



# Unit 5

## Some elements of superconductivity

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Lectures based on USPAS courses in 2009-2015 with P. Ferracin, H. Felice, S. Prestemon  
and on University of Milano Bicocca courses in 2016-2018

This lecture based also on M. Sorbi course at Milano University

Thanks to L. Bottura and G. de Rijk for proposing and supporting this initiative

All the units will use International System (meter, kilo, second, ampere) unless specified



# PLAN OF THE LECTURES

- Part 1 – From beam dynamics to magnet specifications
  - Unit 1: The energy and specifications for cell dipole and quadrupole
  - Unit 2: The luminosity and specifications for insertion region magnets
  - Appendix A: Beam optics from stable motion to chaos
- Part 2 – Principles of electromagnets
  - Unit 3: Multipolar expansion of magnetic field
  - Unit 4: How to generate pure multipole field
- Part 3 – Basics of superconductivity
  - Unit 5: Some elements of superconductivity
  - Appendix B: About Maxwell equations, and scales in atomic physics
  - Unit 6: Instabilities and margins
- Part 4 – Magnet design
  - Cable and insulation – magnetic design – grading and iron – forces – structures – protection

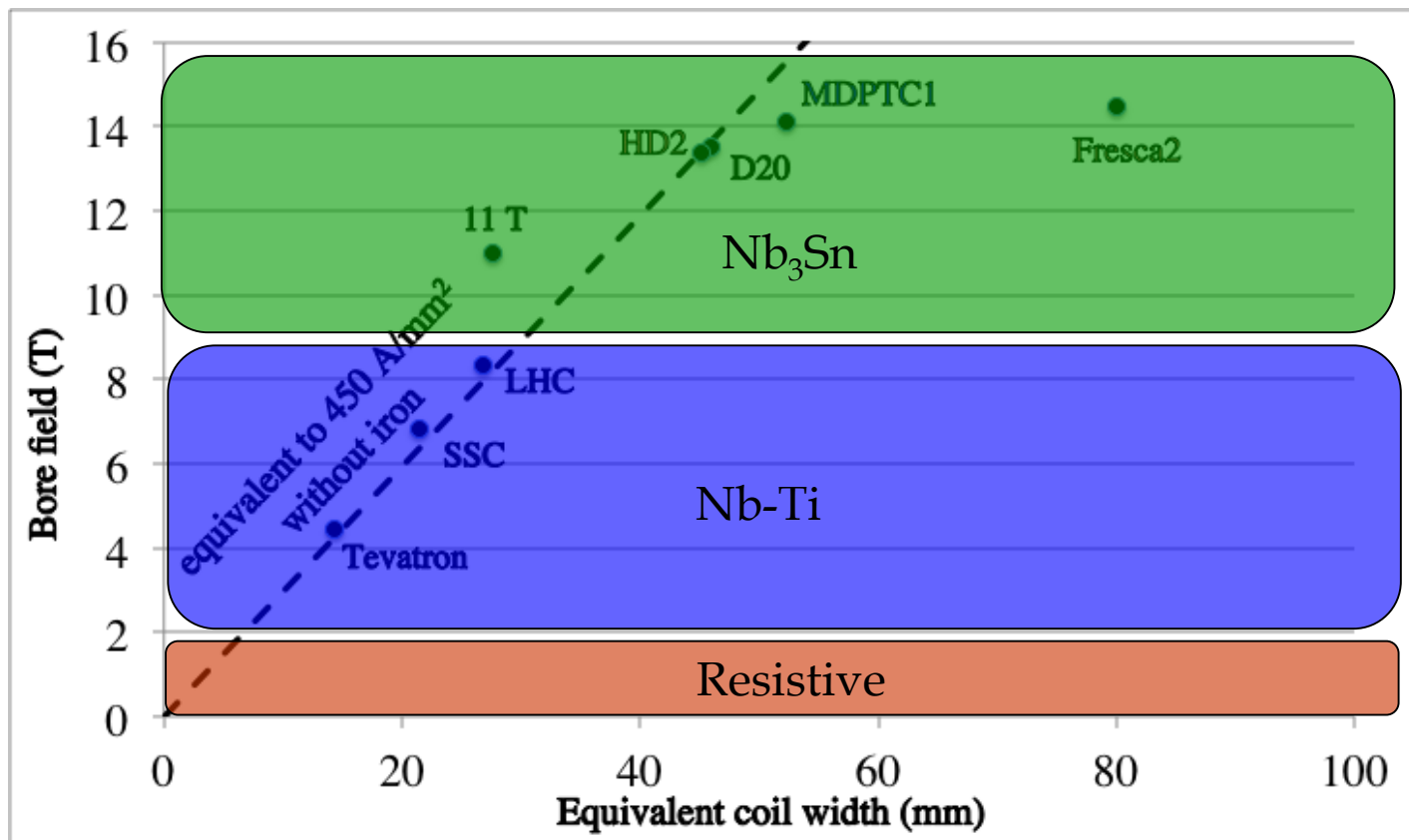
- Magnetic field is proportional to current density and coil width

$$B_1 = g_c w j$$

- Resistive magnets can operate with cable up to 1 A/mm<sup>2</sup>, and having special cooling up to 5 A/mm<sup>2</sup>
- Superconducting magnets can go up to 100 times more !

# PROLOGUE

- Most of superconducting accelerator magnets lay on a line corresponding to overall current density of  $450 \text{ A/mm}^2$ , if we take a reasonable  $\gamma_c = 6.6 \times 10^{-4} \text{ (T mm/A)}$ 
  - Note: the current density of the actual magnet is a bit different, since it includes iron contribution - this is a first order snapshot that will be refined





# PROLOGUE

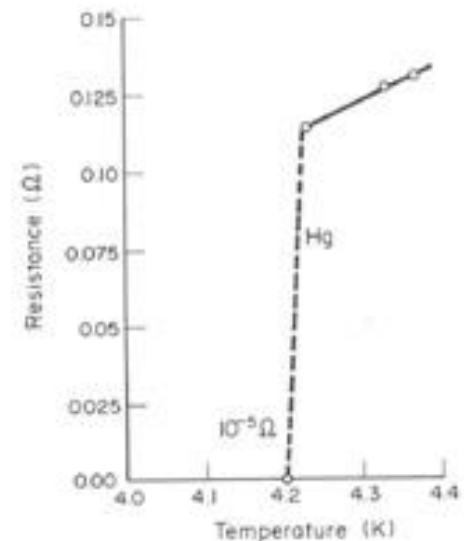
- Most of superconducting accelerator magnets lay on a line corresponding to overall current density of  $450 \text{ A/mm}^2$ , if we take a reasonable  $\gamma_c = 6.6 \times 10^{-4} \text{ T mm/A}$ 
  - What are the limitations to high current densities?
- First: **superconducting state is destroyed by a combination of field and current density**
  - This will be the topic of this Unit 5
- Second: superconducting state is limited by **instabilities and operation requires margin**
  - This will be the topic of Unit 6, that also introduces the reasons for having such a complicated cables instead of having a bulk conductor
- Third: the electromagnetic forces **push on the cables and the limit of the material can be reached**
  - This will be covered in the Unit 10 about forces
- Fourth: the energy has to be evacuated during a quench, and there is a **limit on coil energy density**
  - This will be covered in the Unit 12 about protection

