

# Pre-clustering and light nuclei production close to the QCD critical point



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in collaboration with  
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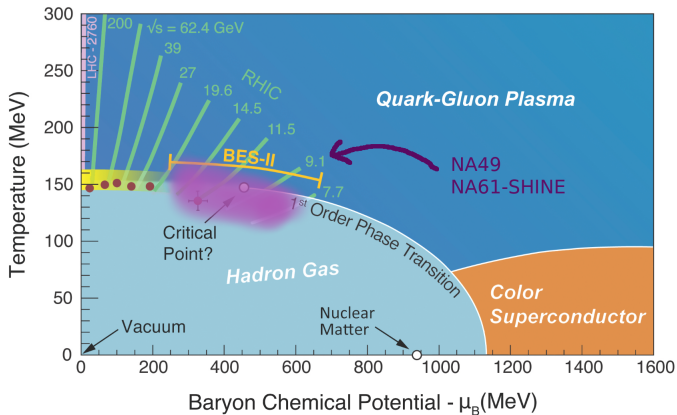
NA61 - SHINE Open Seminar  
Aug. 13, 2020



- **Motivation:** QCD phase diagram and critical point
- Critical mode and  $NN$  interaction
- Nuclear correlations close to the critical region
- Pre-clusters and light nuclei
- Quantum effects at finite temperature: flucton method
- Helium-4: excited states and light-nuclei yield ratios
- **Summary**

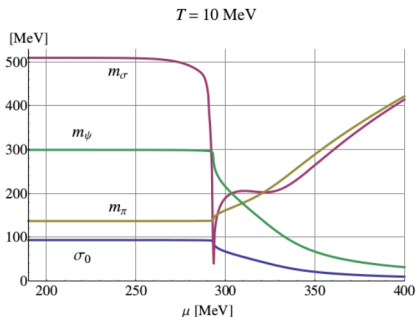
Results based on: 2005.14216 [nucl-th], PRC 100 (2019) 024903, and PRC 101 (2020) 034914

# QCD phase diagram and critical point



Adapted from S. Mukherjee (Brookhaven National Lab)

$\sigma$  mass decreases close to the phase transition/critical point  
(correlation length  $\xi$  increases)

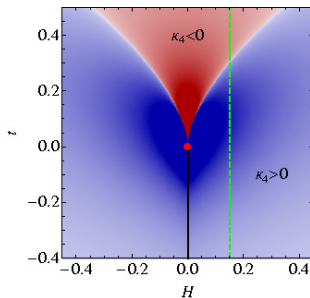
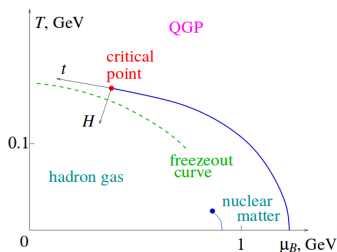


R.-A. Tripolt, Ph.D. Thesis, 2015  
(quark-meson model with FRG approach)

$$m_\sigma \sim \frac{1}{\xi} \sim \left( \frac{|T - T_c|}{T_c} \right)^\nu \quad (\text{with } \xi \text{ limited by finite lifetime effects})$$

# Moments of the $\sigma$ probability distribution

M. Stephanov, 2008 and 2011

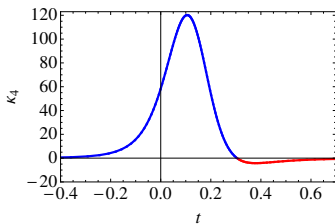


$$P[\sigma] \sim \exp(-\Omega/T)$$
$$\Omega = \int d^3x \left[ \frac{(\nabla\sigma)^2}{2} + \frac{m_\sigma^2}{2}\sigma^2 + \frac{\lambda_3}{3}\sigma^3 + \frac{\lambda_4}{4}\sigma^4 \right]$$

$$\kappa_2 = \langle \sigma_0^2 \rangle$$

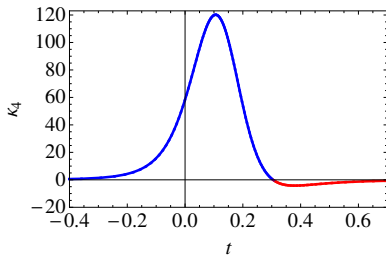
$$\kappa_4 = \langle \sigma_0^4 \rangle - 3\langle \sigma_0^2 \rangle^2$$

$$\text{Kurtosis} = \kappa_4 / \kappa_2^2$$



M. Stephanov, 2011

$$\mathcal{L}_{\text{eff}} = g\sigma p\bar{p}$$

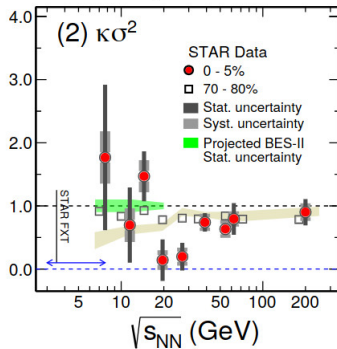


$$C_2 = \langle \delta N_{p-\bar{p}}^2 \rangle$$

$$C_4 = \langle \delta N_{p-\bar{p}}^4 \rangle - 3\langle \delta N_{p-\bar{p}}^2 \rangle^2$$

