

Theory Overview of Yukawa Couplings

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[online]



Motivation

Is the mechanism responsible for EWSB and fermion mass generation in the SM minimal?

What we know:

- One complex scalar doublet acquires a vev, breaks EW symmetry and gives W/Z and third generation fermions (most) of their masses

What we don't know:

- Do the first and second generation fermions also get their masses from the same doublet?
- Is this Higgs vev the only source of EWSB?

The SM itself is not minimal with regards to its matter content. Most of its free parameters are associated with the flavor sector.

Parametrization

$$\begin{array}{c}
 \text{Flavor diagonal} \qquad \qquad \qquad \text{Flavor violating} \\
 \mathcal{L}_{\text{eff},q} = \underbrace{-\kappa_q \frac{m_q}{v_W} \bar{q}qh}_{\text{CP conserving}} - \underbrace{i\tilde{\kappa}_q \frac{m_q}{v_W} \bar{q}\gamma_5qh}_{\text{CP violating}} - \left[\underbrace{(\kappa_{qq'} + i\tilde{\kappa}_{qq'}) \bar{q}_L q'_R h}_{\text{CPC}} + \text{h.c.} \right] \\
 \mathfrak{R}(\kappa_{qq'} + i\tilde{\kappa}_{qq'}): \text{CPC} \\
 \mathfrak{I}(\kappa_{qq'} + i\tilde{\kappa}_{qq'}): \text{CPV}
 \end{array}$$

In the SM, $\kappa_q = 1$ while $\tilde{\kappa}_q = \kappa_{qq'} = \tilde{\kappa}_{qq'} = 0$

Important def'ns: $\kappa_i = \frac{y_i}{y_i^{\text{SM}}}$, $\bar{\kappa}_i = \frac{y_i}{y_b^{\text{SM}}}$

For lepton Yukawas, see, e.g.:

Dery, Efrati, Nir, Soreq, & Susic [arXiv:1408.1371]; Dery, Efrati, Hiller, Hochberg, & Nir [arXiv:1304.6727]; Dery, Efrati, Hochberg, & Nir [arXiv:1302.3229]

Flavor violation

- **FCNCs** are ubiquitous in extensions of the SM
- They arise due to the mis-alignment of the mass and Yukawa matrices – e.g., in dim. 6 extension:

$$M_{u,d} = \frac{v_W}{\sqrt{2}} \left(Y_{u,d} + Y'_{u,d} \frac{v_W^2}{2\Lambda^2} \right), \quad y_{u,d} = Y_{u,d} + 3Y'_{u,d} \frac{v_W^2}{2\Lambda^2}$$

- Without imposing additional assumptions, flavor violation is *naturally* $\mathcal{O}(1) \Rightarrow$ this is the **NP flavor problem**
- In models of flavor, they are typically suppressed by yukawa couplings and CKM matrix elements

Yukawa modifications in flavor models

[FB, Brod, Uttayarat, Zupan: 1504.04022] – see also CERN YR4 Chap. IV.6 [1610.07922]
+ references therein for the specific models

Model	κ_t	$\kappa_{c(u)}/\kappa_t$	$\tilde{\kappa}_t/\kappa_t$	$\tilde{\kappa}_{c(u)}/\kappa_t$
SM	1	1	0	0
MFV	$1 + \frac{\text{Re}(a_u v^2 + 2b_u m_t^2)}{\Lambda^2}$	$1 - \frac{2 \text{Re}(b_u) m_t^2}{\Lambda^2}$	$\frac{\text{Im}(a_u v^2 + 2b_u m_t^2)}{\Lambda^2}$	$\frac{\text{Im}(a_u v^2)}{\Lambda^2}$
NFC	$V_{hu} v/v_u$	1	0	0
MSSM	$\cos \alpha / \sin \beta$	1	0	0
FN	$1 + \mathcal{O}\left(\frac{v^2}{\Lambda^2}\right)$	$1 + \mathcal{O}\left(\frac{v^2}{\Lambda^2}\right)$	$\mathcal{O}\left(\frac{v^2}{\Lambda^2}\right)$	$\mathcal{O}\left(\frac{v^2}{\Lambda^2}\right)$
GL2	$\cos \alpha / \sin \beta$	$\simeq 3(7)$	0	0
RS	$1 - \mathcal{O}\left(\frac{v^2}{m_{KK}^2} \bar{Y}^2\right)$	$1 + \mathcal{O}\left(\frac{v^2}{m_{KK}^2} \bar{Y}^2\right)$	$\mathcal{O}\left(\frac{v^2}{m_{KK}^2} \bar{Y}^2\right)$	$\mathcal{O}\left(\frac{v^2}{m_{KK}^2} \bar{Y}^2\right)$
pNGB	$1 + \mathcal{O}\left(\frac{v^2}{f^2}\right) + \mathcal{O}\left(y_*^2 \lambda^2 \frac{v^2}{M_*^2}\right)$	$1 + \mathcal{O}\left(y_*^2 \lambda^2 \frac{v^2}{M_*^2}\right)$	$\mathcal{O}\left(y_*^2 \lambda^2 \frac{v^2}{M_*^2}\right)$	$\mathcal{O}\left(y_*^2 \lambda^2 \frac{v^2}{M_*^2}\right)$

- Generally, modifications $\sim v^2/\Lambda^2 \ll \mathcal{O}(1)$

[Giudice, Lebedev: 0804.1753]

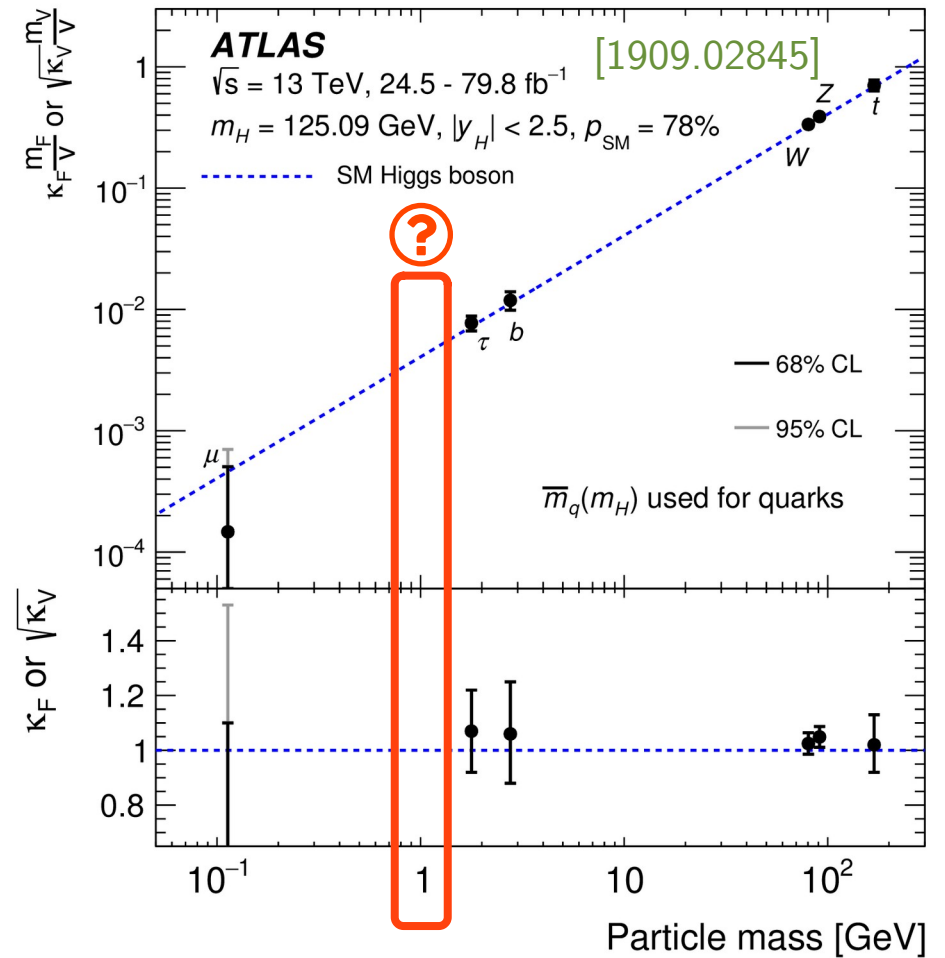
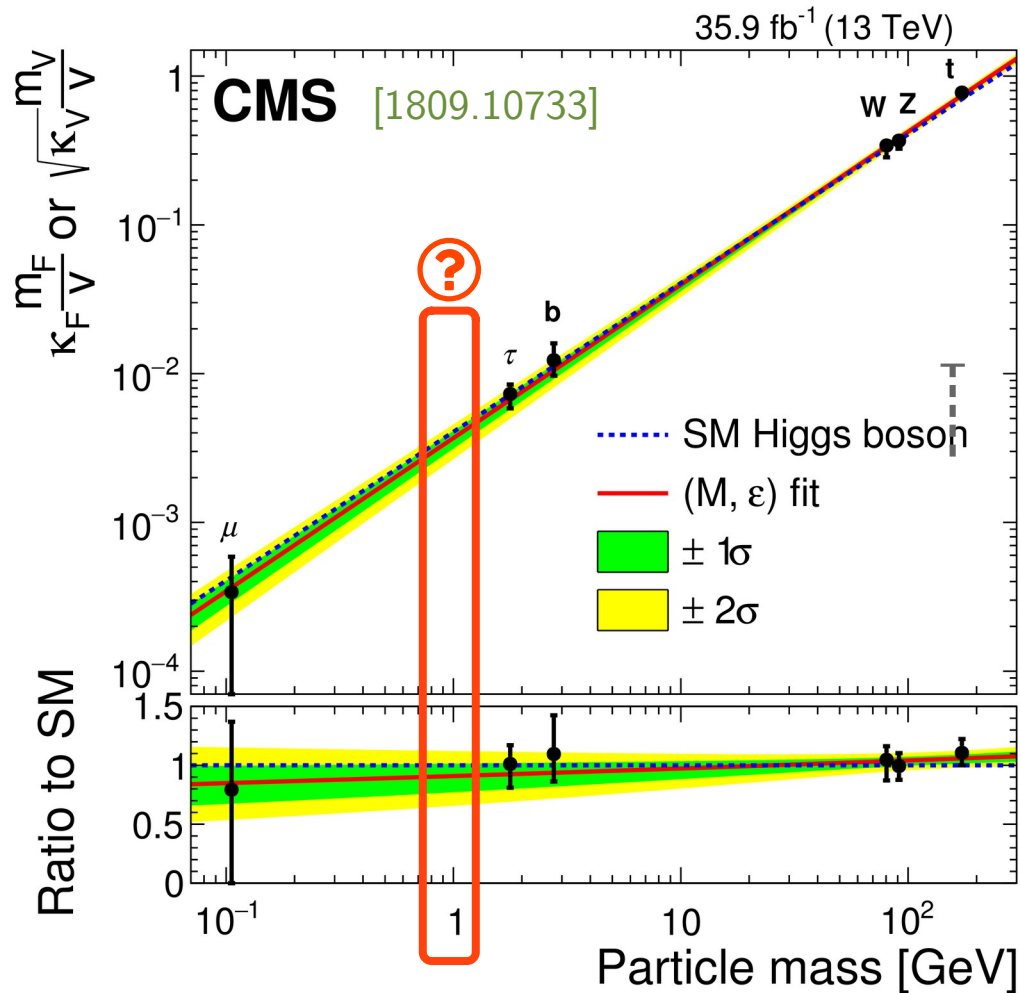
- Exception: **GL2** (modified **GL**) where

[FB, Brod, Uttayarat, Zupan: 1504.04022]

[Carena, Gemmler, Bauer: 1506.01719, 1512.03458]

$$\mathcal{L}_{\text{yuk}} = c_{ij}^f \left(\frac{H_1^\dagger H_1}{M^2} \right)^{n_{ij}^f} \bar{F}_L^i f_R^j H_{1,2}$$

Fermion Yukawas status



[1912.01662]

