

# SIZE EFFECTS & QUANTUM MECHANICS GOVERNED PHENOMENA IN NANO-SYSTEMS

Maaza@tlabs.ac.za  
Maazam@unisa.ac.za



United Nations  
Educational, Scientific and  
Cultural Organization

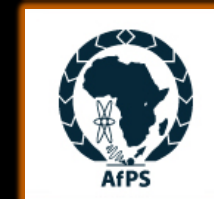


• UNESCO-UNISA Africa Chair  
• in Nanosciences/Nanotechnology  
• (South Africa)



# ANDERSON LOCALIZATION, CONFINEMENT, MOTT PHASE TRANSITION, & QUANTUM OPTICS IN NANO-SCALED SYSTEMS

Maaza@tlabs.ac.za  
Maazam@unisa.ac.za





# PRESENTER BIO

- PhD in Wave Matter Neutron Optics 1993
- Joint staff iThembaLABS-NRF & UNISA
- UNESCO UNISA ITLABS-NRF Africa Chair in Nanosciences & Nanotech
- **Research interest: Nanoscale**
- Fellow of the African Academy of Sciences
- Fellow of the Royal Society of Chemistry-London
- Fellow of the National Academy of Sciences of India
- Fellow of the New York Academy of Sciences
- Fellow of the Islamic Academy of Sciences
- Fellow of the European Academy of Sciences
- Fellow of the American Association for Advancement of Science
- [Maaza@tlabs.ac.za](mailto:Maaza@tlabs.ac.za) / [Maazam@unisa.ac.za](mailto:Maazam@unisa.ac.za)



# OUTLINE

1-U<sub>2</sub>ACN<sub>2</sub>:

2-HISTORICAL BACKGROUND: FROM FARADAY TO FEYNMAN

3-NANO-MERCURY: RELATIVISTIC & DIRAC CORRECTIONS

4-C.-NANOTUBES: LIGHT ANDERSON LOCALIZATION

5-ZnO NANOPlates: SURFACE TENSION TUNABILITY

6-NANO-SCALED SYSTEMS & FORESIGHT: AI & MULTI-DISCIPLINARITY

# U2ACN2: UNESCO UNISA ITLABS/NRF AFRICA CHAIR IN NANOSCIENCES & NANOTECHNOLOGY



United Nations  
Educational, Scientific and  
Cultural Organization



UNESCO-UNISA Africa Chair  
in Nanosciences/Nanotechnology  
(South Africa)



# U2ACN2: UNESCO UNISA IITLABS/NRF AFRICA CHAIR IN NANOSCIENCES & NANOTECHNOLOGY



# U2ACN2: UNESCO UNISA ITLABS/NRF AFRICA CHAIR IN NANOSCIENCES & NANOTECHNOLOGY

**MULTISKILLED H<sub>uman</sub> C<sub>apital</sub> DEVELOPMENT-**





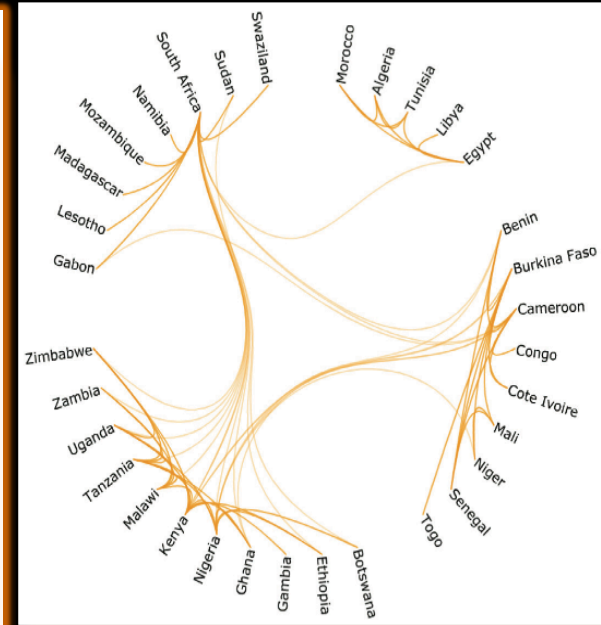
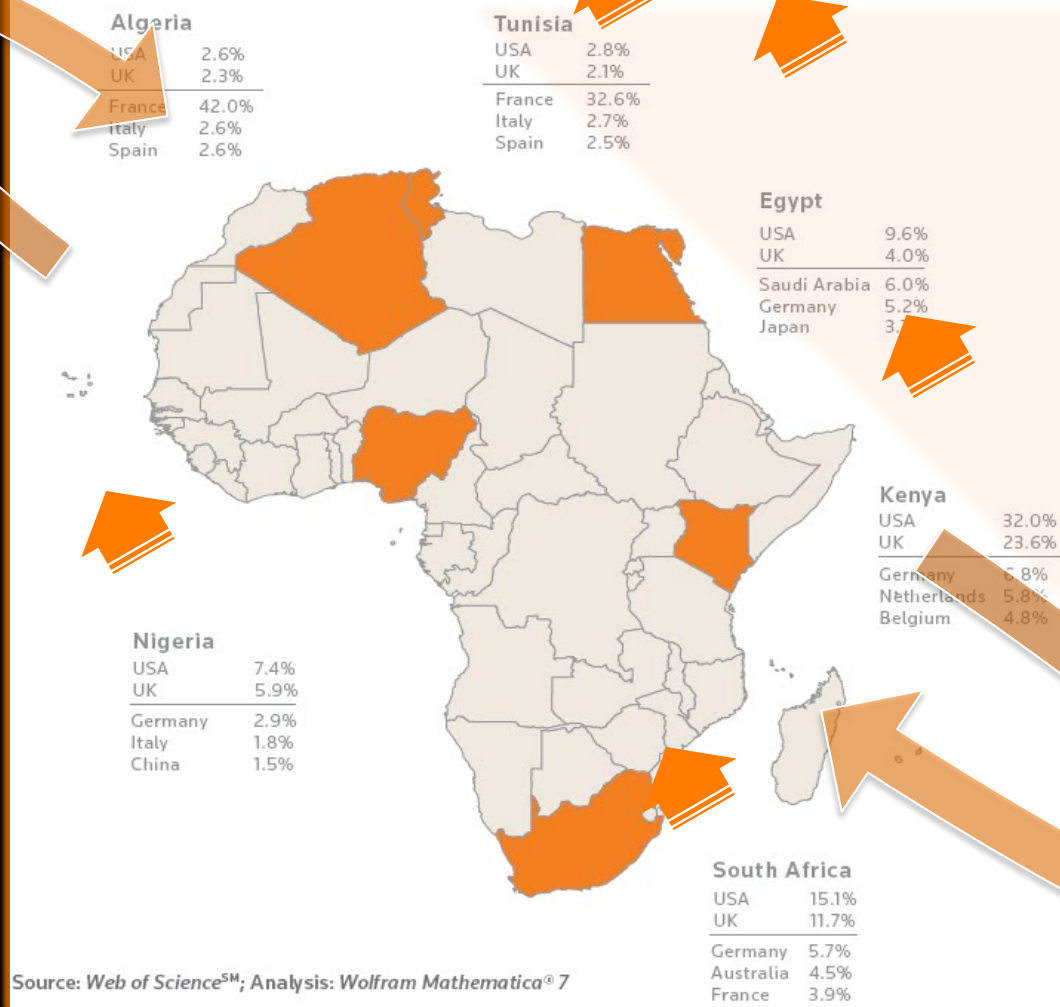
# U2ACN2: UNESCO UNISA ITLABS/NRF AFRICA CHAIR IN NANOSCIENCES & NANOTECHNOLOGY

**MULTISKILLED H<sub>uman</sub> C<sub>apital</sub> DEVELOPMENT-**



# U2ACN2: UNESCO UNISA ITLABS/NRF AFRICA CHAIR IN NANOSCIENCES & NANOTECHNOLOGY

FIGURE 5: TOP COLLABORATING COUNTRIES FOR SIX AFRICAN COUNTRIES



Source: OECD, Nature Publishing, World Economic Forum 2012

# U2ACN2: UNESCO UNISA ITLABS/NRF AFRICA CHAIR IN NANOSCIENCES & NANOTECHNOLOGY



Contents lists available at ScienceDirect

## Applied Surface Science

journal homepage: [www.elsevier.com/locate/apsusc](http://www.elsevier.com/locate/apsusc)



## Structural and optical properties of nano-structured tungsten-doped ZnO thin films grown by pulsed laser deposition

B.D. Ngom<sup>a,b,c,\*</sup>, T. Mpahane<sup>c</sup>, N. Manyala<sup>d</sup>, O. Nemraoui<sup>c</sup>, U. Buttner<sup>e</sup>,  
J.B. Kana<sup>f</sup>, A.Y. Fasasi<sup>g</sup>, M. Maaza<sup>a,c</sup>, A.C. Beye<sup>a,b</sup>

<sup>a</sup> The African Laser Centre, CSIR campus, P.O. Box 395, Pretoria, South Africa

<sup>b</sup> Groupes de Laboratoires de physique des Solides et Sciences des Matériaux, Faculté des sciences et Techniques Université Cheikh Anta Diop de Dakar (UCAD), B.P. 25114 Dakar-Fann Dakar, Senegal

<sup>c</sup> NANO-Sciences Laboratories, Materials Research Group, iThemba LABS, National Research Foundation, South Africa

<sup>d</sup> Department of Physics and Electronics National University of Lesotho, Lesotho

<sup>e</sup> Engineering Department, University of Stellenbosch, South Africa

<sup>f</sup> Department of Physique University of Yaoundé 1, Cameroon

<sup>g</sup> Centre for Energy Research and Development, Obafemi Awolowo University, Ile-Ife, Osun State, Nigeria



# U2ACN2: UNESCO UNISA ITLABS/NRF AFRICA CHAIR IN NANOSCIENCES & NANOTECHNOLOGY



Contents lists available at ScienceDirect

## Applied Surface Science

journal homepage: [www.elsevier.com/locate/apsusc](http://www.elsevier.com/locate/apsusc)



## Structural and optical properties of nano-structured tungsten-doped ZnO thin films grown by pulsed laser deposition

B.D. Ngom<sup>a,b,c,\*</sup>, T. Mpahane<sup>c</sup>, N. Manyala<sup>d</sup>, O. Nemraoui<sup>c</sup>, U. Buttner<sup>e</sup>,  
J.B. Kana<sup>f</sup>, A.Y. Fasasi<sup>g</sup>, M. Maaza<sup>a,c</sup>, A.C. Beye<sup>a,b</sup>

<sup>a</sup> The African Laser Centre, CSIR campus, P.O. Box 395, Pretoria, South Africa

<sup>b</sup> Groupes de Laboratoires de physique des Solides et Sciences des Matériaux, Faculté des sciences et Techniques Université Cheikh Anta Diop de Dakar (UCAD), B.P. 25114 Dakar-Fann Dakar, Senegal

<sup>c</sup> NANO-Sciences Laboratories, Materials Research Group, iThemba LABS, National Research Foundation, South Africa

<sup>d</sup> Department of Physics and Electronics National University of Lesotho, Lesotho

<sup>e</sup> Engineering Department, University of Stellenbosch, South Africa

<sup>f</sup> Department of Physique University of Yaoundé 1, Cameroon

<sup>g</sup> Centre for Energy Research and Development, Obafemi Awolowo University, Ile-Ife, Osun State, Nigeria



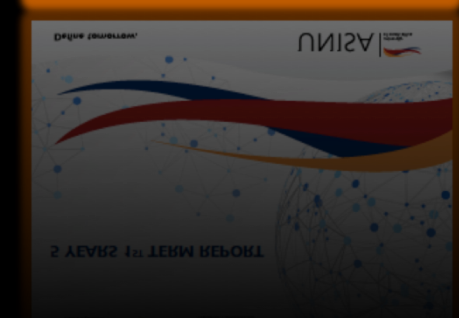


# U2ACN2: UNESCO UNISA ITLABS/NRF AFRICA CHAIR IN NANOSCIENCES & NANOTECHNOLOGY

## RESEARCH FOCUS:

- Nanomaterials for Energy
- Nanophotonics,
- Nanomaterials by green Processing,
- Nanomaterials & biomimics,
- Nanomaterials & Radiations:
  - I-Nanostructures for neutrons trapping & neutron life time
  - II-H<sup>+</sup> induced magnetism in Carbon based nanosystems,
  - III-H<sup>+</sup>induced Superconductivity in WO<sub>3-d</sub> bronzes,
  - IV-Nano-suspensions by  $\gamma$ -radiolysis,
  - V-IBA Radiations hardness of nanomaterials.

[Maaza@tlabs.ac.za](mailto:Maaza@tlabs.ac.za)  
[Maazam@unisa.ac.za](mailto:Maazam@unisa.ac.za)





# NANO-1: RELATIVISTIC CONTRACTION & DIRAC CORRECTION



United Nations  
Educational, Scientific and  
Cultural Organization



UNESCO-UNISA Africa Chair  
in Nanosciences/Nanotechnology  
(South Africa)



IA 1  
**H**  
 Hydrogen 1  
 1.01  


Public Understanding of  
**BIOTECHNOLOGY**  
 www.pub.ac.za

# PERIODIC TABLE *of the* ELEMENTS

**NRF**  
 National Research  
 Foundation

**SAASTA**  
 South African Agency for Science  
 and Technology Advancement  
 www.saasta.ac.za

science & technology  
 Department  
 Science and Technology  
 REPUBLIC OF SOUTH AFRICA

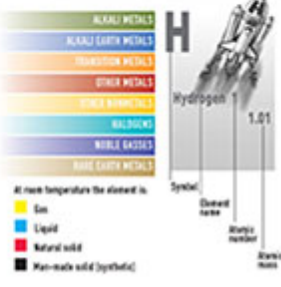
IIA 2  
**He**  
 Helium 2  
 4.00  


IIA 2  
**Li**  
 Lithium 3  
 6.94  


**Be**  
 Beryllium 4  
 9.01  


**Mg**  
 Magnesium 12  
 24.31  


**Na**  
 Sodium 11  
 22.99  

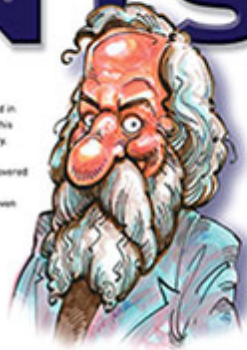



## DMITRI MENDELEYEV (1834 - 1907)

The Russian chemist, Dmitri Mendeleev, was the first to observe that if elements were listed in order of atomic mass, they showed regular (periodical) repeating properties. He formulated his discovery in a periodic table of elements, now regarded as the backbone of modern chemistry.

The crowning achievement of Mendeleev's periodic table lay in his prophesy of then, undiscovered elements. In 1869, the year he published his periodic classification, the elements gallium, germanium and scandium were unknown. Mendeleev left spaces for them in his table and even predicted their atomic masses and other chemical properties. Six years later, gallium was discovered and his predictions were found to be accurate. Other discoveries followed and their chemical behaviour matched that predicted by Mendeleev.

This remarkable man, the youngest in a family of 17 children, has left the scientific community with a classification system so powerful that it became the cornerstone in chemistry teaching and the prediction of new elements ever since. In 1955, element 101 was named after him: Mc, Mendelevium.



IIIA 13  
**B**  
 Boron 5  
 10.81  


**C**  
 Carbon 6  
 12.01  


**N**  
 Nitrogen 7  
 14.01  


**O**  
 Oxygen 8  
 16.00  


**F**  
 Fluorine 9  
 19.00  


**Ne**  
 Neon 10  
 20.18  


**Al**  
 Aluminium 13  
 26.98  


**Si**  
 Silicon 14  
 28.09  


**P**  
 Phosphorus 15  
 30.97  


**S**  
 Sulphur 16  
 32.06  


**Cl**  
 Chlorine 17  
 35.45  


**Ar**  
 Argon 18  
 39.95  


IIA 3  
**K**  
 Potassium 19  
 39.10  


**Ca**  
 Calcium 20  
 40.08  


IIIB 3  
**Sc**  
 Scandium 21  
 44.96  


IVB 4  
**Ti**  
 Titanium 22  
 47.88  


VB 5  
**V**  
 Vanadium 23  
 50.94  


VI 6  
**Cr**  
 Chromium 24  
 52.00  


VII 7  
**Mn**  
 Manganese 25  
 54.94  


VIII 8  
**Fe**  
 Iron 26  
 55.85  


VIII 9  
**Co**  
 Cobalt 27  
 58.93  


VIII 10  
**Ni**  
 Nickel 28  
 58.69  


VIII 11  
**Cu**  
 Copper 29  
 63.55  


VIII 12  
**Zn**  
 Zinc 30  
 65.39  


IIIB 13  
**Ga**  
 Gallium 31  
 69.72  


IIIB 14  
**Ge**  
 Germanium 32  
 72.61  


IIIB 15  
**As**  
 Arsenic 33  
 74.92  


IIIB 16  
**Se**  
 Selenium 34  
 78.96  


IIIB 17  
**Br**  
 Bromine 35  
 79.90  


IIIB 18  
**Kr**  
 Krypton 36  
 83.80  


IIA 4  
**Rb**  
 Rubidium 37  
 85.47  


**Sr**  
 Strontium 38  
 87.62  


IIIB 3  
**Y**  
 Yttrium 39  
 88.91  


IVB 4  
**Zr**  
 Zirconium 40  
 91.22  


VB 5  
**Nb**  
 Niobium 41  
 92.91  


VI 6  
**Mo**  
 Molybdenum 42  
 95.94  


VII 7  
**Tc**  
 Technetium 43  
 (98)  


VIII 8  
**Ru**  
 Ruthenium 44  
 101.07  


VIII 9  
**Rh**  
 Rhodium 45  
 102.91  


VIII 10  
**Pd**  
 Palladium 46  
 106.42  


VIII 11  
**Ag**  
 Silver 47  
 107.87  


VIII 12  
**Cd**  
 Cadmium 48  
 112.41  


IIIB 13  
**In**  
 Indium 49  
 114.82  


IIIB 14  
**Sn**  
 Tin 50  
 118.71  


IIIB 15  
**Sb**  
 Antimony 51  
 121.76  


IIIB 16  
**Te**  
 Tellurium 52  
 127.60  


IIIB 17  
**I**  
 Iodine 53  
 126.90  


IIIB 18  
**Xe**  
 Xenon 54  
 131.29  


IIA 5  
**Ba**  
 Barium 56  
 137.33  


**Cs**  
 Caesium 55  
 132.91  


Lanthanide Series

IIIB 3  
**La**  
 Lanthanum 57  
 138.91  


IVB 4  
**Ce**  
 Cerium 58  
 140.12  


VB 5  
**Pr**  
 Praseodymium 59  
 140.91  


VI 6  
**Nd**  
 Neodymium 60  
 144.24  


VII 7  
**Pm**  
 Promethium 61  
 (145)  


VIII 8  
**Sm**  
 Samarium 62  
 150.36  


VIII 9  
**Eu**  
 Europium 63  
 151.96  


VIII 10  
**Gd**  
 Gadolinium 64  
 157.25  


VIII 11  
**Tb**  
 Terbium 65  
 158.93  


VIII 12  
**Dy**  
 Dysprosium 66  
 162.50  


IIIB 13  
**Tl**  
 Thallium 81  
 204.38  


IIIB 14  
**Pb**  
 Lead 82  
 207.20  


IIIB 15  
**Bi**  
 Bismuth 83  
 208.98  


IIIB 16  
**Po**  
 Polonium 84  
 (209)  


IIIB 17  
**At**  
 Astatine 85  
 (210)  


IIIB 18  
**Rn**  
 Radon 86  
 (222)  


IIA 6  
**Ra**  
 Radium 88  
 (226)  


**Fr**  
 Francium 87  
 (223)  


Actinide Series

IIIB 3  
**Ac**  
 Actinium 89  
 (227)  


IVB 4  
**Th**  
 Thorium 90  
 232.04  


VB 5  
**Pa**  
 Protactinium 91  
 231.04  


VI 6  
**U**  
 Uranium 92  
 238.03  


VII 7  
**Np**  
 Neptunium 93  
 (237)  


VIII 8  
**Pu**  
 Plutonium 94  
 (244)  


VIII 9  
**Am**  
 Americium 95  
 (243)  


VIII 10  
**Cm**  
 Curium 96  
 (247)  


VIII 11  
**Bk**  
 Berkelium 97  
 (247)  


VIII 12  
**Cf**  
 Californium 98  
 (251)  


IIIB 13  
**Uub**  
 Ununbium 112  
 (285)  


IIIB 14  
**Uut**  
 Ununtrium 113  
 (284)  


IIIB 15  
**Uuq**  
 Ununquadium 114  
 (289)  


IIIB 16  
**Uup**  
 Ununpentium 115  
 (288)  


IIIB 17  
**Uuh**  
 Ununhexium 116  
 (292)  


IIIB 18  
**Uus**  
 Ununseptium 117  
 (Not yet observed)  


IIIB 18  
**Uuo**  
 Ununoctium 118  
 (294)  


For elements with no stable isotopes, the mass number of the isotope with the longest half-life is in parentheses.

La Lanthanum 87 138.91	Ce Cerium 58 140.12	Pr Praseodymium 59 140.91	Nd Neodymium 60 144.24	Pm Promethium 61 (145)	Sm Samarium 62 150.36	Eu Europium 63 151.96	Gd Gadolinium 64 157.25	Tb Terbium 65 158.93	Dy Dysprosium 66 162.50	Ho Holmium 67 164.93	Er Erbium 68 167.26	Tm Thulium 69 168.93	Yb Ytterbium 70 173.05	Lu Lutetium 71 174.97
Ac Actinium 89 (227)	Th Thorium 90 232.04	Pa Protactinium 91 231.04	U Uranium 92 238.03	Np Neptunium 93 (237)	Pu Plutonium 94 (244)	Am Americium 95 (243)	Cm Curium 96 (247)	Bk Berkelium 97 (247)	Cf Californium 98 (251)	Es Einsteinium 99 (252)	Fm Fermium 100 (257)	Md Mendelevium 101 (258)	No Nobelium 102 (259)	Lr Lawrencium 103 (260)



# RELATIVISTIC CONTR. & DIRAC CORREC.

–Surface Tension: Hg

Hg swimming pool



Archimedes

$$\sim \rho g V$$

### Mercury Telescope Spins Up

Pending solid science from the new Large Zenith Telescope, a group of scientists hopes to build an extremely large—and extremely cheap—array of liquid mercury telescopes.

