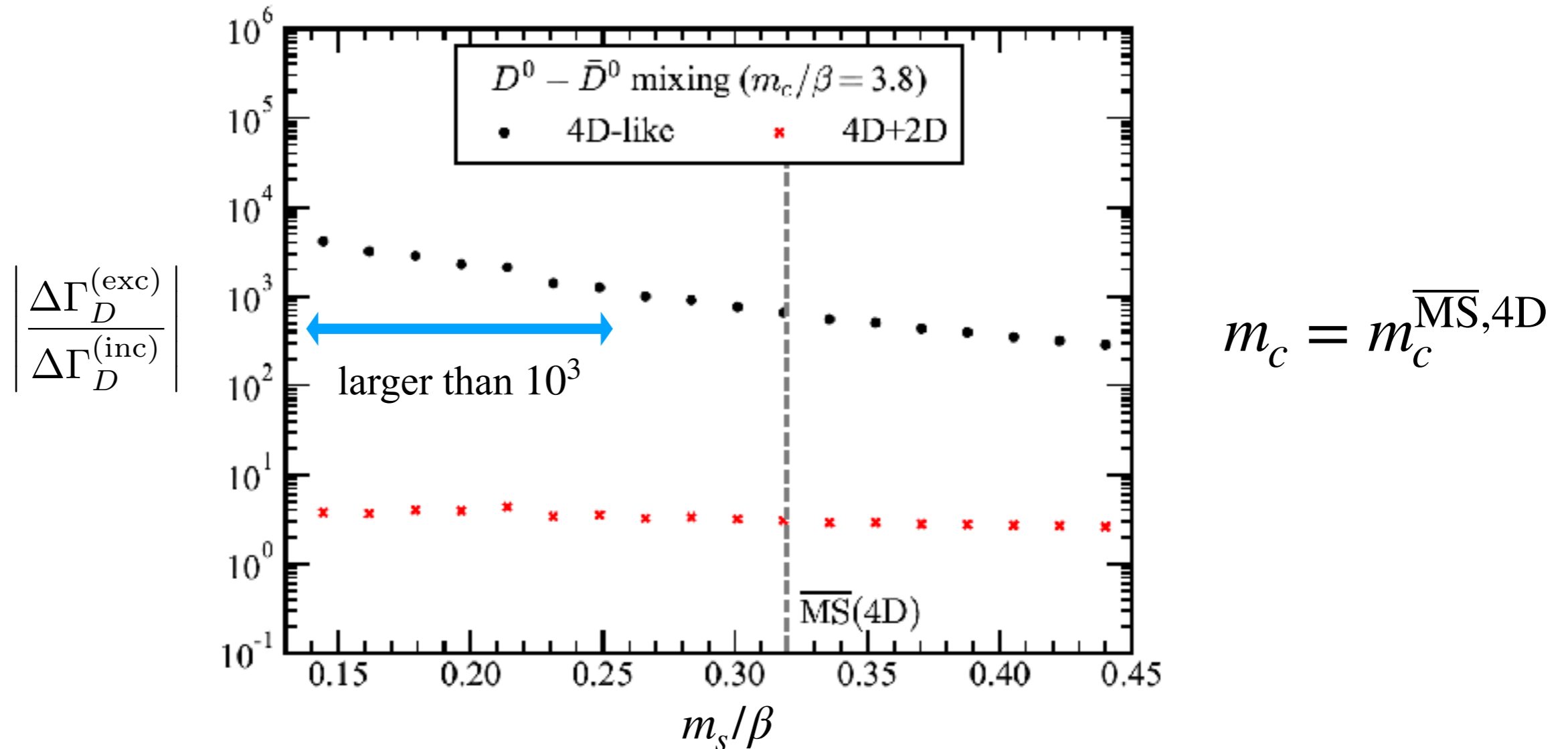
$$H_{ij}^{(\text{th})} = \frac{\sqrt{z_i z_j}}{\sqrt{1 - 2(z_i + z_j) + (z_i - z_j)^2}} : \text{2D-specific phase space}$$

$$\begin{cases} z_d = m_d^2 / m_c^2 \\ z_s = m_s^2 / m_c^2 \end{cases}$$

expansion parameter

We present the two cases:  $\begin{cases} (1) \text{ only 4D-like term} \\ (2) \text{ pure 2D result (all of the 4D-like and 2D-specific terms are included)} \end{cases}$

# Numerical result: $D^0 - \bar{D}^0$ mixing



{ points: based only on the 4D-like phase space  
 { **crosses**: based on the 4D-like phase space + 2D-specific one

© The exclusive rate is enhanced by more than  $10^3$ , confirmed for  $0.14 < m_s/\beta < 0.25$ , when only the 4D-like phase space function is used.



