



ZZ Measurements in 4ℓ final states at ATLAS

Xiaotian Liu

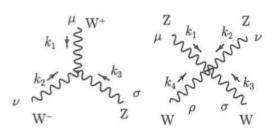
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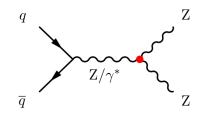
MBI2022

2022.Aug.22 ~ Aug.25

Introduction

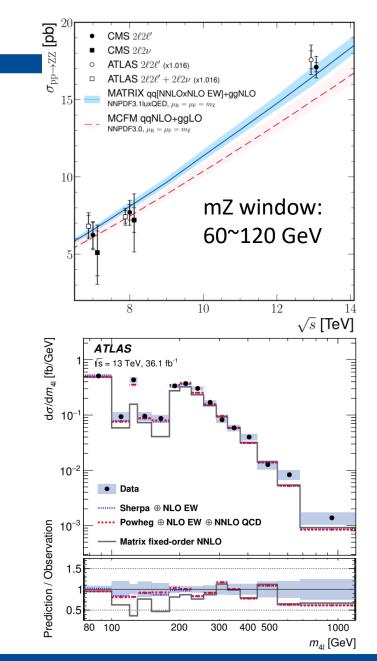
- Multi-boson processes: a powerful probe to the electroweak gauge structure:
 - Better understand the Standard Model (SM)
 - Test directly the gauge boson self-interaction (SU(2))
 - Higgs mechanism for Electroweak Symmetry Breaking (EWSB)
 - e.g. vector boson scattering (VBS) processes for gauge unitarity
 - Search for Beyond the Standard Model (BSM) physics
 - Anomalous gauge boson coupling (aTGC, aQGC...)
 - Effective field theory (EFT), a more general way for new physics search
- Measurement of multi-boson processes:
 - Total cross-section
 - Differential cross-sections





Introduction

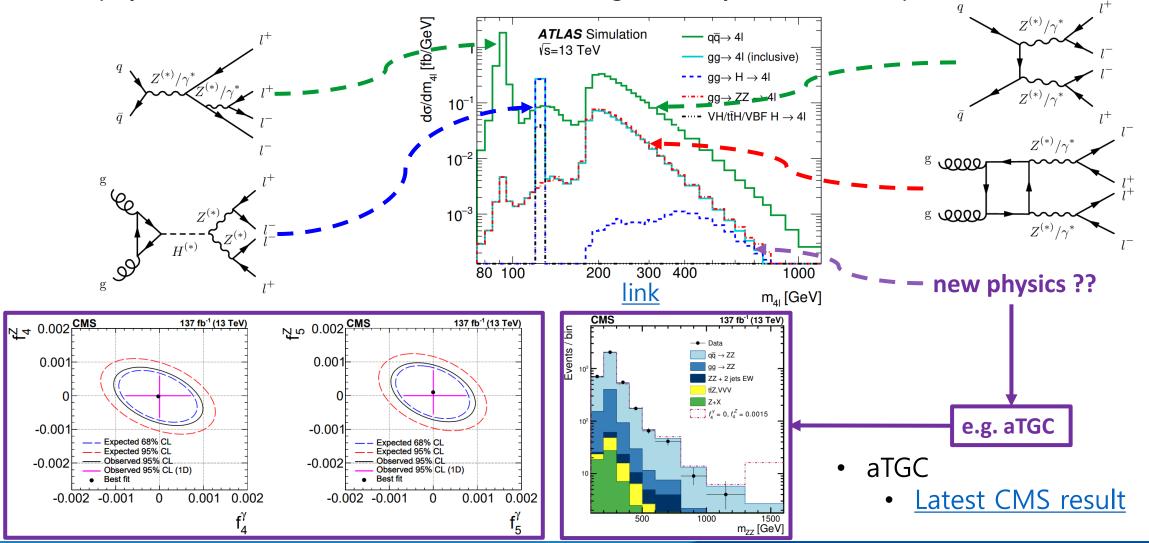
- Measurement of ZZ process
 - Clean and full-reconstructed signal for the leptonic decay $(4\ell(e,\mu))$ in experiment
 - Low cross-section comparing with other di-boson processes
- Previous study
 - 4*l* final state was measured in the on-shell ZZ region to determine the ZZ production cross-section both at ATLAS and CMS experiment (<u>link</u>, <u>link</u>)
 - Differential cross-sections was also measured including off-shell events form Z^*/γ^* (link)
- We expected more precise measurement on more variables as well as more accurate test on BSM with full 13 TeV dataset



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Introduction

• Rich physics contents in 4ℓ final states including not only SM but also possible BSM



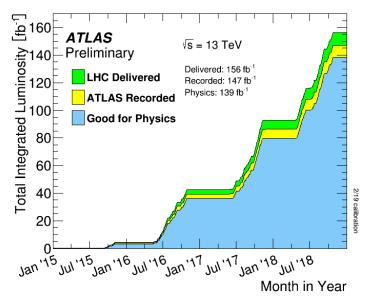
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LHC

- Large Hardon Collider:
 - Most powerful accelerator in the world
 - *pp* collision:
 - Run-I:
 - 2010: 7 TeV 45.0 pb⁻¹(recorded)
 - 2011: 7 TeV 4.57 fb⁻¹
 - 2012: 8 TeV 20.3 fb⁻¹
 - Run-II:
 - 2015: 13 TeV 3.2 fb⁻¹
 - 2016: 13 TeV 33.0 fb⁻¹
 - 2017: 13 TeV 44.3 fb⁻¹
 - 2018: 13 TeV 58.5 fb⁻¹
 - Run-III keeps coming...



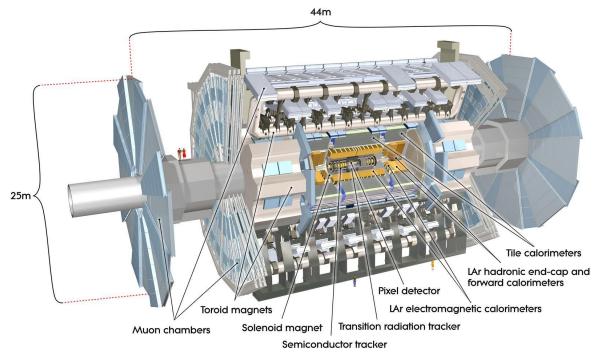


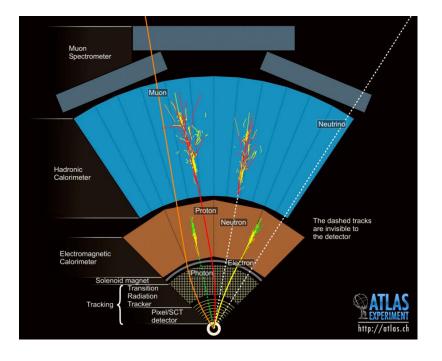
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ATLAS Detector

- One of the two general purpose detectors on Large Hadron Collider (LHC)
- Sub-detectors: inner trackers, electromagnetic, hadronic calorimeters and muon spectrometer
- Object reconstruction: electron, photon; muon; jet (tagging); MET; ...





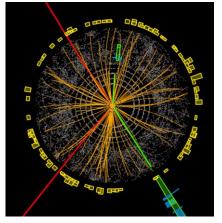
• ATLAS Run-2 (2015-2018) data corresponding to 139fb-1 of $\sqrt{s} = 13$ TeV pp collisions

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Fiducial region and event selection

- Fiducial Region
 - Designed to be as inclusive as possible
 - Based on particle-level prompt leptons, with dressed electrons and bare muons
- Quadruplet: invariant mass of same-flavor opposite-sign lepton pair which is closest (second closet) to Z mass treated as primary (secondary) pair
 - One quadruplet defined in an event
 - Three flavor categories: 4μ, 2e2μ, 4e



link

	Lepton selection
Muon selection	Bare, $p_{\rm T} > 5$ GeV, $ \eta < 2.7$
Electron selection	Dressed, $p_{\rm T} > 7$ GeV, $ \eta < 2.47$
	Event selection
Four-lepton signature	At least 4 leptons, with 2 Same-Flavour, Opposite-Sign pairs
Lepton kinematics	$p_{\rm T} > 20/10$ GeV for leading two leptons
Lepton separation	$\Delta R_{ii} > 0.05$ for any leptons
J/ψ -Veto	$m_{ij} > 5$ GeV for all SFOS pairs
Truth isolation	ptcone $30/p_{\rm T} < 0.16$

Event Selection

- At first leptons are reconstructed and checked with baseline criteria
- Event selection which mimics the fiducial definition
- Tight criteria applied on leptons in quadruplet to mitigate misidentified or non-prompt leptons

Observables

- Integrated cross-sections
- Differential cross-sections:
 - m_{4ℓ}
 - $\mathbf{m}_{4\ell}$ in slices of $\mathbf{p}^{T}_{4\ell}$
 - $\mathbf{m_{4\ell}}$ in slices of $|\mathbf{y_{4\ell}}|$
 - $m_{4\ell}$ in slices of flavor categories: 4μ , $2e2\mu$, 4e
 - m₁₂, m₃₄
 - p^T₁₂, p^T₃₄
 - rapidity difference between two lepton pairs $|\Delta y_{pairs}|$
 - azimuthal angle between the pairs $|\Delta \phi_{pairs}|$
 - azimuthal angle between leading/subleading leptons $|\Delta \phi_{||}|$
 - polarization variables $cos\theta_{12}^*$, $cos\theta_{34}^*$ (θ^* angle between the negative lepton in the lepton pair rest frame, and the lepton pair in the lab frame)

