

# LHCb RICH Proposal for Upgrade Ib and II

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Link to the UPG2 RICH meeting: <https://indico.cern.ch/event/881465/>

Introduction

The RICH System in Upgrade II

Strategy towards Upgrade II

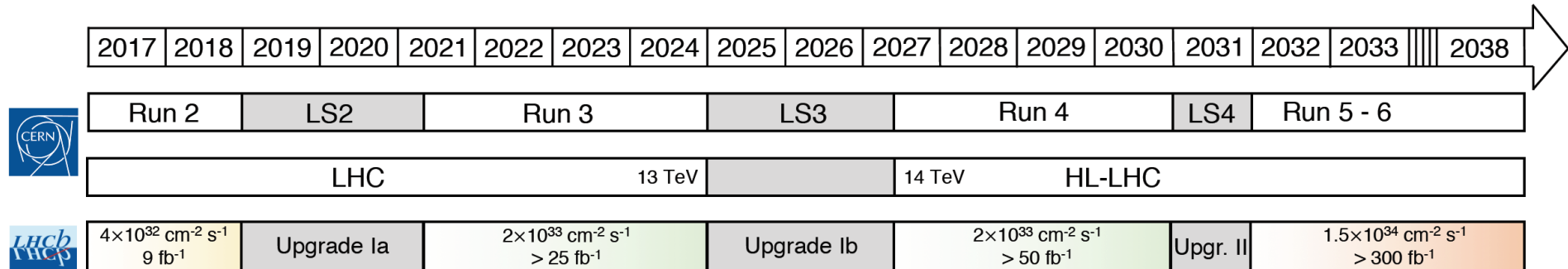
Upgrade Ib

Conclusion

Spares

\* On behalf of many collaborators from the LHCb RICH Team

# Evolution of the LHCb RICH System for Phase II



In the LHCb roadmap ... :

**Upg Ia**    2021 sees the first upgrade of the RICHeS and LHCb (**x10 10<sup>32</sup> Lumi**);

**Upg Ib**    (LS3, between 2025 – 2027\* included) 2028 sees a **consolidation**;

**Upg II**    (LS4, 2031) 2032, a major **possible** upgrade (HL-LHC, **x100 10<sup>32</sup> Lumi**).

The main question to answer is still: **what do we do in Upg Ib and what in Upg II?**

\* This corresponds in time to ATLAS and CMS Phase II upgrade

# Proposal for Upgrade II

Run 5, 25 ns, up to  $1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ , 2032 onwards

# Strategy

- Keep peak **Occupancies** (time and space)  $< 30\%$
- Improve Single Photon Cherenkov Angle **precision** to  $< 0.5$  mrad
- Provide the system with **timing** capabilities (**event gating and photon ToD\***)
- Provide the frontend electronics with a **2-bits-like** logic
- Profit as much as possible from the unique property of our RICHeS, that is the **common arrival time of photons**
- Provide RICH1 and RICH2 with **green gases\*\*** (or a leak-less system...)

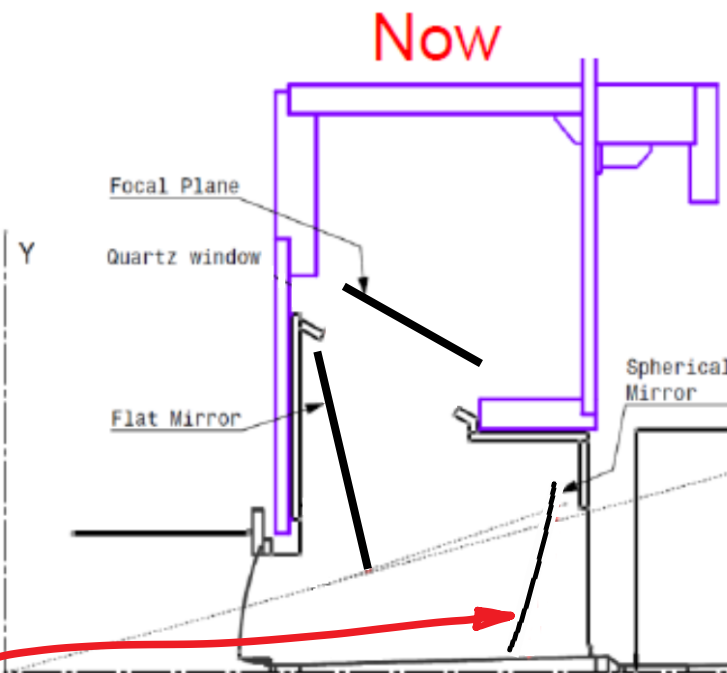
\*ToD = Time of Detection

\*\* This is becoming an extremely important issue. New options open up if we are sensitive in the green ....

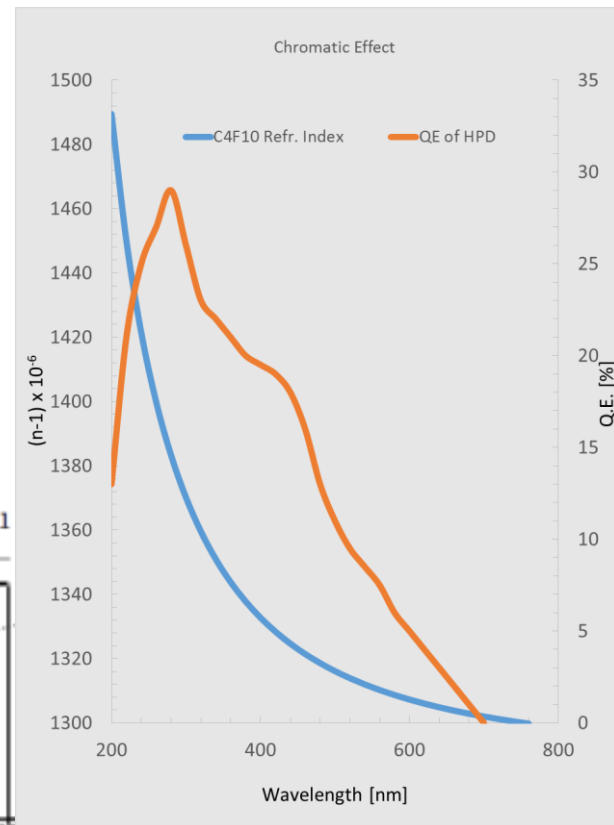
## Optical Performance and Photon Yields

Lumi =  $2 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$ ; Occupancy < 30%

Detector Version	RICH-1 Current (HPD)
Avr. Phel. Yield	30
Single Photon Errors [mrad]	
Chromatic	0.84
Pixel	0.9
Emission Point	0.8
Track resolution	0.4
Overall	1.52