# Summary

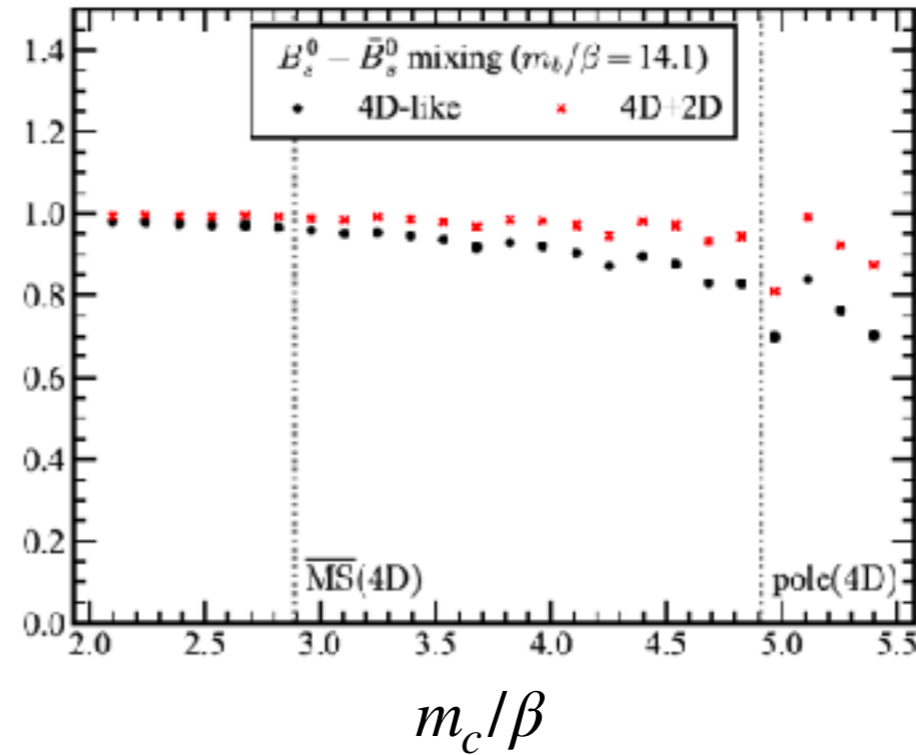
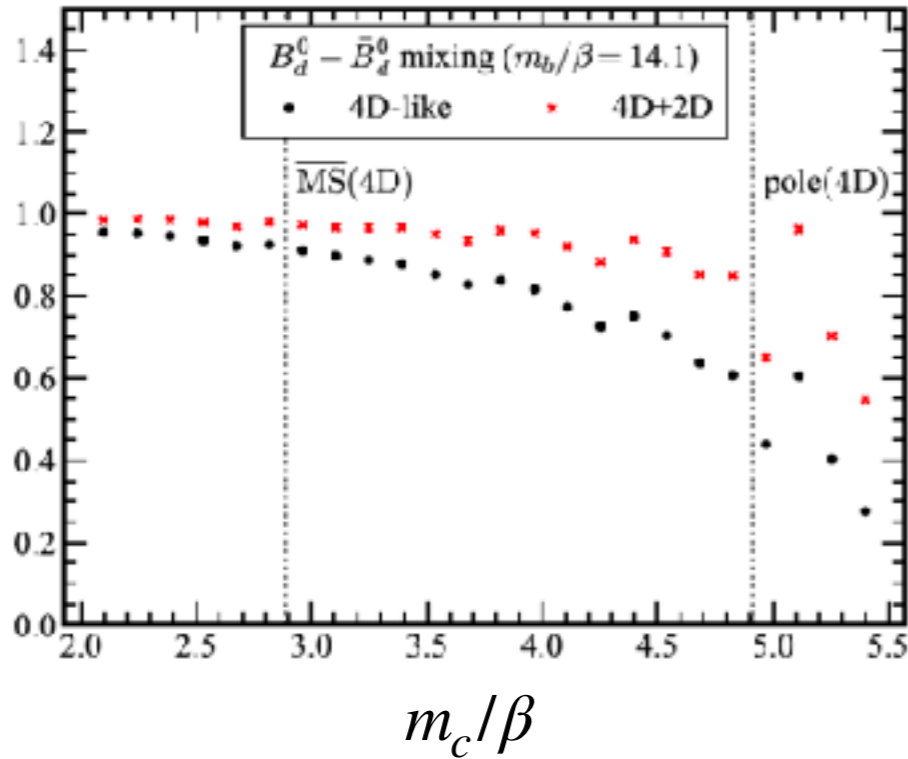
- ⊙ For the  $D^0 - \bar{D}^0$  mixing, it is known that the prediction of the HQE, based on the four-quark operator, is smaller than the experimental value by  $\mathcal{O}(10^{-4})$  while the order of magnitude is reproduced in the exclusive approach.
- ⊙ Possible solutions include  $\left\{ \begin{array}{l} (1) \text{ violation of quark-hadron duality} \\ (2) \text{ GIM-favored higher dimensional contributions} \\ (3) \text{ beyond the standard model} \end{array} \right.$
- ⊙ We have studied quark-duality for  $D^0 - \bar{D}^0$  mixing on the basis of one certain dynamical mechanism.
  - For the  $D^0 - \bar{D}^0$  mixing, the order of magnitude for exclusive  $\Delta\Gamma_D$  is enhanced by more than  $10^3$ , confirmed for  $0.14 < m_s/\beta < 0.25$ , if the phase space function is given by 4D-like one.
  - The result does not exclude the possibility that large duality violation in  $D^0 - \bar{D}^0$  mixing exists.

