

LAURA IACCONI (QUEEN MARY UNIVERSITY OF LONDON)

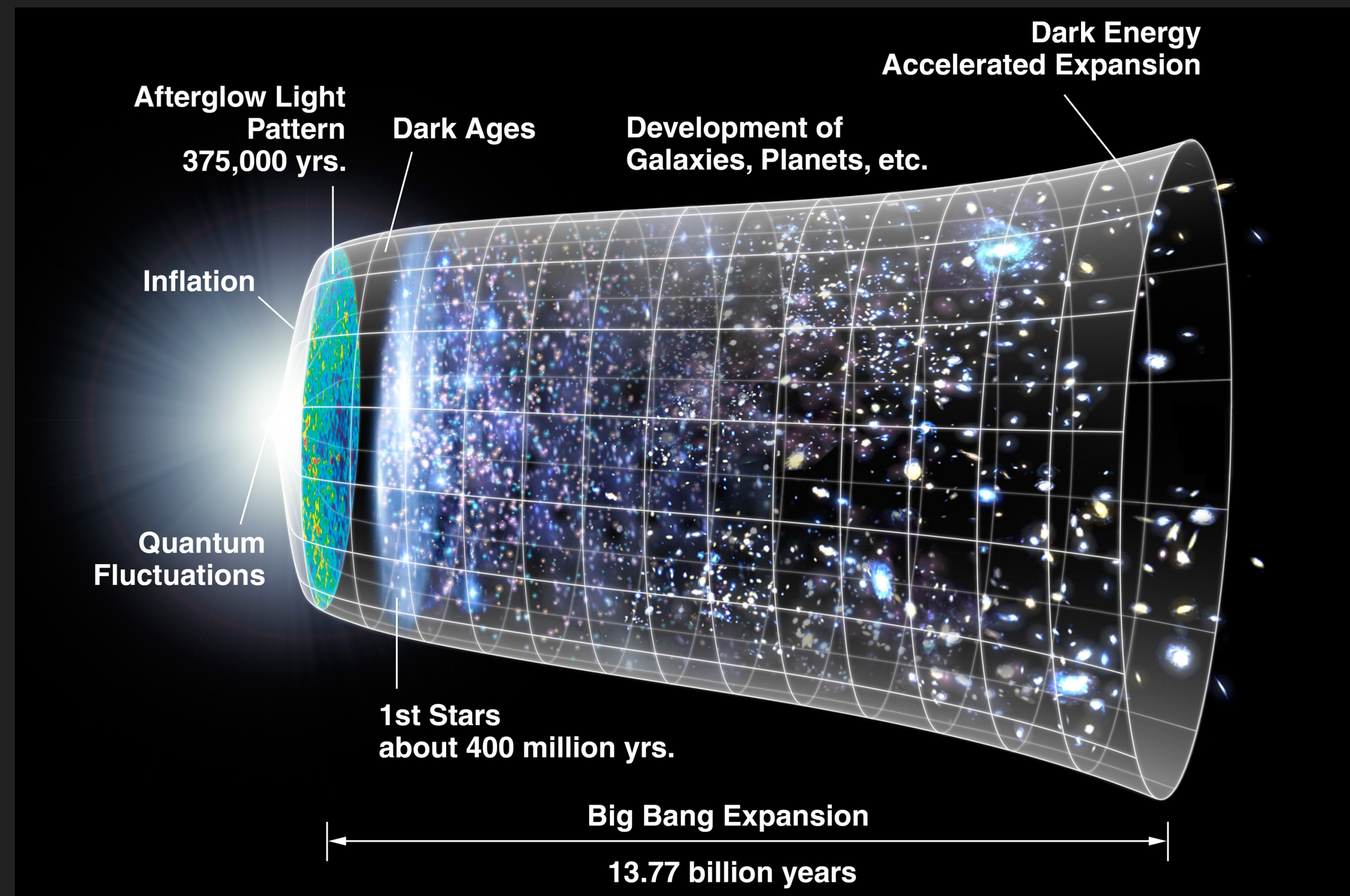
---

# BACK-REACTION IN THE EARLY UNIVERSE

COPERNICUS WEBINAR, 23 APRIL 2024

## COSMOLOGICAL INFLATION

- ▶ Period of accelerated expansion in the early universe

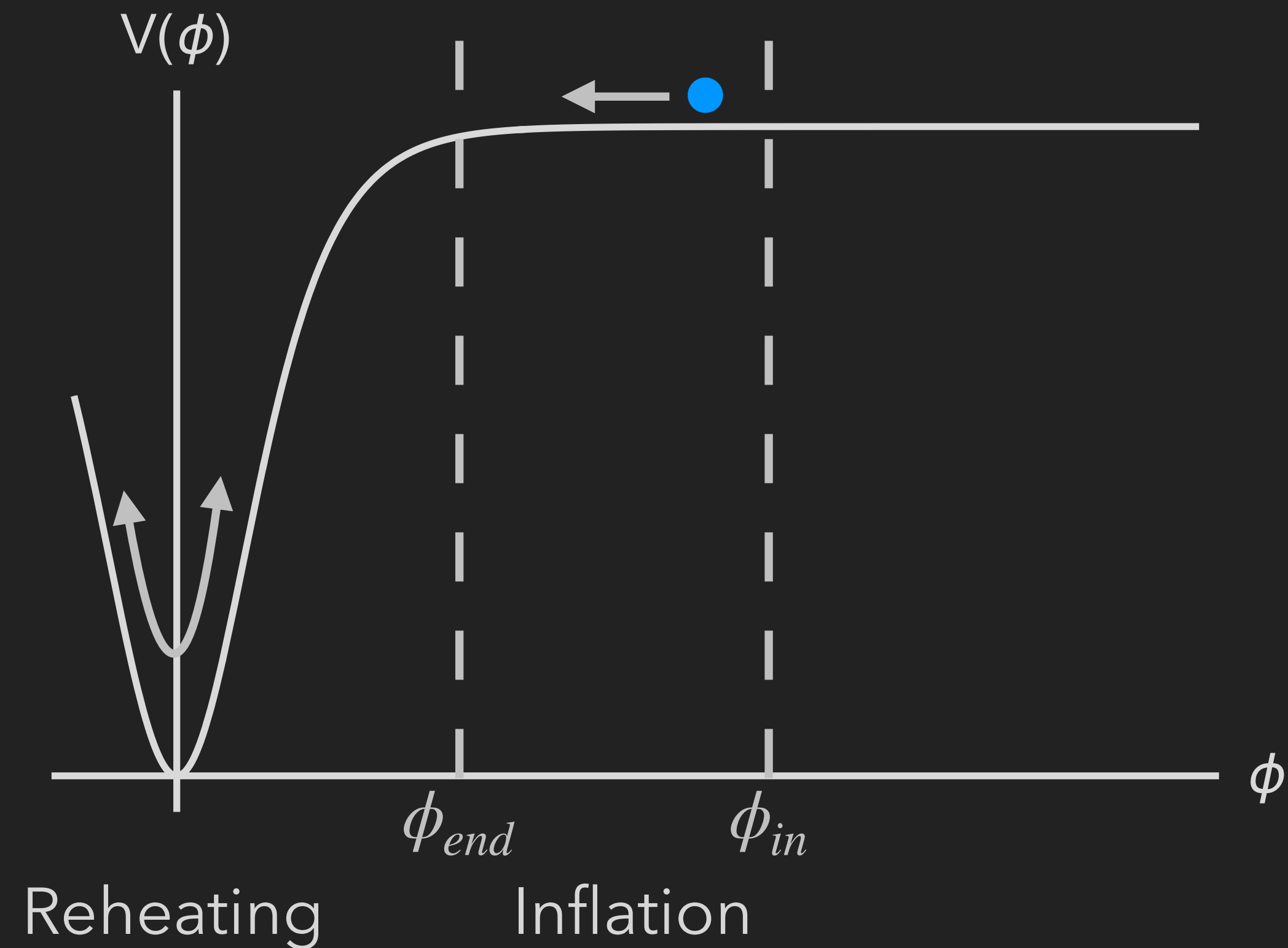


{see Guth; Linde; Starobinsky; Albrecht & Steinhardt}

## SINGLE-FIELD SLOW-ROLL INFLATION

- ▶  $\mathcal{S}[\phi] = \int d^4x \sqrt{-g} \left[ \frac{M_p^2}{2} \mathcal{R} - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right]$
- ▶  $V(\phi) \sim \text{flat}$ ,  $\phi$  moving slowly  

$$H^2 = \frac{1}{3M_p^2} \left( V(\phi) + \frac{1}{2} \dot{\phi}^2 \right) \simeq V_0$$
- ▶ Acceleration:  $H \equiv \frac{\dot{a}}{a} \simeq \text{const}$ ,  $a \simeq \exp \int dt H(t) \equiv \exp N$
- ▶ Slow-roll parameters:  $\epsilon_H = -\frac{\dot{H}}{H^2} \ll 1$ ,  $\eta_H = \frac{\dot{\epsilon}}{H\epsilon} \ll 1$



## PERTURBATIONS DURING SINGLE-FIELD INFLATION (I)

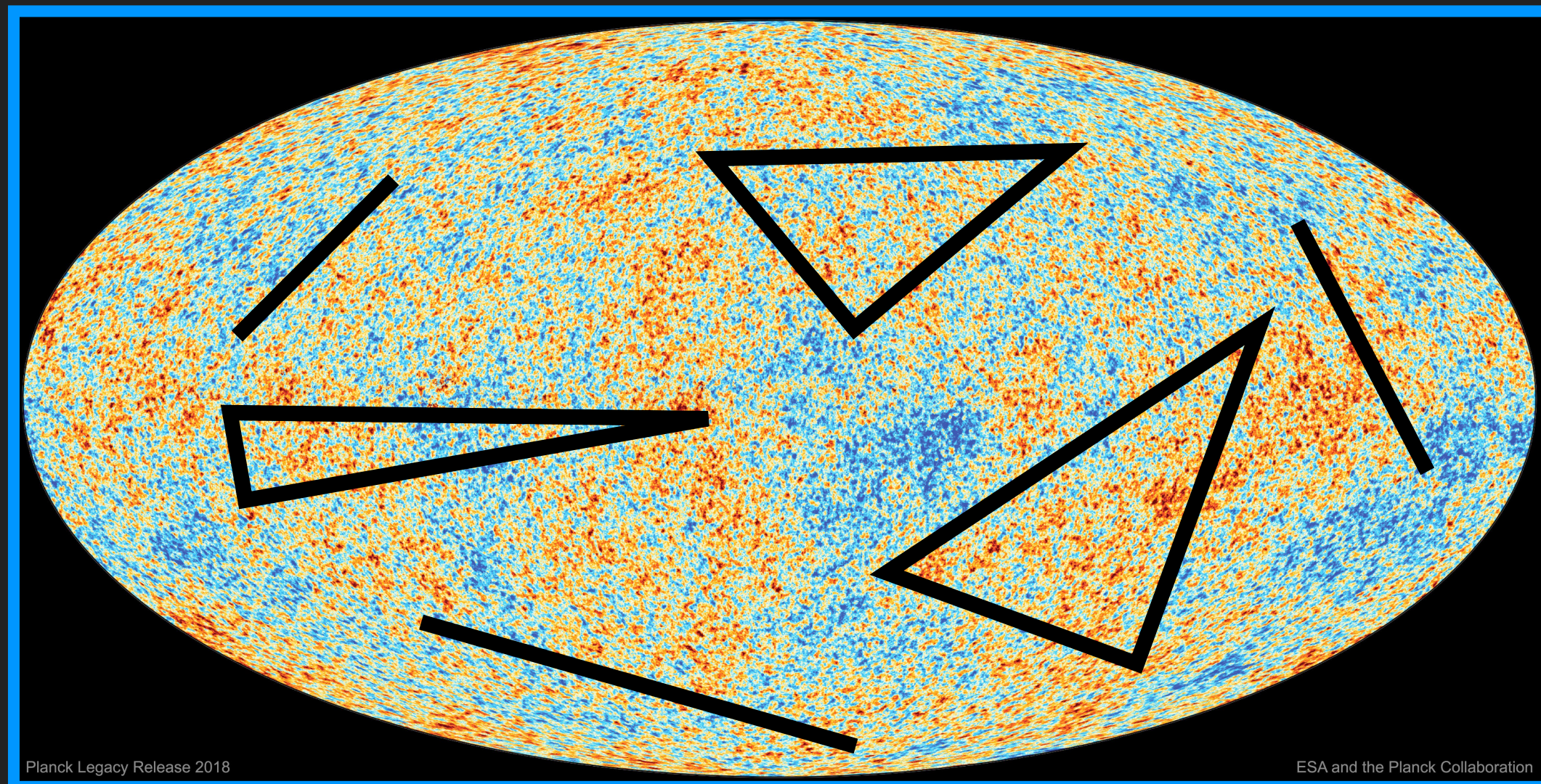
- ▶  $ds^2 = - dt^2 + a(t)^2 \delta_{ij} dx^i dx^j + \phi = \bar{\phi}(t)$
- ▶ Perturb the metric and the inflaton, apply the constraints and choose a gauge
- ▶ Comoving gauge:  $ds^2 = - dt^2 + a(t)^2 \left[ (1 - 2\zeta) \delta_{ij} + \gamma_{ij} \right] dx^i dx^j$
- ▶  $\zeta$ : curvature perturbation,  $\gamma_{ij}$ : TT perturbation

## STATISTICS OF THE CURVATURE PERTURBATION

- ▶ 2- and 3-point statistics of the curvature perturbation

- ▶  $\langle \zeta(\mathbf{x}_1)\zeta(\mathbf{x}_2) \rangle \longrightarrow \langle \zeta(\mathbf{k}_1)\zeta(\mathbf{k}_2) \rangle = (2\pi)^3 \delta(\mathbf{k}_1 + \mathbf{k}_2) \frac{2\pi^2}{k^3} \mathcal{P}_\zeta(k)$

- ▶  $\langle \zeta(\mathbf{x}_1)\zeta(\mathbf{x}_2)\zeta(\mathbf{x}_3) \rangle \longrightarrow \langle \zeta(\mathbf{k}_1)\zeta(\mathbf{k}_2)\zeta(\mathbf{k}_3) \rangle = (2\pi)^3 \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) B_\zeta(k_1, k_2, k_3) \implies f_{NL}(k_1, k_2, k_3)$

