

Charm production: constraints to transport models and charm diffusion coefficient with ALICE

Luuk Vermunt* (Universität Heidelberg),
for the ALICE Collaboration



07/04/2022

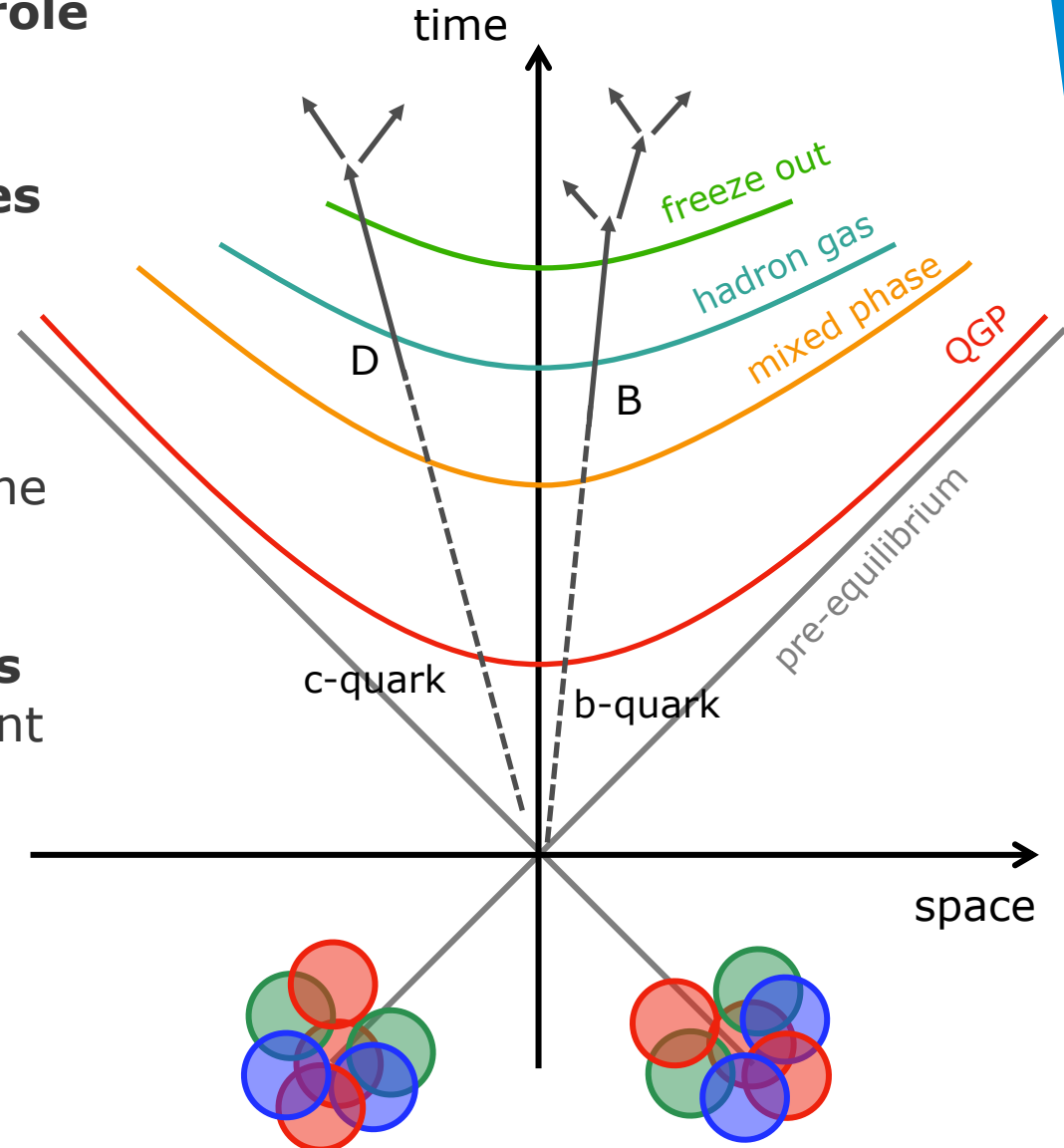
* luuk.vermunt@cern.ch



Open heavy-flavour production

Open heavy-flavour production plays a **unique role** in heavy-ion physics:

- Production restricted to **early collision stages** and **retain a “memory”** of their evolution through the QGP
- Good theoretical control on the production (**perturbative QCD**) and transport through the medium (**diffusion treatment**)
- Heavy quarks **retain their flavour and mass identity**; can be “tagged” by the measurement of heavy-flavour hadrons



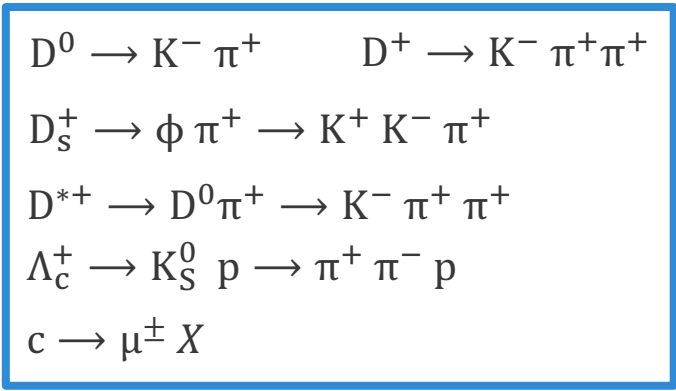


A Large Ion Collider Experiment

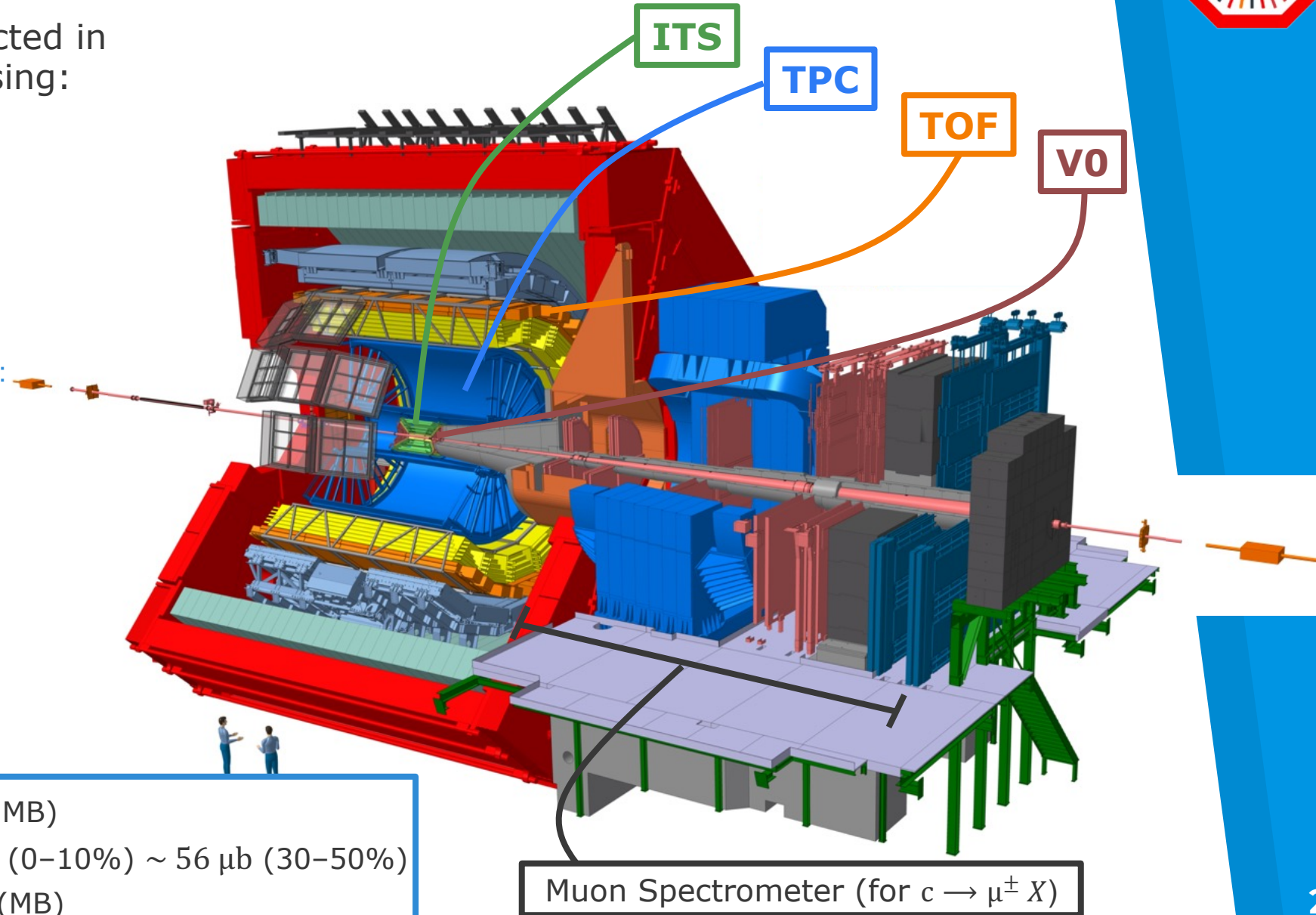
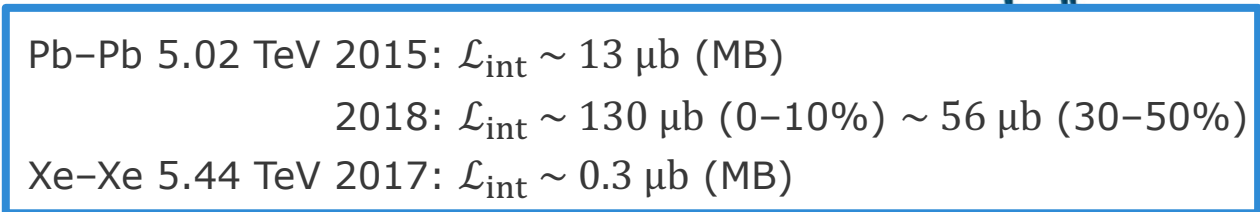
Charm-hadron analyses conducted in the central barrel ($|y| < 0.5$), using:

- Inner **T**racking **S**ystem
- Time **P**rojection **C**hamber
- Time-**o**f-**F**light detector
- V**0 detectors

Charm measurements in Pb–Pb collisions:



Data samples used:



Muon Spectrometer (for $c \rightarrow \mu^\pm X$)



Regions of interest

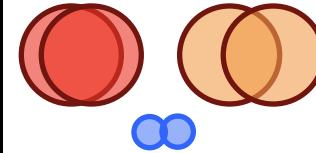
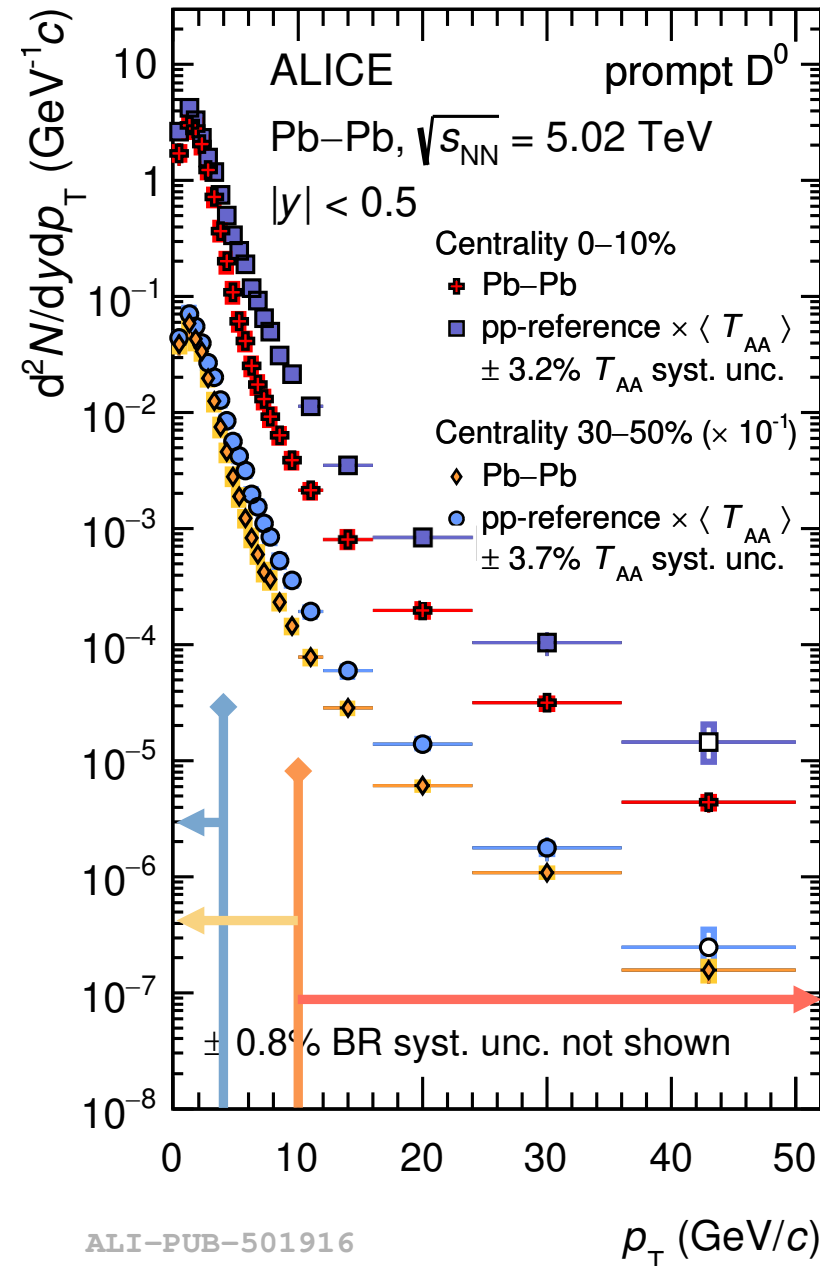
New: JHEP 01 (2022) 174

Low momenta

- Heavy quarks interact via elastic rescatterings
- Diffusion approach via Langevin dynamics
- Approach thermalisation
- nPDF and shadowing

Intermediate momenta

- Probes the heavy quark hadronisation mechanisms
- Via fragmentation and/or recombination?



High momenta

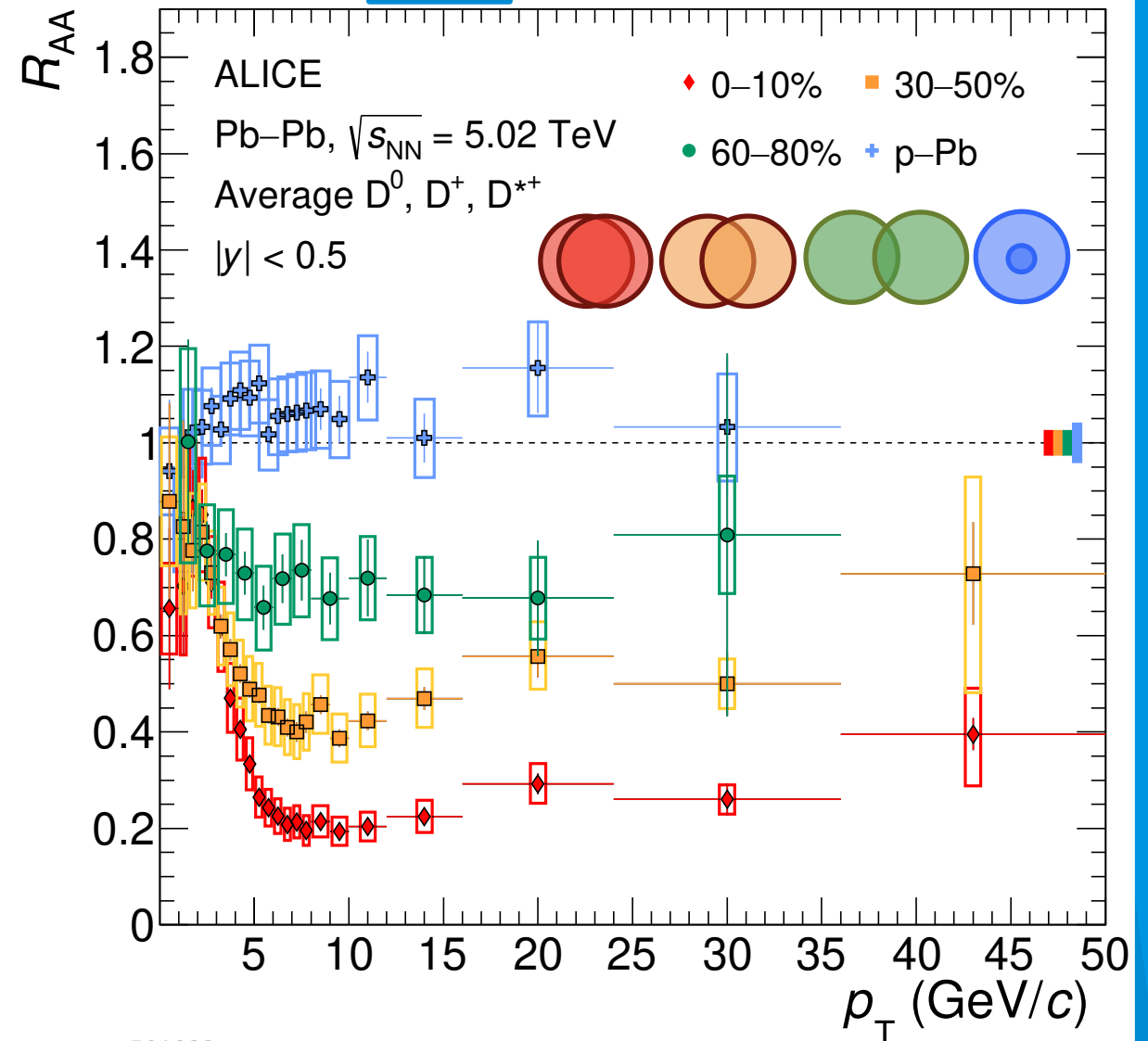
- Heavy quarks interact via gluon radiation
- Quark mass and path-length dependence?



