

Initial state effects in the Color Glass Condensate

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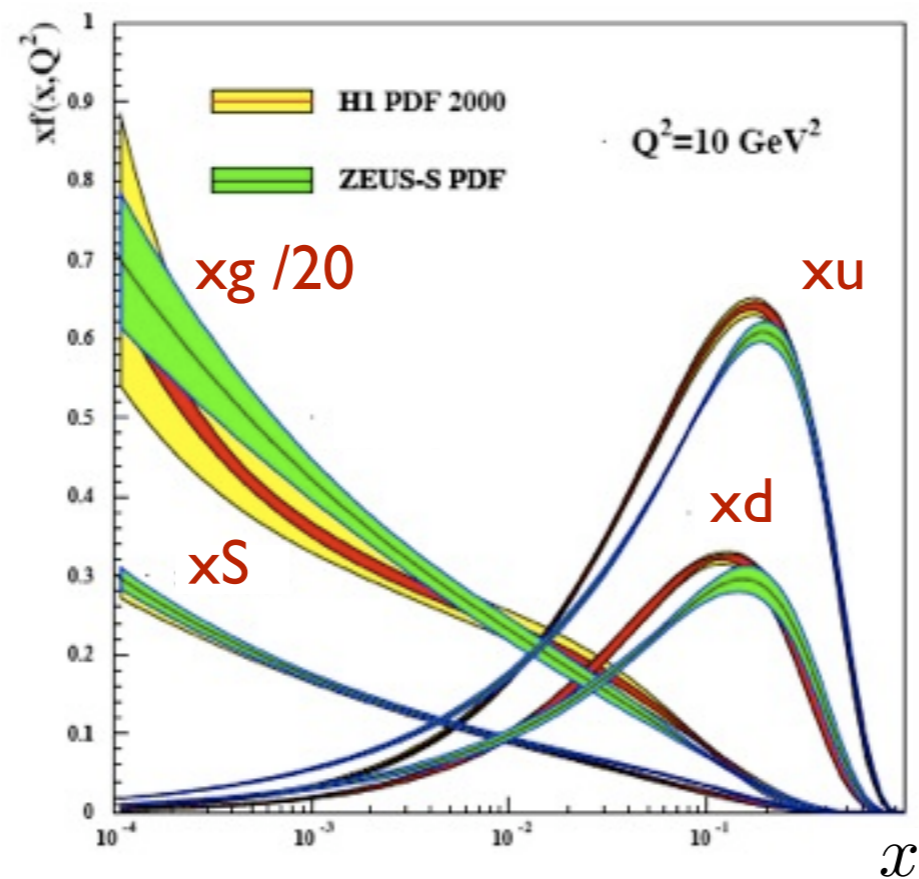


Outline

- ⇒ Motivation & Pocket Introduction to the CGC
- ⇒ Balitsky-Kovchegov equation including running coupling corrections
- ⇒ Single inclusive hadron production in the CGC
- ⇒ Multiplicities and di-hadron correlations in d+Au collisions
- ⇒ Heavy Quark production.

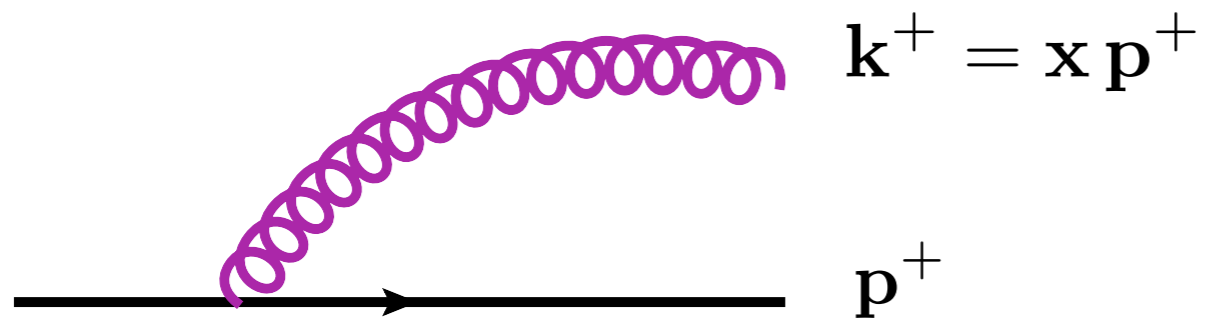
⇒ What do we know about the gluon distributions of hadrons at small values of Bjorken- x ??

⇒ Empirical answer: They grow very strongly with decreasing x



proton pdf's measured in HERA

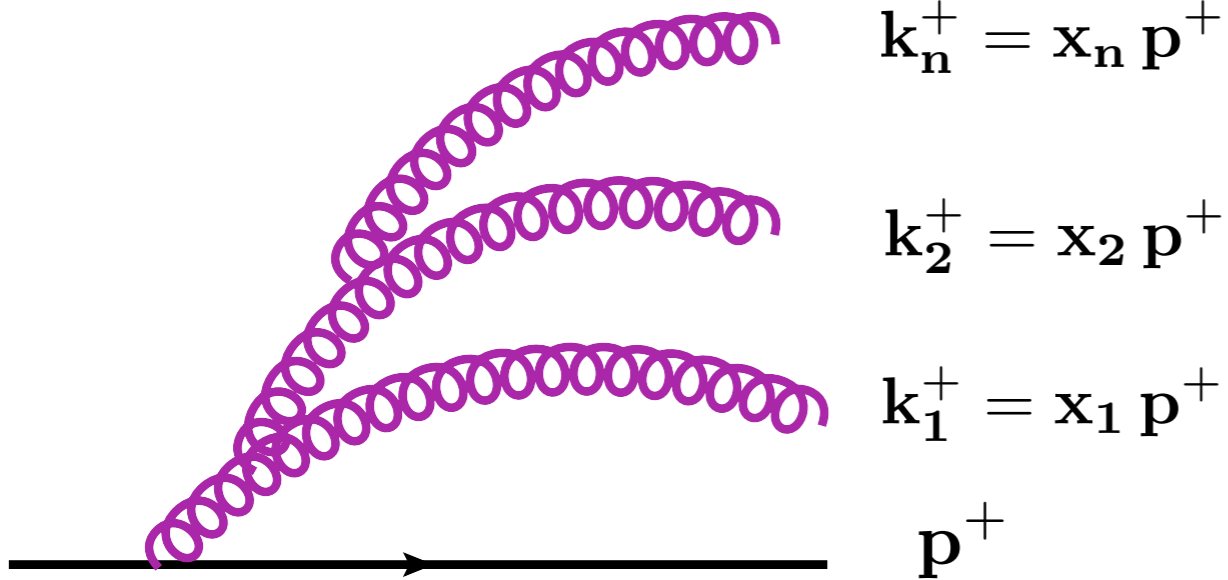
The wave function of an energetic valence parton gets dressed by quantum fluctuations



Probability of one soft gluon emission

$$dP \propto \alpha_s \frac{dx}{x}$$

Evolution equation: All orders resummation of soft gluon emissions



“BFKL”

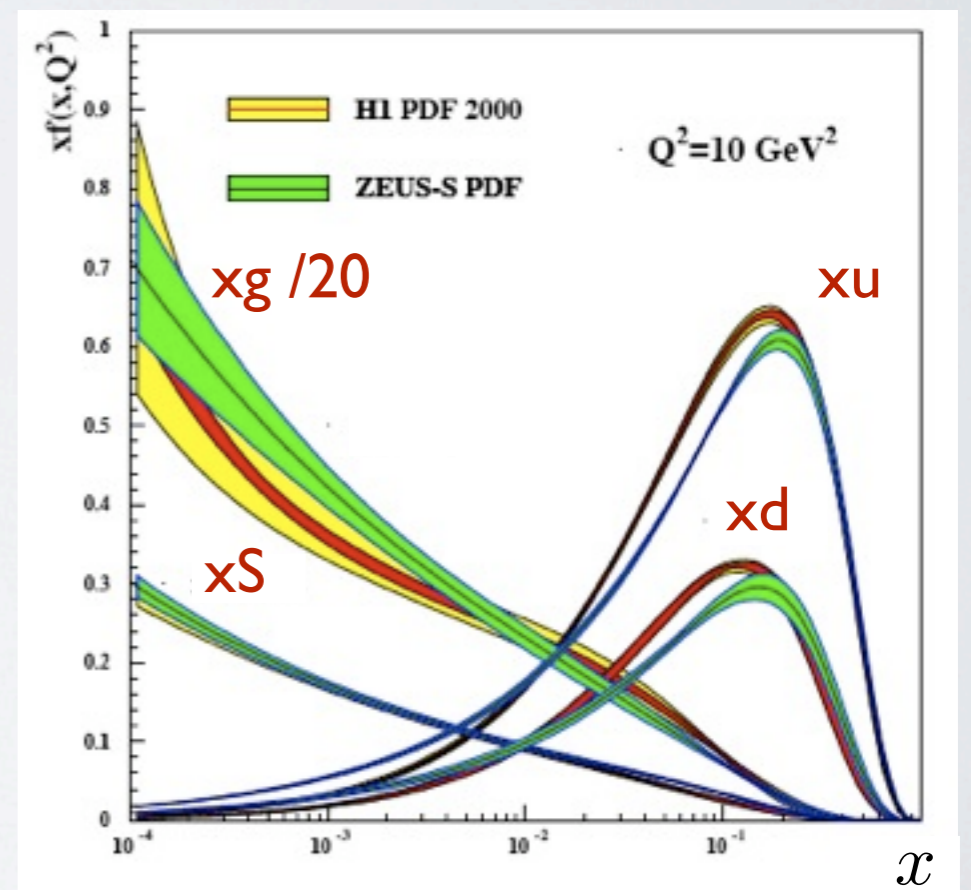
$$\frac{\partial \phi(\mathbf{x}, \mathbf{k}_t)}{\partial \ln(x_0/x)} \approx \mathcal{K} \otimes \phi(\mathbf{x}, \mathbf{k}_t)$$



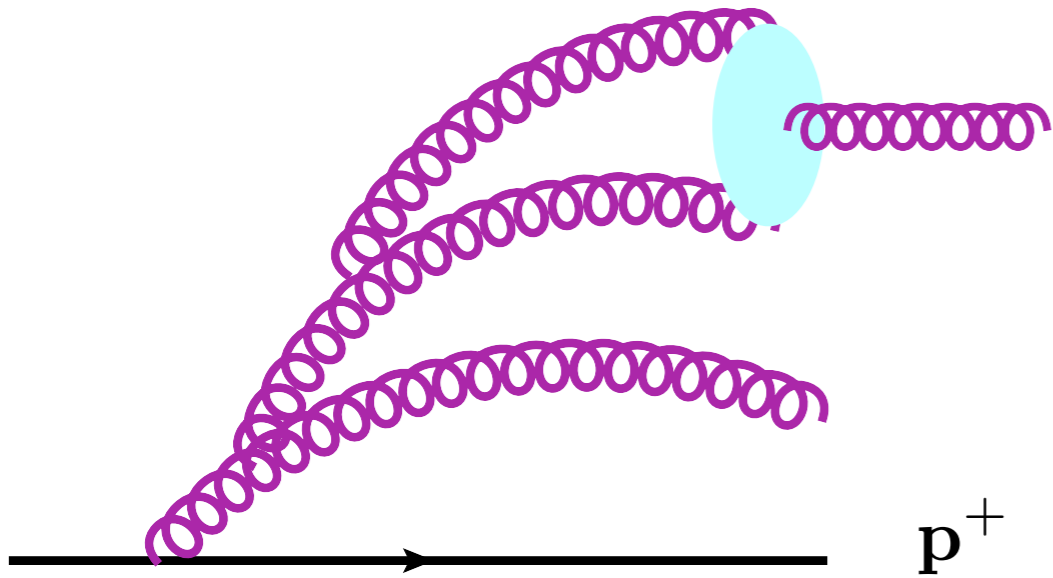
strong growth of gluon densities at small-x

Probability of n-soft gluon emission

$$P \sim (\alpha_s \ln 1/x)^n$$



Non-linear evolution: At small-x gluon both radiative and recombination processes



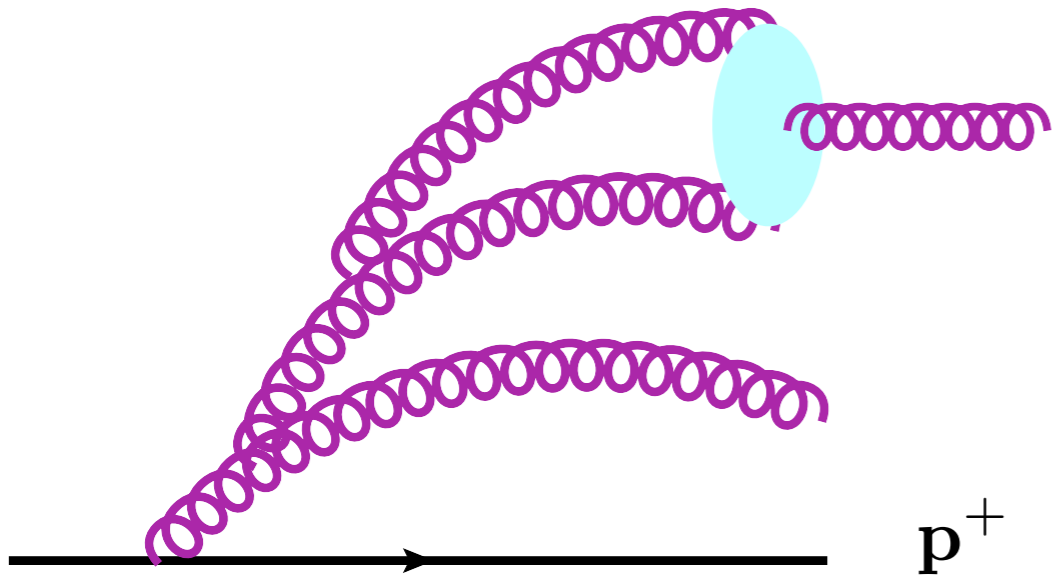
“BK-JIMWLK”

$$\frac{\partial \phi(\mathbf{x}, \mathbf{k}_t)}{\partial \ln(\mathbf{x}_0/\mathbf{x})} \approx \mathcal{K} \otimes \phi(\mathbf{x}, \mathbf{k}_t) - \phi(\mathbf{x}, \mathbf{k}_t)^2$$



