

CKM Metrology: Theory

Stefan Schacht

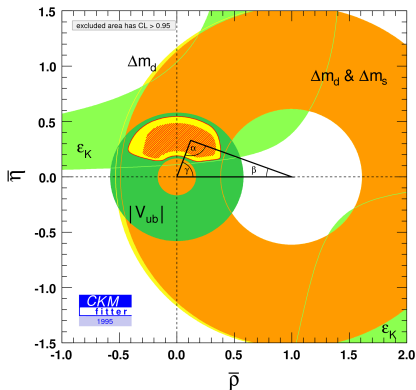
University of Manchester

**9th Edition of the Large Hadron Collider Physics
Conference**

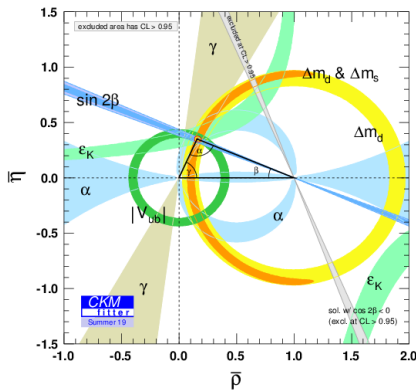
online @ Sorbonne University
Paris, France
June 2021

CKM Metrology: A success story

[CKMfitter, <http://ckmfitter.in2p3.fr>]



1995

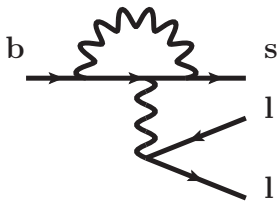


EPS-HEP 2019 in Ghent, Belgium

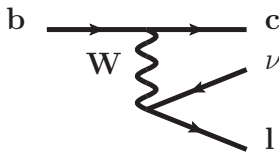
This talk: concentrate on new developments in V_{cb} .

B Anomalies

- There are anomalies in
 - flavor changing **neutral** current (FCNC) decays $b \rightarrow sl^+l^-$
 - **charged** current decays $b \rightarrow c\tau\nu$.



Loop-level



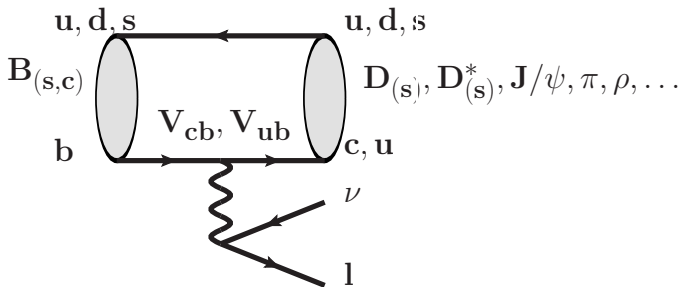
Tree-level

Actually, there are **two charged current anomalies**

- **Exclusive/inclusive** V_{cb} , V_{ub} and
- **Lepton flavor universality** violation.

What makes life harder

- Bound states of QCD introduce **hadronic uncertainties**.



- The good thing:**
Decay modes w/ **same underlying quark transition**
 \Rightarrow Cross-checks.

Importance of $|V_{cb}|$

- V_{cb} is a **fundamental parameter** of the Standard Model.
- V_{cb} plays an important role in the **Unitarity Triangle**.
 - ↳ We want to overconstrain the triangle as a new physics test.
- V_{cb} goes into the prediction of ε_K via