Single Z (60 < $m_{4\ell}$ < 100 GeV)

Higgs (120 < $m_{4\ell}$ < 130 GeV)

On-shell ZZ (180 < $m_{4\ell}$ < 2000 GeV)

Off-shell ZZ (20 < $m_{4\ell}$ < 60 GeV OR

 $100 < m_{4\ell} < 120 \text{ GeV OR}$

 $130 < m_{4\ell} < 180 \text{ GeV}$

Physics modelling

- MC samples are generated dedicatedly for each essential process:
 - Signal
 - qqZZ
 - ggZZ
 - $m_{4\ell}$ < 130 GeV, loop-induced only
 - $m_{4\ell}$ > 130 GeV, inclusive generation taking the interference of ggF off-shell Higgs process into account
 - detailed on-shell Higgs modelling
 - ggF, VBF, ttH, VH
 - triboson production
 - ttV(V)
 - Background
 - Z+jets
 - ttbar

Physics modelling

• Accuracy and PDF set for MC samples for essential process:

q	qZZ	SHERPA 2.2.2	NLO QCD@0,1 jet	NNPDF3.0NNLO
ggZZ		SHERPA 2.2.2	LO QCD@0,1 jet (k-factor reweighted to NNLO)	NNPDF3.0NNLO
Higgs	ggF	POWHEG + PYTHIA 8	NNLO QCD (normalized to N ³ LO XS)	PDF4LHC15NNLO
	VBF	POWHEG + PYTHIA 8	NLO QCD (normalized to NNLO XS)	PDF4LHC15NLO
	VH	POWHEG + PYTHIA 8	NLO QCD@0,1 jet (normalized to NNLO XS)	PDF4LHC15NLO
	ttH	POWHEG + PYTHIA 8	NLO QCD	PDF4LHC15NLO
trib	oson	SHERPA 2.2.2	NLO	NNPDF3.0NNLO
tt	ttV(V) Sherpa 2.2.0		LO	NNPDF3.0NNLO

MC prediction details

Background

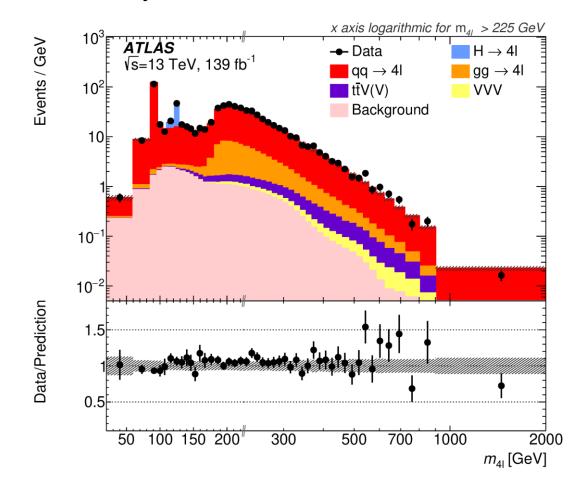
- Background refers to events with one or more non-prompt/fake leptons entering quadruplet, mainly from Z+jets and ttbar processes
- Background estimated with data-driven approach:
 - Fake Factor method, estimate fake factor in Control Region and transfer it into Signal Region
- Validation: Validation Region comparison; cross-check with Matrix method
- Background contributes < 10% in most bins

Uncertainties

- Multi-source of uncertainties are studied and estimated:
 - **Data statistics** Predominant uncertainty ٠ Experimental uncertainties _____ Propagated to Uncertainty [% Tota ٠ -√s=13 TeV, 139 fb⁻¹ --- Generator -- Lep. Eff. ---- Lep. Res. & Scale unfolded distributions Other 100 SHERPA V.S. 10 Generator selection ٠ POWHEG + PYTHIA Background ٠ Data-driven FF, application statistics... Data-driven closure Unfolding method ٠ Ω 30 100 300 400 1000 2000 40 5060 200 *m*₄I[GeV]

Detector-level yields

• Predicted yields V.S. data counts on detector level:

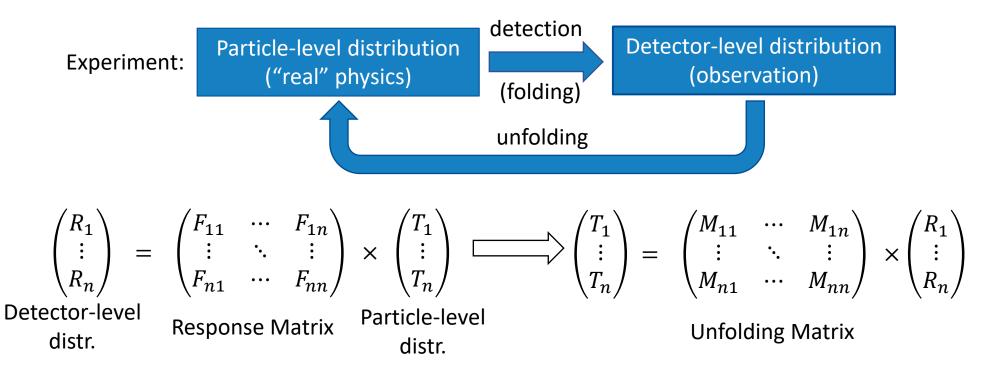


			Region		
	Full	$Z \rightarrow 4\ell$	$H \rightarrow 4\ell$	Off-shell ZZ	On-shell ZZ
$q\bar{q} \rightarrow 4\ell$	6100 ± 500	1490 ± 120	128 ± 10	800 ± 60	3640 ± 280
$gg \rightarrow 4\ell$	680 ± 90	10.8 ± 2.9	3.9 ± 0.7	49 ± 6	620 ± 80
$H\to 4\ell$	245 ± 20	2.16 ± 0.18	207 ± 17	33.5 ± 3.1	1.98 ± 0.20
VVV	35 ± 4	0.018 ± 0.005	0.127 ± 0.018	2.05 ± 0.22	32.9 ± 3.4
$t\bar{t}V(V)$	123 ± 19	1.37 ± 0.22	1.2 ± 0.2	15.5 ± 2.4	105 ± 16
Background	330 ± 50	44 ± 8	26 ± 5	129 ± 19	139 ± 30
Total Pred.	7500 ± 500	1540 ± 110	367 ± 19	1030 ± 60	4530 ± 290
Data	7755	1452	379	1095	4828

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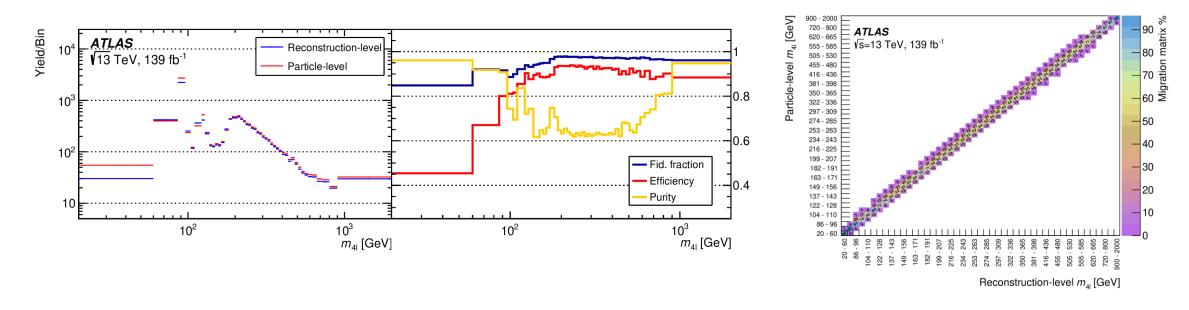
Unfolding and detector correction

 Differential measurement needs to correct the detector effects (resolution, inefficiency) and get the distributions at particle-level



• The unfolded distribution could be used to retrieve differential cross-section and compare with theoretical prediction directly!

