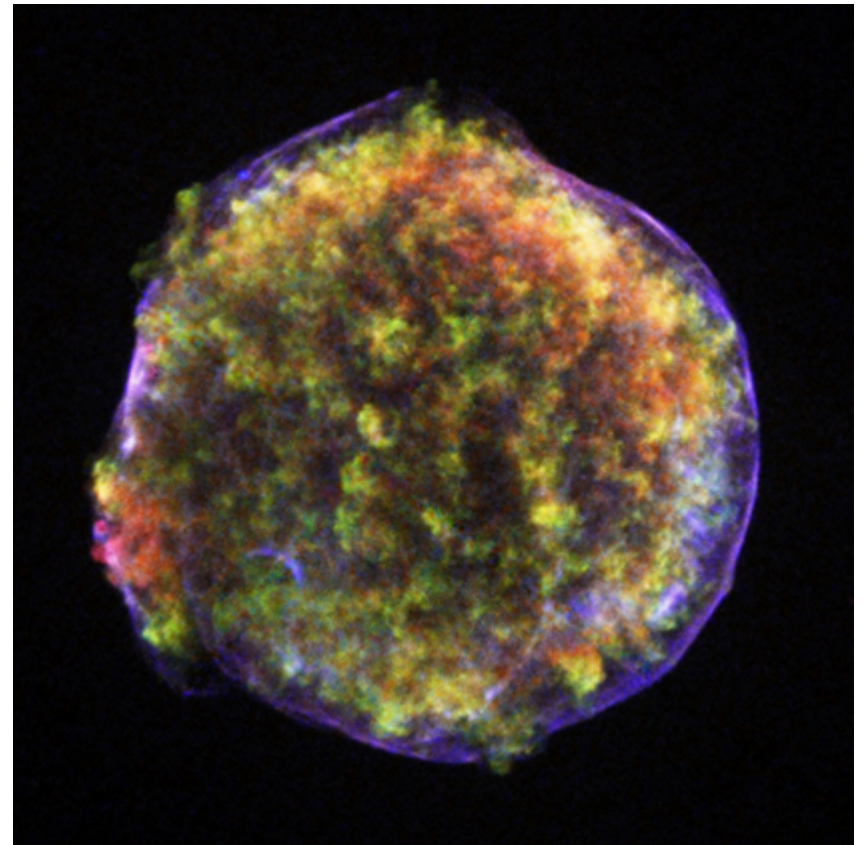




The  
University  
Of  
Sheffield.

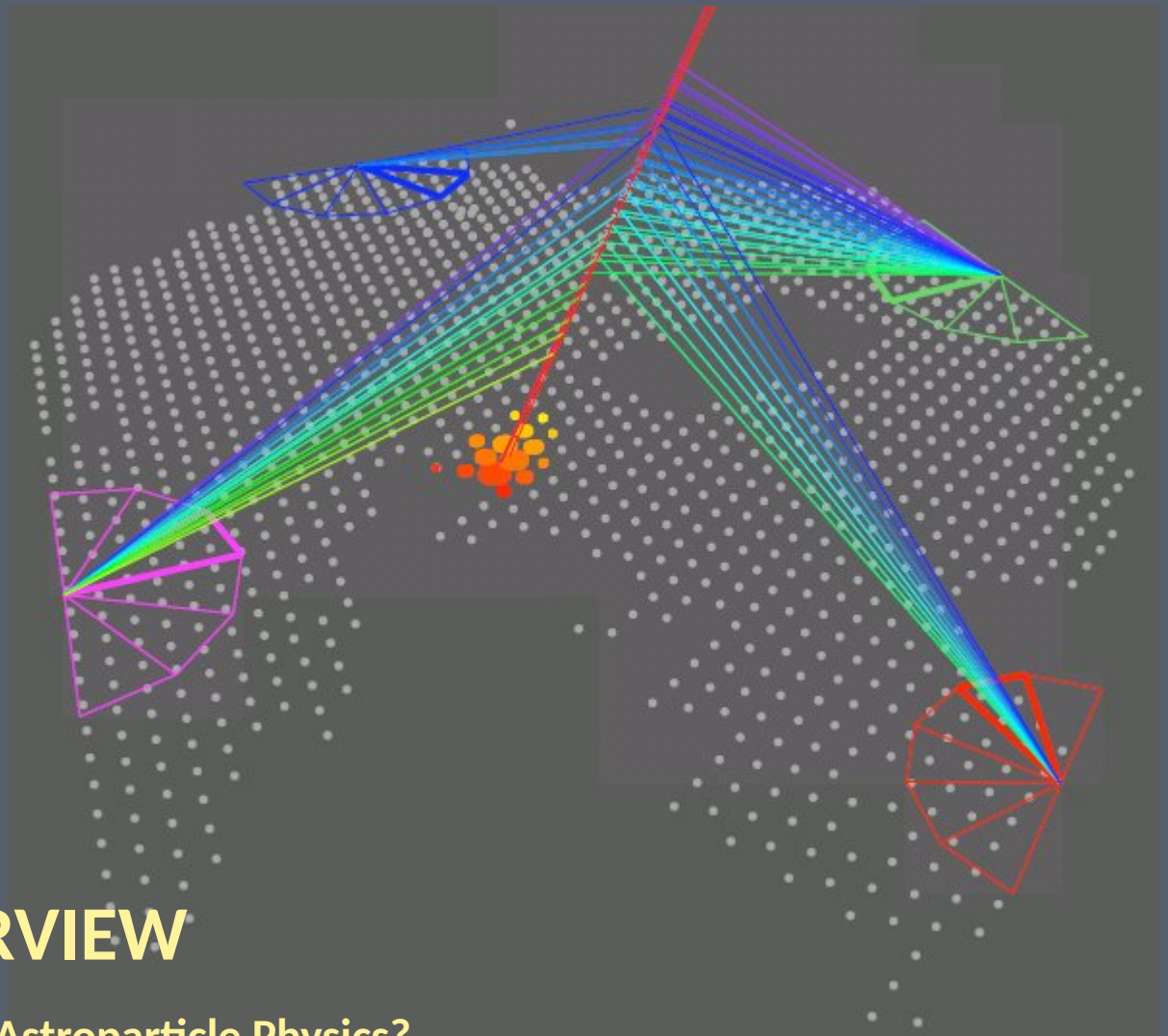


# ASTROPARTICLE PHYSICS LECTURE 1

Matthew Malek

University of Sheffield

1



# OVERVIEW

What is Astroparticle Physics?

2

# WHAT IS ASTROPARTICLE PHYSICS?

- Various definitions! Mine is **the use of particle physics technology to study astrophysical phenomena**

- Included:

- neutrino astrophysics
- gamma-ray astronomy
- cosmic rays

coherent field  
with a lot of  
common factors

***High Energy  
Astroparticle  
Physics***

- dark matter

early-universe cosmology

someone else's  
problem!

- Sometimes also included:

- cosmic microwave background
- gravitational waves
- neutrino masses (especially  $0\nu\beta\beta$ )

not very particulate

not very astrophysical

# COMMON ISSUES

- Low rates
  - fluxes of high-energy particles are small
  - neutrinos and dark matter have weak interactions
- *Need for large detectors*
- No control over “beam”
  - harder to control backgrounds
  - harder to calibrate, e.g., energy resolution
- *Signals can be difficult to establish and/or characterise*
  - *cf. solar and atmospheric neutrino oscillation*

# RELATED FIELDS

## ○ Neutrino physics

- atmospheric neutrinos are “astroparticle physics” but have contributed more to understanding of neutrinos than to astrophysics
- similar situation for solar neutrinos
- long-baseline neutrino experiments can do low-energy neutrino astrophysics “for free” (and vice versa)

## ○ Nucleon decay

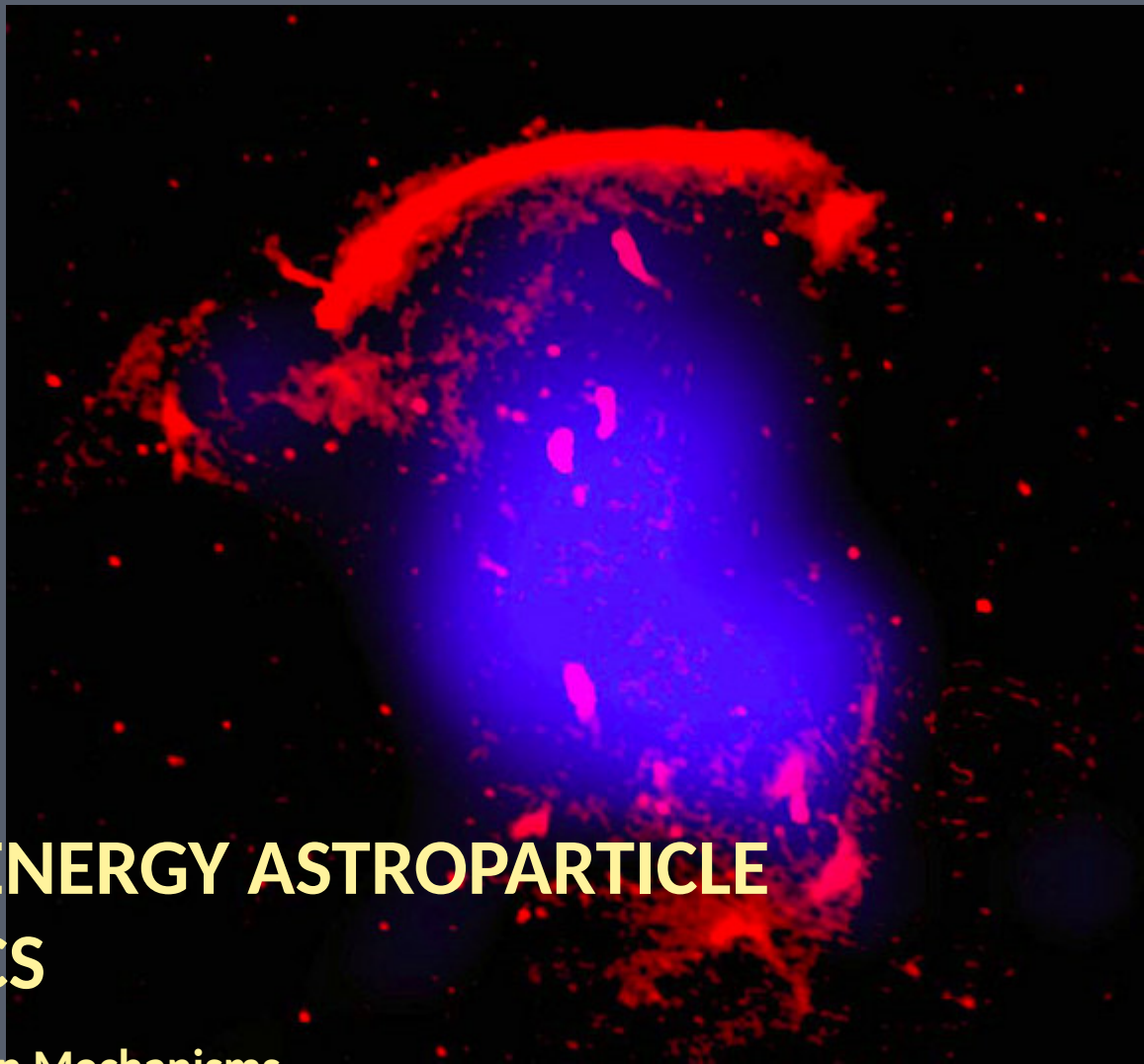
- many detector technologies useful for both
  - original purpose of Kamiokande (NDE = Nucleon Decay Experiment not Neutrino Detection Experiment!)
  - planned noble-liquid detectors may be able to do both nucleon decay experiments and dark matter searches