$$\varepsilon_K \propto x |V_{cb}|^4 + \dots$$

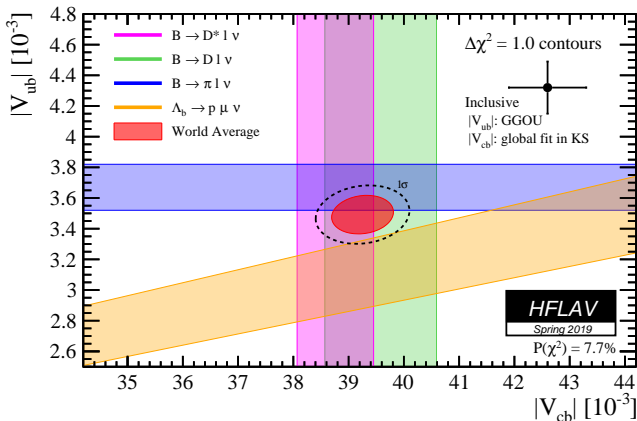
- V_{cb} goes into the predictions of **flavor changing neutral currents**.
- The ratio

$$\left| \frac{V_{ub}}{V_{cb}} \right|$$

directly constrains **one side** of the Unitarity Triangle.

Exclusive vs. Inclusive V_{cb} , V_{ub}

[HFLAV 1909.12524]



- Recent years: Enter era of **precision** measurements.
New results from **B-factories**, new **lattice** form factor results.
- Λ_b decays constrain $\frac{\mathcal{B}(\Lambda_b^0 \rightarrow p\mu\nu)}{\mathcal{B}(\Lambda_b \rightarrow \Lambda_c\mu\nu)} \propto \frac{V_{ub}^2}{V_{cb}^2}$.

Inclusive V_{cb}

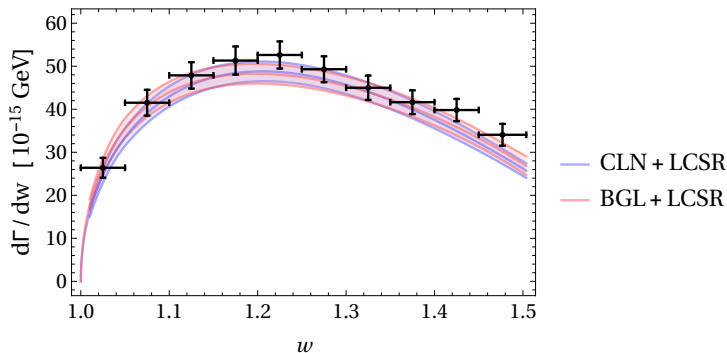
- Operator product expansion: Double expansion in α_s and Λ_{QCD}/m_b .
- **Parametrization of non-pert. physics** by B meson matrix elements.
- Most recent global fit: [Gambino Healey Turczyk 1606.06174]

$$|V_{cb}| = 42.00(64) \times 10^{-3}$$

New developments

- Methodology with **smaller number** of HQE parameters.
[Fael Mannel Vos 1812.07472]
- Reducing uncertainties due to **background processes** in the inclusive determination of V_{cb} .
[Mannel Rahimi Vos 2105.02163]
- **Inclusive** semi-leptonic decays on the **lattice**.
[Gambino Hashimoto 2005.13730]

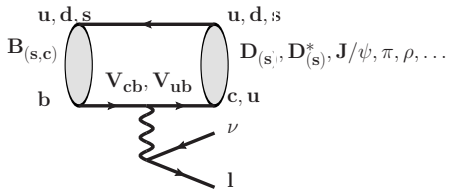
Three-body decay: Nontrivial kinematical dependences



Fit: [Bigi Gambino StS 1703.06124] Data: [Belle, 1702.01521]

- Invariant lepton mass squared $q^2 = (p_B - p_{D^*})^2 = (p_l + p_\nu)^2$.
- Dimensionless quantity $w = \frac{m_B^2 + m_{D^*}^2 - q^2}{2m_B m_{D^*}}$.
- High $q^2 \Leftrightarrow$ low w . Low $q^2 \Leftrightarrow$ high w .

