

30 June 2022



Czech Academy  
of Sciences

## Modelling the $K^+\Sigma^-$ photoproduction with an Isobar model using a novel fitting method

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**HYP  
2022  
PRAGUE**

14th International Conference on Hypernuclear and  
Strange Particle Physics

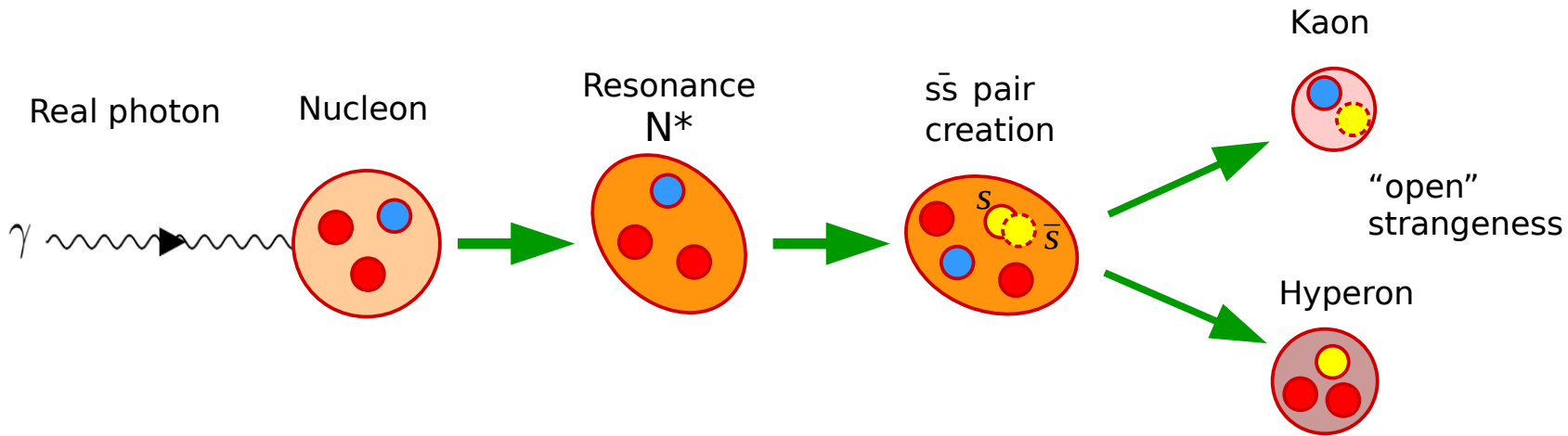
June 27 – July 1, 2022  
Prague, Czech Republic

# Outline

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1. Motivation
2. The Isobar model
3. The fitting procedure
4. Numerical results

# Photoproduction of Kaons and Hyperons off Nucleons



$$\gamma + p \rightarrow K^+ + \Lambda$$

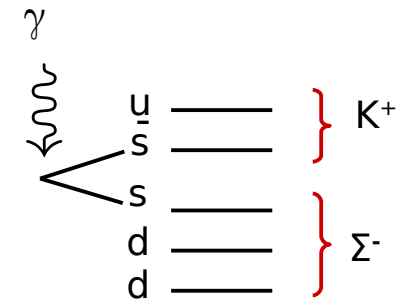
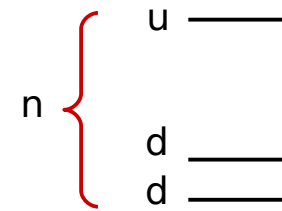
$$\gamma + n \rightarrow K^0 + \Lambda$$

$$\gamma + p \rightarrow K^+ + \Sigma^0$$

$$\gamma + n \rightarrow K^0 + \Sigma^0$$

$$\gamma + p \rightarrow K^0 + \Sigma^+$$

$$\gamma + n \rightarrow K^+ + \Sigma^- \quad ^{1,2}$$



<sup>1</sup> P. Bydzovsky et al., Phys. Rev. C 104, 065202 (2021), [present work](#)

<sup>2</sup> N. Zachariou et al., Phys. Lett. B 827, 136985 (2022), [new data from CLAS @ JLab \(Hall B\)](#)

