



# ATLAS + CMS SEARCHES BEYOND INCLUSIVE RESONANCES IN LEPTONIC FINAL STATES

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LHCP 2021, TeV-scale BSM,  
June 7, 2021

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on behalf of the ATLAS and CMS collaborations

- There are many searches performed in **leptonic final states** beyond the ones with inclusive resonances.
- **Covered in this talk:**
  - Processes with with a lepton and missing transverse momentum
  - Non-resonant processes in dilepton events
  - Lepton flavour violation searches
  - Multilepton processes and model independent searches
- The following topics will be covered **later this week:**
  - Resonances and heavy mediators ([Joint BSM session, Wednesday](#))
  - SUSY ([TeV-scale BSM: SUSY, Tuesday](#))
  - Leptoquarks and vector-like quarks ([TeV-scale BSM: Third generation and flavour, Thursday](#))

- The tails of transverse mass  $M_T$  in single lepton  $W$ +jets events with high missing transverse momentum can also be used for non-resonant searches.

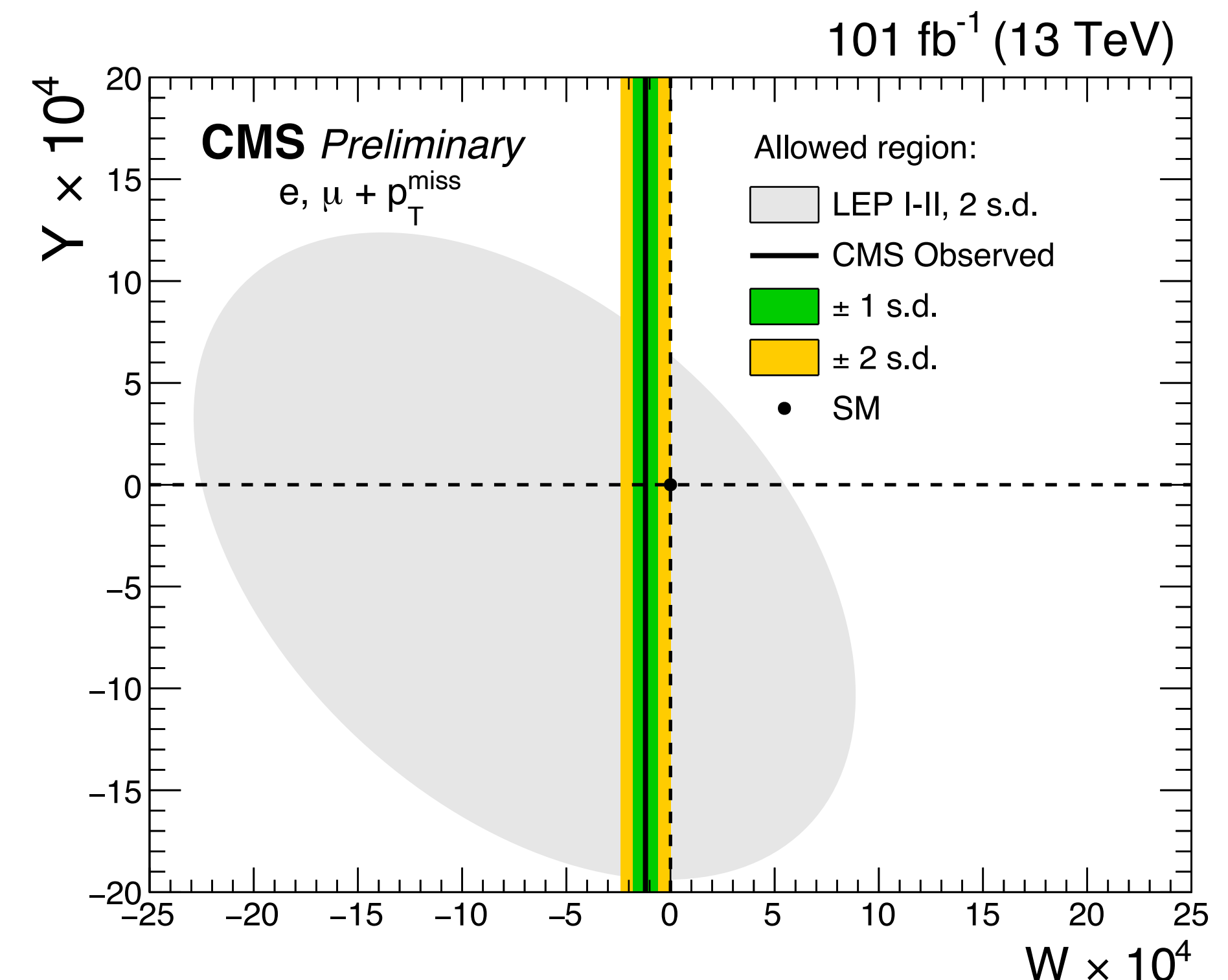
$$M_T = \sqrt{2p_T^\ell E_T^{\text{miss}} (1 - \cos[\Delta\phi(\ell, E_T)])}$$

- Effective field theory (EFT) interpretations quantify potential deviations from SM expectations through the **oblique electroweak  $W$  parameter**, as a correction to the propagator  $qq \rightarrow W \rightarrow l\nu$ , [Phys. Rev. D 46, 381](#).

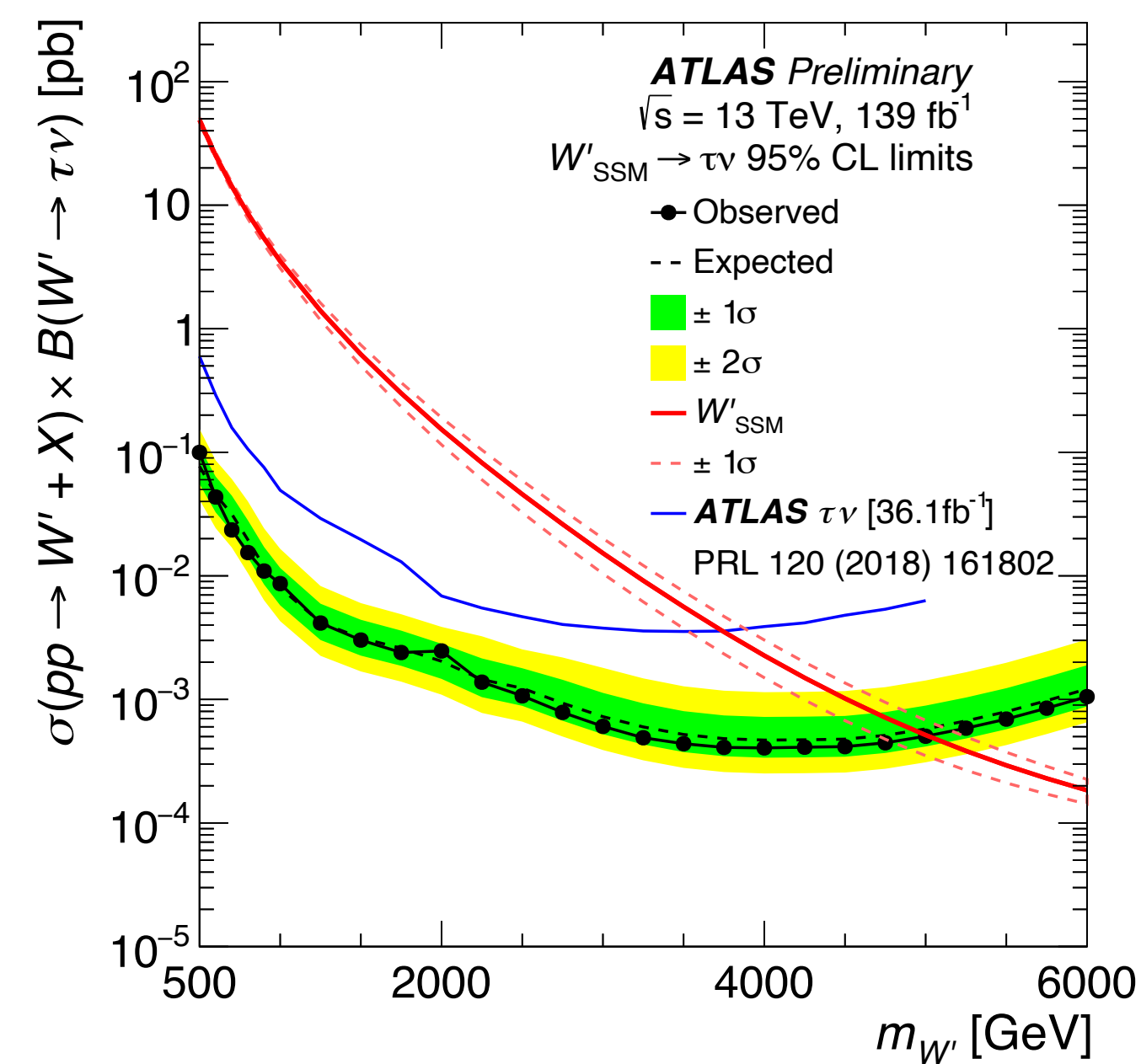
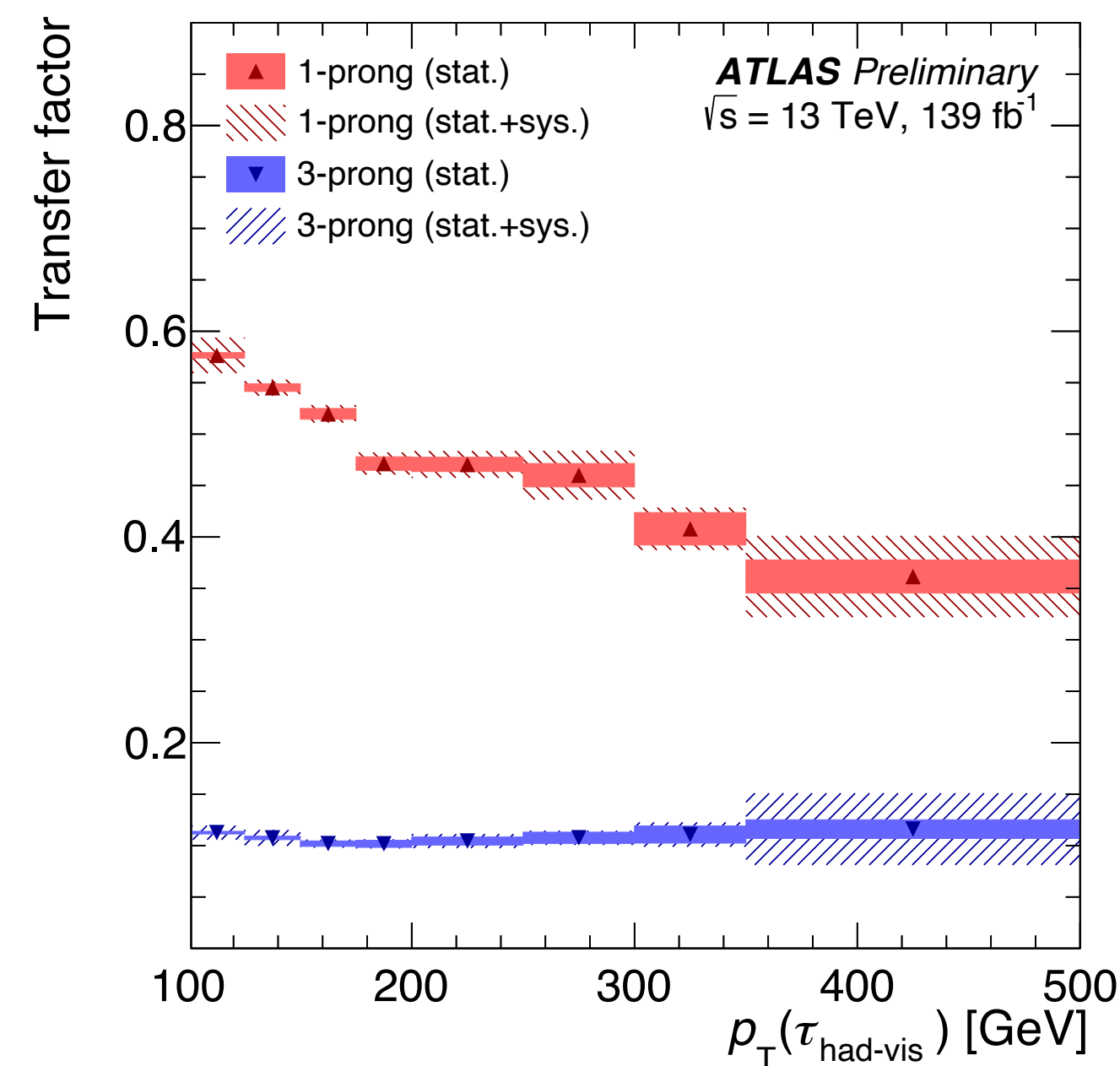
- Selection:**

- high transverse momentum electrons ( $p_T > 240$  GeV) or muons ( $p_T > 53$  GeV)
- $E_T^{\text{miss}}$  with  $p_T/E_T^{\text{miss}}$  between 0.4 and 1.5 and  $\Delta\phi(p_T, E_T^{\text{miss}}) > 2.5$
- Fit result** using 2017 and 2018 data:

$$W = -12_{-6}^{+5} \times 10^{-5}$$

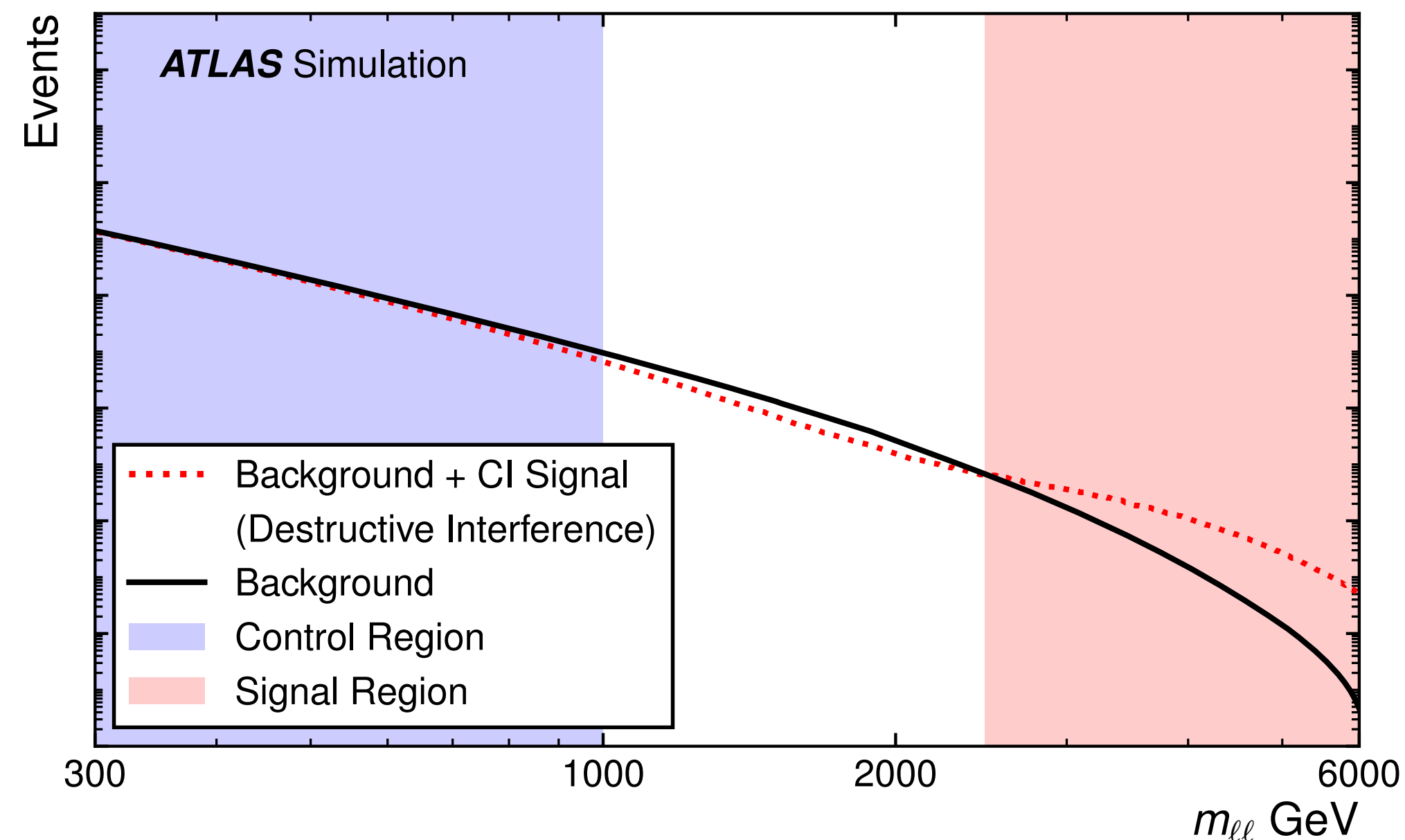


- Events with  $\tau$ -leptons in the final state yield wide resonances due to **secondary (hadronic)  $\tau$  decays**.
- Looking for Sequential Standard Model (SSM)  $W'$  boson, [Z. Phys. C 45 \(1989\) 109](#).
- Misidentification probability of jets as tau candidates propagated from control regions as transfer factors.
- $W'$  masses excluded up to 5.0 TeV.
- Model-independent limits set on signal yields above certain transverse mass thresholds,  $m_T^{\text{thresh}}$ .



Before searching for processes in dilepton events, backgrounds need to be modelled well.

