

# How to Grand Unify?

- Exploit logarithmic evolution of gauge couplings:

$$\frac{dg_a^2}{dt} = b_a \frac{g_a^4}{16\pi^2} + \dots \quad \rightarrow \quad \frac{m_{GUT}}{m_W} = \exp\left(\mathcal{O}\left(\frac{1}{\alpha_{em}}\right)\right)$$

- Combination measurable at low energies:

$$\sin^2 \theta_W(m_Z) = \frac{g'^2}{g_2^2 + g'^2} = \frac{3}{5} \frac{g_1^2(m_Z)}{g_2^2(m_Z) + \frac{3}{5}g_1^2(m_Z)} = \frac{1}{1+8x} \left[ 3x + \frac{\alpha_{em}(m_Z)}{\alpha_3(m_Z)} \right] x \equiv \frac{1}{5} \left( \frac{b_2 - b_3}{b_1 - b_2} \right)$$

- Values in SM and MSSM:

$$\begin{aligned} \frac{4}{3}N_G - 11 &\leftarrow b_3 \rightarrow 2N_G - 9 = -3 \\ \frac{1}{6}N_H + \frac{4}{3}N_G - \frac{22}{3} &\leftarrow b_2 \rightarrow \frac{1}{2}N_H + 2N_G - 6 = +1 \\ \frac{1}{10}N_H + \frac{4}{3}N_G &\leftarrow b_1 \rightarrow \frac{3}{10}N_H + 2N_G = \frac{33}{5} \\ \frac{23}{218} = 0.1055 &\leftarrow x \rightarrow \frac{1}{7} \end{aligned}$$

- Experiment:

$$\alpha_{em} = \frac{1}{128}; \alpha_3(m_Z) = 0.119 \pm 0.003, \sin^2 \theta_W(m_Z) = 0.2315 \quad \rightarrow \quad x = \frac{1}{6.92 \pm 0.07}$$

# MSSM Calculation

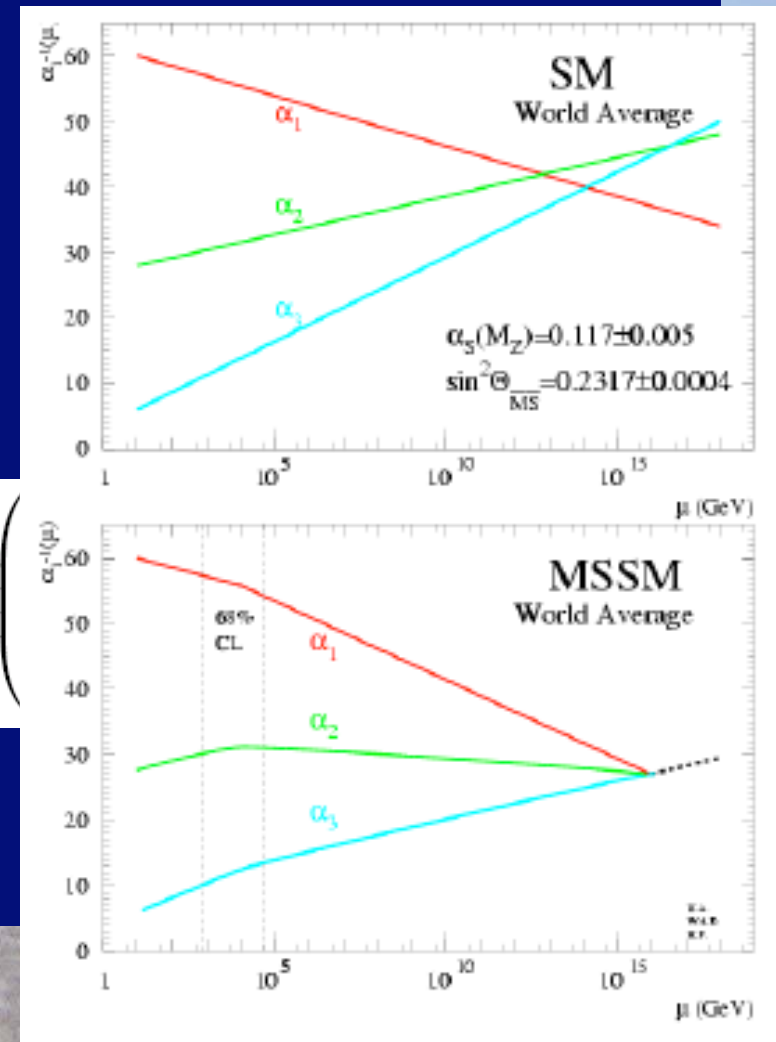
- At one loop:

$$b_i = \begin{pmatrix} 0 \\ -6 \\ -9 \end{pmatrix} + N_g \begin{pmatrix} 2 \\ 2 \\ 2 \end{pmatrix} + N_H \begin{pmatrix} \frac{3}{10} \\ \frac{1}{2} \\ 0 \end{pmatrix}$$

- Two loops:

$$b_{ij} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & -24 & 0 \\ 0 & 0 & -54 \end{pmatrix} + N_g \begin{pmatrix} \frac{38}{15} & \frac{6}{5} & \frac{88}{15} \\ \frac{2}{5} & 14 & 8 \\ \frac{11}{5} & 3 & \frac{68}{3} \end{pmatrix} + N_H \begin{pmatrix} \dots \\ \dots \\ \dots \end{pmatrix}$$

- Results are stable



# Choice of GUT Group

- Should accommodate the known fermions:

$$(\nu, e)_L \in (1, 2), \quad (u, d)_L \in (3, 2), \quad e_L^c \in (1, 1), \quad u_L^c, \quad d_L^c \in (\bar{3}, 1)$$

- Need group with complex representations

- Preferably irreducible:

$$\sum_{q,\ell} Q_i = 3Q_u + 3Q_d + Q_e = 0$$

- List of candidate groups of rank 4:

$$Sp(8), \quad SO(8), \quad SO(9), \quad F_4, \quad SU(3) \times SU(3), \quad SU(5)$$