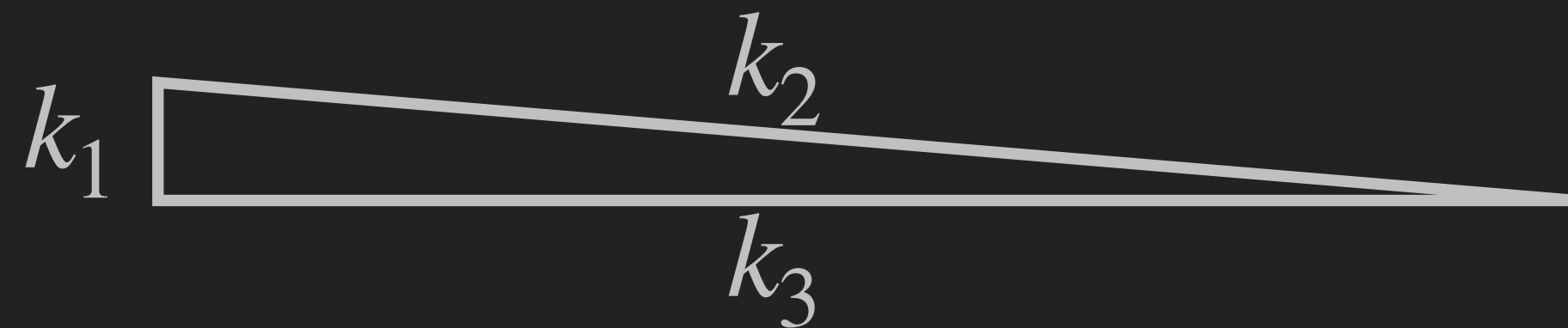


{1807.6211 - *Planck* collaboration}

## PREDICTIONS FROM SFSR INFLATION

- ▶  $\mathcal{P}_\zeta(k) = \frac{H^2}{8\pi^2\epsilon_H} \Big|_{k=aH}$  and  $n_s - 1 \equiv \frac{d \log \mathcal{P}_\zeta(k)}{d \log k} = -4\epsilon_H + 2\eta_H \ll 1$ , almost scale-invariant power spectrum

- ▶ Squeezed non-Gaussianity is suppressed for almost-scale invariant power



spectrum

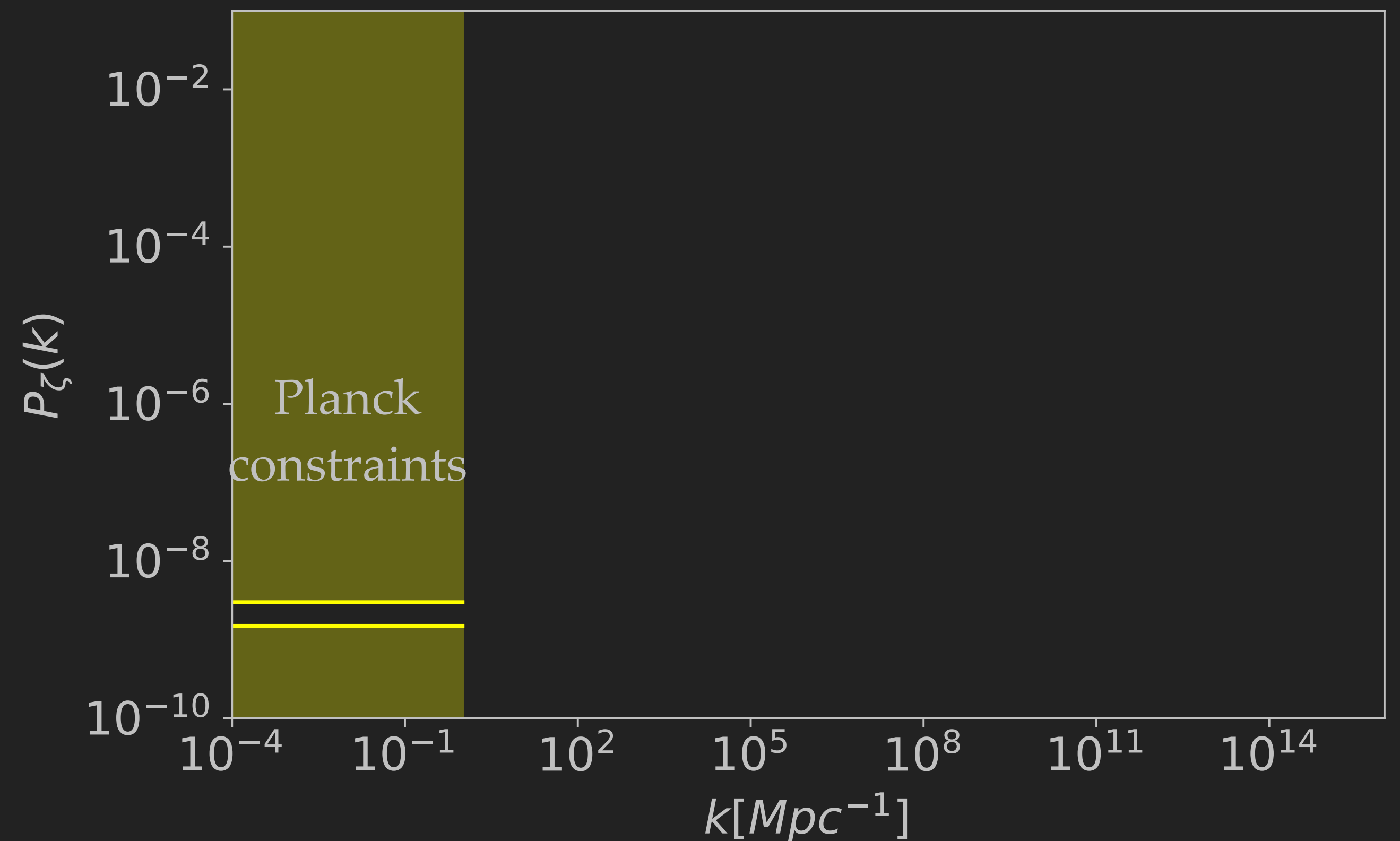
## LARGE-SCALE OBSERVATIONS OF INFLATION

- ▶ CMB observations,  $k_{CMB} = 0.05 \text{ Mpc}^{-1}$
- ▶ Modelling  $\mathcal{P}_\zeta(k) = \mathcal{A}_s \left( \frac{k}{k_{CMB}} \right)^{n_s-1+\dots} \rightarrow \mathcal{A}_s \sim 10^{-9}$  and  $n_s = 0.9649 \pm 0.0042$   
(68% C.L.)
- ▶  $f_{NL}^{sq} = -0.9 \pm 5.1$  (68% C.L.)
- ▶ Consistent with predictions from SFSR inflation

{1807.6211 - *Planck* Collaboration,  
2110.0483 - *Planck*+BICEP/Keck}

## SMALL-SCALE TESTS OF INFLATION

- ▶  $\mathcal{P}_\zeta(k)$  is well constrained on large scales, e.g. CMB

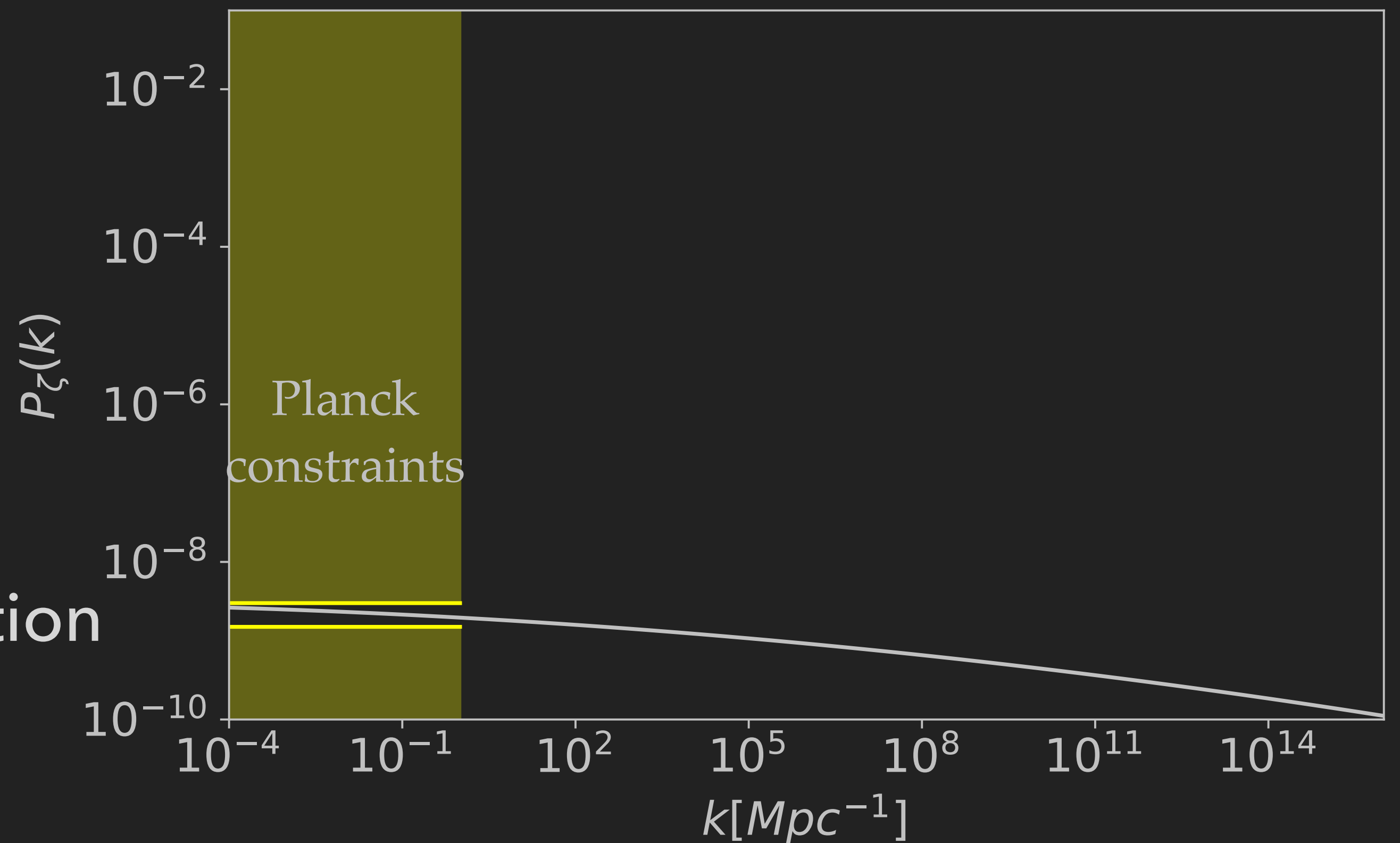




## SMALL-SCALE TESTS OF INFLATION

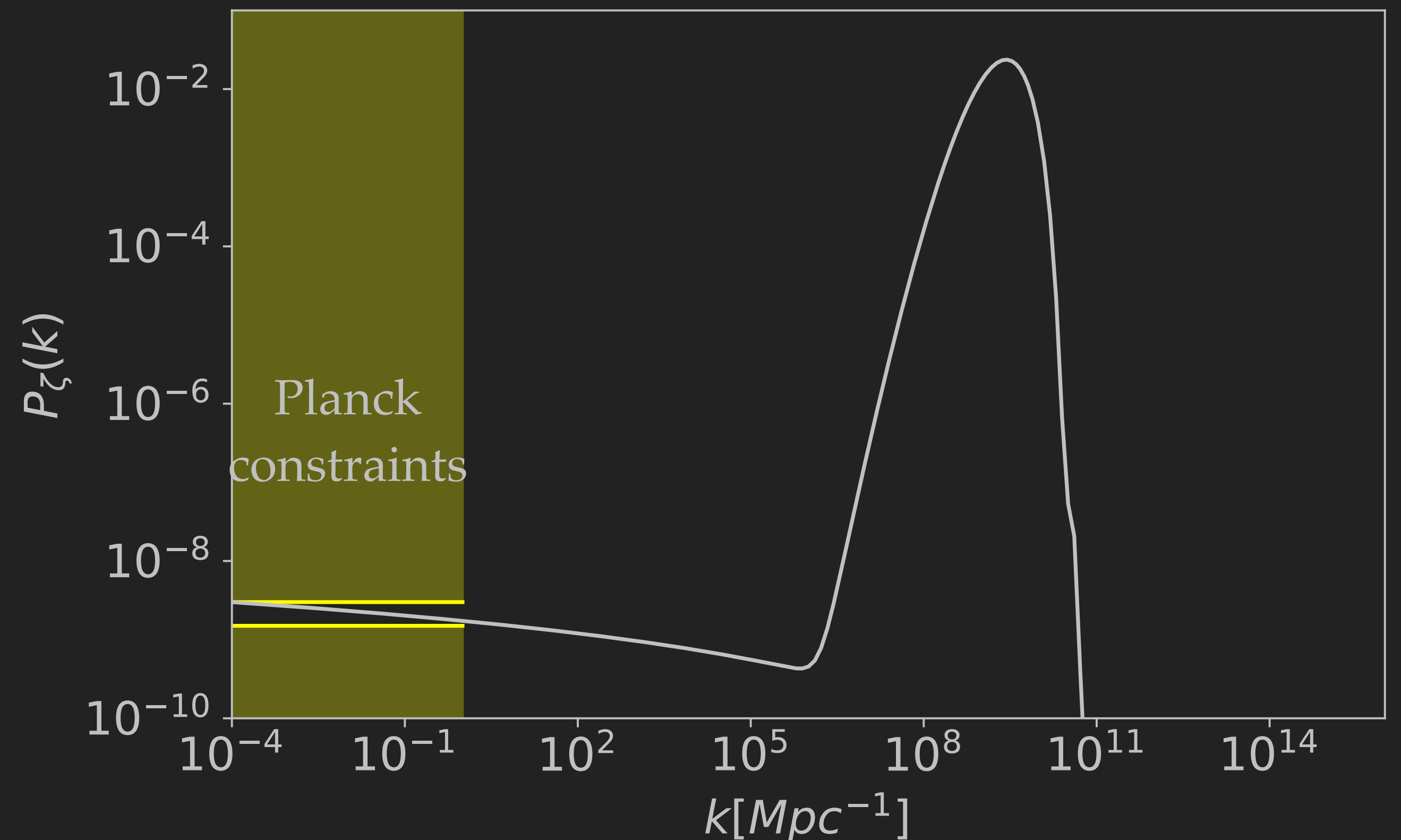
- ▶  $\mathcal{P}_\zeta(k)$  is well constrained on large scales, e.g. CMB

