# Ambiguity Resolution with Machine Learning and ACTS

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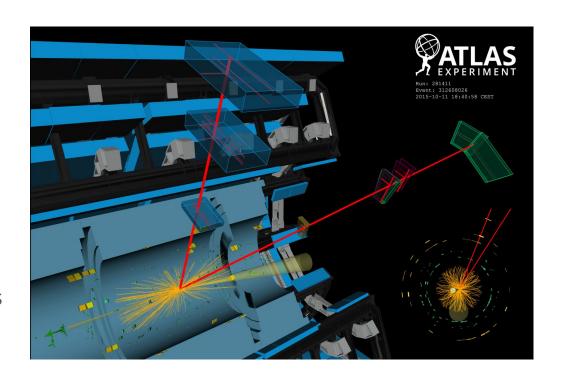


IRIS-HEP Topical Meeting Sep 2, 2020



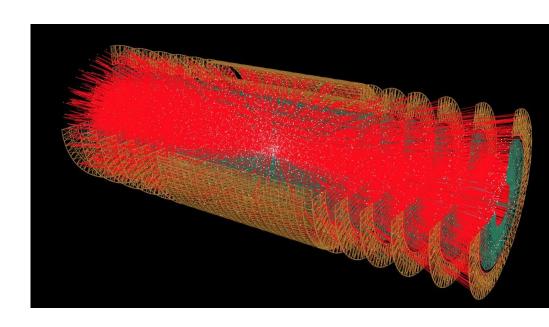
#### **Outline**

- Introduction / motivation
- Training the neural network
  - Training set and features
  - Network architecture
  - Validating network learning
- Implementation in ACTS
  - MLTrackClassifier class
  - Various options
- Discussion / future directions



#### Introduction

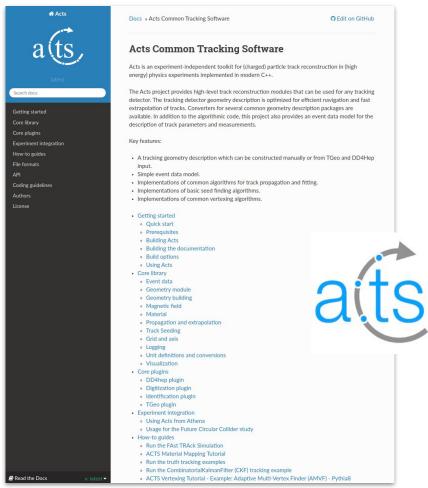
- LHC Run 1: ~280 tracks/event
- HL-LHC expect to see ~10k
   tracks/event
- This will stress computational resources
- Need fast, accurate, and efficient track reconstruction algorithms



TrackML Accuracy Phase Paper

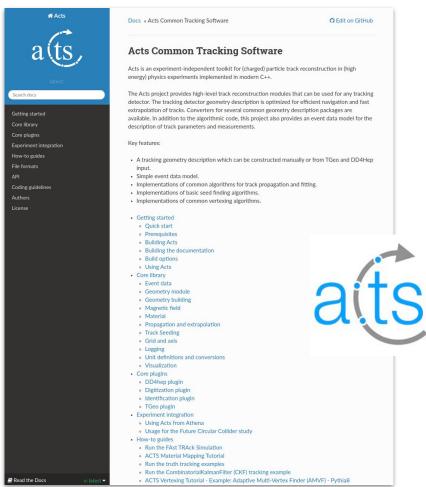
#### **ACTS**

- Acts Common Tracking Software / A Common Tracking Software
- Experiment-independent toolkit for track reconstruction (for future detectors)
- Open-source platform for implementing new tracking techniques and hardware architectures



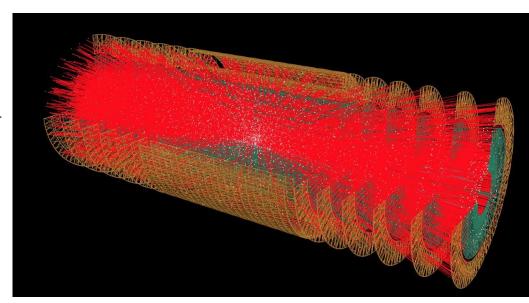
#### **ACTS**

- Modern C++ 17
- Efficient memory allocation & access
- Strict thread-safety
- Rigorous unit tests
- Highly configurable
- Well-documented