- **BUT:** real, real, real, real,  $\sum_q Q_q \neq 0$ , **OK!**



# Particles in SU(5)

- Gauge bosons:

$$\begin{pmatrix} & & & \vdots & \bar{X} & \bar{Y} \\ & & & \vdots & \bar{X} & \bar{Y} \\ g_{1,\dots,8} & & & \vdots & \bar{X} & \bar{Y} \\ & & & \vdots & \bar{X} & \bar{Y} \\ \dots & & & & & \\ X & X & X & \vdots & & \\ & & & \vdots & W_{1,2,3} & \\ Y & Y & Y & \vdots & & \end{pmatrix}$$

- Matter particles:  $\underline{5} = (\bar{3}, 1) + (1, 2)$ ,  $\underline{10} = (3, 2) + (\bar{3}, 1) + (1, 2)$

$$\bar{F} = \begin{pmatrix} d_R^c \\ d_Y^c \\ d_B^c \\ \dots \\ -e^- \\ \nu_e \end{pmatrix}_L, \quad T = \begin{pmatrix} 0 & u_B^c & -u_Y^c & \vdots & -u_R & -d_R \\ -u_B^c & 0 & u_R^c & \vdots & -u_Y & -d_Y \\ u_Y^c & -u_R^c & 0 & \vdots & -u_B & -d_B \\ \dots & & & & & \\ u_R & u_Y & u_B & \vdots & 0 & -e^c \\ d_R & d_Y & d_B & \vdots & e^c & 0 \end{pmatrix}_L$$

# Higgs bosons in SU(5) GUT

- Adjoint 24-dimensional Higgs to break  
 $SU(5) \rightarrow SU(3) \times SU(2) \times U(1)$  of SM

$$\langle 0|\Phi|0 \rangle = \begin{pmatrix} 1 & 0 & 0 & \vdots & 0 & 0 \\ 0 & 1 & 0 & \vdots & 0 & 0 \\ 0 & 0 & 1 & \vdots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \vdots & -\frac{3}{2} & 0 \\ 0 & 0 & 0 & \vdots & 0 & -\frac{3}{2} \end{pmatrix} \times \mathcal{O}(m_{GUT})$$

- 5-dimensional Higgs to break  $SU(2) \times U(1) \rightarrow U(1)$

$$\langle 0|\phi|0 \rangle = (0, 0, 0, 0, 1) \times \mathcal{O}(m_W)$$

- Susy needed to prevent large GUT v.e.v. from leaking  $\rightarrow$  small electroweak Higgs v.e.v.

# Particle Masses in SU(5)

- Quarks and leptons in same GUT multiplet → relations between their masses
- Simple symmetry relations before renormalization  
e.g.,  $m_b = m_\tau$  in minimal SU(5) GUT
- Renormalized analogously to gauge couplings:  
non-susy case  $\frac{m_b}{m_\tau} \simeq \left[ \ln \left( \frac{m_b^2}{m_X^2} \right) \right]^{\frac{12}{33-2Nq}}$
- $m_\tau = 1.78$  GeV used to predict  $m_b \sim 5$  GeV a few weeks before its discovery!
- Different formula, similar number in susy SU(5)

# Bigger GUT Models

- First look at groups of **rank 5** with suitable complex representations
- Only suitable candidate is  $SO(10)$
- Each generation in irreducible

$$16 = 10 + 5^* + 1 \text{ of } SU(5)$$

- Next step is **rank 6**:  $E_6$  has suitable complex

$$27 = 16 + 10 + 1 \text{ of } SO(10)$$

Appears in  
String theory

Suitable for  
right-handed neutrino



# New Interactions make Baryons Decay

- Exchanges of new X, Y bosons:

$$(\epsilon_{ijk} u_{Rk} \gamma_\mu u_{Lj}) \frac{g_X^2}{8m_X^2} (2e_R \gamma^\mu d_{Li} + e_L \gamma^\mu d_{Ri})$$

$$(\epsilon_{ijk} u_{Rk} \gamma_\mu d_{Lj}) \frac{g_Y^2}{8m_X^2} (\nu_L \gamma^\mu d_{Ri}), \quad G_X \equiv \frac{g_X^2}{8m_X^2} \simeq G_Y \equiv \frac{g_Y^2}{8m_Y^2}$$

- Proton decay rate  $\Gamma_B = c G_X^2 m_p^5$  lifetime:  $\tau_p = \frac{1}{c} \frac{m_X^4}{m_n^5}$

- Preferred modes:  $p \rightarrow e^+ \pi^0, e^+ \omega, \bar{\nu} \pi^+, \mu^+ K^0, \dots$   
 $n \rightarrow e^+ \pi^-, e^+ \rho^-, \bar{\nu} \pi^0, \dots$

- Estimate of X, Y masses:  $m_X \simeq (1 \text{ to } 2) \times 10^{15} \times \Lambda_{QCD}$

- Lifetime too short:

$$\tau(p \rightarrow e^+ \pi^0) \simeq 2 \times 10^{31 \pm 1} \times \left( \frac{\Lambda_{QCD}}{400 \text{ MeV}} \right)^4 \text{ y} \quad \text{exp't: } \tau(p \rightarrow e^+ \pi^0) > 1.6 \times 10^{33} \text{ y}$$

# Proton Decay in Supersymmetric SU(5)

- Increase in GUT scale:

$$m_X \simeq 10^{16} \text{ GeV}$$

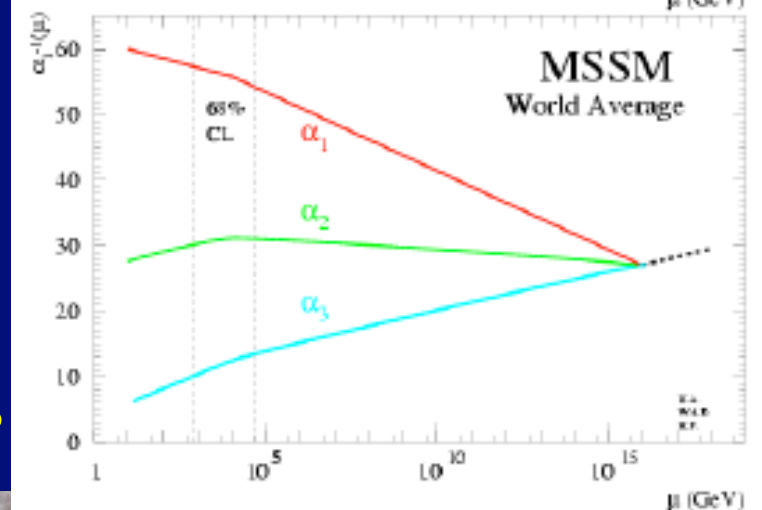
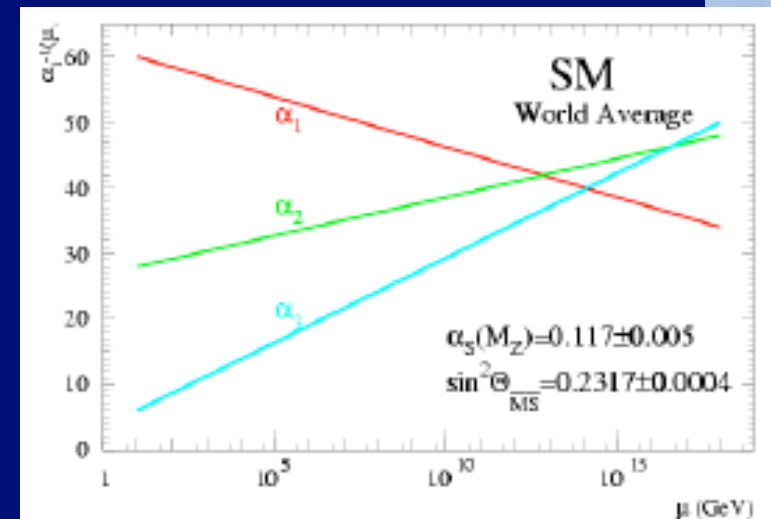
- X, Y exchanges OK
- Beware GUT Higgsinos:

$$G_X \rightarrow \mathcal{O} \left( \frac{\lambda^2 g^2}{16\pi^2} \right) \frac{1}{m_{\tilde{H}_3} \tilde{m}}$$

- Preferred decay modes:

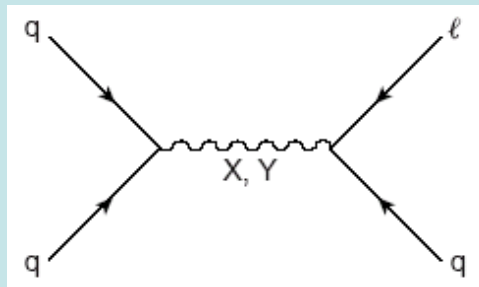
$$p \rightarrow \bar{\nu} K^+, \quad n \rightarrow \bar{\nu} K^0, \quad \dots$$

- Lifetime too short?
- Suppressed in some models



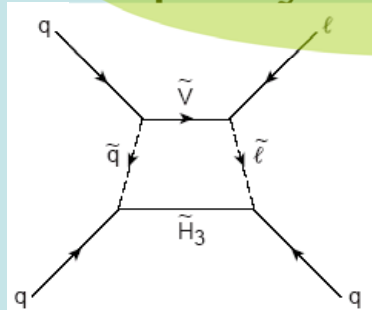
# Proton Decays in GUTs

- Decay diagram in non-supersymmetric **SU(5)**



$$A \sim 1/m_X^2$$

- Decay diagram in supersymmetric SU(5)



$$A \sim 1/m_X m_{\text{squark}}$$

## Experimental limits

$p$ DECAY MODES	Partial mean life ( $10^{30}$ years)	Confidence level
<b>Antilepton + meson</b>		
$N \rightarrow e^+ \pi$	$> 158 (n), > 1600 (p)$	90%
$N \rightarrow \mu^+ \pi$	$> 100 (n), > 473 (p)$	90%
$N \rightarrow \nu \pi$	$> 112 (n), > 25 (p)$	90%
$p \rightarrow e^+ \eta$	$> 313$	90%
$p \rightarrow \mu^+ \eta$	$> 126$	90%
$n \rightarrow \nu \eta$	$> 158$	90%
$N \rightarrow e^+ \rho$	$> 217 (n), > 75 (p)$	90%
$N \rightarrow \mu^+ \rho$	$> 228 (n), > 110 (p)$	90%
$N \rightarrow \nu \rho$	$> 19 (n), > 162 (p)$	90%
$p \rightarrow e^+ \omega$	$> 107$	90%
$p \rightarrow \mu^+ \omega$	$> 117$	90%
$n \rightarrow \nu \omega$	$> 108$	90%
$N \rightarrow e^+ K$	$> 17 (n), > 150 (p)$	90%
$p \rightarrow e^+ K_S^0$	$> 120$	90%
$p \rightarrow e^+ K_L^0$	$> 51$	90%
$N \rightarrow \mu^+ K$	$> 26 (n), > 120 (p)$	90%
$p \rightarrow \mu^+ K_S^0$	$> 150$	90%
$p \rightarrow \mu^+ K_L^0$	$> 83$	90%
$N \rightarrow \nu K$	$> 86 (n), > 670 (p)$	90%
$n \rightarrow \nu K_S^0$	$> 51$	90%

# Scenarios for Baryogenesis

- **Out-of-equilibrium decays of GUT X, Y bosons?**  
difficult to avoid dilution by  $2 \rightarrow 2$  scattering
- **Or GUT Higgs bosons?**  
smaller couplings, lower mass (?)  $\rightarrow$  less dilution
- **Electroweak phase transition? Not in SM:**  
second-order transition, not enough CP violation. MSSM?
- **Leptogenesis?**  
decays of heavy (s)neutrinos  $\rightarrow$  lepton asymmetry  
converted to baryon asymmetry by non-perturbative EW effects



A wide, flat, sandy landscape under a clear blue sky. In the distance, there are low mountains or hills. A light blue rectangular box is centered in the middle of the image, containing the title text.