<sup>3</sup> Figure adapted from: L. De Cruz, PhD Thesis, Ghent University 2012

# Motivation

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- Use of *regularized* least squares fitting
  - model with fewer parameters → improves the quality of the fits, since: large number of parameters → ordinary  $\chi^2$  fitting is problematic  
similar minima, large *variations* in the parameter values
- + Information criteria
  - automatic selection out of a huge number of possible combinations of candidate resonances (*model selection*)

<sup>4</sup>. B. Guegan et al., JINST 10 P09002 (2015)

<sup>5,6</sup>. J. Landay et al., Phys. Rev. C 95, 015203 (2017), Phys. Rev. D 99, 016001 (2019)

# General features of Isobar models

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- interactions described by means of effective Lagrangians
  - effective degrees of freedom: **hadrons**
- amplitude = sum of tree-level Feynman diagrams
  - s-, t-, u- channels: exchange of nucleon, kaon, hyperon
  - intermediate state: ground state hadron (Born), resonance (non-Born)
- single-channel: intermediate channels ( 2<sup>nd</sup> order ) not taken into account → coupling constants: *effective* values
- **Saclay-Lyon, MAID & Kaon-MAID, Gent, BS1,2,3<sup>7,8</sup> models**

<sup>7</sup>. D. Skoupil and P. Bydzovsky, Phys. Rev. C 93, 025204 (2016)

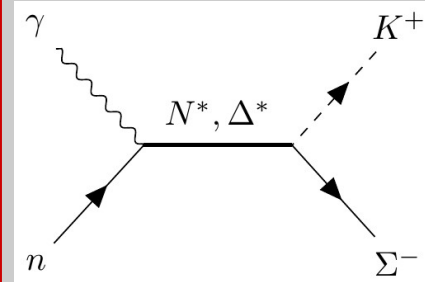
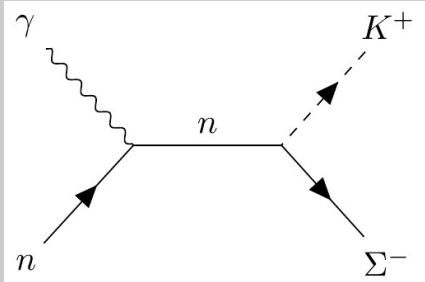
<sup>8</sup>. D. Skoupil and P. Bydzovsky, Phys. Rev. D 97, 025202 (2018)

# Tree-level contributions to $n(\gamma, K^+) \Sigma^-$

**Born**

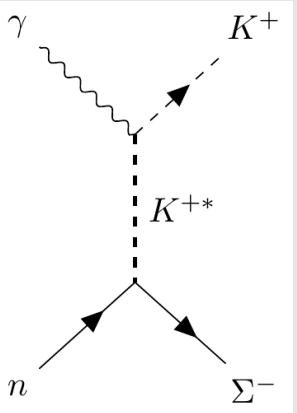
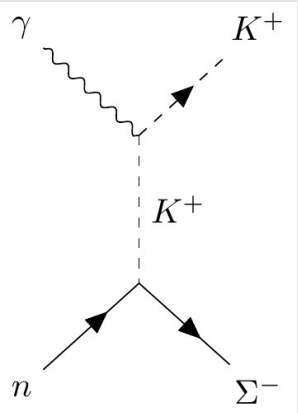
**non-Born**