Non-linear *recombination* corrections
are demanded by UNITARITY

Non-linear evolution: At small-x gluon both radiative and recombination processes

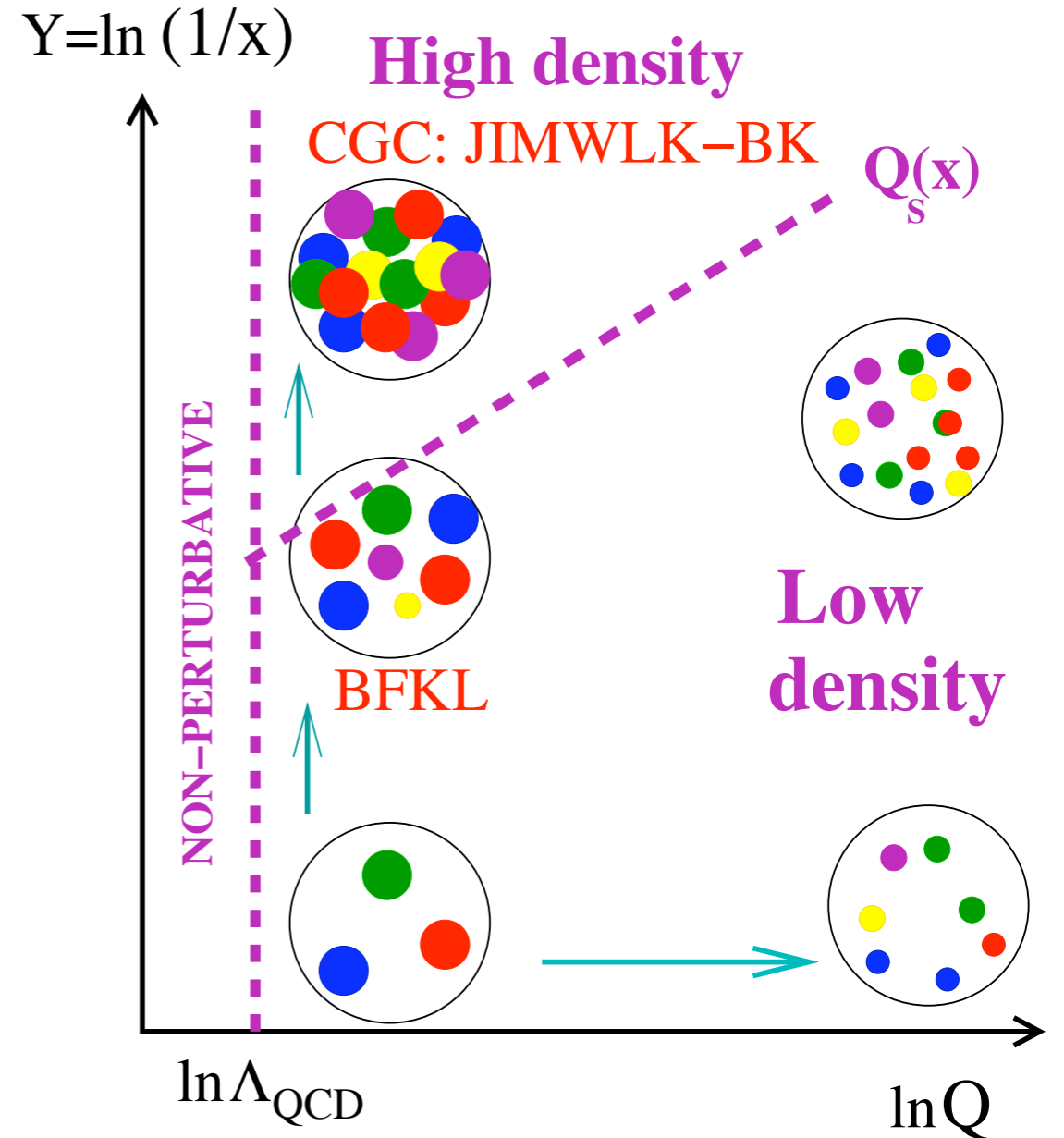


“BK-JIMWLK”

$$\frac{\partial \phi(\mathbf{x}, \mathbf{k}_t)}{\partial \ln(\mathbf{x}_0/\mathbf{x})} \approx \mathcal{K} \otimes \phi(\mathbf{x}, \mathbf{k}_t) - \phi(\mathbf{x}, \mathbf{k}_t)^2$$



Non-linear *recombination* corrections are demanded by UNITARITY



Saturation scale: transverse momentum scale which marks the onset of non-linear corrections

$$\mathcal{K} \otimes \phi(x, Q_s) \approx \phi(x, Q_s)^2$$

Nuclear enhancement: $Q_{sA}^2 \approx A^{1/3} Q_{sp}^2$

The description of particle production also gets modified by saturation effects

Shadowing versus Saturation at small-x:

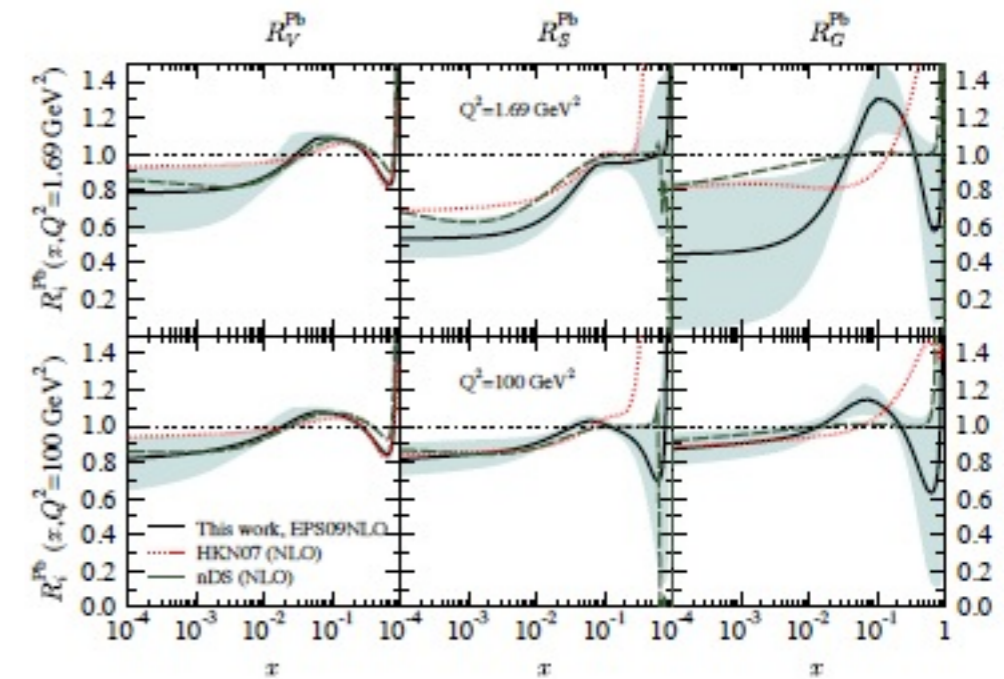
Shadowing parametrizations and nPDF's: Assume the validity of collinear factorization (“partons have no transverse momentum and are independent of each other”):

$$\frac{d\sigma^{AB \rightarrow hX}}{dy d^2k_t} \propto f_{a/A}(x_1, k_t^2) f_{b/B}(x_2, k_t^2) \otimes \frac{d\sigma^{ab \rightarrow cd}}{dy d^2k_t} \otimes D_{h/c}(z)$$

$$f_i^A(x, Q^2) = A R_i^A(x, Q^2) f_i^N(x, Q^2)$$

at small-x: $R_i^A(x, Q^2) < 1$

EPS 09 parametrization:



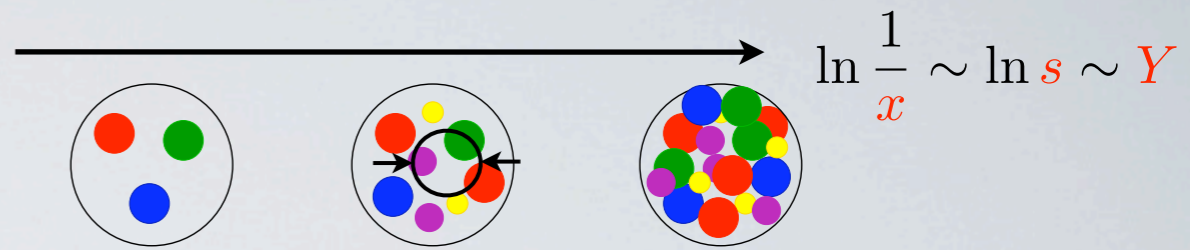
CGC (Saturation): Dynamical theory to describe small-x phenomena and coherence. Use of unintegrated gluon distribution and k_t -factorization (or more complicated schemes)

$$xG(x, Q^2) \approx \int^{Q^2} d^2k_t \varphi(x, k_t)$$

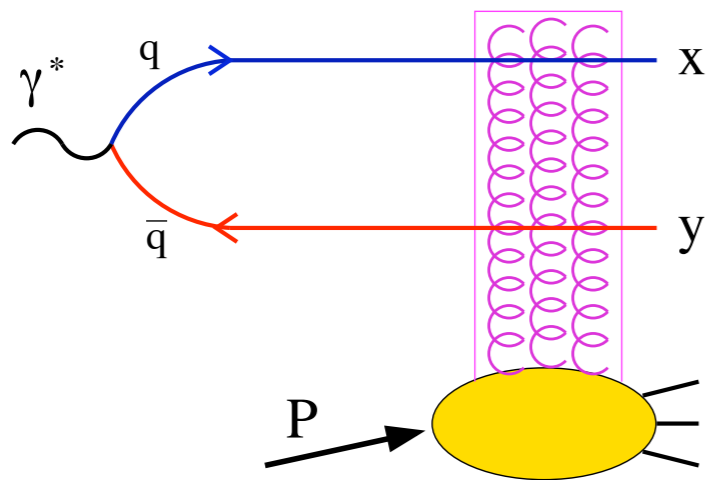
Two different approaches to describe the same phenomena...

CGC evolution: The BK equation

Balitsky 96, Kovchegov 99



Probe your hadron with a photon (color dipole). Eikonal scattering



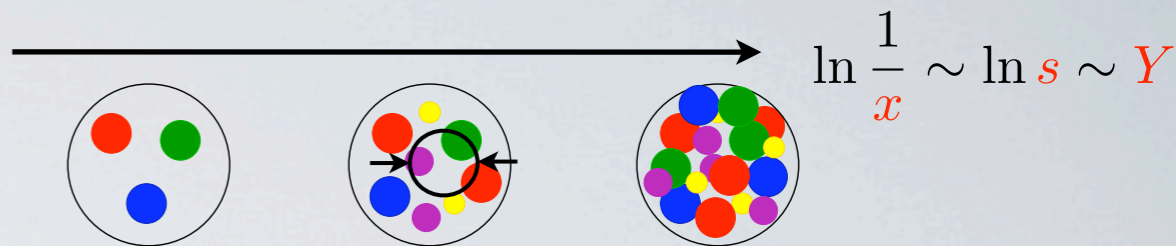
$$S(\underline{x}, \underline{y}; Y) = \frac{1}{N_c} \langle \text{tr} \{ U_{\underline{x}} U_{\underline{y}}^\dagger \} \rangle_Y = 1 - \mathcal{N}(\underline{x}, \underline{y}; Y)$$

unintegrated gluon distribution (2-point function):

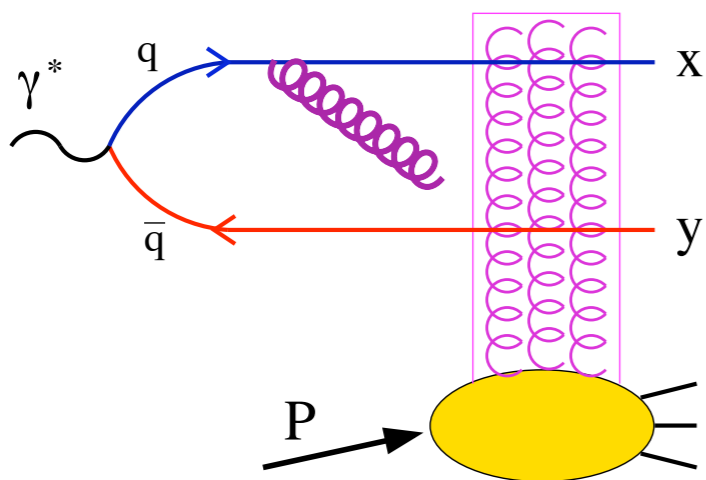
$$\varphi(x, k_t) = \int \frac{d^2 r}{2\pi r^2} e^{i\mathbf{k} \cdot \mathbf{r}} \mathcal{N}(r, x)$$