depends on spherical mirror tilt and focal length

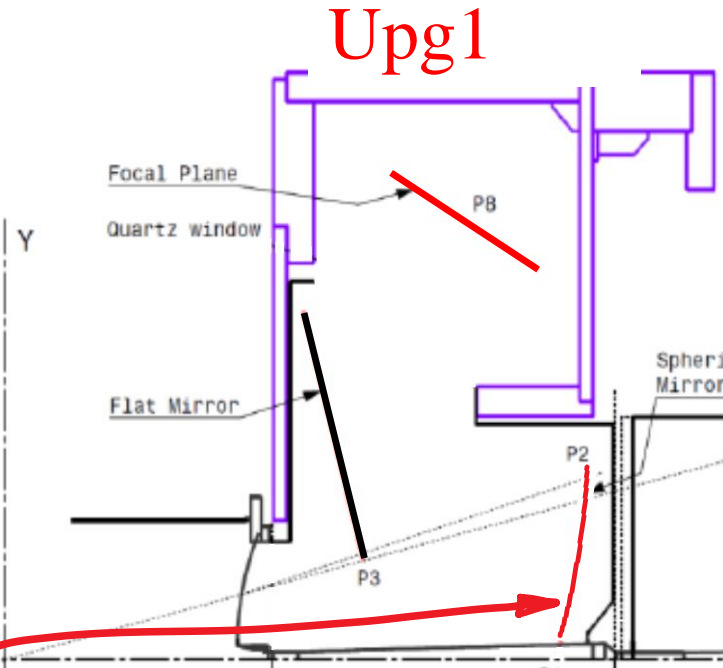


Chromatic depends on the overlap between dispersion and photodetector QE

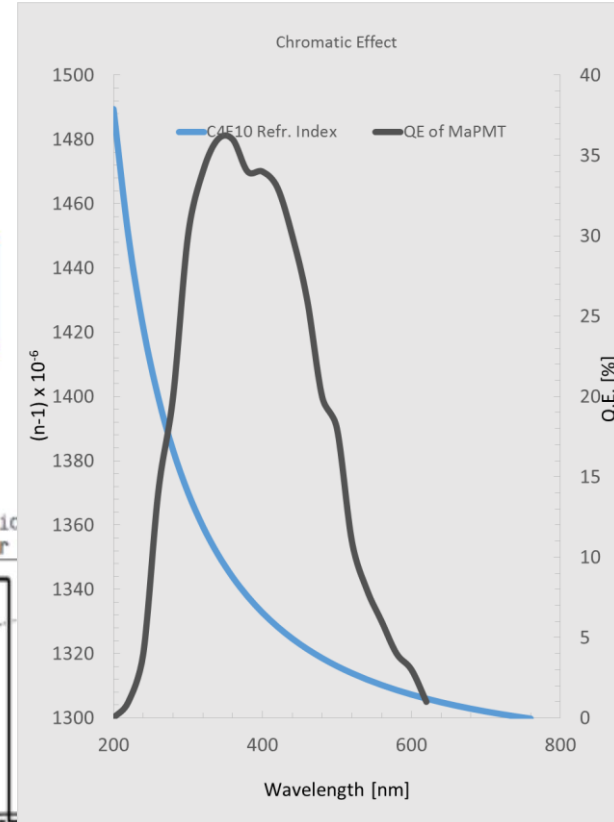
# Optical Performance and Photon Yields

Lumi  $\leq 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ ; Occupancy  $< 30\%$

Detector Version	RICH-1 Upg1
Avr. Phel. Yield	40
Single Photon Errors [mrad]	
Chromatic	0.58
Pixel	0.44
Emission Point	0.37
Track resolution	0.4
Overall	0.9



depends on spherical mirror tilt and focal length

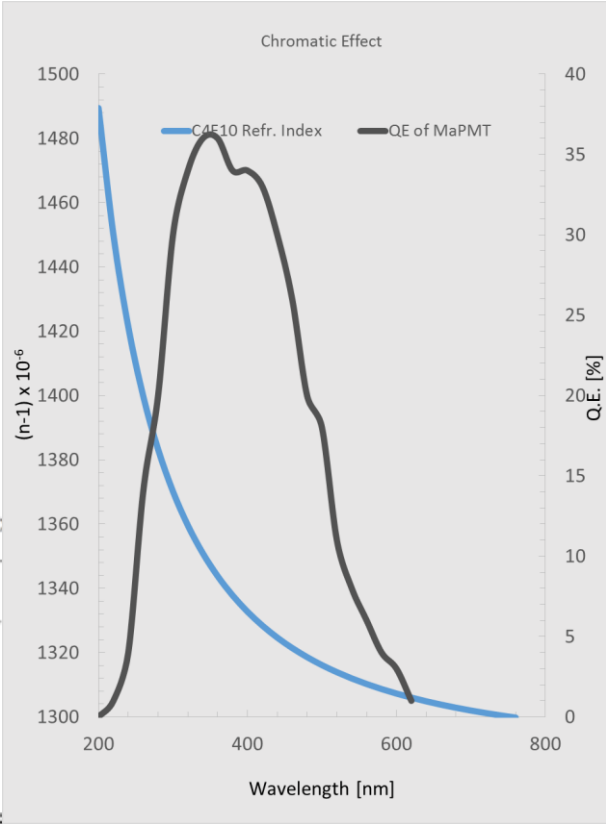
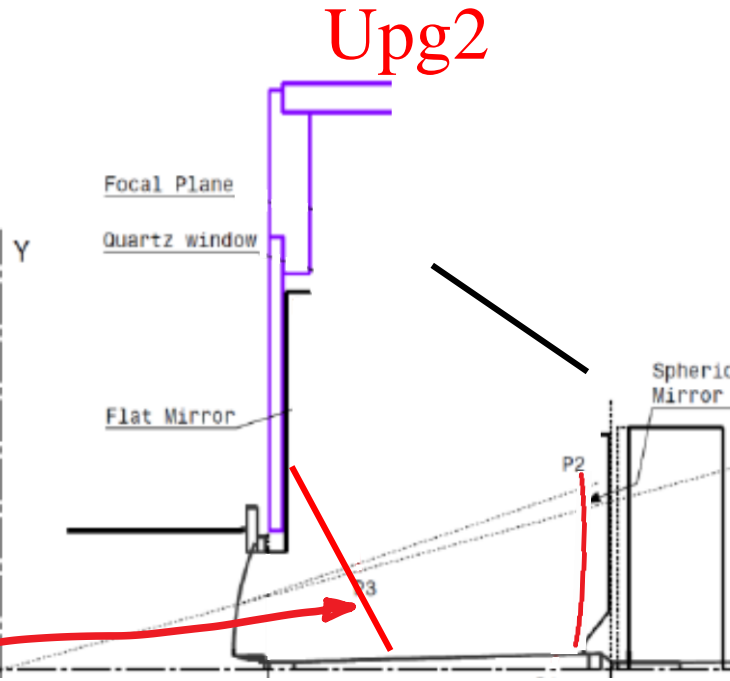


Chromatic depends on the overlap between dispersion and photodet. QE

# Optical Performance and Photon Yields

Lumi =  $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  ; Occupancy  $> 100\%$

Detector Version	RICH-1 Upg2
Avr. Phel. Yield	
Single Photon Errors [mrad]	
Chromatic	0.58
Pixel	0.44
Emission Point	0.1
Track resolution	?
Overall	0.7



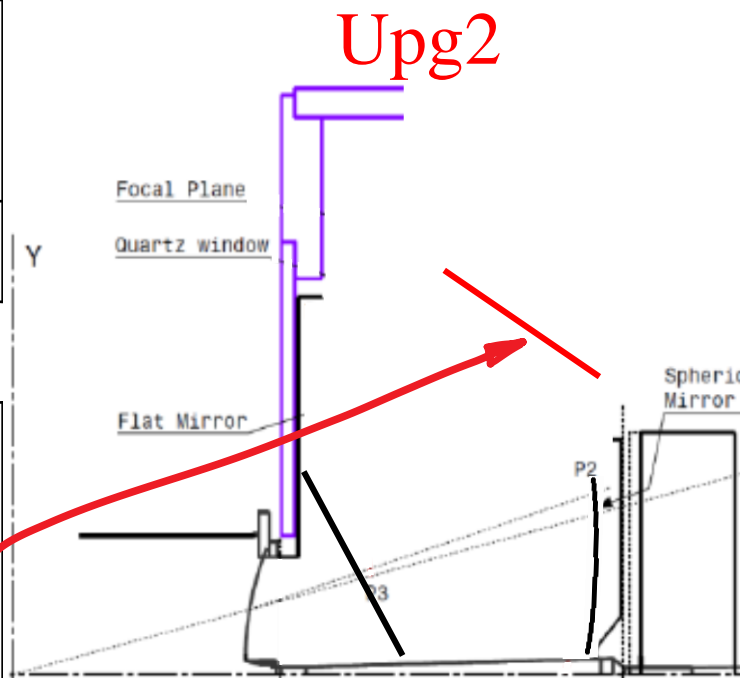
Chromatic depends on the overlap between dispersion and photodet. QE