$\mathcal{P}_\zeta(k)$  in single-field slow-roll inflation



## SMALL-SCALE TESTS OF INFLATION

- ▶  $\mathcal{P}_\zeta(k)$  is well constrained on large scales, e.g. CMB
- ▶  $\mathcal{P}_\zeta(k)$  could display a peak on small scales
- ▶ 2nd order GWs,  $\Omega_{\text{GW}} \sim \int \mathcal{P}_\zeta^2$
- ▶  $\mathcal{P}_\zeta(k) \simeq 0.01$ , PBH production



## PEAK FROM SINGLE FIELD INFLATION

- ▶ **SF inflation:** need deviation from SR evolution

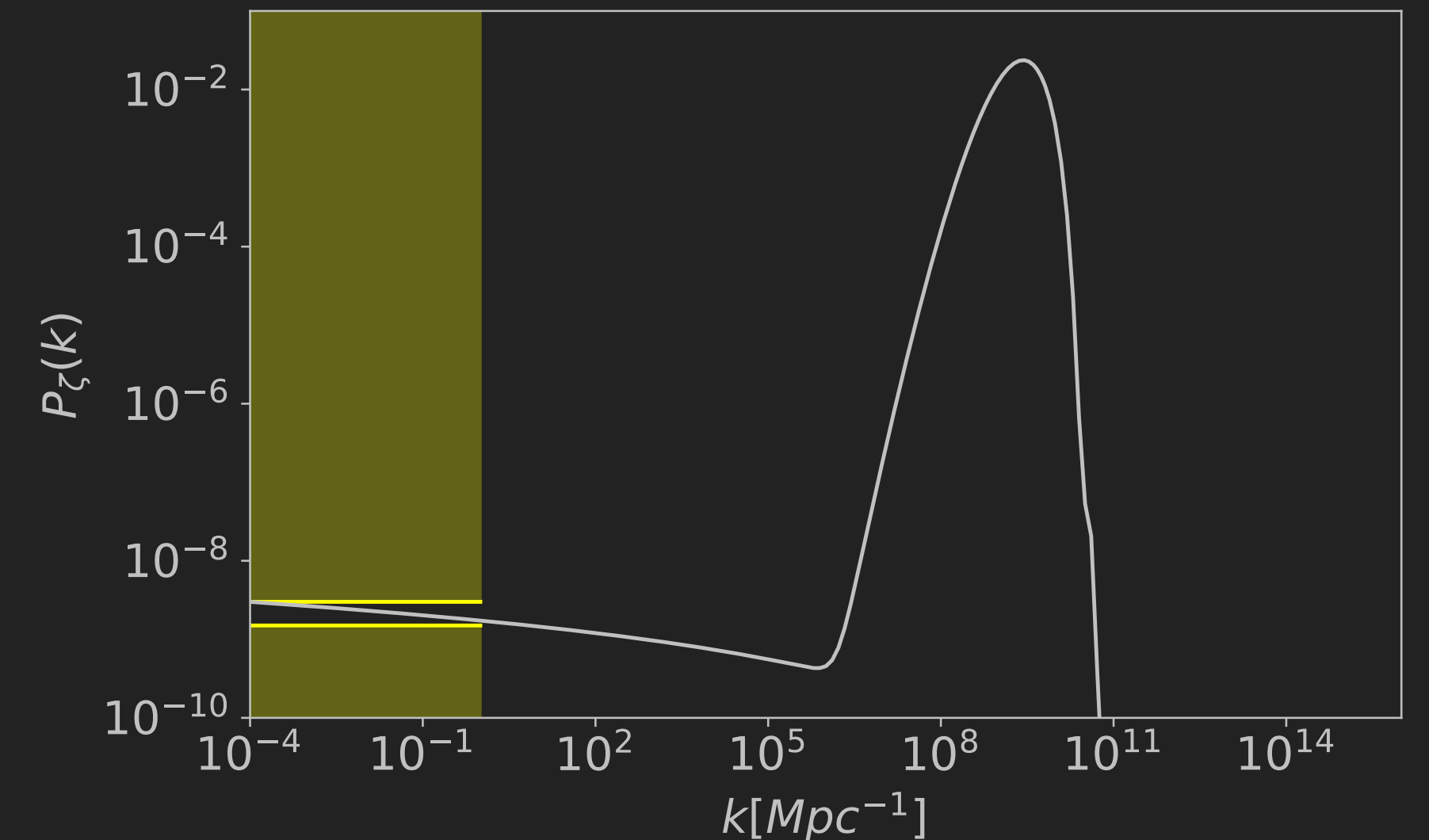
- ▶  $\zeta(N)_{k \ll aH} = c_1 + c_2 \int dN e^{-\int dN' (3-\epsilon+\eta)}$

- ▶ SR:  $\epsilon, \eta \ll 1$ , no super-horizon evolution  $\zeta(N)_{k \ll aH} = c_1 + c_2 \int dN e^{-3N} \sim c_1$

- ▶ USR:  $\epsilon \ll 1, \eta \sim -6$ , super-horizon growth  $\zeta(N)_{k \ll aH} \sim \int dN e^{3N}$

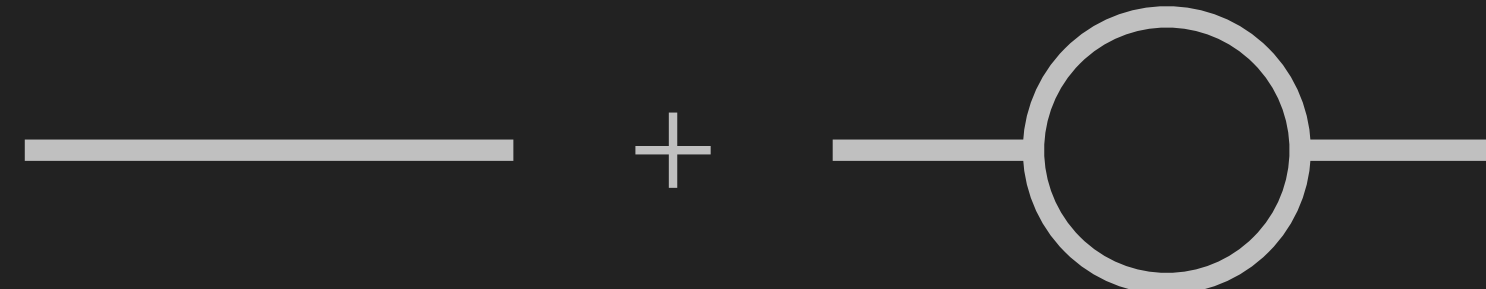
- ▶ E.g. almost-stationary inflection point, bump/dip

{Motohashi+, Kinney, Dimopoulos, Pattison+, Bellido+, Germani+, Ballesteros+, Cicoli+, Atal+, Mishra+, ...}



## DO LARGE FLUCTUATIONS ON SMALL SCALES CAUSE TROUBLE?

▶  $\mathcal{P}_\zeta(k) = \mathcal{P}_\zeta(k)_{\text{tree}} + \mathcal{P}_\zeta(k)_{\text{1-loop}} + \dots + \mathcal{P}_\zeta(k)_{\text{n-loop}}$

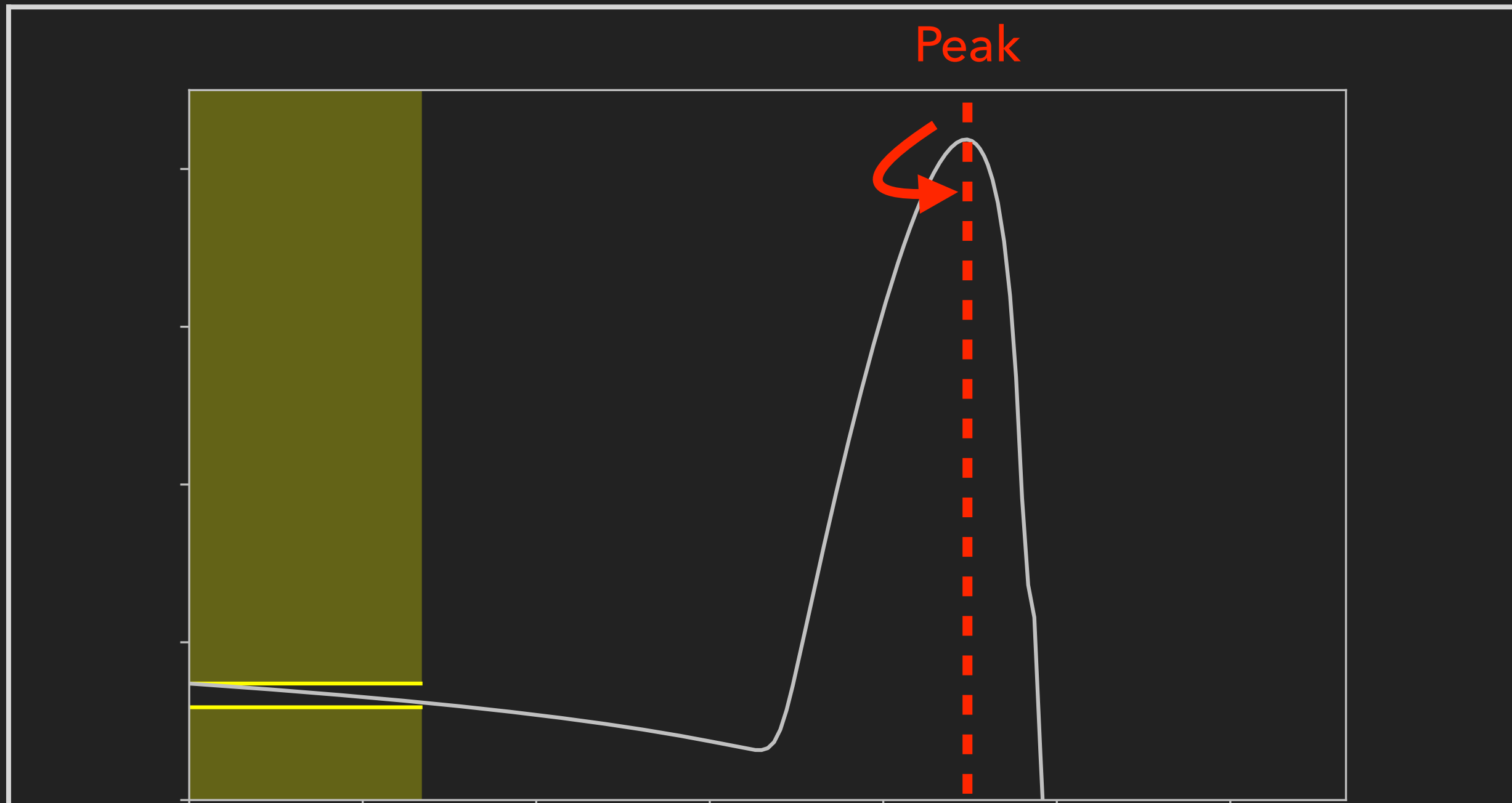
▶  $\langle \zeta \zeta \rangle \sim$  

▶ A (first) perturbativity criterion:  $\mathcal{P}_\zeta(k)_{\text{1-loop}} \ll \mathcal{P}_\zeta(k)_{\text{tree}}$

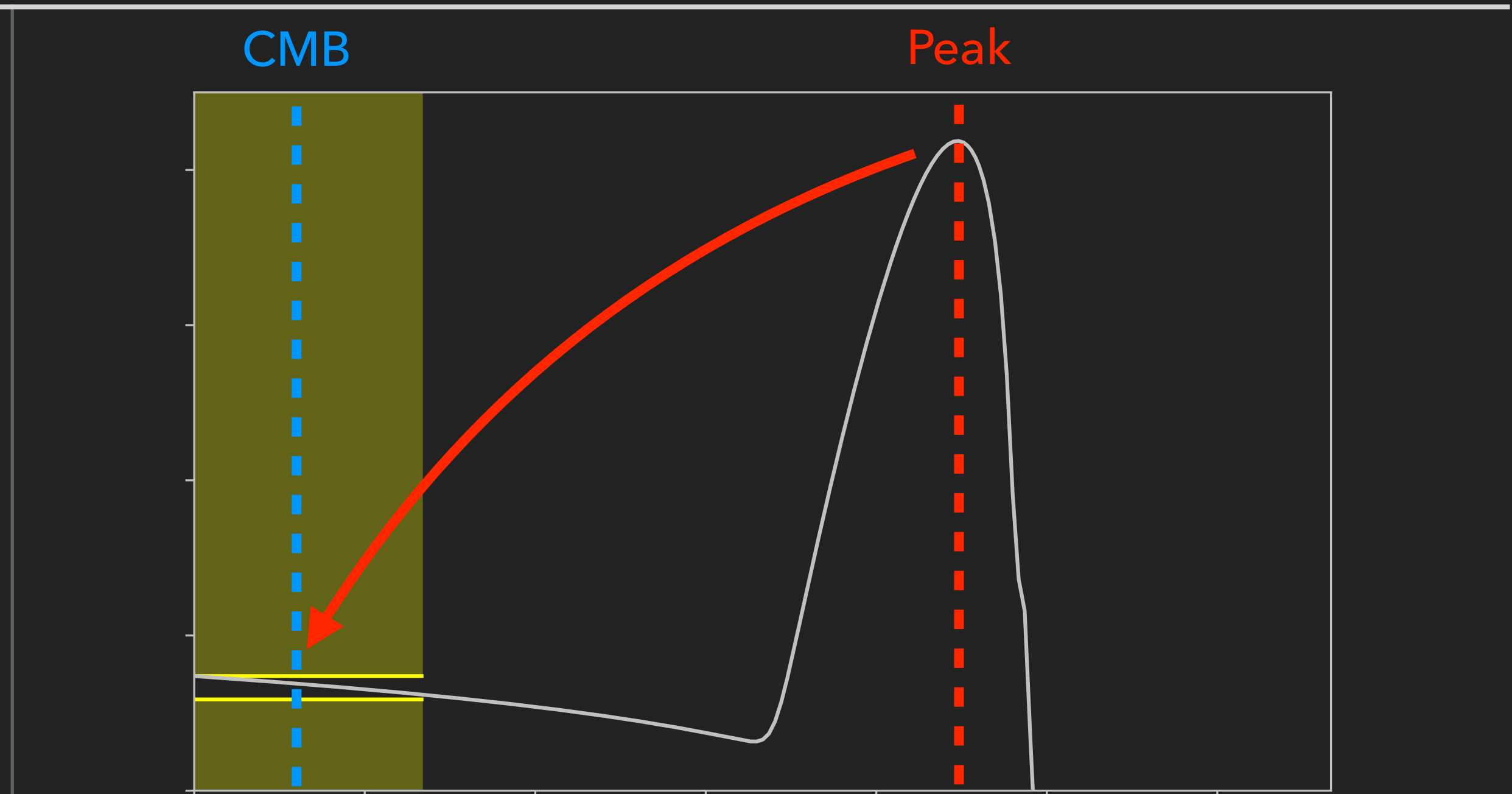
▶ 1-loop correction involves integral over all scales, how do enhanced modes contribute?

