

# Nuclear shadowing and heavy ion UPCs at the LHC

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Based on series of papers with E. Kryshen, M. Zhalov, M. Strikman, L. Frankfurt, M. Klasen

## Outline:

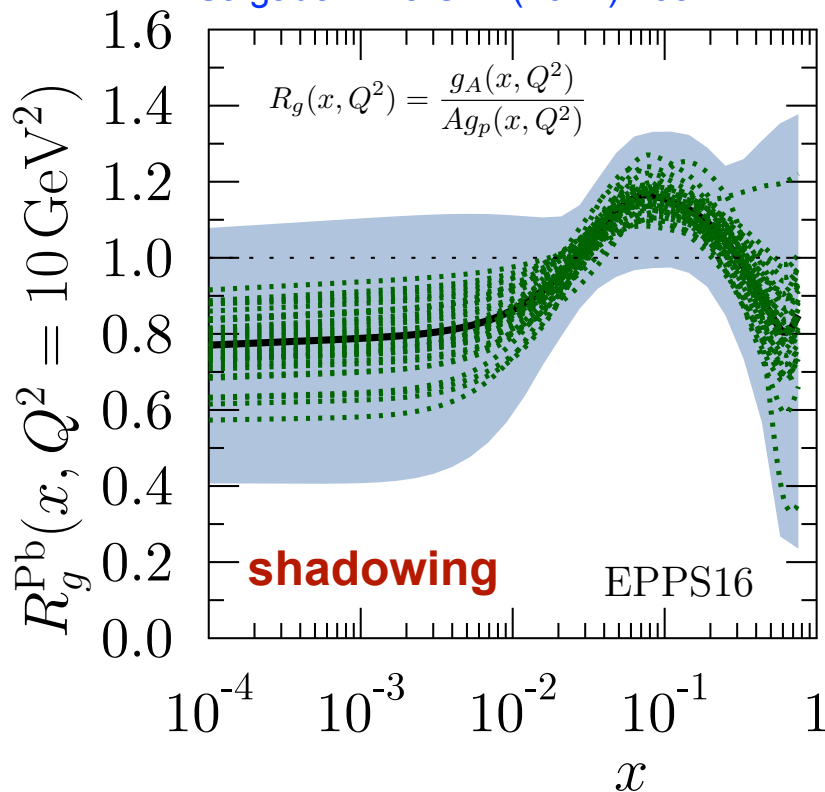
- Nuclear shadowing: global fits vs. leading twist model
- Gluon nuclear shadowing from coherent  $J/\psi$  photoproduction in Pb-Pb UPCs at the LHC
- Gluon nuclear shadowing from inclusive and diffractive dijet photoproduction in Pb-Pb UPCs at the LHC

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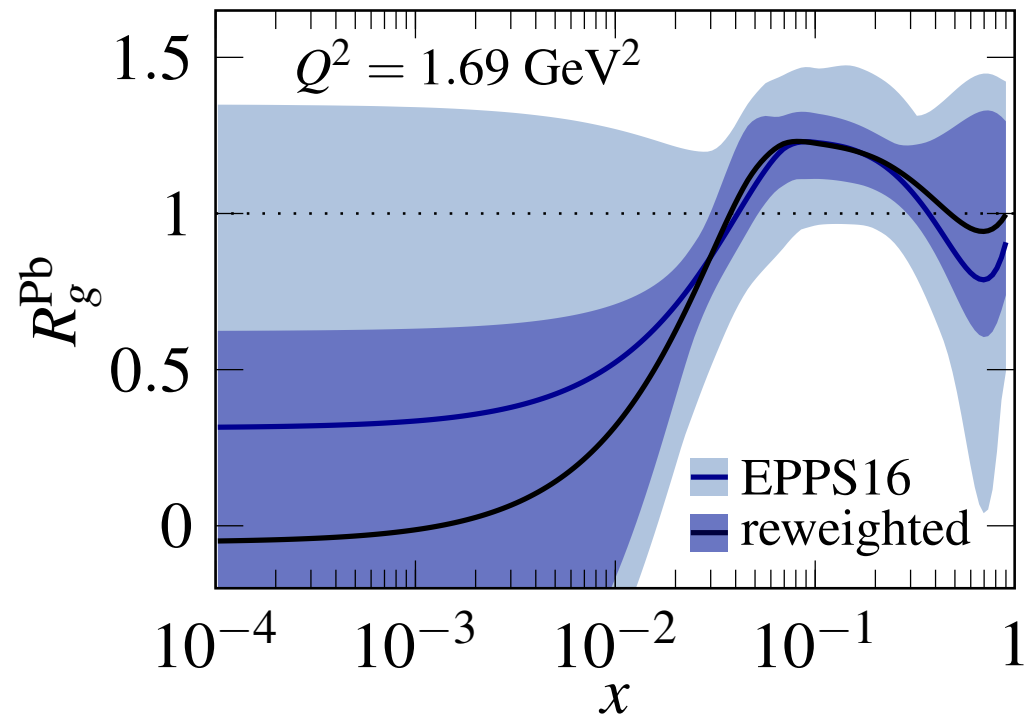
# Gluon shadowing at small x: global fits

- **Nuclear shadowing**: suppression of nuclear cross sections and nuclear parton distribution functions (nPDFs) for small  $x < 0.05$ ,  $f_A(x, \mu^2) < A f_N(x, \mu^2)$
- Important for QCD phenomenology of hard processes with nuclei at RHIC, LHC, future EIC, LHeC/FCC → cold nuclear matter effects, gluon saturation.
- $f_A(x, \mu^2)$  are determined from global QCD fits to data on **fixed-target** DIS, hard processes in **dA** (RHIC) and **pA** (LHC) →  $f_A(x, \mu^2)$  with significant uncertainties

EPPS16, Eskola, Paakkinen, Paukkunen,  
Salgado EPJ C77 (2017) 163

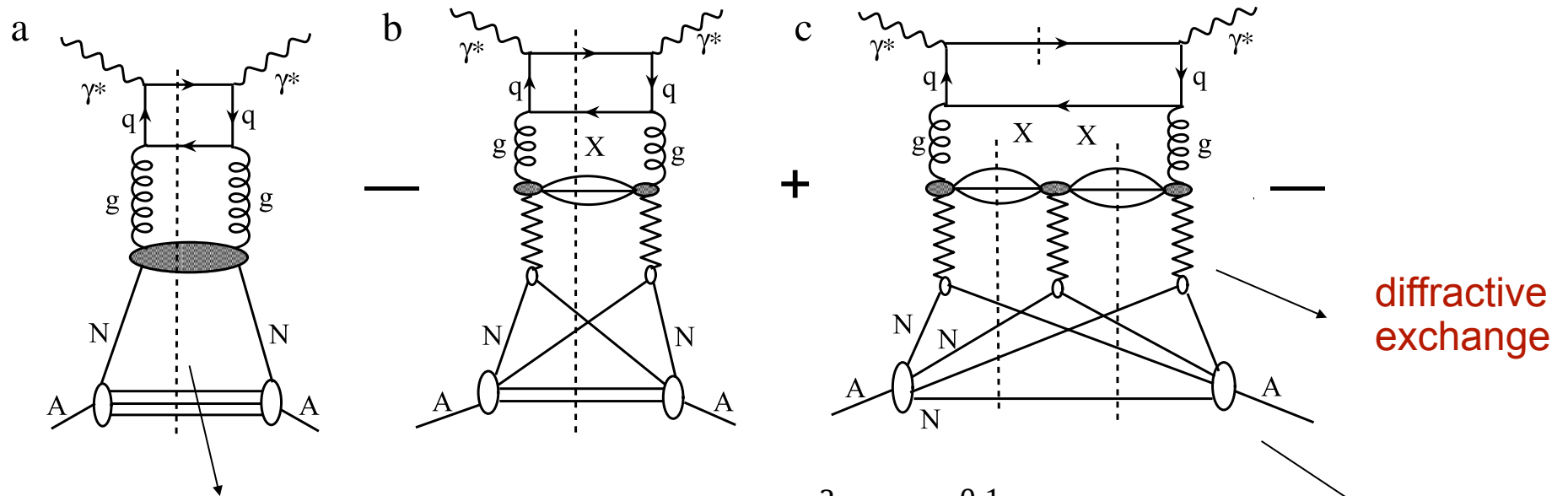


Run 2 CMS jets, Eskola, Paakkinen,  
Paukkunen, EPJC 79 (2019) 6, 511



# Leading twist model of nuclear shadowing

- Alternative to extrapolation of nPDFs into  $x < 0.05$  region: leading twist model of nuclear shadowing, [Frankfurt, Guzey, Strikman, Phys. Rept. 512 \(2012\) 255](#)
- Combination of Gribov-Glauber shadowing model with QCD factorization theorems for inclusive and diffractive DIS, [Frankfurt, Strikman, EPJ A5 \(1999\) 293](#)