# TOPICS TO BE COVERED

- High energy astroparticle physics  
(cosmic rays, gammas, high-energy neutrinos)
  - sources
  - detection
  - results
  - prospects
- Dark matter
  - evidence
  - candidates
  - search techniques

## NOT COVERING:

- solar neutrinos (SB)
- neutrino masses (SB)
- supernova neutrinos (no time)



# HIGH ENERGY ASTROPARTICLE PHYSICS

Acceleration Mechanisms

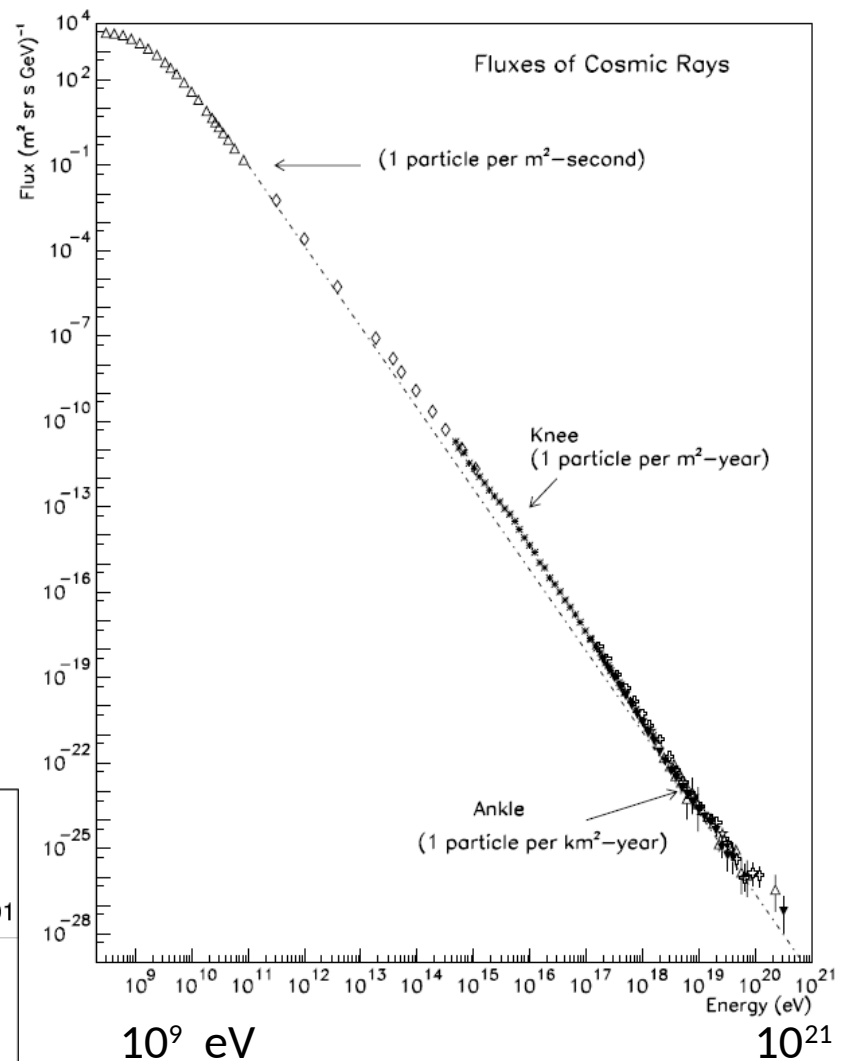
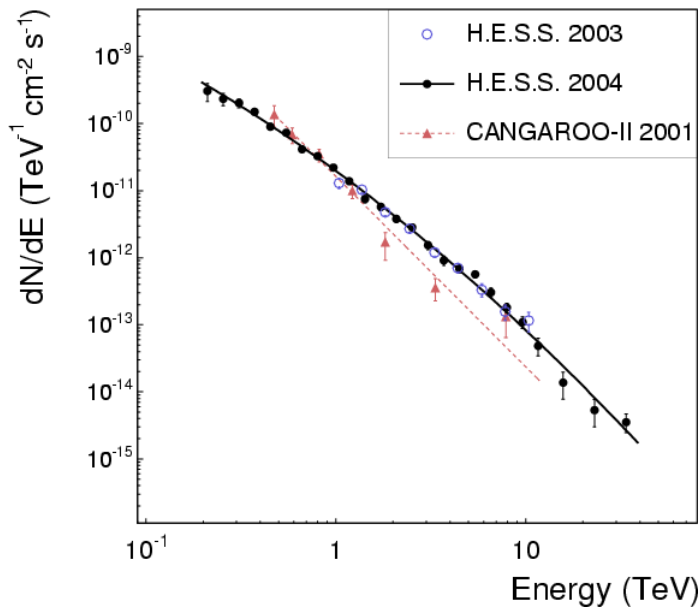
Sources

Detection

7

# COSMIC ACCELERATORS

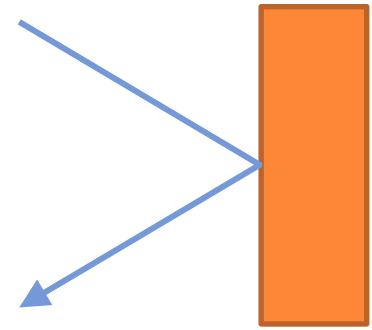
- Cosmic rays and gamma rays are observed up to extremely high energies
- something must therefore accelerate them



Note the power-law spectrum



# ACCELERATION MECHANISMS



## ○ Fermi Mechanism

- energetic charged particles can gain energy by scattering off local magnetic turbulence (Fermi 1949)
  - Assume particle scatters off much more massive object moving with speed  $u$ . Then in the com frame (= frame of massive object) its energy and momentum before the scatter are

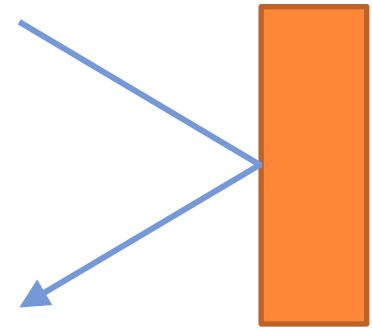
$$E_{\square} = \gamma_u (E + up \cos \theta)$$

$$p_{\square} = \gamma_u (p \cos \theta + uE/c^2)$$

- The particle scatters elastically: its energy is conserved and its x-momentum reversed. In original (lab) frame

$$E_2 = \gamma_u (E_{\square} + up_{\square}) = \gamma_u^2 E \left[ 1 + \frac{2uv}{c^2} \cos \theta + \frac{u^2}{c^2} \right]$$

# ACCELERATION MECHANISMS



## ○ Fermi Mechanism

- energetic charged particles can gain energy by scattering off local magnetic turbulence (Fermi 1949)
  - We need to average over angle. Head-on collisions are slightly more likely than overtaking collisions, so middle term doesn't just go away. In relativistic limit we find

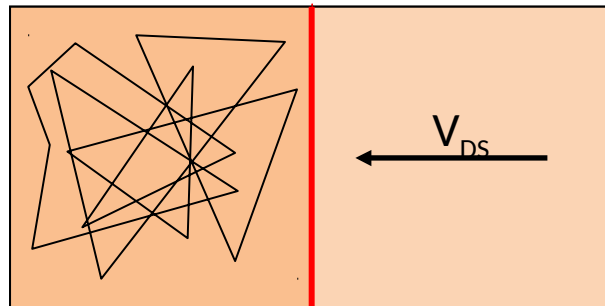
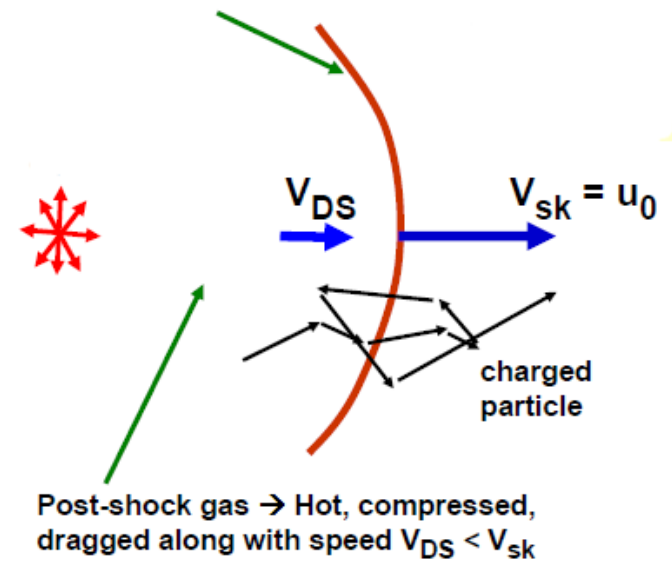
$$\left\langle \frac{\Delta E}{E} \right\rangle = \frac{8}{3} \frac{u}{c}^2$$

- Hence this process is known as **second-order Fermi acceleration**.
- The good news
  - this produces a power law energy spectrum:  $N(E) \propto E^{-x}$  where  $x = 1 + 1/\alpha\tau$ ,  $\alpha$  is the rate of energy increase and  $\tau$  is the residence time of the particle
- The bad news
  - since  $u \ll c$ , it's slow and inefficient

# ACCELERATION MECHANISMS

## ○ First-order Fermi Mechanism (Diffusive Shock Acceleration)

- $O(u/c)$  term gets lost in integral over angles—we could retrieve this if we could arrange to have only head-on scatters
- Consider shock wave as sketched above
  - high-energy particles will scatter so that their distribution is isotropic in the rest frame of the gas



Rest frame of downstream gas

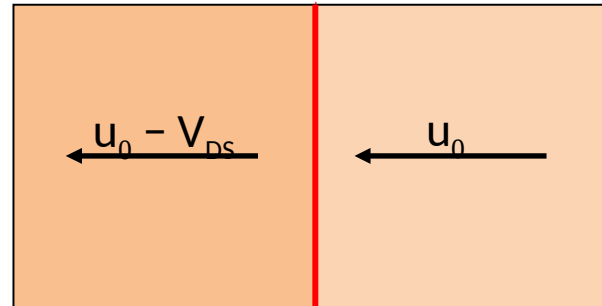
- crossing shock **in either direction** produces head-on collision on average

# ACCELERATION MECHANISMS

## ○ DSA, continued

- shock compresses gas, so density behind shock  $\rho_2 > \rho_1$
- in rest frame of shock,  $\rho_1 u_0 = \rho_2 u_2$  where  $u_2 = u_0 - V_{DS}$ 
  - for strong shock  $\rho_2/\rho_1 = (\gamma + 1)/(\gamma - 1)$  where  $\gamma$  is ratio of specific heats (=  $5/3$  for hydrogen plasma)
  - therefore expect  $u_2/u_0 \approx 1/4$
  - gas approaches shock-crossing particle at speed  $V = 3/4 u_0$
  - if high-energy particles move randomly, probability of particle crossing shock at angle  $\theta$  is  $P(\theta) = 2 \sin \theta \cos \theta d\theta$ , and its energy after crossing shock is  $E' \approx E(1 + pV \cos \theta)$  (if  $V \ll c$ )
  - therefore average energy gain per crossing is

$$\left\langle \frac{\Delta E}{E} \right\rangle = \frac{V}{c} \int_0^{\pi/2} 2 \cos^2 \theta \sin \theta d\theta = \frac{2V}{3c}$$