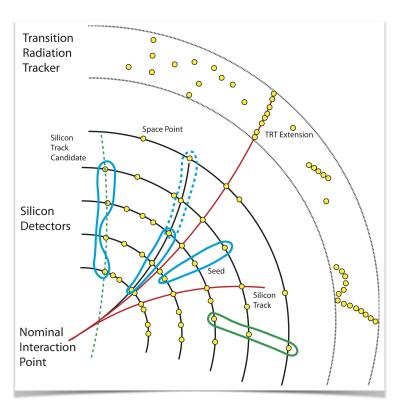
#### **Ambiguity resolution**

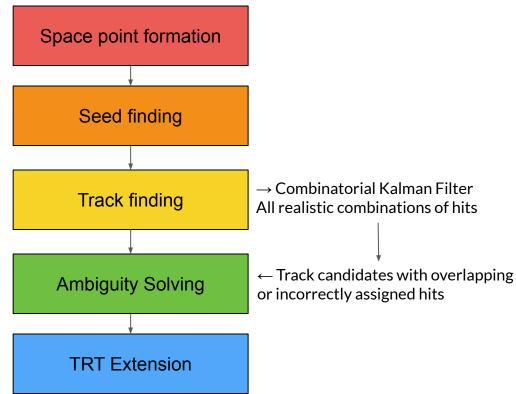
- LHC Run 1: ~280 tracks/event
- HL-LHC expect to see ~10k
   tracks/event
- Tracking performance is not 100% → not all reconstructed tracks are real tracks
- Need to ambiguity resolve to distinguish "good" tracks from "duplicate" and "fake" tracks



TrackML Accuracy Phase Paper

#### Track reconstruction in ATLAS





#### **Motivation: Machine Learning**

- For ATLAS, use simple scoring function based on track quality for ambiguity resolution
- In ACTS, currently have performance validation using truth-based information (i.e. truth-match probability for fakes, particle barcode for duplicates)

```
// Check if the trajectory is matched with truth.
   // If not, it will be classified as 'fake'
    bool isFake = false;
   if (nMajorityHits * 1. / trajState.nMeasurements >=
        m cfg.truthMatchProbMin) {
      matched[majorityParticleId].push back(
          {nMajorityHits, fittedParameters});
    } else {
      isFake = true;
      unmatched[majorityParticleId]++;
10
11 }
12 // ...
   // Sort the reco tracks matched to this particle by the number of majority
   // hits
   std::sort(matchedTracks.begin(), matchedTracks.end(),
              [](const RecoTrackInfo& lhs, const RecoTrackInfo& rhs) {
16
17
                return lhs.first > rhs.first:
18
              }):
   for (size t itrack = 0: itrack < matchedTracks.size(): itrack++) {</pre>
      const auto& [nMajorityHits, fittedParameters] = matchedTracks.at(itrack);
     // The tracks with maximum number of majority hits is taken as the 'real'
     // track; others are as 'duplicated'
      bool isDuplicated = (itrack != 0);
24 }
```

# **Motivation: Machine Learning**

- For ATLAS, use simple scoring function based on track quality for ambiguity resolution
- In ACTS, currently have performance validation using truth-based information (i.e. truth-match probability for fakes, particle barcode for duplicates)
- A possibility for ambiguity resolution is to use ML (e.g. neural network) to predict whether a given reconstructed track is good/duplicate/fake based on certain track features
  - Caveat: track information currently available is rather simple

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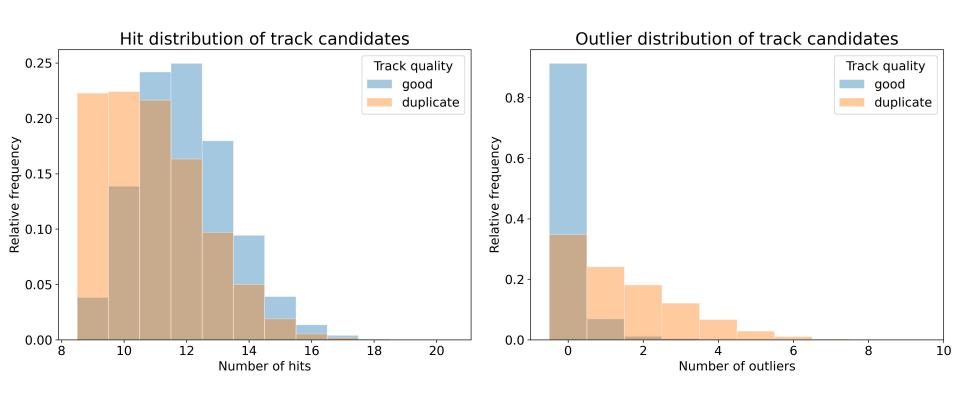
#### Generating the training data set