- Elements of phenomenology and theory of superconductors required to “understand” the existence of a critical surface
  - Meissner effect and London theory
    - Needed to show that  $B$  limits current density
  - Ginzburg-Landau theory and coherence length
    - Coherence length needed to define type I and type II
  - BCS theory, Cooper pairs, energy gap and fluxoid quantization
  - Abrikosov and Type II superconductors
- A list of superconductors and their critical surface properties
  - Nb-Ti
  - Nb<sub>3</sub>Sn
  - HTS
  - MgB<sub>2</sub>

- In 1911, Kamerlingh Onnes discovers the **superconductivity of mercury**
  - His team was investigating properties (resistivity, specific heat) of materials at low temperature
  - This discovery has been made possible thanks to his efforts to **liquefying Helium**, a major technological advancement needed for the discovery
  - Nobel prize 1913 “**for his investigations on the properties of matter at low temperatures which led, inter alia, to the production of liquid helium** »
- Phenomenology
  - Below 4.2 K, mercury has a non measurable electric resistance – not very small, but **zero** !
  - 4.2 K is called the **critical temperature**: below it the material is superconductor



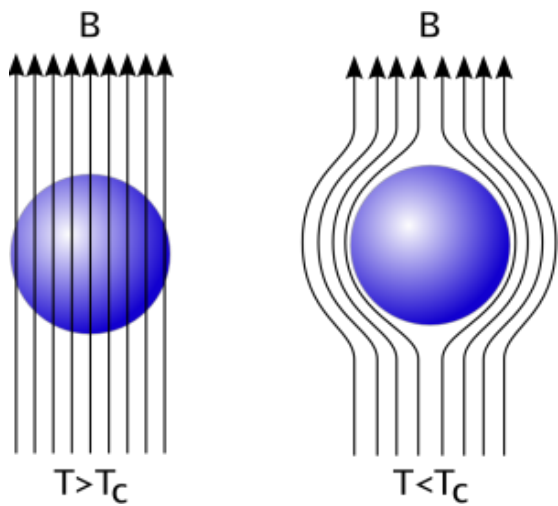
Heike Kamerlingh Onnes  
(18 July 1853 – 4 February 1928)  
Nobel prize 1913



- In 1933, Meissner and Ochsenfeld discover perfect diamagnetism of superconductors (**Meissner effect**)
- Phenomenology
  - The magnetic field inside the superconductor is zero
  - A conductor with zero resistance, according to Maxwell Equations, has  $dB/dt=0$
  - A superconductor is something more: it has  $B=0$



Walther Meissner, German  
(16 December 1882 – 15 November 1974)



Rober Ochsenfeld, German  
(18 May 1901 – 5 December 1993)



- Meissner effect implies that there superconductivity cannot survive above a given magnetic field  $H_c$ , called **critical field**
- Heuristic proof
  - This can be deduced through thermodynamics
    - Gibbs free energy in case of magnetic field is

$$G = U + PV - TS - \mu_0 VMH$$

- To have a null field inside, magnetization must be equal and opposite to the magnetic field

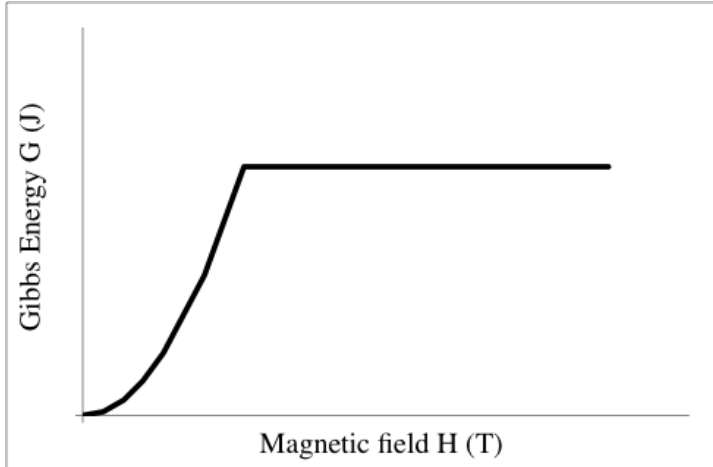
$$M = -H$$

- And therefore

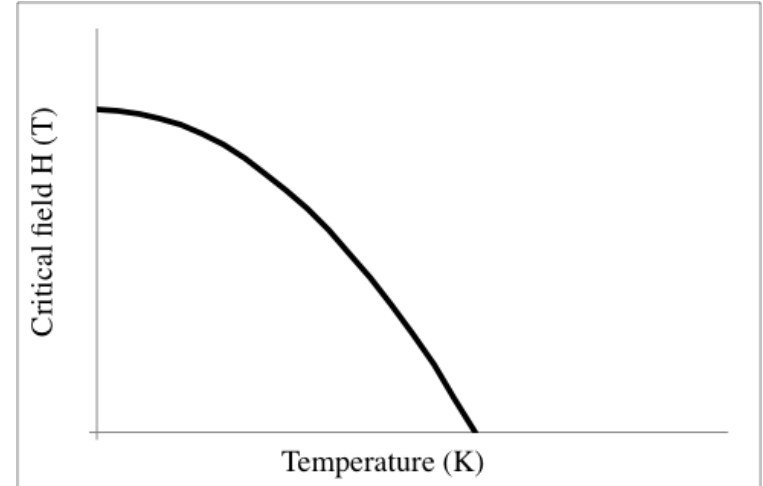
$$G = U + PV - TS + \mu_0 VH^2$$

- Since in the normal state the energy is not depending on the field, there is a value of the field above which it is energetically more convenient to be not superconductive

# CRITICAL FIELD



Gibbs energy versus magnetic field



Critical field versus temperature

- The condition for the critical field is

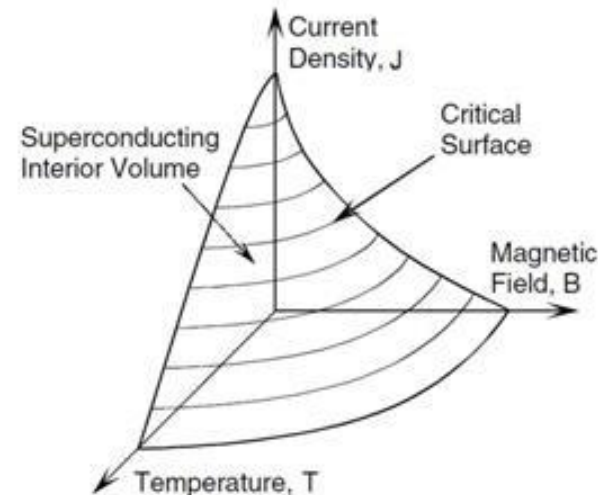
$$g^s(T, P, H_c) = g^n(T, P)$$

- Experimental data show that one has a **dependence of the critical field on the temperature**