{Inomata+, Kristiano+, Riotto, Firouzjahi, Choudhury+, Motohashi+,  
Tasinato+, Franciolini+, Fumagalli, Fumagalli+, Tada+...}

# 1LC EFFECTS AT DIFFERENT SCALES



{Inomata+; **2304.14260**:  
LI, D.Mulryne; Fumagalli+}

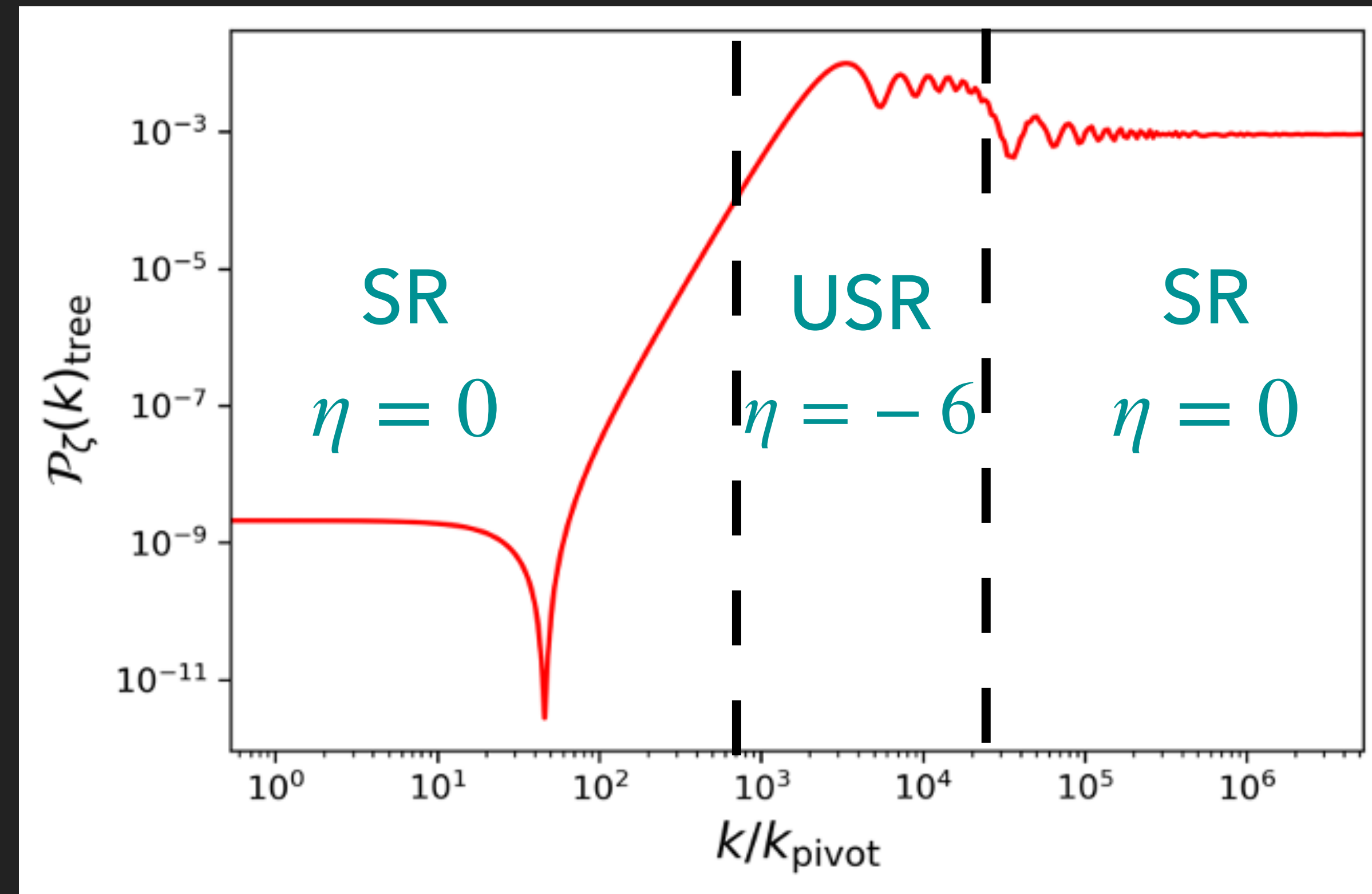
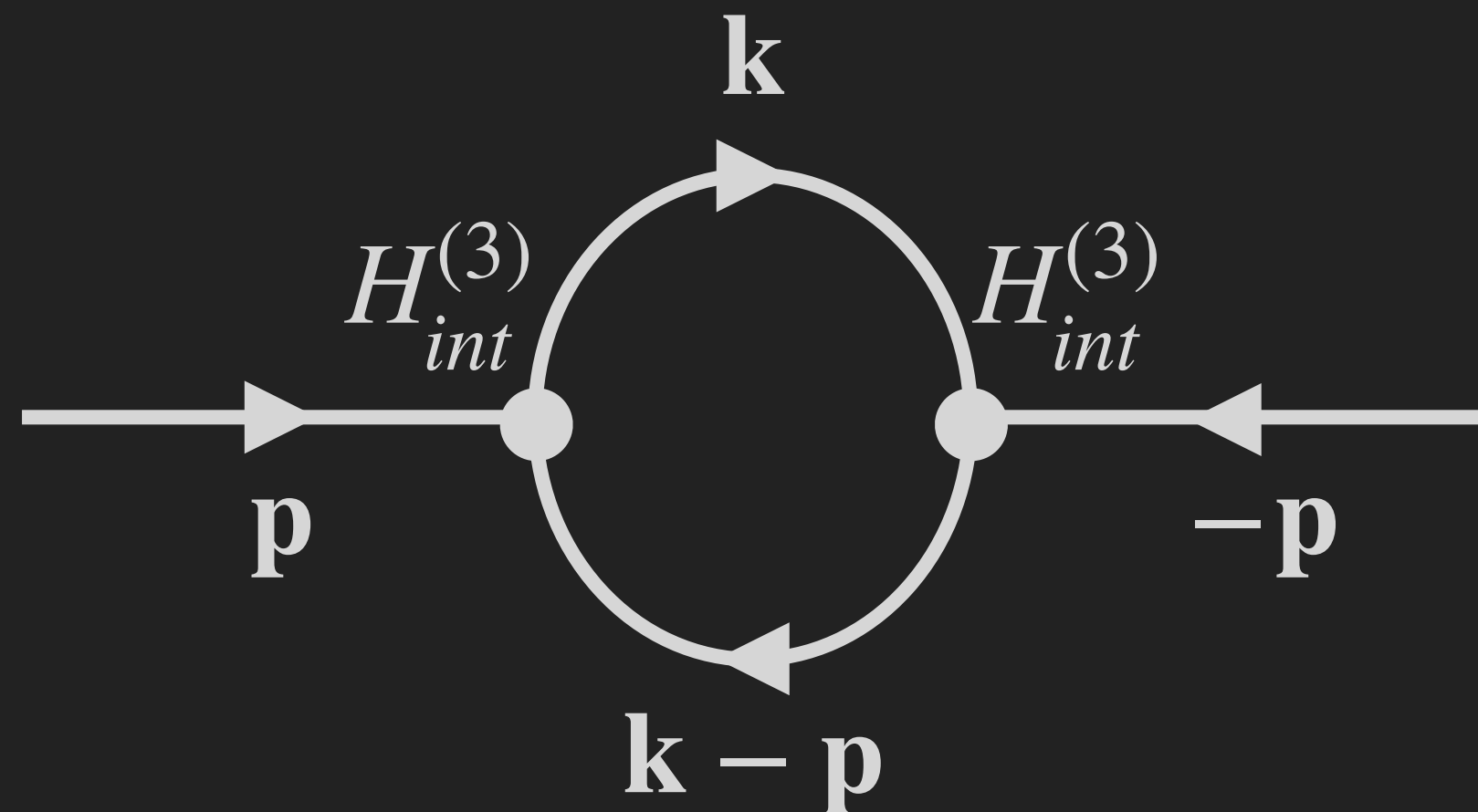


{Kristiano+; ...; **2312.05694**,  
M.Davies, LI, D.Mulryne; **2312.12424**,  
**LI, D.Mulryne, D.Seery**; ...}

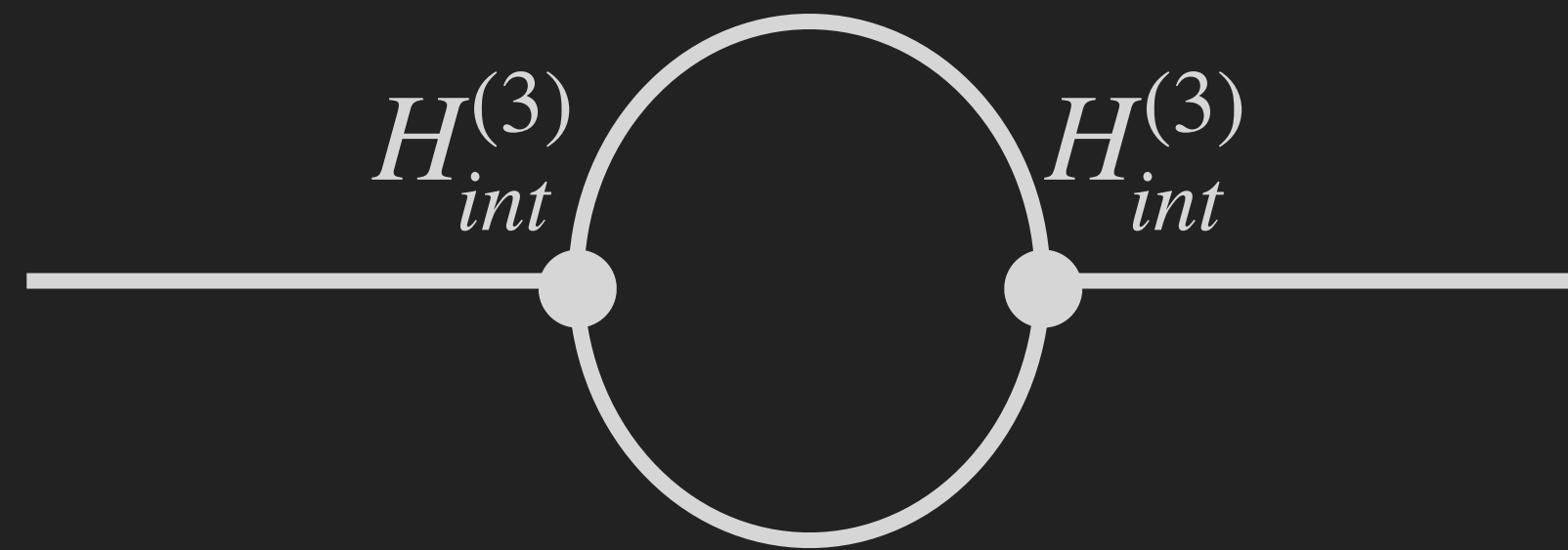
## 1LC ON LARGE SCALES BY ENHANCED USR MODES (I)

- ▶ SR/USR/SR inflationary dynamics
- ▶ Instant transitions
- ▶ Calculate 1LC due to cubic interactions

$H_{int}^{(3)} \propto \eta'$  using in-in formalism



## 1LC ON LARGE SCALES BY ENHANCED USR MODES (II)



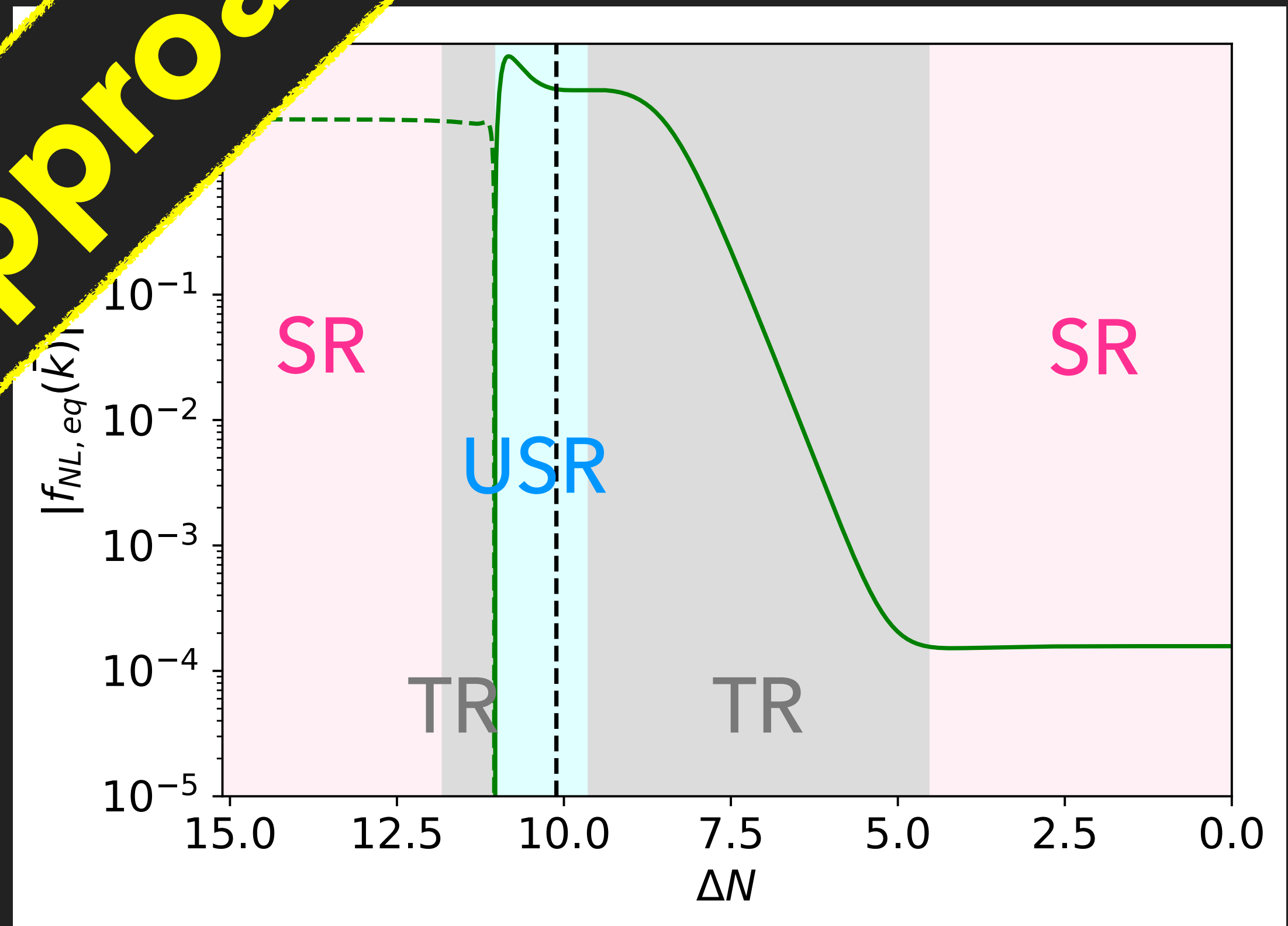
- ▶ Imposing  $\mathcal{P}_\zeta(p)_{1\text{-loop}} \ll \mathcal{P}_\zeta(p)_{\text{tree}}$  yields  $\mathcal{P}_\zeta(k_{\text{peak}}) \ll 0.03$
- ▶ PBH production ( $\mathcal{P}_\zeta(k_{\text{peak}}) \sim 0.01$ ) in tension with perturbativity requirement