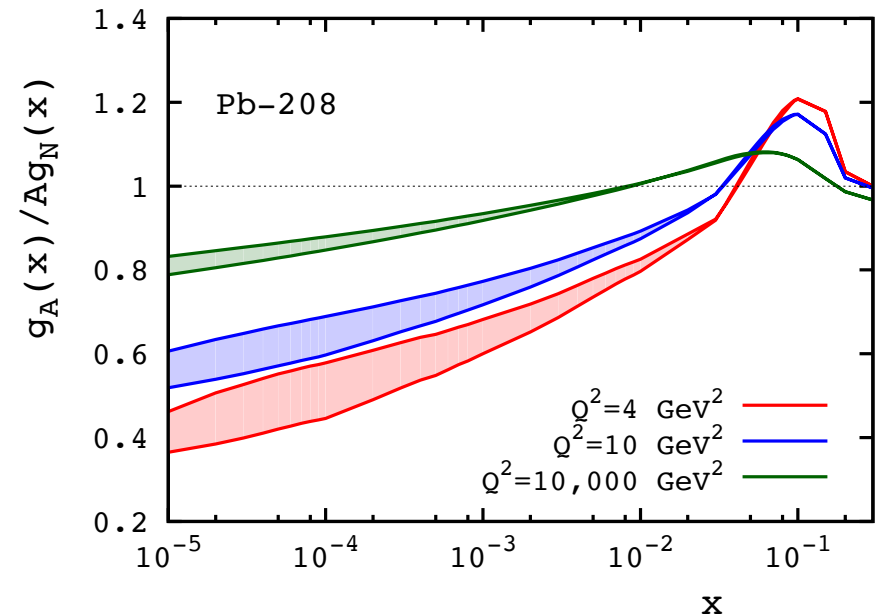
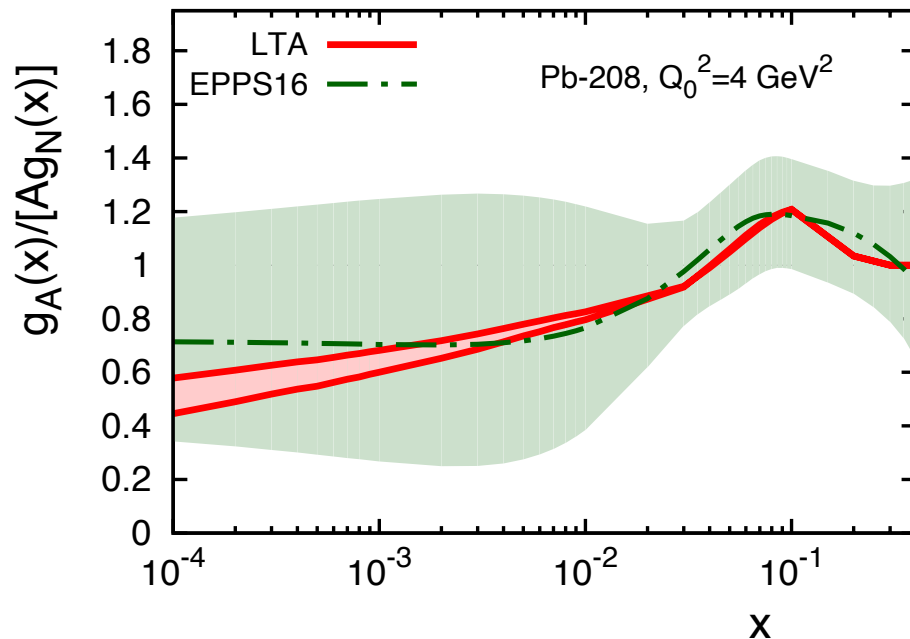


$$\begin{aligned}
 x f_{j/A}(x, Q_0^2) = & A x f_{j/N}(x, Q_0^2) - 8\pi A(A-1) \Re e \frac{(1-i\eta)^2}{1+\eta^2} B_{\text{diff}} \int_x^{0.1} dx_{\mathbb{P}} \beta f_j^{D(3)}(\beta, Q_0^2, x_{\mathbb{P}}) \\
 & \times \int d^2b \int_{-\infty}^{\infty} dz_1 \int_{z_1}^{\infty} dz_2 \rho_A(\vec{b}, z_1) \rho_A(\vec{b}, z_2) e^{i(z_1-z_2)x_{\mathbb{P}}m_N} e^{-\frac{A}{2}(1-i\eta)\sigma_{\text{soft}}^j(x, Q_0^2) \int_{z_1}^{z_2} dz' \rho_A(\vec{b}, z')}
 \end{aligned}$$

nuclear density proton diffractive PDFs from HERA model-dependent effective cross section

# Leading twist model of nuclear shadowing (2)

- Predicts nuclear PDFs at  $\mu^2=3\text{-}4 \text{ GeV}^2 \rightarrow$  input for DGLAP evolution.
- Magnitude of shadowing is determined by proton diffractive PDFs, [ZEUS](#), [H1 2006](#)  $\rightarrow$  naturally predicts large shadowing for  $g_A(x, \mu^2)$ .



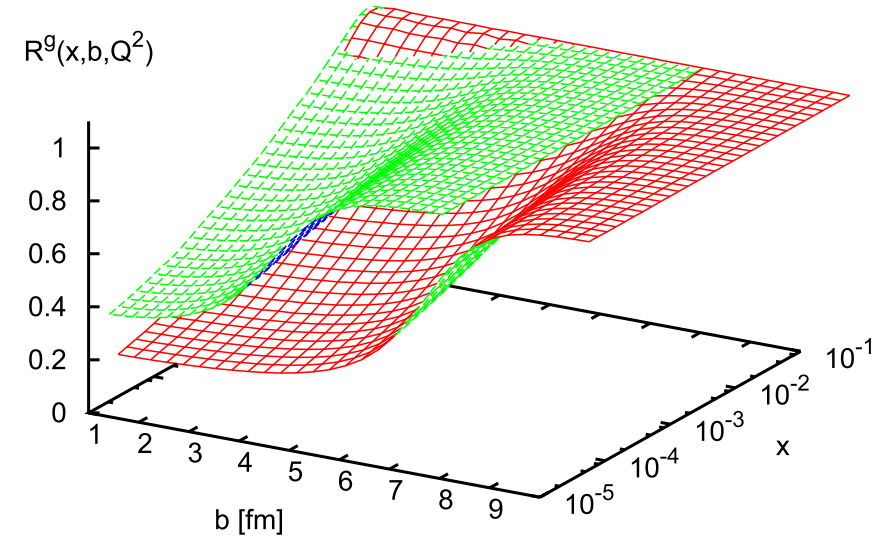
- Future Electron-Ion Collider can best test these predictions due to:
  - wide  $x$ - $Q^2$  coverage
  - measurements of the longitudinal structure function  $F_L^A(x, Q^2)$  sensitive to gluons
  - measurements of diffraction in eA DIS
- Different approaches to shadowing can also be studied in **UPCs@LHC**, which can be viewed as a forerunner of EIC.

# Impact parameter dependence of nPDFs

- The model of leading twist nuclear shadowing allows one to predict the dependence of nPDFs on the **impact parameter  $b$** :

$$xf_{j/A}(x, Q_0^2, b) = AT_A(b)xf_{j/N}(x, Q_0^2) - 8\pi A(A-1)B_{\text{diff}} \Re e \frac{(1-i\eta)^2}{1+\eta^2} \int_x^{0.1} dx_{\mathbb{P}} \beta f_j^{D(3)}(\beta, Q_0^2, x_{\mathbb{P}}) \\ \times \int_{-\infty}^{\infty} dz_1 \int_{z_1}^{\infty} dz_2 \rho_A(\vec{b}, z_1) \rho_A(\vec{b}, z_2) e^{i(z_1-z_2)x_{\mathbb{P}}m_N} e^{-\frac{A}{2}(1-i\eta)\sigma_{\text{soft}}^j(x, Q_0^2) \int_{z_1}^{z_2} dz' \rho_A(\vec{b}, z')}$$

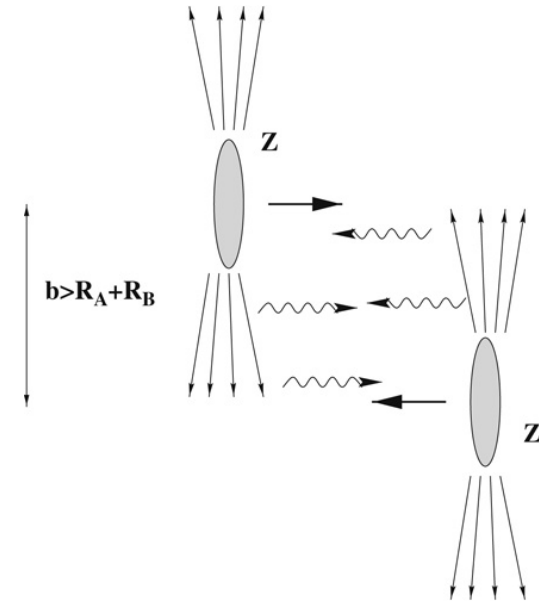
- correlations between  **$b$**  and  **$x$**  → shadowing is stronger in nucleus center → shift of t-dependence of  $\gamma A \rightarrow J/\psi A$  cross section → confirmed by LHC data on coherent  $J/\psi$  photoproduction in Pb-Pb UPCs (see later).