ATLAS-EXOT-2019-16

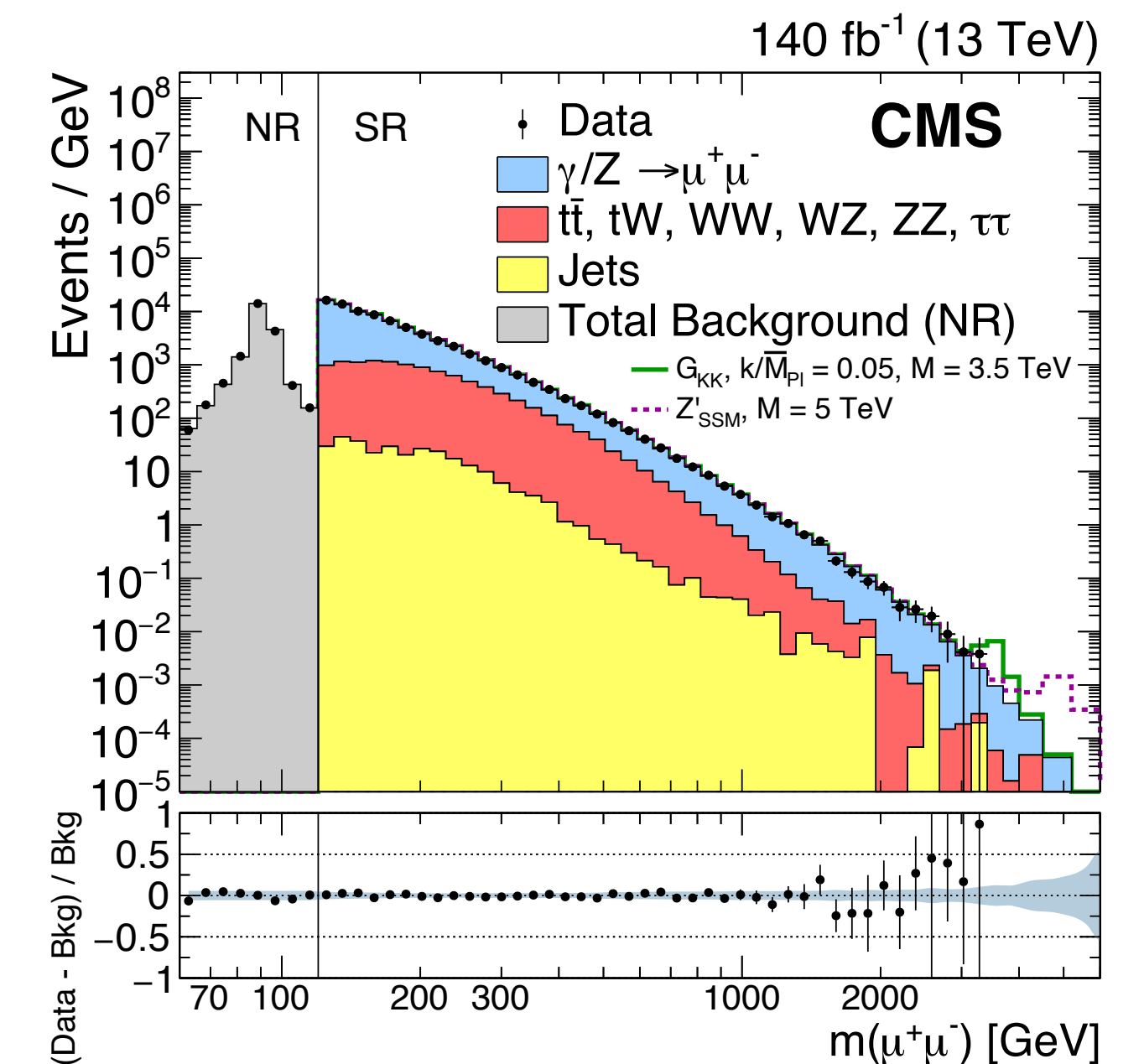


- $m_{ll}$  distribution is fit from data by a parametric background function in a low-mass control region.
- Extrapolated to single-bin signal regions.
- Fit parameters  $b, c, p_i$ .

$$f_b(m_{\ell\ell}) = f_{BW,Z}(m_{\ell\ell}) \cdot (1 - x^c)^b \cdot x^{\sum_{i=0}^3 p_i \log(x)^i}$$

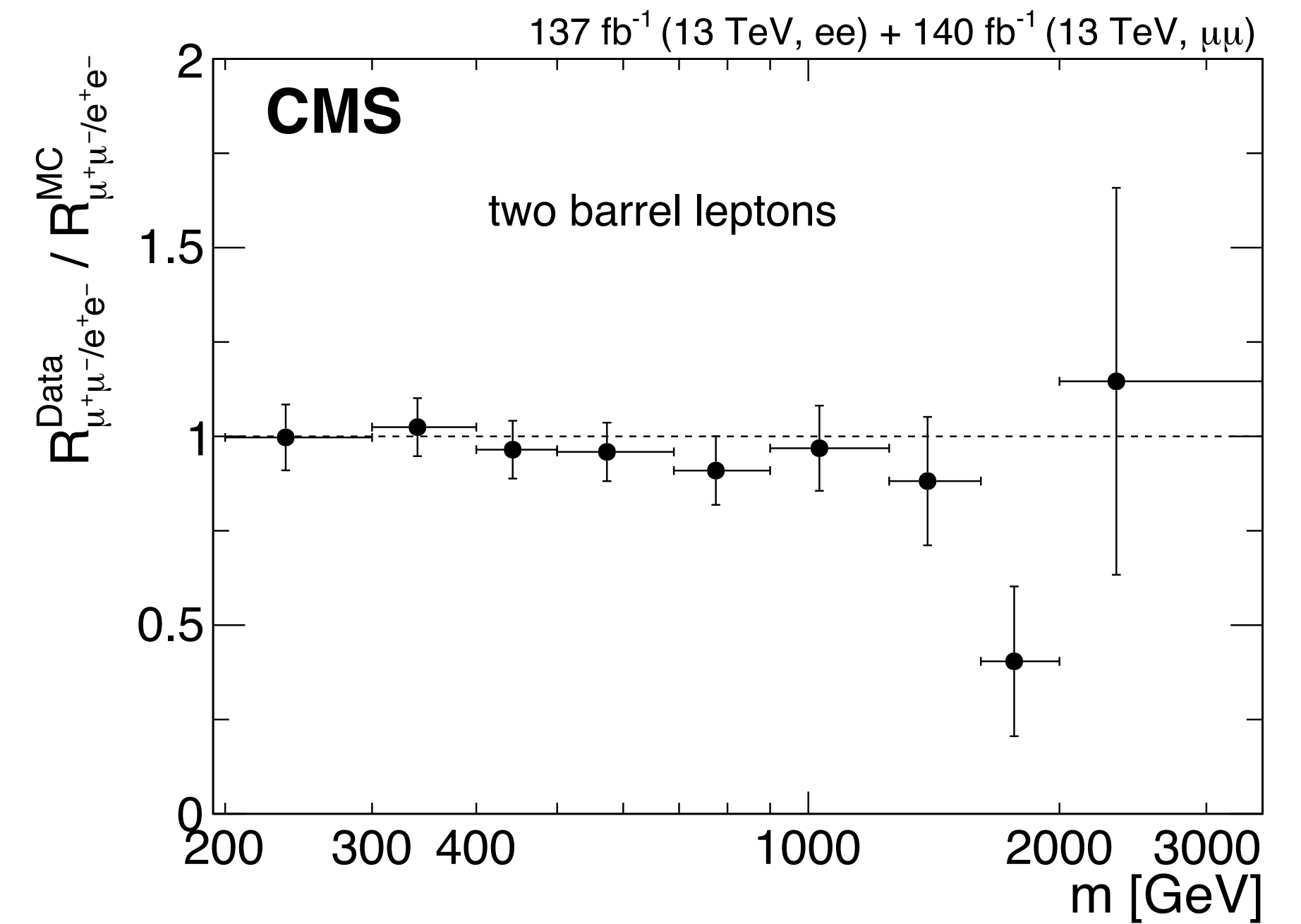
CMS-EXO-19-019

- Dominant background: Drell-Yan process, estimated from simulation.
- Jets misidentified as electrons are estimated from data.
- Combined background shape normalised to data around the Z boson mass.

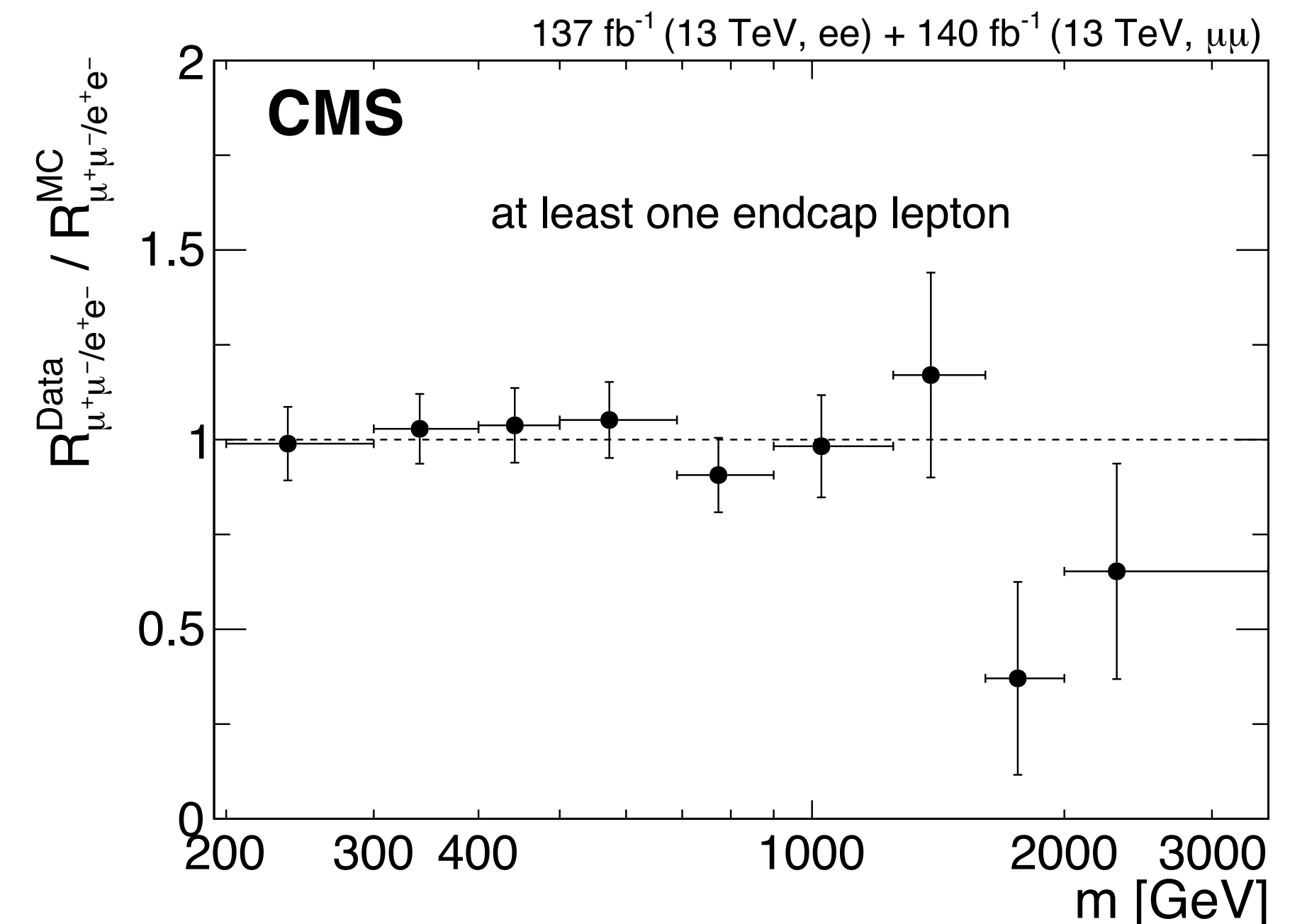


- Lepton flavour universality violations, other flavour anomalies, would indicate a deviation from unity of the ratio of the dimuon to dielectron differential cross section, [J. Phys. G: Nucl. Part. Phys. 46 023001](#).
- Mass distributions in data are unfolded after subtracting all backgrounds except for DY.
- Normalised to 1 in the range 200–400 GeV to correct efficiencies between  $e/\mu$ .
- Corrected with simulated DY events.
- Good agreement up to 1.5 TeV.
- One-sided  $p$ -values of 0.067 and 0.185.

$$R_{\mu^+\mu^-/e^+e^-} = \frac{d\sigma(q\bar{q} \rightarrow \mu^+\mu^-)/dm_{\ell\ell}}{d\sigma(q\bar{q} \rightarrow e^+e^-)/dm_{\ell\ell}}$$



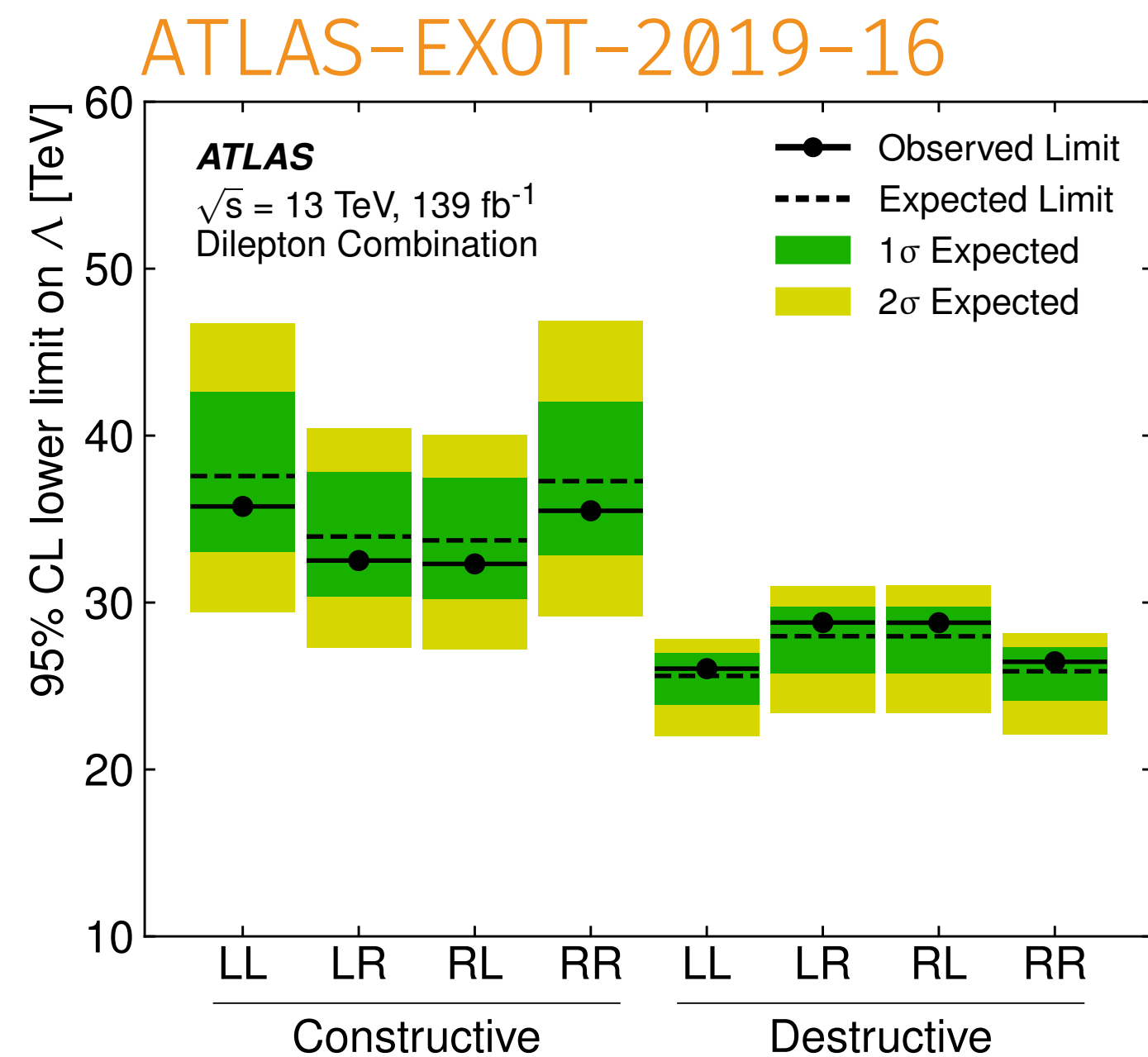
CMS-EXO-19-019



- Quarks and leptons may be composite with at least one common constituent → effective **four-fermion contact interaction** at scale  $\Lambda$ , [Rev. Mod. Phys. 56, 579](#), [Phys. Rev. Lett. 50, 811](#).
- CMS studied the angle  $\theta^*$  of the outgoing negatively charged lepton with respect to the z axis in the Collins–Soper frame (2 bins).

$$p^\pm = \frac{1}{\sqrt{2}}(E \pm p_z)$$

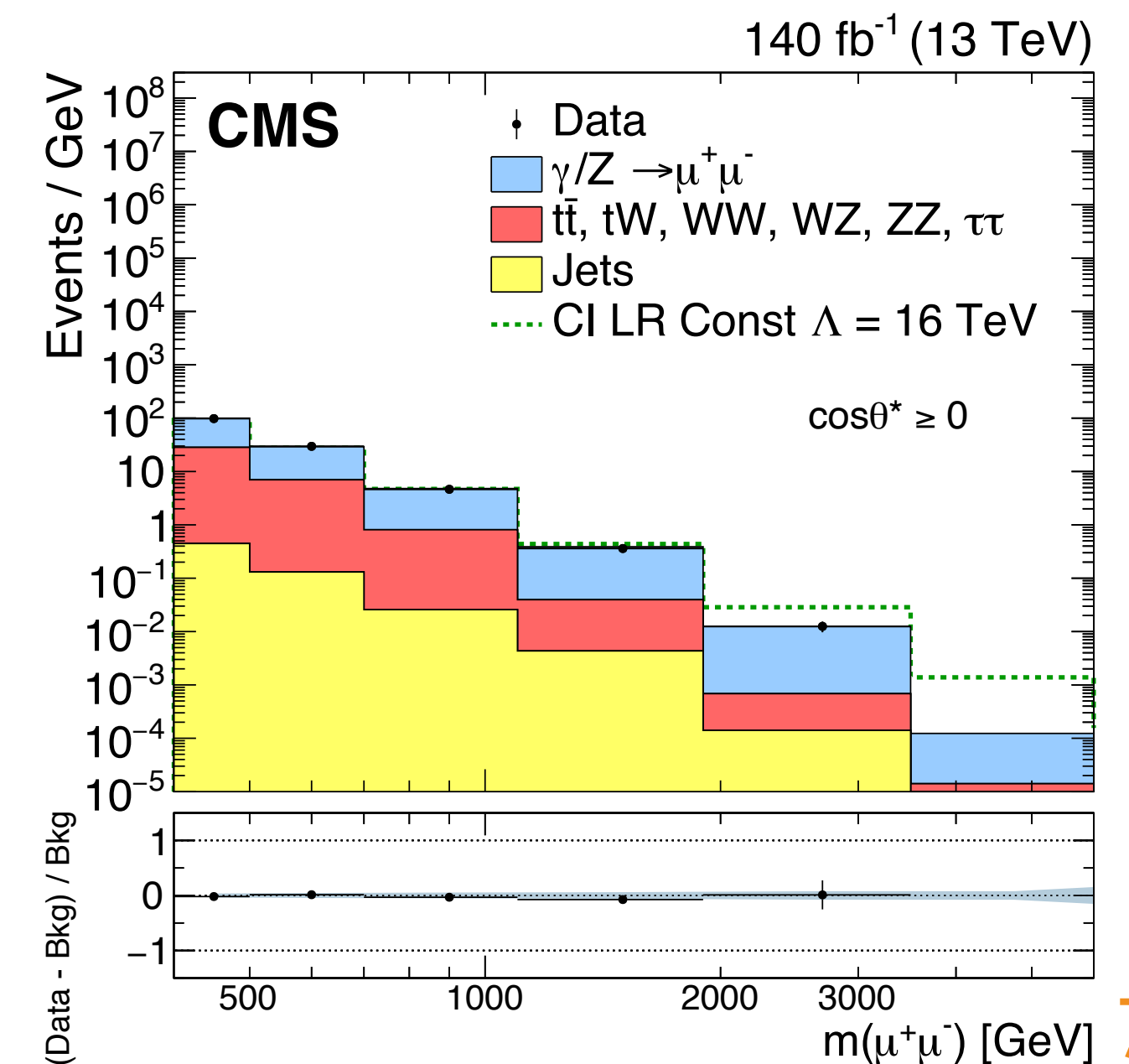
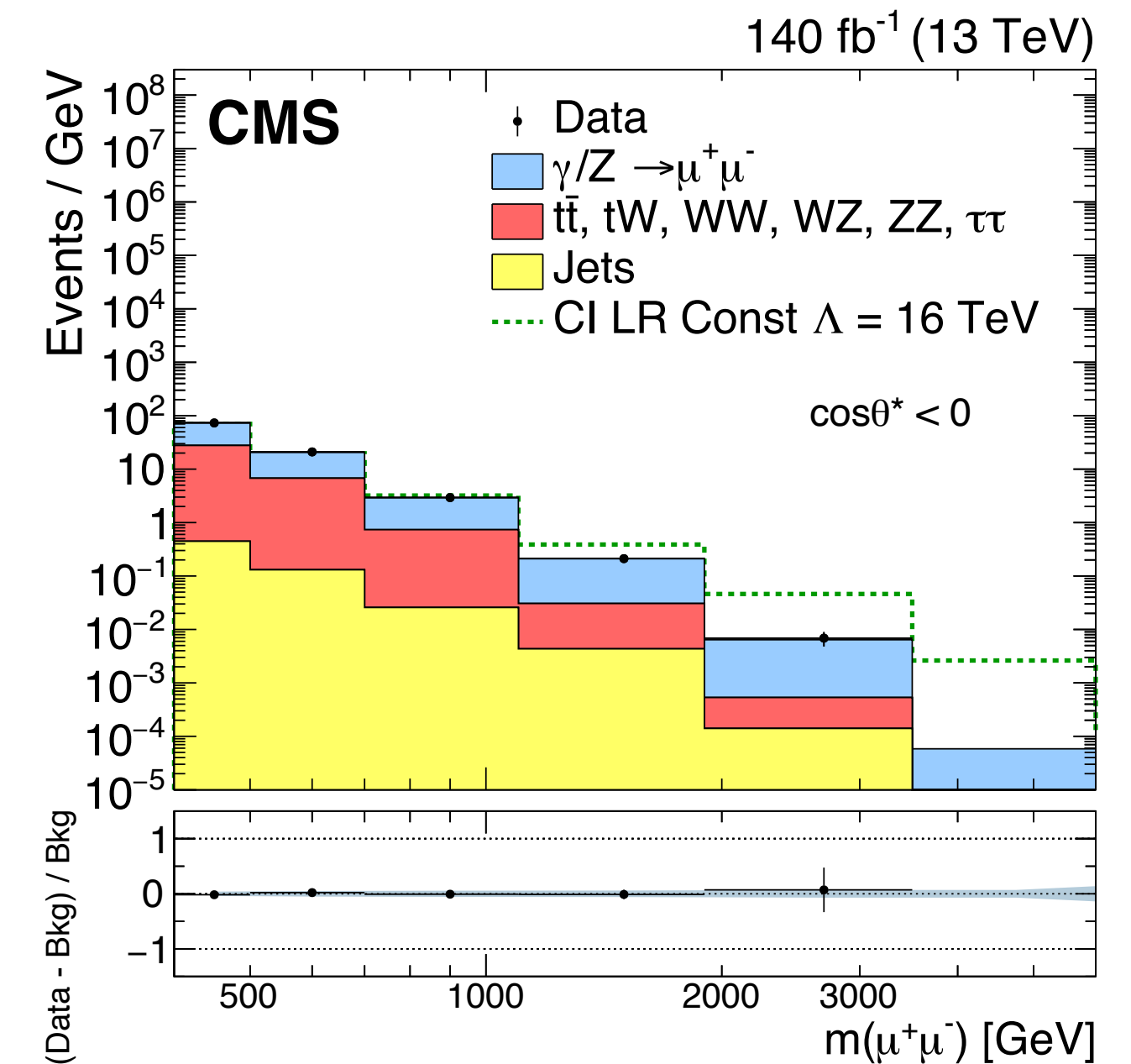
$$\cos \theta^* = \frac{p_z(\ell^+ \ell^-)}{|p_z(\ell^+ \ell^-)|} \frac{2(p_1^+ p_2^- - p_1^- p_2^+)}{m(\ell^+ \ell^-) \sqrt{m(\ell^+ \ell^-)^2 + p_T(\ell^+ \ell^-)^2}}$$



