

H \rightarrow WW measurements with the CMS experiment

Adrián Álvarez Fernández (CIEMAT)
Jónatan Piedra (IFCA)

4th Red LHC Workshop, November 4th

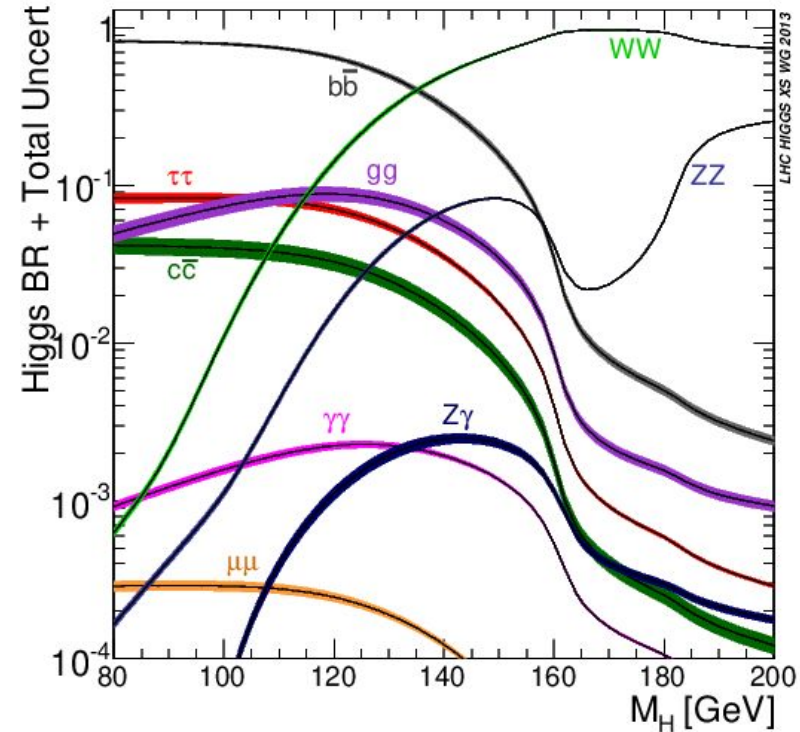


- **First measurement** of Higgs properties in the $H \rightarrow WW \rightarrow l\nu l\nu$ channel at $\sqrt{s}=13$ TeV. [1]
- **Run 2 differential cross section** measurement with respect to the Higgs boson transverse momentum and the jet multiplicity using 137 fb^{-1} of collected data. [2]
- **Ongoing analysis** with full Run 2 data.

[1] “**Measurements of properties of the Higgs boson decaying to a W boson pair in pp collisions at $\sqrt{s}=13\text{TeV}$** ”. In: Physics Letters B 791 (2019), pp. 96–129. issn: 0370-2693. [arxiv.1806.05246](https://arxiv.org/abs/1806.05246)

[2] “**Measurement of the inclusive and differential Higgs boson production cross sections in the leptonic WW decay mode at $\sqrt{s} = 13$ TeV**”. [arxiv.2007.01984](https://arxiv.org/abs/2007.01984)

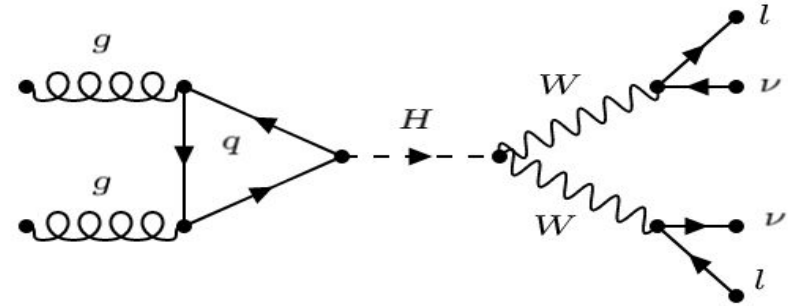
- Higgs boson decay into a pair of W bosons has **one of the highest branching ratios** at $m_H=125$ GeV.
- Fully leptonic decay ($H \rightarrow WW \rightarrow l\nu l\nu$) has a **clean signal from the isolated leptons** that allows the study of the Higgs boson in a variety of production modes.
- Good signal sensitivity despite the large background, but low resolution due to **neutrinos in the final state**.
- **Signal strength modifiers** and **Higgs boson couplings** have been studied with 2016 data and now we are exploiting the full Run 2.



HWW event description



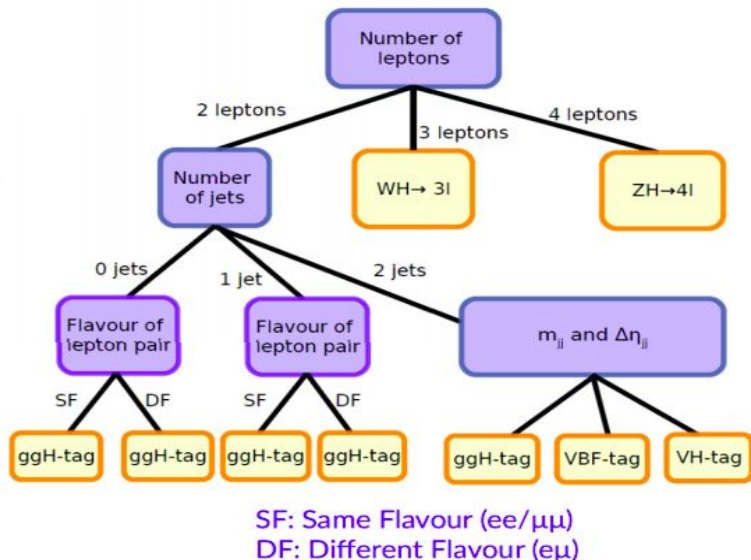
- Final state with **two leptons** (e or μ) and **missing energy** from the two W bosons.
- Categories with **same or different flavour** leptons.
 - **ggH** categories
 - **VBF** categories (two separated jets)
 - **VH** categories (2 jets or 3 or 4 leptons)



