

# Null Surface Thermodynamics

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Based on my recent and upcoming papers with  
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# ■ Gravity & Thermodynamics

- Distinctive feature of gravity is its **universality**.
- **Thermodynamics** has a similar **universality**.
- These two universal theories seem to be deeply related:
  - **Black holes** [Carter-Bardeen-Hawking & Hawking, Bekenstein (early 1970's)], [Wald (1993,4)];
  - **Accelerated observers see a thermal bath** [Unruh (1976)];
  - **Einstein equations from thermodynamics** [Jacobson (1995)];
  - **Gravity as entropic force** [E. Verlinde (2010)];
  - **Holographic principle & AdS/CFT**.

## ■ Boundary symmetries and d.o.f.

- Presence of boundaries in spacetime brings in **boundary d.o.f.**
- It may be an asymptotic boundary or any arbitrary codimension one surface in spacetime separating spacetime in two parts.
- For gauge or diffeomorphism inv. theories **boundary d.o.f.** may be labeled by **surface charges** associated with **non-trivial gauge/diff. transf.**
- We focus on the **boundary** instead of the usual bulk viewpoint.
- We show **boundary d.o.f** for gravity theories follow a local thermodynamic description regardless of the details of the boundary dynamics.

# Outline

- Einstein GR and equivalence principle in presence of boundaries
- Null surfaces and boundaries as models for BH horizons
- Null boundary symmetries and charges,  $D$  dimensional example
- Null Surface Thermodynamics
- Summary and Outlook

## ■ Einstein GR and its local (gauge) symmetry

- Einstein GR is a **generally (in/co)variant** theory.
- **Physical observables** in the Einstein GR are all defined through **local diffeomorphism invariant** quantities.
- In particular, any two metric tensors related by diffeomorphisms are physically equivalent:

$$x^\mu \rightarrow x^\mu + \xi^\mu(x), \quad g_{\eta\nu} \rightarrow g_{\mu\nu} + \delta g_{\mu\nu}, \quad \delta g_{\mu\nu} = \nabla_\mu \xi_\nu + \nabla_\nu \xi_\mu$$

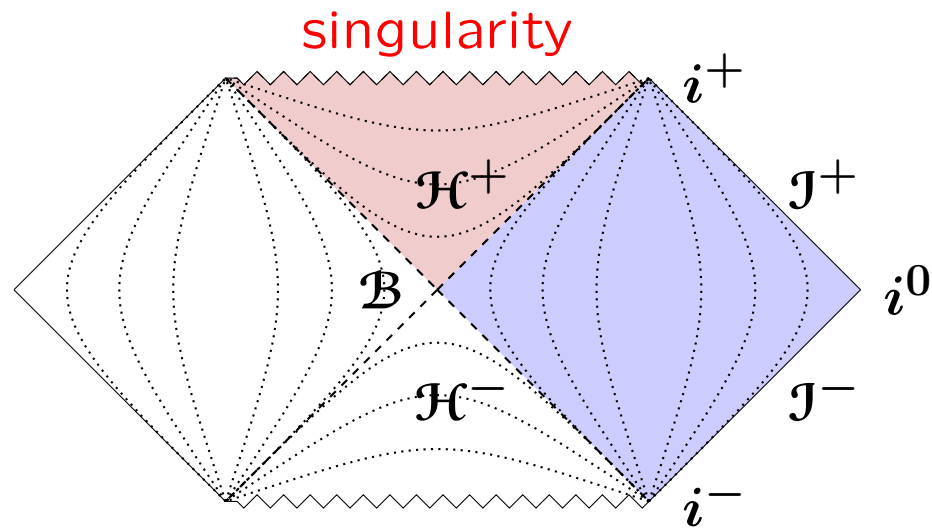
- We partially fix **diffeomorphisms** through choice of observers.

## ■ Einstein GR, generic structure of d.o.f & EoM

- In a  $D$  dimensional spacetime, metric has  $D(D + 1)/2$  components:  
 $D(D - 3)/2$  propagating gravitons,  
 $D$  diffeos.
- Out of  $D(D + 1)/2$  field equations,  $G_{\mu\nu} = 8\pi GT_{\mu\nu}$ ,  
 $D(D - 3)/2$  are second order diff.eq.,  
 $D$  constraints ( $\nabla^\mu G_{\mu\nu} = 0$ ) and  $D$  first order equations.
- Solutions are fully specified by boundary and/or initial data and in the most general case involve  $2D$  functions over codimension one boundary.

