

# **SU(3) Constraints on Hypernuclear Energy Density Functionals**

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# Agenda

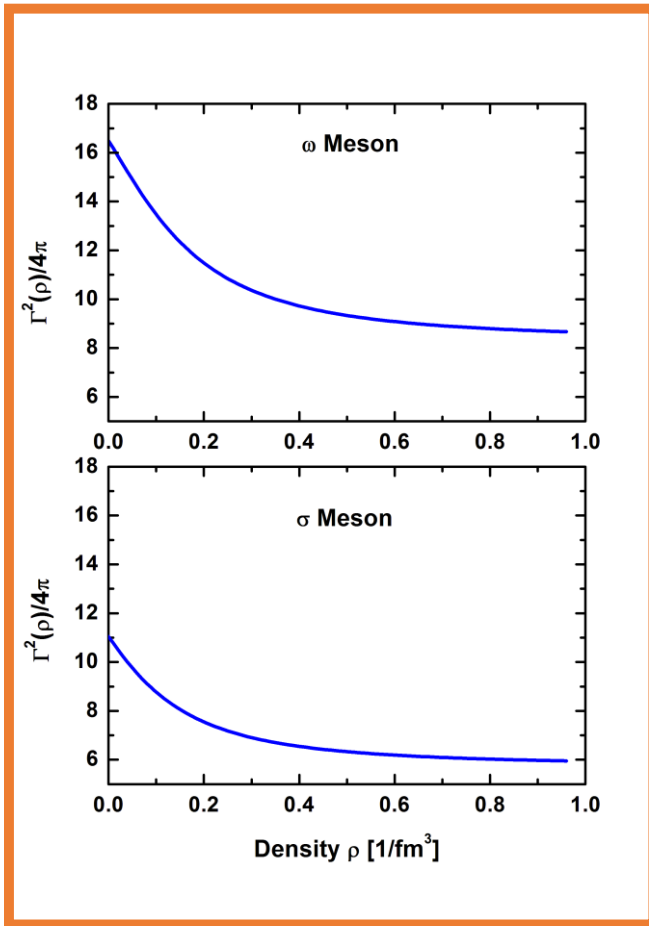
- Dirac-Brueckner vertex functionals and covariant EDF approach
- Hyperon mean-field coupling constants from NN-DBHF vertices
- Nucleon and hyperon covariant mean-fields in asymmetric nuclear matter
- $\Lambda$ - $\Sigma$  mixing induced by the nuclear isovector mean-field
- Summary

## Theoretical Background:

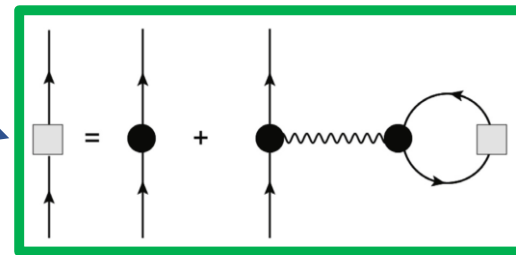
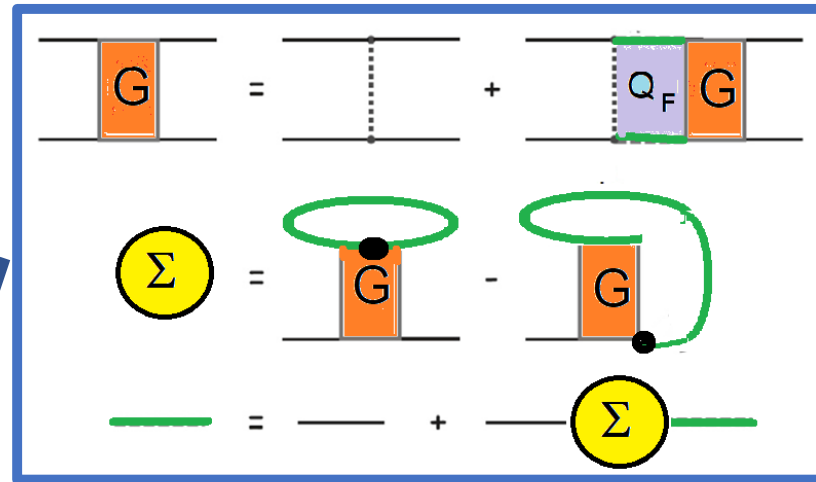
H. Lenske, M. Dhar, *Lect.Notes Phys.* 948 (2018) 161

H. Lenske, M. Dhar, Th. Gaitanos, Xu Cao, *Prog.Part.Nucl.Phys.* 98 (2018) 119

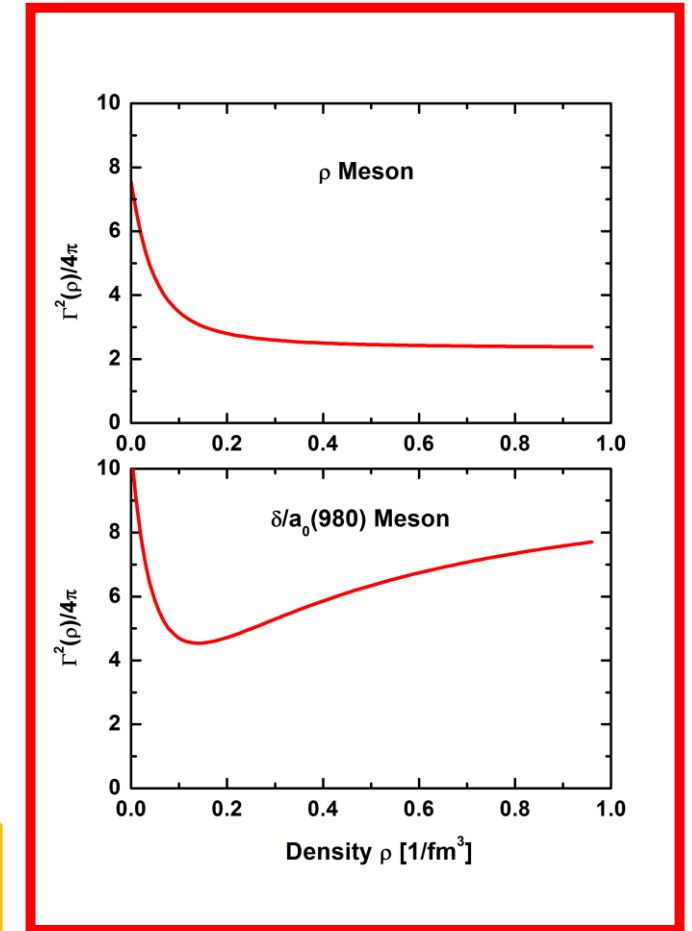
# Nuclear Matter Mean-Field NN-Vertices from GI-DBHF EDF



**Isoscalar Vertices**



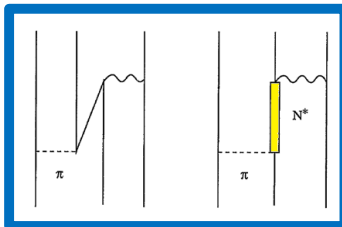
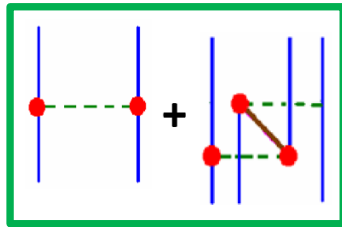
$$\Gamma_{BB'a}(q_s, k_F) \simeq \frac{1}{1 - \int dq' V_a G^* Q_F|_{BB'}} g_{BB'a} \cdot V_a \quad (a=\sigma, \omega, \rho, \dots)$$



