

Cornell University

Direct CPV in Charm

On the theory interpretation of existing and future results

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CKM2021

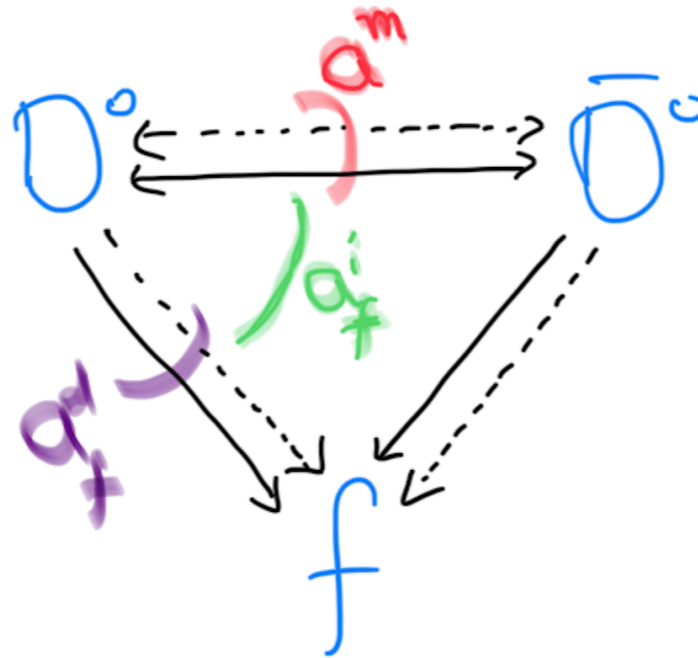
$$\begin{aligned}\Delta A_{CP} &\equiv A_{CP}(D^0 \rightarrow K^+K^-) - A_{CP}(D^0 \rightarrow \pi^+\pi^-) \\ &= - (1.54 \pm 0.29) \times 10^{-3}\end{aligned}$$

First discovery of CPV in Charm

We are beginning to probe previously unexplored phenomena!

ΔA_{CP} is dominated by CPV in decay

$$A_{CP}^f \equiv \frac{A(D^0 \rightarrow f) - A(\bar{D}^0 \rightarrow \bar{f})}{A(D^0 \rightarrow f) + A(\bar{D}^0 \rightarrow \bar{f})} = a_f^d + a^m + a_f^i$$



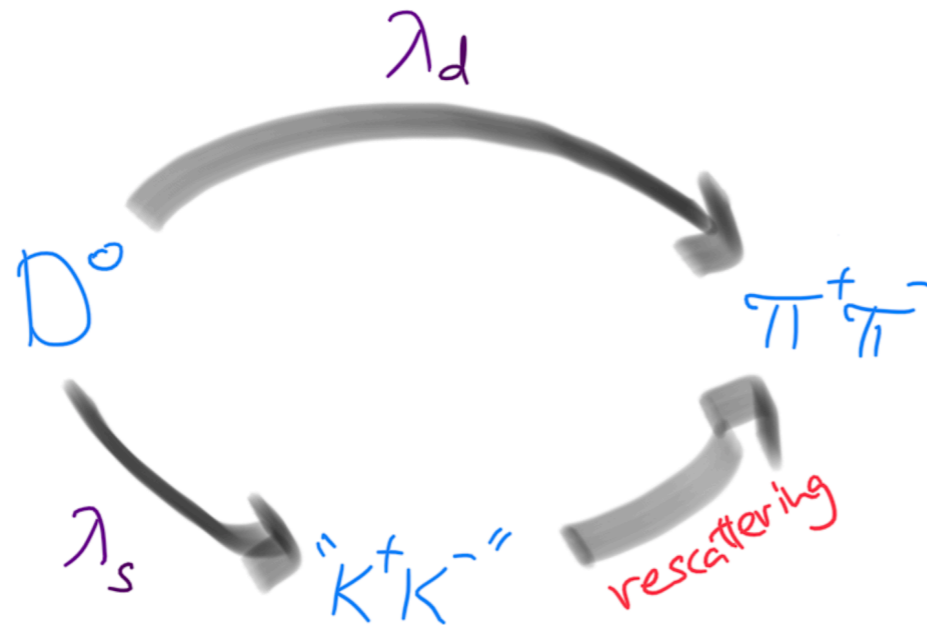
a^m is UNIVERSAL.