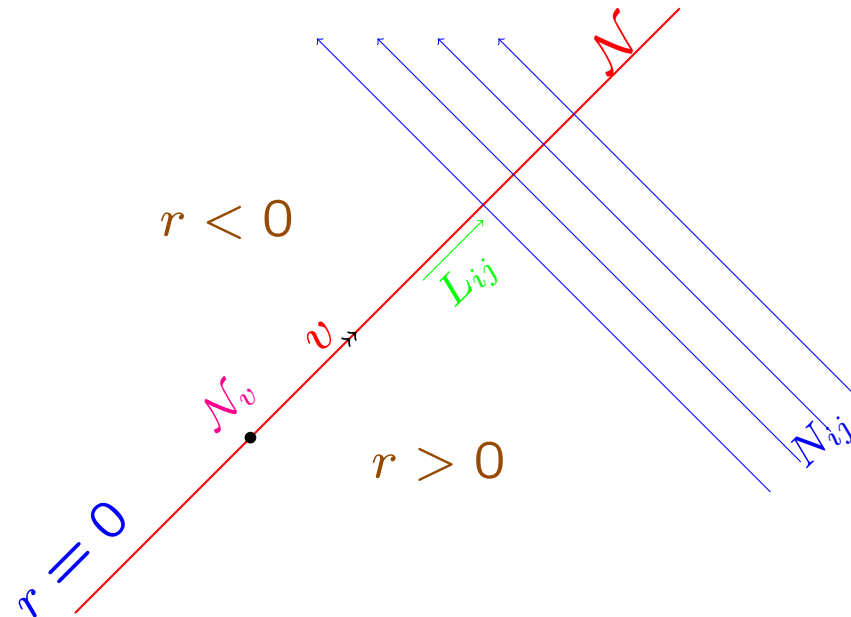
■ Null boundaries as models of horizons

- In a stationary black hole horizon is boundary of outside observers.



- Horizons are typically one way surfaces.

## Depiction of a null surface



**b.d.o.f. are residing on  $\mathcal{N}$ .**

bulk d.o.f.  $N_{ij}$  &  $L_{ij}$ .

$\mathcal{N}$  is boundary of locally accelerated observers.

Nothing passes through  $\mathcal{N}$  to  $r > 0$  region.



- Let  $\mathcal{N}$  be a null surface, sitting at  $r = 0$ :

$$ds^2 = -F dv^2 + 2\eta dr dv + h_{ij}(dx^i + g^i dv)(dx^j + g^j dv) \quad (1)$$

$F, g^i, h_{ij}$  are functions of  $r, v, x^i$ ,  $i = 1, 2, \dots, D - 2$  and  $\eta = \eta(v, x^i)$ ,

$$g^{rr}\big|_{r=0} = 0 \quad \implies \quad (Fh + g^2)\big|_{r=0} = 0,$$

where  $h := \det h_{ij}$ ,  $g^2 := h^{ij} g_i g_j$ .

- We choose  $r = 0$  to be the boundary of our spacetime and restrict ourselves to  $r \geq 0$ .
- The role of the excises  $r < 0$  region is played by the boundary theory.

## ■ Solution space

- Metric (1) has  $1 + 1 + (D - 2) + (D - 1)(D - 2)/2$  functions in it.
- These may be decomposed into
  - three scalars  $(F, h; \eta)$ ,
  - one vector  $g_i$  and
  - one symmetric-traceless tensor  $H_{ij} := h_{ij}/h^{1/(D-2)}$ ,

from the viewpoint of **codimension two surface**  $\mathcal{N}_v$ , (constant  $v$  slice on  $\mathcal{N}$ ).

- These functions are subject to field equations, here, **Einstein vacuum equations**, which determine their  $r$  dependence.

- $r$  dependence of the tensor mode  $H_{ij}$  is determined through

$$\gamma_{ij}(v, x^i) := H_{ij}(r = 0; v, x^i), \quad L_{ij}(v, x^i) := \partial_r H_{ij}(r = 0; v, x^i).$$

- $r$  dependence of the vector mode obeys first order eq. in  $r$  and is completely specified by  $\mathcal{G}_i(v, x^i) := g_i(r = 0; v, x^i)$ .
- Raychaudhuri equation + the condition that  $\mathcal{N}$  is null, allows for solving  $F$  in terms of  $\mathcal{G}_i, h, \eta$ .
- $r$  dependence of the other two are determined in terms of  $\eta := \eta(v, x^i), \Omega(v, x^i) := \sqrt{h(r = 0; v, x^i)}$ .