Liquid mirrors have one single advantage. Only one. It's cost," says Ermanno Borra of Laval University in Quebec, Canada. In the early 1980s, Borra resurrected the idea of liquid mirror telescopes, first put forth in 1850 by the Italian astronomer Ernesto Capocci. The disadvantage is that they can't be tilted, he says. "You are stuck with the strip of sky above you. But it's not as bad as it sounds."

Liquid mirror telescopes are suited to surveying classes of objects and to studying supernovae and other variable phenomena. "Look at Paul (Hickson)," says Borra. "He has a 6-meter telescope to do cosmology. With a conventional glass mirror, you can cover the whole sky, but you have to share with a lot of people. Cost, cost, cost. That's what it's all about."

Hickson, an astronomer at the University of British Columbia, Canada, spearheaded the Large Zenith Telescope, the newest and biggest liquid mirror telescope. It is located about 60 kilometers east of Vancouver and partners come from Laval University, the Institut d'Astrophysique de Paris, SUNY Stony Brook, and Columbia University. The LZT cost about \$500 000, says Hickson. "If you include the parts we borrowed, it would be about \$1 million." That's more than an order of magnitude less than what a glass mirror telescope of similar size would cost.

**Liquid assets**  
The cost difference lies largely in the ease of spinning a liquid into a smooth parabola com-

pared and tens of mirror a coa The r ing a focus tor w juste lengt the r a mir mosp to ab is ser of 0.3 It to get layer

The 6- outside the larg (above) telesco

Atomic roughness

$$\sigma \sim 0.5 \text{ \AA}$$

# RELATIVISTIC CONTR. & DIRAC CORREC.

## –Surface Tension

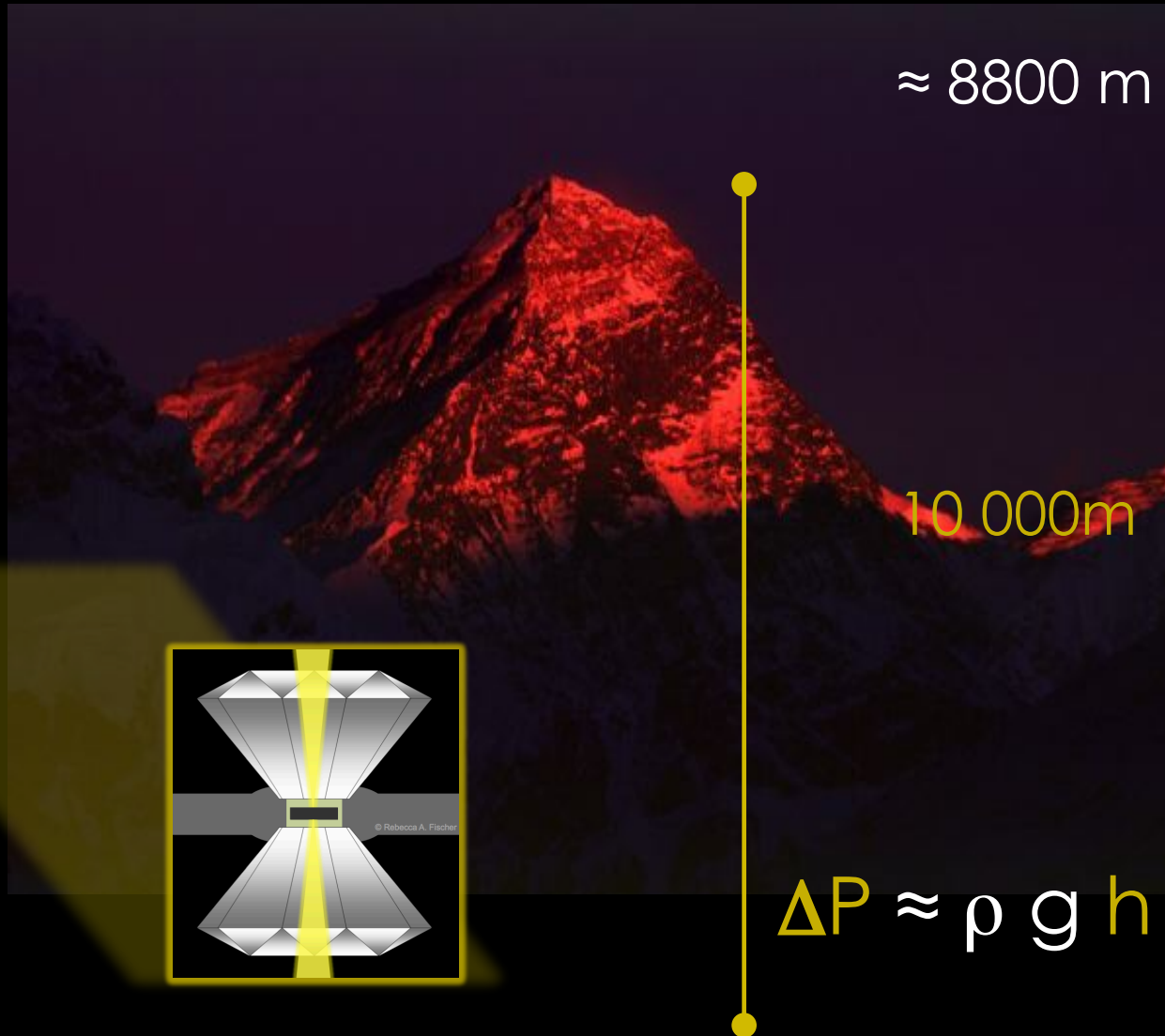
### Ground State Configuration



- Au[Kr]  $5d^{10}6s^1$ ,
- Hg[Kr]  $5d^{10}6s^2$ , Noble gas behaviour
- Th[Kr]  $6s^26p^1$ ,
- Relativistic contraction & “S” orthogonality:
  - $m_e = m_0/\sqrt{(1-v/c)}$
  - $r_0 = 4 e_0 h^2/ p e^2 m_e$
- Relativistic contraction of “S” Orbitals:  
“1s” shell electrons:  $v/c = 80/137 \approx 0.58$ : **Radial shrinkage of 23%**
- Valence electrons less bounded:

# RELATIVISTIC CONTR. & DIRAC CORREC.

–Surface Tension: Hg



Top: Liquid

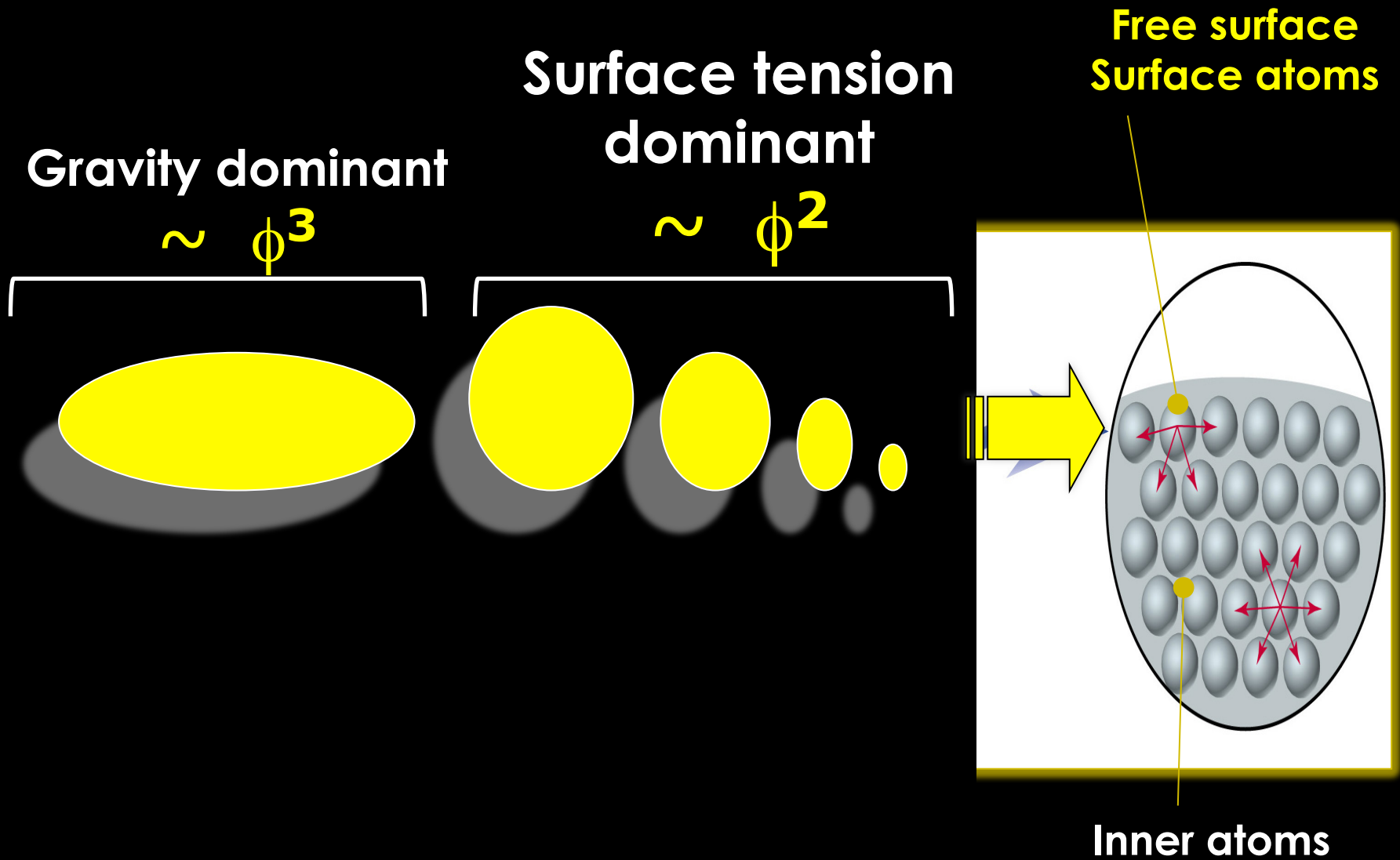


Bottom: solid



# RELATIVISTIC CONTR. & DIRAC CORREC.

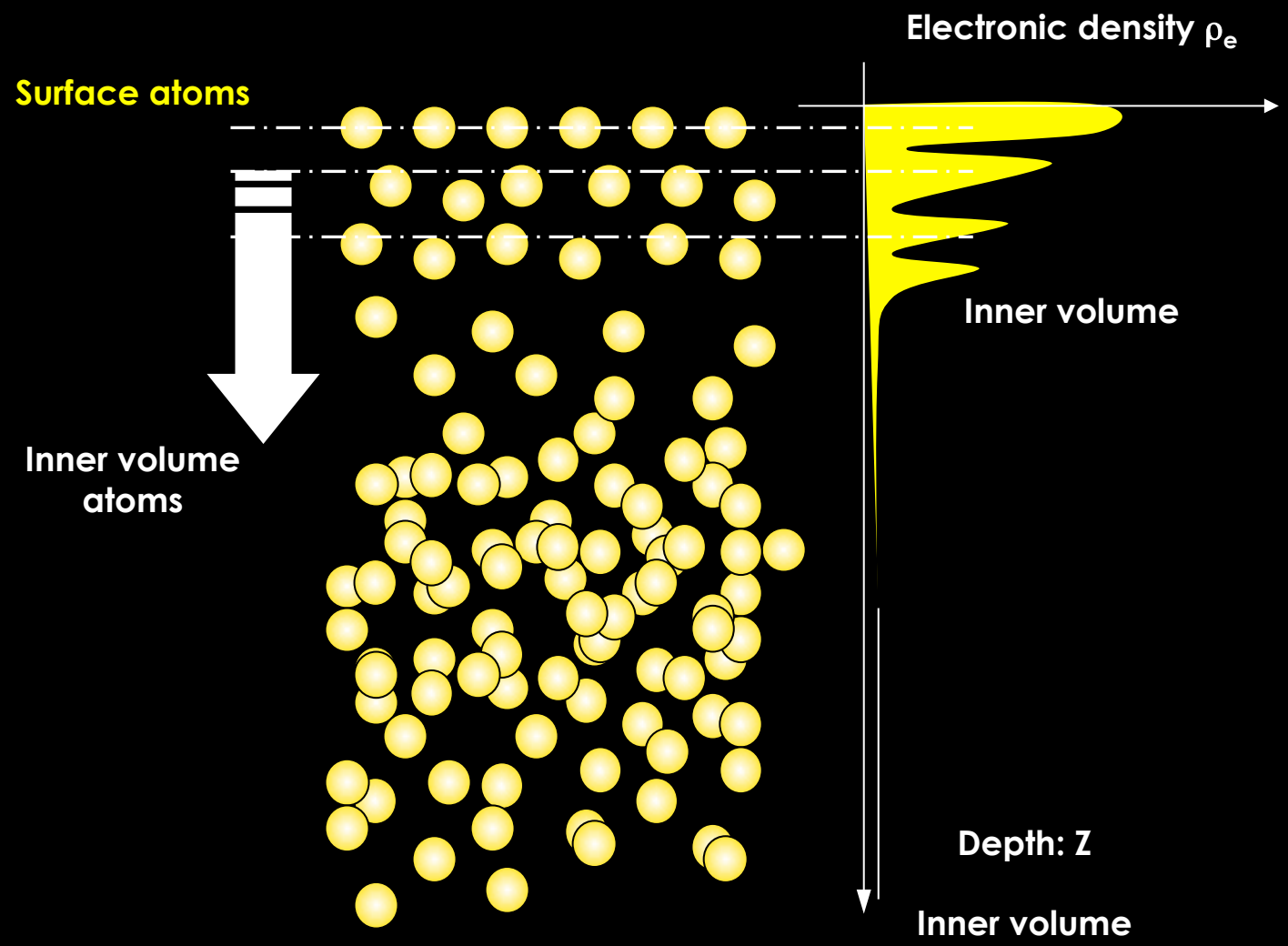
## -Surface Tension



Highest Surface Tension@ 293K:  $\gamma \approx 486 \cdot 10^{-3} \text{ SI}$

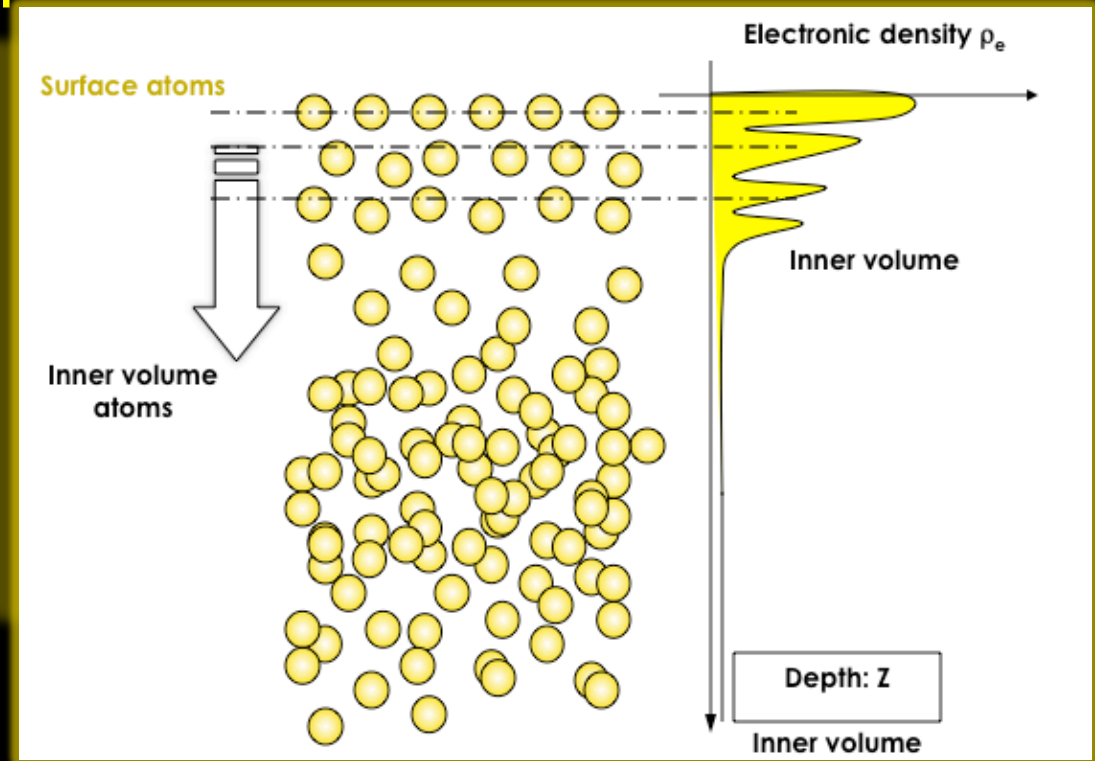
# NANO: LOCALIZATION & CONFINEMENT

## -Surface Tension



# NANO: LOCALIZATION & CONFINEMENT

## -Surface Tension



### THEORETICAL PREDICTIONS:

- Jellium Model,
- DFT Formalism,
- Non-Local Pseudo-Potential,
- Perturbation Expansion to 2<sup>nd</sup> Order in e-ion Pseudo-Potential

“Chacon-Gomez, PRB. 1992”

**SURFACE ATOMIC ORDER at Hg Liquid- INTERFACE “SOLID Hg” REQUIRES EXTERNAL HIGH PRESSURE**

# NANO: LOCALIZATION & CONFINEMENT

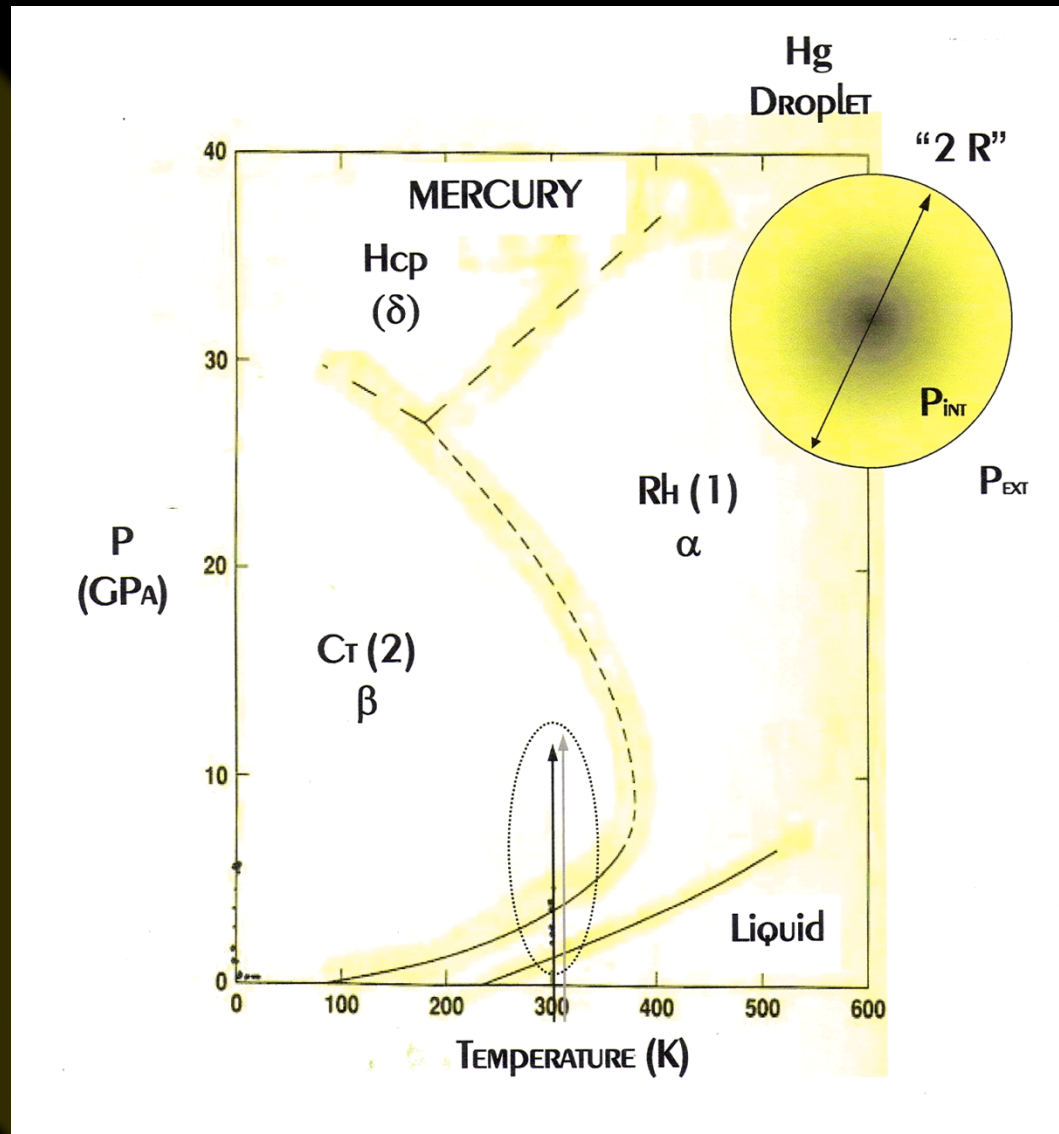
## -Surface Tension

$$\Delta P \approx 8 \gamma / \phi,$$

$$\gamma \approx 486 \cdot 10^{-3} \text{ N/m},$$
$$0.76 \text{ GPa},$$

$$\phi/2 \approx 1.28 \text{ nm},$$

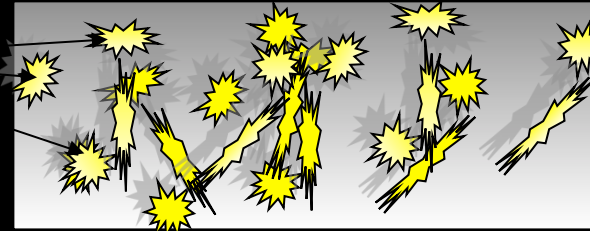
Nano-Scaled  
Mercury



# NANO: LOCALIZATION & CONFINEMENT

## -Surface Tension

Voids



Vycor host glass  
(Charnaya, 2001)

Open  
framework



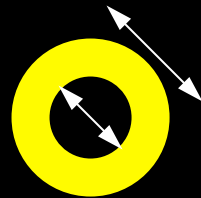
Ultra-porous  
Carbon host matrix  
(Kasperovich, 2003)

$Mg_3SiO_5(OH)_4$   
(Chrysotile asbestos)