CGC evolution: The BK equation

Balitsky 96, Kovchegov 99



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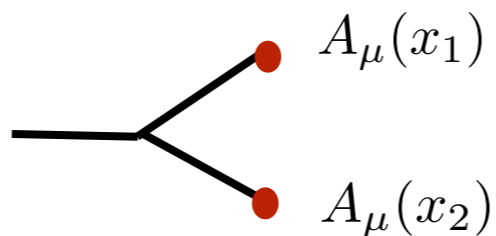
Increase the collision energy and resum small-x gluon radiation: Evolution of the 2-point function

$$\frac{\partial \mathcal{N}(r, x)}{\partial \ln(x_0/x)} = \int d^2 r_1 K(r, r_1, r_2) [\mathcal{N}(r_1, x) + \mathcal{N}(r_2, x) - \mathcal{N}(r, x) - \mathcal{N}(r_1, x)\mathcal{N}(r_2, x)]$$

↑
perturbative kernel

↑
non-linear term

Projectile
dipole-splitting



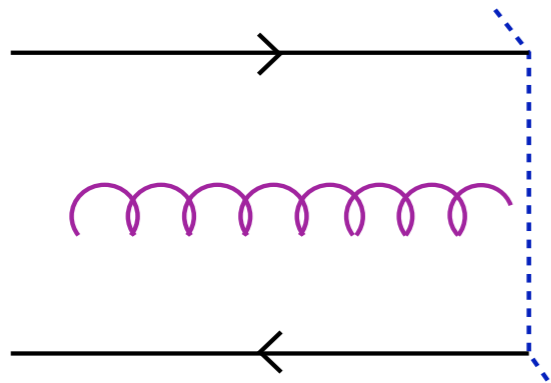
target
gluon-recombination

✓ **NLO corrections to BK-JIMWLK** equations have been calculated recently (Balitsky-Chirilli; Kovchegov-Weigert, Gardi et al). **Phenomenological tool:** The BK equation including only running coupling corrections in Balitsky's scheme grasps most of the NLO corrections (JLA-Kovchegov)

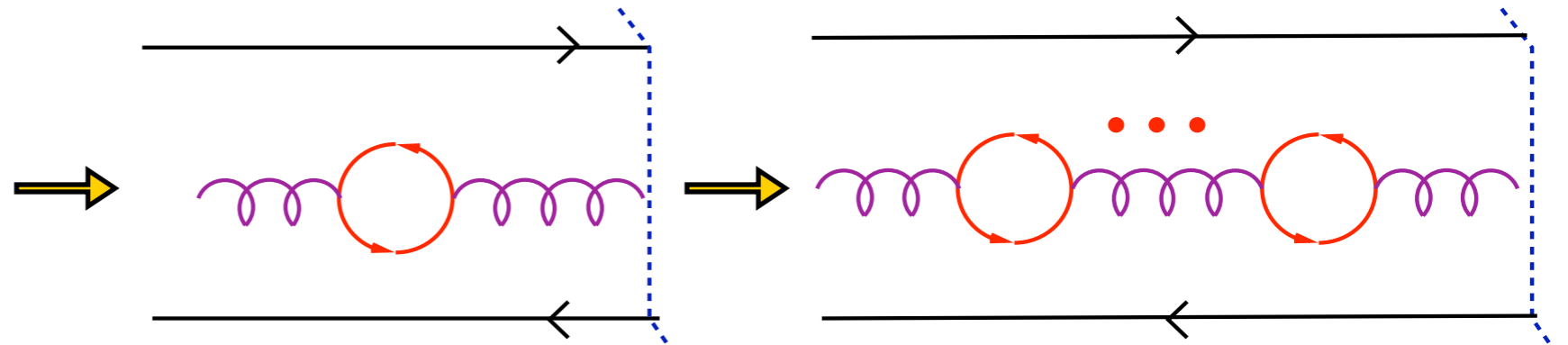
BK eqn:
$$\frac{\partial \mathcal{N}(r, x)}{\partial \ln(x_0/x)} = \int d^2 r_1 K(r, r_1, r_2) [\mathcal{N}(r_1, x) + \mathcal{N}(r_2, x) - \mathcal{N}(r, x) - \mathcal{N}(r_1, x)\mathcal{N}(r_2, x)]$$

Running coupling kernel:
$$K^{\text{run}}(\mathbf{r}, \mathbf{r}_1, \mathbf{r}_2) = \frac{N_c \alpha_s(r^2)}{2\pi^2} \left[\frac{r^2}{r_1^2 r_2^2} + \frac{1}{r_1^2} \left(\frac{\alpha_s(r_1^2)}{\alpha_s(r_2^2)} - 1 \right) + \frac{1}{r_2^2} \left(\frac{\alpha_s(r_2^2)}{\alpha_s(r_1^2)} - 1 \right) \right]$$

LO: $\alpha_s \ln(1/x)$
small-x gluon emission



“NLO”: $\alpha_s N_f$
Quark loops resummed to all orders



Gluon contribution: $N_f \rightarrow -6\pi\beta_2$

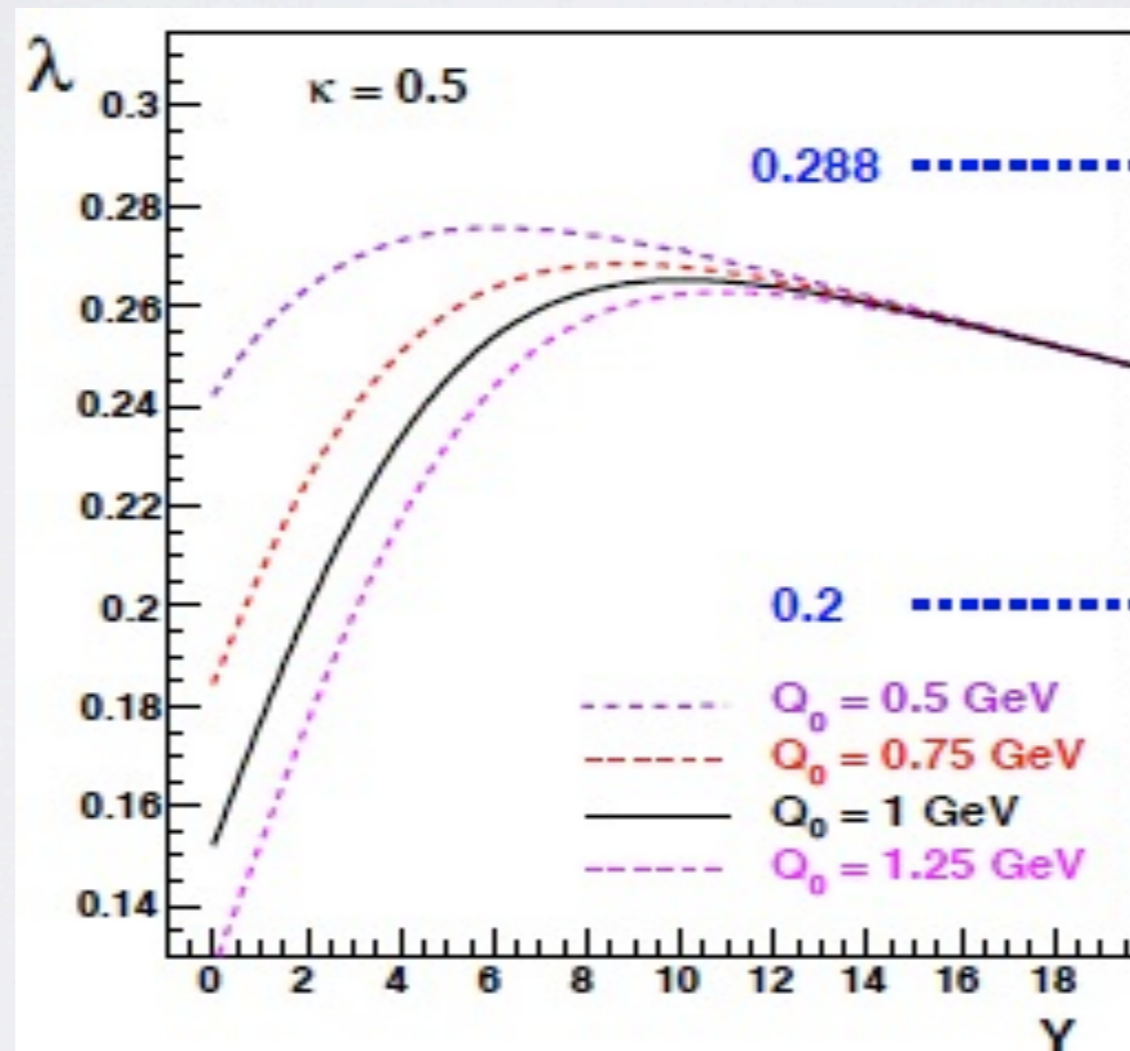
BK evolution at NLO

MV Initial conditions: $\mathcal{N}(r, x = x_0) = 1 - \exp \left[-\frac{r^2 Q_0^2}{4} \ln \left(\frac{1}{r \Lambda} + e \right) \right]$

✓ NLO corrections are large, rendering evolution compatible with experimental data.

$$\lambda(Y) = \frac{d \ln Q_s(Y)}{dY}$$

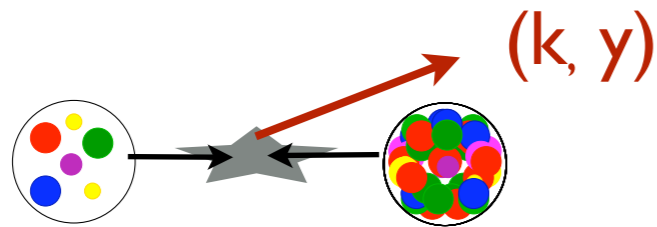
$$\lambda^{LO} \approx 4.8 \alpha_s$$



values compatible with DIS and HIC data

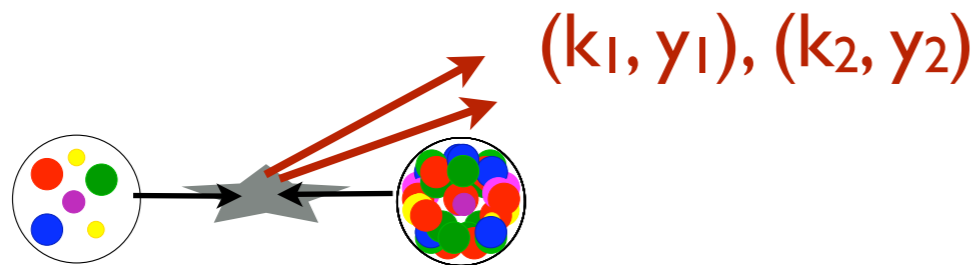
RHIC Kinematics:

- single particle production: Small-x \sim forward production



$$x_{1(2)} \sim \frac{m_t}{\sqrt{s}} \exp(\pm y_h)$$

- double inclusive production: Small-x \sim two particles in the forward region!



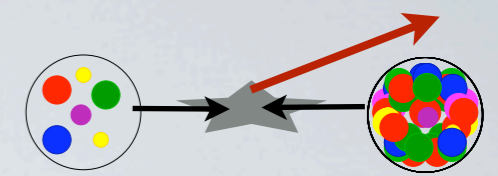
$$x_p = \frac{|k_1| e^{y_1} + |k_2| e^{y_2}}{\sqrt{s}}$$

$$x_A = \frac{|k_1| e^{-y_1} + |k_2| e^{-y_2}}{\sqrt{s}}$$

At RHIC energies, forward measurements needed to isolate small-x (<0.01) effects

⇒ Forward hadron production in the CGC

(Dumitru, Jalilian-Marian)



large- x parton from proj. (pdf)

small- x glue from target (CGC)

$$\frac{dN_h}{dy_h d^2p_t} = \frac{K}{(2\pi)^2} \sum_q \int_{x_F}^1 \frac{dz}{z^2} \left[x_1 f_{q/p}(x_1, p_t^2) \tilde{N}_F \left(x_2, \frac{p_t}{z} \right) D_{h/q}(z, p_t^2) \right. \\ \left. + x_1 f_{g/p}(x_1, p_t^2) \tilde{N}_A \left(x_2, \frac{p_t}{z} \right) D_{h/g}(z, p_t^2) \right] \longrightarrow \text{fragmentation}$$

Unintegrated gluon from running coupling BK

MV Initial conditions:

JLA & C. Marquet 10

$$\tilde{N}_{F(A)}(x, k) = \int d^2\mathbf{r} e^{-i\mathbf{k}\cdot\mathbf{r}} \left[1 - \mathcal{N}_{F(A)}(r, Y = \ln(x_0/x)) \right]$$

$$\mathcal{N}(r, x = x_0) = 1 - \exp \left[-\frac{r^2 Q_0^2}{4} \ln \left(\frac{1}{r\Lambda} + e \right) \right]$$

Two free parameters: (x_0, Q_0)