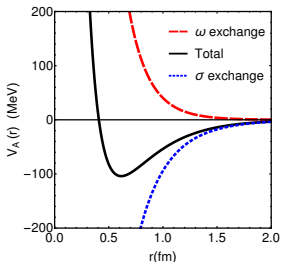
$$\kappa_4 \sigma^2 = C_4 / C_2$$

Au+Au Collisions  
 $0.4 < p_T < 2.0$  (GeV/c),  $|y| < 0.5$



STAR Collaboration,  
 2001.02852v2

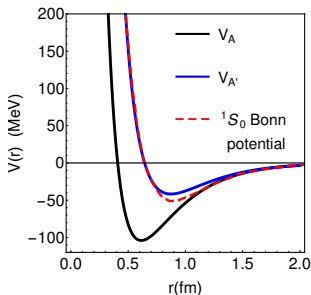
Simple-as-possible (but not simpler) model for NN interaction due to **Serot-Walecka (1984)**



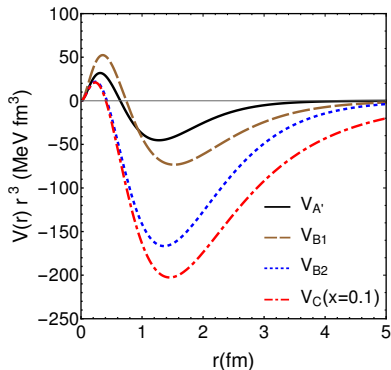
- Large **cancellation** between attraction and repulsion to produce bound nuclear matter
- Any small imbalance would strongly modify the potential!

$$V_A(r) = -\frac{\alpha_\sigma}{r} e^{-m_\sigma r} + \frac{\alpha_\omega}{r} e^{-m_\omega r}$$

$V_{A'}(r)$  has extra repulsion to match Bonn potential (Machleidt, 2000)



- Close to  $T_c$  a very light  $\sigma$  enhances the attraction
- **NN potential should be affected by the presence of the QCD critical point!**
- We consider more and more attractive potentials:



- $V_A$ : Serot-Walecka with MF parameters
- $V_{A'}$ : extra repulsion  
 $\alpha_\omega \rightarrow 1.4\alpha_\omega$
- $V_{B1}$ :  $V_{A'}$  with  $m_\sigma^2 \rightarrow m_\sigma^2/2$ ,  
 $\alpha_\sigma \rightarrow \alpha_\sigma/2$
- $V_{B2}$ :  $V_{A'}$  with  $m_\sigma^2 \rightarrow m_\sigma^2/2$
- $V_C$ : very light critical mode  
 $V_C(x) = (1-x)V_{B2} + xV_{A'} (m_\sigma^2 \rightarrow m_\sigma^2/6)$



$NN$  potential in a classical nonrelativistic Molecular Dynamics scheme

$$\begin{cases} \frac{d\vec{x}_i}{dt} = \frac{\vec{p}_i}{m_N} \\ \frac{d\vec{p}_i}{dt} = -\sum_{j \neq i} \frac{\partial V(|\vec{x}_i - \vec{x}_j|)}{\partial \vec{x}_i} - \lambda \vec{p}_i + \vec{\xi}_i \end{cases}$$

with Langevin dynamics,

$$\begin{aligned} \langle \vec{\xi}_i(t) \rangle &= 0 \\ \langle \xi_i^a(t) \xi_j^b(t') \rangle &= 2T\lambda m_N \delta^{ab} \delta_{ij} \delta(t - t') \end{aligned}$$

where  $a, b = 1, 2, 3$  and

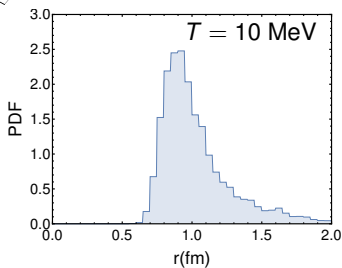
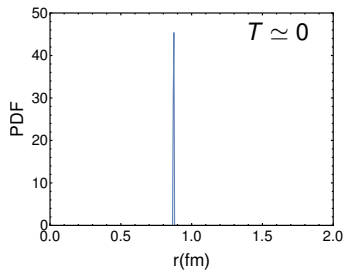
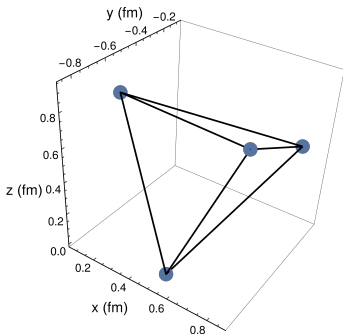
$$\lambda = T/(m_N D_B)$$