**s**



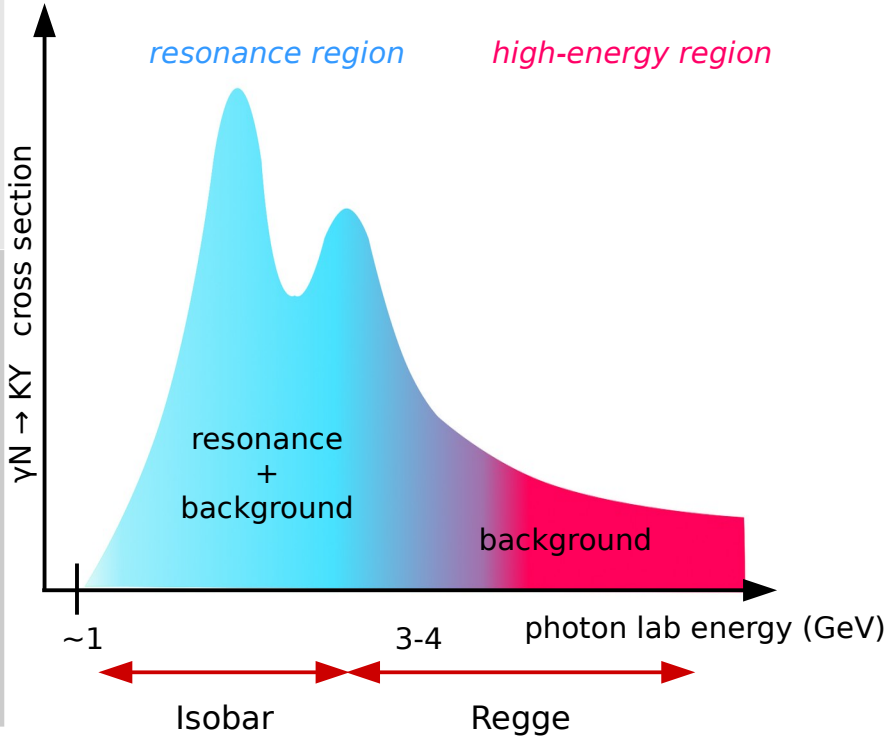
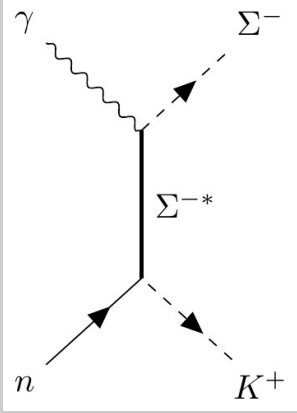
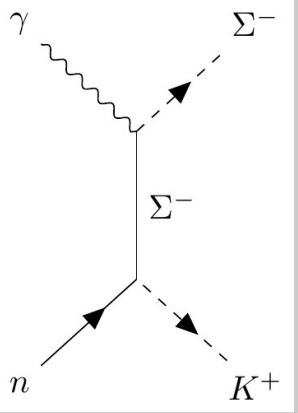
the only resonant diagrams

**t**



rest of diagrams  
→ background

**u**



# Specific features of Isobar model

## Hadronic form factors

- hadron internal structure
- mitigate Born terms' contribution to cross sections

$$F_d = \frac{\Lambda_h^4}{\Lambda_h^4 + (x - m_h^2)^2}$$

$\Lambda_h$  cutoff parameter

$x$  4-momentum<sup>2</sup>,

$m_h$  mass,

of **intermediate** hadron  $h$

## Decay widths

- finite lifetime of resonances
- decay widths  $\Gamma$ , introduced by hand in propagators of s-channel particles

$$\mathcal{P} \sim \frac{1}{q^2 - m^2} \quad q^2 = s \quad s - m_R^2 \rightarrow s - m_R^2 + i m_R \Gamma_R \quad R = N^*, \Delta^*$$

# Parameters and observables

## Resonances

masses, widths: from PDG

## Parameters to fit

- $g_{K\Sigma n}$
- **coupling constants** of resonances (= products of E/M and strong c.c.)
- hadron form factor **cutoffs**

674 data points from: CLAS, LEPS

## Observables

differential cross sections  
photon beam asymmetries

Minimization with: MINUIT Library

Isobar code available at:

<http://www.ujf.cas.cz/en/departments/departments-of-theoretical-physics/isobar-model.html>

Tag	Resonance	Mass (MeV)	Width (MeV)
K*	$K^*(892)$	891.7	50.8
K1	$K_1(1270)$	1270	90
N3	$N(1535) 1/2^-$	1530	150
N4	$N(1650) 1/2^-$	1650	125
N8	$N(1675) 5/2^-$	1675	145
N6	$N(1710) 1/2^+$	1710	140
N7	$N(1720) 3/2^+$	1720	250
P4	$N(1875) 3/2^-$	1875	200
P1	$N(1880) 1/2^+$	1880	300
Mx	$N(1895) 1/2^-$	1895	120
P2	$N(1900) 3/2^+$	1920	200
M4	$N(2060) 5/2^-$	2100	400
M1	$N(2120) 3/2^-$	2120	300
D1	$\Delta(1900) 1/2^-$	1860	250