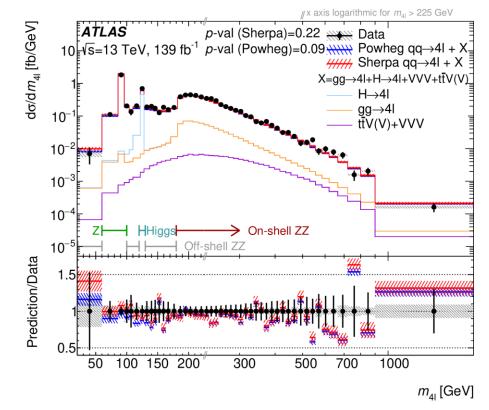
Unfolding and detector correction



- Get unfolding ingredients (efficiency, migration matrix...) from MC simulation samples
- Validation: data-driven closure test, injection test
- Unfolding method also used to optimize the binning of distributions

Unfolded measurement

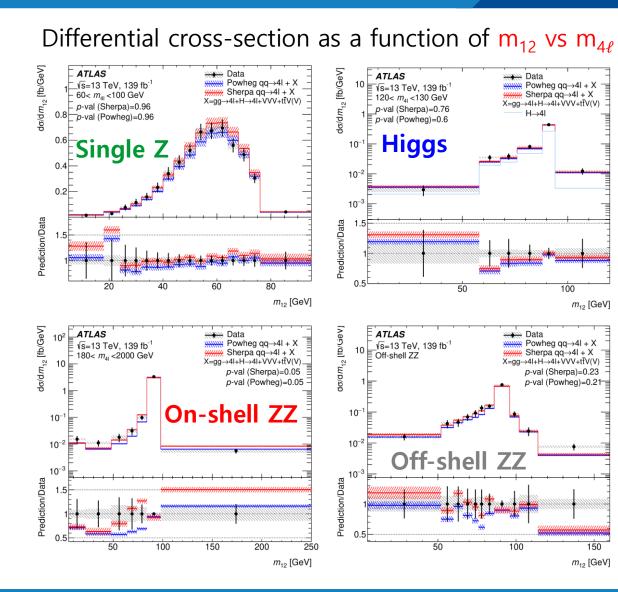
• Differential cross-section as a function of $m_{4\ell}$:



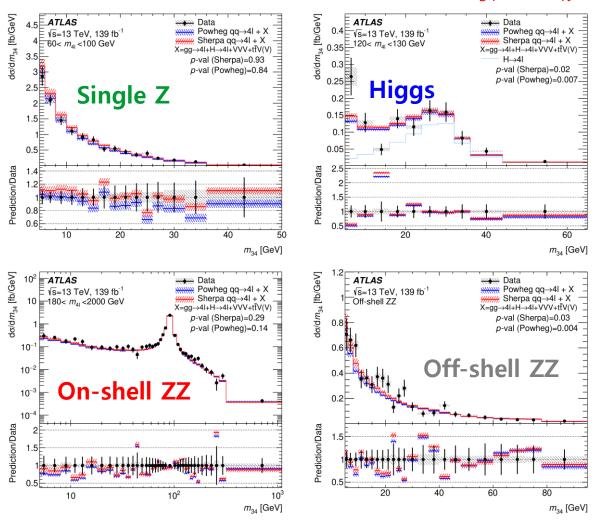
			Region		
	Full	$Z \rightarrow 4\ell$	$H \to 4\ell$	Off-shell ZZ	On-shell ZZ
Measured	88.9	22.1	4.76	12.4	49.3
fiducial	±1.1 (stat.)	±0.7 (stat.)	±0.29 (stat.)	±0.5 (stat.)	±0.8 (stat.)
cross-section	±2.3 (syst.)	±1.1 (syst.)	±0.18 (syst.)	±0.6 (syst.)	±0.8 (syst.)
[fb]	±1.5 (lumi.)	±0.4 (lumi.)	±0.08 (lumi.)	±0.2 (lumi.)	±0.8 (lumi.)
	±3.0 (total)	±1.3 (total)	±0.35 (total)	±0.8 (total)	±1.3 (total)
Sherpa	86±5	23.6±1.5	4.57 ± 0.21	11.5 ± 0.7	46.0 ± 2.9
Powheg + Pythia8	83±5	21.2 ± 1.3	4.38 ± 0.20	10.7 ± 0.7	46.4 ± 3.0

- The agreement between the data and both predictions is generally within the quoted uncertainties
- Improve the measurement precision significantly

Unfolded measurement



Differential cross-section as a function of m_{34} vs $m_{4\ell}$

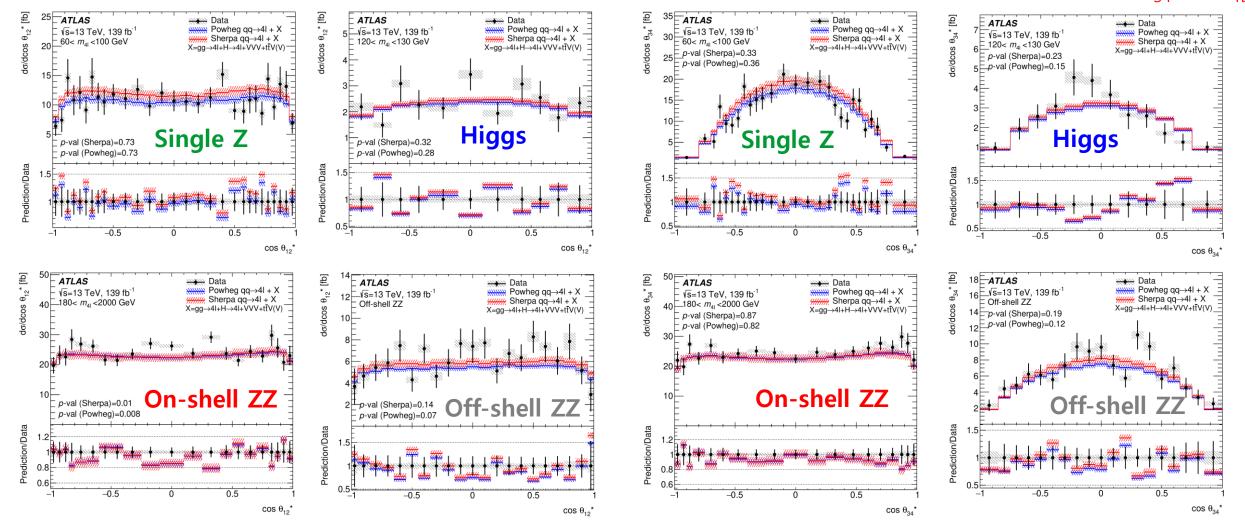


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Unfolded measurement

other differential cross-sections

Differential cross-section as a function of $\cos\theta_{12}^*$ vs $m_{4\ell}$ Differential cross-section as a function of $\cos\theta_{34}^*$ vs $m_{4\ell}$

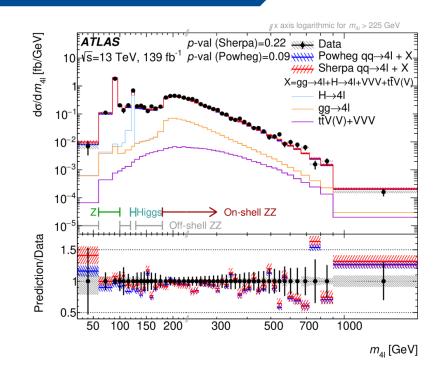


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How to make use of them?

• With the precise measurements, what's next?

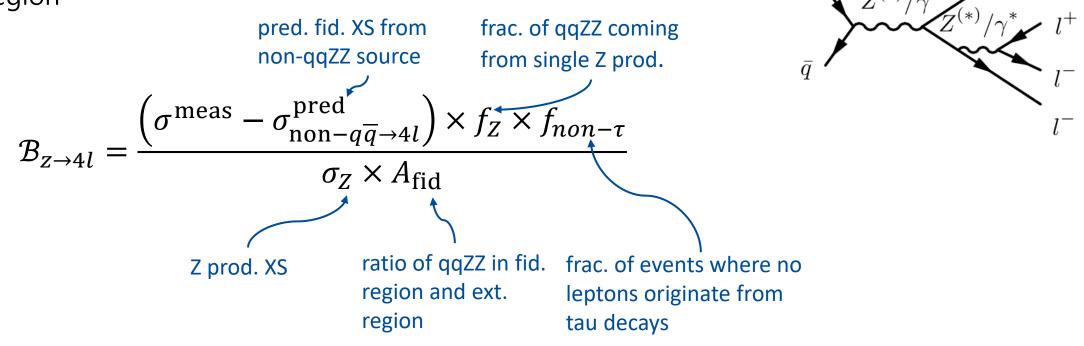
- $Z \rightarrow 4\ell$ Branching Ratio extraction
- BSM interpretation:
 - EFT interpretation
 - B L model interpretation
- Further re-interpretation in the future...



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Extract $Z \rightarrow 4\ell$ Branching Ratio

- Rare process: internal conversion $Z \rightarrow 4\ell$
- Extracted the BR with measured cross-section in the $Z \rightarrow 4\ell$ region



 $\mathcal{B}_{z \to 4l} = (4.41 \pm 0.13 \text{(stat.)} \pm 0.23 \text{(syst.)} \pm 0.09 \text{(theory)} \pm 0.12 \text{(lumi.)}) \times 10^{-6} = (4.41 \pm 0.30) \times 10^{-6}$

• Accepted as one of the experimental inputs of world average value in the latest PDG (PDG2022)

Introduction to BSM interpretation

- Utilize the numerous differential cross-sections of 4² final state to test BSM/fit BSM parameters/constrain BSM
- Dig out the information far from resonances

• Statistics:

•
$$\mathcal{L} = \frac{1}{\sqrt{(2\pi)^k |C|}} \exp\left(-\frac{1}{2} \left(\vec{\sigma}_{data} - \vec{\sigma}_{pred}(\mu, \vec{\theta})\right)^T C^{-1} \left(\vec{\sigma}_{data} - \vec{\sigma}_{pred}(\mu, \vec{\theta})\right)\right) \times \prod_i \mathcal{G}(\theta_i, 0, 1)$$

• $C = C_{\text{stat}}$ (fixed to expected SM) + C_{syst} (unfolding) (other uncertainties)

- nuisance parameters $\vec{\theta}$ (BSM theoretical uncertainties)
- Multi-gaussian statistics model to characterize the correlation among bins by unfolding procedure

