

V. Heavy Quark Production

V.1 Quarkonia in e^+e^- Collisions

- ❑ e^+e^- annihilation (s-channel) is the classic channel for production & study of heavy quarks as cross sections are relatively large wrt backgrounds
- ❑ A $(Q\bar{Q})$ bound state with zero net flavor is called quarkonium of which some are directly produced as narrow resonances in e^+e^- collisions
- ❑ c -quarks were simultaneously discovered in e^+e^- collisions & p - N collisions via the J/ψ resonance
- ❑ b -quarks were discovered in p - N collisions via the Υ resonance, but for exploration they were produced at e^+e^- colliders
- ❑ Note that no resonance was found in t -quark production, because due to $V_{tb}=1$ the t -quark decays before forming a $t\bar{t}$ bound state
- ❑ In a heavy $(Q\bar{Q})$ system many possible states can be produced
- ❑ In a non-relativistic approximation the total angular momentum of the $(Q\bar{Q})$ system is $\vec{J} = \vec{L} + \vec{S}$ with spin $\vec{S}=0$ (antisymmetric) & $\vec{S}=1$ (symmetric)
- ❑ Parity & C-parity of the system are $P=(-1)^{L+1}$ and $C=(-1)^{L+S}$

V.1.1 ($Q\bar{Q}$) in e^+e^- Collisions: Properties

- We introduce the following notation
- Only $J^{PC}=1^-$ states can be produced in e^+e^- annihilation via a virtual photon

	$S=0$	$S=1$	$2S+1L_J$
$L=0$	$\eta_Q (0^{+-})$	$\psi, \Upsilon (1^{--})$	$^1S_0 \ ^3S_1$
$L=1$	$h_Q (1^{+-})$	$\chi_J (0^{++}, 1^{++}, 2^{++})$	$^1P_1 \ ^3P_J$
$L=2$	2^{+-}	$1^{--}, 2^{--}, 3^{--}$	$^1D_2 \ ^3D_J$

- In principle $J^{PC}=1^{++}$ states could be produced by virtual Z^0 axial vector coupling, but that it is suppressed by the angular momentum barrier
- For production of 1^{--} states we can apply the cross section formulae from the previous chapter
- Since e^+ & e^- beam energy resolutions are much larger than the natural resonance width, we have to use the energy-integrated formulae here

$$\int d\sqrt{s} \sigma(e^+e^- \rightarrow \gamma^* \rightarrow X) = \frac{6\pi^2}{M_V^2} \frac{\Gamma_{ee} \Gamma_X}{\Gamma} \quad (5.1)$$

where X denotes any particular set of final states, Γ_X denotes corresponding partial width and Γ is the total width

V.1.2 ($Q\bar{Q}$) in e^+e^- Collisions: Potential

- ❑ Note that the total integrated cross section is proportional to Γ_{ee}
- ❑ The production cross section and the annihilation decay width of 3S_1 quarkonia are determined by the wave function at the origin
- ❑ The number of narrow 3S_1 states below threshold can be estimated in a potential model framework: 2 for $c\bar{c}$ and 3 for $b\bar{b}$
- ❑ Quarkonium states with masses above the threshold for producing 2 heavy Q -flavored mesons will decay strongly into the latter (Zweig's rule) and therefore have much larger widths ($\sim 10^3$ times) than states below this threshold energy
- ❑ If we set $E_0=2m_Q$, then the interquark potential $V(r)$ arising from QCD is independent of flavor
- ❑ The interquark potential has been parameterized as a sum of 3 contributions

$$V(r) = -\frac{4}{3} \frac{\alpha_s(r)}{r} + V_l(r) + ar \quad (5.2)$$

- ❑ The 1st term is the short-distance Coulomb-like term (the Fourier transform of the 1-gluon exchange scattering amplitude)

V.1,2 (Q \bar{Q}) in e^+e^- Collisions: Potential

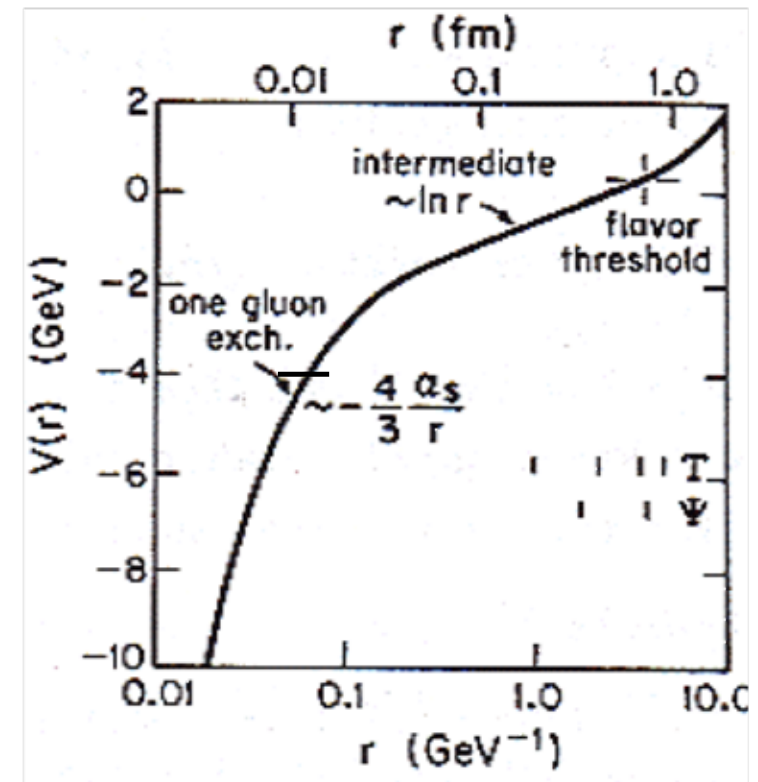
- $\alpha_s(r)$ is the Fourier transform of $\alpha_s(Q^2)$ but the logarithmic behavior of α_s within the Fourier transform can be included

- At the 2-loop level one finds

$$\alpha_s(r) = \frac{12\pi}{25t} \left[1 - \frac{462}{625} \frac{\ln t}{t} + \left(\frac{57}{75} + 2\gamma_E \right) \frac{1}{t} + \mathcal{O}\left(\frac{1}{t^2}\right) \right] \quad (5.3)$$

where $t = -\ln(r^2 \Lambda_{\overline{\text{MS}}}^2)$, $\gamma_E = 0.5772$ is Euler's constant and $\Lambda_{\overline{\text{MS}}}$ is the QCD scale parameter in the $\overline{\text{MS}}$ minimal subtraction scheme

- The 3rd term is the confining potential with $a \approx 0.2 \text{ GeV}^2$
- The 2nd term $V_1(r)$ parameterizes additional intermediate contributions
- Several parameterizations exist
- Their parameters are fixed by $c\bar{c}$ and $b\bar{b}$ quarkonium data



V.1.3 ($Q\bar{Q}$) in e^+e^- Collisions: Matrix Elements

- From the standard results for the hydrogen atom the wave function of the 1-gluon exchange alone with $\alpha_s(m_Q^2)$ gives the $L=0$ wave functions at the origin as

$$|\Psi_n(0)|^2 = \frac{1}{\pi} \left[\frac{2}{3} \frac{m_Q}{n} \alpha_s(m_Q^2) \right]^3 \quad (5.4)$$

where $\Psi_n(r)$ is the complete wave function & $n=1,2 \dots$ is radial QN

- Short distance structure of the wave function of 3S_1 , quarkonium state $V(Q\bar{Q})$ is represented by ME

$$\langle 0 | \bar{Q} \gamma^\mu Q | \Psi_V \rangle = \varepsilon_V^\mu F_V \quad (5.5)$$

where $\langle 0 |$ is vacuum, ε_V is V polarization & F_V V -decay constant

- The latter can be expressed by the wave function at origin by

$$|F_V|^2 = 12 m_V |\Psi_V(0)|^2 \quad (5.6)$$

- Since the $Q\bar{Q}$ state is coupled to γ and Z^0 , V is coupled through them to $f\bar{f}$ via an effective ME

$$M(V \rightarrow f\bar{f}) = -i F_V \varepsilon_{V\mu} \left[\bar{u}(f) \gamma^\mu (G_V^f + G_A^f \gamma_5) v(\bar{f}) \right] \quad (5.7)$$

V.1.4 ($Q\bar{Q}$) in e^+e^- Collisions: Decay Widths

with

$$G_V^f = \frac{8G_F M_Z^2}{\sqrt{2}} \frac{g_V^f g_V^Q}{s - M_Z^2 + i\Gamma_Z M_Z} + \frac{e^2 e_f e_Q}{s} \quad (5.8)$$

where e_f , e_Q are
fermion & quark

charges and g_V^f , g_A^f are couplings of f & Q to the Z^0

$$G_A^f = \frac{8G_F M_Z^2}{\sqrt{2}} \frac{g_A^f g_A^Q}{s - M_Z^2 + i\Gamma_Z M_Z} \quad (5.9)$$

□ Hence the EM decay width to e^+e^- or $\mu^+\mu^-$ is

$$\Gamma_\gamma = \Gamma(V \rightarrow \gamma^* \rightarrow e^+e^-) = \frac{4\pi\alpha^2 e_Q^2}{3M_V^3} |F_V|^2 \left[1 - \frac{16}{3\pi} \alpha_s(m_Q^2) \right] \quad (5.10)$$

□ The expression in [] is the QCD correction, common to other modes

□ For $M_V \ll M_Z$, the virtual photon contribution dominates e^+e^- modes

□ The ϕ , ψ , & Υ ground states have a partial width of

$$\Gamma(V \rightarrow e^+e^-) \simeq (11 \text{ keV}) \cdot e_Q^2 \quad (5.11)$$

□ indicating that $|\Psi_V(0)|^2 \sim m_V^2$ for these states

V.1.4 ($Q\bar{Q}$) in e^+e^- Collisions: Decay Widths

□ Note that quarkonium decays are Zweig suppressed \rightarrow narrow width

□ For the virtual γ & Z^0 decay (a) the partial width is

$$\Gamma(V \rightarrow f\bar{f}) = C \left(m_V^2 / e^2 e_Q \right)^2 \left(|G_V^f|^2 + |G_A^f|^2 \right) \Gamma_\gamma \quad (5.12)$$

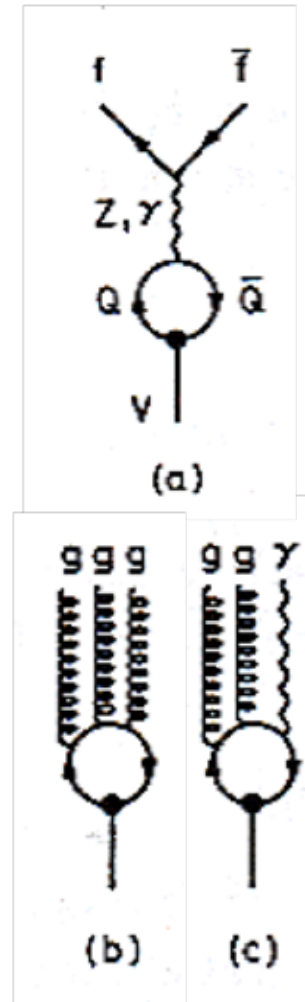
where $C=3$ (1) for quarks (leptons) is color factor and G_V & G_A are evaluated at $s=M_V^2$

□ The ggg decay (b) is the dominant mode for ψ & Υ with partial widths

$$\Gamma(V \rightarrow ggg) = \frac{10(\pi^2 - 9)}{81\pi e_Q^2} \frac{\alpha_s^3}{\alpha^2} \Gamma_\gamma \quad (5.13)$$

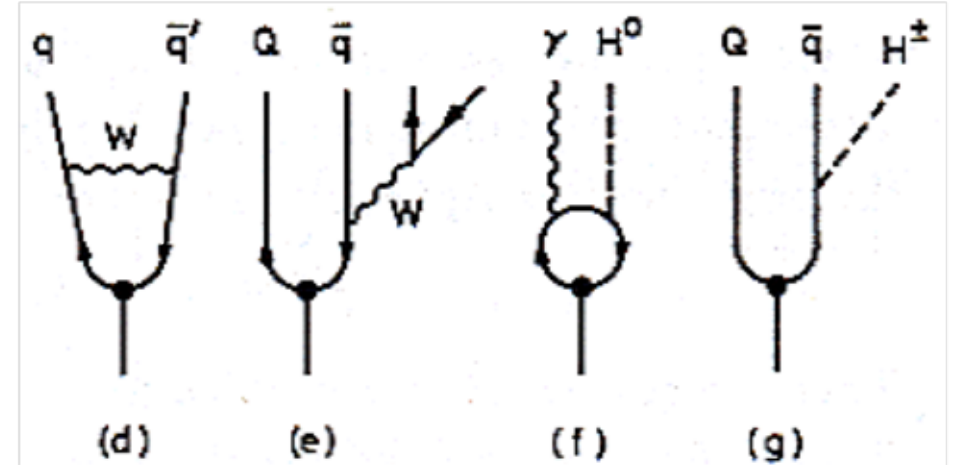
□ Another large decay is the $gg\gamma$ mode that has a partial width of

$$\Gamma(V \rightarrow gg\gamma) = \frac{8(\pi^2 - 9)}{9\pi} \frac{\alpha_s^2}{\alpha} \Gamma_\gamma \quad (5.14)$$



V.1.4 ($Q\bar{Q}$) in e^+e^- Collisions: Decay Widths

- ❑ In addition, suppressed decays occur that may become important in case of very heavy ($Q\bar{Q}$) states
- ❑ Quarkonium production is signaled by narrow peaks in the total & partial e^+e^- cross sections
- ❑ So an $f\bar{f}$ final state is produced via γ or Z^0 transition yielding amplitudes of



$$M(e^+e^- \rightarrow \gamma \rightarrow f\bar{f}) = -\frac{4\pi\alpha e_f}{s} [\bar{u}(f)\gamma^\mu v(\bar{f})] [\bar{v}(e^+)\gamma_\mu u(e^-)] \quad (5.15)$$

$$M(e^+e^- \rightarrow V \rightarrow f\bar{f}) = \frac{|F_V|^2}{s - M_V^2 + i\Gamma_V M_V} [\bar{u}(f)\gamma_\mu (G_V^f + G_A^f \gamma_5) v(f)] [\bar{v}(e^+)\gamma^\mu (G_V^e + G_A^e \gamma_5) u(e^-)] \quad (5.16)$$

- ❑ Note that at $s=M_V^2$, the direct $e^+e^- \rightarrow \gamma \rightarrow f\bar{f}$ amplitude does not interfere with the $e^+e^- \rightarrow V \rightarrow f\bar{f}$ amplitude
- ❑ We focused here on S-wave quarkonia with $S=1$ that includes 3S_1 - 3D_1 mixtures
- ❑ Other states can be produced by γ & hadron transitions from 3S_1 states

V.1.5 ($Q\bar{Q}$) in e^+e^- Collisions: EM Transitions

- Photon transitions in the charmonium and bottomonium system

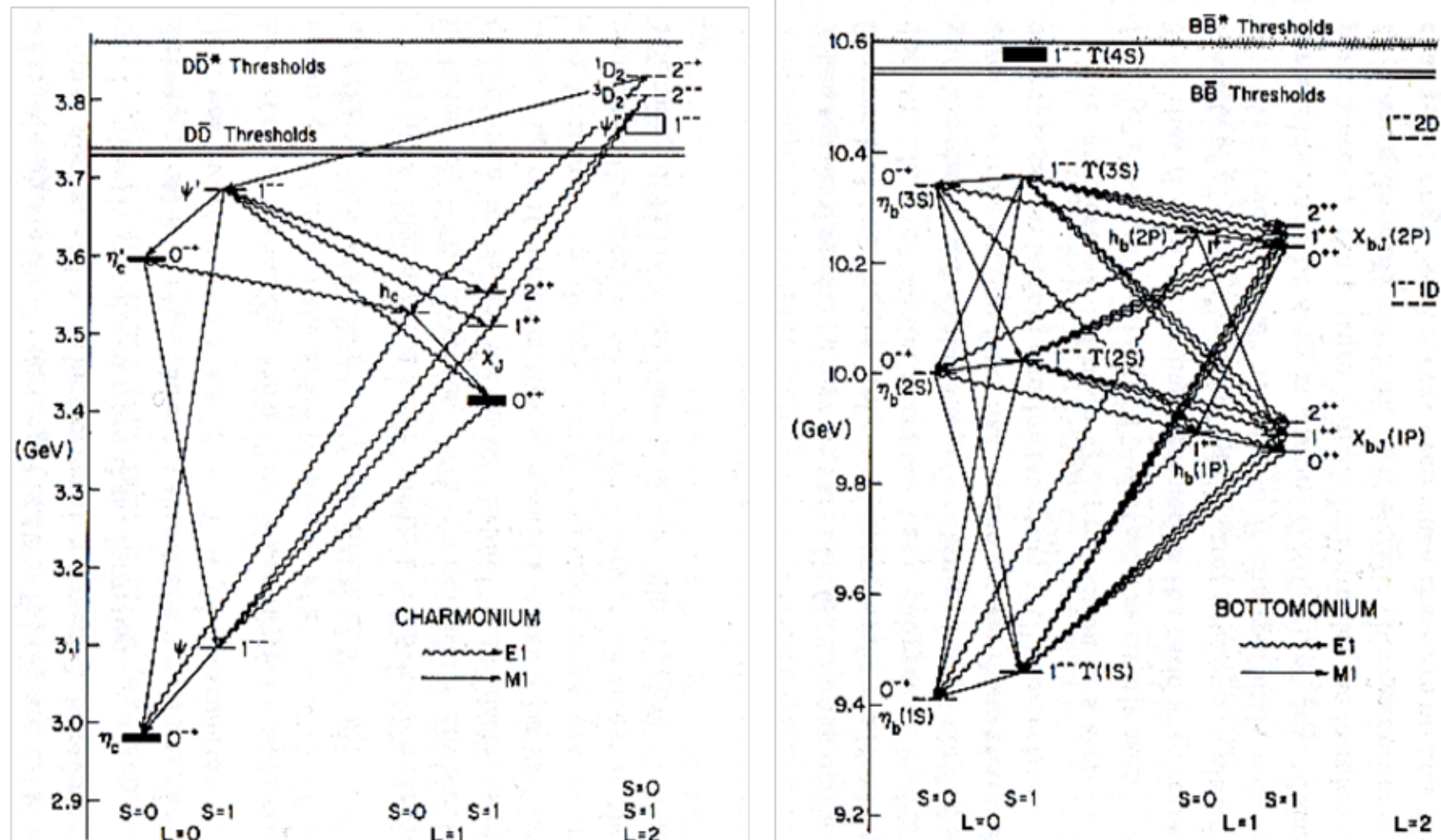


Fig. 10.4. Production of χ , η and h states from electromagnetic decays of ψ and Υ states. Wiggly lines (straight lines) denote E1 (M1) transitions.

V.1.6 ($Q\bar{Q}$) in e^+e^- Collisions: $c\bar{c} \gamma$ Spectrum

- E.g., in the charmonium system many photon transition have been observed providing access to non 3S_1 states

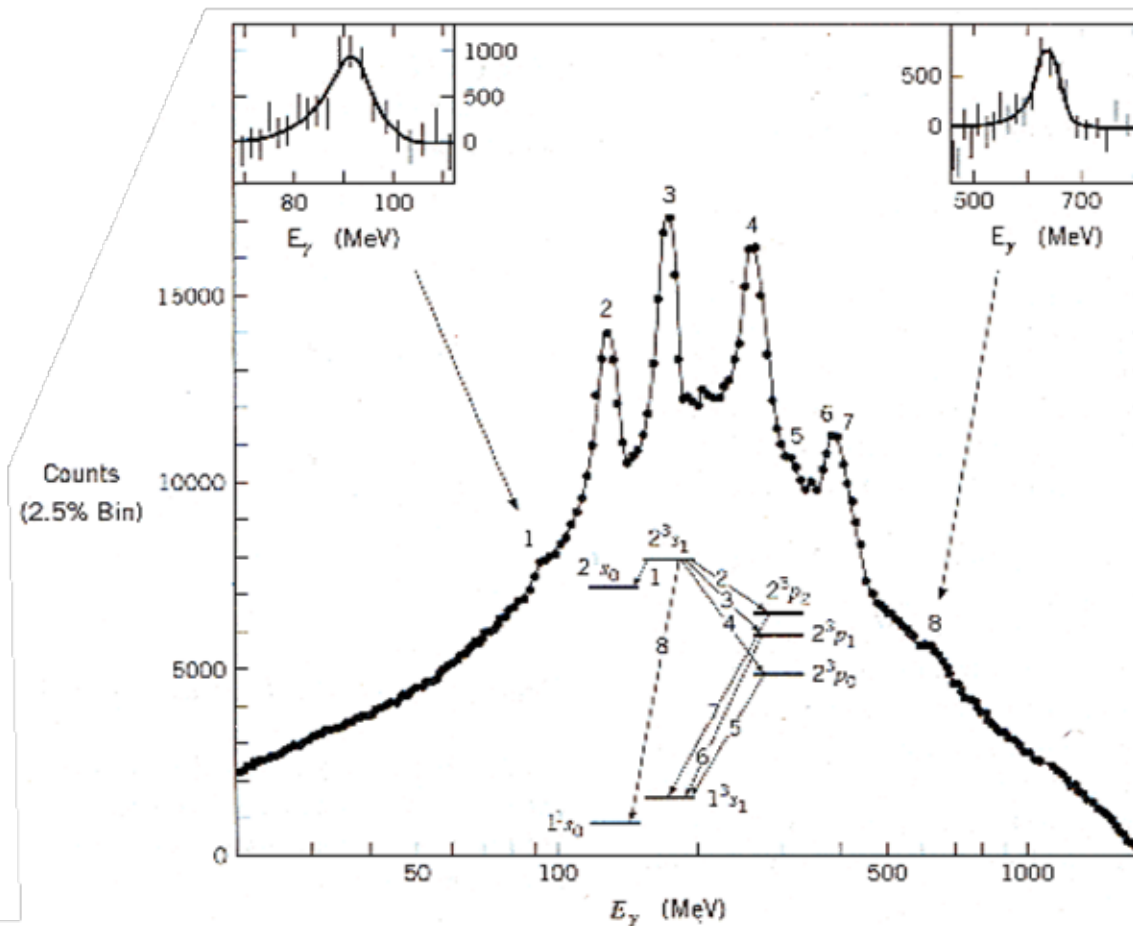


FIGURE 17-18 Measurement of the energy levels of charmonium.

