

# Lepton Flavour Universality tests with $R_{K\pi\pi}$

Jahrestreffen der deutschen LHCb-Gruppen

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October 5<sup>th</sup>, 2020

**RWTH**AACHEN  
UNIVERSITY

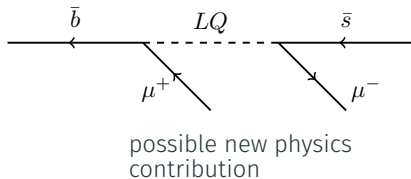
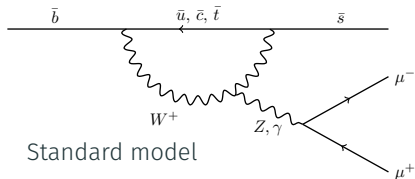


Bundesministerium  
für Bildung  
und Forschung

# Motivation

- SM: Electroweak interaction couples in the same way to all charged leptons
  - Well tested in  $K$  and  $\pi$  decays (e.g.  $K \rightarrow l\nu$  [JHEP 1302 (2013) 048])
- Flavor changing neutral currents (FCNC)  $b \rightarrow sll$ 
  - Forbidden in tree-level decays in the SM
  - Loop diagrams heavily suppressed
  - Highly sensitive to the presence of new heavy virtual particles

⇒ Good probe for New Physics

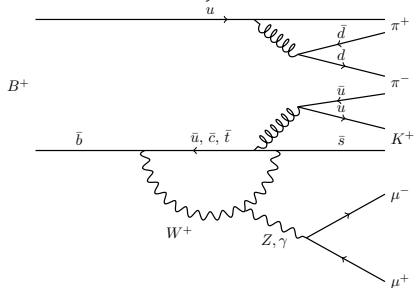


A precise test of LFU in the SM is the ratio

$$R_H = \frac{\mathcal{B}(B \rightarrow H\mu\mu)}{\mathcal{B}(B \rightarrow Hee)}, \text{ with } H = K^+, K^{*0}, \phi, \dots, K^+\pi^+\pi^-$$

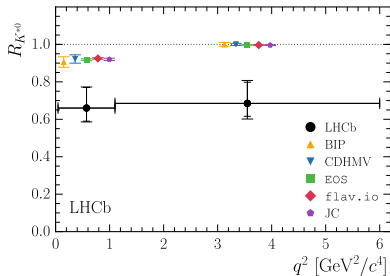
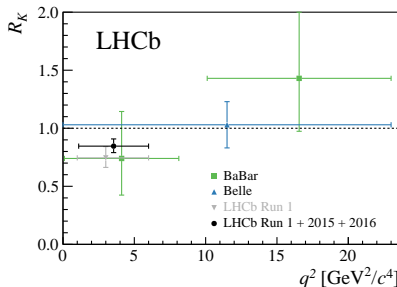
[Phys.Rev. D69 (2004) 074020]

- $R_H$  expected to be close to unity in the SM [JHEP 0712 (2007) 040]
- Theoretically clean as hadronic uncertainties cancel



$R_{K\pi\pi}$

- Ratio of branching fractions of  $B^+ \rightarrow K^+\pi^+\pi^-\ell^+\ell^-$
- Control channel:  $B^+ \rightarrow K^+\pi^+\pi^-J/\psi (\rightarrow \ell^+\ell^-)$
- $q^2 = m(\ell^+\ell^-)^2$



- Tensions with LFU measured by the LHCb collaboration

- Measurement of  $R_K$  ( $2.5\sigma$  tension with SM) [*Phys. Rev. Lett.* 122 (2019) 191801]