with  $D_B$ : baryon diffusion coefficient

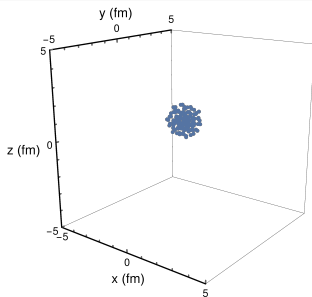
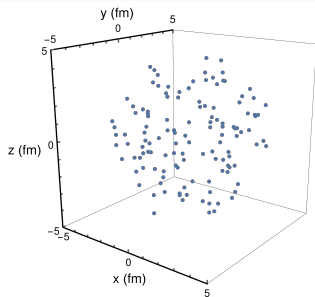
- Quantum effects neglected at high temperature  $T$  (see later)

# Small clusters, $N = 4$

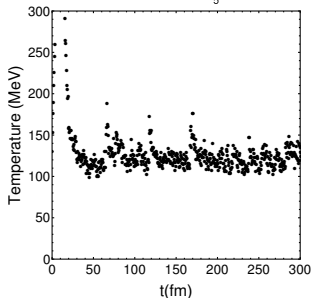
$V_{A'}$  potential  
(no modifications yet)



# Big clusters, $N = 128$



$T = 120$  MeV



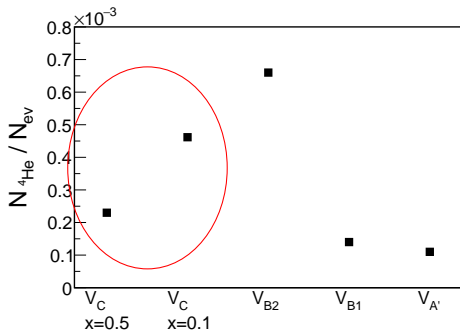
At large  $N$  the potential energy always wins over entropy: **clustering effect**.

This is an illustrative example:  
**unreachable time scales** for HICs!

## Pre-cluster formation

- The system only spend a few fm/c close to  $T_c$ , clustering unrealistic
- If  $NN$  attraction increases at  $T_c$ , pre-clusters can be formed
- Pre-clusters (statistical correlations) lead to light nuclei or excitations
- Example of pre-clusters of 4 nucleons ↓

Nucleons belong to bigger clusters for these potentials



Close to  $T_c$ , we expect an **excess of light nuclei** over thermal expectations

$$\frac{N_t N_p}{N_d^2} = g \quad (g = 0.29)$$

- We assume that the **statistical thermal model** should give a good description

$$N = Vol \frac{(2S + 1)}{2\pi^2} m^2 T K_2(m/T) \exp\left(\frac{B\mu_B + q\mu_q}{T}\right)$$

- Ratio considered before by Sun, Chen, Ko, Xu (2017) with a similar motivation (critical point) but a different perspective (coalescence)

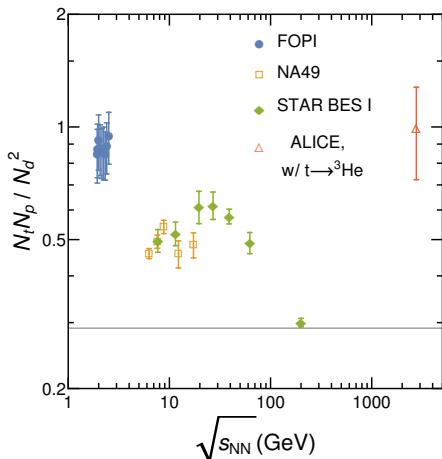
$$\frac{N_t N_p}{N_d^2} \simeq g \frac{\langle \exp \left( -\frac{3V_{NN}(r)}{T} \right) \rangle}{\langle \exp \left( -\frac{V_{NN}(r)}{T} \right) \rangle^2} \sim g \left\langle e^{-\frac{V_{NN}(r)}{T}} \right\rangle$$

### Motivation

$V_{NN}(r)/T$  is non negligible close to  $T_c$ .

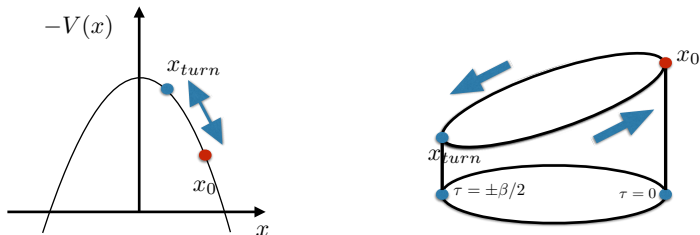
This predicts a nonmonotonous behaviour for the light nuclei yield ratio.