Rest frame of shock

# ACCELERATION MECHANISMS

## ○ DSA spectrum

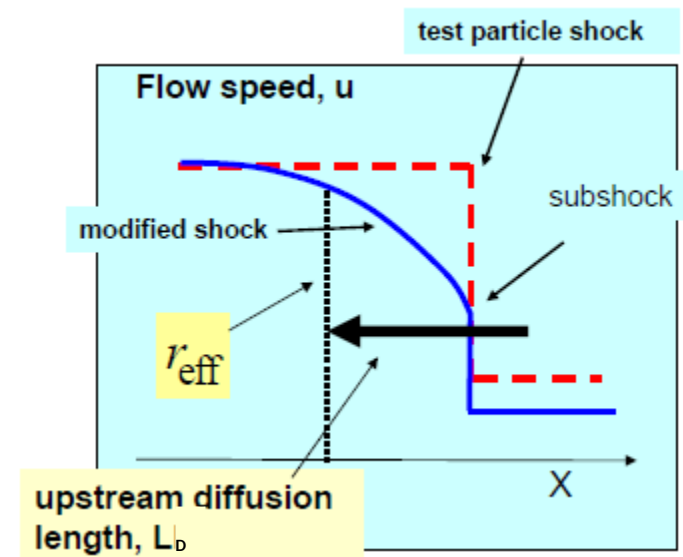
- if average energy of particle after one collision is  $E_1 = fE_0$ , and if  $P$  is probability that particle remains in acceleration region, then after  $k$  collisions there are  $N_k = N_0 P^k$  particles with average energy  $E_k = f^k E_0$ .

- Hence  $\frac{\ln(N/N_0)}{\ln(E/E_0)} = \frac{\ln P}{\ln f}$ , or  $\frac{N}{N_0} = \left(\frac{E}{E_0}\right)^{\ln P / \ln f}$

- This is the number of particles with  $E \geq E_k$  (since some of these particles will go on to further collisions), so differential spectrum is  $N(E) dE \propto E^{(\ln P / \ln f) - 1} dE$
- for DSA this comes to  $N(E) dE \propto E^{-(r+2)/(r-1)} dE$ , where  $r = \rho_2 / \rho_1$ .
  - “universal” power law, independent of details of shock

# ADDITIONAL COMPLICATIONS

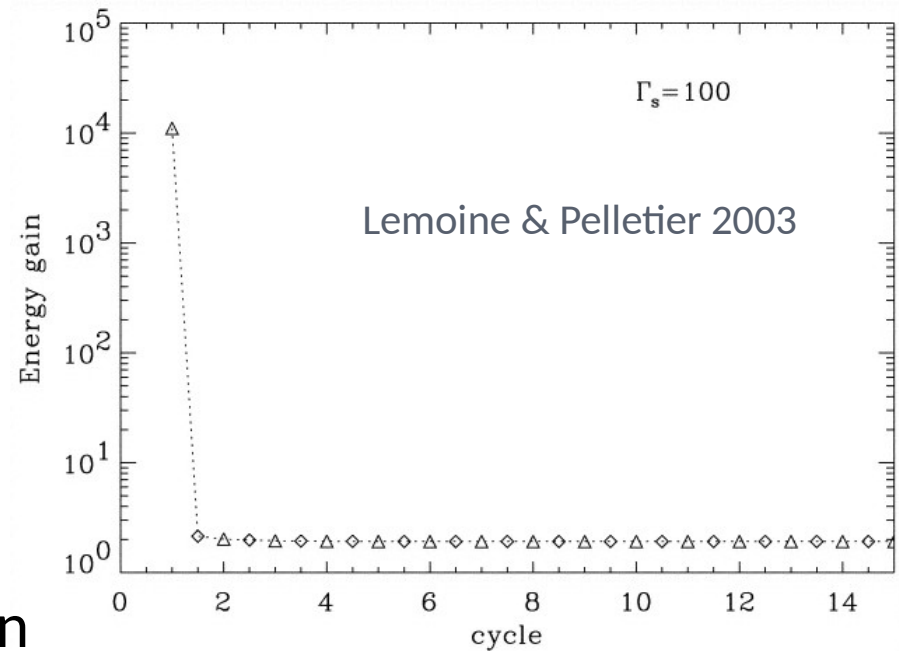
- Above was a “test particle” approach, in which we assume most of the gas is unaffected
  - If acceleration is efficient, high momentum particles will modify the shock
  - Need a consistent treatment which takes proper account of this
    - mathematically challenging
    - but valid across very large range of particle energies
  - Also need to allow for possibility of relativistic shocks



Don Ellison, NCSU

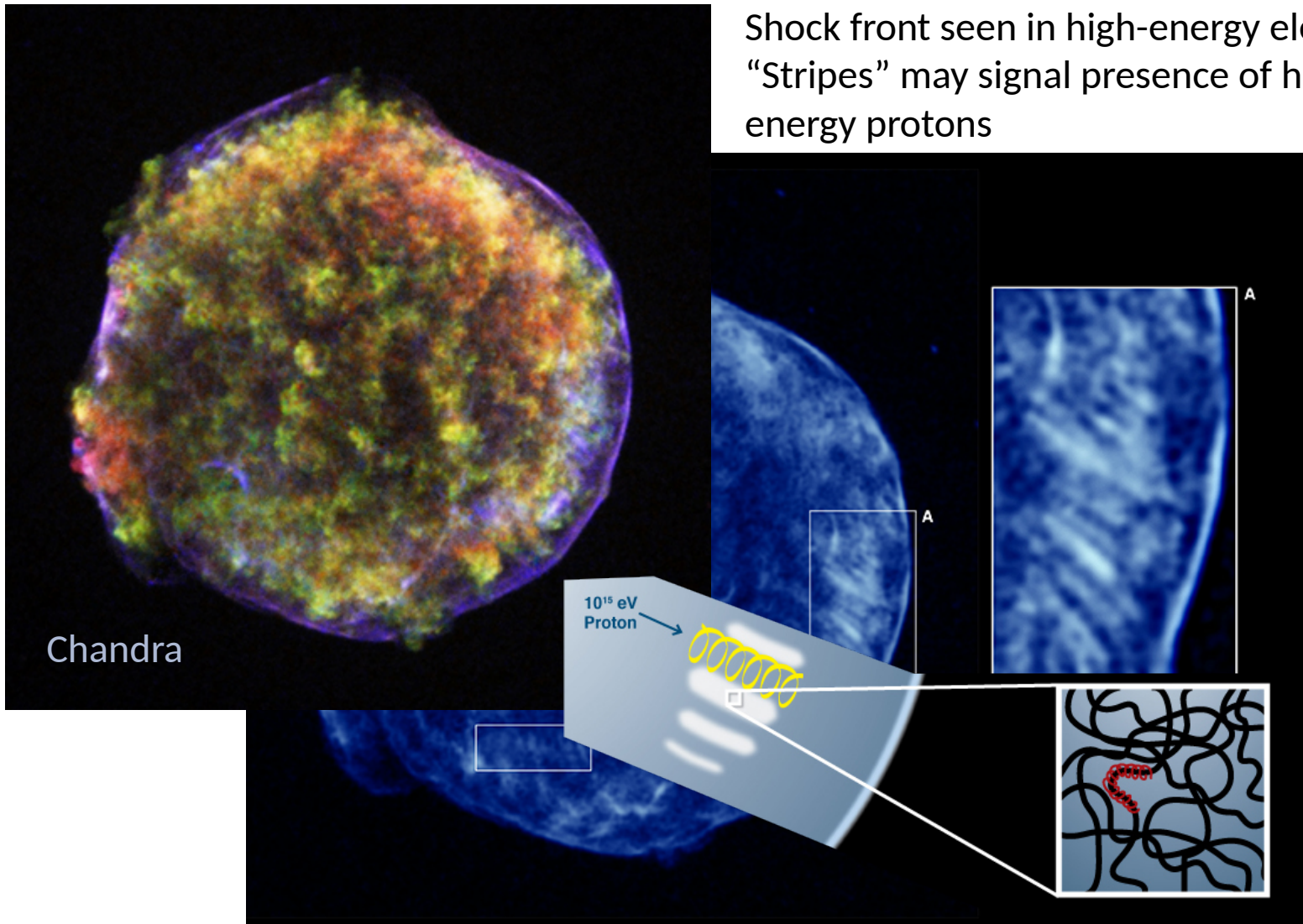
# RELATIVISTIC SHOCKS

- DSA assumes non-relativistic shock
- Many astrophysical objects ( $\gamma$ -ray bursts, AGN) are known to host relativistic shocks ( $\gamma \sim 10$  for AGN, up to 1000 for GRBs)
  - these can produce much larger accelerations
  - first return crossing causes energy gain of order  $\gamma^2$ 
    - second and subsequent crossings “only” factor 2, because particle does not have time to scatter to random orientation before shock overtakes it
  - produces a somewhat steeper spectrum, spectral index  $\sim 2.4$



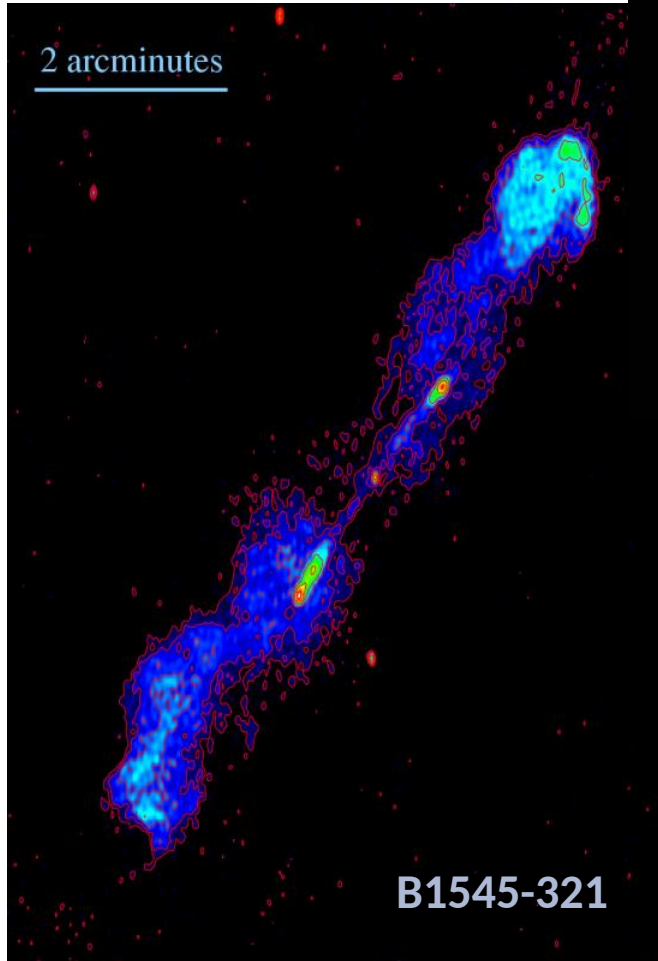
# TYCHO'S SUPERNOVA (SN 1572)

Shock front seen in high-energy electrons  
“Stripes” may signal presence of high-energy protons