## CAVEATS/POINTS OF CONTENTION

- ▶  $(H_{int}^{(4)})$  {Firouzjahi}, regularisation and renormalisation of the divergent integral)
- ▶ Did we include all the relevant interactions (boundary terms)? {Fumagalli, Firouzjahi, Braglia+}
- ▶ E.g.  $f_{NL} = \mathcal{O}(1)$  {Firouzjahi+,...} p during USR becomes SR suppression realistic, smooth USR  $\rightarrow$  SR {Cai+}

Does smoothing off the transitions wipe off the large 1LC? See {Francione+, 2312.05694 Davies, Li, Mulryne}

**Alternative approach**





# LOOPS IN THE SEPARATE UNIVERSE PICTURE



{arXiv:2312.12424 - LI, Mulryne, Seery}

## LOOPS IN THE SEPARATE UNIVERSE PICTURE

- ▶ Provide general framework to calculate loops in the separate universe picture (not limited to SF USR case, could be used e.g. for MFI)
- ▶ In this talk:
  1. Classical back-reaction model to understand the physical origin of the 1LC
  2. Specialise to case of 1LC in presence of USR
  3. Sketch of the separate universe calculation (see also {2304.07081 - Riotto, Firouzjahi})
  4. Results & Future directions

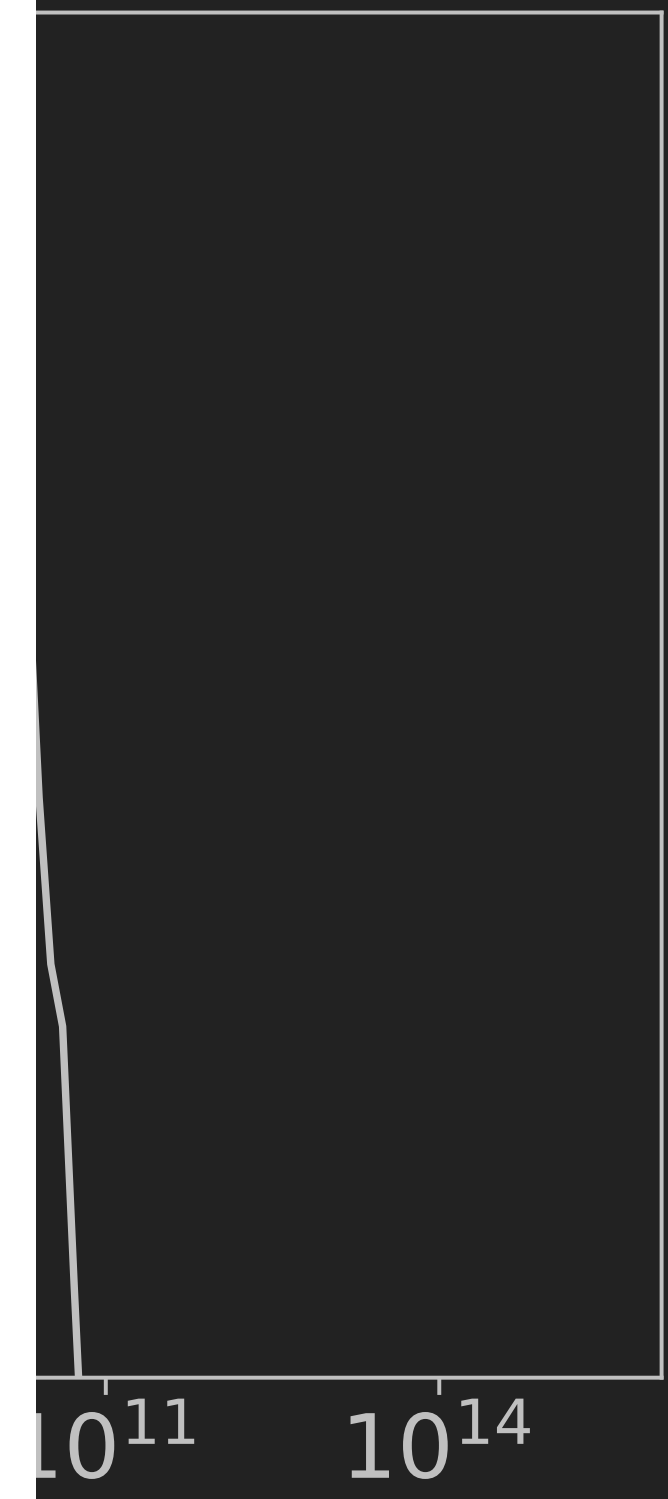
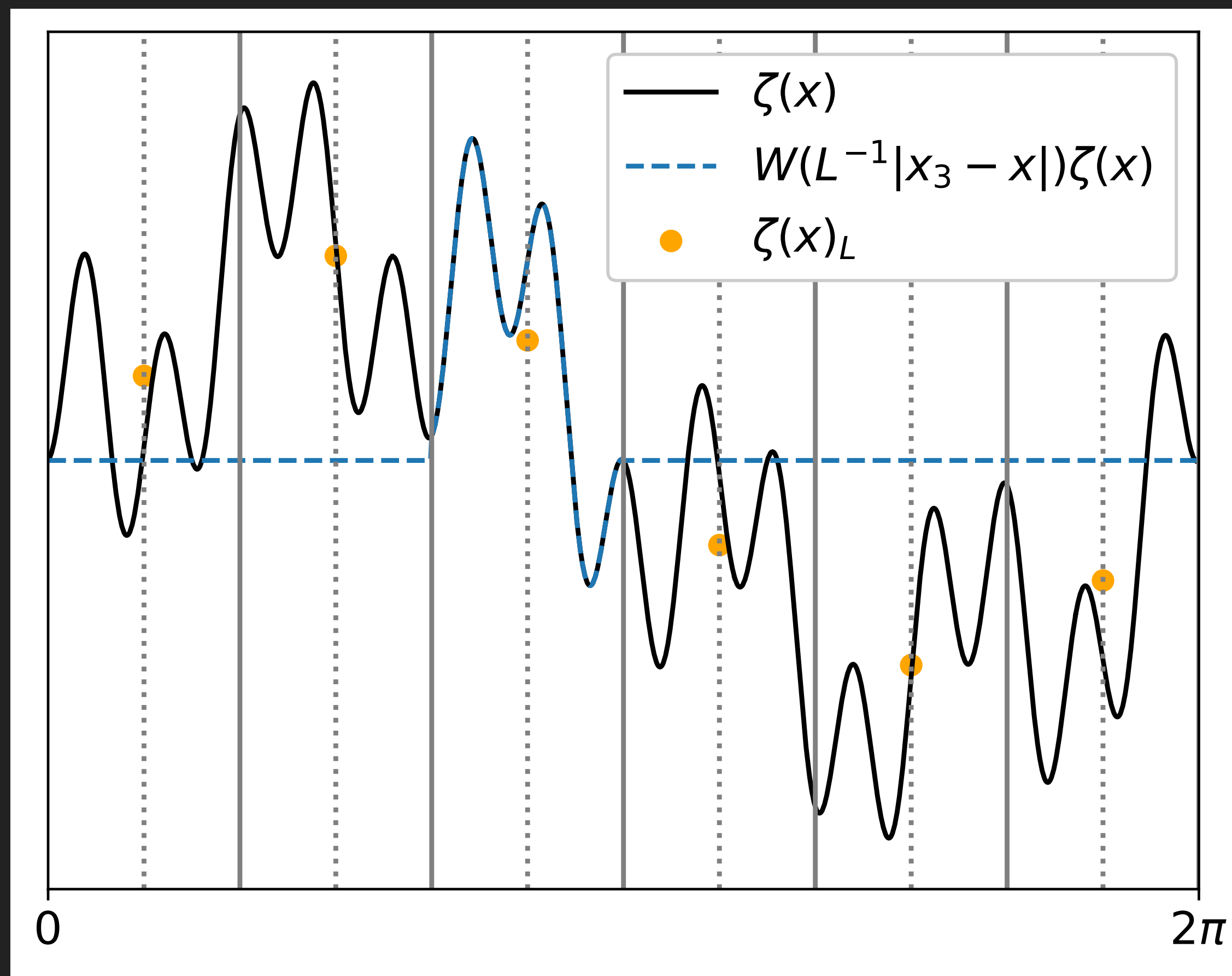
time

$t_p$

$L$ -patches  
 $(L \sim 1/p)$ ,  $\zeta_L(\mathbf{x})$   
 average of  $\zeta$  in  
 each patch



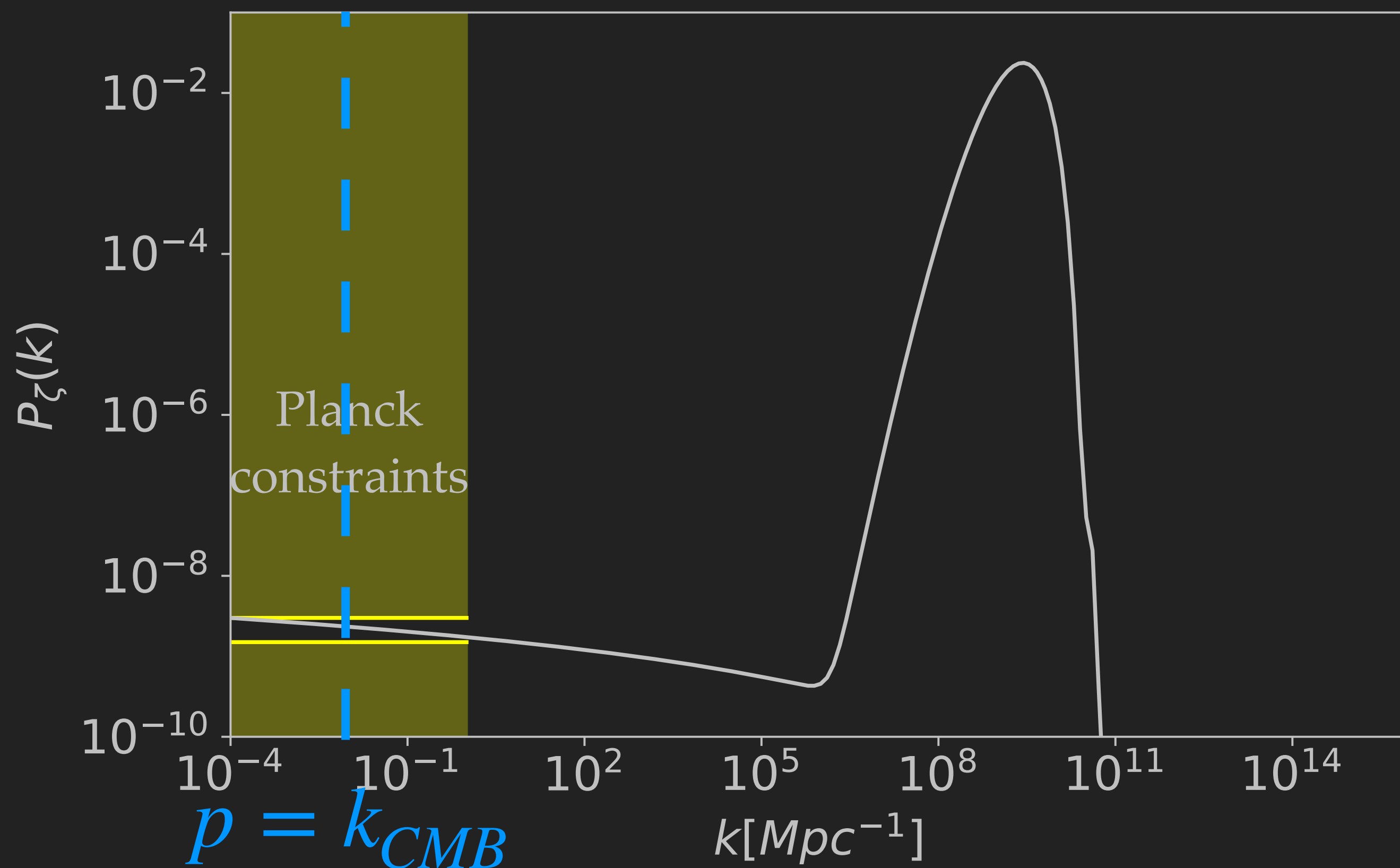
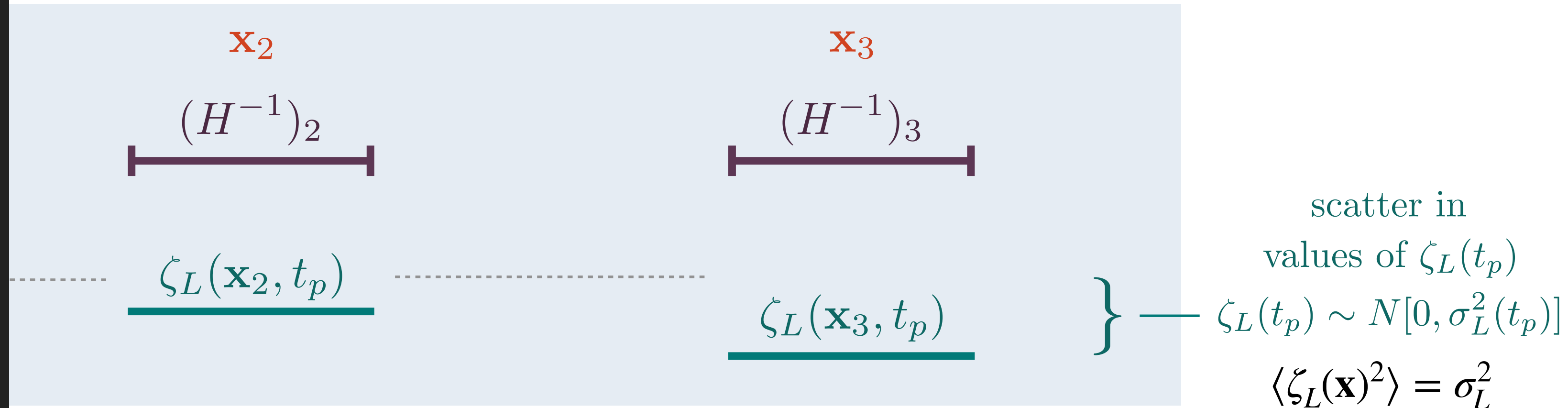
scatter in  
 values of  $\zeta_L(t_p)$   
 $\zeta_L(t_p) \sim N[0, \sigma_L^2(t_p)]$   
 $\langle \zeta_L(\mathbf{x})^2 \rangle = \sigma_L^2$