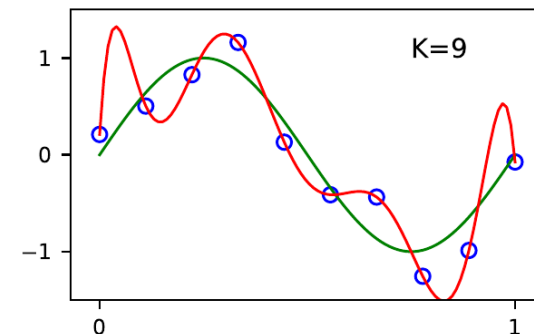
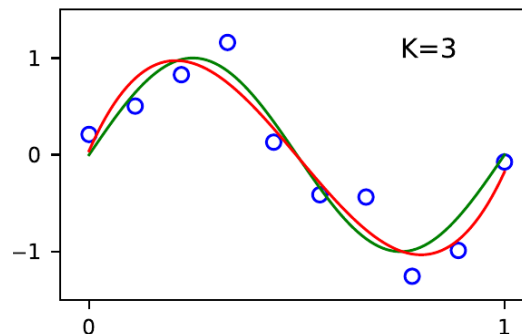
included resonances (14)  
based on [3]



# LASSO regularization for parameter selection

## Overfitting example

K-th order polynomial fitting



## Regularization

Error function

$$\chi^2 = \sum_{i=1}^N \left[ \frac{y_i - f(x_i, \mathbf{w})}{\sigma_i} \right]^2$$

penalty term

$$\chi_T^2(\lambda) = \chi^2 + \lambda \sum_{j=1}^K |w_j|^q$$

$\lambda$ : regularization parameter  
 $w_j$ : model parameters

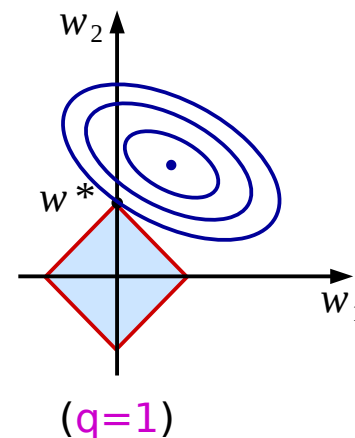
Constrained error minimization

→ reduces parameter values  
 $\uparrow \lambda \rightarrow \uparrow$  reduction

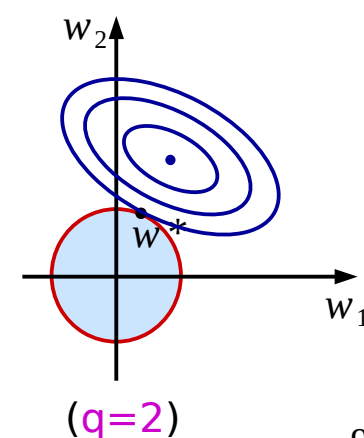
**LASSO** (Least **A**bsolute **S**hrinkage and **S**election **O**perator)

- some parameters become zero ( $w_1^* = 0$ )
- $\lambda \rightarrow$  how many parameters survive

**LASSO**



**Ridge**



# Information Criteria (IC)

In the case of **LASSO**:  $\lambda_i \rightarrow \text{model}_i$

For a set of  $\lambda$  values:  $\{\lambda_1, \lambda_2, \dots, \lambda_m\}$  ( $\rightarrow \{\text{model}_1, \text{model}_2, \dots, \text{model}_m\}$ )

Compute:

$$\text{Akaike IC}^{10}: \quad AIC_i = \chi_T^2(\lambda_i)_{\min} + 2k_i$$

$$\text{Bayesian IC}^{11}: \quad BIC_i = \chi_T^2(\lambda_i)_{\min} + k_i \ln(N)$$