- With additional assumptions, global QCD fits can also extract  $b$ -dependence of nPDFs, EPS09s, Helenius, Honkanen, Salgado, JHEP 1207 (2012) 073.

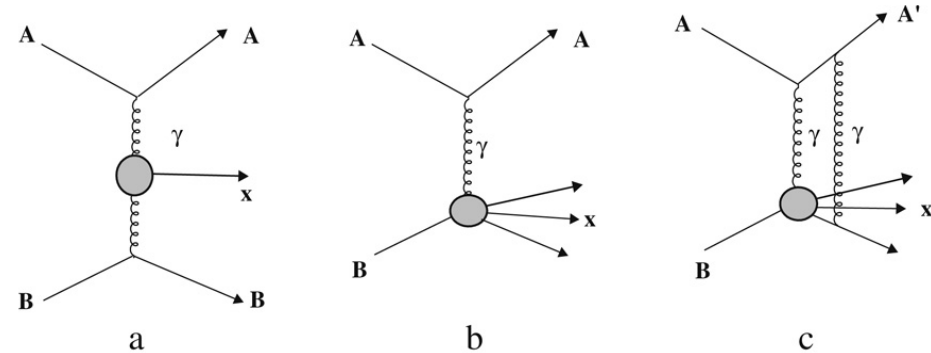
# Ultrapерipheral collisions

- **Ultrapерipheral collisions (UPCs)**: ions interact at large impact parameters  $b \gg R_A + R_B \rightarrow$  hadron interactions suppressed  $\rightarrow$  interaction via quasi-real photons in Weizsäcker-Williams equivalent photon approximation, Budnev, Ginzburg, Meledin, Serbo, Phys. Rept. 15 (1975) 181



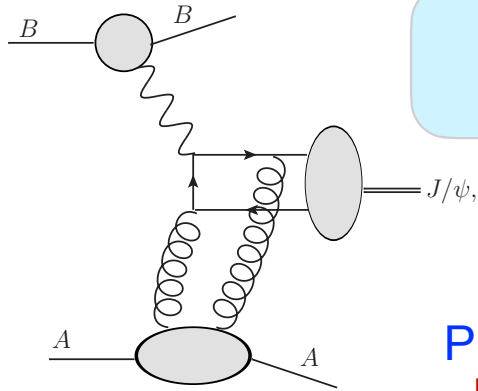
- UPCs@LHC allow one to study  $\gamma\gamma$ ,  $\gamma p$  and  $\gamma A$  interactions at unprecedentedly high energies (energy frontier) reaching:  $W_{\gamma p} = 5 \text{ TeV}$ ,  $W_{\gamma A} = 700 \text{ GeV}/A$ ,  $W_{\gamma\gamma} = 4.2 \text{ TeV}$

- UPCs can be used to study open questions of **proton and nucleus structure in QCD** and search for new physics  $\rightarrow$  e.g., **new info on gluon nuclear shadowing**.



# Exclusive $J/\psi$ photoproduction in UPCs

- Cross section of coherent  $J/\psi$  photoproduction in Pb-Pb UPCs  $\rightarrow$  two terms corresponding to low- $x$  and high- $x$



$$\frac{d\sigma_{AA \rightarrow AA J/\psi}(y)}{dy} = N_{\gamma/A}(y) \sigma_{\gamma A \rightarrow A J/\psi}(y) + N_{\gamma/A}(-y) \sigma_{\gamma A \rightarrow A J/\psi}(-y)$$

Photon flux from QED:  
 - high intensity  $\sim Z^2$   
 - high photon energy  $\sim \gamma_L$

Photoproduction cross section

$$y = \ln[W^2 / (2\gamma_L m_N M_V)]$$

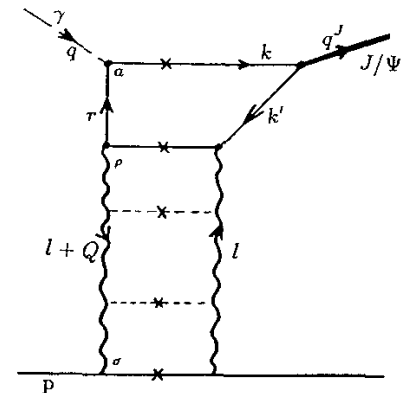
=  $J/\psi$  rapidity

- In leading logarithmic approximation (LLA) of pQCD, Ryskin, Z. Phys. C57 (1993) 89; Frankfurt, Koepf, Strikman, PRD 57 (1998) 512; Frankfurt, McDermott, Strikman, JHEP 03 (2001) 045

$$\frac{d\sigma^{\gamma p \rightarrow J/\psi p}(t=0)}{dt} = \frac{12\pi^3}{\alpha_{\text{e.m.}}} \frac{\Gamma_V M_V^3}{(4m_c^2)^4} [\alpha_s(Q_{\text{eff}}^2) x g(x, Q_{\text{eff}}^2)]^2 C(Q^2=0)$$

$$x = (M_V)^2/W^2, \quad Q_{\text{eff}}^2 = 2.5-4 \text{ GeV}^2$$

depends on details of charmonium distribution amplitude



# Coherent $J/\psi$ photoproduction on nuclei

- Application to nuclear targets:

$$\sigma_{\gamma A \rightarrow J/\psi A}(W_{\gamma p}) = \kappa_{A/N}^2 \frac{d\sigma_{\gamma p \rightarrow J/\psi p}(W_{\gamma p}, t=0)}{dt} \left[ \frac{G_A(x, \mu^2)}{AG_N(x, \mu^2)} \right]^2 \Phi_A(t_{\min})$$

Small correction  $\kappa_{A/N} \approx 0.90-95$  due to different skewnesses of nuclear and nucleon generalized PDFs (GPDs)

From HERA and LHCb

Nucleus/proton gluon ratio  $R_g$

From nuclear form factor

$$\Phi_A(t_{\min}) = \int_{-\infty}^{t_{\min}} dt |F_A(t)|^2$$

- Well-defined impulse approximation (IA):

$$\sigma_{\gamma A \rightarrow J/\psi A}^{\text{IA}}(W_{\gamma p}) = \frac{d\sigma_{\gamma p \rightarrow J/\psi p}(W_{\gamma p}, t=0)}{dt} \Phi_A(t_{\min})$$

- Nuclear suppression factor **S** (like  $R_{pA}$  or  $R_{AA}$ )  $\rightarrow$  direct access to  $R_g$

$$S(W_{\gamma p}) = \left[ \frac{\sigma_{\gamma Pb \rightarrow J/\psi Pb}}{\sigma_{\gamma Pb \rightarrow J/\psi Pb}^{\text{IA}}} \right]^{1/2} = \kappa_{A/N} \frac{G_A(x, \mu^2)}{AG_N(x, \mu^2)} = \kappa_{A/N} R_g$$

Model-independently\* from data on UPC@LHC at (ALICE, CMS, LHCb) and HERA, LHCb [Abelev et al. \[ALICE\], PLB718 \(2013\) 1273](#); [Abbas et al. \[ALICE\], EPJ C 73 \(2013\) 2617](#); [\[CMS\] PLB 772 \(2017\) 489](#); [Acharya et al \[ALICE\], arXiv:2101.04577 \[nucl-ex\]](#)