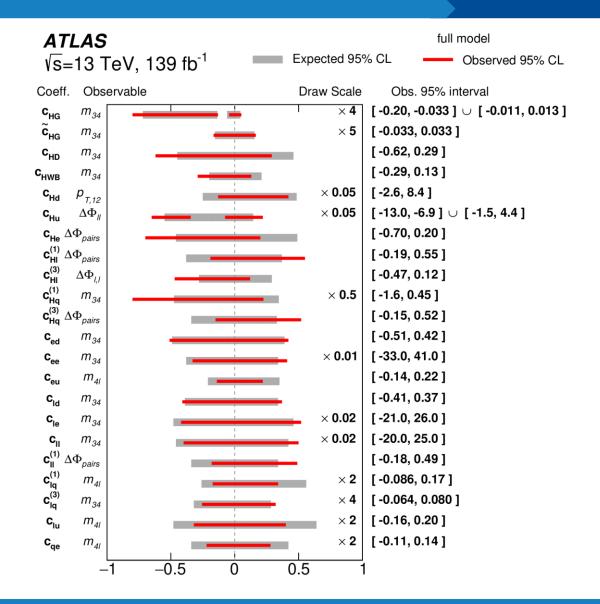
EFT: operators

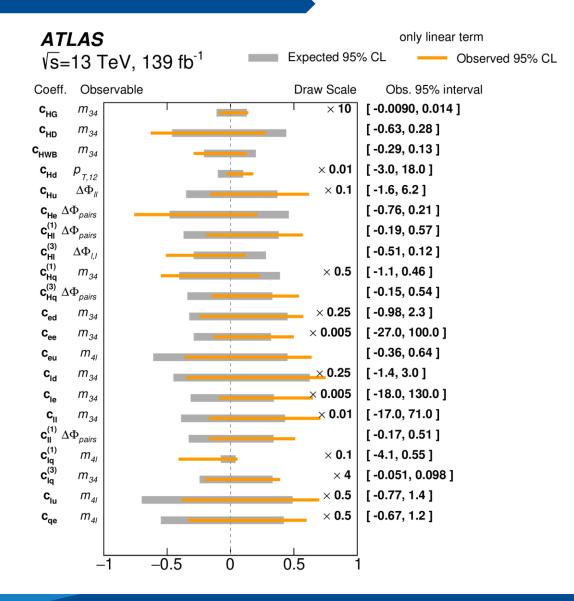
- Constrain on Wilson coefficients of dim-6 operators in Standard-Model EFT formalism(SMEFT)
 - $\mathcal{L} = \mathcal{L}_{SM} + \sum_{i} \frac{C_i}{\Lambda^{d_i 4}} \mathcal{O}_i$ • $c_i \equiv \frac{c_i^{d=6}}{\Lambda^2}$
- Among the full list of 59 dim-6 operators, 22 Wilson coefficients that give non-negligible ٠ contributions to the 4ℓ final state are considered
 - 3 affecting Higgs couplings: c_{HG} , \tilde{c}_{HG} , c_{HD} ٠
 - 1 affecting gauge boson coupling: c_{HWB} •
 - •
 - 7 affecting the $Z \rightarrow \ell \ell$ vertex: $c_{Hd}, c_{Hu}, c_{He}, c_{Hl}^{(1)}, c_{Hl}^{(3)}, c_{Hq}^{(1)}, c_{Hq}^{(3)}$ 11 four-fermion interaction: $c_{ed}, c_{ee}, c_{eu}, c_{ld}, c_{le}, c_{ll}, c_{ll}^{(1)}, c_{lq}^{(1)}, c_{lq}^{(3)}, c_{lu}, c_{qe}$ •
- Different variables are sensitive to different coefficients

EFT: methodology

- Parametrization:
 - Matrix element: $\mathcal{M}_{mix} = \mathcal{M}_{SM} + c \cdot \mathcal{M}_{BSM}$
 - The full prediction SM+BSM can be decomposed into three components of SM term, linear term(interference), quadratic term(pure BSM):
 - $\sigma(c) = \sigma_{\rm SM} + c \cdot \sigma_{\rm INT} + c^2 \cdot \sigma_{\rm BSM}$
- Generate the three components separately at LO precision in QCD
- Scale the MC with the ratio best SM to LO SM to consider the high-order effect
- The variable providing the best sensitivity is chosen to set limits for a Wilson coefficient, and a variable measured in slices counts as one variable

EFT: fitting results





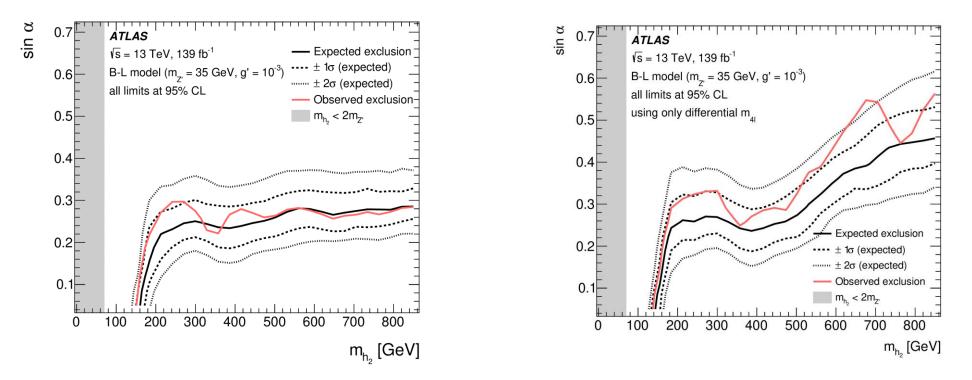
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B-L model: introduction

- An extension of SM with additional $U(1)_{B-L}$, with spontaneously breaks of B L symmetry, the neutrinos get mass (B-L model)
 - Model predicts new particles: gauge boson Z', exotic Higgs h_2 as well as RH neutrinos
 - Z' interacts with fermions through coupling g', and h_2 mixes with SM Higgs via mixing angle α
- Scenario considered: fixed parameters: low Z' mass (35 GeV) weakly coupled to SM (g' = 10^{-3})
- Set limit on 2D $m_{h2} \sim \sin \alpha$ parameter space
- B-L samples with different parameter settings generated at particle-level with LO precision
- Same as EFT, the variable providing the best sensitivity is chosen to set limits

B-L model: limit setting

- 95% CL exclusion:
- Left: m4l only exclusion; Right: all variables included exclusion

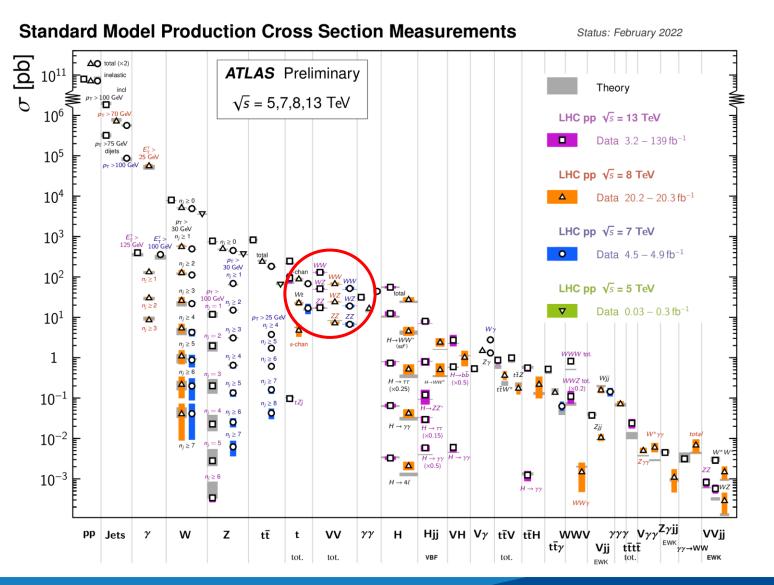


- Sin α > 0.28 over most of the range and constraints on mh₂ above 400 GeV
- Numerous variables we measured, provide us stronger power of exclusion

Summary

- We present the measurement of various differential cross-sections in 4-lepton events with ATLAS full Run-II data at a new-precision regime
- All information are corrected to particle-level and prepared in HEPData with Rivet routine, providing convenient way for rapid future re-interpretation to both experimentalists and theorists (HEPData, Rivet routine)
- Improve the $Z \rightarrow 4l$ BR measurement
- Constraints setting on EFT dim-6 operators and gauged B-L model as an example
- publication of the work
 - <u>Measurements of differential cross-sections in four-lepton events in 13 TeV proton-proton collisions with the ATLAS detector</u>