- Lower limits on the contact interaction scale  $\Lambda$  are set:
  - ATLAS**: from 22.3 to 35.8 TeV
  - CMS**: from 23.9 to 36.4 TeV
- Same regions used to search for extra dimensions in ADD models,

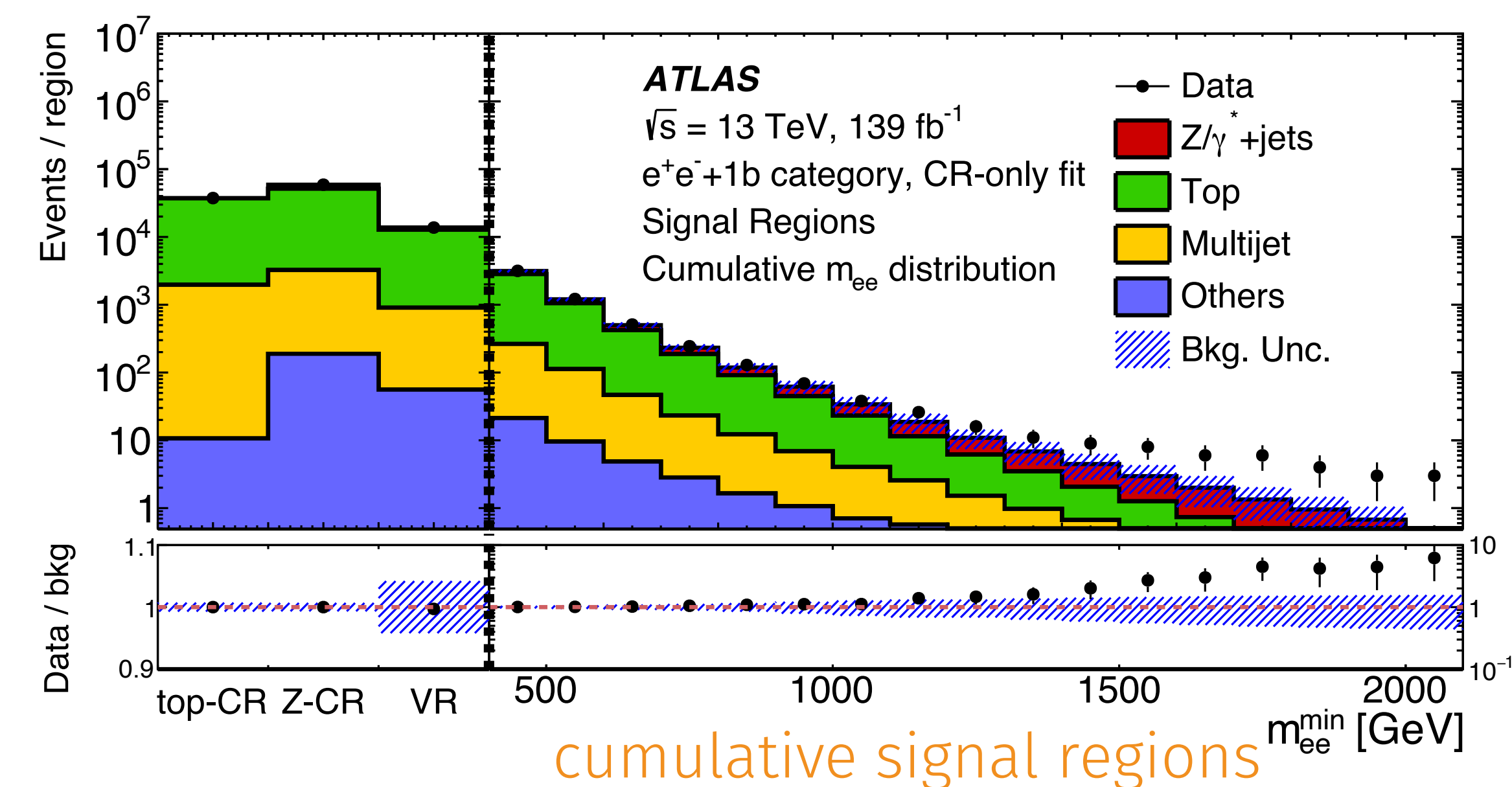
[ATL-PHYS-PUB-2021-021](#),  
[CMS-EXO-19-019](#).

**CMS-EXO-19-019**



- To explain the asymmetries measured in the  $B$ -meson decays, the  $bsll$  interaction would have to be **different between electrons and muons**  
 $\rightarrow bsll$  contact interaction with scale  $\Lambda$  and coupling  $g^*$ , [JHEP 08 \(2018\) 056](#), [Eur. Phys. J. C 79 \(2019\) 714](#), [Eur. Phys. J. C 77 \(2017\) 548](#).
- 4 categories:  $e^+e^-/\mu^+\mu^-$  with 0 or 1  $b$ -jet.
- Signal regions (SR) with lower bounds on  $m_{ll}^{\min}$  starting at 400 GeV.

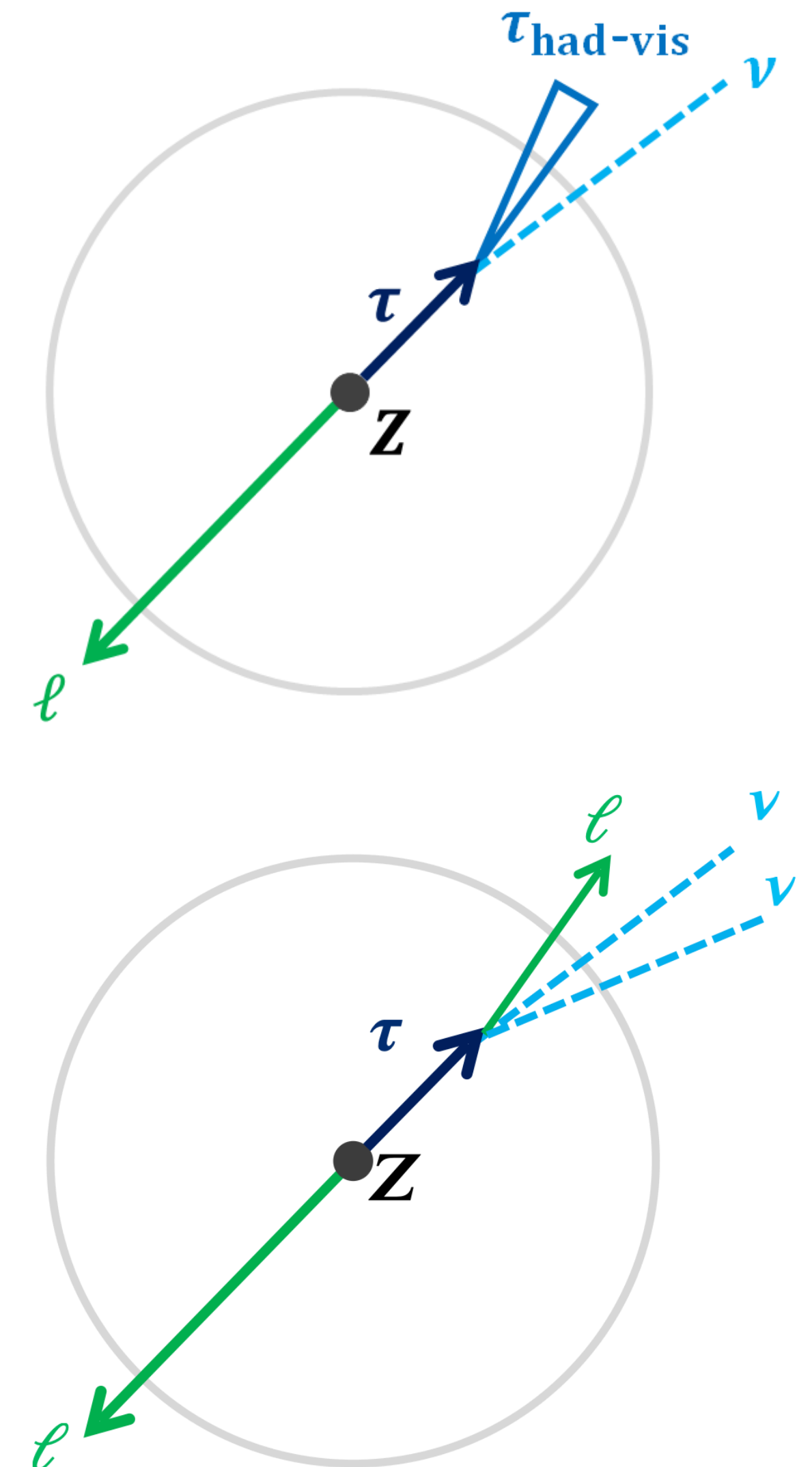
- Top and multijet backgrounds estimated from simulation, extrapolated from a  $2b$  region using parametric functions.
- $Z$ +jets fitted with for  $130 < m_{ll} < 250$  GeV.
- Largest observed local significance  $2.6 \sigma$ .
- Lower limits: from 1.8 to 2.4 TeV.

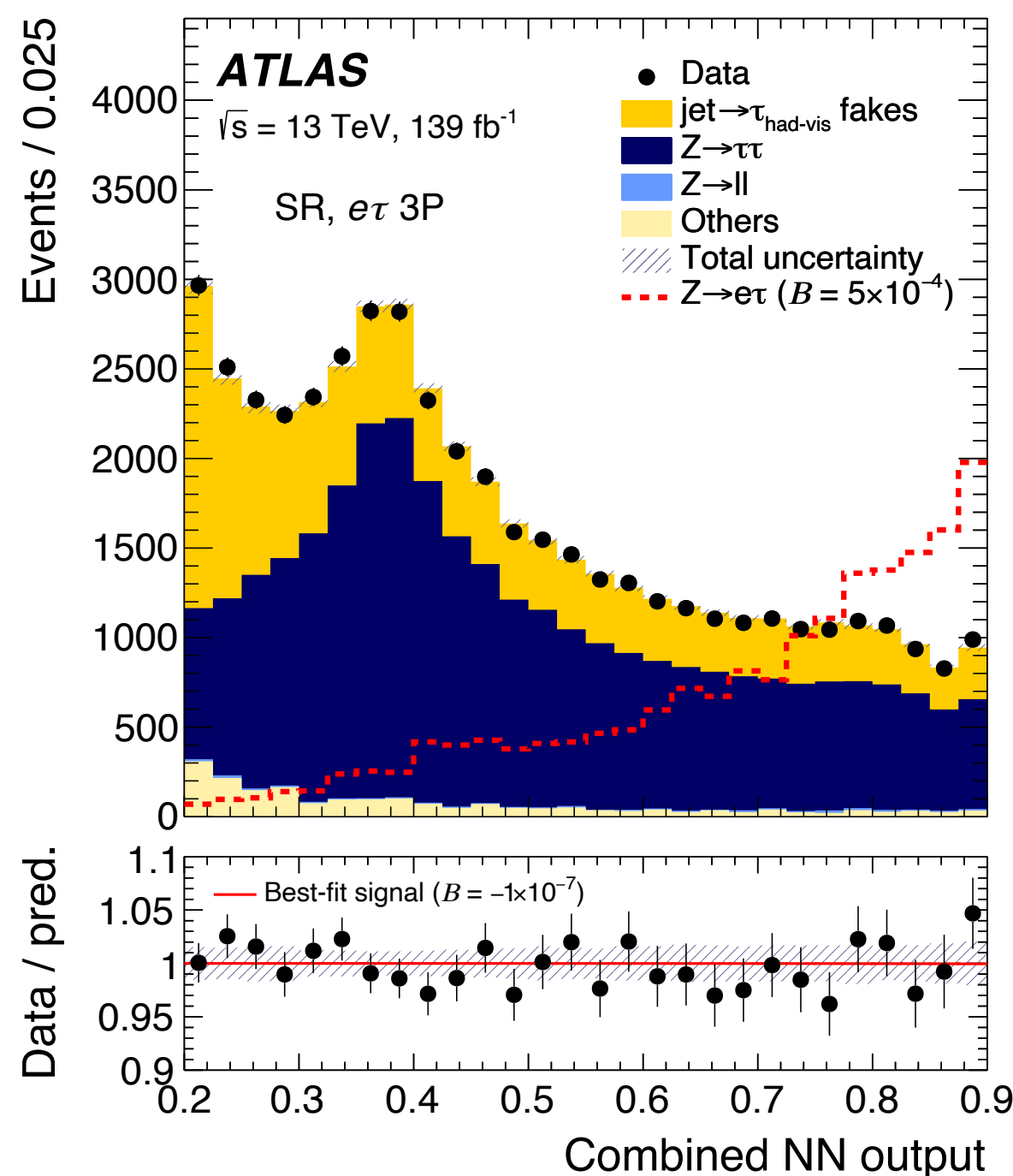
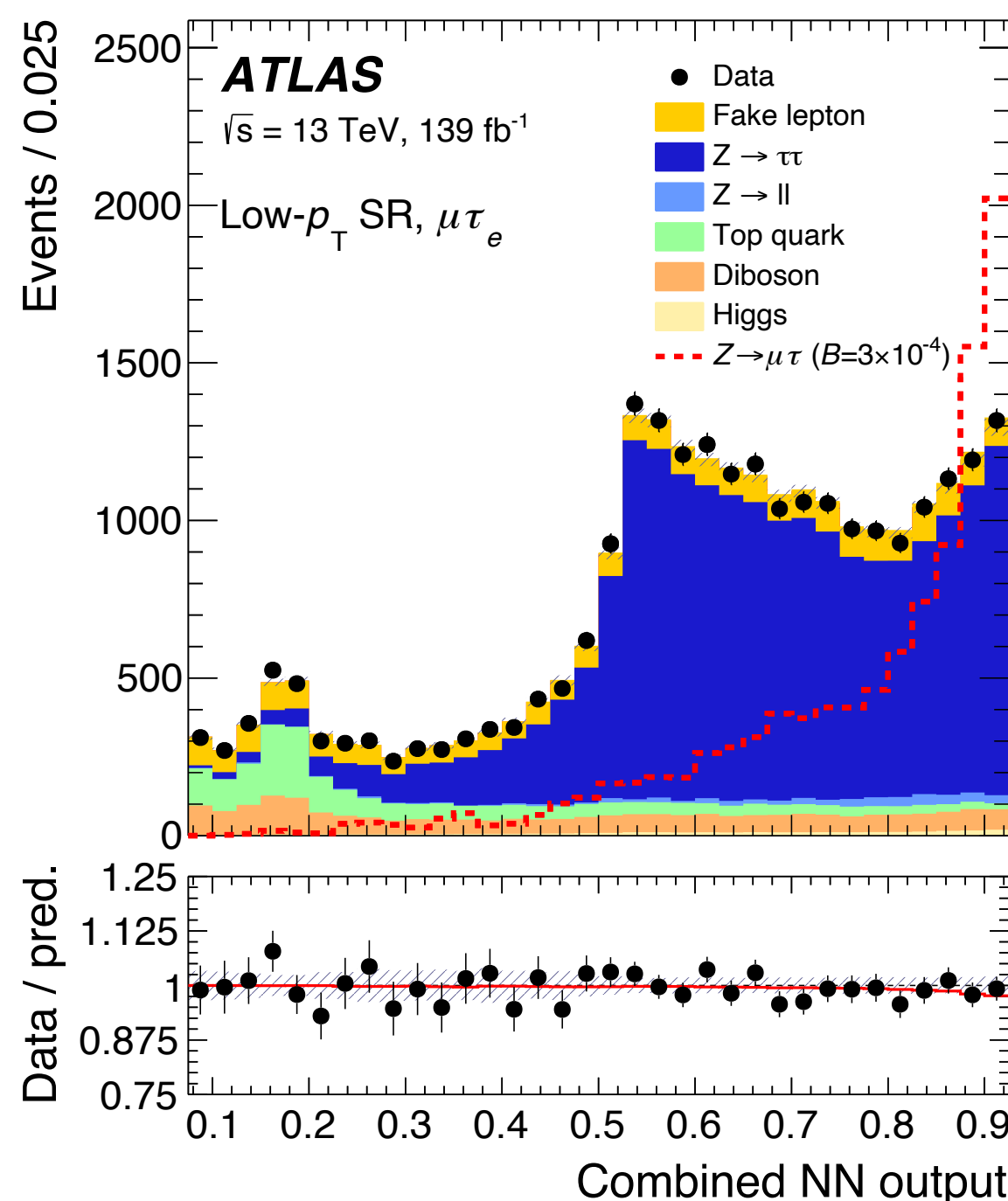