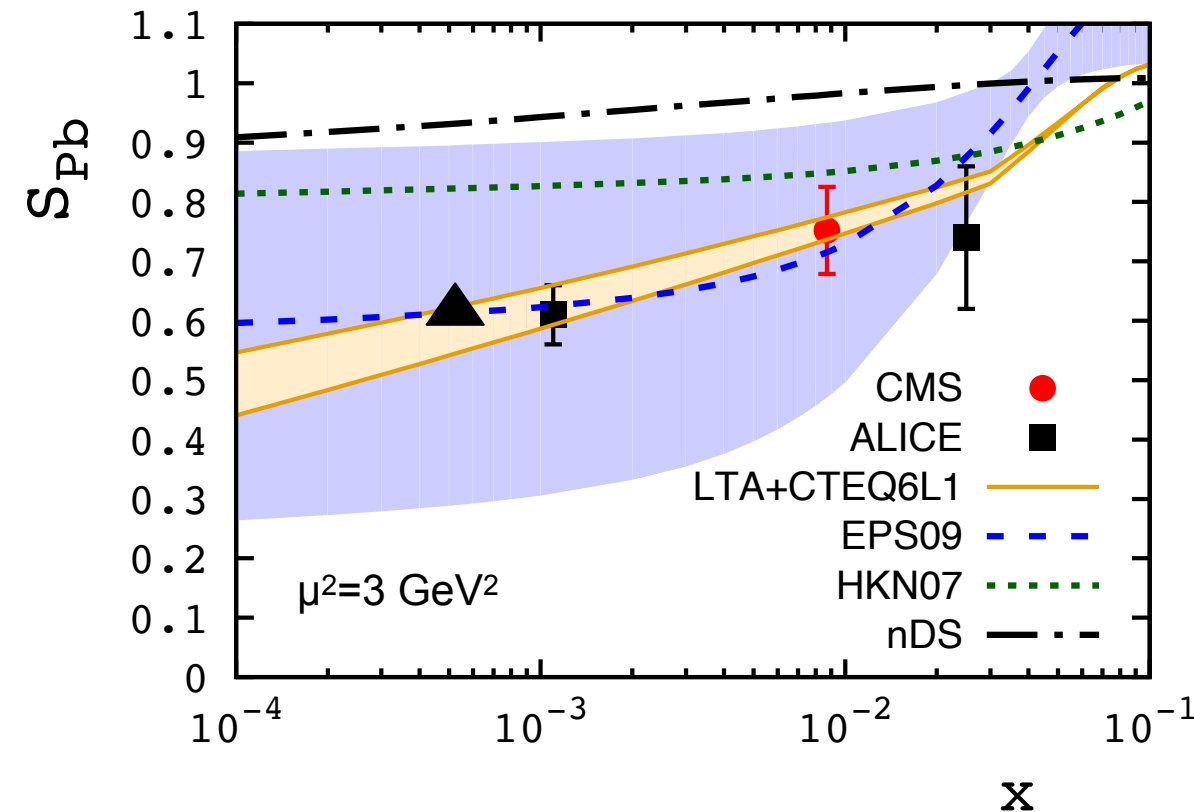
From global QCD fits or leading twist nuclear shadowing model

[Guzey, Kryshen, Strikman, Zhalov, PLB 726 \(2013\) 290](#),  
[Guzey, Zhalov, JHEP 1310 \(2013\) 207](#)



# $S_{Pb}$ from ALICE and CMS UPC data vs. theory

- Model-independently at  $y=0$  and mostly large- $x$  at forward  $|y|$ , [Abelev et al. \[ALICE\], PLB718 \(2013\) 1273](#); [Abbas et al. \[ALICE\], EPJ C 73 \(2013\) 2617](#); CMS Collab., [PLB 772 \(2017\) 489](#), [Acharya et al \[ALICE\], arXiv:2101.04577 \[nucl-ex\]](#) → suppression factor  $S_{Pb}$



LTA: [Guzey, Zhavoronkov JHEP 1310 \(2013\) 207](#)  
 EPS09: [Eskola, Paukkunen, Salgado, JHEP 0904 \(2009\) 065](#)  
 HKN07: [Hirai, Kumano, Nagai, PRC 76 \(2007\) 065207](#)  
 nDS: [de Florian, Sassot, PRD 69 \(2004\) 074028](#)

- Good agreement with ALICE data at 2.76 and 5.02 TeV → [direct evidence of large gluon shadowing](#),  $R_g(x=6 \times 10^{-4} - 0.001) \approx 0.6$ , predicted by the LT model.
- Also good description using central value of EPS09, EPPS16, large uncertainty.
- Color dipole models generally underestimate the suppression, [Goncalves, Machado \(2011\)](#); [Lappi, Mäntysaari, 2013](#), but proton shape fluctuations help, [Mäntysaari, Schenke, PLB 772 \(2017\) 681](#)

# Imaging of nuclear gluons at small $x$

- In case of non-negligible nuclear shadowing,  $\gamma A \rightarrow J/\psi A$  cross section should be modified:

$$\frac{d\sigma_{\gamma A \rightarrow J/\psi A}}{dt} = \frac{d\sigma_{\gamma p \rightarrow J/\psi p}(t=0)}{dt} \left( \frac{R_{g,A}}{R_{g,p}} \right)^2 \left( \frac{g_A(x, \mu^2)}{A g_p(x, \mu^2)} \right)^2 F_A^2(t)$$

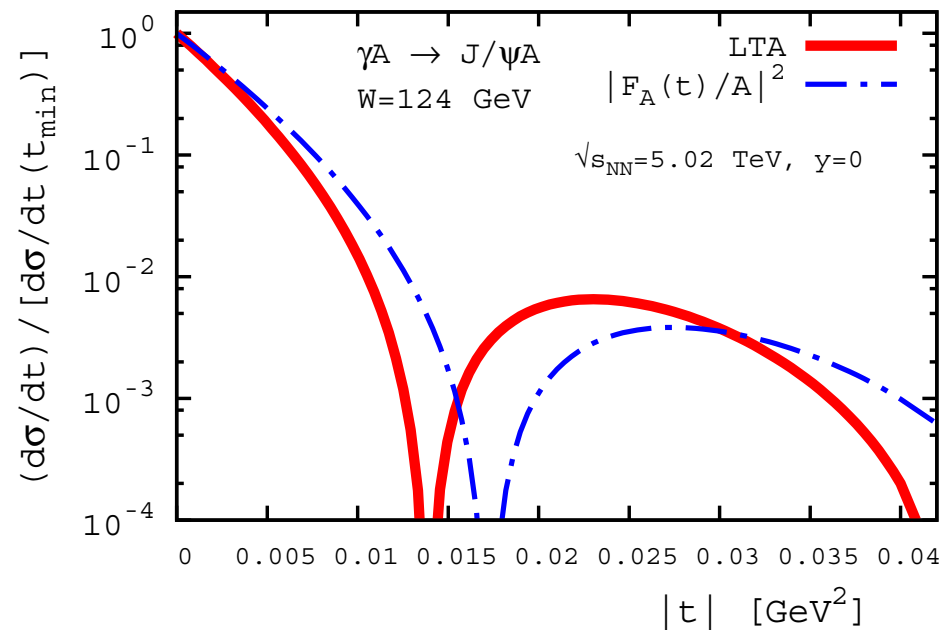


$$\frac{d\sigma_{\gamma A \rightarrow J/\psi A}}{dt} = \frac{d\sigma_{\gamma p \rightarrow J/\psi p}(t=0)}{dt} \left( \frac{R_{g,A}}{R_{g,p}} \right)^2 \left( \frac{g_A(x, t, \mu^2)}{A g_p(x, \mu^2)} \right)^2$$

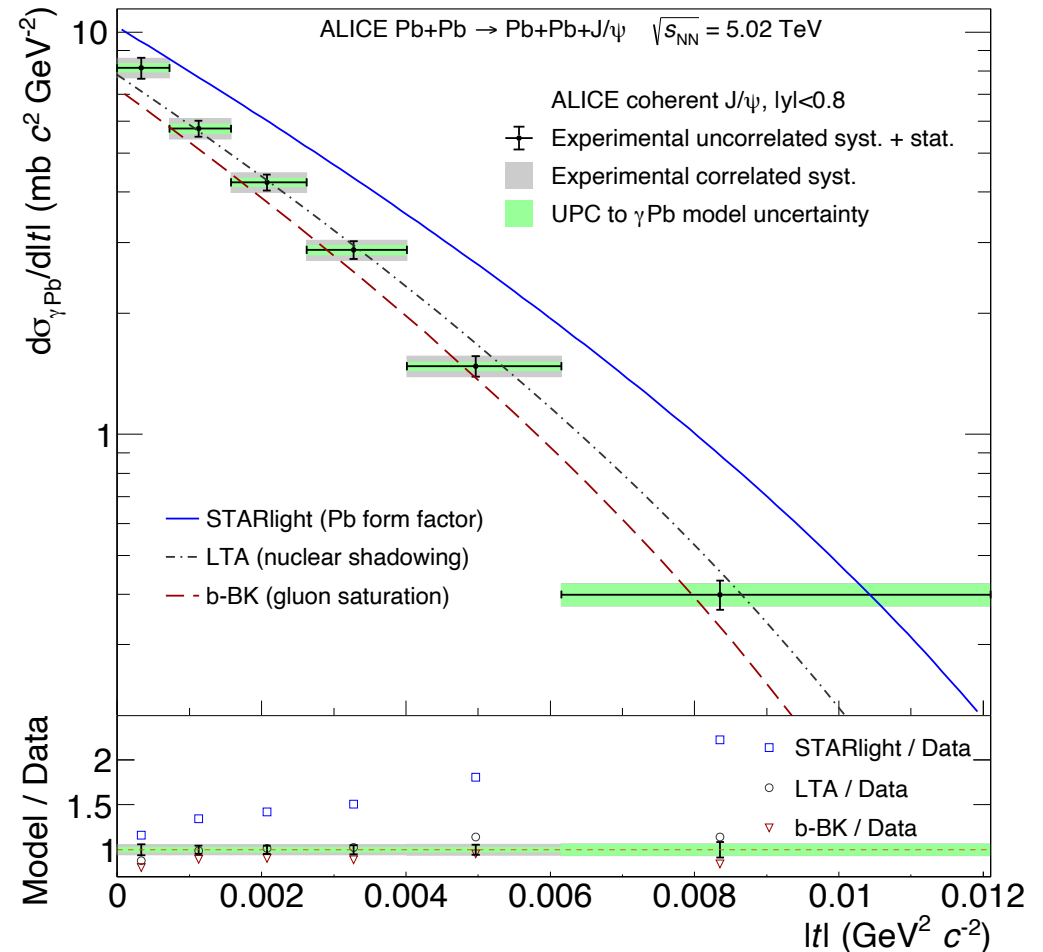
- Answer in terms of nuclear GPD in the  $x_1=x_2$  limit, i.e. in terms of impact-parameter-dependent nPDF  $f_{j/A}(x, Q_0^2, b)$ , [Guzey, Strikman, Zhalov, PRC 95 \(2017\) 025204](#)
- Correlations between  $b$  and  $x \rightarrow$  shift of  $t$ -dependence of  $\gamma A \rightarrow J/\psi A$  cross section.