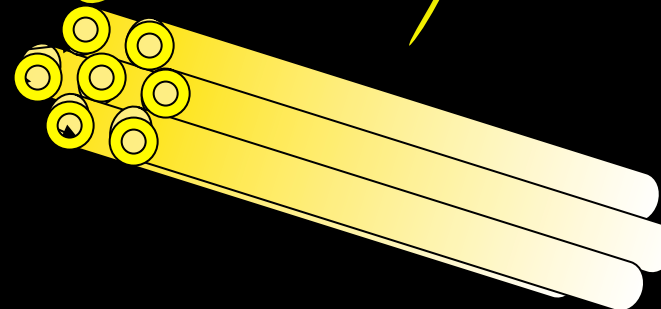
$\phi_{int} \sim 3.5-20 \text{ nm}$

$\phi_{ext} \sim 30-50 \text{ nm}$

$L \sim 1 \text{ cm}$



Tubular open  
tunnels

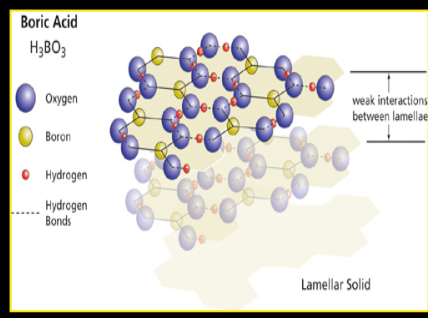
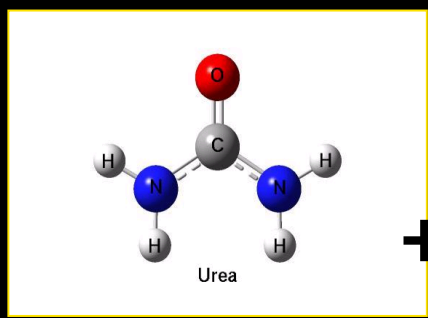
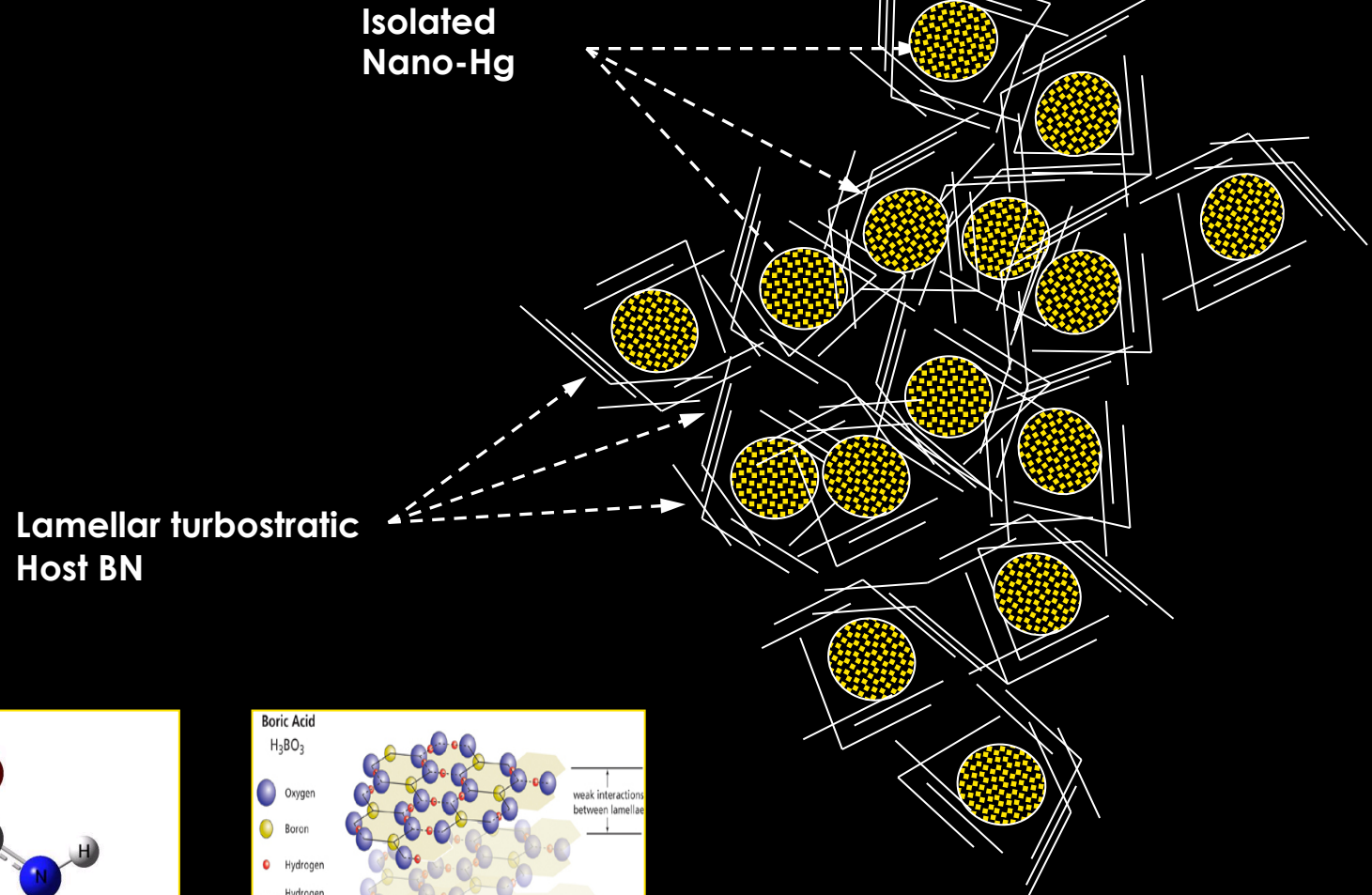


Asbestos host  
matrix  
(Kumzerov & al, 2003)



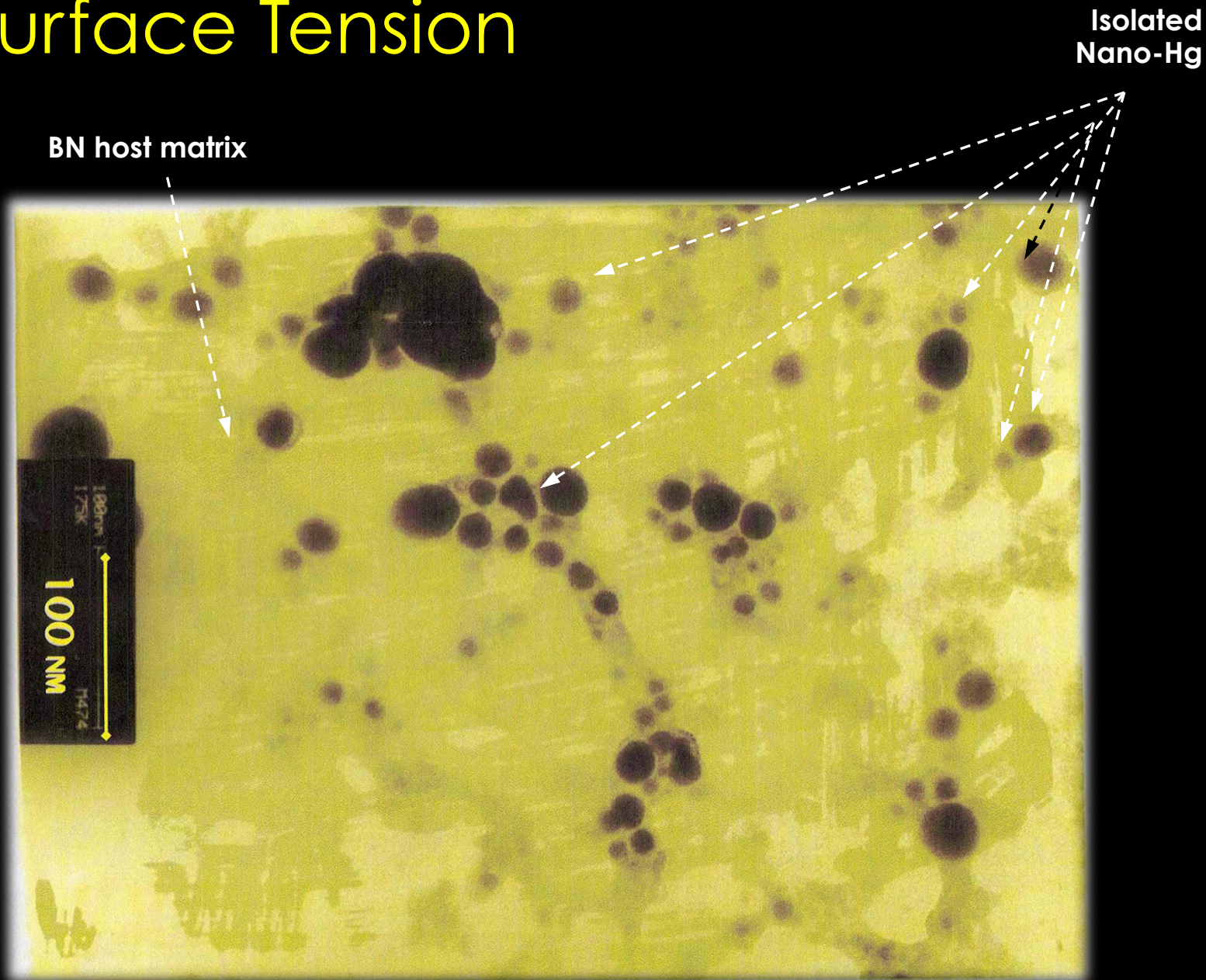
# NANO: LOCALIZATION & CONFINEMENT

## -Surface Tension



# NANO: LOCALIZATION & CONFINEMENT

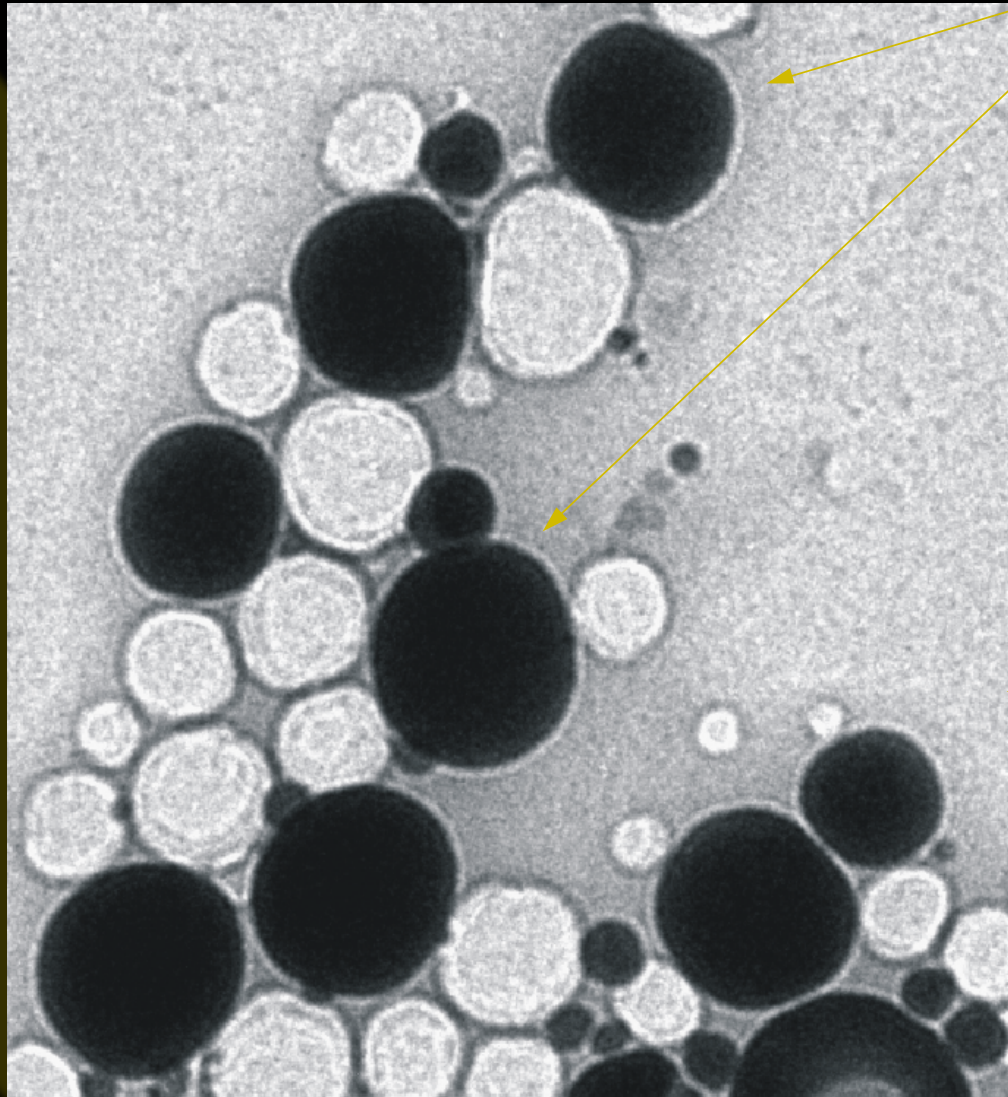
## -Surface Tension





# NANO: LOCALIZATION & CONFINEMENT

## -Surface Tension



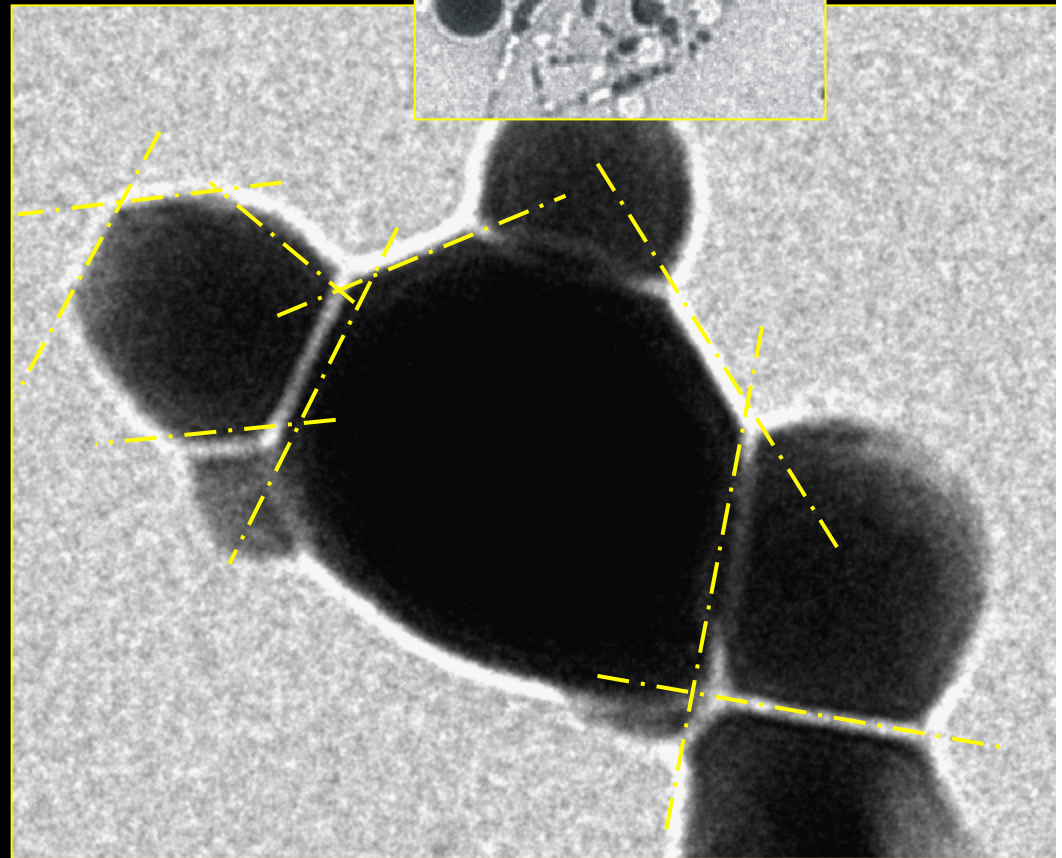
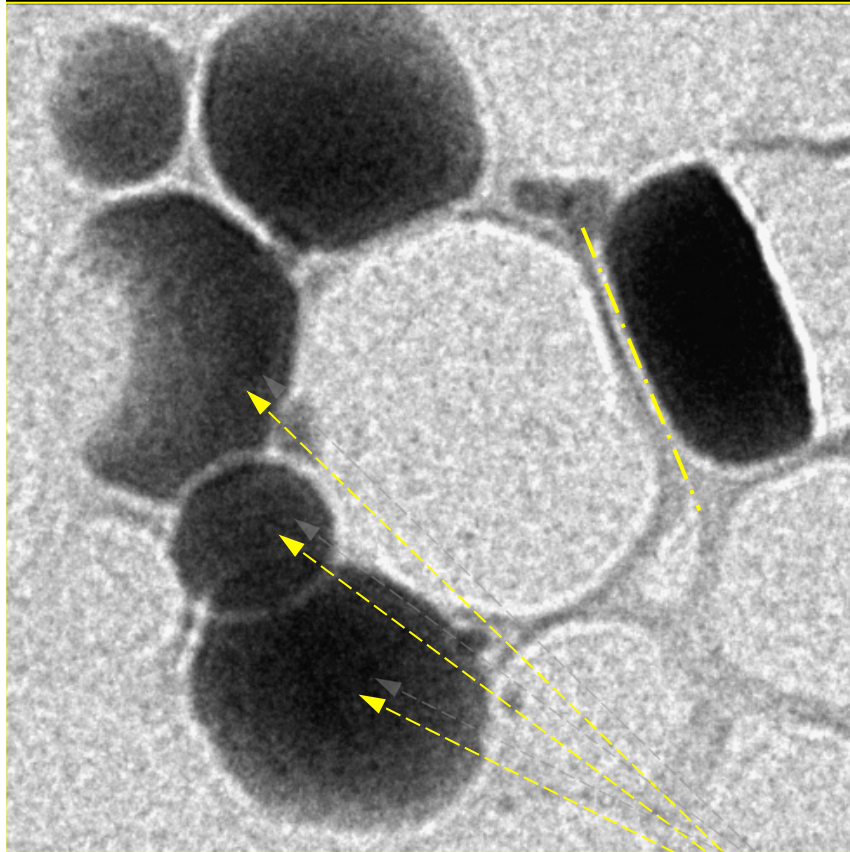
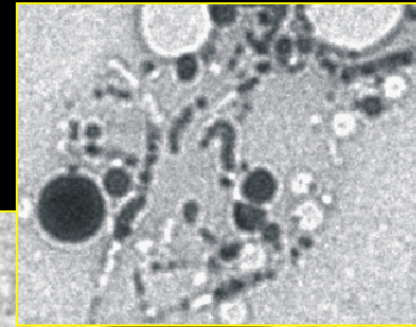
BN coating  
layer