Note: The measured multiplicities also populated by feed down additions (especially proton). Important for statistical thermal fits.



- FOPI, total yields,  $4\pi$  reconstruction, Au+Au collisions, most central [NPA848, (2010) 366-427]
- STAR BES-I, preliminary 0%-10% Au+Au, ratios based on extrapolated yields at midrapidity (arXiv:1909.07028)
- Sun, Chen, Ko, Xu 2017, based on NA49 exp. data.  $dN/dy$  at midrapidity, Pb+Pb central (typically 0-7%)
- ALICE, Pb+Pb @  $\sqrt{s_{NN}} = 2.76$  TeV (data from several sources).  $dN/dy$  at midrapidity ( ${}^3\text{He}$  used instead triton)

The flucton is a semiclassical solution of the EoMs in Euclidean time with period  $\beta = 1/T$  (Shuryak, 1988). Conceptually similar to the instanton.



Unlike the instanton it is periodic  $x(\beta) = x(0) = x_0$ , and it does not require a double well. We applied to 2,3,4-body systems at finite temperature

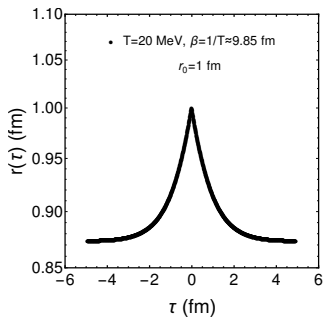
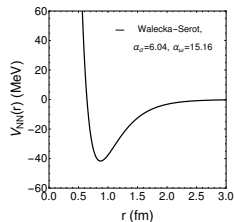
$$P(x_0) = \langle x_0 | e^{-\hat{H}\beta} | x_0 \rangle = \int_{x(0)=x_0}^{x(\beta)=x_0} \mathcal{D}x(\tau) e^{-S_E[x(\tau)]}$$



# Flucton solution for 2 particles

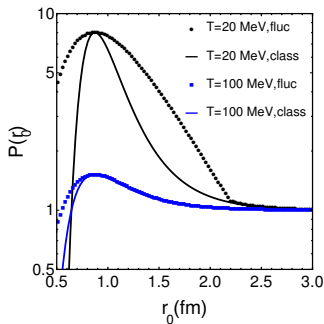
## Euclidean action

$$S_E = \int d\tau \left[ \frac{m_N}{2} (\dot{\mathbf{x}}_1^2 + \dot{\mathbf{x}}_2^2) + V_{NN}(|\mathbf{x}_1 - \mathbf{x}_2|) \right]$$
$$= \int d\tau \left( \frac{m_N}{4} \dot{r}^2 + V_{NN}(r) \right) + C.M.$$



Flucton for Walecka potential

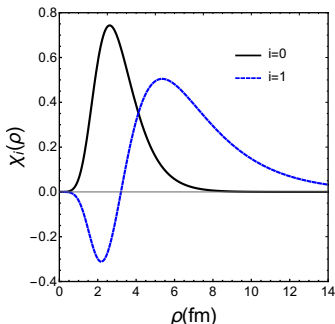
$$P(r_0) = e^{-S_E[r_{fluc}(\tau, r_0)]} ; P_{class}(r_0) = e^{-V(r_0)/T}$$



## K-harmonics: eigenstate

One can try to solve the Schrödinger equation for  ${}^4\text{He}$ .

Dimensionality reduction  $\rightarrow$  K-harmonics (Badalyan, Simonov, 1966)



$$\frac{d^2\chi}{d\rho^2} - \frac{12}{\rho^2}\chi - \frac{2m_N}{\hbar^2}[W(\rho) + V_C(\rho) - E]\chi = 0$$

radial wave function:  $\chi(\rho) = \psi(\rho)\rho^4$

hyperdistance:  $\rho^2 = \frac{1}{4} \left[ \sum_{i \neq j} (\mathbf{x}_i - \mathbf{x}_j)^2 \right]$

$W(\rho)$  contains NN interaction

$V_C(\rho)$  describes Coulomb repulsion

We reproduced the result for the ground state (Castilho Alcaras, Pimentel Escobar, 1974) and found an excited  $0^+$  state with  $E_B = -5$  MeV.