# Neutrino Masses and Mixing



# Why? Why not?

- There is no sacred symmetry to forbid  $m_\nu$
- The only sacred symmetries are EXACT gauge symmetries, e.g.,
  - $Q_{em}$  conserved
  - $\leftrightarrow$  massless photon
  - $\leftrightarrow$  U(1) gauge symmetry of SM
- No candidate gauge symmetry to forbid  $m_\nu$
- No massless gauge boson coupled to lepton # L
- Expect  $m_\nu \neq 0$  in extensions of SM: GUTs, string

# Models for Neutrino Masses

- Could be generated in Standard Model: using non-renormalizable interaction:

$$\frac{1}{M} \nu H \cdot \nu H \quad \rightarrow \quad m_\nu \nu \cdot \nu : m_\nu = \frac{\langle 0|H|0\rangle^2}{M}$$

- Probably effective interaction due to exchange of massive fermion N = ‘right-handed  $\nu$ ’
- Should then consider seesaw mass matrix:

$$(\nu_L, N) \begin{pmatrix} 0 & M_D \\ M_D^T & M \end{pmatrix} \begin{pmatrix} \nu_L \\ N \end{pmatrix}$$

- Does not need GUT, but  $M \sim 10^{10} - 10^{15}$  GeV
- Add singlet N to SU(5)? automatic in SO(10)

# Bigger GUT Models

- First look at groups of **rank 5** with suitable complex representations
- Only suitable candidate is  $SO(10)$
- Each generation in irreducible representation

$$16 = 10 + 5^* + 1 \text{ of } SU(5)$$

- Next step is **rank 6**:  $E_6$  has suitable complex

$$27 = 16 + 10 + 1 \text{ of } SO(10)$$

Suitable for  
right-handed neutrino

# Quantum Gravity & String



a closed string



an open string



*seen from far*



*look like point particles*



# Some String History

- **1969:** Discovery of string theory (Veneziano)
- **1971:** Superstring (Neveu + Scherk, Ramond)
- **1974:** Possible quantum theory of gravity  
(Scherk + Schwarz, Yoneya)
- **1984:** Superstrings free of anomalies  
(Green + Schwarz)
- **$\geq 1985$ :** Realistic string models (many)
- **1990:** Large extra dimension? (Antoniadis)



# The Scales of Quantum Gravity

- The Planck length ( $G =$  Newton constant):

$$\ell_P = \left( \frac{\hbar G}{c^3} \right)^{3/2} = 1.6 \times 10^{-33} \text{ cm}$$

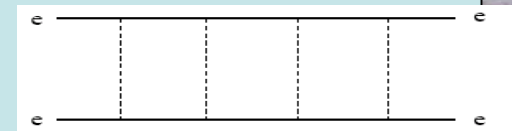
- The Planck mass:

$$m_P = \left( \frac{\hbar c}{G} \right)^{1/2} = 1.2 \times 10^{19} \text{ GeV}/c^2$$

- Graviton exchange becomes strong when  $E \sim m_P$ :

$$\text{amplitude} \sim (m/m_P)^2 \rightarrow (E/m_P)^2 \sim 1$$

- Multi-graviton exchange important:



**need quantum theory of gravity!**

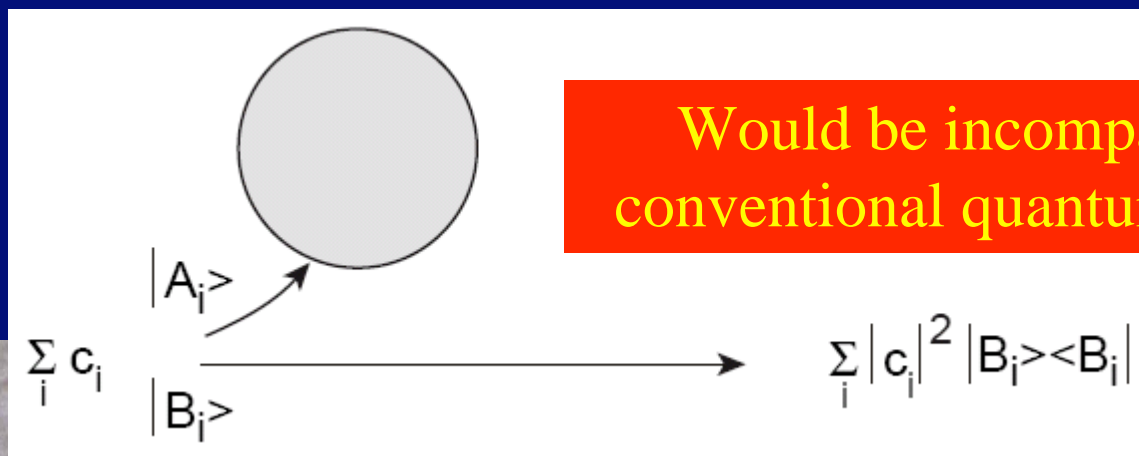
# Problems of Quantum Gravity

- Gravity grows with energy:  $\sigma_G \sim E^2 / m_P^4$

- Two-graviton exchange is infinite:

$$\int^{\Lambda \rightarrow \infty} d^4k \left( \frac{1}{k^2} \right) \leftrightarrow \int_{1/\Lambda \rightarrow 0} d^4x \left( \frac{1}{x^6} \right) \sim \Lambda^2 \rightarrow \infty$$

- **Gravity is a non-renormalizable theory**
- Pure states evolve to mixed states?