$\phi \gg 3 \text{ nm}$

Host BN

# NANO: LOCALIZATION & CONFINEMENT

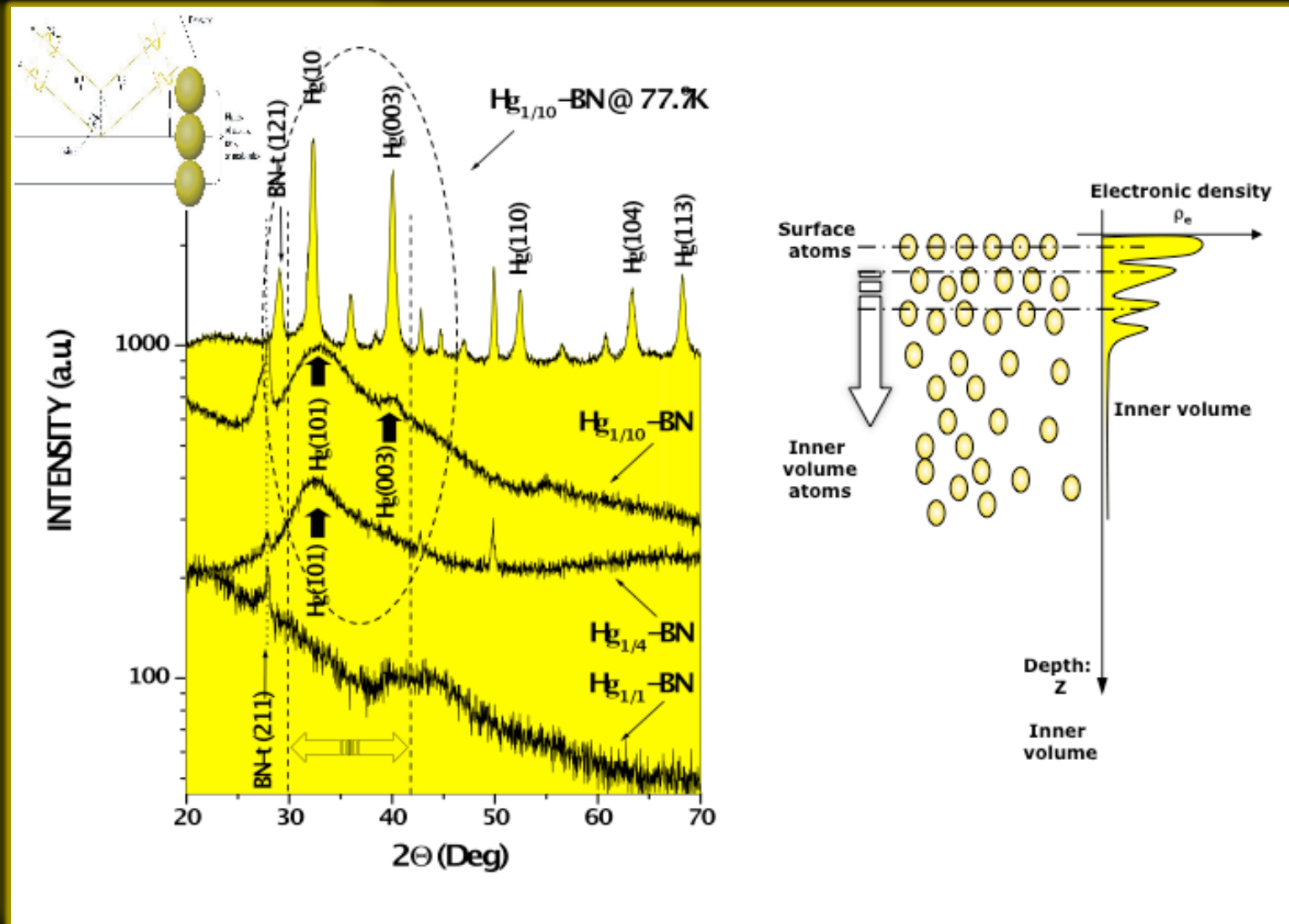
## -Surface Tension



$$\phi \leq 3 \text{ nm}$$

# NANO: LOCALIZATION & CONFINEMENT

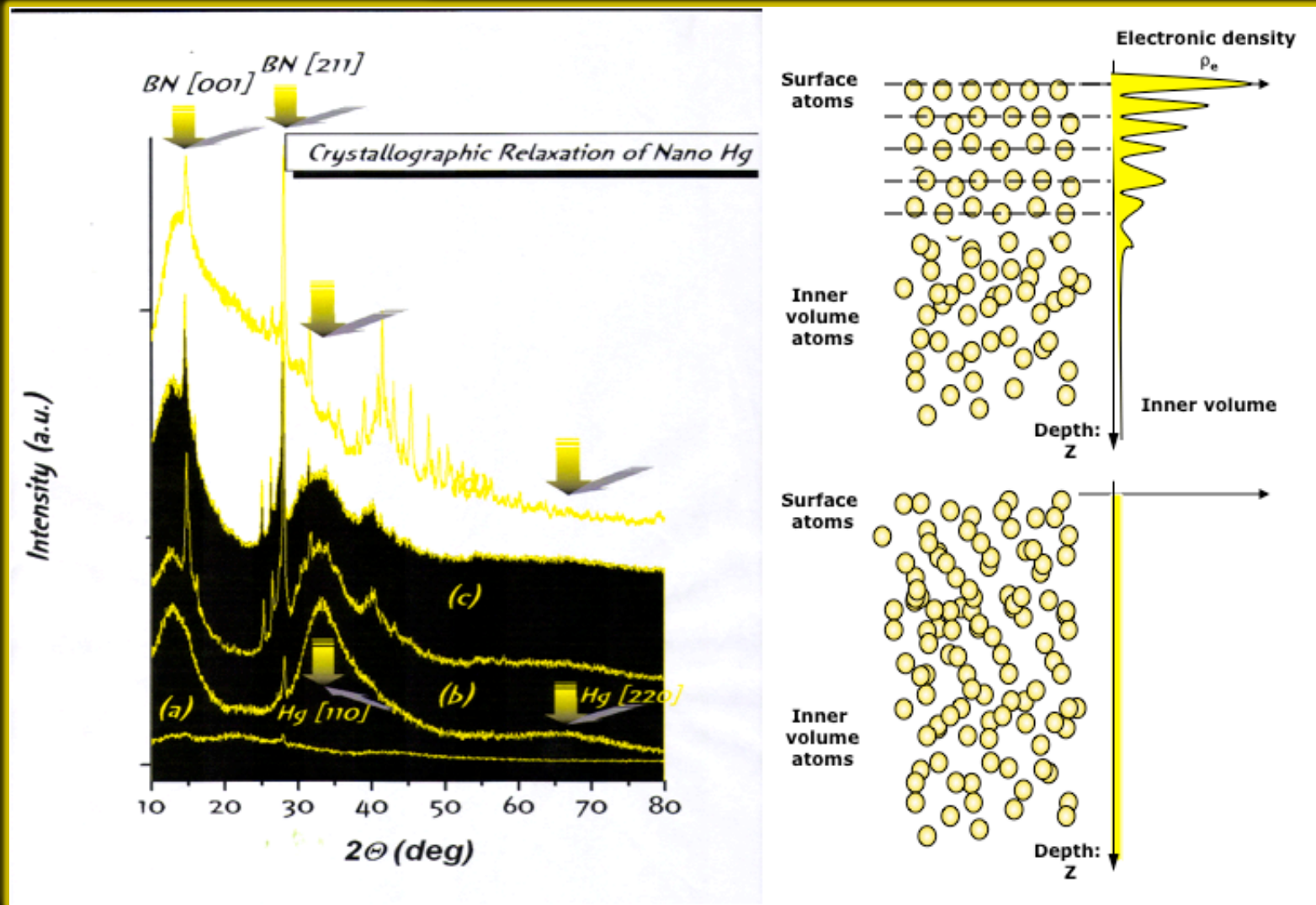
## -Surface Tension





# NANO: LOCALIZATION & CONFINEMENT

## -Surface Tension



# NANO-SCALED SYSTEMS: LOCALIZATION & CONFINEMENT

Maaza@tlabs.ac.za  
Maazam@unisa.ac.za



United Nations  
Educational, Scientific and  
Cultural Organization



• UNESCO-UNISA Africa Chair  
• in Nanosciences/Nanotechnology  
• (South Africa)  
•  
•



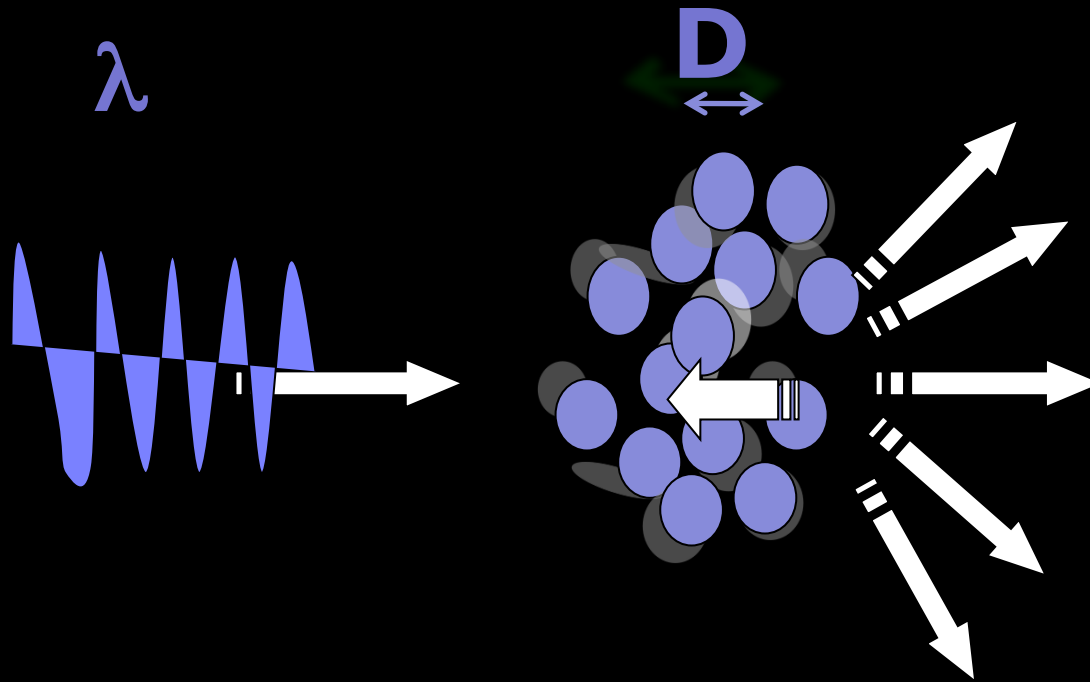




# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization

≠ Scattering cases



- Rayleigh type

$$\sigma \propto 1/\lambda^4, D < 1$$

- Mie type

$D \approx \lambda$ , not analytically solvable for arbitrarily shapes

- Anderson loc.:  
 $1/2$  disordered syst.  
Random walk type

# NANO: LOCALIZATION & CONFINEMENT

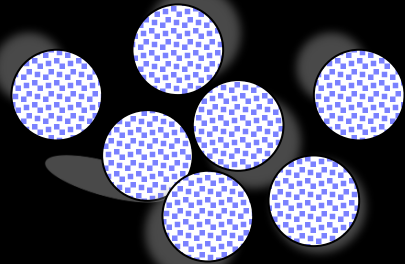
## –Anderson Localization

- e wave-packet localization “Anderson, 1958”,
- Scaling theory of localization “Brahams, 1979”,
- Microwave localiz. by 2-D “Dalichaouch, 1991”,
- Light localiz. in disordered Sys “Wiersma, 1997”,
- Light localiz. By cold atoms “Labeyrie, 1999”,
- Multiple light scattering by atoms “Kaiser, 2000”,
- Lasing in disordered media “Cao, 2000”,
- Nanowire lasers “Maslov, 2003”,
- Optical necklace states 1-D “Bertolotti, 2005”,
- Lasing in single nanowire “Agarwal, 2005”,
- Anderson loc. In CNTs “Maaza & al, 2007”.

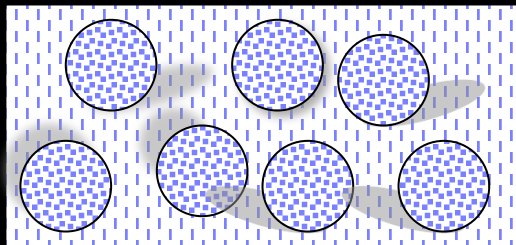
# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization

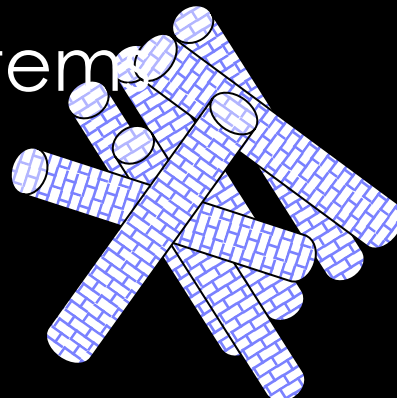
1-Free nanoparticles



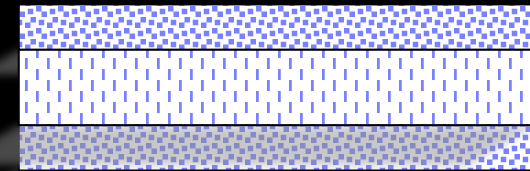
2-Nano-composites



3-nano-Tubular systems



4-Nano-sandwich systems



5-Nano-Multilayered systs.





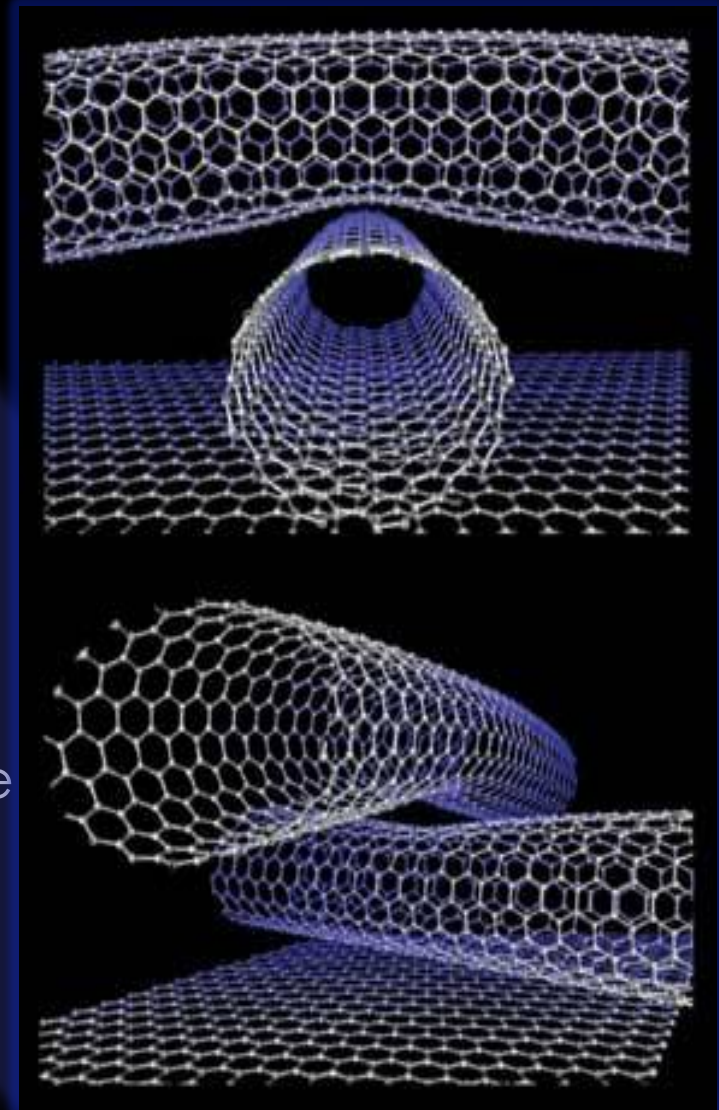
# NANO: LOCALIZATION & CONFINEMENT

## –Anderson Localization

- CNTs SHAPE ANISOTROPY : Exploration as
- Alternative to crystal lattice for particles channeling guiding/ 0.34 nm free space between graphitic sheets/ still  $\approx 2$  standard crystal lattice
- Possible new source for Hard X-rays
- Highly directional  $\gamma$ -rays source for selective photo-nuclear reactions

“Letokhov, PLA 2002”

“Letokhov, PLA.2003-Artru, PRL.2004”



# NANO: LOCALIZATION & CONFINEMENT

## –Anderson Localization

- Semi-Disordered media, the transport of light with wavelength “ $\lambda$ ” depends strongly on the length scales of the system. Relevant scales are the transport mean free path “ $\xi$ ” the distance after which the propagation direction of light is randomized & sample thickness “ $D$ ”.

- 3 main scattering processes can take place in such Semi-Disordered media with total transmission “ $T$ ”:

(i) Diffusive scattering in non-absorbing medium:  $\lambda < \xi \ll D$ ,  $T \cong \xi/D$

(ii) Weak Anderson Loc.:  $2\pi\xi/\lambda \rightarrow 1$ ,  $T \cong 1/D^2$

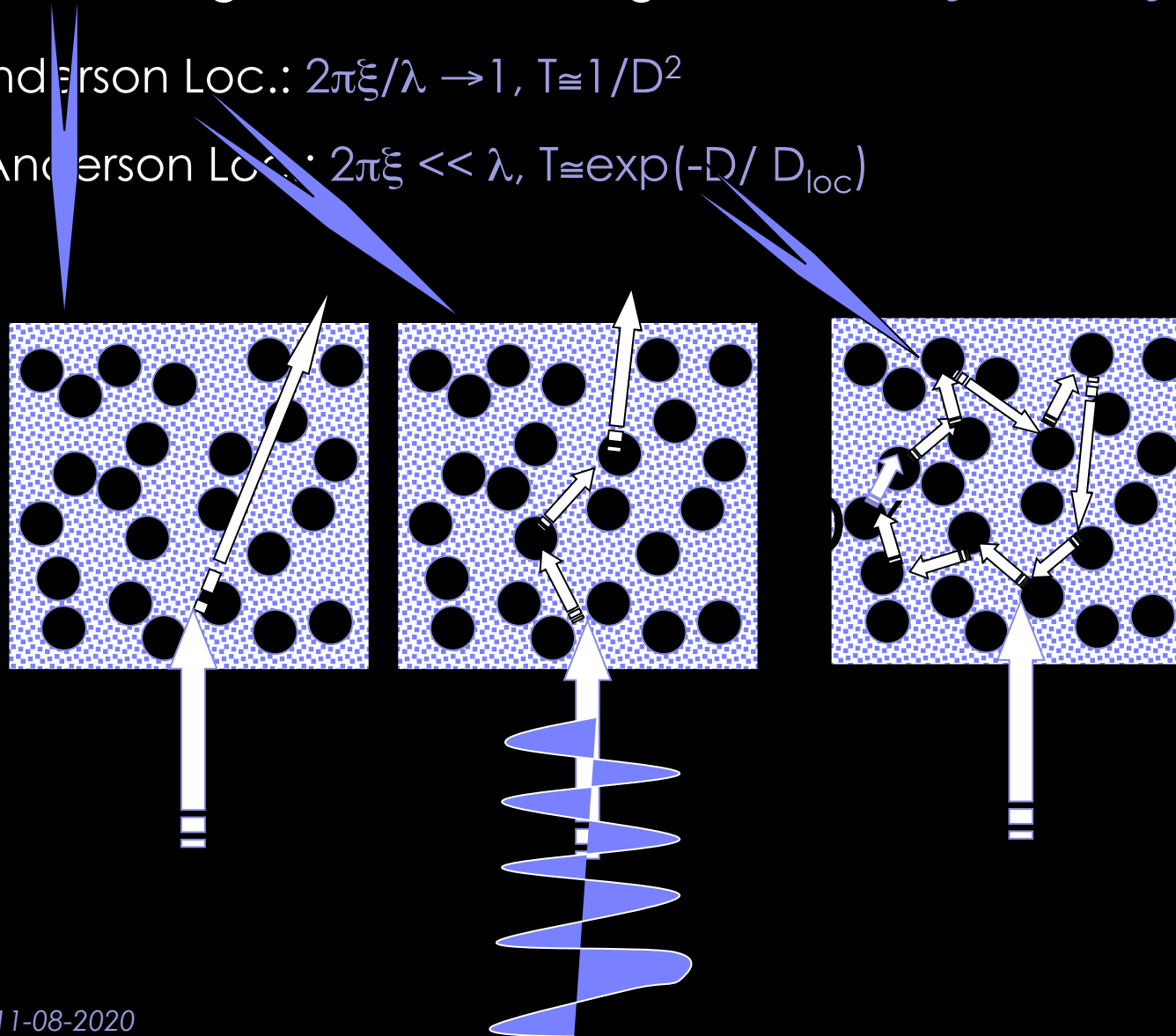
(iii) Strong Anderson Loc.:  $2\pi\xi \ll \lambda$ ,  $T \cong \exp(-D/D_{loc})$

# NANO: LOCALIZATION & CONFINEMENT

(i) Diffusive scattering in non-absorbing medium:  $\lambda < \xi \ll D$ ,  $T \cong \xi/D$

(ii) Weak Anderson Loc.:  $2\pi\xi/\lambda \rightarrow 1$ ,  $T \cong 1/D^2$

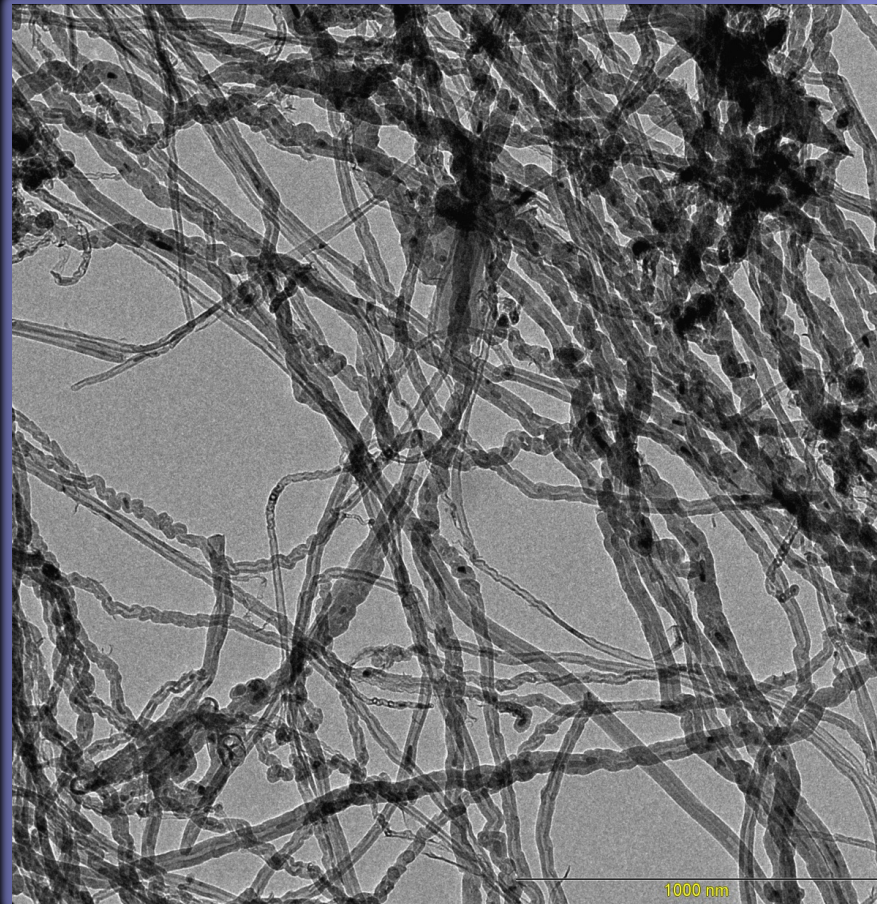
(iii) Strong Anderson Loc.:  $2\pi\xi \ll \lambda$ ,  $T \cong \exp(-D/D_{loc})$