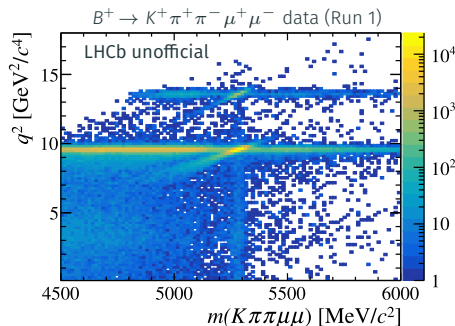
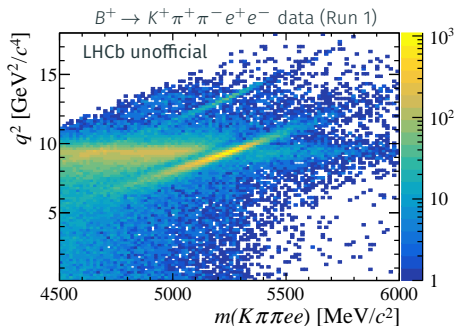
$$R_K = 0.846_{-0.054}^{+0.060} (\text{stat})_{-0.014}^{+0.016} (\text{syst}) \quad \text{for } 1 < q^2 < 6.0 \text{ GeV}^2$$

- Measurement of  $R_{K^{*0}}$  ( $2.1\sigma$ - $2.5\sigma$  tension with SM) [*JHEP* 08 (2017) 055]

$$R_{K^{*0}} = \begin{cases} 0.66_{-0.07}^{+0.11} (\text{stat}) \pm 0.03 (\text{syst}) & \text{for } 0.045 < q^2 < 1.1 \text{ GeV}^2 \\ 0.69_{-0.07}^{+0.11} (\text{stat}) \pm 0.05 (\text{syst}) & \text{for } 1.1 < q^2 < 6.0 \text{ GeV}^2 \end{cases}$$

Perform double ratio

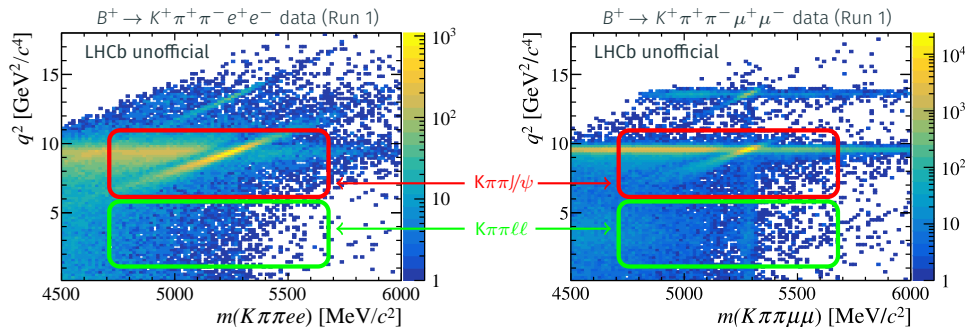
$$R_{K\pi\pi} = \underbrace{\frac{N_{K\pi\pi\mu\mu}}{N_{K\pi\pi(J/\psi \rightarrow \mu\mu)}} \cdot \frac{N_{K\pi\pi(J/\psi \rightarrow ee)}}{N_{K\pi\pi ee}}}_{\text{determined by fit to selected data}} \cdot \underbrace{\frac{\epsilon_{K\pi\pi(J/\psi \rightarrow \mu\mu)}}{\epsilon_{K\pi\pi\mu\mu}} \cdot \frac{\epsilon_{K\pi\pi ee}}{\epsilon_{K\pi\pi(J/\psi \rightarrow ee)}}}_{\text{calculated from corrected simulation}}$$



- Resolution in electron mode deteriorated by Bremsstrahlung

Perform double ratio

$$R_{K\pi\pi} = \underbrace{\frac{N_{K\pi\pi\mu\mu}}{N_{K\pi\pi(J/\psi \rightarrow \mu\mu)}} \cdot \frac{N_{K\pi\pi(J/\psi \rightarrow ee)}}{N_{K\pi\pi ee}}}_{\text{determined by fit to selected data}} \cdot \underbrace{\frac{\epsilon_{K\pi\pi(J/\psi \rightarrow \mu\mu)}}{\epsilon_{K\pi\pi\mu\mu}} \cdot \frac{\epsilon_{K\pi\pi ee}}{\epsilon_{K\pi\pi(J/\psi \rightarrow ee)}}}_{\text{calculated from corrected simulation}}$$