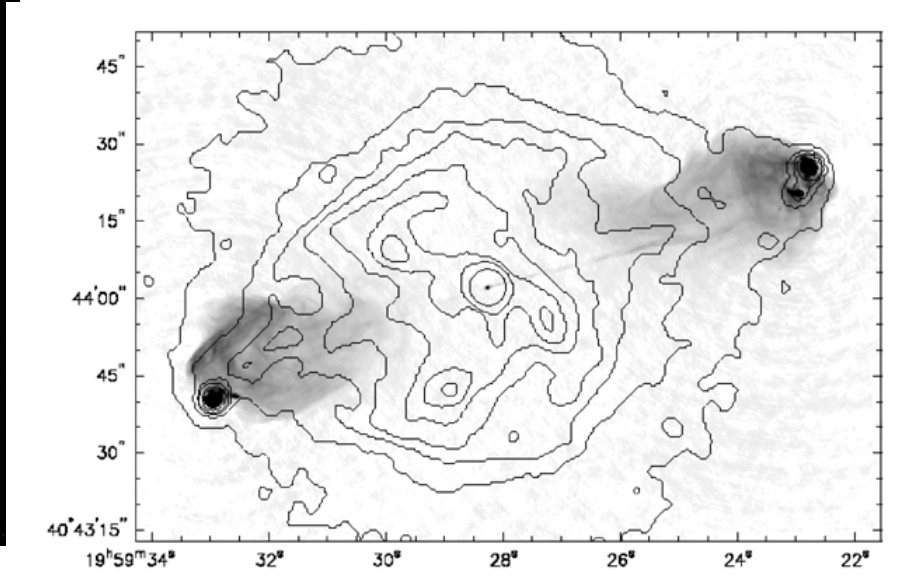
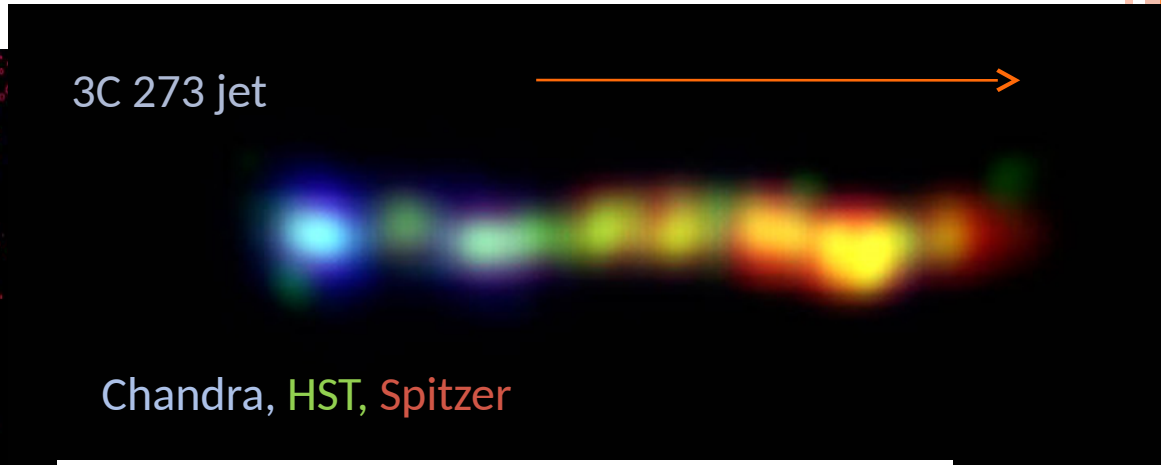




# RADIO GALAXIES

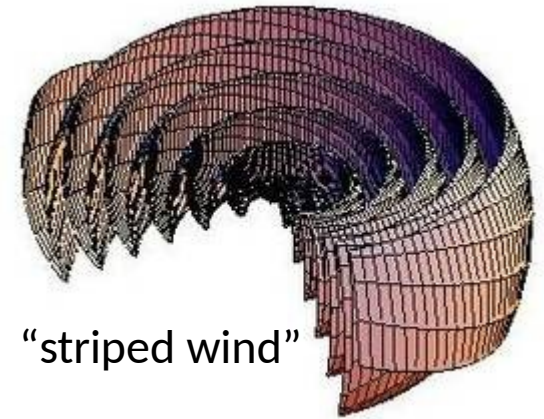
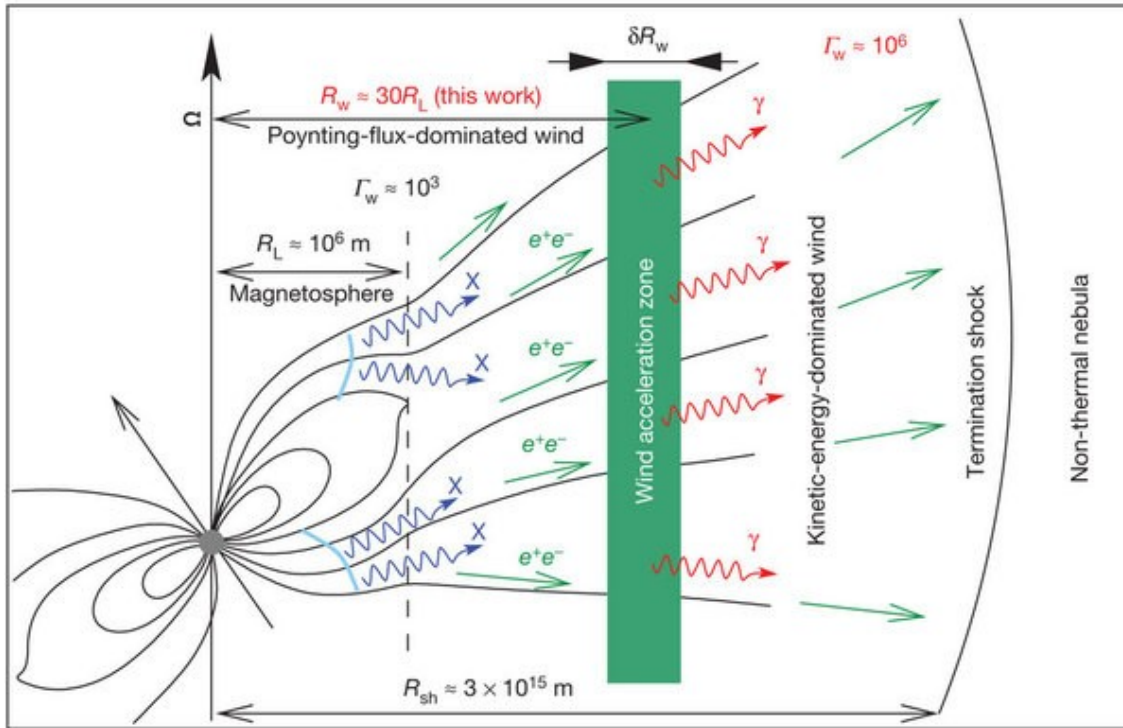


13 cm wavelength ATCA image by L. Saripalli,  
R. Subrahmanyam and Udaya Shankar

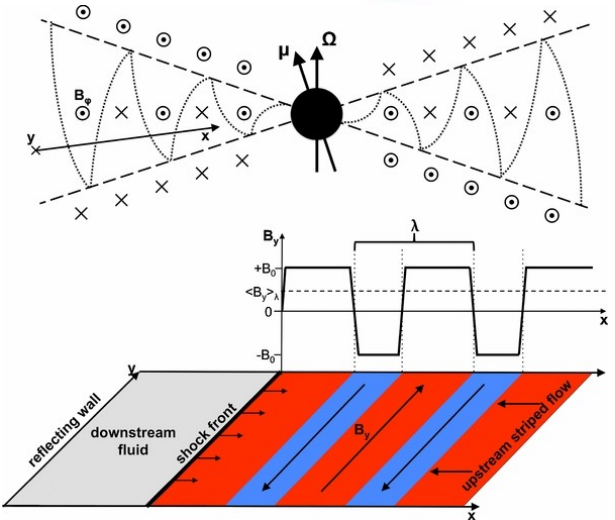


Cygnus A in X-ray (Chandra) and radio (VLA)

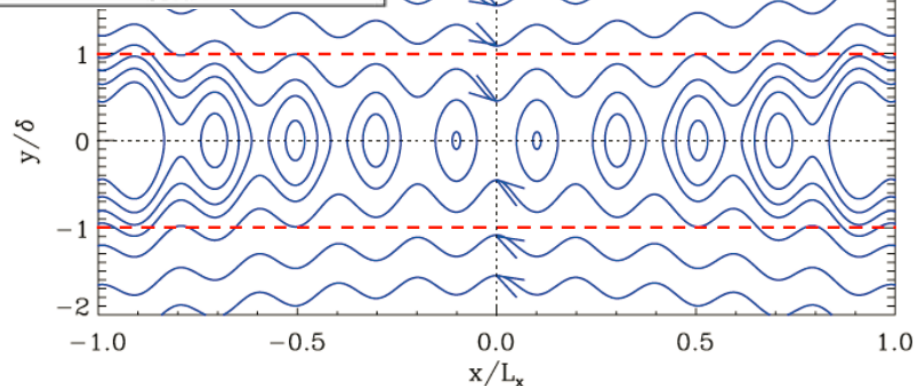
# PULSAR MAGNETIC FIELDS



"striped wind"



Magnetic reconnection has been proposed as an explanation for fast  $\gamma$ -ray flares in Crab Nebula



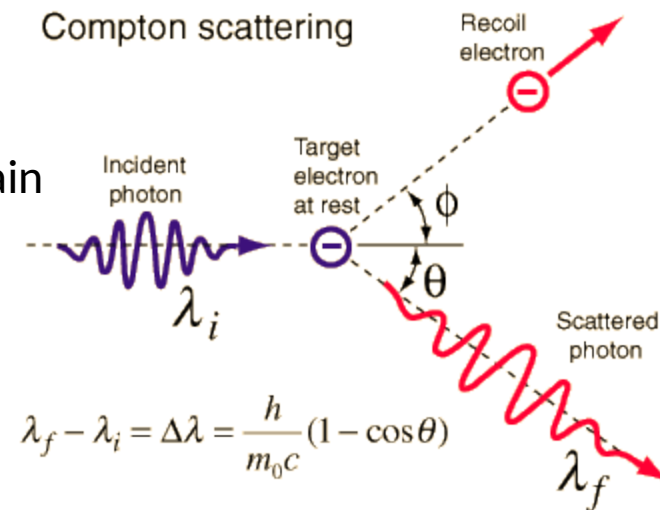
# PHOTONS AND NEUTRINOS

- High-energy photons and neutrinos are **secondary particles** produced by interactions of high-energy primaries.
  - production mechanisms:
  - inverse Compton scattering (photons only)
    - Low-energy photon backscatters off high-energy electron.

In electron rest frame we have  
 $\Delta\lambda = h(1 - \cos \theta) / mc^2$ .