**Isovector Vertices**

# GI-DHBF Theory and EDF-Approaches of that Kind

- Fully microscopic *ab initio* approach based on free-space covariant NN interactions
- Successful as a covariant nuclear EDF for stable and exotic nuclei up to neutron stars



Saturation Properties of the Nuclear EoS - NN only:

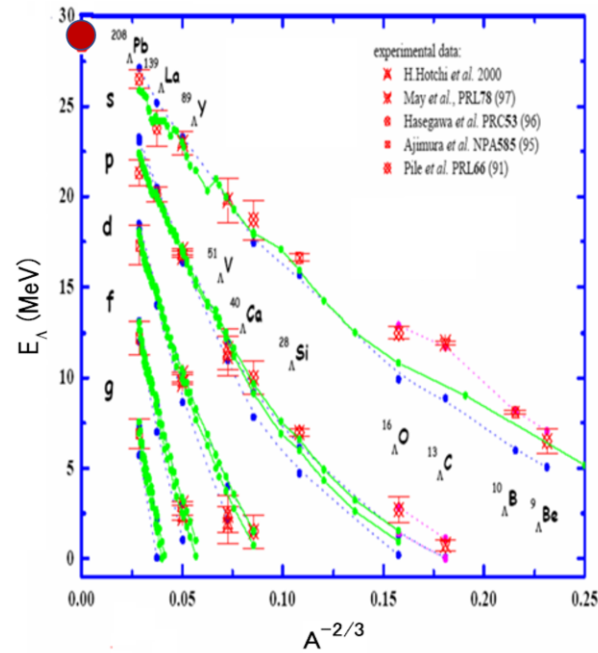
Z/A	rhoSAT	E/A	Compr	Esym	Lsym	Ksym
0.5	0.1800	-15.6033	281.9452	31.1540	88.6270	201.3971
0.4	0.1736	-14.4011	263.0267	30.0276	82.8382	165.8180

Saturation Properties of the Nuclear EoS - NN+NNN:

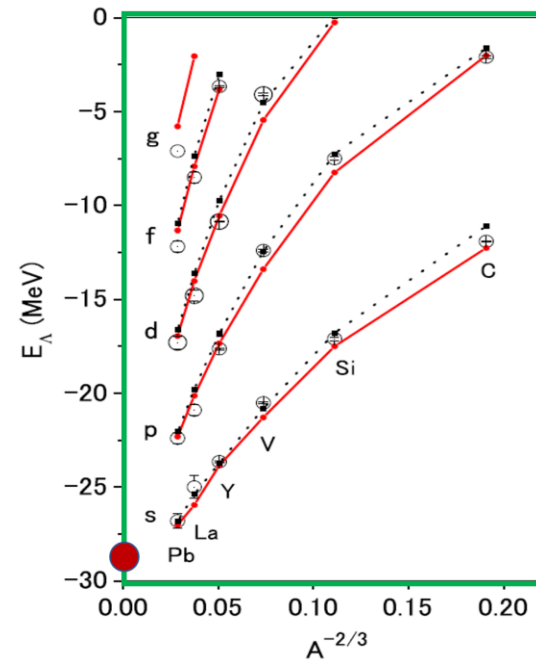
Z/A	rhoSAT	E/A	Compr	Esym	Lsym	Ksym
0.5	0.1600	-16.0000	283.1357	32.0000	90.0000	133.5014
0.4	0.1541	-14.7567	262.7607	31.0696	85.8948	115.0590

GI-DBHF results for symmetric and asymmetric nuclear matter without and with NNN interactions

# GI-DHBF Theory and Hypernuclei



Covariant GI-GBHF, H.L. et al.  
PPNP 98 (2018) 119



ESC-08 G-matrix, Yamamoto et al.,  
PRC 90 (2014) 045805

- **Hypernuclear physics:**

- **$\Lambda$ -separation energies are reproduced within exp. error bars**
- **Empirical „scaling approach“ for hyperon interactions**
- **Lack of predictive power for  $\Sigma$  and  $\Xi$  hyperons**
- **Hampered by lack of hyperon-nucleon and hyperon-nucleus scattering data**

# SU(3) EDF-Approach to Meson-Baryon Octet Interactions

$$\mathcal{L}_{int}^{\mathcal{P}} = -\sqrt{2} \{g_D [\bar{B}B\mathcal{P}_8]_D + g_F [\bar{B}B\mathcal{P}_8]_F\} - g_S \frac{1}{\sqrt{3}} [\bar{B}B\mathcal{P}_1]_S$$

**$\mathcal{P}$ =Pseudoscalar, Vector, and Scalar Meson Exchange**

anti-symmetric  $[\bar{B}, B] = \bar{B}B - B\bar{B}$  and symmetric  $\{\bar{B}, B\} = \bar{B}B + B\bar{B}$  configurations

$$[\bar{B}B\mathcal{P}]_D = \text{Tr}(\{\bar{B}, B\} \mathcal{P}_8) \quad , \quad [\bar{B}B\mathcal{P}]_F = \text{Tr}([\bar{B}, B] \mathcal{P}_8) \quad , \quad [\bar{B}B\mathcal{P}]_S = \text{Tr}(\bar{B}B)\text{Tr}(\mathcal{P}_1)$$

**SU(3) Octet-Physics:**

**3 sets of 3 fundamental coupling constants fix the 48 BB'm vertices**

**Exploit SU(3) relations to construct an Octet BB-EDF from NN-EDF**

## BBM Vertices under Singlet-Octet Meson Mixing

### Guiding Principles

- Interactions inherit SU(3) symmetry by fit to scattering data
- Free space and in-medium Bethe-Salpeter equations conserve the fundamental symmetries
- So do the vertex equations