ATLAS-EXOT-2020-28  
ATLAS-EXOT-2018-36

- The number of leptons of each family is conserved in weak interactions, and violation of this assumption is known as **lepton flavour violation** (LFV).
- One in  $10^{54}$  Z bosons would decay into a muon and a  $\tau$ -lepton via neutrino mixing, one in  $10^5$  in presence of **heavy neutrinos**, [Phys. Rev. D 63, 053004](#).
- Searches performed in ATLAS with both **leptonically** and **hadronically** decaying  $\tau$ -leptons (accepted in Nature Physics).
- Using multiple neural network classifiers (one per bkg.) and optimising their combination for the best sensitivity.

$$\text{combined NN output} = 1 - \sqrt{\frac{\sum_i w_i \times (1 - NN_i)}{\sum_i w_i}}$$





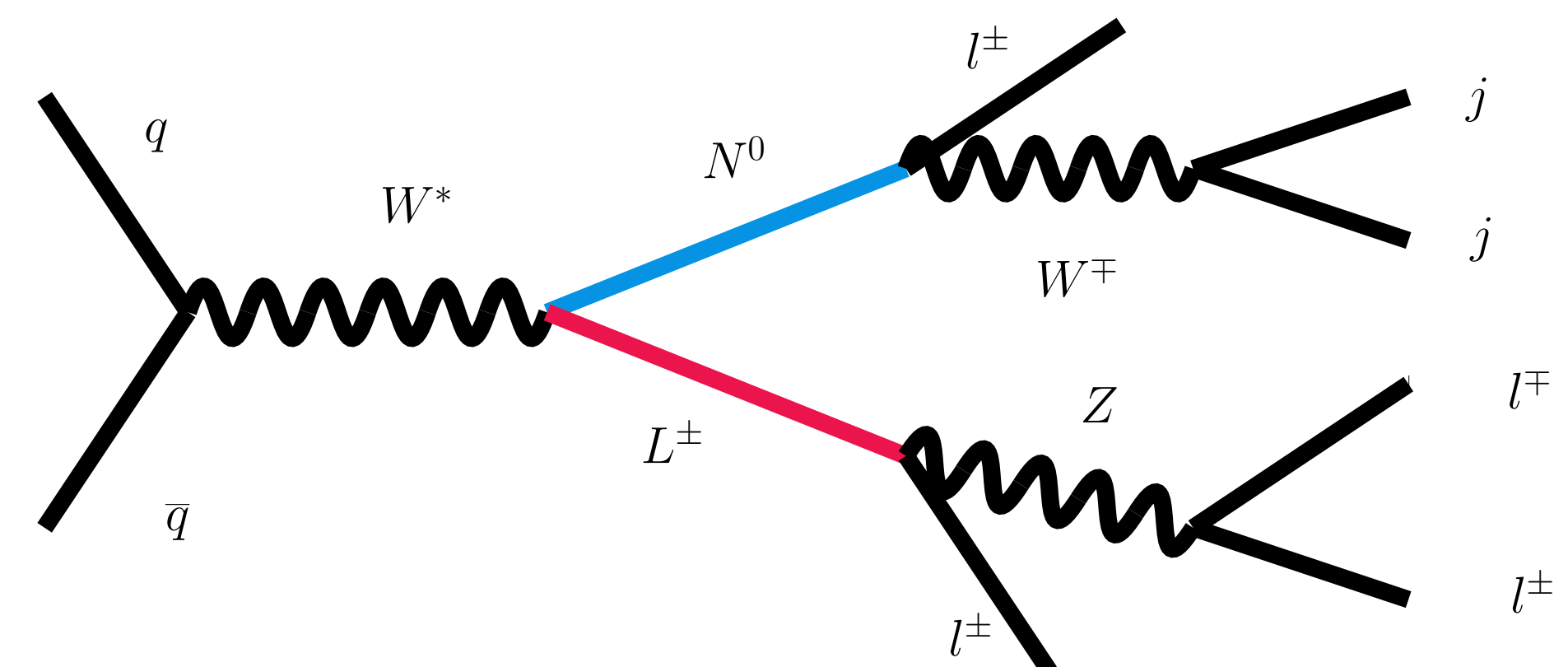
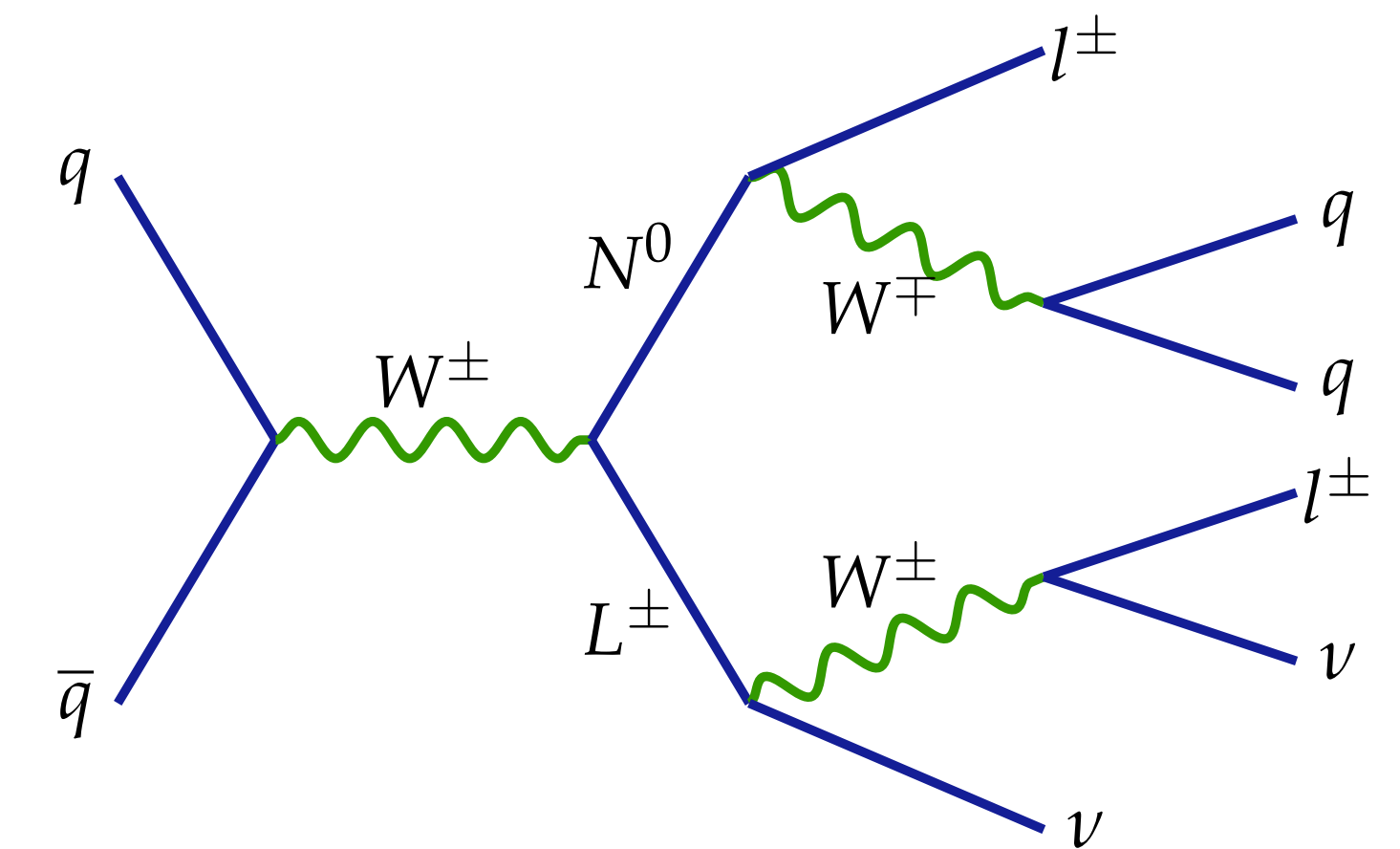
## 8 signal regions:

- $\tau_{\text{lep}}$ :  $e\tau_{\text{lep}}$  and  $\mu\tau_{\text{lep}}$  split by  $p_T(l_2) < 20$  (25) GeV.
- $\tau_{\text{had}}$ :  $e\tau_{\text{had}}$  and  $\mu\tau_{\text{had}}$  split by the number of tracks  $\tau$ -leptons decay into (1P or 3P).
- Signal region fit variable combined NN output.
- Z control region fit variable  $m_{\text{coll}}(l_1, l_2)$  = invariant mass of  $l_1$ - $l_2$ - $2\nu$  system where neutrinos are assumed collinear with  $l_2$ .

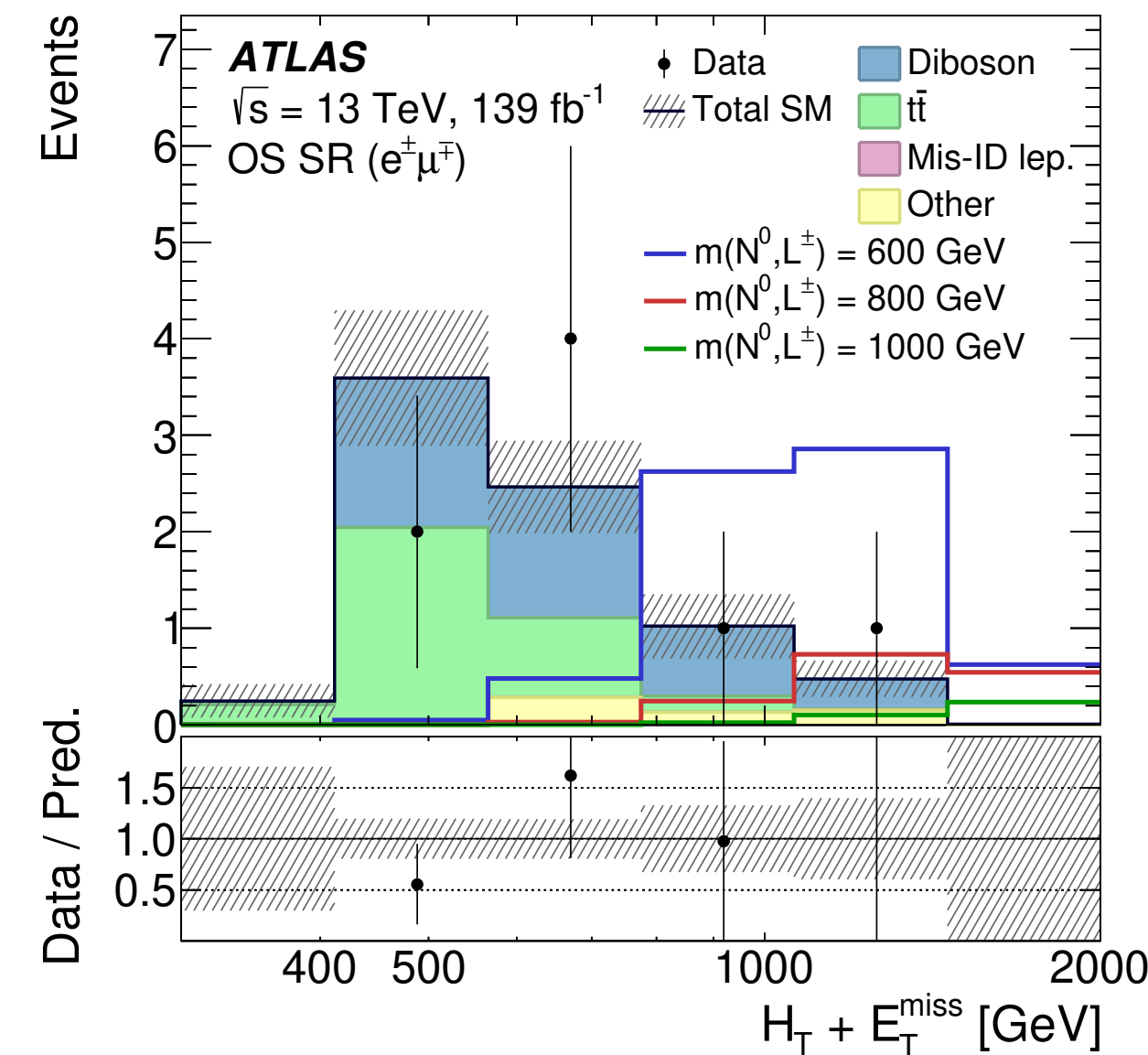
## Fitted parameters:

- $\tau_{\text{lep}}$ : yields of signal,  $Z \rightarrow \tau\tau$ , top quarks, and misidentified leptons.
- $\tau_{\text{had}}$ : yields of signal,  $Z \rightarrow \tau\tau$ , misidentified  $\tau$ -jets separately for 1P or 3P  $\tau_{\text{had}}$ .
- Combined limit on  $B(Z \rightarrow e\tau)$  set to  $5 \times 10^{-6}$  and on  $B(Z \rightarrow \mu\tau)$  to  $6.5 \times 10^{-6}$ .

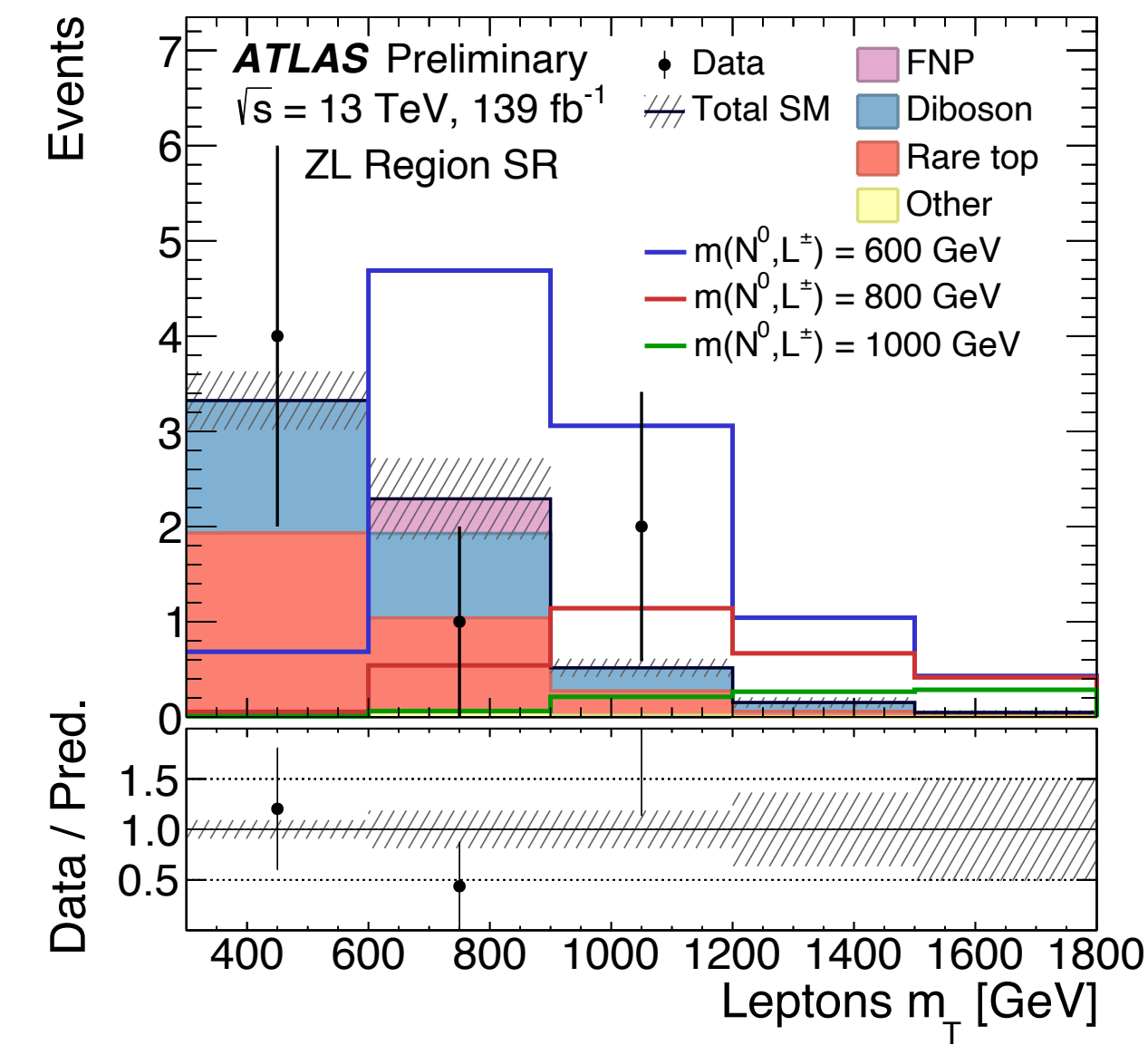
- **The seesaw mechanism:** explaining the relative smallness of the **neutrino masses**.
- Minimal type-III seesaw — an **extra fermionic triplet**: one neutral ( $N^0$ ) and two oppositely-charged leptons ( $L^+, L^-$ ), [Phys. C - Particles and Fields \(1989\) 44, 441](#), [Eur. Phys. J. C \(2012\) 72, 1899](#).
- Decays into a SM lepton and a  $W, Z$  or  $H$  boson, the highest branching ratio into  $W$ .
- Probed a few possible lepton/jet multiplicities:
  - two light leptons, at least two jets
  - three light leptons, zero or one jet
  - three light leptons, at least two jets
  - four light leptons, any number of jets