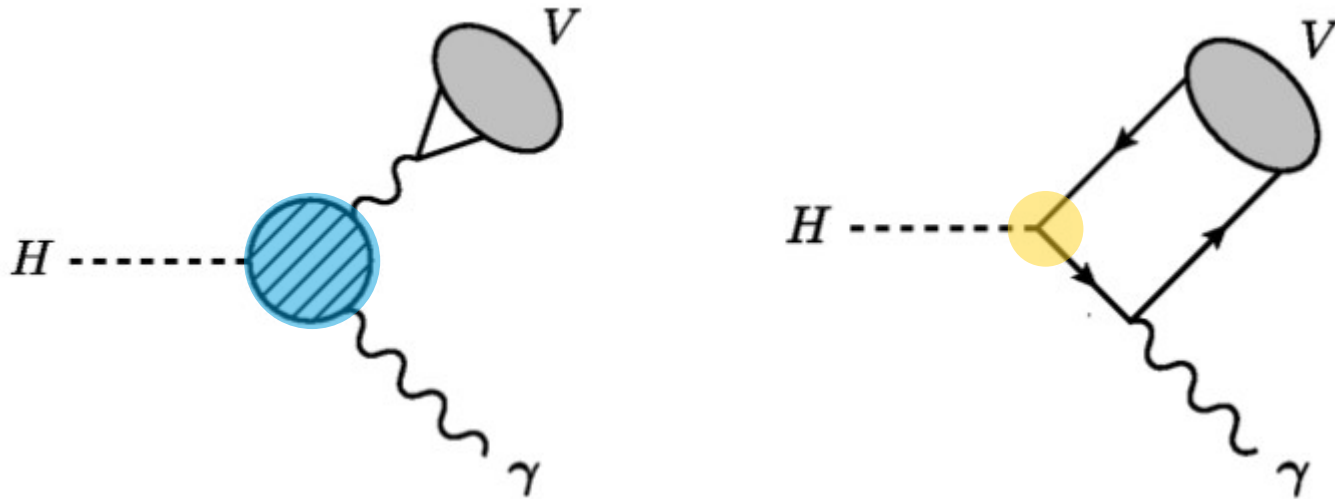
CMS 95% upper bound on $\kappa_c \lesssim 8.4$ – good progress but still a long way to go

1st and 2nd generation Yukawas

... ideas for a hadron collider

- Exclusive Higgs decays $h \rightarrow MV$ Bodwin et al.: 1306.5770 & 1407.6695; Kagan et al. 1406:1722
Koenig & Neubert, 1505.03870
- Vh and associated hQ production Perez et al. 1503.00290 & 1505.06689;
Brivio et al. 1505.06689
- Higgs differential distributions Bishara et al.
Soreq et al. 1606.09621
- Charge asymmetry in $W^\pm h$ Yu [1609.06592]

Exclusive Higgs decays: $h \rightarrow J/\psi\gamma$



$$\text{BR}_{h \rightarrow J/\psi\gamma} = 2.95 \cdot 10^{-6} (1.07 - 0.07\kappa_c) \quad \text{Bodwin et al. 13, 14} \\ \text{Koenig, Neubert 15}$$

- ATLAS/CMS search:

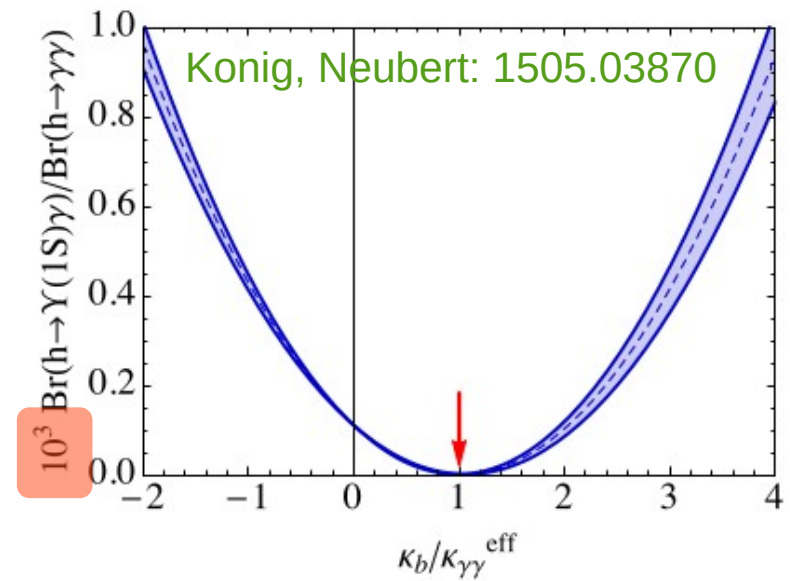
$$\mathcal{BR}(h \rightarrow J/\psi\gamma) < 1.5 \times 10^{-3} \text{ at 95\% CL} \quad \text{ATLAS 1501.03276} \\ \text{CMS 1507.03031} \\ < 3.5 \times 10^{-4} \text{ at 95\% CL} \quad \text{ATLAS 1807.00802}$$

- Can be extended to strange quark (even u & d)

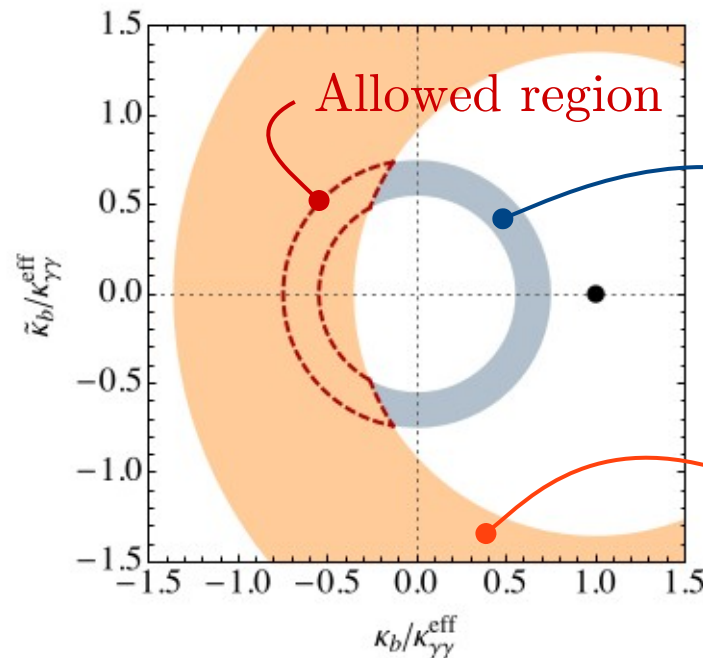
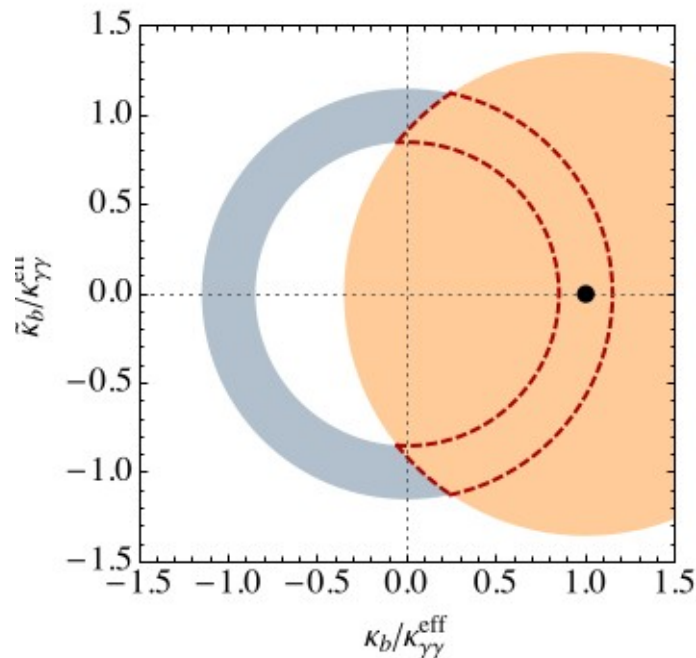
Kagan, Perez, Petriello, Soreq, Stoynev, and Zupan [1406.1722]

The interesting case of $\Upsilon + \gamma$

- Interference \rightarrow sensitive to sign of y_b
- Strong (accidental) cancellation between the direct and indirect contributions \rightarrow extremely sensitive to deviations from SM



ATLAS [1807.00802]: $\text{BR}(h \rightarrow \Upsilon(1S)\gamma)/\text{BR}(h \rightarrow \gamma\gamma) < 0.22$



$$\frac{\text{BR}(h \rightarrow b\bar{b})}{\text{BR}(h \rightarrow \gamma\gamma)}$$

$$\frac{\text{BR}(h \rightarrow \Upsilon(1S)\gamma)}{\text{BR}(h \rightarrow \gamma\gamma)}$$

Light quarks: u, d, s

➤ Same as before, direct + indirect amplitudes interfering

➤ Bound from ATLAS [ATLAS-CONF-2017-057](#) – $h \rightarrow \phi(K^+K^-)\gamma$

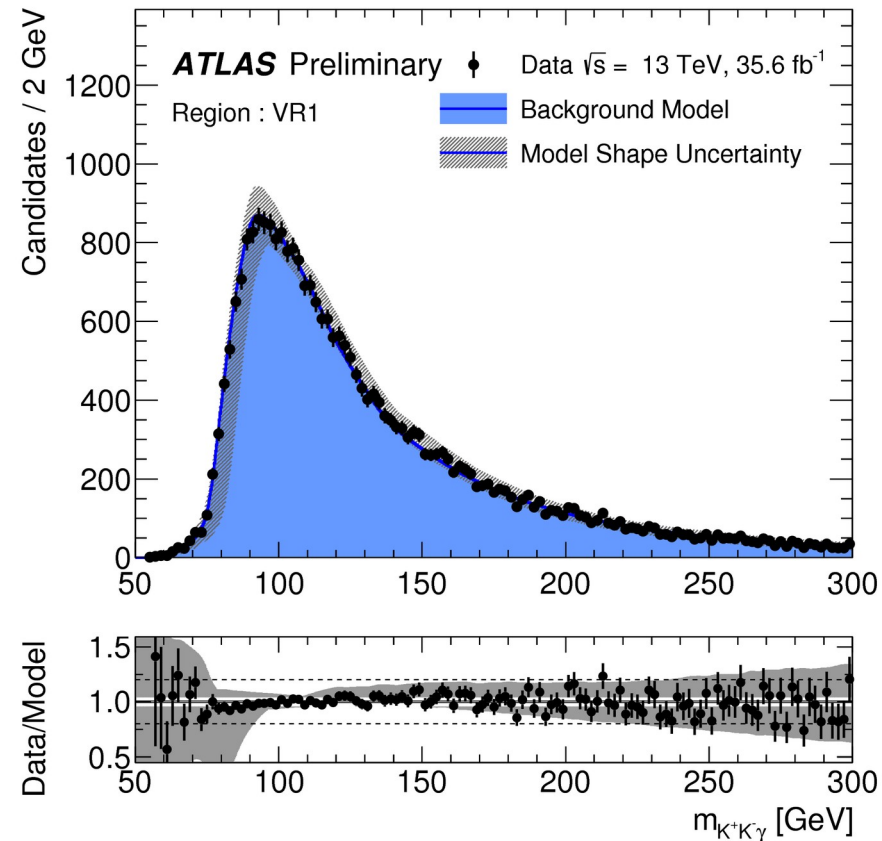
* $BR(\phi \rightarrow K^+K^-) = 48.9\%$
(PDG)

$$\frac{BR_{h \rightarrow \phi\gamma}}{BR_{h \rightarrow b\bar{b}}} = \frac{\kappa_\gamma [(2.3 \pm 0.1)\kappa_\gamma - 0.43\bar{\kappa}_s] \cdot 10^{-6}}{0.57\bar{\kappa}_b^2}$$

Kagan, Perez, Petriello, Soreq, Stoynev,
Zupan: [1406.1722]; Konig, Neubert: 1505.03870