Backup

# Numerical result: $B_q^0 - \bar{B}_q^0$ ( $q = d, s$ )

$$B_d^0 - \bar{B}_d^0$$

$$B_s^0 - \bar{B}_s^0$$



$$m_b = m_b^{\text{pole,4D}}$$

For  $m_c < m_c^{\text{pole,4D}}$

⊙ For the  $B_d^0 - \bar{B}_d^0$  mixing, the correction to the inclusive rate up to 40% is observed.

→ The correction of this size is not excluded yet.

(The experimental data for  $\Delta\Gamma_{B_d}/\Gamma_{B_d}$  is still consistent with zero.)

⊙ For the  $B_s^0 - \bar{B}_s^0$  mixing, the correction to the inclusive rate up to 18% is observed.

→ The result is consistent with what is currently indicated in 4D.

(The ratio of the HFLAV data to the HQE gives  $\Delta\Gamma_{B_s}^{(\text{ex})}/\Delta\Gamma_{B_s}^{(\text{th})} = 0.99 \pm 0.15$ .)

# Previous works for heavy meson decays

## ● non-leptonic decays (color-allowed tree)

[1] B. Grinstein and R. F. Lebed, Phys. Rev. D**57**, 1366-1378 (1998)  
[arXiv:hep-ph/9708396 [hep-ph]].

## ● semi-leptonic decay and also non-leptonic decay

[2] I. I. Y. Bigi, M. A. Shifman, N. Uraltsev and A. I. Vainshtein,  
Phys. Rev. D**59**, 054011 (1999) [arXiv:hep-ph/9805241 [hep-ph]].

## ● non-leptonic decay (weak annihilation)

[3] B. Grinstein and R. F. Lebed, Phys. Rev. D**59**, 054022 (1999)  
[arXiv:hep-ph/9805404 [hep-ph]].

## ● non-leptonic decays (weak annihilation, Pauli interference)

[4] I. I. Y. Bigi and N. Uraltsev, Phys. Rev. D**60**, 114034 (1999)  
[arXiv:hep-ph/9902315 [hep-ph]]; Phys. Lett. B**457**, 163-169 (1999)  
[arXiv:hep-ph/9903258 [hep-ph]].

## ● semi-leptonic decay

[5] R.~F. Lebed and N. G. Uraltsev, Phys. Rev. D**62**, 094011 (2000)  
[arXiv:hep-ph/0006346 [hep-ph]].

This work → heavy meson mixings

• Mixing is suppressed by **the GIM cancellation**.

➔ Tiny duality violation is possibly enlarged after cancellation.