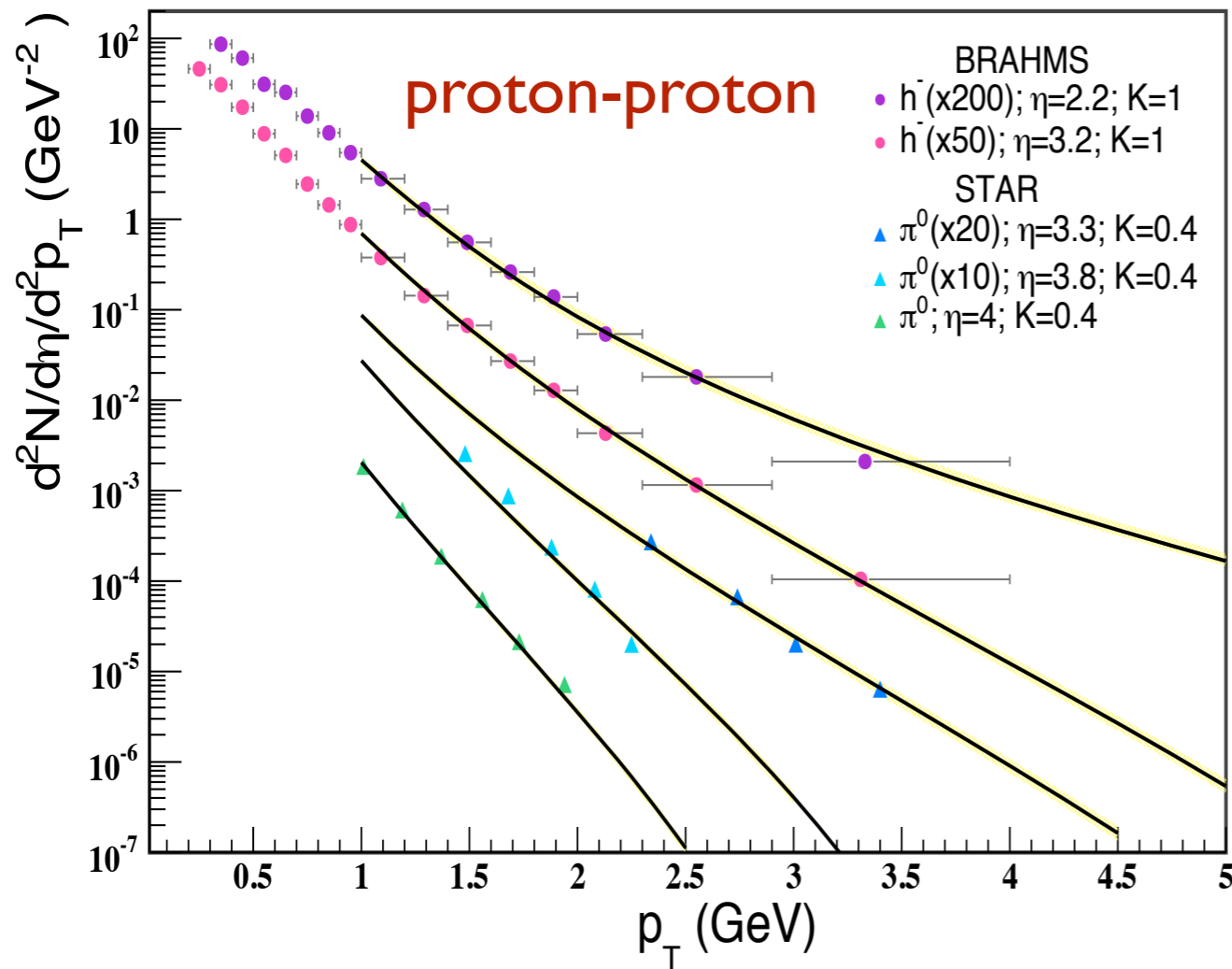
We use CTEQ6 pdf's and de Florian-Sassot ff's

Alternative approaches: Modelization of quantum corrections

(Dumitru-JalilianMarian-Hayashigaki; De Boer-Utermann-Wessels; Goncalves et al;
Kharzeev-Kovchegov-Tuchin)

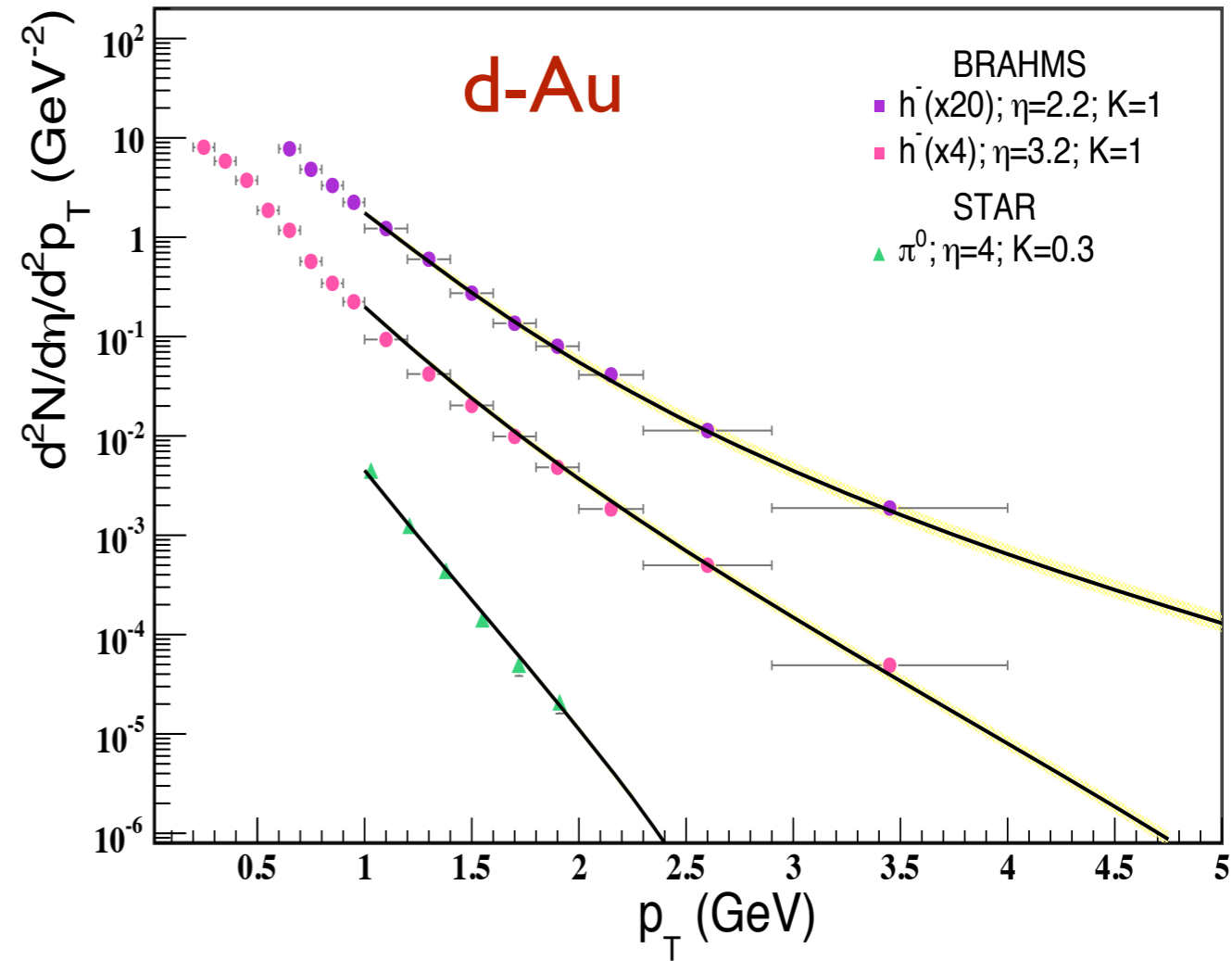
Comparison to RHIC forward data [JLA, C. Marquet '10]

- Very good description of forward yields in proton+proton and d+Au collisions
- $K=1$ for h^- . $K=0.4$ (0.3) for neutral pions in p+p (d+Au) ??



$$0.005 \leq x_0 \leq 0.01$$

$$Q_{s0}^2 = 0.2 \text{ GeV}^2$$



$$0.01 \leq x_0 \leq 0.025$$

$$Q_{s0}^2 = 0.4 \text{ GeV}^2$$

$$Q_{s0, gluon}^2 = 0.9 \text{ GeV}^2$$

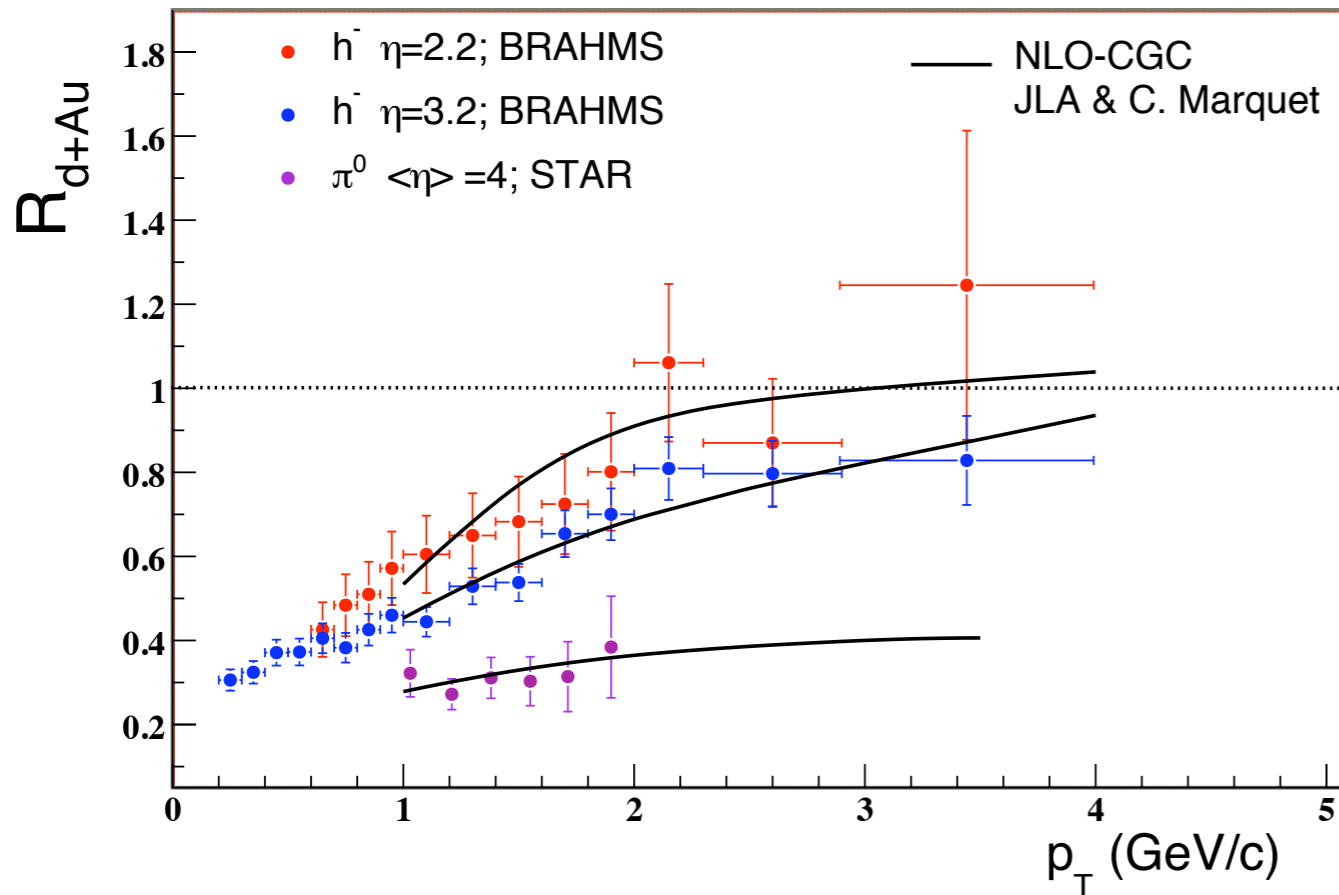
$$0.005 \leq x_0 \leq 0.01$$

$$Q_{s0}^2 = 0.5 \text{ GeV}^2$$

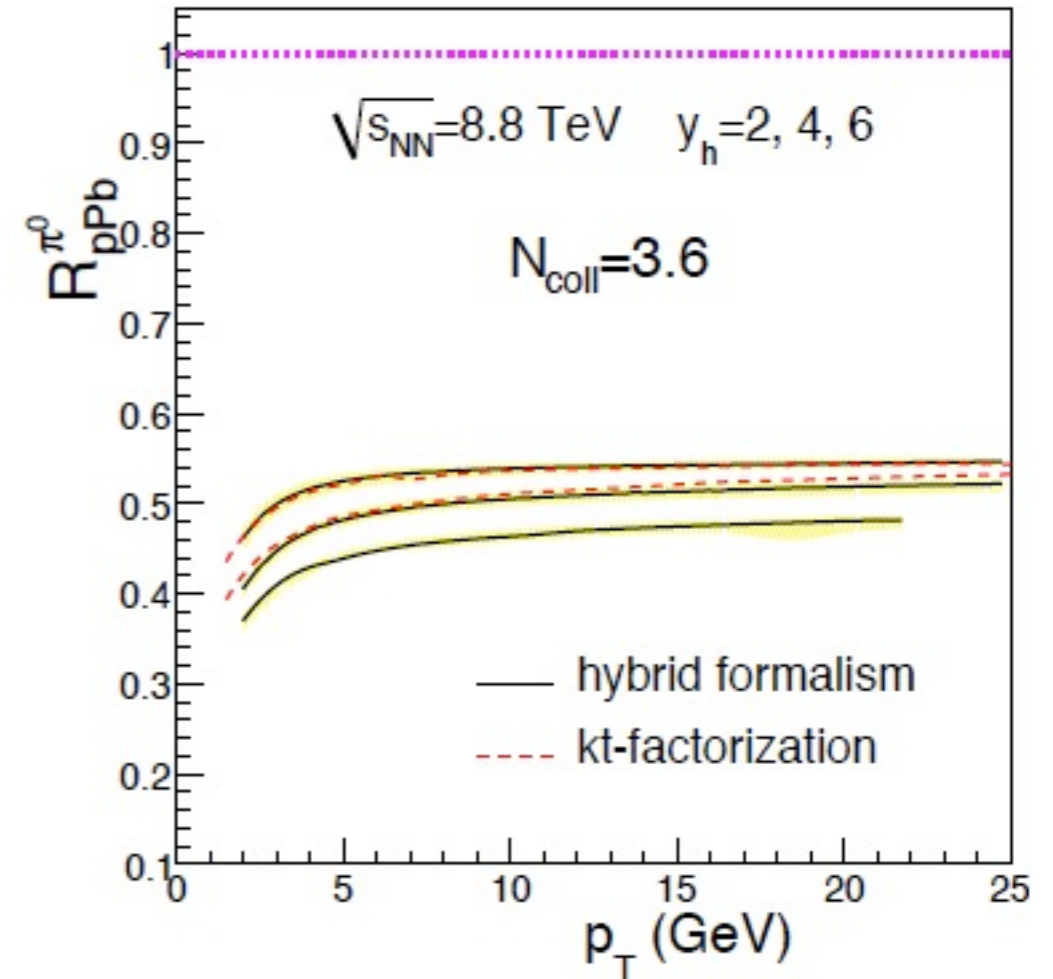
$$Q_{s0, gluon}^2 = 1.125 \text{ GeV}^2$$