$$H_c(T) = H_{c0} \left[ 1 - \left( \frac{T}{T_{c0}} \right)^\alpha \right]$$

- Phenomenology: Superconductivity **cannot survive at large values of current density**
  - Superconductivity exists in a three dimensional space given by magnetic field, current density and temperature called critical surface

$$j < j_c(T, H)$$

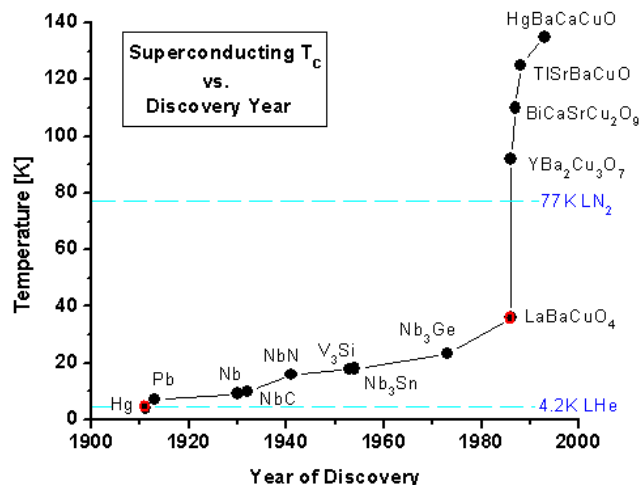


- Heuristic proof showing that also  $j$ :
  - A wire of radius  $a$  carrying a current  $I$  will have a magnetic field
  - So a limit in magnetic field also implies a limit in current density

$$H = \frac{\mu_0 I}{2\pi a}$$

$$H = \frac{\mu_0 j a}{2}$$

- 1986: Bednorz and Muller discover **superconductivity at high temperatures** in layered materials having copper oxide planes
  - Nobel prize in 1986 (a fast one ...)
  - The discovery opened the way towards a new class of materials
- Lot of emphasis is given to superconductivity at higher and higher temperatures
  - For applications very important factors are also (i) **the ability of carrying current density ( $>100 \text{ A/mm}^2$ )** (ii) **the cost** (iii) **the ability of surviving large ( $>2 \text{ T}$ ) magnetic field**
  - This last one required only for building magnets



George Bednorz, German  
(16 May 1950)



Karl Alexander Muller, Swiss  
(27 April 1927)

# PENETRATION LENGTH

- How are **flowing the currents** that produce the magnetization opposing to the external magnetic field?
  - Maxwell equations impose some constraints
  - Let us consider a supercurrent  $J_s$

$$J_s = n_s e v_s$$

- Taking the time derivative and using the Lorentz equation one has

$$\dot{J}_s = \frac{d}{dt} J_s = n_s e \frac{d}{dt} v_s = \frac{n_s e^2}{m} E$$

- Using Maxwell equations

$$\text{rot } B = \mu_0 J_s$$

$$\dot{B} = \text{rot } E$$

$$\text{rot rot } \dot{B} = \mu_0 \text{rot } \dot{J}_s = \frac{\mu_0 n_s e^2}{m} \text{rot } E = \frac{\mu_0 n_s e^2}{m} \dot{B}$$

$$\text{rot rot } \dot{B} = \text{grad div } \dot{B} - \nabla^2 \dot{B} = -\nabla^2 \dot{B}$$

$$\nabla^2 \dot{B} + \frac{\mu_0 n_s e^2}{m} \dot{B} = 0$$

- So we obtain

$$\nabla^2 \dot{B} + \frac{\mu_0 n_s e^2}{m} \dot{B} = 0$$

- In 1935 the London brothers propose that the previous equations for a superconductor must be valid for  $B$ , not only for  $\text{dB}/\text{dt}$

$$\nabla^2 B + \frac{1}{\lambda^2} B = 0$$

- The quantity  $\lambda$  has the dimension of a length

$$\lambda = \sqrt{\frac{m}{\mu_0 n_s e^2}}$$



Fritz and Heinz London, Germans  
 (7 March 1900 - 30 March 1954)  
 (7 November 1907 - 3 August 1970)

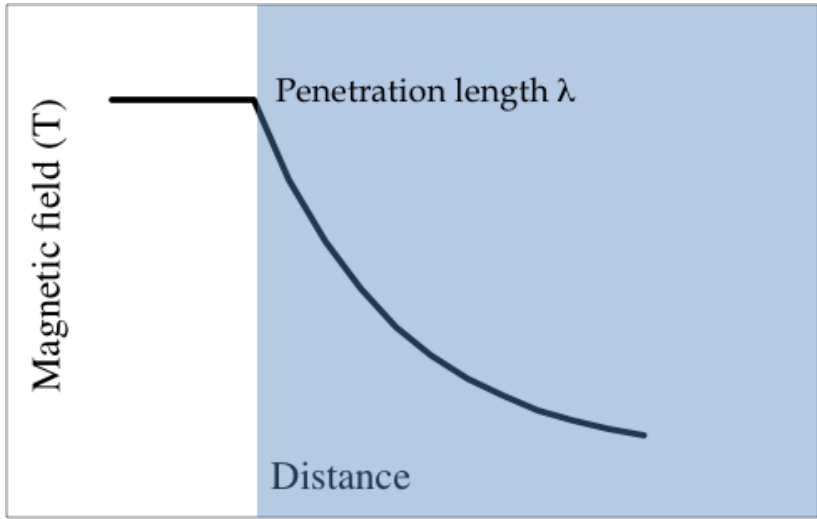
- The London equations

$$\nabla^2 B + \frac{1}{\lambda^2} B = 0$$

- Have a simple exponential solution

$$B(x) = B(0) \exp\left(-\frac{x}{\lambda}\right)$$

- So the magnetic field penetrates in the superconducting material for a distance of the order of  $\lambda$  : that's why it is called penetration length



Penetration of the magnetic field in a superconductor (shaded area)

# LONDON THEORY

- One can rewrite using the classical electron radius see Appendix B) to better show that it is a length:  $n_s$  is a density

$$\lambda = \sqrt{\frac{m}{\mu_0 n_s e^2}} \quad \lambda^2 n_s = \frac{m}{\mu_0 e^2} = \frac{1}{4\pi} \frac{4\pi\epsilon_0 m c^2}{e^2} = \frac{1}{4\pi r_e} \quad \lambda^2 r_e = \frac{1}{4\pi n_s}$$

- The penetration length is related to the density of superelectrons
- Typically, one has densities of the order of  $10^{28}$ - $10^{29}$  electrons/m<sup>3</sup>, and lengths of 10-100 nm
  - Field penetrates on a very thin layer!