- Null surface solution phase space is determined by
  - “Tensor modes” (gravitons)  $\gamma_{ij}, L_{ij}$ ,
  - Vector mode  $\mathcal{G}_i$ ,
  - Scalars modes  $\Omega, \eta$ ,
- These are respectively,  $D(D - 3)$ ,  $D - 2$ ,  $2$  functions of  $v, x^i$ .
- We have only assumed smoothness of metric at  $r = 0$ ,
- but no particular behavior (falloff condition), around  $r = 0$ .

- The boundary  $r = 0$  is not a special place in spacetime and can be any **given** (null)  $D - 1$  dimensional hypersurface.
- By construction **all solution geometries which are smooth around  $r = 0$ , are of the form (1) and**

$$\begin{aligned}
 F &= \eta \left( \Gamma + \frac{2}{D-2} \frac{\mathcal{D}_v \Omega}{\Omega} - \frac{\mathcal{D}_v \eta}{\eta} \right) r + \mathcal{O}(r^2) \\
 g^i &= \mathcal{G}^i - r \frac{\eta}{\Omega} \mathcal{J}^i + \mathcal{O}(r^2) \\
 h_{ij} &= \Omega_{ij} + \mathcal{O}(r)
 \end{aligned} \tag{2}$$

where all the fields are functions of  $v, x^i$  and  $\Gamma$  is **acceleration** (non-affinity parameter) &  $\mathcal{G}^i$  is the **angular velocity** of **null rays generating  $\mathcal{N}$** .

$$\Omega_{ij} := \Omega^{2/(D-2)} \gamma_{ij}, \quad \Omega := \sqrt{\det \Omega_{ij}}, \quad \det \gamma_{ij} = 1.$$

$\Omega^{ij}$  and  $\Omega_{ij}$  raise and lower capital Latin indices.

$$\mathcal{D}_v := \partial_v - \mathcal{L}_{\mathcal{G}},$$

where  $\mathcal{L}_{\mathcal{G}}$  is the Lie derivative along  $\mathcal{G}^i$  direction.

$\ominus$  expansion of vector field generating the null surface  $\mathcal{N}$ :

$$\ominus := \mathcal{D}_v \ln \Omega,$$

$N_{ij}$  the *news tensor* associated with flux of gravitons through  $\mathcal{N}$ :

$$N_{ij} := \frac{1}{2} \Omega^{2/(D-2)} \mathcal{D}_v \gamma_{ij}$$

$N_{ij}$  as defined above is a symmetric-traceless tensor.

■ Einstein Field Equations at  $r = 0$

$$\mathcal{D}_v \Omega = \Theta \Omega, \tag{3a}$$

$$\mathcal{D}_v \mathcal{P} = -\Gamma + \frac{2}{\Theta} N_{ij} N^{ij}, \tag{3b}$$

$$\mathcal{D}_v \mathcal{J}_i + \Theta \Omega \partial_i \mathcal{P} - \Omega \partial_i \Gamma + 2\Omega \bar{\nabla}^j N_{ij} = 0. \tag{3c}$$

where

$$\mathcal{P} := \ln \frac{\eta}{\Theta^2},$$

and  $\bar{\nabla}_i$  is covariant derivative w.r.t  $\Omega_{ij}$ .

So the solution space may be parametrized by

Boundary modes:  $\Omega, \mathcal{P}, \mathcal{J}_i$  and

Bulk modes:  $N_{ij}, L_{ij}$ .

■ Symplectic form over the solution phase space

$$\Omega = \int_{\mathcal{N}} \left[ \delta\Gamma \wedge \delta\Omega + \delta(\Theta\Omega) \wedge \delta\mathcal{P} + \delta\mathcal{G}^i \wedge \delta\mathcal{J}_i + \delta(\Omega N_{ij}) \wedge \delta\Omega^{ij} \right]. \quad (4)$$

- $L_{ij}$  do not appear in the symplectic form.
- Einstein equations (3) may be solved for  $\Gamma, \mathcal{G}^i$  in terms of the charges.
- $\Omega^{ij}$  is canonical conjugate to  $N_{ij} \sim \partial_v \Omega_{ij}$ , as in any usual field theory,
- canonical conjugates to the boundary modes  $\Omega, \mathcal{P}, \mathcal{J}_i$  are respectively  $\Gamma, \mathcal{D}_v \Omega, \mathcal{G}^i$ . We will see these constitute a thermodynamical phase space.



## ■ Residual diffeos over the null surface $\mathcal{N}$

- We have **partially** used diffeos to fix the null surface  $\mathcal{N}$  at  $r = 0$ .
- The **measure zero subset** of **residual diffeos** keep  $r = 0$  intact:

$$\begin{aligned}v &\rightarrow v + T(v, x^i) + \mathcal{O}(r) \\r &\rightarrow \left(\partial_v T(v, x^i) - W(v, x^i)\right)r + \mathcal{O}(r^2) \\x^i &\rightarrow x^i + Y^i(v, x^i) + \mathcal{O}(r)\end{aligned}\tag{5}$$

$T, Y^i$  are supertranslations in  $v, x^i$  and  $W$  is the superboost on  $\mathcal{N}$  (superscaling in  $r$ ).