a_f^i is small and UNIVERSAL TO LEADING ORDER

=> cancel in the difference $\Delta A_{CP} \equiv A_{CP}(K^+K^-) - A_{CP}(\pi^+\pi^-)$

What interferes? Tree \Leftrightarrow rescattering

(Penguins are **irrelevant** for D decays due to strong GIM suppression)



$$\lambda_q \equiv V_{uq} V_{cq}^*$$

$$\lambda_d = -\lambda_s + \mathcal{O}(\lambda^4)$$

$$\begin{aligned}
 A_{\pi^+ \pi^-} &= \lambda_d A_{d\bar{d}} + \lambda_s A_{s\bar{s}} = \underbrace{\frac{(\lambda_d - \lambda_s)}{2}} (A_{d\bar{d}} - A_{s\bar{s}}) + \underbrace{\frac{(\lambda_d + \lambda_s)}{2}} (A_{d\bar{d}} + A_{s\bar{s}}) \\
 &= \lambda_d \cdot A_{\Delta U=1} + \frac{1}{2} \lambda_b \cdot A_{\Delta U=0}
 \end{aligned}$$

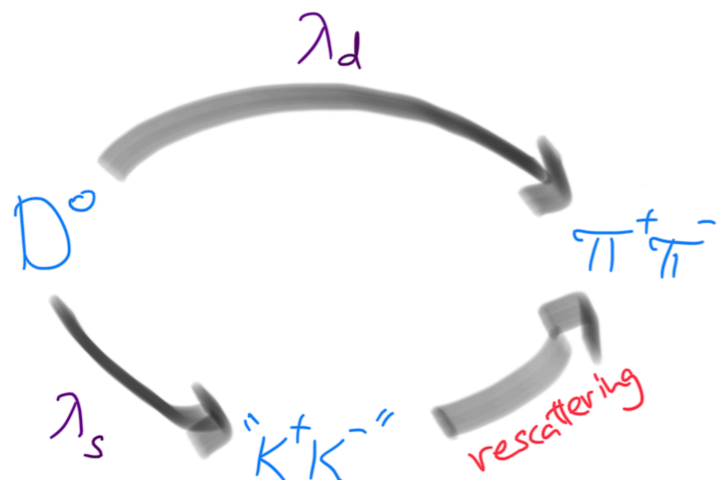
weak phases

goes to zero in the limit of Unitary 2x2 CKM

What interferes? Tree \Leftrightarrow rescattering

$$\begin{aligned}
 A_{\pi^+\pi^-} &= \lambda_d A_{d\bar{d}} + \lambda_s A_{s\bar{s}} = \underbrace{\frac{(\lambda_d - \lambda_s)}{2}}_{\lambda_d \cdot A_{\Delta U=1}} (A_{d\bar{d}} - A_{s\bar{s}}) + \underbrace{\frac{(\lambda_d + \lambda_s)}{2}}_{\frac{1}{2} \lambda_b \cdot A_{\Delta U=0}} (A_{d\bar{d}} + A_{s\bar{s}}) \\
 &= \lambda_d \cdot A_{\Delta U=1} + \frac{1}{2} \lambda_b \cdot A_{\Delta U=0}
 \end{aligned}$$

$$a_{\pi^+\pi^-}^d = 2 r \sin \phi \sin \delta = \underbrace{\text{Im} \left(\frac{\lambda_b}{\lambda_d} \right)}_{\epsilon_{\text{NU}} \sim 10^{-3}} \underbrace{\text{Im} \left(\frac{A_{\Delta U=0}}{A_{\Delta U=1}} \right)}_{r_{\text{QCD}} \sin \delta \sim ?}$$



$$\frac{A_{\Delta U=0}}{A_{\Delta U=1}} = 1 + r_{\text{QCD}} e^{i\delta}$$

How large are rescattering effects? ($r_{\text{QCD}} \sin \delta$)

1. Light-cone-sum-rules : $r_{\text{QCD}} \sim \frac{\alpha_S}{\pi} \sim 0.1 \Rightarrow \text{NP needed!}$

[Chala Lenz Rusov Scholtz 1903.10490]

[Petrov Khodjamirian 1706.07780]

2. Non-pertubative low-energy QCD : $r_{\text{QCD}} \sim 1 \Rightarrow \text{consistent with SM}$

[Grossman Schacht 1903.10952]

[Brod Grossman Kagan Zupan 1203.6659]

$\sin \delta \sim 1,$

on-shell intermediate states

[See also topological amplitude approach in talk by Hai-Yang Cheng]

NP or SM?