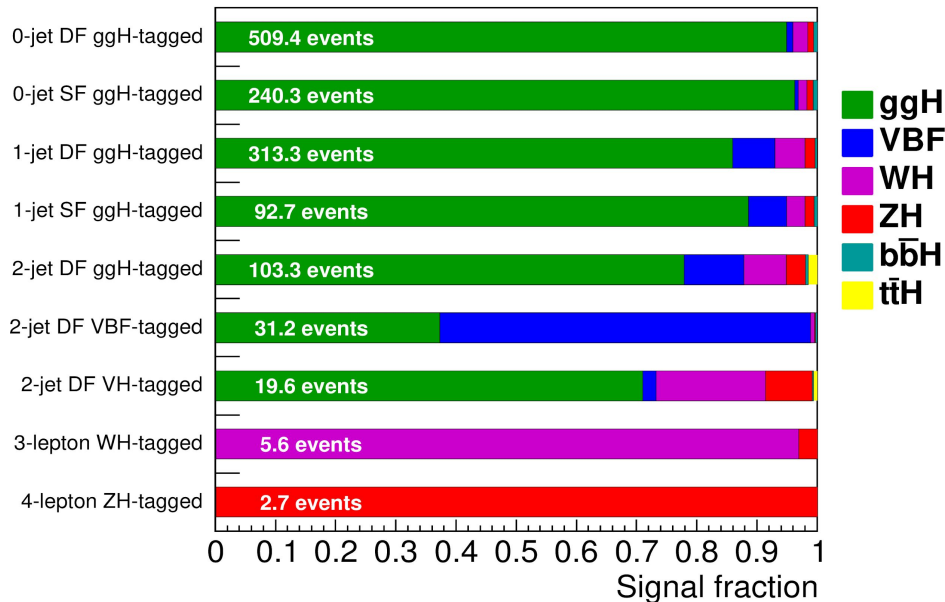
- Main backgrounds:
 - Nonresonant **WW** production
 - **DY** ($\tau\tau$ for different-flavour leptons)
 - **Top quark** production (tW and t \bar{t})
 - **Nonprompt lepton** background
 - Misidentified leptons or leptons from heavy-flavor hadron decays
 - Mainly W +jets and t \bar{t} events
 - Validated with a control region of same-sign leptons
- Dedicated **control regions** are used to estimate these two backgrounds

First measurement of HWW with Run 2 data

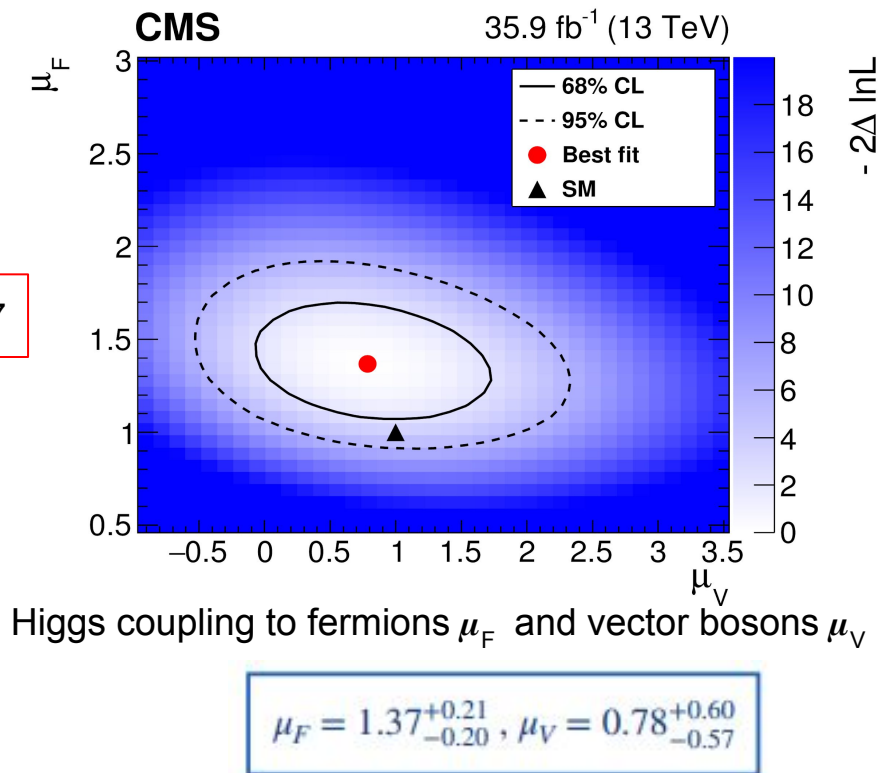
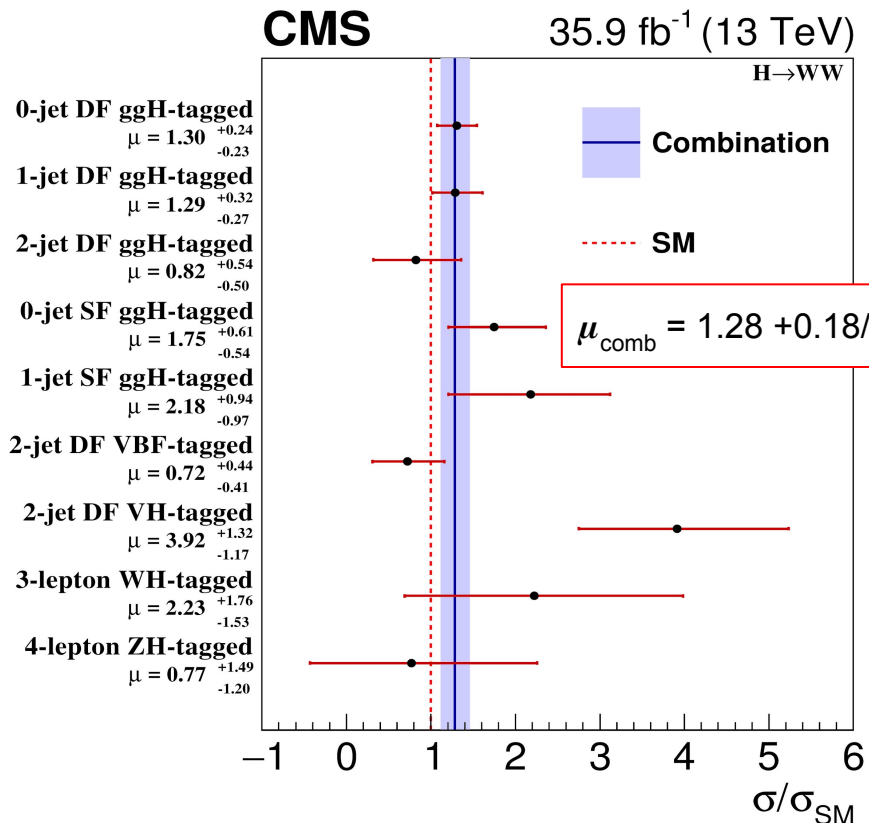
- ggH, VBF and VH production modes.
- Categories according to number of jets and same/different flavour leptons.