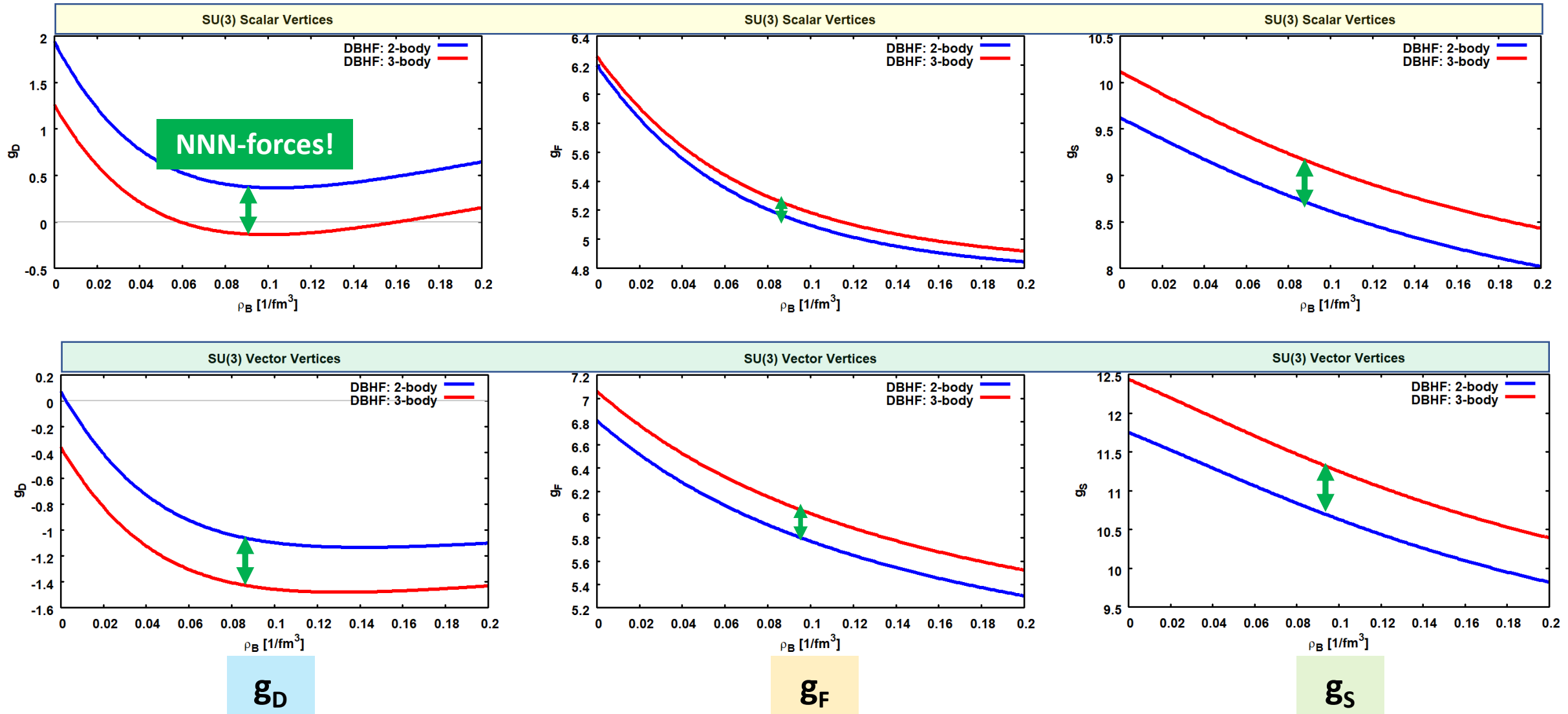
Vertex	Coupling constant
$NN\omega$	$g_N^\omega = g_S \cos(\theta) + \sqrt{\frac{3}{2}} g_F \sin(\theta) - \frac{1}{\sqrt{6}} g_D \sin(\theta)$
$NN\phi$	$g_N^\phi = g_S \sin(\theta) - \sqrt{\frac{3}{2}} g_F \cos(\theta) + \frac{1}{\sqrt{6}} g_D \cos(\theta)$
$NN\rho$	$g_N^\rho = \sqrt{2}(g_F + g_D)$
$\Lambda\Lambda\omega$	$g_\Lambda^\omega = g_S \cos(\theta) - \sqrt{\frac{2}{3}} g_D \sin(\theta)$
$\Lambda\Lambda\phi$	$g_\Lambda^\phi = g_S \sin(\theta) + \sqrt{\frac{2}{3}} g_D \cos(\theta)$
$\Sigma\Sigma\omega$	$g_\Sigma^\omega = g_S \cos(\theta) + \sqrt{\frac{2}{3}} g_D \sin(\theta)$
$\Sigma\Sigma\phi$	$g_\Sigma^\phi = g_S \sin(\theta) - \sqrt{\frac{2}{3}} g_D \cos(\theta)$
$\Sigma\Sigma\rho$	$g_\Sigma^\rho = \sqrt{2} g_F$
$\Lambda\Sigma\rho$	$g_{\Lambda\Sigma}^\rho = \sqrt{\frac{2}{3}} g_D$
$\Xi\Xi\omega$	$g_\Xi^\omega = g_S \cos(\theta) - \sqrt{\frac{3}{2}} g_F \sin(\theta) - \frac{1}{\sqrt{6}} g_D \sin(\theta)$
$\Xi\Xi\phi$	$g_\Xi^\phi = g_S \sin(\theta) + \sqrt{\frac{3}{2}} g_F \cos(\theta) + \frac{1}{\sqrt{6}} g_D \cos(\theta)$
$\Xi\Xi\rho$	$g_\Xi^\rho = \sqrt{2}(g_F - g_D)$

### The „SU(3)“ DFT-Program:

- For given  $\theta$  only 3 physical couplings are needed to fix  $\{g_D, g_F, g_S\}$
- Impose  $g_{NN\phi} = 0$ , use ideal mixing:  $\theta = 35.26\dots^\circ$ ,  $\tan(\theta) = 1/\sqrt{2}$
- use DBHF  $g_{NN\omega}(\rho)$  and  $g_{NN\rho}(\rho)$  to derive  $g_D(\rho)$ ,  $g_F(\rho)$ ,  $g_S(\rho)$  for Dirac-vector interactions
- the full set of BBM vector vertices becomes accessible
- Corresponding approach for the Dirac-scalar couplings

# GI-DBHF SU(3) Vertices in Infinite Nuclear Matter

## Ideal Mixing: $\theta=35.26^\circ$





## Constructing a „SU(3)“ Covariant DFT

$$\mathcal{L}_{int}^{DF} = -\sqrt{2} \sum_{\mathcal{M} \in \{\mathcal{P}, \mathcal{S}, \mathcal{V}\}} \left\{ g_D^{*(\mathcal{M})}(\hat{\rho}) [\bar{B}B\mathcal{P}_8]_D + g_F^{*(\mathcal{M})}(\hat{\rho}) [\bar{B}B\mathcal{P}_8]_F - g_S^{*(\mathcal{M})}(\hat{\rho}) \frac{1}{\sqrt{6}} [\bar{B}B\mathcal{P}_1]_S \right\}.$$

$$\hat{\rho}^2 = \mathbf{j}_{B\mu} \mathbf{j}_B^\mu$$

**Field Equations:**

$$(\partial_\mu \partial^\mu + m_{\mathcal{M}}^2) \Phi_{\mathcal{M}}^s = \sum_{BB'} g_{BB'\mathcal{M}}^*(\hat{\rho}) \rho^{BB's}, \quad (\partial_\mu \partial^\mu + m_{\mathcal{M}}^2) V_{\mathcal{M}}^\lambda = \sum_{BB'} g_{BB'\mathcal{M}}^*(\hat{\rho}) \rho^{BB'\lambda}$$

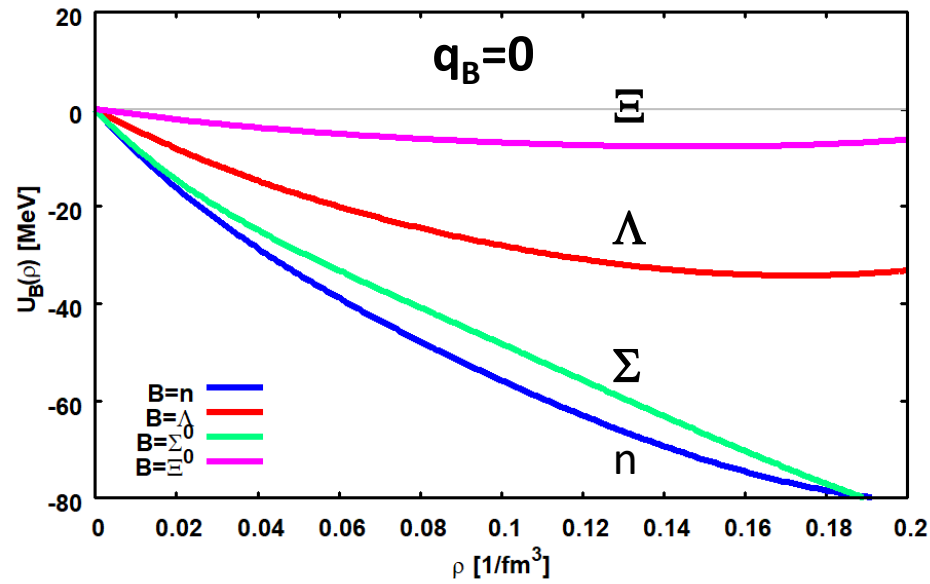
$$\left( \gamma_\mu (p^\mu - \Sigma_B^\mu(\hat{\rho})) - M_B + \Sigma_B^{(s)}(\hat{\rho}) \right) \Psi_B = 0.$$

