



Epiphany Conference 2021

Mixing and CP violation in charm mesons at LHCb

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on behalf of the LHCb collaboration

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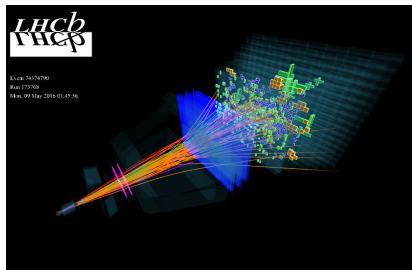


Charge-Parity Violation (CPV) is a key requirement for the generation of the baryon-antibaryon asymmetry in the early Universe.

LHCb has observed direct CPV in Charm, but indirect CPV remains elusive.

Today, the following will be presented:

- Observation of CPV in Charm.
- Latest LHCb measurements.
- Outlook for Charm measurements at LHCb.



Mixing in Charm

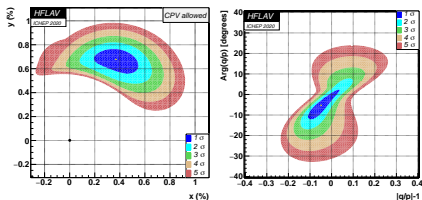
Mixing occurs because the mass eigenstates of neutral D mesons are superpositions of the flavour eigenstates:

$$|D_{1,2}\rangle = p |D^0\rangle \pm q |\bar{D}^0\rangle$$

Mixing is governed by the mixing parameters, x & y , where:

$$x = \frac{m_2 - m_1}{\Gamma} \quad \& \quad y = \frac{\Gamma_2 - \Gamma_1}{\Gamma}$$

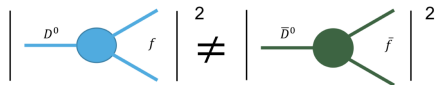
Mixing has been observed in Charm but currently there is no evidence for CPV in mixing or interference.



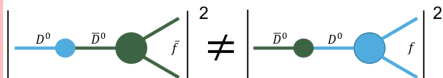
Types of CPV in Charm

Direct CPV

- $|\bar{A}_{\bar{f}}/A_f| \neq 1$



Decay-time dependent CPV

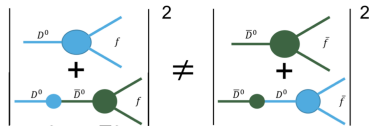


CPV in mixing

- $|q/p| \neq 1$

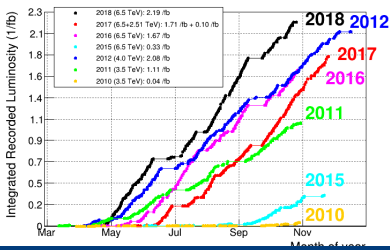
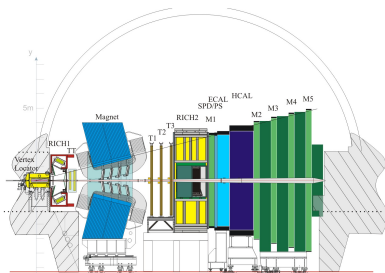
CPV in interference between mixing and decay

- $\phi \equiv \arg\left(\frac{q\bar{A}_{\bar{f}}}{pA_f}\right) \neq 0$



LHCb detector

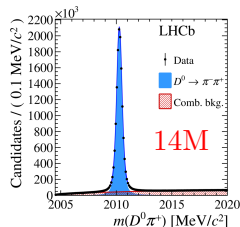
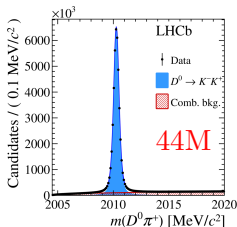
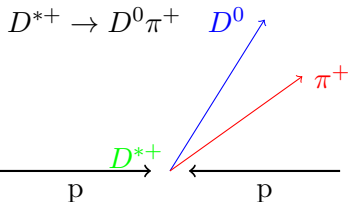
- The LHCb detector is a single-arm forward spectrometer covering the pseudorapidity range $2 < \eta < 5$ equipped with charged-hadron identification detectors, calorimeters, and muon detectors.
- It is designed for the study of particles containing b or c quarks
- Excellent vertex resolution ($\sim (15 + 29/p_T) \mu\text{m}$ IP resol.) and tracking ($\sigma_p/p \sim 0.5 - 1\% @ 5 - 200 \text{ GeV}/c$).