# t-dependence of coherent J/ψ photonuclear cross section

Guzey, Strikman, Zhalov, PRC 95 (2017) 025204



Acharya et al. [ALICE] arXiv:2101.04623 [nucl-ex]



- Shift of t-dependence = **5-11% broadening** in impact parameter space of gluon nPDF
- Similar effect is predicted to be caused by saturation, Cisek, Schafer, Szczurek, PRC86 (2012) 014905; Lappi, Mäntysaari, PRC 87 (2013) 032201; Toll, Ullrich, PRC87 (2013) 024913; Goncalves, Navarra, Spiering, arXiv:1701.04340

# Inclusive dijet photoproduction in Pb-Pb UPCs@LHC

- Cross section of dijet photoproduction using collinear factorization and next-to-leading (NLO) pQCD, which is successful for HERA data on dijet photoproduction in ep scattering, Klasen, Kramer, Z.Phys. C 72 (1996) 107, Z. Phys. C 76 (1997) 67; Klasen, Rev. Mod. Phys. 74 (2002) 1221; Klasen, Kramer, EPJC 71 (2011) 1774

$$d\sigma(AA \rightarrow A + 2\text{jets} + X) =$$

$$\sum_{a,b} \int dy \int dx_\gamma \int dx_A f_{\gamma/A}(y) f_{a/\gamma}(x_\gamma, \mu^2) f_{b/A}(x_A, \mu^2) d\hat{\sigma}_{ab \rightarrow \text{jets}}$$

Photon flux from QED:

- high intensity  $\sim Z^2$
- high photon energy  $\sim \gamma_L$

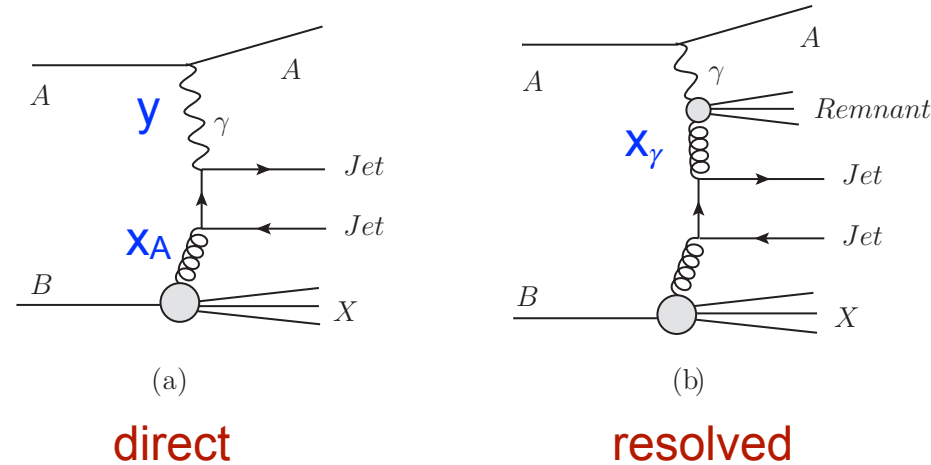
$$f_{\gamma/A}(y) = \frac{2\alpha_{\text{e.m.}} Z^2}{\pi} \frac{1}{y} \left[ \zeta K_0(\zeta) K_1(\zeta) - \frac{\zeta^2}{2} (K_1^2(\zeta) - K_0^2(\zeta)) \right]$$

$$\zeta = y m_p b_{\text{min}} \approx y m_p (2R_A)$$

Photon PDFs  
(resolved photon),  
from e+e- data

Nuclear PDFs  
(nCTEQ15, EPPS16)

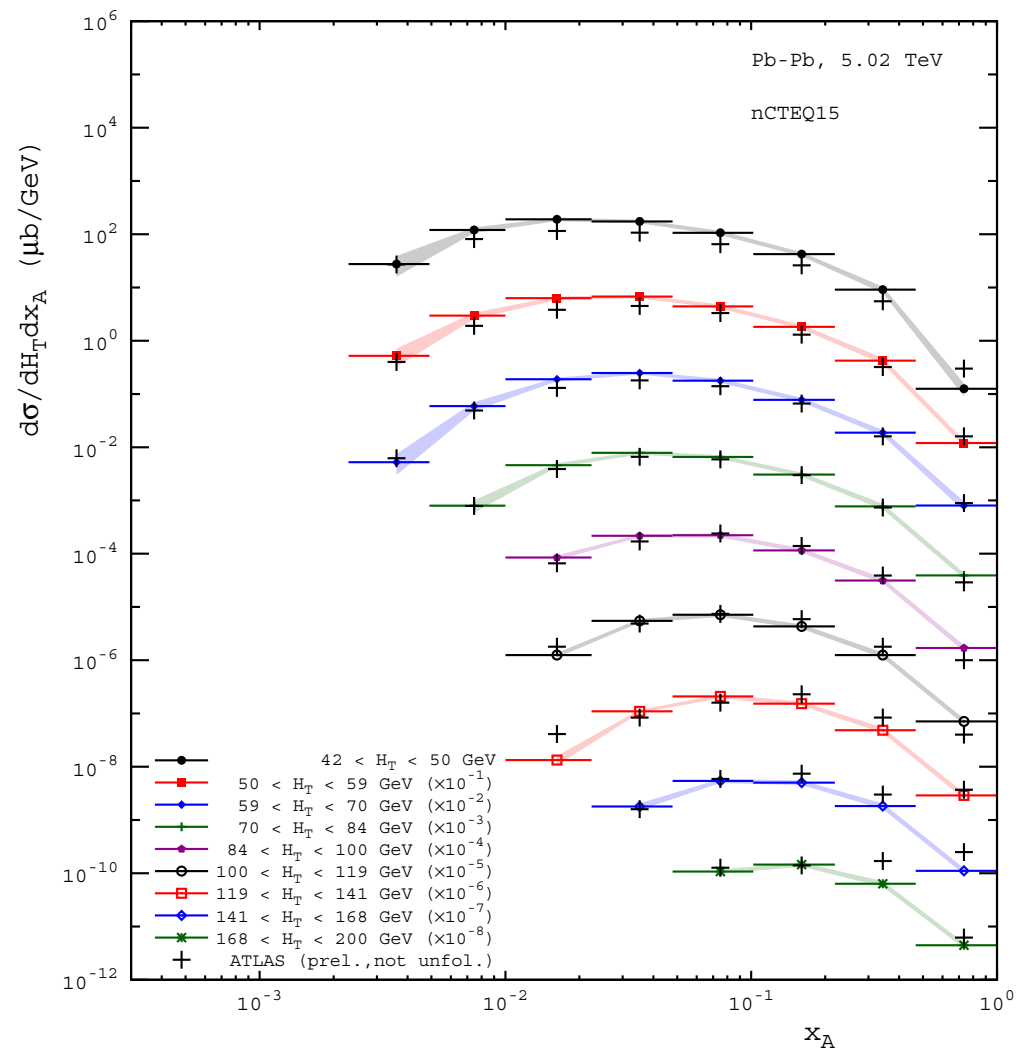
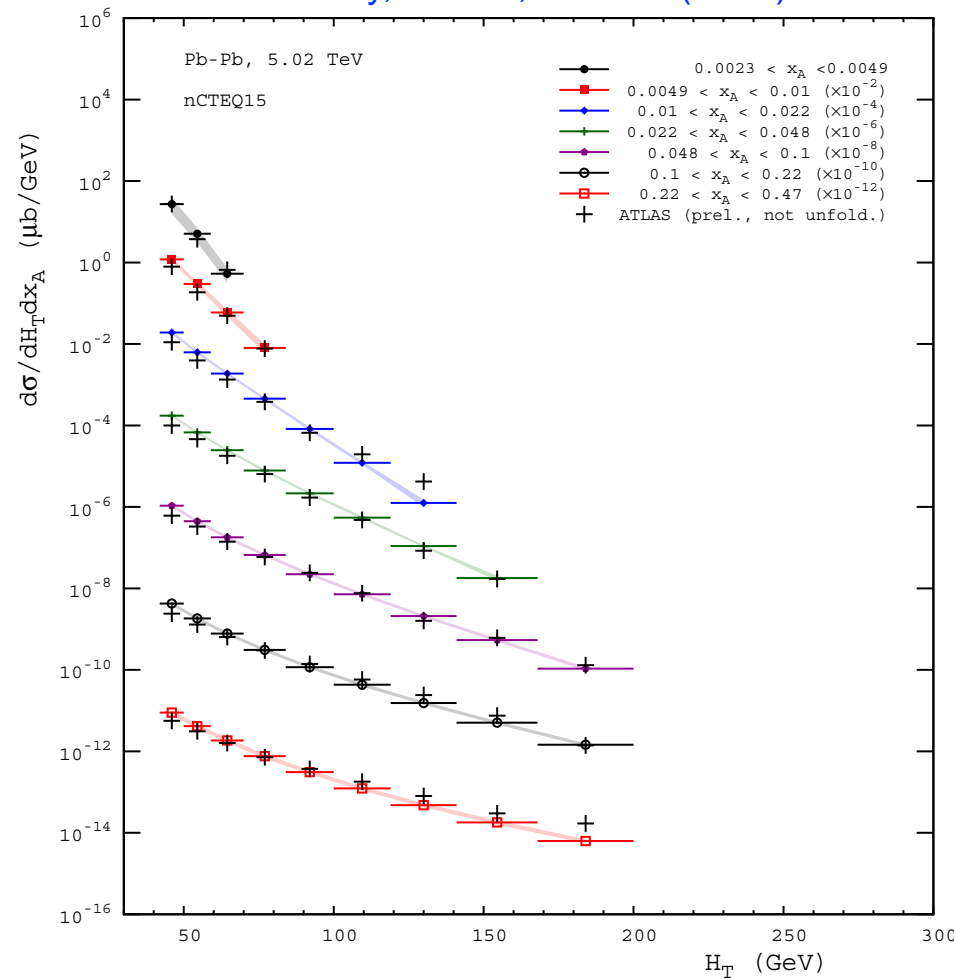
Hard parton  
cross section