Use lightweight flat mirror in the acceptance (reduce aberrations)

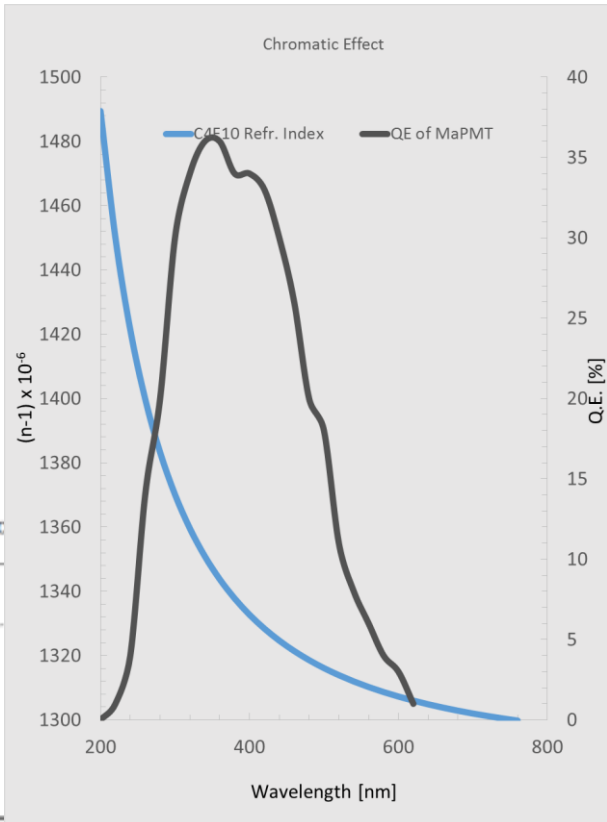
# Optical Performance and Photon Yields

Lumi =  $10^{34}$  cm $^{-2}$  s $^{-1}$ ; Occupancy < 30%

Detector Version	RICH-1 Upg2
Avr. Phel. Yield	
Single Photon Errors [mrad]	
Chromatic	0.58
Pixel	<span style="border: 1px solid red; border-radius: 50%; padding: 2px;">0.15</span>
Emission Point	0.1
Track resolution	?
Overall	0.6



Reduce pixel size to ~1mm



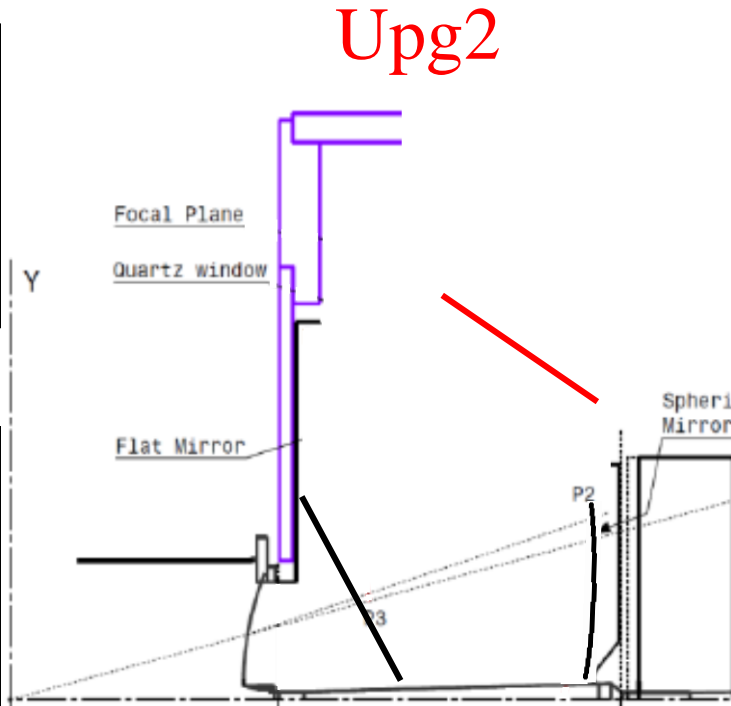
Chromatic depends on the overlap between dispersion and photodet. QE



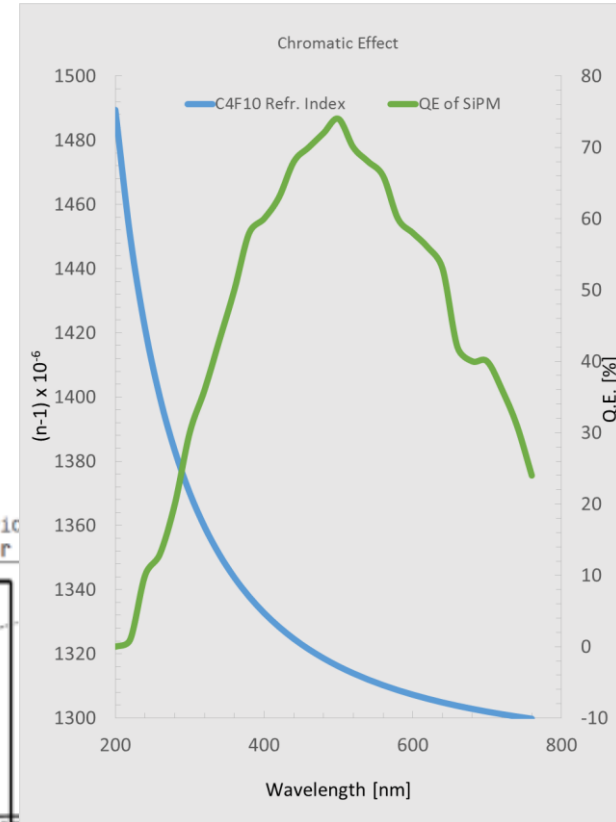
# Optical Performance and Photon Yields

Lumi =  $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ; Occupancy < 30%

Detector Version	RICH-1 Upg2
Avr. Phel. Yield	60 - 40
Single Photon Errors [mrad]	
Chromatic	0.24
Pixel	0.15
Emission Point	0.1
Track resolution	?
Overall	0.3



Reduce chromatic by choosing a photodetector with a “green-shifted” QE curve (and filter the shorter wavelengths)



Chromatic depends on the overlap between dispersion and photodet. QE (this example of a SiPM QE is rather from literature than realistic, QE between 50% and 60% would be closer to reality)

# Simulated Optical Performance and Photon Yields

For Upg2,  $\sigma_{\theta} \lesssim 0.5 \text{ mrad}$  (present  $\sim 1.6 \text{ mrad}$ )

Radiator	C <sub>4</sub> F <sub>10</sub>			CF <sub>4</sub>	
Detector Version	RICH-1 Current (HPD)	RICH-1 Upg1	RICH-1 Upg2	RICH-2 Upg1	RICH-2 Upg2
Avr. Ph.Electron Yield	25 (30)*	40 (rms=8)	40 - 30	22 (rms=5)	30 - 20
Single Photon Errors [mrad]					
Chromatic	0.84	0.58	0.24 – 0.18	0.31	~0.1
Pixel	0.9	0.44	0.15	0.20	0.07
Emission Point	0.8	0.37	0.1	0.27	0.05
Track resolution	0.4	?0.4?	?0.4?	?0.4?	?0.4?
Overall	1.52	0.9	0.5 (0.3 – 0.2)	0.60	0.42 (0.13)



\*Value from data (expected)