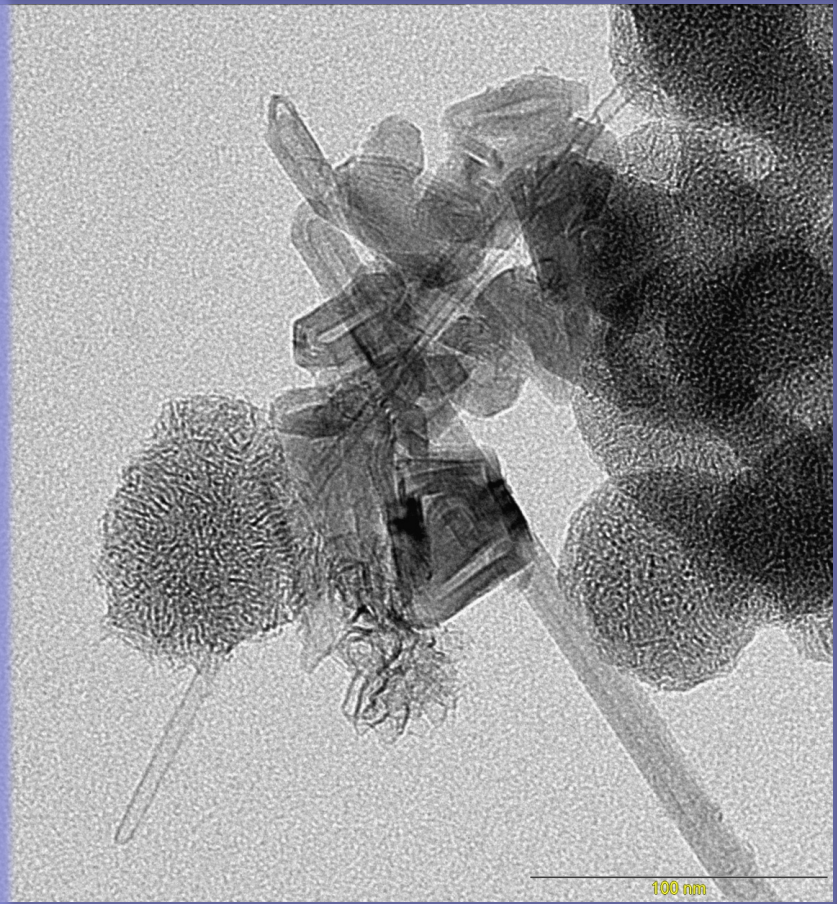


# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization

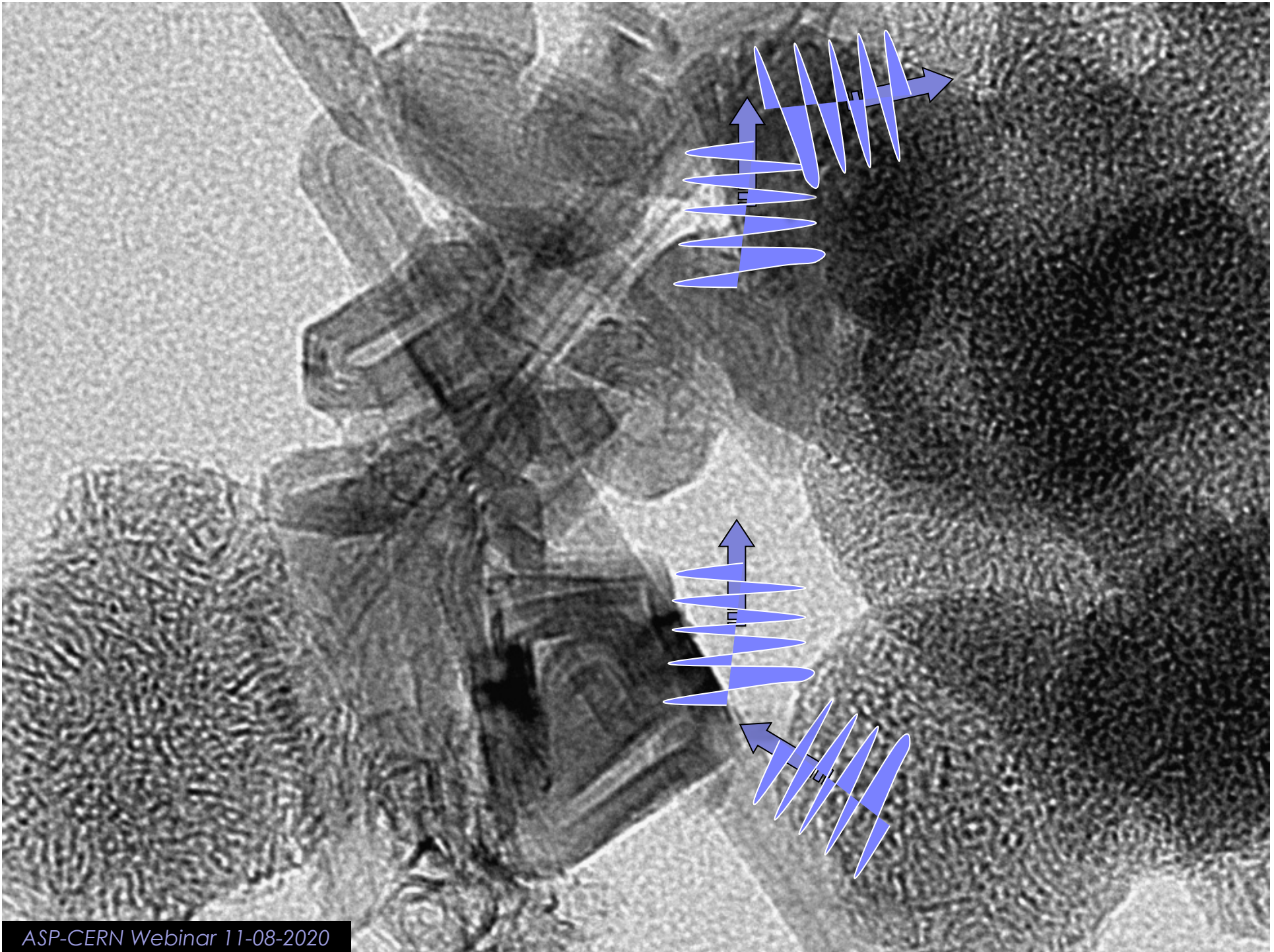


Spaghetti-type



Ship-shaped type

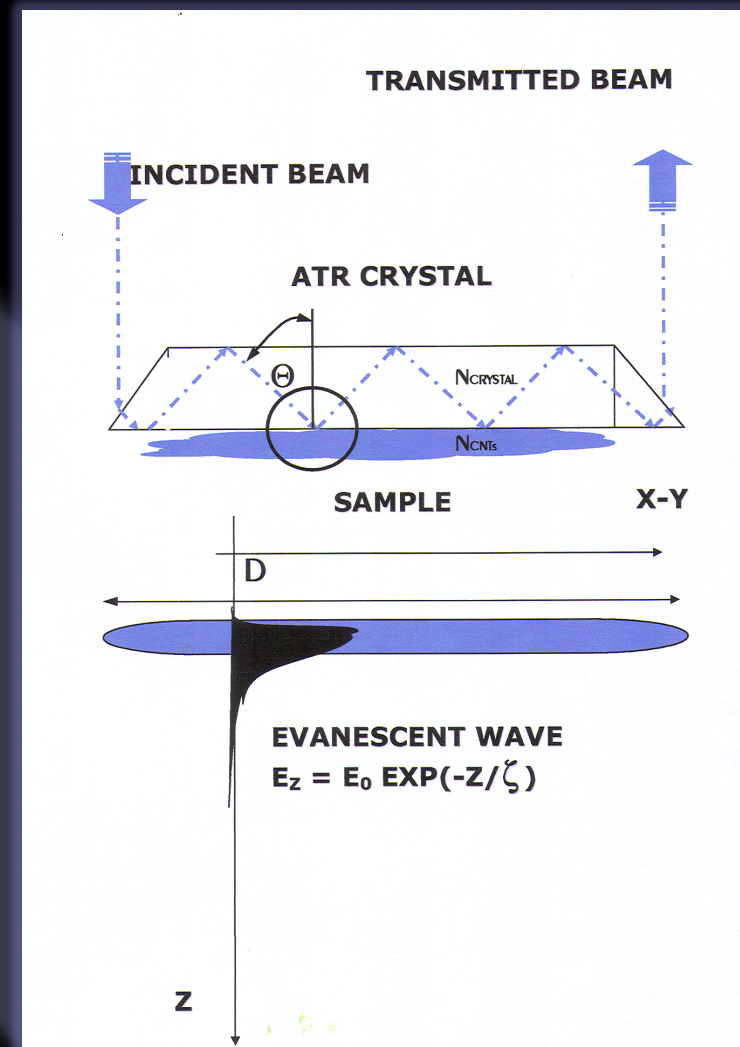




# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization

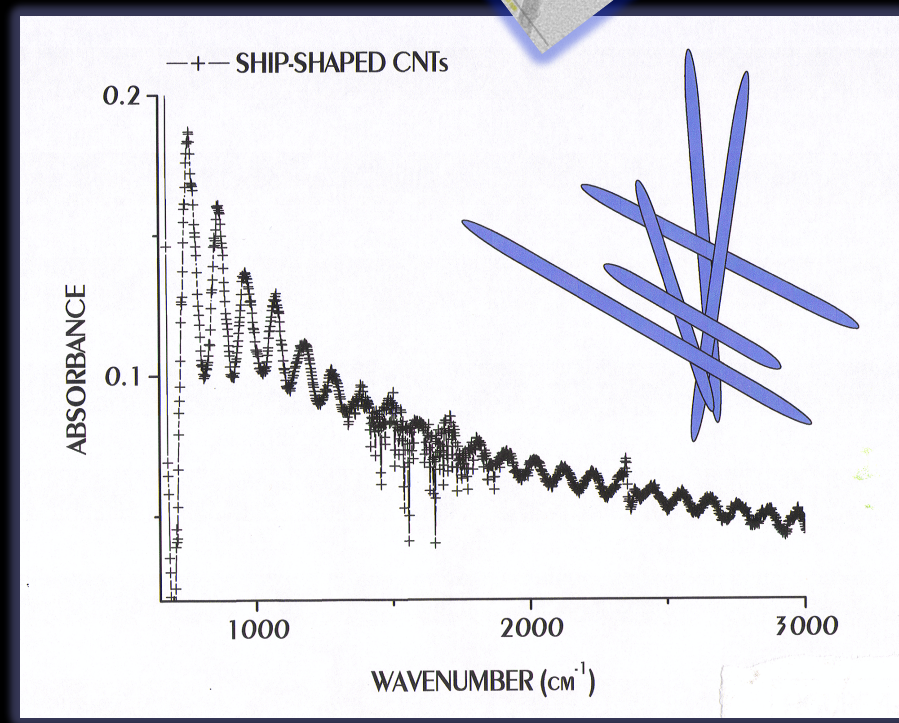
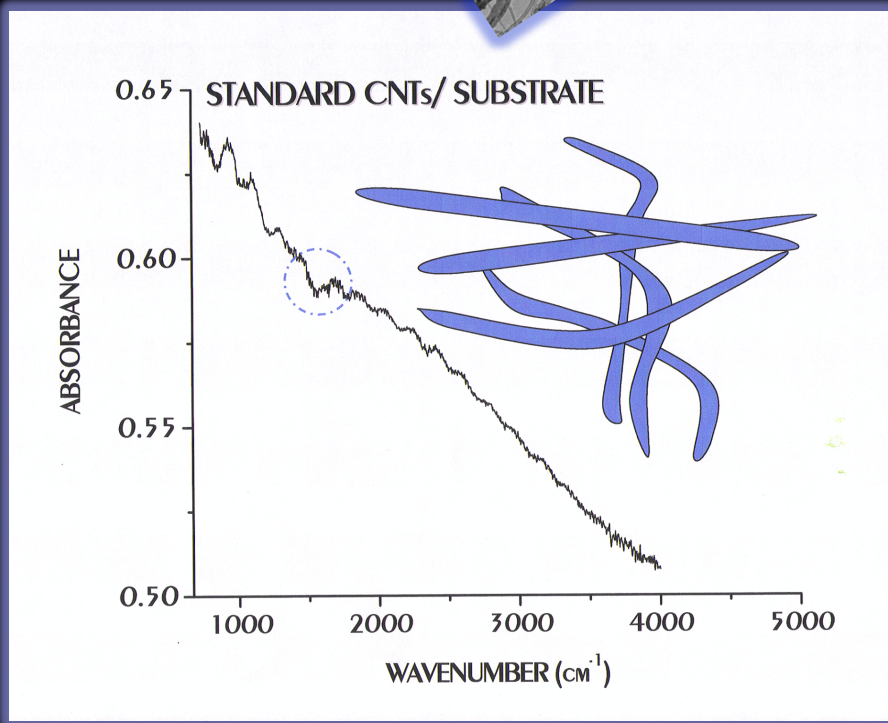
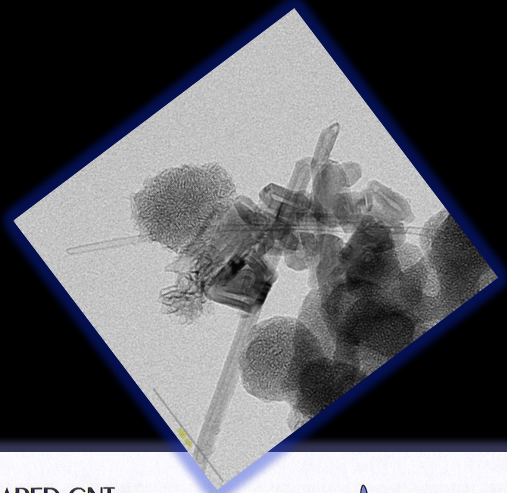
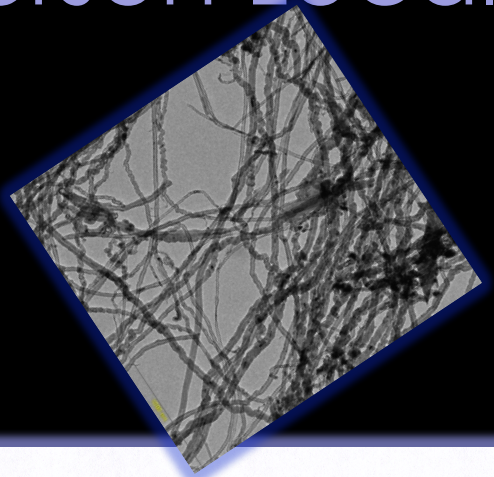
- $E_z = E_0 \exp(-z / \xi_{\text{Depth}})$
- $\xi_{\text{Depth}} = (\lambda / 2\pi n_{\text{Cryst}}) (\sin^2 \theta - (n_{\text{CNTs}} / n_{\text{Cryst}})^2)^{1/2}$
- Germanium crystal:
  - $n_{\text{Ge}} \approx 4.0$  in IR-FIR
- $\xi_{\text{Depth}} (\sigma = 1000 \text{cm}^{-1}) =$ 
  - ZnSe:  $1.66 \mu\text{m}$
  - AMTIR:  $1.46 \mu\text{m}$
  - Ge:  $0.65 \mu\text{m}$
- High rupture modulus:  $7 \cdot 10^3 \text{Psi}$





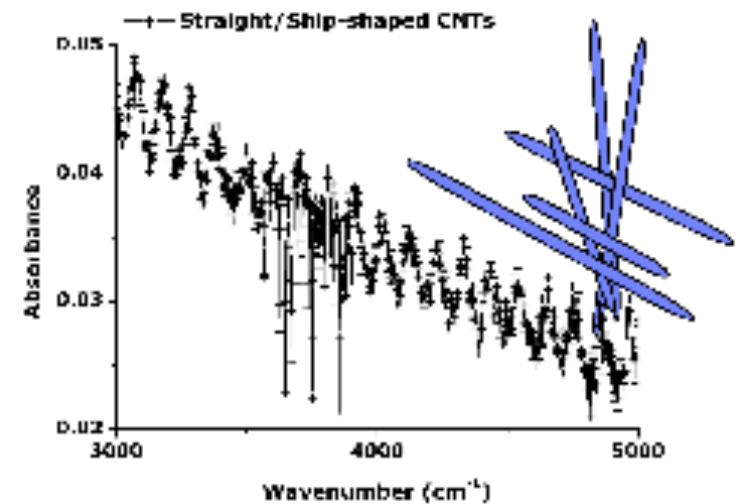
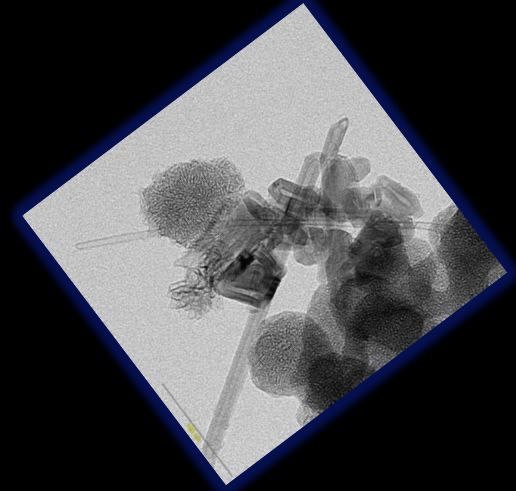
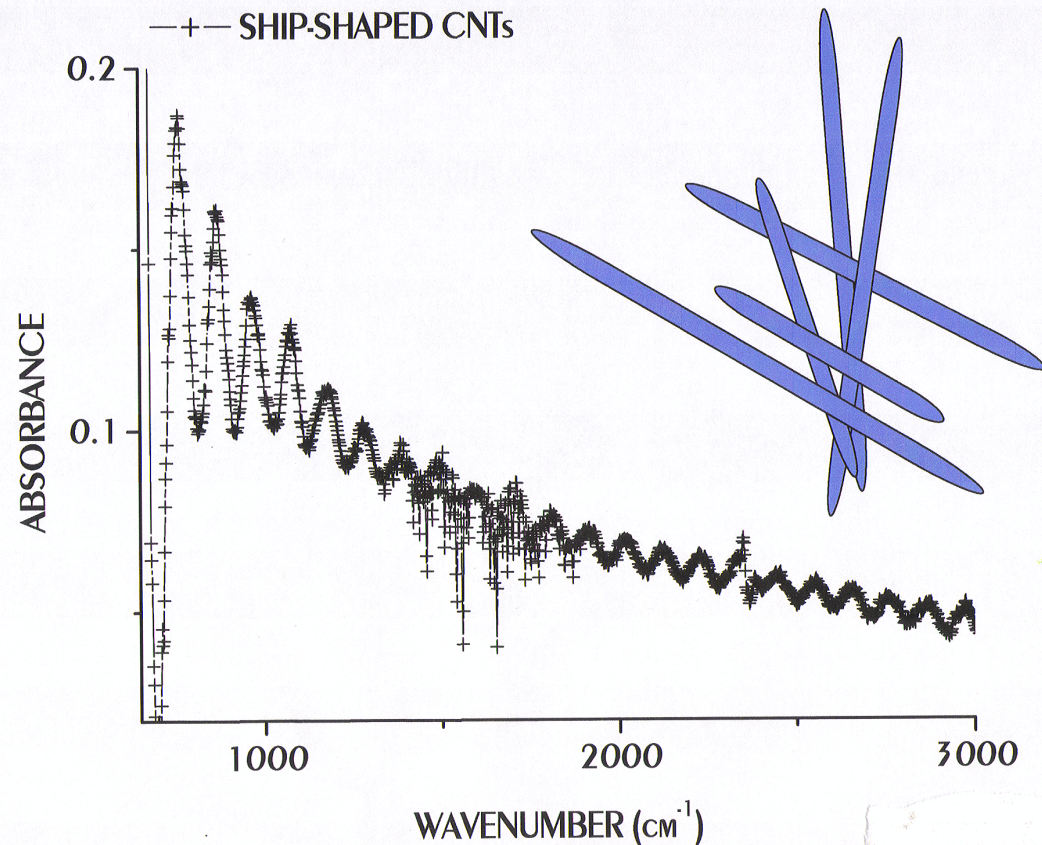
# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization



# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization

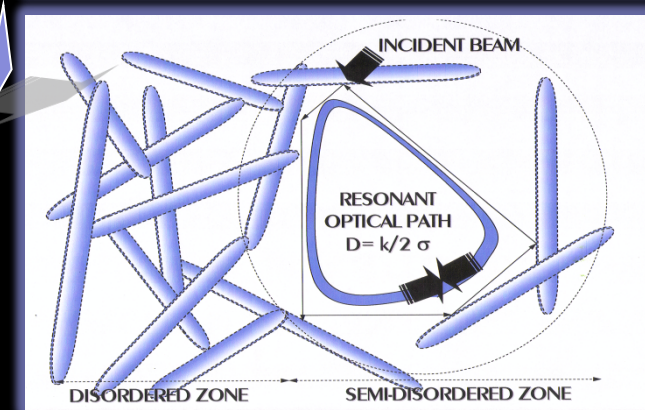
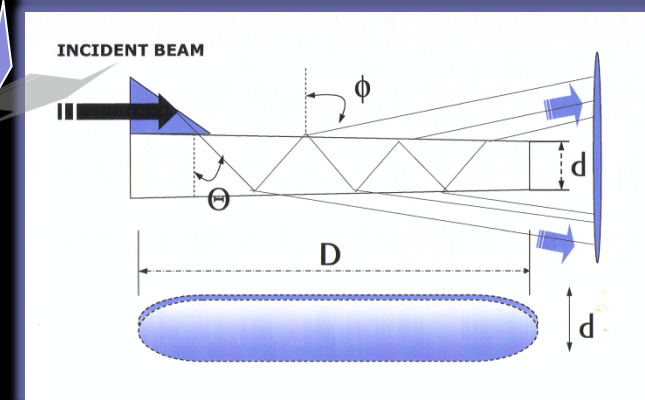
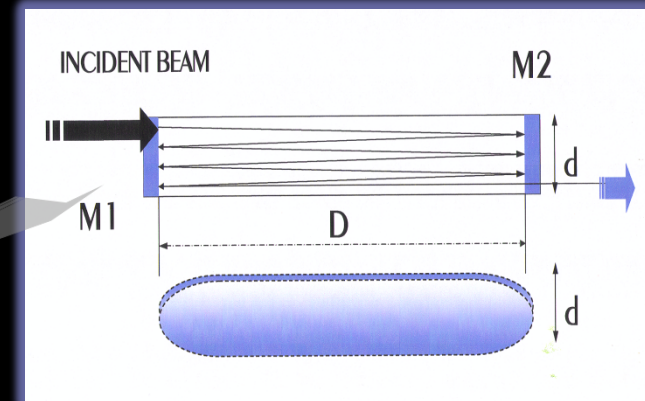
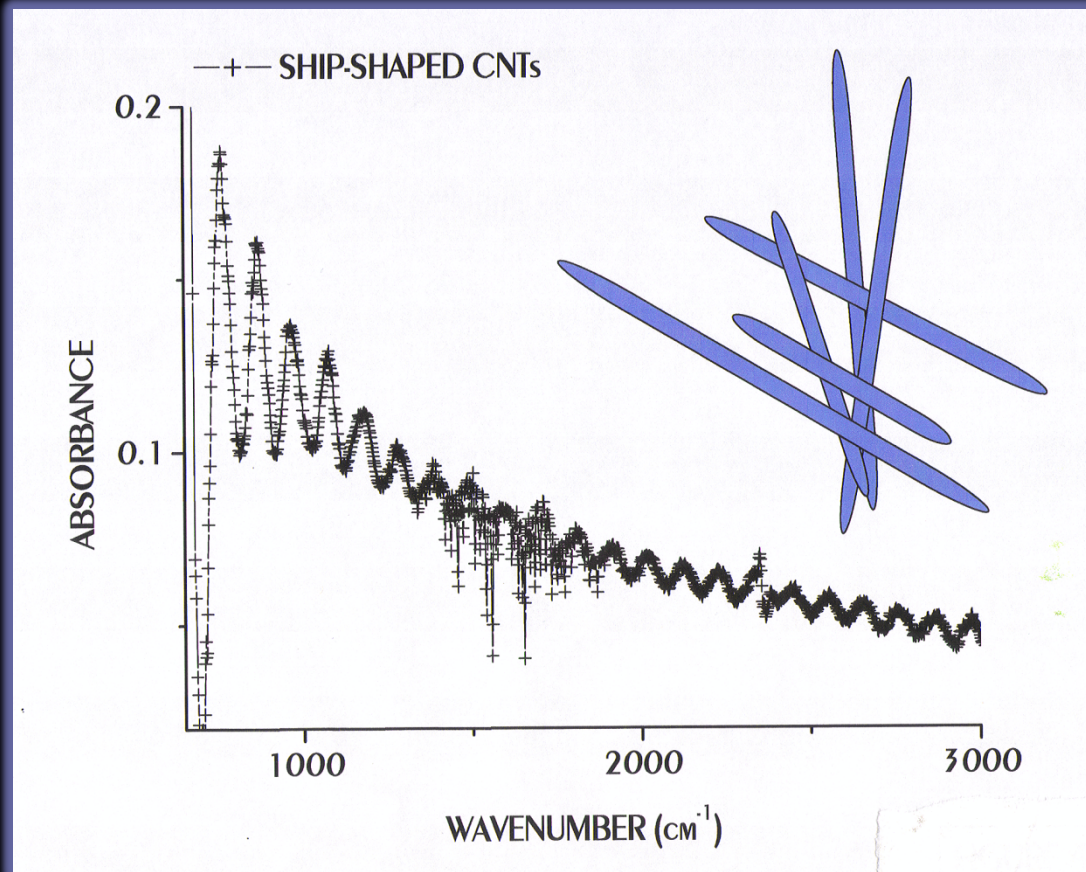