	$\lambda$ (nm)	$n_s$ (m <sup>-3</sup> )
Sn	34	2.5E+28
Al	16	1.1E+29
Pb	37	2.1E+28
Cd	110	2.3E+27
Nb	39	1.9E+28

Penetration length and superelectron density in some superconductors



- Elements of phenomenology and theory of superconductors
  - Meissner effect and London theory
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- In 1950 Ginzburg and Landau propose a macroscopic quantum theory based on second order phase transitions

$$\frac{(-i\hbar\nabla - 2eA)}{2m}\Psi + (\alpha + \beta|\Psi|^2)\Psi = 0$$

- Definition of coherence length  $\xi$ , related to the phenomenological parameter  $\alpha$  in the equation



Vitaly Ginzburg, Russian  
21 September or 4 October 1916  
8 November 2009



Lev Landau, Russian  
22 January 1908 - 1 April 1968

$$\xi = \sqrt{\frac{\hbar^2}{2m\alpha}}$$

- In 1957 Bardeen, Cooper and Schrieffer publish a microscopic theory (BCS) based on quantum mechanics – Nobel prize in 1972



John Bardeen, American  
23 May 1908 – 30 Janvier 1991



Leon Cooper, American  
28 February 1930



John Robert Schrieffer, American  
31 May 1931

- A key element of the theory is the discovery that **superconductors absorb electromagnetic radiation in the 100 GHz range**
  - A photon of this frequency carries an energy of  $6.6 \times 10^{-34} \times 10^{11} \text{ J} = 6.6 \times 10^{-23} \text{ J} = 6.6 \times 10^{-23} / 1.6 \times 10^{-19} \text{ eV} = 10^{-4} \text{ eV}$
  - This corresponds to an **energy gap** as in semiconductors
- Another element supporting the existence of the energy gap is the specific heat measurements, showing an exponential term

$$C_n = aT^3 + b \exp(-E_g / kT)$$

- The energy gap is created by **couples of electrons** interacting with the **vibrations of the atomic lattice** (phonons)
  - This gives a bound energy (negative) between electron couples of the order of the energy gap – so part of the electrons go for this lower energy state (Bose condensate)
    - This is supported by the evidence that different isotopes of the same element have different superconducting properties (different isotopes, different phonons)



# BCS THEORY: ENERGY GAP AND CRITICAL TEMPERATURE

- This also justifies why **good conductors cannot be superconductors**
  - They present little interaction between lattice and electrons, that is usually the source of resistivity but in the superconducting case it is the source of the bound energy
- There is a relation between the **energy gap and the critical temperature**

- Close to  $T=0$  one has

$$E_g(T_c \approx 0) = 3.5kT_c$$

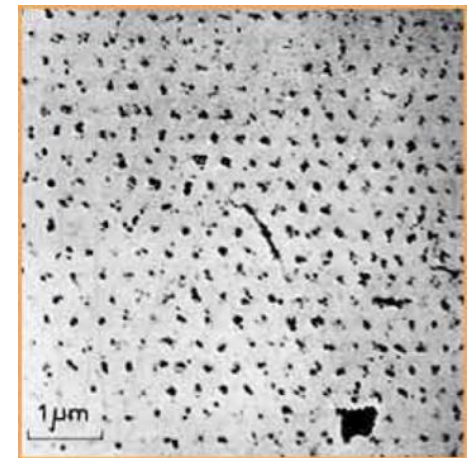
- The coherence length  $\xi$  of Ginzburg Landau theory is the distance of the electrons in the Cooper pairs

Element	Atomic number	$E_g$ (meV)	$E_g$ (J)	$T_c$ (K)	$E_g/kT_c$ (adim)
Al	13	0.34	5.4E-23	1.2	3.3
V	23	0.16	2.6E-23	0.5	3.4
Zn	30	0.24	3.8E-23	0.9	3.2
Ga	31	0.33	5.3E-23	1.1	3.5
Nb	41	3.05	4.9E-22	9.3	3.8
Mo	42	0.27	4.3E-23	0.9	3.4
Cd	48	0.15	2.4E-23	0.5	3.2
In	49	1.05	1.7E-22	3.4	3.6
Sn	50	1.15	1.8E-22	3.8	3.5
La	57	1.90	3.0E-22	6.0	3.7
Ta	73	1.40	2.2E-22	4.5	3.6
Hg	80	1.65	2.6E-22	4.2	4.6
Ti	81	0.74	1.2E-22	2.4	3.6
Pb	82	2.73	4.4E-22	7.2	4.4

- BCS theory is based on quantum mechanics
  - One of the outcomes is that there is a **quantization rule on the magnetic flux**
  - To be more precise, what is quantized is the fluxoid, that is the flux plus the integral of  $J$  along the current

$$\left[ \frac{m}{n_s e^2} \int \bar{J} d\bar{l} + \int B ds \right] = n \frac{h}{2e}$$

- The fluxoid  $h/2e = 2.07 \times 10^{-15}$  weber can be experimentally measured and is one of the proofs of the Cooper pairs



First image of flux penetration,  
 U. Essmann and H. Trauble  
 Max-Planck Institute, Stuttgart  
 Physics Letters 24A, 526 (1967)

- To give the algebra behind this quantity  $h/e$  this we start from angular momentum quantization

$$L = \frac{1}{2\pi} \oint p dr = n\hbar \qquad \oint p dr = nh$$

- In electromagnetism, we replace momentum with

$$\bar{p} \rightarrow \bar{p} + e\bar{A}$$

- Since we have pairs we have

$$\bar{p} \rightarrow 2m\bar{v} + 2e\bar{A}$$

- Substituting we have

$$2 \oint \bar{p} d\bar{r} + 2e \oint \bar{A} d\bar{r} = nh$$

$$2\oint \bar{p} d\bar{r} + 2e\oint \bar{A} d\bar{r} = nh$$

- Now the current density is given by  $J = n_s e v$

- And therefore  $2\frac{m}{n_s e}\oint \bar{J} d\bar{r} + 2e\oint \bar{B} ds = nh$

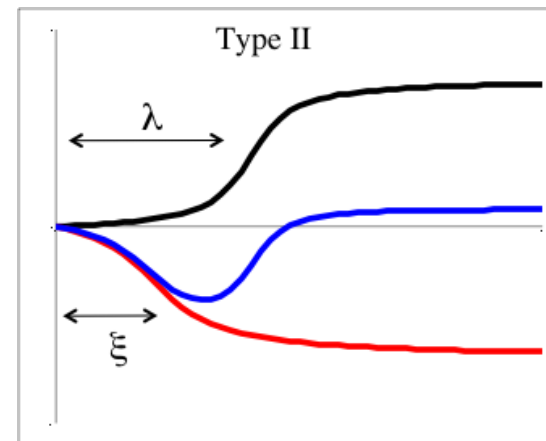
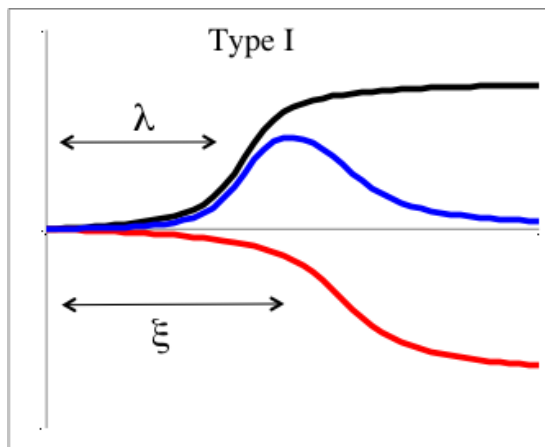
- So one has  $\left[ \frac{m}{n_s e^2} \int \bar{J} d\bar{l} + \int B ds \right] = n \frac{h}{2e}$