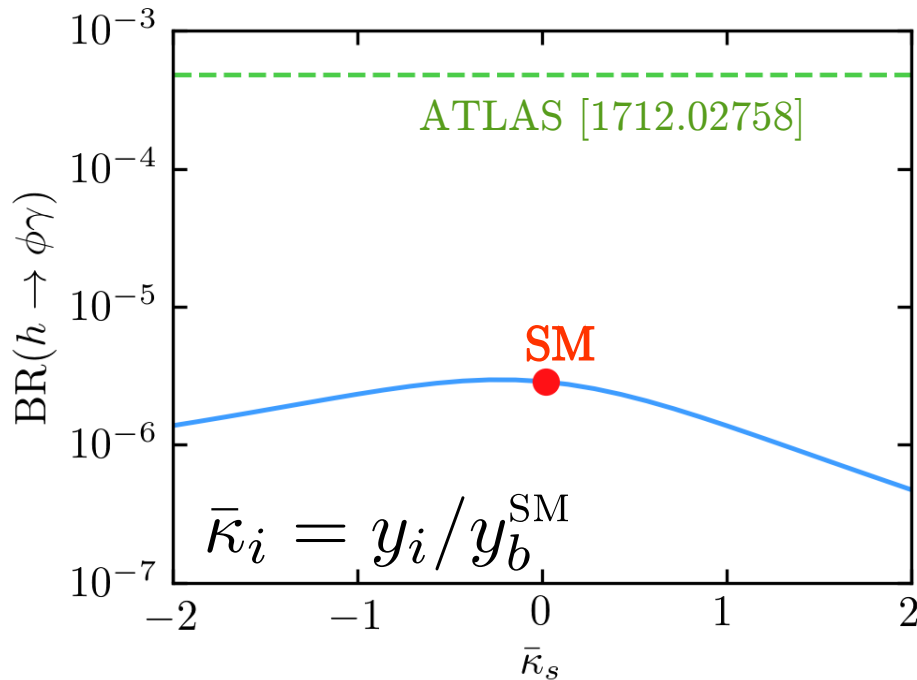
$$BR(h \rightarrow \phi(\rho)\gamma) < 4.8 \times 10^{-4} \quad (8.8 \times 10^{-4}) \quad \text{at 95\% CL}$$

➤ Order of magnitude improvement on previous ATLAS bound on $BR(h \rightarrow \phi\gamma)$ [1607.03400] and first bound on $BR(h \rightarrow \rho\gamma)$

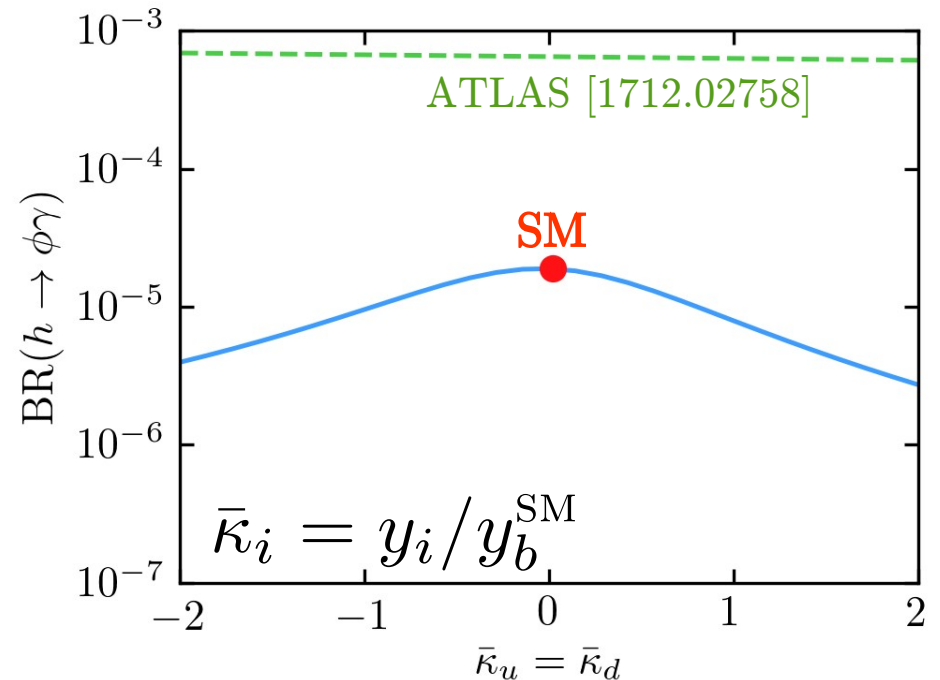


Light quarks: u, d, s

$h \rightarrow \phi\gamma$



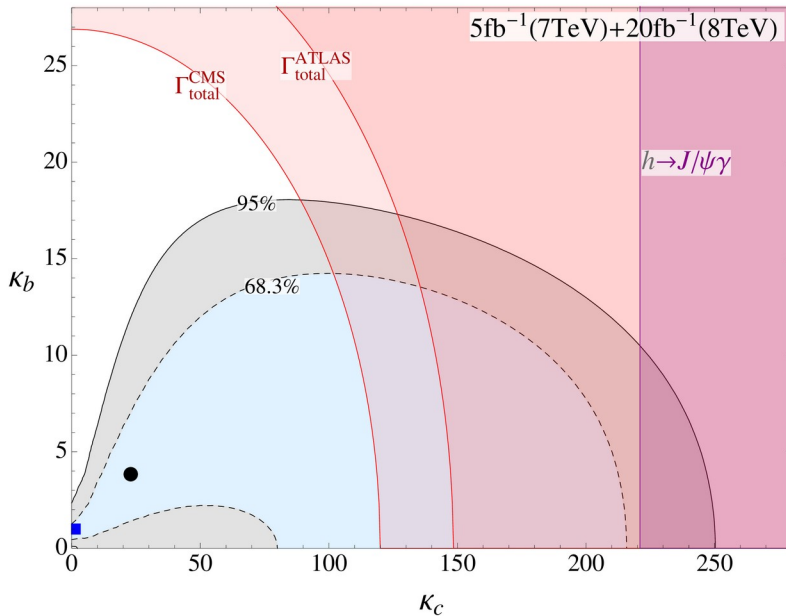
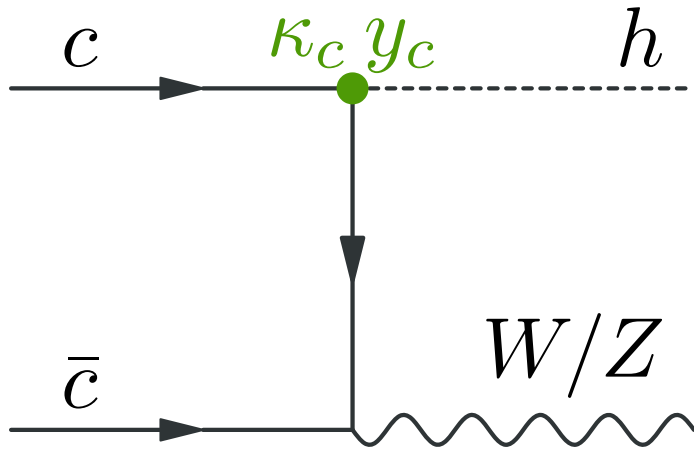
$h \rightarrow \rho\gamma$



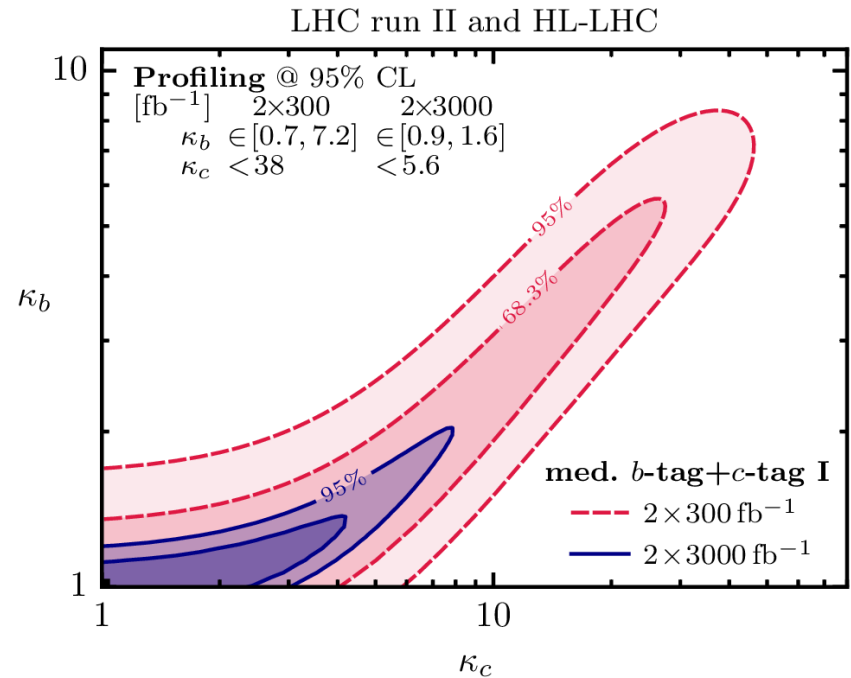
$$Br_{h \rightarrow \phi\gamma} = \frac{10^{-6} [(2.88 \pm 0.12)\kappa_\gamma^2 - (.750 \pm .029)\bar{\kappa}_s\kappa_\gamma + (4.88 \pm .31)10^{-2}\bar{\kappa}_s^2]}{[1 + \bar{\kappa}_s^2 Br_{h \rightarrow b\bar{b}}^{\text{SM}} + (\kappa_\gamma^2 - 1)Br_{h \rightarrow \gamma\gamma}^{\text{SM}}]},$$

$$Br_{h \rightarrow \rho\gamma} = \frac{10^{-5} [(1.89 \pm 0.11)\kappa_\gamma^2 - (.228 \pm .017)\bar{\kappa}_u\kappa_\gamma - (.114 \pm 0.008)\bar{\kappa}_d\kappa_\gamma + \dots]}{[1 + (\bar{\kappa}_d^2 + \bar{\kappa}_u^2)Br_{h \rightarrow b\bar{b}}^{\text{SM}} + (\kappa_\gamma^2 - 1)Br_{h \rightarrow \gamma\gamma}^{\text{SM}}]},$$

VH production + flavour tagging



Perez et al.: 1503.00290



Perez et al.: 1505.06689

$$\left(\mu_b + \frac{\text{BR}_{c\bar{c}}^{\text{SM}}}{\text{BR}_{b\bar{b}}^{\text{SM}}} \frac{\epsilon_{c1} \epsilon_{c2}}{\epsilon_{b1} \epsilon_{b2}} \mu_c \right) / \left(1 + \frac{\text{BR}_{c\bar{c}}^{\text{SM}}}{\text{BR}_{b\bar{b}}^{\text{SM}}} \frac{\epsilon_{c1} \epsilon_{c2}}{\epsilon_{b1} \epsilon_{b2}} \right)$$

See also: [Brivio, Goertz, Isidori 1507.02916]