- Use ACTS FAst TRAck Simulation (FATRAS)
   to generate simulated particles/hits
  - $\circ$  50 ttbar events at  $\mu$  = 200
  - TrackML detector
  - 2T constant magnetic field (along z)
- Use Combinatorial Kalman Filter track finding and fitting: ~115k reconstructed tracks
  - ~85.96% good (truth-match prob >= 50%)
  - ~14.04% duplicate (same majority truth particle as good track, but less majority particle hits)
  - <0.001% fake (truth-match prob < 50%)</li>
  - binary classifier (good/duplicate)

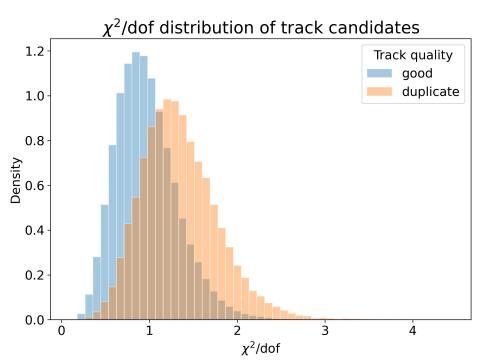
- Readily available features used for training
  - Number of hits
  - Number of outliers
  - Number of holes (didn't end up using, more details in a bit)
  - $\circ$   $\chi^2/dof$
- With a more realistic detector description, can be extended to use additional information
  - e.g. ITk geometry was first integrated in ACTS just last week

# **Training features**

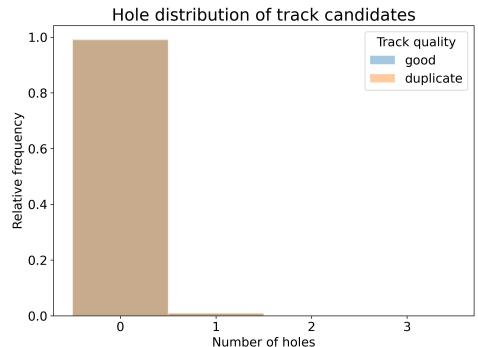
Separation, but also overlap!



#### **Training features**

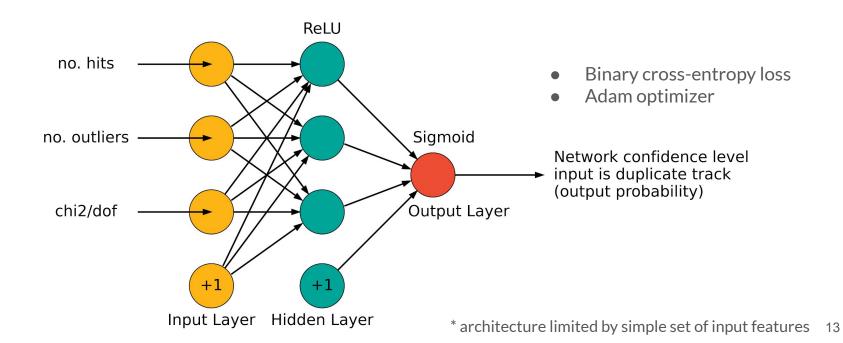


No inefficiencies in the modules  $\rightarrow$  holes info not informative, don't use



#### Constructing the NN

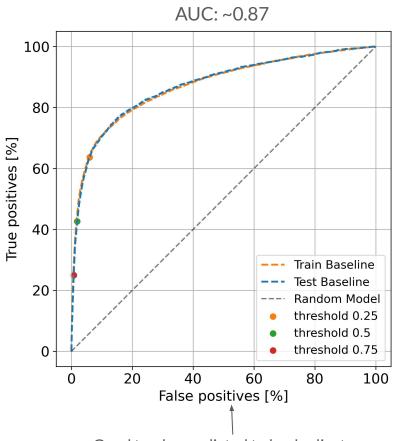
TensorFlow → "Deep" Neural Network\*



# Training the NN

- 75 % train / 25% test split
- Train NN → maximize Area Under the Curve of the Receiver Operating Characteristic on test set
- Use trained model to make predictions
- Output probability > prediction threshold
   (=50%) → predicted duplicate track