# Back-on-the –envelope performance improvement @ high momenta

	OLD	UPGRADE Ia	UPGRADE Ia	UPGRADE II	UPGRADE II
$p_{\max}$ [GeV/c]	HPD, $N_{\text{phel}}=17$ , $\text{CF}_4$ , $\sigma=0.67$	MaPMT, $N_{\text{phel}}=25$ , $\text{CF}_4$ , $\sigma=0.50$	MaPMT, $N_{\text{phel}}=22$ , $\text{CO}_2$ , $\sigma=0.50$	SiPM, $N_{\text{phel}}=25$ , $\text{CO}_2$ , $\sigma=0.2$	SiPM, $N_{\text{phel}}=25$ , $\text{CO}_2$ , $\sigma=0.13$
$n_{3\sigma k\pi}$	85	109	108	177	235
$n_{3\sigma kp}$	144	183	182	297	396
$n_{3\sigma p\pi}$	167	213	212	346	460

# The recipe 😊

## Improve **space and time resolutions**

- Increase granularity and/or provide a 2-bits-/double-discr-like readout electronics
- Provide the system with a ToT frontend ASIC followed by a TDC

## Improve **optical uncertainty**,

- by moving light-weight flat mirrors into the acceptance
- by further reducing mirror tilts

## Further reduce **chromatic uncertainty**

- by tuning the gas
- by further moving the photodetector sensitive region towards the green
- by increasing photodetector QE

## Work on new and specific **pattern recognition** algorithms

## Get rid of the magnetic shielding by using **B-insensitive photodetectors**

# We propose to get to Upg2 in two main Stages:

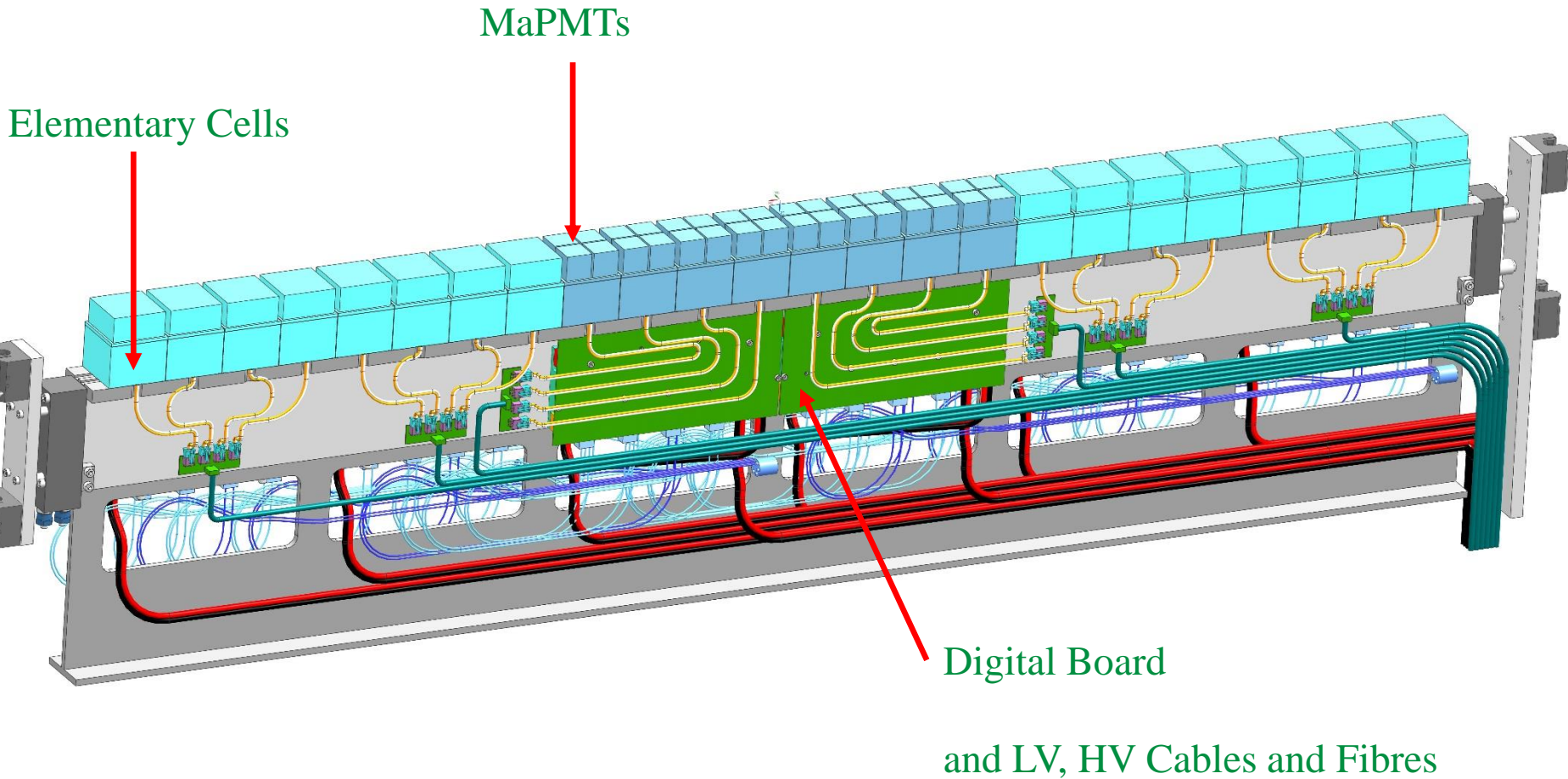
- -U1b:
  - Change of the complete electronics chain from the front-end to the digital board: the same electronics (or a close evolution of it) would be used in Upg2;
  - Leave untouched optics and mechanics systems, LV, HV systems, modularity and services;
  - Gas systems could also be improved (new green gases and/or leak-less systems).
- -U2:
  - Change everything else (see previous slides).

## -U1b:

- Change of the complete electronics chain from the front-end to the digital board: the same electronics (or a close evolution of it) would be used in Upg2;
- This new electronic chain would provide:
  - ns gating, 2-bits(?);
  - O10 ps time resolution;by applying a ToT readout ASIC, a TDC and a new Digital Board and Optical Links;
- Leave untouched optics and mechanics systems, LV, HV systems, modularity and services;
- Gas systems could also be improved (new green gases and/or leak-less systems).

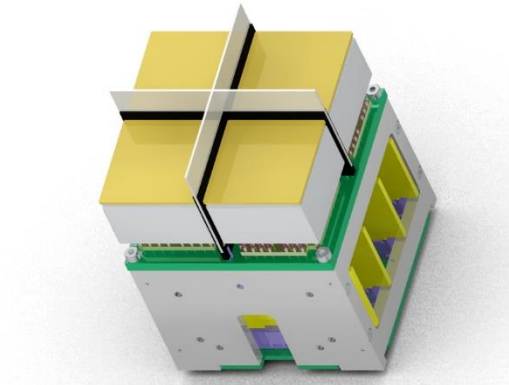
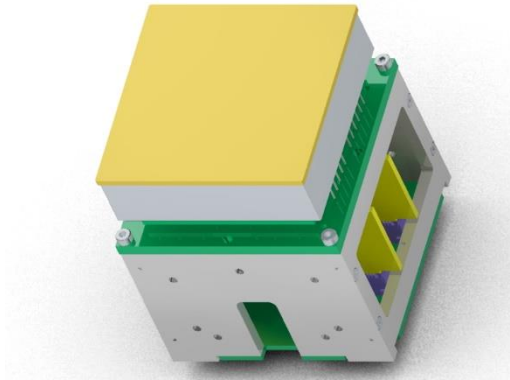
# Example

A column with all components and services is shown here



## Elementary Cells (H- and R- Type)

contain all the components needed to deliver our photonic images:  
from the MaPMTs,  
to the analogue-to-binary front-end electronics.