Nuclear modification factor: non-strange D

- Increasing suppression (for $p_T > 3$ GeV/c) for more central collisions due to **increasing density, size, and lifetime** of the medium
- Because of interplay of many different effects, **model comparison required** to interpret these single D-meson measurements

New: JHEP 01 (2022) 174

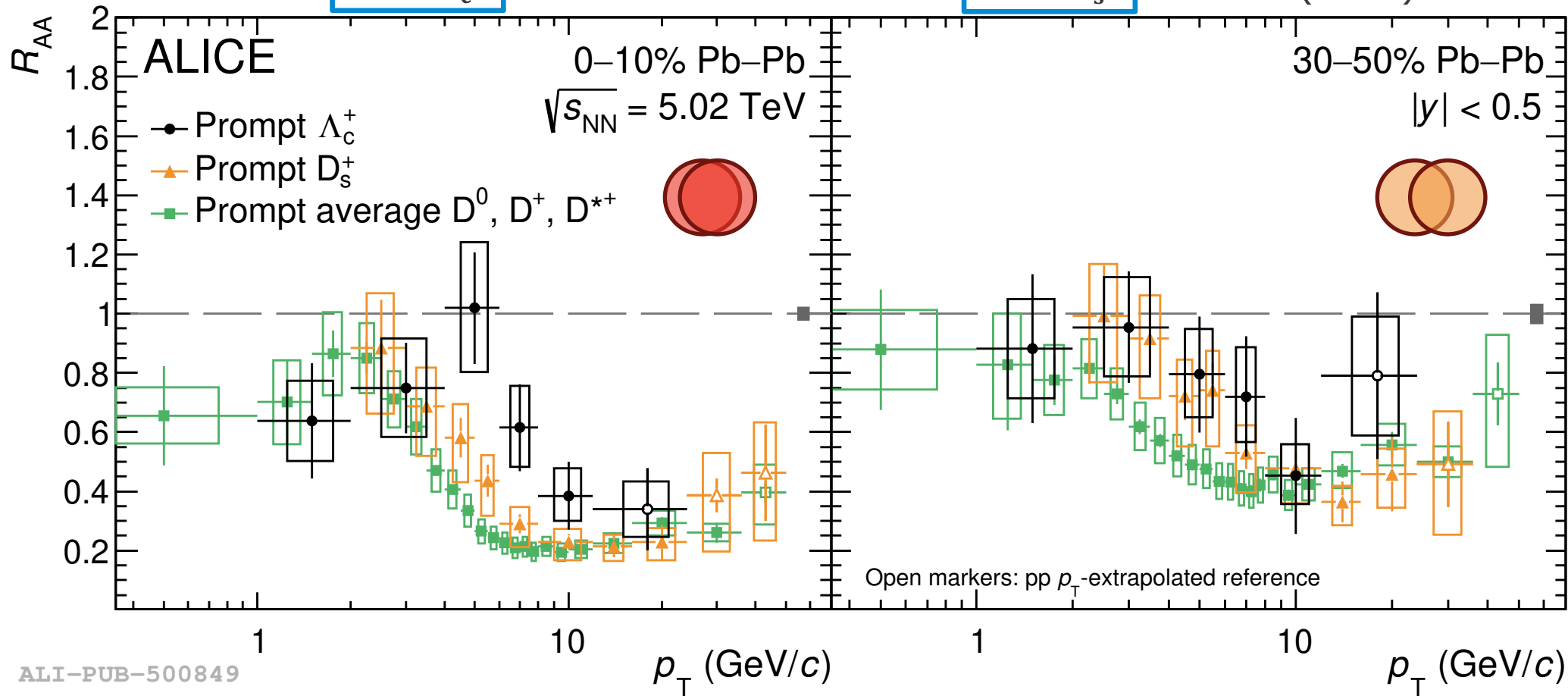




Nuclear modification factor: D_s^+ and Λ_c^+

New Λ_c^+ : arXiv:2112.08156

New D_s^+ : PLB 827 (2022) 136986

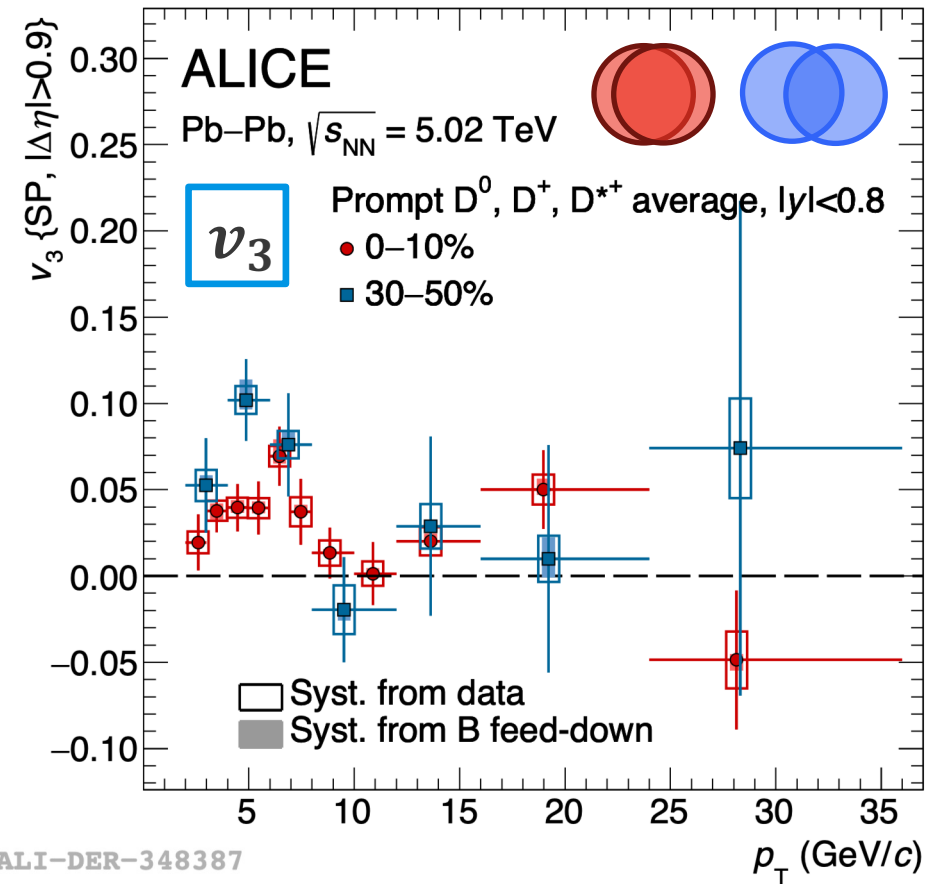
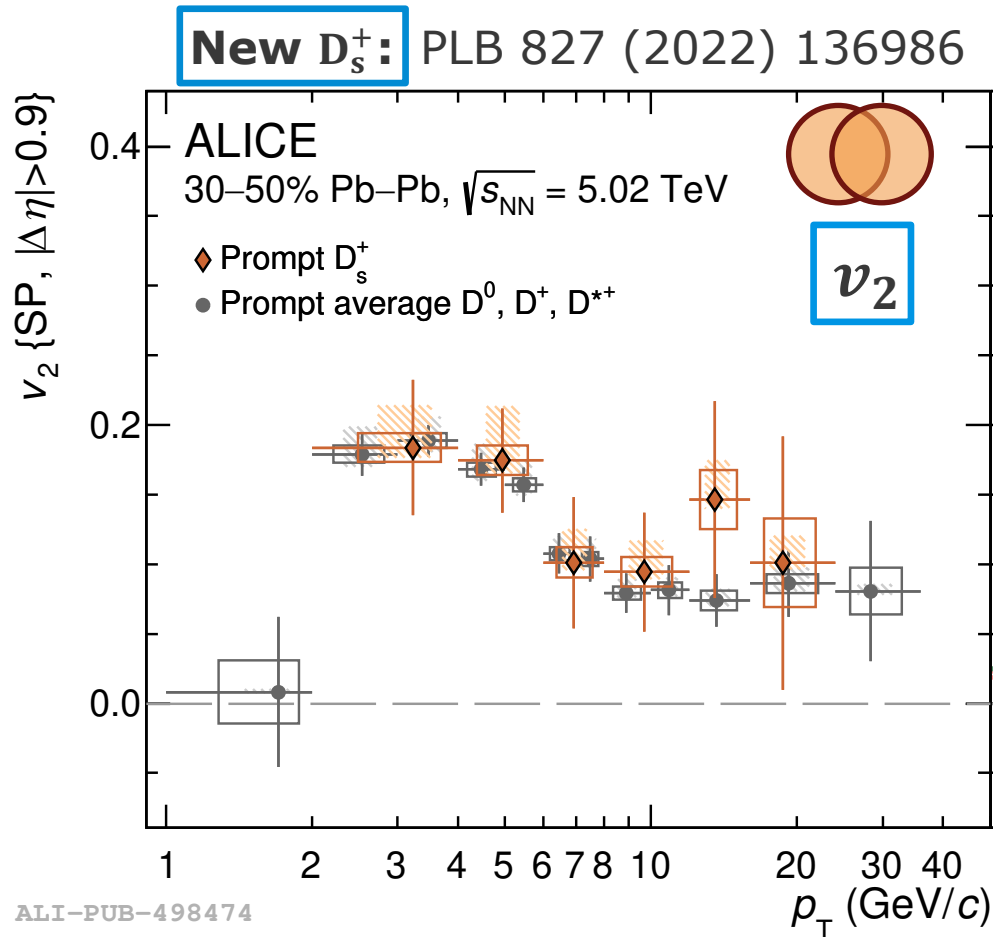


ALI-PUB-500849

Hint of hierarchy, $R_{AA}(\Lambda_c^+) > R_{AA}(D_s^+) > R_{AA}(D)$ for $p_T > 4$ GeV/c in most central collisions
 → Indication of modified hadronisation mechanisms; interplay with radial flow?



Azimuthal anisotropies: D mesons



Positive D v_2 and v_3 in 0–10% and 30–50%

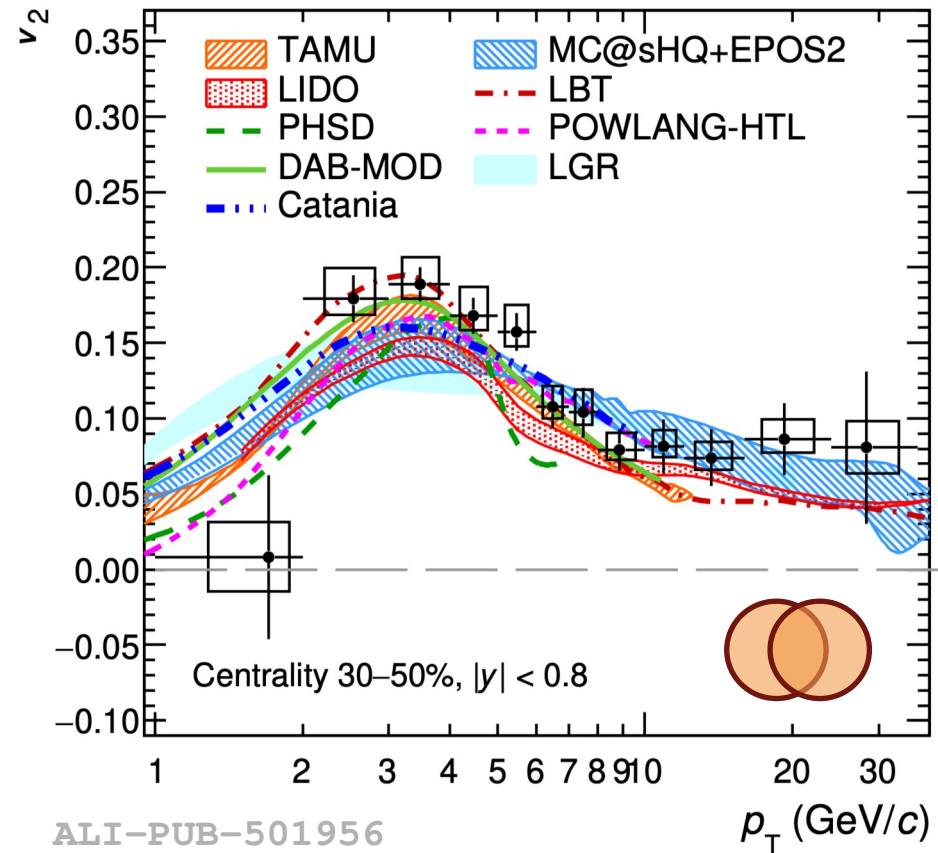
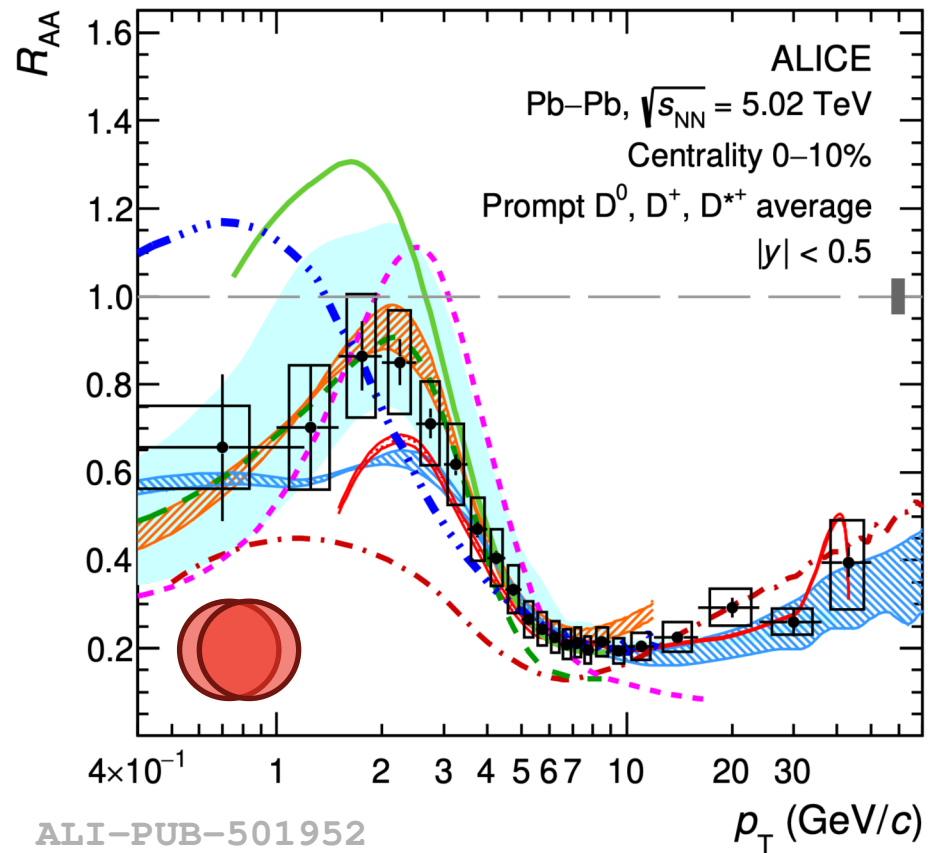
→ Charm participates in collective expansion

Positive D_s^+ v_2 in $2 < p_T < 8$ GeV/c in 30–50% with **significance of 6.4σ**

→ Current uncertainties too large to draw conclusion about potential difference w.r.t. non-strange D



Charm-quark transport models



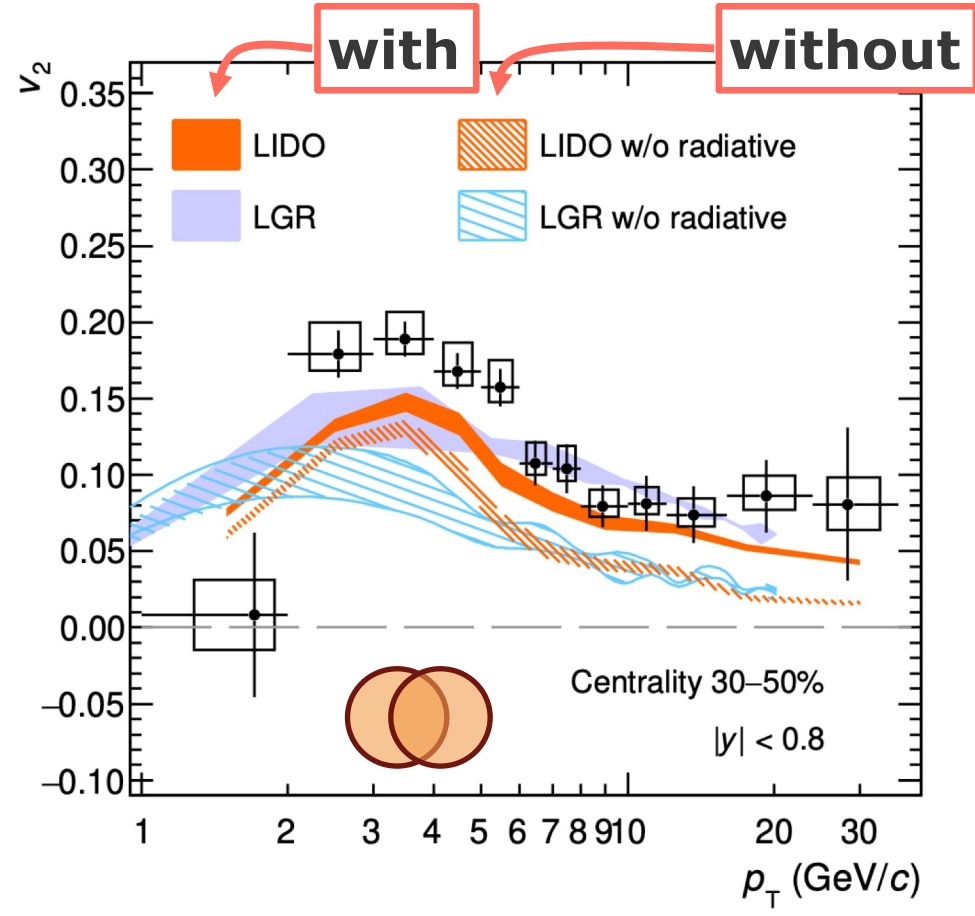
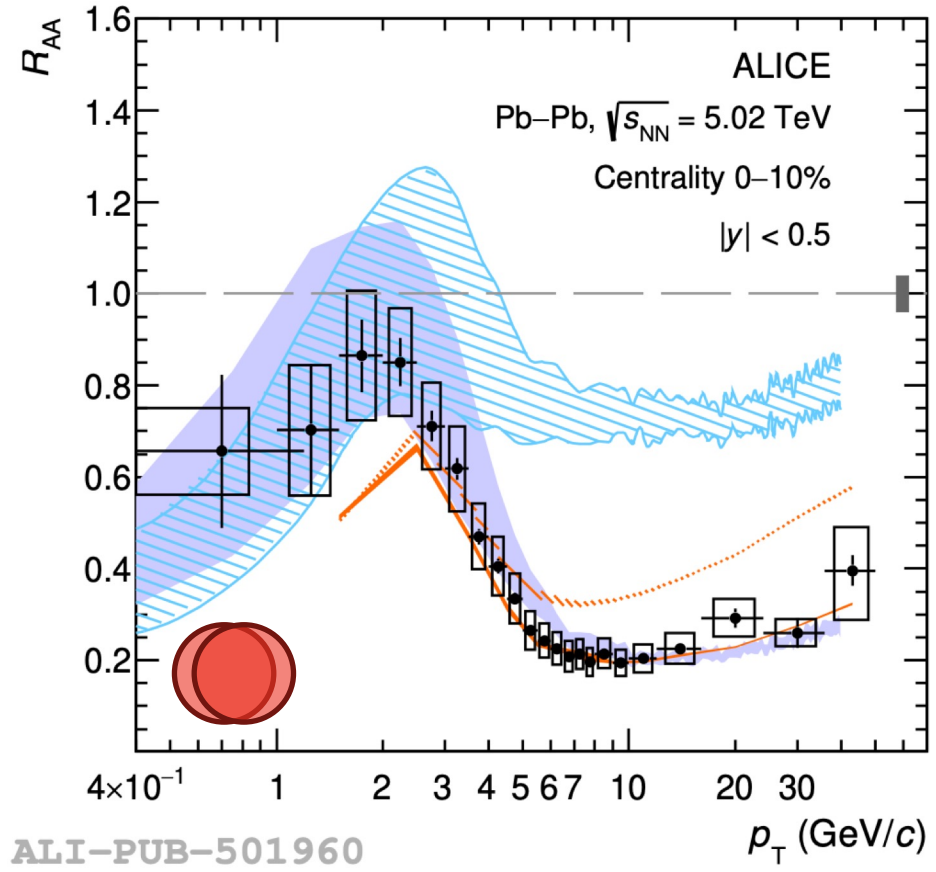
Most charm-quark **transport models** able to describe both the R_{AA} and v_2