# Analytical check of local duality

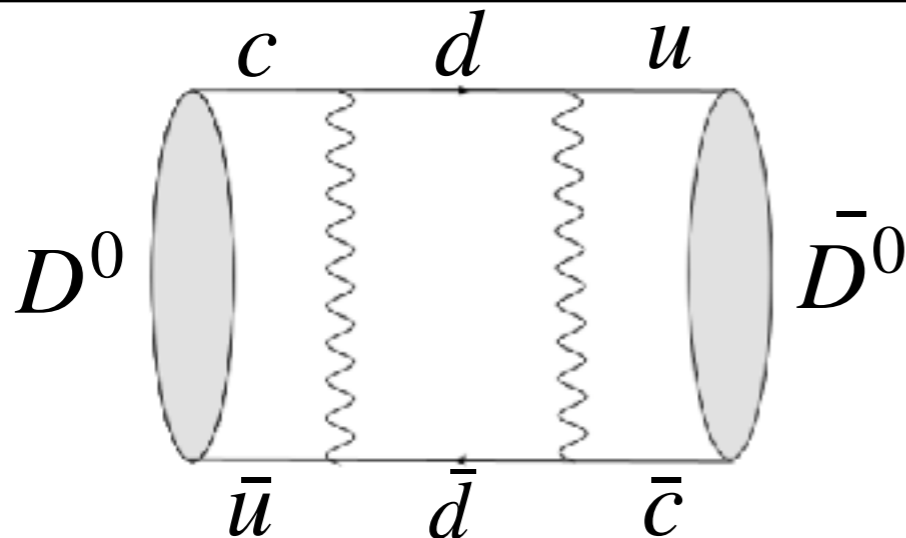
generalized weak vertex:  $\frac{-ig_2}{\sqrt{2}} V_{CKM} \gamma^\mu (c_V + c_A \gamma_5)$  the standard model  
 $c_V = \frac{1}{2}, \quad c_A = -\frac{1}{2}$

## ● Inclusive width difference

$$\Gamma_{12} = C_A \langle \bar{D}^0 | (\bar{u}^\alpha \gamma^\mu \gamma_5 c^\alpha) (\bar{u}^\beta \gamma_\mu \gamma_5 c^\beta) | D^0 \rangle + C_P \langle \bar{D}^0 | (\bar{u}^\alpha i \gamma_5 c^\alpha) (\bar{u}^\beta i \gamma_5 c^\beta) | D^0 \rangle$$

$$\begin{cases} C_A = + \frac{2G_F^2}{M_{D^0}} (c_V^2 - c_A^2) V_{cd}^* V_{ud} [(c_V^2 - c_A^2)(F_{dd}^{(th)} + 2G_{dd}^{(th)}) - (c_V^2 + c_A^2)(I_{dd}^{(th)} + I_{dd}^{(th)})] \\ C_P = - \frac{2G_F^2}{M_{D^0}} (c_V^2 - c_A^2) V_{cd}^* V_{ud} [(c_V^2 - c_A^2)(G_{dd}^{(th)} + 2H_{dd}^{(th)}) + (c_V^2 + c_A^2)(I_{dd}^{(th)} + I_{dd}^{(th)})] \end{cases}$$

$F_{dd}^{(th)}, G_{dd}^{(th)}, H_{dd}^{(th)}, I_{dd}^{(th)}$  : phase space functions  $F_{dd}^{(th)} = \sqrt{1 - 4m_d^2/m_c^2}$



down quark massless limit:

large- $N_c$  factorization:  $R = [M_H / (m_Q + m_q)]^2$

$$\begin{cases} \frac{\langle \bar{H} | (\bar{q}^\alpha \gamma^\mu \gamma_5 Q^\alpha) (\bar{q}^\beta \gamma_\mu \gamma_5 Q^\beta) | H \rangle}{2M_H} = f_H^2 M_H \\ \frac{\langle \bar{H} | (\bar{q}^\alpha i \gamma_5 Q^\alpha) (\bar{q}^\beta i \gamma_5 Q^\beta) | H \rangle}{2M_H} = f_H^2 M_H R \end{cases}$$

$$\Gamma_{12} \rightarrow 4(c_V^2 - c_A^2)^2 V_{cd}^* V_{ud} G_F^2 f_{D^0}^2 M_{D^0}$$