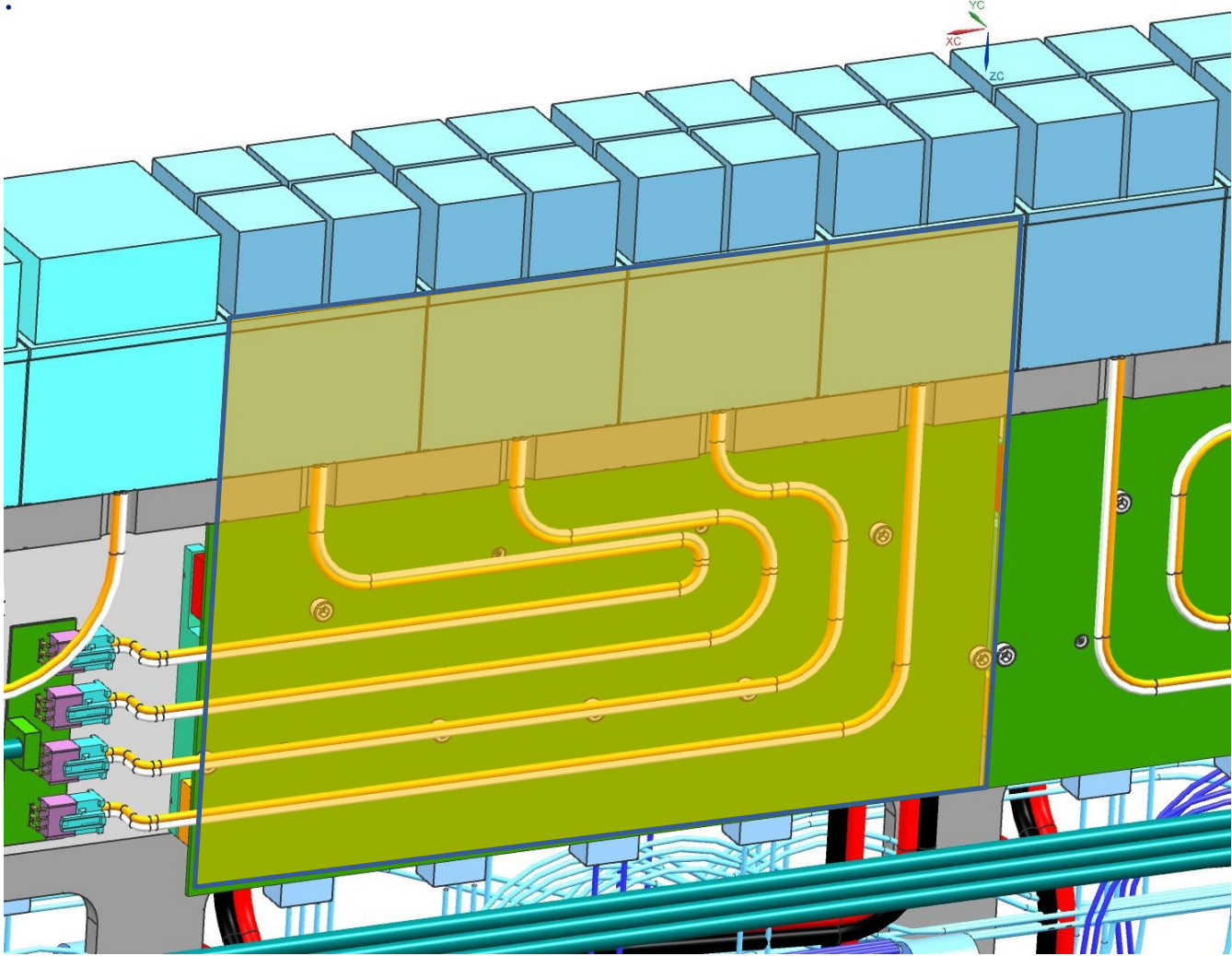
Mechanically stable, cooled and easy to maintain.

In total 1052 ECs for both RICHes (122880 channels only in RICH1).



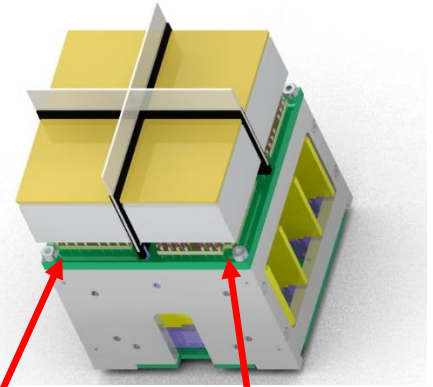
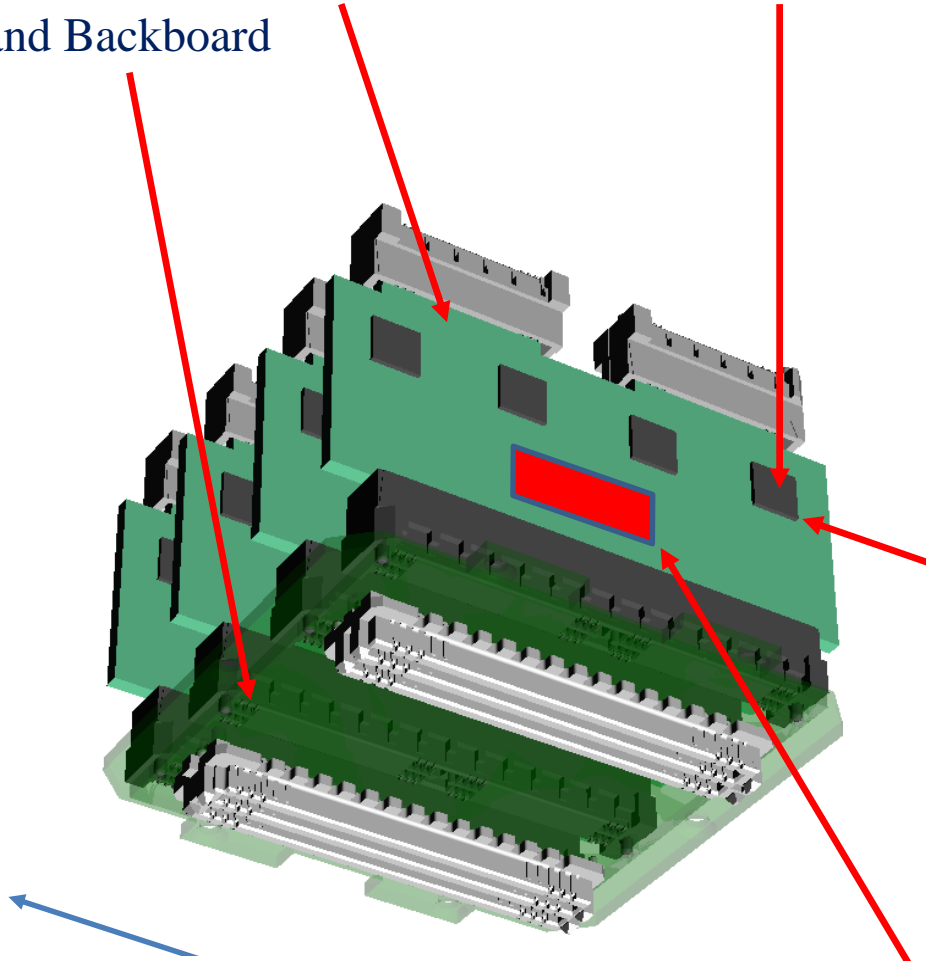
A Section of a RICH Column, showing a Photon Detector Module (PDM) and services

Change the whole electronics components of the Photon Detector Module (PDM), from the CLARO to the PDMDB.



Same geometry based on FEBs, each hosting a TDC with 64 channels.