- Subleading terms in  $r$  may be fixed order-by-order requiring that (5) keep the form of metric within solution space (1).
- **Residual diffeos** are specified by **two scalar functions**  $T(v, x^i), W(v, x^i)$  and **one vector**  $Y^i(v, x^i)$  over  $r = 0$  null surface.

## ■ Symmetries of the solution space

- Upon (5), metric (1) keeps its form but with transformed functions:

$$\begin{aligned} \mathcal{G}_i &\rightarrow \mathcal{G}_i + \delta\mathcal{G}_i, & \eta &\rightarrow \eta + \delta\eta, & \Omega &\rightarrow \Omega + \delta\Omega, \\ N_{ij} &\rightarrow N_{ij} + \delta N_{ij}, & L_{ij} &\rightarrow L_{ij} + \delta L_{ij}, \end{aligned} \tag{6}$$

where  $\delta X$  are linear in residual diffeo functions  $T, W, Y^i$ .

- Besides **dynamical, propagating gravitons**, there are  $2 + (D - 2)$  **boundary modes** in our solution space.
- There are  $2 + (D - 2)$  **functions over  $\mathcal{N}$**  in our residual diffeos.
- **Residual diffeos rotate us within the solution space**. They are hence **symmetry generators** in the usual classic(al) Noether sense.

## ■ Symmetries of the solution phase space

- One may use Covariant Phase Space Formalism (CPSF) to show solution space is a phase space and there is a charge (Hamiltonian generator) associated with the boundary symmetries.
- These surface charges are given by integrals over codimension-2 compact spacelike surfaces, constant  $v$  slices  $\mathcal{N}_v$ .
- Surface charges are linear in symmetry generators  $T(v, x^i)$ ,  $W(v, x^i)$  and  $Y^i(v, x^j)$ , but may have different field/states dependence, i.e.
- integrands of the surface charge integrals may have different functional dependence on  $\Omega$ ,  $\mathcal{P}$ ,  $\mathcal{J}_i$  as well as  $N_{ij}$ .

## ■ Surface charges and their algebra

- Standard computations yields the following **surface charge variations** associated with the symmetry generators  $\xi$

$$\delta Q_\xi = \frac{1}{16\pi G} \int_{\mathcal{N}_v} d^{D-2}x \left[ (W + \Gamma T) \delta\Omega + (Y^i + \mathcal{G}^i T) \delta\mathcal{J}_i + T\Omega\Theta\delta\mathcal{P} - T\Omega\Omega^{ij}\delta N_{ij} \right], \quad (7)$$

- Charge variation is an integral over  $\sum_{A=1}^4 \mathcal{C}_A \delta\mathcal{Q}_A$ ,
- $\mathcal{Q}_A \in \{\Omega, \mathcal{J}_i, \mathcal{P}; N_{ij}\}$  parameterize the solution phase space.
- $\mathcal{C}_A$  are linear combination of symmetry generators  $W, T, Y^i$  and the canonical conjugate variables  $\Gamma, \mathcal{G}^i, \Omega_{ij}$ .

- Charge variation may be split into integrable part  $Q^N$  and the ‘flux’ part  $F$ , using the **Barnich-Troessaert method**:

$$\delta Q_\xi = \delta Q^N_\xi + F_\xi(\delta g; g).$$

- One may show that the **integrable part** may be equated with the **Noether charge, using the  $W$ -freedom/ambiguity**. [see also recent papers of Freidel et al & Leigh et al].
- $Q^N$  may be computed for the Einstein-Hilbert action using the standard Noether procedure, yielding

$$Q^N_\xi = \frac{1}{16\pi G} \int_{\mathcal{N}_v} d^{D-2}x \left[ W \Omega + Y^i \mathcal{J}_i + T \left( \Gamma \Omega + \mathcal{G}^i \mathcal{J}_i \right) \right] \quad (8)$$

$$F_\xi(\delta g; g) = \frac{1}{16\pi G} \int_{\mathcal{N}_v} d^{D-2}x T \left( -\Omega \delta \Gamma - \mathcal{J}_i \delta \mathcal{G}^i + \Omega \Theta \delta \mathcal{P} - \Omega \Omega^{ij} \delta N_{ij} \right) \quad (9)$$