# Inclusive dijet photoproduction in Pb-Pb UPCs@LHC (2)

- NLO pQCD vs. ATLAS data as a function of the dijet transverse momentum  $H_T = E_{T}^{\text{jet1}} + E_{T}^{\text{jet2}}$  and nuclear momentum fraction  $x_A = (m_{\text{jets}}/\sqrt{s_{\text{NN}}})e^{-y_{\text{jets}}}$

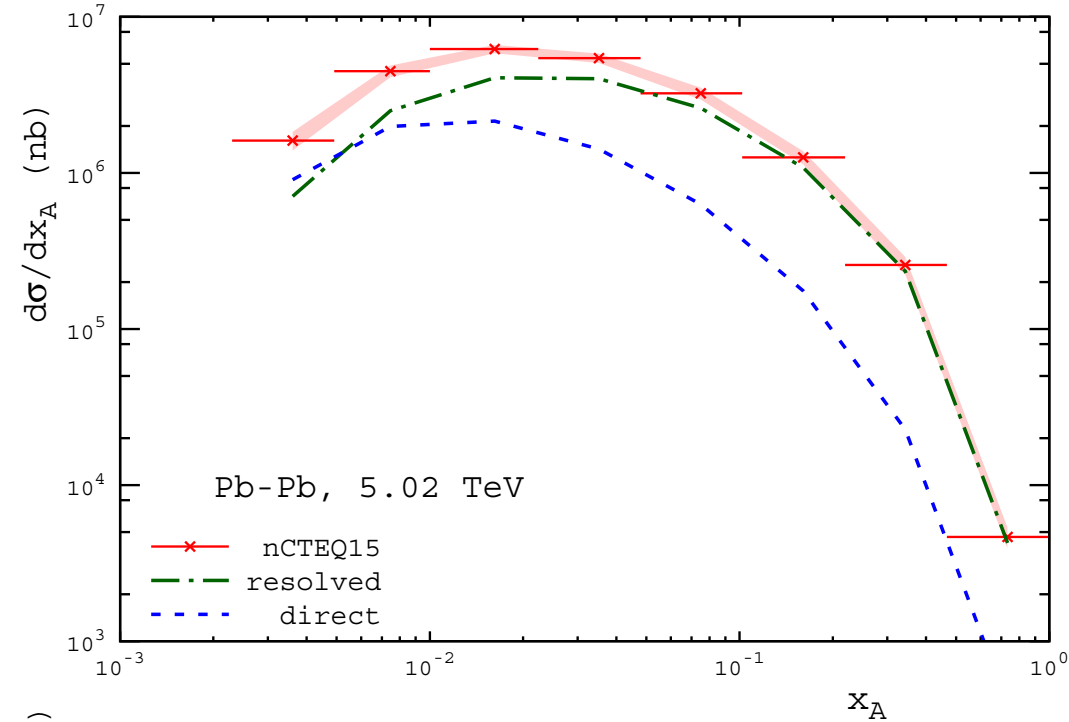
Guzey, Klasen, PRC 99 (2019) 065202



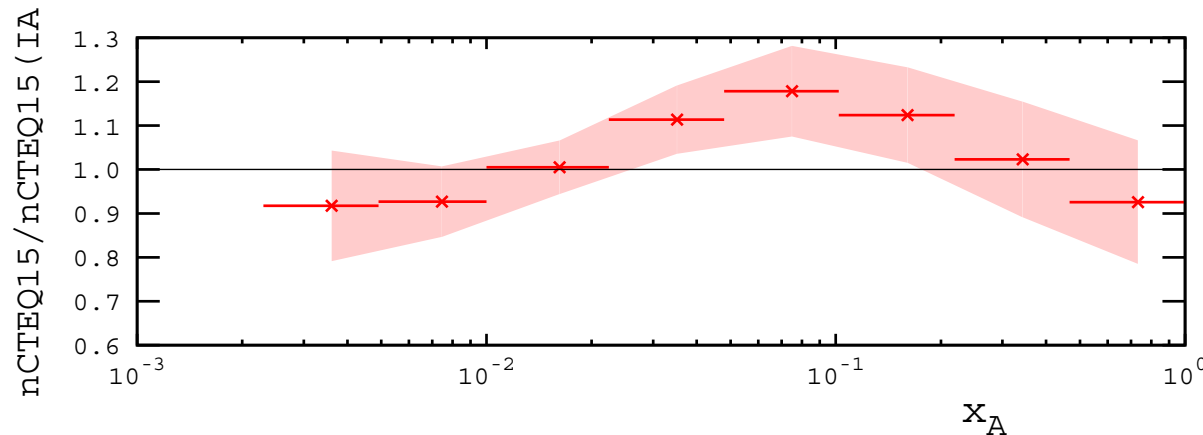
- Shape and normalization of the ATLAS data are reproduced well. Note that the data is preliminary and has not been corrected for detector response.

# Inclusive dijet photoproduction in Pb-Pb UPCs@LHC (3)

- Resolved vs. direct photon contributions:** resolved photons dominate for  $x_A > 0.01$ ; resolved and direct are compatible for  $x_A < 0.01$  → similar trend in leading order (LO) analysis in PYTHIA8 framework, [Helenius, Rasmusen, EPJ C 79 \(2019\) 5, 413](#)



- Nuclear modifications:** shape of  $R = \frac{d\sigma(AA \rightarrow A + 2\text{jets} + X)}{d\sigma^{\text{IA}}(AA \rightarrow A + 2\text{jets} + X)}$  repeats that of  $R_g(x) = g_A / Ag_N$ :  
 10% shadowing for  $x_A < 0.01$ ,  
 20% antishadowing at  $x_A \sim 0.1$ ,  
 5-10% EMC effect for large  $x_A$   
 → can be compared to predictions for EIC, [Klasen, Kovarik, PRD 97 \(2018\) 114013](#)