- ...by simply taking the ratio of d+Au and p+p spectra we get a good description of the forward suppression of hadron yields measured at RHIC

RHIC d+Au



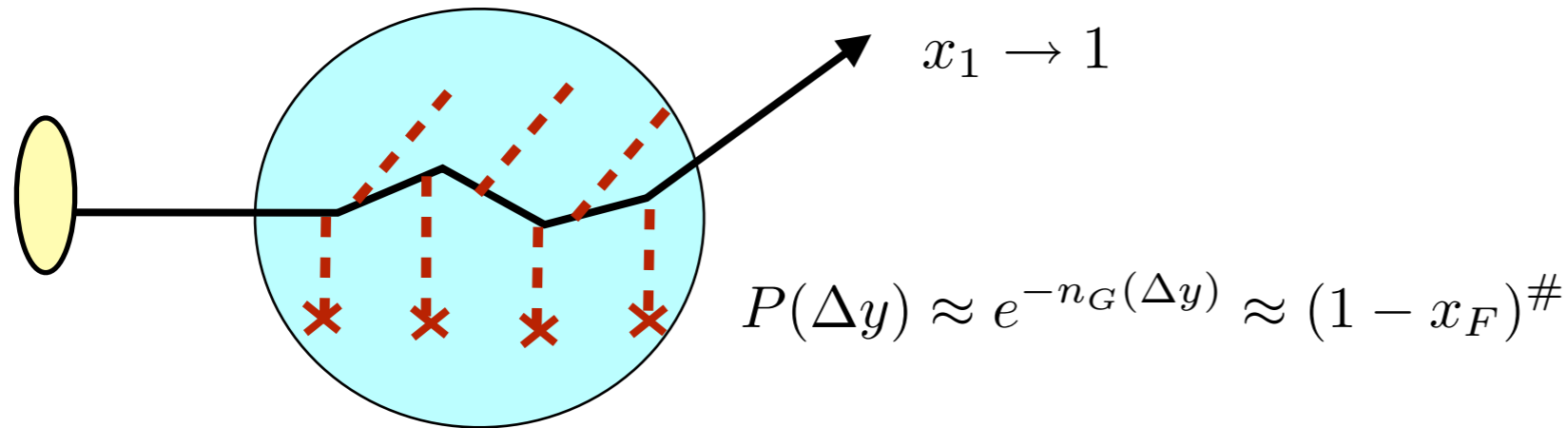
LHC p+Pb



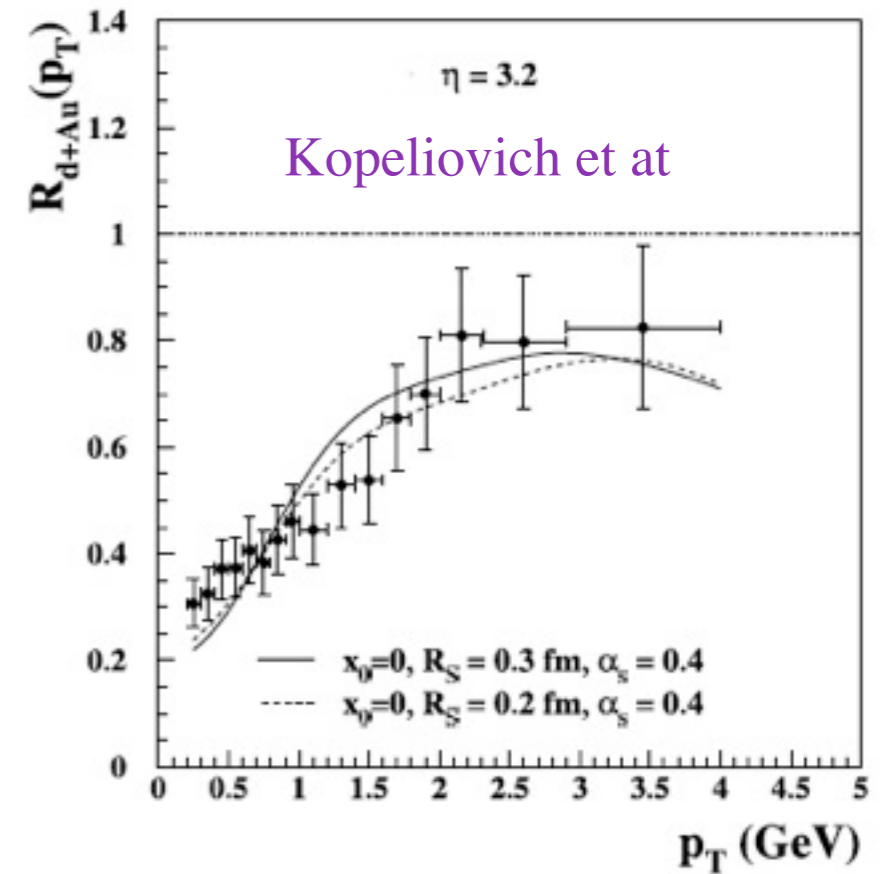
- We predict a similar suppression in p+Pb and Pb+Pb collisions at the LHC already at central rapidities.

Alternative descriptions of data

- **Energy conservation corrections (large-x effects).** Interaction with the target induces gluon bremsstrahlung and energy loss, which is stronger in nuclei than in proton.

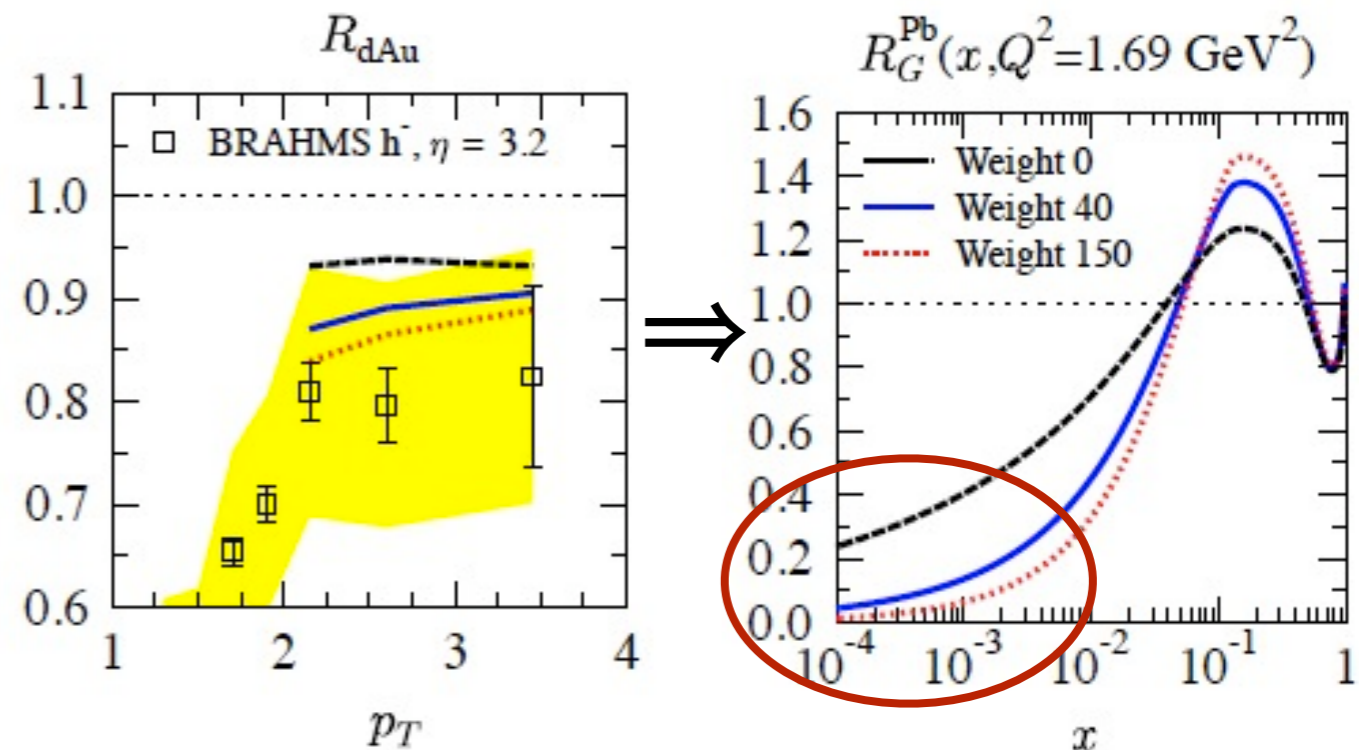


Francois Arleo's talk



- **nPDF's description** of forward suppression is possible, but involves a huge nuclear shadowing at small-x (EKS08)

- Forward RHIC data not included in most recent NLO parametrizations (EPS09)



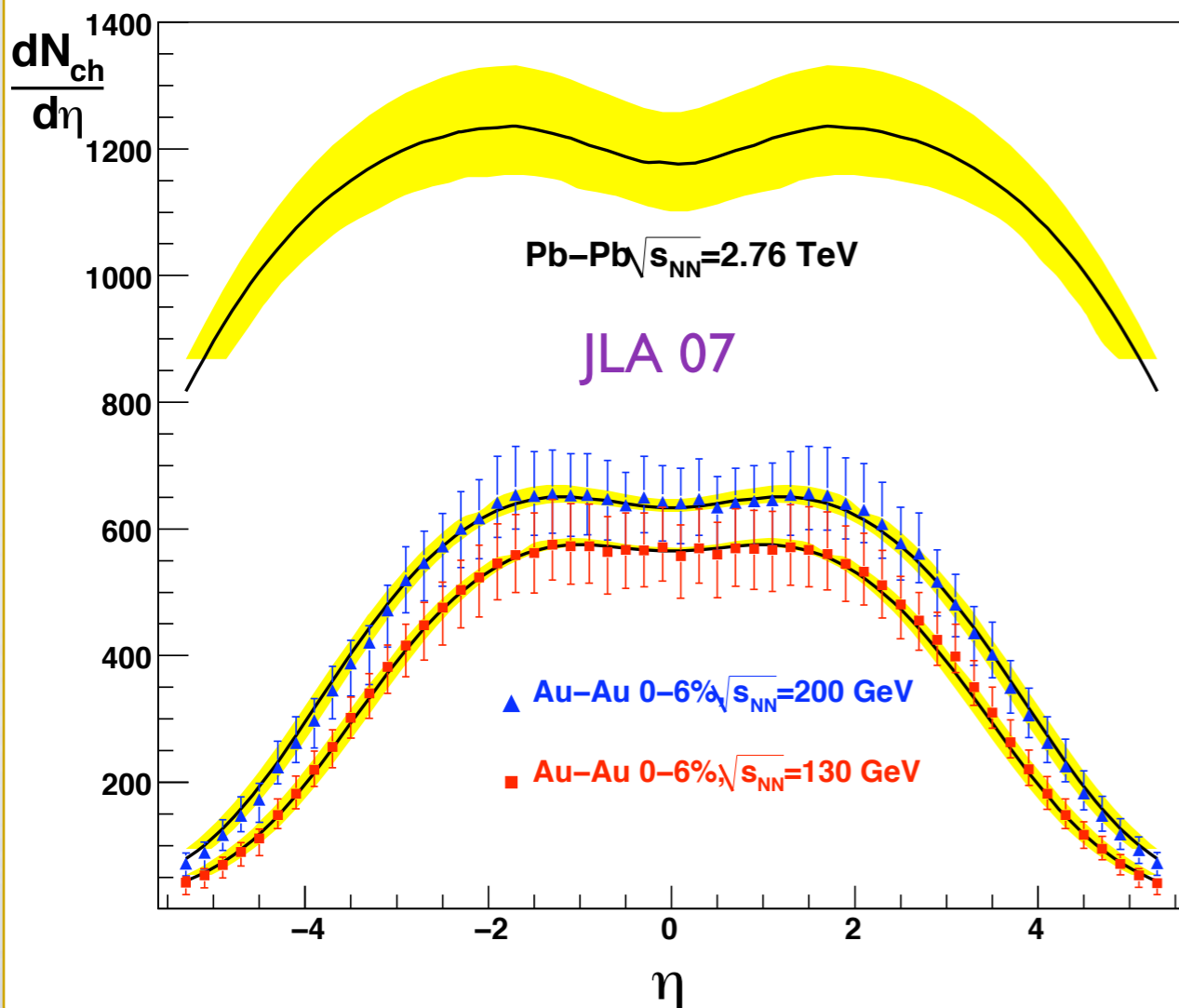
Eskola-Paukkunen-Salgado

⇒ More rcBK CGC phenomenology at RHIC

Total multiplicities:

The CGC naturally explains the lower than predicted observed at RHIC, together with its energy and rapidity dependence