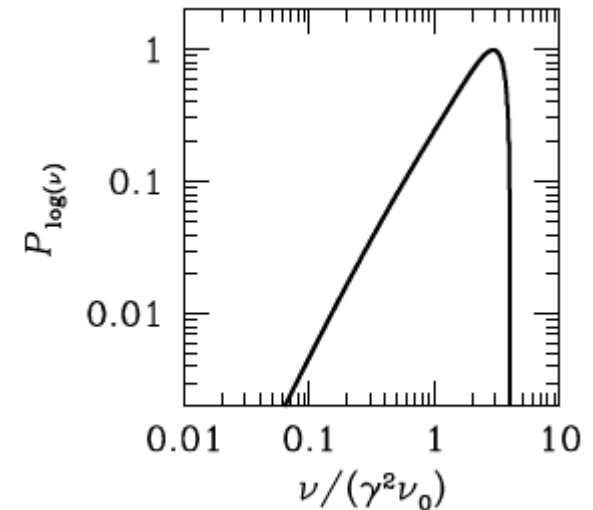
In lab frame, maximum energy gain occurs in head-on collision:  
 $v \approx 4\gamma^2 v_0$

Because of relativistic aberration, spectrum is sharply peaked near maximum



# PHOTONS AND NEUTRINOS

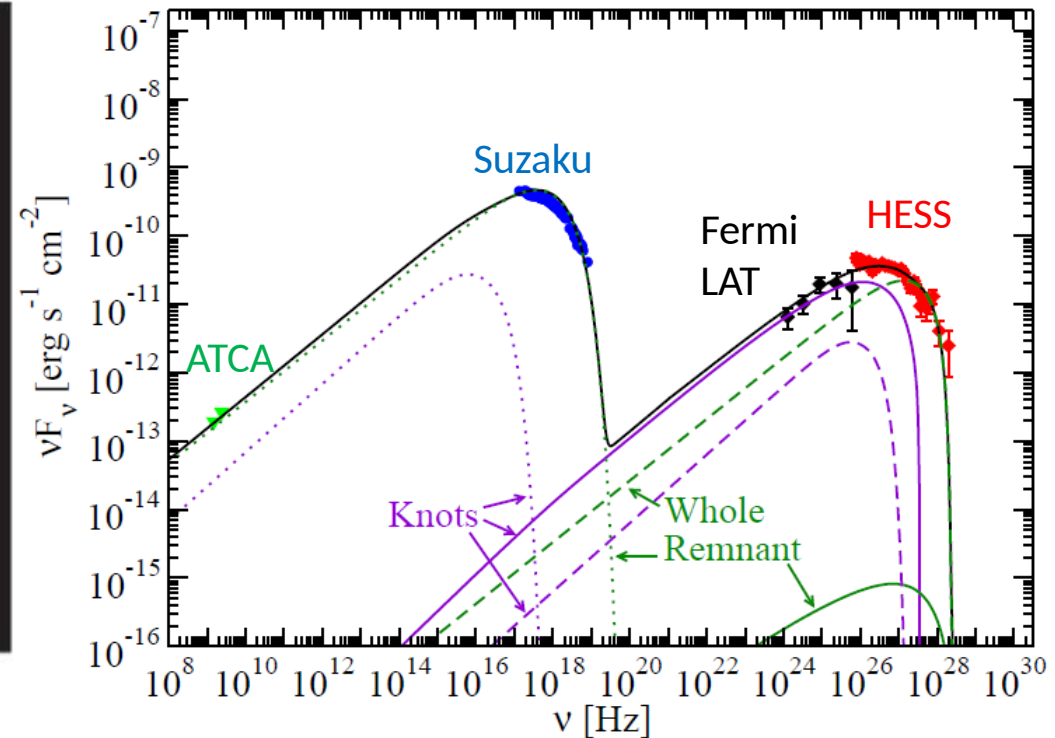
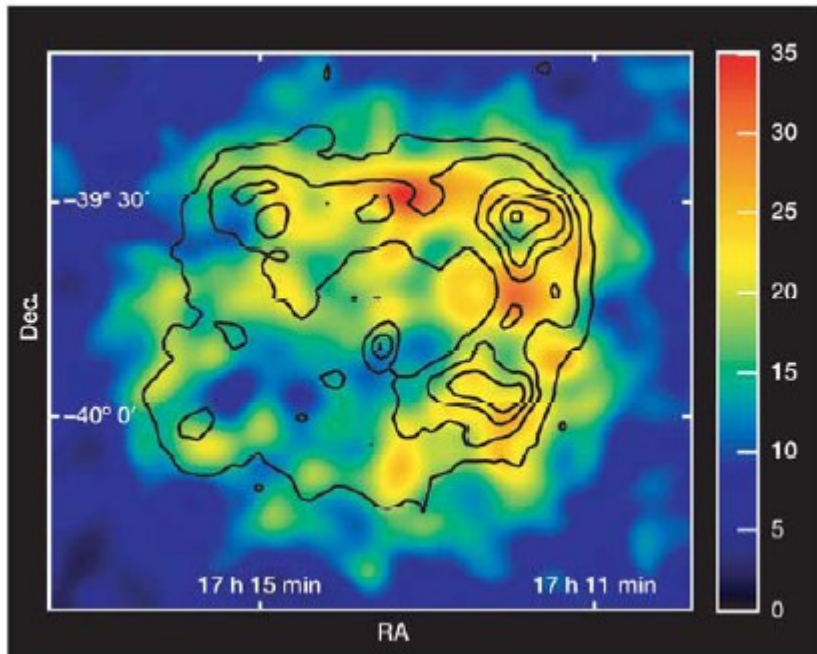
- inverse Compton scattering (continued)
  - Plot shows calculated spectrum for monoenergetic photons and electrons.
  - Plenty of potential sources of low-energy photons to be upscattered:
    - synchrotron radiation produced by the same population of fast electrons (**synchrotron-self-Compton, SSC**)
    - cosmic microwave background
    - optical photons from source
  - For real objects, need to integrate over power-law spectrum of electrons and spectrum of photon source



# PHOTONS AND NEUTRINOS

- High-energy photons and neutrinos are **secondary particles** produced by interactions of high-energy primaries.
  - production mechanisms:
    - pion decay (photons and neutrinos)
      - pions produced by high-energy proton colliding with either matter or photons (**pion photoproduction**)
      - neutral pions decay to  $\gamma\gamma$ , charged to  $\mu\nu_\mu$ 
        - mechanism produces both high-energy  $\gamma$ -rays and neutrinos
- Both mechanisms need population of relativistic charged particles
  - electrons for IC, protons for pion decay
- Unclear which dominates for observed TeV  $\gamma$ -ray sources

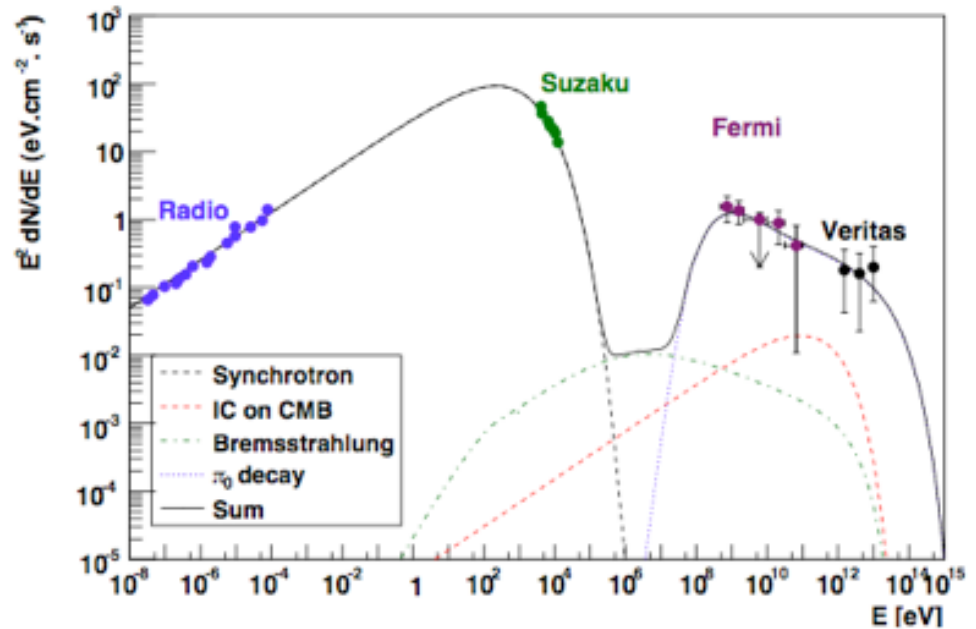
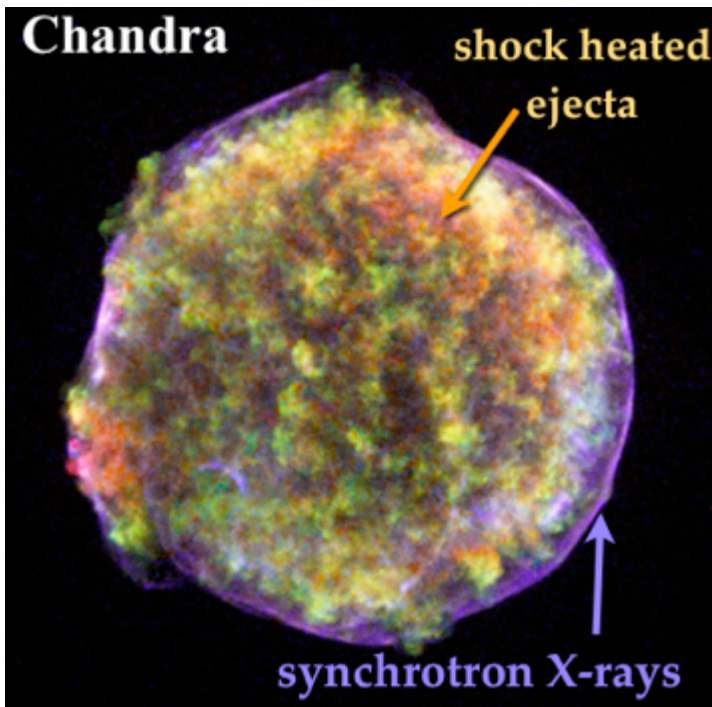
# SPECTRUM OF SUPERNOVA REMNANT RXJ 1713.7-3946



Spectrum is consistent with high-energy electrons only: synchrotron radiation (radio → x-ray) plus inverse Compton effect (γ-rays)

Expect this SNR **not** to produce high-energy neutrinos

# SPECTRUM OF SN1572 (TYCHO'S SN)

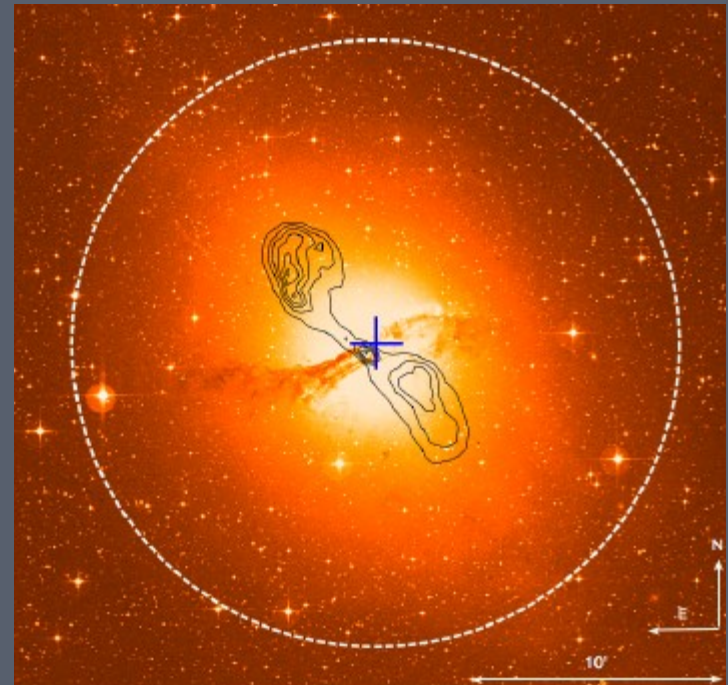


Spectrum seems to prefer  $\pi^0$  decay—shape wrong for IC  
**This SNR should produce high-energy neutrinos**