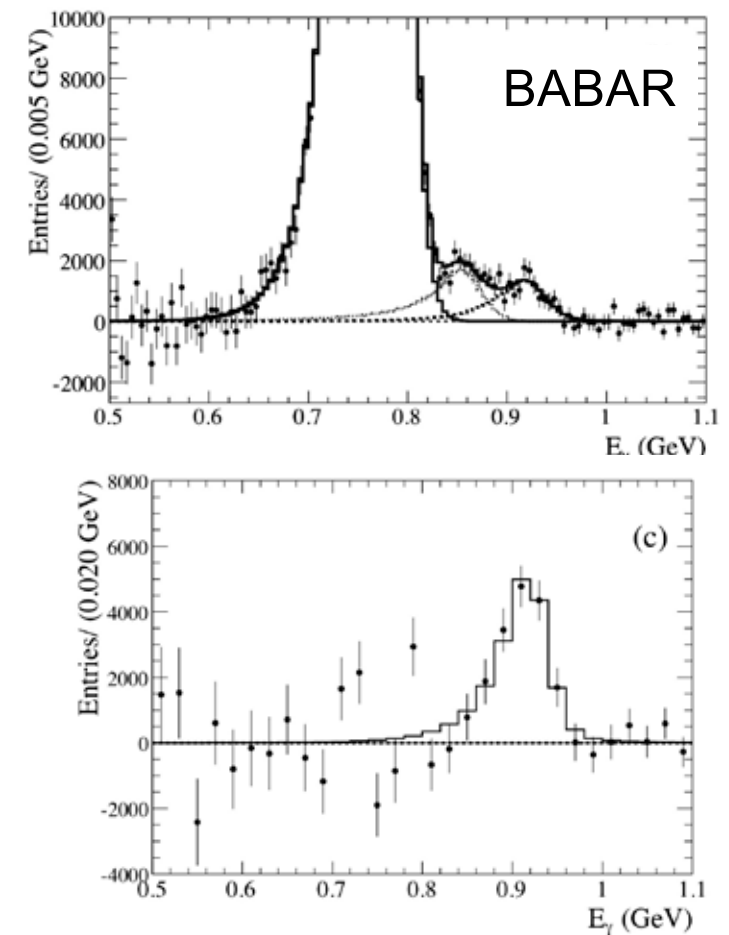
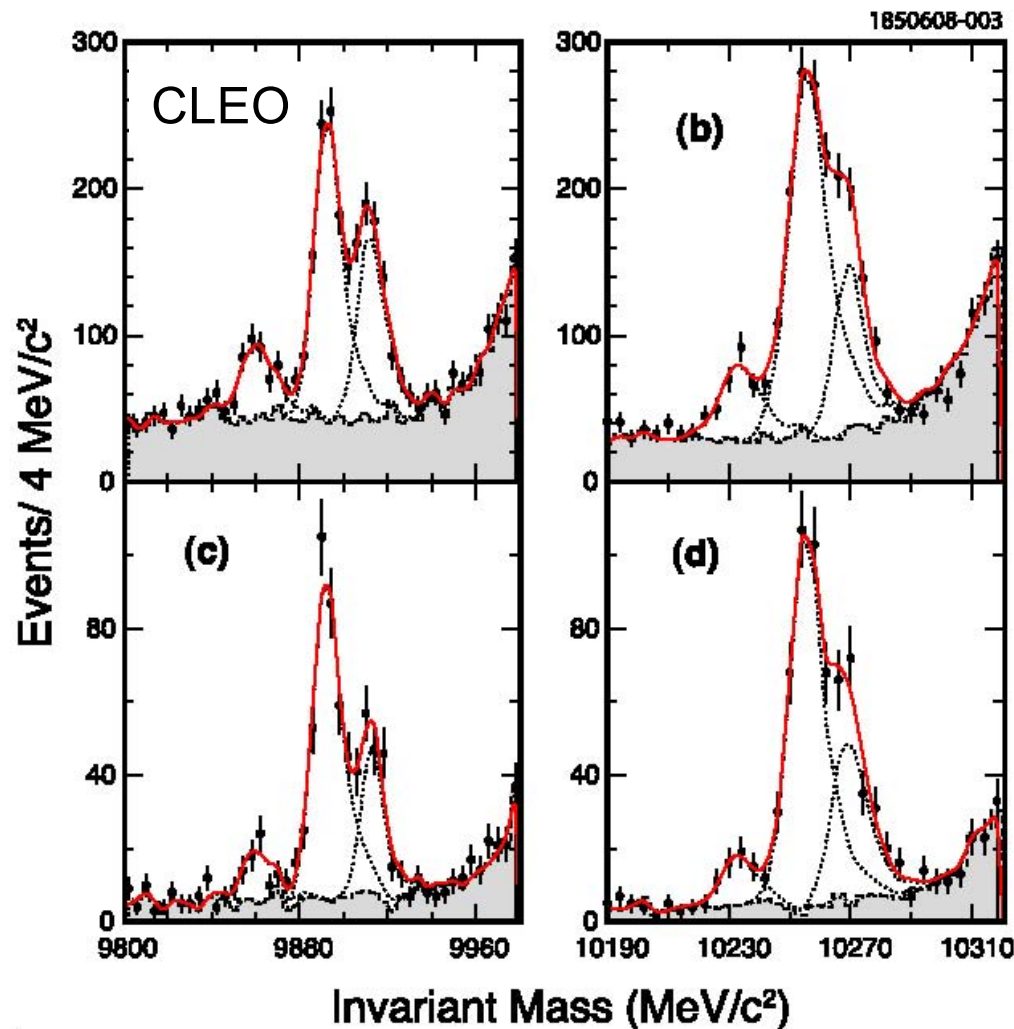
The energies of photons from the $\psi(2s)$ are measured from their electromagnetic interactions in crystals of NaI(Tl). Each photon "line" corresponds to a transition between charmonium energy levels. The inserts show the transitions to the singlet states after the contributions from π^0 s are subtracted. From the Crystal Ball Collaboration, reported by E. D. Bloom and C. W. Peck, "Crystal Ball Physics," *Ann. Rev. Nucl. Part. Science* **33**, 143 (1983).

V.1.7 ($Q\bar{Q}$) in e^+e^- Collisions: $b\bar{b} \gamma$ Spectrum

- In the bottomonium system many photon transition have been also observed to non 3S_1 states

$$\Upsilon(2S) \rightarrow \gamma \chi_{0,1,2}(1P) \quad \Upsilon(3S) \rightarrow \gamma \chi_{0,1,2}(2P)$$

$$\Upsilon(2S) \rightarrow \gamma \eta_b$$

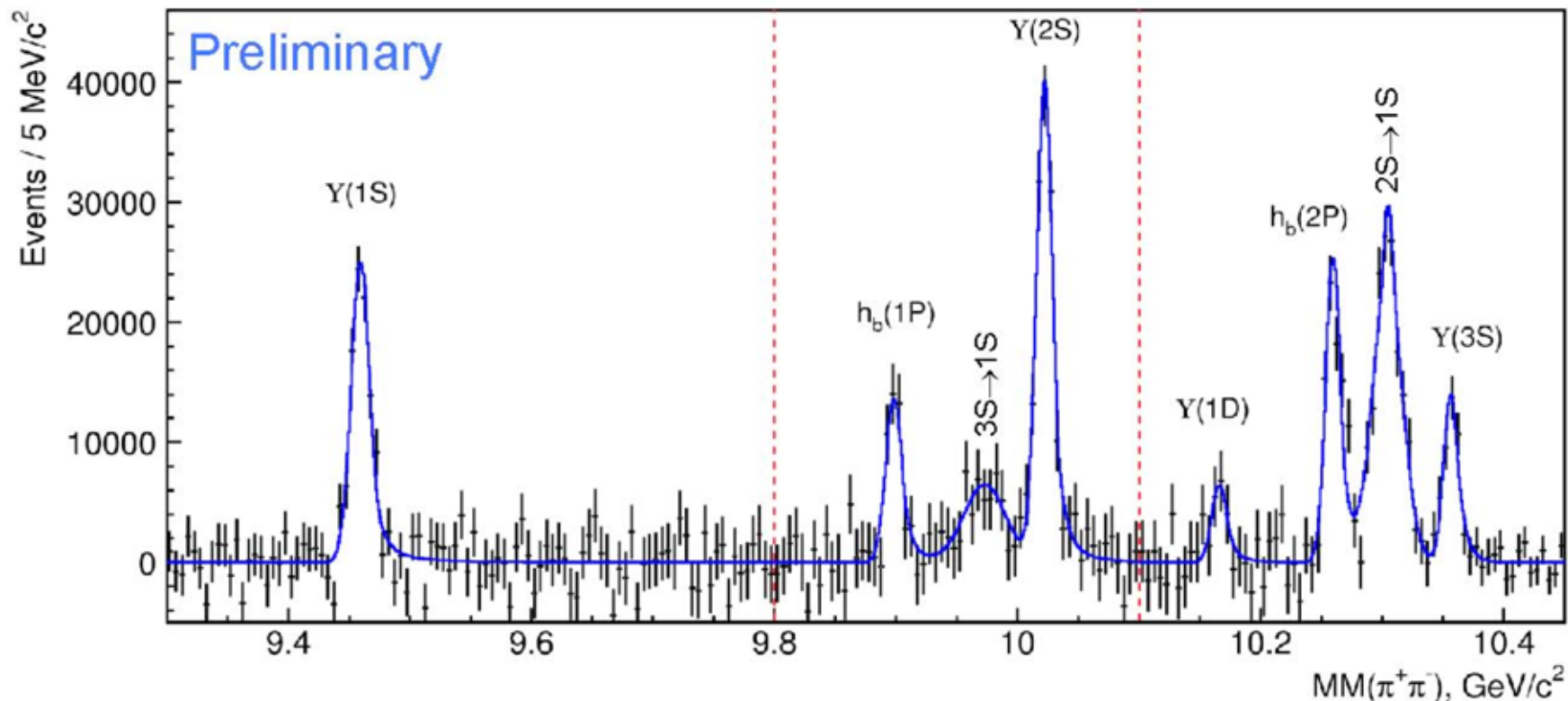


$$m_{\eta_b} = 9388.9^{+3.1}_{-2.3} \pm 2.7 \text{ MeV} \quad (5.17)$$

V.1.8 ($Q\bar{Q}$) in e^+e^- Collisions: $b\bar{b}$ States

- Study decay $\Upsilon(5S) \rightarrow h_b(nP) \pi^+ \pi^-$, reconstruct only $p(\pi^+ \pi^-)$ and look in missing mass $p(\Upsilon(5S)) - p(\pi^+ \pi^-)$

Belle 2011



- Observe $h_b(1P)$ and $h_b(2P)$ with significance of 6.2σ and 12.4σ at masses

$$m_{h_b(1P)} = 9898.25 \pm 1.06^{+1.03}_{-1.07} \text{ MeV}$$

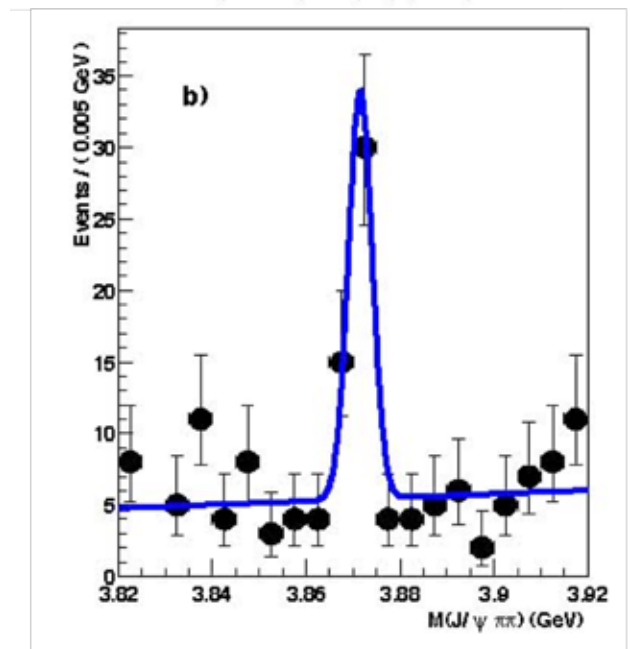
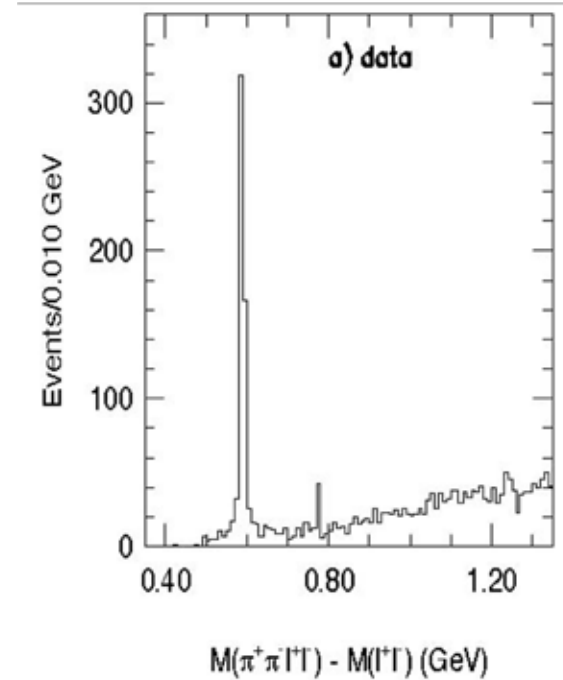
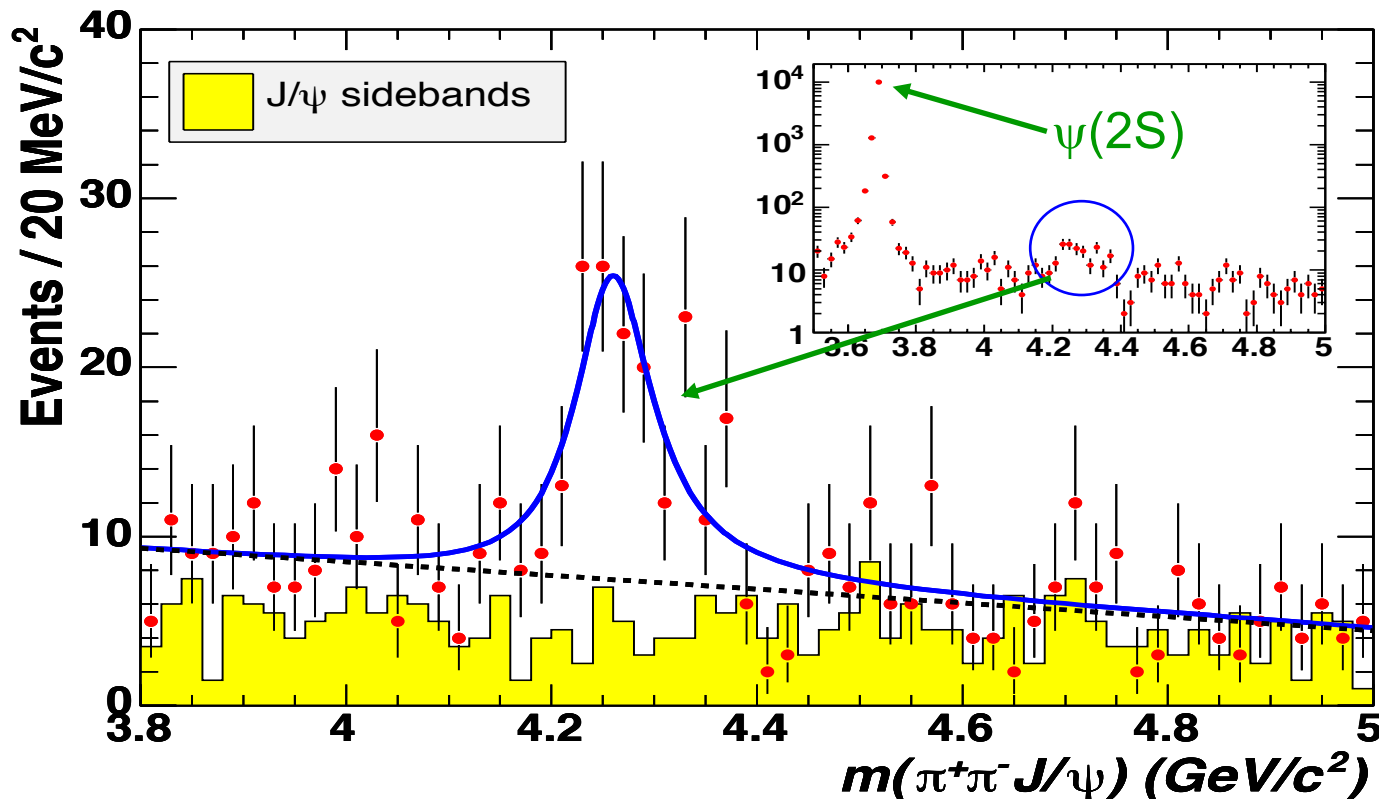
$$m_{h_b(2P)} = 10259.76 \pm 0.64^{+1.43}_{-1.03} \text{ MeV}$$

(5.18)

- BABAR observed the $h_b(1P)$ at the same time in π^0 transitions

V.1.8 ($Q\bar{Q}$) in e^+e^- Collisions: $b\bar{b}$ States

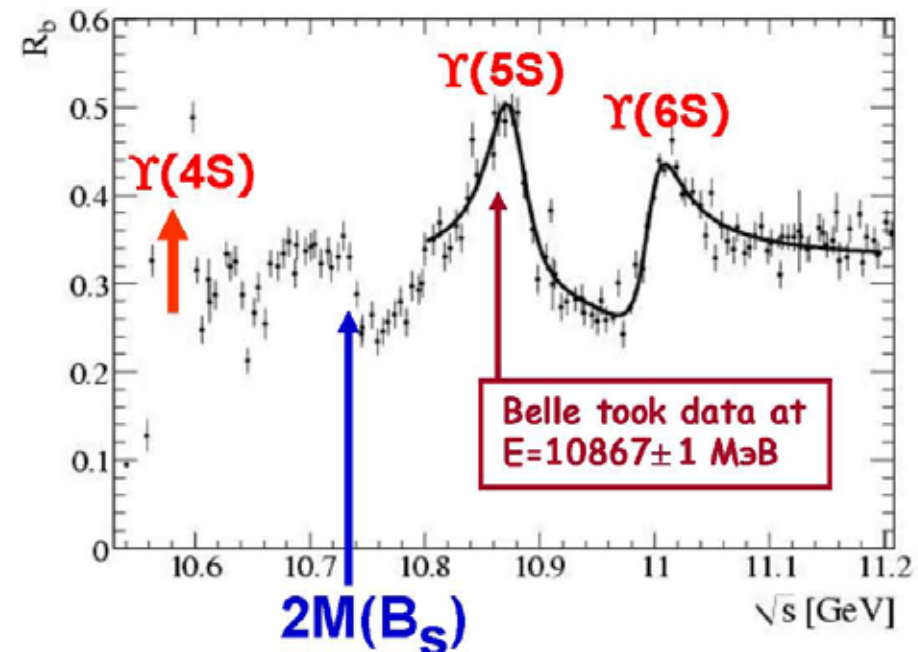
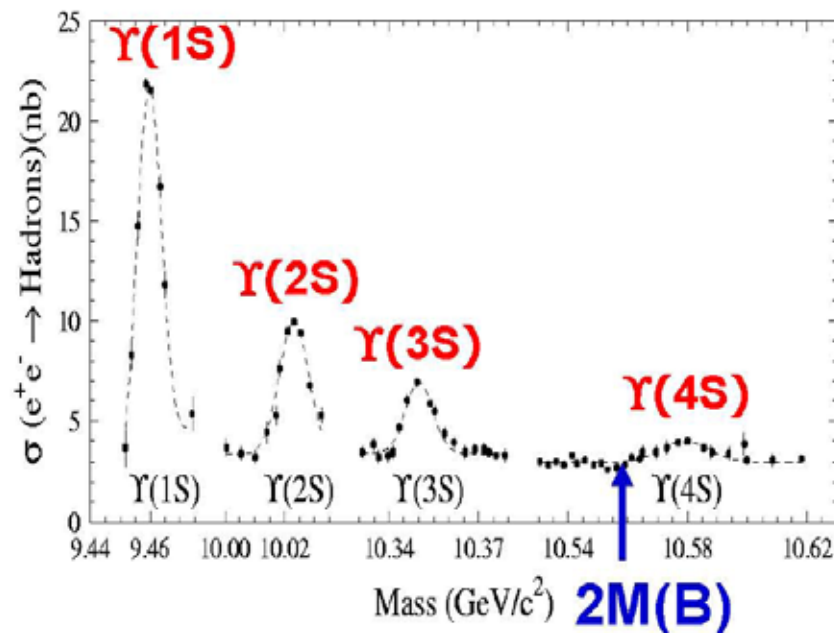
- See new states in decaying to J/ψ
 - $X(3872) \rightarrow \pi\pi J/\psi$
 $m = (3872 \pm 8) \text{ MeV}$, $\Gamma < 2.3 \text{ MeV}$ @90%CL
 - In ISR events see $Y(4260) \rightarrow J/\psi \pi^+ \pi^-$
 $m = (4258 \pm 8) \text{ MeV}$, $\Gamma = (88 \pm 23) \text{ MeV}$
- Nature of these states is not yet clear