### Baryon Self-Energies and Rearrangement

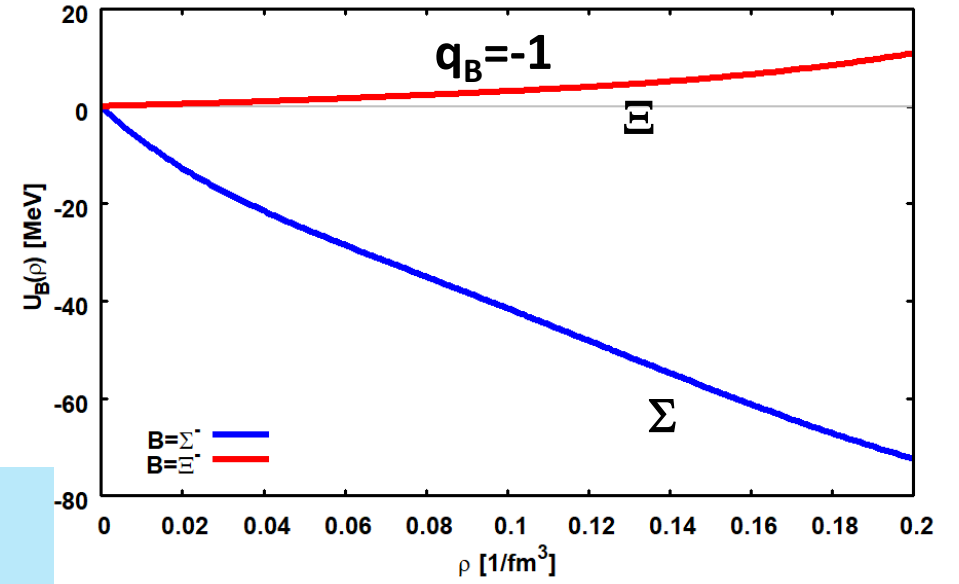
$$\Sigma_B^{(s)}(\hat{\rho}) = \sum_{\mathcal{M} \in \mathcal{S}} \Phi_{\mathcal{M}}(\hat{\rho}) g_{BB\mathcal{M}}^*(\hat{\rho}), \quad \Sigma_B^{(d)\mu}(\hat{\rho}) = \sum_{\mathcal{M} \in \mathcal{V}} V_{\mathcal{M}}^\mu(\hat{\rho}) g_{BB\mathcal{M}}^*(\hat{\rho})$$

$$\Sigma_B^{(r)\mu}(\hat{\rho}) = \sum_{B'B''\mathcal{M}} \frac{\partial g_{B'B''\mathcal{M}}^*(\hat{\rho})}{\partial j_{B\mu}} \frac{\delta}{\delta g_{B'B'f'M}^*} \mathcal{L}_{int}^{DF},$$

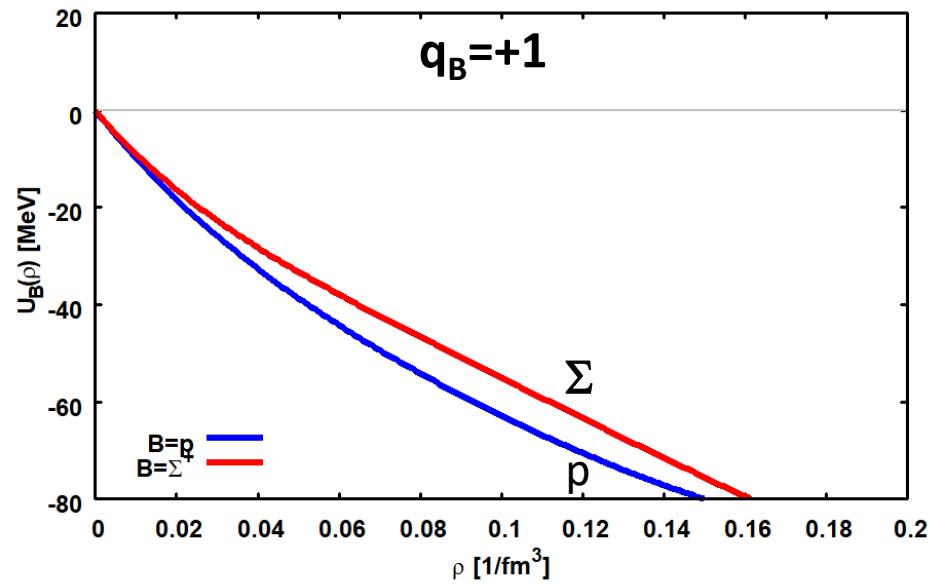
# Covariant „SU(3)“ Baryon Mean-Field Self-Energies