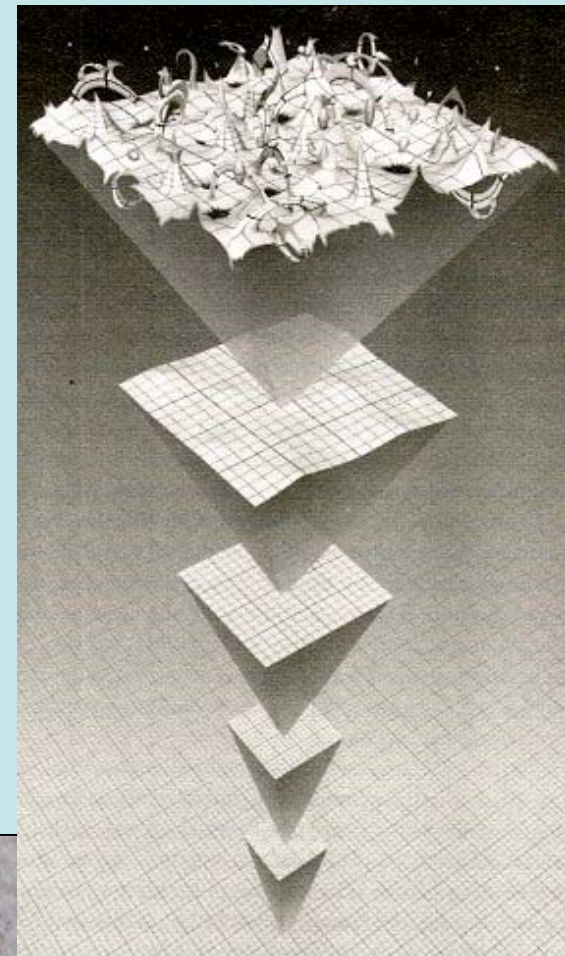


# Reconciling General Relativity and Quantum Mechanics

- Unfinished business of 20<sup>th</sup>-century physics
- Primary task of 21<sup>st</sup>-century physics
- One or the other – or both – must be modified?
- **Modification of quantum mechanics?**
- **Violation of CPT?**
- **Modification of Lorentz invariance?**
- **Breakdown of equivalence principle?**
- Search for distinctive signature not allowed in quantum field theory

# Nature of QG Vacuum

- Expect quantum fluctuations in fabric of space-time
- In natural Planckian units:  
 $\Delta E, \Delta x, \Delta t, \Delta \chi \sim 1$
- Fluctuations in energy, space, time, topology of order unity
- **Space-time foam**
- **Manifestations?**





# String Theory

- Point-like particles  $\rightarrow$  extended objects
- Simplest possibility: lengths of string
  - Open and/or closed
- Quantum consistency fixes # dimensions:
  - Bosonic string: 26, superstring: 10
- Must compactify extra dimensions, scale  $\sim 1/m_p$ ?
- Perturbative string unification scale:

$$M_{GUT} = O(g) \times \frac{m_P}{\sqrt{8\pi}} \simeq \text{few} \times 10^{17} \text{ GeV}$$

Close to GUT scale, but larger?



# Bigger GUT Models

- First look at groups of **rank 5** with suitable complex representations
- Only suitable candidate is  $SO(10)$
- Each generation in irreducible representation

$$16 = 10 + 5^* + 1 \text{ of } SU(5)$$

- Next step is **rank 6**:  $E_6$  has suitable complex

$$27 = 16 + 10 + 1 \text{ of } SO(10)$$

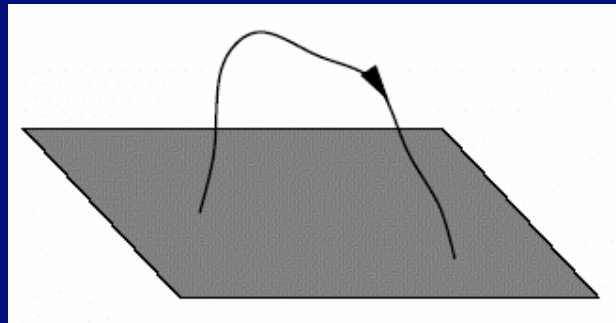
Appears in  
String theory

# More String History

- **1994:** String dualities (Hull + Townsend, ...)
- **1995:** Branes (Polchinski)
- **1995:** M theory (Schwarz, ...)
- **1997:** Gauge/gravity correspondence (Maldacena)
- **1998:** Millimetre extra dimension? (Arkani-Hamed + Dimopoulos + Dvali)
- **1999:** Infinite extra dimension? (Randall + Sundrum)
- **2000:** Black hole entropy = string states (many)