- Interference:  $\approx 40$  maxima,  $\Delta\sigma \approx 105$  cm<sup>-1</sup>
- Envelope: Exponential decay



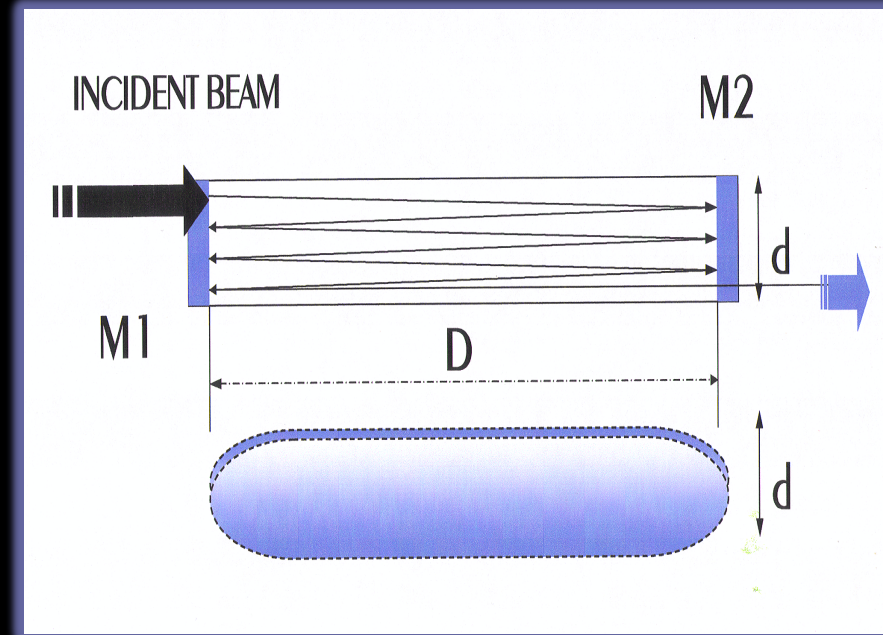
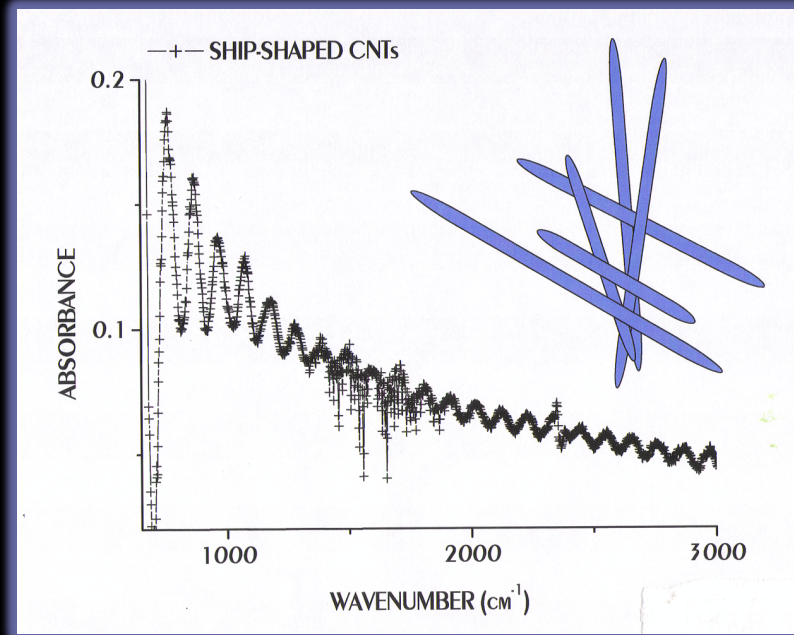
# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization



# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization

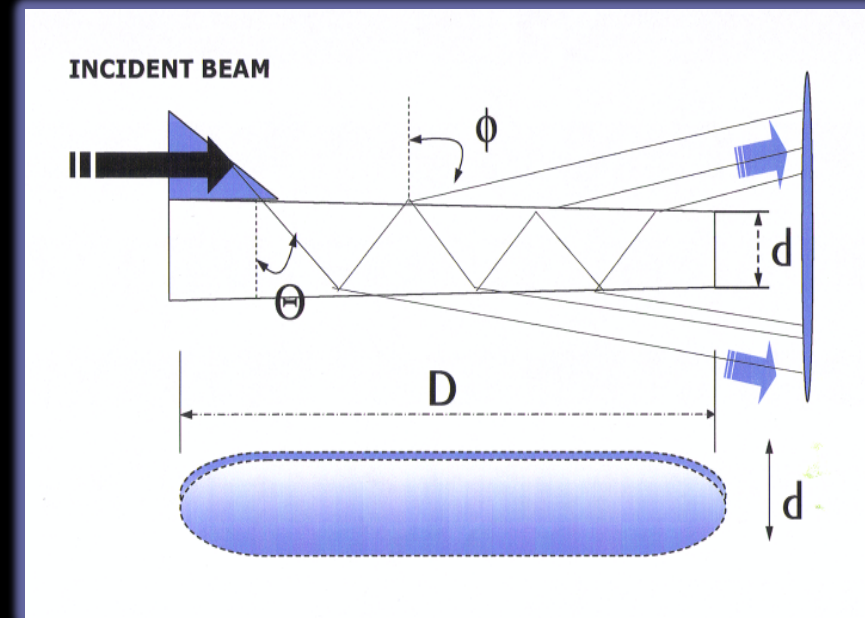
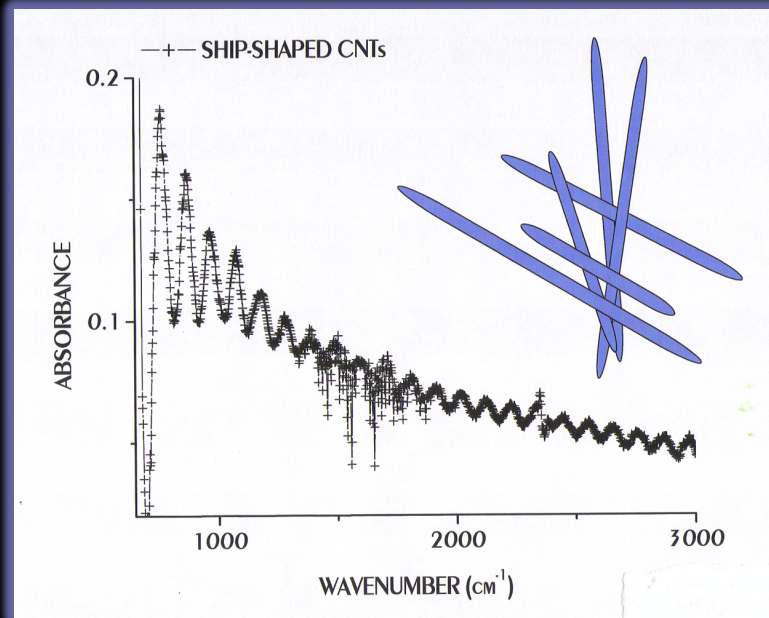


- $\sigma_k = \frac{1}{2} k n_{\text{Cav}} D_{\text{Cav}}$
- $\Delta\sigma = \frac{1}{2} n_{\text{Cav}} D_{\text{Cav}} \cong 105 \text{ cm}^{-1}: D_{\text{Cav}} \approx 47 \mu\text{m} / \langle L_{\text{CNTs}} \rangle 0.6-3.7 \mu\text{m}$
- $R = (\Delta\sigma_{\text{HWHM}} 2\pi n_{\text{Cav}} D_{\text{Cav}}) + \sqrt{((\Delta\sigma_{\text{max}} 2\pi n_{\text{Cav}} D_{\text{Cav}})^2 + 4)} / 2 \approx 66.4\% !!$



# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization



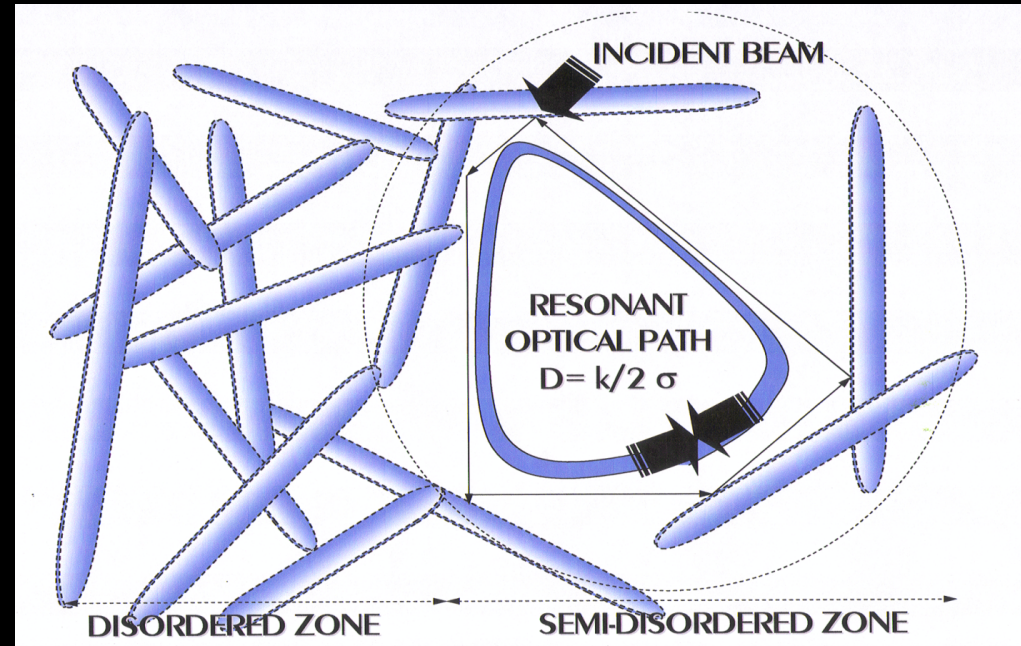
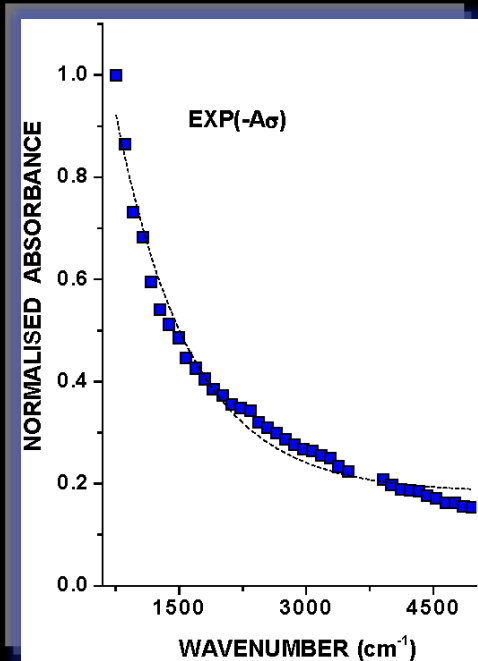
- $\sigma_k = \frac{1}{2} k (n_{\text{Cav}}^2 - \sin^2 \phi)$
- TEM:  $\langle L_{\text{CNTs}} \rangle = 0.6 - 3.7 \mu\text{m}$ , Transversal  $\approx 1 - 15$  graphitic layers

$$\Delta\sigma \approx 7 - 52 \cdot 10^7 \text{ cm}^{-1} \text{ !!!!}$$

$$\Delta\sigma_{\text{Exp}} \approx 150 - 185 \text{ cm}^{-1}$$

# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization



→ As the absorption maxima with an exponential decaying envelope, occurs at equal  $\Delta\sigma$ , it might be generated by a resonating cavity of an optical length  $n_{CAV} D_{CAV}$  ;  $D_{CAV} \approx 46.7 \mu\text{m}$  due to the ship-shaped CNTs (0.06- 3.7  $\mu\text{m}$  long): signature of a strong Anderson localization.

# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization

638 *Int. J. Nanotechnol., Vol. 4, No. 6, 2007*

### On the possible optical resonance in carbon nanotubes based cavities

### Localization of light in a disordered medium

Diederik S. Wiersma\*, Paolo Bartolini\*, Ad Lagendijk† & Roberto Righini\*

\* European Laboratory for Non-Linear Spectroscopy, Largo E. Fermi 2, 50125 Florence, Italy

† Van der Waals-Zeeman Laboratory, Valckenierstraat 65-67, 1018 XE Amsterdam, The Netherlands

NATURE | VOL 390 | 18/25 DECEMBER 1997

*Journal of Statistical Physics, Vol. 76, pp. 985-1003, 1994*

### Localization of Electromagnetic and Acoustic Waves in Random Media. Lattice Models

Alexander Figotin\*  
Department of Mathematics  
University of North Carolina, Charlotte  
Charlotte, NC 28223

Abel Klein†  
Department of Mathematics  
University of California, Irvine  
Irvine, CA 92717-3875

Govindaraj, A. and Rao, C.N.R. (2002) 'Organometallic route to carbon nanotubes', *Pure Appl. Chem.*, Vol. 74, No. 9, p.1571.

Richard, C., Balavoine, F., Schultz, P., Ebessen, T.W. and Minkowski, Ch., (2003) 'Supramolecular self-assembly of lipid derivatives on carbon nanotubes', *Science*, Vol. 300, No. 5620, p.775.

Zhevago, N.K. and Glebov, V.I. (1998) 'Channeling of fast charged and neutral particles in nanotubes', *Phys. Lett. A*, Vol. 250, p.360.

Klimov, V.V. and Letokhov, V.S. (1996) 'Hard X-radiation emitted by a charged particle moving in a carbon nanotube', *Phys. Lett. A*, Vol. 222, No. 6, p.424.

Klimov, V.V. and Letokhov, V.S. (1997) 'Carbon nanotubes and fullerites in high-energy and X-ray physics', *Phys. Lett. A*, Vol. 226, Nos. 3-4, p.244.

Artru, X., Fomin, S.P., Shul'ga, N.F., Ispirian, K.A. and Zhevago, N.K. (2005) 'Carbon nanotubes in high-energy physics', *Phys. Rep.*, Vol. 412, Nos. 2-3, p.89.

Agarwal, R., Barrelet, C.J. and Lieber, C.M. (2005) 'Lasing in single cadmium sulfide nanowire optical cavities', *Nano Lett.*, Vol. 5, No. 5, p.917.

Johnson, J.C., Choi, H.J., Knutsen, K.P., Schaller, R.D., Yang, P. and Saykally, R. (2002) 'Single gallium nitride nanowire lasers', *Nat. Mater.*, Vol. 1, pp.106-110.

Cao, H., Xu, J.Y., Zhang, D.Z., Chang, S.H., Ho, S.T., Seelig, E.W., Liu, X. and Chang, R.P.H. (2000) 'Spatial confinement of laser light in active random media', *Phys. Rev. Lett.*, Vol. 84, No. 24, p.5584.

Maslov, A.V. and Ning, C.Z. (2003) 'Reflection of guided modes in a semiconductor nanowire laser', *Appl. Phys. Lett.*, Vol. 83, p.1237.

Anderson, P.W. (1958) 'Absence of diffusion in certain random lattices', *Phys. Rev.*, Vol. 109, No. 5, p.1492.

Nath, M., Satishkumar, B.C., Govindaraj, A., Vinod, C.P. and Rao, C.N.R. (2000) 'Formation of bundles of aligned carbon and carbon-nitrogen nanotubes on silica-supported iron and cobalt catalysts', *Chem. Phys. Lett.*, Vol. 322, p.333.

Rao, C.N.R. and Govindaraj, A. (2002) 'Organometallic precursor route to carbon nanotubes', *Pure Appl. Chem.*, Vol. 74, No. 9, p.1571.

Brahms, E. (1979) 'Scaling theory of localization', *Phys. Rev. Lett.*, Vol. 42, p.673.

Lee, P.A. and Ramakrishnan, T.V. (1985) 'Disordered electronic systems', *Rev. Mod. Phys.*, Vol. 57, p.287.

Mott, N.F. (1990) *Metal-insulator Transitions*, Taylor & Francis, New York, London.

Dalichaouch, R., Armstrong, J.P., Schultz, S., Platzman, P.L. and McCall, S.L. (1991) 'Microwave localization by two-dimensional random scattering', *Nature*, Vol. 354, p.53.

Wiersma, D.S., Bartolini, P., Lagendijk, A. and Righini, R. (1997) 'Localization of light in a disordered medium', *Nature*, Vol. 390, p.671.

Labeyrie, G., de Tomasi, F., Bernard, J.C., Muller, C.A., Miniatura, C., Jonckheere, Th., Muller, C.A. and Kaiser, R. (1999) 'Coherent backscattering of light by cold atoms', *Phys. Rev. Lett.*, Vol. 83, No. 25, p.5266.

Kaiser, R., Miniatura, Ch. and Delalande, D. (2000) 'Multiple scattering of light by atoms in the weak localization regime', *Phys. Rev. Lett.*, Vol. 85, No. 20, p.4269.

Bertolotti, J., Gottardo, S., Wiersma, D.S., Ghulinyan, M. and Pavese, L. (2005) 'Optical Necklace States in Anderson Localized 1D Systems', *Phys. Rev. Lett.*, Vol. 94, p.113903.



# NANO: LOCALIZATION & CONFINEMENT

## -Anderson Localization

### On the possible optical resonance in carbon nanotubes based cavities

M. Maaza\*

Nanosciences Laboratories,  
Materials Research Group, iThemba LABS,  
P.O. Box 722, Somerset West 7129,  
Western Cape, South Africa  
E-mail: Maaza@tlabs.ac.za  
\*Corresponding author

T. Mhlungu and M.O. Ndwandwe

Physics and Engineering Department,  
University of Zululand,  
Private Bag X1001, Kwaladlangezwa, South Africa  
E-mail: Thembi.Mhlungu@eskom.co.za  
E-mail: Omndwandwe@pan.uzulu.ac.za

N. Cingo

Chemistry Department,  
University of South Africa,  
Preller Street, Muckleneuk,  
Pretoria, UNISA, South Africa  
E-mail: Cingo@unisa.ac.za

A.C. Beye

Physics Department,  
University of Cheikh Anta-Diop of Dakar,  
Dakar-Fann, Senegal, African Laser Centre,  
CSIR National Laser Centre,  
Pretoria 0001, South Africa

Princeton Materials Institute,  
Bowen Hall, 70 Prospect Av.,  
Princeton, NJ 08540-5211, USA  
E-mail: Acbeye@refer.sn

Copyright © 2007 Inderscience Enterprises Ltd.

### On the possible optical resonance in carbon nanotubes based cavities

A. Govindaraj and C.N.R. Rao

Jawaharlal Nehru Centre for Advanced Scientific Research,  
Akkur, Bangalore-560 064, India  
E-mail: Govind@jncasr.ac.in E-mail: Cnr Rao@jncasr.ac.in

**Abstract:** An enhanced interference phenomenon in the wavenumber profiles of ship-shaped carbon nanotubes observed by attenuated total reflection at room temperature interference phenomenon was considered as from an optical resonance effect and that single carbon nanotubes act as individual Fabry-Perot or Lummer-Gehrcke resonators. It is demonstrated that this interference phenomenon could be due to type localisation phenomenon due to resonant modes in ship-shaped carbon nanotubes nanopowder which could act as a resonant cavity.

**Keywords:** carbon nanotubes; attenuated total reflection; infrared spectroscopy; multiple scattering and random localisation phenomenon.