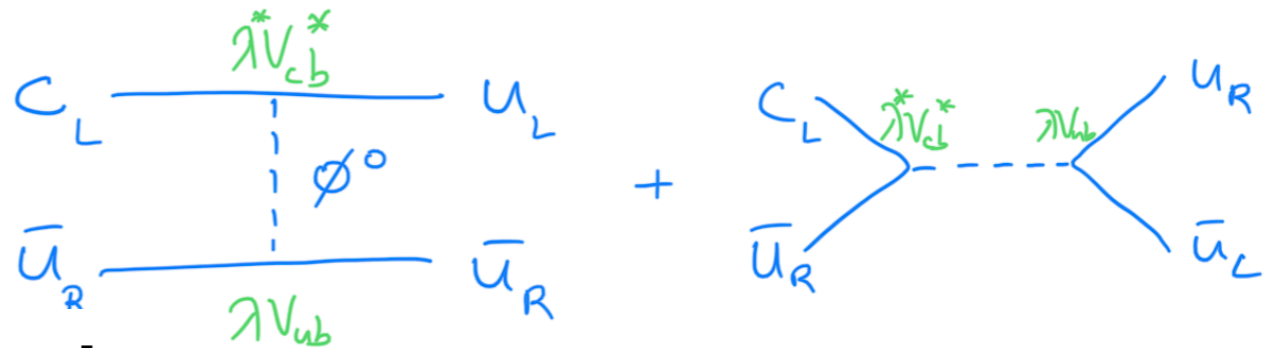
(1) NP interpretation ($r_{\text{QCD}} \sim 0.1$)

Which NP models could play a role?

[AD Nir 1909.11242]

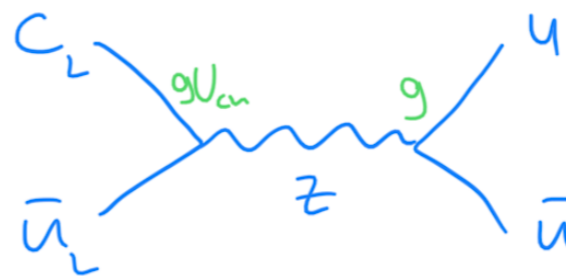
- 2HDM, $\Phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}$

$$\mathcal{L}_\Phi = -V(\Phi) + 2\lambda [\phi^0 \overline{U}_{Li} V_{ib} u_R + \phi^- \overline{b}_L u_R + \text{h.c.}]$$



- Flavor-changing Z (vector-like quarks)

$$-\mathcal{L}_Z = \frac{gU_{ij}^u}{2 \cos \theta_W} \overline{u}_{Li} \gamma_\mu u_{Lj} Z^\mu + \text{h.c.}$$



Non-generic but simple models can do the job.

(2) SM Interpretation ($r_{\text{QCD}} \sim 1$)

Comparison to the $\Delta I = 1/2$ rule

$K \rightarrow \pi\pi$

$$\left| \frac{A_{\Delta I=1/2}^K}{A_{\Delta I=3/2}^K} \right| \approx 22$$

perturbative calc. : $\sqrt{2}$

$D \rightarrow \pi\pi$

$$\left| \frac{A_{\Delta I=1/2}^D}{A_{\Delta I=3/2}^D} \right| \approx 2.5$$

perturbative calc. : $\sqrt{2}$

$B \rightarrow \pi\pi$

$$\left| \frac{A_{\Delta I=1/2}^B}{A_{\Delta I=3/2}^B} \right| \approx 1.5$$

perturbative calc. : $\sqrt{2}$

$$\frac{A_{\Delta U=0}}{A_{\Delta U=1}} = 1 + r_{\text{QCD}} e^{i\delta}$$

Could there be an analogous $\Delta U = 0$ rule, $r_{\text{QCD}} \sim 1$?