CMS Simulation 35.9 fb⁻¹ (13 TeV)



Higgs signal strength multipliers and couplings



- **Differential cross sections** are measured in bins of some observables.
- **Fiducial measurements** extrapolate to a phase space that matches the experimental selections.
 - Reduce model dependence avoiding the extrapolation to the full phase space.

- We can extract more information from **fiducial differential cross sections**:
 - Testing SM predictions.
 - Performing measurements in phase spaces more sensitive to BSM effects.

- We use the **Higgs boson transverse momentum** and the **jet multiplicity** as observables.
 - p_{T}^{H} is a particularly interesting choice, since the SM $d\sigma/dp_{\text{T}}^{\text{H}}$ is computed up to NNLO in QCD and it is known to be sensitive to possible deviations from the SM.
 - Jet multiplicity depends on the relative contribution of the production mechanisms and is useful to probe perturbative QCD radiation effects.

Gluon fusion channel is the one with the highest cross section and sensitivity.

Candidate events passing the **ggH different-flavour** selection are classified according to p_T^H and N_{jet} :

- p_T^H is computed using the sum of the p_T of the two leptons and the p_T^{miss} .
- For N_{jet} , only jets with $p_T > 30$ GeV and $|\eta| < 4.7$ are considered.

Two variables, **dilepton invariant mass $m_{\ell\ell}$** and the **transverse mass of the Higgs boson m_T^H** , have strong discrimination power against the background processes. The transverse mass is defined as:

$$m_T^H = \sqrt{2p_T^{\ell\ell} p_T^{\text{miss}} [1 - \cos \Delta\phi(\vec{p}_T^{\ell\ell}, \vec{p}_T^{\text{miss}})]},$$

- In each category the signal is extracted from fits to this **two-dimensional distribution**, using a combination of the background and signal templates.

- **General selection:**
 - μ and e with opposite charge
 - $p_{T1} > 25$ GeV, $|\eta_1| < 2.5$
 - $p_{T2} > 13$ GeV, $|\eta_2| < 2.5$
 - Third lepton veto ($p_{T3} < 10$ GeV)
 - $p_{T\parallel} > 30$ GeV
 - Missing $E_T > 20$ GeV
- **ggH signal region:**
 - $m_{\parallel} > 12$ GeV
 - $m_T^H > 60$ GeV, $m_T^{l2} > 30$ GeV
 - no b-tagged jets with $p_T > 20$ GeV

$$\text{with } m_T^{l2} = \sqrt{2p_T^{l2} p_T^{\text{miss}} [1 - \cos \Delta\phi(\vec{p}_T^{l2}, \vec{p}_T^{\text{miss}})]}$$

The fiducial region is defined using the general selection + signal region criteria

Additionally, **two control regions** are defined to constrain background contributions:

- **Top quark control region:**
 - $m_T^{l2} > 30$ GeV, $m_{\parallel} > 50$ GeV
 - 1 b-tagged jet with $p_T > 30$ GeV ($p_T > 20$ GeV in the 0-jet categories)
- **DY $\rightarrow \tau\tau$ control region:**
 - $m_T^H < 60$ GeV, 40 GeV $< m_{\parallel} < 80$ GeV
 - no b-tagged jets with $p_T > 20$ GeV