V.2 Open Flavor Production in e^+e^- Collisions

- ❑ When a pair of produced heavy quarks $Q\bar{Q}$ form a pair of heavy flavored hadrons, we call this open flavor production
- ❑ For $c\bar{c}$ production the charm threshold is at $s^{1/2}=2m_{D^0}=3.73$ GeV that is slightly below ψ' having a mass of $M_{\psi'}=3.77$ GeV
- ❑ For $b\bar{b}$ production the bottom threshold is at $s^{1/2}=2m_{B^0}=10.56$ GeV that is slightly below the $\Upsilon(4S)$ having a mass of $M_{\Upsilon}=10.58$ GeV
- ❑ The simplest sign of open $Q\bar{Q}$ production is a step in the ratio

$$R=\sigma_{\text{had}}/\sigma_{\mu\mu}$$



V.3 Quarkonia in Hadroproduction

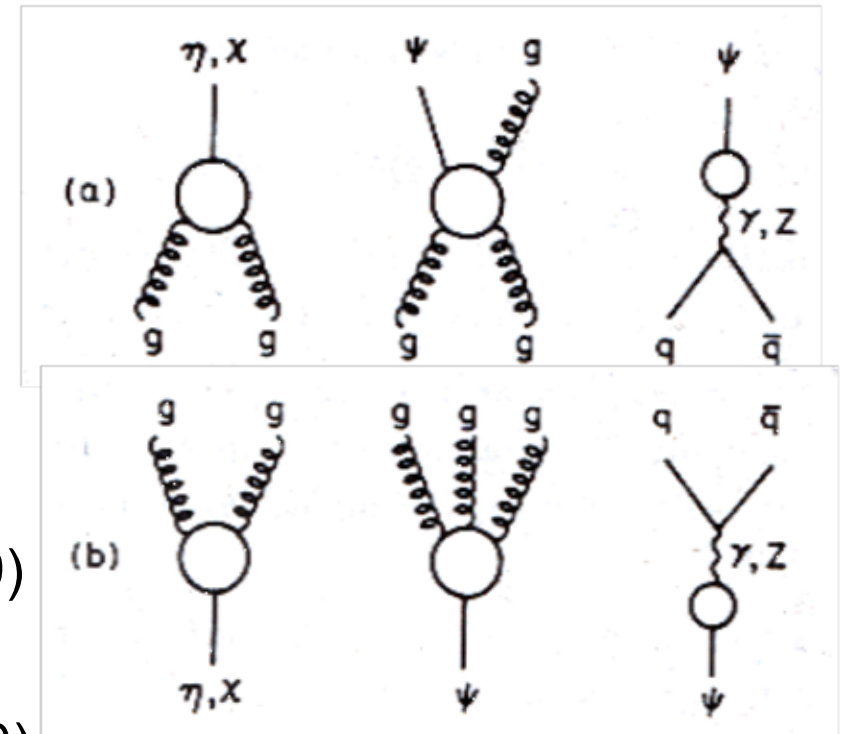
- Hadroproduction of quarkonium states played an important role for the discovery of $c\bar{c}$ and $b\bar{b}$ bound states
- Unlike e^+e^- collisions, hadron-hadron collisions can produce many quarkonium states directly through subprocesses such as $gg \rightarrow (\eta, \chi_0, \chi_2), gg \rightarrow \psi g, q\bar{q} \rightarrow \psi$
- By crossing, these subprocesses are directly related to decay processes $(\eta, \chi_0, \chi_2) \rightarrow gg, \psi \rightarrow ggg, \psi \rightarrow q\bar{q}$
- The production cross sections are fully determined by the decay width

$$\Gamma(\eta_q \rightarrow gg) = \frac{8}{3} \alpha_s^2 \frac{|R_s(0)|^2}{m_{\eta_q}^2}$$

$$\Gamma(\chi_0 \rightarrow gg) = 96 \alpha_s^2 \frac{|R'_P(0)|^2}{m_{\chi_0}^4}$$

$R_s(0)$ is radial wave function at origin

$R'_P(0)$ is derivative of radial wave function at origin



(5.19)

(5.20)

$$\Gamma(\chi_2 \rightarrow gg) = \frac{128}{5} \alpha_s^2 \frac{|R'_P(0)|^2}{m_{\chi_2}^4}$$

(5.21)

V.3.1 ($Q\bar{Q}$) in Hadroproduction: Decay Widths

$$\Gamma(\psi \rightarrow ggg) = 40 \frac{(\pi^2 - 9)}{81\pi} \alpha_s^3 \frac{|R_s(0)|^2}{m_\psi^2} \quad \& \quad \Gamma(\psi \rightarrow q\bar{q}) = 12\alpha^2 e_Q^2 e_q^2 \frac{|R_s(0)|^2}{m_\psi^2} \quad (5.22)$$

- $R_s(0)$ is the S -wave ($Q\bar{Q}$) radial wave function, $R_p(0)$ is the derivative of P -wave ($Q\bar{Q}$) radial wave function, α_s is evaluated at the $Q\bar{Q}$ mass scale, e_Q, e_q are electric charges of the heavy, light quarks

- $R(0)$ is related to the total wave function at the origin $\psi(0)$ by $|R(0)|^2 = 4\pi|\psi(0)|^2$ (5.24)

- The cross section for $gg \rightarrow \mathcal{O}$, a generic quarkonium state of spin J and mass m_0 , is given by

$$\hat{\sigma}(gg \rightarrow \mathcal{O}) = \frac{(2J+1)\pi^2}{8m_0^3} \Gamma(\mathcal{O} \rightarrow gg) \delta(1 - \frac{\hat{s}}{m_0^2}) \quad (5.25)$$

- The hat indicates the quark subprocess, \hat{s} is CM energy squared
- Yang's theorem states that 2 massless spin-1 particles cannot have angular momentum $J=1$ for a state symmetric in space and spin variables
- Thus, considering Bose statistics and recalling that a color-singlet state of 2 gluons is symmetric in their color variables, forbids $gg \rightarrow \psi$

V.3.2 ($Q\bar{Q}$) in Hadroproduction: Cross Section

- So the lowest-order gg subprocess is $gg \rightarrow \psi g$, where a bleaching g comes off color in the final state

- The cross section is

$$\hat{\sigma}(gg \rightarrow \psi g) = \frac{9\pi^2}{8m_\psi^3(\pi^2 - 9)} \Gamma(\psi \rightarrow ggg) I\left(\frac{\hat{s}}{m_\psi^2}\right) \quad (5.26)$$

where

$$I(x) = \frac{2}{x^2} \left[\frac{x+1}{x-1} - \frac{2x \ln x}{(x-1)^2} \right] + \frac{2(x-1)}{x(x+1)^2} + \frac{4 \ln x}{\hat{s}(x+1)} \quad (5.27)$$

- Note that $I(x)$ is not singular at $x=1$, $I(1)=0$
- 3S_1 states can be produced also by $q\bar{q}$ fusion, the inverse of $\psi \rightarrow q\bar{q}$
- The cross section for this process is

$$\hat{\sigma}(q\bar{q} \rightarrow \mathcal{O}) = \frac{4\pi^2}{9m_{\mathcal{O}}^2} (2J+1) \Gamma(\mathcal{O} \rightarrow q\bar{q}) \delta\left(1 - \frac{\hat{s}}{m_{\mathcal{O}}^2}\right) \quad (5.28)$$

- The production of quarkonium states is dominated by gg fusion
- η_c is the most-strongly produced state

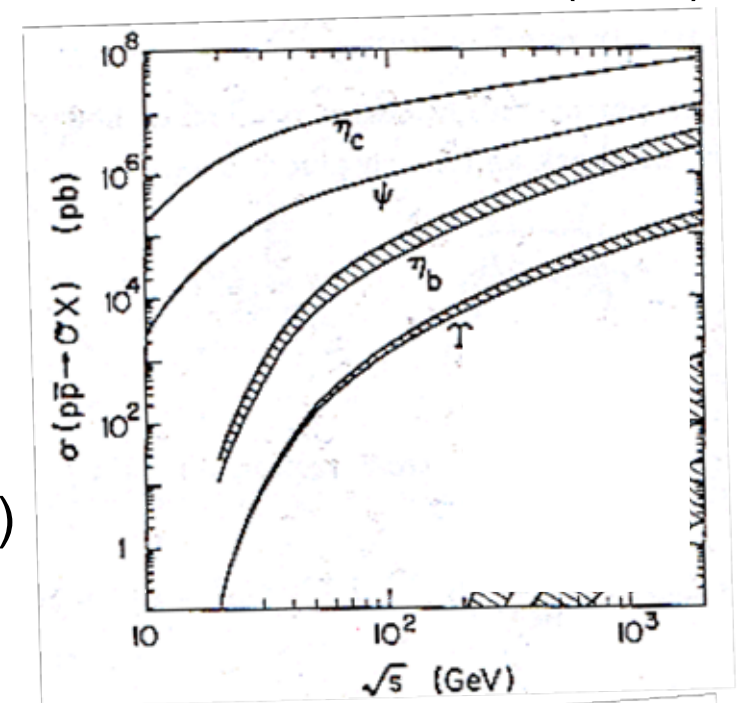


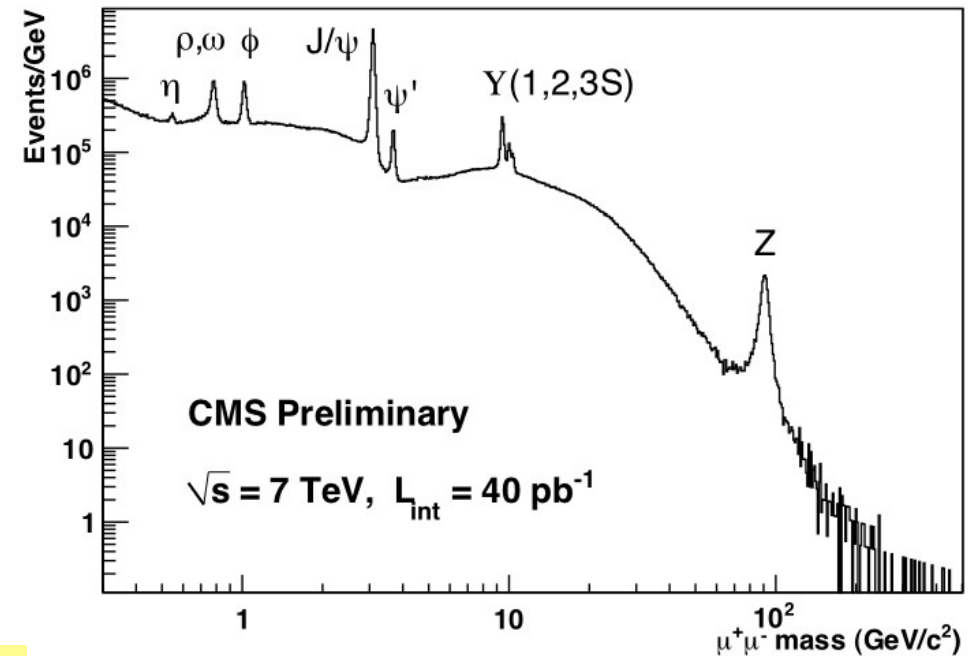
Fig. 10.17. Predicted quarkonium production cross sections in $p\bar{p}$ (or pp) collisions, resulting from $gg \rightarrow \mathcal{O}$ subprocesses with enhancement $K = 2$, using Duke-Owens distributions. $\chi_J \rightarrow \psi\gamma$ decays are included. Shaded areas represent ranges of uncertainty from potential models. $m_t = 40$ GeV is assumed here.

V.3.3 ($Q\bar{Q}$) Production via $q\bar{q}$ Annihilation

- ❑ However, the ψ & Υ states can be identified in $\ell^+\ell^-$ mass distributions while for η_Q states no simple signature exists
- ❑ Lowest-order parton subprocesses for production of heavy $Q\bar{Q}$ pairs are $q\bar{q}$ production and gg fusion
- ❑ Differential cross section for $q\bar{q}$ fusion

$$\frac{d\hat{\sigma}(q\bar{q} \rightarrow Q\bar{Q})}{d\hat{t}} = \frac{4\pi^2}{9\hat{s}^4} \alpha_s^2 \left[(m^2 - \hat{t})^2 + (m^2 - \hat{u})^2 + 2m^2\hat{s} \right]$$

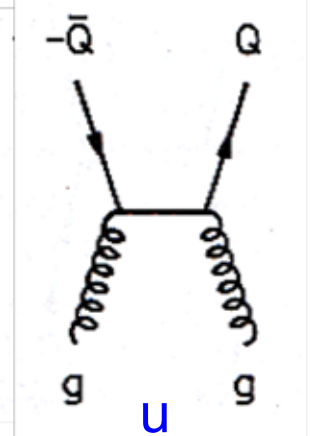
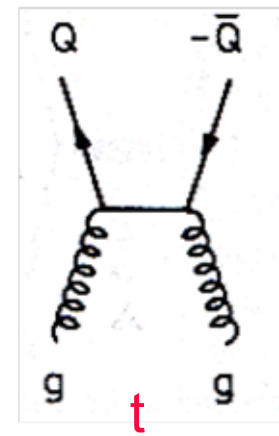
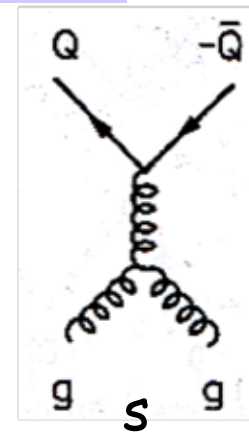
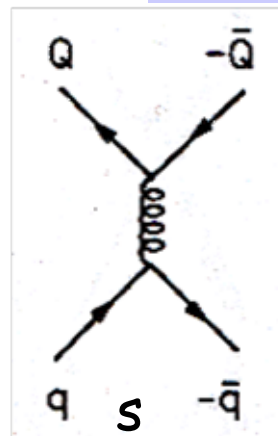
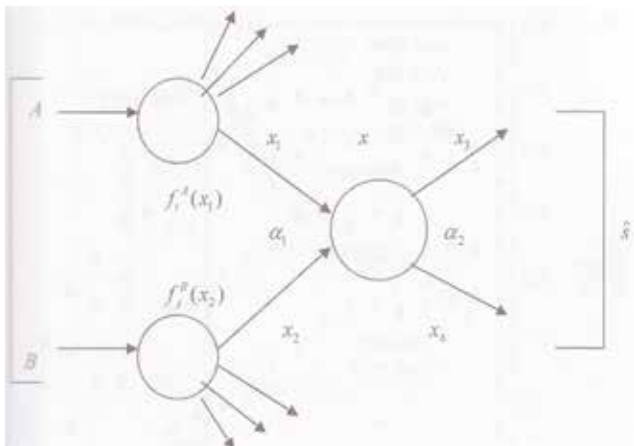
$$\hat{t} = (q - Q)^2, \quad \hat{u} = (q - \bar{Q})^2$$



$$(5.29) \quad \text{where } \hat{s} = (q + \bar{q})^2,$$

$q\bar{q} \rightarrow Q\bar{Q}$

$gg \rightarrow Q\bar{Q}$



V.3.4 ($Q\bar{Q}$) Production via gg Fusion

- The differential cross section for gg fusion is

$$\frac{d\hat{\sigma}(g_1 g_2 \rightarrow Q\bar{Q})}{d\hat{t}} = \frac{\pi}{8\hat{s}^2} \alpha_s^2 \left[\frac{6(m^2 - \hat{t})(m^2 - \hat{u})}{\hat{s}^2} - \frac{m^2(\hat{s} - 4m^2)}{3(m^2 - \hat{t})(m^2 - \hat{u})} \right] \quad (5.30)$$

$$+ \frac{4}{3} \frac{(m^2 - \hat{t})(m^2 - \hat{u}) - 2m^2(m^2 + \hat{t})}{(m^2 - \hat{t})^2} - 3 \frac{(m^2 - \hat{t})(m^2 - \hat{u}) + m^2(\hat{u} - \hat{t})}{\hat{s}(m^2 - \hat{t})}$$

$$+ \frac{4}{3} \frac{(m^2 - \hat{t})(m^2 - \hat{u}) - 2m^2(m^2 + \hat{u})}{(m^2 - \hat{u})^2} - 3 \frac{(m^2 - \hat{t})(m^2 - \hat{u}) + m^2(\hat{t} - \hat{u})}{\hat{s}(m^2 - \hat{u})}$$

← \hat{t}
← symmetry →
 \hat{u} →

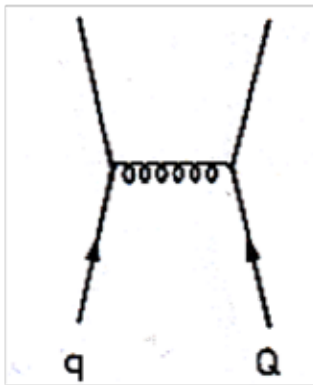
where $\hat{s} = (g_1 + g_2)^2$, $\hat{t} = (g_1 - Q)^2$, $\hat{u} = (g_1 - \bar{Q})^2$

- Note, that the \hat{t}^{-2} and \hat{u}^{-2} singularities of $gg \rightarrow qq$ massless quark production have been removed by the presence of $m_Q = m$, since $\hat{t} < 0$ and $\hat{u} < 0$ due to kinematics
- The running coupling constant $\alpha_s(Q^2)$ is evaluated at appropriate scale, usually $Q^2 = p_T^2$, $\hat{s}/4$ or \hat{s}
- For the latter choice $\alpha_s(Q^2)$ is finite at small p_T

V.4 Open Flavor in Hadroproduction

- There are also flavor excitation processes in which a heavy quark is scattered out of the sea in one of the incident hadrons

$qQ \rightarrow qQ$



$gQ \rightarrow gQ$

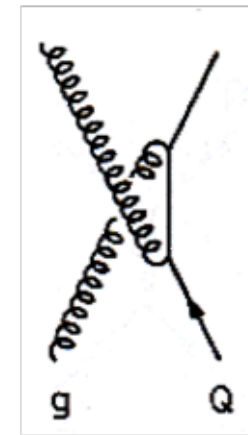
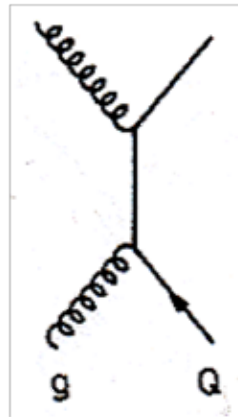
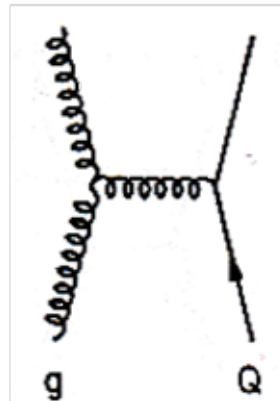


Fig. 10.20. Flavor-excitation subprocesses producing heavy quarks Q (and \bar{Q}).

- The corresponding cross sections are

$$\frac{d\hat{\sigma}(qQ \rightarrow q'Q')}{d\hat{t}} = \frac{4\pi}{9\hat{s}^2} \alpha_s^2 \left[\frac{(m^2 - \hat{u})^2 + (\hat{s} - m^2)^2 - m^2 \hat{t}}{\hat{t}^2} \right] \quad (5.31)$$

where $\hat{s} = (q+Q)^2$, $\hat{t} = (q-q')^2$, $\hat{u} = (q-Q')^2$

V.4.1 Q Production via Gluons

$$\frac{d\hat{\sigma}(gQ \rightarrow g'Q')}{d\hat{t}} = \frac{\pi}{\hat{s}^2} \alpha_s^2 \left[\frac{2(\hat{s}-m^2)(m^2-\hat{u})}{\hat{t}^2} - \frac{m^2(4m^2-\hat{t})}{9(\hat{s}-m^2)(m^2-\hat{u})} \right] \quad (5.32)$$

† ← ← u

$\frac{4(\hat{s}-m^2)(m^2-\hat{u})+2m^2(\hat{s}+m^2)}{(\hat{s}-m^2)^2}$

$\frac{4(\hat{s}-m^2)(m^2-\hat{u})+2m^2(m^2+\hat{u})}{(m^2-\hat{u})^2}$

$+\frac{(\hat{s}-m^2)(m^2-\hat{u})+m^2(\hat{s}-\hat{u})}{\hat{t}(\hat{s}-m^2)}$

$+\frac{(\hat{s}-m^2)(m^2-\hat{u})+m^2(\hat{u}-\hat{s})}{\hat{t}(\hat{u}-m^2)}$

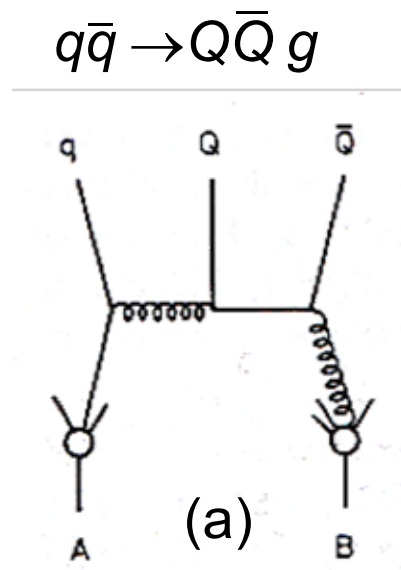
s → ← u

where $\hat{s}=(g+Q)^2$, $\hat{t}=(g-g')^2$, $\hat{u}=(g-Q')^2$ $s \leftrightarrow u$ symmetry
 ➔ obtain from eq 5.30 by crossing relation $t \leftrightarrow s$, & factor (-1)

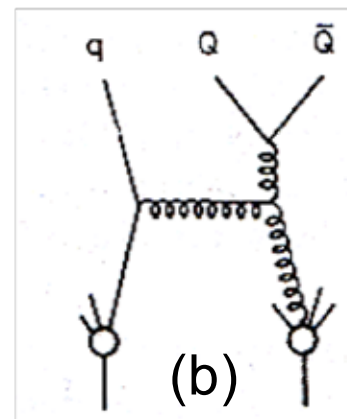
- ❑ These flavor excitations subprocesses present some difficulties, when we try to calculate realistic final states from them
 - i) It is not possible to arrange realistic kinematics to allow both the struck quark Q & its partner \bar{Q} to come on-shell in a single collision where \bar{Q} is unseen and unspecified
 - ii) It is unsatisfactory not to know what happened to Q , so we may want to include its decays products in the final state

V.4.2 $Q\bar{Q}g$ and $Q\bar{Q}q$ Production

- ❑ iii) Subprocess cross sections have soft & collinear divergences at $\hat{t}=0$, where the exchange gluon comes into its mass shell seeming to require an arbitrary cut-off
- ❑ Difficulties (i) & (ii) resemble problems of exciting heavy sea quarks in EW lepton scattering
- ❑ The solution is to go to higher-order QCD, where explicit $g \rightarrow Q\bar{Q}$ vertices provide a dynamical model of the $Q\bar{Q}$ sea
- ❑ The $2 \rightarrow 3$ parton subprocesses that produce $Q\bar{Q}$ pairs are



$gq(\bar{q}) \rightarrow Q\bar{Q}q(\bar{q})$



$gg \rightarrow Q\bar{Q}g$

Fig. 10.21. Examples of $2 \rightarrow 3$ parton processes producing $Q\bar{Q}$ heavy quark pairs in the collision of hadrons A and B : (a) flavor excitation of the sea, (b) gluon fragmentation.