- And  $h/2e$  is the smallest fluxoid



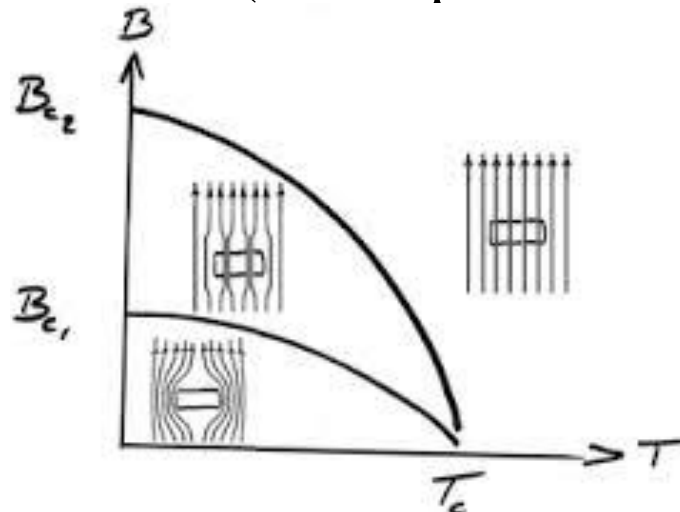
# TYPE I AND TYPE II SUPERCONDUCTORS

- If the coherence length is smaller than the penetration length, one has a **minimum of the Gibbs energy** close to the superconductor surface, **inside the superconductor**
  - Energetically is more favorable to have in the superconductor a **sequence of normal and superconducting zones**, and the magnetic flux penetrates the superconductor
  - This is a type II superconductor, that can tolerate magnetic field and therefore can be used to build magnets
  - These superconductors still exhibit Type I for lower fields



# TYPE I AND TYPE II SUPERCONDUCTORS

- Type I superconductors:  $\xi/\sqrt{2} > \lambda$ 
  - No field penetration – cannot withstand magnetic field
- Type II superconductors:  $\xi/\sqrt{2} < \lambda$ 
  - Field penetration in quantized fluxoids – used for building magnets
  - Without type II no superconducting magnets – this also explains why it took 50 years from the discovery of superconductivity to first sc magnet
- Theory of type II superconductors developed by Abrikosov in the 50s (Nobel prize in 2003)



Alexei Abrikosov, Russian  
(25 June 1928)

- Type II superconductors can improve their properties through defects (doping)
  - Key element is the pinning force that prevents the movement of the fluxoids
  - Fluxoid movement means variation of magnetic field, giving flux variation, voltage and dissipation
  - Pinning force is zero at  $B=0$  and at  $B=B_{c2}^*$ , therefore it is usually fit through

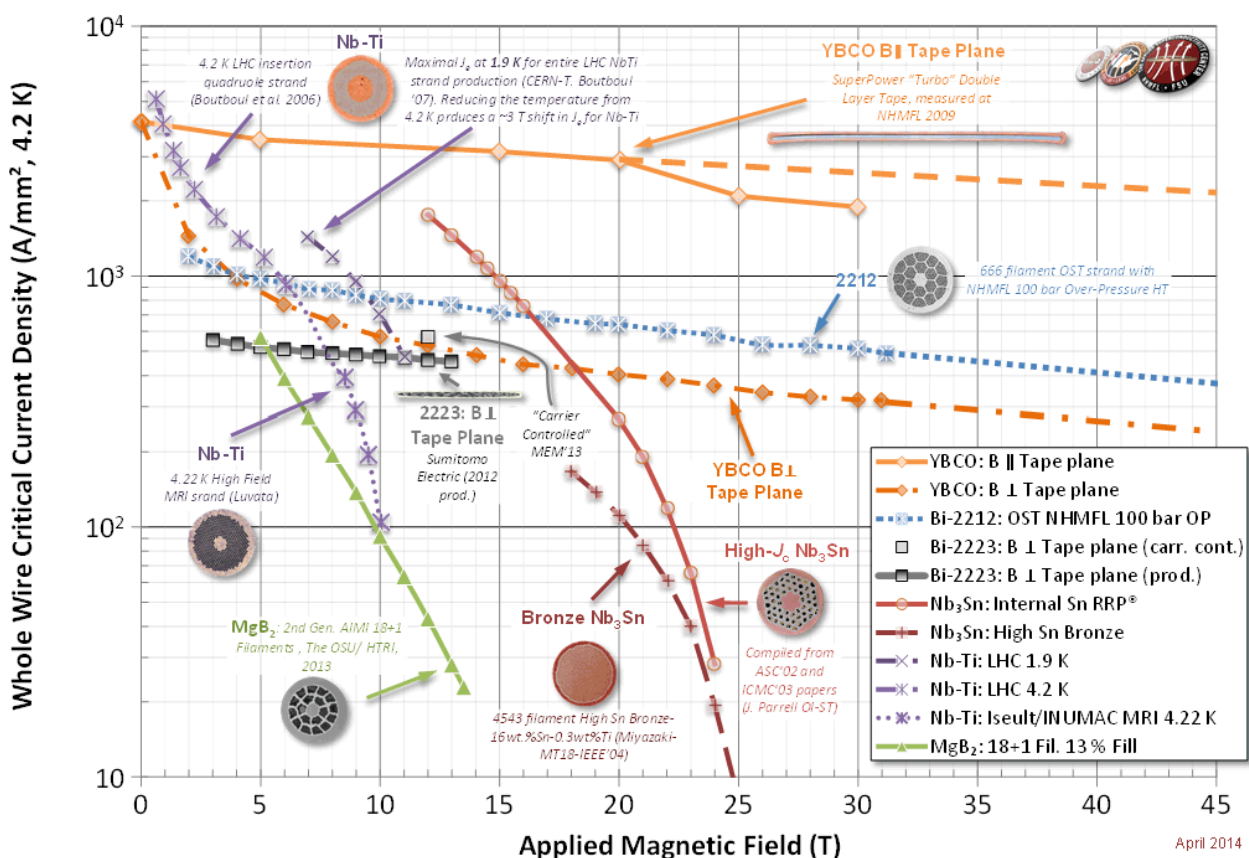
$$J_C(B, T)B \propto \left( \frac{B}{B_{c2}^*(T)} \right)^\alpha \left( 1 - \frac{B}{B_{c2}^*(T)} \right)^\beta$$

- Note for  $\alpha=\beta=1$  one has a parabola  $x(1-x)$ , crossing zero at 0 and 1, i.e.  $B=0$  and at  $B=B_{c2}^*$ ,