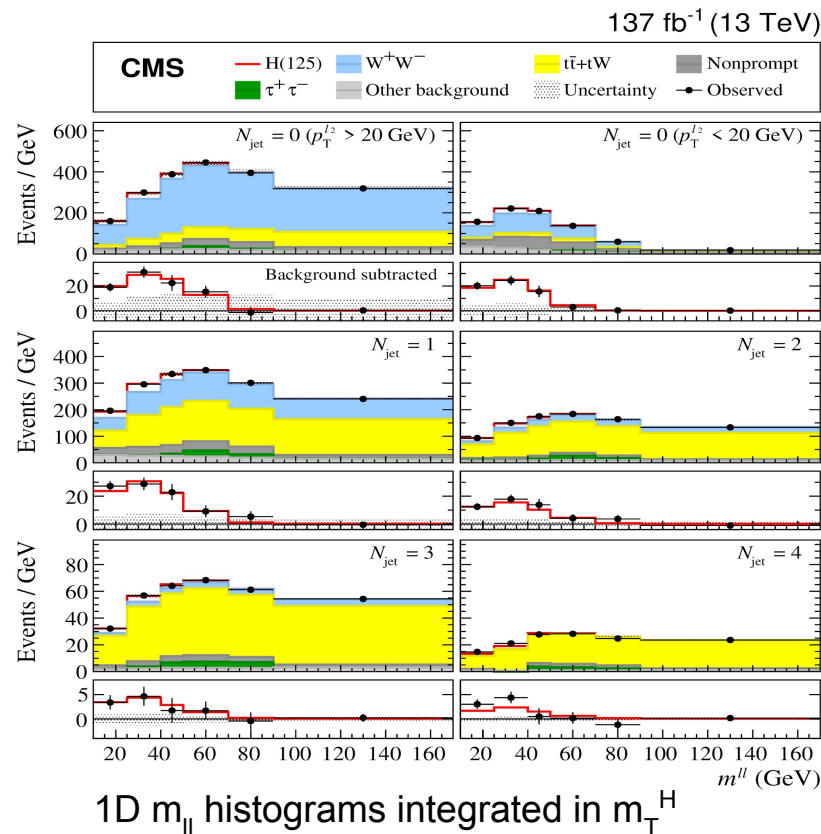
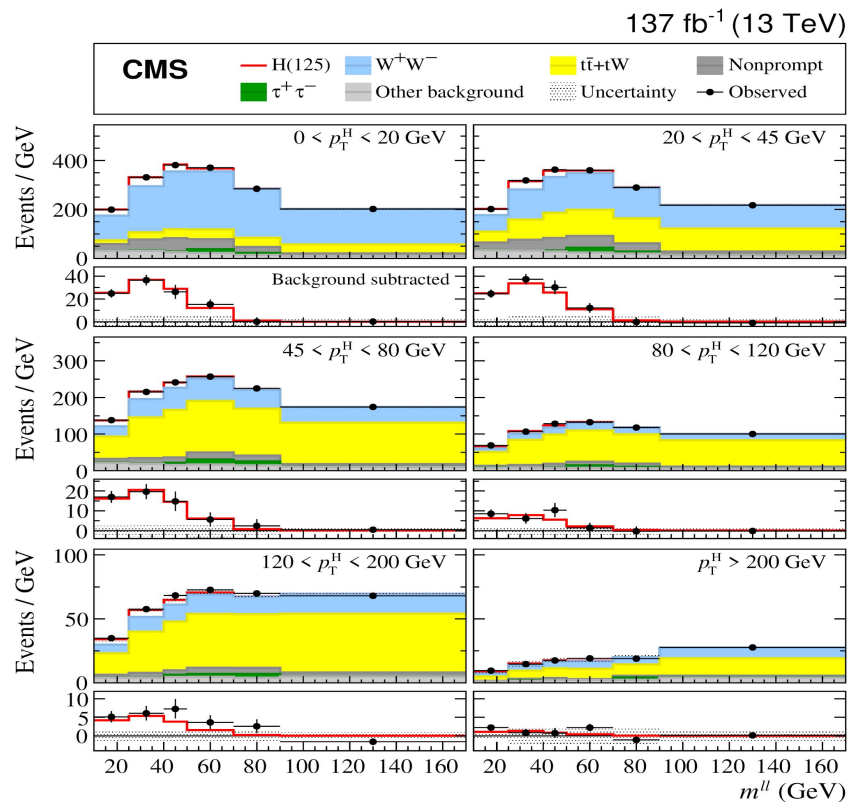
To maximize the sensitivity to the signal, events in each bin of the basis observables p_T^H and N_{jet} are **further categorized** by the properties of the two lepton candidates:

- $e\mu / \mu e$, depending on the leading lepton → to further isolate nonprompt lepton background
- $p_{T2} \geq 20 \text{ GeV} / p_{T2} < 20 \text{ GeV}$ → low p_{T2} region has higher signal/background ratio

Bins with lower number of events are not split into 4, but into 3 (no flavour division at $p_{T2} \geq 20 \text{ GeV}$), 2 (no division in flavour) or 1 (no splitting) instead:

p_T^H (GeV)	0-20	20-45	45-80	80-120	120-200	>200
categories	4	4	4	3	2	2
Njet	0	1	2	3	≥ 4	
categories	4	4	2	1	1	

Signal region m_{ll} distributions



- Signal events from one generator-level bin (i) contribute to multiple reconstructed-level bins in the $m_{ll} : m_{\tau}^H$ templates, which are all scaled together by the **same signal strength multiplier μ_i** .
- By performing one **simultaneous maximum likelihood fit** over all reconstructed-level bin histograms, signal strength modifiers of the generator-level observable bins can be determined.
- The unfolding procedure can be highly sensitive to statistical fluctuations in the observed distributions, and **for the p_{τ}^H measurement** there are large migrations due to poor p_{τ}^{miss} resolution.
 - To mitigate this effect, a **regularization procedure** is introduced to obtain the final result.
 - This regularization term acts as a smoothing constraint, reducing unphysical anticorrelations.

Sources of experimental uncertainties:

- Integrated luminosity
- Trigger efficiency
- Lepton reconstruction and identification efficiencies (1-2%)
- Lepton momentum scale
- Jet energy scale
- E_T^{miss} scale (1-10%)
- b-tagging
- Pile-up reweighting

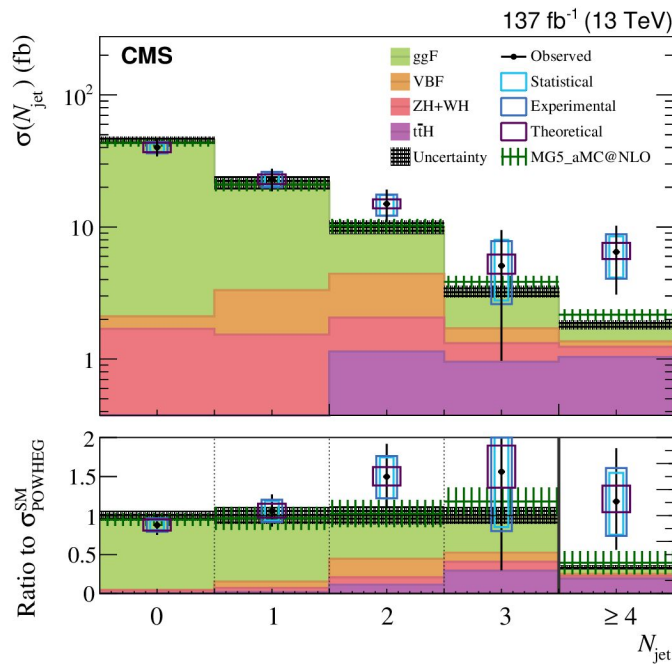
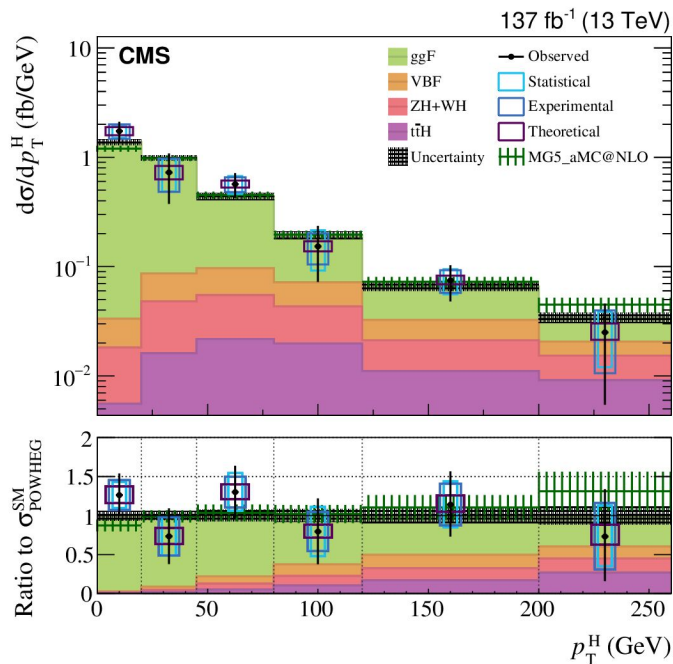
Sources of theoretical uncertainties:

- PDF
- Renormalization and factorization scale
- Parton shower modeling
- Underlying event modeling

Sources of uncertainties in the background modeling:

- Control region/ signal region for top quark and DY
- Top quark p_T reweighting
- Single top / ttbar cross section ratio
- WW NNLL resummation
- Nonprompt lepton background estimation (5–10%)
- WZ and $W\gamma^*$ scale factors

Fiducial differential cross section results



Good agreement with theoretical predictions

1.4 σ is the largest deviation, in the ≥ 4 jet bin

$$\mu^{\text{fid}} = 1.05 \pm 0.12 \left(\pm 0.05 \text{ (stat)} \pm 0.07 \text{ (exp)} \pm 0.01 \text{ (signal)} \pm 0.07 \text{ (bkg)} \pm 0.03 \text{ (lumi)} \right)$$

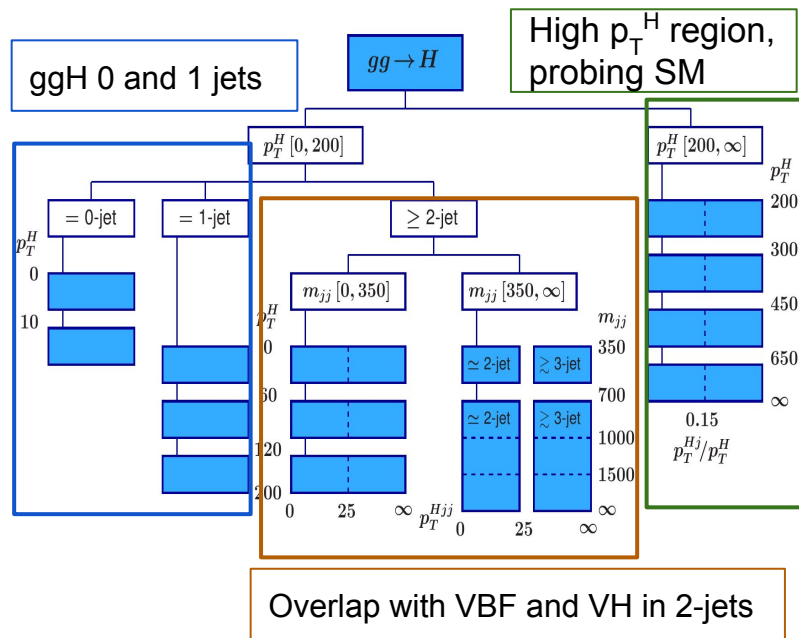
$$\sigma^{\text{fid}} = 86.5 \pm 9.5 \text{ fb.} \quad \sigma^{\text{SM}} = 82.5 \pm 4.2 \text{ fb}$$