$E$ (MeV)	$J^P$	$\Gamma$ (MeV)	decay modes, in %
20.21	$0^+$	0.50	$p = 100$

$^4\text{He}$  has many excited states ([www.nndc.bnl.gov/nudat2/](http://www.nndc.bnl.gov/nudat2/))

$E$ (MeV)	$J^P$	$\Gamma$ (MeV)	decay modes, in %
20.21	$0^+$	0.50	$p = 100$
21.01	$0^-$	0.84	$n = 24, p = 76$
21.84	$2^-$	2.01	$n = 37, p = 63$
23.33	$2^-$	5.01	$n = 47, p = 53$
23.64	$1^-$	6.20	$n = 45, p = 55$
24.25	$1^-$	6.10	$n = 47, p = 50, d = 3$
25.28	$0^-$	7.97	$n = 48, p = 52$
25.95	$1^-$	12.66	$n = 48, p = 52$
27.42	$2^+$	8.69	$n = 3, p = 3, d = 94$
28.31	$1^+$	9.89	$n = 47, p = 48, d = 5$
28.37	$1^-$	3.92	$n = 2, p = 2, d = 96$
28.39	$2^-$	8.75	$n = 0.2, p = 0.2, d = 99.6$
28.64	$0^-$	4.89	$d = 100$
28.67	$2^+$	3.78	$d = 100$
29.89	$2^+$	9.72	$n = 0.4, p = 0.4, d = 99.2$

- **Statistical thermal model**  $\rightarrow$  all these states should be equally populated.
- They necessarily account for feed-down in  $t, d, p$  yields.
- Proposed nuclear ratios should include this feed-down in addition to the potential  $V_{NN}$  modifications.

Implemented in Vovchenko et al. arXiv:2004.04411 [nucl-th] using Thermal-FIST together with other light nuclei excitations: *10-40 % effect at RHIC/SPS and  $\sim 60$  % effect GSI/FAIR for final  $t, ^3\text{He}, ^4\text{He}$ .*

New ratios involving  ${}^4\text{He}$  ( $=\alpha$ ) containing higher global powers of  $e^{|V(r)/T|}$

$$\mathcal{O}_2 \equiv \frac{N_\alpha N_p}{N_{3\text{He}} N_d} \simeq 0.18 \frac{\langle e^{-6V(r)/T} \rangle}{\langle e^{-3V(r)/T} \rangle \langle e^{-V(r)/T} \rangle}$$

$$\mathcal{O}_3 \equiv \frac{N_\alpha N_t N_p^2}{N_{3\text{He}} N_d^3} \simeq 0.05 \frac{\langle e^{-6V(r)/T} \rangle}{\langle e^{-V(r)/T} \rangle^3}$$

(in practice, one could also cancel triton and  ${}^3\text{He}$  yields in  $\mathcal{O}_3$ )

New ratios involving  ${}^4\text{He}$  ( $=\alpha$ ) containing higher global powers of  $e^{|V(r)/T|}$

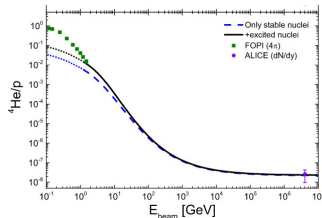
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(in practice, one could also cancel triton and  ${}^3\text{He}$  yields in  $\mathcal{O}_3$ )

${}^4\text{He}$  production is not that small at low energies! (Vovchenko et al. arXiv:2004.04411 [nucl-th])

Existent data at very low (FOPI) and very high energies (ALICE)



# Flucton solution to estimate ratios at intermediate energies

- 1 Assumption: Peak structure due to modification of  $V_{NN}(r)$
- 2 Flucton solution for Walecka-Serot potential: only function of  $m_\sigma$  (and  $T$ )
- 3 Probability and average of a coordinate-dependent observable

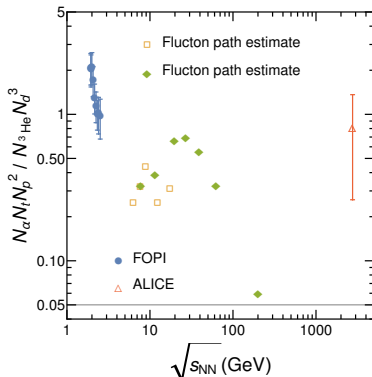
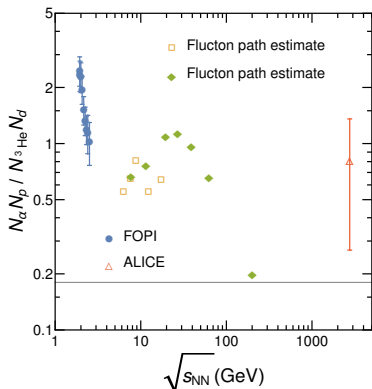
$$P(r) = \exp[-S_E(r)]$$

$$\langle A \rangle \equiv \frac{4\pi \int dr r^2 A(r) [P(r) - 1]}{4\pi \int dr r^2 [P(r) - 1]}$$

- 4 Experimental data for  $N_t N_p / N_d^2$  used to calibrate modification of  $V_{NN}(r)$  (temperature given by chemical freeze-out parametrization in Andronic et al. Nature 561, no. 7723, (2018) 321)
- 5 We apply the modified potential to the new ratios  $\mathcal{O}_2, \mathcal{O}_3$ .