Front-End Boards with mounted new ASICs and Backboard



Baseboard

Note the ASICs are placed as close as possible to the single-photon signal generation (only a few millimeters from the MaPMTs anodes)

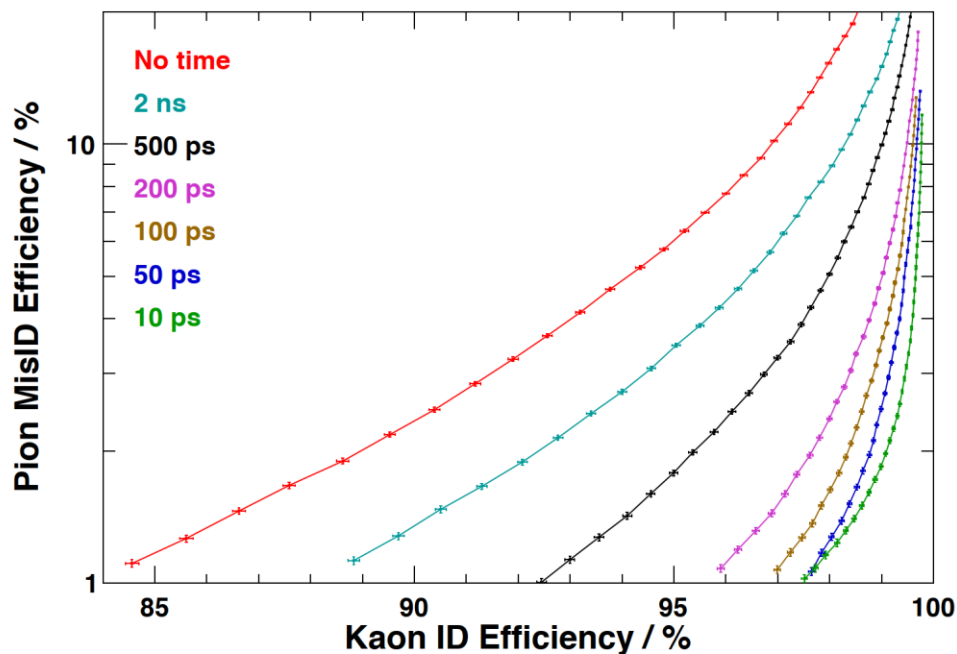
Add a 64-channels TDC, possibly on the FEB

Lateral EC Size = 55 mm

# Advantages of this approach

- Improve PID performance and physics throughput during Run IV;

## Particle ID performance simulation for the Run 4 / Upgrade Ib detector



The absolute numbers are preliminary, but the trend is clear: the application of a time gate during Run 4 can improve the particle ID efficiency.

However, these particle ID curves assume knowledge of the Primary Vertex time.

# Advantages of this approach

- Improve **PID performance** and **physics throughput** during Run IV;
- Get the **electronics** components ready in **advance** for Upg2;
- The **improvements versus the costs** (est.: between 1 and 2 MCHF) are very favorable;
- The timing electronics scheme is “classic” but new prototype **components** are already available for testing (**fast-IC and pico-TDC**)\*;
- **First ever** time-resolved (gaseous) RICH detector and a very interesting project;
- Possibility to develop new **software techniques and simulations** with present improved tools (remember the luminosity in Run IV remains the same as in Run III);
- Independently, tests of new **green gases** as equivalent Cherenkov radiators and **leak-less system** (applied for example to RICH1 gas system, RICH2 would be using CO<sub>2</sub> instead) can also take place;
- It will be **ready in 5 years**, nice project for young (and less young) people

\* Barcelona (**David Gascon**) and CERN

In parallel ...

...preparation for Upg2 would continue with:

Full simulations with new optical schemes (and without the shielding...);

Photodetector development, tests and choice;

New optoelectronic chain geometry (compatible with cooling);

New mechanics (and cooling) systems;

New light-weight optics for both RICHes;

Development of micro-lenses array;

New green extended photocathodes;

Neutron shields;

DAQ aspects (compression, bandwidth, format, etc);

PID aspects (global algorithm, others?);

Study the long-term behavior and characteristics of the system;

Possible first prototype to be inserted in the RICH system already during LS3 ...

.....

# Conclusions

Our RICH community has been having meetings for 4 years now, the main focus being Upgrade II (LS4).

What could we already prepare for LS3?

- We propose to integrate a **new electronic chain** to the present system!  
(Fast-IC\* and pico-TDC, are available and could be a good starting point)
- **Cost is limited** with many advantages expected;
- **Synergies** with CALO and TORCH on the electronic chain;
- Nice 5-years project.

However, remember, **we have no choice**, we need a new RICH System for LS4: a lot of **sw and hw R&D** to produce and a **prototype** to readying for LS3. CERN and UK have already put a bid (for R&D).

**Time frame for Documentation:**

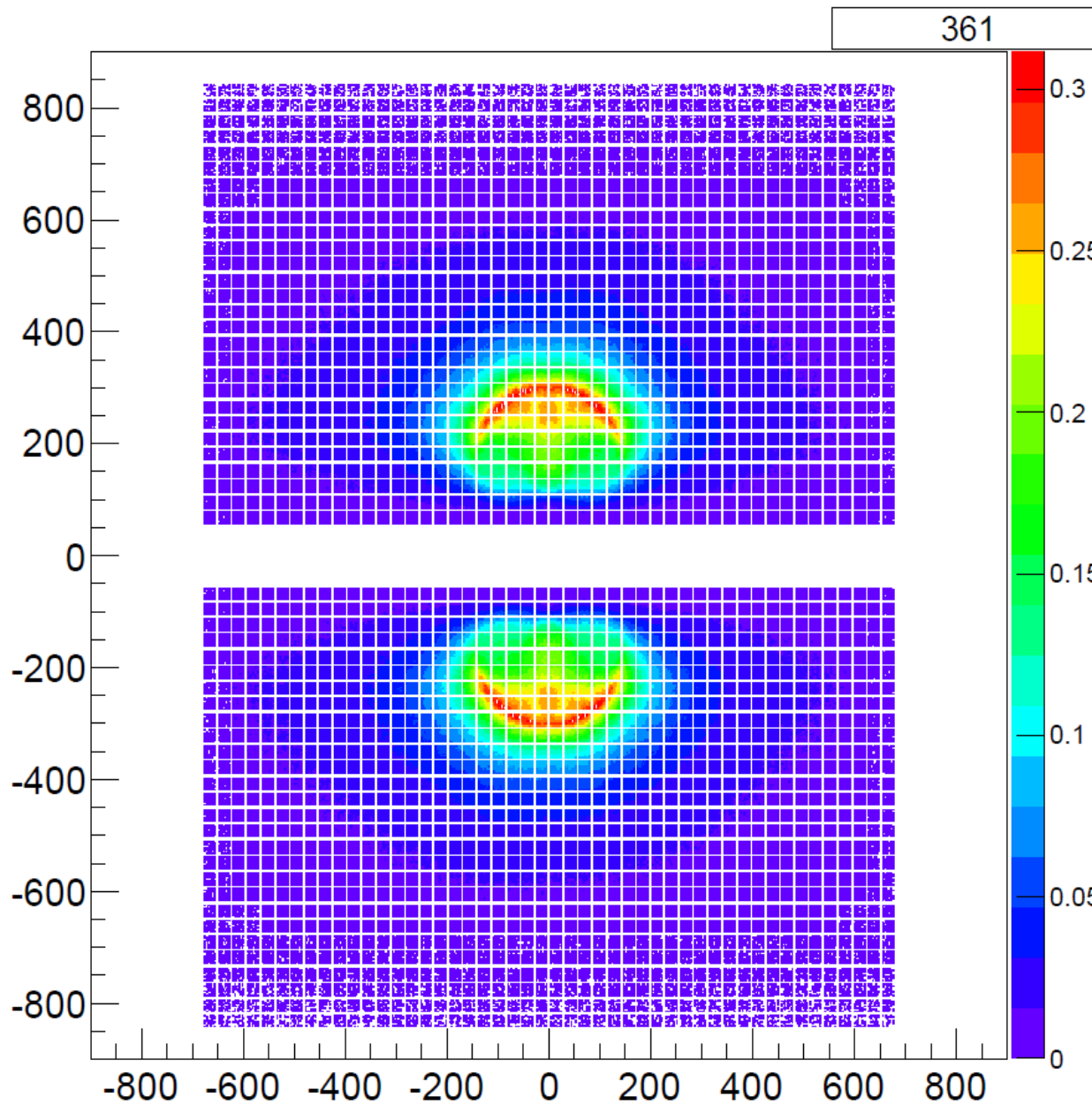
For LS4, a **framework TDR** is expected for the beginning of 2021; for LS3 specifically, **full TDRs** are expected towards the end of 2021.