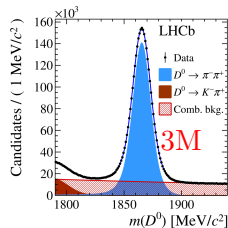
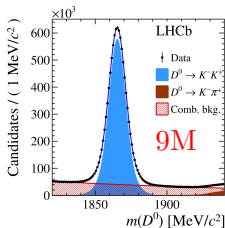
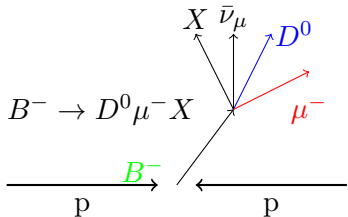
Observation of CPV at LHCb

Used $D^0 \rightarrow K^+K^-$ and $D^0 \rightarrow \pi^+\pi^-$ decays collected in Run II.

Prompt decays



Semileptonic decays



$$A_{CP} = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow f)}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow f)}$$

What is measured at LHCb is:

$$A_{\text{raw}} \equiv \frac{N_{D^0} - N_{\bar{D}^0}}{N_{D^0} + N_{\bar{D}^0}}$$

where

$$A_{\text{raw}} \approx A_{CP} + A_{\text{prod}} + A_{\text{det}}$$

- A_{prod} = Production asymmetry
- A_{det} = Detection asymmetry

Both A_{prod} and A_{det} are independent of the final state. Therefore they cancel out when the difference between A_{CP} for the K^+K^- and $\pi^+\pi^-$ final states are taken.

$$\Delta A_{CP} = A_{CP}(KK) - A_{CP}(\pi\pi)$$

A multidimensional reweighting procedure is applied to match kinematics of the $D^0 \rightarrow K^+K^-$ and $D^0 \rightarrow \pi^+\pi^-$ final states.

Run II results:

$$\Delta A_{CP}^{\text{Prompt}} = [-18.2 \pm 3.2 (\text{stat}) \pm 0.9 (\text{syst})] \times 10^{-4}$$

$$\Delta A_{CP}^{\text{Semileptonic}} = [-9 \pm 8 (\text{stat}) \pm 5 (\text{syst})] \times 10^{-4}$$

Run I results:

PRL 116 (2016) 191601 & JHEP 07 (2014) 041

$$\Delta A_{CP}^{\text{Prompt}} = [-10 \pm 8 (\text{stat}) \pm 3 (\text{syst})] \times 10^{-4}$$

$$\Delta A_{CP}^{\text{Semileptonic}} = [-14 \pm 16 (\text{stat}) \pm 8 (\text{syst})] \times 10^{-4}$$

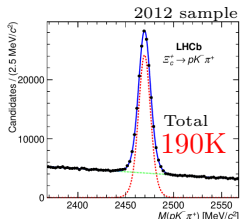
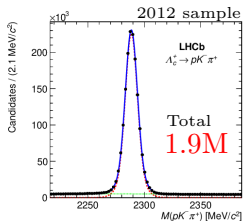
Run I + Run II combination:

$$\Delta A_{CP} = (-15.4 \pm 2.9) \times 10^{-4}$$

First observation of charm CPV at 5.3σ !

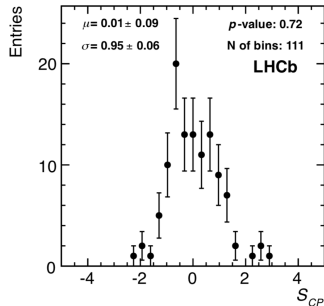
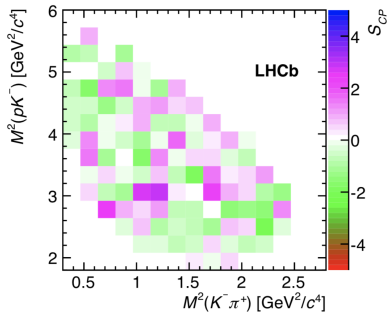
Latest LHCb measurements

- A first search for CPV in the Cabibbo-suppressed $\Xi_c^+ \rightarrow pK^- \pi^+$ decay.
- $\Lambda_c^+ \rightarrow pK^- \pi^+$ Cabibbo favoured decay is used as a validation channel.
- Based on two amplitude model independent approaches.
- Used Run I data (2011 & 2012, 3.0fb^{-1}) from Prompt decays.