Form Factors for Exclusive Decays



# of FFs	$B \rightarrow Pl\nu_l$	$B \rightarrow Vl\nu_l$
$l = e, \mu$	1	3
$l = \tau$	2	4

- Example:

$$\langle D(k) | \bar{c} \gamma^\mu b | \bar{B}(p) \rangle = \left((p+k)^\mu - \frac{m_B^2 - m_D^2}{q^2} \right) f_+^{B \rightarrow D}(q^2) + \left(\frac{m_B^2 - m_D^2}{q^2} q^\mu \right) f_0^{B \rightarrow D}(q^2)$$

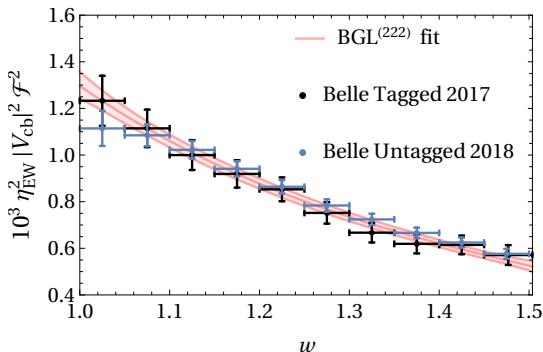
- Information on form factors:

- Lattice QCD.
- Light Cone Sum Rules (LCSR, at **low** $q^2 \Leftrightarrow$ **high** w).
- Heavy Quark Expansion.
- Experiment.

Fit to 2017/18 Belle Data + Lattice $A_1(1)$

[Bigi Gambino StS 1703.06124, Grinstein Kobach 1703.08170, Bigi Gambino StS 1707.09509, Gambino Jung StS 1905.08209]

BGL ⁽²²²⁾	Data + lattice (weak)
χ^2/dof	80.1/72
$ V_{cb} 10^3$	$39.6^{(+1.1)}_{(-1.0)}$
a_0^f	$0.01221(16)$
a_1^f	$0.006^{(+32)}_{(-45)}$
a_2^f	$-0.2^{(+12)}_{(-8)}$
$a_1^{\mathcal{F}_1}$	$0.0042^{(+22)}_{(-22)}$
$a_2^{\mathcal{F}_1}$	$-0.069^{(+41)}_{(-37)}$
a_0^g	$0.024^{(+21)}_{(-9)}$
a_1^g	$0.05^{(+39)}_{(-72)}$
a_2^g	$1.0^{(+0)}_{(-20)}$



- Our global $B \rightarrow D^* l \nu$ fit gives $|V_{cb}| = (39.6^{+1.1}_{-1.0}) \times 10^{-3}$.
- Differs from **inclusive** determination by 1.9σ .
- Truncation of BGL series when additional terms do not change fit.
 - ↳ No overfitting, stable fit.

Additional Theory Input on Form Factors: HQET

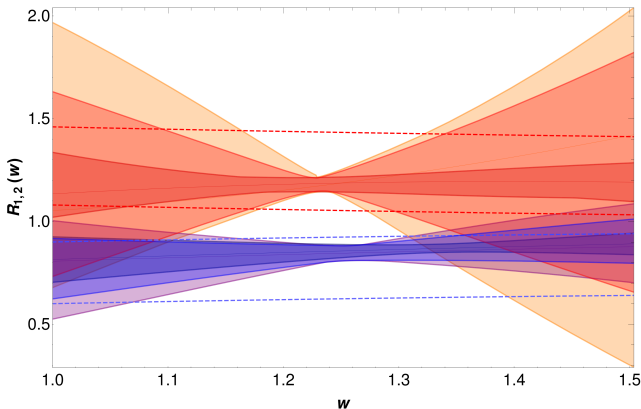
Heavy Quark Effective Theory and QCD sum rules (HQET)

[Bernlochner Ligeti Papucci Robinson 1703.05330, Caprini Lellouch Neubert hep-ph/9712417, Luke Phys.Lett B252,447 (1990), Neubert Rieckert Nucl. Phys. B382, 97 (1992) Neubert hep-ph/9306320, Ligeti Neubert Nir hep-ph/9209271, 9212266, 9305304]

- Important constraints for all $B^{(*)} \rightarrow D^{(*)}$ form factors.
- In the heavy quark limit $m_{c,b} \gg \Lambda_{\text{QCD}}$ all $B^{(*)} \rightarrow D^{(*)}$ form factors either vanish or are proportional to 1 Isgur-Wise (IW) function.
- NLO corrections at $O(\Lambda_{\text{QCD}}/m_{c,b}, \alpha_s)$ known, expressible with 3 subleading IW functions, which are extracted using QCDSRs.