- Elements of phenomenology and theory of superconductors
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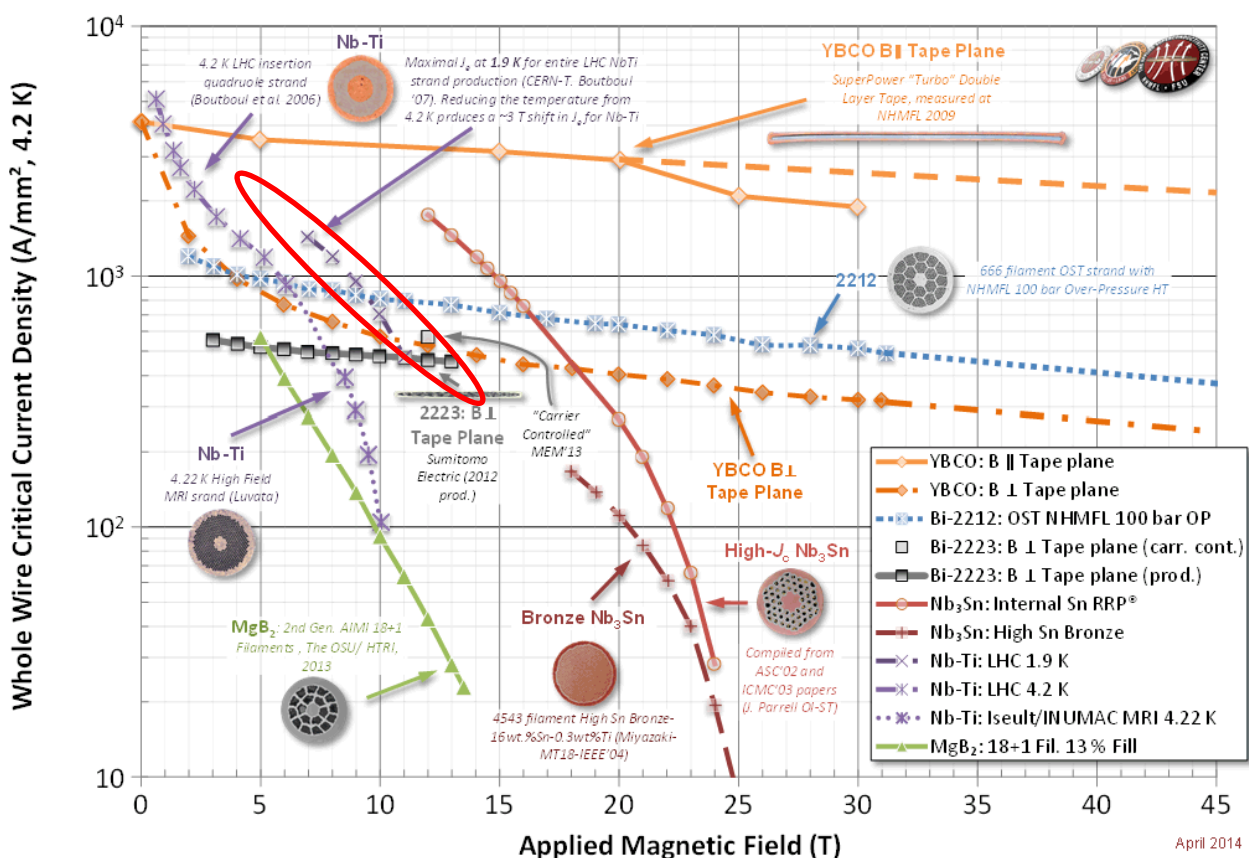
# SUPERCONDUCTIVITY

- Critical current density vs. field for different materials (semilog scale) at 4.2 K
  - To remember: more critical current density, less field
  - If you see these plots, check scale in current density (can be log or not, giving different shapes)



Critical current density in the superconductor versus field for different materials at 4.2 K [P. J. Lee, et al] [https://nationalmaglab.org/images/magnet\\_development/asc/plots/JeChart041614-1022x741-pal.png](https://nationalmaglab.org/images/magnet_development/asc/plots/JeChart041614-1022x741-pal.png)

## Nb-Ti



Critical current density in the superconductor versus field for different materials at 4.2 K [P. J. Lee, et al] [https://nationalmaglab.org/images/magnet\\_development/asc/plots/JeChart041614-1022x741-pal.png](https://nationalmaglab.org/images/magnet_development/asc/plots/JeChart041614-1022x741-pal.png)

- Nb-Ti is the **workhorse of superconductivity**

- Discovered in 1962

- **Critical temperature of 10 K, critical field of 15 T**

- Parametrization (L. Bottura, IEEE TAS 10 (2000) 1054)

- $\alpha=0.63$     $\beta=1.0$     $\gamma=2.3$

$$B_{C2}(T) = B_{C20} \left[ 1 - \left( \frac{T}{T_{co}} \right)^{1.7} \right]$$

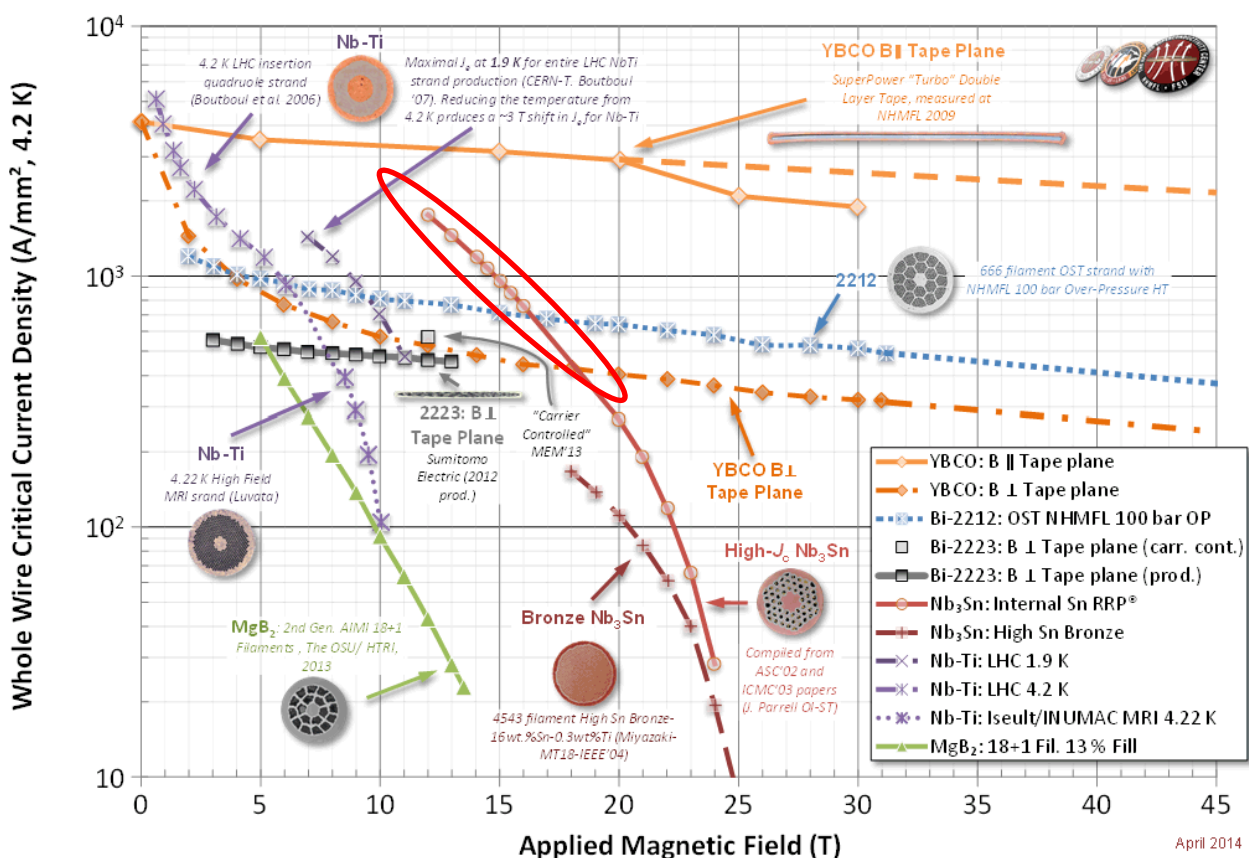
$$J_c(b,t) = \frac{C}{B} \left[ \frac{B}{B_{C2}(T)} \right]^\alpha \left[ 1 - \frac{B}{B_{C2}(T)} \right]^\beta \left[ 1 - \left( \frac{T}{T_{co}} \right)^{1.7} \right]^\gamma$$