# Analytical check of local duality

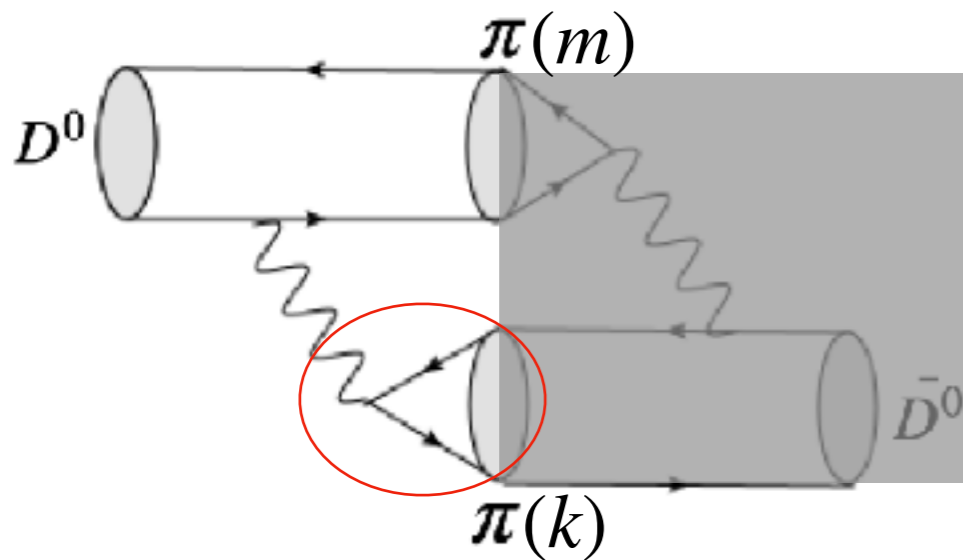
generalized weak vertex:  $\frac{-ig_2}{\sqrt{2}} V_{CKM} \gamma^\mu (c_V + c_A \gamma_5)$  the standard model  
 $c_V = \frac{1}{2}, c_A = -\frac{1}{2}$

● Sum of **exclusive** width difference  
massless limits for  $u$  and  $d$  quark for  $\pi(u\bar{d})$

analog of the Pauli interference (Bigi and Uraltsev, 1999)

$$\Gamma_{12}^{(D^0)} = \sum_{k,m} (-1)^{k+m} \frac{T^{(k,m)} T^{(m,k)*}}{4M_{D^0}^2 |p_{km}|} \rightarrow \frac{T^{(0,0)} T^{(0,0)*}}{4M_{D^0}^2 |p_{00}|} = 4(c_V^2 - c_A^2)^2 G_F^2 V_{cd}^* V_{ud} M_{D^0} \frac{N_c}{\pi} \left( \int_0^1 \phi_{D^0}(x) \phi_\pi(x) \right)^2$$

$$= 4(c_V^2 - c_A^2)^2 G_F^2 V_{cd}^* V_{ud} f_{D^0}^2 M_{D^0} \quad \text{agrees with the inclusive result}$$



$k = 0, m = 0$  : ground states

$\propto f_\pi^{(k)} p_\mu \quad f_\pi^{(k)} = \sqrt{\frac{N_c}{\pi}} \int_0^1 \phi_k(x) dx$

(a) exact solution,  $\phi_0(x) = 1$ .

(b) completeness:  $\sum_{k=0}^{\infty} \phi_k(x) \phi_k^*(y) = \delta(x - y)$

$\rightarrow f_\pi^{(k)} = \begin{cases} \sqrt{N_c/\pi} & k = 0 \\ 0 & k \neq 0 \end{cases}$

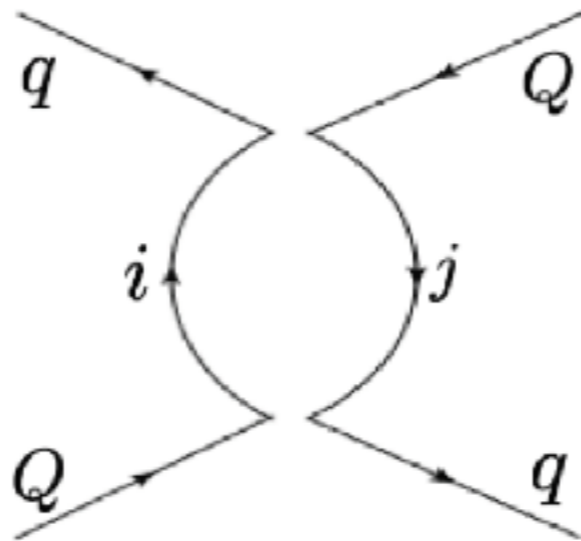
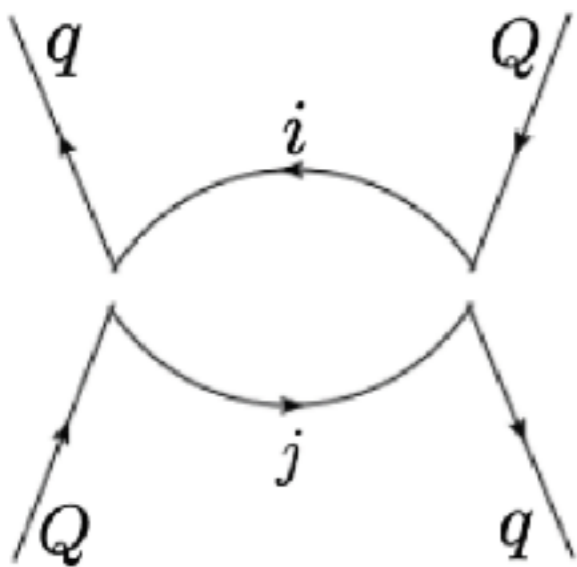
# Box diagrams in two-dimensions