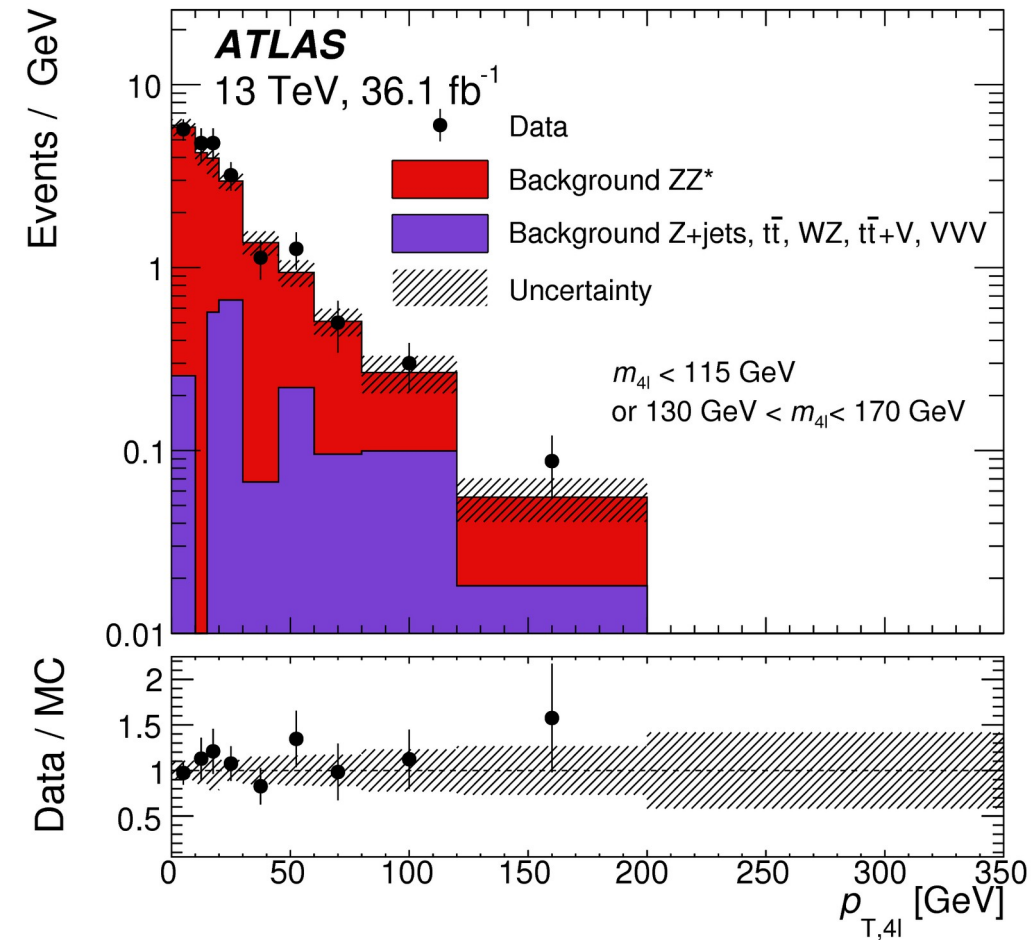
They consider hc final state and find (LHC₁₄)
 $|\kappa_c| < 3.9$ @ 95% C.L. with 3000 fb⁻¹

Higgs transverse momentum

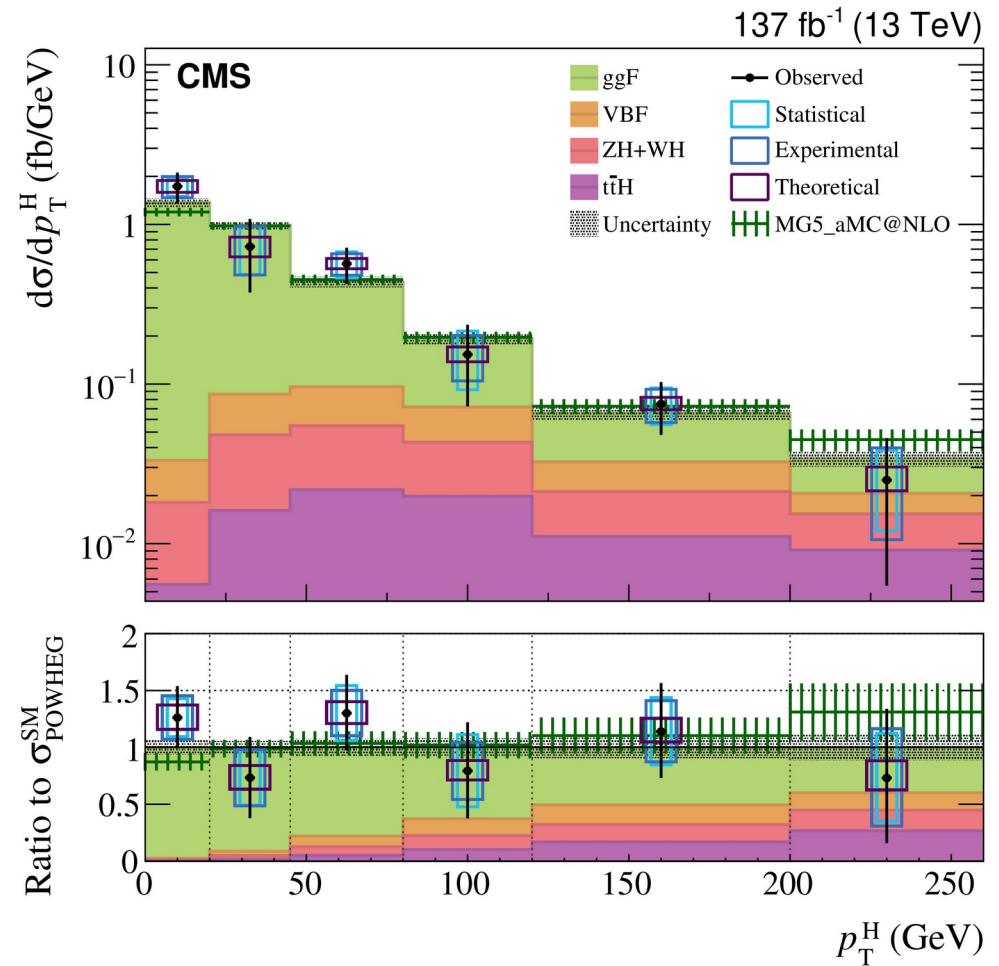
- Additional emissions probe the structure of the loop in $gg \rightarrow h + jets$
- The loop has a chirality suppression but ...
- The charm is special \rightarrow non-Sudakov double logs dynamically enhance its contribution
- The p_T spectra of the Higgs and the jet have been measured by ATLAS & CMS

See also: [Soreq, Zhu, & Zupan: 1606.09621] for similar work on the u and d yukawas

Measured distributions



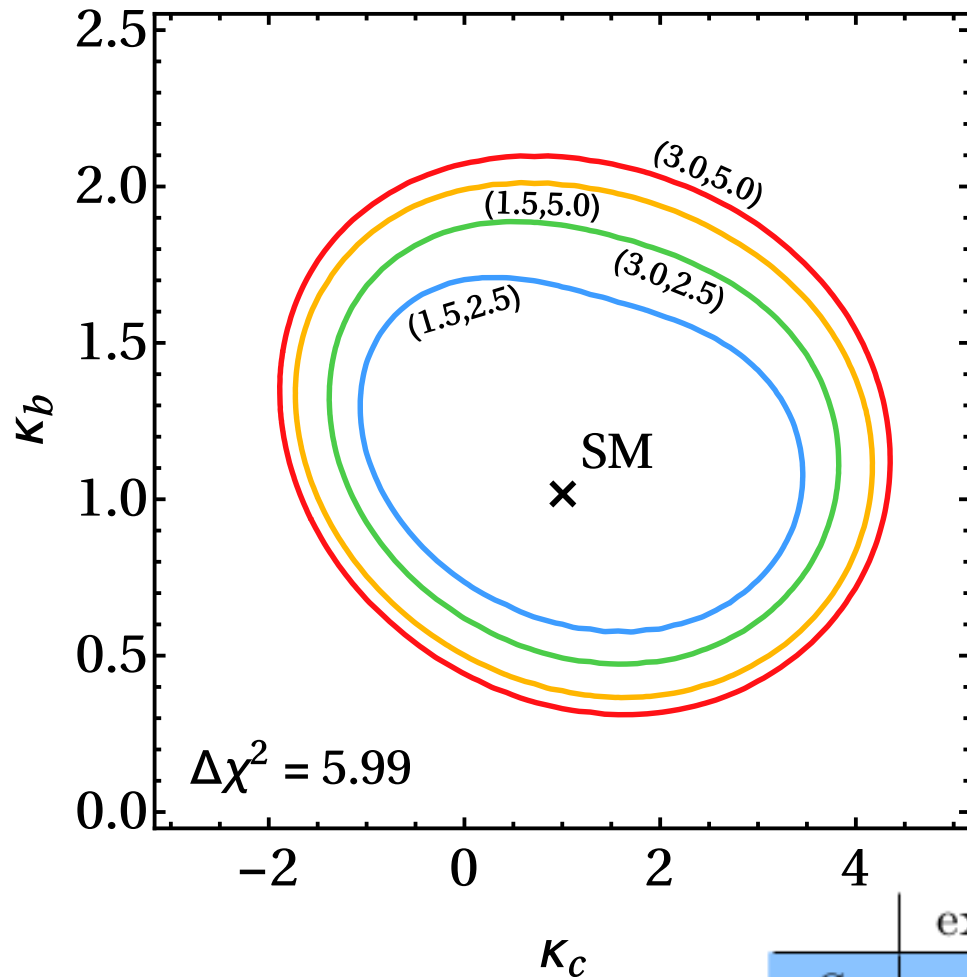
ATLAS: 1708.02810



CMS: 2007.01984

Results for pT, h

[FB, Haisch, Monni, Re: 1606.09253 (PRL)]



95% C.I. after profiling over κ_b
LHC Run I: $[-16, 18]$

LHC Run II: $[-1.4, 3.8]$

HL-LHC: $[-0.6, 3.0]$

CMS with 35.9 fb^{-1} (13 TeV)

$-8.7 < \kappa_c < 10.6$

PAS HIG-17-028

	experimental [%]	theoretical [%]	$\kappa_c \in$
S_1	1.5	2.5	$[-0.6, 3.0]$
S_2	3.0	2.5	$[-0.9, 3.3]$
S_3	1.5	5.0	$[-1.2, 3.6]$
S_4	3.0	5.0	$[-1.3, 3.7]$

Diagonal Yukawas at FCCee

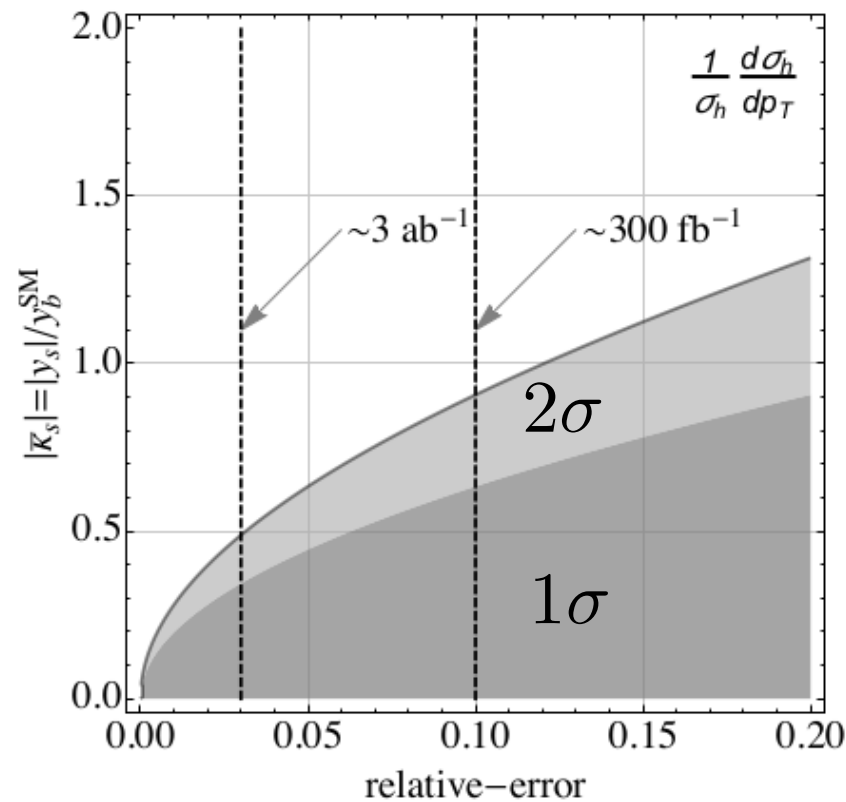
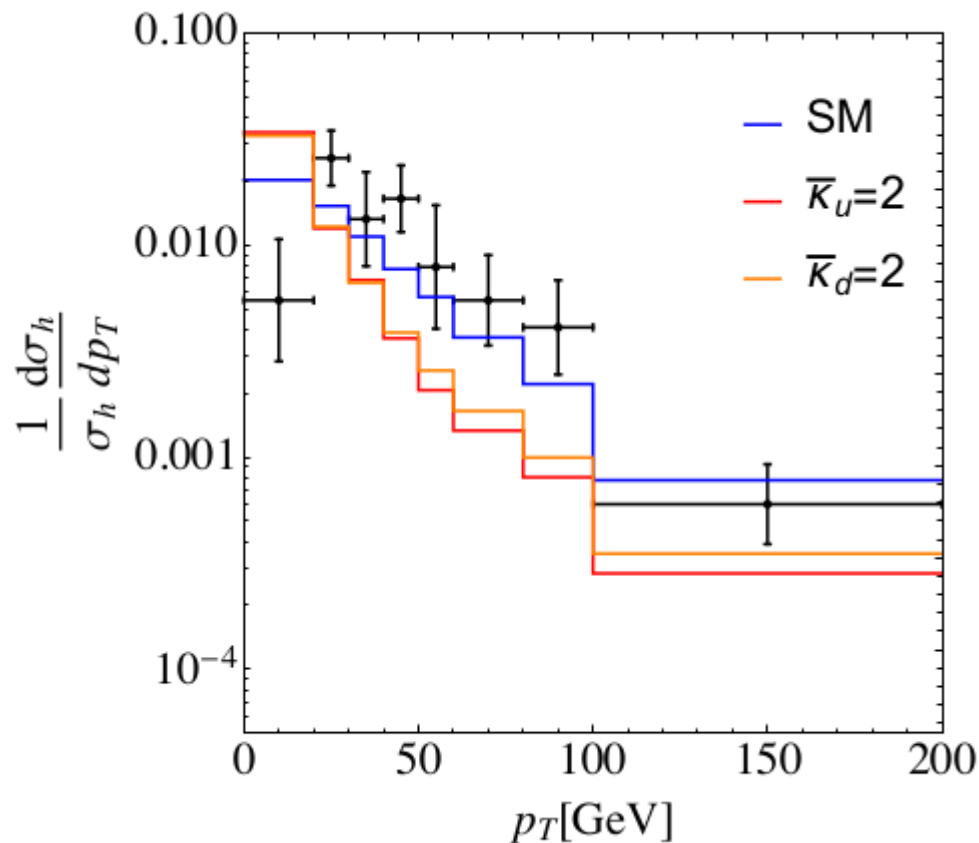
Table 1.1. Relative statistical uncertainty on $\sigma_{\text{HZ}} \times \text{BR}(\text{H} \rightarrow \text{XX})$ and $\sigma_{\nu\bar{\nu}\text{H}} \times \text{BR}(\text{H} \rightarrow \text{XX})$, as expected from the FCC-ee data, obtained from a fast simulation of the CLD detector and consolidated with extrapolations from full simulations of similar linear-collider detectors (SiD and CLIC).