V.4.2 $Q\bar{Q}g$ and $Q\bar{Q}q$ Production

- ❑ Lowest-order contributions are $\mathcal{O}(\alpha_s^3)$ tree graphs yielding rather lengthy formula (see Nuc. Phys. B282, 643 1987)
- ❑ The above graphs show some interesting feature
 - iv) They show flavor excitation $qQ \rightarrow qQ$ scattering from a $Q\bar{Q}$ pair explicitly generated by a gluon (solves problems i & ii), since heavy quark kinematics are specifically put in and we exactly know what happened to the spectator Q
 - v) they have soft and collinear divergences when $p_T(Q\bar{Q}) \rightarrow 0$ and the momentum of exchanged gluon goes on-shell \rightarrow problem iii
 - when this, however, happens, we can see that the on-shell gluon is simply part of an empirical parton distribution in hadron A , so this configuration is already included in gluon fusion cross section $gg \rightarrow Q\bar{Q}$
 - Thus, a major part of the flavor excitation is already included in $gg, q\bar{q} \rightarrow Q\bar{Q}$ calculation \rightarrow it would be double counting if it were included separately \rightarrow the cut-off should be at the scale of evolution of the parton distribution

V.4.2 $Q\bar{Q}g$ and $Q\bar{Q}q$ Production

- ❑ vi) Figure b illustrates gluon fragmentation as a source of $Q\bar{Q}$ pairs
 - The hard contributions originate from highly virtual gluons, while soft fragmentation is not expected to produce $Q\bar{Q}$. As shown here, they appear explicitly in order $\mathcal{O}(\alpha_s^3)$ (higher orders can be calculated by MC shower techniques)
 - Gluon fragmentation is expected to be the major source of $c\bar{c}$ & $b\bar{b}$ production at the LHC
- ❑ Lets compare gluon fragmentation to gluon fusion

$$\frac{\sigma(gg \rightarrow Q\bar{Q}g)}{\sigma(gg \rightarrow Q\bar{Q})} \simeq \frac{\sigma(gg \rightarrow gg)}{\sigma(gg \rightarrow Q\bar{Q})} \frac{\alpha_s(4m_Q^2)}{3\pi} \ln\left(\frac{\hat{s}}{4m_Q^2}\right) \quad (5.33)$$

- ❑ If $\hat{s} \gg 4m_Q^2$, gluon fragmentation dominates over gluon fusion as $Q\bar{Q}$ source
- ❑ To avoid double counting, the strategy is to focus on $2 \rightarrow 2$ and $2 \rightarrow 3$ channels for $Q\bar{Q}$ production

V.4.2 $Q\bar{Q}g$ and $Q\bar{Q}q$ Production

- ❑ The total cross section is approximately given by the $2 \rightarrow 2$ subprocesses $q\bar{q} \rightarrow Q\bar{Q}$ and $gg \rightarrow Q\bar{Q}$, evolving them up to the appropriate scale $Q^2 = p_T^2 + m_Q^2$ or $\hat{s}/4$
- ❑ Major contributions from flavor excitations and $2 \rightarrow 3$ channels arise when the exchanged gluon approaches the mass shell that are already included in $qQ \rightarrow qQ$ and $gQ \rightarrow gQ$ diagrams
- ❑ Thus, the diagram of $2 \rightarrow 3$ channels in a) and b) do not give large additional contributions
- ❑ In effect, we assume that $Q\bar{Q}$ was produced by 2 gluons, one from each hadron or else from one gluon where 2 parents from this gluon came from different hadrons
- ❑ This includes all $\mathcal{O}(\alpha_s^3)$ quark-excitation-type processes and some of the gluon fragmentation, except for gluons in initial-state or final-state showers

V.4.3 $Q\bar{Q}$ via EW Production

- ❑ Furthermore, EW channels need to be added:
 $q\bar{q}' \rightarrow W^\pm \rightarrow Q\bar{Q}'$, $q\bar{q} \rightarrow \gamma \rightarrow Q\bar{Q}$ and $q\bar{q} \rightarrow Z^0 \rightarrow Q\bar{Q}$
- ❑ These contributions are very small compared to QCD
- ❑ The EW calculations can be normalized to $W \rightarrow e\nu$ and $Z \rightarrow e^+e^-$ data
- ❑ Using parton distributions by the D0 experiment
 typical predictions at $s^{1/2}=630$ GeV and $s^{1/2}=2$ TeV for $Q^2 = \hat{s}/4$, $\Lambda=0.2$ GeV, $n_f=5$, first-order α_s corrections and but without other non-LLA corrections

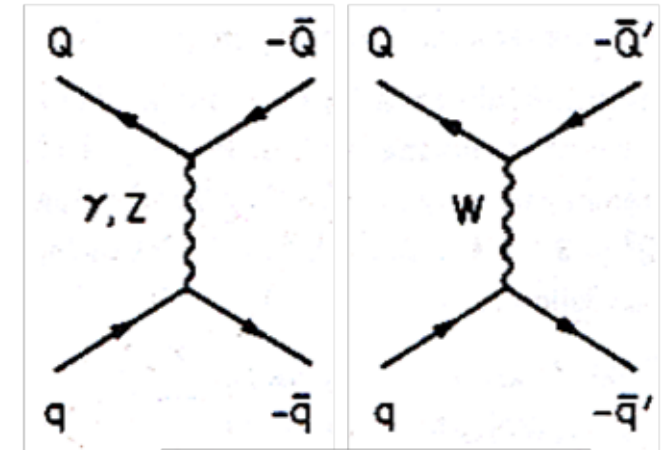


Fig. 10.22. Electroweak contributions to heavy quark hadroproduction.

cross section	$s^{1/2}=630$ GeV	$s^{1/2}=2$ TeV
$\sigma(\text{QCD} \rightarrow c\bar{c})$	140 μb	300 μb
$\sigma(\text{QCD} \rightarrow b\bar{b})$	6 μb	25 μb
$\sigma(\text{QCD} \rightarrow t\bar{t})$	<0.6 μb	<10 μb

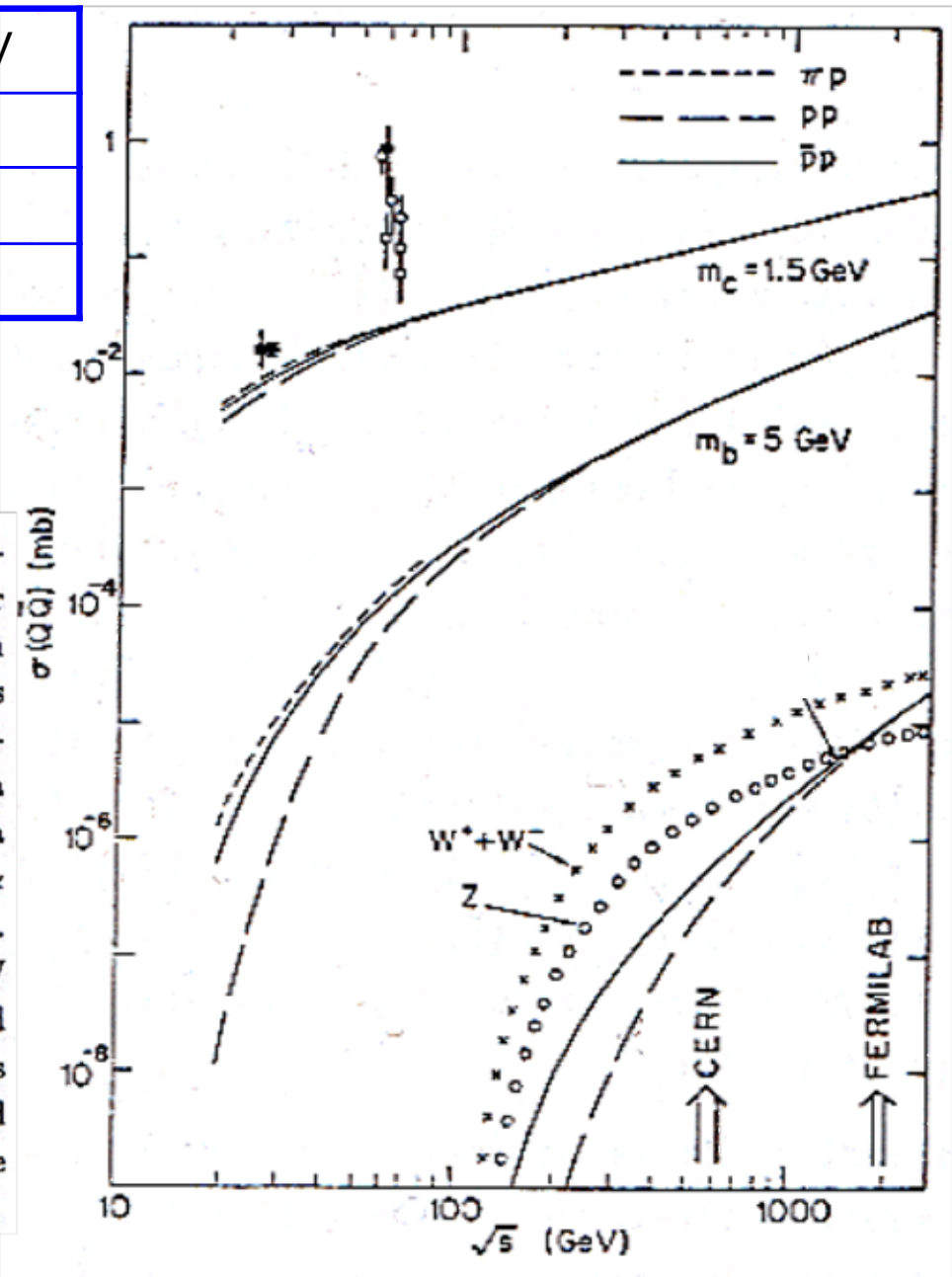
V.4.4 $Q\bar{Q}$ Production: Recombination

cross section	$s^{1/2}=630$ GeV	$s^{1/2}=2$ TeV
$\sigma(Z \rightarrow c\bar{c})$	0.2 nb	0.8 nb
$\sigma(Z \rightarrow b\bar{b})$	0.3 nb	1.0 nb
$\sigma(W \rightarrow c\bar{s})$	1.7 nb	5.8 nb

□ Besides perturbative QCD & EW production 2 other processes contribute

a) recombination: a heavy quark may be picked up by one or more valence quarks & form a fast baryon qqQ or meson $q\bar{Q} \rightarrow$ fast flavored hadrons (small effect on total cross section)

Fig. 10.23. Calculations of heavy flavor production based on $2 \rightarrow 2$ subprocesses for πp , pp and $p\bar{p}$ collisions, compared to a few selected data points. Data at $\sqrt{s} \simeq 60$ GeV are for pp . Data at $\sqrt{s} \simeq 25$ GeV are for πp (star) and pp (circle). The cross sections for W^\pm and Z^0 production are also shown.



V.4 V.4.5 $Q\bar{Q}$ Production: Diffraction

b) diffraction: the incident p is excited by vacuum exchange to a high mass N^* with essentially unchanged energy that can decay into Q -flavored hadrons, one of which can be fast

□ Both processes cannot be reliably predicted

□ They are characterized by low p_T and high x_F
($x_F = p_{D \text{ long}}/p_{\text{max}}$)

□ Present data are contradictory: In $\pi p \rightarrow DX$ charm production we observe a 20% diffractive component in $d\sigma/dx_F \sim (1 - |x_F|)^n$ with $n=1-2$, while rest agrees with QCD fusion with $n=6-8$

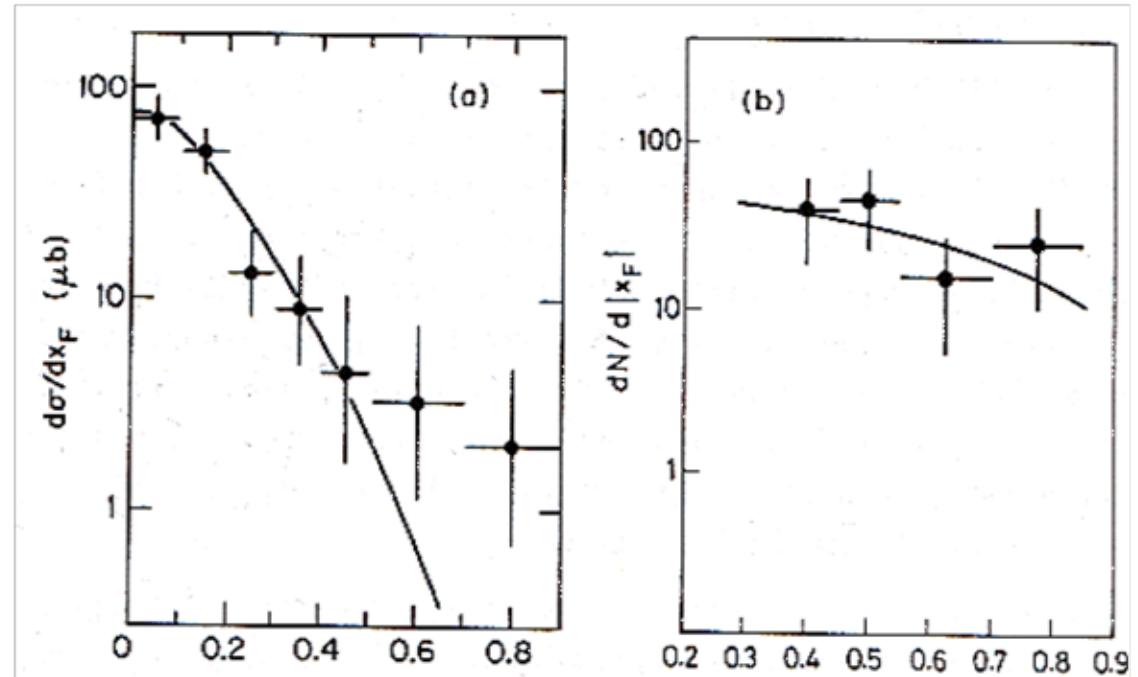
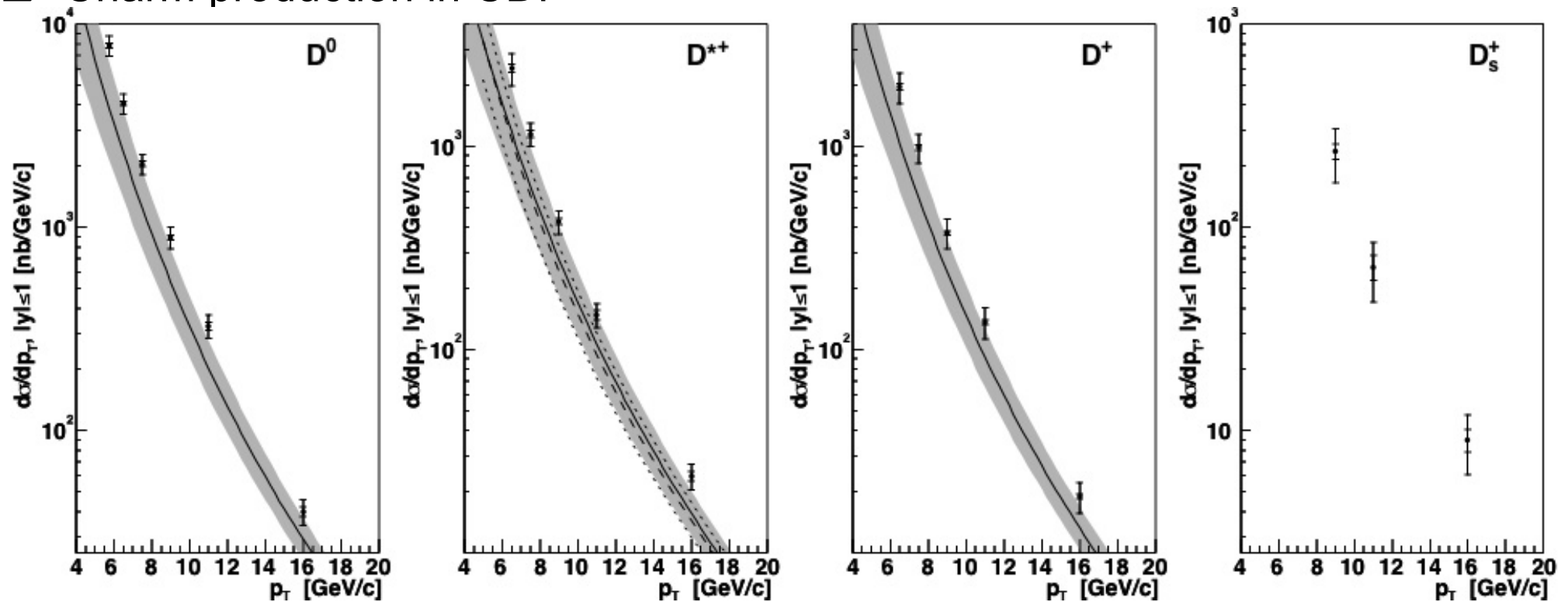


Fig. 10.24. (a) x_F dependence of inclusive $\pi p \rightarrow D$ production with $x_F > 0$ at $\sqrt{s} = 26$ GeV (NA27 experiment, Phys. Lett. **161B**, 400 (1985)); the curve is a $2 \rightarrow 2$ QCD calculation with δ -function fragmentation, normalized to the data. (b) x_F dependence of inclusive $pp \rightarrow \Lambda_c$ production at $\sqrt{s} = 63$ GeV (CERN-Bologna group, Nuovo Cim. **65A**, 408 (1981)); the curve is an empirical fit $\sim (1 - |x_F|)^{0.9}$.