S_{CP} is the significance of the difference between baryons and anti-baryons.

S_{CP} Result



No evidence of CPV found.

Used 2.3×10^6 $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ decays collected in Run I to measure mixing parameters.

$$x_{CP} = \frac{1}{2} \left[x \cos \phi \left(\left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) + y \sin \phi \left(\left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) \right]$$

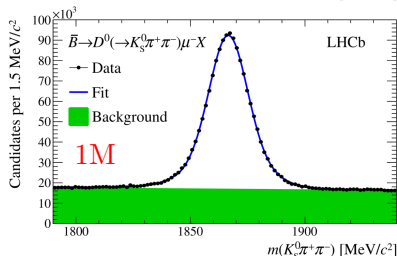
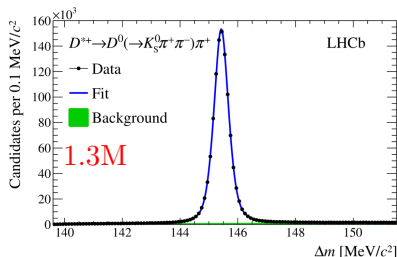
$$\Delta x = \frac{1}{2} \left[x \cos \phi \left(\left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) + y \sin \phi \left(\left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) \right]$$

$$y_{CP} = \frac{1}{2} \left[y \cos \phi \left(\left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) - x \sin \phi \left(\left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) \right]$$

$$\Delta y = \frac{1}{2} \left[y \cos \phi \left(\left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) - x \sin \phi \left(\left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) \right]$$

In the limit of CP symmetry (i.e. $\phi = 0$ & $|q/p|=1$) then $x_{CP} = x$, $\Delta x = 0$, $y_{CP} = y$, and $\Delta y = 0$.

Any significant discrepancy between x_{CP} , y_{CP} and x , y would indicate CPV.



The measured values are:

$$x_{CP} = [2.7 \pm 1.6 \text{ (stat)} \pm 0.4 \text{ (syst)}] \times 10^{-3}$$

$$y_{CP} = [7.4 \pm 3.6 \text{ (stat)} \pm 1.1 \text{ (syst)}] \times 10^{-3}$$

$$\Delta x = [-0.53 \pm 0.70 \text{ (stat)} \pm 0.22 \text{ (syst)}] \times 10^{-3}$$

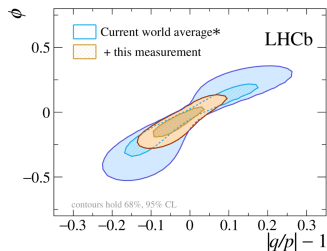
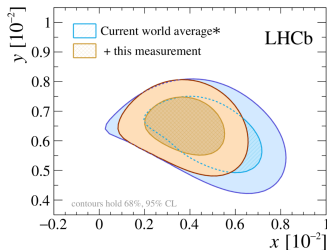
$$\Delta y = [0.6 \pm 1.6 \text{ (stat)} \pm 0.3 \text{ (syst)}] \times 10^{-3}$$

we can derive x from this:

$$x = (2.7^{+1.7}_{-1.5}) \times 10^{-3}$$

When this is combined with the world average, there is evidence of a mass difference between the neutral charm-meson eigenstates.

$$x = (3.9^{+1.1}_{-1.2}) \times 10^{-3}$$



* world average as of 2019.

Measurement of parameter A_Γ with $D^0 \rightarrow \pi^+ \pi^- / K^+ K^-$ decays.

With Run II Semileptonic data (5.4fb^{-1})

$$A_{CP}(f, t) \equiv \frac{\Gamma(D^0 \rightarrow f, t) - \Gamma(\bar{D}^0 \rightarrow f, t)}{\Gamma(D^0 \rightarrow f, t) + \Gamma(\bar{D}^0 \rightarrow f, t)}$$

$$\approx a_{CP}^{dir}(f) - \frac{t}{\tau_D^0} A_\Gamma(f)$$

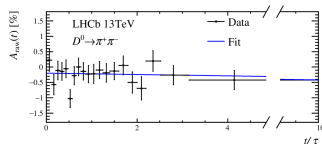
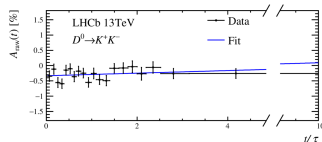
SM predictions $\approx 3 \times 10^{-5}$.