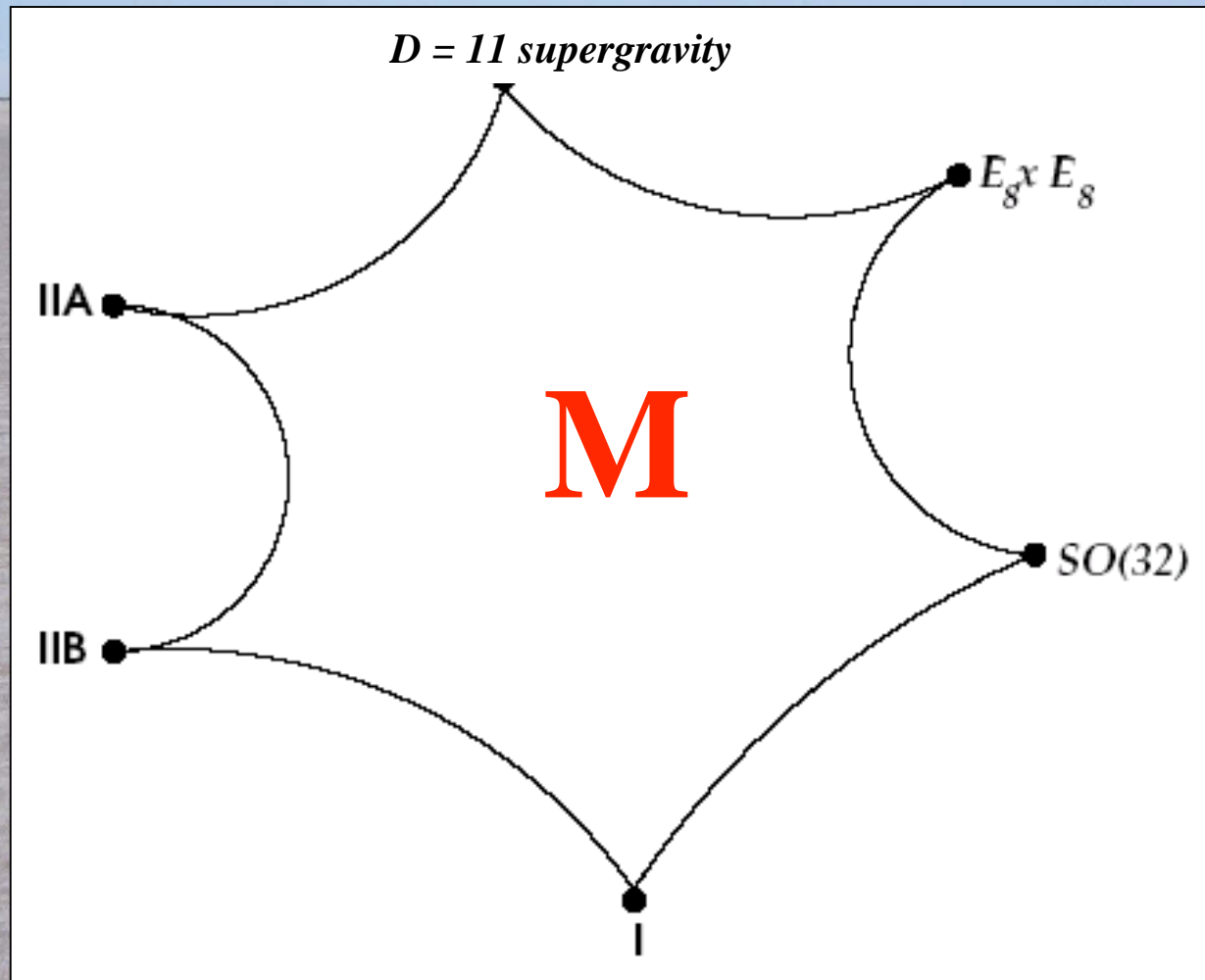
# Non-Perturbative String = M Theory

- Solitonic ‘lumps’ = balls of string:  $m \propto \frac{1}{g_s}$
- Appear with various dimensions: ‘D-branes’



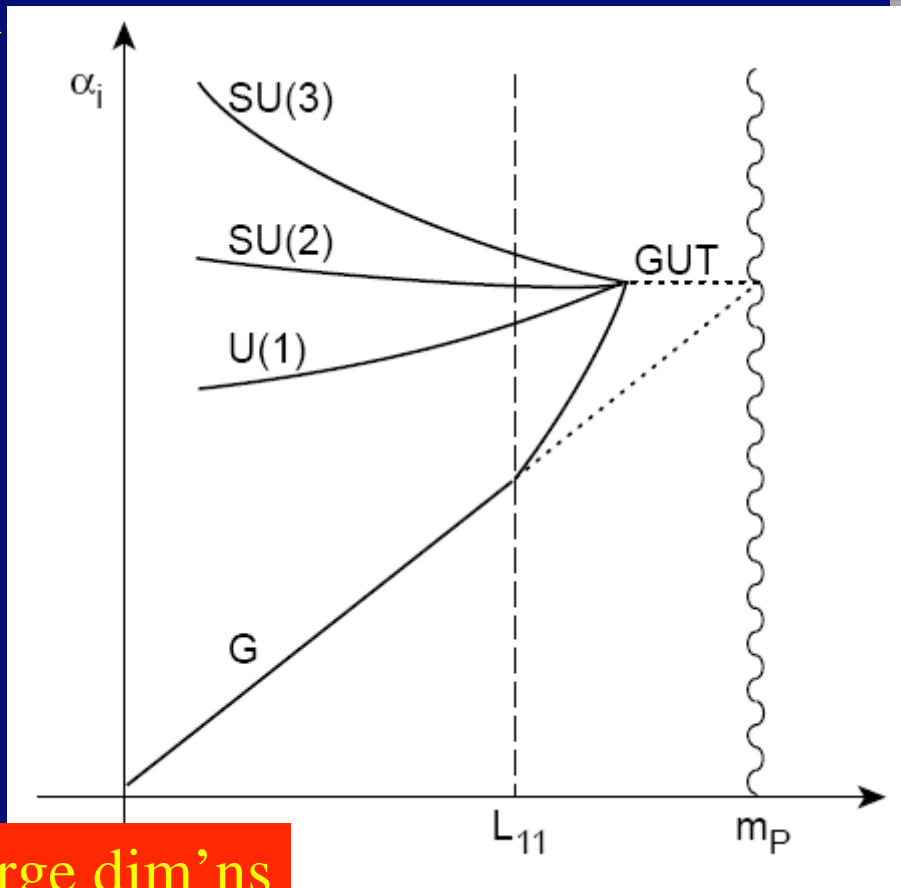
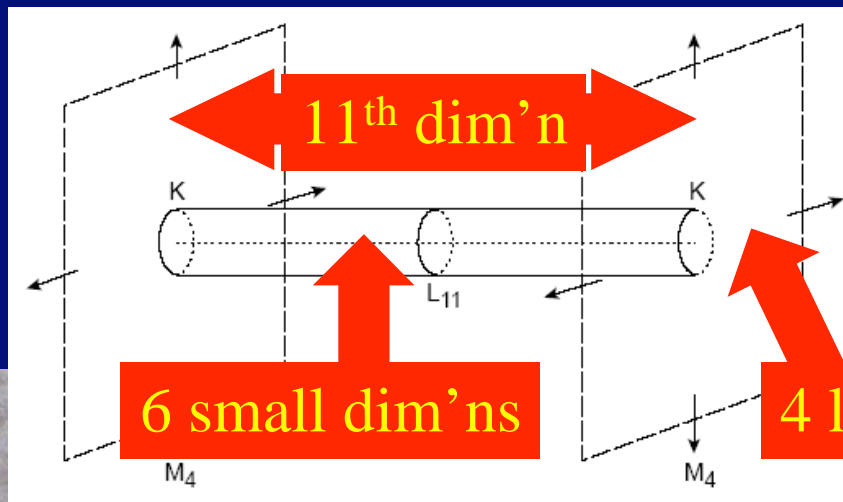
- Can regard string coupling as extra ‘dimension’  
11-dimensional M theory
- Includes different string models in various limits
- New ways to get extra gauge symmetries

# All Different String Theories are Related



# Scenario for String Unification

- If extra dimension below GUT scale: gravity grows faster with energy
- Unify at  $10^{16}$  GeV?
- E.g., in M theory with large 11<sup>th</sup> dimension





A wide, flat, sandy landscape under a clear blue sky. A path of footprints leads from the foreground towards the horizon. In the distance, there are low mountains or hills. The overall scene is desolate and open.