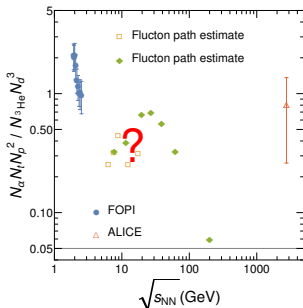
$$N_t N_p / N_d^2 \rightarrow \langle e^{-3V_{NN}(r)/T} \rangle / \langle e^{-V_{NN}(r)/T} \rangle^2 \xrightarrow{\text{flucton}} V_{NN}(r) \xrightarrow{\text{flucton}} \mathcal{O}_2, \mathcal{O}_3$$

# New light-nuclei yield ratios (2005.14216 [nucl-th])



- New ratios enhance the possible effect a factor 2 and 5 wrt  $N_t N_p / N_d^2$
- Good observables for the possible extra production at the critical point
- *W*-shape as function of  $\sqrt{s_{NN}}$ ?

- Significant attractive and long-ranged  $NN$  potential near  $T_c$
- Increased correlations among nucleons  
Affect proton distribution probability and its high-order cumulants
- Formation of pre-clusters (statistical correlations of nucleons)  
Generation and later decay of  $^4\text{He}$  (and others) excited states
- Possible enhanced production of light nuclei at “critical  $\sqrt{s_{NN}}$ ”  
New light nuclei yield ratios using  $^4\text{He}$  to observe the effect





# Pre-clustering and light nuclei production close to the QCD critical point



Juan M. Torres-Rincon  
(Goethe University Frankfurt)

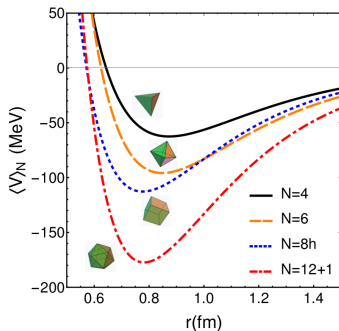


in collaboration with  
E. Shuryak (Stony Brook Uni.)

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Few-body systems usually follow geometry arguments.



$V_{A'}$  potential

## Curious fact

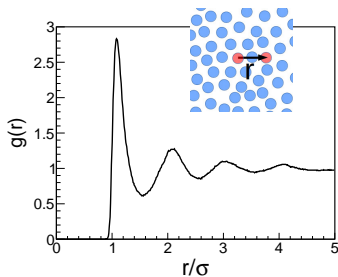
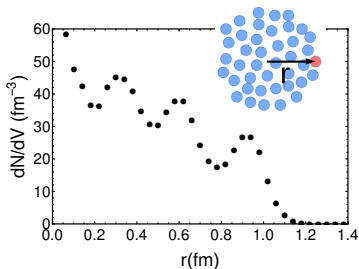
For  $N = 8$  the cube is **not** the equilibrium configuration.

In a good approximation it is a **square antiprism**



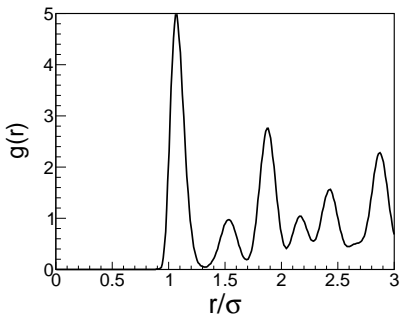
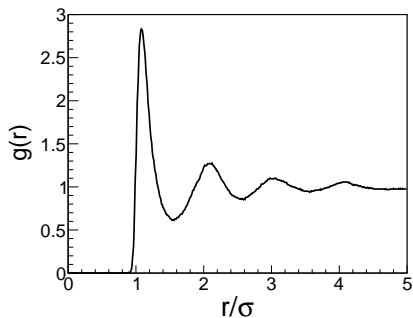
## Important comment: Strongly-correlated systems

- Strongly correlated system ( $P/K \simeq \mathcal{O}(N) > 1$ ): beyond mean field



- Infinite systems: internal structure described by **pair correlation function**  $g(r)$  e.g. liquid Argon ( $N = 108$ ) via Lennard-Jones potential
- Message: Approaches based on Boltzmann assumptions would NOT capture these effects

Lennard-Jones potential, for N=108 Ar atoms, liquid vs solid

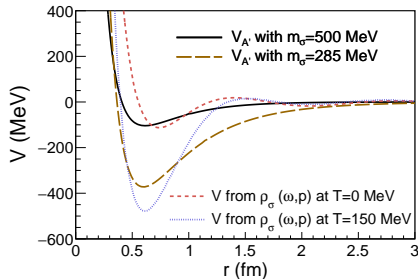


Boltzmann approximation assumes  $g(r) = 1$  (dilute gas)  
Correlations are important in our system!