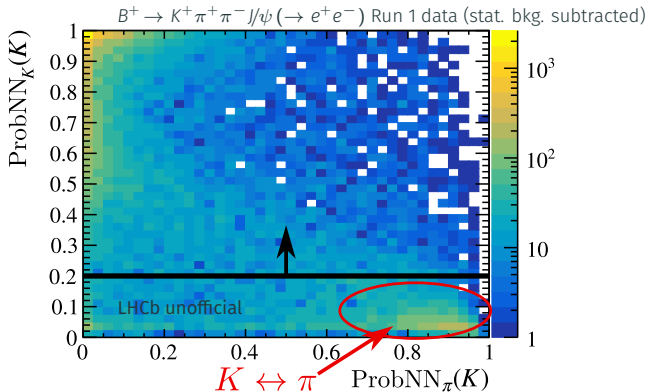
- Resolution in electron mode deteriorated by Bremsstrahlung

## Selection

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$$R_{K\pi\pi} = \underbrace{\frac{N_{K^+\pi^+\pi^-\mu^+\mu^-}}{N_{K\pi\pi(J/\psi \rightarrow \mu^+\mu^-)}} \cdot \frac{N_{K\pi\pi(J/\psi \rightarrow e^+e^-)}}{N_{K^+\pi^+\pi^-e^+e^-}}}_{\text{determined by fit to selected data}} \cdot \underbrace{\frac{\epsilon_{K\pi\pi(J/\psi \rightarrow \mu^+\mu^-)}}{\epsilon_{K^+\pi^+\pi^-\mu^+\mu^-}} \cdot \frac{\epsilon_{K^+\pi^+\pi^-e^+e^-}}{\epsilon_{K\pi\pi(J/\psi \rightarrow e^+e^-)}}}_{\text{calculated from corrected simulation}}$$

- Fiducial cuts to exclude regions in which efficiency calculations are difficult ( $p_{(T)}$ ,  $m(K\pi\pi)$ , ECAL regions)
- Require tracks of good quality
- PID variables are used to suppress mis-identified particles





## Background from physical processes

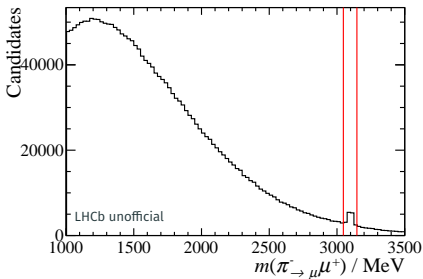
- Partially reconstructed decays
- Decays with one or two mis-identified particles

**For example:** Peaking background from  $B^+ \rightarrow K^+ \pi^+ \pi^- J/\psi$  decays

- Double mis-identification of one hadron and one lepton, e.g.

$$B^+ \rightarrow K^+ \pi^+_{\rightarrow \mu^+} \pi^- (J/\psi \rightarrow \mu^+_{\rightarrow \pi^+} \mu^-)$$

- Escapes charmonium veto on  $q^2$
- Vetoes on  $m(K^+ \ell^-)$ ,  $m(\pi^\pm \ell^\mp)$  with  $K^+$  or the  $\pi^\pm$  reconstructed as lepton

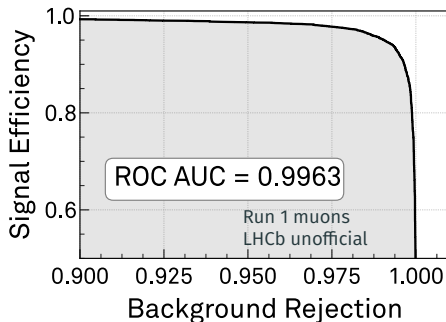


# Combinatorial background suppression

- Multivariate analysis
- Boosted Decision Tree (LightGBM)
- Cross-validation ( $n = 10$ )
- Select input variables from set of well-simulated features by backward elimination
- Separate BDTs for electron and muon mode

## Training data

- Signal:  $B^+ \rightarrow K^+ \pi^+ \pi^- \ell^+ \ell^-$  simulation (corrected)
- Background: Upper sideband  $B^+ \rightarrow K^+ \pi^+ \pi^- \ell^+ \ell^-$  data  
Only combinatorial background, no significant contribution from physical background sources



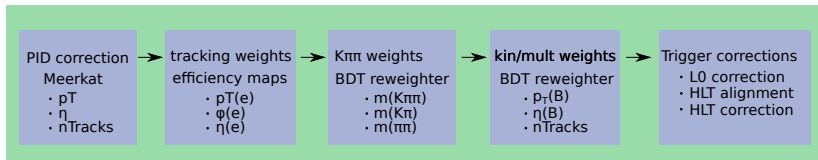
## Corrections to simulation and efficiencies