**Together with the rest of this PID session**, to complement my and Floris Keizer presentations, please look at: Kazu Akiba (**VELO** Sensors) and Guido Haefeli (**SciFi** Sensors) excellent talks and my spare slides

\*[https://indico.cern.ch/event/881465/contributions/3713929/attachments/1982352/3301734/20200205\\_FastIC\\_Ballabriga\\_LHCb\\_final.pdf](https://indico.cern.ch/event/881465/contributions/3713929/attachments/1982352/3301734/20200205_FastIC_Ballabriga_LHCb_final.pdf)

# SPARES

# XY Location of Rich1 Gas PMT hits on PMT Plane

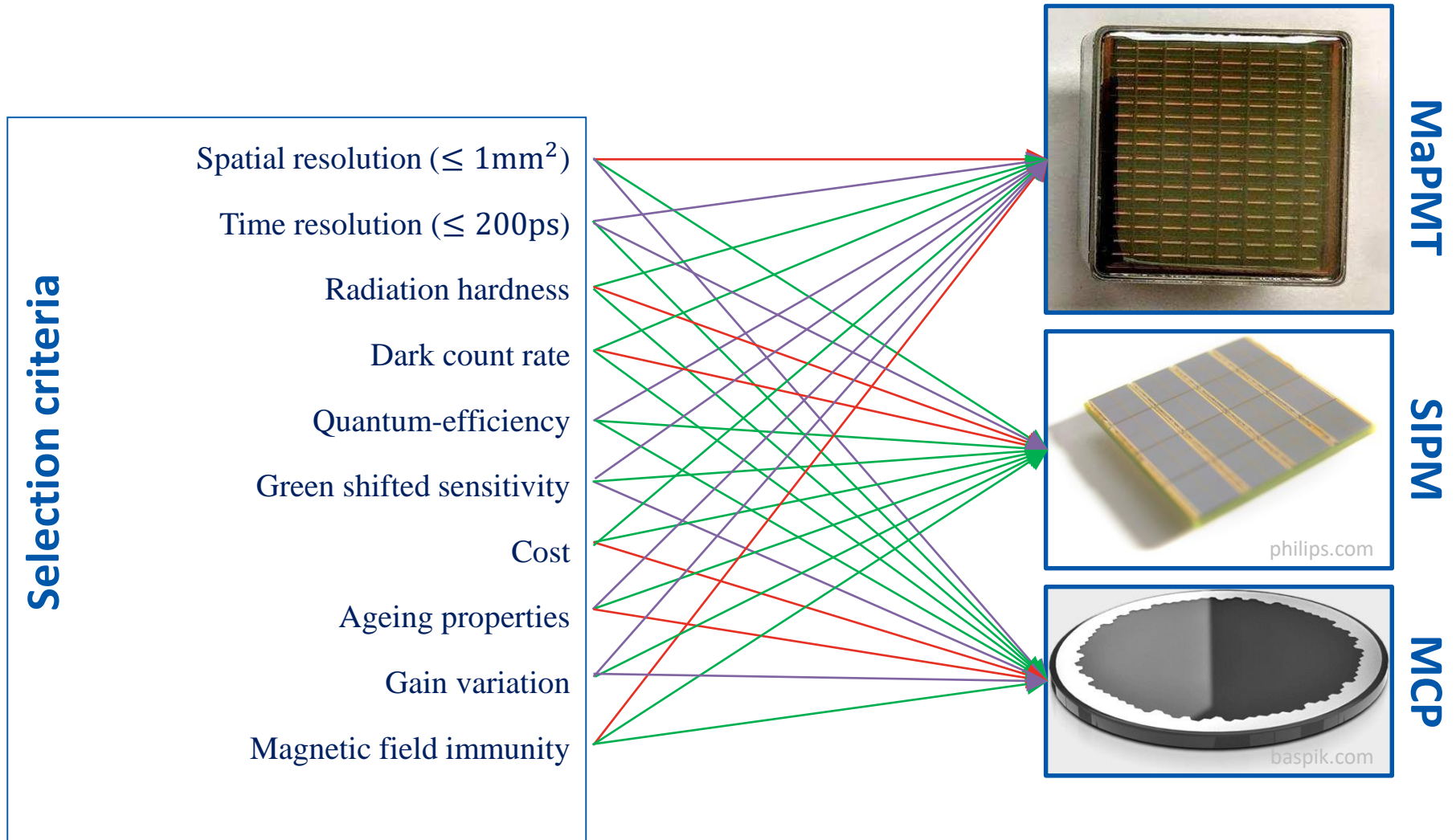


RICH occupancy distribution  
is extremely non-uniform:  
the region with  $O > 10\%$  is  
 $\sim 10\%$  of the whole det. plane

← 10%



# Possible photodetectors (from Michele Blago)



- **Edinburgh photon detector lab**
  - Existing setup to measure **time resolution**
  - Measurements made with
    - LAPPD – ANL prototype tiles
    - Photek MCP-PMT
    - SiPMs - time resolution **consistent** with expectation
- **Next steps**
  - Ordered new **picosecond laser diode** < 60 ps pulse width
  - acquire **8"x8" LAPPD** for Watchman with Sheffield University
- **Planned measurements with LAPPD**
  - Time resolution
  - Position resolution
  - B – field dependence
  - ...

Work carried out by  
undergraduate students

- **Pixellated Cathode**
  - Fabricate prototype
  - optimise pixels size with simulation
- **Electronics readout**
  - PSEC card use by ANNIE
  - Digital board – Cambridge
  - superNino and PicoTDC @ CERN / align with TORCH plans
- **Simulations of physics gain for phase 1b upgrade**
  - Higher occupancy -> overlapping rings
  - Efficiency vs misidentification rate
  - Physics channels, e.g.  $B_s \rightarrow D_s^{(*)} D^{(*)}$
  - Tagging power

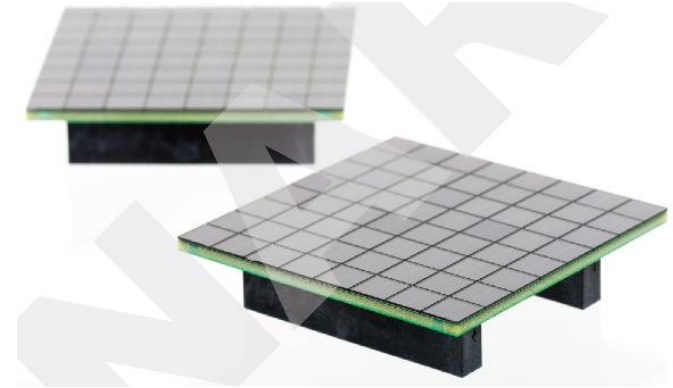
# A word about SiPMs

+ High QE in the green, good single photon sensitivity, becoming cheap and easy to produce arrays with punchthrough technologies, insensitive to magn. field, etc

– Very sensitive to neutrons and ionizing particles;

– High dark count rates (DCR), depend on:

- Temperature, a factor  $\sim 2$  every 10 °C,
- Surface,  $\sim$ linear,
- Structure,
- Operational electric conditions.