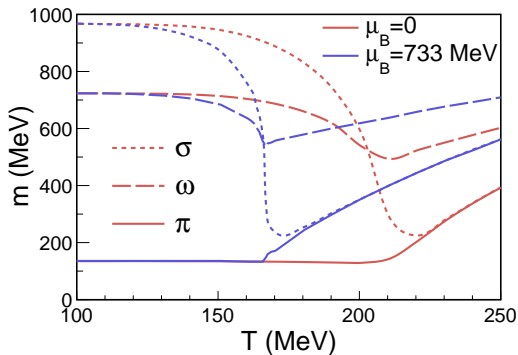
# Scalar meson with full spectral width

$$V_\sigma(\mathbf{r}) = g_\sigma^2 \int_{-\infty}^{\infty} dt \int_{-\infty}^{\infty} \frac{d^4 p}{(2\pi)^4} e^{ip \cdot x} D_\sigma^R(p_0, \mathbf{p})$$

$$D_\sigma^R(p_0, \mathbf{p}) = - \int_{-\infty}^{\infty} d\omega \frac{\rho_\sigma(\omega, \mathbf{p})}{\omega - p_0 - i\epsilon}$$



Spectral function from quark-meson model using FRG.  
R.-A. Tripolt, Ph.D. Thesis 2015



JMT-R, 2018 ( $N_f = 3$  Polyakov-Nambu-Jona-Lasinio model)

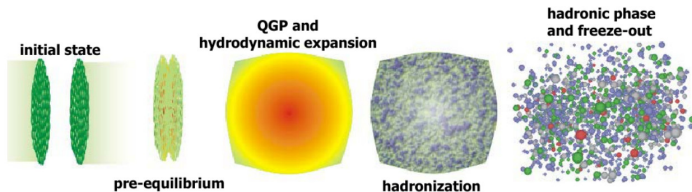
- 80 %  $\sigma$  mass reduction at  $T_c$
- 25 %  $\omega$  mass reduction at  $T_c$

Caveat:  $\sigma$  is to be identified with  $f_0(980)$

## Effects preventing clustering

- Expansion, radial collective flow
- Freeze-out temperatures  $T \sim 150$  MeV
- Finite time effects (duration of hadronic phase)

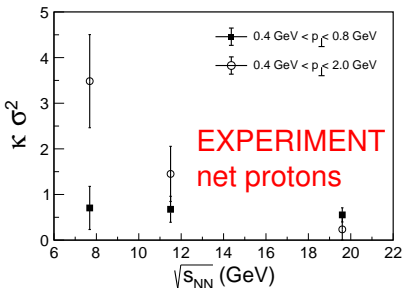
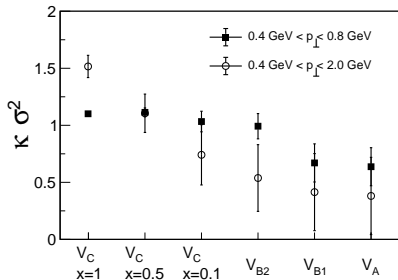
We need to address these for RHIC collisions at the Beam Energy Scan



Focus on BES I at  $\sqrt{s_{NN}} < 19.6$  GeV, as measured by STAR @ RHIC (STAR Collab. 2016 & 2017)

Few-body correlations should contribute to proton moments

$$\text{Scaled kurtosis: } \kappa\sigma^2 = C_4/C_2$$



Expected increase with enhanced attraction, esp. in the wider  $p_\perp$  window.



We try to mimic as much as possible experimental situation in BES I, as measured by STAR @ RHIC (STAR Collab. 2016 & 2017)

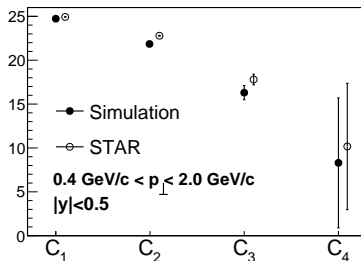
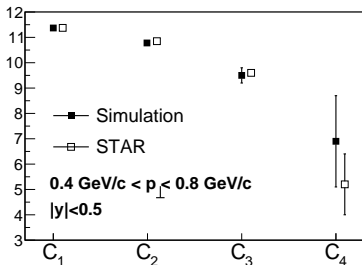
- Temperature  $T \simeq 150$  MeV
- Densities: 1-2  $n_0$
- Finite time evolution:  $t = 5$  fm
- Non-relativistic nucleon dynamics
- Fireball expansion: mapping of  $y$  and  $p_T$  distributions to experimental measured distributions
- Simulations: 32 nucleons,  $10^5$  events (similar to experiment for 5% most central events)
- Antinucleons: For  $\sqrt{s_{NN}} < 19.6$  GeV they are suppressed, at least, a factor of 10 w.r.t. protons

**Note:** It is a crude model and several effects not covered.  
Understand as a first approximation to the physical situation.