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$$R_{K\pi\pi} = \underbrace{\frac{N_{K^+\pi^+\pi^-\mu^+\mu^-}}{N_{K\pi\pi(J/\psi \rightarrow \mu^+\mu^-)}} \cdot \frac{N_{K\pi\pi(J/\psi \rightarrow e^+e^-)}}{N_{K^+\pi^+\pi^-e^+e^-}}}_{\text{determined by fit to selected data}} \cdot \underbrace{\frac{\epsilon_{K\pi\pi(J/\psi \rightarrow \mu^+\mu^-)}}{\epsilon_{K^+\pi^+\pi^-\mu^+\mu^-}} \cdot \frac{\epsilon_{K^+\pi^+\pi^-e^+e^-}}{\epsilon_{K\pi\pi(J/\psi \rightarrow e^+e^-)}}}_{\text{calculated from corrected simulation}}$$

Need to calculate accurate efficiencies

⇒ Correct some distributions in simulation

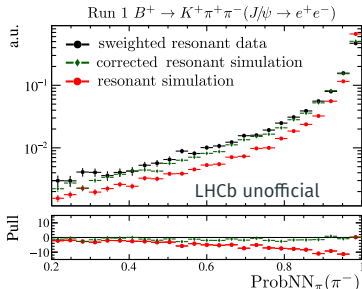
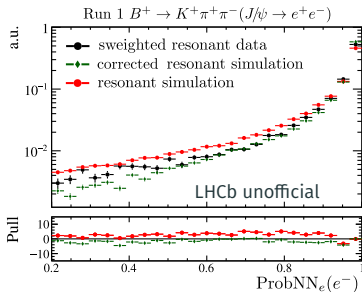


# Correction of PID variables

- Known differences between data and simulation
- Corrected taking clean distributions from data
- Computed as function of  $p_T$ ,  $\eta$  and nTracks

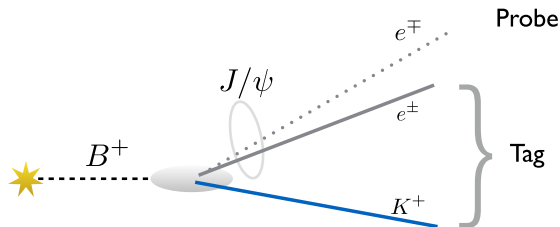
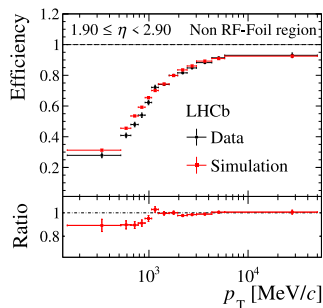
## Calibration samples

- Leptons from  $J/\psi \rightarrow \ell^+ \ell^-$
- $K, \pi$  from  $D^* \rightarrow \pi(D_0 \rightarrow K\pi)$



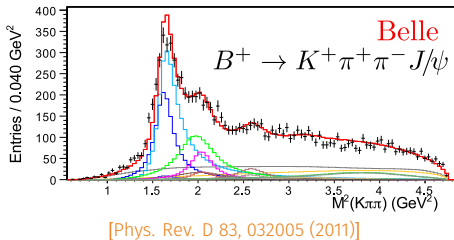
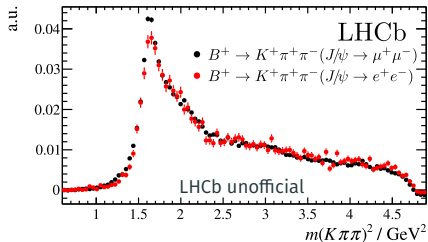
# Tracking corrections

- Tracking efficiency controlled using tag & probe method on data
  - binned in  $p_T$ ,  $\eta$  and  $\phi$
- Correction tables provided by tracking and alignment group [[arXiv:1909.02957 hep-ex](https://arxiv.org/abs/1909.02957)]