→ Use comparison to understand which physics effects are relevant

→ Use comparison to estimate the spatial diffusion coefficient



Physics effects in models

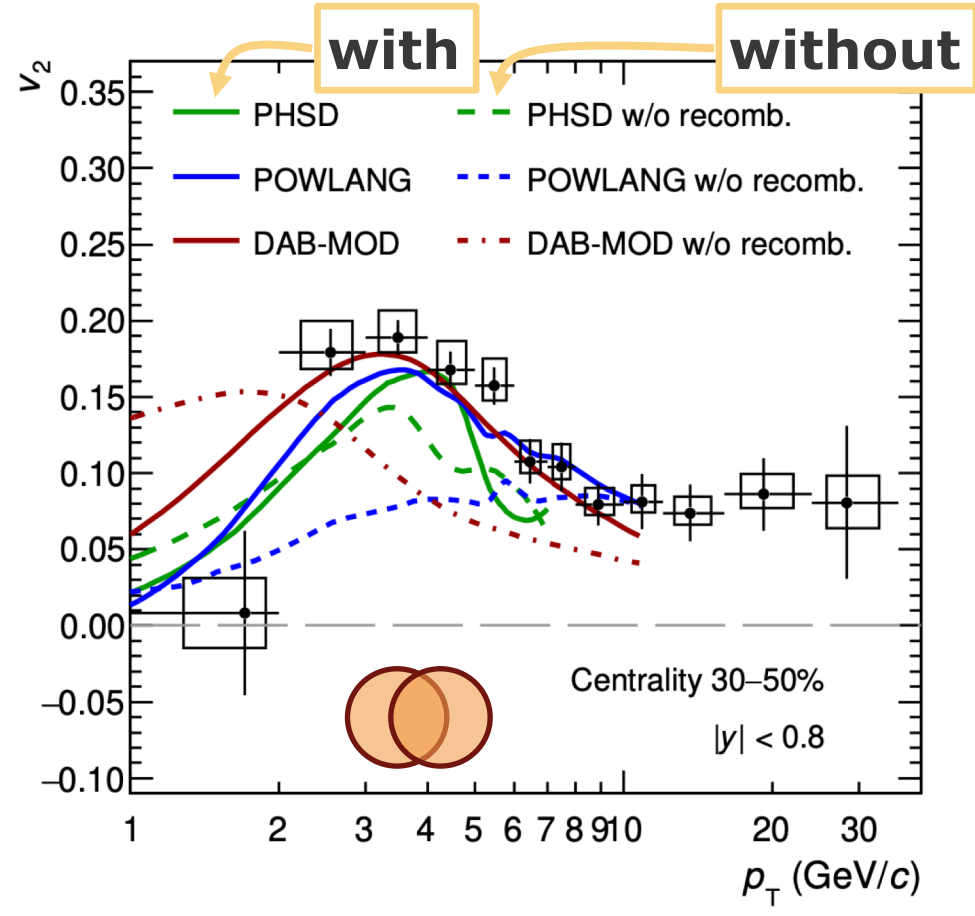
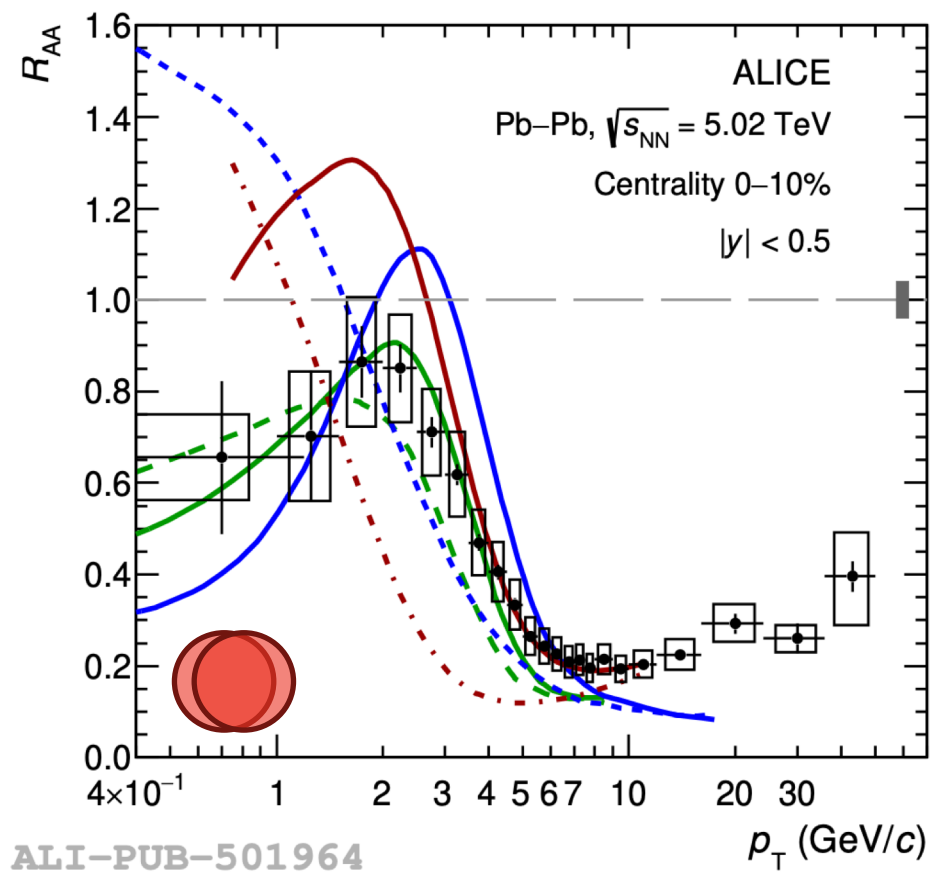


Radiative energy loss important to describe intermediate and high p_T

→ Small impact on low p_T region



Physics effects in models



Hadronisation via recombination important to describe low and intermediate p_T
 → D meson “picks up” the v_2 of the light quark

PHSD: PRC 93, 034906 (2016)
 POWLANG: EPJC 75 (2015) 3, 121
 DAB-MOD: PRC 96, 064903 (2017)

R_{AA} : JHEP 01 (2022) 174
 v_2 : PLB 813 (2021) 136054



Spatial diffusion coefficient

Constraining the spatial diffusion coefficient via the **data-to-model agreement**

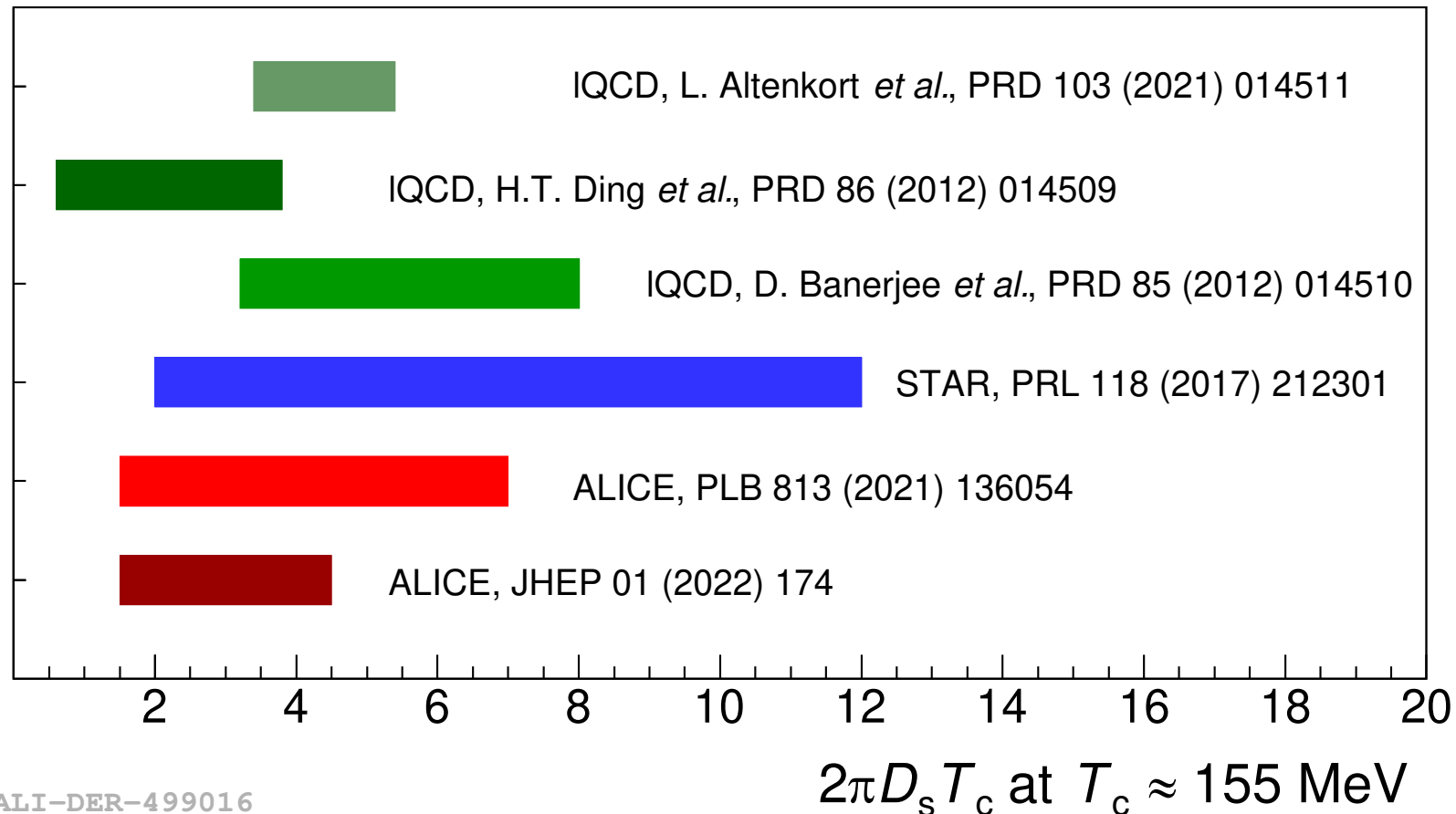
→ Using R_{AA} (with $\chi^2/\text{ndf} < 5$) and v_2 (with $\chi^2/\text{ndf} < 2$) non-strange D measurements

→ TAMU, MC@SHQ, LIDO, LGR, and Catania "selected"

$$\rightarrow 1.5 < 2\pi D_s T_c < 4.5$$

$$\rightarrow \tau_{\text{charm}} \simeq 3-8 \text{ fm}/c$$

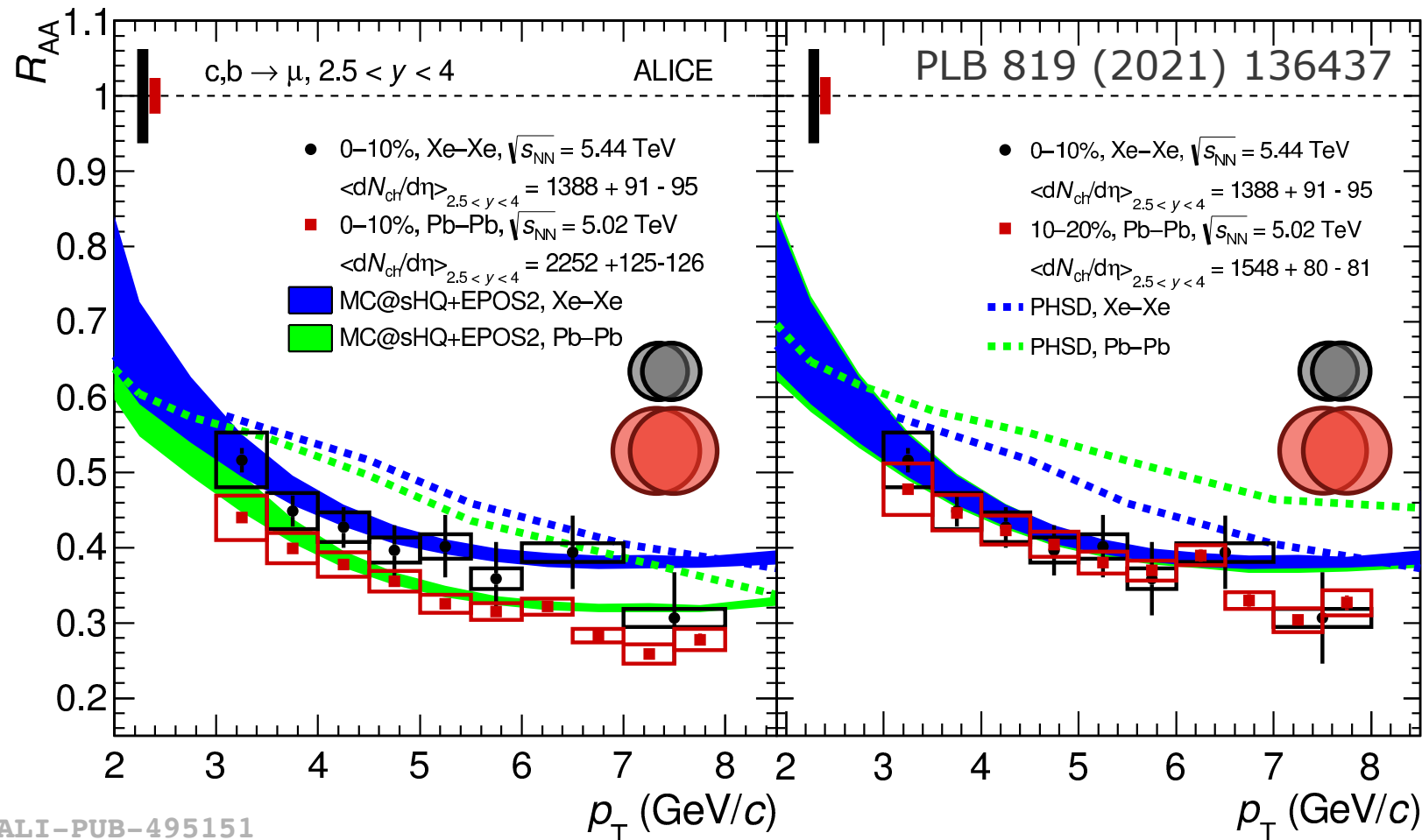
New





What do leptons from HF decays teach us?