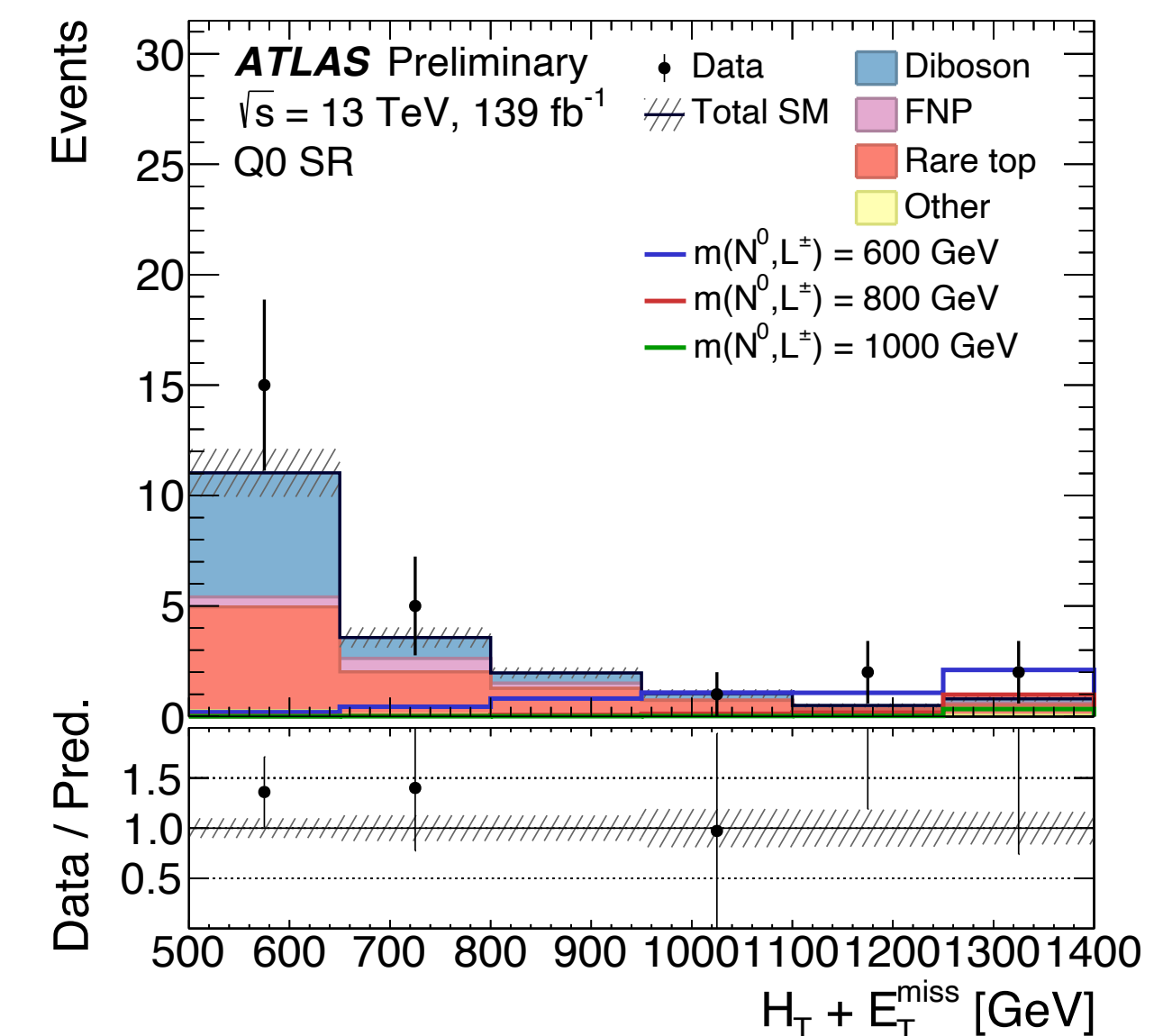
- 11 signal regions (SR) in total:
  - 6 dilepton SRs: all lepton flavour and charge combinations
  - 3 trilepton SRs: on-Z and off-Z with 2+ jets, inclusive with 0-1 jets
  - 2 four lepton SRs: sum of lepton charge 0 or 2
- High  $E_T^{\text{miss}}$  with good reconstruction significance required — neutrinos.
- Demanding background estimation: large fraction of non-prompt and fake leptons, leptons with misreconstructed charge.
- Heavy leptons with masses below 910 GeV are excluded.



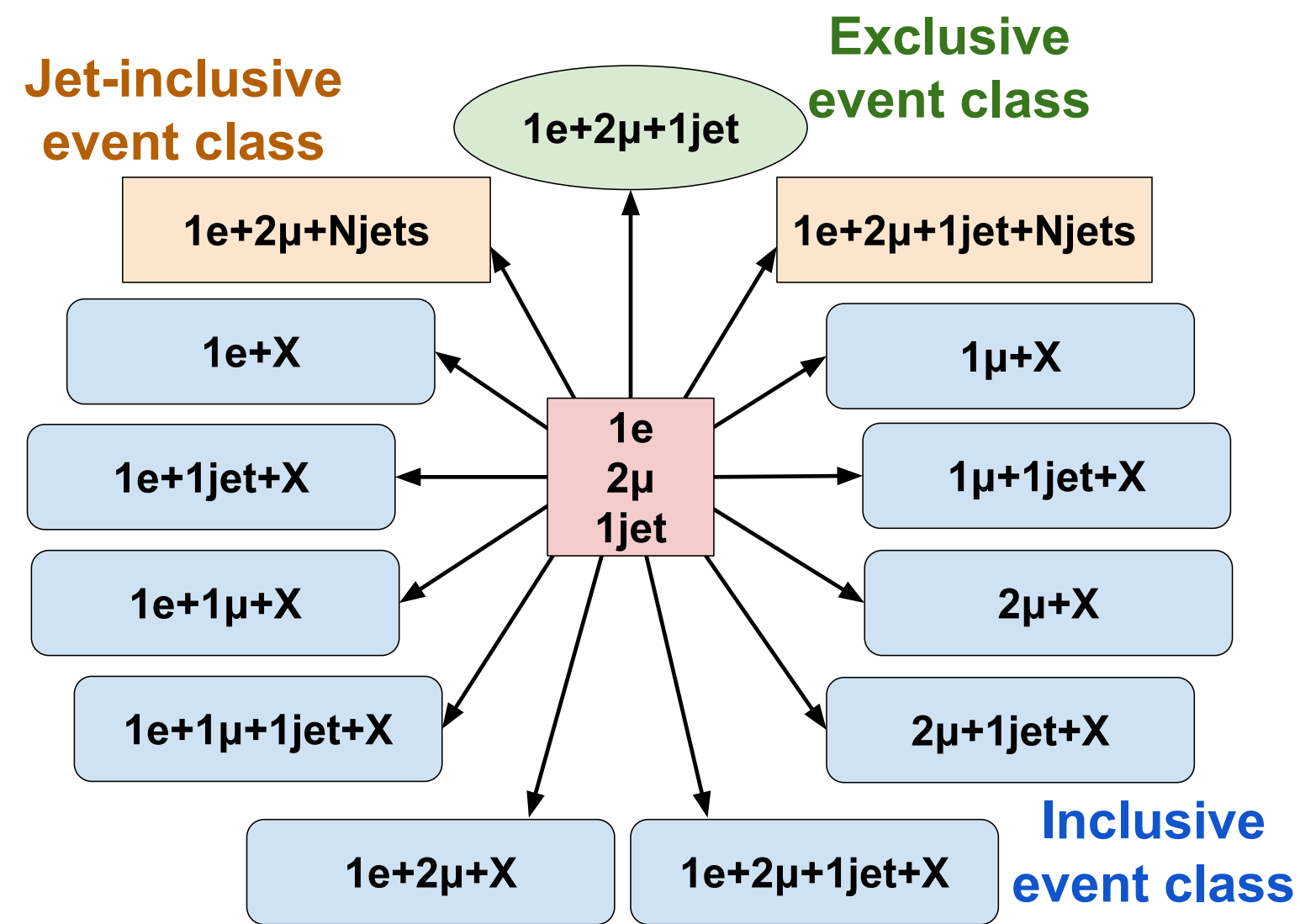
dilepton  
OS  $e\mu$



trilepton  
on-Z, 2+ jets

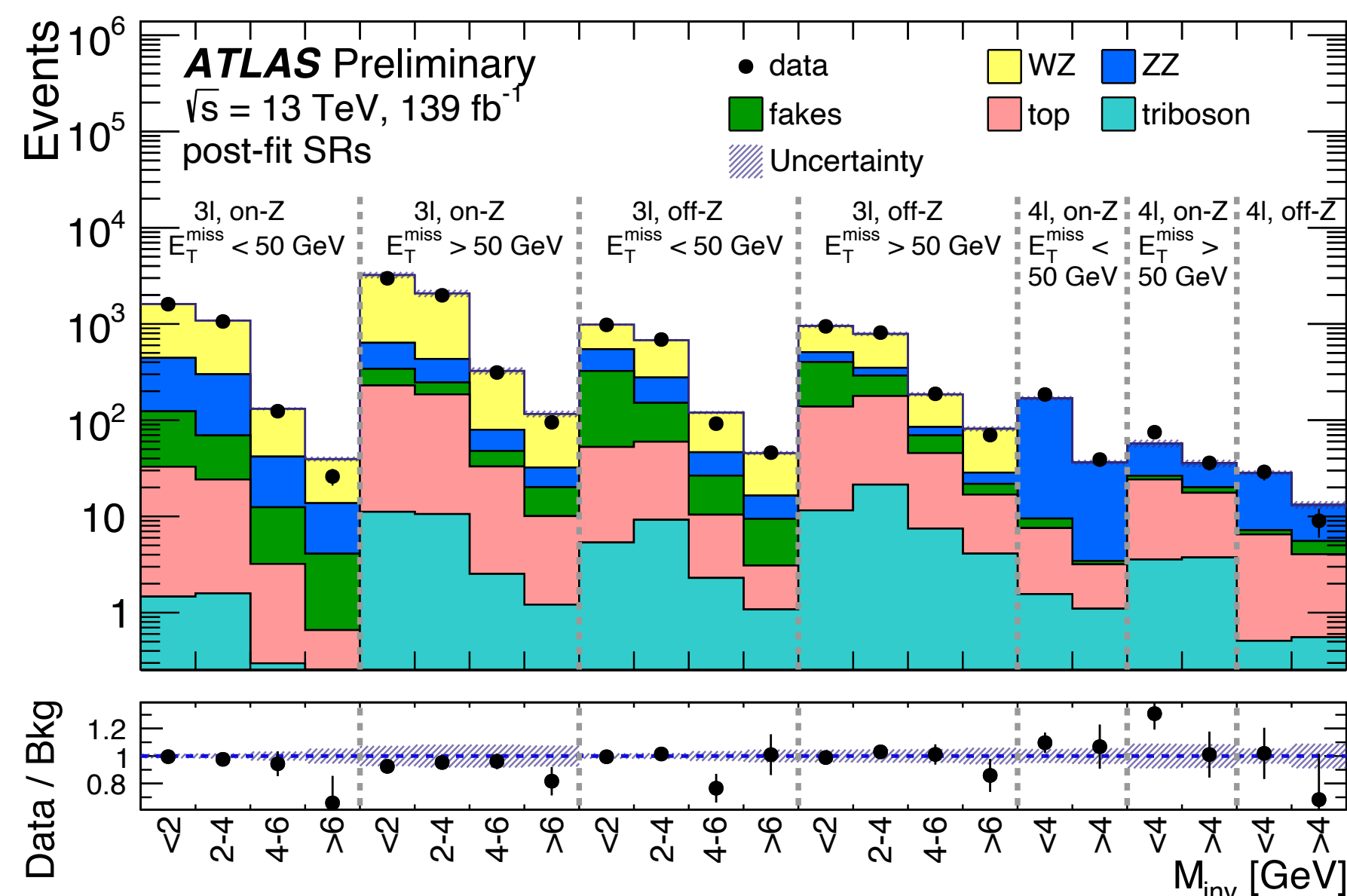


four lepton,  
charge = 0

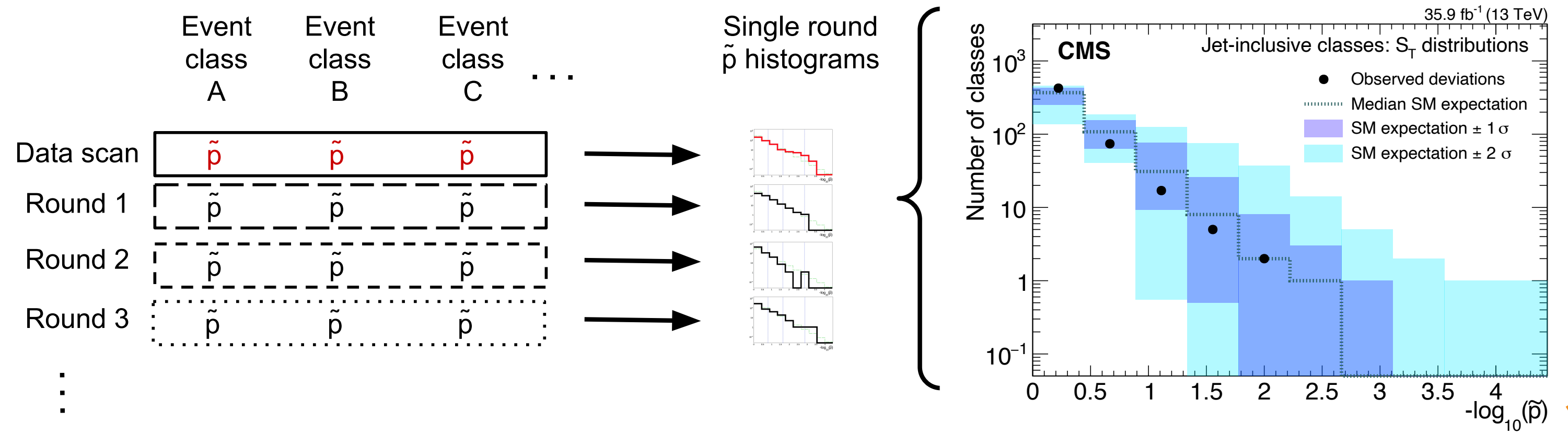


- What if LHC data can not be described with our preferred model? Can a more generalised search be performed?
- **ATLAS: 22** single-bin signal regions
  - Measured number of signal events  $\hat{N}_{\text{sig}}$  as difference between the estimated background and the data.
- **CMS: about 60 classes**
  - Search for regions: taking the ones with smallest  $p$ -value.
  - Global overview: observed deviations are compared with pseudo-experiments using the SM-only hypothesis.

ATLAS-CONF-2021-011



CMS-EXO-19-008



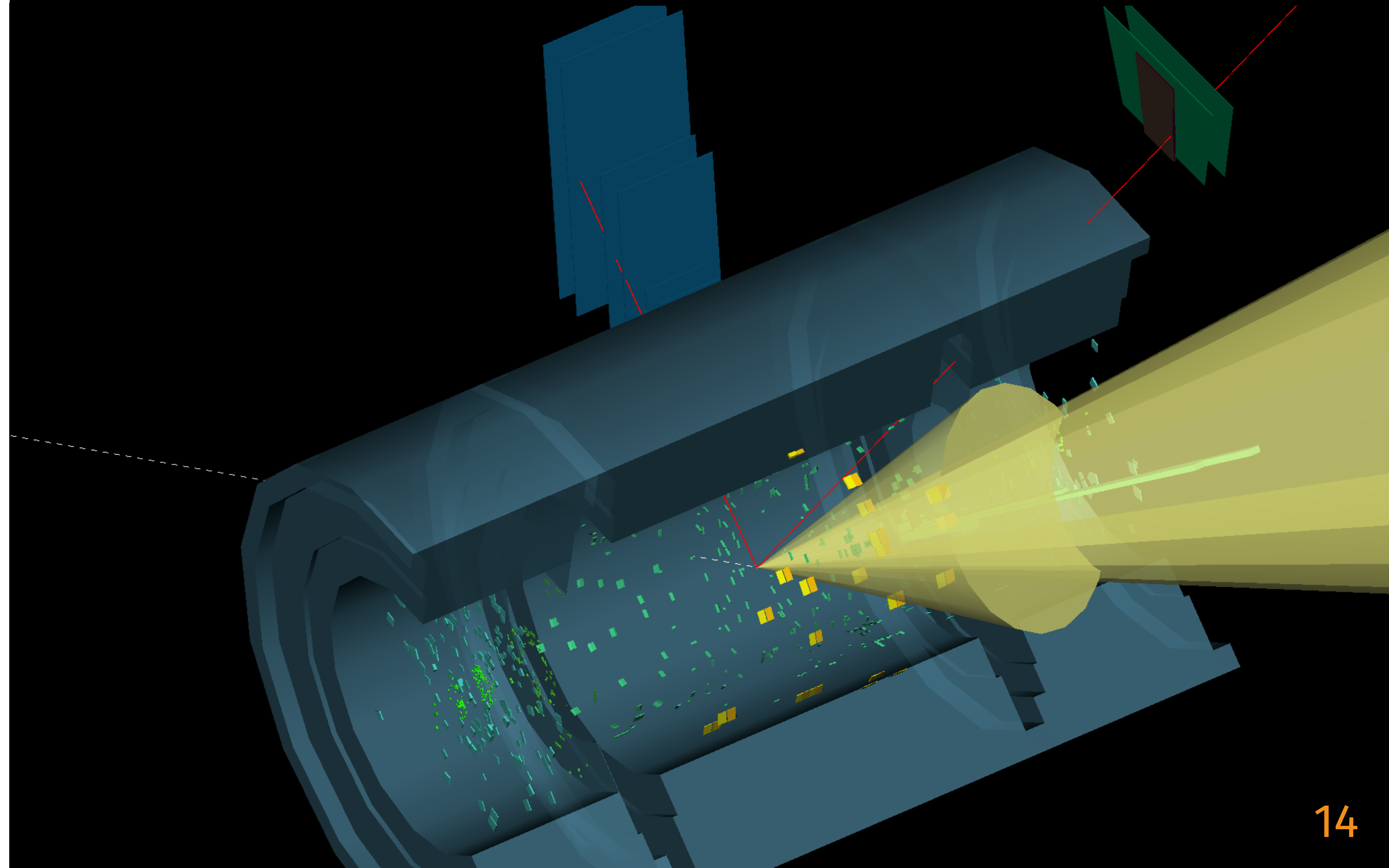


Run: 310341  
Event: 410259325  
2016-10-10 16:22:12 CEST

*high energy type-III seesaw candidate*  
*2 opposite-charge muons and 2 jets*  
 $H_T + E_T^{\text{miss}} = 1511 \text{ GeV}$

- ATLAS and CMS performed many non-resonant searches with leptons in the final state.
- **No significant excess** from the Standard Model has been observed.
- Many searches of full Run 2 data still being completed.
- Run 3 just around the corner.