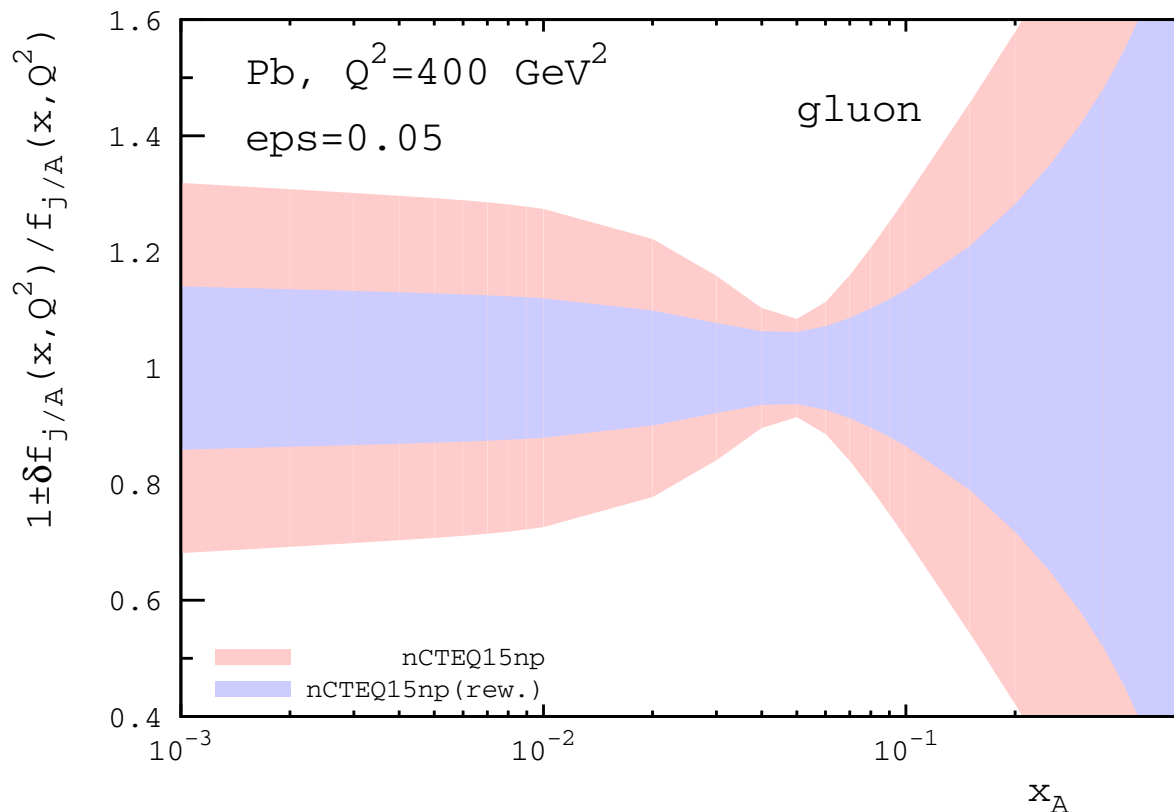
# Reweighting of dijet UPC pseudo-data

- We used our NLO pQCD results in ATLAS kinematics as pseudo-data:

$$\chi_k^2 = \sum_{j=1}^{N_{\text{data}}} \frac{(d\sigma^0/dx_A - d\sigma^k/dx_A)^2}{\sigma_j^2}$$

$\sigma_j^2 \rightarrow$  error on pseudo-data

- Effect of the pseudo-data on the nuclear gluon distribution and its uncertainty:

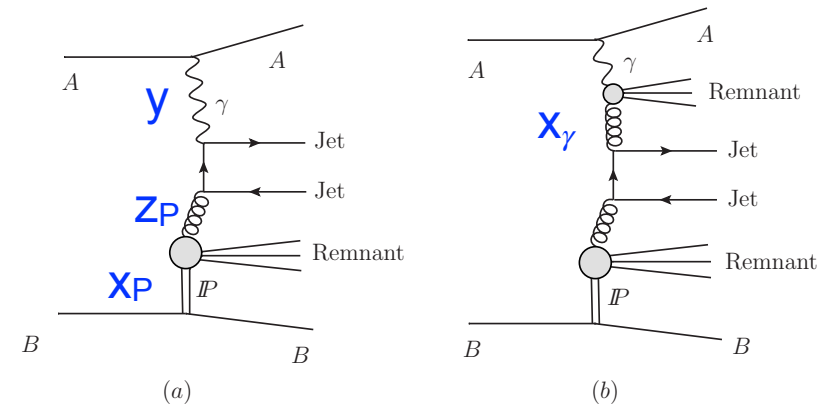


Guzey, Klasen, EPJ C 79 (2019) 5, 396

- Assuming 5% error  $\rightarrow$  reduction of uncertainties by factor 2 at  $x_A=0.001$ .

# Diffractive dijet photoproduction in Pb-Pb UPCs@LHC

- In framework of collinear factorization & NLO pQCD, it probes novel **nuclear diffractive PDFs**.
- Contribution of right-moving photon source:



$$d\sigma(AA \rightarrow A + 2\text{jets} + X + A)^{(+)} =$$

$$\sum_{a,b} \int dt \int dx_P \int dz_P \int dy \int dx_\gamma f_{\gamma/A}(y) f_{a/\gamma}(x_\gamma, \mu^2) f_{b/A}^{D(4)}(x_P, z_P, t, \mu^2) d\hat{\sigma}_{ab \rightarrow \text{jets}}$$

- Nuclear diffractive PDF  $f_{b/A}^{D(4)}$  = conditional probability to find parton **b** with mom. fraction **z<sub>P</sub>** with respect to the diffractive exchange (**pomeron**) carrying mom. fraction **x<sub>P</sub>** provided the nucleus remained intact with mom. transfer **t**.
- $f_{b/A}^{D(4)}$  is subject to nuclear modifications. The leading twist nuclear shadowing model predicts **strong nuclear suppression** (shadowing), Frankfurt, Guzey, Strikman, Phys. Rept. 512 (2012) 255

$$f_{b/A}^{D(4)}(x_P, z_P, t, \mu^2) = R_b(x_P, z_P, \mu^2) A^2 F_A^2(t) f_{b/p}^{D(4)}(x_P, z_P, t = 0, \mu^2)$$

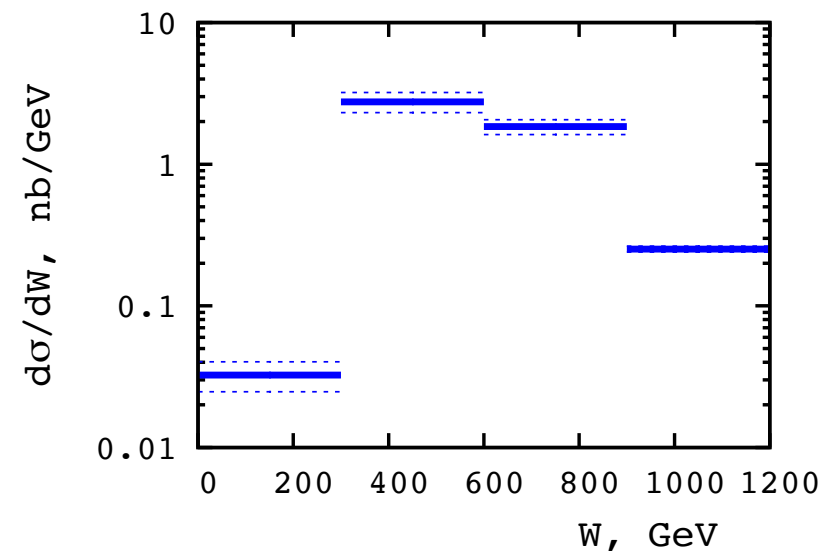
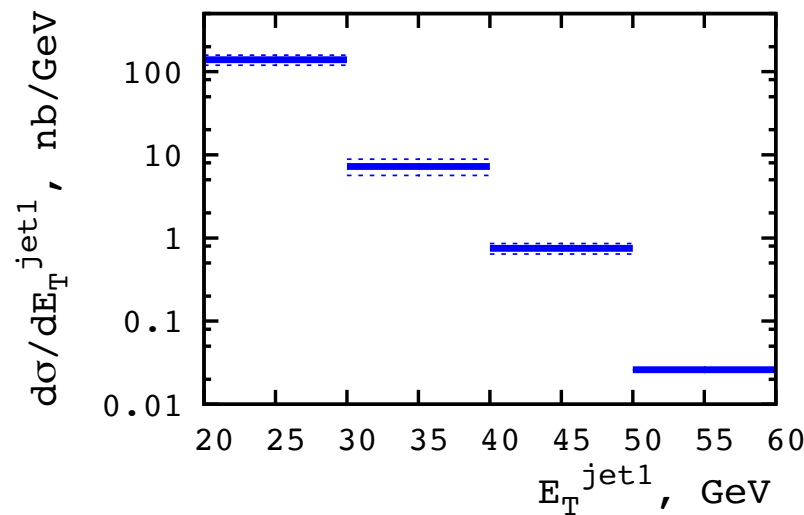
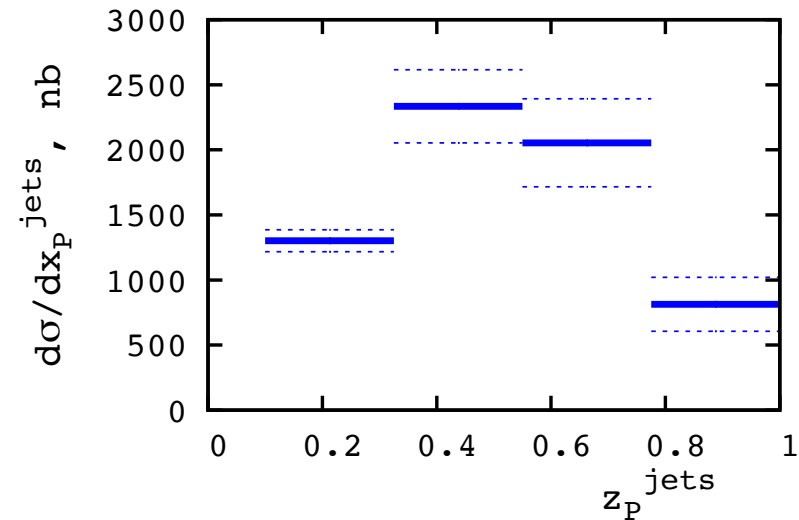
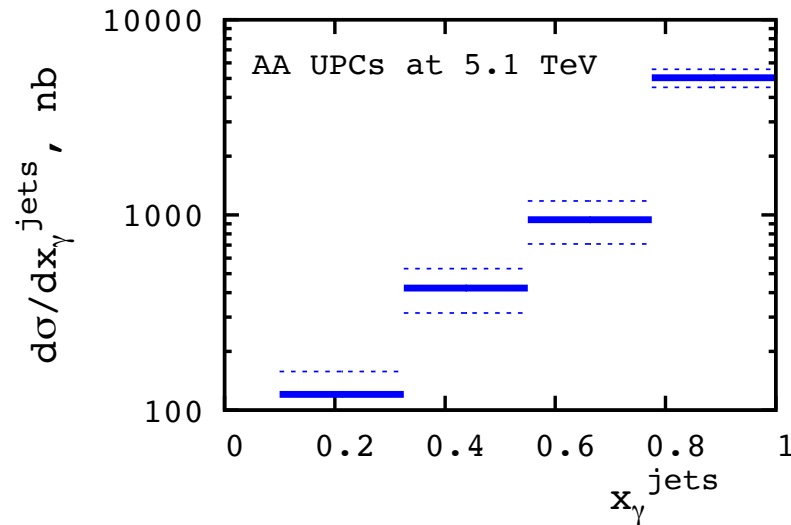
$$\approx 0.15 A^2 F_A^2(t) f_{b/p}^{D(4)}(x_P, z_P, t = 0, \mu^2)$$