V.4.5 $Q\bar{Q}$ Production: Open Charm

Charm production in CDF



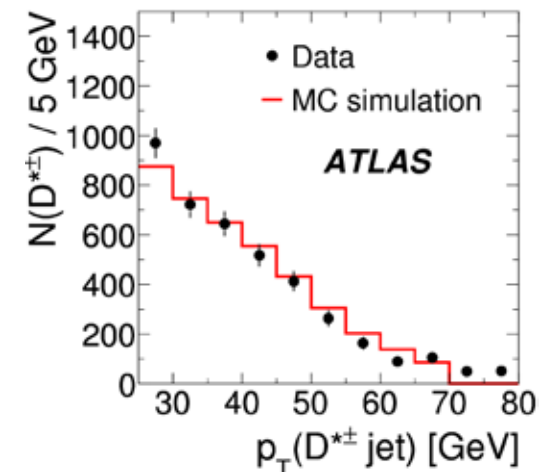
The observed cross sections are

$$\sigma(D^0)_{p_t > 6 \text{ GeV}} = 9.3 \pm 0.1 \pm 1.1 \text{ pb}$$

$$\sigma(D^{*\pm})_{p_t > 6 \text{ GeV}} = 5.1 \pm 0.1 \pm 0.8 \text{ pb}$$

$$\sigma(D^\pm)_{p_t > 6 \text{ GeV}} = 4.2 \pm 0.1 \pm 0.7 \text{ pb}$$

(5.34)



V.4.6 $Q\bar{Q}$ Production: Open Charm and Beauty

- ❑ We also see an excess of D mesons that share the valence quark with the incident π , $\pi^+ \rightarrow D^0, D^+$ but not $\pi^+ \rightarrow \bar{D}^0, D^-$
- ❑ In contrast, the ISR data for $pp \rightarrow \Lambda_c^+ X$ suggest an overwhelming diffractive component but such a strong onset of diffraction over a relatively small change of energy would be surprising (open issue)
- ❑ Note that the heavy quarks are tagged by leptons or in a new jet
- ❑ Actually, B^0 - \bar{B}^0 oscillations were discovered that way
- ❑ UA1 looked at the ratio of like-sign to unlike-sign leptons

$$\frac{N^{\pm\pm}}{N^{\pm\mp}} = \frac{2\chi(1-\chi)}{\chi^2 + (1-\chi)^2} \quad \chi = f_d\chi_d + f_s\chi_s \quad (5.35)$$

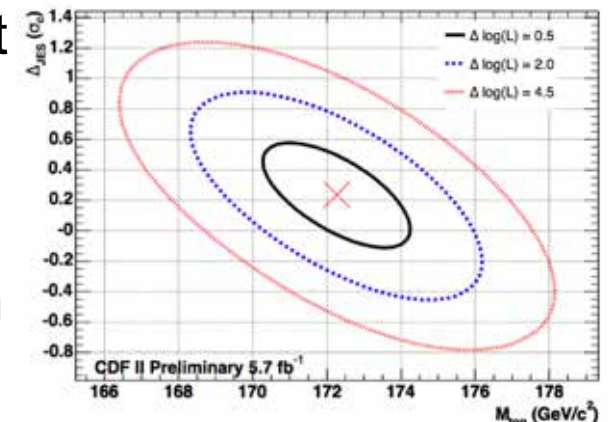
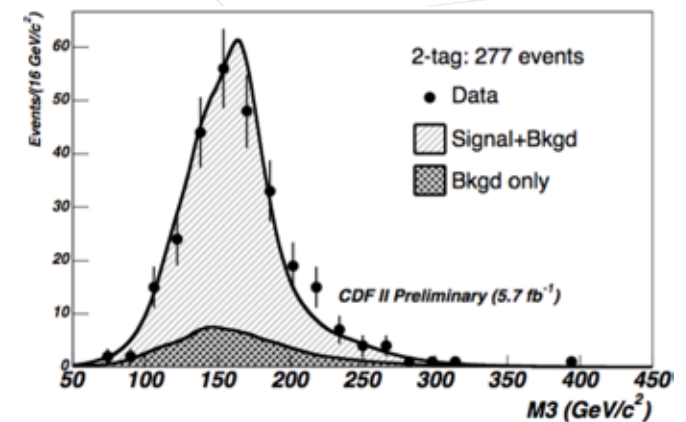
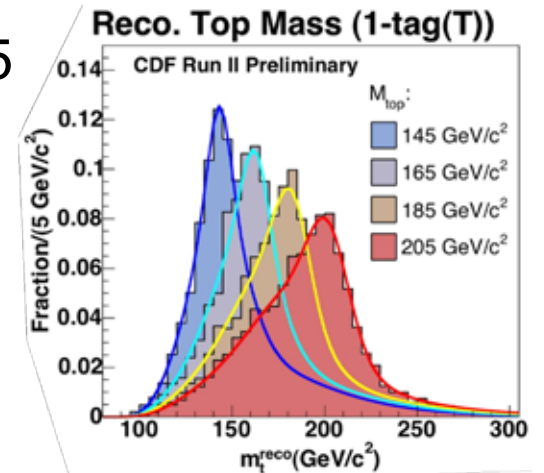
- ❑ χ gives the probability for oscillations $B^0 \rightarrow \bar{B}^0$
- ❑ In a sample of 512 $\mu\mu$ events they measured

$$\chi = 0.121 \pm 0.047 \quad (5.36)$$

- ❑ Note, though $\chi = x^2/(2x^2+2)$ and x_d has been measured this does not give the sensitivity to extract x_s

V.5 Production of the top Quark

- ❑ CDF & D0 discovered the top quark in $p\bar{p} \rightarrow t\bar{t} X$ in 1995
- ❑ The decay proceeds 100% via $t \rightarrow bW^+$
- ❑ Since W bosons decay as $W^+ \rightarrow \ell^+ \nu$ or $q\bar{q}$, we get 3 classes of events: $\ell^+ \ell'^+ \nu\bar{\nu}' b\bar{b}$, $\ell \nu q\bar{q}' b\bar{b}$ or $q_1\bar{q}'_1 q_2\bar{q}'_2 b\bar{b}$
 \rightarrow 2 leptons plus 2 jets, 1 lepton plus 4 jets or 6 jets
- ❑ The top was observed first in the $\ell \nu q\bar{q}' b\bar{b}$ decay with a W mass constraint in the $\ell\nu$ decay
- ❑ CDF reconstructed m_t templates in terms of top mass and jet energy scale (JES) \rightarrow extract m_t pdfs from multidimensional templates
- ❑ Construct W-mass templates as a function of m_t & JES and background templates that are independent of m_t and JES
- ❑ Validate technique on independent sample
- ❑ CDF and D0 measured mass and other properties in all channels



V.5.1 Top Quark at LHC

❑ ATLAS and CMS have measured the top quark properties

❑ In the $t\bar{t} \rightarrow b\bar{b}j_1j_2j_3j_4$ analysis
ATLAS reconstructs a c^2 based
on j_1j_2 , j_3j_4 and bj_1j_2 or bj_3j_4
masses producing templates for
the 3-jet/2-jet mass ratio: $R_{3/2}$

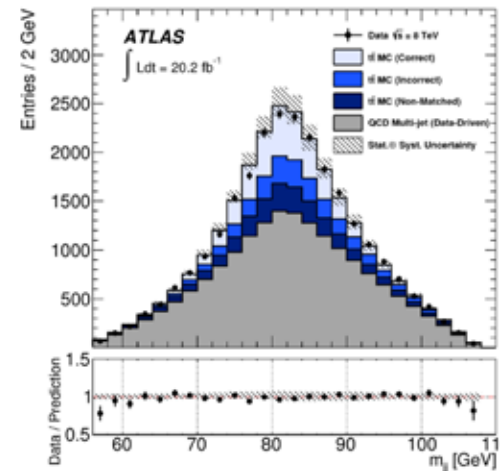
❑ Generate $R_{3/2}$ distributions with
different masses (template)

❑ Measure top mass

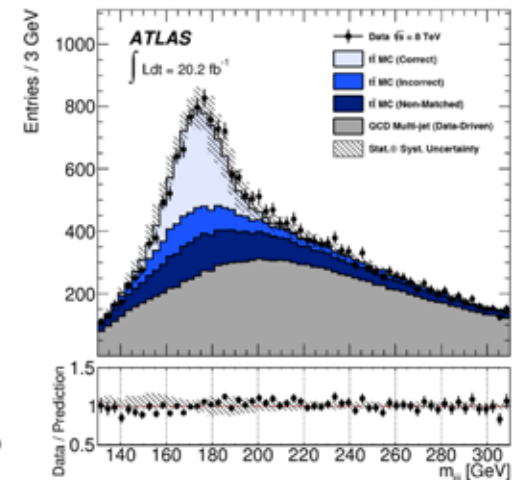
$$m_{top} = 173.72 \pm 0.55_{stat} \pm 1.01_{sys} \text{ GeV} \quad (5.36)$$

- $R_{3/2}$ distribution generated for different m_t
- Fit signal with Novosibirsk and background Landau functions

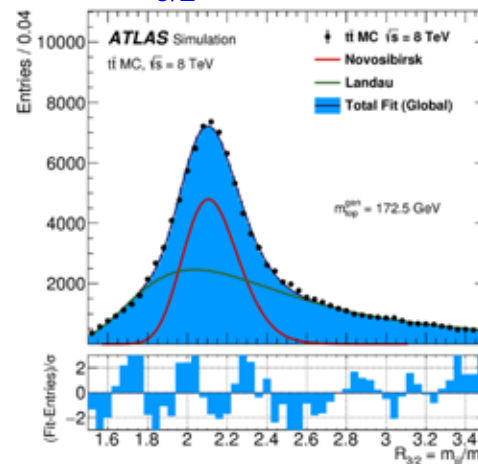
W mass



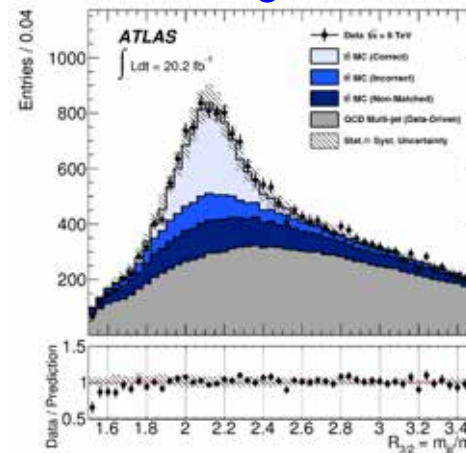
Top mass



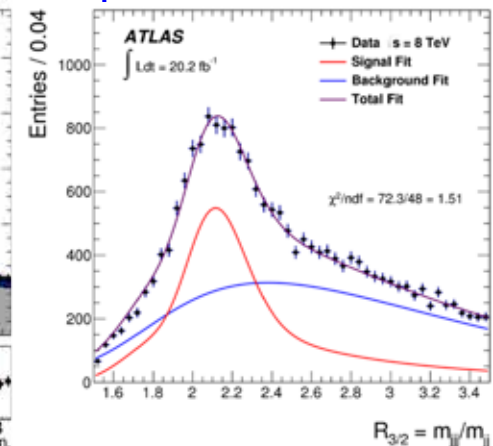
MC $R_{3/2}$ for 172.5 GeV



Observed $R_{3/2}$ wrt
simulated signal
and backgrounds



Observed $R_{3/2}$ fit to
signal plus background
templates



V.5.1 Top Quark at LHC

❑ In the $b\ell\nu$ channel ATLAS measures

$$m_{top} = 172.99 \pm 0.41_{stat} \pm 0.74_{sys} \text{ GeV} \quad (5.37)$$

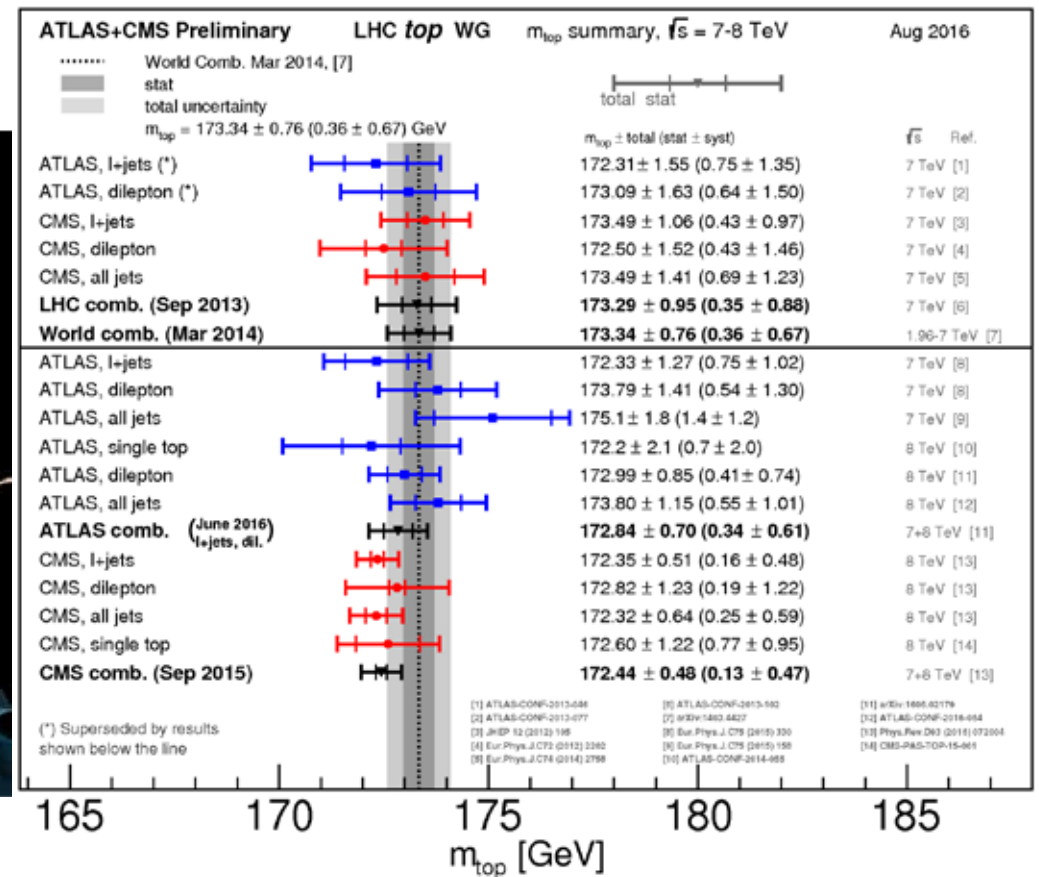
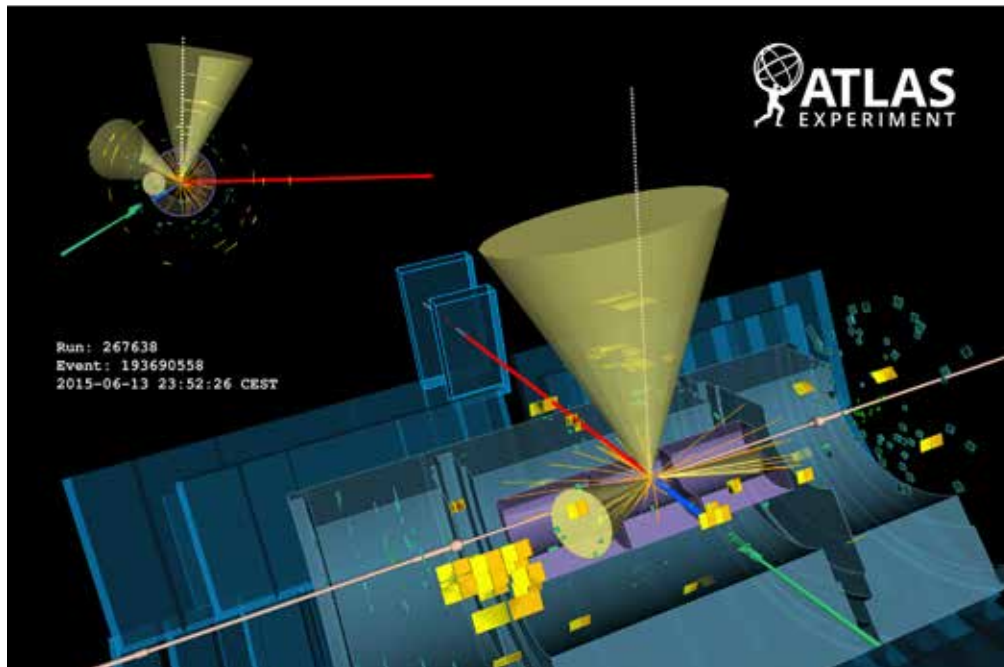
❑ The ATLAS m_t average yields

$$m_{top} = 172.84 \pm 0.34_{stat} \pm 0.61_{sys} \text{ GeV} \quad (5.38)$$

❑ In comparison, CMS obtains

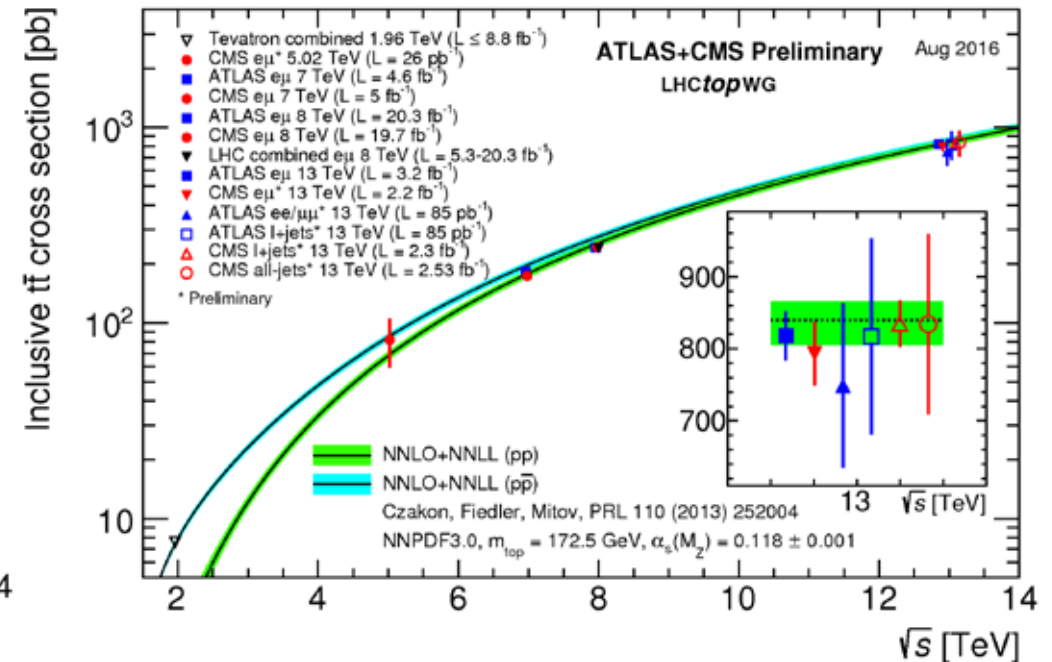
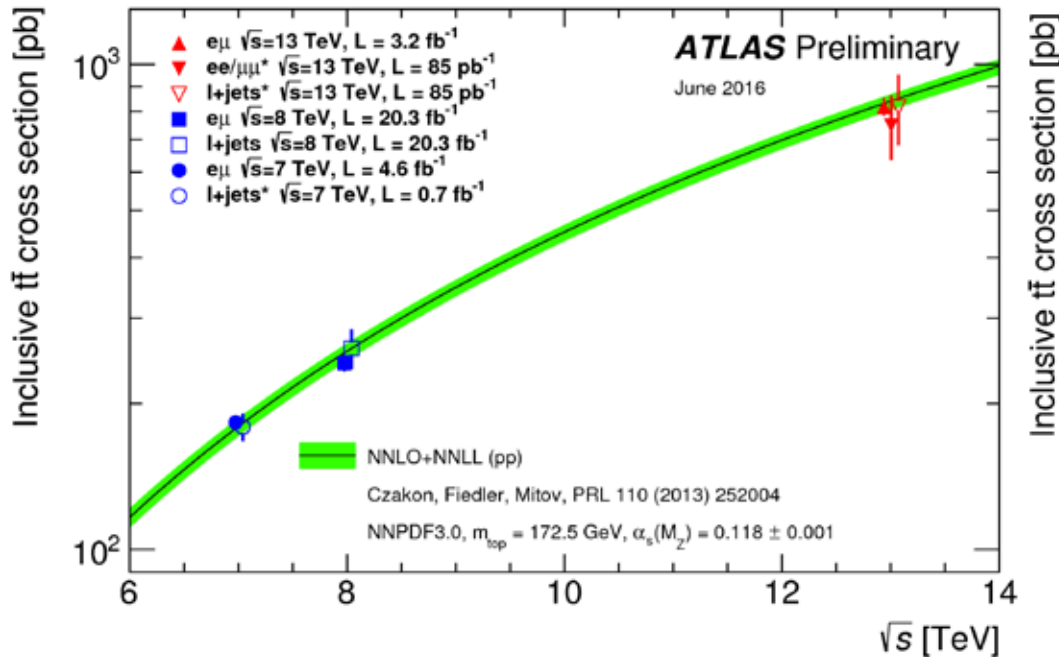
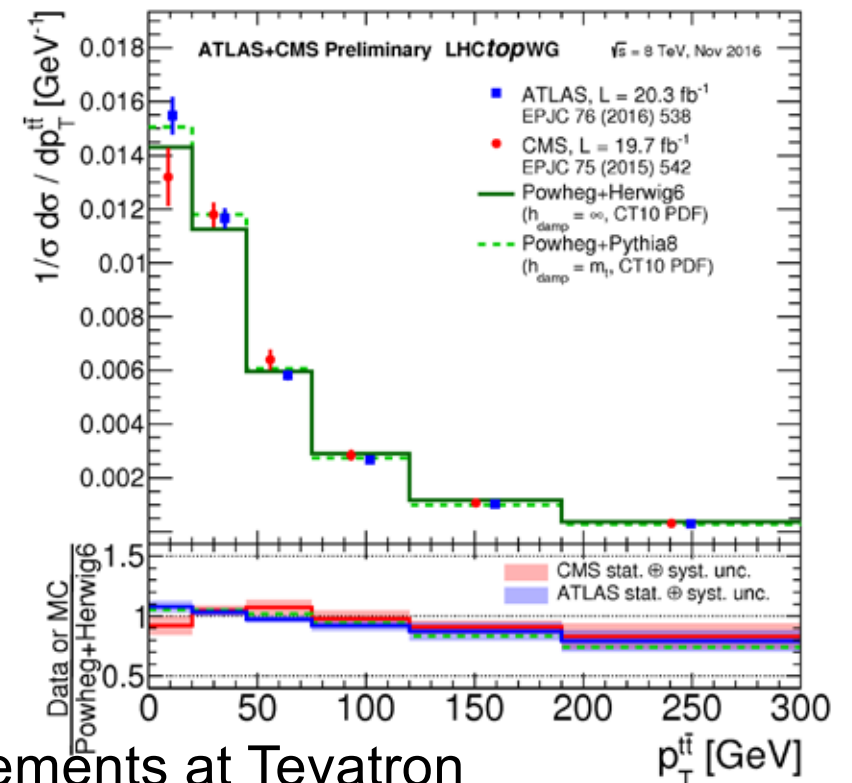
$$m_{top} = 172.44 \pm 0.13_{stat} \pm 0.47_{sys} \text{ GeV} \quad (5.39)$$

❑ $t\bar{t}$ event observed by ATLAS
in the $\ell^+\ell'^-\nu\bar{\nu}b\bar{b}$ channel



V.5.1 Top Quark at LHC

- Differential cross section as function $p_T(t\bar{t})$ falls rapidly in good agreement with prediction
- Total $t\bar{t}$ cross section agrees well with NNLL prediction for all measurements
- Furthermore, NNLL prediction describes well $pp \rightarrow t\bar{t}X$ cross section measurements at LHC and $p\bar{p} \rightarrow t\bar{t}X$ cross section measurements at Tevatron



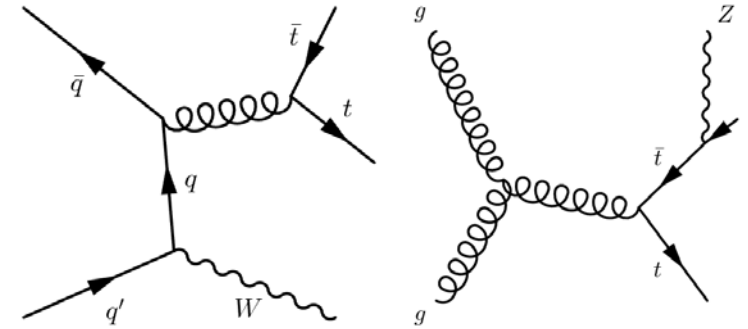
V.5.2 $t\bar{t} W$ and $t\bar{t} Z$ Production

- ATLAS measured $t\bar{t} W$ and $t\bar{t} Z$ Production at 8 TeV using 4 signal decay topologies for each vector boson and 5 control regions
- Use neural network to separate $t\bar{t} W$ & $t\bar{t} Z$ signal from backgrounds (7 inputs)
- See significant signal yields & measure cross sections consistent with the SM