[ATLAS Publications](#)  
[CMS Publications](#)

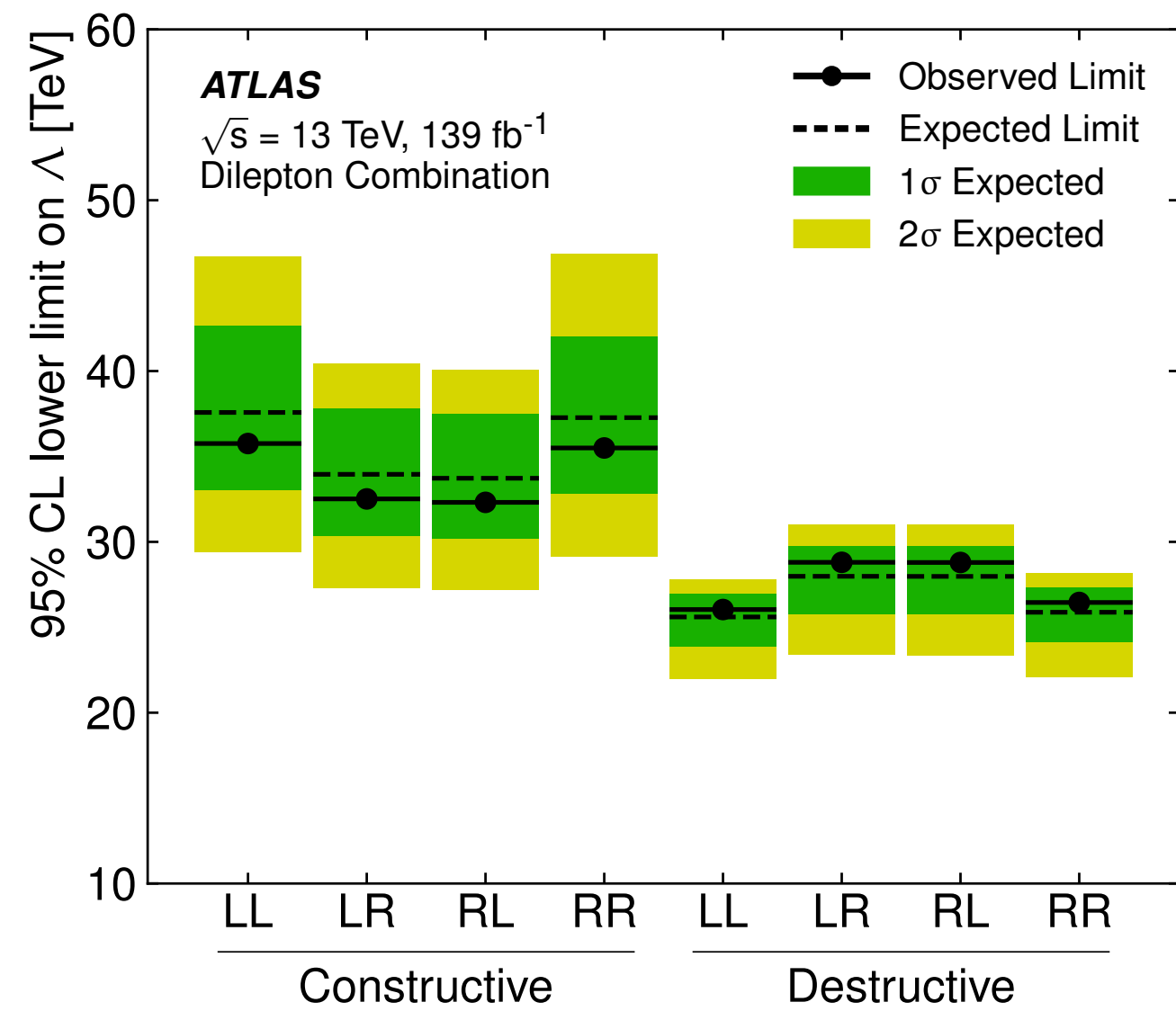




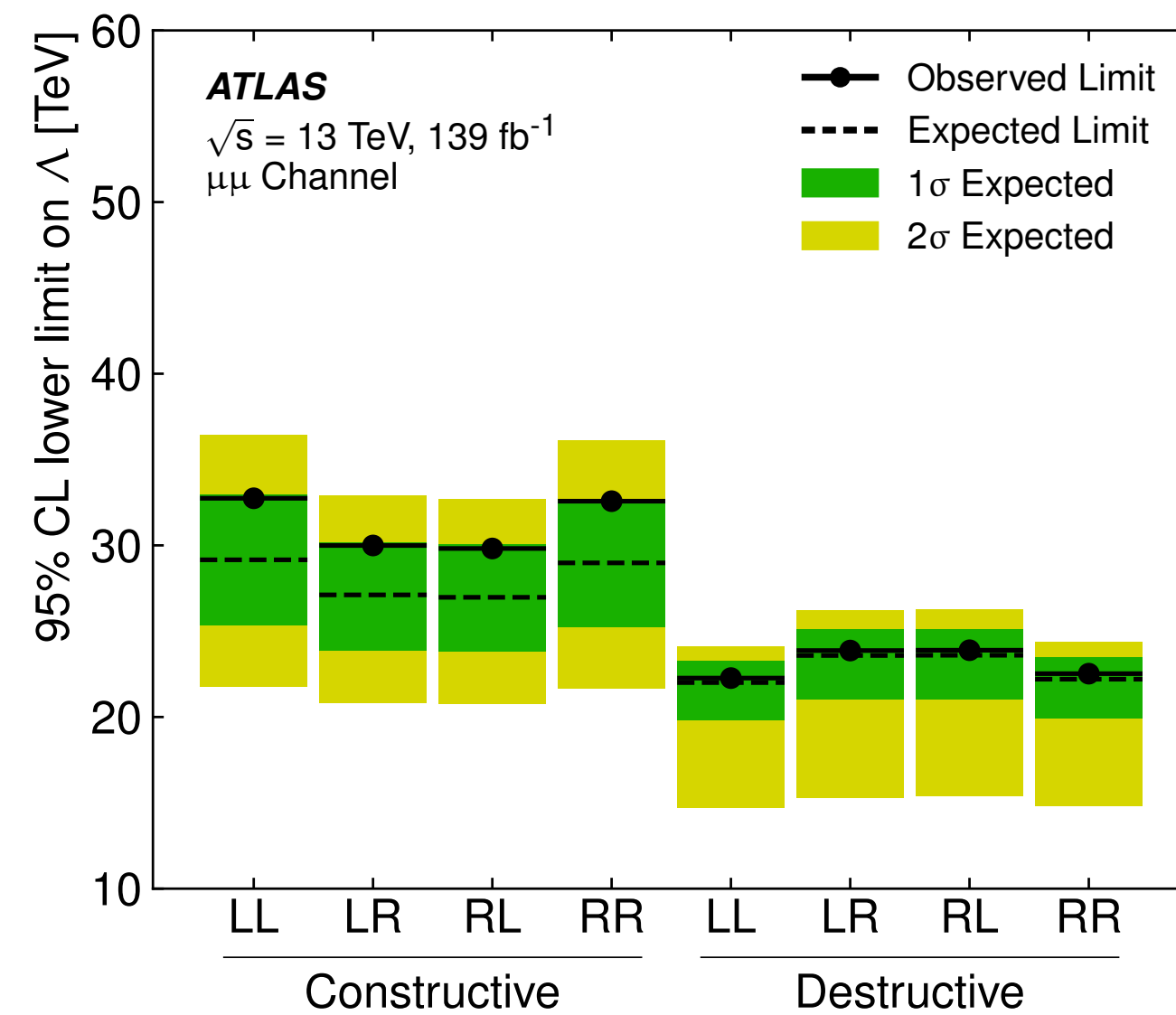
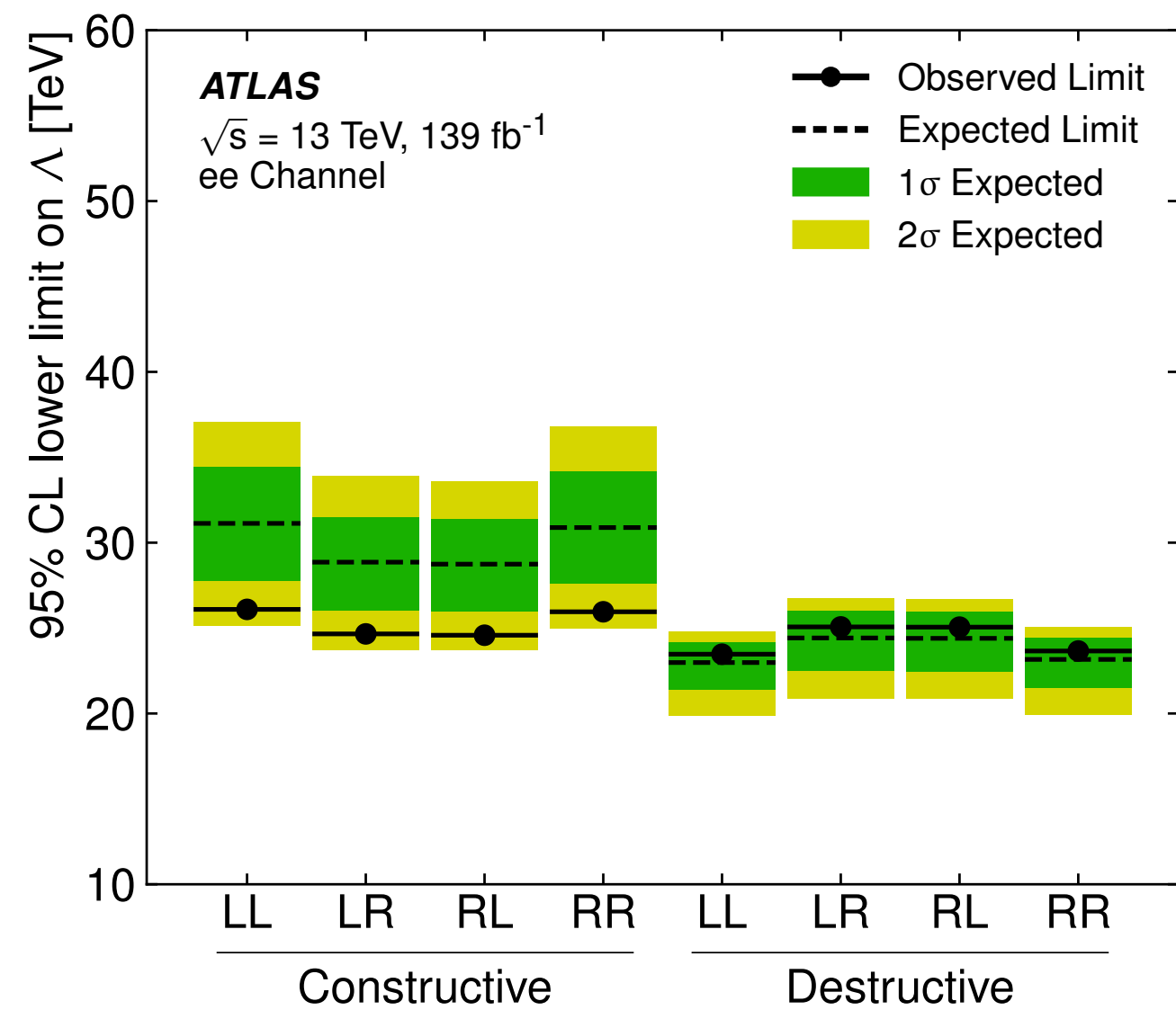
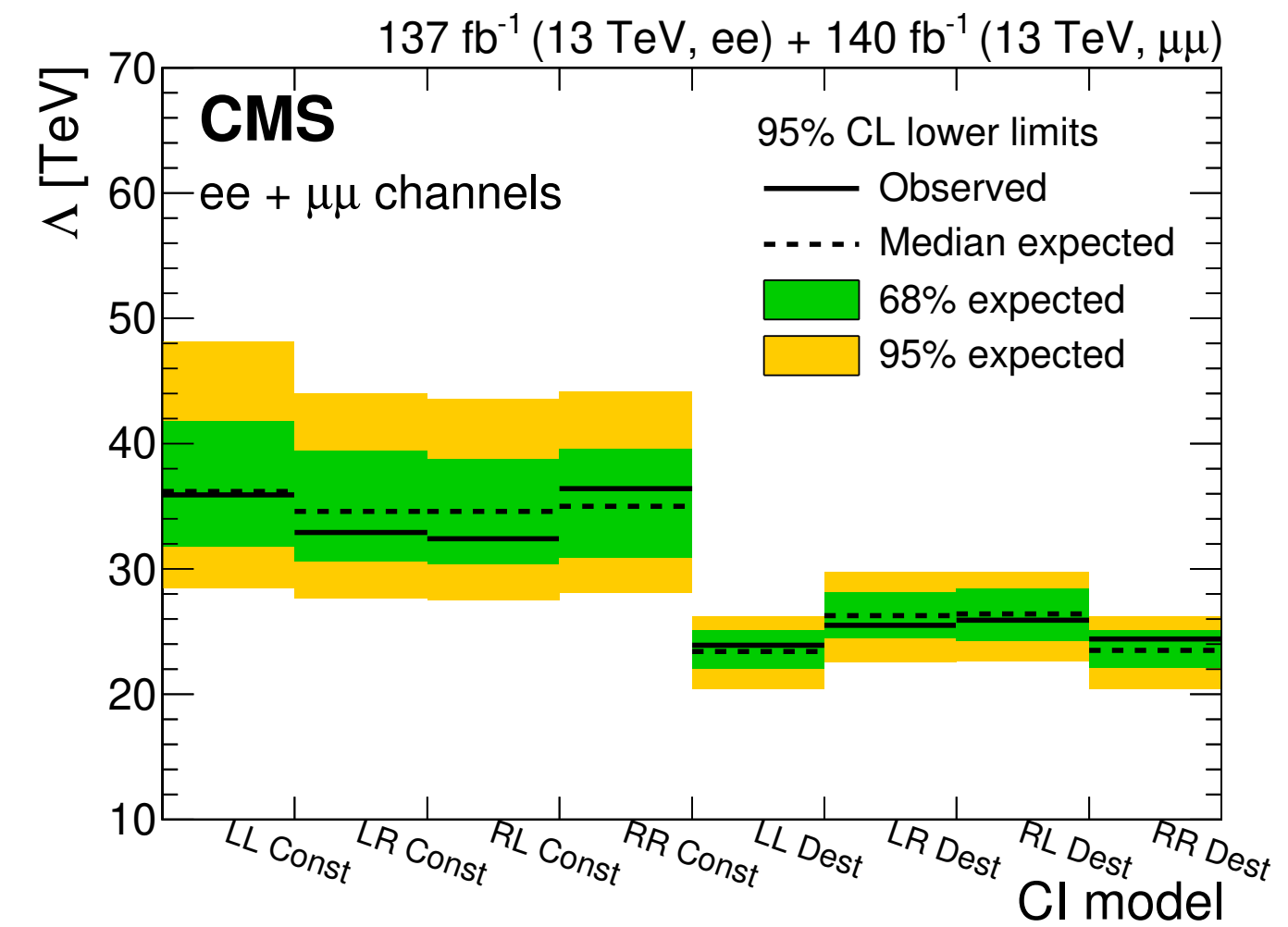
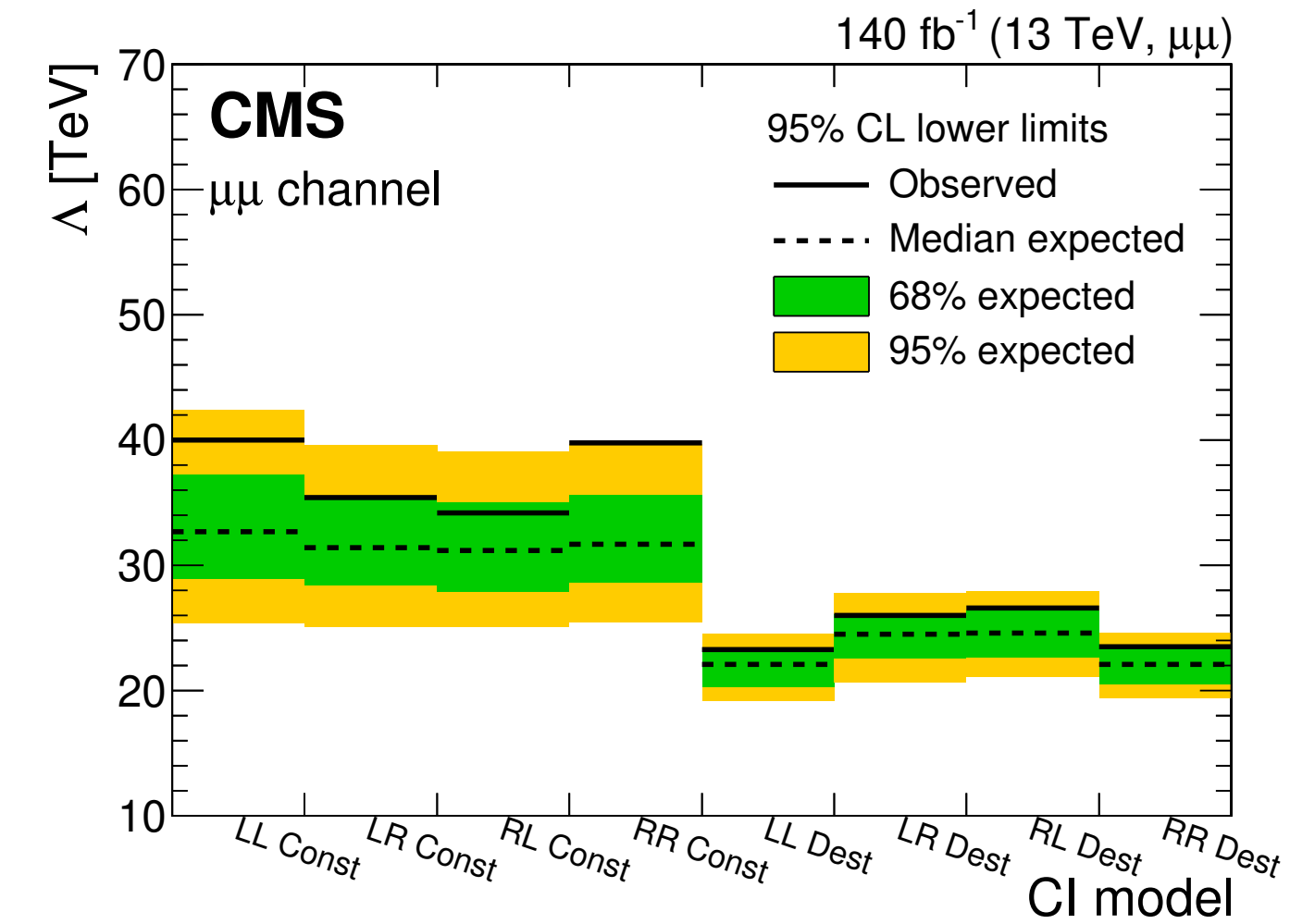
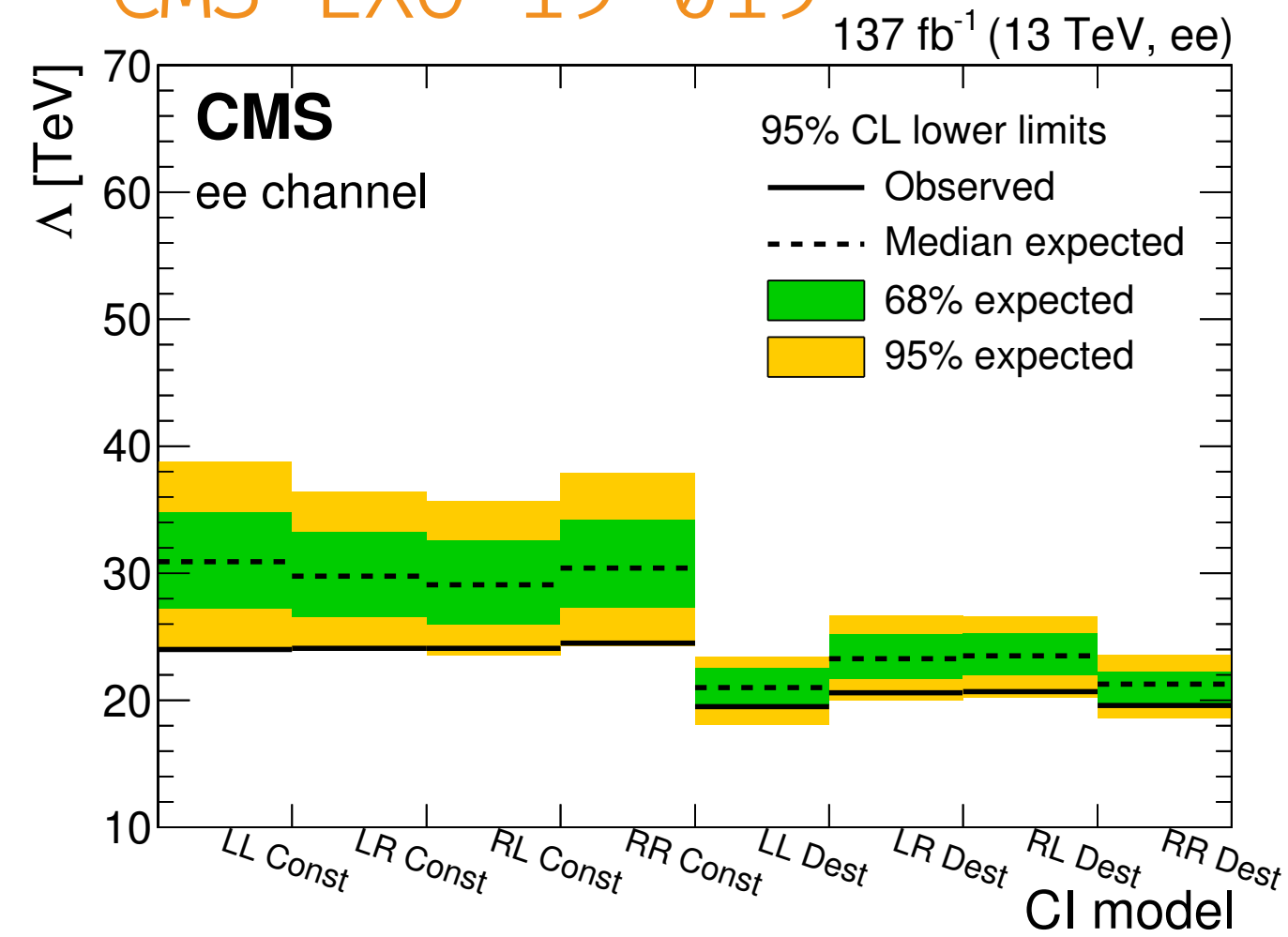
# FOUR-FERMION CONTACT INTERACTION — ALL LIMITS (1)