- Symmetry generators  $T, W, Y^i$  are assumed to be field-independent, i.e.  $\delta T = \delta W = 0 = \delta Y^i$ .
- $\mathcal{P}$  and  $N_{ij}$  only appear in the flux and not in the Noether charges.
- The zero mode Noether charges,

$$\begin{aligned}
Q^N_{-r\partial_r} &= \frac{1}{16\pi G} \int_{\mathcal{N}_v} d^{D-2}x \Omega \\
Q^N_{\partial_i} &= \frac{1}{16\pi G} \int_{\mathcal{N}_v} d^{D-2}x \mathcal{J}_i \\
Q^N_{\partial_v} &:= \mathbf{E} = \frac{1}{16\pi G} \int_{\mathcal{N}_v} d^{D-2}x (\Gamma\Omega + \mathcal{G}^i \mathcal{J}_i)
\end{aligned} \tag{10}$$

- Note that the charge variation associated with  $\partial_v$  is

$$\delta Q_{\partial_v} := \delta \mathbf{H} = \frac{1}{16\pi G} \int_{\mathcal{N}_v} d^{D-2}x \left( \Gamma \delta \Omega + \mathcal{G}^i \delta \mathcal{J}_i + \Omega \Theta \delta \mathcal{P} - \Omega \Omega^{ij} \delta N_{ij} \right)$$

- Balance equation

$$\frac{d}{dv} Q^N_\xi \approx -F_{\partial_v}(\delta_\xi g; g) \quad (11)$$

where  $\approx$  denotes on-shell equality.

- The above Eq. is

- a manifestation of the **EoM projected at the boundary** written in terms of charges;
- a **generalized charge conservation equation** relating time dependence, or non-conservation, of the charge (as viewed by the null boundary observer) to the flux passing through the boundary;
- and shows how **passage of flux through the null boundary is ‘balanced’ by the rearrangements in the charges.**

## ■ Review of Thermodynamics

- Consider a thermodynamical system with
  - chemical potentials  $\mu_a$  ( $a = 1, 2, \dots, N$ ) and temperature  $T$ ,
  - charges  $Q_a$ , the entropy  $S$  and the energy  $E$ ;
- There are  $N + 2$  charges and  $N + 1$  chemical potentials.
- In microcanonical ensemble (which we assume), the first law takes the form

$$dE = T dS + \sum_{i=1}^N \mu_i dQ_i. \quad (12)$$

- The LHS is an exact one-form over the thermodynamic space.



- Chemical potentials and charges are related by the Gibbs-Duhem relation

$$S dT + \sum_{i=a}^N Q_a d\mu_a = 0. \quad (13)$$

- Together with the first law, this yields  $E = TS + \sum_a \mu_a Q_a$ .
- This equation relates  $E$  to the other charges and chemical potentials, e.g.  $E = E(S, Q_a)$ .
- $N + 1$  number of chemical potentials and/or charges may be taken to be 'independent' variables parameterizing the thermodynamical configuration space and the rest of  $N + 1$  of them as functions of the former  $N + 1$  variables.

## ■ Null Boundary Thermodynamical Phase Space

I. Null boundary thermodynamics consists of three parts:

I.1)  $(D-1)$  dimensional 'thermodynamic sector' parametrized by  $(\Gamma, \mathcal{G}^i)$  and conjugate charges  $(\Omega, \mathcal{J}_i)$ ;

I.2)  $\mathcal{P}$ , only appears in the flux and not in the Noether charge and its conjugate chemical potential is  $\Omega\Theta$ ;

I.3) bulk modes parameterized by determinant free part of  $\Omega^{ij}$  and its 'conjugate charge'  $N_{ij}$  appear in the flux.

II.  $N_{ij}$  take the boundary system out-of-thermal-equilibrium (OTE)

whereas  $\mathcal{P}$  parameterizes OTE within the boundary dynamics.