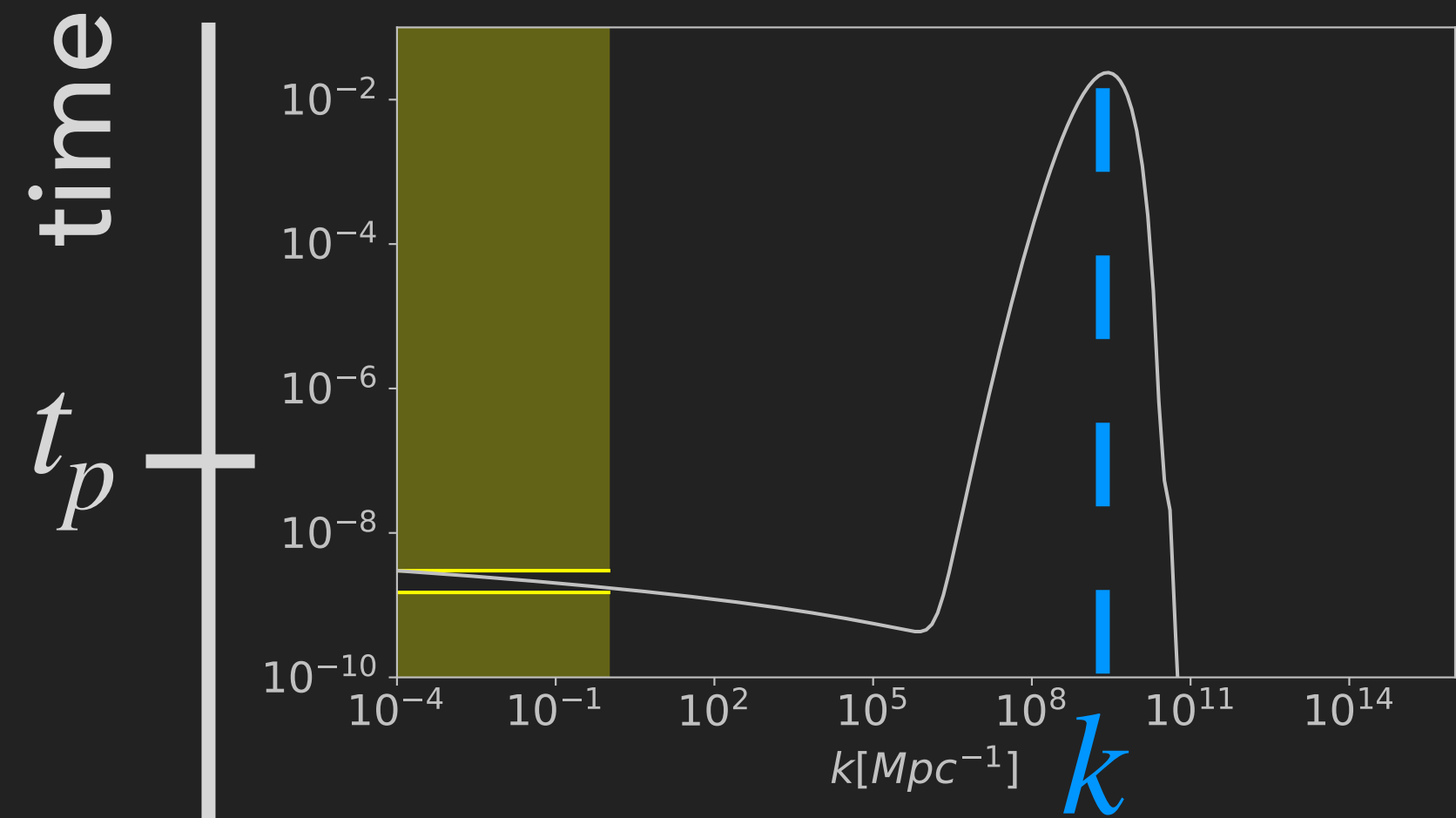


time

$t_p$

$L$ -patches  
( $L \sim 1/p$ ),  $\zeta_L(\mathbf{x})$   
average of  $\zeta$  in  
each patch

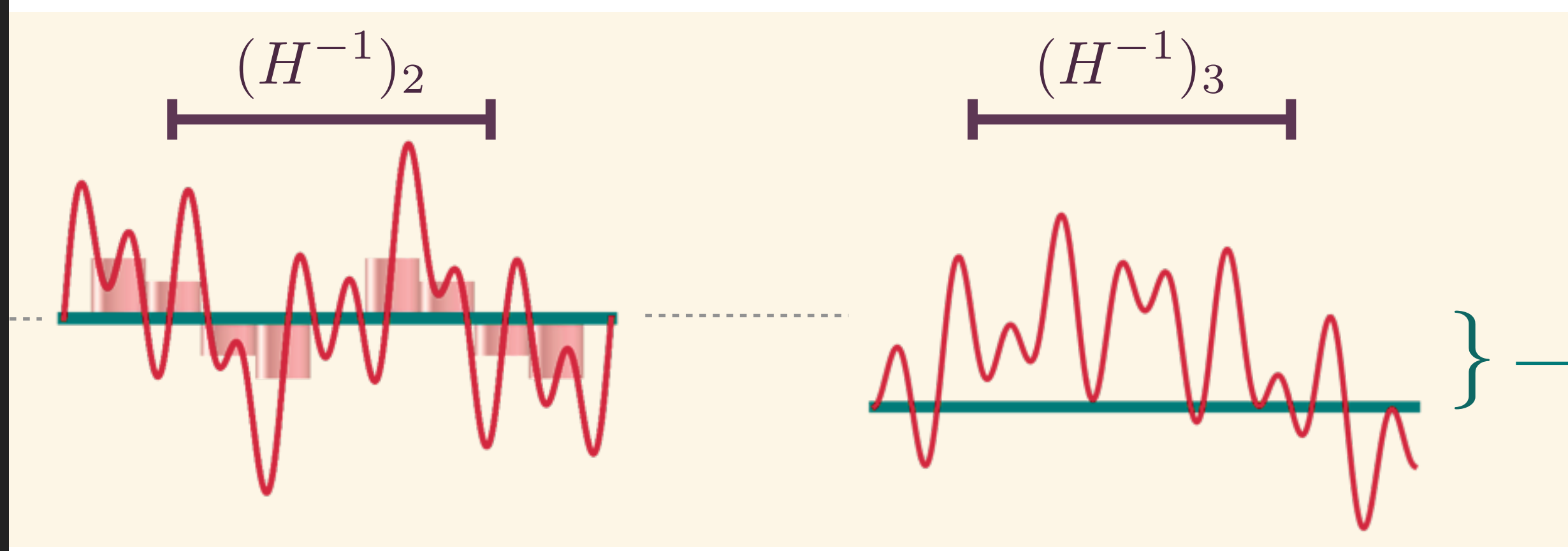




overlay on  $L$ -patch  
 $N = (L/\ell)^3$   $\ell$ -boxes  
 $(\ell \sim 1/k)$ , with random  
 perturbation  $S_\ell$

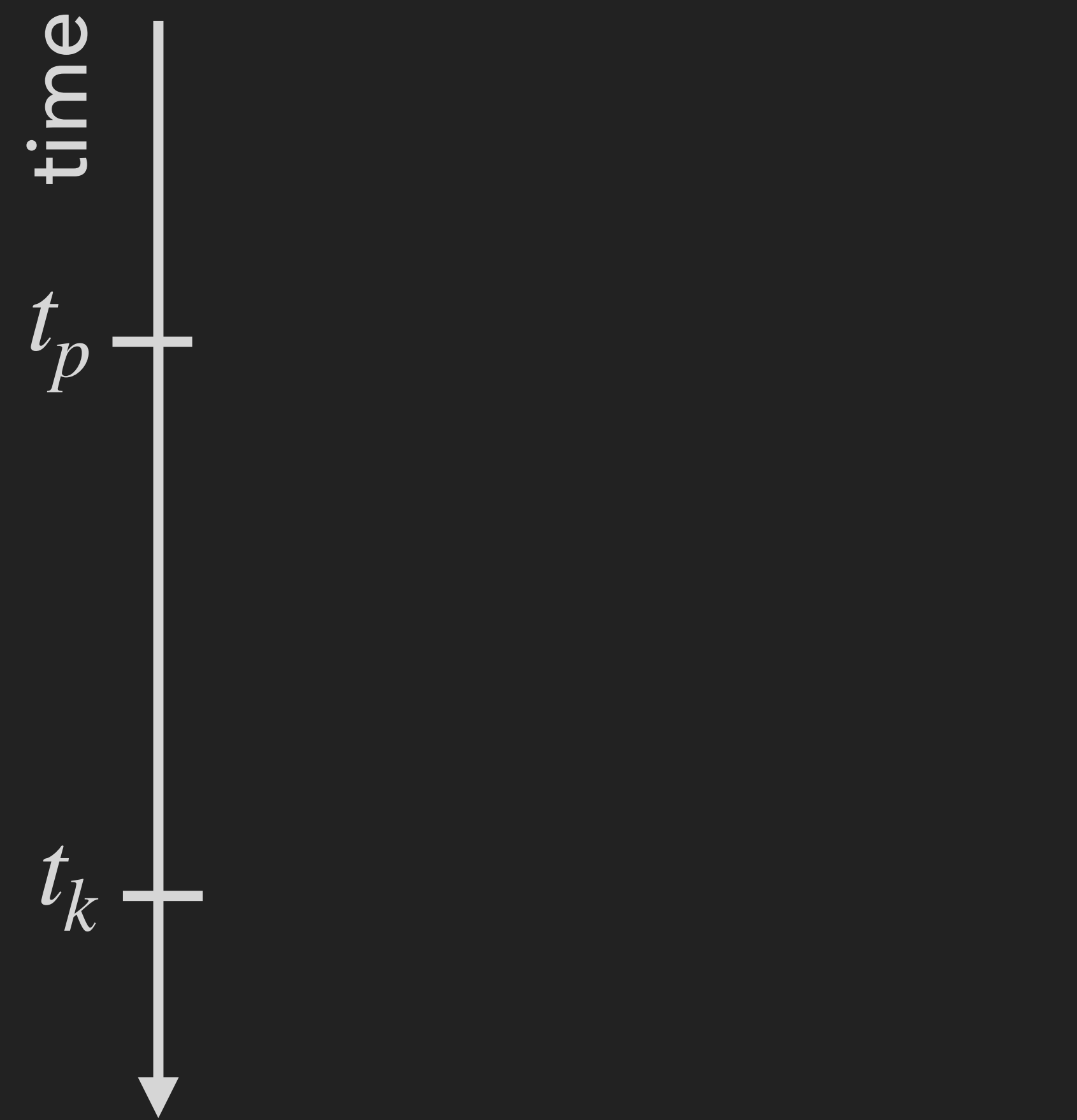


scatter in  
 values of  $\zeta_L(t_p)$   
 $\zeta_L(t_p) \sim N[0, \sigma_L^2(t_p)]$   
 $\langle \zeta_L(\mathbf{x})^2 \rangle = \sigma_L^2$

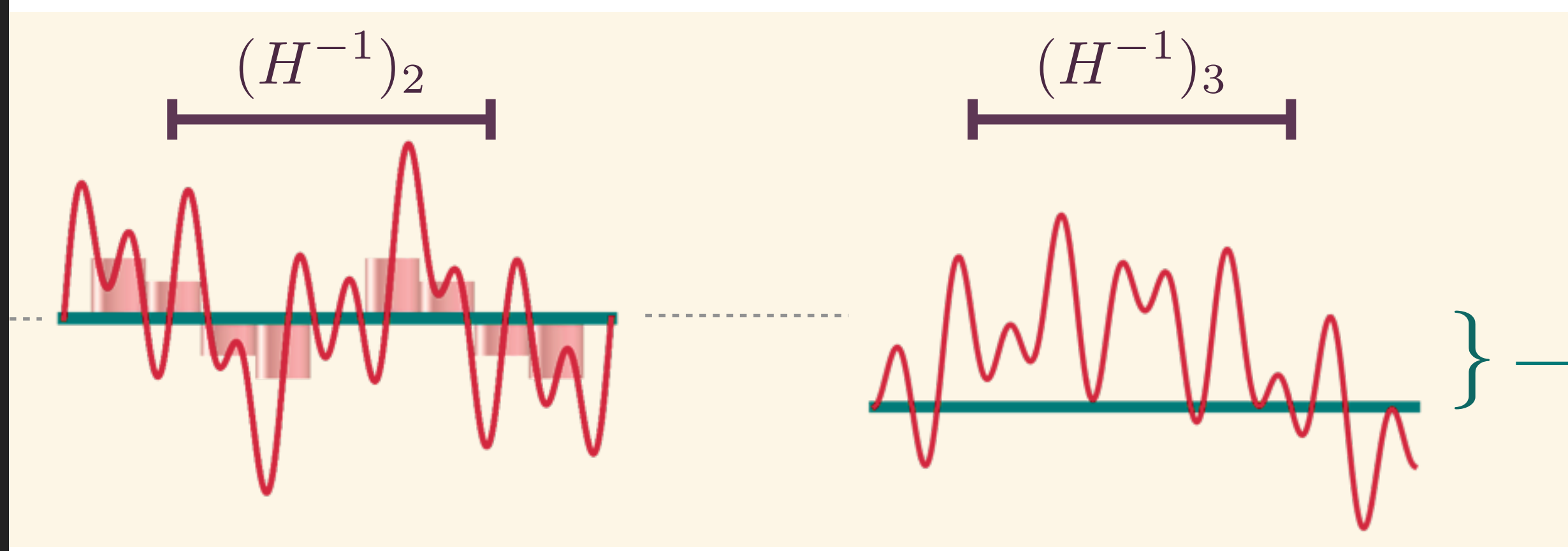


scatter in evolved  
 values  $\zeta_L(t_k)$   
 $\zeta_L(t_k) \sim N[0, \sigma_L^2(t_k)]$