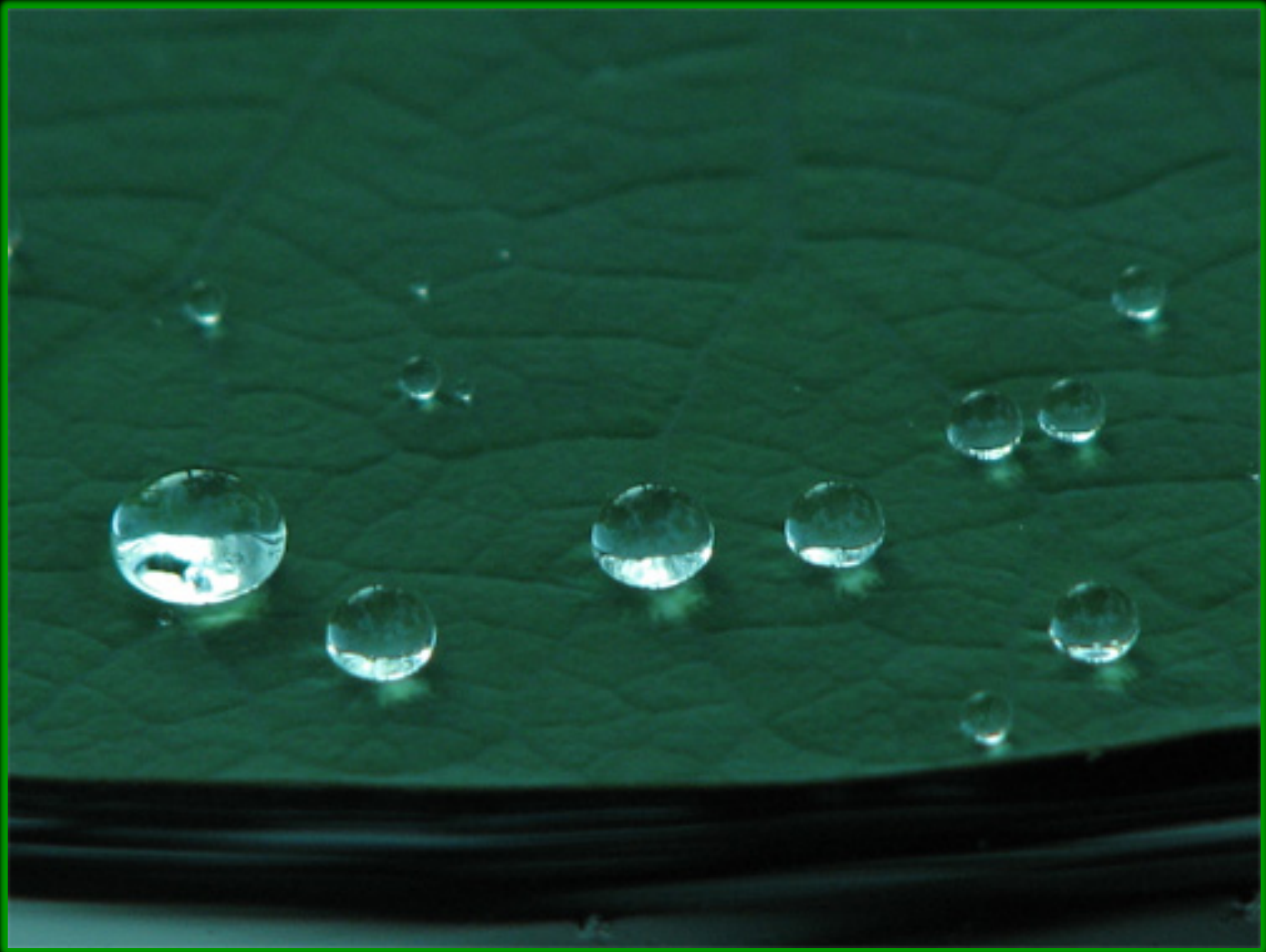
**Reference to this paper should be made as follows:** Maaza, M., Ndwandwe, M.O., Cingo, N., Beye, A.C., Govindaraj, A. and Rao, C.N.R. (2007) 'On the possible optical resonance in carbon nanotubes based cavities', *Int. J. Nanotechnol.*, Vol. 4, No. 6, pp.638-650.

**Biographical notes:** Dr. M. Maaza holds a MSc and PhD in Physics and Photonics at the Nanoscale from Paris VI University. His research interests include investigation of surface-interface phenomena, low dimensional systems and nano materials using optical based spectroscopic and laser facilities such

- Govindaraj, A. and Rao, C.N.R. (2002) 'Organometallic route to carbon nanotubes', *Pure Appl. Chem.*, Vol. 74, No. 9, p.1571.
- Richard, C., Balavoine, F., Schultz, P., Ebessen, T.W. and Minkowski, Ch., (2003) 'Supramolecular self-assembly of lipid derivatives on carbon nanotubes', *Science*, Vol. 300, No. 5620, p.775.
- Zhevago, N.K. and Glebov, V.I. (1998) 'Channeling of fast charged and neutral particles in nanotubes', *Phys. Lett. A*, Vol. 250, p.360.
- Klimov, V.V. and Letokhov, V.S. (1996) 'Hard X-radiation emitted by a charged particle moving in a carbon nanotube', *Phys. Lett. A*, Vol. 222, No. 6, p.424.
- Klimov, V.V. and Letokhov, V.S. (1997) 'Carbon nanotubes and fullerites in high-energy and X-ray physics', *Phys. Lett. A*, Vol. 226, Nos. 3-4, p.244.
- Artru, X., Fomin, S.P., Shul'ga, N.F., Ispirian, K.A. and Zhevago, N.K. (2005) 'Carbon nanotubes in high-energy physics', *Phys. Rep.*, Vol. 412, Nos. 2-3, p.89.
- Agarwal, R., Barrelet, C.J. and Lieber, C.M. (2005) 'Lasing in single cadmium sulfide nanowire optical cavities', *Nano Lett.*, Vol. 5, No. 5, p.917.
- Johnson, J.C., Choi, H.J., Knutsen, K.P., Schaller, R.D., Yang, P. and Saykally, R. (2002) 'Single gallium nitride nanowire lasers', *Nat. Mater.*, Vol. 1, pp.106-110.
- Cao, H., Xu, J.Y., Zhang, D.Z., Chang, S.H., Ho, S.T., Seelig, E.W., Liu, X. and Chang, R.P.H. (2000) 'Spatial confinement of laser light in active random media', *Phys. Rev. Lett.*, Vol. 84, No. 24, p.5584.
- Maslov, A.V. and Ning, C.Z. (2003) 'Reflection of guided modes in a semiconductor nanowire laser', *Appl. Phys. Lett.*, Vol. 83, p.1237.
- Anderson, P.W. (1958) 'Absence of diffusion in certain random lattices', *Phys. Rev.*, Vol. 109, No. 5, p.1492.
- Nath, M., Satishkumar, B.C., Govindaraj, A., Vinod, C.P. and Rao, C.N.R. (2000) 'Formation of bundles of aligned carbon and carbon-nitrogen nanotubes on silica-supported iron and cobalt catalysts', *Chem. Phys. Lett.*, Vol. 322, p.333.
- Rao, C.N.R. and Govindaraj, A. (2002) 'Organometallic precursor route to carbon nanotubes', *Pure Appl. Chem.*, Vol. 74, No. 9, p.1571.
- Brahams, E. (1979) 'Scaling theory of localization', *Phys. Rev. Lett.*, Vol. 42, p.673.
- Lee, P.A. and Ramakrishnan, T.V. (1985) 'Disordered electronic systems', *Rev. Mod. Phys.*, Vol. 57, p.287.
- Mott, N.F. (1990) *Metal-insulator Transitions*, Taylor & Francis, New York, London.
- Dalichaouch, R., Armstrong, J.P., Schultz, S., Platzman, P.L. and McCall, S.L. (1991) 'Microwave localization by two-dimensional random scattering', *Nature*, Vol. 354, p.53.
- Wiersma, D.S., Bartolini, P., Lagendijk, A. and Righini, R. (1997) 'Localization of light in a disordered medium', *Nature*, Vol. 390, p.671.
- Labeyrie, G., de Tomasi, F., Bernard, J.C., Muller, C.A., Miniatura, C., Jonckheere, Th., Muller, C.A. and Kaiser, R. (1999) 'Coherent backscattering of light by cold atoms', *Phys. Rev. Lett.*, Vol. 83, No. 25, p.5266.
- Kaiser, R., Miniatura, Ch. and Delalande, D. (2000) 'Multiple scattering of light by atoms in the weak localization regime', *Phys. Rev. Lett.*, Vol. 85, No. 20, p.4269.
- Bertolotti, J., Gottardo, S., Wiersma, D.S., Ghulinyan, M. and Pavesi, L. (2005) 'Optical Necklace States in Anderson Localized 1D Systems', *Phys. Rev. Lett.*, Vol. 94, p.113903.

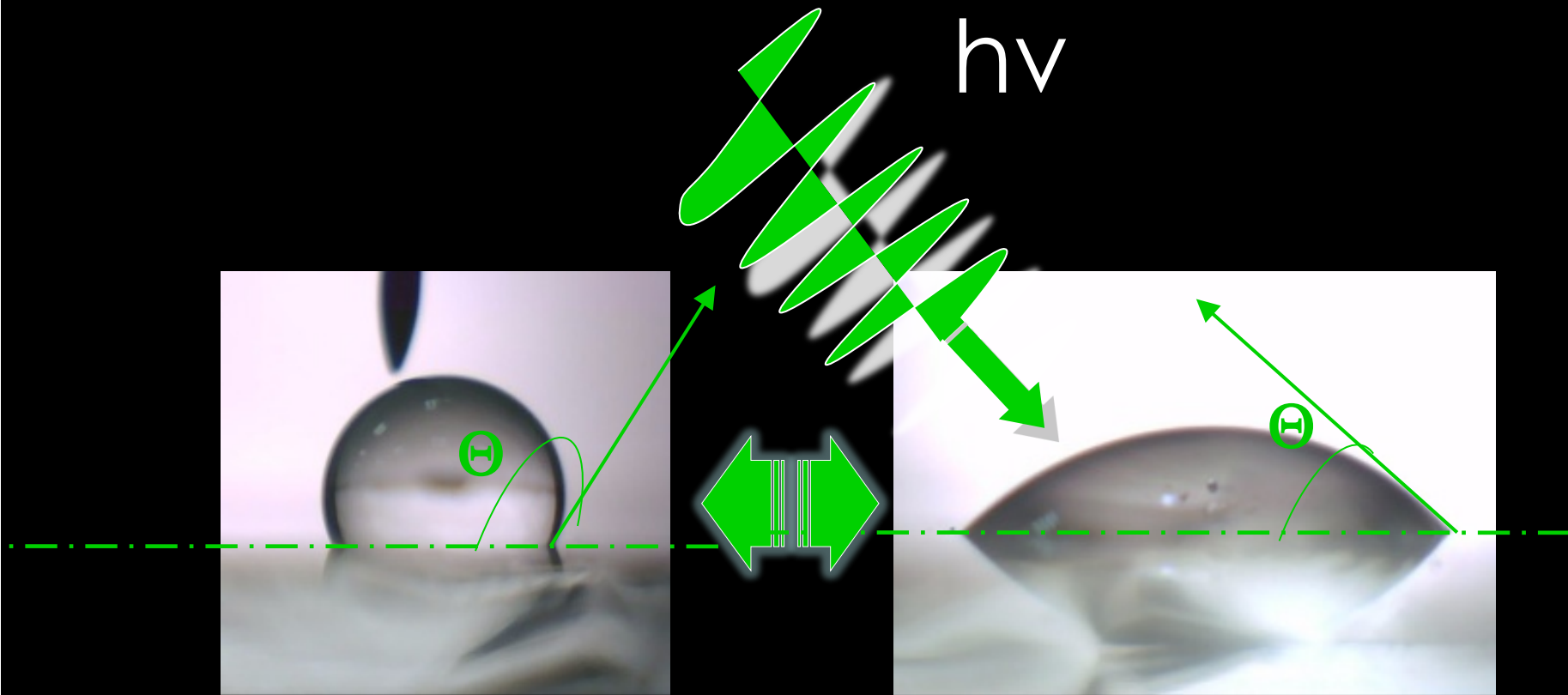
**NANO-3:**

**TUNABLE SURFACE TENSION**



# NANO: LOCALIZATION & CONFINEMENT

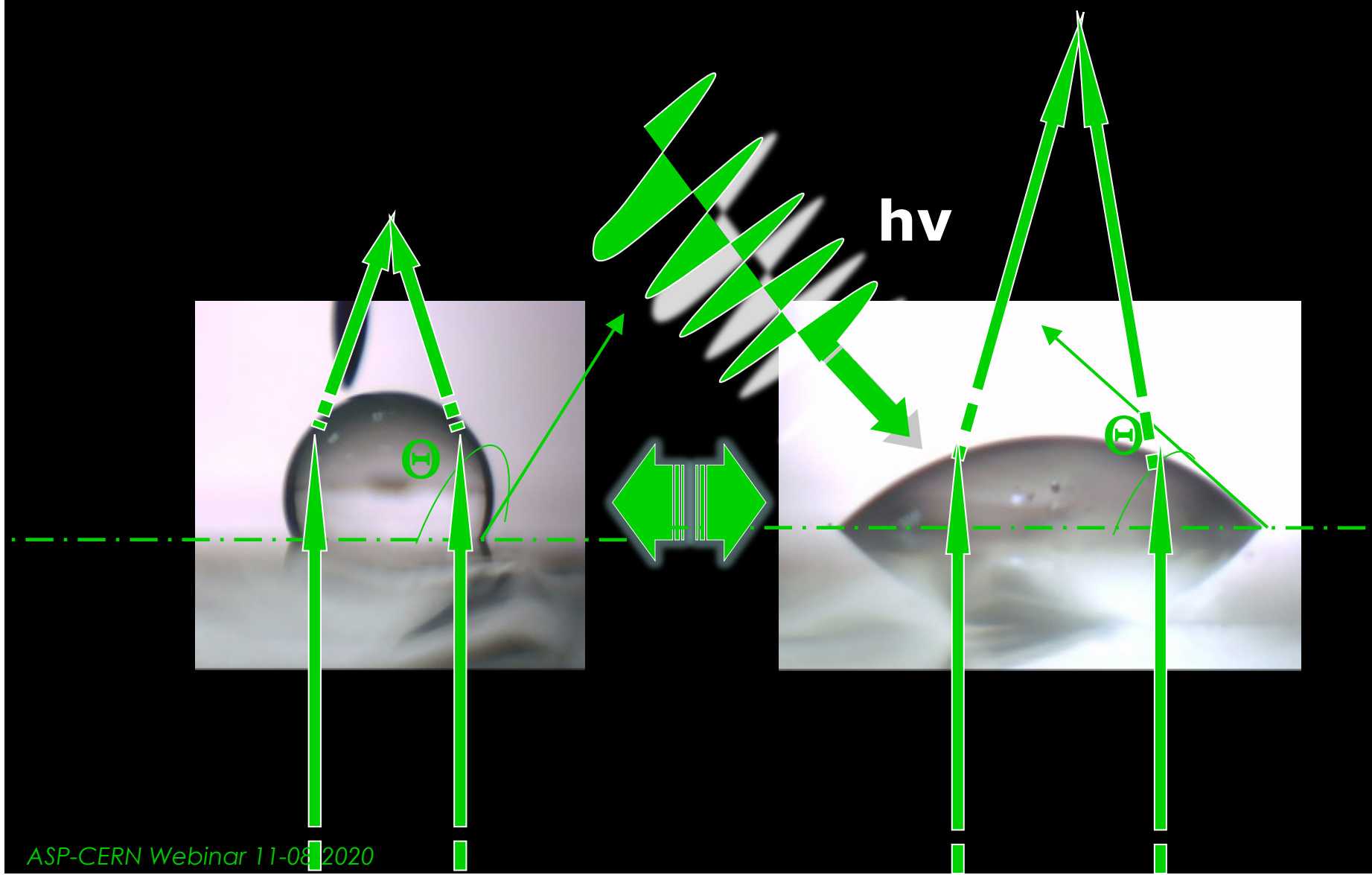
## -Surface Tension Tunability





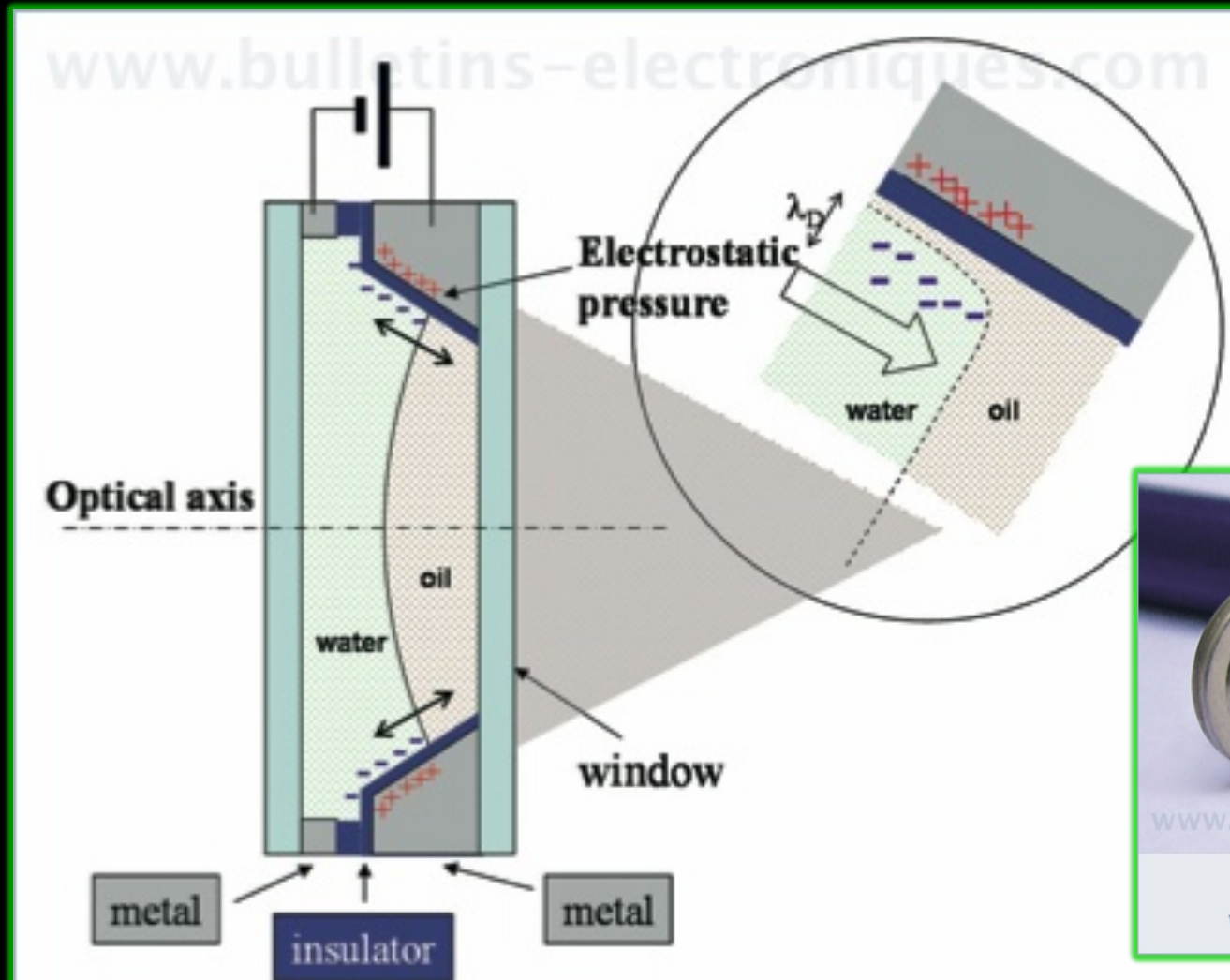
# NANO: LOCALIZATION & CONFINEMENT

- Liquid lens with tunable focus “f”



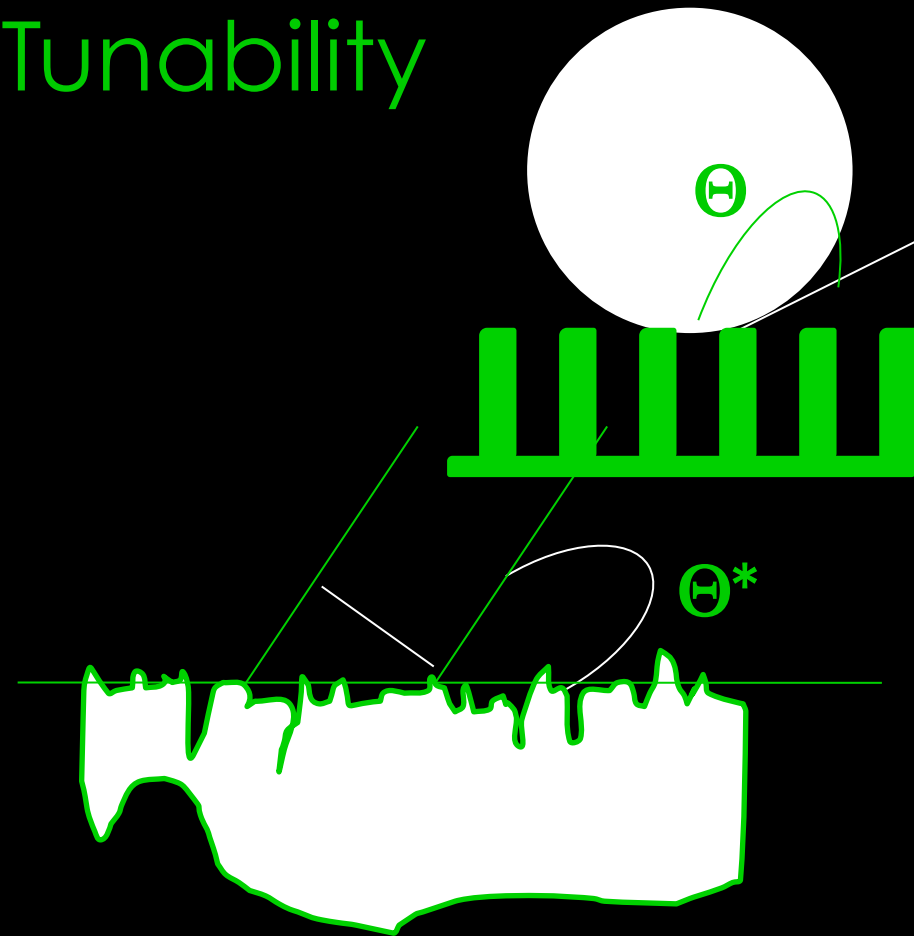
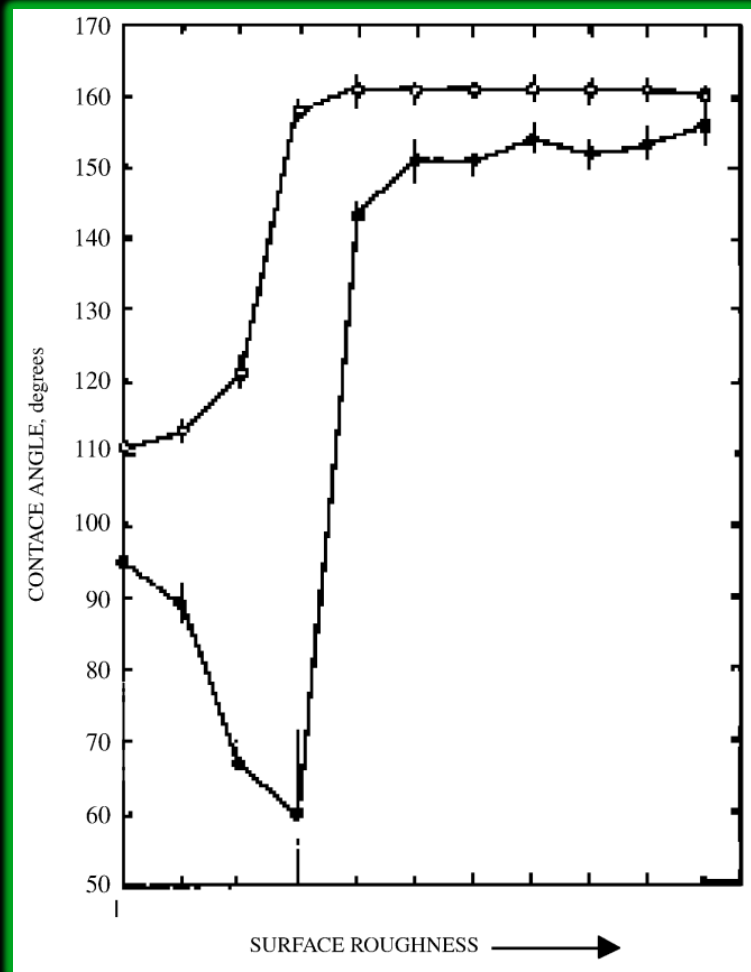
# NANO: LOCALIZATION & CONFINEMENT