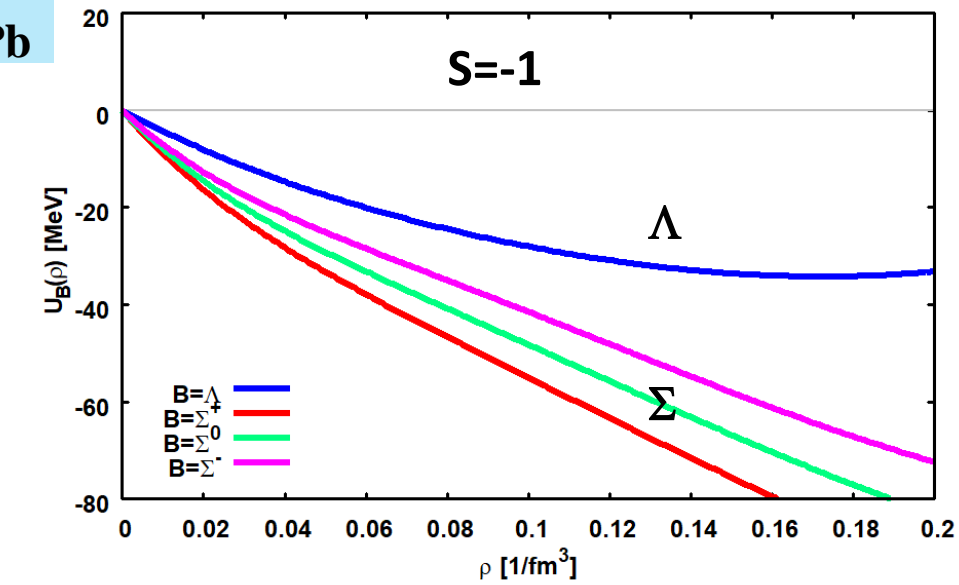
$U_B = U_B^S + U_B^V$



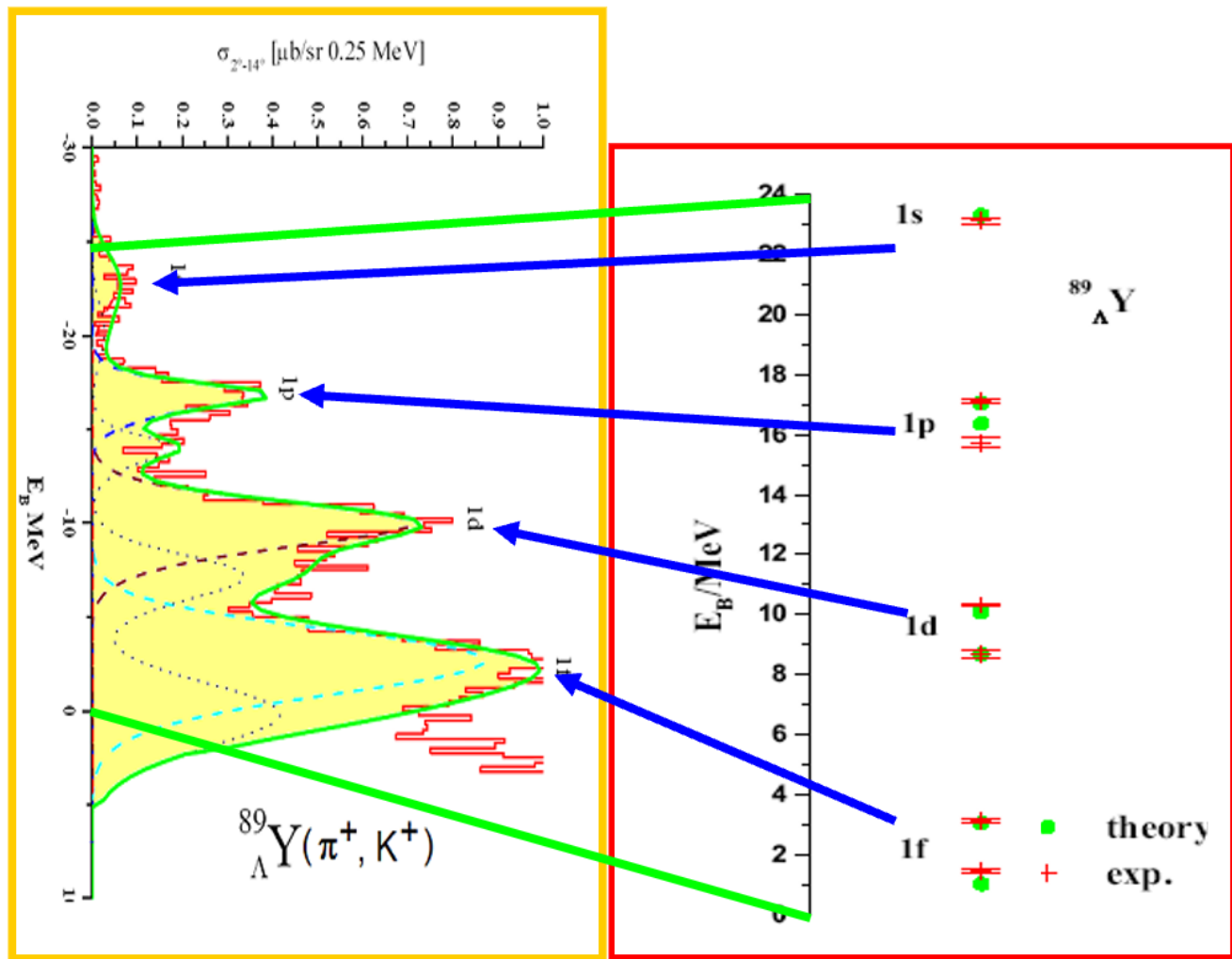
$Z/A = 0.4$   
 as for example in  
 $^{10}\text{Be}$ ,  $^{48}\text{Ca}$ ,  $^{124}\text{Sn}$ ,  $^{208}\text{Pb}$



Similarity:  
 $\{\Sigma^+, \Sigma^0\} \sim \{p, n\}$



# Application to a Finite Nucleus: Spectrum of $^{89}\text{Y}$



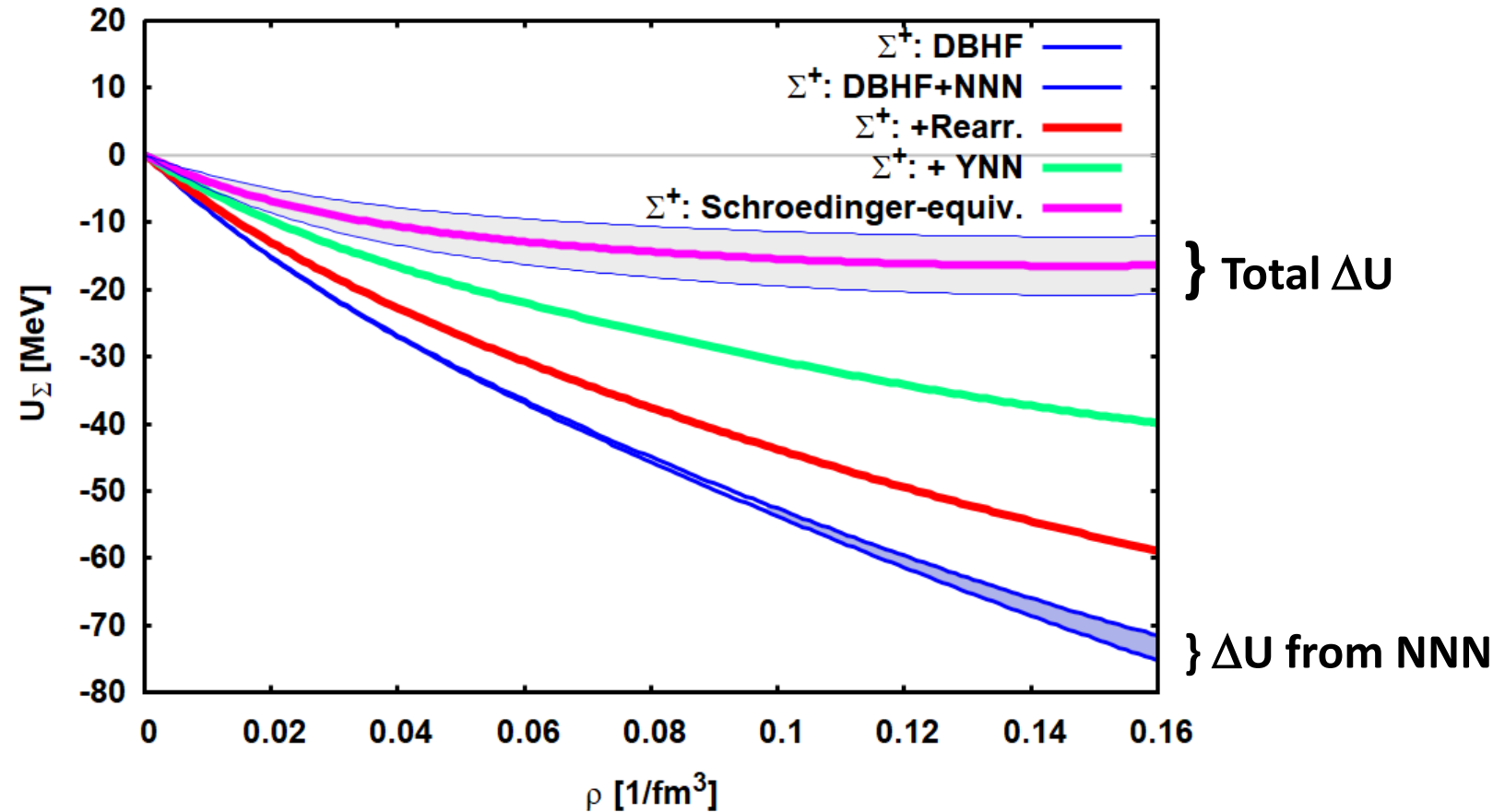
Structure Calculations:  
H.L. et al., PPNP 98 (2018) 119