Form factor ratios $R_{1,2}$ compared to HQET estimates

[Gambino Jung StS 1905.08209]



Red: R_1 . Blue: R_2 . Dashed: HQET

- Weak unitarity; strong unitarity; weak unitarity+LCSR, respectively.
- **Good agreement with HQET.**

Heavy Quark Expansion at Higher orders

Meson decays: “Update” of CLN

[Bernlochner Ligeti Papucci Robinson 1703.05330, Gubernari Kokulu van Dyk 1811.00983, Bordone Jung van Dyk 1908.09398, Bordone Gubernari Jung van Dyk 1912.09335]

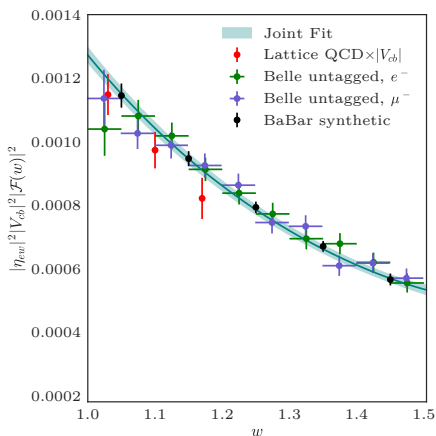
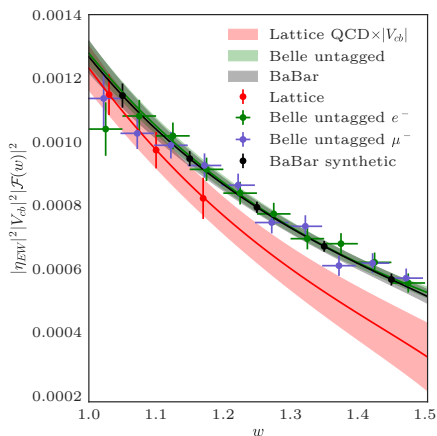
- Heavy-Quark Expansion to $\mathcal{O}(\alpha_s, 1/m_b, 1/m_c^2)$.
- Combining new LCSR results and lattice input.
- Consistency between HQE and BGL fits.

Baryon decays

- Heavy quark expansion of $\Lambda_b \rightarrow \Lambda_c l \nu$ to $\mathcal{O}(\alpha_s/m_{b,c}, 1/m_c^2)$. Predictions in terms of only 2 sub-subleading Isgur-Wise functions.
[Bernlochner Ligeti Robinson Sutcliffe 1812.07593]
- Heavy quark expansion for $\Lambda_b \rightarrow \Lambda_c^* l \nu$ to $\mathcal{O}(\alpha_s, 1/m_{b,c})$.
[Papucci Robinson 2105.09330]
- Baryon FFs from **lattice**.
[Meinel et al 2103.08775, 1702.02243, 1503.01421]

NEW: Non-zero recoil $B \rightarrow D^*$ FFs from Lattice QCD

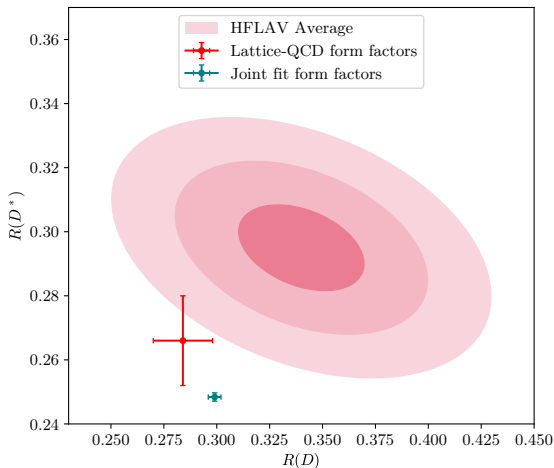
[FNAL/MILC 2105.14019]