R_{AA} of HF decay μ^\pm and e^\pm (see backup) **reasonably well described** by transport models
→ Some tension for PHSD (no radiative e-loss) with forward muons, but describes e^\pm at midrapidity



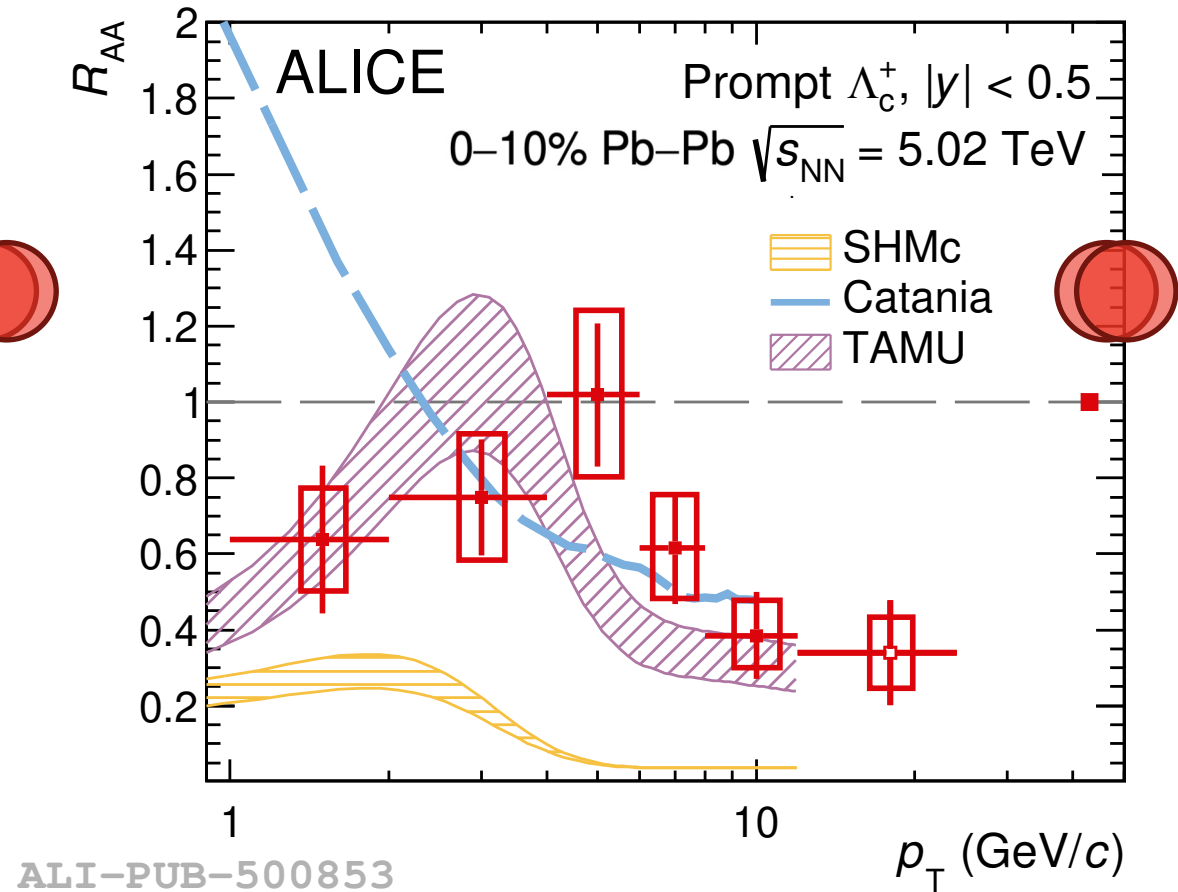
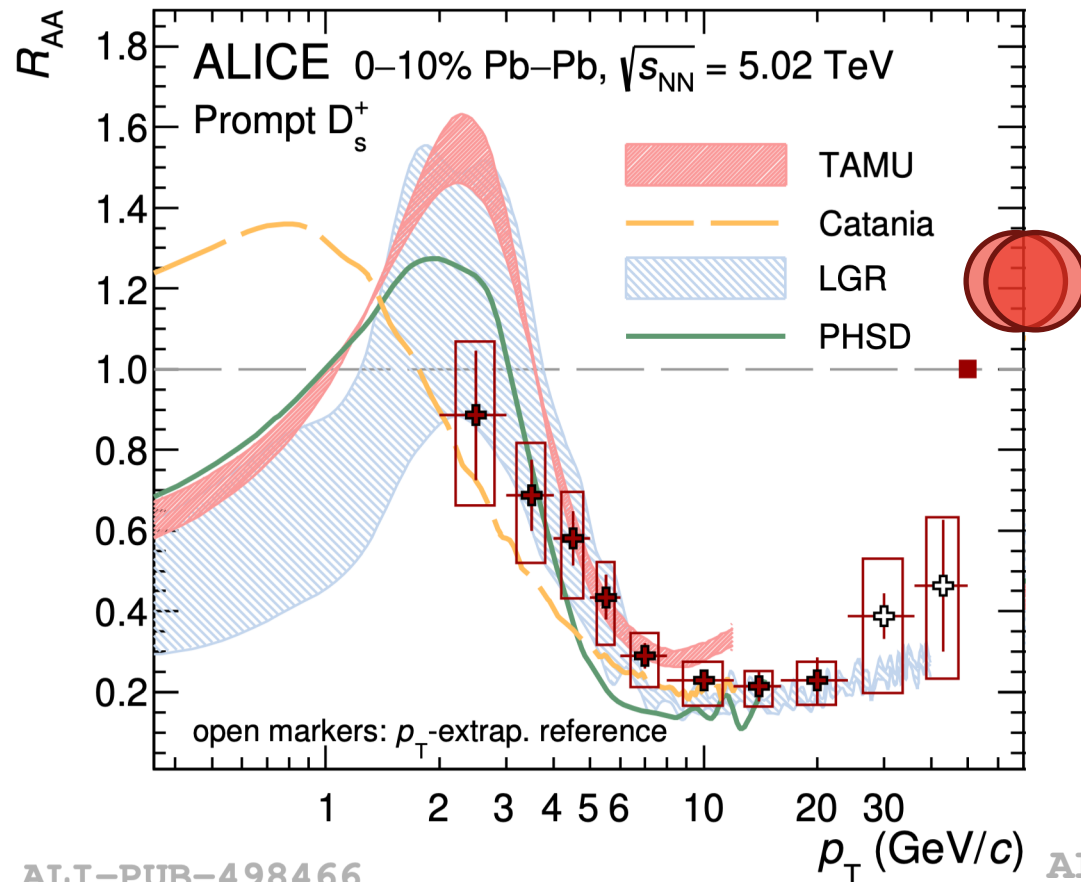
Similar R_{AA} for μ^\pm from heavy-flavour decays in Pb–Pb and Xe–Xe collisions **at similar $\langle dN_{ch}/d\eta \rangle$**
→ Possibility to further constrain model calculations



What do D_s^+ and Λ_c^+ teach us?

R_{AA} of D_s^+ and Λ_c^+ reasonably well described by models including charm recombination

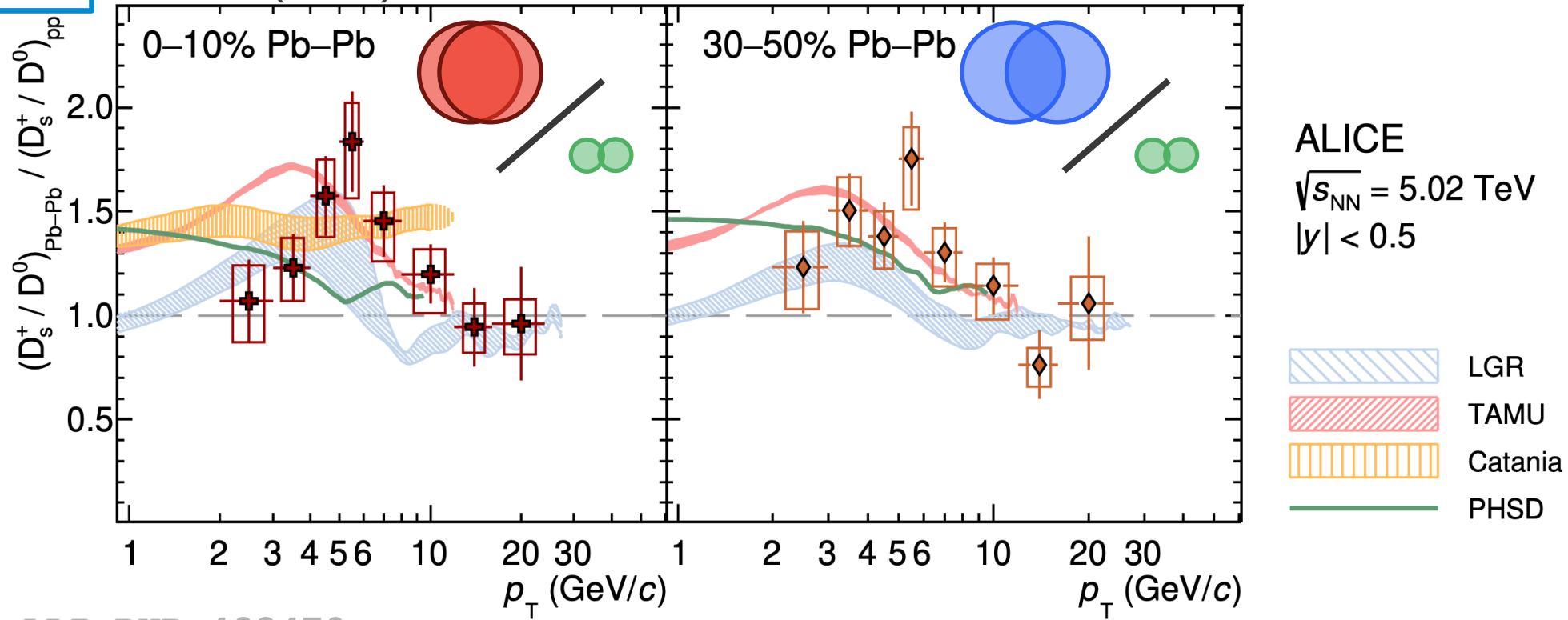
→ Tension SHMc due to somewhat schematic corona description





Charm-strange to probe hadronisation

New: PLB 827 (2022) 136986



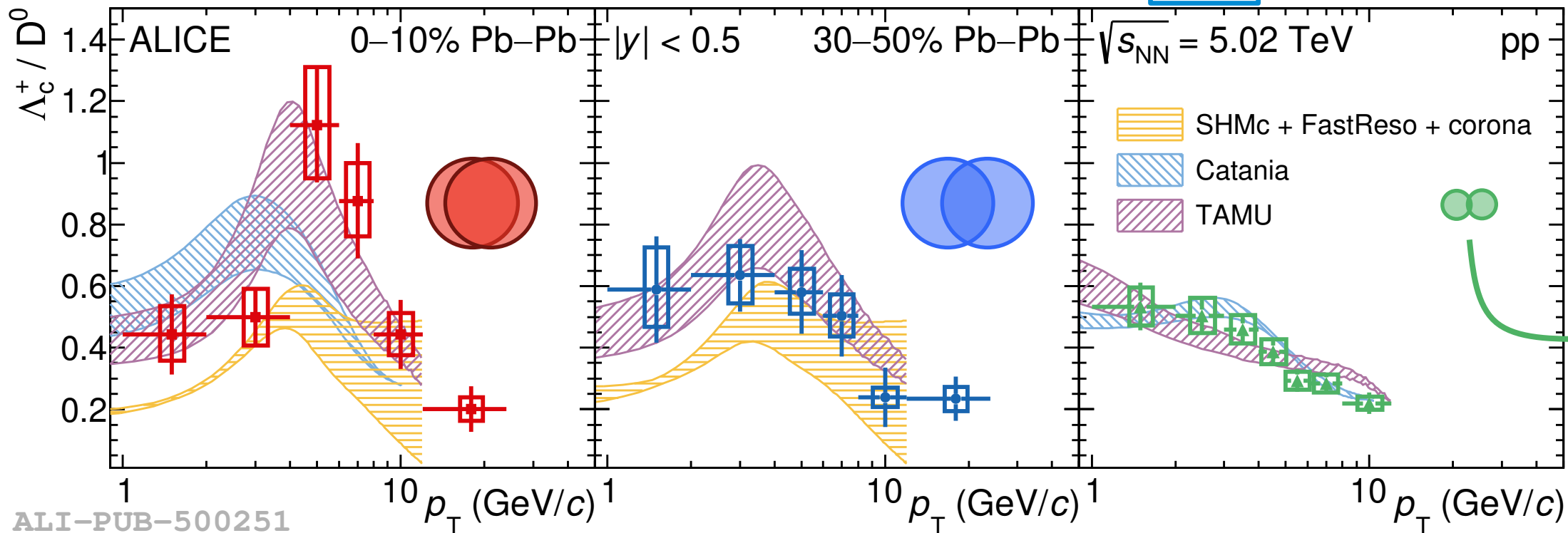
ALI-PUB-498470

The D_s^+ / D^0 ratio is **higher** in $2 < p_T < 8$ GeV/c in 0–10% (30–50%) Pb-Pb by **2.3σ** (**2.4σ**)

Described by models including strangeness enhancement and fragmentation + recombination

Charm baryons to probe hadronisation

New: arXiv:2112.08156



M. Faggin
07/04/21
11:10

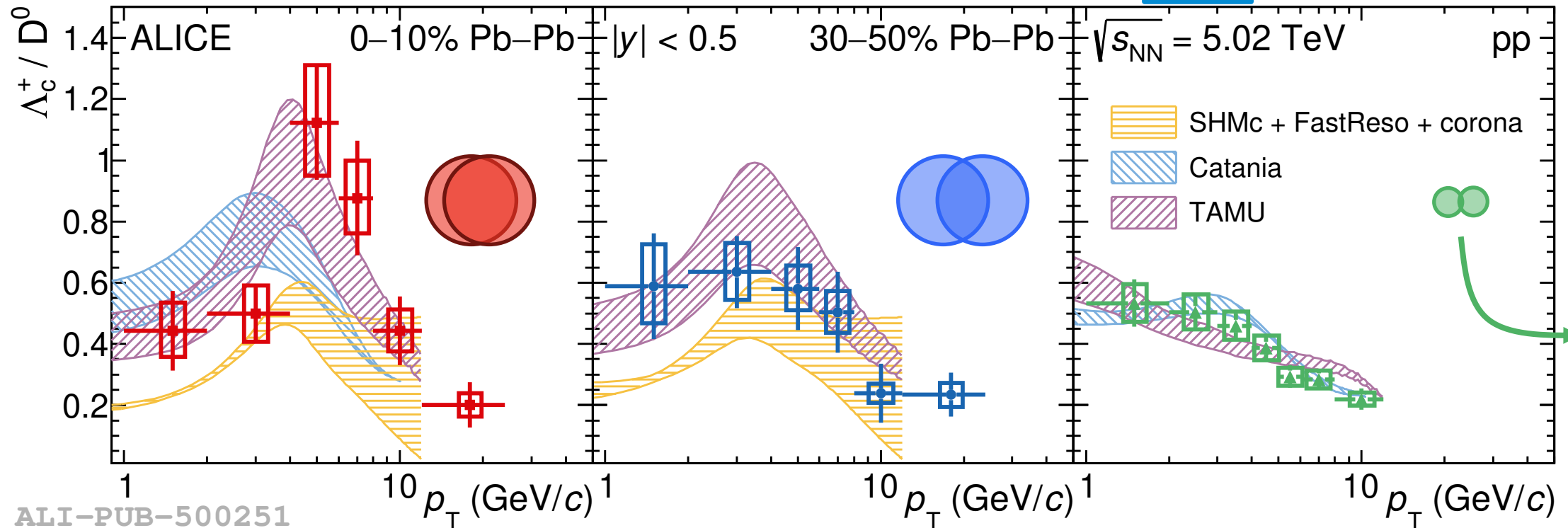
The Λ_c^+ / D^0 ratio is **enhanced** in $4 < p_T < 8$ GeV/c for central Pb–Pb wrt pp collisions by **3.7σ**

→ Also seen for baryon-to-meson ratios with **light-flavour particles**

→ Data is described by TAMU. The shapes of the Catania and SHMc predictions agree qualitatively

Charm baryons to probe hadronisation

New: arXiv:2112.08156

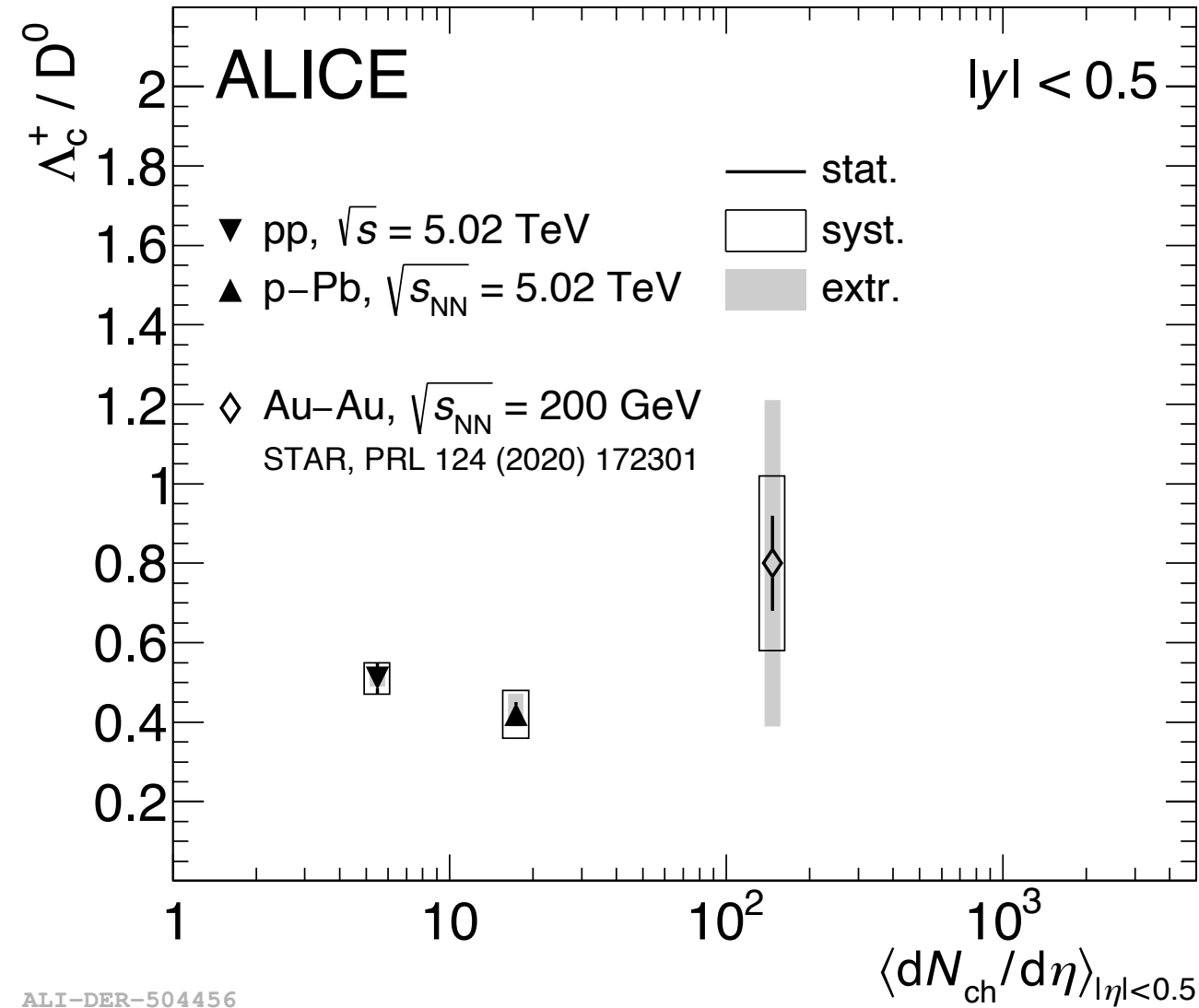


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- The Λ_c^+ / D^0 ratio is enhanced in $4 < p_T < 8$ GeV/c for central Pb-Pb wrt pp collisions by 3.7σ
- Also seen for baryon-to-meson ratios with light-flavour particles
- Data is described by TAMU. The shapes of the Catania and SHMc predictions agree qualitatively

Can the enhancement be explained as an **interplay between radial flow & recombination**, i.e. a different redistribution of p_T between baryons and mesons?