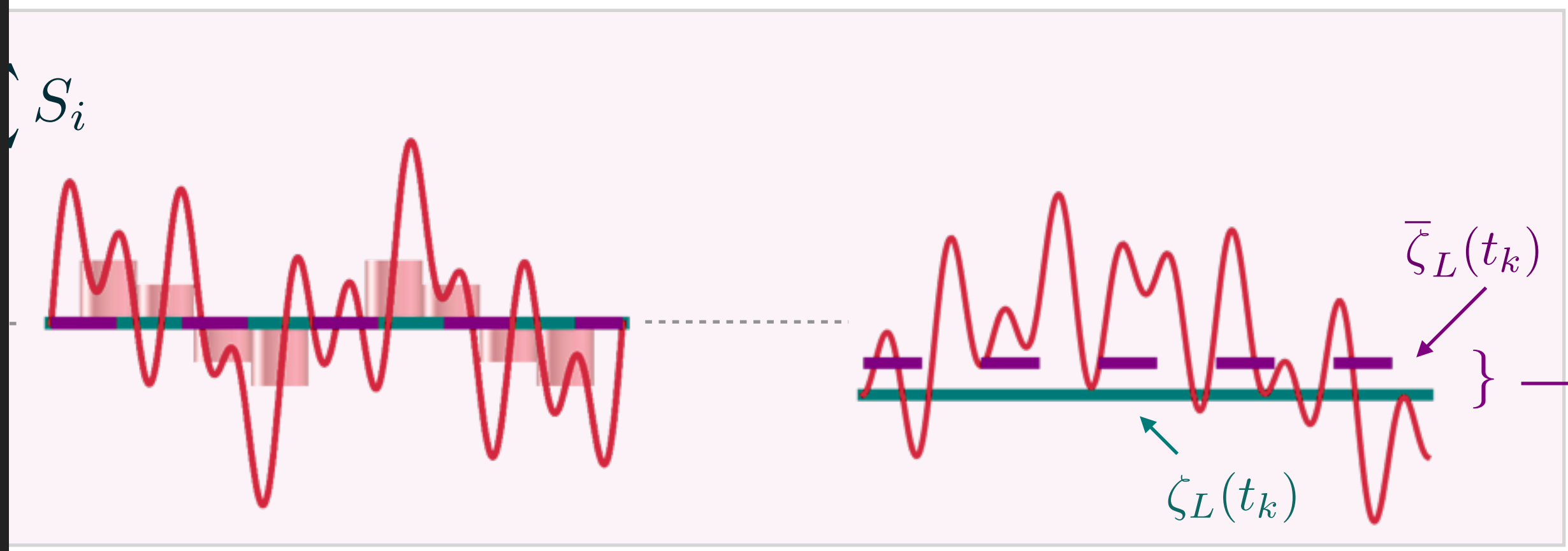
$S_\ell$  might change behaviour  
 depending on  $\zeta_L(\mathbf{x})$  (*reaction*)



scatter in values of  $\zeta_L(t_p)$   
 $\zeta_L(t_p) \sim N[0, \sigma_L^2(t_p)]$   
 $\langle \zeta_L(\mathbf{x})^2 \rangle = \sigma_L^2$



scatter in evolved values  $\zeta_L(t_k)$   
 $\zeta_L(t_k) \sim N[0, \sigma_L^2(t_k)]$



scatter in smoothed values  $\bar{\zeta}_L(t_k)$   
 $\bar{\zeta}_L(t_k) \sim N[0, \bar{\sigma}_L^2(t_k)]$   
 smoothed value  $\bar{\zeta}_L(t_k)$  differs from  $\zeta_L(t_k)$

Mode  $p$  then *back-reacts* to the presence of  $k$  modes

$$\bar{\zeta}_L = \zeta_L + \frac{1}{N} \sum_i S_i$$

## A CLASSICAL BACKREACTION MODEL

▶ Dressed long wavelength perturbation:  $\bar{\zeta}_L = \zeta_L + \frac{1}{N} \sum_i S_i$

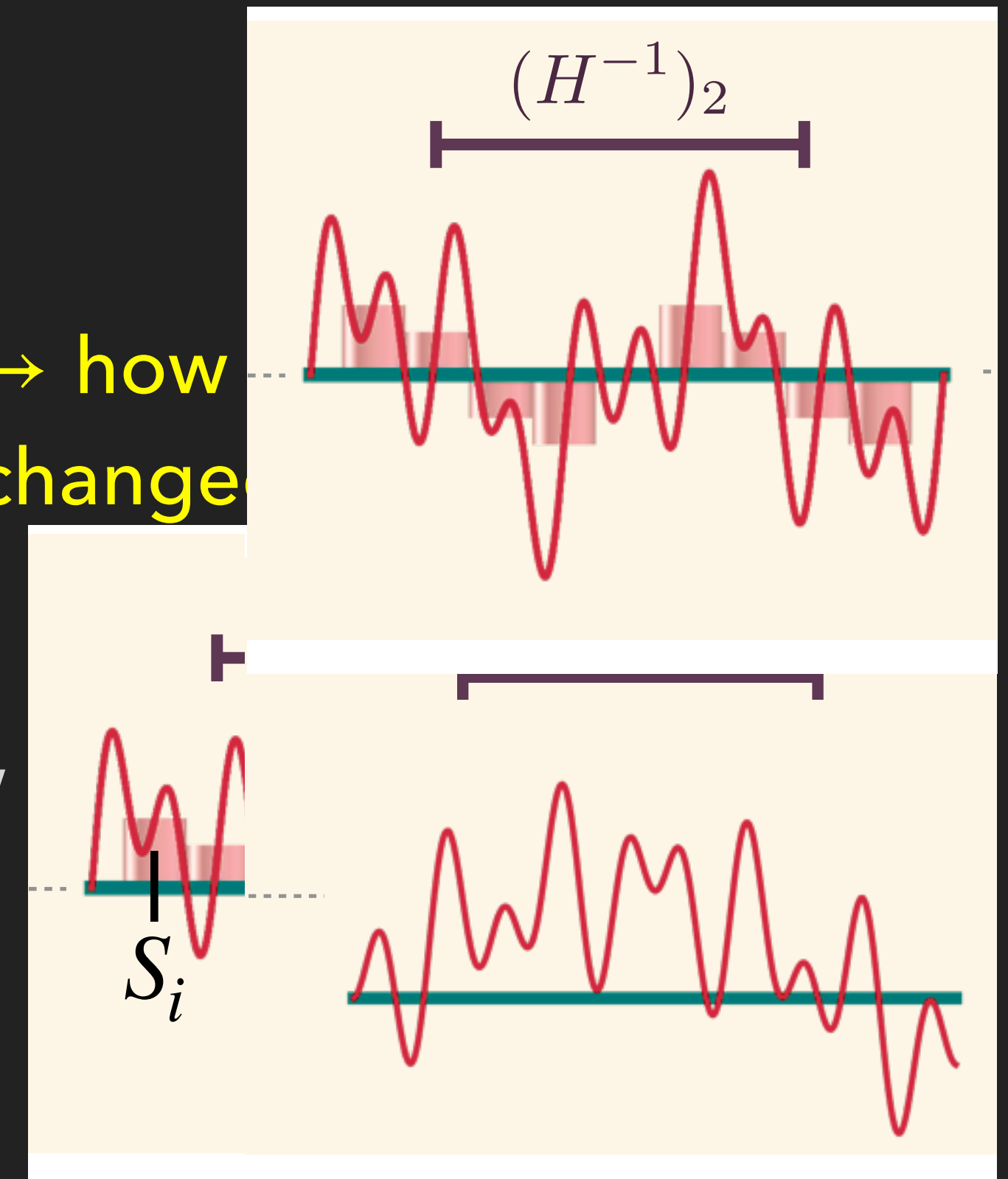
▶ New variance:  $\bar{\sigma}_L^2 = \langle \bar{\zeta}_L^2 \rangle \supseteq \frac{1}{N} \sigma_\ell^2 + \frac{1}{N^2} \sum_i \sum_{i \neq j} \langle S_i S_j \rangle + \langle \zeta_L S_\ell \rangle$

$\bar{\sigma}_L^2 \rightarrow$  how  
has change

A.  $\frac{1}{N} \sigma_\ell^2 = \left(\frac{p}{k}\right)^3 \sigma_\ell^2$ , only contribution for IID random variable ( $N$  finite,

B.  $\frac{1}{N^2} \sum_i \sum_{i \neq j} \langle S_i S_j \rangle \neq 0$  if long-range correlation between small boxes

C.  $\langle \zeta_L S_\ell \rangle \neq 0$  if small-scale  $S_\ell$  perturbation correlated with large-scale  $\zeta_L$  perturbation (no  $1/N$  suppression)



## INTRODUCTION TO SEPARATE UNIVERSE METHOD

- ▶ Separate universe ( $\delta N$ ) method: super-horizon evolution of the curvature perturbation

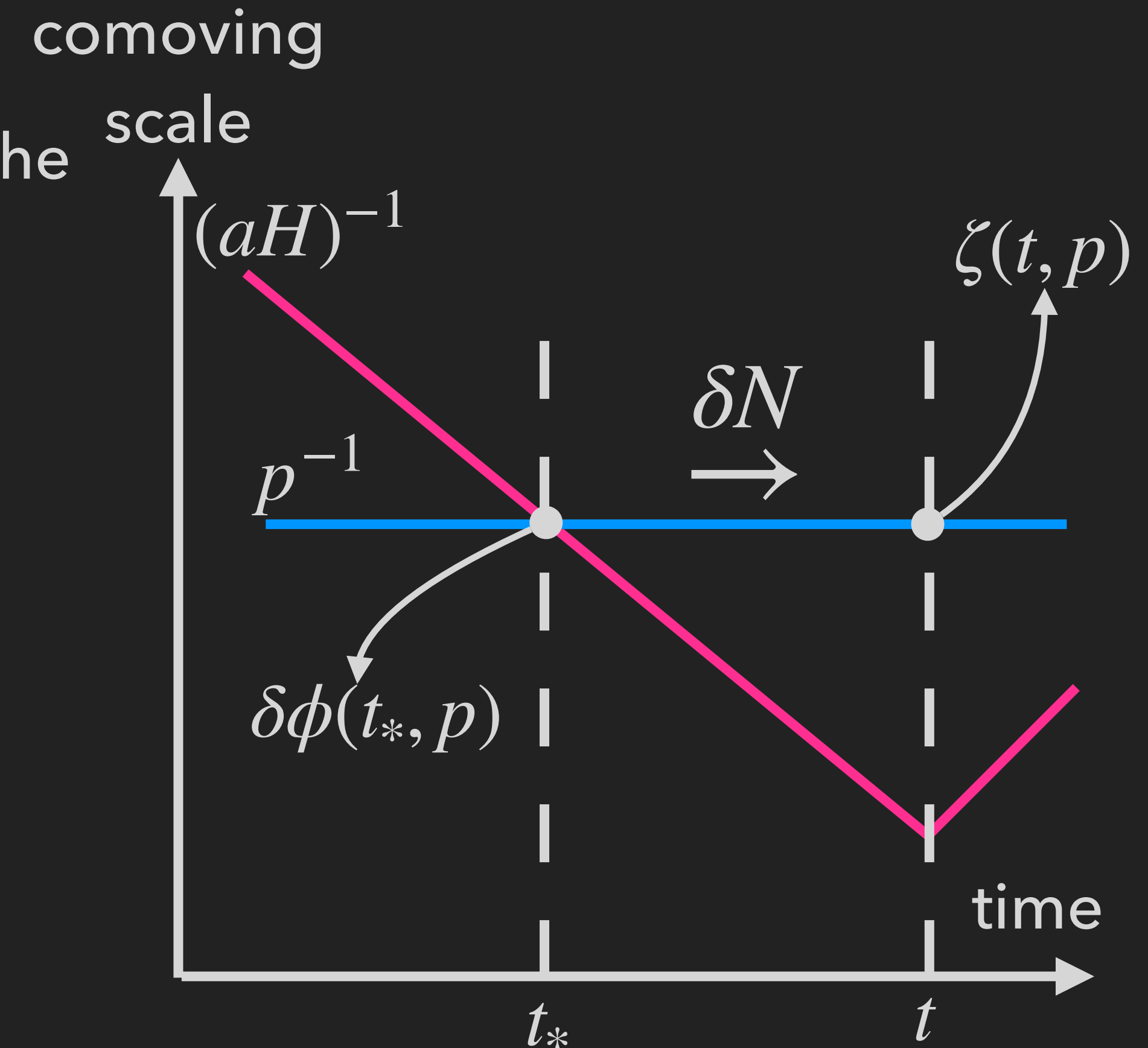
- ▶ Example: SFSR inflation  $\dot{\phi}(\phi)$

- ▶ Taylor-expansion of the  $\delta N$  formula:

$$\zeta(t, x) = N_{\phi}^{(t_*, t)} \delta\phi(t_*, x) + 1/2 N_{\phi\phi}^{(t_*, t)} \delta\phi(t_*, x)^2 + \dots$$

- ▶  $t_*$ : initialisation time;  $\delta\phi(t_*, x)$ : initial condition;  $N^{(t_*, t)}$ : e-folds between  $t_*$  and  $t$

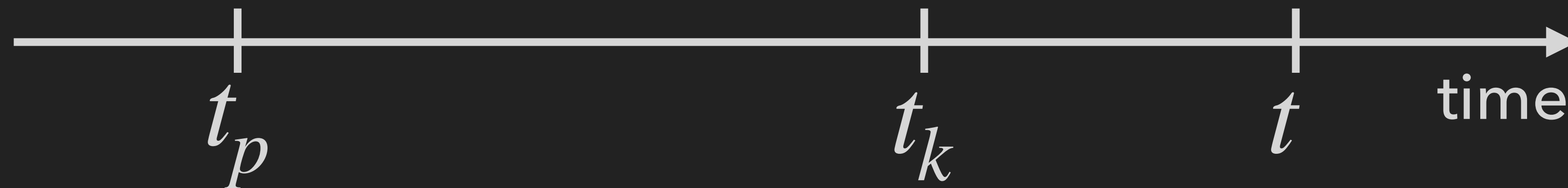
- ▶ Linear term:  $\langle \zeta_p \zeta_{-p} \rangle_{\text{tree}} = N_{\phi}^2 \langle \delta\phi \delta\phi \rangle \rightarrow \mathcal{P}_{\zeta}(p; t) = \frac{H(t_p)^2}{8\pi^2 \epsilon(t_p)}$