- Easy to wind, many applications
- All **superconducting magnets for accelerators** are made with Nb-Ti
- Applications: HEP experimental magnets, MRI / NRM solenoids, ...
- LHC pushed this technology to its limit **with 8 T magnets**
  - Why 8 T and not 15 T ?
  - One cannot operate at 0 K, at 1.9 K critical field is 13 T
  - Critical field decreases with current density, so practical limit is 10 T
  - Some margin must be taken to avoid instabilities, so about 8 T is the limit – we will come on this point



# SUPERCONDUCTIVITY

## Nb<sub>3</sub>Sn



Critical current density in the superconductor versus field for different materials at 4.2 K [P. J. Lee, et al] [https://nationalmaglab.org/images/magnet\\_development/asc/plots/JeChart041614-1022x741-pal.png](https://nationalmaglab.org/images/magnet_development/asc/plots/JeChart041614-1022x741-pal.png)



- Nb<sub>3</sub>Sn allows **doubling the Nb-Ti** performance

- Discovered in 1954, before Nb-Ti

- Critical temperature of **18 K, critical field of 30 T**

$$B_{C2}(T) = B_{C20} \left[ 1 - \left( \frac{T}{T_{co}} \right)^{1.52} \right]$$

- Parametrization

- $\alpha = 0.5 \quad \beta = 2 \quad \gamma = 0.96$

$$J_c(b,t) = \frac{C}{B} \left[ \frac{B}{B_{C2}(T)} \right]^\alpha \left[ 1 - \frac{B}{B_{C2}(T)} \right]^\beta \left[ 1 - \left( \frac{T}{T_{co}} \right)^{1.52} \right]^\gamma \left[ 1 - \left( \frac{T}{T_{co}} \right)^2 \right]^\gamma$$

- This is the Summer parameterization, in the literature you can find many types of semi empirical fit, including dependence on strain

- Must be formed **reacting it at 650 C** for several days with tight tolerances on temperature
- After formation it is very brittle so coil has to be impregnated

- Applications: **model magnets for accelerators, ITER coils, solenoids**

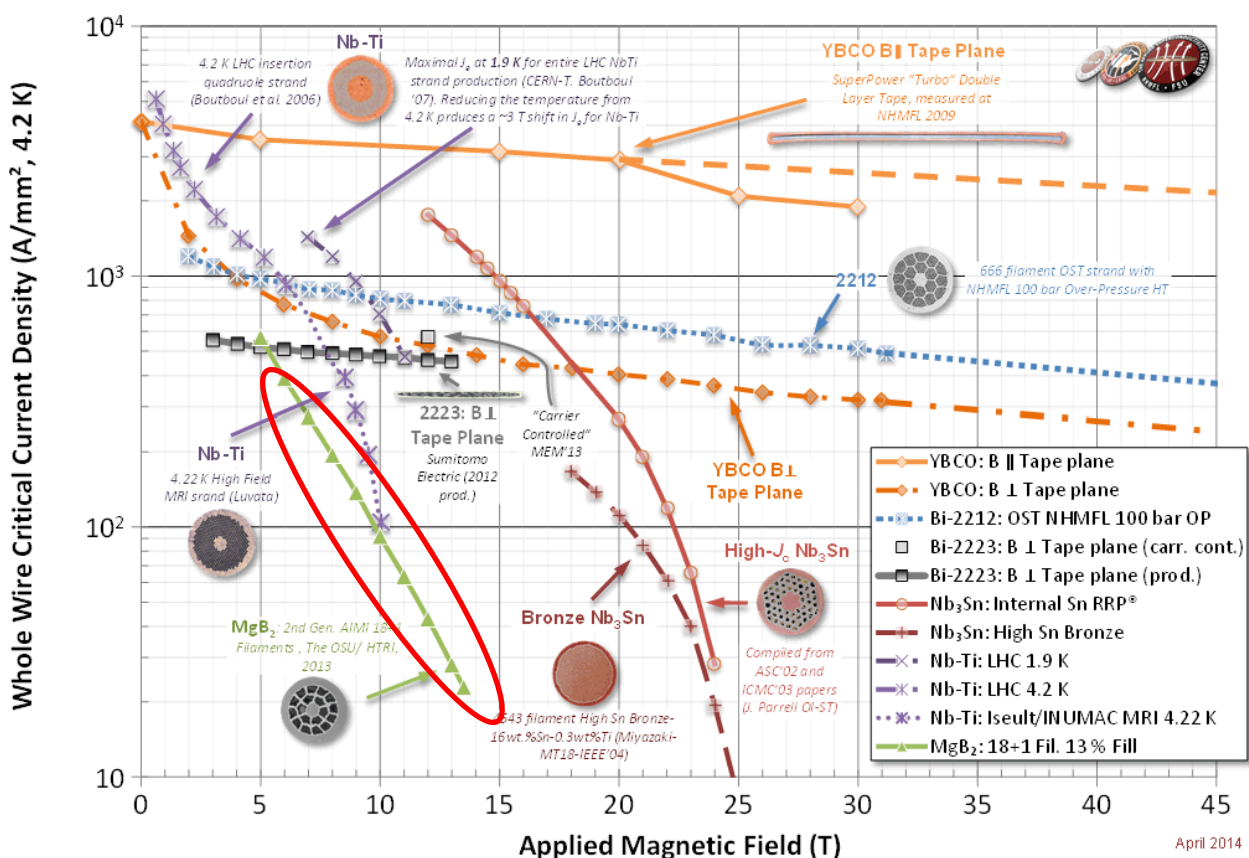
- Project for 11 T dipoles in Nb<sub>3</sub>Sn in High Luminosity LHC

[www.cern.ch/hilumi](http://www.cern.ch/hilumi) and M. Karppinen et al., IEEE Trans Appl Supercond **22** (2012) 4901504