When combined with Run I results:

JHEP 04 (2015) 043 & Phys. Rev. Lett. 118 (2017) 261803

$$A_\Gamma(K^+ K^-) = (-4.4 \pm 2.3 \pm 0.6) \times 10^{-4}$$

$$A_\Gamma(\pi^+ \pi^-) = (2.5 \pm 4.3 \pm 0.7) \times 10^{-4}$$



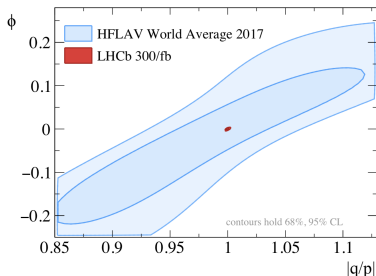
Outlook

Run III

- Run III will begin in 2022 and provide 5-10 times the sensitivity to direct and indirect CPV in Charm.
- For decay-time dependent CPV in particular, effects not deriving from the Standard Model could be highlighted.
- A new detector and trigger system will help with greater efficiency in some channels.

Upgrade II

- Upgrade II will come with a huge gain in statistics.
- Will allow measurements with incredible precision of CP violating parameters.



Mixing and CPV in $D^0 \rightarrow K_S^0 \pi^+ \pi^-$

Sample (lumi \mathcal{L})	Tag	Yield	$\sigma(x)$	$\sigma(y)$	$\sigma(q/p)$	$\sigma(\phi)$
Run 1-2 (9 fb ⁻¹)	SL	10M	0.07%	0.05%	0.07	4.6°
	Prompt	36M	0.05%	0.05%	0.04	1.8°
Run 1-3 (23 fb ⁻¹)	SL	33M	0.036%	0.030%	0.036	2.5°
	Prompt	200M	0.020%	0.020%	0.017	0.77°
Run 1-4 (50 fb ⁻¹)	SL	78M	0.024%	0.019%	0.024	1.7°
	Prompt	520M	0.012%	0.013%	0.011	0.48°
Run 1-5 (300 fb ⁻¹)	SL	490M	0.009%	0.008%	0.009	0.69°
	Prompt	3500M	0.005%	0.005%	0.004	0.18°

A_Γ

Sample (\mathcal{L})	Tag	Yield K^+K^-	$\sigma(A_\Gamma)$	Yield $\pi^+\pi^-$	$\sigma(A_\Gamma)$
Run 1-2 (9 fb ⁻¹)	Prompt	60M	0.013%	18M	0.024%
Run 1-3 (23 fb ⁻¹)	Prompt	310M	0.0056%	92M	0.0104 %
Run 1-4 (50 fb ⁻¹)	Prompt	793M	0.0035%	236M	0.0065 %
Run 1-5 (300 fb ⁻¹)	Prompt	5.3G	0.0014%	1.6G	0.0025 %

- LHCb continues to provide a wide range of excellent physics results.
- Incredible precision could be reached on mixing and CPV parameters in the coming years.

Keep an eye out for:

- New A_Γ measurement with full Run II Prompt data sample.
- Measurement of mixing and CPV parameters with Run II $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ data sample.

Coming soon!

BACKUP

The mass eigenstates of neutral D mesons are not flavour eigenstates. But they can be written in terms of the flavour eigenstates:

$$|D_{1,2}\rangle = p |D^0\rangle \pm q |\bar{D}^0\rangle$$

The time evolution of the flavour eigenstates is then given by

$$\begin{aligned} |D^0(t)\rangle &= g_+(t) |D^0\rangle + \frac{q}{p} g_-(t) |\bar{D}^0\rangle \\ |\bar{D}^0(t)\rangle &= \frac{p}{q} g_-(t) |D^0\rangle + g_+(t) |\bar{D}^0\rangle \end{aligned}$$

where $g_{\pm}(t) = e^{-iMt} e^{i\Gamma t/2} \left[\frac{\cos}{\sin} (-i(x + iy)\Gamma t/2) \right]$.

Binned S_{CP} method

- Tests for localised asymmetries in the phase-space of $H_c^+ \rightarrow pK^-\pi^+$.
- Based on a bin-by-bin comparison of H_c^+ and H_c^- baryons.
- For each bin i , the significance of the difference between number of H_c^+ and H_c^- baryons is

$$S_{CP}^i = \frac{n_+^i - \alpha n_-^i}{\sqrt{\alpha (n_+^i + n_-^i)}}$$

where $\alpha = n_+/n_-$ which is the total number of H_c^\pm candidates.