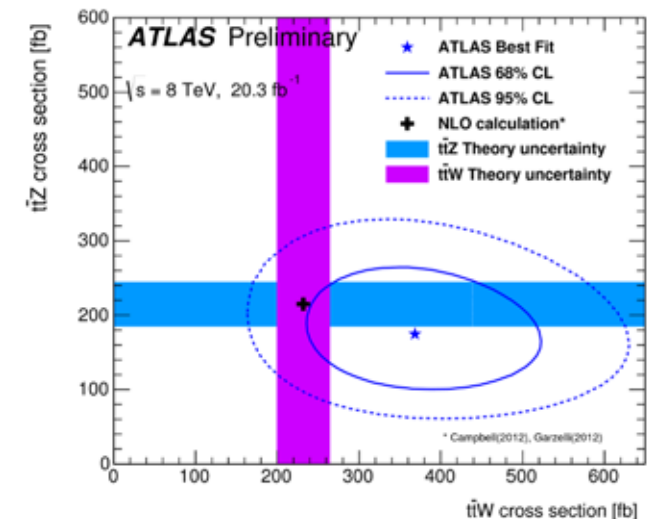
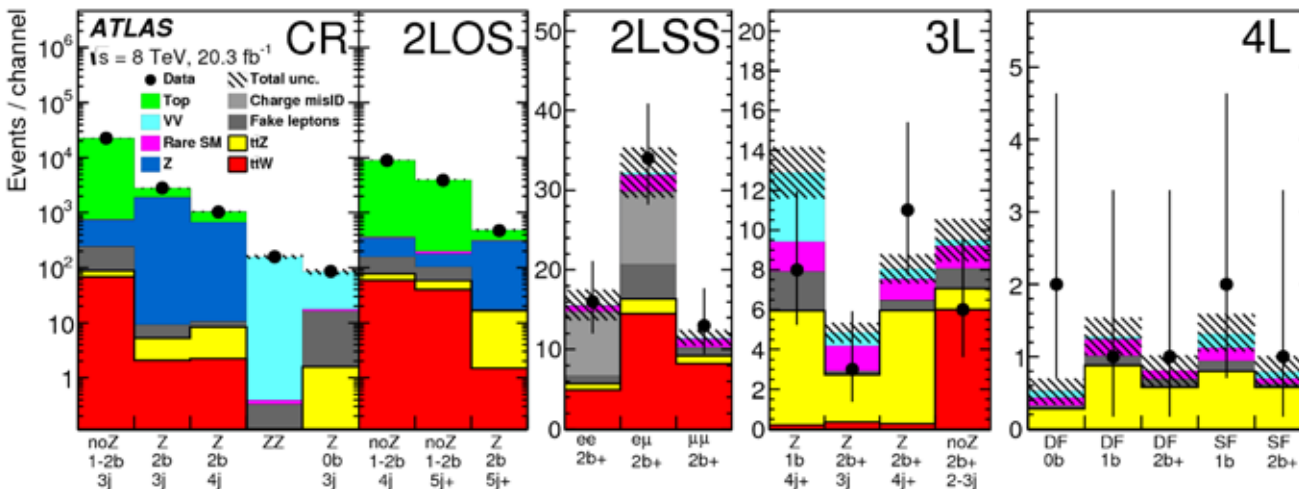
$$\sigma_{t\bar{t}W} = 369^{+86}_{-79(stat)} \pm 44_{sys} fb (5 \text{ s.d.}) \quad (5.40)$$

$$\sigma_{t\bar{t}Z} = 176^{+52}_{-48(stat)} \pm 24_{sys} fb (4.2 \text{ s.d.}) \quad (5.41)$$

- CMS has similar results

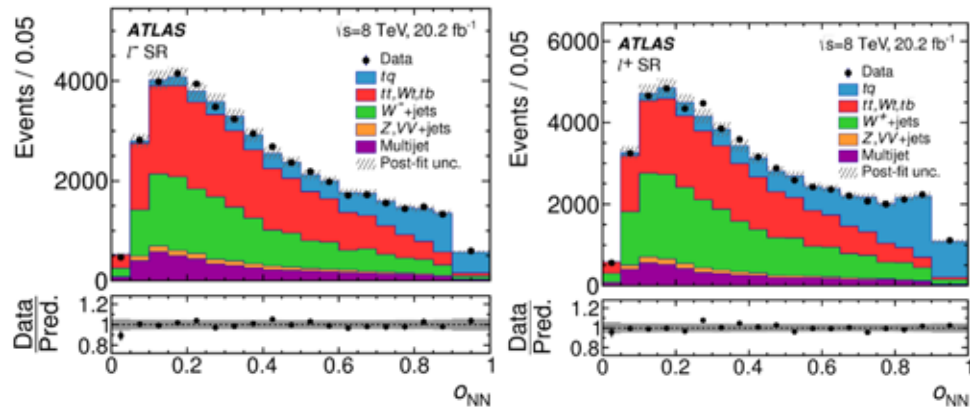


Process	$t\bar{t}$ decay	Boson decay	Channel	$Z \rightarrow \ell^+ \ell^-$
$t\bar{t}W^\pm$	$(\ell^\pm \nu b)(q\bar{q}b)$	$\ell^\mp \nu$	OS dilepton	no
	$(\ell^\pm \nu b)(\ell^\mp \nu b)$	$q\bar{q}$	OS dilepton	no
	$(\ell^\pm \nu b)(q\bar{q}b)$	$\ell^\pm \nu$	SS dilepton	no
	$(\ell^\pm \nu b)(\ell^\mp \nu b)$	$\ell^\pm \nu$	Trilepton	no
$t\bar{t}Z$	$(\ell^\pm \nu b)(\ell^\mp \nu b)$	$q\bar{q}$	OS dilepton	no
	$(q\bar{q}b)(q\bar{q}b)$	$\ell^+ \ell^-$	OS dilepton	yes
	$(\ell^\pm \nu b)(q\bar{q}b)$	$\ell^+ \ell^-$	Trilepton	yes
	$(\ell^\pm \nu b)(\ell^\mp \nu b)$	$\ell^+ \ell^-$	Tetralepton	yes

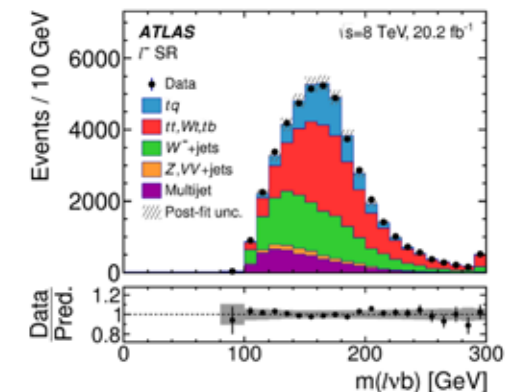
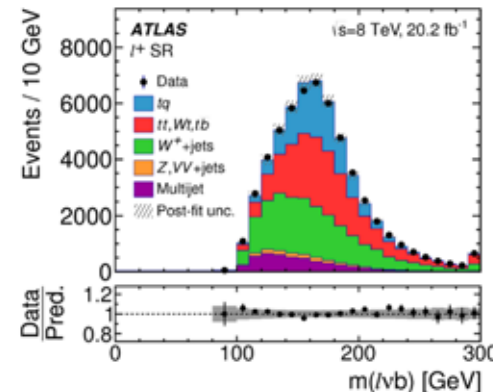
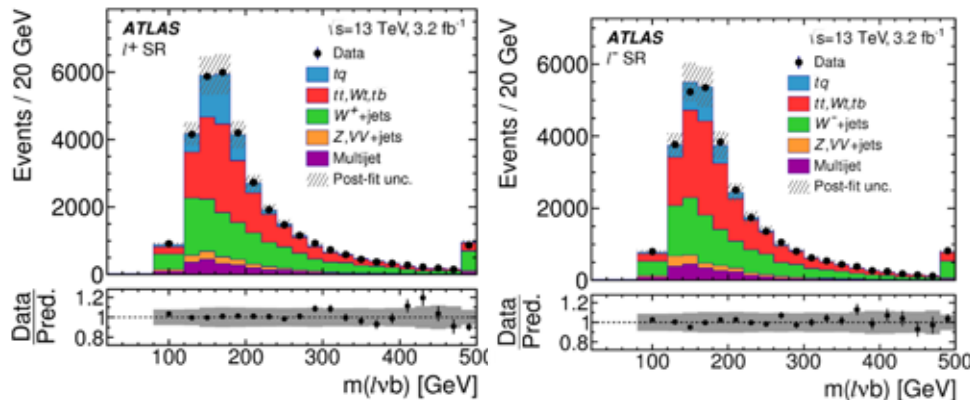
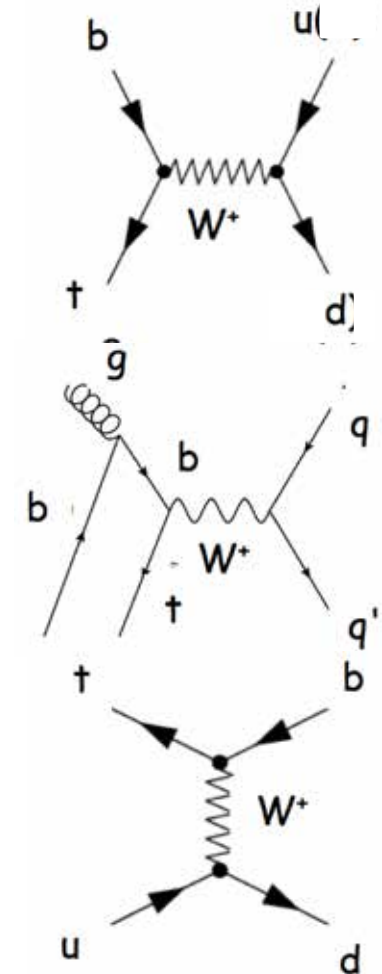


V.5.3 Single Top Production

- Single top was discovered by CDF and D0 in 2009
- t -channel production dominates over s -channel
- Study t -channel in tq mode with $t \rightarrow \ell \nu b$
- Use NN to separate signal from background (7 inputs)
- See significant signals



Process	$\hat{\nu}(\ell^+)$	$\hat{\nu}(\ell^-)$
tq	$11\,800 \pm 200$	17 ± 1
$\bar{t}q$	11 ± 1	$6\,920 \pm 170$
$t\bar{t}, Wt, t\bar{b}/\bar{t}b$	$19\,300 \pm 740$	$18\,900 \pm 730$
W^+ jets	$18\,800 \pm 780$	48 ± 2
W^- jets	23 ± 1	$13\,100 \pm 740$
Z, VV jets	1 290	1 190
Multijet	4 520	4 520
Total estimated	$55\,800 \pm 1\,100$	$44\,700 \pm 1\,100$
Data	55 800	44 687



V.5.3 Single Top Production

- ATLAS observes single top production in the s-channel at 3.2 s.d.
- ATLAS measures the cross sections in the t -channel and s-channel at 8 TeV: ATLAS NLO SM prediction

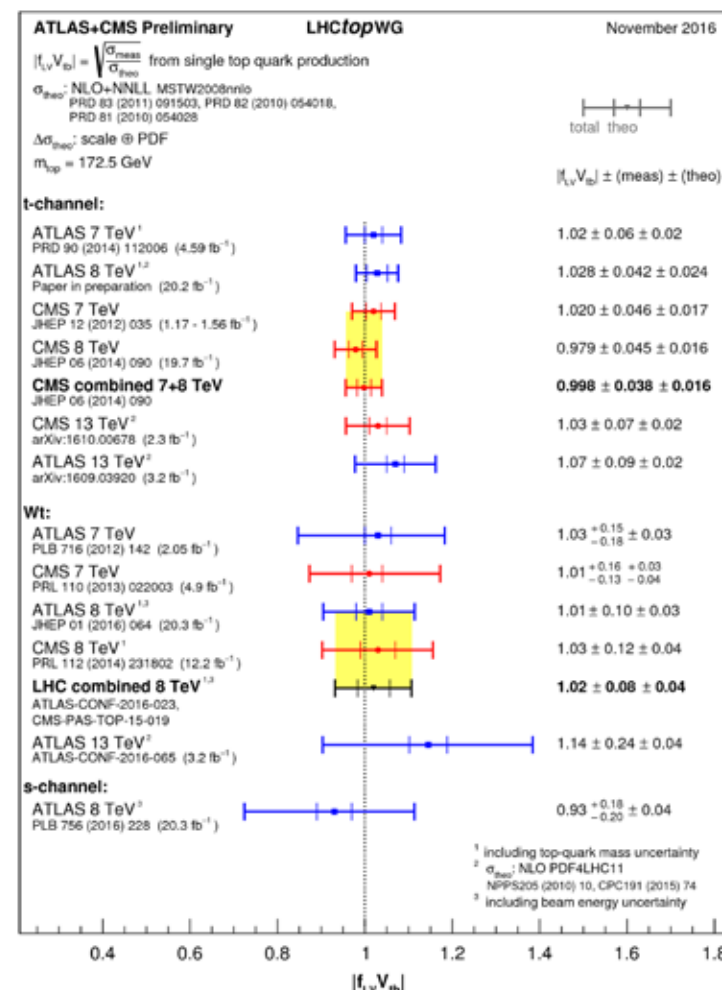
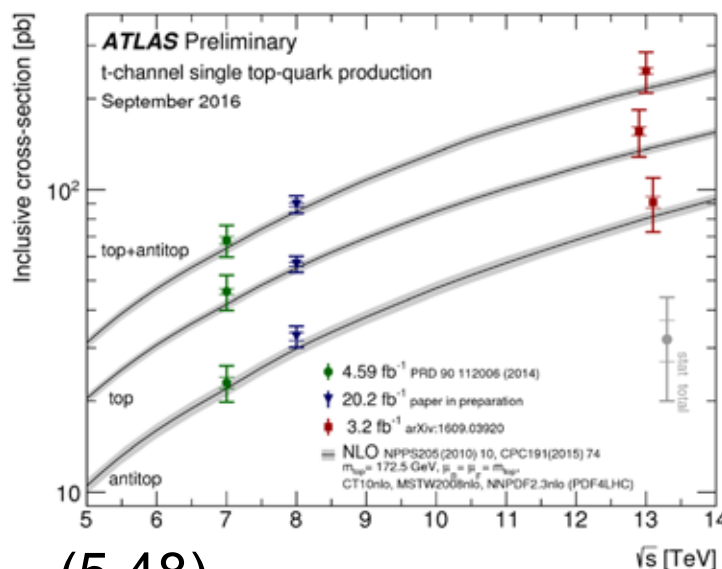
$$\begin{aligned}
 & t\text{-channel} \quad \sigma_{tot}(t\bar{q}) = 56.7^{+4.3}_{-3.8} \text{ pb} \quad (5.42) \quad \sigma_{tot}(\bar{t}q) = 54.9^{+2.3}_{-1.9} \text{ pb} \quad (5.43) \\
 & \quad \quad \quad \sigma_{tot}(\bar{t}q) = 32.9^{+3.0}_{-2.7} \text{ pb} \quad (5.44) \quad \sigma_{tot}(\bar{t}q) = 29.7^{+1.7}_{-1.5} \text{ pb} \quad (5.45) \\
 & s\text{-channel} \quad \sigma_s = 4.8^{+1.8}_{-1.5} \text{ pb} \quad (5.46) \quad \sigma_s^{th} = 5.61 \pm 0.22 \text{ pb} \quad (5.47)
 \end{aligned}$$

- Measurements are in good agreement with the NLO SM prediction

- From $\sigma_{tot}(t\bar{q} + \bar{t}q)$ determine $|V_{tb}|$

$$|V_{tb}| = 0.88 \pm 0.07 \quad (5.48)$$

- $|V_{tb}|$ measurements are consistent with 1 but errors are still large



V.6 Observables at Hadron Colliders

- ❑ In hadron colliders, we characterize processes by kinematic variables: transverse momentum p_T , azimuth angle ϕ and pseudorapidity η

$$\eta = -\ln(\tan[\theta/2]) \quad (5.49)$$

- ❑ For masses much smaller than p_T , pseudorapidity and rapidity are equal

where rapidity is defined as

$$y = \frac{1}{2} \ln \left(\frac{E + p_{\parallel}}{E - p_{\parallel}} \right) \quad (5.50)$$

- ❑ The separation between two objects i and j in the η - ϕ plane is

$$\Delta R_{i,j} = \sqrt{(\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2} \quad (5.51)$$

- ❑ Using these variables we define the transverse mass

$$E^2 - p_{\parallel}^2 = m^2 + p_T^2 \equiv m_T^2 \quad (5.52)$$

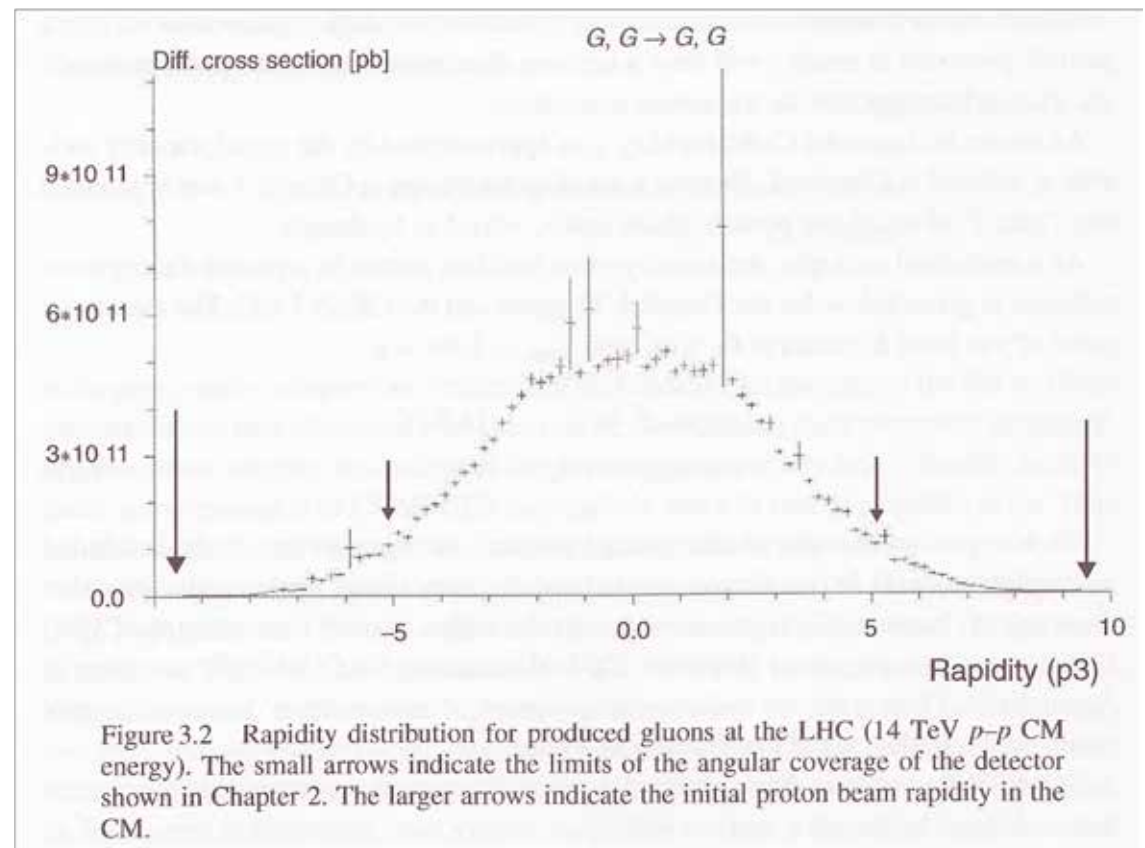
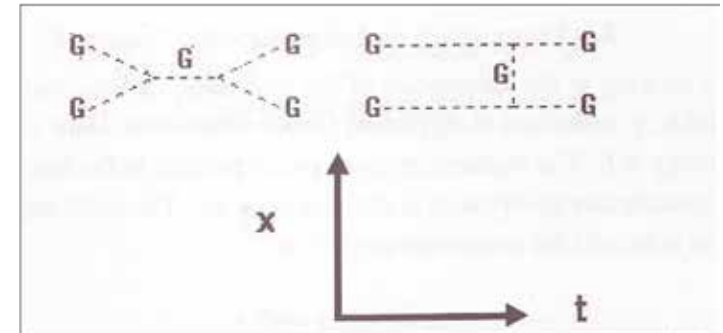
- ❑ Thus,

$$E = m_T \cosh y \quad (5.53)$$

- ❑ The maximum value of y at fixed E occurs at $p_T=0$, $\cosh y_{\max} = \gamma$, yielding $y_{\max}=7.7$ for the Tevatron (2 TeV) and $y_{\max}=9.6$ for LHC (14 TeV)

V.6.1 Observables at Hadron Colliders: Rapidity

- ❑ Gluon-gluon scattering is one of the dominant subprocesses in proton-proton collisions
- ❑ The rapidity distribution at 14 TeV shows a flat plateau of width $\Delta y = \pm 3$
- ❑ This indicates that the produced particles follow single particle phase space at wide angles
- ❑ The ATLAS coverage is $\Delta y = \pm 2.7$ muon system, $\Delta y = \pm 4.9$ em calorimeter $\Delta y = \pm 2.5$ tracking
- ❑ At the Tevatron at 1.96 TeV the plateau is only $\Delta y = \pm 2$



V.6.2 Jet Characteristics

- ❑ The jet production cross section depends on η , showing a steeper E_T dependence for large η
- ❑ We assume that the p is an incoherent sum of u & d valence quarks, radiated g plus a sea of $q\bar{q}$ pairs
- ❑ The reason is that 2 fundamental scales contribute here: the binding energy scale or size of proton and the hard or fundamental collision scale
- ❑ We operate at hard scale, $p_T \gg \Lambda_{\text{QCD}}$, since p will dissociate into partons with life time $1/\Lambda_{\text{QCD}}$ long wrt $1/p_T$
→ incoherent scattering