# ACCELERATION: SUMMARY

- Observations made in high-energy astroparticle physics require that charged particles be accelerated to very high energies ( $\sim 10^{20}$  eV)
- Likely candidate is diffusive shock acceleration
  - requirement of shocks associated with magnetic fields found in many astrophysical objects, especially supernova remnants and AGN
  - synchrotron radiation from these objects direct evidence for population of fast electrons
  - much less evidence for presence of relativistic hadrons, but there must be some somewhere since we observe them in cosmic rays!
- TeV  $\gamma$ -rays can be produced by fast electrons using inverse Compton scattering, or by fast protons from  $\pi^0$  decay
  - latter will also make TeV neutrinos, not yet observed





# HIGH ENERGY ASTROPARTICLE PHYSICS

Acceleration Mechanisms

Sources

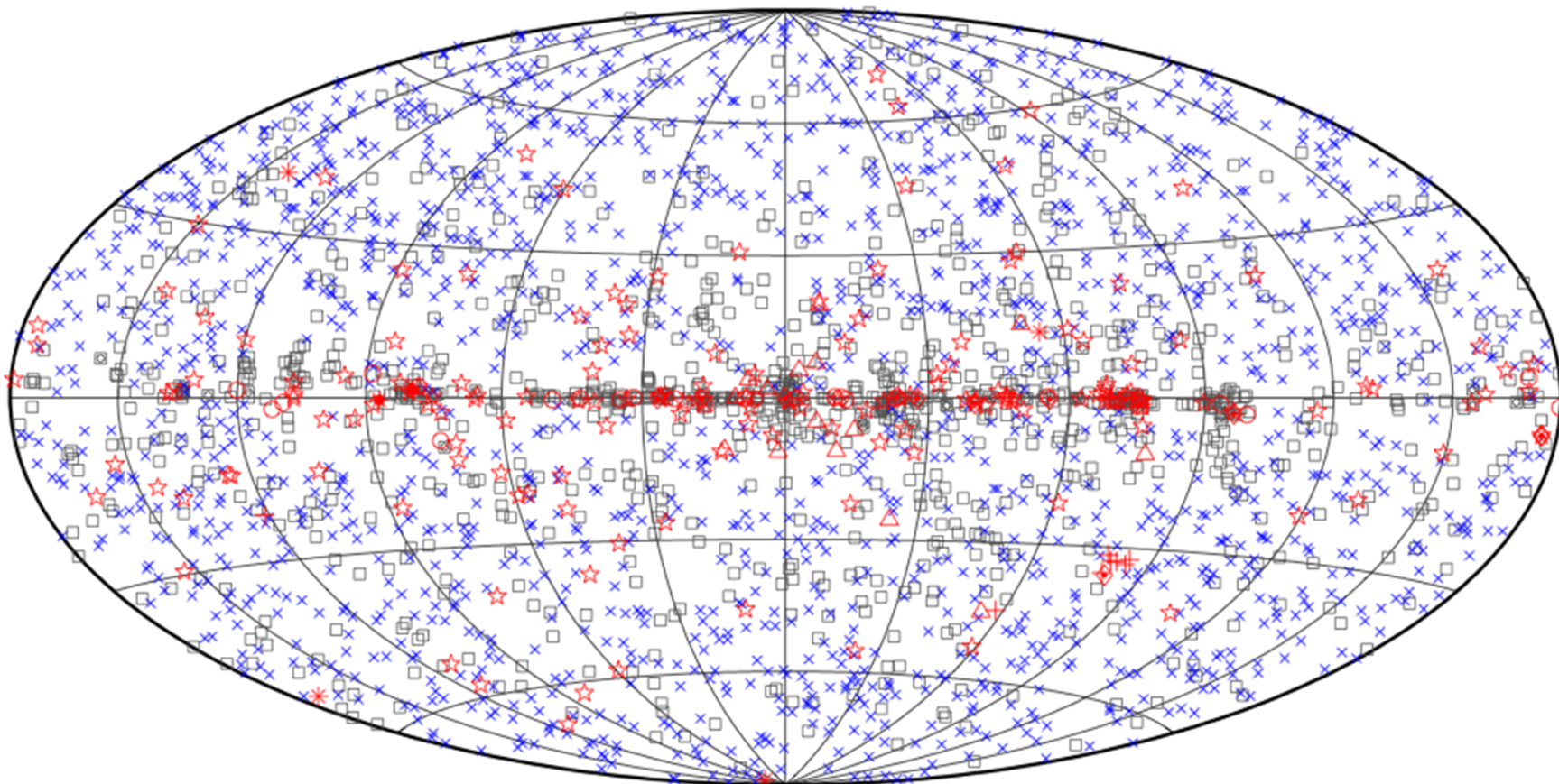
Detection

25

# GAMMA-RAY ASTRONOMY

- Well-established branch of high-energy astrophysics
  - most work done at modest energies (few 10s of MeV)
    - some, e.g. EGRET, out to few 10s of GeV
  - this is not usually regarded as astroparticle physics
    - though EGRET catalogue sometimes used as list of candidates for, e.g., neutrino point source searches
- Atmosphere is not transparent to gamma rays
  - low and medium energy  $\gamma$ -ray astronomy is space-based
    - CGRO, SWIFT, GLAST, INTEGRAL, etc.
  - space platforms not suitable for TeV  $\gamma$ -ray astronomy
    - too small!
  - therefore very high energy  $\gamma$ -ray astronomy is a ground-based activity
    - detect shower produced as  $\gamma$ -ray enters atmosphere

# FERMI-LAT 3<sup>RD</sup> POINT SOURCE CATALOGUE

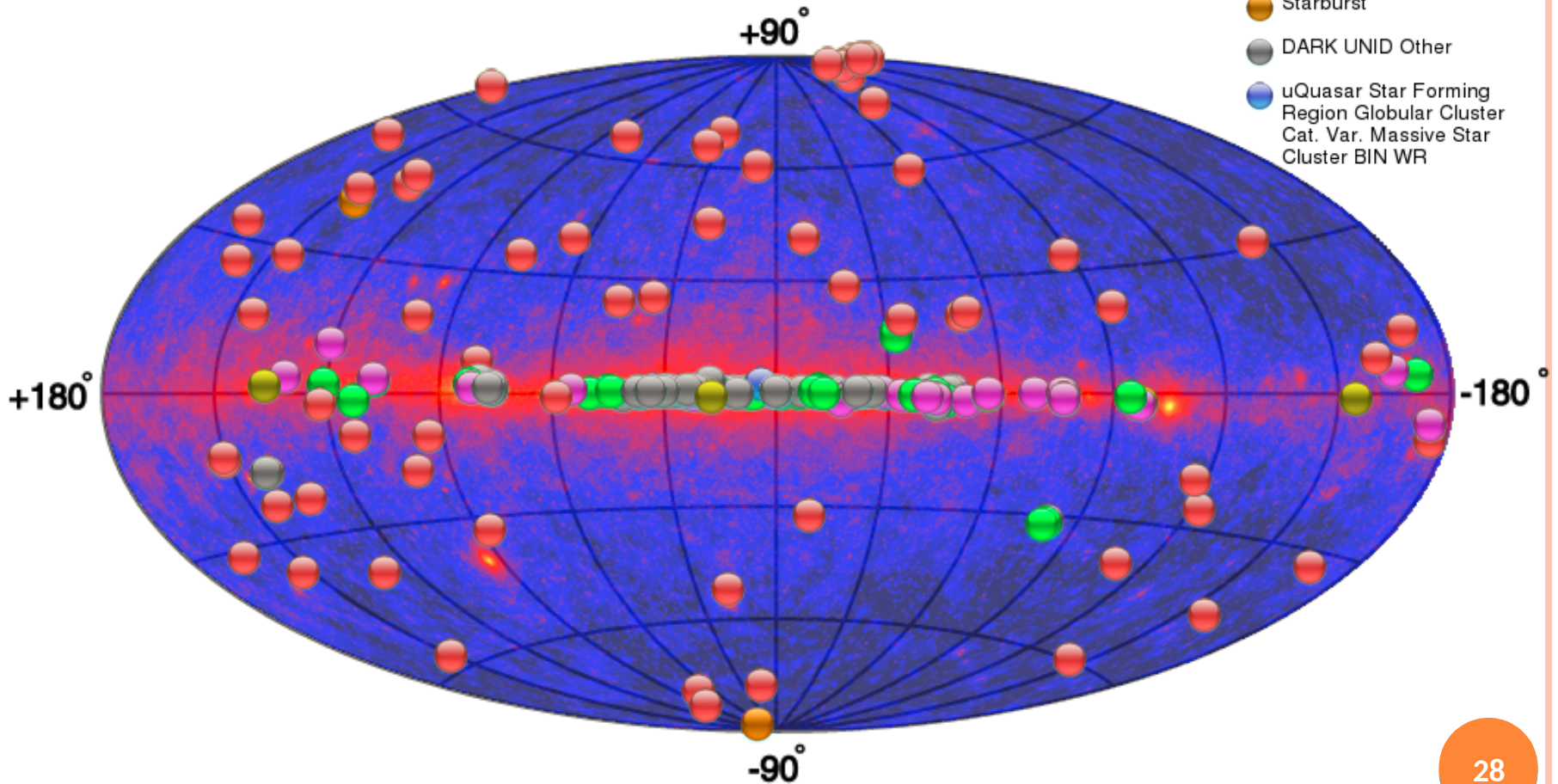


□ No association	⊠ Possible association with SNR or PWN	× AGN
☆ Pulsar	△ Globular cluster	* Starburst Galaxy
⊠ Binary	+ Galaxy	◇ PWN
★ Star-forming region	○ SNR	★ Nova

# TEV GAMMA-RAY SKY

## Source Types

- PWN
- XRB PSR Gamma BIN
- HBL IBL FRI FSRQ LBL  
AGN (unknown type)
- Shell SNR/Molec. Cloud
- Starburst
- DARK UNID Other
- uQuasar Star Forming  
Region Globular Cluster  
Cat. Var. Massive Star  
Cluster BIN WR