\sqrt{s} (GeV)	240		365	
Luminosity (ab^{-1})	5		1.5	
$\delta(\sigma\text{BR})/\sigma\text{BR}$ (%)	HZ	$\nu\bar{\nu}$ H	HZ	$\nu\bar{\nu}$ H
H \rightarrow any	± 0.5		± 0.9	
H \rightarrow $\text{b}\bar{\text{b}}$	± 0.3	± 3.1	± 0.5	± 0.9
H \rightarrow $\text{c}\bar{\text{c}}$	± 2.2		± 6.5	± 10
H \rightarrow gg	± 1.9		± 3.5	± 4.5
H \rightarrow W^+W^-	± 1.2		± 2.6	± 3.0
H \rightarrow ZZ	± 4.4		± 12	± 10
H \rightarrow $\tau\tau$	± 0.9		± 1.8	± 8
H \rightarrow $\gamma\gamma$	± 9.0		± 18	± 22
H \rightarrow $\mu^+\mu^-$	± 19		± 40	
H \rightarrow invisible	< 0.3		< 0.6	

Notes. All numbers indicate 68% CL intervals, except for the 95% CL sensitivity in the last line. The accuracies expected with 5 ab^{-1} at 240 GeV are given in the middle column, and those expected with 1.5 ab^{-1} at $\sqrt{s} = 365 \text{ GeV}$ are displayed in the last column.

First generation Yukawas

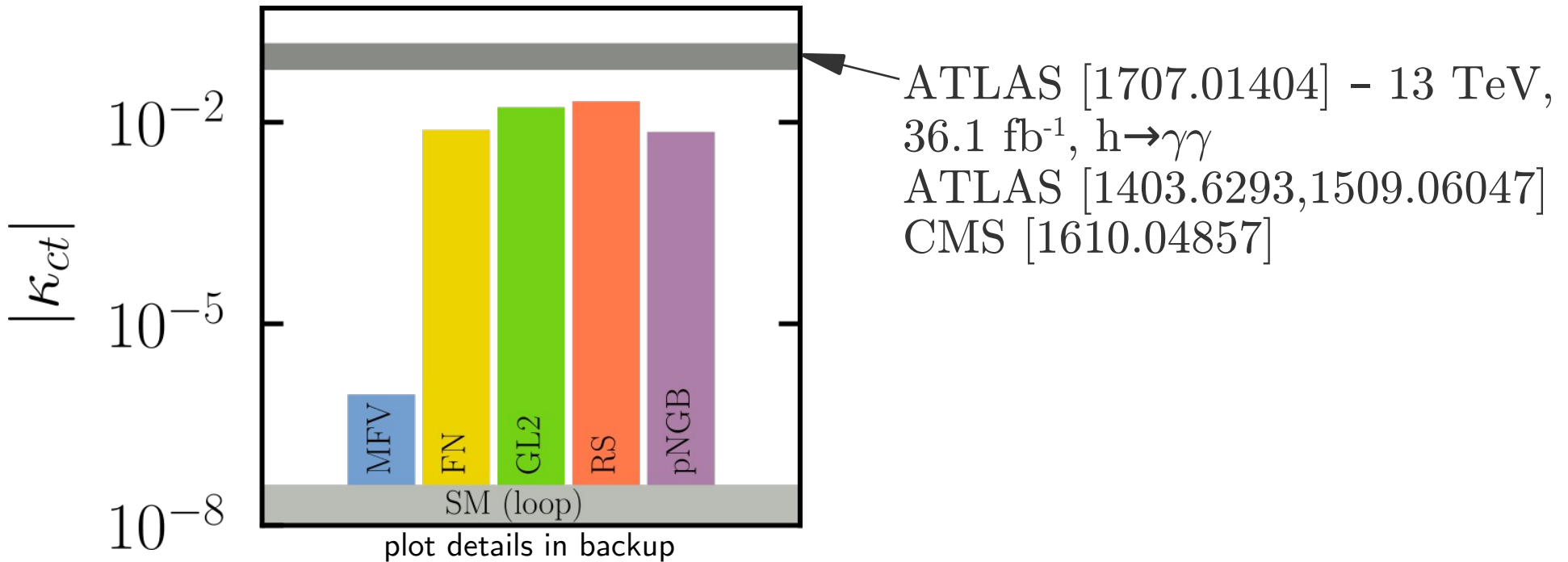
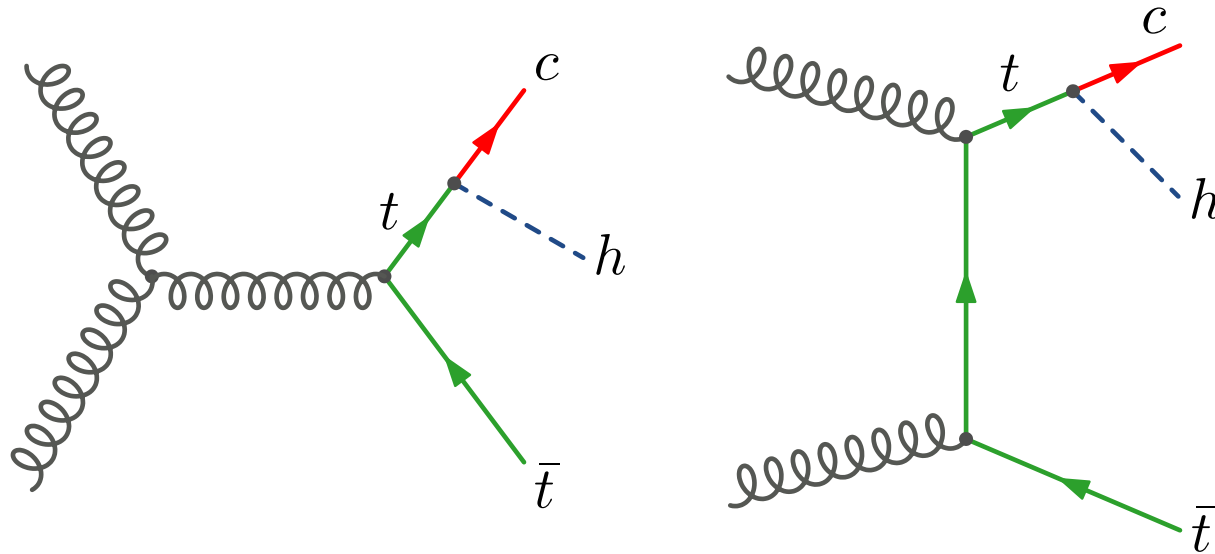
[Soreq, Zhu, & Zupan: 1606.09621]



See also Felix Yu [1609.06592]: W^\pm charge asymmetry sensitive to $\mathcal{O}(5)$ deviations in $\bar{\kappa}_{u,d,s}$ at 14 TeV with 3 ab^{-1}

$$A = (\sigma(W^+h) - \sigma(W^-h)) / (\sigma(W^+h) + \sigma(W^-h))$$

Top FCNC



Summary

- Measuring light quark Yukawas crucial to understand mass generation mechanism in SM
- Higgs p_T distribution is sensitive to modified charm Yukawa, constraints at HL-LHC on modification of y_c of $\mathcal{O}(\text{few})$
- LHCb upgrade II projection $|\kappa_c| < 2.2$ and ILC $\mathcal{O}(10\%)$
- VH production at LHCb $|\kappa_c| < 2 - 3 + \text{ATLAS} + \text{CMS} \dots$
- Other ideas: strange tagging? Proposal for future e^+e^- using charged Kaon reco.[†] – can something similar be done at LHC?

[†] Duarte-Campderros, Perez, Schlaffer, Soffer [Perez talk at 1st FCC physics workshop and Schaffer talk at CLIC physics]

Thank you!

Off diagonal Yukawas in flavor models

[FB, Brod, Uttayarat, Zupan: 1504.04022] – see also CERN YR4 Chap. IV.6 [1610.07922] + references therein for the specific models; see also Gori, Grojean, Juste, Paul, [1710.03752]

Model	$\kappa_{ct(tc)}/\kappa_t$	$\kappa_{ut(tu)}/\kappa_t$	$\kappa_{uc(cu)}/\kappa_t$
MFV	$\frac{\text{Re}(c_u m_b^2 V_{cb}^{(*)})}{\Lambda^2} \frac{\sqrt{2} m_{t(c)}}{v}$	$\frac{\text{Re}(c_u m_b^2 V_{ub}^{(*)})}{\Lambda^2} \frac{\sqrt{2} m_{t(u)}}{v}$	$\frac{\text{Re}(c_u m_b^2 V_{ub(cb)} V_{cb(ub)}^*)}{\Lambda^2} \frac{\sqrt{2} m_{c(u)}}{v}$
FN	$\mathcal{O}\left(\frac{v m_{t(c)}}{\Lambda^2} V_{cb} ^{\pm 1}\right)$	$\mathcal{O}\left(\frac{v m_{t(u)}}{\Lambda^2} V_{ub} ^{\pm 1}\right)$	$\mathcal{O}\left(\frac{v m_{c(u)}}{\Lambda^2} V_{us} ^{\pm 1}\right)$
GL2	$\epsilon(\epsilon^2)$	$\epsilon(\epsilon^2)$	ϵ^3
RS	$\sim \lambda^{(-)2} \frac{m_{t(c)}}{v} \bar{Y}^2 \frac{v^2}{m_{KK}^2}$	$\sim \lambda^{(-)3} \frac{m_{t(u)}}{v} \bar{Y}^2 \frac{v^2}{m_{KK}^2}$	$\sim \lambda^{(-)1} \frac{m_{c(u)}}{v} \bar{Y}^2 \frac{v^2}{m_{KK}^2}$
pNGB	$\mathcal{O}\left(y_*^2 \frac{m_t}{v} \frac{\lambda_{L(R),2} \lambda_{L(R),3} m_W^2}{M_*^2}\right)$	$\mathcal{O}\left(y_*^2 \frac{m_t}{v} \frac{\lambda_{L(R),1} \lambda_{L(R),3} m_W^2}{M_*^2}\right)$	$\mathcal{O}\left(y_*^2 \frac{m_c}{v} \frac{\lambda_{L(R),1} \lambda_{L(R),2} m_W^2}{M_*^2}\right)$