- Project for triplet quadrupoles in Nb<sub>3</sub>Sn in High Luminosity LHC

[www.cern.ch/hilumi](http://www.cern.ch/hilumi) and P. Ferracin et al., IEEE Trans Appl Supercond **24** (2014) 4002306

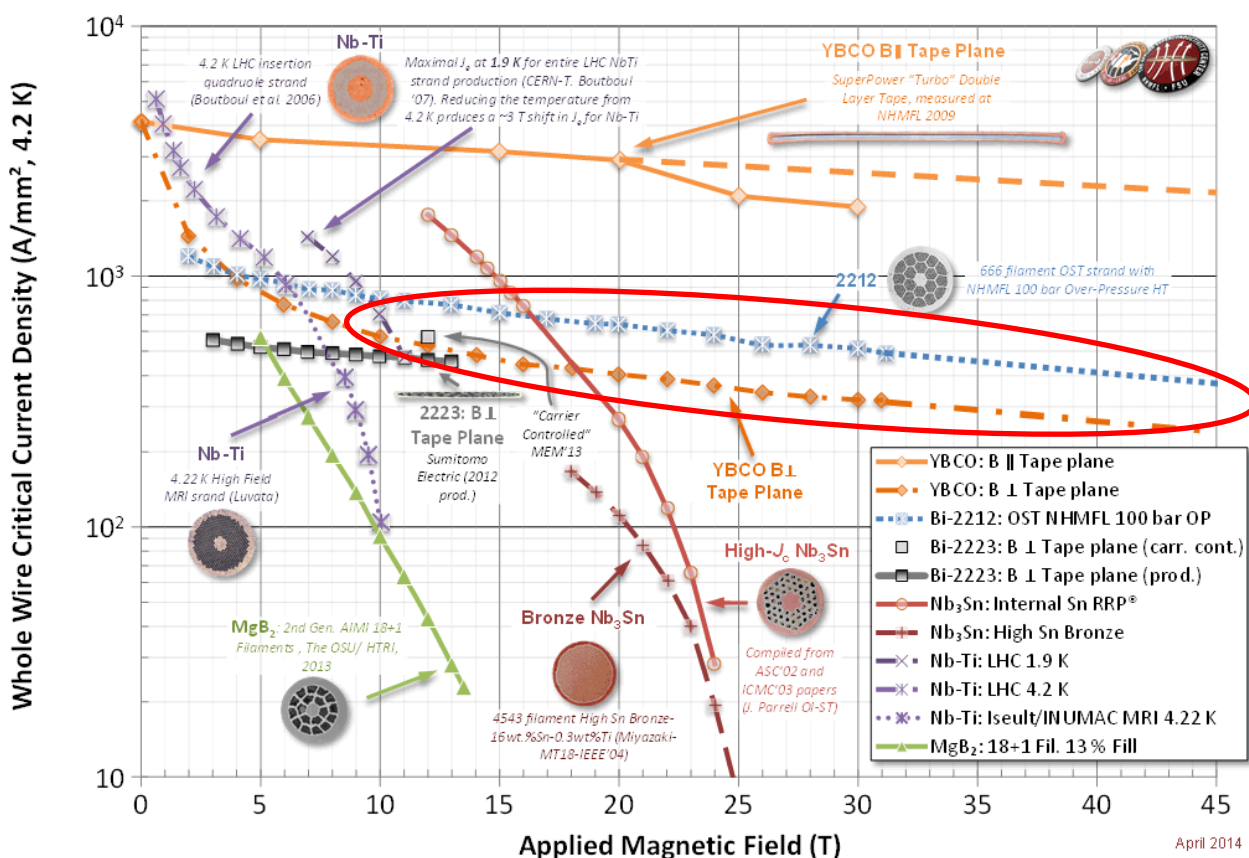
## MgB<sub>2</sub>



Critical current density in the superconductor versus field for different materials at 4.2 K [P. J. Lee, et al] [https://nationalmaglab.org/images/magnet\\_development/asc/plots/JeChart041614-1022x741-pal.png](https://nationalmaglab.org/images/magnet_development/asc/plots/JeChart041614-1022x741-pal.png)

- MgB<sub>2</sub> is a recent discovery
  - Discovered in 2001
  - Critical temperature of 39 K, critical field of less than 10 T
  - Anomaly in the classification: low temperature or high temperature superconductor?
  - Low field but low cost and easy manufacturing
    - Interesting for power lines or low field (<10 T) magnets
    - Project for superconducting link in MgB<sub>2</sub> in High Luminosity LHC [www.cern.ch/hilumi](http://www.cern.ch/hilumi) and A. Ballarino et al., IEEE Trans Appl Supercond **21** (2011) 980-983
    - Technological development of superferric magnet in the HL-LHC framework [www.cern.ch/hilumi](http://www.cern.ch/hilumi) and M. Sorbi, M. Statera, S. Mariotto et al., IEEE Trans Appl Supercond **29** (2019) 4004505

## BSCCO and YBCO



Critical current density in the superconductor versus field for different materials at 4.2 K [P. J. Lee, et al] [https://nationalmaglab.org/images/magnet\\_development/asc/plots/JeChart041614-1022x741-pal.png](https://nationalmaglab.org/images/magnet_development/asc/plots/JeChart041614-1022x741-pal.png)

- BSCCO and YBCO are the two main HTS (high temperature superconductors)
  - Discovered in 1988/86
  - Large critical temperature  $\approx 100$  K
  - Very large critical field above 150 T
  - **Flat critical surface** (little dependence on field)
  - Large progress in reaching good current density
  - Both **expensive** (more than 10 times Nb-Ti ...)
  - Drawbacks:
    - YBCO round wires are not trivial – most application on tapes
    - BSCCO requires a heat treatment at 800 C , and 100 bar of oxygen to increase  $j$
  - NMR/MRI solenoids with HTS tapes have been developed
  - Projects of dipole inserts for accelerator magnets are ongoing in many labs (LBNL, BNL, CERN, CEA, ...)

- We discussed some elements of superconductivity
  - Recent theory, slowly built after the experimental discovery
  - Its fundamental lay in quantum mechanics – Cooper pairs
  - Long time from discovery to first magnets (44 years !)
- Superconductivity is destroyed by: **temperature, current density, magnetic field**
  - Critical surface is  $j(B,T)$  giving values below which the superconducting state exists
  - Fluxoid quantization having the factor 2 is a strong proof of Cooper pair existence
- For making magnets it is fundamental to have penetration of magnetic field
  - Type II superconductors

- Everybody thinks that the Holy Graal is superconductivity at room temperature
  - In reality for certain applications there are two aspects that are much more critical
    - Ability of **carrying current density** (including insulation) of the order of 100-1000 A/mm<sup>2</sup> to have compact devices
    - For making magnets: to survive magnetic field to have high field devices with zero consumption
  - And in all cases: **to be cheap** (and this is not the case)
- Unit 6 will explain how superconductivity has special limits that require a very peculiar shape of conductor
  - A bulk superconductor does not work – this is also an important element of its cost