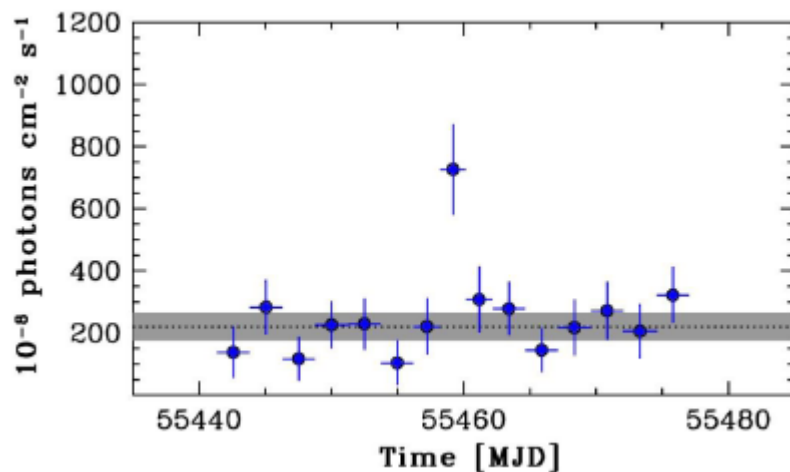
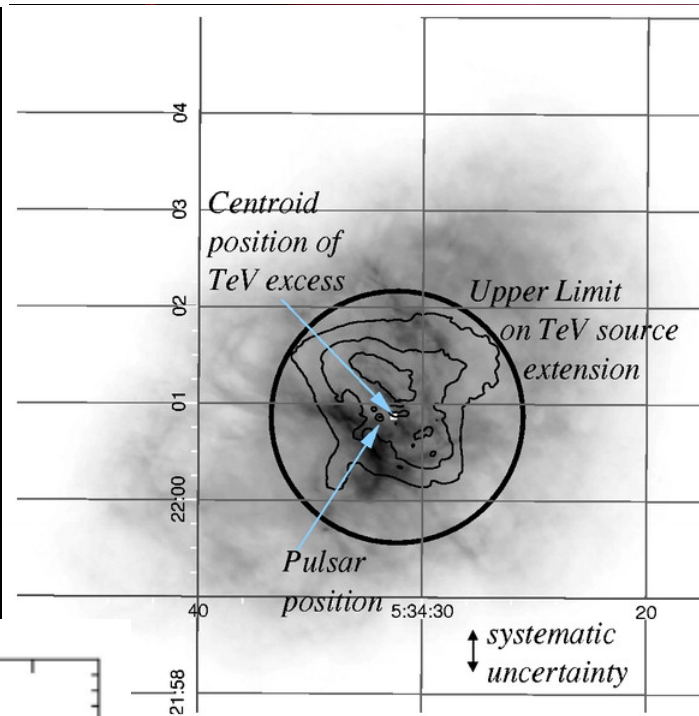
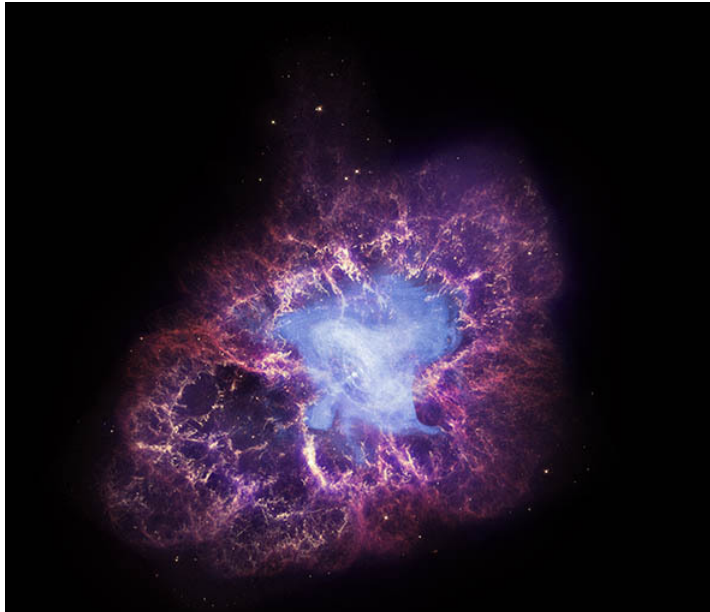


from TeVCat, <http://tevcat.uchicago.edu/>

# GAMMA-RAY SOURCES

- From maps, clearly mixed Galactic and extragalactic
  - extragalactic sources of TeV  $\gamma$ s are mostly blazars (a class of AGN where we are looking down the jet)
  - identified Galactic sources are SN-related (supernova remnants and pulsar wind nebulae), plus a few binary compact objects
  - dark/unidentified objects associated with Galactic plane, therefore presumably Galactic
- SNRs and AGN are suitable environments for particle acceleration
  - shocks, magnetic fields, synchrotron emission

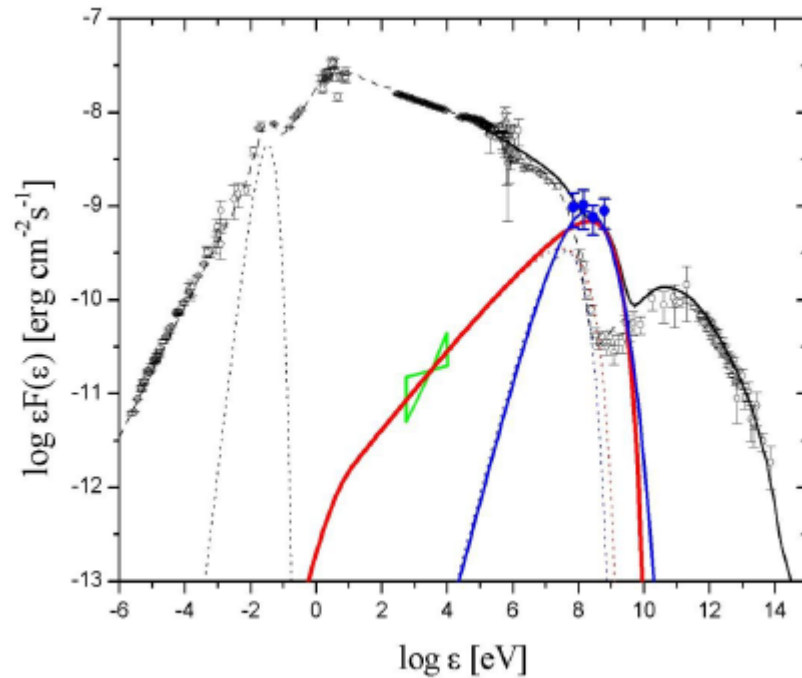
# PULSAR WIND NEBULA: THE CRAB



TeV gamma-ray signal as observed by HEGRA (Aharonian et al. 2004)

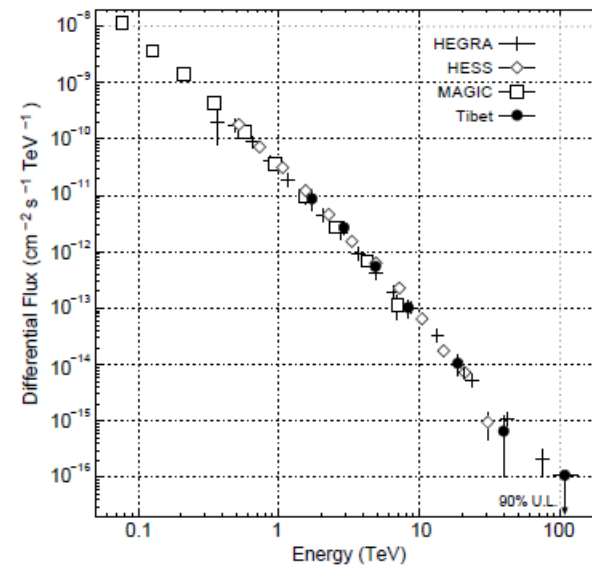
Medium-energy  $\gamma$ -ray flare observed by AGILE (Tavani et al. 2011)

# PULSAR WIND NEBULA: THE CRAB

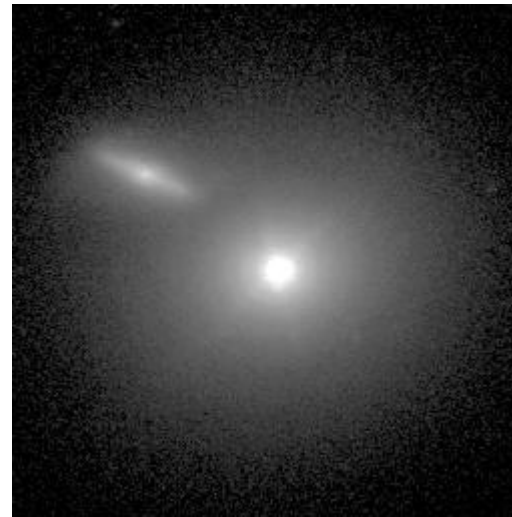
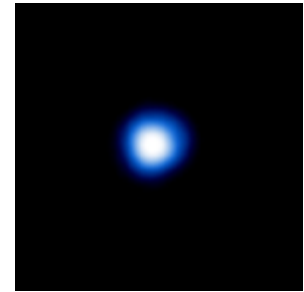


Crab spectral energy distribution showing September 2010 flare

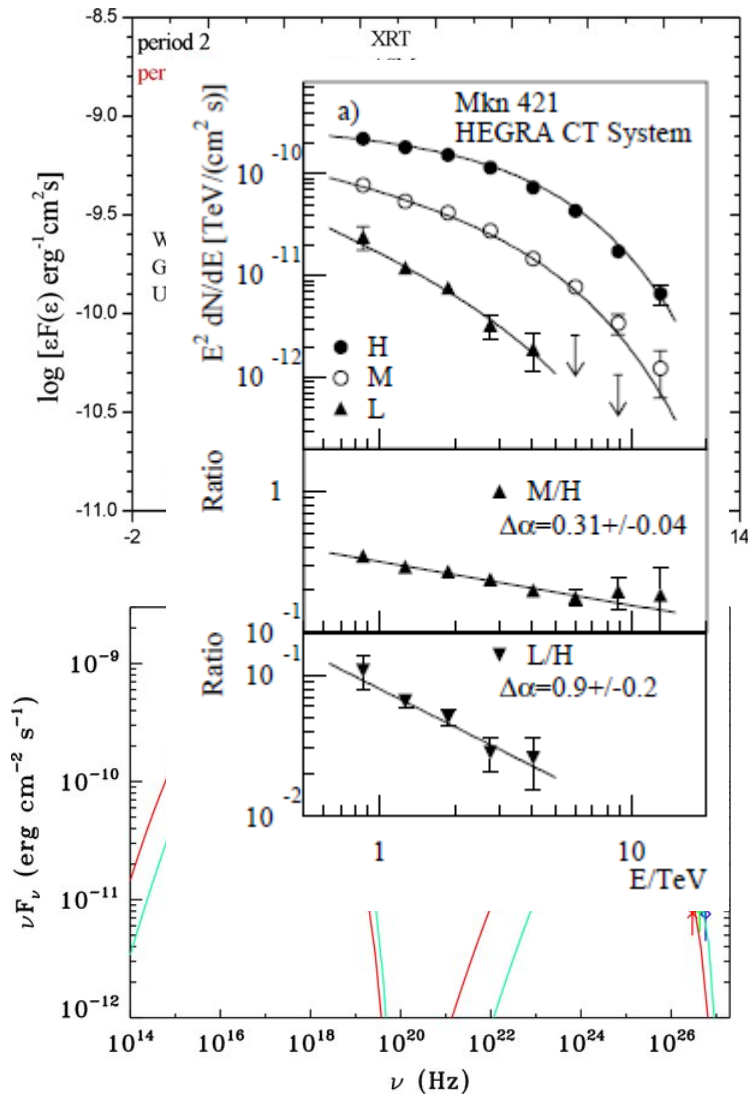
TeV energy spectrum



# BLAZAR: MKN 421



Mkn 421 and companion galaxy.  
Aimo Sillanpaa,  
Nordic Optical  
Telescope.  
(Above: very boring  
X-ray image by  
Chandra)