1. Cool down (develop cooling and vacuum systems to host the array);

2. Use microlenses to decrease diode surface: optimize diode shape, increase array active surface and improve time resolution;

3. Gate inside the 25 ns LHC clock (1 ns or less);

4. Implement neutron plastic shields: **RICH1 will be “wrapped” in plastic, instead of iron!**

Use **microlenses/light guides** to decrease diode surface: optimize diode shape, increase array active surface and improve time resolution;

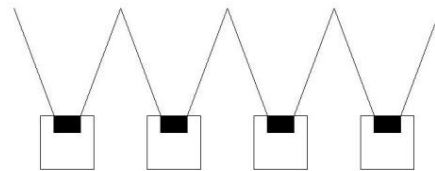
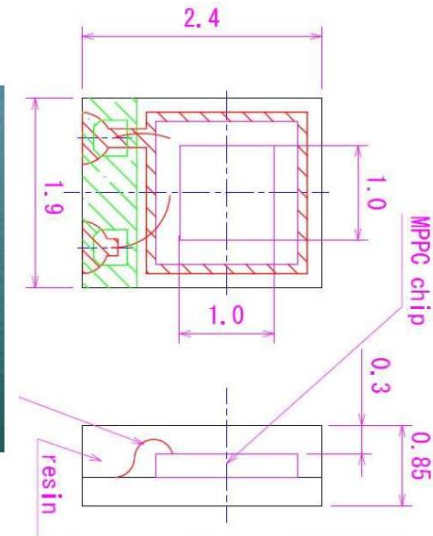
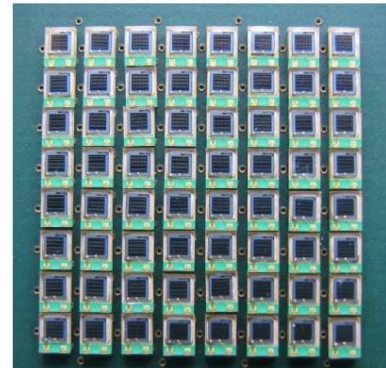
~ **x8** lower noise rate

~ **x2** higher yield

~ **x2.5** better time res.

**8x8 array of SMD-MPPCs**

- Detector module with 8x8 array of SMD MPPCs at 2.54 mm pitch



Optical pixel size



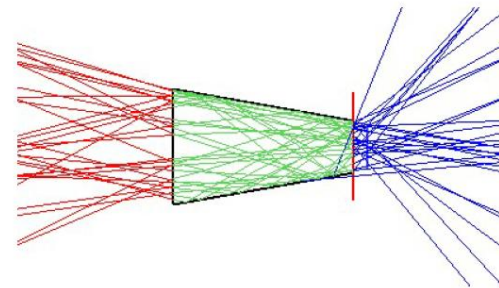
2.3mm(2.54)

4. mm

10°

0.9mm

Electronic pixel size



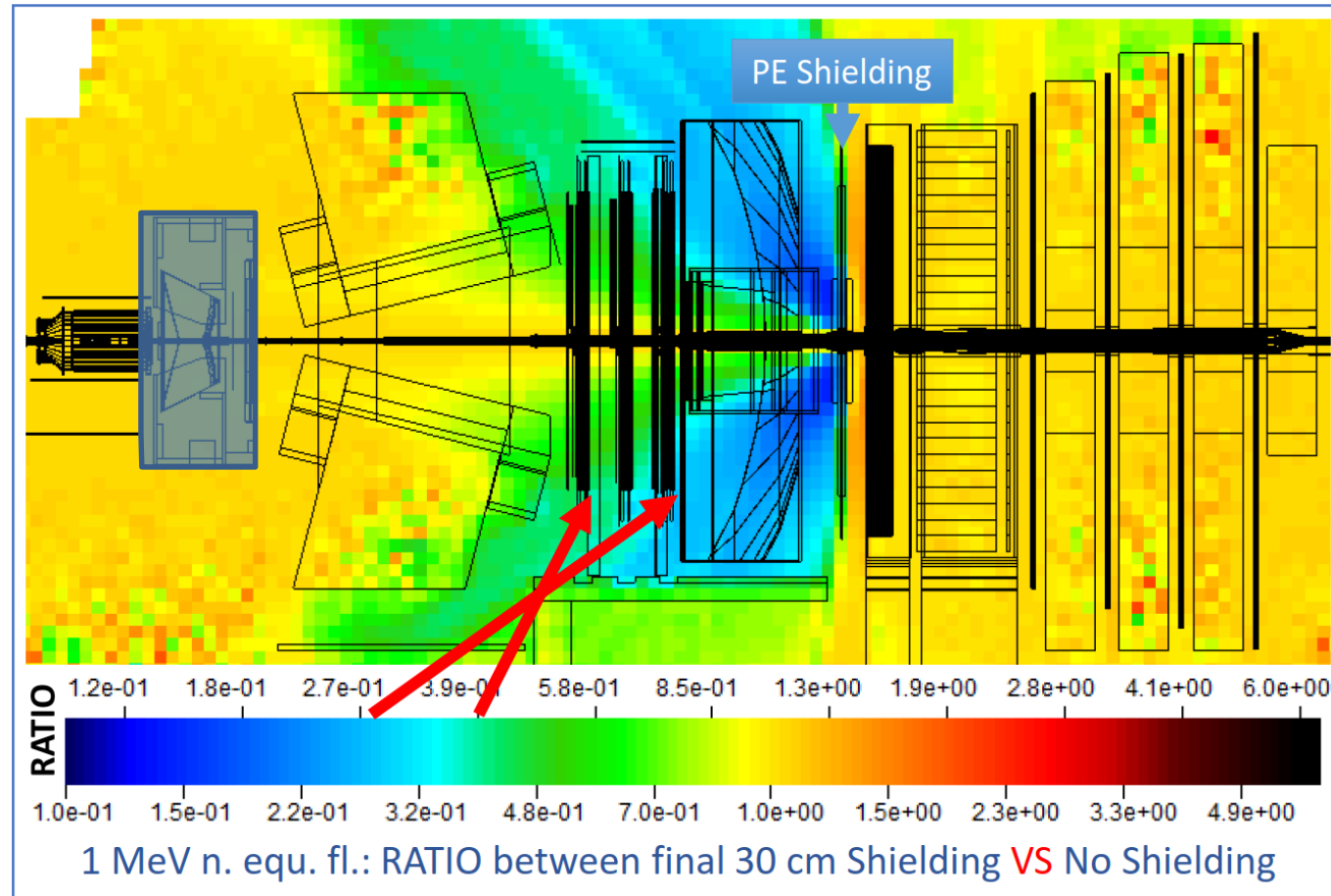
Light guides were machined from plastic (HERA-B lens material).



# Implement neutron plastic shields:

RICH1 will be “wrapped” in plastic, instead of iron!

Factor 2 to 4, 20/30 cm thick Polyethylene



M. Karakson et al.

## Rough rough estimations:

For every  $\times 10 n_{\text{eq}} \text{ cm}^{-2}$  over the  $10^{10} n_{\text{eq}} \text{ cm}^{-2}$  (which is considered ok for SiPMs), DCR increases  $\times 10$ , so for  $10^{13} n_{\text{eq}} \text{ cm}^{-2}$ , we need at least a  $10^{-3}$  improvement in DCR.

Starting with a DCR of **1 MHz for a  $3 \times 3 \text{ mm}^2$**  pixel (standard SiPM specs),

Surface	1/8	(reduce size of SiPM to $1 \text{ mm}^2$ )
Cooling	$10^{-2}$	(by cooling down to $\sim -50 \text{ }^\circ\text{C}$ , more would be even better ...)
Gating	1/25	(1 ns gating)
Plastic wrapping	1/4	(wrap the RICHes with plastic to reduce neutron flux)
Total	$\sim 10^{-5}$	

We would start (at  $10^{10} n_{\text{eq}} \text{ cm}^{-2}$ ) with a DCR  $\sim 10 \text{ Hz}$  to end (at  $10^{13} n_{\text{eq}} \text{ cm}^{-2}$ ) with **10 kHz** on a  $1 \text{ mm}^2$  SiPM