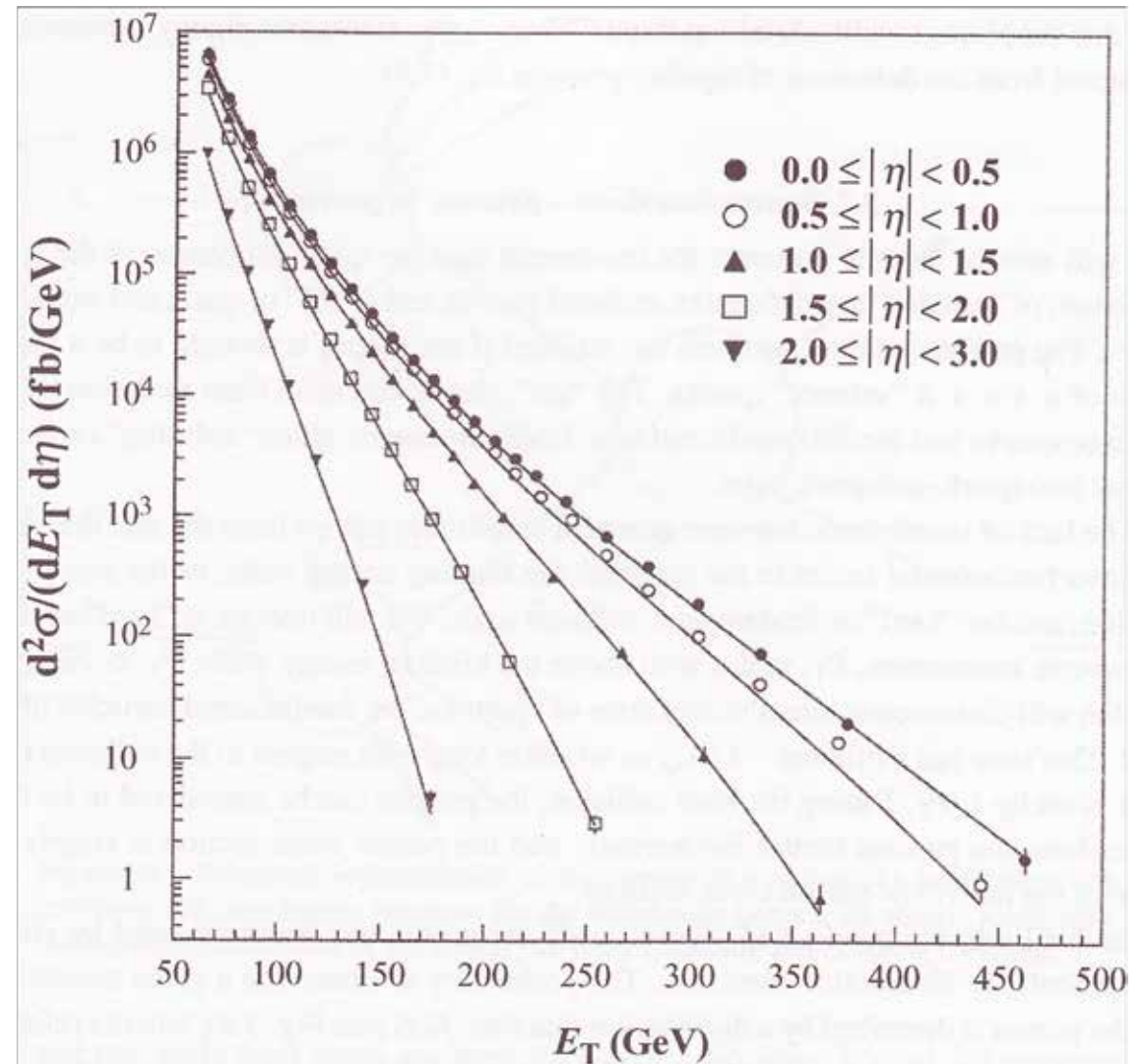
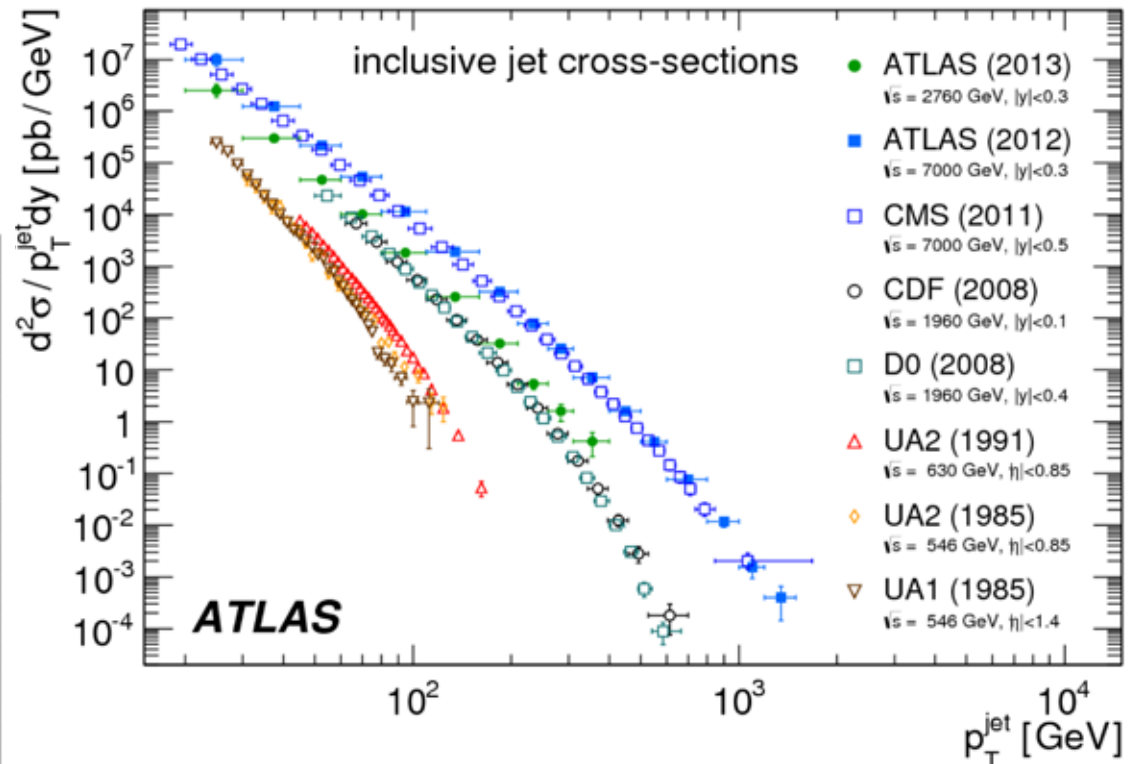
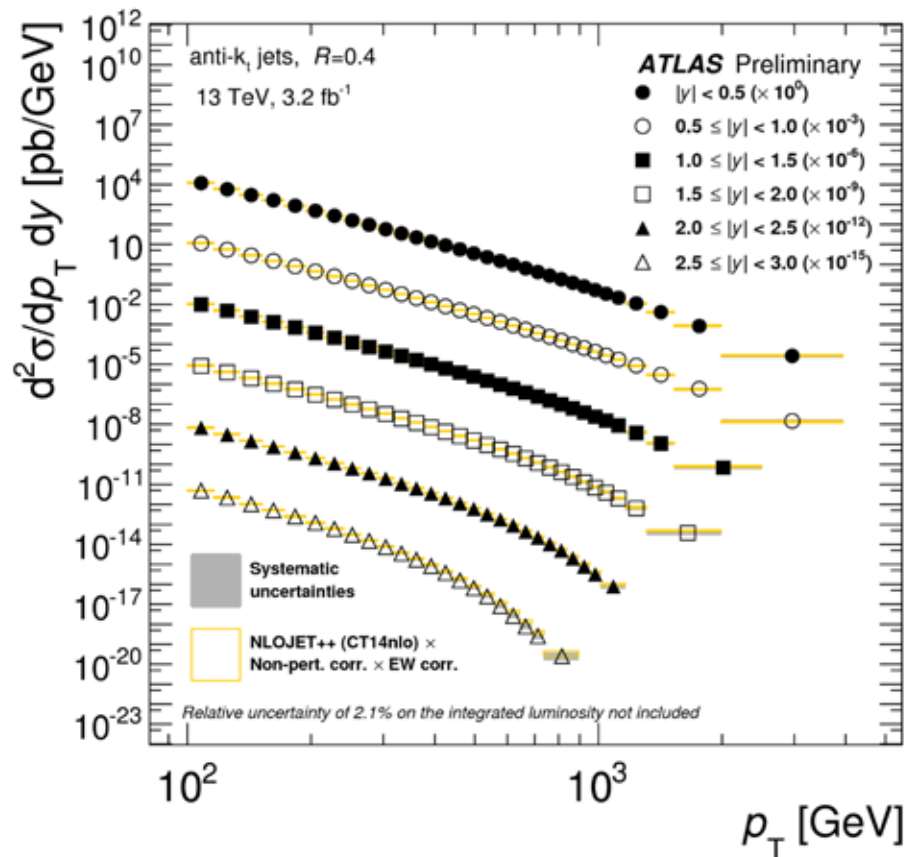


Figure 3.3 D0 data for the jet cross section in different pseudorapidity ranges as a function of transverse energy of the jet ([1] – with permission). The lines represent different distribution function fits.

V.6.2 Jet Characteristics

- Inclusive jet double differential cross section from ATLAS at 13 TeV



- Comparison of inclusive jet double differential cross sections from different experiments at different energies

- At large η , the differential cross section falls off faster at high p_T ;
At low p_T slopes are similar for different η
- Data are well described by prediction

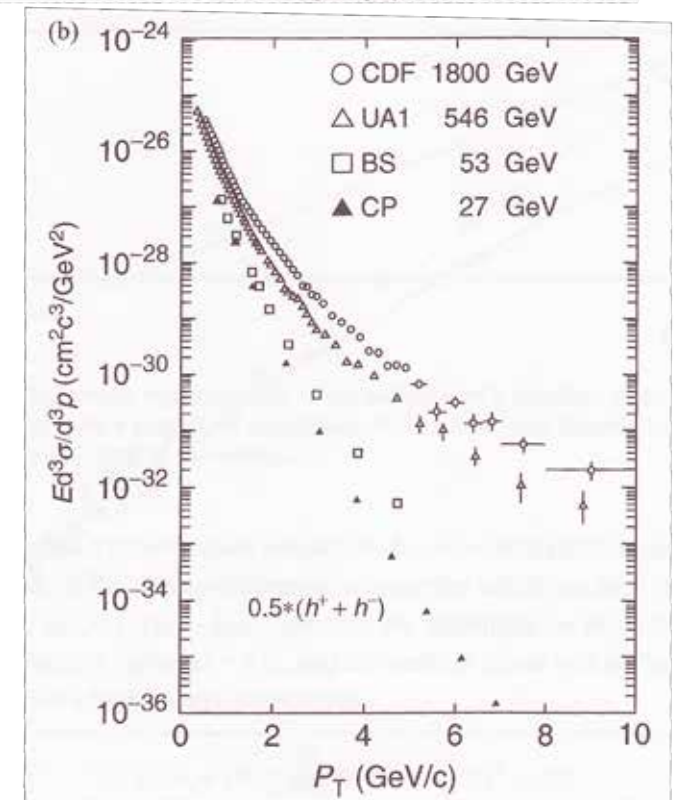
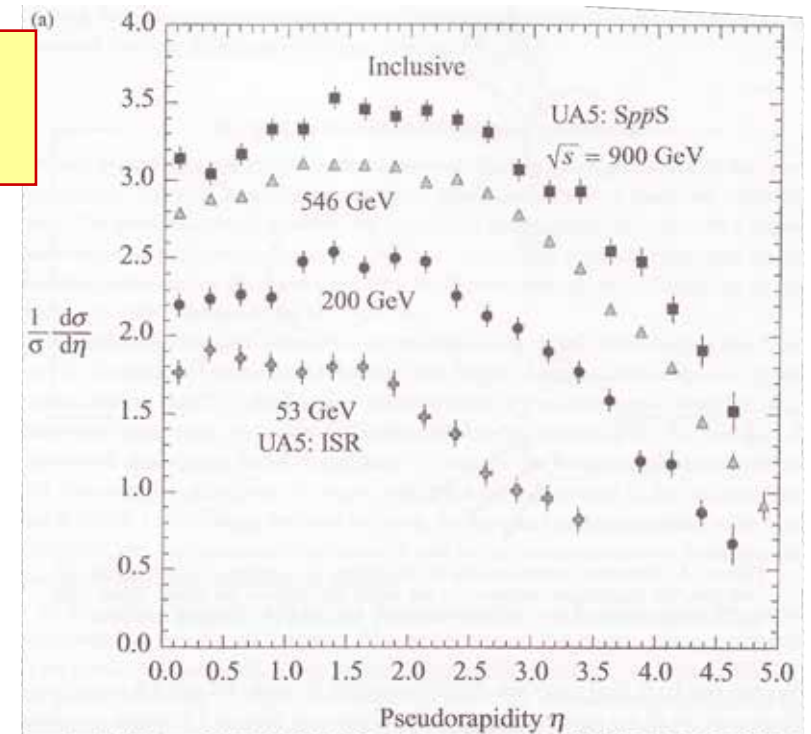
V.6.2 Jet Characteristics

- ❑ In hadron-hadron collisions typically two partons interact and remaining partons produce the **Underlying Event**
- ❑ They evolve into soft pions ($p_T \sim 0.4$ GeV) with a charge density of 6 per unit rapidity in a ratio of $\pi^\pm : \pi^0 = 2:1$
- ❑ Every interaction will contain a similar distribution of “soft” or low transverse momentum particles, called minimum bias events
- ❑ A clear plateau in η is visible rising slowly with \sqrt{s} ; its width increases with \sqrt{s}
- ❑ The p_T distribution is tightly localized to values < 0.5 GeV and \sqrt{s} dependence for $p_T < 1$ GeV is small

- ❑ We can fit the p_T behavior with

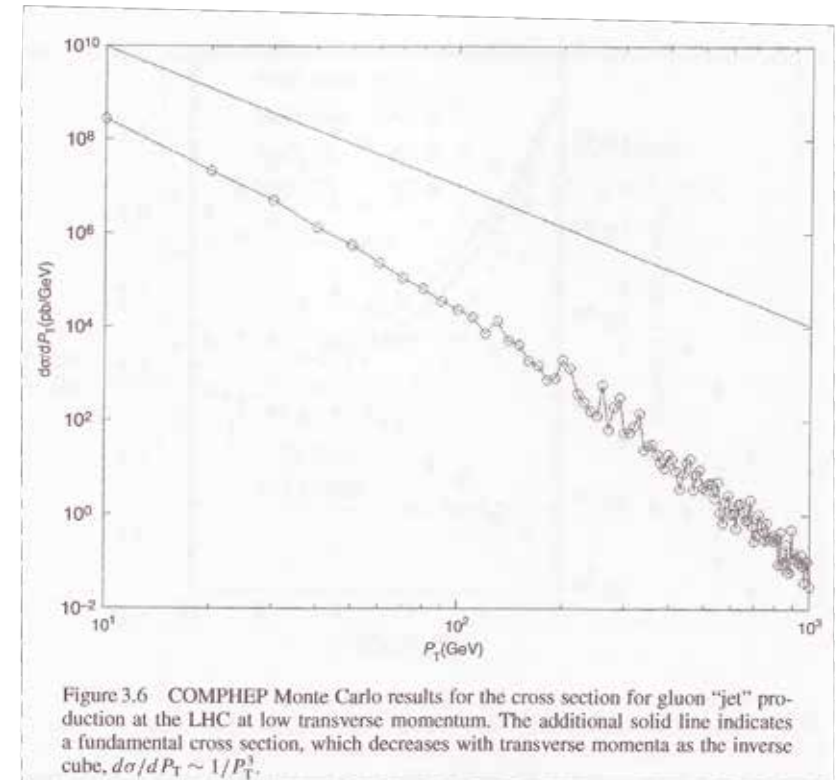
$$\frac{d^3\sigma}{\pi dy dp_T^2} \sim \frac{A}{(p_T + p_0)^n} \quad (5.54)$$

$$A \sim 450 \text{ mb/GeV}^2, p_0 \sim 1.3 \text{ GeV}, n \sim 8.2$$



V.6.2 Jet Characteristics

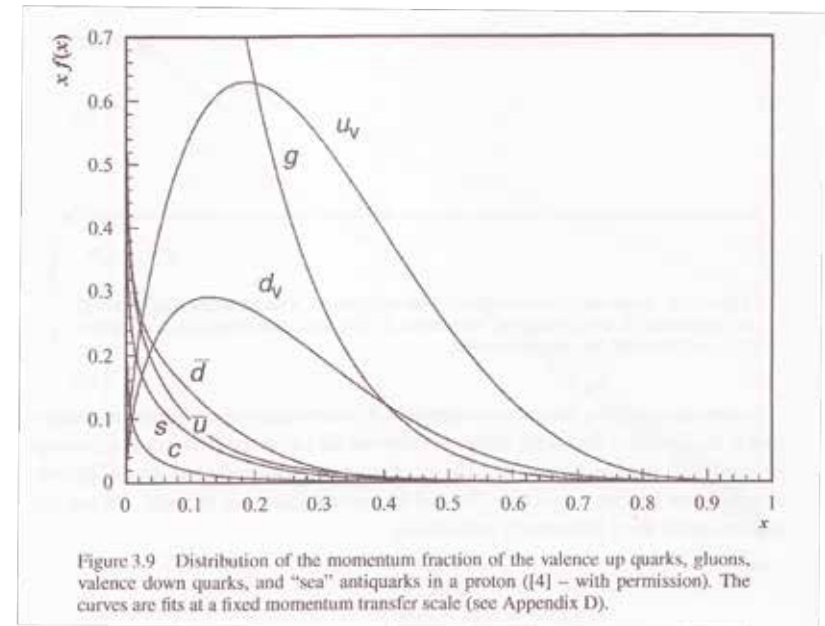
- ❑ The coefficient A is of $\mathcal{O}(100 \text{ mb})$
➔ since this is of the order of total inelastic cross section; the low p_T particles make the bulk of particles produced in inelastic p - p interactions
- ❑ For $p_T \gg p_0$ cross section drops as p_T^n , large n
- ❑ The fragments of hadrons A and B at low p_T merge smoothly with fragmentation products of minijets for $p_T > 10 \text{ GeV}$



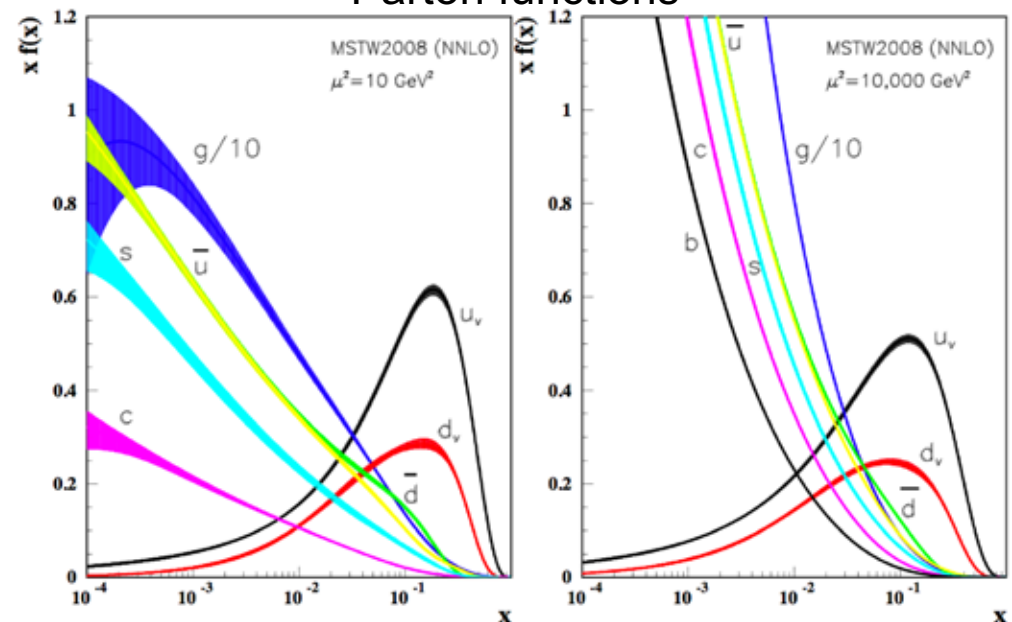
- ❑ Production of g jets has cross section of $\sim 1 \text{ mb}$ at $p_T \sim 10 \text{ GeV}$
- ❑ Boundary between soft and hard physics is not very definite
- ❑ Simulation shows expected cross section for g - g scattering at the LHC at 14 TeV

V.6.3 Distribution Functions

- ❑ Thus, quarks and gluons inside proton can be represented by classical distribution functions $f_i^A(x)$, where x is momentum fraction
- ❑ If we had only 3 valence quarks their distribution functions would be expected to peak at $x=1/3$
- ❑ Since u & d quark masses are 5 MeV compared to $m_p=940$ MeV, quark motion is relativistic
 ➔ radiated gluons which have small x distribution
- ❑ The gluons themselves can split or decay to $q\bar{q}$, thus apart from $u\bar{u}$, $d\bar{d}$, also $s\bar{s}$ and $c\bar{c}$ pairs may be created at very small x