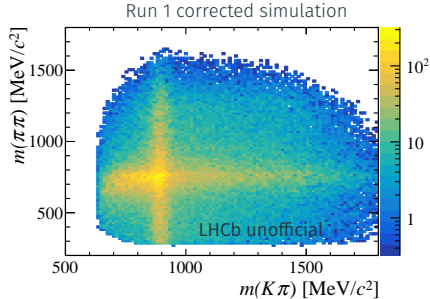
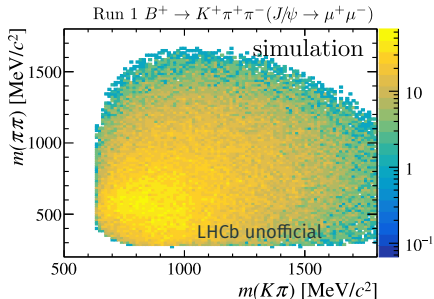


# $K\pi\pi$ composition

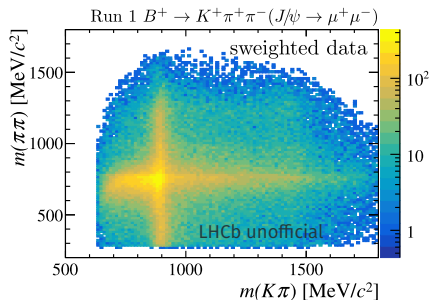
- Perform inclusive measurement of the  $K^+\pi^+\pi^-$  system
- $K\pi\pi$  can originate from
  - $K_1(1270) \rightarrow K\rho$
  - $K_1(1270) \rightarrow K^*(892)\pi$
  - $K_1(1400) \rightarrow K^*(892)\pi$
  - $K_2(1430) \rightarrow K^*(892)\pi$
  - ...
- Difficult to model in simulation



# Correct $K\pi\pi$ model in simulation



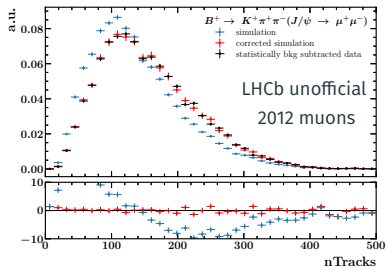
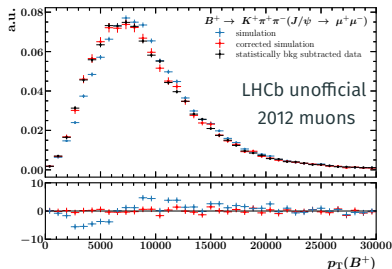
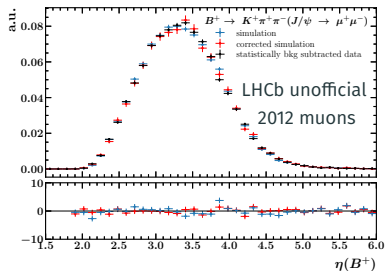
- Simulation generated using generic phase space model
- Calculate weight as function of  $m(K^+\pi^-)$ ,  $m(\pi^-\pi^+)$  and  $m(K^+\pi^+\pi^-)$
- Accurately reproduce  $K\pi\pi$  resonance structure in data



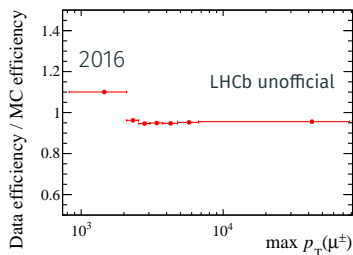
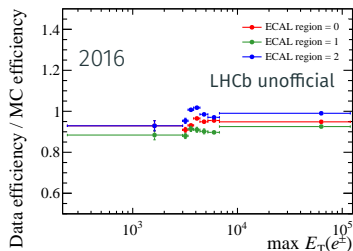


# Rewighting of the $B^+$ production kinematics and multiplicity

- Correct  $B^+$  production kinematics and multiplicity
- Weights are calculated on trigger corrected muonic control channel data
- Observables
  - $p_T(B^+)$
  - $\eta(B^+)$
  - nTracks