Model	$\kappa_{ct(tc)}$	Notes/Assumptions	References
SM	$< 4 \times 10^{-8}$	loop-level	[1311.2028]
MFV	$\sim 10^{-6(-8)}$	$\Lambda = 1 \text{ TeV}$	[0904.2387] [PLB188('87)99], [hep-ph/0207036]
FN	$\sim 10^{-3(-2)}$	$\Lambda = 1 \text{ TeV}$	[hep-ph/9310320]
GL2	$\sim 10^{-2(-4)}$	$\epsilon \sim 1/60$	[0804.1753], [1504.04022]
RS	$\sim 10^{-2(-2)}$	$\bar{Y} = 4, m_{KK} = 2.2 \text{ TeV}$	[09061990], [1505.07018]
pNGB	$\sim 10^{-3(-2)}$	$g_* = 4\pi, M_* = 3 \text{ TeV}$	[1303.5701], [1408.4525]

Contributions and their scaling

- Many contributions with different scaling in the $m_Q \lesssim p_T \lesssim m_h$ region
- The quark initiated contribution dominates for $\kappa_Q \gg 1$
[Soreq, Zhu, & Zupan: 1606.09621]
- Normalized distributions in this regime are sensitive to light d.o.f. but heavy new physics can affect the tail

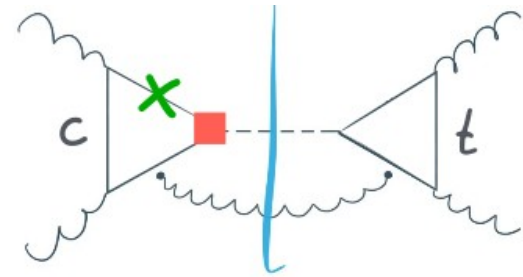
[Banfi, Martin, Sanz: 1606.09621]

[Buschmann, Goncalves, Kuttimalai, Schonherr, Krauss, Plehn: 1410.5806]

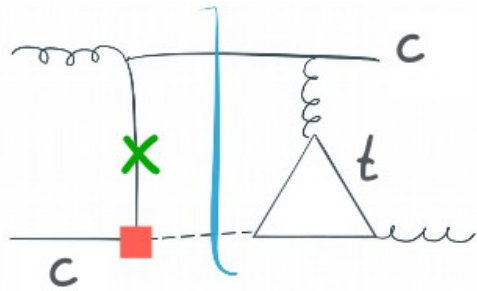
[Buschmann, Englert, Goncalves, Plehn, Spannowsky: 1405.7651] + others

Contributions and their scaling

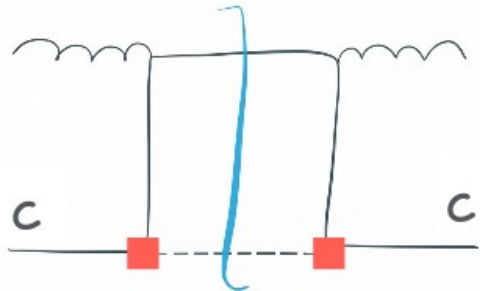
Color coding courtesy
of Uli Haisch



$$\sim \alpha_s^3 \color{red}{y_c} \color{green}{m_c} \ln^2 \left(\frac{p_T^2}{m_c^2} \right)$$

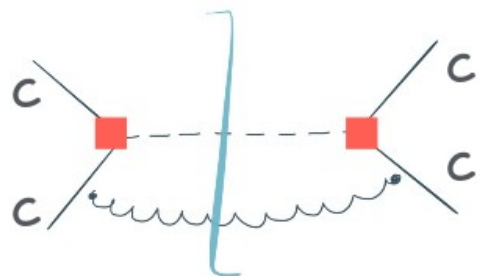


$$\sim \color{blue}{\alpha_s} \alpha_s^2 \color{red}{y_c} \color{green}{m_c} \quad (= 0 \text{ in 4, 5 flavour scheme})$$



$$\sim \color{blue}{\alpha_s} \alpha_s \color{red}{y_c^2}$$

■ chirality flip



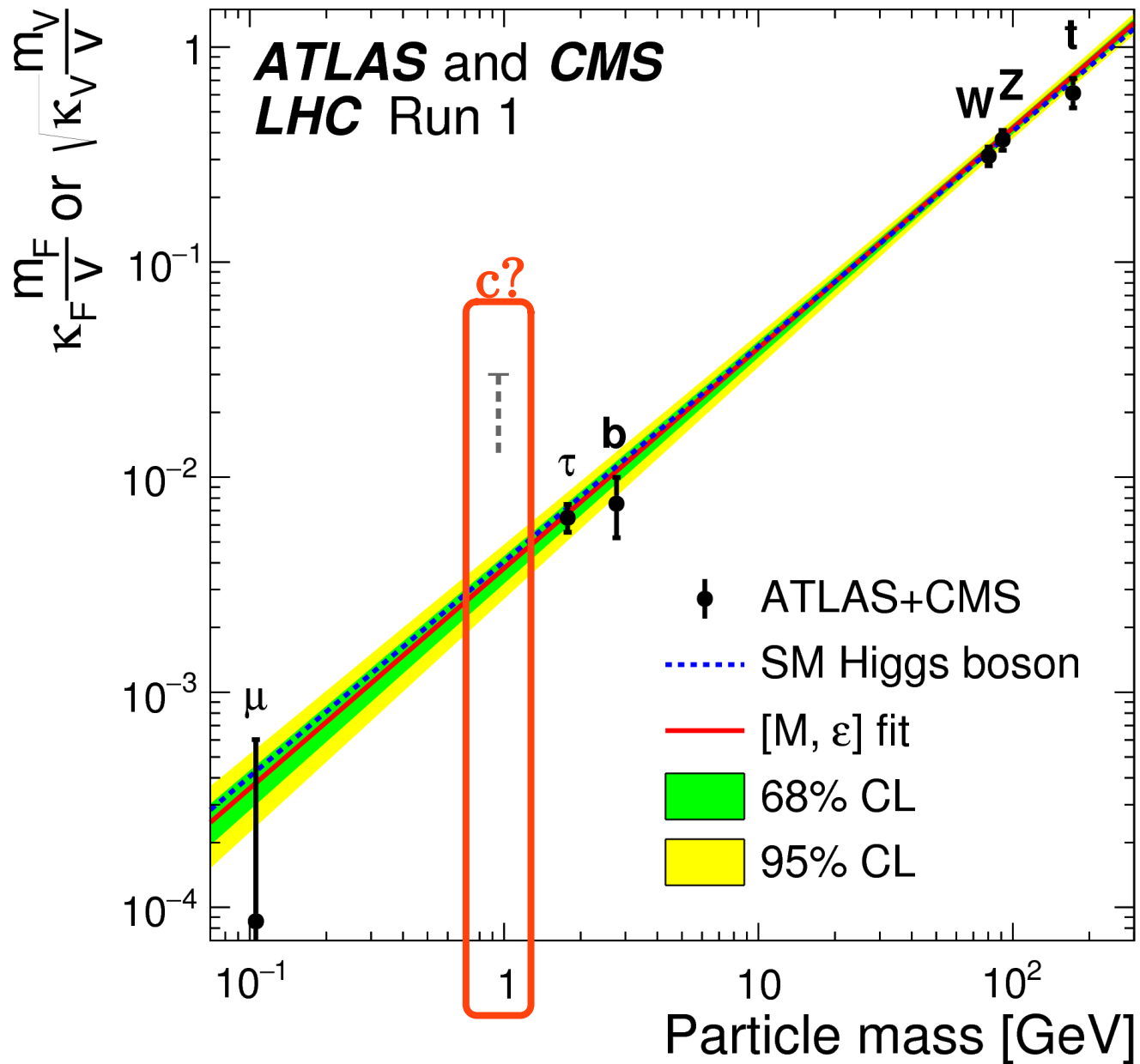
$$\sim \color{blue}{\alpha_s^2} \alpha_s \color{red}{y_c^2}$$

■ extra powers of α_s
from charm PDF

[Sullivan, Nadolsky: hep-ph/0111358]

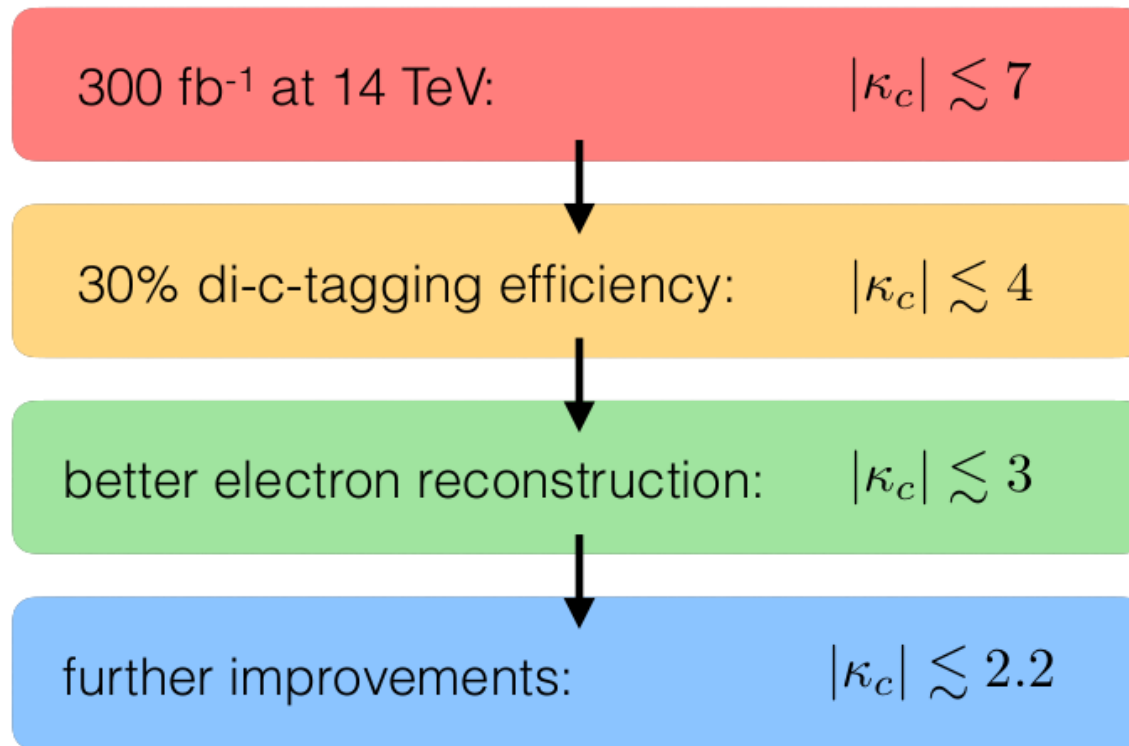
Fermion Yukawas status

ATLAS+CMS [1606.02266]