# Diffractive dijet photoproduction in Pb-Pb UPCs@LHC (2)

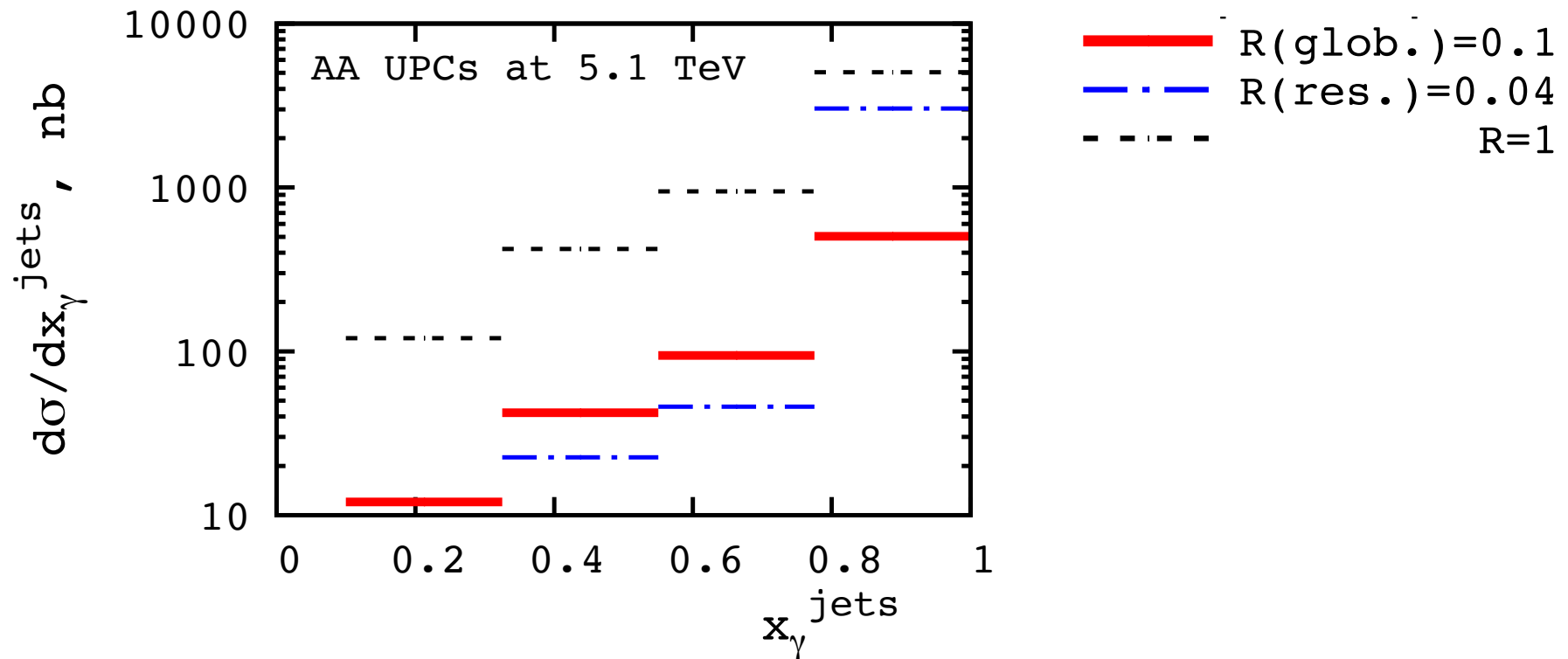
- NLO pQCD predictions as a function of momentum fractions  $x_\gamma$  and  $z_P$ , leading jet transverse momentum  $E_T^{\text{jet1}}$ , and photon-nucleus energy  $W$ .

Guzey, Klasen, JHEP 04 (2016) 158



# Diffractive dijet photoproduction in Pb-Pb UPCs@LHC (3)

- Analyses of diffractive dijet photoproduction in ep scattering@HERA  $\rightarrow$  QCD factorization is broken, i.e., NLO calculations overestimate data by factor of  $\sim 2$ ,  
[Klasen, Kramer, EPJ C 38 \(2004\) 93](#); [PRL 93 \(2004\) 232002](#); [JPhys.G 31 \(2005\) 1391](#); [MPLA 23 \(2008\) 1885](#); [EPJ C 70 \(2010\) 91](#); [PLB 508 \(2001\) 259](#); [EPJ C 49 \(2007\) 957](#); [PRD 80 \(2009\) 074006](#); [Guzey, Klasen, EPJ C 76 \(2016\) 8, 467](#)
- The pattern of unknown: either the global suppression factor  $R(\text{glob.})=0.5$  or the resolved-only suppression  $R(\text{res.})=0.34$ , [Kaidalov, Khoze, Martin, Ryskin, EPJ C 66 \(2010\) 373](#)
- One can differentiate between these two scenarios by studying  $x_\gamma$  distribution in AA UPCs, [Guzey, Klasen, JHEP 04 \(2016\) 158](#)



# Summary

- The gluon nuclear shadowing at small  $x$  is poorly constrained by available fixed-target nuclear DIS, dA RHIC, and pA LHC data.
- The leading twist model makes predictions for nuclear shadowing in various nPDFs (usual, diffractive,  $b$ -dependent), which can be best tested at an EIC and LHeC.
- Before EIC and LHeC, new constraints on small- $x$  nPDFs can be obtained from Pb-Pb UPCs at the LHC: exclusive photoproduction of  $J/\psi$ , inclusive and diffractive dijet photoproduction.
- Coherent photoproduction of  $J/\psi$  in Pb-Pb UPCs at LHC gives direct evidence of large gluon nuclear shadowing  $R_g(x=6 \times 10^{-4}-10^{-3}, \mu^2 \approx 3 \text{ GeV}^2) \approx 0.6$  and can help to significantly reduce uncertainties in wide region of  $x$ .
- Heavy quarkonium photoproduction in UPCs gives access to transverse imaging of gluon distribution at small  $x$ .
- Inclusive dijet photoproduction in Pb-Pb UPCs@LHC probes nPDFs down to  $x_A \sim 0.005$  and can reduce the current small- $x_A$  uncertainties of the gluon distribution by factor of  $\sim 2$ .
- Diffractive dijet photoproduction in Pb-Pb UPCs@LHC accesses novel nuclear diffractive PDFs and may shed new light on mechanism of QCD factorization breaking in this process.