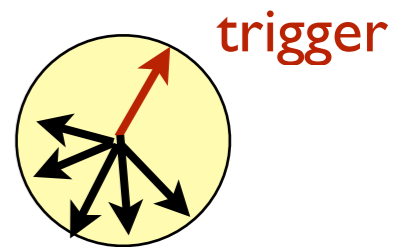
$$\frac{dN_{ch}^{Pb-Pb}(\sqrt{s} = 2.75 \text{ TeV}, \eta = 0)}{d\eta} \approx 1100 \div 1250$$



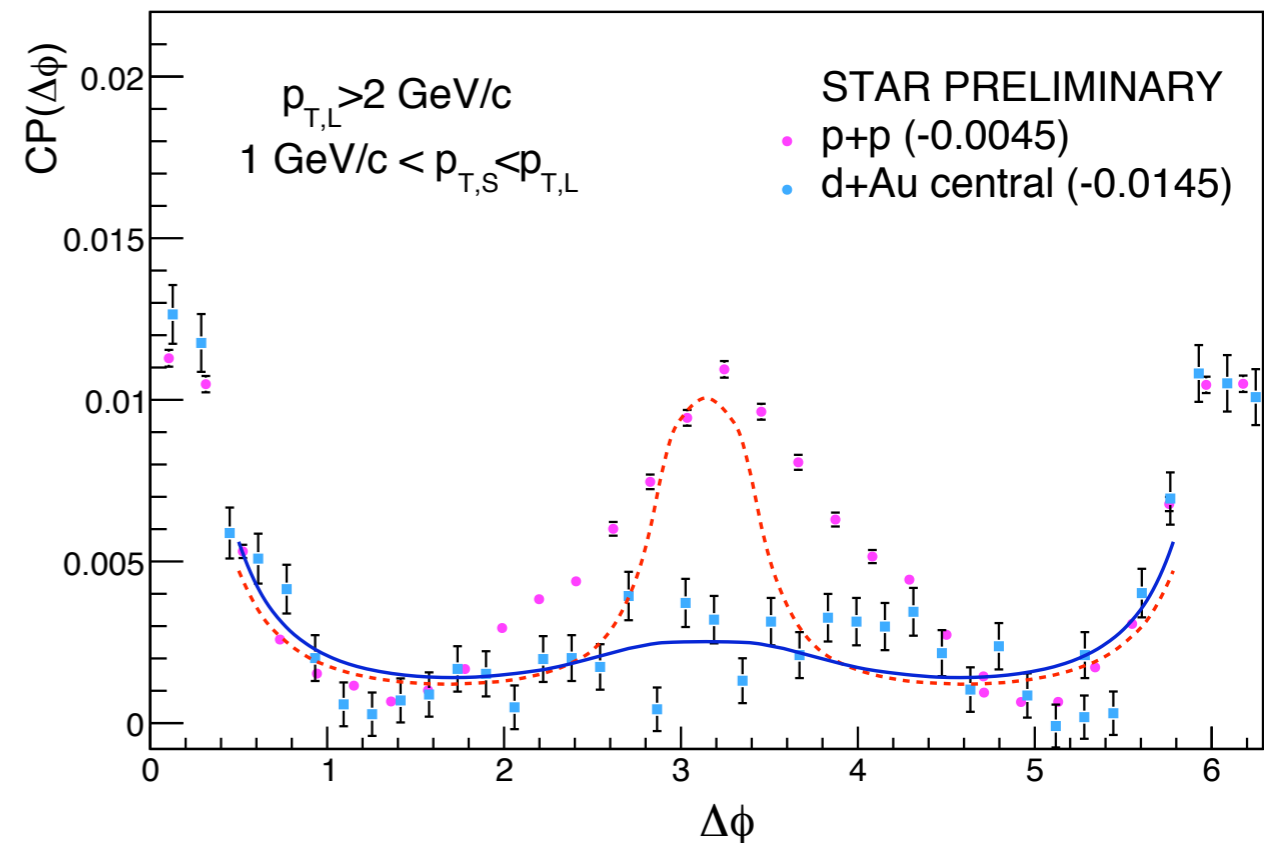
Forward di-hadron correlations in d+Au:

Disappearance of back-to-back correlations qualitatively predicted and quantitatively well described in the CGC

$$CP(\Delta\phi) = \frac{1}{N_{trig}} \frac{dN_{pair}}{d\Delta\phi}$$



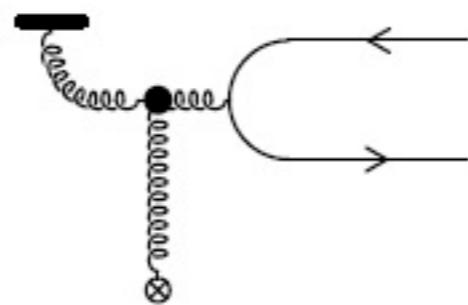
JLA and C. Marquet '10



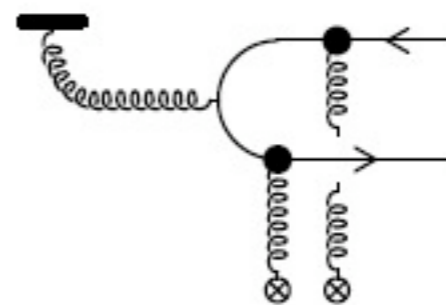
⇒ Heavy Quark production in the CGC Blaizot-Gelis-Venugopalan-Fuji; Kovchegov-Tuchin

Full calculation, including multiple rescatterings and quantum evolution in p-A collisions available:
It violates kt-factorization:

proton



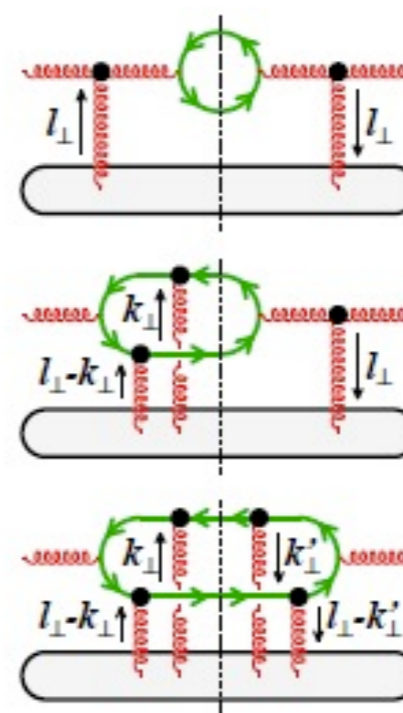
nucleus



proton ugd

correlators of nucleus
gluon fields

$$\frac{d\sigma}{d^2p_\perp d^2q_\perp dy_p dy_q} = \frac{\alpha_s^2 N}{8\pi^4 (N^2 - 1)} \int_{\mathbf{k}_{1\perp}, \mathbf{k}_{2\perp}} \frac{\delta(\mathbf{p}_\perp + \mathbf{q}_\perp - \mathbf{k}_{1\perp} - \mathbf{k}_{2\perp})}{k_{1\perp}^2 k_{2\perp}^2} \underbrace{\varphi_P(\mathbf{k}_{1\perp})}_{\text{proton ugd}} \times \left\{ \begin{aligned} & \int_{\mathbf{k}_\perp, \mathbf{k}'_\perp} \text{tr}_d \left[(\not{q} + m) T_{qq} (\not{p} - m) \gamma^0 T_{qq}^\dagger \gamma^0 \right] \phi_A^{qq,qq}(\mathbf{k}_{2\perp}; \mathbf{k}_\perp, \mathbf{k}'_\perp) \\ & + \int_{\mathbf{k}_\perp} \text{tr}_d \left[(\not{q} + m) T_{qq} (\not{p} - m) \gamma^0 T_g^\dagger \gamma^0 + h.c. \right] \phi_A^{qq,g}(\mathbf{k}_{2\perp}; \mathbf{k}_\perp) \\ & + \text{tr}_d \left[(\not{q} + m) T_g (\not{p} - m) \gamma^0 T_g^\dagger \gamma^0 \right] \phi_A^{g,g}(\mathbf{k}_{2\perp}) \end{aligned} \right\}. \quad (6)$$



2-point

3-point

4-point

⇒ Heavy Quark production in the CGC

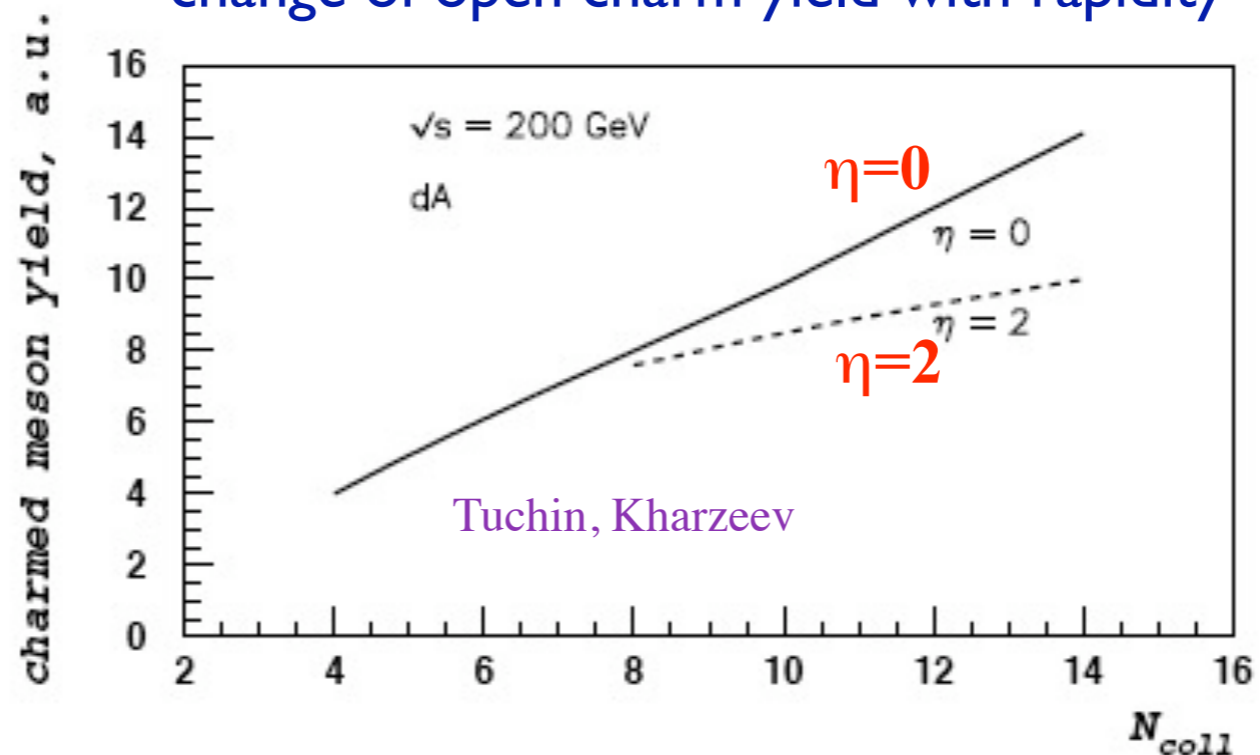
Two-scales problem:

if $Q_s(x) \ll m_Q$ the heavy quark is “heavy” $\longrightarrow \frac{dN}{dy} \sim N_{coll}$

if $Q_s(x) \geq m$ the heavy quark is “light” $\longrightarrow \frac{dN}{dy} \sim N_{part}$

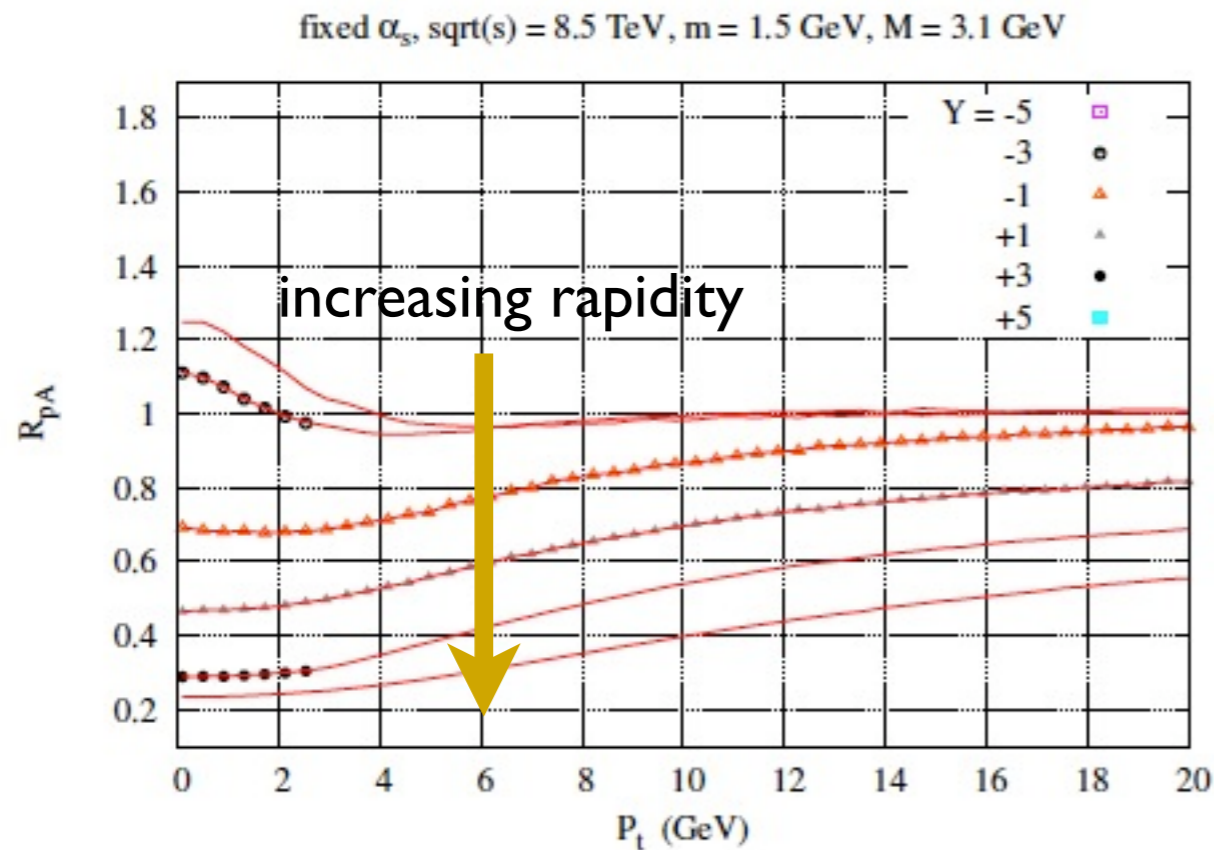
Remember that the saturation scale grows with rapidity: $Q_s^2 \sim e^{0.28\eta} \text{ GeV}^2$