- **Tension** between slope from lattice and experimental data.
- Agreement over whole kinematic range at $\approx 2\sigma$.
- Result: $|V_{cb}| = (38.57 \pm 0.70_{\text{th}} \pm 0.34_{\text{exp}}) \times 10^{-3}$.

Lattice only prediction $R(D^*)_{\text{Lat}} = 0.266 \pm 0.014$

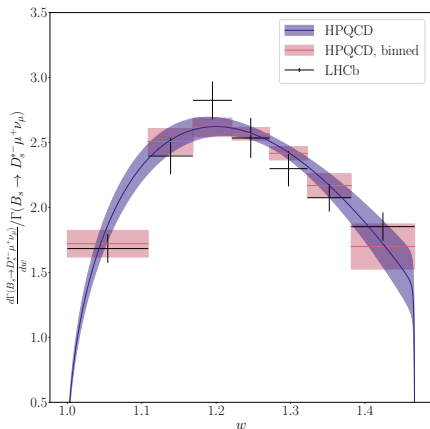
[FNAL/MILC 2105.14019]



- More from lattice: [JLQCD 1912.11770], [LANL/SWME 2003.09206], [Martinelli et al 2105.08674, 2105.07851]

NEW: Full q^2 range $B_s \rightarrow D_s^*$ FFs from Lattice QCD

[HPQCD 2105.11433]



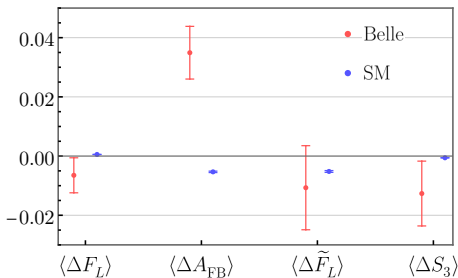
- Results: $|V_{cb}| = 43.0(2.1)_{\text{latt}}(1.7)_{\text{exp}}(0.4)_{\text{EM}} \cdot 10^{-3}$. Lattice-only $R(D_s^{*-})$.
- Also available: $B_c \rightarrow J/\psi l \nu$ in full q^2 range [HPQCD 2007.06956]

$e - \mu$ non-universality in $B \rightarrow D^* l \nu$?

- [Belle 1809.03290] finds $\frac{\mathcal{B}(B^0 \rightarrow D^{*-} e^+ \nu_e)}{\mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)} = 1.01 \pm 0.01 \pm 0.03$.
- [Bobeth van Dyk Bordone Jung Gubernari 2104.02094] extract from angular distributions of same Belle data set the observable

$$\Delta A_{FB} \equiv A_{FB}^{(\mu)} - A_{FB}^{(e)} \neq 0 \quad \text{at } \sim 4\sigma.$$

- Physical or artifact? More study needed.



[Bobeth et al 2104.02094]

Conclusion

For now, the two anomalies in charged currents persist:

- **Exclusive/inclusive** V_{cb} , V_{ub} and
- **Lepton flavor universality** violation.