The ΔA_{CP} result is consistent
with the SM with $\mathcal{O}(1)$ rescattering effects.

Testing the $\Delta U = 0$ rule with future data

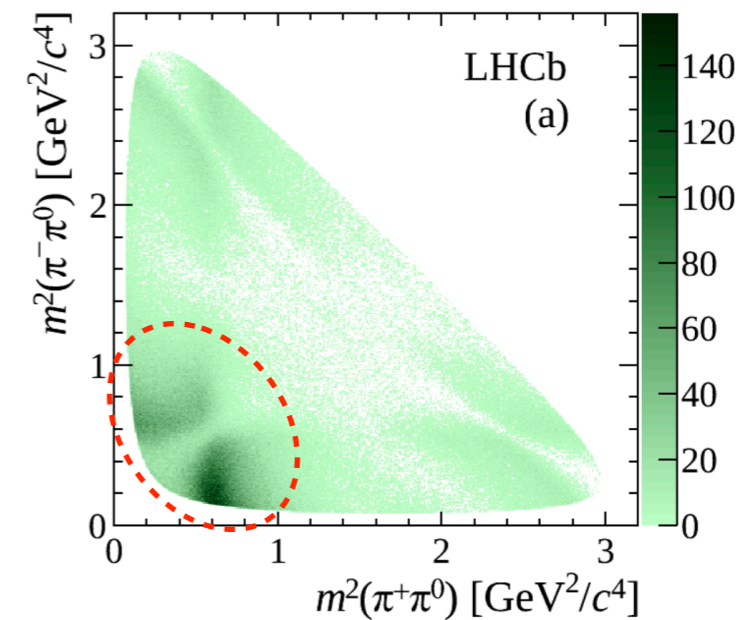
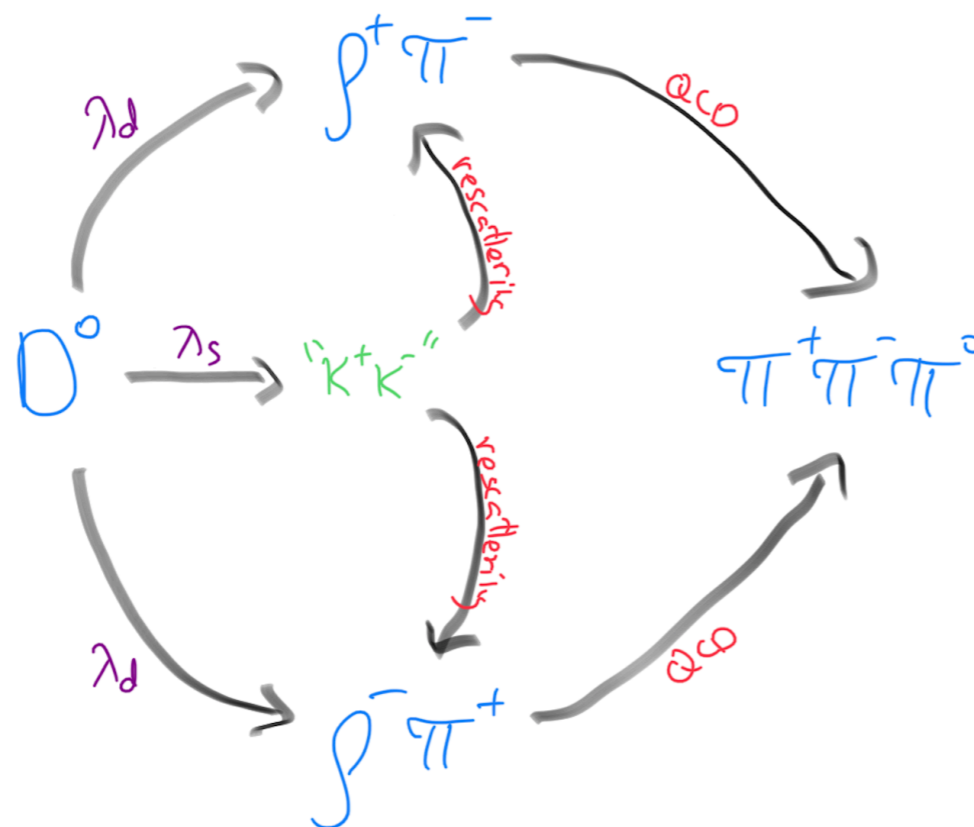
- Measurements of the strong phases, δ_{KK} , $\delta_{\pi\pi}$ from time-dependent rates
- Measurement of a_f^d in additional modes -
a testing ground for the $\Delta U = 0$ rule