( $t_*$  doesn't have to be h-c time)



## SET-UP FOR $\delta N$ CALCULATION



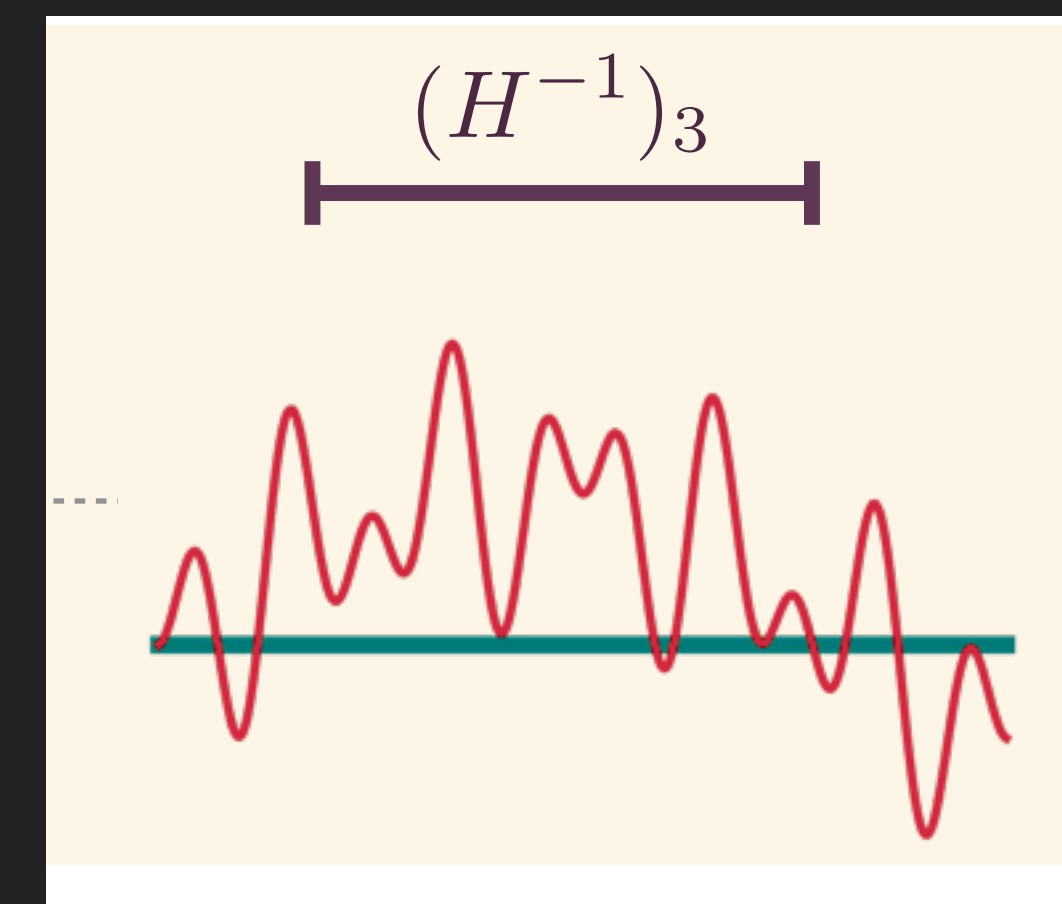
- ▶ At time  $t_k$  smooth over scale  $\lambda_k \sim 1/k$ :  $\zeta_{\lambda_k}(\mathbf{x}, t_k)$ . In Fourier space this reads

$$\zeta_{\lambda_k}(\mathbf{x}, t_k) \sim \int_{q \lesssim k} d^3 q \zeta(\mathbf{q}, t_k) e^{i\mathbf{q} \cdot \mathbf{x}} \text{ (contribution of peak scales is included *explicitly*)}$$

- ▶ Apply  $\delta N$  formalism to evolve  $\zeta_{\lambda_k}(\mathbf{x}, t_k) \rightarrow \zeta_{\lambda_k}(\mathbf{x}, t)$

- ▶ From  $\zeta_{\lambda_k}(\mathbf{x}, t)$  extract the long wavelength mode  $\zeta_p(\mathbf{x}, t)$

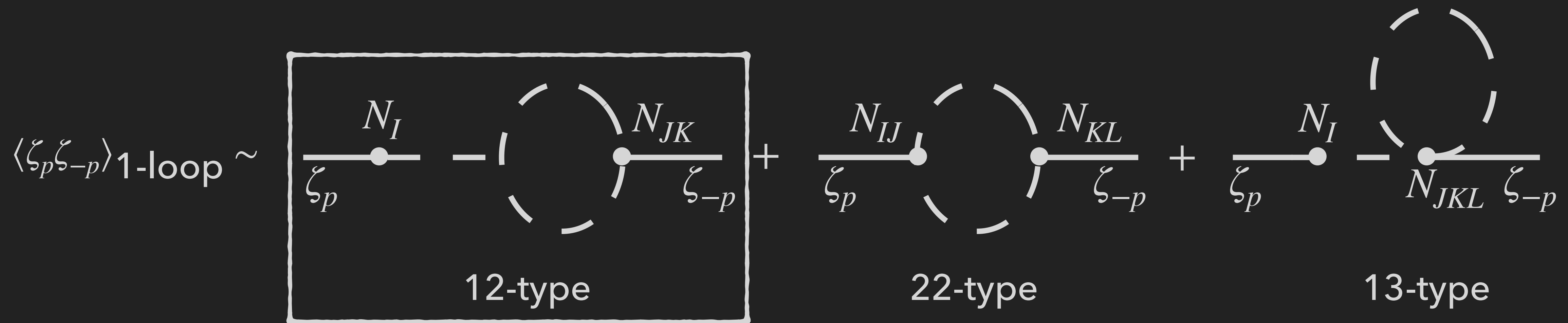
$$\zeta(t)_p = N_I^{(t_k, t)} \delta X_p^I(t_k) + 1/2 N_{IJ}^{(t_k, t)} \int_{q \lesssim k} d^3 q \delta X_q^I(t_k) \delta X_{p-q}^J(t_k) + \dots$$



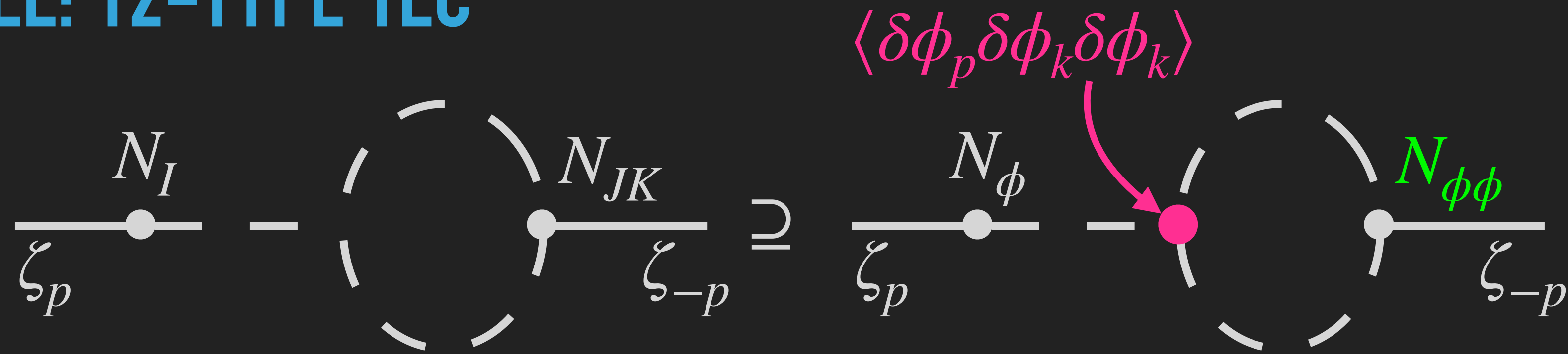
# 1LC WITH SEPARATE UNIVERSE APPROACH

USR model: potentially both  $\delta\phi$  and  $\delta\phi'$  contribute,  $\delta\phi \rightarrow \delta X = \{\delta\phi, \delta\phi'\}$

$$\zeta(t)_p = \underbrace{N_I^{(t_k, t)} \delta X_p^I(t_k)}_{\text{linear}} + \underbrace{1/2 N_{IJ}^{(t_k, t)} \int_{q \lesssim k} d^3 q \delta X_q^I(t_k) \delta X_{p-q}^J(t_k)}_{\text{quadratic over peak scales}} + \dots$$



## EXAMPLE: 12-TYPE 1LC



Ingredients:

- ▶  $N_{\phi\phi}^{(t_k, t)} \neq 0$ : **non-linearity** in the mapping between  $\zeta_p(t)$  and  $\delta\phi_k(t_k)$
- ▶  $\lim_{p \ll k} \langle \delta\phi_p \delta\phi_k \delta\phi_k \rangle^{(t_k)} \sim P^{\phi\phi}_{,I}(k; t_k)$  {Kenton&Mulryne}: **reaction, long-short mode coupling**

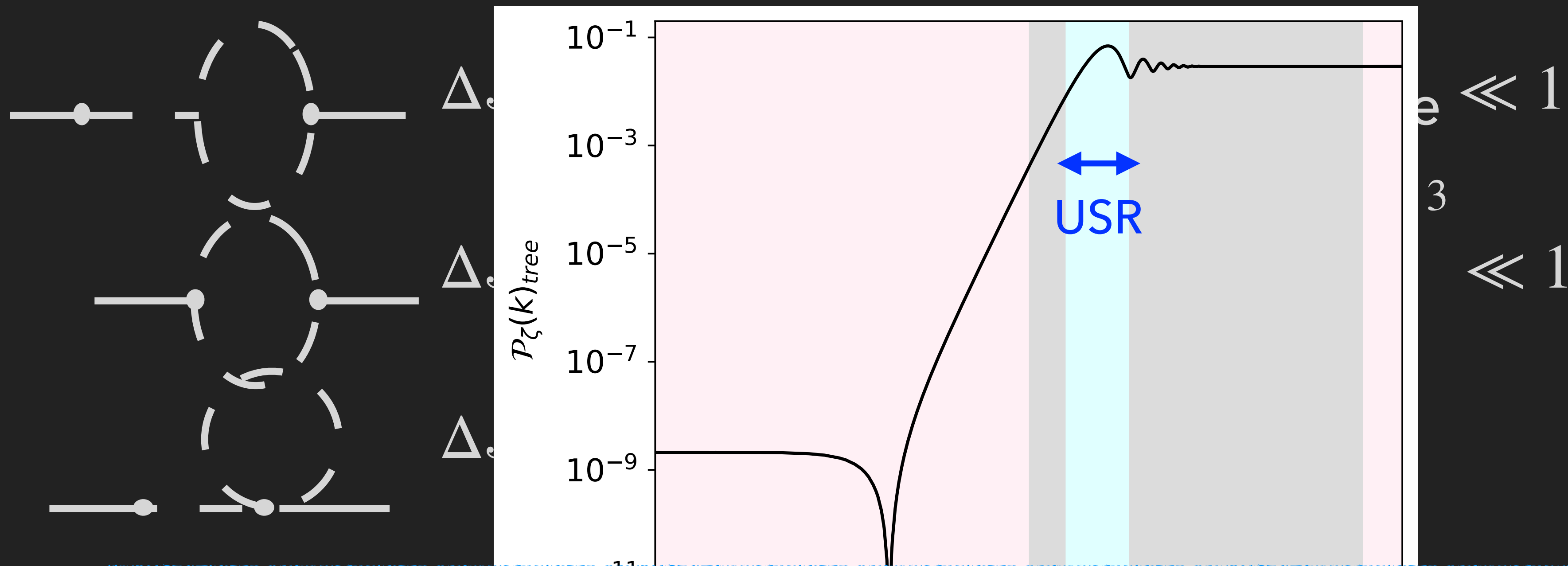
$$\bar{\sigma}_L^2 = \langle \bar{\zeta}_L^2 \rangle \supseteq \frac{1}{N} \sigma_\ell^2 + \frac{1}{N^2} \sum_i \sum_{i \neq j} \langle S_i S_j \rangle + \langle \zeta_L S_\ell \rangle$$

$$\Delta \mathcal{P}_\zeta(p; t)_{12} = \frac{2}{5} \left( \frac{\eta}{6} \right)^2 \epsilon(t_s) f_{NL,eq}^{(N\phi\phi)}(\bar{k}; t) \mathcal{P}_\zeta(\bar{k})_{\text{tree}}$$

{2312.12424 - LI, Mulryne, Seery}

# 1LC FOR SMOOTH AND INSTANTANEOUS TRANSITIONS

- ▶ USR evolution
- ▶ Effect of peak scales only (not rise and fall of a realistic spectrum)



$$\Delta \mathcal{P}_\zeta(p; t)_{1loop} = \Delta \mathcal{P}_\zeta(p; t)_{12} + \Delta \mathcal{P}_\zeta(p; t)_{22} + \Delta \mathcal{P}_\zeta(p; t)_{13} \ll 1$$

## 1LC IN THE SEPARATE UNIVERSE PICTURE

- ▶ General framework to calculate back-reaction at 1-loop from enhanced small-scale modes on large scales using separate universe picture
- ▶ Classical back-reaction model: explicit understanding of the physical origin of the 1LC
- ▶ Specialise our general framework to one example: transient USR phase
- ▶ 12-type and 13-type are *not* volume suppressed: requires non-linearity on small scales + long-short mode coupling
- ▶ In absence of long-short mode couplings: 22-type, volume suppressed
- ▶ Our results do not depend on the quality of the the USR→SR transition
- ▶ Separate universe picture vs in-in formalism: physics is more transparent

## THE END?

- ▶ Smoothing scale ( $\Lambda^{-1} \sim \lambda_{\text{peak}}$  scales in our set up) works as EFT cut-off: effect of UV modes  $k \gg \Lambda \sim k_{\text{peak}}$  is included in counterterms
- ▶ Counterterms that renormalise  $\zeta^2$  operator produce same momentum dependence as 12- and 13-type loops
- ▶ 1LC is degenerate with counterterms which include the effect of UV modes
- ▶ Up to now *not* found genuine (not degenerate) loop correction  $\mathcal{P}_\zeta(p)_{1\text{loop}} \sim \log p$
- ▶ *How does this calculation depends on assumption of plateau of peak scales?*
- ▶ *Renormalisation, ...*