Back-up: SM production cross-sections

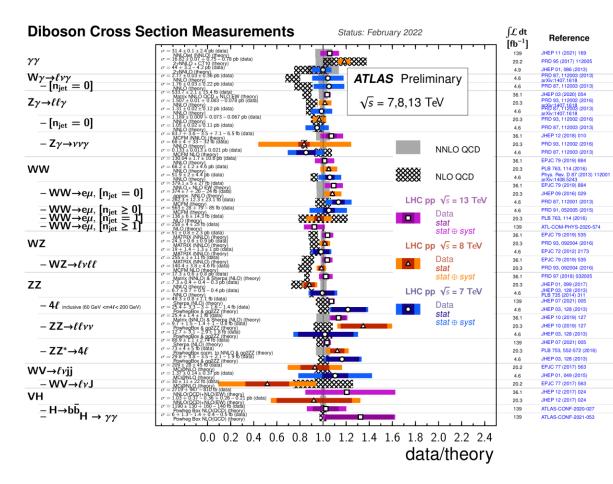


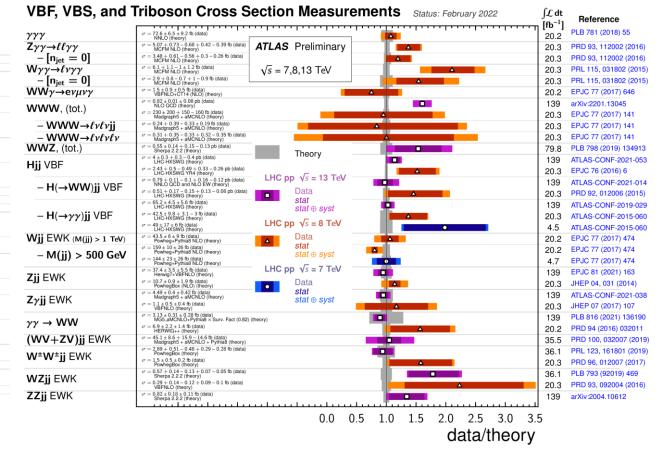
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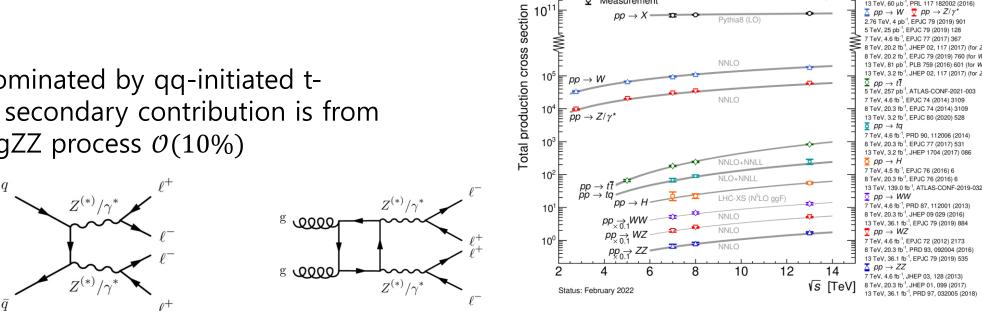
Back-up: Multi-boson cross-sections





Back-up: $pp \rightarrow Z^{(*)}Z^{(*)} \rightarrow 4\ell$ at LHC

- 4ℓ final state: two same-flavor opposite-sign e or μ pairs
- Production is dominated by qq-initiated t-٠ channel ZZ, the secondary contribution is from loop-induced ggZZ process O(10%)



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Theory

 $pp \rightarrow Z/\gamma$

- Resonance production contributions of HZZ as well as internal conversion of Z decay
- Even through 4ℓ channel has very low Branching Ratio (~0.4%), it is clean channel with high energy resolution

 $\delta pp \rightarrow \lambda$

 $pp \rightarrow W$

2.76 TeV, 4 pb

 $\overline{\Delta} pp \rightarrow t\overline{t}$

 $\mathbf{\overline{0}} pp \rightarrow tq$

7 TeV, 4.6 fb⁻¹, PRD 90,

8 TeV, 500 ub⁻¹, PLB 761 (2016) 158

13 TeV, 60 ub⁻¹, PBL 117 182002 (2016

13 TeV, 81 pb⁻¹, PLB 759 (2016) 601 (for

5 TeV, 257 pb⁻¹, ATLAS-CONF-2021-00

7 TeV, 4.6 fb⁻¹, EPJC 74 (2014) 3109

8 TeV, 20.3 fb⁻¹, EPJC 74 (2014) 3109

13 TeV, 3.2 fb⁻¹, EPJC 80 (2020) 528

8 TeV, 20.3 fb⁻¹, EPJC 77 (2017) 531

13 TeV, 3.2 fb⁻¹, JHEP 02. 1

 $\nabla pp \rightarrow Z/\gamma^*$

EPJC 79 (2019) 90 EP.IC 79 (2019) 128

ATLAS Preliminary

NNLO

Back-up: Triggers

• data selected using a logical OR of a reduced set of lowest prescaled single, di- and tri-lepton triggers

Year	
2015	HLT_e24_lhmedium_L1EM20VH
	HLT_e60_lhmedium
	HLT_e120_lhloose
	HLT_2e12_lhvloose_L12EM10VH
2016	HLT_e26_lhtight_nod0_ivarloose
	HLT_e60_lhmedium_nod0
	HLT_e140_lhloose_nod0
	HLT_2e17_lhvloose_nod0
2017	HLT_e26_lhtight_nod0_ivarloose
	HLT_e60_lhmedium_nod0
	HLT_e140_lhloose_nod0
	HLT_2e24_lhvloose_nod0
	HLT_e24_lhvloose_nod0_2e12_lhvloose_nod0_L1EM20VH_3EM10VH
2018	HLT_e26_lhtight_nod0_ivarloose
	HLT_e60_lhmedium_nod0
	HLT_e140_lhloose_nod0
	HLT_2e17_lhvloose_nod0_L12EM15VHI
	HLT_2e24_lhvloose_nod0
	HLT_e24_lhvloose_nod0_2e12_lhvloose_nod0_L1EM20VH_3EM10VH

Year	
2015	HLT_mu20_iloose_L1MU15
	HLT_mu50
	HLT_2mu10
	HLT_mu18_mu8noL1
2016	HLT_mu26_ivarmedium
	HLT_mu50
	HLT_2mu14
	HLT_mu22_mu8noL1
2017	HLT_mu26_ivarmedium
	HLT_mu50
	HLT_2mu14
	HLT_mu22_mu8noL1
2018	HLT_mu26_ivarmedium
	HLT_mu50
	HLT_2mu14
	HLT_mu22_mu8noL1
Year	
2015	HLT_e7_lhmedium_mu24
	HLT_e17_lhloose_mu14
2016	HLT_e7_lhmedium_nod0_mu24
	HLT_e17_lhloose_nod0_mu14
	HLT_2e12_lhloose_nod0_mu10
2017	HLT_e17_lhloose_nod0_mu14
	HLT_e26_lhmedium_nod0_mu8noL1
2018	HLT_e17_lhloose_nod0_mu14
	HLT_e26_lhmedium_nod0_mu8noL1

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Back-up: Fiducial region definition

• any process with at least 4 leptons in the hard scattering is considered as part of the signal

	Lepton selection
Muon selection	Bare, $p_{\rm T} > 5$ GeV, $ \eta < 2.7$
Electron selection	Dressed, $p_{\rm T} > 7$ GeV, $ \eta < 2.47$
	Event selection
Four-lepton signature	At least 4 leptons, with 2 Same-Flavour, Opposite-Sign pairs
Lepton kinematics	$p_{\rm T} > 20/10$ GeV for leading two leptons
Lepton separation	$\Delta R_{ij} > 0.05$ for any leptons
J/ψ -Veto	$m_{ij} > 5$ GeV for all SFOS pairs
Truth isolation	ptcone $30/p_{\rm T} < 0.16$

Back-up: Event selection

• Leptons are reconstructed and checked with baseline criteria

Category	Requirement	
Kinematics	Muons :	$p_{\rm T} > 5 \text{ GeV}$ If CaloTag: >15 GeV
	Electrons:	$ \eta < 2.7$ $p_{\rm T} > 7 \text{ GeV}$ $ \eta < 2.47$
Vertex association	Both :	$ \eta < 2.47$ $ z_0 \sin\theta < 0.5 \text{ mm}$
Identification:	Muons:	Loose ID
	Electrons:	LooseLH ID
Overlap removal: Lepton-favoured		

Event selection with fiducial definition

Category	Requirement		
Event Preselection	Fire at least one lepton trigger		
	≥ 1 vertex with 2 or more tracks		
Four-lepton signature	At least 4 leptons (e, μ)		
Lepton kinematics	$p_{\rm T} > 20/10$ GeV for leading two leptons		
Lepton separation	$\Delta R_{ij} > 0.05$ for any two leptons		
J/ψ -Veto	$m_{ij} > 5$ GeV for all SFOS pairs		
Trigger matching	Baseline leptons matched to at least one lepton trigger		
Quadruplet formation	At least one quadruplet with 2 Same-Flavour, Opposite-Sign (SFOS) pairs		
Quadruplet categorisation	4 signal, 0 non-signal: signal region		
	\leq 3 signal, \geq 1 non-signal: background control region		

• Lepton pairing:

Pr	imary pair	$\min m_{ij} - m_Z $ in all SFOS leptons pairs
Se	condary pair	$\min m_{ij} - m_Z $ in the rest SFOS lepton pairs

 Tight criteria applied on leptons in quadruplet to mitigate misidentified or non-prompt leptons

Input objects	Baseline electrons and muons that are part of the quadruplet		
Isolation	FixedCutPflowLoose working point		
	Contribution from all other baseline leptons is subtracted		
Cosmic muon veto	Muons: $ d_0 < 1 \text{ mm}$		
Impact Parameter	Muons: d_0/σ_{d_0} <3		
	Electrons: $d_0/\sigma_{d_0} < 5$		
Stricter Electron ID	Electrons: LooseBLayerLH ID		