- Liquid lens with tunable focus “f”
- ”Varioptic/ Singapore” liquid lens



# NANO: LOCALIZATION & CONFINEMENT

## -Surface Tension Tunability



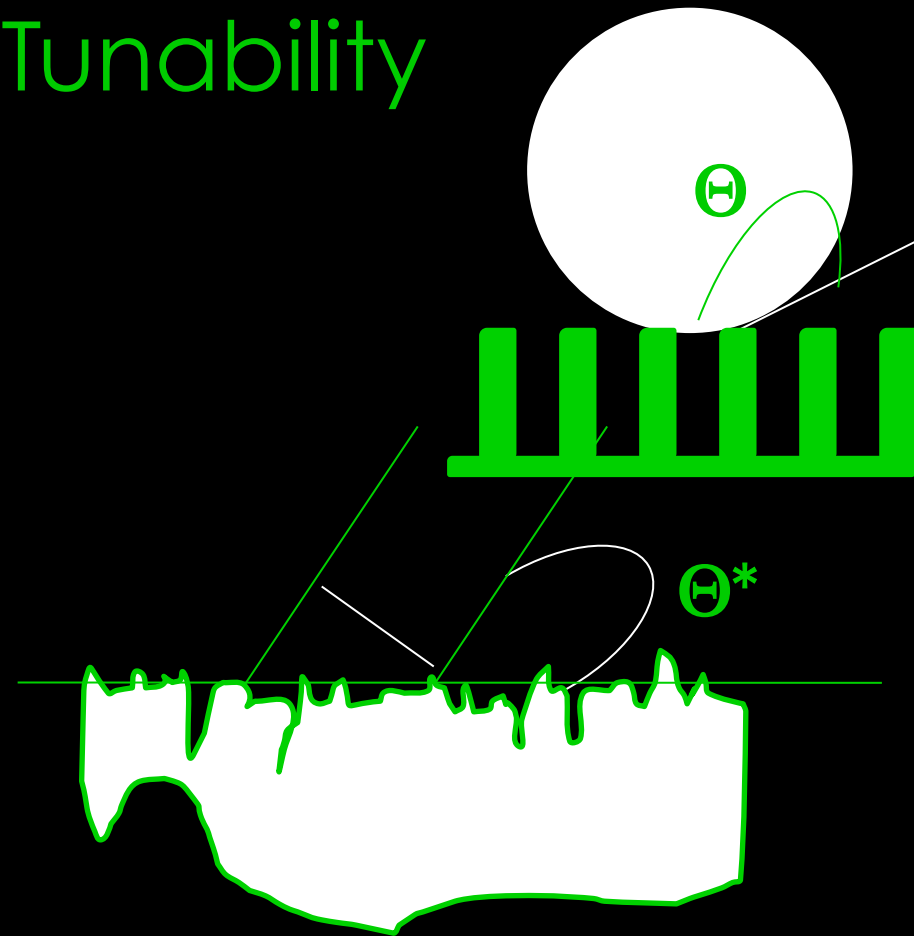
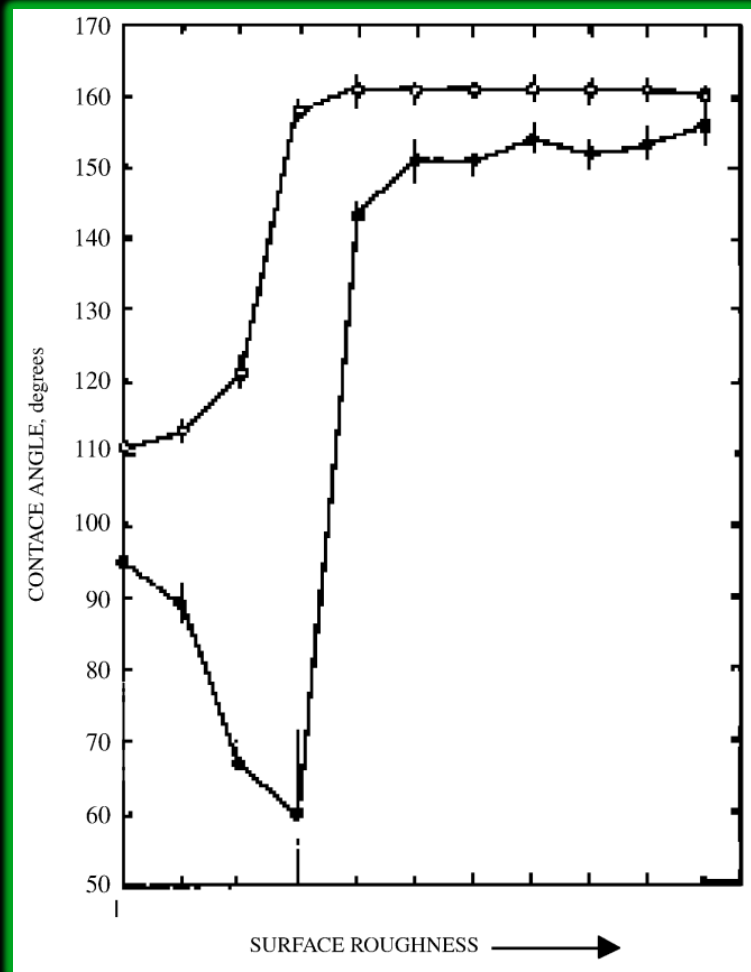
$$\cos\theta^* = f_1 \cos\theta_1 + f_2 \cos\theta_2$$

**Wenzel/Cassie model**

- Wenzel, R.N. *Industrial and Engineering Chemistry*, 1936, 28, 988-994.
- Cassie, A.B.D.; Baxter, S. *Transactions of the Faraday Society*, 1944, 40, 546-551
- Johnson R.E., Dettre R.H., *Adv. Chem. Ser.43*, 1964, 112-135 [ $H_2O$ / Wax substrates]

# NANO: LOCALIZATION & CONFINEMENT

## -Surface Tension Tunability



$$\cos\theta^* = f_1 \cos\theta_1 + f_2 \cos\theta_2$$

**Wenzel/Cassie model**

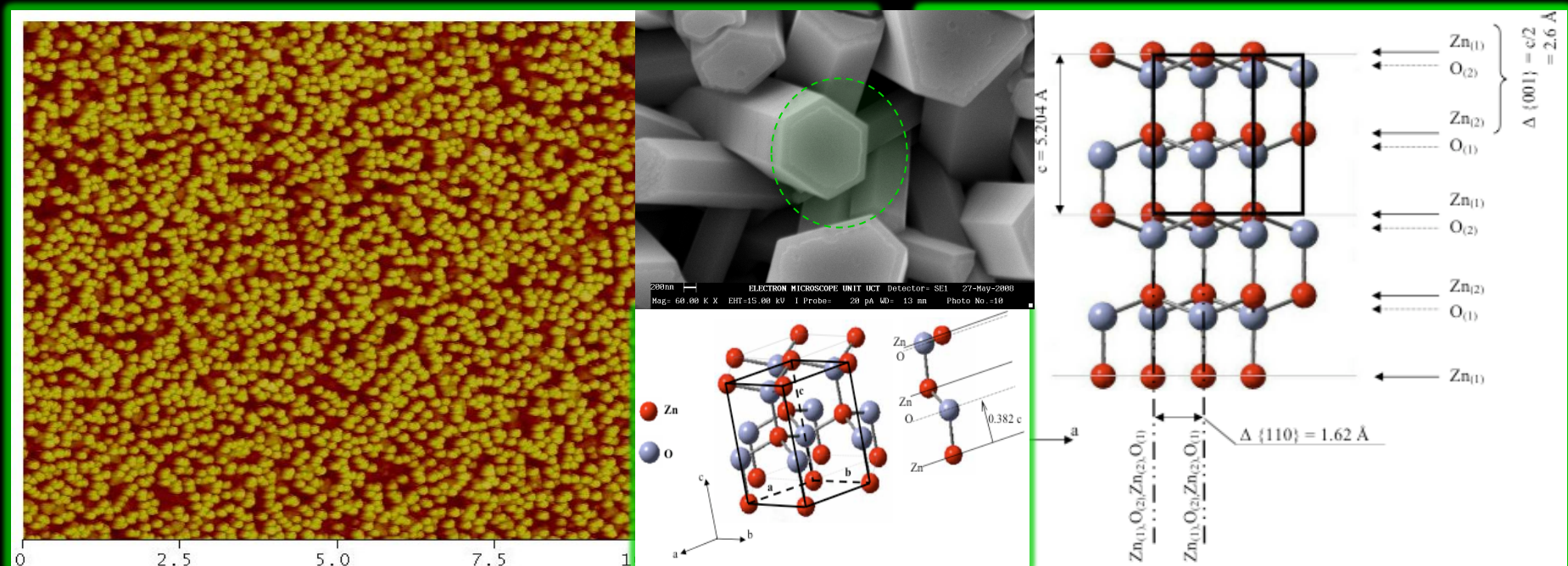
- Wenzel, R.N. *Industrial and Engineering Chemistry*, 1936, 28, 988-994.
- Cassie, A.B.D.; Baxter, S. *Transactions of the Faraday Society*, 1944, 40, 546-551
- Johnson R.E., Dettre R.H., *Adv. Chem. Ser.*43, 1964, 112-135 [ $H_2O$ / Wax substrates]



# NANO: LOCALIZATION & CONFINEMENT

## -Surface Tension Tunability

- **ZnO**: Wurtzite structure
  - O-lattice shifted by 0.382 fraction of cell unit height “c” from the Zn-latt.
  - Reference: Basal Hexagonal plane (001)
- Zn sites: (000) and (2/3, 1/3, 1/2)
- O sites: (0,0,0.382) and (2/3, 1/3, 1/2+0.382)



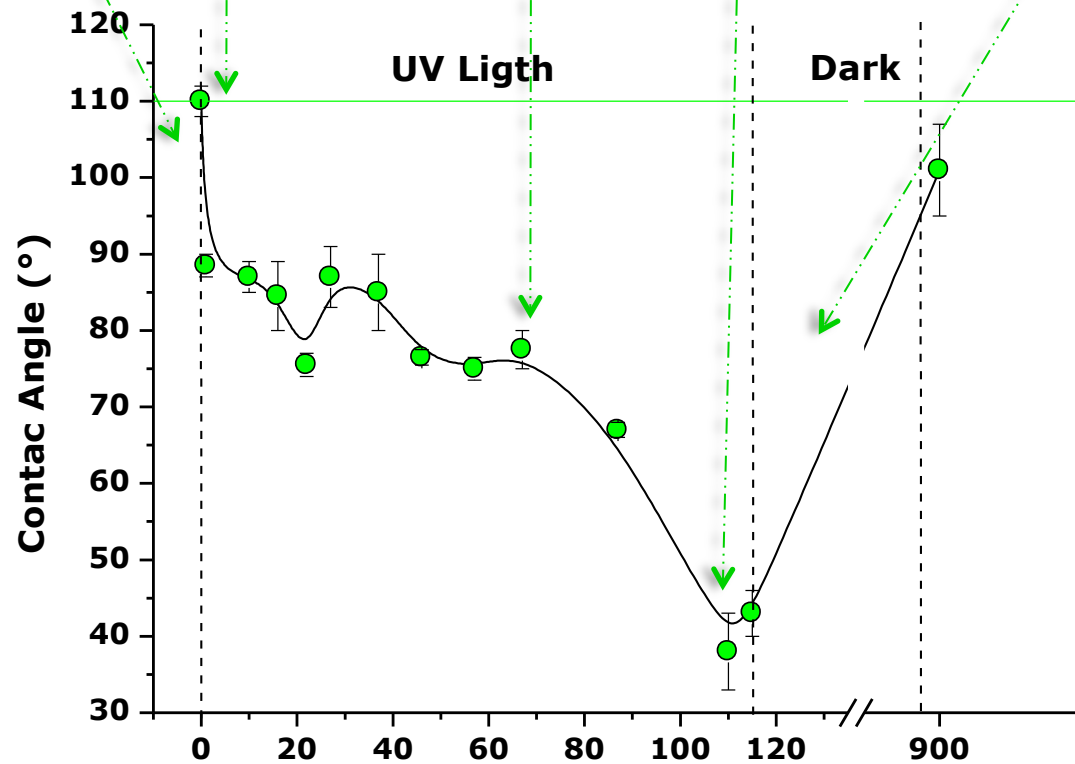
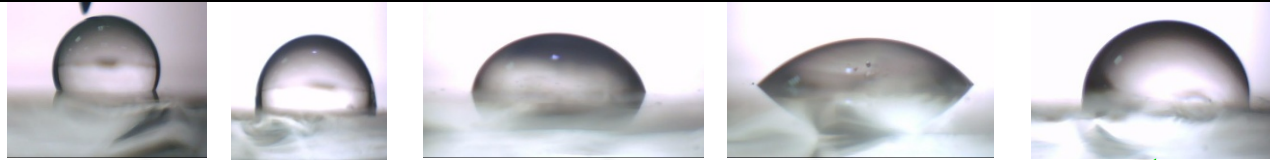
No UV

1 min UV

63 min UV

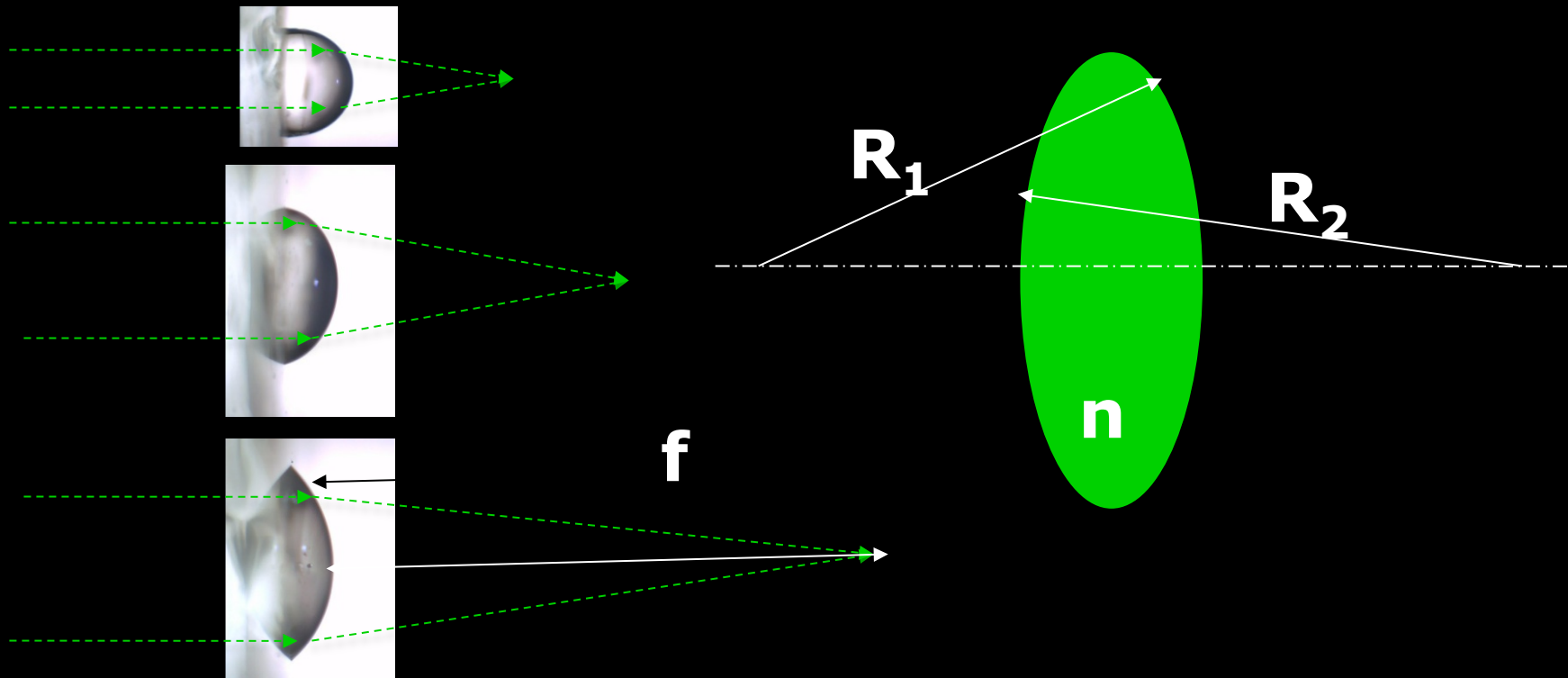
115 min UV

No UV: Dark



# NANO: LOCALIZATION & CONFINEMENT

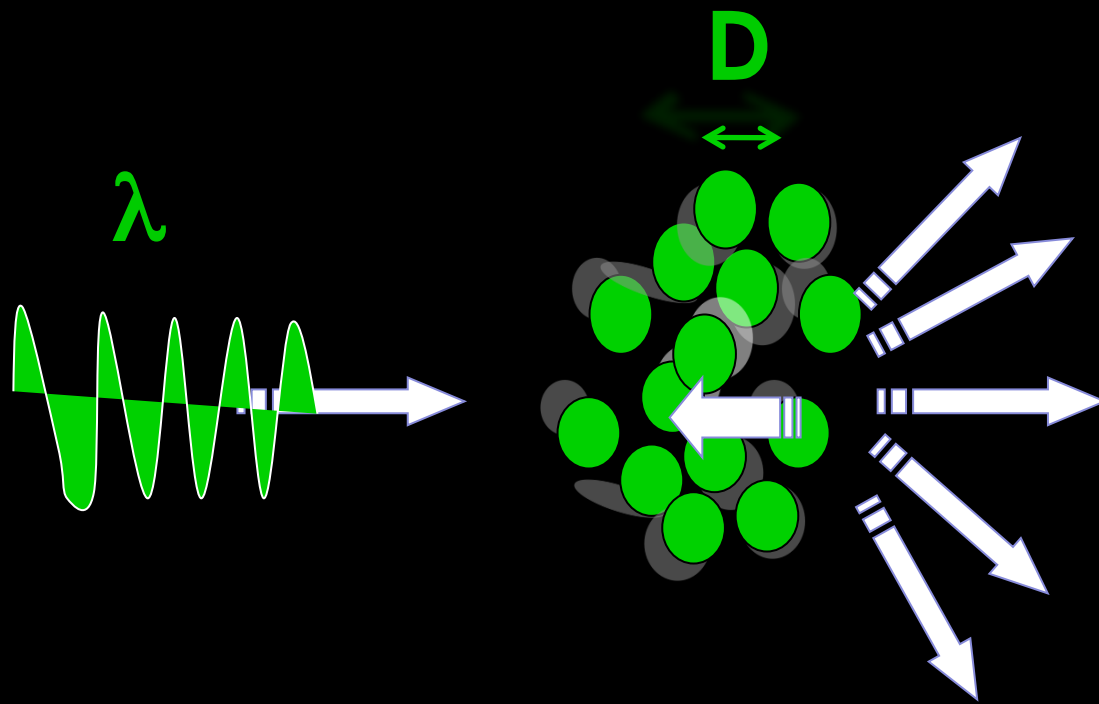
- Liquid lens with tunable focus “f”



$$1/f = (n-1)(1/R_1 - 1/R_2)$$

# NANO: LOCALIZATION & CONFINEMENT

## –Surface Tension Tunability



≠ Scattering cases

- Rayleigh type

$$s \propto 1/\lambda^4, D < \lambda$$

- Mie type  $D \cong \lambda$ , not analytically solvable for arbitrarily shapes

- Anderson loc.:  
 $\frac{1}{2}$  disordered syst.  
Random walk type





ASP-CERN Webinar 11-08-2020

# Acknowledgments

- UNESCO & IAEA.
- UNISA (University of South Africa),
- National Research Foundation of South Africa, Pretoria-South Africa.
- iThemba LABS, Western Cape-South Africa.
- DAAD.
- Abdus Salam International Centre for Theoretical Physics, Trieste-Italy.
- Department of Science & Technology of South Africa, Pretoria-South Africa.
- Ministry of Foreign Affairs, Roma-Italy.
- Science & Technology Directorate, French Embassy, Pretoria-South Africa.
- ELETTRA Synchrotron Facility, Trieste-Italy
- African Laser Centre, Pretoria-South Africa.
- African Union-Science & Technology Commission, Addis Ababa-Ethiopia.
- Academy of Sciences for the Developing World, Trieste-Italy.
- Organization of Women in Science for the Developing World, Trieste-Italy.
- International Centre for Science & Technology-UNIDO, Trieste-Italy.
- Centre National pour la Recherche Scientifique, Paris-France.
- The EU-FP7 ICPCNANONET, Brussels-Belgium.
- The National Institute for Materials Sciences NIMS, Tsukuba-Japan.
- Nelson Mandela African University of Science & Technology, Abuja-Nigeria.
- I' Oreal-UNESCO Foundation, Paris-France.
- University of South Africa.
- Islamic Academy of Sciences, Amman-Jordan.



The Abdus Salam  
International Centre for Theoretical Physics



# THANK YOU, NDIALIVUHA CHUKRAN, MERCI, DANKE



United Nations  
Educational, Scientific and  
Cultural Organization



• UNESCO-UNISA Africa Chair  
• in Nanosciences/Nanotechnology  
• (South Africa)  
•  
•