p_T -integrated Λ_c^+ / D^0 ratio



ALI-DER-504456

p_T -integrated Λ_c^+ / D^0 ratio

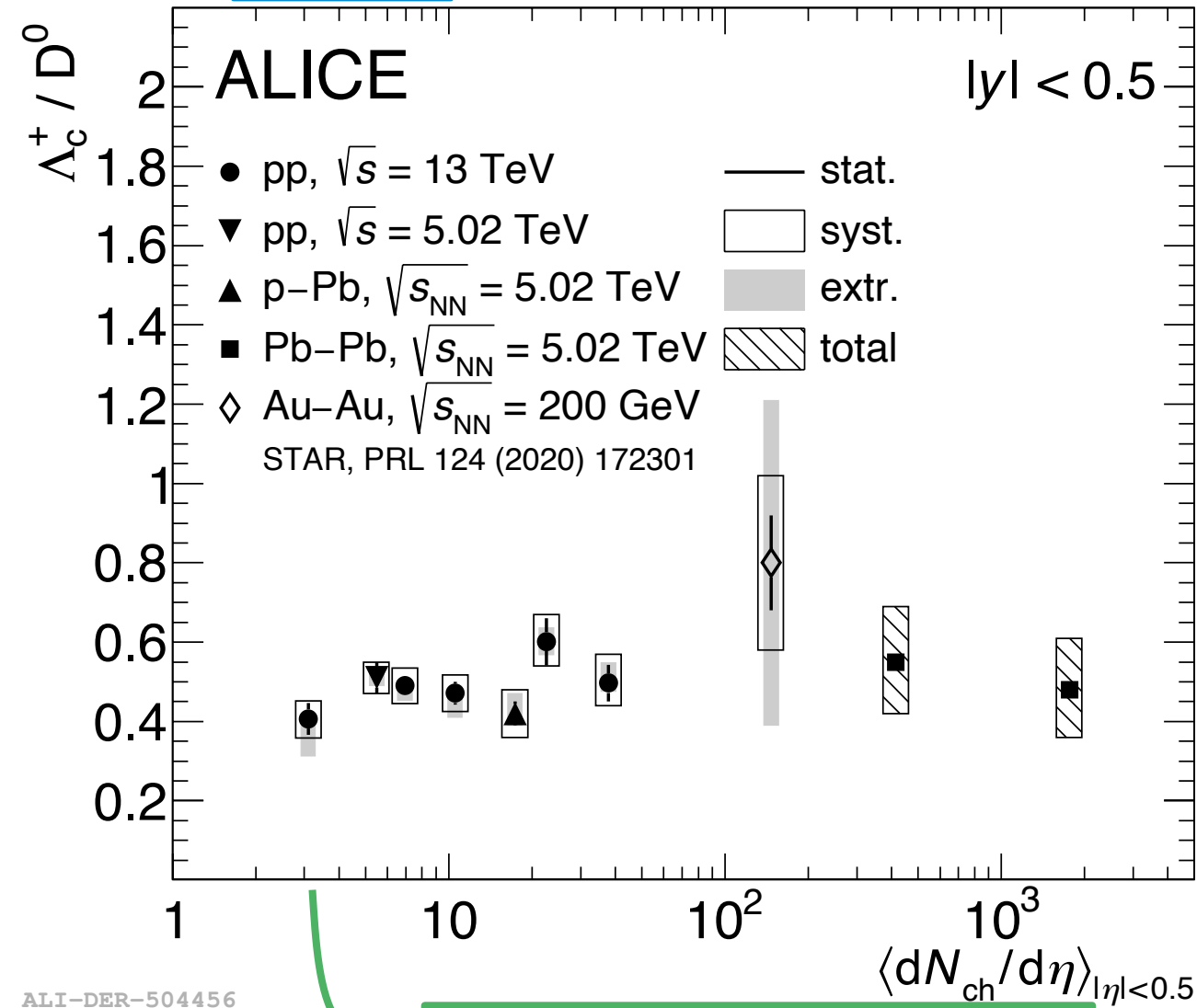
New Pb–Pb: arXiv:2112.08156

New pp: arXiv:2111.11948



New and precise estimates by ALICE at **low and high multiplicities**

Hint of **flat trend with multiplicity**



ALI-DER-504456

L. Stritto, 07/04/21, 16:00

p_T -integrated Λ_c^+ / D^0 ratio

New Pb-Pb: arXiv:2112.08156

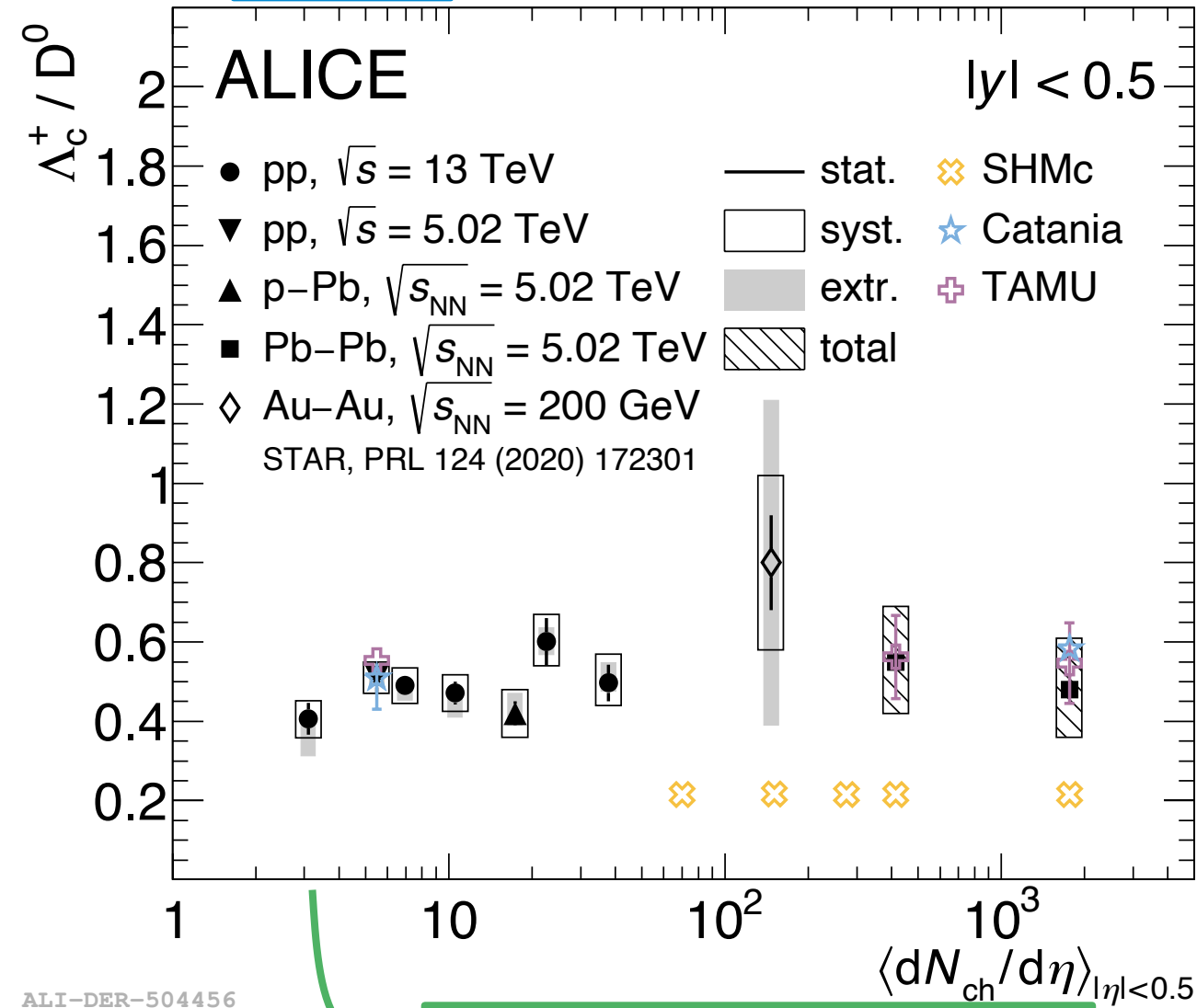
New pp: arXiv:2111.11948



New and precise estimates by ALICE at **low and high multiplicities**

Hint of **flat trend with multiplicity**

→ Reproduced by fragm+recomb and SHM predictions (including new charm-baryon states for the latter)



ALI-DER-504456

L. Stritto, 07/04/21, 16:00

p_T -integrated Λ_c^+ / D^0 ratio

New Pb-Pb: arXiv:2112.08156

New pp: arXiv:2111.11948

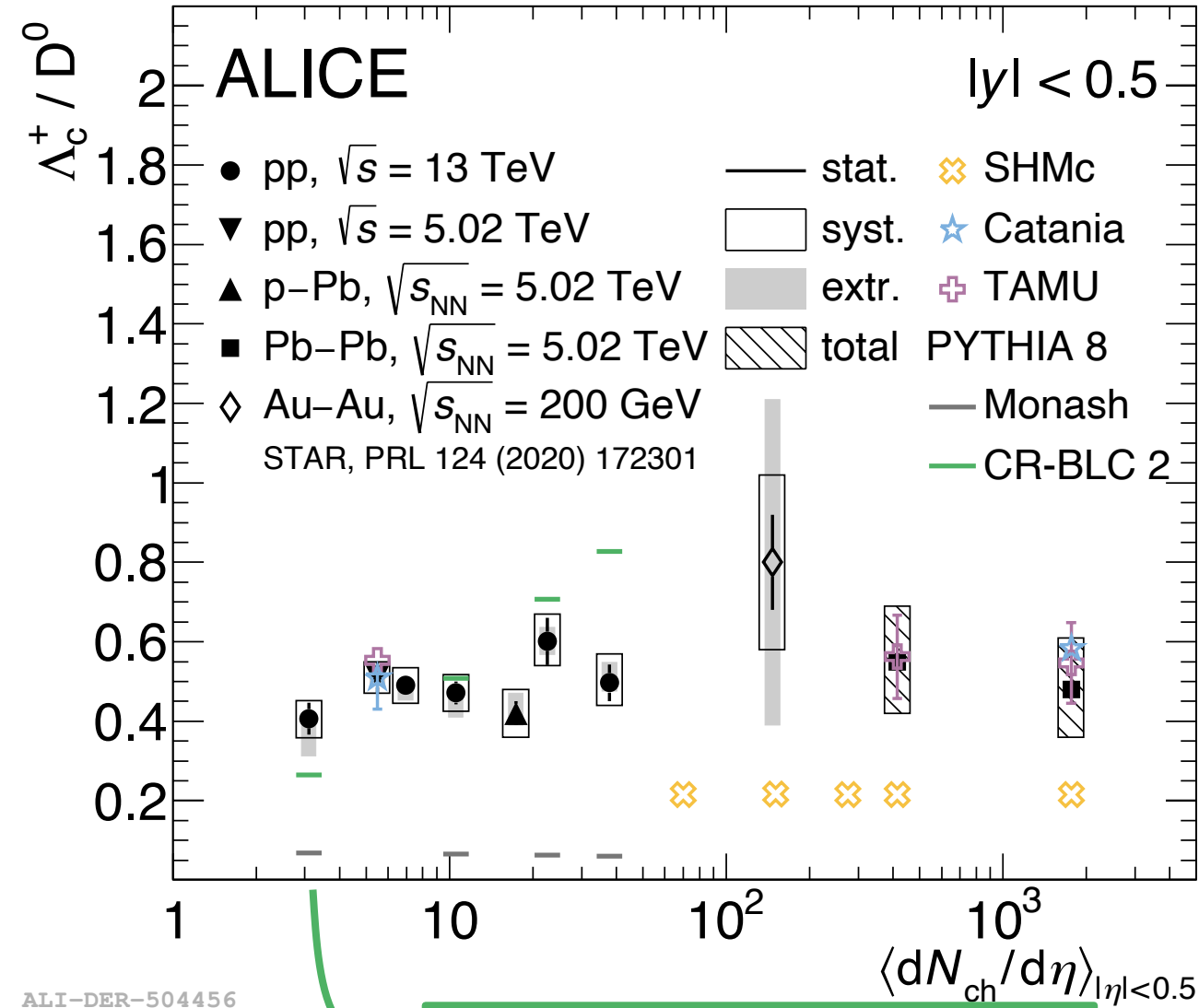


New and precise estimates by ALICE at **low and high multiplicities**

Hint of **flat trend with multiplicity**

→ Reproduced by fragm+recomb and SHM predictions (including new charm-baryon states for the latter)

Is the p_T differential Λ_c^+ / D^0 enhancement just a consequence of radial flow and recombination?



L. Stritto, 07/04/21, 16:00



Statistical hadronisation of charm

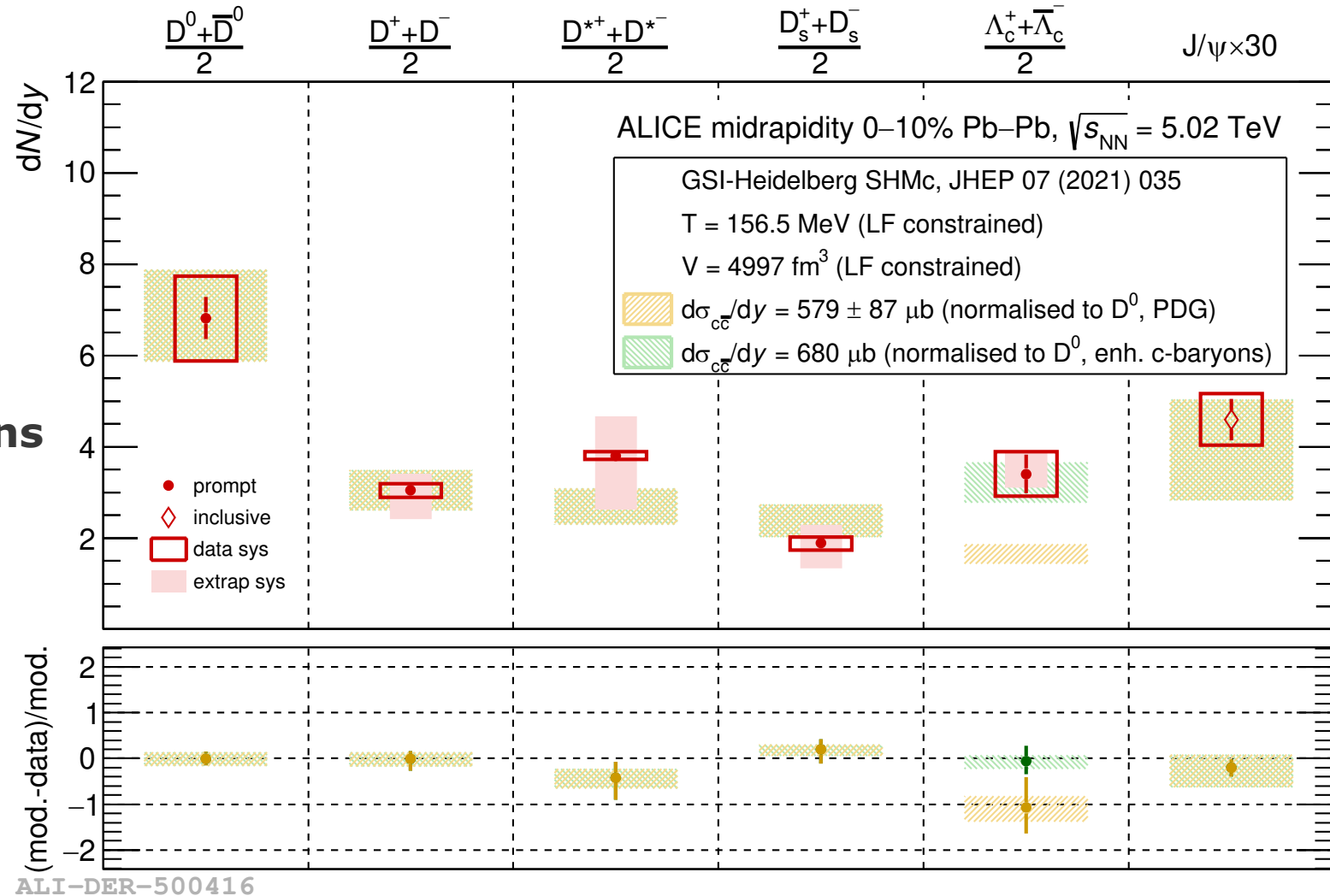
SHMc (charm quarks fully thermalised in the QGP)

→ Distributed into hadrons at phase boundary according to **thermal weights**

Measured yields of **open-charm mesons compatible** with SHMc

Measured yield of Λ_c^+ **underestimated**

→ Described in case of an enhanced charm-baryon resonance spectrum



ALI-DER-500416

Conclusion



ALICE performed precise heavy-flavour measurements with Run 2 Pb–Pb data

- Charm mesons **down to $p_T = 0$**
- **Charm-strange** mesons and **charm baryons** to low p_T
 - No p_T integrated Λ_c^+/D^0 enhancement with multiplicity
- Beauty production also accessed, via non-prompt D mesons and e^\pm from beauty decays

X. Peng, 06/04/21, 12:10

What did we learn so far?

- Charm quarks interact with medium via **collisional and radiative processes**
- Charm quarks participate in the collective motion, i.e. are **thermalised**
- Charm quarks hadronise via **recombination** (in addition to fragmentation)



What's next?

Wide ALICE upgrade program for LHC Run 3 and 4, crucial for HF measurements

- Continuous readout **at 50 kHz interaction rate** for Pb–Pb collisions
- **Improved tracking precision** by a factor 3–6
 - New silicon Inner Tracking System

Run 3: ITS2 (**installed in 2021**)

Run 4: ITS3 (**TDR in preparation**)

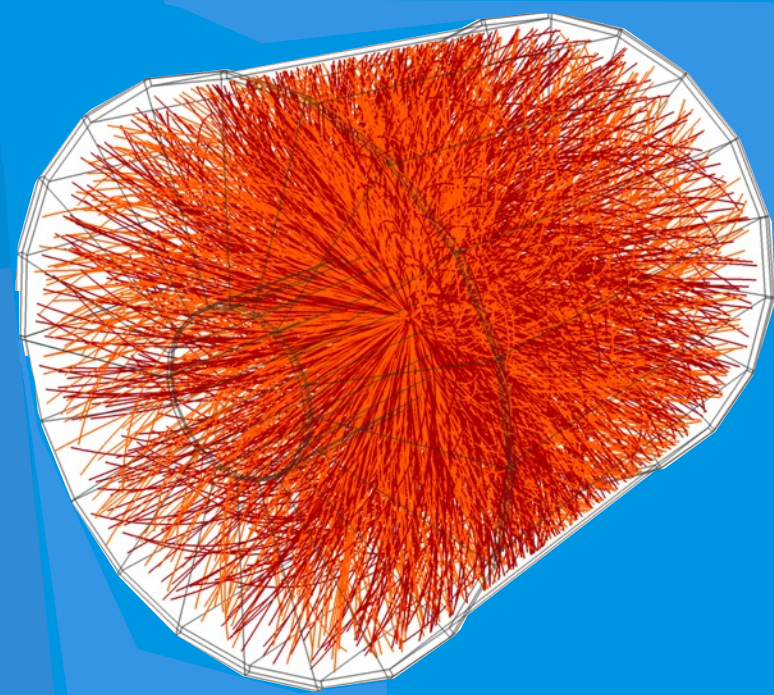
A. Alkin, 07/04/21, 15:40

S. Scheid, 07/04/21, 16:00

The near future will bring us **new** and **more precise** HF measurements down to **low p_T** , stay tuned...