$$\Gamma_{12} = C_A \langle \bar{D}^0 | (\bar{u}^\alpha \gamma^\mu \gamma_5 c^\alpha) (\bar{u}^\beta \gamma_\mu \gamma_5 c^\beta) | D^0 \rangle + C_P \langle \bar{D}^0 | (\bar{u}^\alpha i \gamma_5 c^\alpha) (\bar{u}^\beta i \gamma_5 c^\beta) | D^0 \rangle$$

$$\begin{cases} C_A = + \frac{2G_F^2}{M_{D^0}} (c_V^2 - c_A^2) V_{ci}^* V_{uj} [(c_V^2 - c_A^2)(F_{ij}^{(\text{th})} + 2G_{ij}^{(\text{th})}) - (c_V^2 + c_A^2)(I_{ij}^{(\text{th})} + I_{ji}^{(\text{th})})] \\ C_P = - \frac{2G_F^2}{M_{D^0}} (c_V^2 - c_A^2) V_{ci}^* V_{uj} [(c_V^2 - c_A^2)(G_{ij}^{(\text{th})} + 2H_{ij}^{(\text{th})}) + (c_V^2 + c_A^2)(I_{ij}^{(\text{th})} + I_{ji}^{(\text{th})})] \end{cases}$$

$$i, j = d, s$$

$$z_i = m_i^2 / m_c^2$$



$$F_{ij}^{(\text{th})} = \sqrt{1 - 2(z_i + z_j) + (z_i - z_j)^2}$$

$$G_{ij}^{(\text{th})} = \frac{z_i + z_j - (z_i - z_j)^2}{\sqrt{1 - 2(z_i + z_j) + (z_i - z_j)^2}}$$

$$H_{ij}^{(\text{th})} = \frac{\sqrt{z_i z_j}}{\sqrt{1 - 2(z_i + z_j) + (z_i - z_j)^2}}$$

$$I_{ij}^{(\text{th})} = \frac{\sqrt{z_i}(1 + z_i - z_j)}{\sqrt{1 - 2(z_i + z_j) + (z_i - z_j)^2}}$$

# Definition of amplitude and overlap integrals

Grinstein, Lebed 1997, Bigi, Uraltsev 1999

Amplitude  $T_{(Q\bar{q})(i,j)}^{(k,m)} = 2\sqrt{2}G_F(c_V^2 - c_A^2)\sqrt{\frac{N_c}{\pi}}c_k^{(q\bar{i})} \left[ \sum_{n=0} [(-1)^k q^2 + (-1)^n M_n^2] c_n^{(Q\bar{j})} F_{nm} \right. \\ \left. + (-1)^{k+1} q^2 \mathcal{C}_m + m_Q m_j \mathcal{D}_m \right],$

Overlap int.  $\left\{ \begin{aligned} F_{nm} &= \omega(1-\omega) \int_0^1 dx \int_0^1 dy \frac{\phi_n^{(Q\bar{j})}(x) \phi_m^{(j\bar{q})}(y)}{[\omega(1-x) + (1-\omega)y]^2} \\ &\quad \times \{ \phi_0^{(Q\bar{q})}(\omega x) - \phi_0^{(Q\bar{q})}[1 - (1-\omega)(1-y)] \}, \\ \mathcal{C}_m &= -\frac{1-\omega}{\omega} \int_0^1 dx \phi_0^{(Q\bar{q})} [1 - (1-\omega)(1-x)] \phi_m^{(j\bar{q})}(x), \\ \mathcal{D}_m &= -\omega \int_0^1 dx \frac{\phi_0^{(Q\bar{q})} [1 - (1-\omega)(1-x)] \phi_m^{(j\bar{q})}(x)}{1 - (1-\omega)(1-x) x}, \end{aligned} \right.$

Kinematical val.  $\omega = \frac{1}{2} \left[ 1 + \left( \frac{q^2 - M_m^2}{M_0^2} \right) - \sqrt{1 - 2 \left( \frac{q^2 + M_m^2}{M_0^2} \right) + \left( \frac{q^2 - M_m^2}{M_0^2} \right)^2} \right]$