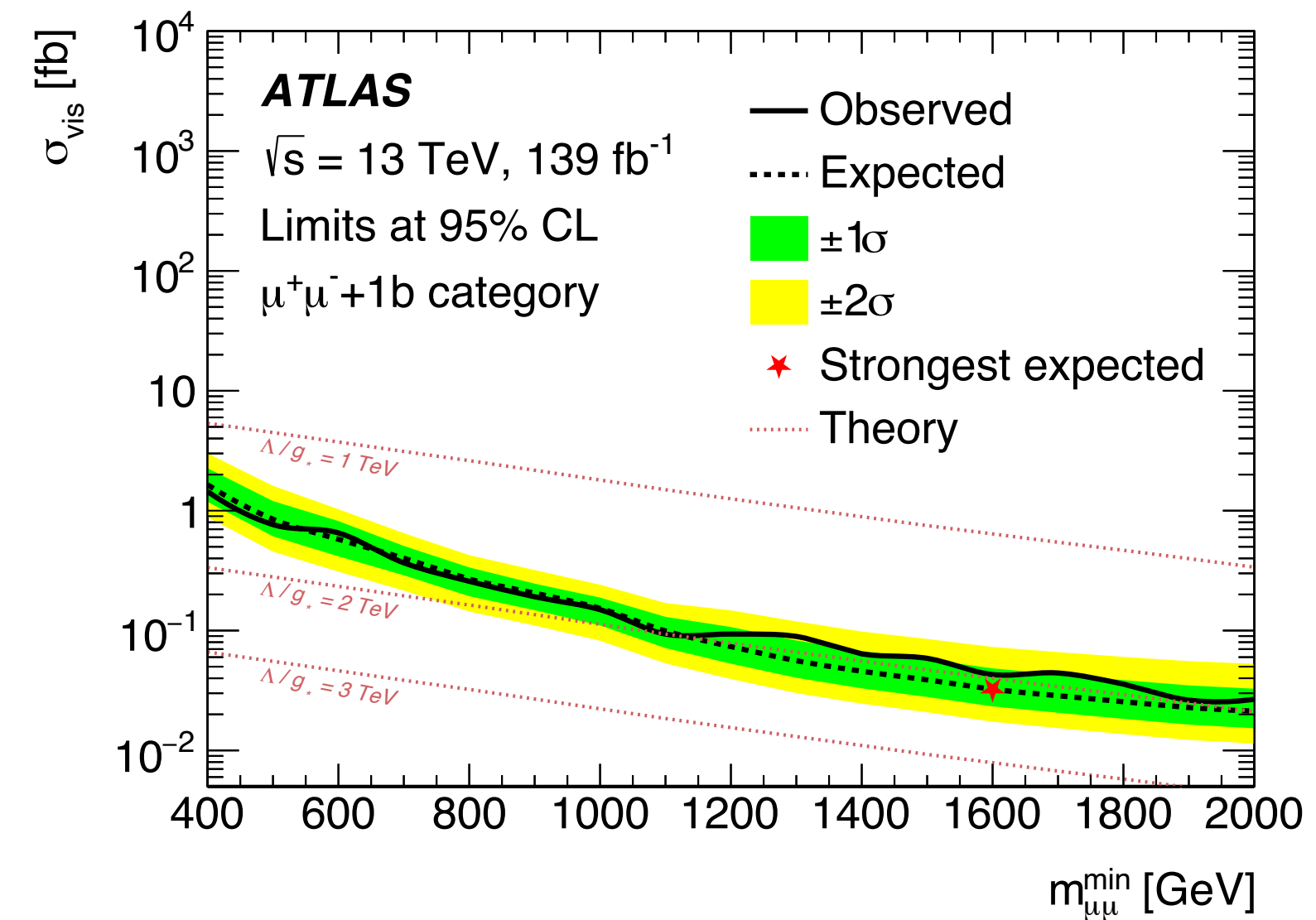
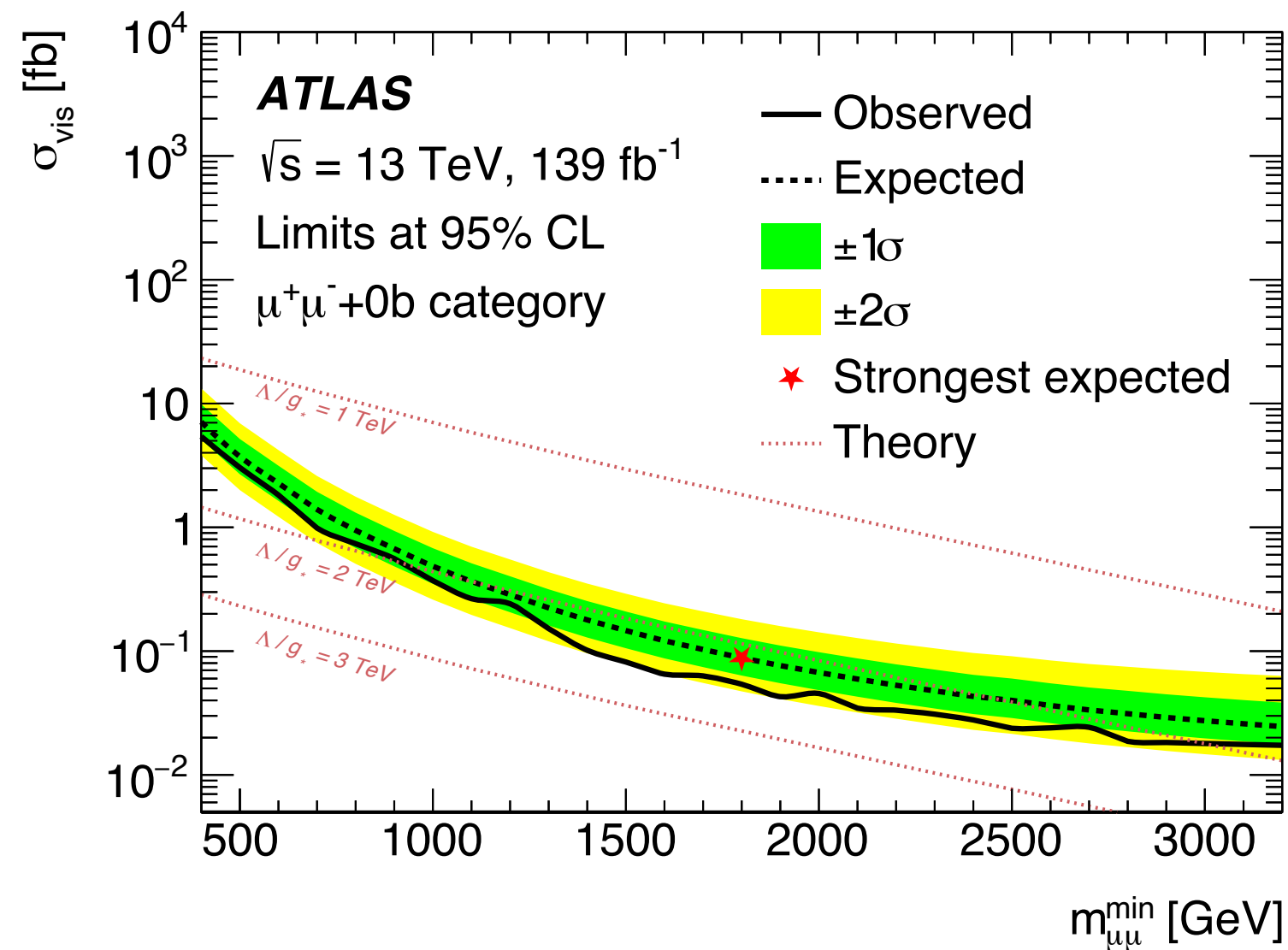
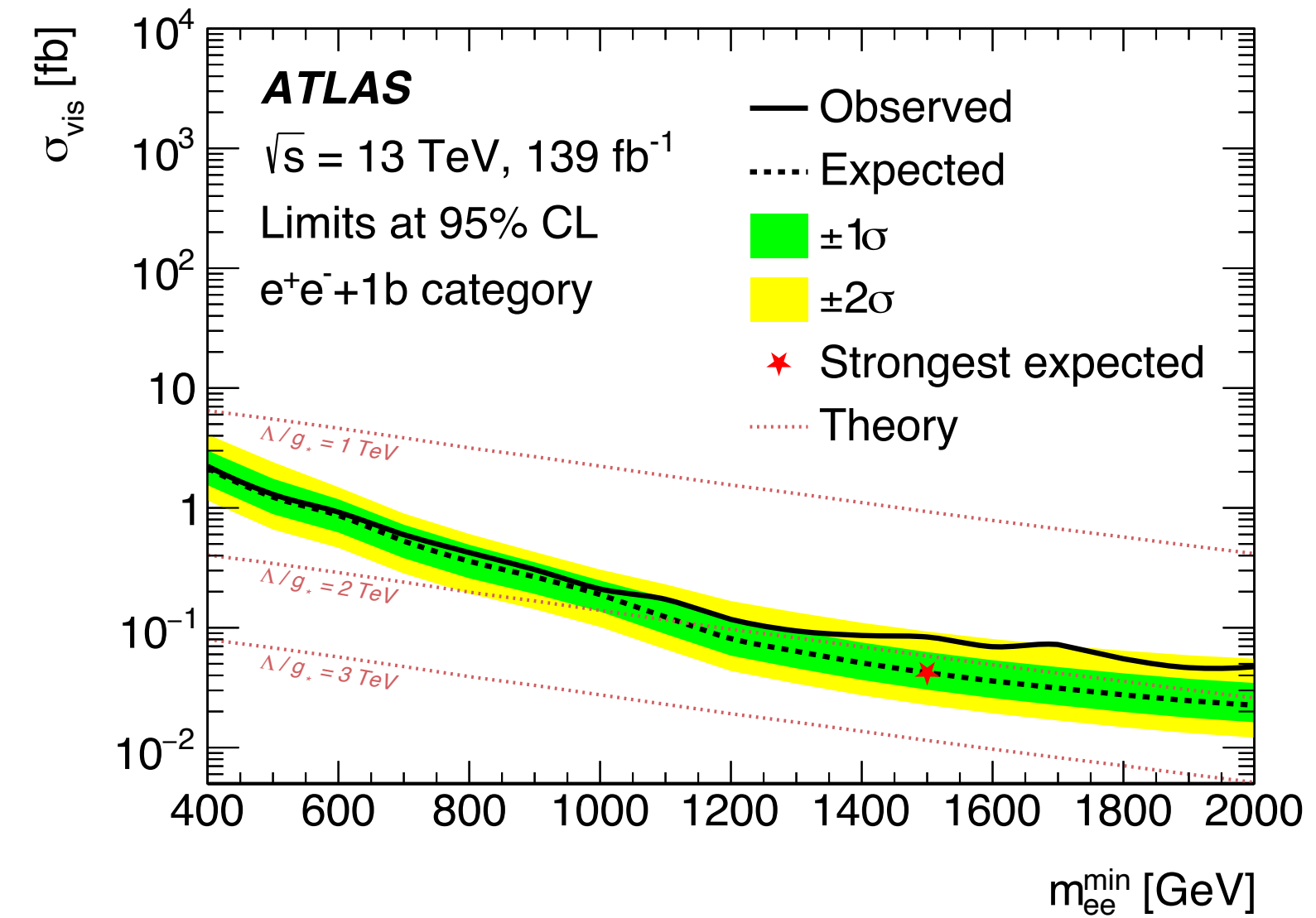
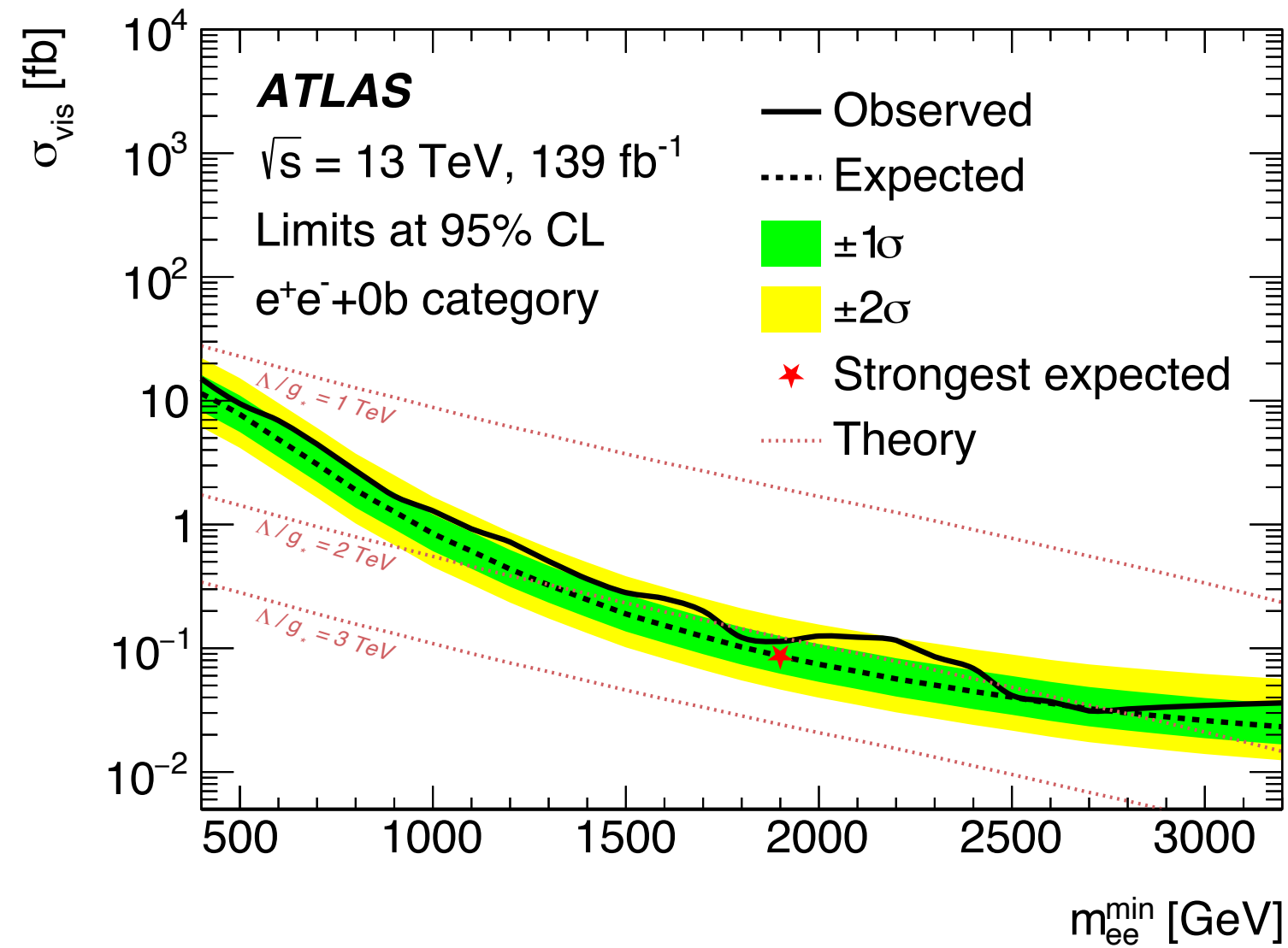
ATLAS-EXOT-2019-16