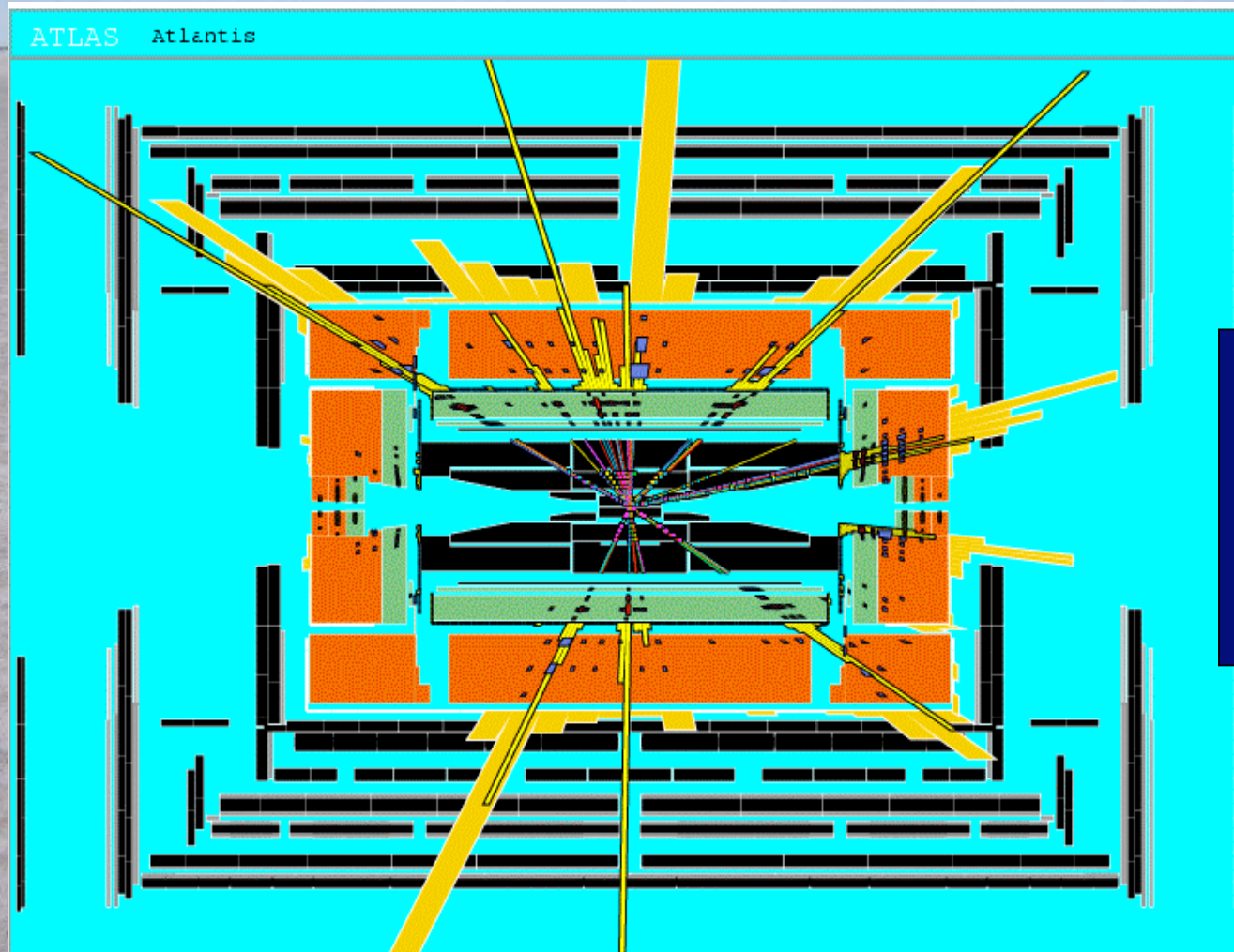
Extra Dimensions at Colliders?

# How large could extra Dimensions be?

- 1/TeV?  
could break supersymmetry, electroweak
- micron?  
can rewrite hierarchy problem
- Infinite?  
warped compactifications
- **Look for black holes, Kaluza-Klein excitations @ colliders?**

And if gravity becomes strong at the TeV scale ...

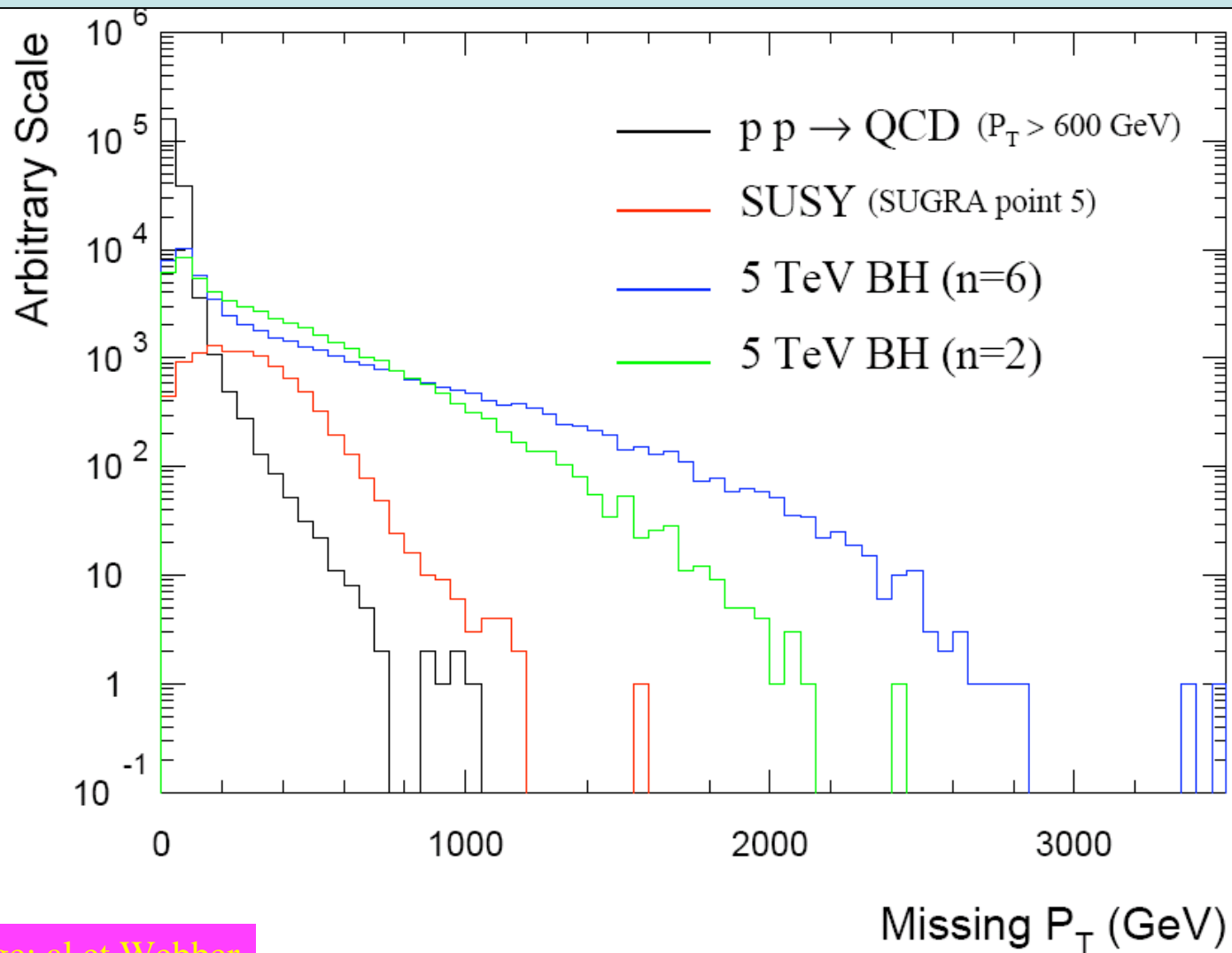
# Black Hole Production at LHC?