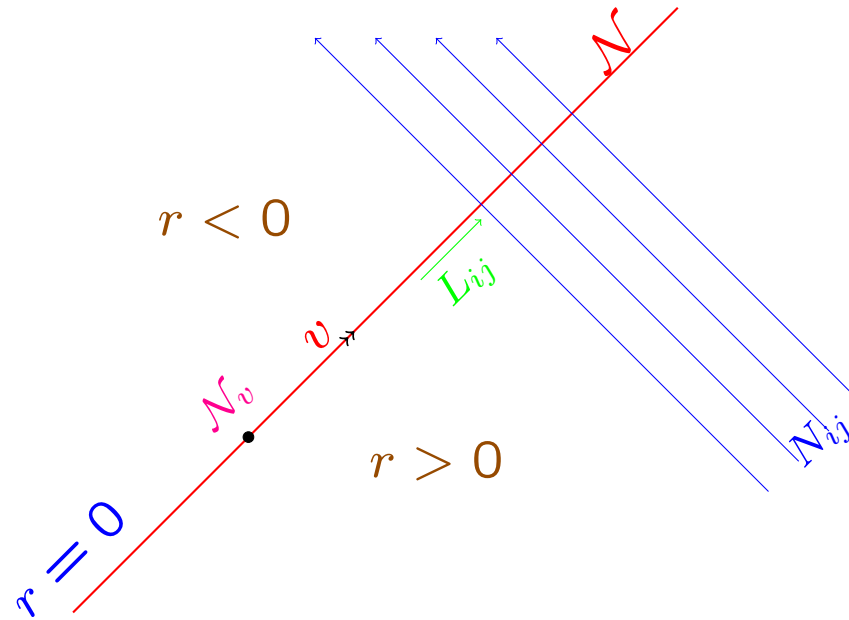
Put differently, OTE may come from inner boundary dynamics and/or from the gravity-waves passing through the null boundary.

- III. Expansion parameter  $\Theta$  is a measure of OTE, from both bulk and boundary viewpoints. When  $\Theta = 0$  the system is completely specified by the  $D - 1$  dimensional thermodynamic phase space.
- IV. The rest of the in-falling graviton modes parameterized as  $L_{ij}$ , do not enter in the boundary/thermo dynamics, recalling usual causality and that the boundary is a null surface.

Below we give **local first law**, then **local Gibbs-Duhem** equation and come to **local zeroth law**, specifying the subsectors which can be brought to a (local) equilibrium.

Notation:  $\mathcal{X}$  we will denote the density of the quantity  $\mathbf{X}$ ,

$$\mathbf{X} := \int_{\mathcal{N}_v} d^{D-2}_x \mathcal{X}.$$



**b.d.o.f.** parametrized by  $\Omega, \mathcal{P}, \mathcal{J}_i$  are residing on  $\mathcal{N}$ .

**b.d.o.f.** interact with themselves and with infalling flux  $N_{ij}$ .

Interactions of **b.d.o.f** with infalling flux are fixed by diff invariance,  
governed by the balance equation.

Interactions among **b.d.o.f** themselves are still free to be chosen.

## ■ Local First Law at Null Boundary

- Defining  $\mathcal{P} := \mathcal{P}/(16\pi G)$  and  $\mathcal{N}_{ij} := (16\pi G)^{-1}N_{ij}$ ,

$$\delta\mathcal{H} = T_{\mathcal{N}} \delta\mathcal{S} + \mathcal{G}^i \delta\mathcal{J}_i + \Omega\Theta\delta\mathcal{P} - \Omega\Omega^{ij} \delta\mathcal{N}_{ij}, \quad T_{\mathcal{N}} := \frac{\Gamma}{4\pi}$$

- The above is true at each  $v, x^i$  over the null surface and represents the **local null boundary first law**, unlike its usual thermodynamic counterpart or as in black hole thermodynamics.
- LHS, unlike the usual first law, **is not a complete variation**; the system is describing an **open thermodynamic system** due to the existence of the expansion and the flux.
- The above reduces to a usual first law for closed systems when  $N_{ij} = 0$  or in the non-expanding  $\Theta = 0$  case.

## ■ Local Extended Gibbs-Duhem Equation at Null Boundary

- For the Noether charge densities in our notation, we have

$$\mathcal{E} = T_{\mathcal{N}} \mathcal{S} + \mathcal{G}^i \mathcal{J}_i$$

an analogue of the Gibbs-Duhem equation if  $\mathcal{E}$  is viewed as energy,  $\mathcal{S}$  as entropy and  $\mathcal{J}_i$  as other conserved charges and  $\Gamma, \mathcal{G}^i$  as the respective chemical potentials.

- It is a **local equation at the null boundary**, unlike its usual thermodynamic counterpart.
- This equation also holds for **non-stationary/non-adiabatic** cases when the system is out-of-thermal-equilibrium (OTE) it is '**local extended Gibbs-Duhem**' (LEGD) equation at the null boundary.

- LEGD equation, like the local first law, is a manifestation of **diffeomorphism invariance of the theory**.
- We expect them to be **universally** true for any diff-invariant theory of gravity in any dimension.
- This equation is on par with the first law of thermodynamics but extends it in two important ways:

it is a local equation in  $v, x^i$  and holds also for OTE.