One interesting possibility - measure $\text{Im}(A_{\Delta U=0}/A_{\Delta U=1})$ in

$$D^0 \rightarrow V^\pm P^\mp \rightarrow P^\pm P^0 P^\mp$$

Testing the $\Delta U = 0$ in 3-body charm decays

[AD Grossman Schacht Soffer, 2101.02560]



[LHCb 1410.4170]

- Interference between 2 resonances enables extraction of r_{QCD} AND $\sin\delta$, separately, from the time-integrated measurement.
- Kinematic dependence in Dalitz plot replaces time-dependence

Testing the $\Delta U = 0$ in 3-body charm decays

All parameters can be extracted from the time-integrated Dalitz:

$$D \rightarrow \pi^+ \pi^-$$

$$A_{\pi^+ \pi^-} = \lambda_{sd} \cdot A_{\Delta U=1} + \lambda_b \cdot A_{\Delta U=0}$$

$$|A_{\Delta U=1}|, |A_{\Delta U=0}|, \arg(A_{\Delta U=0}/A_{\Delta U=1})$$

3 physical parameters

$$D \rightarrow \rho^\pm \pi^\mp \rightarrow \pi^+ \pi^- \pi^0$$

$$\begin{cases} A_{\rho^+ \pi^-} = \lambda_{sd} \cdot A_{\Delta U=1}^{\rho\pi} + \lambda_b \cdot A_{\Delta U=0}^{\rho\pi} \\ A_{\rho^- \pi^+} = \lambda_{sd} \cdot A_{\Delta U=1}^{\pi\rho} + \lambda_b \cdot A_{\Delta U=0}^{\pi\rho} \end{cases}$$

$$|A_{\Delta U=1}^{\rho\pi}|, |A_{\Delta U=0}^{\rho\pi}|, \arg(A_{\Delta U=0}^{\rho\pi}/A_{\Delta U=1}^{\rho\pi})$$

$$|A_{\Delta U=1}^{\pi\rho}|, |A_{\Delta U=0}^{\pi\rho}|, \arg(A_{\Delta U=0}^{\pi\rho}/A_{\Delta U=1}^{\pi\rho})$$

$$\arg(A_{\Delta U=1}^{\rho\pi}/A_{\Delta U=1}^{\pi\rho})$$

7 physical parameters

Testing the $\Delta U = 0$ in 3-body charm decays

All parameters can be extracted from the time-integrated Dalitz:

$$D \rightarrow \pi^+ \pi^-$$

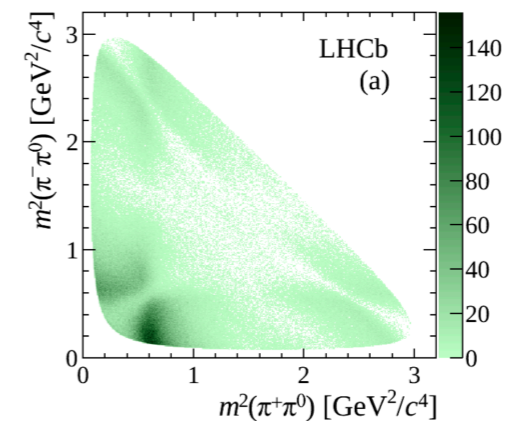
$$\mathcal{B}(D \rightarrow \pi^+ \pi^-) = \frac{\mathcal{B}(D^0 \rightarrow \pi^+ \pi^-) + \mathcal{B}(\bar{D}^0 \rightarrow \pi^+ \pi^-)}{2}$$

$$A_{CP}(\pi^+ \pi^-) = \frac{|A(D^0 \rightarrow \pi^+ \pi^-)|^2 - |A(\bar{D}^0 \rightarrow \pi^+ \pi^-)|^2}{|A(D^0 \rightarrow \pi^+ \pi^-)|^2 + |A(\bar{D}^0 \rightarrow \pi^+ \pi^-)|^2}$$