Thank you for your attention!

Additional slides

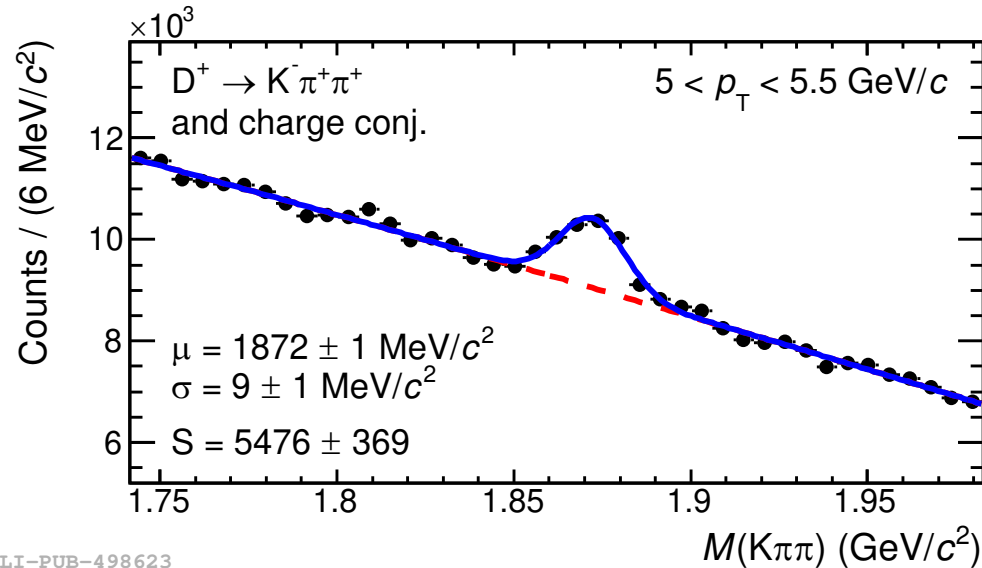
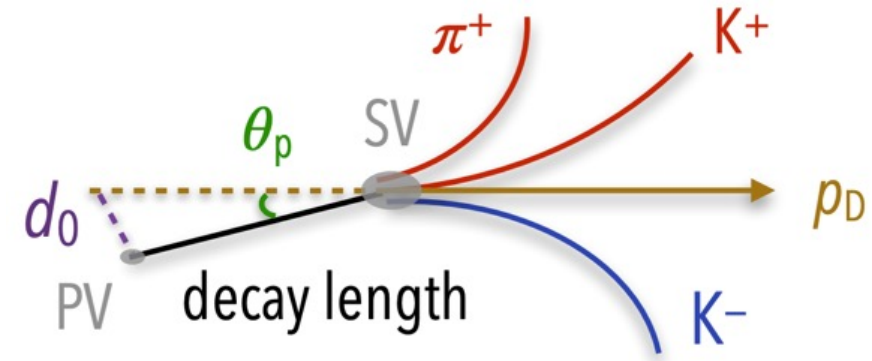




Experimental techniques: charm hadrons

Fully reconstructed charm hadrons (in ALICE):

1. Heavy-flavour candidates defined by combining **pairs/triplets of charged tracks** at midrapidity.
2. Apply **kinematical** and **geometrical** selections on displaced decay-topology.
3. Selections based on **particle identification** of decay tracks.
4. Signal extracted via an **invariant-mass analysis**.
5. Yield corrected for **efficiency** (MC simulations) and **feed-down from b-hadron decays** (pQCD predictions).



D^+	311.8 μm
D_s^+	151.2
D^0	122.9
Λ_c^+	60.7
D^{*+}	$2.4 \cdot 10^{-6}$



Experimental techniques: HF leptons

- Partial reconstruction **via semileptonic decays**

→ $c, b \rightarrow e^\pm X$

→ $c, b \rightarrow \mu^\pm X$

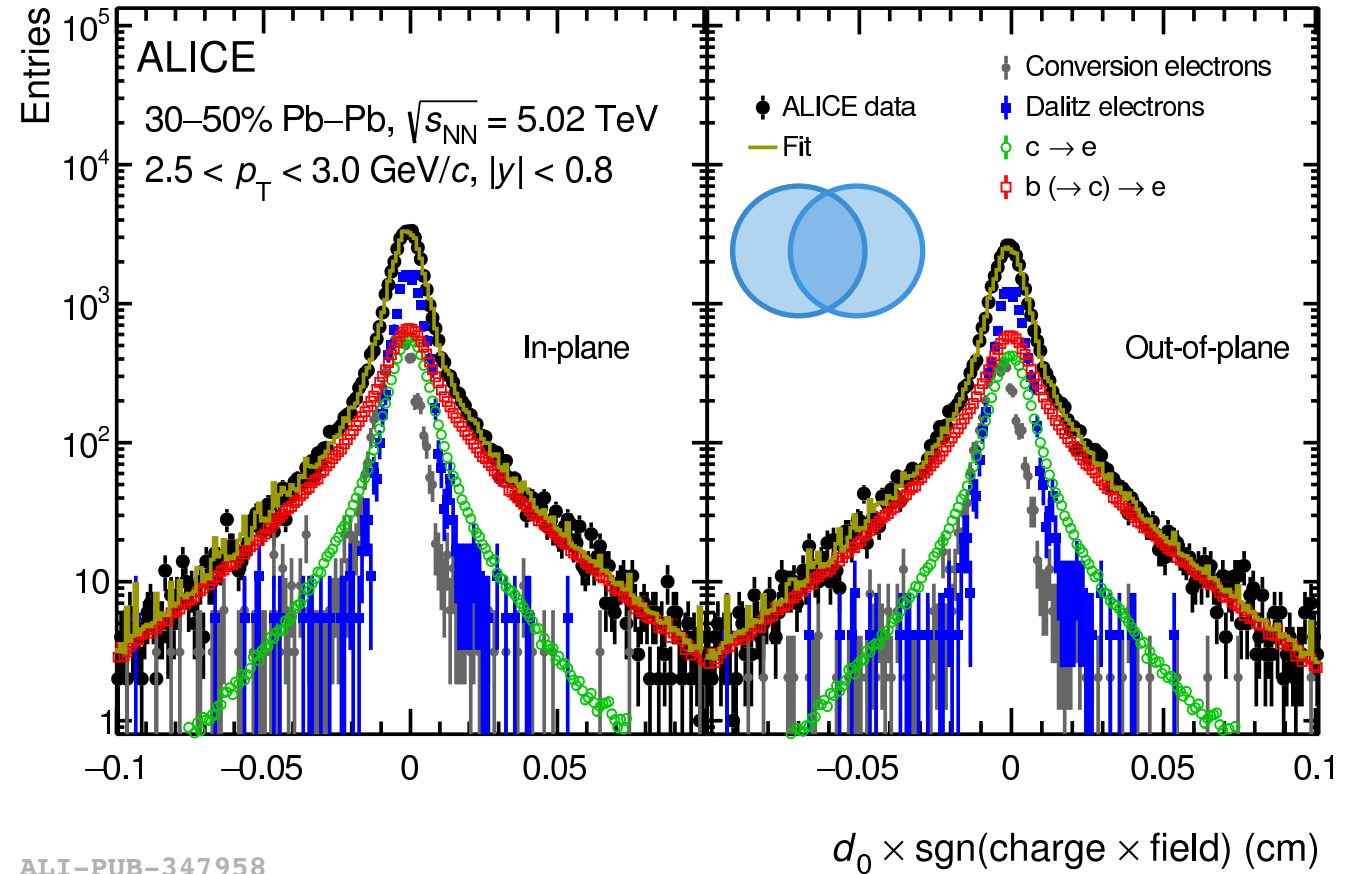
- Exploiting

→ identification of e^\pm at midrapidity

→ tracking of μ^\pm at forward rapidity

→ subtraction of hadron contamination and non-HF leptons

→ separation of charm and beauty e^\pm via impact parameter d_0



ALI-PUB-347958



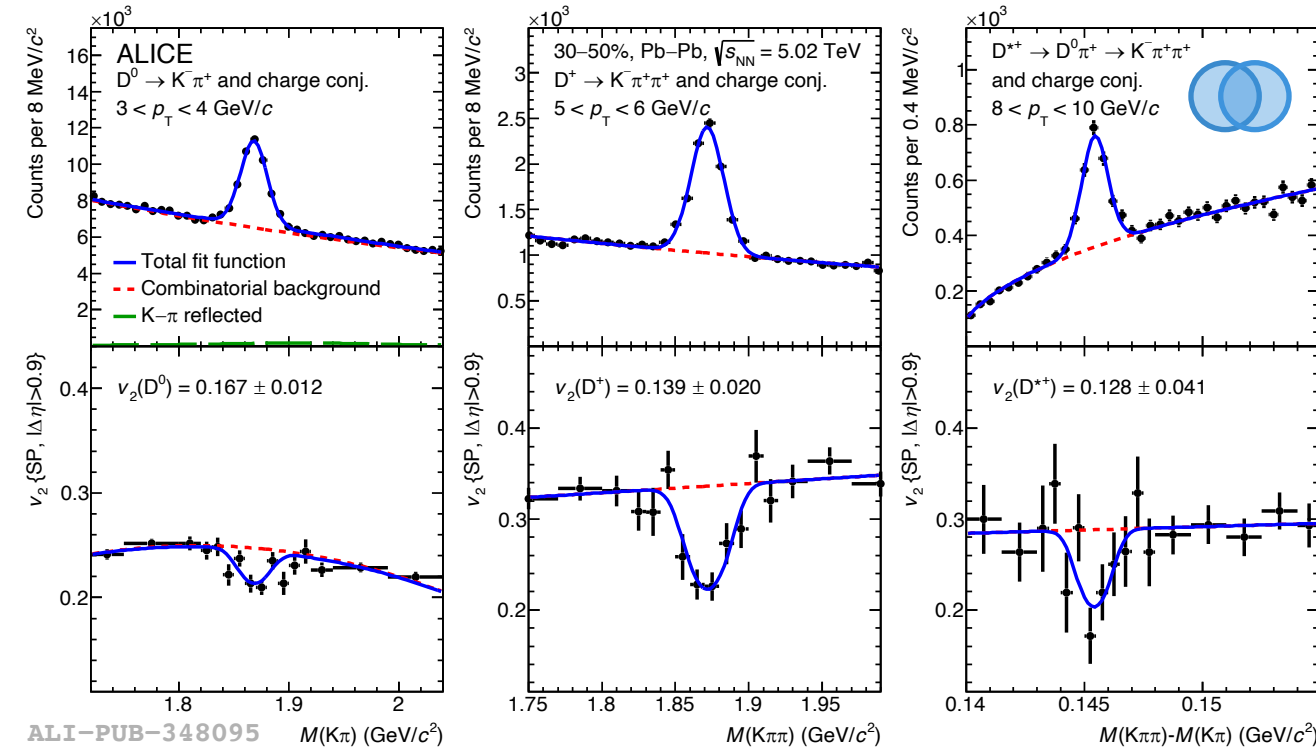
Experimental techniques: azimuthal anisotropies

v_2 measured with the **Scalar-Product** (SP) method

$$v_n\{\text{SP}\} = \frac{\langle \langle \mathbf{u}_n \cdot \mathbf{Q}_n^{A*} \rangle \rangle}{\sqrt{\frac{\langle \mathbf{Q}_n^A \cdot \mathbf{Q}_n^{B*} \rangle \langle \mathbf{Q}_n^A \cdot \mathbf{Q}_n^{C*} \rangle}{\langle \mathbf{Q}_n^B \cdot \mathbf{Q}_n^{C*} \rangle}}$$

$$\mathbf{Q}_n = \left(\sum_{k=0}^{N_{\text{tracks}}} \cos(n\varphi_k), \sum_{k=0}^{N_{\text{tracks}}} \sin(n\varphi_k) \right)$$

$$\mathbf{u}_n = (\cos(n\varphi_D), \sin(n\varphi_D))$$



- Since per-particle identification of D mesons not possible, two component (signal, background) **fit of v_n vs. invariant mass** performed:

$$v_n^{\text{tot}}(M) = \frac{S}{S+B}(M) \cdot v_n^{\text{signal}} + \frac{B}{S+B}(M) \cdot v_n^{\text{bkg}}(M)$$

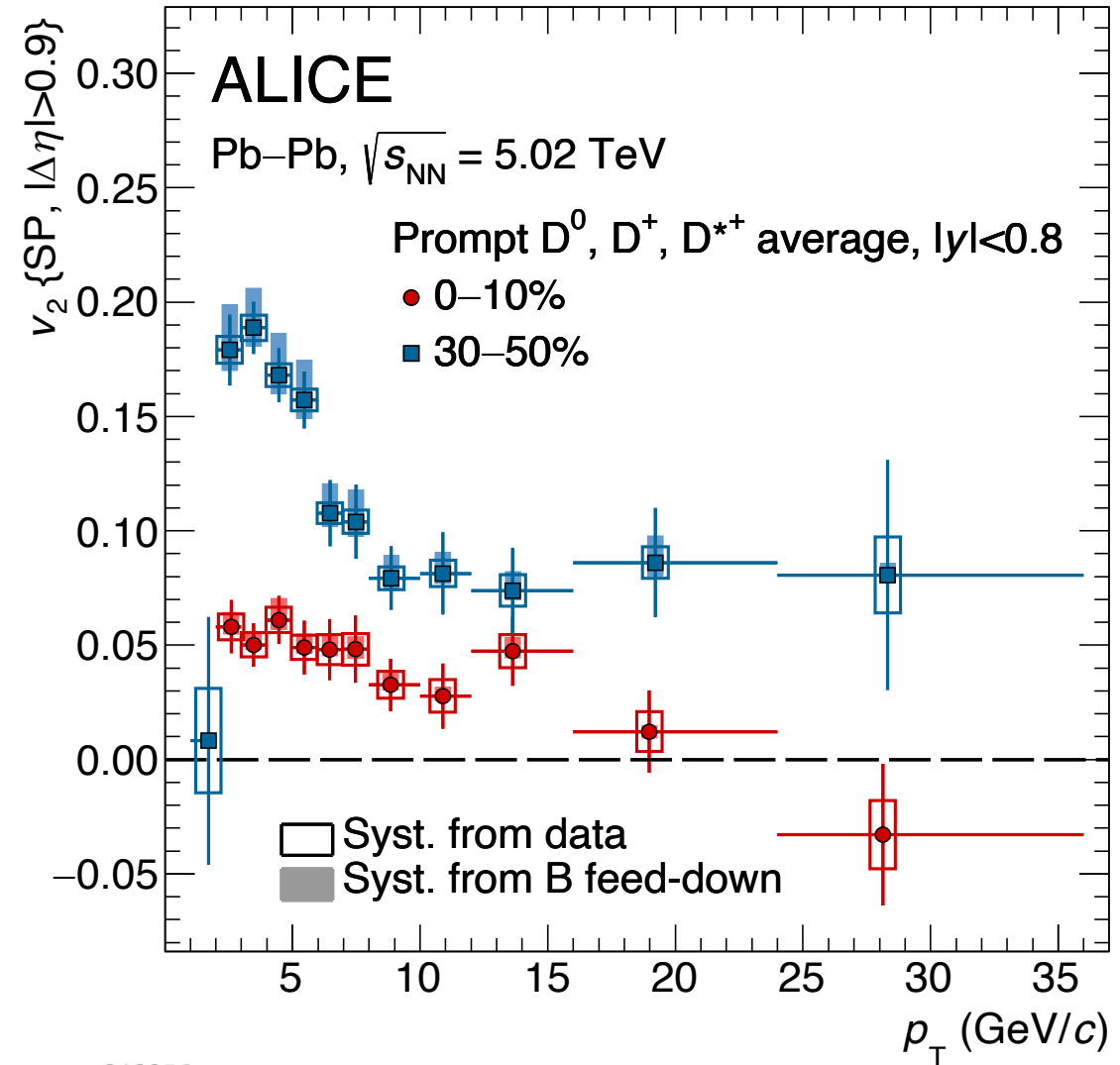
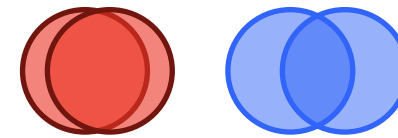
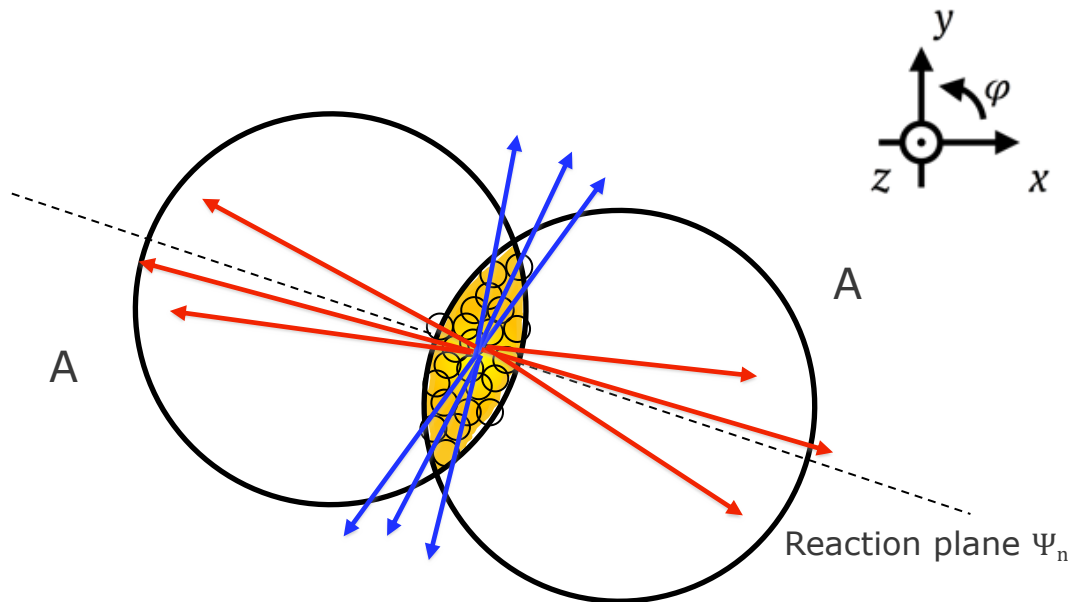
Azimuthal anisotropies (v_2)

$$E \frac{d^3N}{dp_T} = \frac{1}{2\pi} \frac{d^2N}{p_T dp_T dy} \left\{ 1 + \sum_{n=1}^{\infty} v_n \cos[n(\varphi - \Psi_n)] \right\}$$

$$v_2 = \langle \cos[2(\varphi - \Psi_2)] \rangle$$

second harmonic coefficient
elliptic flow

Asymmetry between the **in-plane** and **out-of-plane** directions.