Poisson distribution at  $\sqrt{s_{NN}} = 19.6$  GeV  $\leftrightarrow$  Noncritical potential  $V_A$

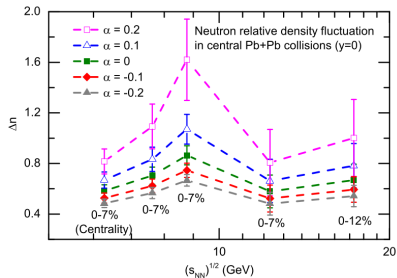
- $|y| < 0.5$ ,  $0.4 \text{ GeV}/c < p_{\perp} < 0.8 \text{ GeV}/c$
- $|y| < 0.5$ ,  $0.4 \text{ GeV}/c < p_{\perp} < 2 \text{ GeV}/c$

protons

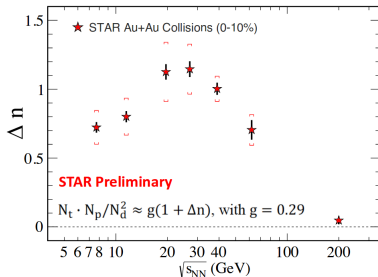


$$C_1 = \langle N_p \rangle, \quad C_2 = \langle \delta N_p^2 \rangle, \quad C_3 = \langle \delta N_p^3 \rangle, \quad C_4 = \langle \delta N_p^4 \rangle - 3 \langle \delta N_p^2 \rangle^2$$

$$\frac{N_t N_p}{N_d^2} = g(1 + \Delta n) \quad (\alpha = 0)$$



Sun, Chen, Ko, Xu 2017,  
based on NA49 exp. data

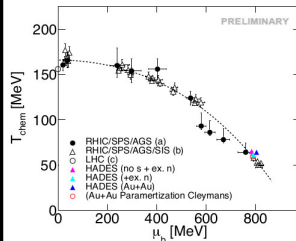
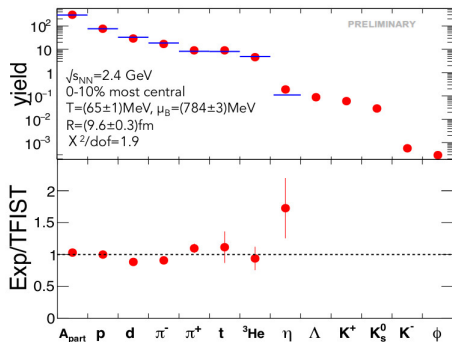


STAR Collaboration (QM2018)

Talk by M. Lorenz at 3rd EMMI workshop at Wroclaw

## Macroscopic description of yields

Thermal Fit: V. Vovchenko H. Stoecker, Comput. Phys. Commun. 244 (2019) 295.

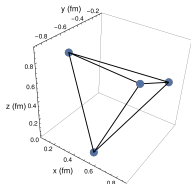


Fit excluding strangeness and but including excited nuclei states results small  $\chi^2$ !

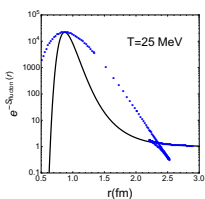
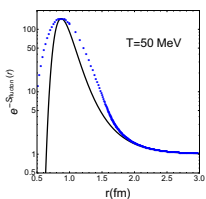
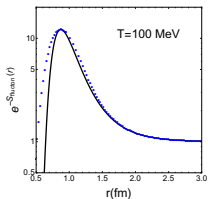
Andronic et. al. (Grand canonical T,  $\mu_B$ )  
Nucl.Phys. A789 (2007) 334-35  
Cleymans, Becattini (Strangeness canonical +  $\gamma$ )  
Phys.Rev. C73 (2006) 034905

$\chi^2/dof = 6.7$  (all hadrons)  $\rightarrow 6.1$  (+ excited nuclei)  $\rightarrow 1.9$  (- strangeness)

# Flucton solution for ${}^4\text{He}$



$$S_E = \int d\tau \left( \sum_{i=1}^4 \frac{m_N}{2} \dot{\mathbf{x}}_i^2 + \sum_{i,j \neq i} V_{NN}(r = |\mathbf{x}_i - \mathbf{x}_j|) \right)$$



Solid: classical weight,  $e^{-6V_{NN}(r)/T}$ ; Dots: flucton,  $e^{-S_E[\text{flucton}]}$

Quantum effects important at low  $T$ ; in general, when  $V(r) \sim T$