LHCb projections for HL-LHC

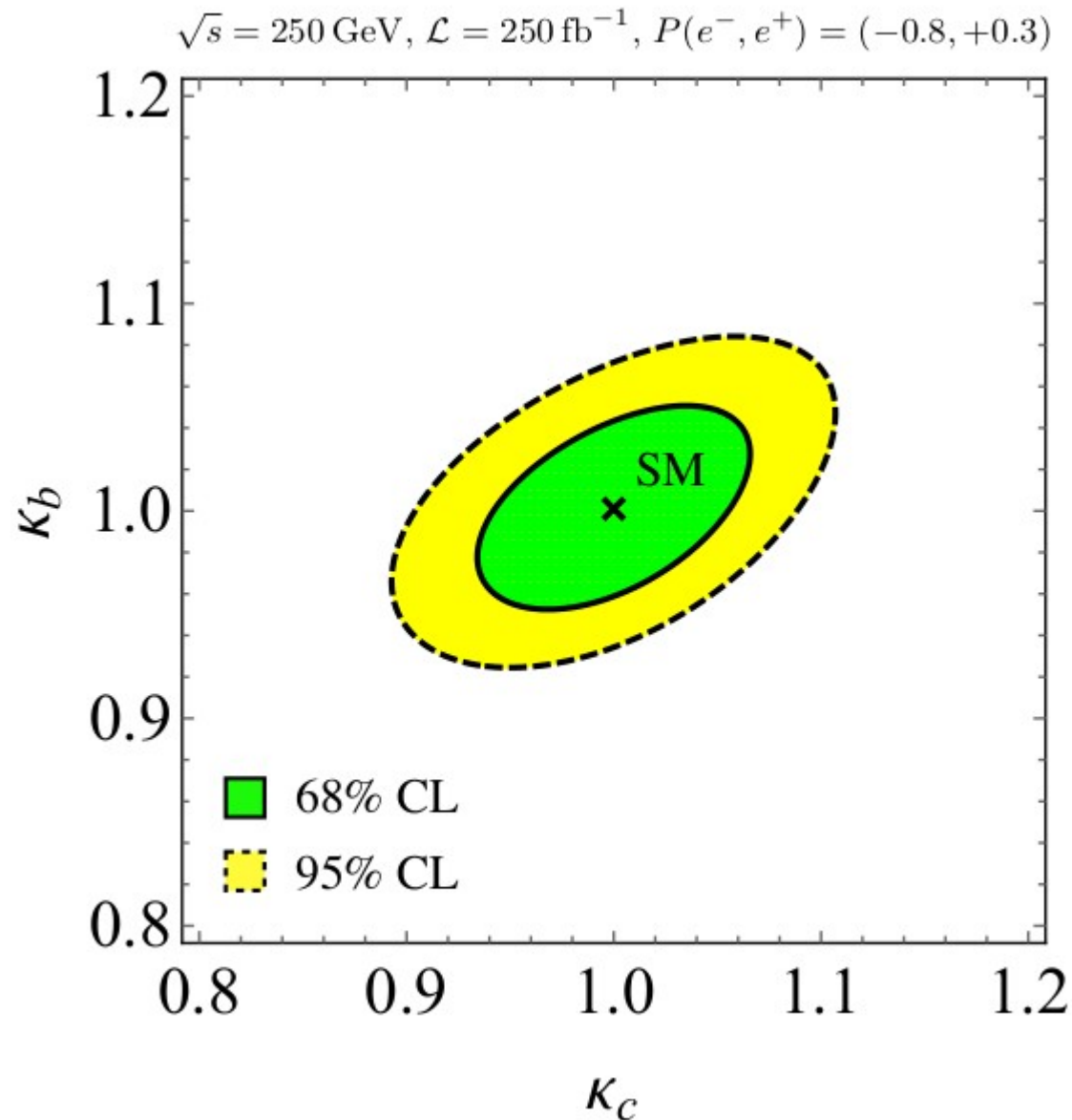
LHCb Upgrade II: constraints on κ_c



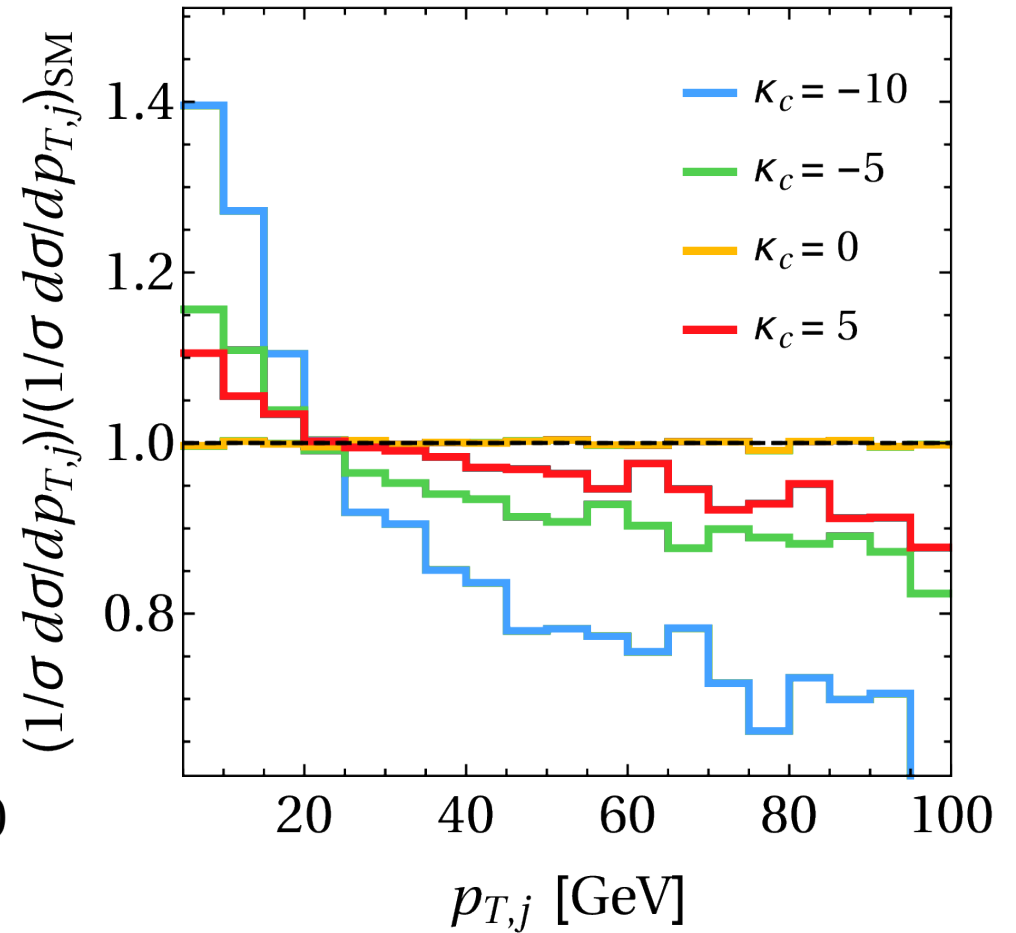
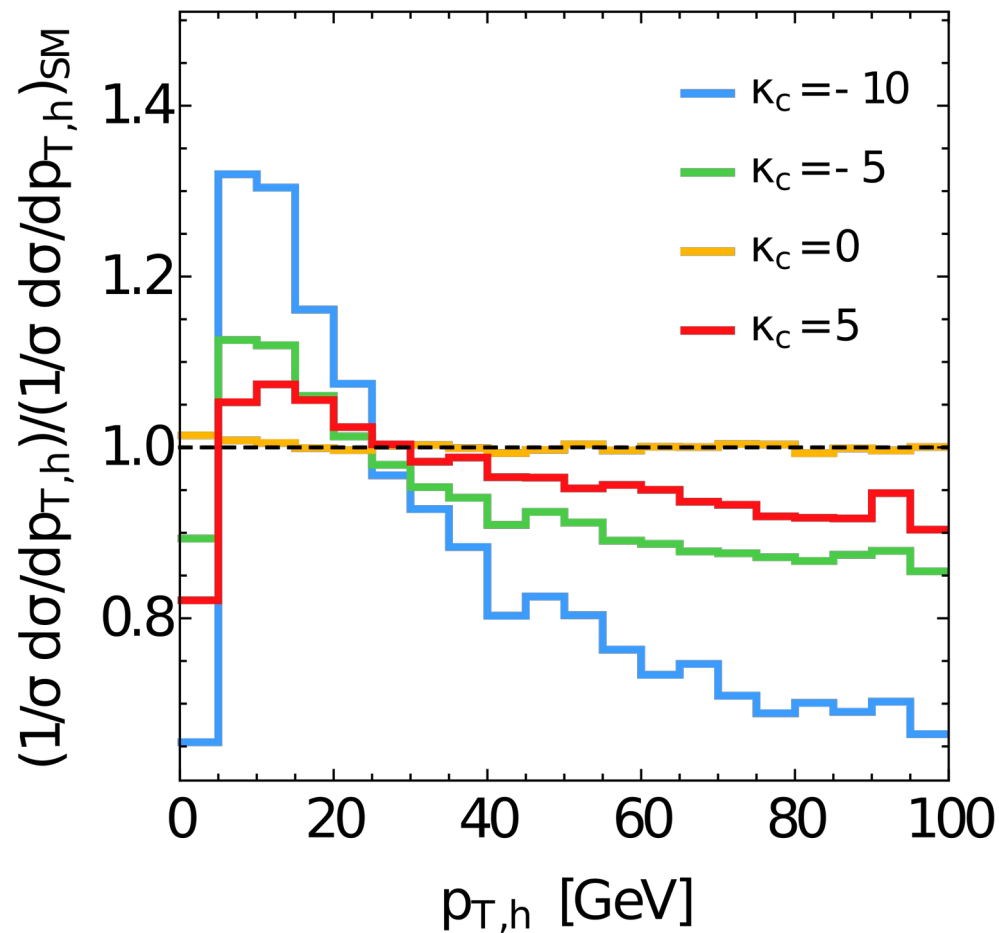
projections taken from talk by Mike Williams