- $^{89}\text{Y} = \Lambda + ^{88}\text{Y}(4-, g.s.)$
- $\Lambda$ -Core Spin-Spin Interactions
- Covariant Tensor Interaction

Reaction Calculation:  
S. Bender, R. Shyam, H.L., Nucl.Phys.A  
839 (2010) 51

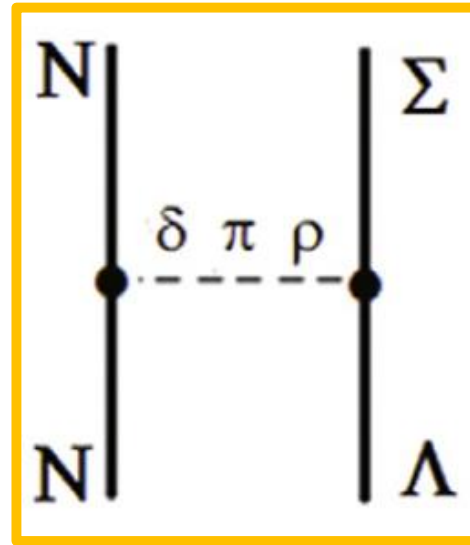
Data: Hotchi et al., Phys. Rev. C64 (2001) 044302

# The Emergence of the „Schroedinger-equivalent“ EDF-Potential



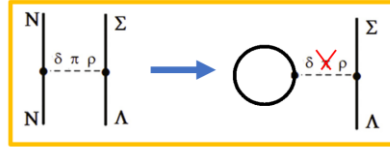
$$U_{\text{Schroed}} = \frac{M_Y^*}{M_Y} U_{\text{RMF}} + \delta U(k_F) \quad (\text{see G. Adaman, H.L. et al., } \textit{Eur.Phys.J.A} \text{ 57 (2021) 3, 89})$$

# $\Lambda$ - $\Sigma$ Mixing by Isovector Interactions



$$L = -g_{\Lambda\Sigma\delta} \bar{\Psi}_{\Sigma} \vec{\tau} \Psi_{\Lambda} \cdot \vec{\phi} + g_{\Lambda\Sigma\rho} \bar{\Psi}_{\Sigma} \vec{\tau} \gamma_{\mu} \Psi_{\Lambda} \cdot \vec{V}^{\mu} + i \frac{1}{m_{\pi}} g_{\Lambda\Sigma\pi} \bar{\Psi}_{\Sigma} \vec{\tau} \gamma_5 \gamma_{\mu} \Psi_{\Lambda} \cdot \partial^{\mu} \vec{\phi}$$

# $\Lambda$ - $\Sigma$ Mixing Induced by the **Static** Isovector Mean-Field

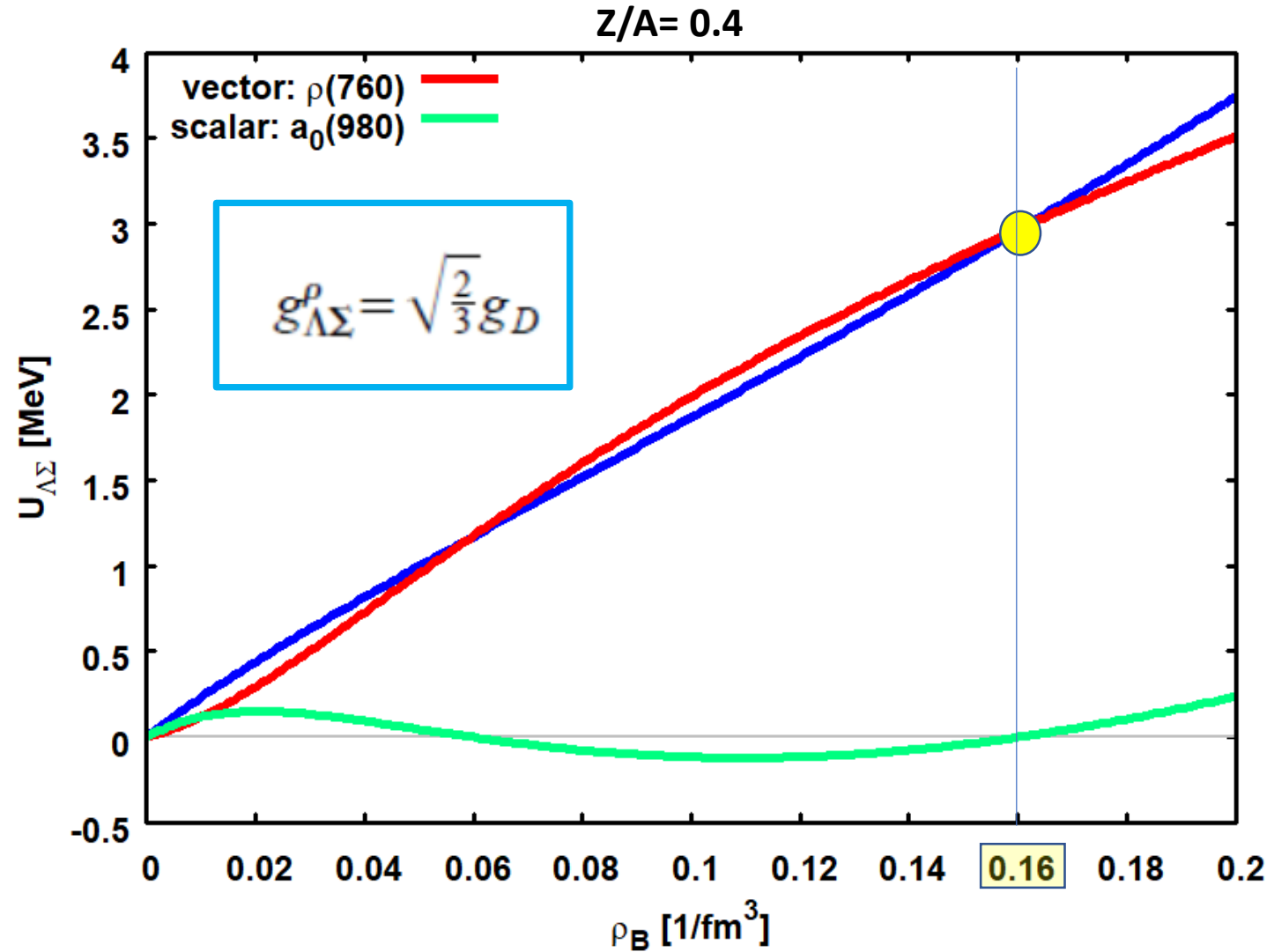


$$U_{\Lambda\Sigma}(\rho_B) = U_{\delta}^{(NN)}(\rho_B) \begin{pmatrix} \mathbf{g}_{\Lambda\Sigma\delta} \\ \mathbf{g}_{NN\delta} \end{pmatrix} + U_{\rho}^{(NN)}(\rho_B) \begin{pmatrix} \mathbf{g}_{\Lambda\Sigma\rho} \\ \mathbf{g}_{NN\rho} \end{pmatrix}$$