$q^2 = M_k^2$  for on-shell amplitudes



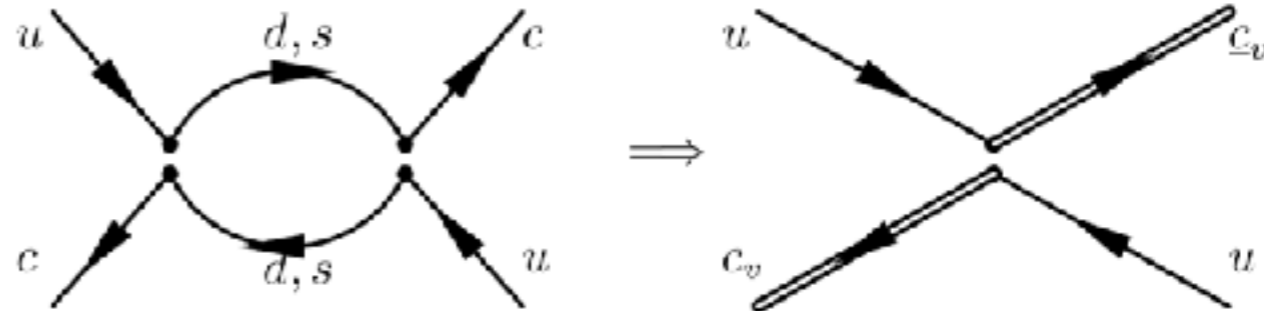
# Structure of higher-dimensional operators

Ohl, Ricciardi and Simmons [9301212]

**D=6**

Leading in  $1/m_q$

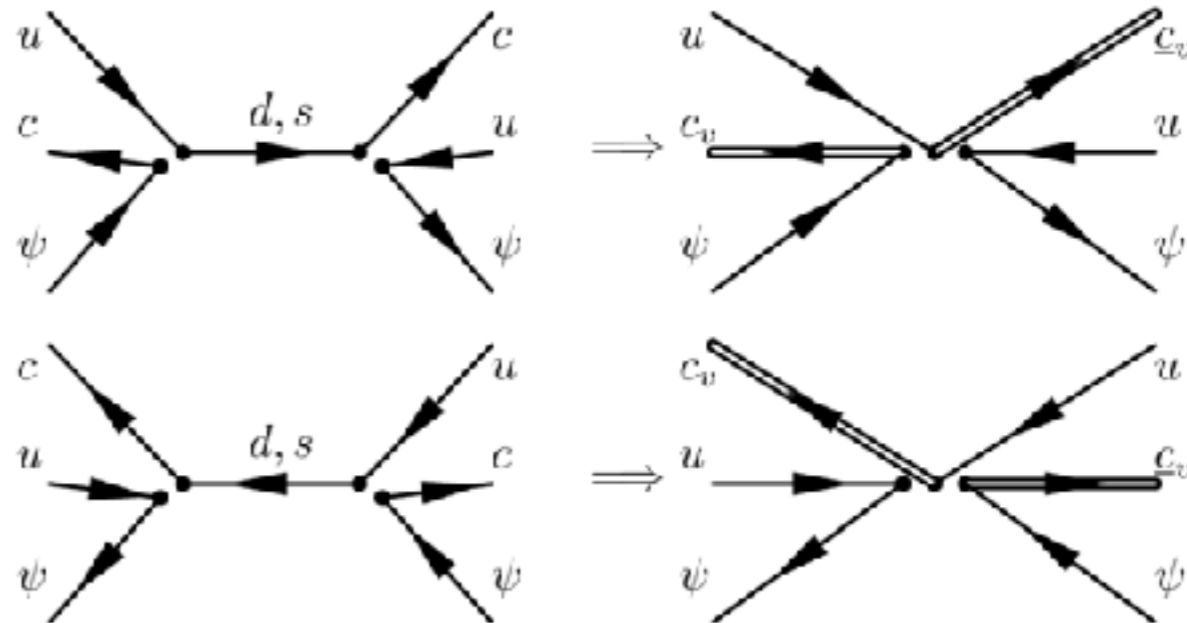
$$(\bar{c}_v \Gamma_1 u) (\bar{c}_v \Gamma_2 u)$$