- The integrable parts of the charge are (by definition) independent of the bulk flux  $N_{ij}$  &  $\mathcal{P}$ , so the LEGD also do not involve  $\mathcal{P}$  and  $N_{ij}$ .
- Chemical potentials  $\Gamma$  and  $\mathcal{G}^i$  implicitly depend on  $N_{ij}$  and  $\mathcal{P}$  through Raychaudhuri and Damour equations.

## ■ Local Zeroth Law

- Zeroth law is a statement of **thermal equilibrium**: as a consequence of the zeroth law, two (sub)systems with the same temperature and chemical potentials are in thermal equilibrium.
- **Flow of charges** is proportional to the **gradient of associated chemical potentials** and hence the absence of such fluxes can be taken as a statement of the zeroth law.
- Here the system is parameterized by chemical potentials  $\Gamma$ ,  $\mathcal{G}^i$  and  $\gamma^{ij}$  which are functions of charges  $Q_A \in \{\Omega, \mathcal{P}, \mathcal{J}_i, N_{ij}\}$ .
- This system is not in general in equilibrium but there could be special subsectors which are. **The zeroth law is to specify such subsectors.**



- Zeroth law requires existence of  $\mathcal{G} = \mathcal{G}(\Omega, \mathcal{P}, \mathcal{J}_i, N_{ij})$  such that,

$$\delta\mathcal{G} = -\mathcal{S} (\delta T_{\mathcal{N}} - 4G\Theta\delta\mathcal{P}) - \mathcal{J}_i \delta\mathcal{G}^i + \Omega\mathcal{N}_{ij}\delta\Omega^{ij} \quad (14)$$

admits non-zero solutions.

- The zeroth law as mentioned above is closely related to the notion of **charge integrability** & **variational principle**.
- Integrability condition for the zeroth law is  $\delta(\delta\mathcal{G}) = 0$ , yielding an equation like

$$\sum_{AB} C_{AB} \delta Q_A \wedge \delta Q_B = 0,$$

where  $Q_A$  are generic charges and  $C_{AB}$  is skew-symmetric. This equation is satisfied only for  $C_{AB} = 0$ .

- One can immediately see  $N_{ij} = 0 = \delta N_{ij}$  is a **necessary (but not sufficient)** condition for the zeroth law to have non-trivial solutions.
- When zeroth law is fulfilled the charge  $\mathcal{H}$ , which appears in the LHS of the local first law, becomes integrable and we obtain

$$\mathcal{H} = \mathcal{G} + T_{\mathcal{N}}\mathcal{S} + \mathcal{G}^i \mathcal{J}_i$$

- Besides  $N_{ij} = 0$ , in terms of  $\mathcal{H} = \mathcal{H}(\mathcal{S}, \mathcal{J}_i, \mathcal{P})$  local zeroth law implies,

$$T_{\mathcal{N}} = \frac{\delta \mathcal{H}}{\delta \mathcal{S}}, \quad \mathcal{G}^i = \frac{\delta \mathcal{H}}{\delta \mathcal{J}_i}, \quad \mathcal{D}_v \mathcal{S} = \mathcal{S} \Theta = \frac{1}{4G} \frac{\delta \mathcal{H}}{\delta \mathcal{P}}$$

- For  $\Theta = 0$  case, one simply deduces that  $\mathcal{H}$  does not depend on  $\mathcal{P}$ .

## Generic $\Theta \neq 0$ case.

Zeroth law requires  $N_{ij} = 0$  and we have Einstein boundary field equations

$$T_{\mathcal{N}} = -4GD_v \mathcal{P}, \quad \mathcal{D}_v [\mathcal{J}_i + 4G\bar{\nabla}_i(\mathcal{S}\mathcal{P})] = 0.$$

Zeroth law is satisfied for any  $\mathcal{H} = \mathcal{H}(\mathcal{S}, \mathcal{P}, \mathcal{J}_i)$ , when  $\mathcal{S}, \mathcal{P}$  and  $\mathcal{J}_i$  have the following basic Poisson brackets:

$$\{\mathcal{S}(x, v), \mathcal{P}(y, v)\} = \frac{1}{4G} \delta^{D-2}(x - y),$$

$$\{\mathcal{S}(x, v), \mathcal{S}(y, v)\} = \{\mathcal{P}(x, v), \mathcal{P}(y, v)\} = 0,$$

$$\{\mathcal{S}(x, v), \mathcal{J}_i(y, v)\} = \mathcal{S}(y, v) \frac{\partial}{\partial x^i} \delta^{D-2}(x - y),$$

$$\{\mathcal{P}(x, v), \mathcal{J}_i(y, v)\} = \left( \mathcal{P}(y, v) \frac{\partial}{\partial x^i} + \mathcal{P}(x, v) \frac{\partial}{\partial y^i} \right) \delta^{D-2}(x - y),$$

$$\{\mathcal{J}_i(x, v), \mathcal{J}_j(y, v)\} = \frac{1}{16\pi G} \left( \mathcal{J}_i(y, v) \frac{\partial}{\partial x^j} - \mathcal{J}_j(x, v) \frac{\partial}{\partial y^i} \right) \delta^{D-2}(x - y)$$