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Back-up: Event selection

jet selection	
Collection:	AntiKt4EMPFlow
Kinematics:	$ \eta < 4.5$
	$p_{\rm T} > 30 { m GeV}$
Signal jet (after overlap removal):	pass JVT

details of overlap removal strategy

Reject	Against	Overlap Criteria
electron	electron	shared track, $p_T^1 < p_T^2$
calo muon	electron	shared ID track
electron	muon	shared ID track
jet	electron	$\Delta R < 0.2$
jet	muon	NumTrack < 3 and ghost-associated/ $\Delta R < 0.2$

Back-up: MC simulation

	Process		
	$q\bar{q} \rightarrow ZZ^{(*)} \rightarrow 4\ell$	inclusive 2 add. jets (EW)	
NLO Catani-Seymour dipole n	$gg (\to H^{(*)}) \to ZZ^{(*)} \to gg \to ZZ^{(*)} \to 4\ell$	→ 4ℓ inclusive, $m_{4\ell}$ > 130 GeV no H, $m_{4\ell}$ < 130 GeV	
S lib for virtual QCD correction		VBF	Pown
	$pp \to H \to ZZ^{(*)} \to 4\ell$	ZH WH	
(SM box + ggF + interference)		ttH	
NLO	$pp \rightarrow W^{(*)}W^{(*)}Z^{(*)} \rightarrow 4,$ $pp \rightarrow W^{(*)}Z^{(*)}Z^{(*)} \rightarrow 5,$ $pp \rightarrow Z^{(*)}Z^{(*)}Z^{(*)} \rightarrow 6,$	1v inclusive inclusive	
	$pp \to Z^{(*)}Z^{(*)}Z^{(*)} \to 4\ell$ $pp \to t\bar{t} + \ell\ell$	$2\nu \text{inclusive} \\ t\bar{t}Z, m_{\ell\ell} > 5 \text{ GeV}$	
gs:	Process		
ection @ N3LO	$pp \rightarrow Z^{(*)} \rightarrow 2e + \text{jets}$	\geq 4 truth leptons with $p_{\rm T}$ > 4GeV $m_1(\ell\ell)$ > 40GeV, $m_2(\ell\ell)$ > 8GeV	
8	$pp \rightarrow Z^{(*)} \rightarrow 2\mu$ + jets	\geq 4 truth leptons with $p_{\rm T}$ > 4GeV $m_1(\ell\ell)$ > 40GeV, $m_2(\ell\ell)$ > 8GeV \geq 2 truth leptons with $p_{\rm T}$ > 4GeV	
	$pp \rightarrow Z^{(*)} \rightarrow 2e + \text{ jets}$	\geq 3 truth leptons with $p_{\rm T}$ > 4GeV veto filter of 344295 \geq 3 truth leptons with $p_{\rm T}$ > 4GeV	
	$pp \rightarrow Z^{(*)} \rightarrow 2\mu$ + jets $pp \rightarrow Z^{(*)} \rightarrow 2e$ + jets	\geq 5 truth reptons with $p_T > 40ev$ veto filter of 344296 inclusive	
		inclusive	

- qqZZ:
 - PS: MEPS@I factorization
 - **OPENLOOPS** •
- ggZZ:
 - > 130 GeV (•
 - K-factor to I •
- on-shell Higg
 - ggF cross-se
 - PS: PYTHIA8
- triboson
- ttV ullet
 - PS: same as qqZZ

Process		Generator
$q\bar{q} \rightarrow ZZ^{(*)} \rightarrow 4\ell$	inclusive	Sherpa 2.2.2
$qq \rightarrow ZZ^{\vee} \rightarrow 4\ell$	2 add. jets (EW)	Sherpa 2.2.2
$gg (\to H^{(*)}) \to ZZ^{(*)} \to 4\ell$	inclusive, $m_{4\ell} > 130 \text{ GeV}$	Sherpa 2.2.2
$gg \to ZZ^{(*)} \to 4\ell$	no H, $m_{4\ell}$ < 130 GeV	Sherpa 2.2.2
	ggF	Powheg (NNLOPS) + Pythia 8
	VBF	Powneg + Pythia 8
$pp \to H \to ZZ^{(*)} \to 4\ell$	ZH	Powheg $+$ Pythia 8
	WH	Powneg + Pythia 8
	ttH	Powheg + Pythia 8
$pp \rightarrow W^{(*)}W^{(*)}Z^{(*)} \rightarrow 4\ell 2\nu$	inclusive	Sherpa 2.2.2
$pp \rightarrow W^{(*)}Z^{(*)}Z^{(*)} \rightarrow 5\ell 1\nu$	inclusive	Sherpa 2.2.2
$pp \rightarrow Z^{(*)}Z^{(*)}Z^{(*)} \rightarrow 6\ell$	inclusive	Sherpa 2.2.2
$pp \rightarrow Z^{(*)}Z^{(*)}Z^{(*)} \rightarrow 4\ell 2\nu$	inclusive	Sherpa 2.2.2
$pp \rightarrow t\bar{t} + \ell\ell$	$t\bar{t}Z, m_{\ell\ell} > 5 \text{ GeV}$	Sherpa 2.2.0

Process		Generator
$pp \rightarrow Z^{(*)} \rightarrow 2e + \text{jets}$	\geq 4 truth leptons with $p_{\rm T}$ > 4GeV $m_1(\ell\ell)$ > 40GeV, $m_2(\ell\ell)$ > 8GeV	Sherpa 2.2.0
$pp \rightarrow Z^{(*)} \rightarrow 2\mu$ + jets	\geq 4 truth leptons with $p_{\rm T}$ > 4GeV, $m_1(\ell \ell) > 40$ GeV, $m_2(\ell \ell) > 8$ GeV	Sherpa 2.2.0
$pp \rightarrow Z^{(*)} \rightarrow 2e + \text{ jets}$	\geq 3 truth leptons with $p_{\rm T}$ > 4GeV veto filter of 344295	Sherpa 2.2.0
$pp \rightarrow Z^{(*)} \rightarrow 2\mu$ + jets	\geq 3 truth leptons with $p_{\rm T}$ > 4GeV veto filter of 344296	Sherpa 2.2.0
$pp \rightarrow Z^{(*)} \rightarrow 2e + \text{ jets}$	inclusive	Sherpa 2.2.1
$pp \rightarrow Z^{(*)} \rightarrow 2\mu + \text{ jets}$	inclusive	Sherpa 2.2.1
$pp \rightarrow Z^{(*)} \rightarrow 2\tau + \text{jets}$	inclusive	Sherpa 2.2.1
$pp \rightarrow t\bar{t} \rightarrow 2l$	inclusive	Powneg + Pythia 8
$pp \rightarrow l\nu ll$	inclusive	Sherpa 2.2.2
$pp \to Z + \Upsilon \to 4\ell$	inclusive	Рутніа 8

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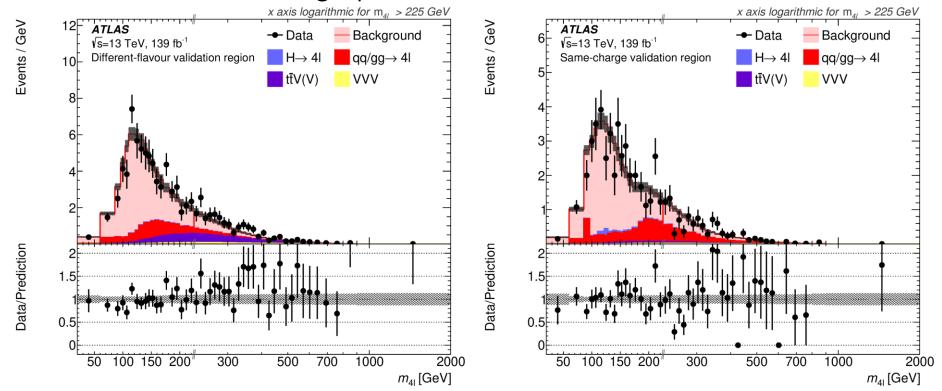
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Back-up: Fake factor method

- fake factor: calculate what fraction of fake leptons is expected given the number of baseline-notsignal leptons
- calculated in Z->II CR (events one SFOS pairs within 15 GeV of mZ and at least one other baseline lepton)
- fake factor applied to the number of baseline-not-signal leptons in each event

Back-up: Fake background validation

 validation regions: similar with SR but with one different-flavor, opposite-sign pair OR same-flavor, same-sign pair

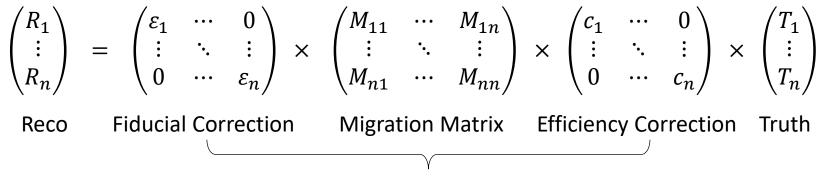


• validate the FF method as well as cross-checking with Matrix method

Back-up: Fake background smoothing

- to suppress the statistical fluctuations
- reduce the impact of single outlier with larger FF weights
 - background estimation in fine binning for each histogram
 - smooth fine-binned distribution with Friedman's super smoother
 - integrate smoothed distribution over coarser, target bins
 - normalized to the background yield obtained before smoothing in the first step

Back-up: Unfolding details



Response Matrix

- R and T: reco distribution & truth distribution with events passing reco or fiducial level selection respectively
- EC: reconstruction inefficiency effect of detector
- MM: smearing and resolution effect of detector
- FC: minor correction for events passing reco selection but failing truth selection
- Iterative Bayesian unfolding technique

•
$$P_n(T_j|R_i) = \frac{P(R_j|T_i)P_{n-1}(T_i)}{\sum P(R_j|T_k)P_{n-1}(T_k)}, P_n(T_i) = \sum P_n(T_j|R_j)P(R_j)$$

- T_i, R_i are the bin content at particle-, detector-level
- 2/3 iterations, optimized based on bias and stats.