- In the hypothesis of no CPV, S_{CP} values are expected to be distributed according to a normal distribution.

Unbinned kNN method

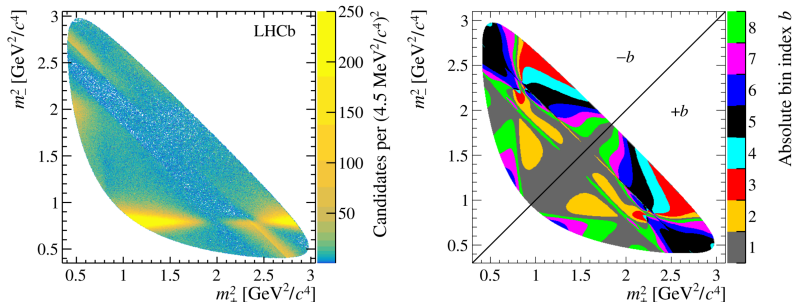
- This method is based on the concept of a set of nearest neighbour candidates (n_k) in a combined sample of two datasets: baryons and antibaryons.
- A test statistic T for the null hypothesis is defined as

$$T = \frac{1}{n_k(n_+ + n_-)} \sum_{i=1}^{n_+ + n_-} \sum_{k=1}^{n_k} I(i, k),$$

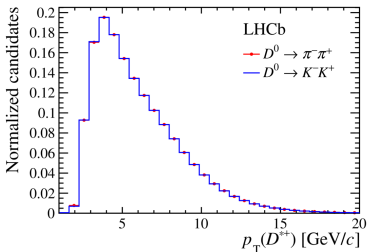
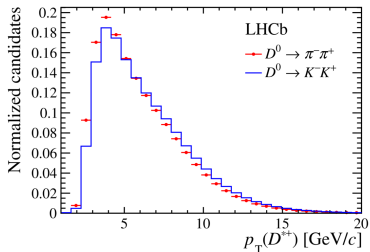
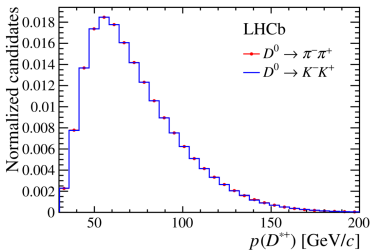
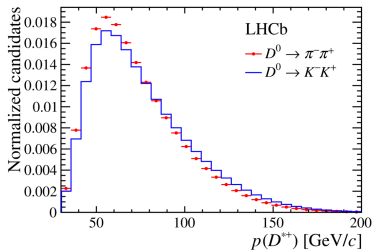
where $I(i, k) = 1$ if the i^{th} candidate and k^{th} nearest neighbour have the same charge and $I(i, k) = 0$ otherwise.

- In the hypothesis of no CPV, T is distributed as a Gaussian with well known mean and variance (μ_T, σ_T).

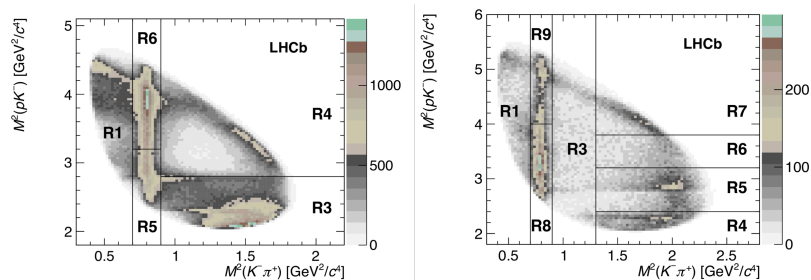
- Partition the Dalitz plot into bins that have nearly constant phase-space differences $\Delta\delta(m_-^2, m_+^2)$ between the D^0 and \bar{D}^0 amplitudes within each bin.
- The bins are organised symmetrically about the Dalitz plots principal bisector, and given indices $\pm b$.
- Take the ratio of events between initially produced D^0 (\bar{D}^0) mesons in the $+b$ and $-b$ bins.



Reweighting in ΔA_{CP} measurement



Binning scheme for $H_c^+ \rightarrow pK^- \pi^+$



Left is $\Lambda_c^+ \rightarrow pK^- \pi^+$, right is $\Xi_c^+ \rightarrow pK^- \pi^+$.