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<https://doi.org/10.1140/epjc/s10052-020-08490-x>

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Review

Challenges in semileptonic B decays

P. Gambino^{1,a} , A. S. Kronfeld², M. Rotondo³, C. Schwanda⁴, F. Bernlochner⁵, A. Bharucha⁶, C. Bozzi⁷, M. Calvi⁸, L. Cao⁵, G. Ciezarek⁹, C. T. H. Davies¹⁰, A. X. El-Khadra¹¹, S. Hashimoto¹², M. Jung¹, A. Khodjamirian¹³, Z. Ligeti¹⁴, E. Lunghi¹⁵, V. Lüth¹⁶, T. Mannel¹³, S. Meinel¹⁷, G. Paz¹⁸, S. Schacht^{1,19}, S. Simula²⁰, W. Sutcliffe⁵, A. Vaquero Avilés-Casco²¹

Everything you always wanted to ask about semileptonic decays

2006.07287

BACK-UP

Unitarity Constraints

[Boyd Grinstein Lebed 1994, 1997]

- Use **dispersion relations** to relate physical **semileptonic region**

$$m_l^2 \leq q^2 \leq (m_B - m_D)^2, \quad q^2 \equiv (p_B - p_{D^*})^2,$$

to **pair-production region** beyond threshold

$$q^2 \geq (m_B + m_D)^2, \quad \text{with poles at } q^2 = m_{B_c}^2.$$

- **Constrain** form factors in **pair-production** region with pert. QCD.
- **Translate** constraint to **semileptonic region** using analyticity.

Model independent form factor parametrization

[Boyd Grinstein Lebed (BGL), hep-ph/9412324, hep-ph/9504235, hep-ph/9705252]

Boyd Grinstein Lebed parametrization

$$f_i(z) = \frac{1}{B_i(z)\phi_i(z)} \sum_{n=0}^{\infty} a_n^i z^n,$$
$$z = \frac{\sqrt{1+w} - \sqrt{2}}{\sqrt{1+w} + \sqrt{2}}, \quad w = \frac{m_B^2 + m_{D^*}^2 - q^2}{2m_B m_{D^*}}.$$

- $0 < z < 0.056$ for $B \rightarrow D^* l \nu \Rightarrow$ truncation at $N = 2$ enough, $z^3 \sim 10^{-4}$.
- $B_i(z)$: “Blaschke factor”: removes poles.
- $\phi_i(z)$: phase space factors.
- Where should one **truncate** the series?
- Where adding **more terms** becomes **irrelevant**:
Stability of central values and errors, especially of V_{cb} .

Unitarity Constraints

Use **basic properties** of QCD:

Unitarity, **crossing** symmetry, **analyticity**, dispersion relations.

(Weak) Unitarity Conditions

- **Vector** current:

$$\sum_{i=0}^{\infty} (a_n^{V_4})^2 \leq 1.$$

- **Axial vector** current:

$$\sum_{i=0}^{\infty} \left((a_n^{A_1})^2 + (a_n^{A_5})^2 \right) \leq 1.$$

Strong Unitarity Constraints

- Use HQET information on further $b \rightarrow c$ channels:

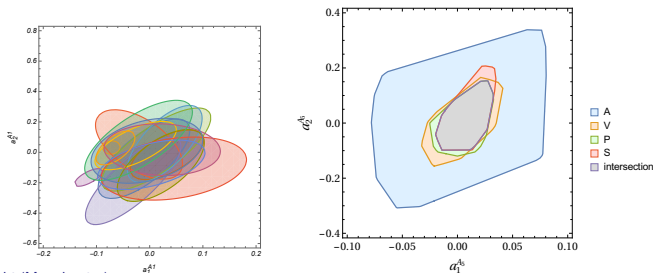
$B \rightarrow D, B^* \rightarrow D, B^* \rightarrow D^*$, to relate them to $B \rightarrow D^*$.

- Make the unitarity bounds **stronger**:

[BGL, hep-ph/9705252]

$$\sum_{i=1}^H \sum_{n=0}^{\infty} b_{in}^2 \leq 1. \quad \text{for } S, P, V, A \text{ currents}$$

- Vary QCDSR parameters + higher order corrections:
obtain many different unitarity bounds.
- Take their **envelope** as **side condition in the fit**.



Parametrization employing unitarity+heavy-quark exp.