ALI-DER-348356

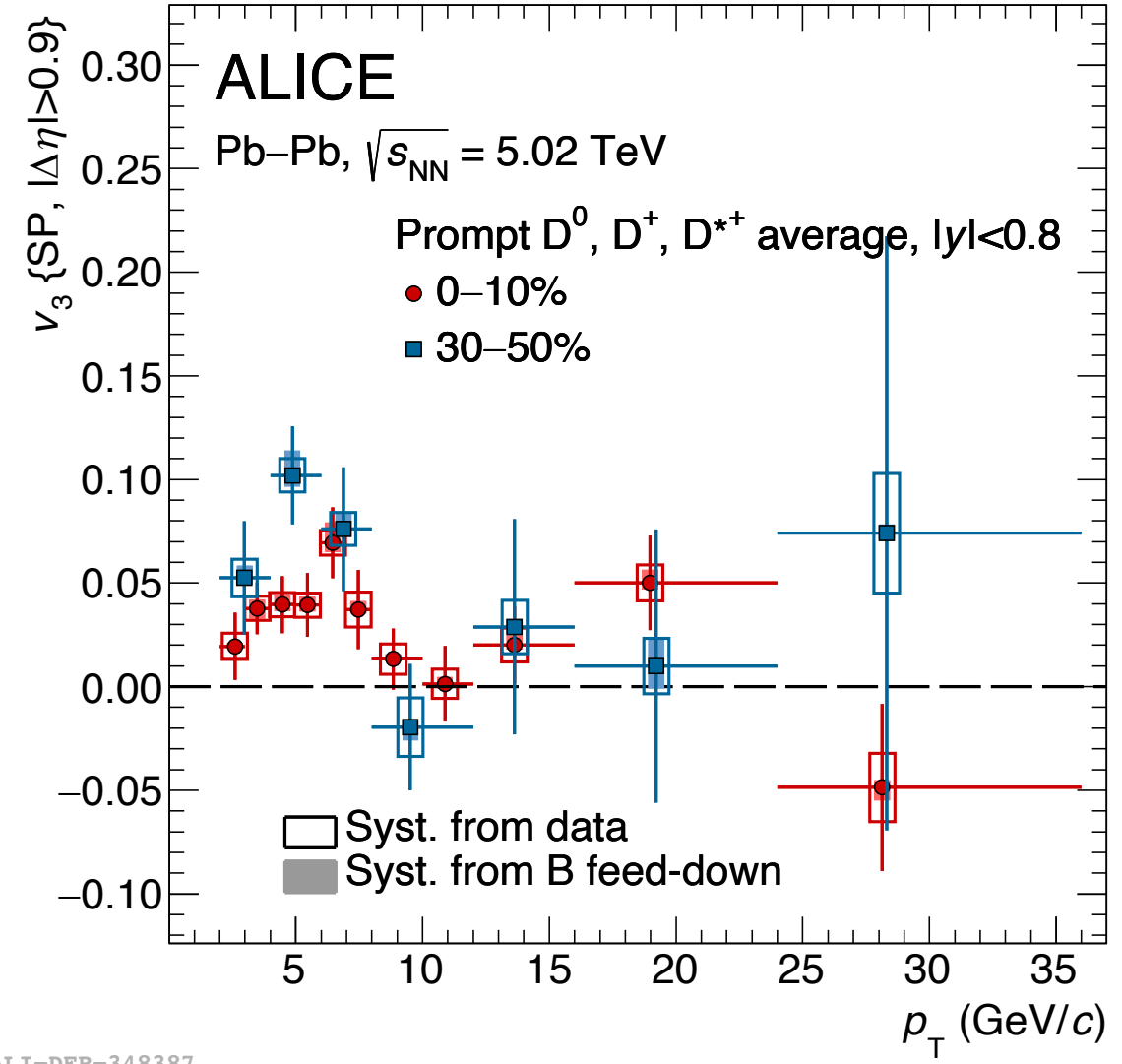
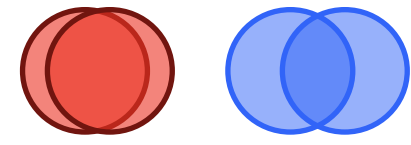
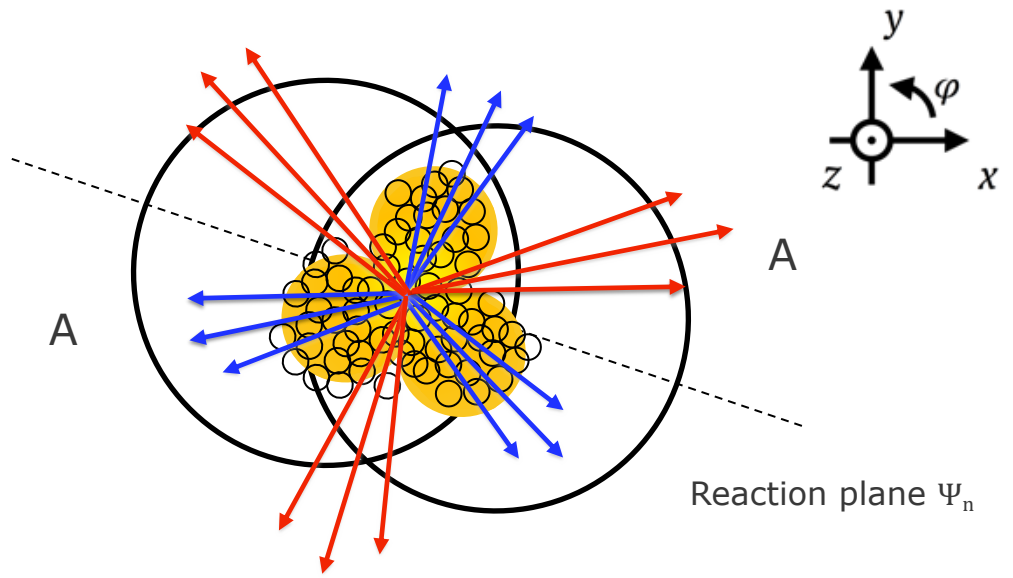
Azimuthal anisotropies (v_3)

$$E \frac{d^3N}{dp_T} = \frac{1}{2\pi} \frac{d^2N}{p_T dp_T dy} \left\{ 1 + \sum_{n=1}^{\infty} v_n \cos[n(\varphi - \Psi_n)] \right\}$$

$v_3 = \langle \cos[3(\varphi - \Psi_3)] \rangle$ third harmonic coefficient
triangular flow

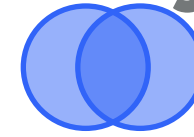
Event-by-event fluctuations in initial distributions of participant nucleons in the overlap region

- Sensitive to the shear viscosity over entropy density ratio, η/s .



ALI-DEP-348387

Heavy versus light/charm versus beauty



$p_T < 3-4$ GeV/c: **Mass ordering.**

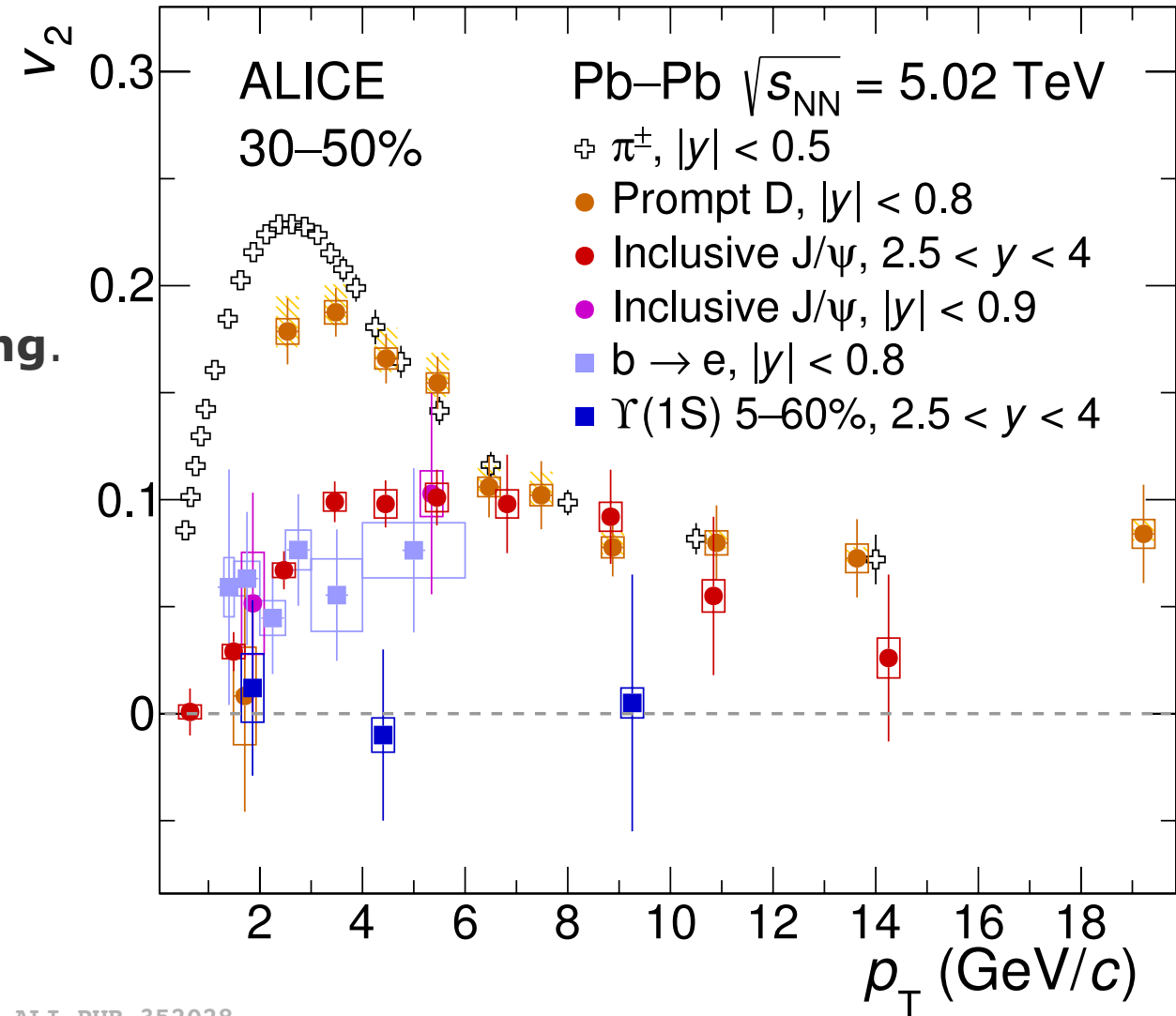
- $v_2(\Upsilon) \lesssim v_2(e \leftarrow b) \approx v_2(J/\Psi) < v_2(D) < v_2(\pi^\pm)$
- Interplay between anisotropic flow and isotropic expansion of system (**radial flow**).

$4 < p_T < 8$ GeV/c: **No. constituent quark scaling.**

- $v_2(J/\Psi) < v_2(D) \approx v_2(\pi^\pm)$ ($< v_2(p)$)
 - $v_2(\Upsilon) < v_2(e \leftarrow b)$
- Supports hypothesis of hadronisation via **quark coalescence**.

$p_T > 8$ GeV/c: **Compatible coefficients.**

- $v_2(J/\Psi) \approx v_2(D) \approx v_2(\pi^\pm)$ ($\approx v_2(p)$)
- Supports hypothesis of similar **path-length dependence** of in-medium energy loss.





Charm-hadron flow carried by light quarks

Test of n-quark scaling and recombination mechanisms

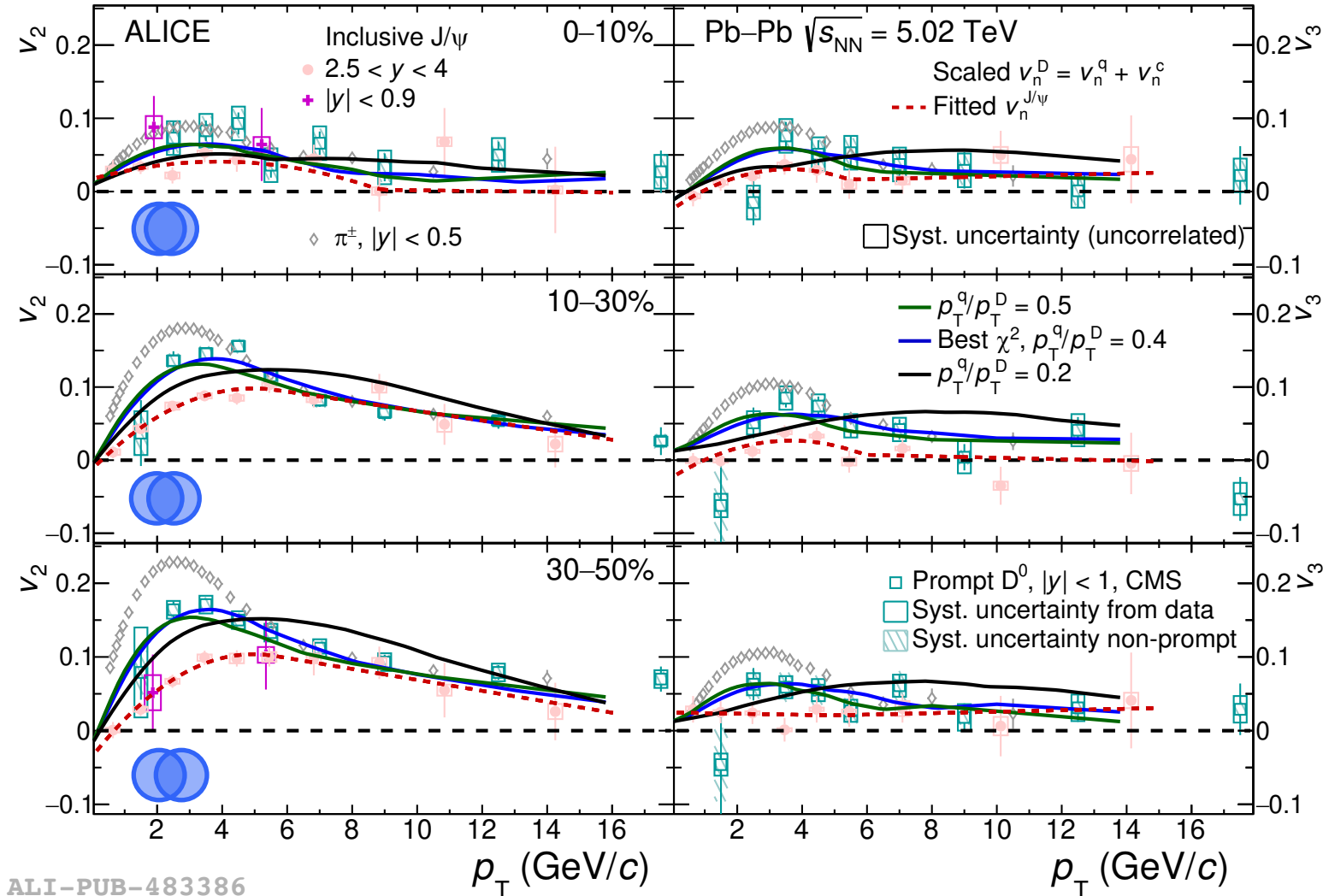
→ quark flow obtained by interpolating $v_n(J/\Psi)$ and $v_n(\pi)$

→ assuming:

$$v_n^\pi(p_T^\pi) = 2 * v_n^q(p_T^\pi/2)$$

$$v_n^D(p_T^D) = v_n^q(p_T^q) + v_n^c(p_T^c)$$

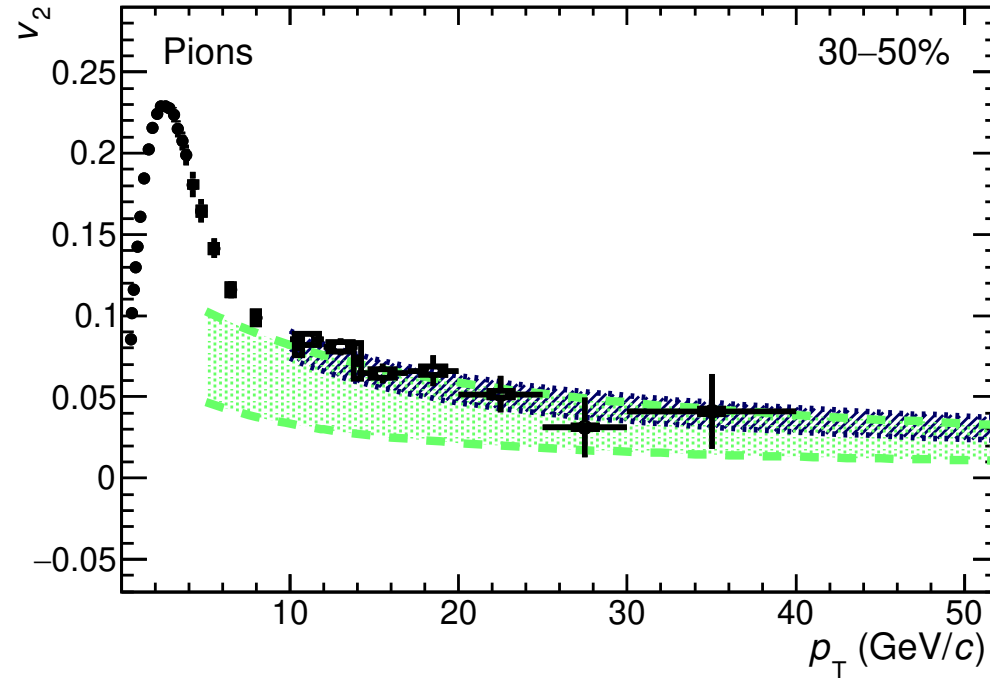
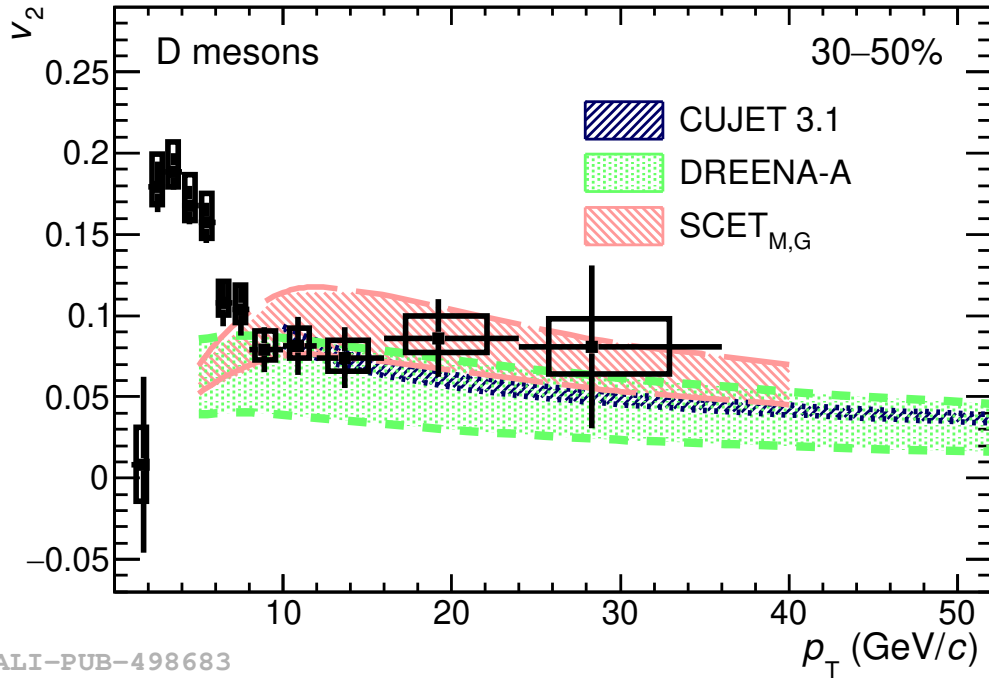
→ Good description of D^0 flow when light quark carries a large fraction (i.e. $p_T^q/p_T^D = 0.4$) of D meson p_T



ALI-PUB-483386



Heavy versus light versus theory



ALI-PUB-498683

Perturbative QCD calculations describe reasonably well the measured v_2 , “confirming”

- the **quadratic path length dependence** of radiative energy loss;
- the expected mass dependence due to the **dead-cone effect**.