$k_i$  : number of parameters  
corresponding to model  $i$

$N$  : number of data points

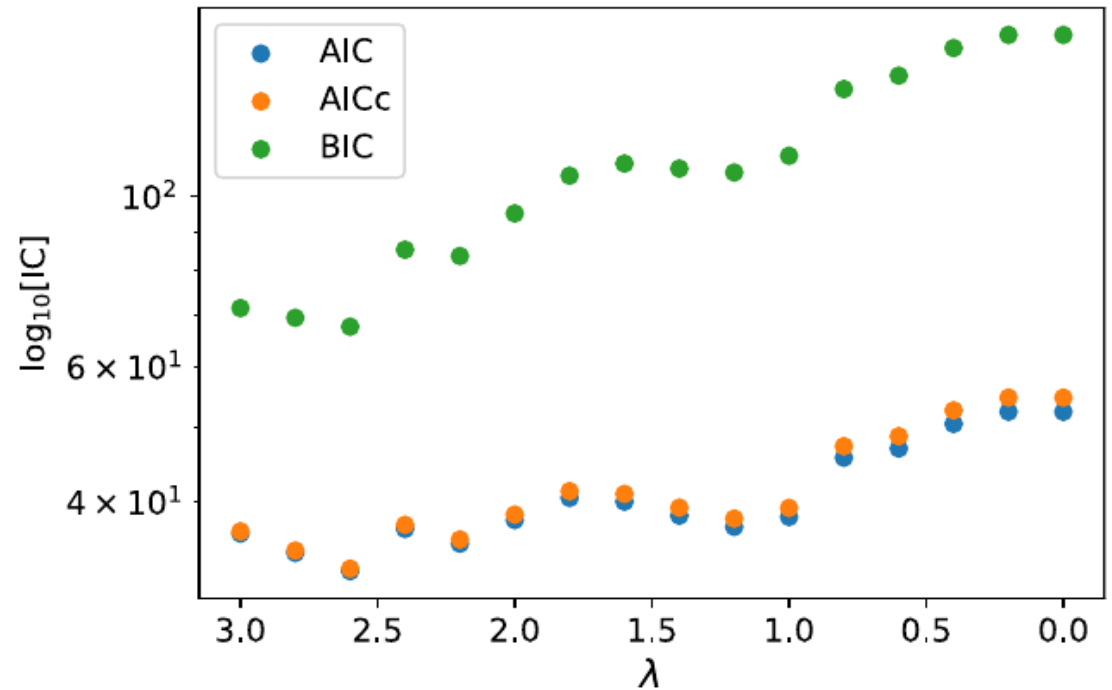
Choose  $\lambda_i$  ( $\text{model}_i$ ) with the minimum AIC, BIC

[both AIC and BIC give similar results, although BIC tends to penalize complexity more]

<sup>10</sup>. Akaike, IEEE Transactions on Automatic Control, 19 (6) 716 (1974)

<sup>11</sup>. G. Schwarz, Ann. Stat. 6(2), 461 (1978)

# Applying the Information Criteria



Forward selection:

- start with the full model, all parameters initialized with random values and use some  $\lambda_{\max}$
- perform LASSO  $\chi^2$  minimization and compute AIC, BIC
- in each run progressively decrease  $\lambda$  and rerun LASSO using the fitted parameter values of the last run as starting values
- repeat until  $\lambda_{\min}$  is reached
- optimal  $\lambda_{\text{opt}}$  occurs at the minimum of BIC, AIC