Practical Caprini Lellouch Neubert parametrization as employed in experimental analyses

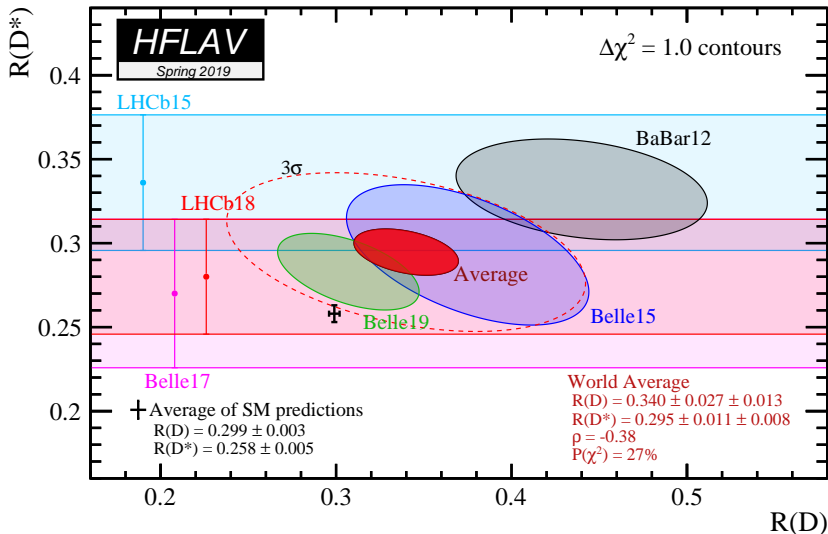
$$h_{A_1}(w) = h_{A_1}(1) \left(1 - 8\rho^2 z + (53\rho^2 - 15)z^2 - (231\rho^2 - 91)z^3 \right),$$

$$R_1(w) = R_1(1) - 0.12(w - 1) + 0.05(w - 1)^2,$$

$$R_2(w) = R_2(1) + 0.11(w - 1) - 0.06(w - 1)^2.$$

- **Theoretical uncertainties** pointed out in [CLN, hep-ph/9712417], e.g. for slope and curvature of form factor ratios R_1 and R_2 were **set to zero**.
- Appropriate at the time.
- At **current experimental precision**, theoretical uncertainties of fixed parameters **need to be taken into account**.

Status $R(D^{(*)})$ combined: 3.08σ [HFLAV 1909.12524]



$$\text{Anatomy of } R(D^*) \equiv \frac{\int_1^{w_{\tau,\max}} dw d\Gamma_{\tau}/dw}{\int_1^{w_{\max}} dw d\Gamma/dw}$$

Differential decay rate for $B \rightarrow D^* \tau \nu_{\tau}$

[BGL, hep-ph/9705252]

$$\frac{d\Gamma_{\tau}}{dw} = \frac{d\Gamma_{\tau,1}}{dw} + \frac{d\Gamma_{\tau,2}}{dw}$$

$$\frac{d\Gamma_{\tau,1}}{dw} = \left(1 - m_{\tau}^2/q^2\right)^2 \left(1 + m_{\tau}^2/(2q^2)\right) \frac{d\Gamma}{dw}$$

$$\frac{d\Gamma_{\tau,2}}{dw} = |V_{cb}|^2 m_{\tau}^2 \times \text{kinematics} \times P_1(z)^2$$

- $d\Gamma/dw$: **Measured** differential decay rate of $B \rightarrow D^* l \nu$ with $m_l = 0$, depends on axial vector form factors A_1, A_5 and vector form factor V_4 .
- P_1 : Additional **unconstrained** pseudoscalar **form factor**.
- $d\Gamma_{\tau,2}/dw$ contributes $\sim 10\%$ to $R(D^*)$.
- Common normalization/notation:

$$R_0 = \frac{P_1}{A_1} = 1 \quad \text{in heavy quark limit} \quad [\text{BGL, hep-ph/9705252}]$$