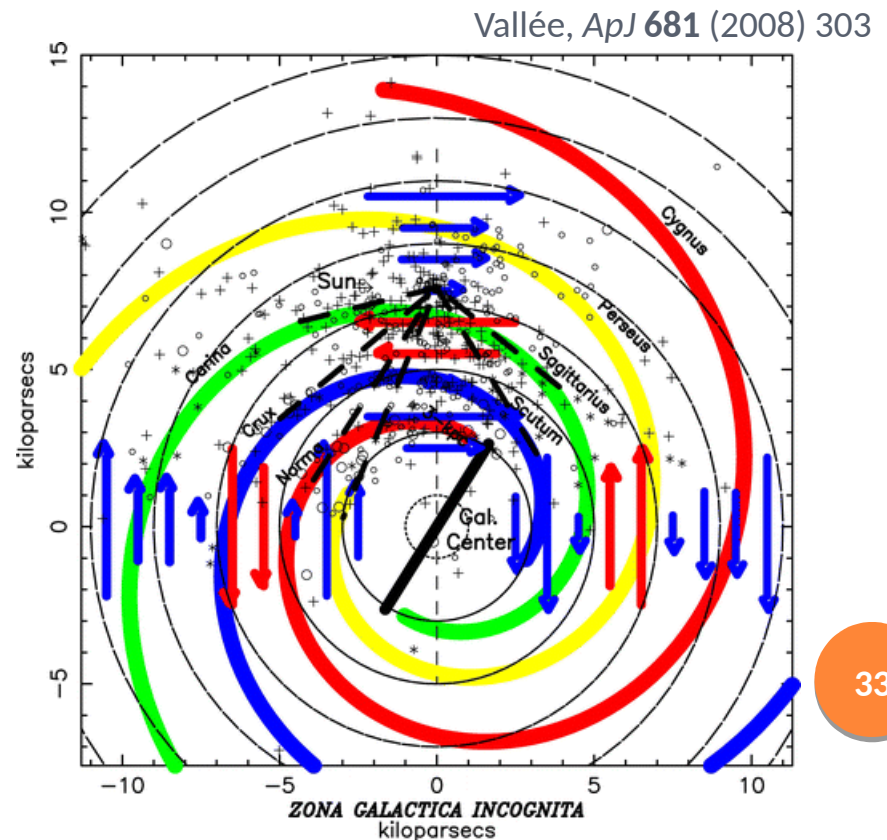


Highly variable (typical of blazars)  
Spectrum varies according to state



# COSMIC RAY SOURCES

- Observations of cosmic rays now span about 100 years
- However, sources are not definitively established
  - Galaxy has a complex magnetic field which effectively scrambles direction of charged particles
  - Gamma ray luminosity requires fast particles, but maybe only electrons
    - therefore, observation of  $\gamma$ -rays does not definitively establish source as a cosmic ray factory
  - Neutrino luminosity *does* require fast hadrons
    - but no neutrino point sources yet



# COSMIC RAY SOURCES

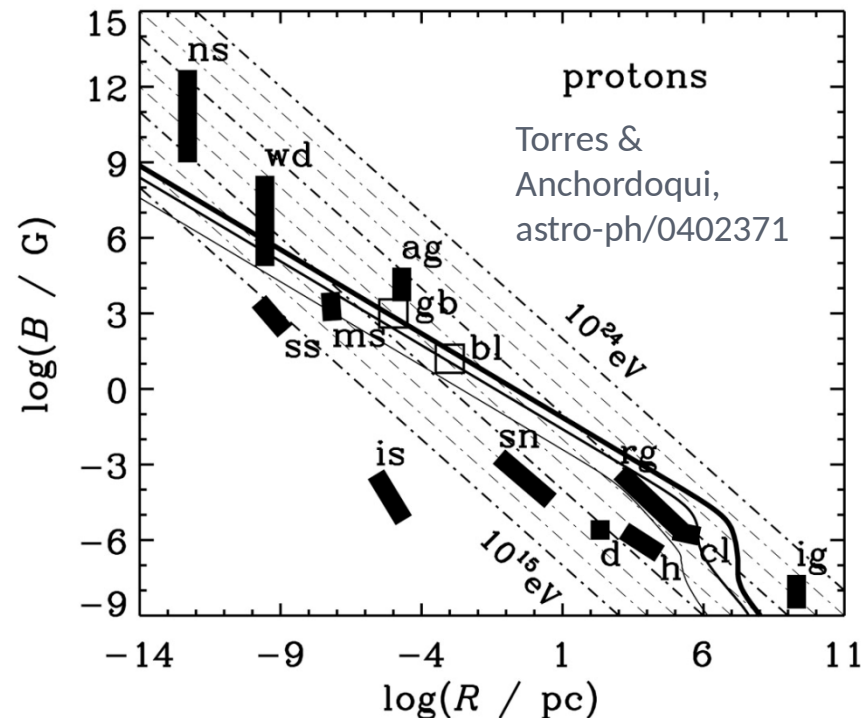
- General dimensional analysis suggests

$$E_{\max} [\text{GeV}] \approx 0.03 \eta Z R[\text{km}] B[\text{G}] \text{ (Hillas condition)}$$

- basically requires particles to remain confined in accelerating region
- quite difficult to satisfy for highest-energy CRs

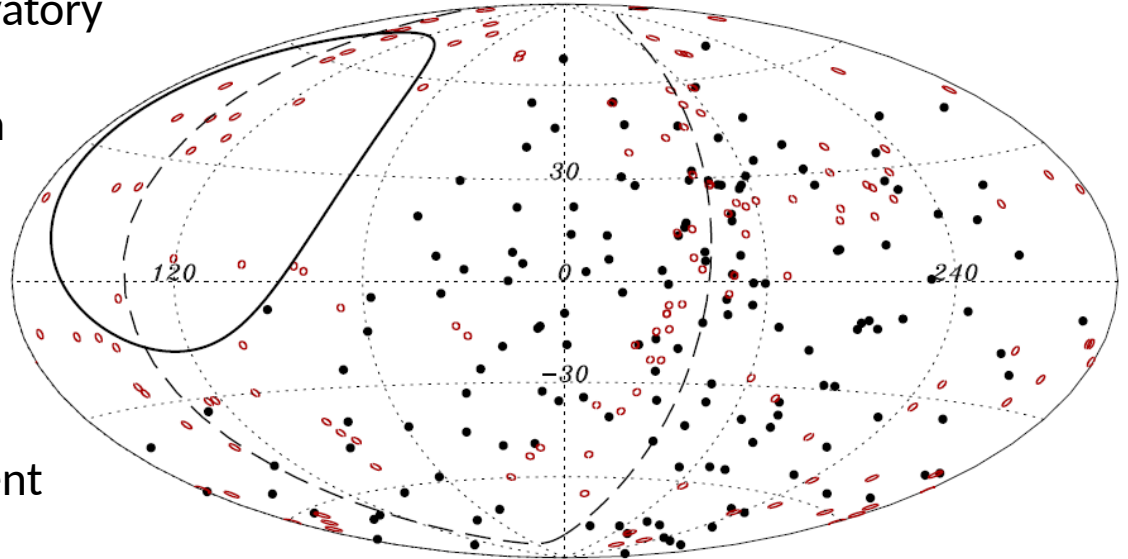
- plot shows

neutron stars  
white dwarfs  
sunspots  
magnetic stars  
active galactic nuclei  
interstellar space  
supernova remnants  
radio galaxy lobes  
disc and halo of Galaxy  
galaxy clusters  
intergalactic medium  
gamma-ray bursts  
blazars  
shock-wave velocities



# COSMIC RAY SOURCES

- Amount of magnetic deflection decreases with increasing energy
  - highest energy events might remember where they came from...
    - Pierre Auger Observatory initially observed correlation between arrival directions of CRs above 55 EeV and a catalogue of AGN
    - however, with more data significance went down (not up!)
  - currently (2018), no statistically significant correlation with galaxy surveys, nearby AGN/radio galaxies, or Centaurus A

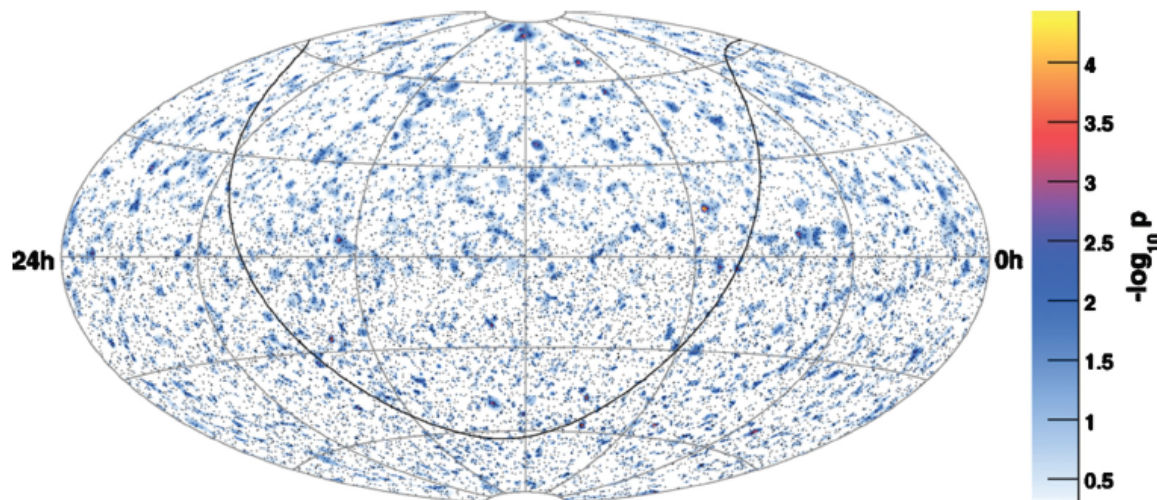


# COSMIC RAY SOURCES: SUMMARY

- CRs up to about  $10^{15}$  eV or so assumed to come from SNRs
  - but they don't provide good directional information, so this remains to be confirmed
    - neutrino observations, or definitive proof that some SNR  $\gamma$ -rays originate from  $\pi^0$  decay
- Ultra-high energy CRs may come from local AGN
  - however, arrival directions do not show significant correlation
    - this is not unexpected if UHEC CRs are heavy nuclei, as higher charge implies more deflection by magnetic fields
    - composition of UHE CRs is currently unclear, as experiments disagree
  - note that intergalactic space is not completely transparent to UHECRs—see later—so *distant* AGN (beyond  $\sim 100$  Mpc) are assumed not to contribute

# NEUTRINO SOURCES

- Known sources of low-energy (0.1–100 MeV) neutrinos:
  - Sun
  - SN 1987A
- Known point sources of high-energy neutrinos:
  - None (some events, but no significant clusters)
    - to be fair, this is as expected for current exposure times



IceCube search for point sources. No significant excess found yet.

## SOURCES: SUMMARY

- TeV gamma rays are observed from a variety of sources, primarily SNRs within the Galaxy and blazars outside
  - clear evidence of charged particles accelerated to very high energies, but whether electrons or hadrons is unclear
- Cosmic ray sources are difficult to pinpoint because CRs are strongly deflected by the Galactic magnetic field
  - SNRs suspected to be source of CRs at  $<10^{15}$  eV
  - local AGN *may* be responsible for highest energy CRs
- Observations of high energy neutrinos would solve the mystery, but no clear point sources yet
  - situation should improve after a few more years of IceCube running