change of open charm yield with rapidity

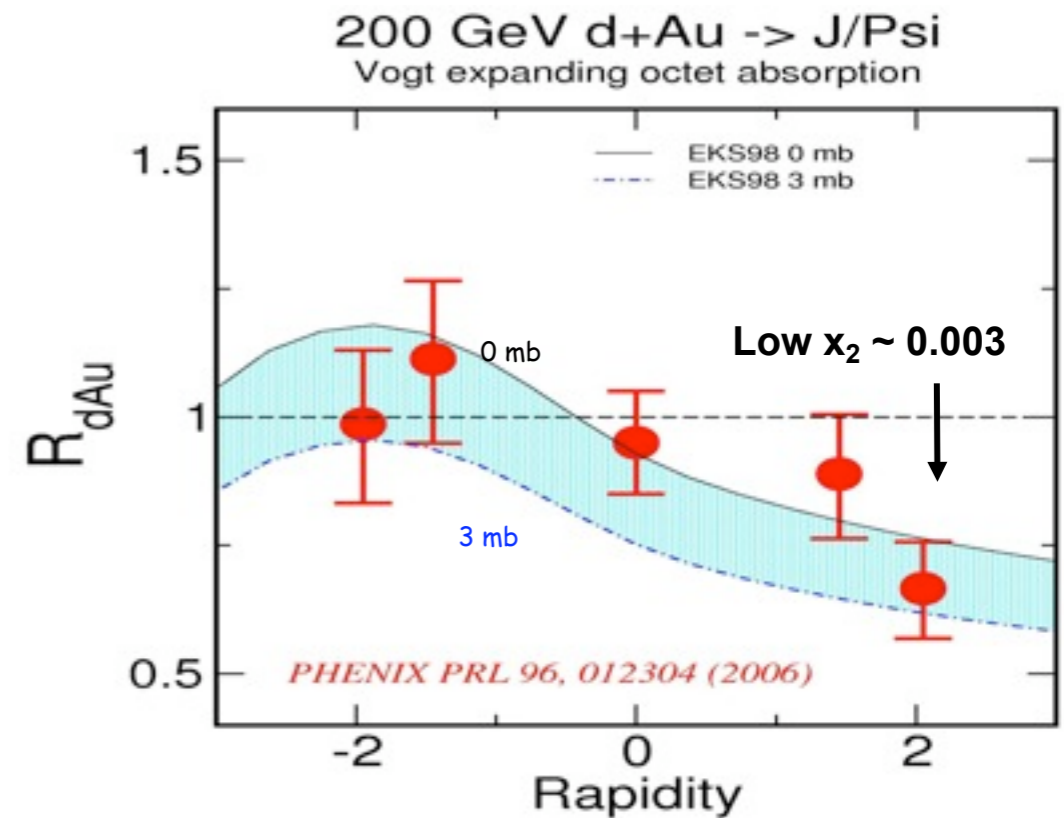


⇒ Heavy Quark production in the CGC

- CGC effects induce a moderate enhancement of QQ production at mid-rapidity at RHIC (only semi-classical rescatterings)
- The onset of quantum corrections induces a relative suppression at forward rapidities at RHIC (mid-rapidity at the LHC). Such is precisely the trend exhibited by RHIC data d+Au and Au+Au coll.



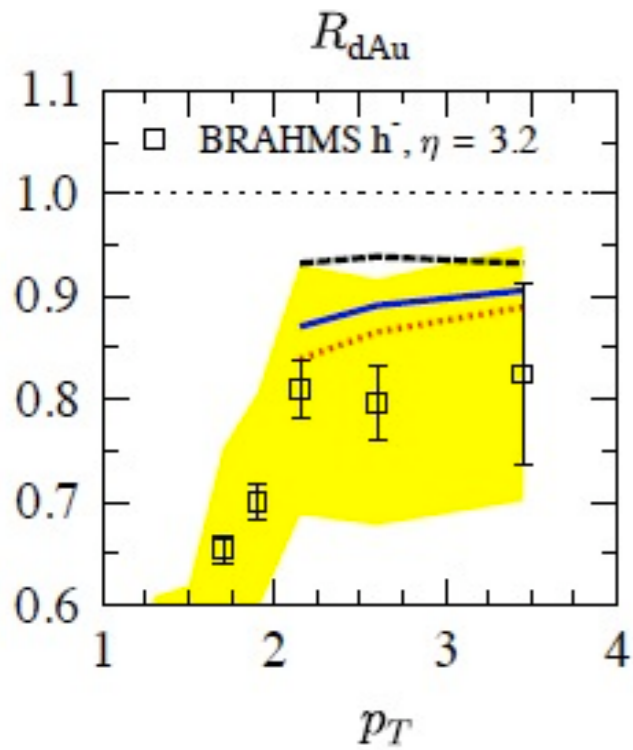
Gelis-Fuji-Venugopalan



It is plausible that part of the “anomalous” forward suppression observed at RHIC is due to CGC effects

- Shadowing parametrizations that describe well forward light hadron production in d+Au at RHIC require a less strong growth of “absorption” cross section with increasing rapidity

EPS08



$\sigma_{abs}(y)$

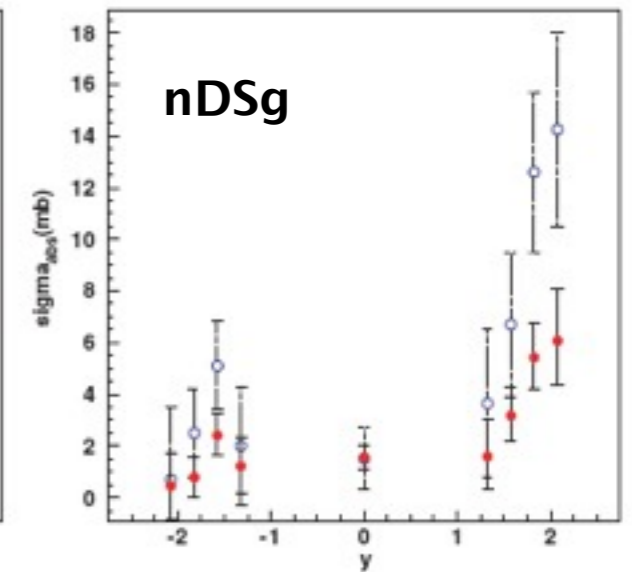
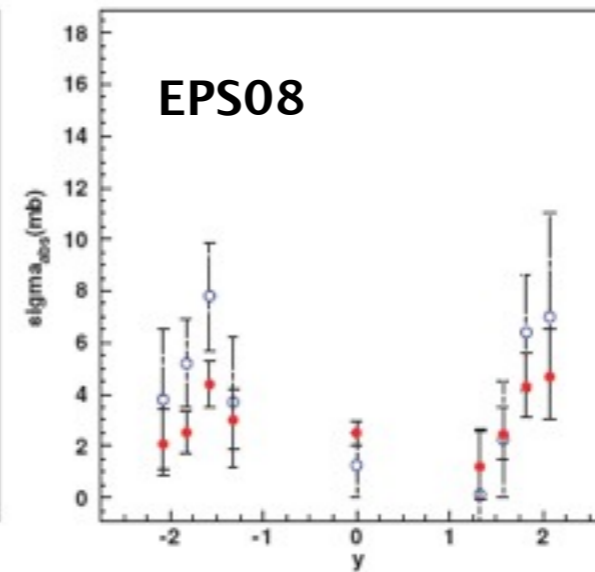
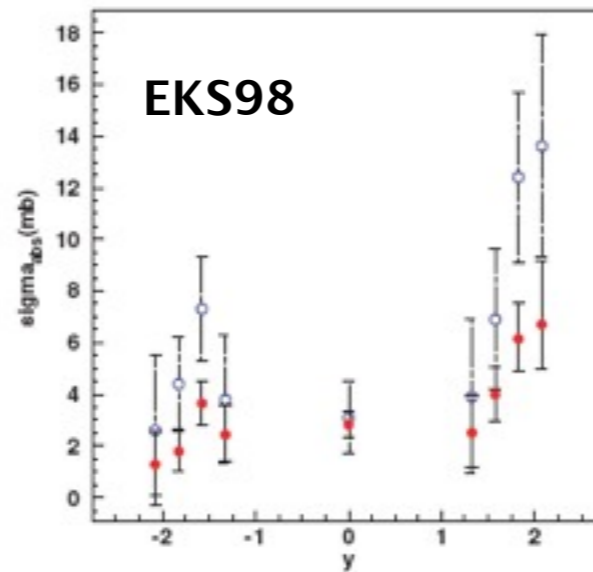


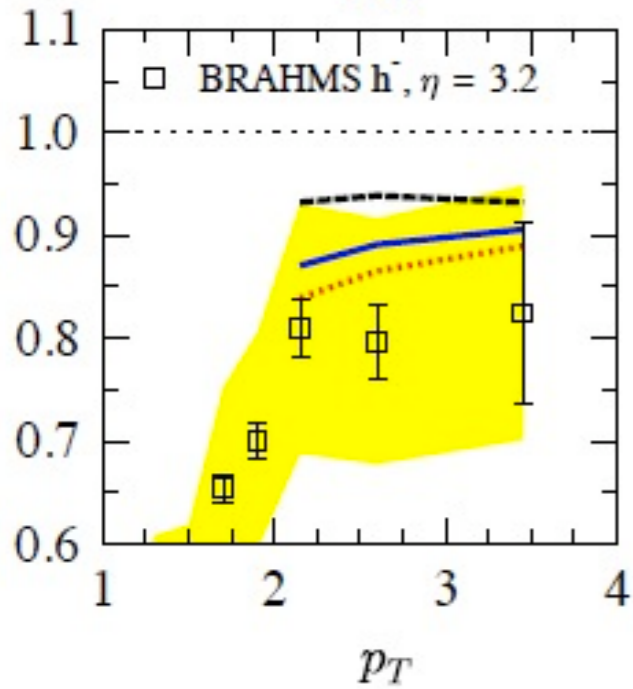
Fig by Elena Ferreiro

...one may be overestimating the anomalous absorption just due to putting too many initial gluons....

- Shadowing parametrizations that describe well forward light hadron production in d+Au at RHIC require a less strong growth of “absorption” cross section with increasing rapidity

EPS08

R_{dAu}



$\sigma_{abs}(y)$

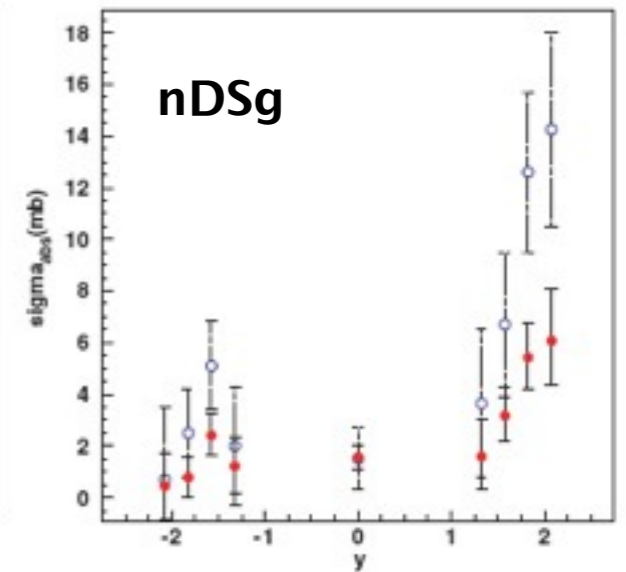
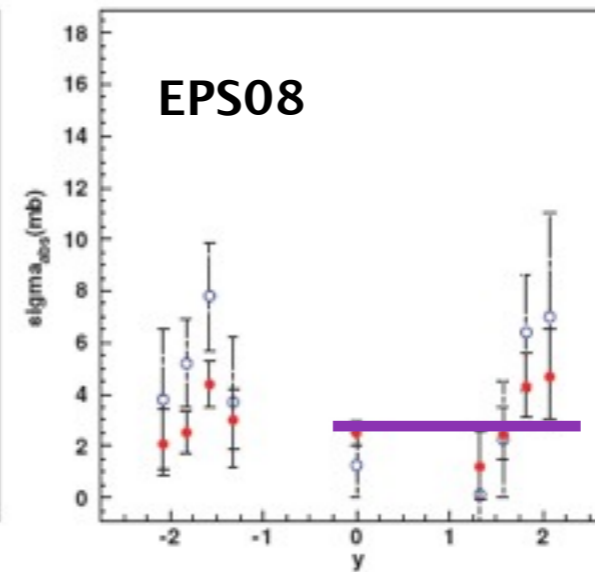
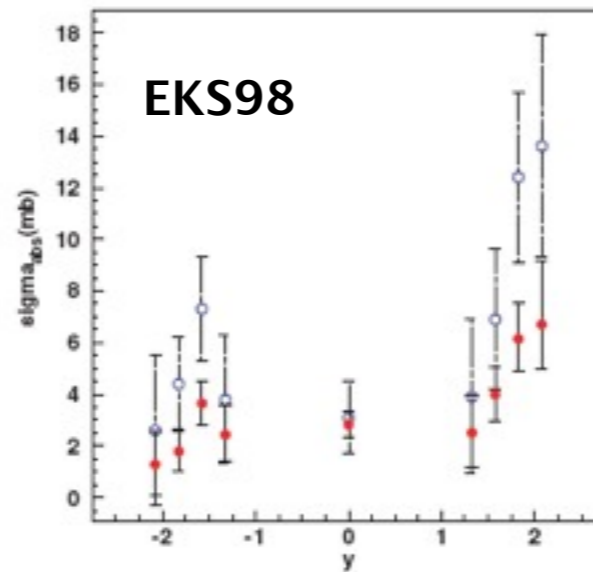


Fig by Elena Ferreiro

...one may be overestimating the anomalous absorption just due to putting too many initial gluons....