Parton functions



$$\int_0^1 dx u_v(x) = 2, \quad \int_0^1 dx d_v(x) = 1 \quad (5.55)$$

V.6.3 Distribution Functions

- We note that valence and sea quarks carry half the momentum

$$\sum_q \int_0^1 dx \, x (q(x) + \bar{q}(x)) \approx 0.5 \quad (5.56)$$

- The other half is carried by gluons

$$\int_0^1 dx \, x \cdot g(x) \approx 0.5 \quad (5.57)$$

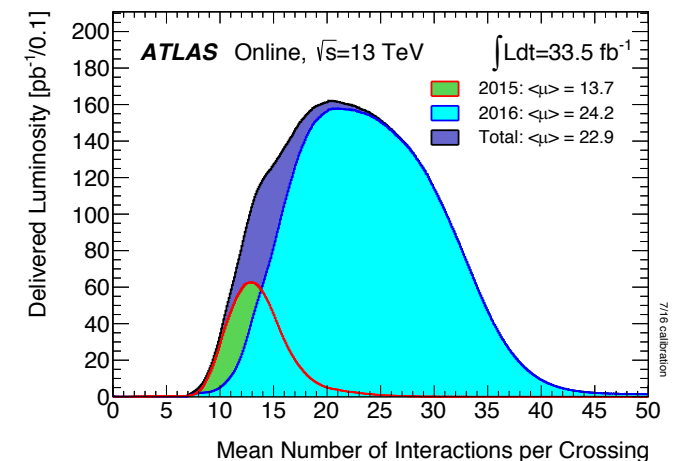
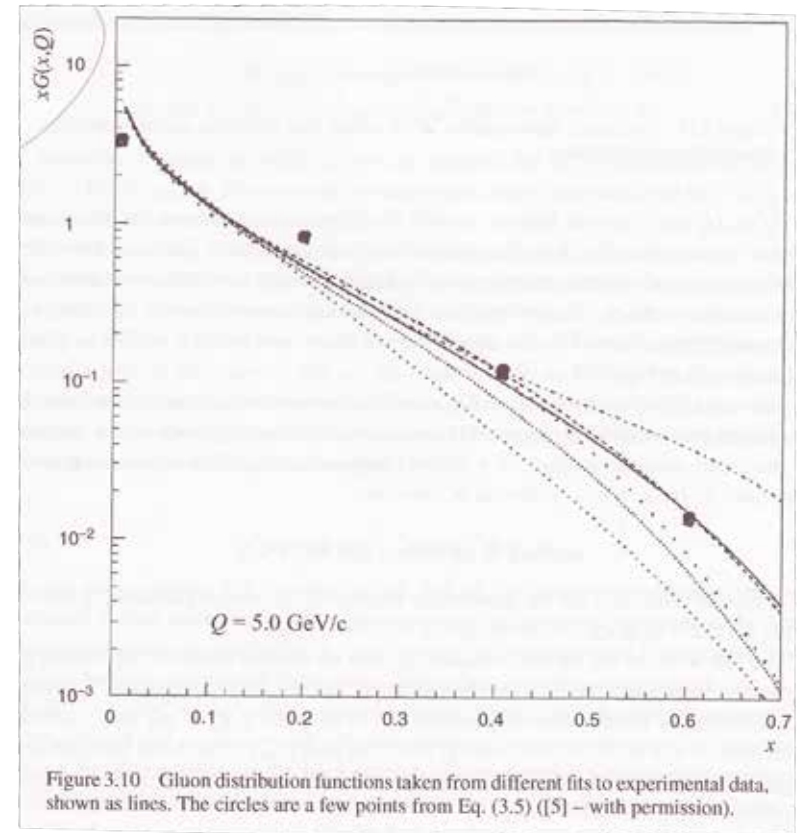
- This is confirmed experimentally in lepton scattering experiments
- Suppression at high x is ensured by

$$xg(x) = \frac{7}{2}(1-x)^6 \quad (5.58)$$

- The pointlike cross section for pointlike scattering of partons is

$$\hat{\sigma} \sim \pi \alpha_1 \alpha_2 \frac{|A|^2}{\hat{s}} \quad (5.59)$$

where α_1 and α_2 are the couplings at the 2 vertices and the amplitudes for the various processes are shown in the table below



V.6.4 Pointlike Scattering of Partons

- For $\mathcal{L} \sim 10^{34}/(\text{cm}^2 \text{ s})$ & $\sigma \sim 100 \text{ mb}$ at the LHC, total inelastic rate is $\sigma \cdot \mathcal{L} \sim 1 \text{ GHz}$

→ for 25 ns beam Xing expect 25 minimum bias events/Xing

- g-g scattering has by far the largest cross section (>5 times)

- While final-state particles like e , μ , γ appear directly in the detector, quarks and gluons appear as jets

Table 3.1 Point like cross sections for parton-parton scattering. The entries have the generic dependence of Eq. (3.10) already factored out. At large transverse momenta, or scattering angles near 90 degrees ($y \sim 0$), the remaining factors are dimensionless numbers of order one ([4] – with permission). (there should be a $\hat{}$ on s, t, u)

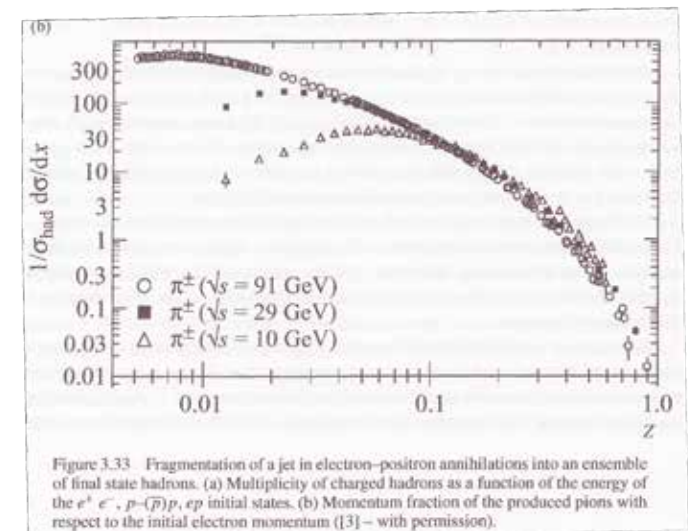
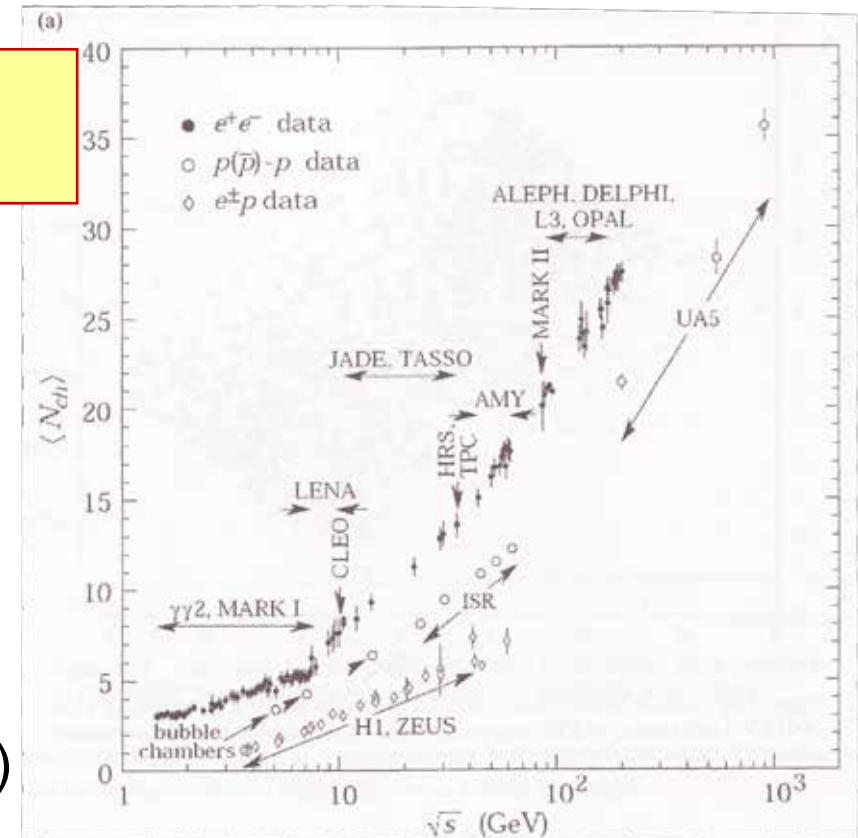
Process	$ A ^2$	Value at $\theta = \pi/2$
$q + q' \rightarrow q + q'$	$\frac{4}{9}[s^2 + u^2]/t^2$	2.22
$q + q \rightarrow q + q$	$\frac{4}{9}[(s^2 + u^2)/t^2 + (s^2 + t^2)/u^2] - \frac{8}{27}(s^2/ut)$	3.26
$q + \bar{q} \rightarrow q' + \bar{q}'$	$\frac{4}{9}[t^2 + u^2]/s^2$	0.22
$q + \bar{q} \rightarrow q + \bar{q}$	$\frac{4}{9}[(s^2 + u^2)/t^2 + (t^2 + u^2)/s^2] - \frac{8}{27}(u^2/st)$	2.59
$q + \bar{q} \rightarrow g + g$	$\frac{32}{27}[t^2 + u^2]/tu - \frac{8}{3}[t^2 + u^2]/s^2$	1.04
$g + g \rightarrow q + \bar{q}$	$\frac{1}{6}[t^2 + u^2]/tu - \frac{3}{8}[t^2 + u^2]/s^2$	0.15
$g + q \rightarrow g + q$	$-\frac{4}{9}[s^2 + u^2]/su + [u^2 + s^2]/t^2$	6.11
$g + g \rightarrow g + g$	$\frac{9}{2}[3 - tu/s^2 - su/t^2 - st/u^2]$	30.4
$q + \bar{q} \rightarrow \gamma + g$	$\frac{8}{9}[t^2 + u^2]/tu$	
$g + q \rightarrow \gamma + q$	$-\frac{1}{3}[s^2 + u^2]/su$	

- The process from parton to jets is called fragmentation → it is a complex process simulated in various computer programs (PYTHIA, HERWEG, ISAJET)

V.6.5 Jet Fragmentation

- ❑ Assume fragmentation properties factorize
 \rightarrow parent quark or gluon fragment is independent of the mechanism parent is created \rightarrow we need only a single unified description of fragmentation process
- ❑ # particles in jet depends logarithmically on parent particle momentum
- ❑ Assume: all fragments are pions (simplicity)
- ❑ Assume: p_T acquired in the fragmentation process is limited to the fragment momentum transverse to parent jet axis, $k_T \sim \Lambda_{\text{QCD}}$
- ❑ The fragmentation function $D(z)$ describes the distribution in $z=k/P$ of those products in which z is the momentum fraction of the parent with momentum P , carried off by the fragment with momentum k
- ❑ The fraction z is bounded by

$$M_\pi / P < z < 1$$



V.6.5 Jet Fragmentation

- It has a radiative form similar to that already assumed for the parton distribution functions

- We get $zD(z) = a(1-z)^\alpha$ (5.60)

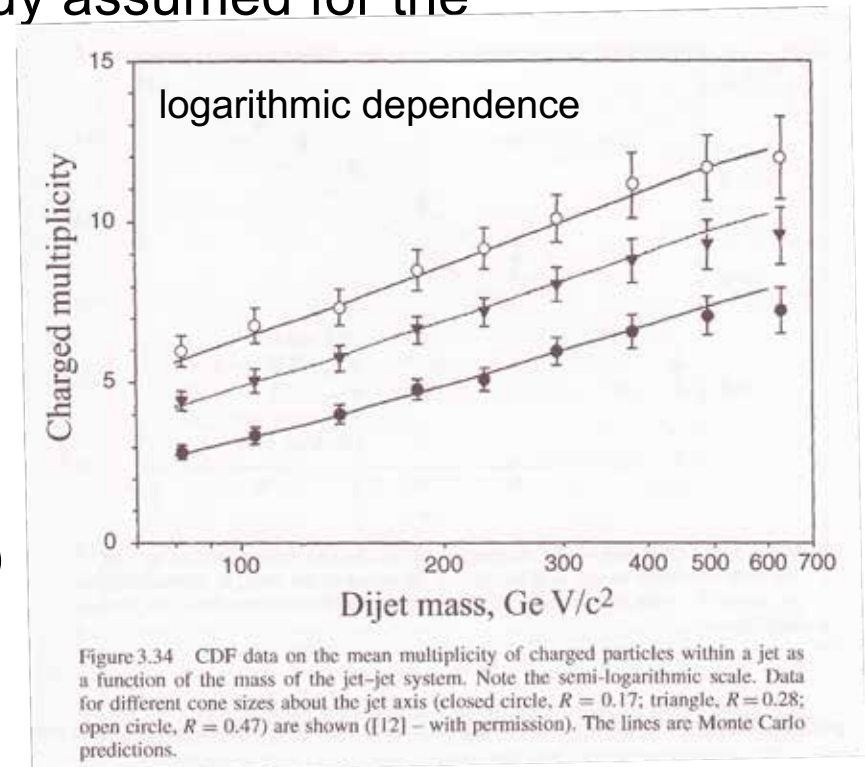
from which we determine the multiplicity

$$\langle n \rangle = \int D(z) dz \sim a \int_{M_\pi/P}^1 dz / z \sim a \ln(P / M_\pi) \quad (5.61)$$

- The fragmentation process implies that we observe a jet of particles that moves approximately along the direction of the parent quark or gluon

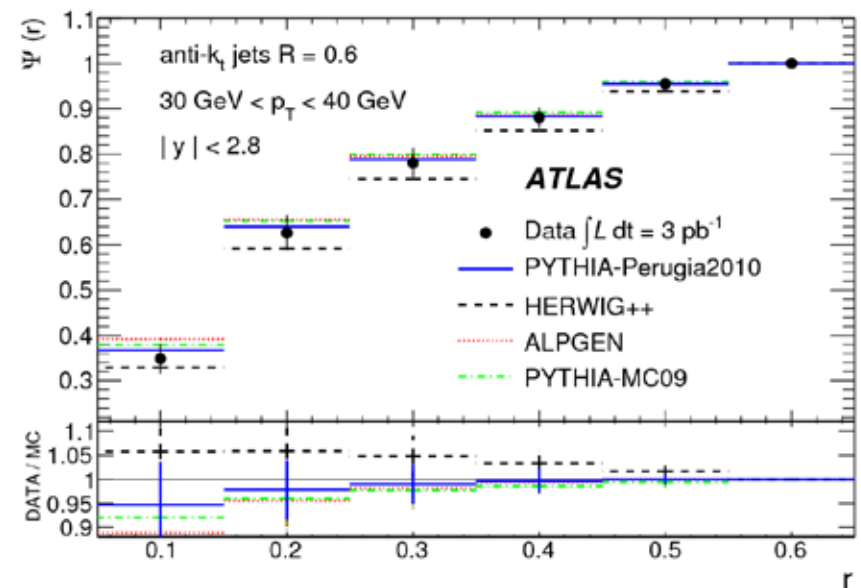
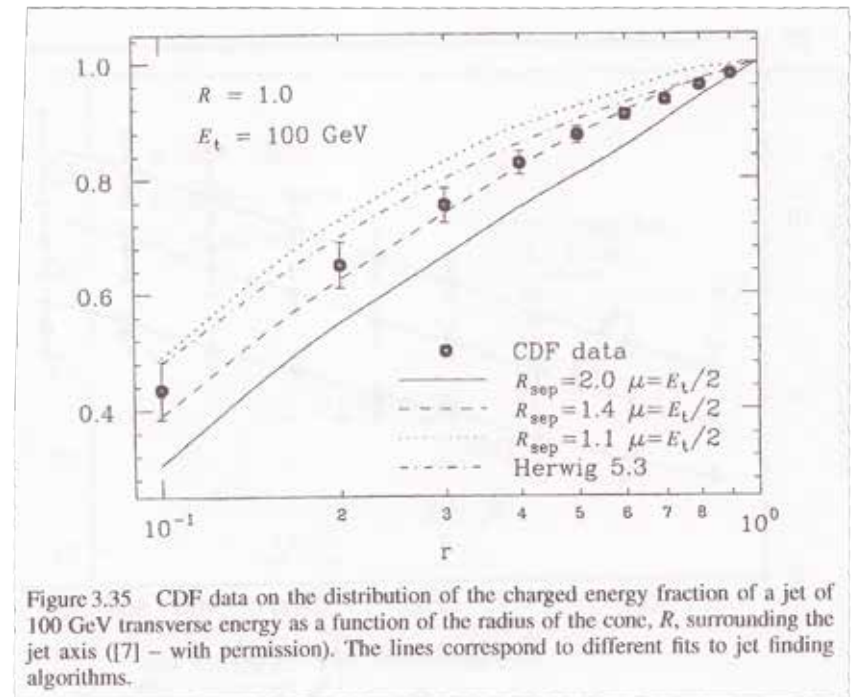
- We expect a core within the jet that carries most of the jet momentum and that is localized at a small cone radius, R , in (η, ϕ) space

$$R = \sqrt{\Delta\eta^2 + \Delta\phi^2} \quad (5.62)$$



V.6.5 Jet Fragmentation

- ❑ The core is surrounded at larger R by many low-energy particles
- ❑ From the CDF data it is evident that a sharply peaked distribution of particles around the jet axis exists, as the multiplicity increases less than linear
- ❑ In the CDF plot shown on RH side we see 40% of the energy of the jet contained in a cone with $R=0.1$, while 80% is contained in a cone with $R=0.4$
- ❑ In simulations of the data using $zD(z)=(1-z)^5$ and $\langle k_T \rangle \sim 0.72$ GeV the highest jet energy is about 1/4 of the jet momentum
- ❑ Fragmentation is soft introducing non-perturbative effects

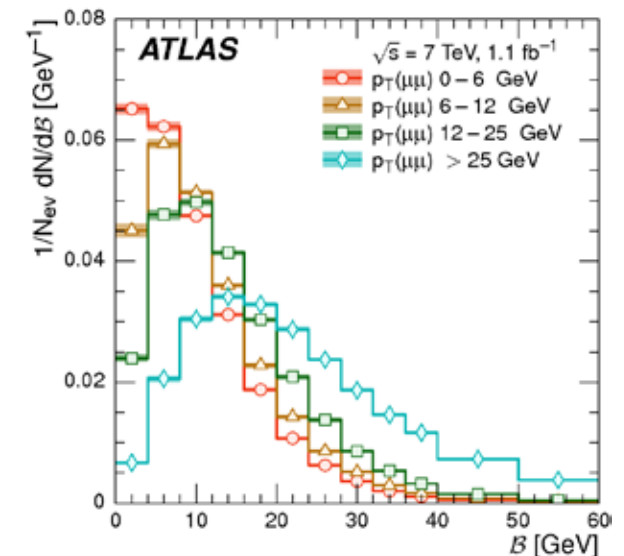
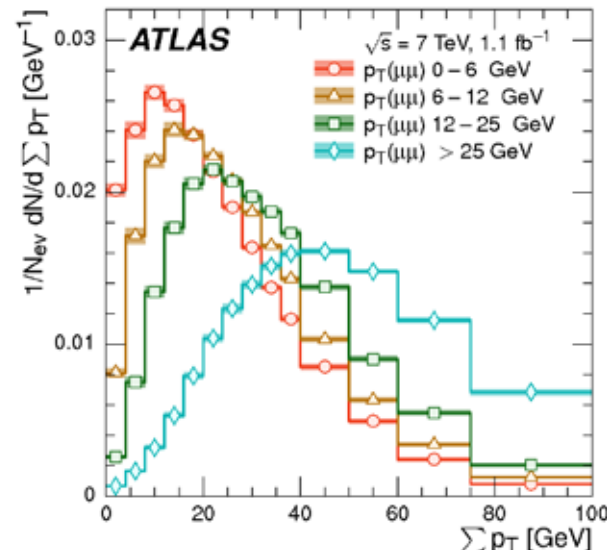
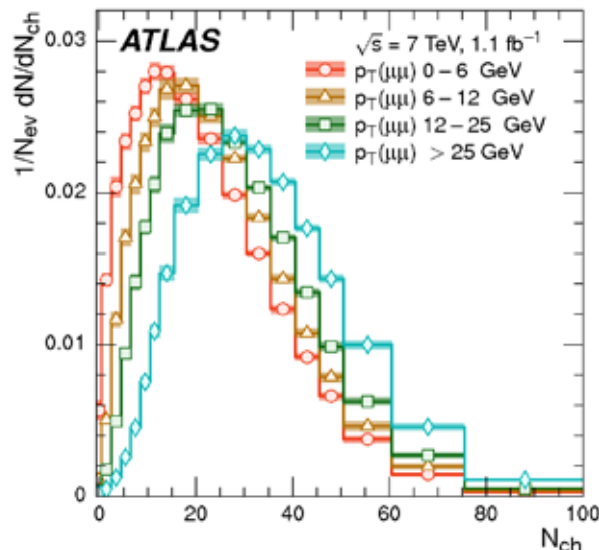


V.6.2 Event Shape Observables

- ❑ In pp collisions we have to deal with the **U**nderlying **E**vent since typically 2 partons interact and the remaining partons form the UE (jets)
- ❑ Event shape variables are used to separate signal from backgrounds
- ❑ Lets look at common event shape variable in $Z^0 \rightarrow \mu^+ \mu^-$
 - number of charged tracks \rightarrow multiplicity increases with dimuon p_T
 - Scalar sum of p_T :
 - \rightarrow increases with dimuon p_T , long tail
 - Beam thrust:
 - \rightarrow increases with dimuon p_T , long tail

$$\sum_i |\hat{p}_T^i| = \sum p_T \quad (5.63)$$

$$\mathcal{B} = \sum_i p_T^i \exp[-|\eta_i|] \quad (5.64)$$



V.6.2 Event Shape Observables

➤ Thrust:

➔ increases with dimuon p_T

$$\mathcal{T} = \max_{\hat{n}_T} \frac{\sum_i |\vec{p}_T^i \cdot \hat{n}_T|}{\sum_i |\vec{p}_T^i|} \quad (5.65)$$

➤ Sphericity:

➔ becomes more symmetric with larger dimuon p_T

$$S = \frac{\pi^2}{4} \min_{\vec{n}=(n_x, n_y, 0)^T} \left(\frac{\sum_i |\vec{p}_T^i \times \vec{n}|}{\sum_i |\vec{p}_T^i|} \right)^2 \quad (5.66)$$

➤ F parameter is defined as ratio of smaller to larger eigenvalues of the transverse momentum tensor

□ For high dimuon p_T different prediction yield reasonable description