Next results in SM $H \rightarrow WW$ analyses in CMS

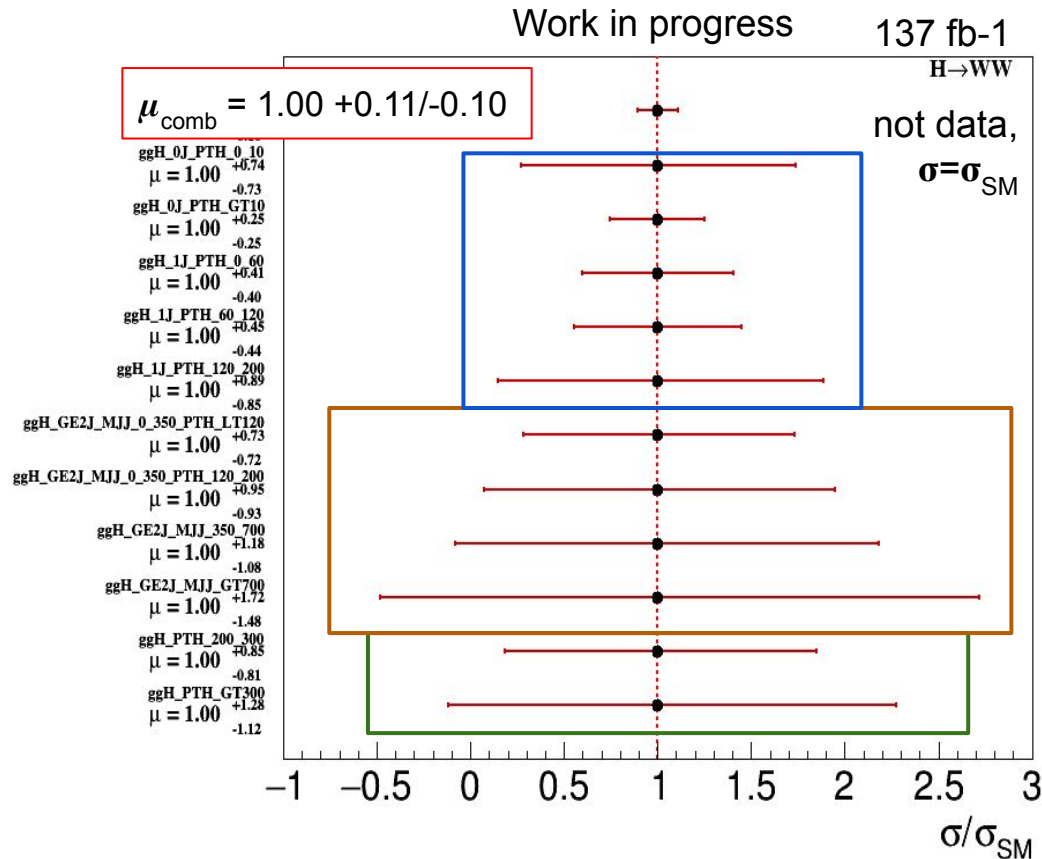


- The $H \rightarrow WW \rightarrow l\nu l\nu$ group is currently in the latest stages of analyzing the full Run 2 data.
- One new result will be the measurement of stage 1.2 **Simplified Template Cross Sections (STXS)**.
 - Measurement performed in **phase space regions**, with same definition in CMS and ATLAS.
 - **Complementary measurements** with respect to differential analyses.
 - **Reduce theory uncertainties** while maximizing the sensitivity of the analysis.
 - Binning schemes for each **production mode**, defined in stages of increasing granularity.
 - Allows **combination** between analyses using different **decay modes** (e.g. ZZ, $\gamma\gamma$, $\tau\tau$, WW).

STXS expected signal strength uncertainties (ggH)



- Uncertainties of the order of 10% for the combination of the bins.
- For regions with uncertainties over 100%, (low statistics) bins can be merged.



- After the first measurement at 13 TeV of Higgs **signal strength multipliers and couplings**, the SM $H \rightarrow WW$ group of CMS has produced a **fiducial differential measurement** using 137 fb^{-1} of data.
 - Differential measurement with respect to p_T^H and N_{jet} .
 - Events selected targeting the **gluon fusion** production mode.
 - Final state with two different-flavour leptons and missing energy.
 - Cross section obtained in **agreement with the SM** theoretical prediction.
- **Work in progress**: full Run 2 measurements of the ggH, VBF and VH production modes.
 - New results will include a measurement with a divided phase space, using the **Simplified Template Cross Section** framework.

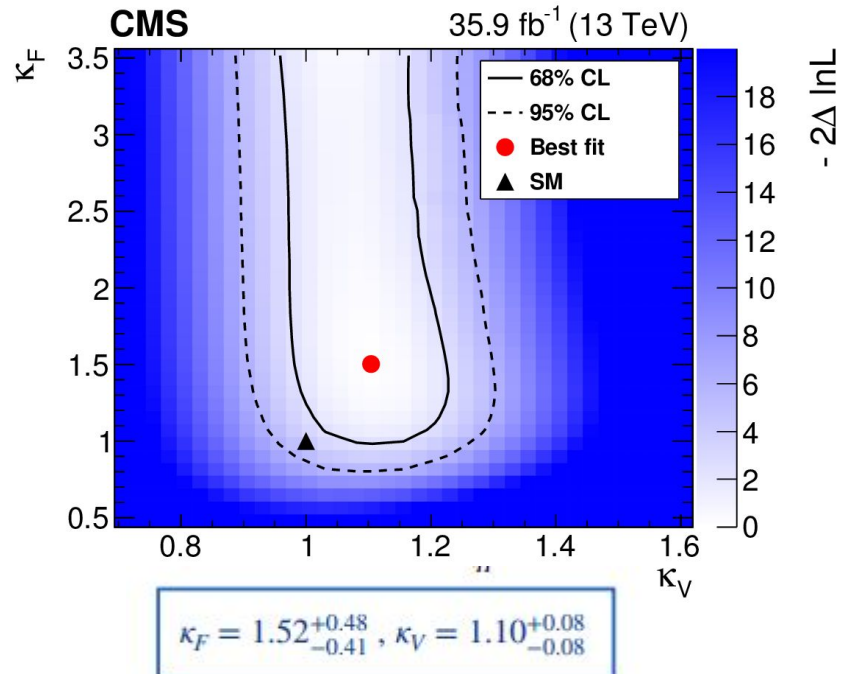
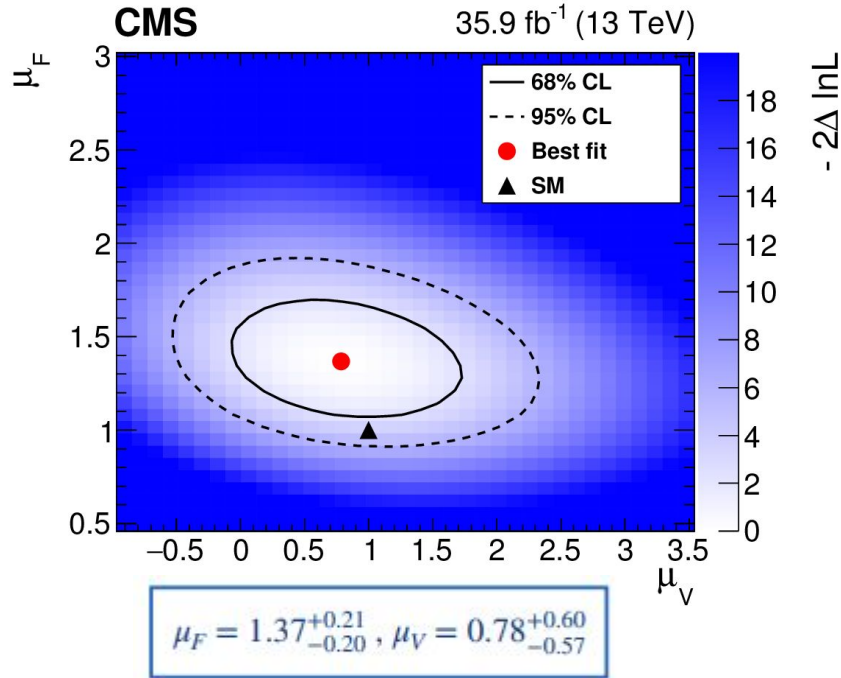
*Thank you for
your attention!*

Backup

Higgs couplings in first Run 2 measurement of HWW



kappa framework:
$$\sigma\mathcal{B}(X \rightarrow H \rightarrow WW) = \kappa_i^2 \frac{\kappa_V^2}{\kappa_H} \sigma_{\text{SM}} \mathcal{B}_{\text{SM}}(X \rightarrow H \rightarrow WW)$$



Higgs differential production cross sections are inferred from **signal strength modifiers** $\mu_i = \sigma_i^{\text{obs}} / \sigma_i^{\text{SM}}$ extracted from a simultaneous maximum likelihood fit to all bins and categories.