	Collisional en. loss	Radiative en. loss	Coalescence	Hydro	nPDF
CUJET 3.1	✓	✓	✗	✓	✓
DREENA-A	✓	✓	✗	✓	✗
SCET _{M,G}	✓	✓	✗	✗	✓

opacity expansion model

soft-collinear effective theory

Heavy versus light/charm versus beauty

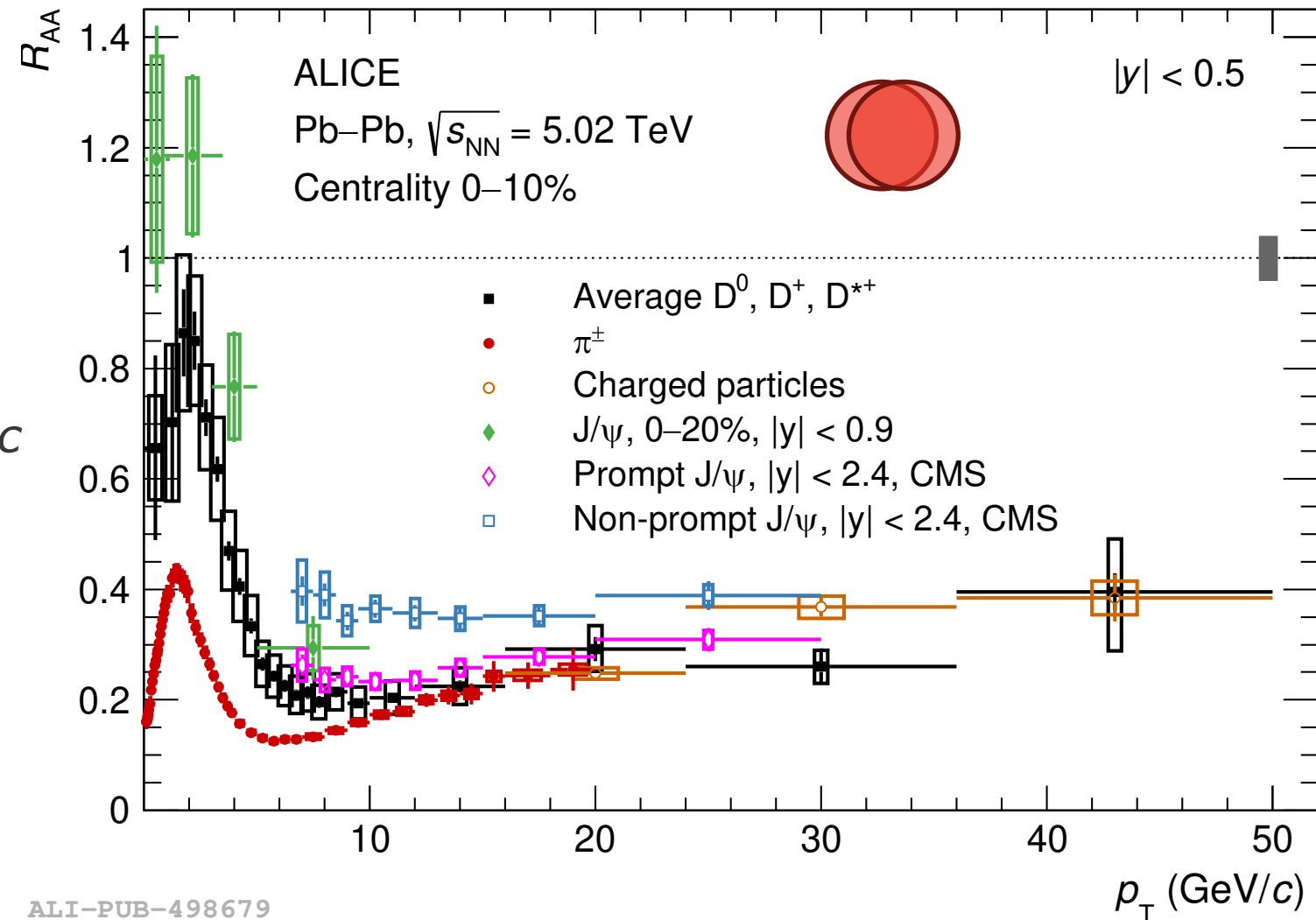


$R_{AA}(\mathbf{D}) > R_{AA}(\mathbf{LF})$ for $p_T < 8$ GeV/c

$R_{AA}(\mathbf{charm}) < R_{AA}(\mathbf{beauty})$

$R_{AA}(\mathbf{D}) \approx R_{AA}(\mathbf{J/\psi})$ for $p_T \gtrsim 10$ GeV/c

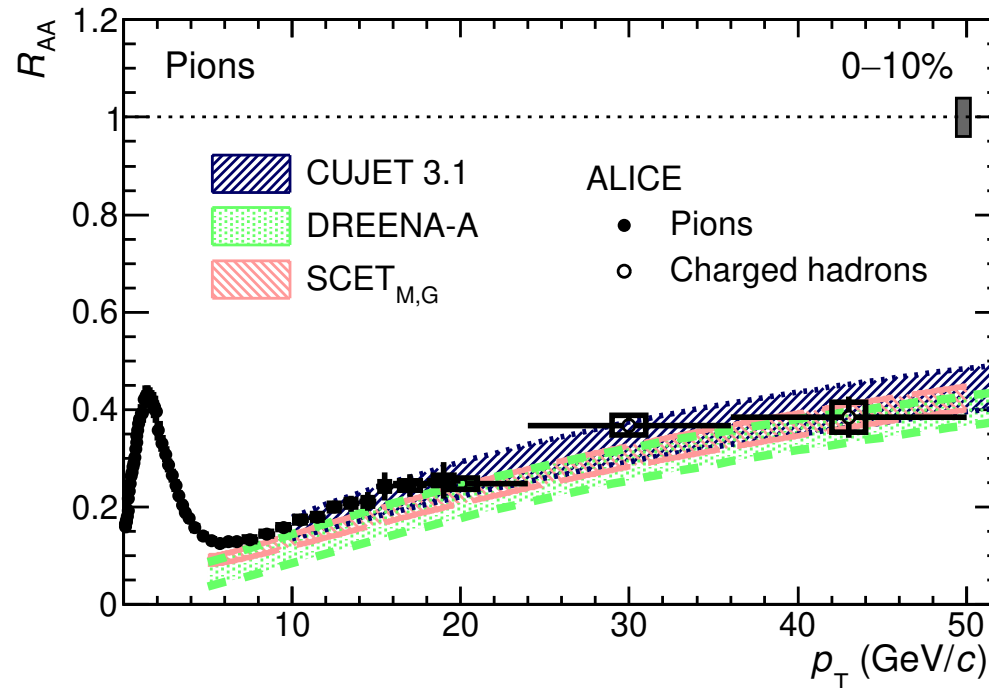
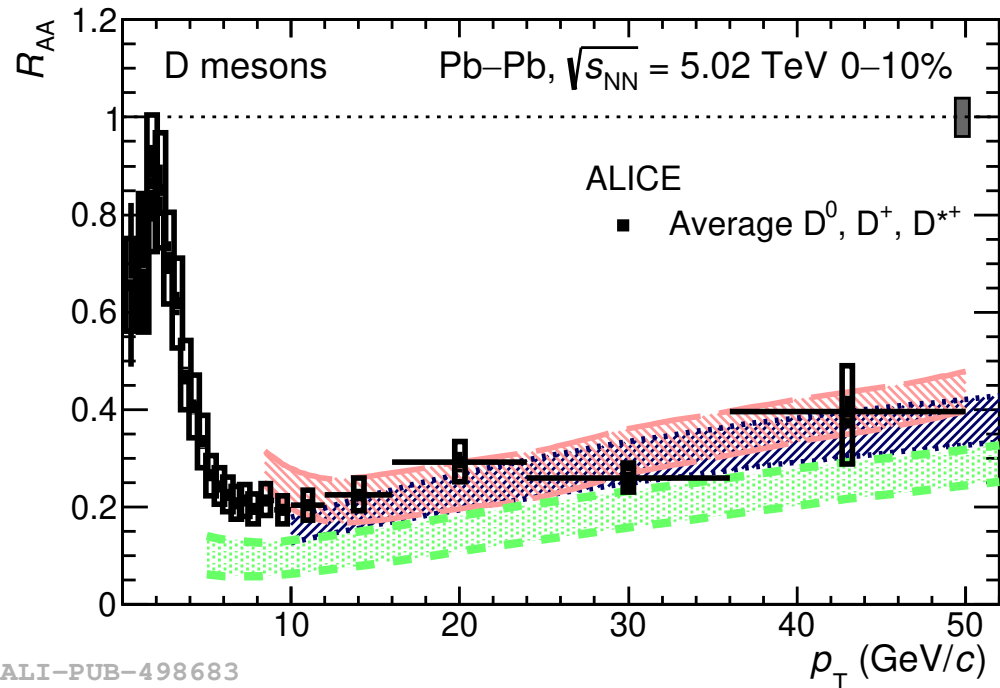
$R_{AA}(\mathbf{D}) \sim R_{AA}(\mathbf{J/\psi})$ for $p_T \gtrsim 2$ GeV/c



ALI-PUB-498679



Heavy versus light versus theory



ALI-PUB-498683

Perturbative QCD calculations describe reasonably well the measured R_{AA} , “confirming”

- the **quadratic path length dependence** of radiative energy loss;
- the expected mass dependence due to the **dead-cone effect**.

	Collisional en. loss	Radiative en. loss	Coalescence	Hydro	nPDF
CUJET 3.1	✓	✓	✗	✓	✓
DREENA-A	✓	✓	✗	✓	✗
SCET _{M,G}	✓	✓	✗	✗	✓

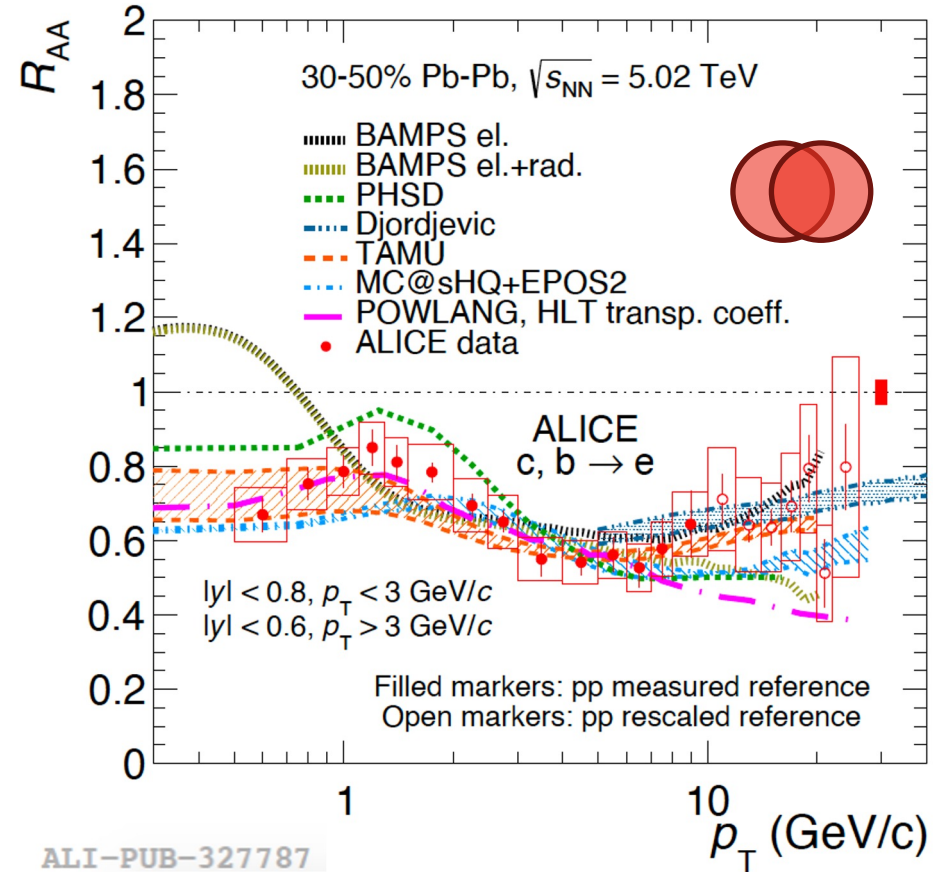
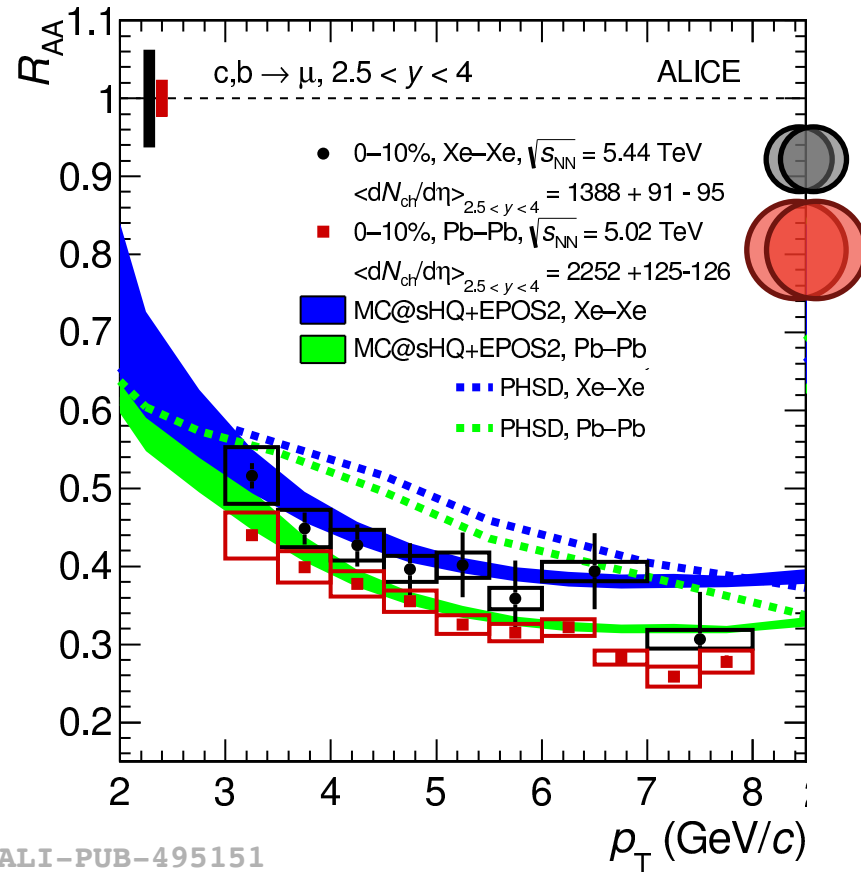
opacity expansion model

soft-collinear effective theory



Heavy flavour decay leptons

R_{AA} of HF decay muons and electrons reasonably well described by most transport models
→ Some tension for PHSD (no radiative e-loss) with forward muons, but describes e^\pm at midrapidity



μ^\pm : PLB 819 (2021) 136437

e^\pm : PLB 804 (2020) 135377

MC@shQ: PRC 89, 014905 (2014)

PHSD: PRC 93, 034906 (2016)

Djordjevic: PRC 92, 024918 (2015)

BAMPS: JPG 42 (2015) 11, 115106

TAMU: PLB 735 (2014) 445-450

POWLANG: EPJC 75 (2015) 3, 121

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Charm-quark transport models: ingredients



	Collisional en. loss	Radiative en. loss	Coalescence	Hydro	nPDF
TAMU	✓	✗	✓	✓	✓
LIDO	✓	✓	✓	✓	✓
PHSD	✓	✗	✓	✓	✓
DAB-MOD	✓	✓	✓	✓	✗
Catania	✓	✗	✓	✓	✓
MC@sHQ+EPOS	✓	✓	✓	✓	✓
LBT	✓	✓	✓	✓	✓
POWLANG+HTL	✓	✗	✓	✓	✓
LGR	✓	✓	✓	✓	✓

But more importantly: different **implementations** and **input parameters**.