- The above Poisson brackets imply

$$\partial_v \mathcal{X} = \{\mathcal{H}, \mathcal{X}\}.$$

- Therefore,  $\mathcal{H}$  is the Hamiltonian over the thermodynamic phase space.
- $\Theta = 0$  case. may be worked out similarly
  - in this case  $\mathcal{P} = 0 = N_{ij}$  and the thermodynamic phase space is described by  $\mathcal{S}, \mathcal{J}_i$  and their chemical potentials.
  - Local zeroth law is satisfied by any scalar Hamiltonian  $\mathcal{H} = \mathcal{H}(\mathcal{S}, \mathcal{J}_i)$ , together with basic Poisson brackets given above, but with  $\mathcal{P}$  dropped and again with  $\partial_v \mathcal{X} = \{\mathcal{H}, \mathcal{X}\}$ .

- Zeroth law is just defining the Poisson bracket structure over the thermodynamic phase space and existence of Hamiltonian dynamics, but it does not specify the boundary Hamiltonian  $\mathcal{H}$ .
- Choice of Hamiltonian  $\mathcal{H}$  fixes a boundary Lagrangian and boundary dynamical equations and hence local dynamics of charges on the null boundary  $\mathcal{N}$ .
- In analogy with isolated horizon of black holes, if the zeroth law holds the null surface may be called an 'isolated null surface'.
- Our zeroth law is a weaker condition than stationarity as  $\partial_v$  of the chemical potentials need not vanish.

## Discussion, Concluding Remarks and Outlook

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- ⊛ Presence of boundaries brings in new ‘boundary d.o.f.’.
- b.d.o.f. may be classified and labelled by surface charges associated with nontrivial diffeos.
- CPSF can be used to construct the boundary phase space which govern b.d.o.f.
- Motivated by identification and formulation of BH microstates we studied spacetimes with a null boundary  $\mathcal{N}$ .
- $\mathcal{N} \sim R_v \times \mathcal{N}_v$ , where  $\mathcal{N}_v$  is a codim. two compact surface.
- $\mathcal{N}$  may be viewed as the null limit of the stretched horizon.

- Physics in the **outside horizon** region is then described by **boundary d.o.f**  $\oplus$  **bulk d.o.f.**
- Hilbert space of **b.d.o.f**,  $\mathcal{H}_{bdof}$  may be labeled by **surface charges** associated with **nontrivial diffeos**.
- Poisson bracket of charges is  $v$  independent;  $\mathcal{H}_{bdof}$  is defined at  $\mathcal{N}_v$ .
- **Boundary d.o.f** interact with **bulk d.o.f** through the **Bondi news**, the energy and angular momentum flux through the horizon.
- **Balance equation** equates time derivative of boundary charges to the flux through the boundary. It tells us how **b.d.o.f** should rearrange themselves as a consequence of passage of the flux.

- We identified **null surface thermodynamic phase space**, which in general describes an **open system**.
- **Thermodynamics phase space** is described by  $D - 1$  charges and associated chemical potentials as well as the **flux**.
- **Local laws of thermodynamics** govern thermodynamic phase space.
- **Local zeroth law** ensures we have a phase space by specifying the Poisson bracket structure, which is  **$v$  independent**.
- Our local laws of thermodynamics
  - **manifest diffeomorphism invariance of the theory at the boundary**.
  - **account for the dynamics of the part of spacetime ‘behind the boundary’ which is excised from our spacetime**.



- Einstein field equations appear as boundary Hamilton equations, but boundary Hamiltonian is still free to be chosen.
- Second law of thermodynamics and how it can be realized in our setting is an important problem that should be tackled. Focusing theorem may be of use.
- Our analysis provides a new framework to formulate a general memory effect, especially a horizon memory effect.
- The analysis so far is classical and we should quantize the system.
- It should be possible to perform a semiclassical analysis in which the boundary d.o.f are quantized while the bulk is classical.

*Focusing on the boundary instead of the bulk and  
formulating quantum dynamics of the boundary thermodynamic phase  
space will hopefully shed light on  
BH microrstate & information puzzle  
and more generally on the  
very nature of gravity itself.*

**Thank You For Your Attention**