This is the likelihood function:

$$\mathcal{L}(\boldsymbol{\mu}; \boldsymbol{\theta}) = \prod_j \text{Poisson} \left(n_j; s_j(\boldsymbol{\mu}; \boldsymbol{\theta}) + b_j(\boldsymbol{\theta}) \right) \cdot \mathcal{N}(\boldsymbol{\theta}) \cdot \mathcal{K}(\boldsymbol{\mu})$$

n data events in bin j
(m_{\parallel} and m_{\perp}^H bin)

constraints on the **systematic uncertainties**, taken as nuisance parameters $\boldsymbol{\theta}$

regularization factor that reduces large fluctuations among neighboring bins applied **only in p_{\perp}^H measurement**

$$s_j(\boldsymbol{\mu}; \boldsymbol{\theta}) = \sum_i \left[R_{ji}(\boldsymbol{\theta}) \mu_i L_j \cdot (\sigma_i^{\text{SM}} + \sigma_i^{\text{SM-out}}) \right]$$

response matrix

luminosity

fiducial
cross section

nonfiducial
cross section

Regularization procedure

- The unfolding procedure can be highly sensitive to statistical fluctuations in the observed distributions, and for the p_T^H measurement there are large migrations due to poor p_T^{miss} resolution.
- To mitigate this effect, a regularization procedure is introduced to obtain the final result.

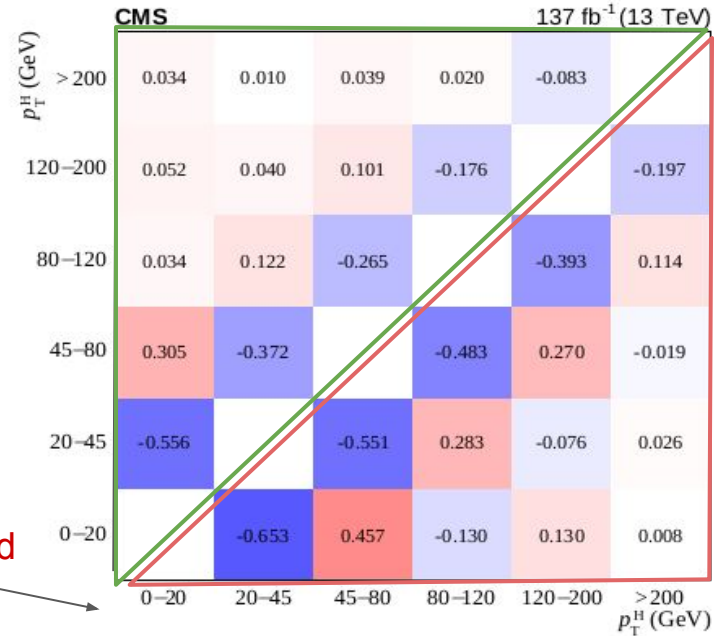
$$\mathcal{K}(\boldsymbol{\mu}) = \prod_{i=2}^{N-1} \exp\left(-\frac{[(\mu_{i+1} - \mu_i) - (\mu_i - \mu_{i-1})]^2}{2\delta^2}\right)$$

- This regularization term acts as a smoothing constraint, reducing unphysical anticorrelations.
- δ is optimized by minimizing the mean of the global correlation coefficient.

Correlation among the signal strength modifiers for p_T^H bins

Regularized

Unregularized



Correlation matrix among Njet bins

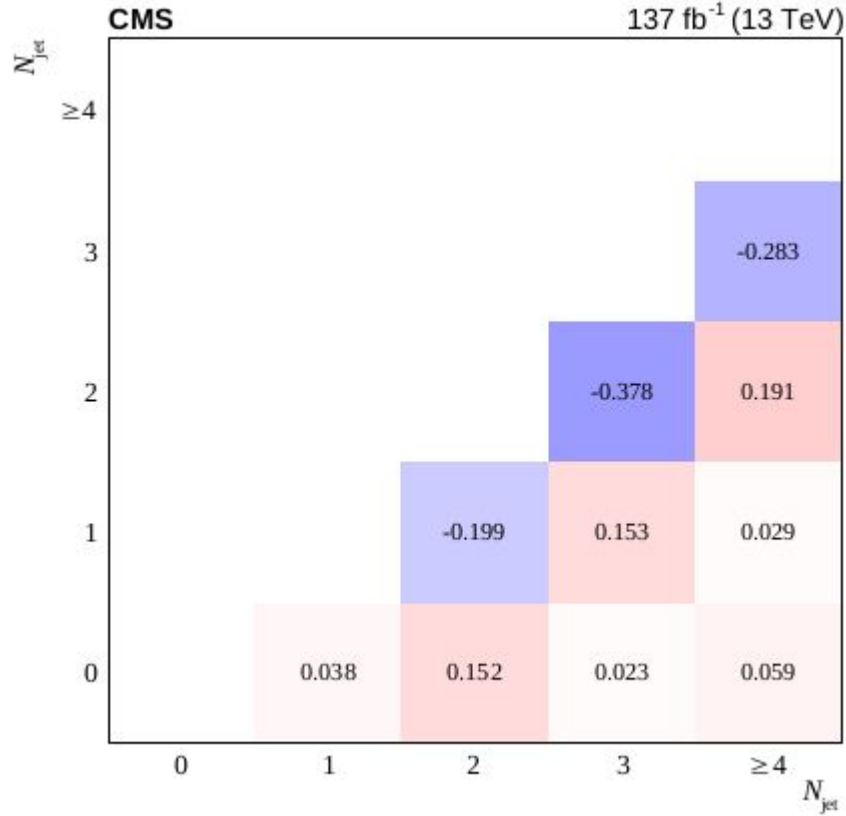


Table 5: Signal and background post-fit (pre-fit) yields in the $\mathcal{R}\mathcal{L} p_T^H$ bins.