## Mean-Field Induced Mixing

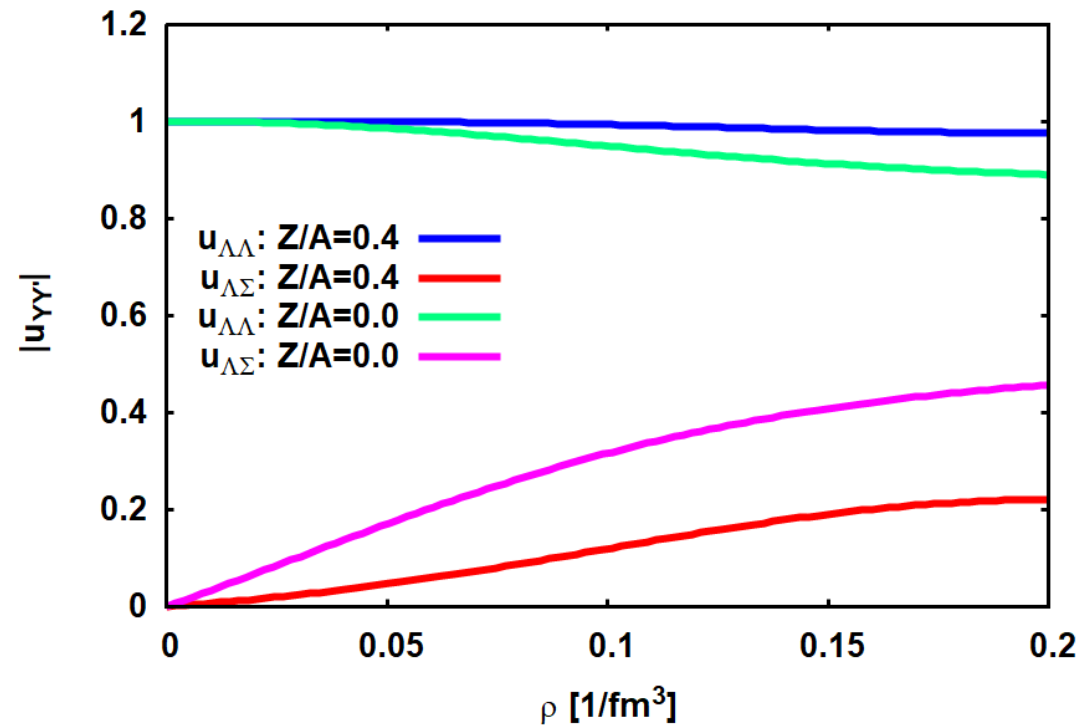
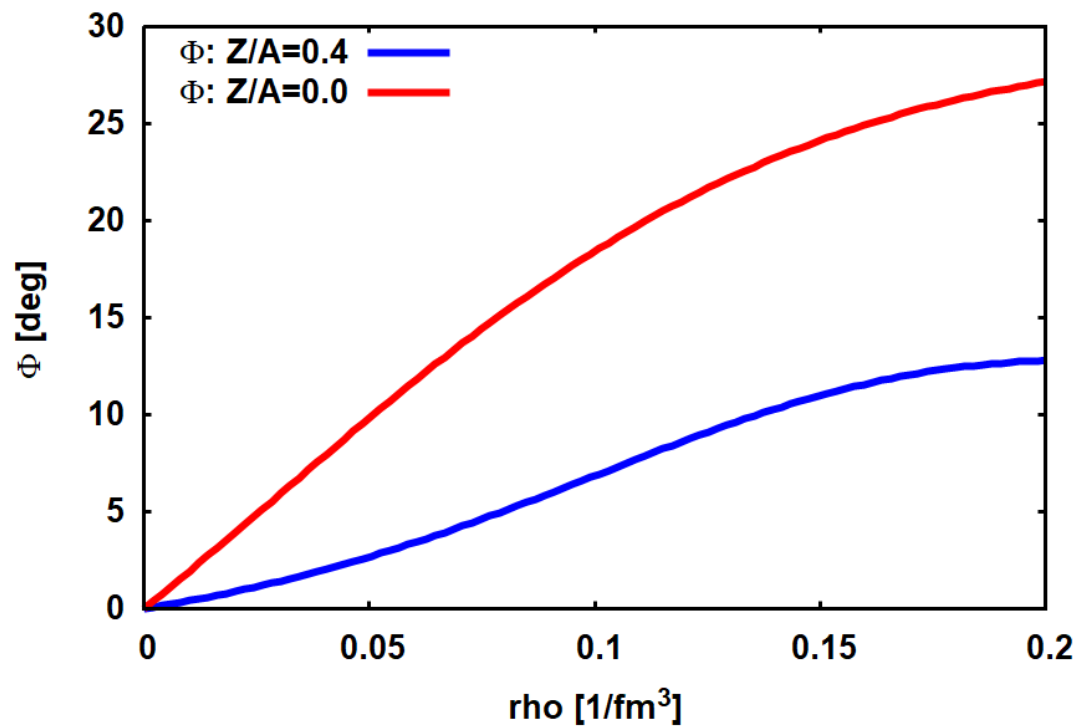
$$\begin{pmatrix} \mathbf{H}_{\Lambda\Lambda} - E & U_{\Lambda\Sigma} \\ U_{\Lambda\Sigma}^{\dagger} & \mathbf{H}_{\Lambda\Lambda} + m_{\Sigma\Lambda} - E \end{pmatrix} \begin{pmatrix} [\phi_{\Lambda} \otimes |A\rangle]_{I_A N_A} \\ [\phi_{\Sigma} \otimes |A\rangle]_{I_A N_A} \end{pmatrix} = \mathbf{0}$$

# $\Lambda$ - $\Sigma$ Mean-Field Mixing SU(3) Potential in Asymmetric Nuclear Matter



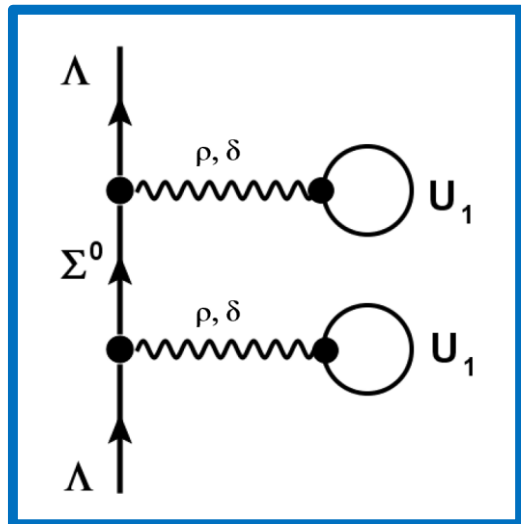
# In-Medium $\Lambda$ - $\Sigma$ Mixing by the Isovector Mean-field

$$|\Lambda^*(\rho)\rangle = \cos(\Phi)|\Lambda\rangle - \sin(\Phi)|\Sigma\rangle \quad |\Sigma^*(\rho)\rangle = \cos(\Phi)|\Sigma\rangle + \sin(\Phi)|\Lambda\rangle$$