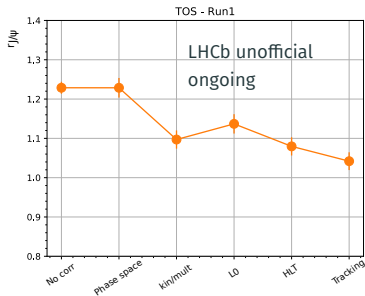
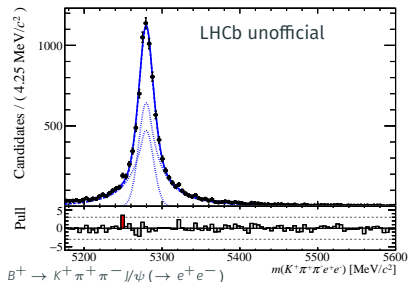
- Tag and probe approach
- Ratios of efficiencies on data and simulation
- Example: Lepton triggers
  - Binned in  $E_{T,max}(e^\pm)$  and ECAL region or  $p_{T,max}(\mu^\pm)$
  - Determine efficiency using trigger independent of signal



# Test of the efficiency calculation - cross-check $r_{J/\psi}$

$$r_{J/\psi} = \frac{\mathcal{B}(B^+ \rightarrow K^+ \pi^+ \pi^- J/\psi (\rightarrow \mu^+ \mu^-))}{\mathcal{B}(B^+ \rightarrow K^+ \pi^+ \pi^- J/\psi (\rightarrow e^+ e^-))} = \frac{N_{K\pi\pi(J/\psi \rightarrow \mu\mu)}}{N_{K\pi\pi(J/\psi \rightarrow ee)}} \cdot \frac{\epsilon_{K\pi\pi(J/\psi \rightarrow ee)}}{\epsilon_{K\pi\pi(J/\psi \rightarrow \mu\mu)}} = 1$$

- Ratio of tree level decays
- Powerful cross check for the efficiency calculations
- Calculate  $r_{J/\psi}$  as a function of kinematic and other quantities



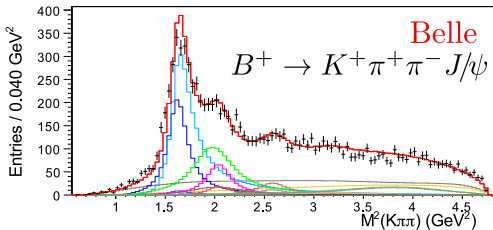
- $R_H$  measurements are a good probe of New Physics contributions
- Recent measurements show tensions up to  $2.5\sigma$  with SM
- This analysis adds measurement in an additional channel
- Presented
  - Overview over selection criteria
  - Corrections to simulation
- Work in progress
  - Finish studies of  $r_{J/\psi}$  (flatness, value)
  - Study of systematic uncertainties

Thank you!

Backup

- |   |   |
|---|---|
| — Overall PDF                             | — $K(1600) \rightarrow K^*(892) \pi$      |
| — Background                              | — $K(1600) \rightarrow K \rho$            |
| — Nonresonant                             | — $K^*(1680) \rightarrow K^*(892) \pi$    |
| — $K_A(1270) \rightarrow K^*(892) \pi$    | — $K^*(1680) \rightarrow K \rho$          |
| — $K_A(1270) \rightarrow K \rho$          | — $K^*(1680) \rightarrow K \omega$        |
| — $K_A(1270) \rightarrow K \omega$        | — $K_2(1770) \rightarrow K^*(892) \pi$    |
| — $K_A(1270) \rightarrow K_2^*(1430) \pi$ | — $K_2(1770) \rightarrow K_2^*(1430) \pi$ |
| — $K_A(1400) \rightarrow K^*(892) \pi$    | — $K_2(1770) \rightarrow K f_2(1270)$     |
| — $K_A(1410) \rightarrow K^*(892) \pi$    | — $K_2(1770) \rightarrow K f_0(980)$      |
| — $K_2^*(1430) \rightarrow K^*(892) \pi$  | — $K_2^*(1980) \rightarrow K^*(892) \pi$  |
| — $K_2^*(1430) \rightarrow K \rho$        | — $K_2^*(1980) \rightarrow K \rho$        |
| — $K_2^*(1430) \rightarrow K \omega$      |   |

[Phys. Rev. D 83, 032005 (2011)]



# Feynman diagrams