2 time-integrated measurements

3 physical parameters

$$D \rightarrow \rho^\pm \pi^\mp \rightarrow \pi^+ \pi^- \pi^0$$



7 points on the Dalitz plot naively suffice

7 physical parameters

Additional advantage of Dalitz analyses

- Production/detection asymmetries are constant across the Dalitz plot, to leading order => can be eliminated

$$A_{\text{CP}}^{\delta} = \frac{|A|^2 - (1-\delta)|\bar{A}|^2}{|A|^2 + (1-\delta)|\bar{A}|^2}$$

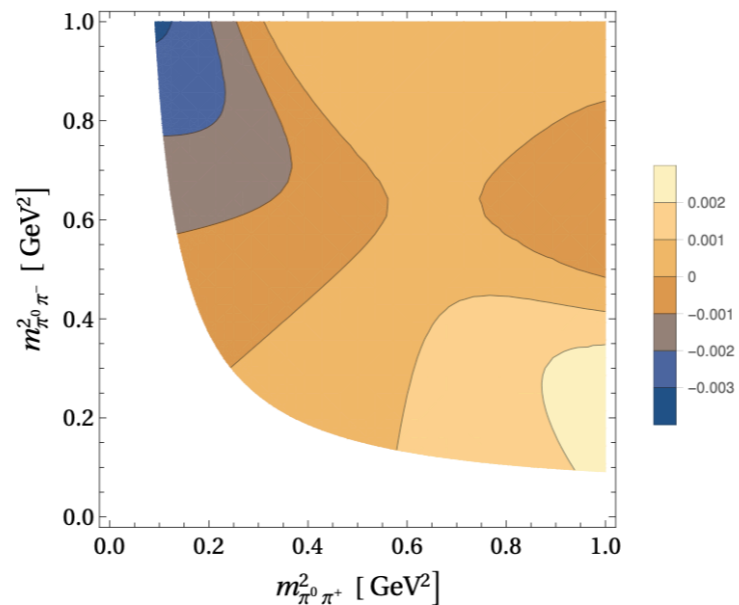
$$A_{\text{CP}}^{\delta}(D^0 \rightarrow \pi^+\pi^-) = A_{\text{CP}}(D^0 \rightarrow \pi^+\pi^-) + \frac{\delta}{2} \left(1 + \mathcal{O}(\epsilon_{\text{NU}}) \right) \quad \epsilon_{\text{NU}} = \left| \frac{\lambda_d + \lambda_s}{\lambda_d - \lambda_s} \right| \sim 10^{-3}$$

$$A_{\text{CP}}^{\delta}(D^0 \rightarrow \rho^{\pm}\pi^{\mp})(s, t) = A_{\text{CP}}(D^0 \rightarrow \rho^{\pm}\pi^{\mp})(s, t) + \underbrace{\frac{\delta}{2}} \left(1 + \mathcal{O}(\epsilon_{\text{NU}})(s, t) \right)$$