CMS-EXO-19-019

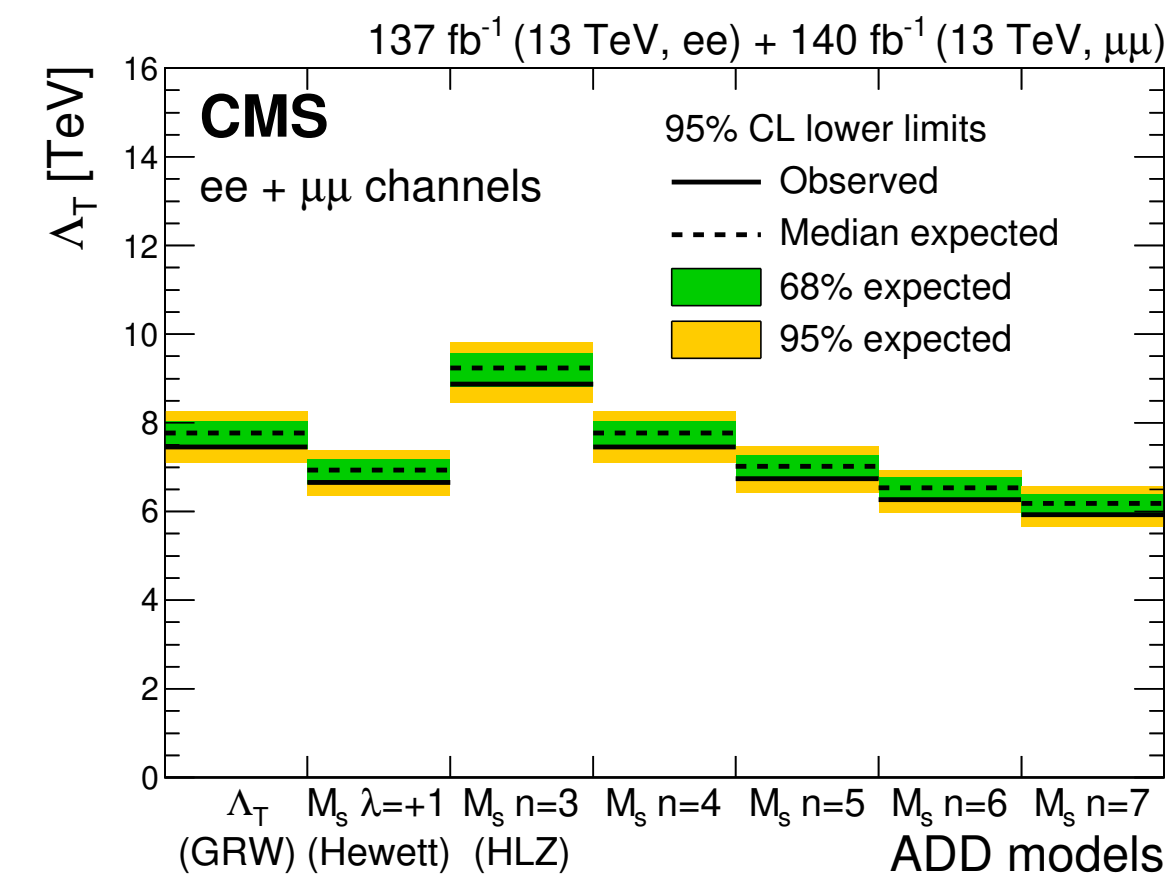
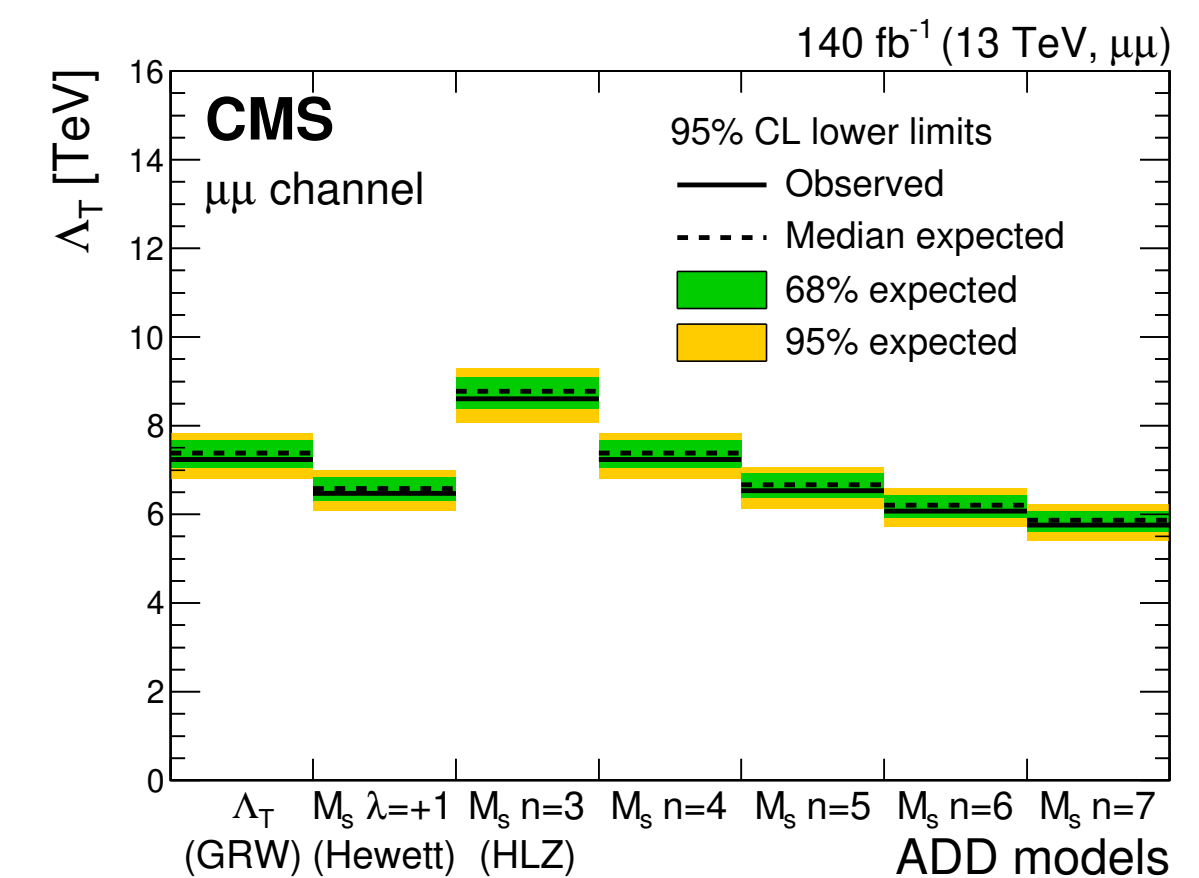
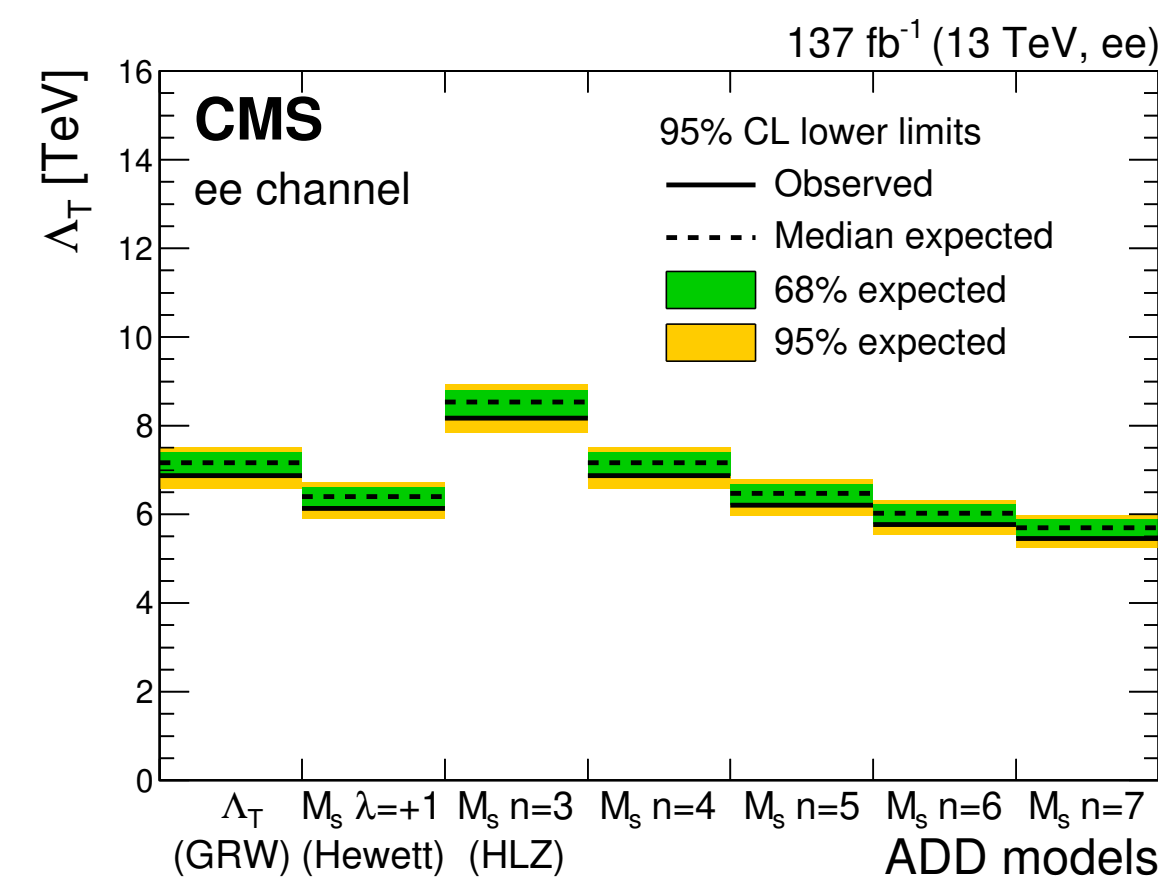


ATLAS-EXOT-2018-16



ATL-PHYS-PUB-2021-021  
CMS-EXO-19-019

- Large difference between the energy scales of electroweak symmetry breaking and gravitation  $\rightarrow$  gravitational force could propagate into **additional dimensions**; models by Arkani-Hamed, Dimopoulos, and Dvali (ADD), [Phys. Lett. B 429 \(1998\) 263](#).
- ATLAS recently performed reinterpretation of the contact interaction search.
- Dedicated CMS search.
- Lower limits on the model parameters in the different ADD conventions are set:
  - Giudice-Rattazzi-Wells
  - Hewett
  - Han-Lykken-Zhang

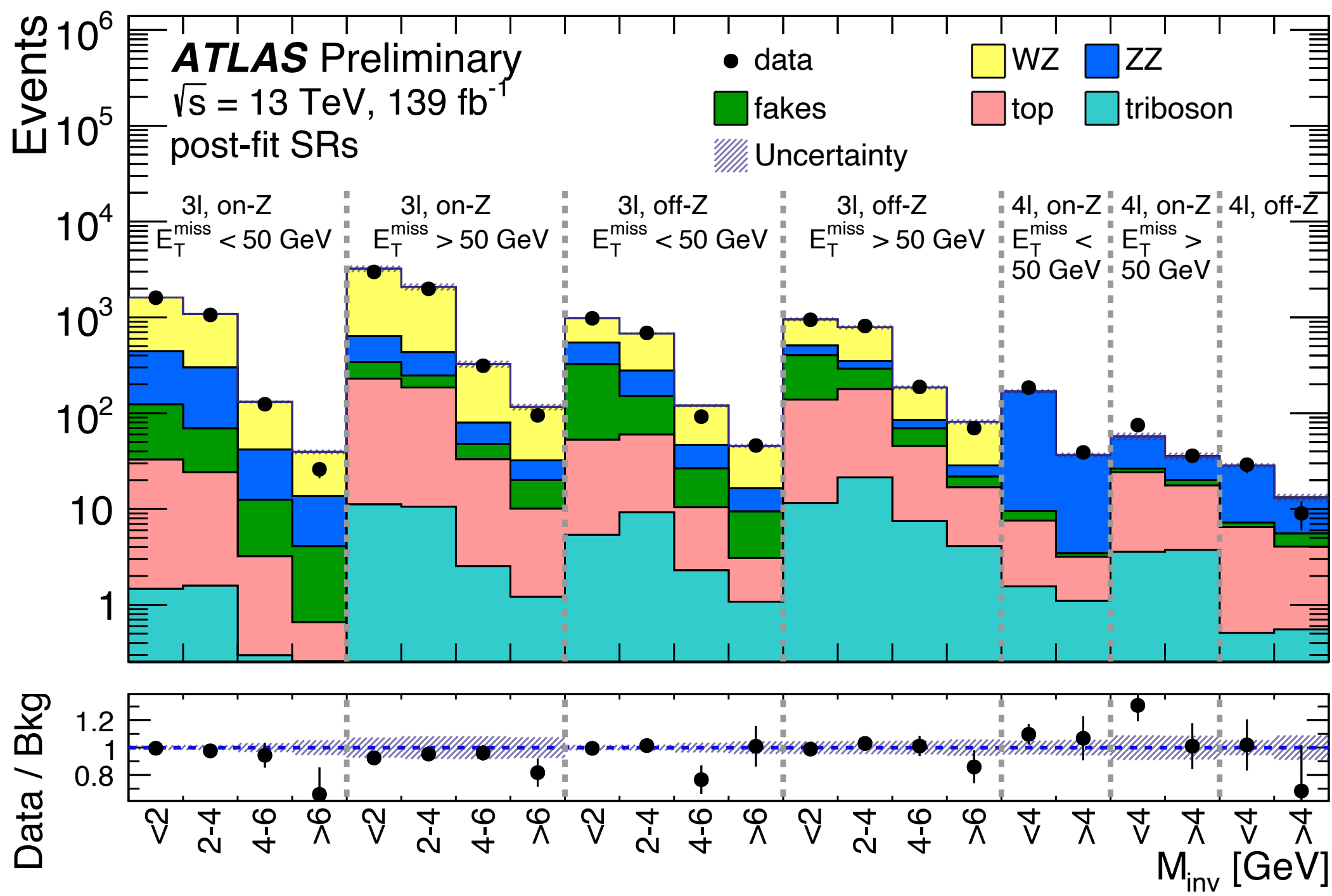


ATLAS-EXOT-2020-28

ATLAS-EXOT-2018-36

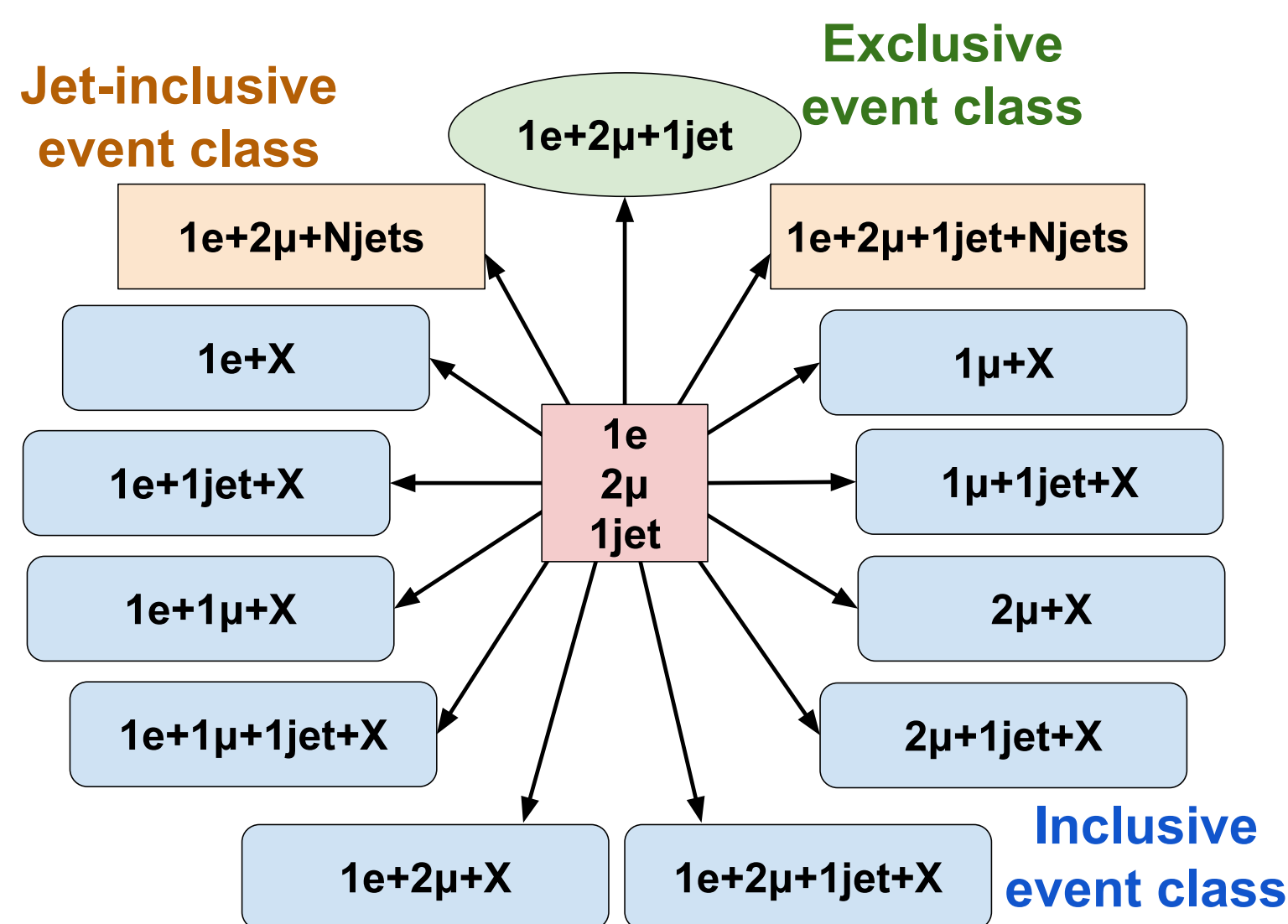
Final state, polarization assumption	Observed (expected) upper limit on $\mathcal{B}(Z \rightarrow \ell\tau)$ [ $\times 10^{-6}$ ]	
	$e\tau$	$\mu\tau$
$\ell\tau_{\text{had}}$ Run 1 + Run 2, unpolarized $\tau$	8.1 (8.1)	9.5 (6.1)
$\ell\tau_{\text{had}}$ Run 2, left-handed $\tau$	8.2 (8.6)	9.5 (6.7)
$\ell\tau_{\text{had}}$ Run 2, right-handed $\tau$	7.8 (7.6)	10 (5.8)
$\ell\tau_{\ell'}$ Run 2, unpolarized $\tau$	7.0 (8.9)	7.2 (10)
$\ell\tau_{\ell'}$ Run 2, left-handed $\tau$	5.9 (7.5)	5.7 (8.5)
$\ell\tau_{\ell'}$ Run 2, right-handed $\tau$	8.4 (11)	9.2 (13)
Combined $\ell\tau$ Run 1 + Run 2, unpolarized $\tau$	5.0 (6.0)	6.5 (5.3)
Combined $\ell\tau$ Run 2, left-handed $\tau$	4.5 (5.7)	5.6 (5.3)
Combined $\ell\tau$ Run 2, right-handed $\tau$	5.4 (6.2)	7.7 (5.3)

- What if LHC data can not be described with out preferred model? Can a more generalised search be performed?
- Very important to estimate background contributions with high precision.
- 22 single-bin signal regions categorised by
  - lepton count (3 or 4 leptons)
  - presence of an on-Z lepton pair
  - magnitude of invariant mass of all leptons
  - $E_T^{\text{miss}}$  lower or higher than 50 GeV
- Measured number of signal events  $\hat{N}_{\text{sig}}$  is defined as the difference between the estimated background and the data.
- No significant deviations observed.



significance  $Z = \hat{N}_{\text{sig}} / \Delta \hat{N}_{\text{sig}}$

SR	0-200 GeV	200-400 GeV	400-600 GeV	>600 GeV
3ℓ, On-Z, $E_T^{\text{miss}} < 50$ GeV	-0.2	-0.7	-0.6	-2.5
3ℓ, On-Z, $E_T^{\text{miss}} > 50$ GeV	-1.0	-0.5	-0.4	-1.6
3ℓ, Off-Z, $E_T^{\text{miss}} < 50$ GeV	-0.1	0.3	-2.7	0.1
3ℓ, Off-Z, $E_T^{\text{miss}} > 50$ GeV	-0.2	0.5	0.1	-1.2
SR	0-400 GeV		>400 GeV	
4ℓ, On-Z, $E_T^{\text{miss}} < 50$ GeV	1.0		0.4	
4ℓ, On-Z, $E_T^{\text{miss}} > 50$ GeV	1.8		0.1	
4ℓ, Off-Z	0.1		-1.3	



- Kinematic distributions of interest:
  - scalar sum of  $p_T$  of all objects (3+ bins)
  - invariant/transverse mass of all objects (1+ bins)
  - missing transverse momentum (3+ bins)
- Search for regions: taking the ones with smallest  $p$ -value.
- Global overview: observed deviations are compared with pseudo-experiments using the SM-only hypothesis.
- No significant deviations found in ~60 classes.