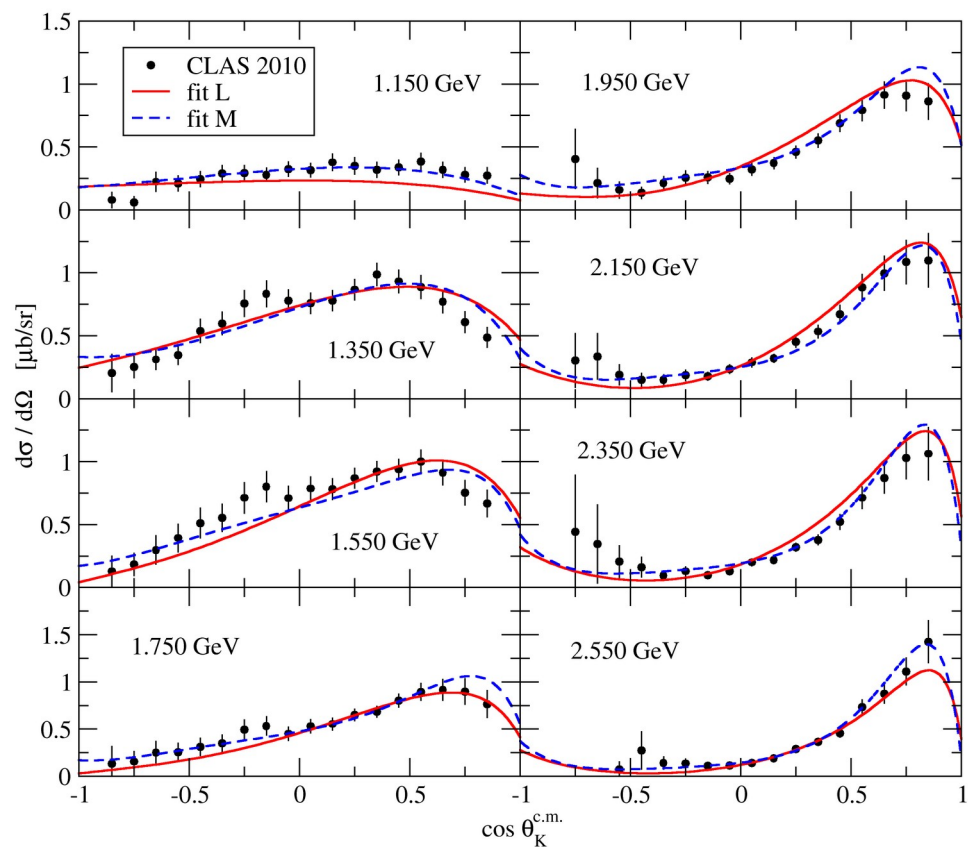
# Reduction in the number of parameters

Tag	Resonance	Mass (MeV)	Width (MeV)	Branching ratio		Fit M		Fit L	
				$K\Lambda$	$K\Sigma$	$g_1$	$g_2$	$g_1$	$g_2$
K*	$K^*(892)$	891.7	50.8			$0.366 \pm 0.024$	$1.103 \pm 0.198$	$0.310 \pm 0.019$	
K1	$K_1(1270)$	1270	90			$-1.448 \pm 0.189$	$0.473 \pm 0.156$		
N3	$N(1535) 1/2^-$	1530	150			$-0.709 \pm 0.071$			
N4	$N(1650) 1/2^-$	1650	125	0.07	0.00	$0.314 \pm 0.034$		$-0.085 \pm 0.006$	
N8	$N(1675) 5/2^-$	1675	145			$-0.013 \pm 0.001$	$0.022 \pm 0.003$	$-0.010 \pm 0.001$	$0.003 \pm 0.002$
N6	$N(1710) 1/2^+$	1710	140	0.15	0.01	$-0.940 \pm 0.093$			
N7	$N(1720) 3/2^+$	1720	250	0.05	0.00	$-0.098 \pm 0.017$	$-0.082 \pm 0.002$	$-0.187 \pm 0.004$	$-0.126 \pm 0.002$
P4	$N(1875) 3/2^-$	1875	200	0.01	0.01	$-0.220 \pm 0.023$	$-0.223 \pm 0.023$	$-0.042 \pm 0.015$	$0.025 \pm 0.013$
P1	$N(1880) 1/2^+$	1880	300	0.16	0.14	$-0.050 \pm 0.064$			
Mx	$N(1895) 1/2^-$	1895	120	0.18	0.13	$-0.063 \pm 0.005$		$0.019 \pm 0.002$	
P2	$N(1900) 3/2^+$	1920	200	0.11	0.05	$-0.051 \pm 0.005$	$-0.004 \pm 0.001$	$0.027 \pm 0.003$	$0.010 \pm 0.001$
M4	$N(2060) 5/2^-$	2100	400	0.01	0.03	$-0.00001 \pm 0.0001$	$0.003 \pm 0.0003$	$-0.003 \pm 0.0001$	$0.004 \pm 0.0002$
M1	$N(2120) 3/2^-$	2120	300			$-0.034 \pm 0.014$	$-0.010 \pm 0.013$	$0.0003 \pm 0.001$	$0.0 \pm 0.0001$
D1	$\Delta(1900) 1/2^-$	1860	250		0.01	$0.298 \pm 0.028$			
D2	$\Delta(1930) 5/2^-$	1880	300						
D3	$\Delta(1920) 3/2^+$	1900	300						
D4	$\Delta(1940) 5/2^-$	1950	400						
S1	$\Sigma(1660) 1/2^+$	1660	100						
S2	$\Sigma(1750) 1/2^-$	1750	90						
S3	$\Sigma(1670) 3/2^-$	1670	60						
S4	$\Sigma(2010) 3/2^-$	1940	220						

	M "full" fit	L LASSO + IC fit
number of resonances	14	9
number of parameters	25	17
$\chi^2 / \text{n.d.f.}$	2.4	3.2