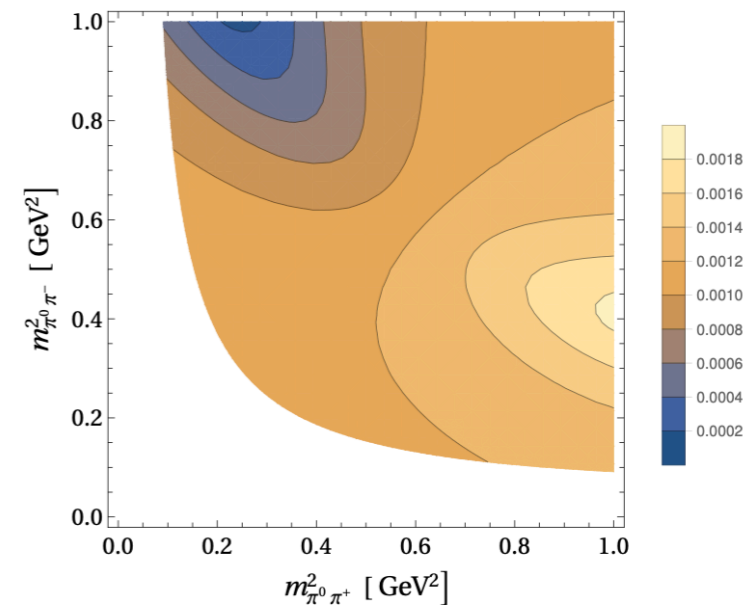
Caveats of the proposed method

- The 2 narrow resonances picture is simplistic.
- It should suffice for probing $\mathcal{O}(1)$ rescattering effects.
- With improved experimental precision in the future, more sophisticated methods will be needed, using a multiple resonance model.

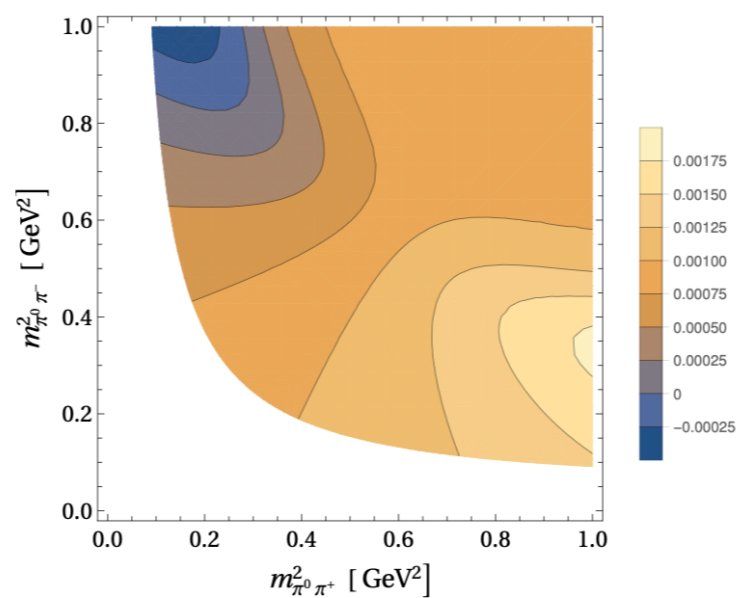
Local CP asymmetry in
 $D^0 \rightarrow \pi^+ \pi^- \pi^0$ **for**
different values of r_{QCD}
and $\sin \delta$



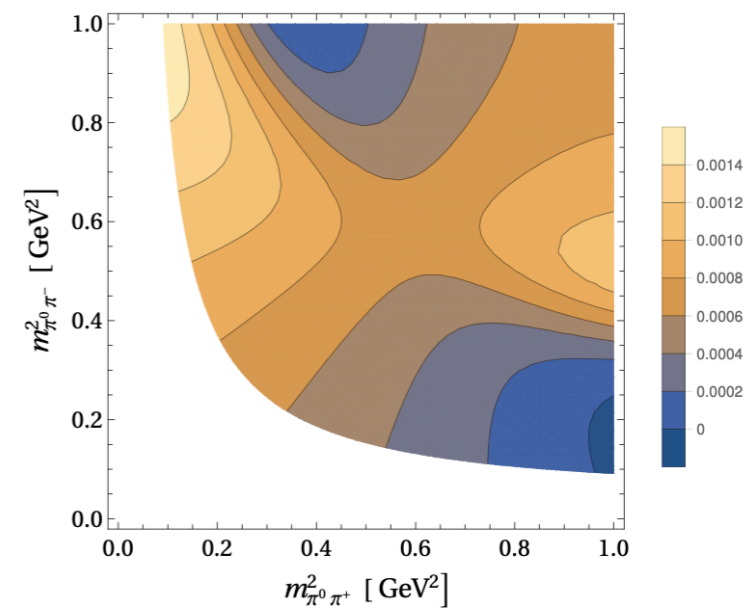
(a) $\tilde{R}^{P_1 V_2} = \exp(i3\pi/2)$, $\tilde{R}^{P_2 V_1} = \exp(i\pi/3)$