Back-up: Unfolding optimization

- statistical variation based toy study
 - toys generated randomly from the MC reco prediction
 - unfold toys with several possible iteration choices
 - estimate the bias and statistical error for each unfolded toy
- metric: **bias significance** defined as the ratio of bias and stat, indicating the size of bias comparing with stat
- we require 0.5 threshold so here the 3 Bayesian iterations is proper
- for other variables, most of them prefer 3 iterations while for mZ1, $\Delta \phi II$, and $\Delta y pairs$ 2 iterations is sufficient

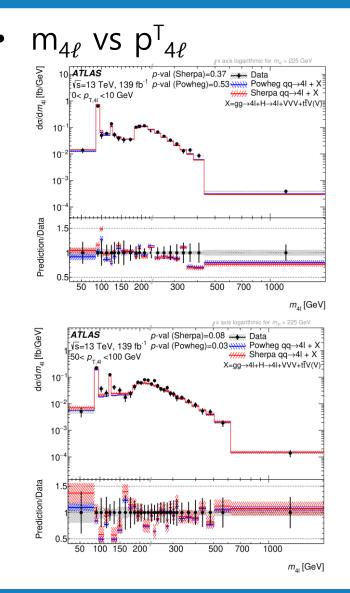
Binning requirements	
# Reco events	Purity
[14,20)	80%
[20,25)	70%
≥25	60%
binning criteria	

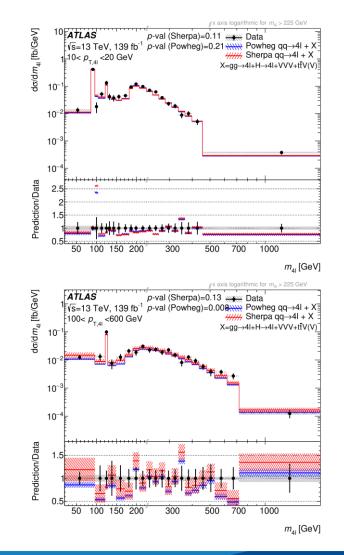
Back-up: Unfolding closure test

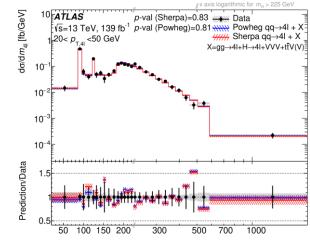
- MC closure:
 - direct MC reco unfolding: full closure
 - half MC reco unfolding: closure within statistical uncertainty
- data-driven closure
 - smooth data/MC ratio
 - reweight MC
 - unfold reweighted MC , compare with reweighted truth
- take the difference as unfolding systematic uncertainty

Back-up: Unfolding injection test

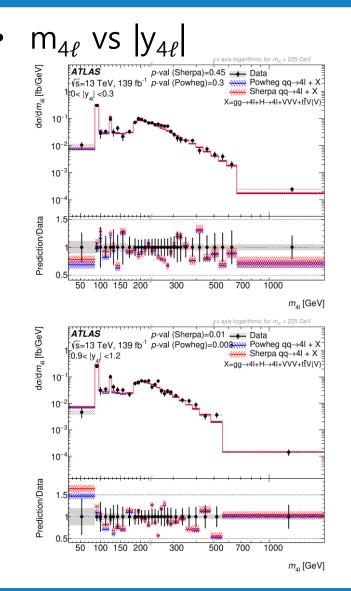
- further check on the model-independence of unfolding
 - ggF BSM Higgs mass 300GeV, width 15GeV, XS 20fb
 - ggF BSM Higgs mass 800GeV, narrow-width, XS 1fb
 - ggZZ 5-fold enhanced
- nominal unfolding is robust to broad excess over the SM prediction

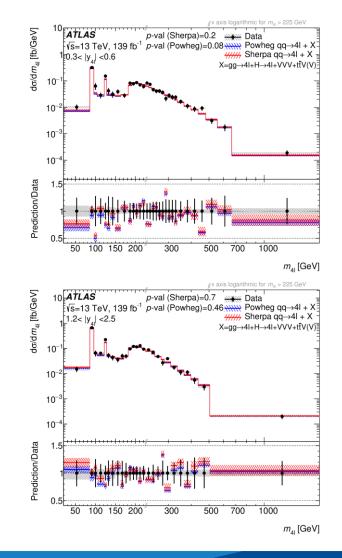


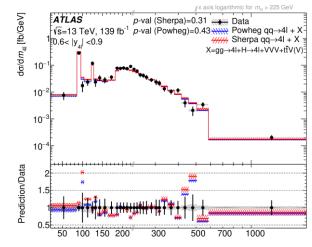




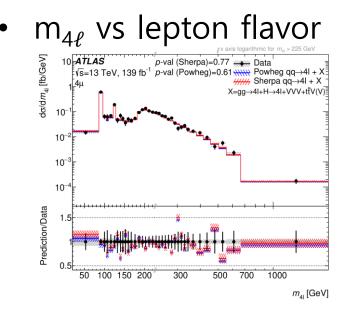
m₄₁ [GeV]

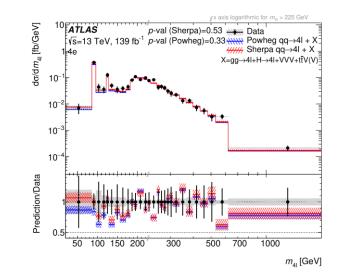


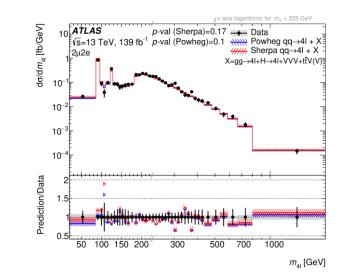






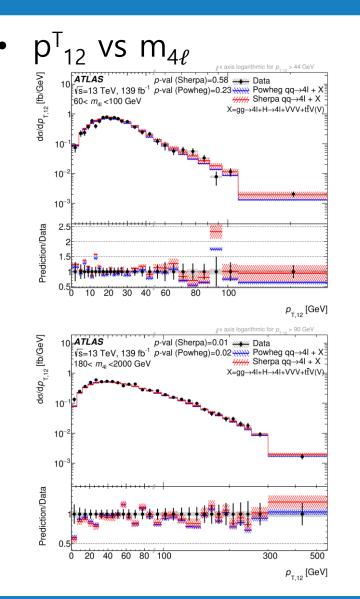


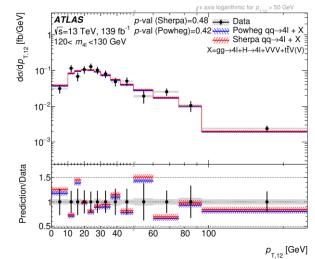


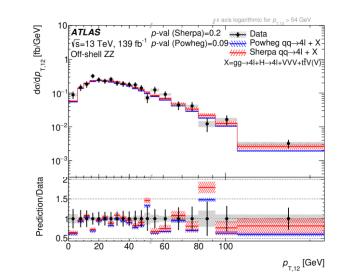


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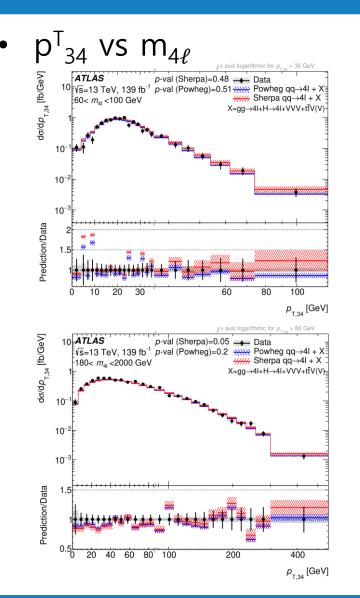