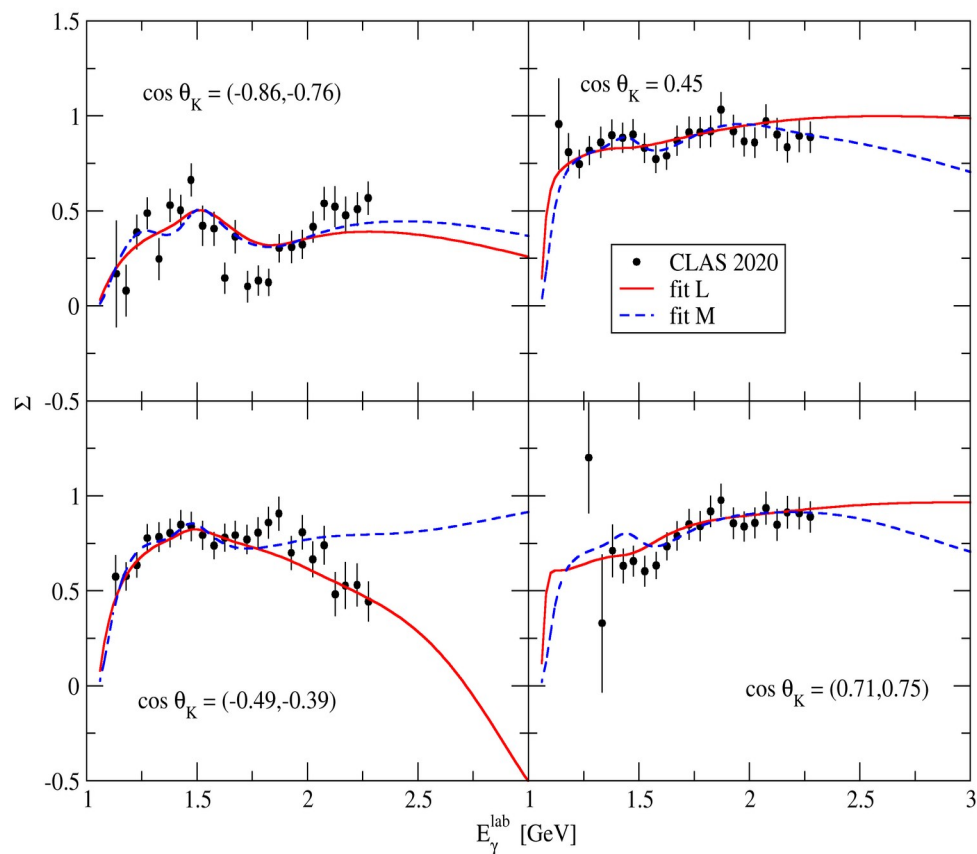
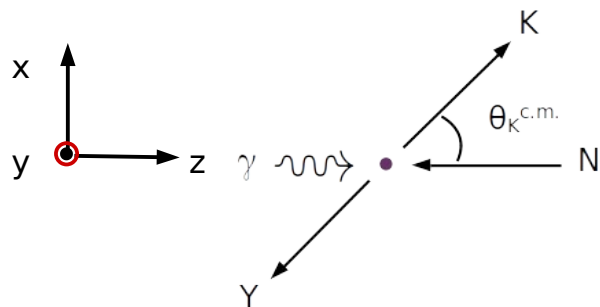
# Results: differential cross sections & photon beam asymmetries $\Sigma$



$\theta_K^{c.m.}$ : Kaon center-of-mass angle

fit M: MINUIT

fit L: MINUIT + LASSO + IC



$E_{\gamma}^{lab}$ : incident photon energy

Linearly polarized photons

$$\epsilon^{\lambda=x} \equiv \epsilon^{\parallel} = (0, 1, 0, 0) \quad \Sigma = \frac{d\sigma^{\perp} - d\sigma^{\parallel}}{d\sigma^{\perp} + d\sigma^{\parallel}}$$

$$\epsilon^{\lambda=y} \equiv \epsilon^{\perp} = (0, 0, 1, 0)$$

more results in presentation of D. Skoupil

# Summary

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- We modeled  $K^+ \Sigma^-$  photoproduction with an Isobar model using regularization (**LASSO**) in combination with the **Akaike** and **Bayesian** information criteria.
- Regularization in a model with many parameters leads to more robust results, less prone to overfitting.
- The combination of the **LASSO** method with **Information Criteria** provides a method to choose the best subset of parameters (model).

Thanks to:

P. Bydzovsky, A. Cieply, D. Skoupil, P. Vesely, N Zachariou

Thank you for your attention!

# Bibliographic references

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