(b) $\tilde{R}^{P_1 V_2} = \exp(i\pi/2)$, $\tilde{R}^{P_2 V_1} = \exp(i\pi/3)$



(c) $\tilde{R}^{P_1 V_2} = \frac{1}{2} \exp(i\pi/2)$, $\tilde{R}^{P_2 V_1} = \exp(i\pi/3)$



(d) $\tilde{R}^{P_1 V_2} = \exp(i\pi/2)$, $\tilde{R}^{P_2 V_1} = \frac{1}{4} \exp(i\pi/3)$

U-spin relation to $K^+K^-\pi^0$

Is it possible to construct an analogous observable to ΔA_{CP} ?

$$\begin{pmatrix} \pi^- \\ K^- \end{pmatrix}, \quad \begin{pmatrix} K^+ \\ \pi^+ \end{pmatrix}$$

$$\Delta A_{\text{CP}}^{P^+P^-} \equiv A_{\text{CP}}(D^0 \rightarrow K^+K^-) - A_{\text{CP}}(D^0 \rightarrow \pi^+\pi^-)$$

$$\begin{pmatrix} \rho^- \\ K^{*-} \end{pmatrix}, \quad \begin{pmatrix} K^{*+} \\ \rho^+ \end{pmatrix}$$

$$D^0 \rightarrow K^{*\pm}\pi^\mp \rightarrow K^+K^-\pi^0 \quad \leftrightarrow \quad D^0 \rightarrow \rho^\pm\pi^\mp \rightarrow \pi^+\pi^-\pi^0$$

$$\Delta A_{\text{CP}}^{V^\pm P^\mp}(s, t) \equiv \frac{|A(D^0 \rightarrow K^+K^-\pi^0)|^2 - |A(\bar{D}^0 \rightarrow K^+K^-\pi^0)|^2}{|A(K^* \rightarrow K\pi)|^2} - \frac{|A(D^0 \rightarrow \pi^+\pi^-\pi^0)|^2 - |A(\bar{D}^0 \rightarrow \pi^+\pi^-\pi^0)|^2}{|A(\rho \rightarrow \pi\pi)|^2}$$

U-spin relation to $K^+K^-\pi^0$

Is it possible to construct an analogous observable to ΔA_{CP} ?

$$D^0 \rightarrow K^{*\pm} \pi^\mp \rightarrow K^+ K^- \pi^0 \quad \leftrightarrow \quad D^0 \rightarrow \rho^\pm \pi^\mp \rightarrow \pi^+ \pi^- \pi^0$$

$$\Delta A_{\text{CP}}^{V^\pm P^\mp}(s, t) \equiv \frac{|A(D^0 \rightarrow K^+ K^- \pi^0)|^2 - |A(\bar{D}^0 \rightarrow K^+ K^- \pi^0)|^2}{|A(K^* \rightarrow K\pi)|^2} - \frac{|A(D^0 \rightarrow \pi^+ \pi^- \pi^0)|^2 - |A(\bar{D}^0 \rightarrow \pi^+ \pi^- \pi^0)|^2}{|A(\rho \rightarrow \pi\pi)|^2}$$

Limitations of this approach:

- Pseudo two-body decays have exact U-spin correspondence, however, the 3-body final states are not related by a full interchange of $d \leftrightarrow s$.
- Additional source of SU(3)-breaking from the different masses and widths of the intermediate resonances, ρ, K^* .
- Fundamental problem - no meaningful way to associate two points on different Dalitz plots.

Conclusion and Outlook

Exciting times ahead!

- The discovery of CPV in charm opens up a new arena
- Future results will inevitably either strengthen the NP hypothesis, or will teach us about low-energy QCD
- In particular, we will test the prediction that arises from ΔA_{CP} , **the $\Delta U = 0$ rule.**
- Compared to 2-body decays, 3-body Dalitz analyses have advantages:
 - The entire system of parameters can be extracted without requiring time-dependent measurements. In particular, can separate between **r** and **$\sin \delta$** .
 - Production and detection asymmetries can be eliminated within a single mode.