Slide from Uli Haisch talk at Elba 2017
Based on bounds from M. Williams' talk

Projections for the ILC

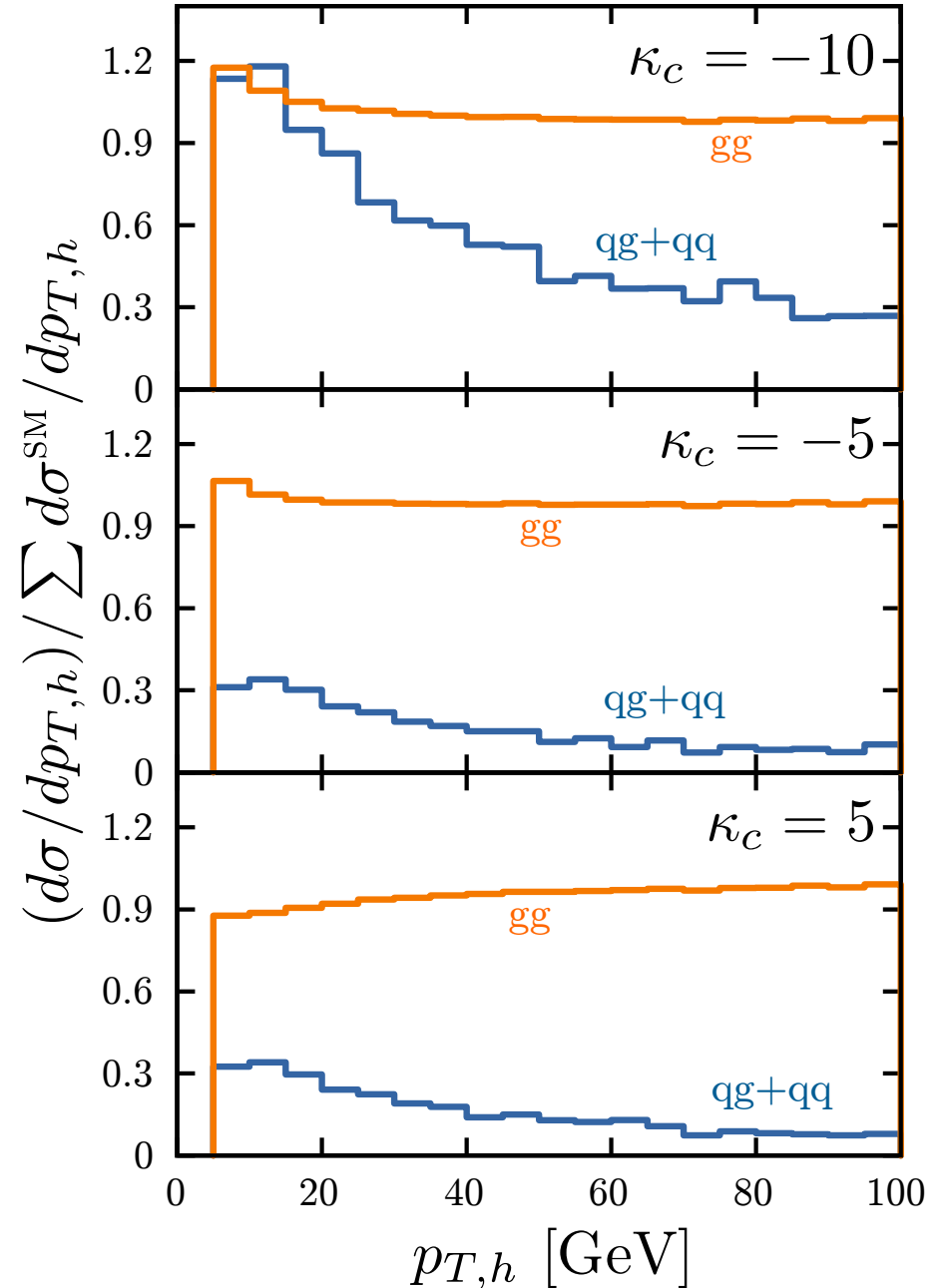
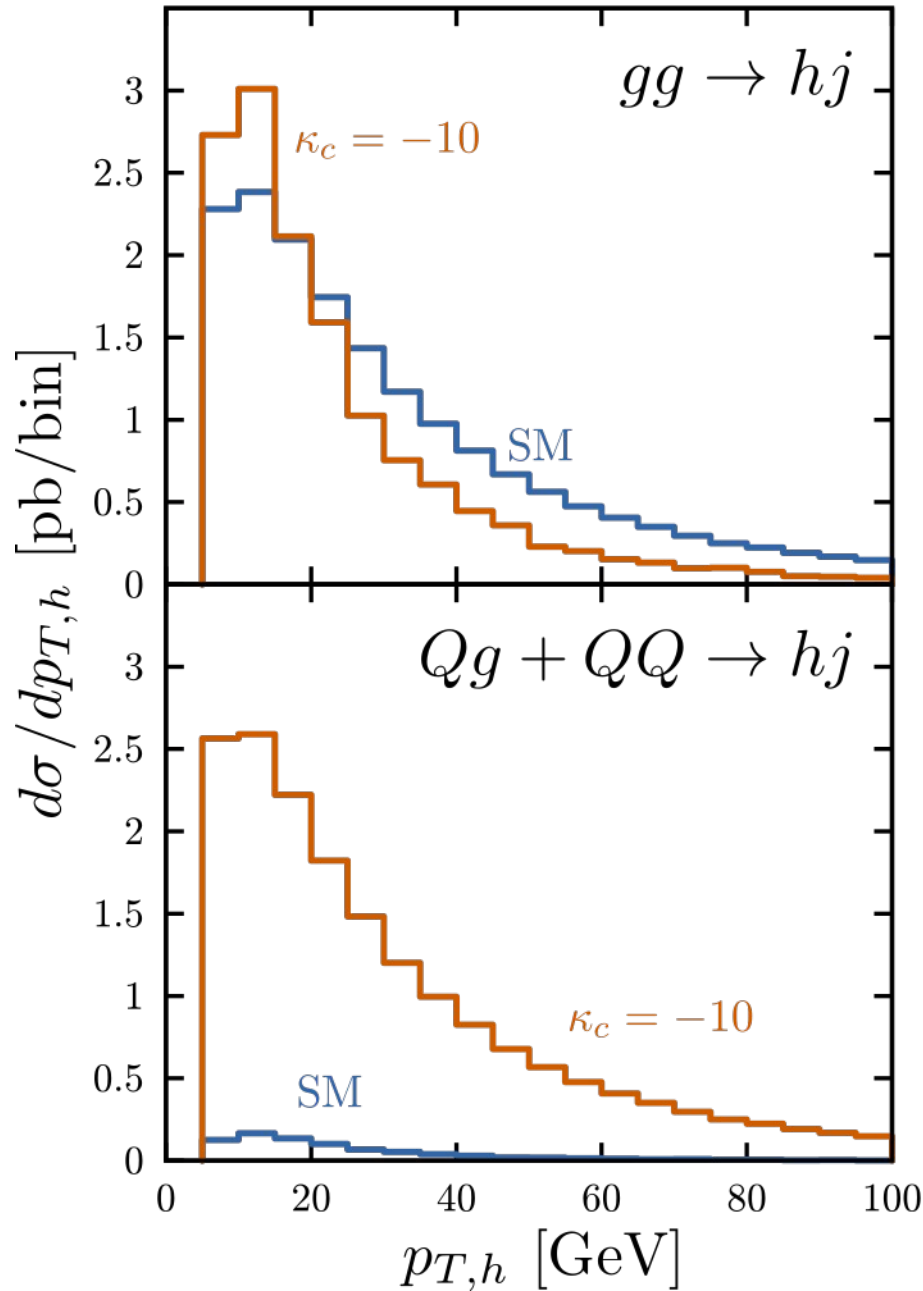


Normalised distributions @ 8 TeV



$\mathcal{O}(1)$ deviations in $\kappa_c \rightarrow \sim \text{few } \%$ effect on the shape

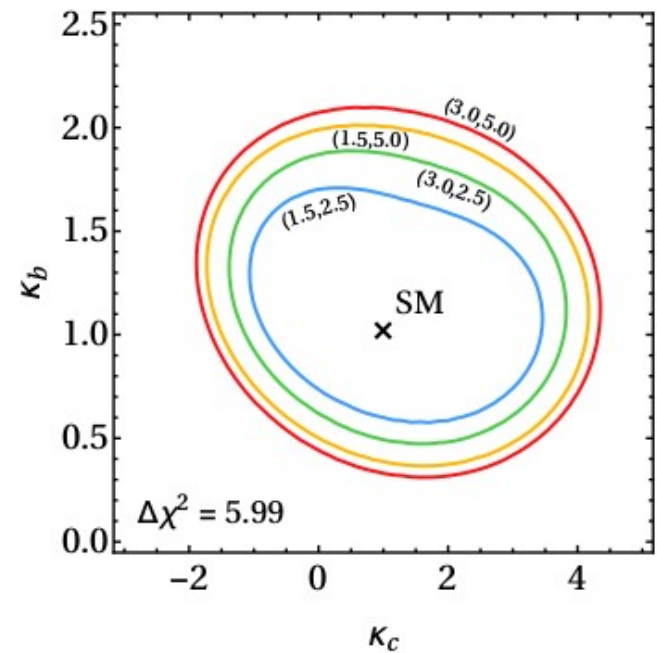
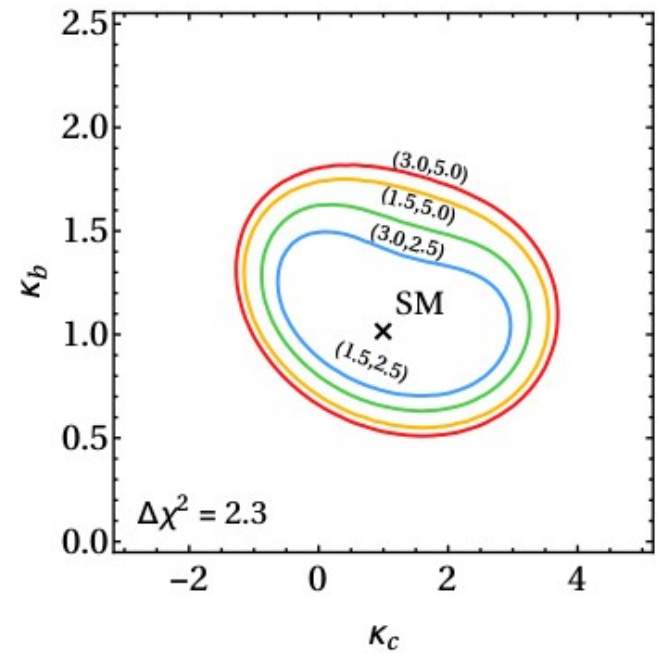
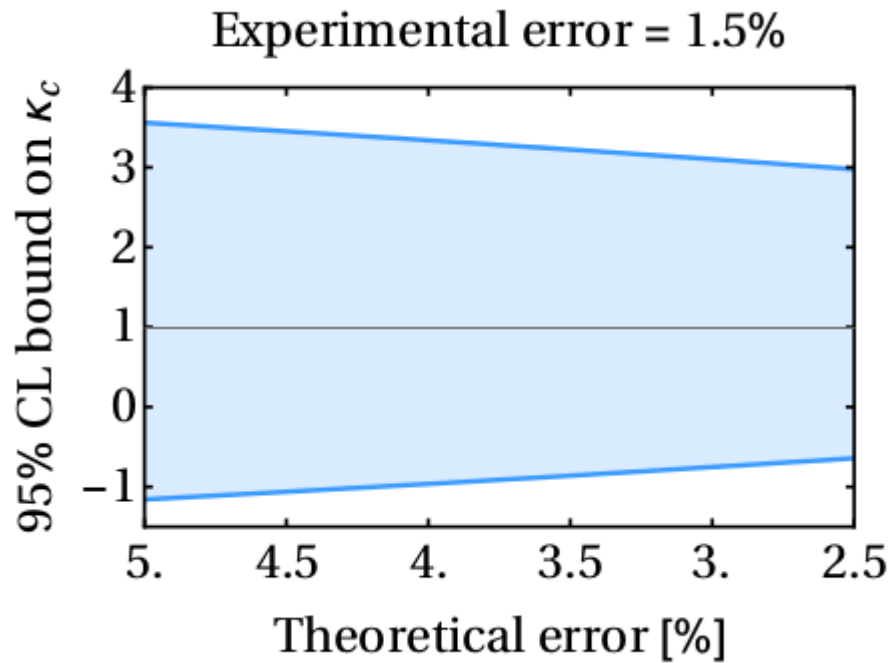
Contributions to spectrum @ 8 TeV



Quark mass effects

- Exact mass dependence only known at L.O.
[Ellis, Hinchliffe, Soldate, and van der Bij: Nuc.Phys. B297 (1988)]
[Baur and Glover: Nuc.Phys. B339 (1990)]
- L.O. differential distributions include non-factorizing terms $\sim \ln^2(p_{\perp}^2/m_Q^2)$
[Mantler, Wiesemann [1210.8263], [Banfi, Monni, and Zanderighi: 1308.4634],
[Grazzini and Sargsyan 1306.4581]]
- These \ln^2 terms **do not exist** for $p_T < m_Q$
- Recent progress in the direction of NLO, NLL
 - Soft double Logs resummed in the abelian limit [Melnikov, Penin: 1602.09020]
 - Two loop virtual corrections in the $m_Q \rightarrow 0$ limit [Melnikov, Tancredi, Wever: 1610.03747 and 1702.00426]

Varying the systematic errors...



	experimental [%]	theoretical [%]	$\kappa_c \in$
S_1	1.5	2.5	$[-0.6, 3.0]$
S_2	3.0	2.5	$[-0.9, 3.3]$
S_3	1.5	5.0	$[-1.2, 3.6]$
S_4	3.0	5.0	$[-1.3, 3.7]$

VH production + flavour tagging

$$\begin{aligned}\mu_b &= \frac{\sigma \text{BR}_{b\bar{b}}}{\sigma_{\text{SM}} \text{BR}_{b\bar{b}}^{\text{SM}}} \\ &\rightarrow \frac{\sigma \text{BR}_{b\bar{b}} \epsilon_{b_1} \epsilon_{b_2} + \sigma \text{BR}_{c\bar{c}} \epsilon_{c_1} \epsilon_{c_2}}{\sigma_{\text{SM}} \text{BR}_{b\bar{b}}^{\text{SM}} \epsilon_{b_1} \epsilon_{b_2} + \sigma_{\text{SM}} \text{BR}_{c\bar{c}}^{\text{SM}} \epsilon_{c_1} \epsilon_{c_2}} \\ &= \left(\mu_b + \frac{\text{BR}_{c\bar{c}}^{\text{SM}} \epsilon_{c_1} \epsilon_{c_2}}{\text{BR}_{b\bar{b}}^{\text{SM}} \epsilon_{b_1} \epsilon_{b_2}} \mu_c \right) \Bigg/ \left(1 + \frac{\text{BR}_{c\bar{c}}^{\text{SM}} \epsilon_{c_1} \epsilon_{c_2}}{\text{BR}_{b\bar{b}}^{\text{SM}} \epsilon_{b_1} \epsilon_{b_2}} \right)\end{aligned}$$

Fermion mass generation

See Altmannshofer et al. [1610.02398] for a 2HDM model where 1st and 2nd generation fermions couple predominantly to one doublet whereas the 3rd generation fermions and the weak gauge bosons couple to the other doublet