Duplicate tracks predicted to be duplicate

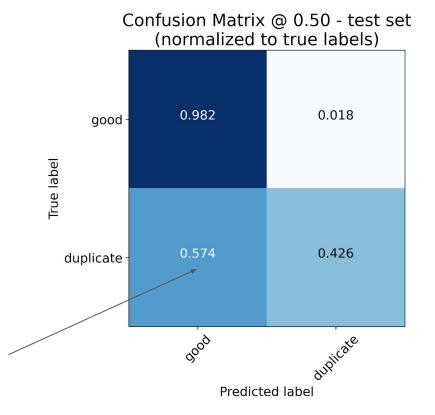


Good tracks predicted to be duplicate

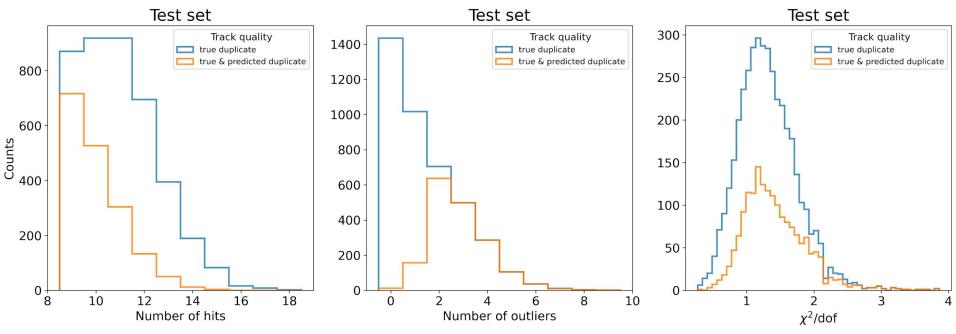
# Training the NN

- 75 % train / 25% test split
- Train NN → maximize Area Under the Curve of the Receiver Operating Characteristic on test set
- Use trained model to make predictions
- Output probability > prediction threshold
   (=50%) → predicted duplicate track
- NN is doing great on good tracks, not so great on duplicate tracks
  - Good enough considering limitations of simple input features

Need extra info such as e.g. shared hits to resolve the duplicates!



# **Checking NN learning**



- NN has learned that duplicate tracks have fewer hits, lots of outliers, and large  $\chi^2$ /dof (easy case)
- Need extra info to resolve the duplicates that "look" like good tracks!
- Currently working on track-by-track basis, but eventually would like to find an architecture that can consider multiple tracks at a time!

#### Implementing neural network into ACTS

#### MLTrackClassifier class (v1)

- m\_weightsPerLayer
  - Vector that stores trained weights matrices for each layer
  - o weights → dynamic-size Eigen::Matrix
- predictTrackLabel function
  - o For each layer:
    - Take input
    - Add bias term
    - Apply the weights
    - Apply activation function
  - Predict good or duplicate based on decision threshold probability
- Can use for duplication rate plots

```
FW::MLTrackClassifier::TrackLabels FW::MLTrackClassifier::predictTrackLabel(
        const Acts::MultiTrajectory<SimSourceLink>& multiTraj,
        const size t& entryIndex, const double& decisionThreshProb) const {
      // ...
      // get the trajectory summary info
      auto traiState =
          Acts::MultiTrajectoryHelpers::trajectoryState(multiTraj, entryIndex);
      // the vector of input features
      Acts::ActsVectorXd inputFeatures(3);
10
      inputFeatures[0] = trajState.nMeasurements;
11
      inputFeatures[1] = trajState.nOutliers;
12
      inputFeatures[2] = trajState.chi2Sum * 1.0 / trajState.NDF;
13
      // linear algebra for layer 1 (hidden layer)
14
      Acts::ActsVectorXd wInputLayer1 =
15
          weightedInput(m weightsPerLayer[0], inputFeatures);
16
      Acts::ActsVectorXd outputLayer1 = reluActivation(wInputLayer1);
      // linear algebra for layer 2 (output layer)
17
18
      Acts::ActsVectorXd wInputLayer2 =
          weightedInput(m weightsPerLayer[1], outputLayer1);
19
20
      Acts::ActsVectorXd outputLayer2 = sigmoidActivation(wInputLayer2);
21
      // output layer prediction
22
      if (outputLayer2[0] > decisionThreshProb) {
23
        return TrackLabels::duplicate;
24
25
      return TrackLabels::good;
26 }
```