Process	$\mathcal{R}\mathcal{L} p_T^H$ bin					
	[0-20]	[20-45]	[45-80]	[80-120]	[120-200]	> 200
H(125)	1489 ± 81 (1356)	1386 ± 80 (1402)	835 ± 52 (792)	320 ± 36 (344)	217 ± 33 (222)	54 ± 17 (75)
$\tau^+\tau^-$	537 ± 49 (372)	675 ± 43 (585)	684 ± 61 (482)	316 ± 42 (195)	173 ± 24 (219)	104 ± 58 (83)
W^+W^-	26945 ± 213 (22840)	17421 ± 290 (18771)	7444 ± 269 (9048)	2759 ± 250 (3972)	2205 ± 155 (2816)	1037 ± 70 (1637)
$t\bar{t} + tW$	5571 ± 65 (5492)	14700 ± 176 (14528)	18313 ± 239 (18188)	11482 ± 220 (11624)	6481 ± 137 (6488)	1659 ± 40 (1671)
Nonprompt	3709 ± 127 (5154)	4373 ± 128 (5909)	1822 ± 107 (3143)	1002 ± 80 (1239)	558 ± 52 (749)	197 ± 23 (279)
Other background	2770 ± 102 (2002)	3245 ± 137 (2186)	2160 ± 100 (1431)	1055 ± 64 (778)	737 ± 49 (519)	478 ± 33 (349)

Table 6: Signal and background post-fit (pre-fit) yields in the $\mathcal{R}\mathcal{L} N_{\text{jet}}$ bins.

Process	$\mathcal{R}\mathcal{L} N_{\text{jet}}$ bin				
	0	1	2	3	≥ 4
H(125)	2186 ± 92 (2447)	1254 ± 60 (1165)	632 ± 66 (445)	178 ± 48 (109)	98 ± 26 (36)
$\tau^+\tau^-$	740 ± 41 (520)	944 ± 50 (822)	688 ± 99 (301)	255 ± 43 (135)	100 ± 50 (70)
W^+W^-	41058 ± 360 (38437)	13190 ± 252 (15176)	3402 ± 222 (4266)	698 ± 125 (966)	0 ± 0 (240)
$t\bar{t} + tW$	11125 ± 144 (11870)	20891 ± 179 (21198)	15788 ± 214 (15381)	6853 ± 110 (6510)	3152 ± 52 (3031) top
Nonprompt	6649 ± 188 (8999)	3436 ± 149 (4457)	1066 ± 77 (1792)	480 ± 52 (685)	254 ± 30 (357)
Other background	4513 ± 165 (3394)	3189 ± 139 (2342)	1424 ± 89 (1043)	449 ± 32 (362)	149 ± 12 (124)

Fiducial differential cross section results

p_T^H (GeV)	σ^{SM} (fb)	μ	Regularized μ							Bias	σ^{obs} (fb)
			Value	stat	exp	signal	bkg	lumi			
0–20	27.45	1.37 ± 0.30	1.26 ± 0.27	± 0.17	± 0.19	± 0.01	± 0.10	± 0.03	+0.00	34.6 ± 7.5	
20–45	24.76	0.52 ± 0.42	0.73 ± 0.36	± 0.24	± 0.25	± 0.01	± 0.10	± 0.03	-0.12	18.2 ± 8.9	
45–80	15.28	1.55 ± 0.41	1.30 ± 0.33	± 0.24	± 0.20	± 0.03	± 0.09	± 0.03	-0.03	19.9 ± 5.2	
80–120	7.72	0.49 ± 0.52	0.79 ± 0.42	± 0.32	± 0.25	± 0.02	± 0.08	± 0.03	-0.16	6.1 ± 3.3	
120–200	5.26	$1.34^{+0.51}_{-0.48}$	1.14 ± 0.41	± 0.29	± 0.27	± 0.04	± 0.08	± 0.03	+0.11	6.0 ± 2.2	
>200	2.05	$0.64^{+0.63}_{-0.60}$	$0.73^{+0.61}_{-0.57}$	± 0.38	± 0.42	$^{+0.09}_{-0.03}$	± 0.10	± 0.03	+0.19	1.5 ± 1.2	
N_{jet}	σ^{SM} (fb)	μ							σ^{obs} (fb)		
		Value	stat	exp	signal	bkg	lumi				
0	45.70	0.88 ± 0.13	± 0.06	± 0.08	± 0.01	± 0.07	± 0.03	40.1 ± 6.0			
1	21.74	1.06 ± 0.20	± 0.12	± 0.14	± 0.01	± 0.08	± 0.03	23.0 ± 4.6			
2	9.99	1.50 ± 0.40	$^{+0.25}_{-0.28}$	± 0.28	± 0.04	± 0.11	± 0.03	15.0 ± 4.2			
3	3.26	$1.56^{+1.35}_{-1.26}$	$^{+0.89}_{-0.71}$	$^{+0.84}_{-0.76}$	$^{+0.17}_{-0.07}$	$^{+0.29}_{-0.19}$	$^{+0.07}_{-0.04}$	$5.1^{+4.4}_{-4.1}$			
≥ 4	1.83	$3.54^{+2.05}_{-1.86}$	$^{+1.10}_{-1.28}$	$^{+1.28}_{-1.32}$	$^{+0.40}_{-0.20}$	$^{+0.38}_{-0.34}$	$^{+0.10}_{-0.07}$	$6.5^{+3.8}_{-3.4}$			