**D=9**

Subleading in  $1/m_q$

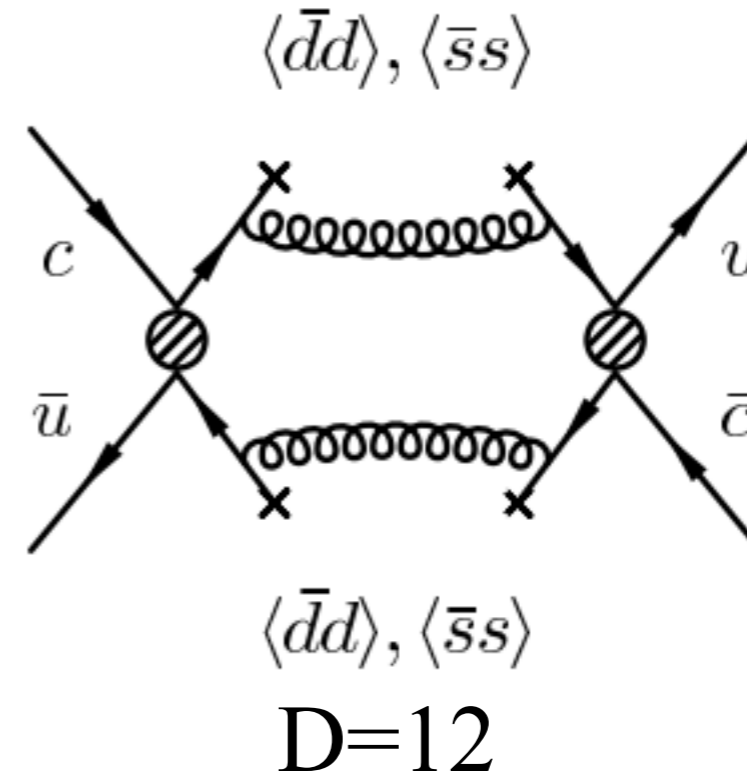
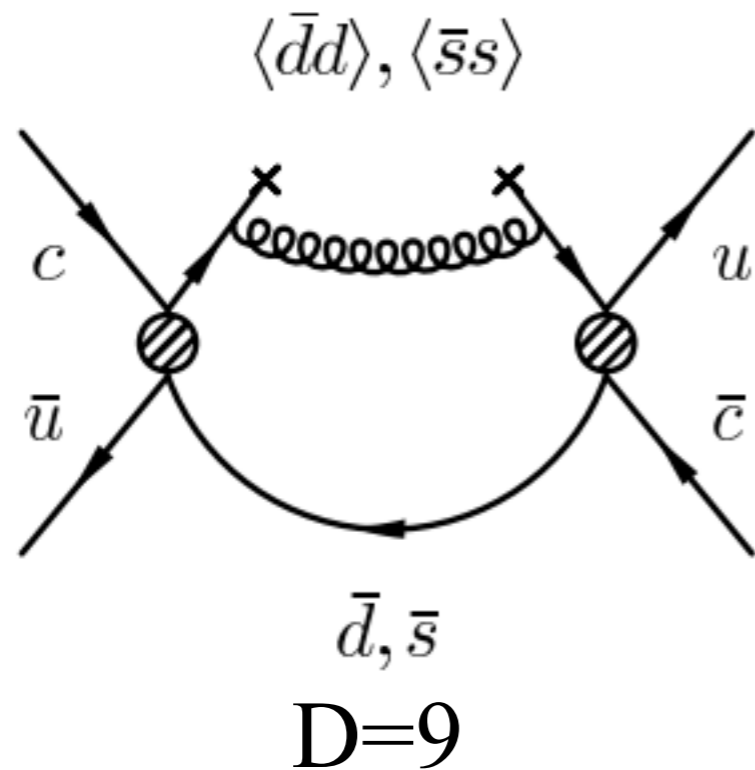
$$(\bar{\psi} \Gamma_1 u) (\bar{c}_v \Gamma_2 \psi) (\bar{c}_v \Gamma_3 u)$$



$\Gamma_i$  : color/Dirac structure

# Higer-dimensional operators with condensates

Bobrowski, Lenz and Riedl [1002.4794]



$$\mathcal{O}(\alpha_s(4\pi)\langle \bar{q}q \rangle / m_c^3)$$

$$\mathcal{O}(\alpha_s^2(4\pi)^2 \langle \bar{q}q \rangle^2 / m_c^6)$$

$y$	no GIM	with GIM
$D = 6, 7$	$2 \cdot 10^{-2}$	$5 \cdot 10^{-7}$
$D = 9$	$5 \cdot 10^{-4}$	?
$D = 12$	$2 \cdot 10^{-5}$	?