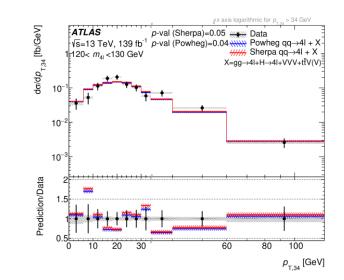


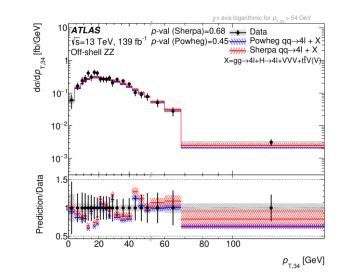


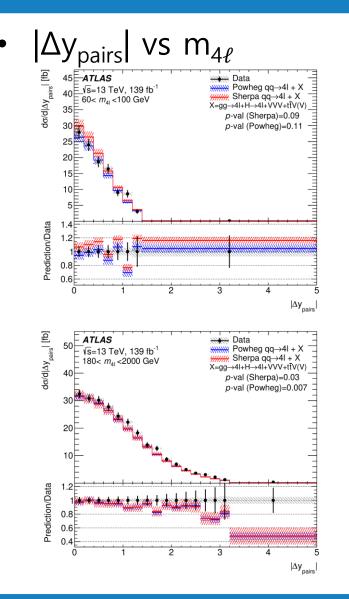
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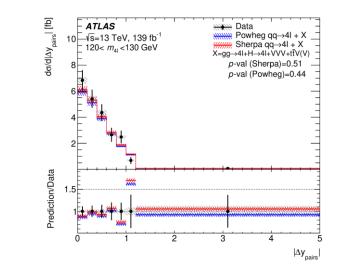
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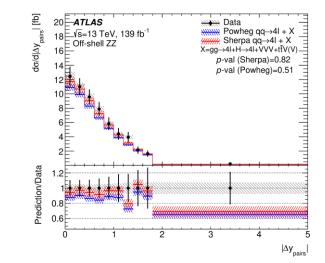


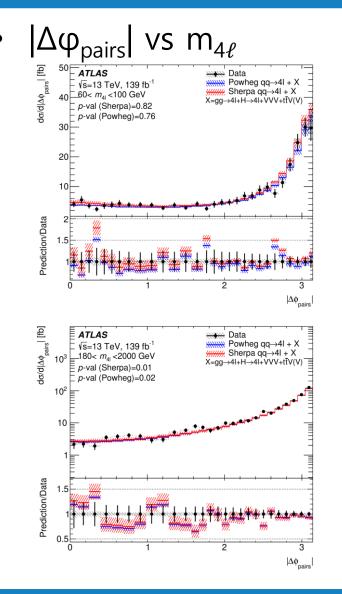


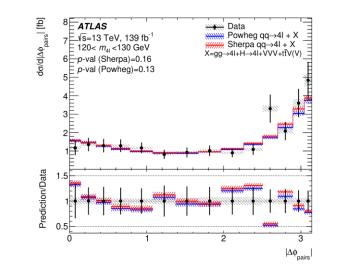


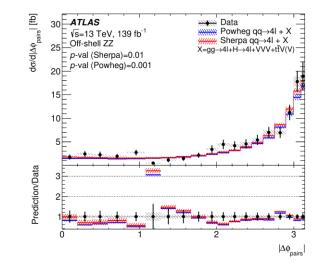


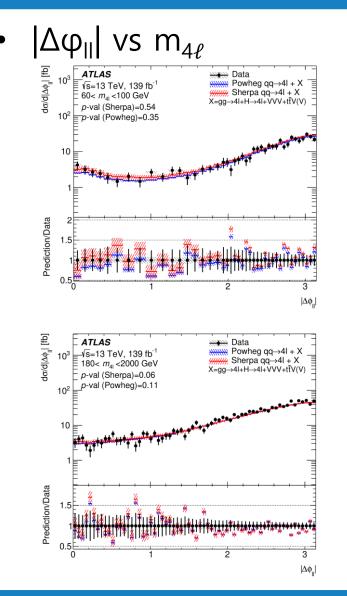


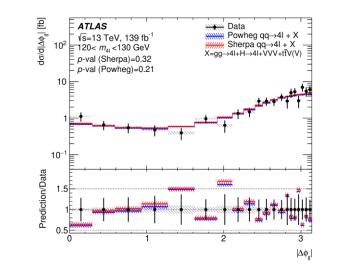


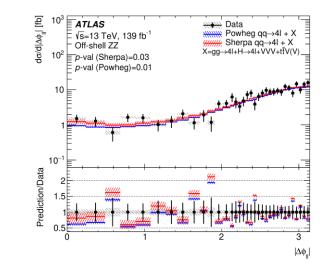








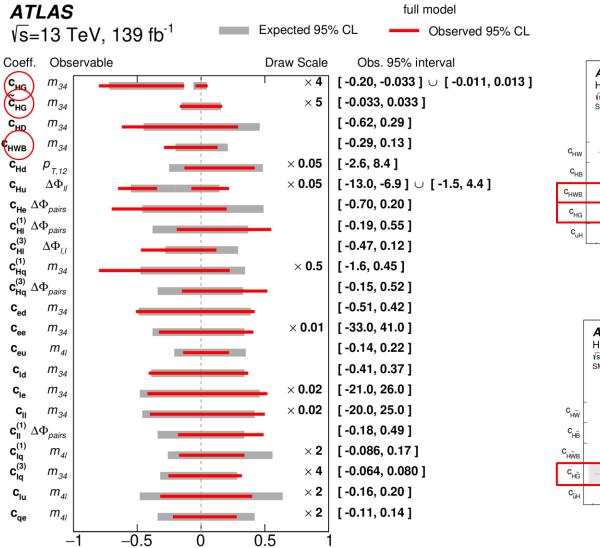


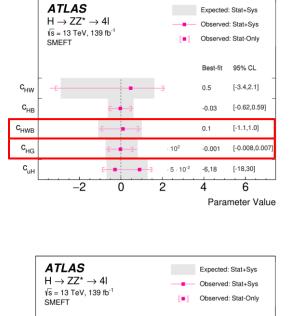


Backup: EFT methodology

- Parametrization:
 - matrix element: $\mathcal{M}_{mix} = \mathcal{M}_{SM} + c \cdot \mathcal{M}_{BSM}$
 - the full prediction SM+BSM can be decomposed into three components of SM term, linear term(interference), quadratic term(pure BSM):
 - $\sigma(c) = \sigma_{\rm SM} + c \cdot \sigma_{\rm INT} + c^2 \cdot \sigma_{\rm BSM}$
- SMEFTsim package is used for SMEFT implementations of FeynRules
- Generate the three components separately with MADGRAPH5_aMC@NLO2.6.5 + PYTHIA8.243 at LO precision in QCD
- Scale the MC with the ratio best SM to LO SM to consider the high-order effect
- The variable providing the best sensitivity is chosen to set limits for a Wilson coefficient, and a variable measured in slices counts as one variable

Back-up: EFT: fitting results





95% CL

[-2.4,2.4]

[-1.0,1.0]

[-50,50]

Parameter Value

6

[-0.56,0.56]

[-0.029.0.029

Best-fit

± 0.6

0.00

0.0

0.000

5 · 10⁻² ± 21

10²

Comparing with the same limit setting with $H \rightarrow 4\ell$ process, c_{HG} is less stringent and \tilde{c}_{HG} is very similar, while for c_{HWB} the constraint is significantly more stringent

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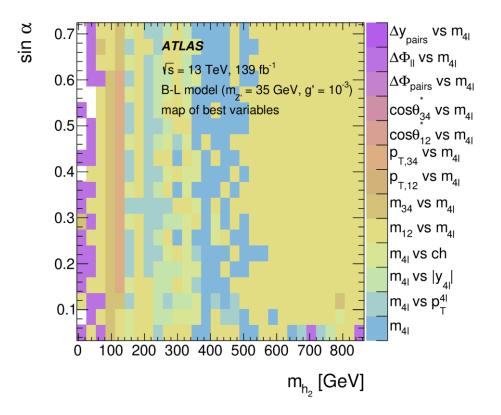
0

2

Back-up: B-L model

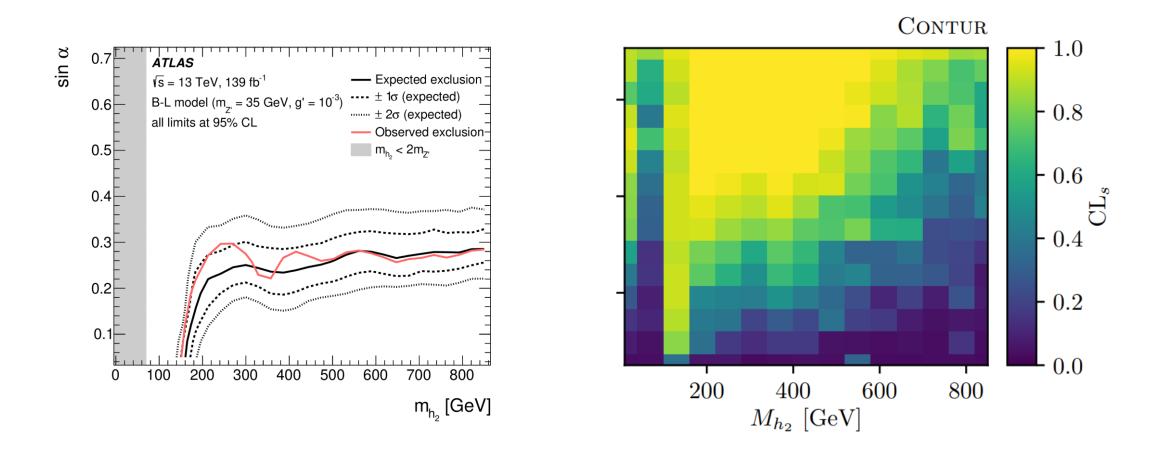
• BSM samples generated using Herwig7 at particle-level with LO precision

• B-L observable with greatest expected sensitivity used to set limit in a given 2D bin



Back-up: B-L model

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• Improvements over <u>previous results</u>(LHC constraints on B-L model)