Conclusions

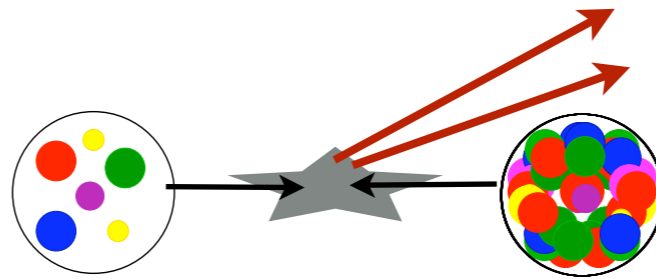
- ⇒ NLO corrections bring the CGC to a new period of quantitative and predictive phenomenology
- ⇒ Good description of forward particle production @ RHIC (multiplicities, single and double production). Also e+p data.
- ⇒ A systematic phenomenological study of CGC including NLO effects in heavy quark production at RHIC and the LHC is still missing

Back up slides

⇒ Double Inclusive forward hadron production in the CGC

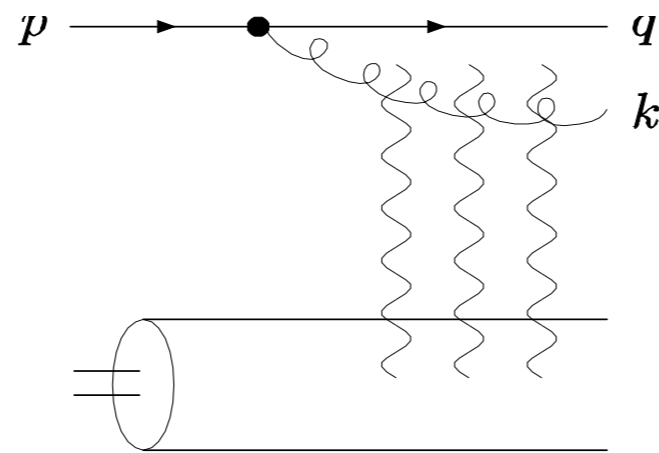
$$x_p = \frac{|k_1|e^{y_1} + |k_2|e^{y_2}}{\sqrt{s}}$$

$$x_A = \frac{|k_1|e^{-y_1} + |k_2|e^{-y_2}}{\sqrt{s}}$$

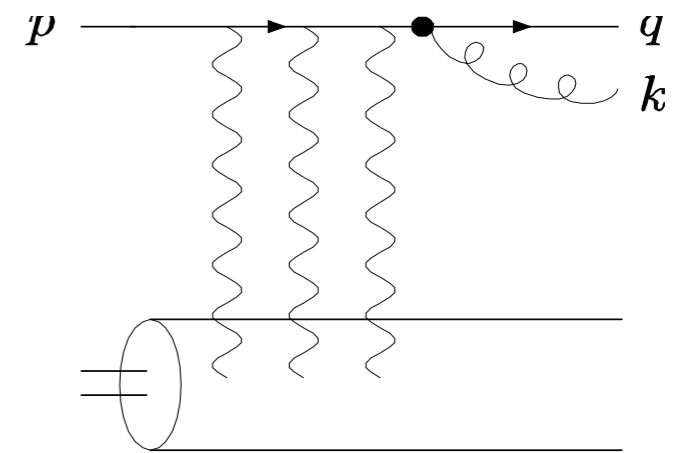


$(k_1, y_1), (k_2, y_2)$

Cyrille Marquet 07:



hard quark initiating scattering



Fourier transform from coordinate space to momentum

$$\frac{d\sigma^{dAu \rightarrow qqX}}{d^2k_\perp dy_k d^2q_\perp dy_q} = \alpha_S C_F N_c x_d q(x_d, \mu^2) \int \frac{d^2x}{(2\pi)^2} \frac{d^2x'}{(2\pi)^2} \frac{d^2b}{(2\pi)^2} \frac{d^2b'}{(2\pi)^2} e^{ik_\perp \cdot (\mathbf{x}' - \mathbf{x})} e^{iq_\perp \cdot (\mathbf{b}' - \mathbf{b})}$$

$$|\Phi^{q \rightarrow qg}(z, \mathbf{x} - \mathbf{b}, \mathbf{x}' - \mathbf{b}')|^2 \left\{ S_{qg\bar{q}g}^{(4)}[\mathbf{b}, \mathbf{x}, \mathbf{b}', \mathbf{x}'; x_A] - S_{qg\bar{q}}^{(3)}[\mathbf{b}, \mathbf{x}, \mathbf{b}' + z(\mathbf{x}' - \mathbf{b}'); x_A] \right. \\ \left. - S_{\bar{q}gq}^{(3)}[\mathbf{b} + z(\mathbf{x} - \mathbf{b}), \mathbf{x}', \mathbf{b}'; x_A] + S_{q\bar{q}}^{(2)}[\mathbf{b} + z(\mathbf{x} - \mathbf{b}), \mathbf{b}' + z(\mathbf{x}' - \mathbf{b}'); x_A] \right\}$$

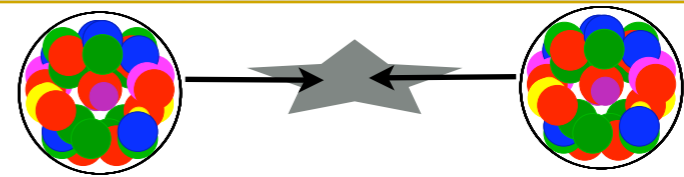
q → qg splitting (pQCD)

Scattering of the 2-parton system with the CGC target

$$z = \frac{|k_\perp|e^{y_k}}{|k_\perp|e^{y_k} + |q_\perp|e^{y_q}}$$

Involves more than 3 and 4 point functions. Calculated in the large N_c limit

⇒ Multiparticle production in A+A coll.



RHIC multiplicities smaller than expected.

Most of particles produces in RHIC Au+Au collisions are small-x gluons

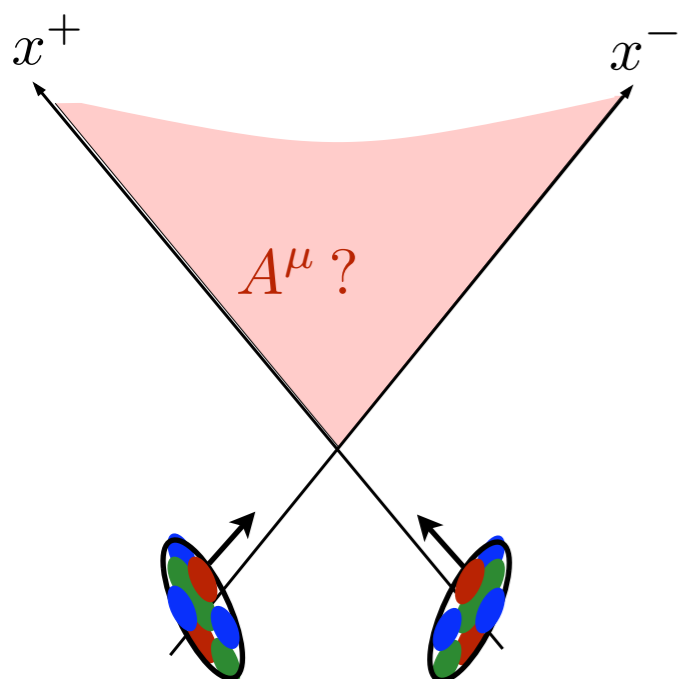
produces particles \sim # scattering centers

Two alternative approaches to describe multiparticle production within the CGC:

⇒ **k_t -factorization** (Valid in p+A coll. Violated in A+A collisions). Starting point to the Kharzeev-Levin-Nardi model

$$\frac{dN_{AB}^g}{d\eta} = \frac{4\pi N_c}{N_c^2 - 1} \int \frac{d^2 p}{p^2} \int d^2 k \alpha_s(Q^2) \varphi_A(\mathbf{x}_1, k) \varphi_B(\mathbf{x}_2, |p - k|)$$

⇒ **Classical Yang-Mills (CYM)** Kovner, McLerran, Weigert.



$$D_\mu F^{\mu\nu} = J^\nu \quad \text{with} \quad J^\pm \sim \rho_{A(B)}[Q_s(\mathbf{x})] \delta(x^\pm)$$

More rigorous, but requires numerical implementation

Preliminary results AAMQs 1.0

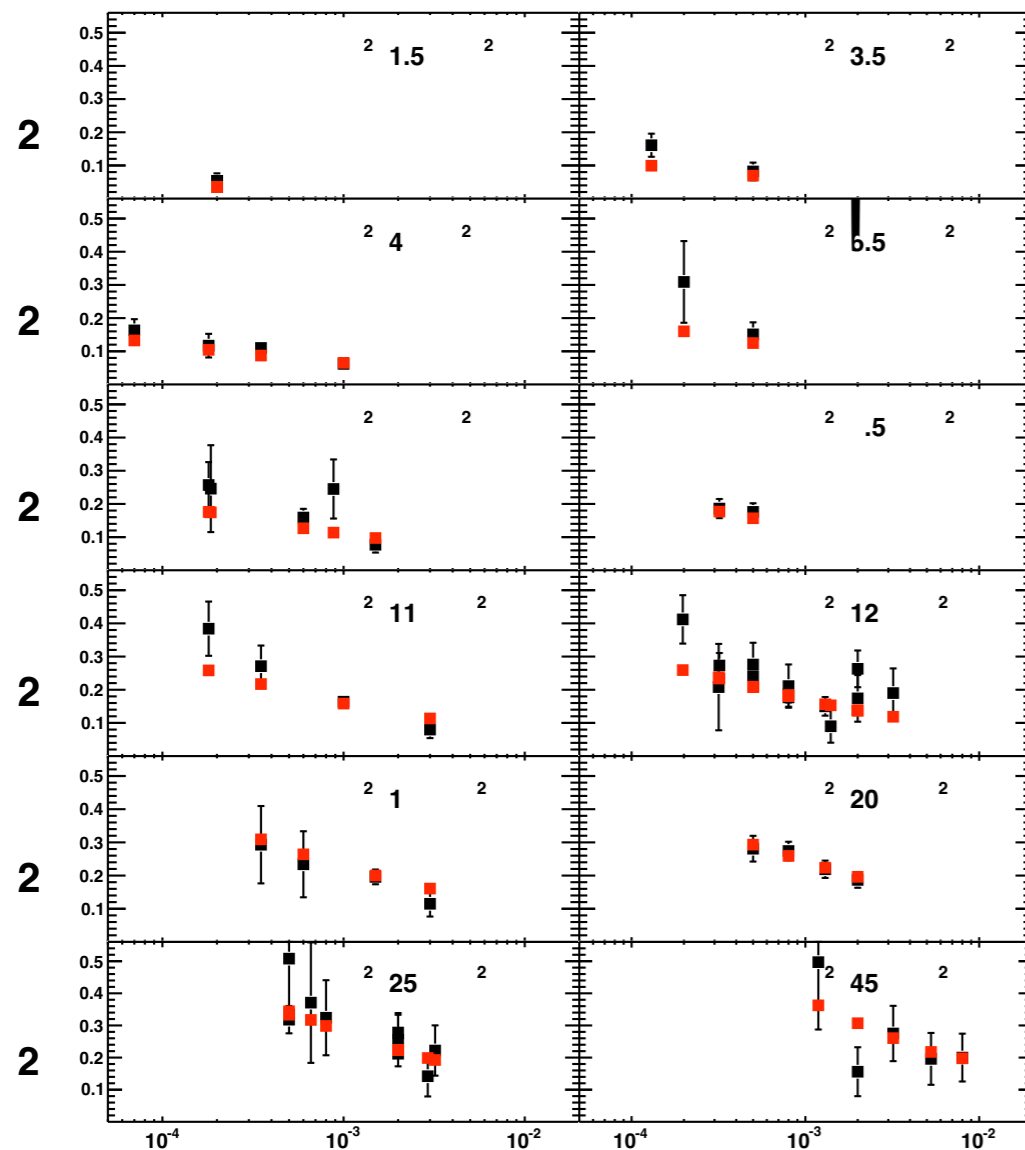
AAMS+P. Quiroga in preparation

✓ Good fits to data on reduced cross sections from combined analysis by H1 and ZEUS coll (much smaller error bars!). Fit parameters stable wrt to AAMS 1.0 analysis

$$2 \left[\begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} \right] \approx 1 \div 15$$

✓ Inclusion of charm and beauty

$$\sigma_0 \left[\begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} \right] > \sigma_0 \left[\begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} \right]$$



✓ Fits to new HERA data on reduced cross sections