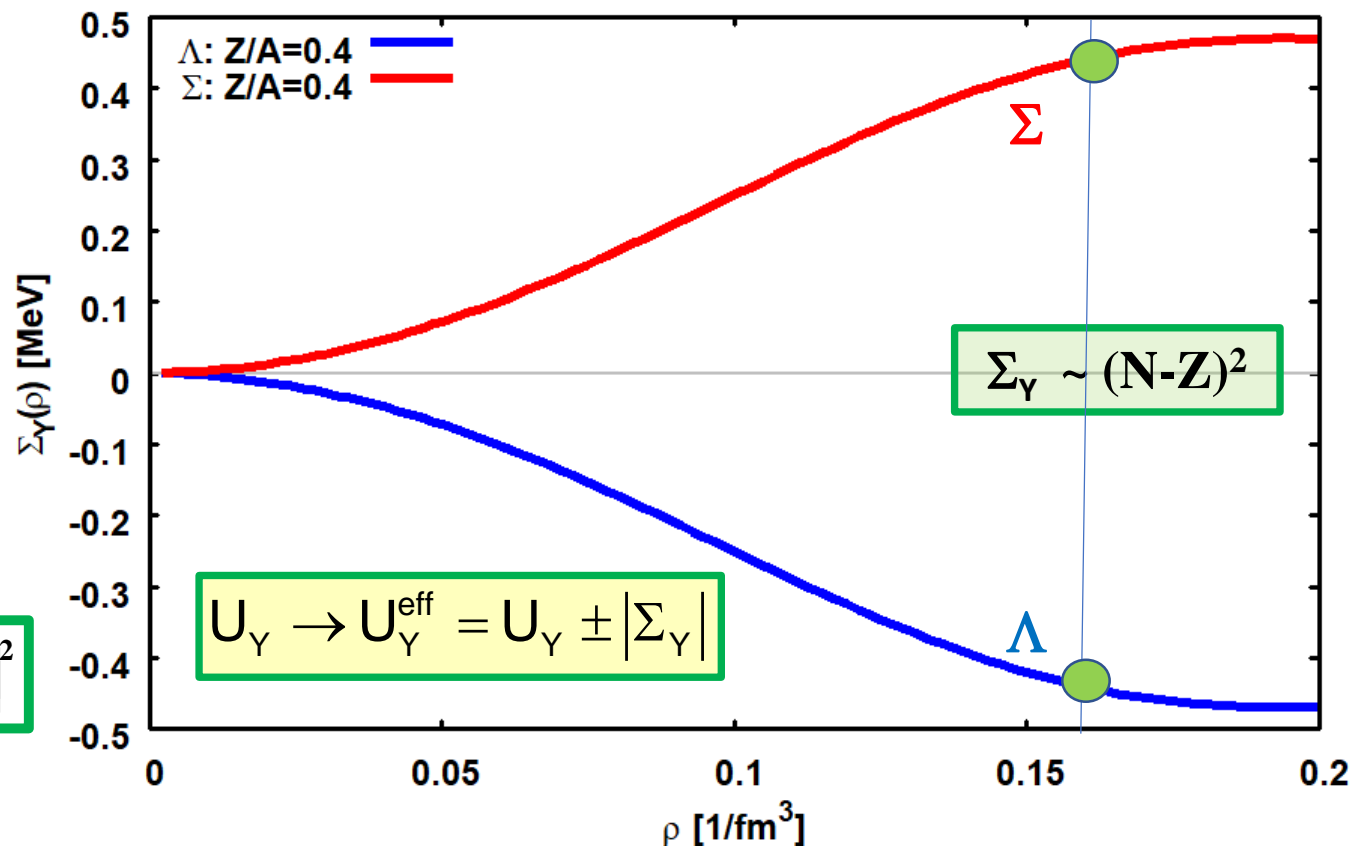
# $\Lambda$ and $\Sigma$ Self-Energies Induced by the Isovector Mean-Field



$$\Sigma_{\Lambda}(\rho, \omega) = U_{\Lambda\Sigma}(\rho) G_{\Sigma}(\rho, \omega) U_{\Sigma\Lambda}(\rho) [\tau_{A3} T_{Y3}]^2$$

Static Limit:

$$G_{\Sigma}(\rho, \omega) \square \frac{1}{M_{\Sigma}^*(\rho) - M_{\Lambda}^*(\rho)}$$



- Depth of effective  $\Lambda$  potential is increased
- Depth of effective  $\Sigma$  potential is decreased

# Summary and Outlook

- In-medium BB-interaction by covariant GI-DBHF theory
- SU(3) relations connecting NN, NY, and YY interactions
- „Quark Scaling“ in  $Y=0,-1$  ( $S=-1,-2$ ) hypercharge multiplets
- $\Lambda$ - $\Sigma$  mixing induced by the isovector mean-field and 2nd order self-energies
- to come: hypernuclear spectra and magnetic moments, hypermatter, neutron stars, dynamical  $\Lambda$ - $\Sigma$  mixing, ...

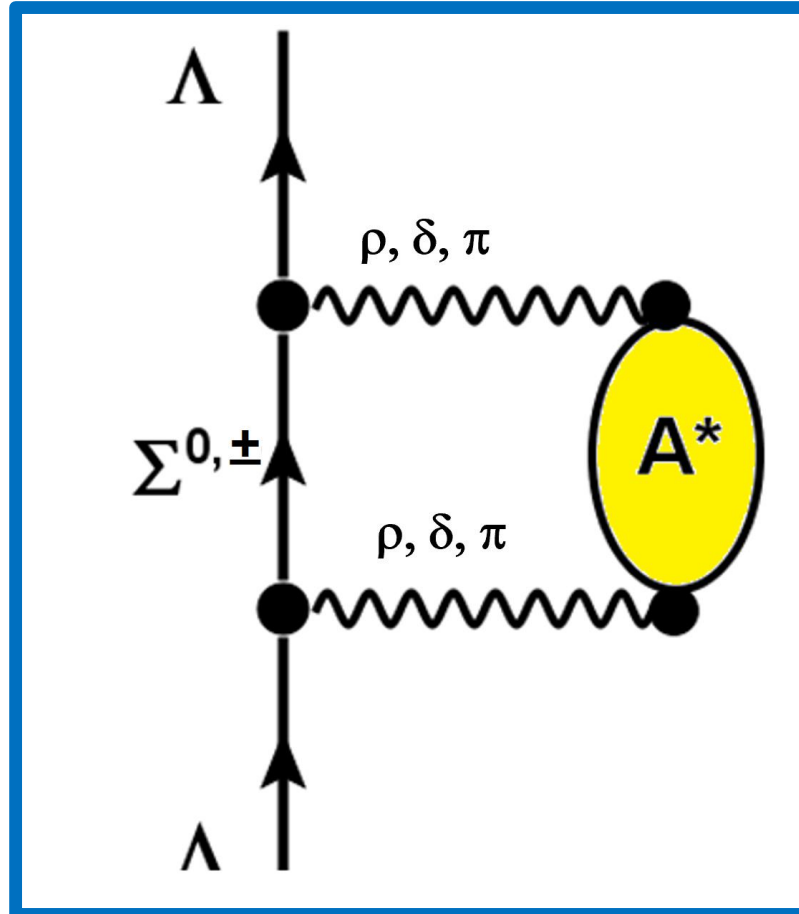
## *Background:*

*H.L., M. Dhar, Lect.Notes Phys. 948 (2018) 161*

*H.L., M. Dhar, Th. Gaitanos, Xu Cao, Prog.Part.Nucl.Phys. 98 (2018) 119*

Supported in part by STRONG 2020 and DFG, Le439-16

# Isovector $\Lambda$ - $\Sigma$ Mixing and Induced **Dynamical** $\Lambda$ Self-Energy



**GDR, Fermi- and Gamow-Teller  
Isovector Modes**

*C. Dover, H. Fesbach, A. Gal, Phys.Rev.C 51 (1995) 541*

→ Influence on magnetic moments of  $\Lambda$ -hypernuclei