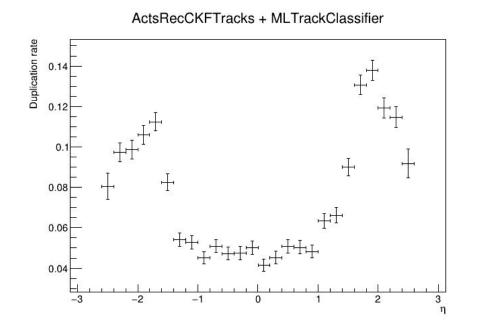
# Validating implementation

Duplication rate vs eta using predictions of NN directly in TensorFlow

Neural network in TensorFlow + python 0.14 0.12 Duplication rate 0.0 01.0 00.0 0.06 0.04 -2 -1

Duplication rate vs eta using predictions of **MLTrackClassifier** instance





# Thinking ahead

- Currently, MLTrackClassifier is customized to:
  - One architecture for neural network
  - Trained on data from one detector + magnetic field configuration
  - Some other aspects are hard-coded
- Would like the implementation to be more general
  - Multiple detector + magnetic field configurations
  - Use ML inference framework for other applications (e.g. seed finding)

# Thinking ahead

- Currently, MLTrackClassifier is customized to:
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- Would like the implementation to be more general
  - Multiple detector + magnetic field configurations
  - Use ML inference framework for other applications (e.g. seed finding)
- Open Neural Network Exchange (ONNX) format and runtime
  - This has already been integrated into Athena for more general ML tasks in ATLAS
  - Exploring implementation as plugin for ACTS





#### **ONNX** integration

- ONNX format
- Open-source format for DNN/ML models
- Supports many ML frameworks
  - Keras/TensorFlow
  - PyTorch
  - Scikit-learn
  - Matlab
  - LibSVM
  - MyCaffe
  - XGBoost
  - Etc.
- Save model architecture, trained weights, compiler info

- ONNX runtime
- Cross-platform inferencing accelerator (training feature in preview)
- Has APIs for
  - Python
  - o C#
  - o C/C++
  - Java
  - Node.js
- Abstracts away the complex linear algebra behind the ML model prediction function

#### **ONNX** integration

#### MLTrackClassifier class (v2 - WIP)

- "Wrapper" around ONNX runtime
- predictTrackLabel function
  - Predict good or duplicate based on decision threshold probability
- NN prediction function
  - o Ort::Session
    - Loads model
  - o Inference in the usual way
    output = model.predict(input)

```
FW::MLTrackClassifier::TrackLabel FW::MLTrackClassifier::predictTrackLabel(
        std::vector<float>& input tensor values,
        const double& decisionThreshProb) const {
      // run onnx inference
      float outputProbability = runONNXInference(input tensor values);
      if (outputProbability > decisionThreshProb) {
        return TrackLabel::duplicate;
      return TrackLabel::good;
10
                                               Stores representation of model
11
    // onnxruntime inference function
   float FW::MLTrackClassifier::runONNXInference(
        std::vector<float>& input tensor values) const {
15
     // ...
     // score model & input tensor, get output tensor
      std::vector<Ort::Value> output tensors = m session->Run(
          Ort::RunOptions{nullptr},
19
          m inputNodeNames.data(), &input tensor, m inputNodeNames.size(),
20
          m outputNodeNames.data(), m outputNodeNames.size());
21
     // get pointer to output tensor float values
22
      float* floatarr = output tensors.front().GetTensorMutableData<float>();
     // binary decision classification, only need first value
      return floatarr[0];
26 }
```

#### **Future work**

- ONNX format+runtime provide a general ML inference framework that can be integrated within ACTS
- But we have future plans to:
  - Improve network performance on duplicate tracks
    - Class imbalance problem
    - Tweaking network architecture
    - Access to additional features such as shared hits information is needed
  - Train network on more realistic detector description (i.e. decent fraction of fake tracks)

# Thank you for your attention!