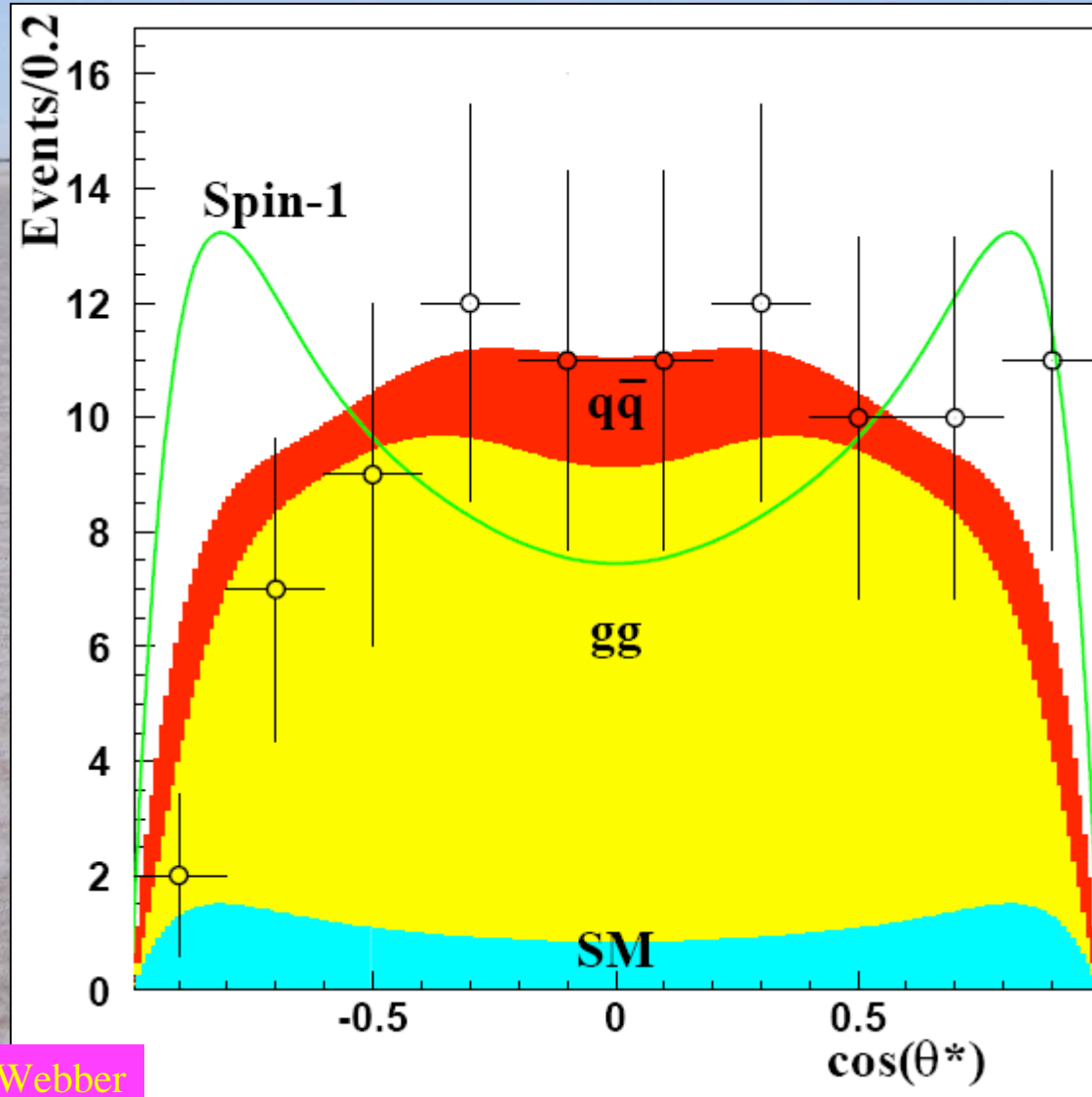
Multiple jets,  
leptons from  
Hawking  
radiation



# Black Hole Production @ LHC



# Identifying Graviton Resonance @ LHC



Cambridge: al et Webber



# Summary

- The origin of mass is the most pressing in particle physics
- Needs a solution at energy  $< 1$  TeV
  - Higgs? Supersymmetry? Extra Dimensions?
  - LHC will tell!**
- Lots of speculative ideas for other physics beyond the Standard Model
  - Grand unification, strings, branes, ...
- Hints provided by neutrinos
  - How else can one test these speculations?**