

Particle decay classifiers for the LHCb Run 3 first-level trigger

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Simulated LHCb Data allows Training of Classifiers

- The task:** update the one-track and two-track high level triggers for run 3 of LHCb, implement optimal triggers into Allen pipeline
- Training data:** 180,000 simulated LHCb events (~2 million decays), made up of 6 decay types and one 2018MinBias file for trigger rate calculation
- Cut out signals with low momentum and lifetime: parent particle $PT > 2 \text{ GeV}$, $\tau > 0.2 \text{ ps}$, $2 < \eta < 5$ (removes ~92% of data)

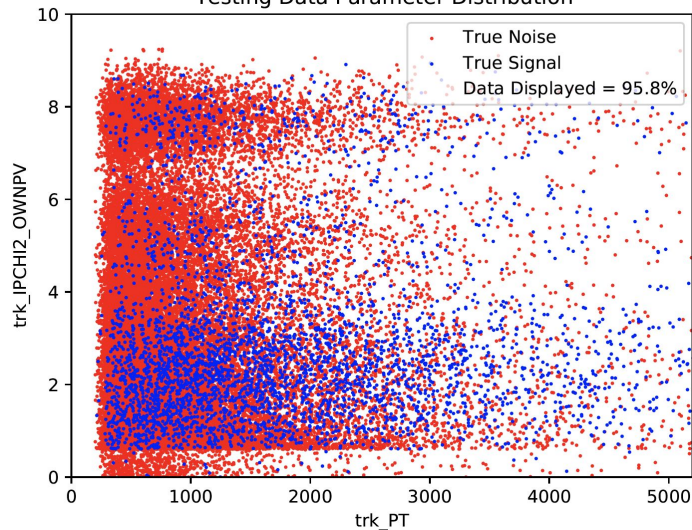
Training Data Cont.

-Classifier **inputs** are taken from
LHCb Trigger Reoptimization paper[†]

Classifier	Analysis Variables
1-Track Model	PT, IP_{χ^2}
2-Track Model	sum PT, vertex χ^2 , FD χ^2 , N (# tracks with $IP_{\chi^2} < 16$)

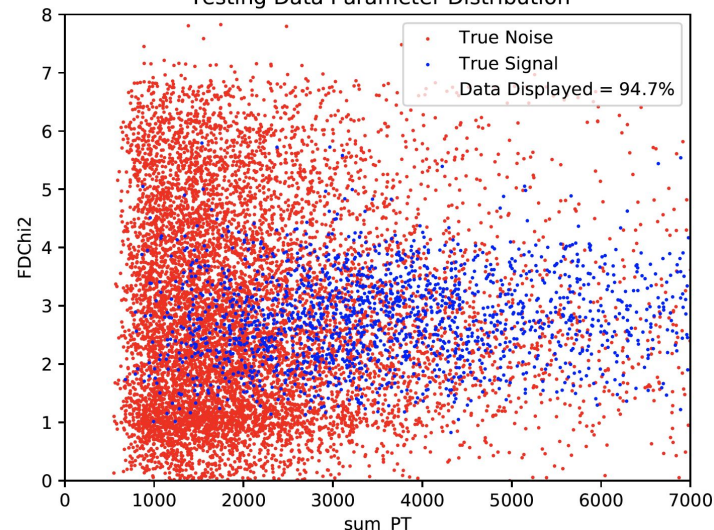
One-Track Data

Testing Data Parameter Distribution



Two-Track Data

Testing Data Parameter Distribution



Catboost Enables Easy Training of BDT Models

- Catboost is a python library for Boosted Decision Tree classifiers, enabling:
 - A variety of training options for BDTs
 - Saving classifiers in JSON format [easily read into Allen pipeline](#)
- Total simulated LHCb data is split into 75% training, 25% testing
- Training data 92% noise, so noise decays are weighted to balance training
- BDT model hyperparameters (learning rate, model depth, model iterations) optimized by exploring parameter space.

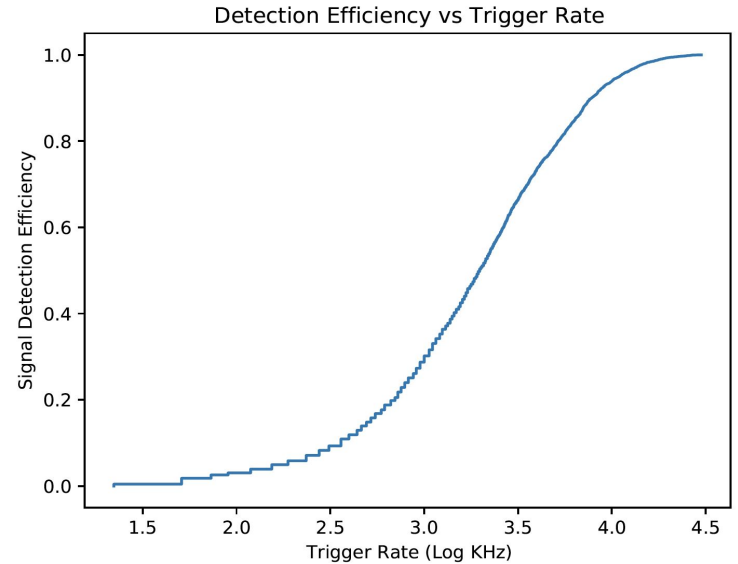
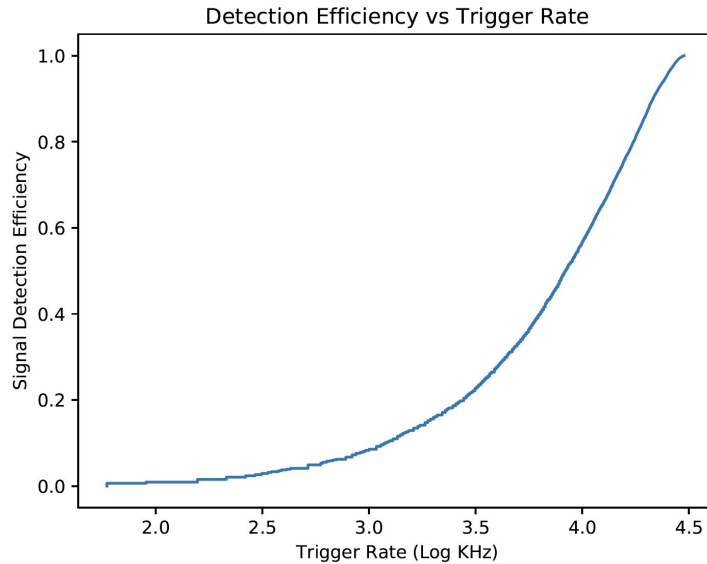
Notes on Classifier Evaluation

- Catboost Classifiers are trained on single decay examples, but they are **evaluated on LHCb events** (average ~10 decays) for efficiency and trigger rate
- For each decay, output = softmax-ed vector in the format of $[\text{Prob}_{\text{noise}}, \text{Prob}_{\text{signal}}]$
- If any decay in an evaluation event has $\text{Prob}_{\text{signal}} > \text{threshold}$, the entire event is considered to be triggered on
- True positives when event has signal, AND classifier triggers on signal decay

Performance of Preliminary Classifiers

One-Track: eff = 13.7% at 1 MHz

Two-Track: eff = 40.7% at 1 MHz



Addressing Decay Type Imbalance

-Training datasets are very imbalanced by decay type

$N_{\text{large}} = 95,000$ (Bs2JPsiPhi); $N_{\text{small}} = 2,470$ (Ds2KKPi)

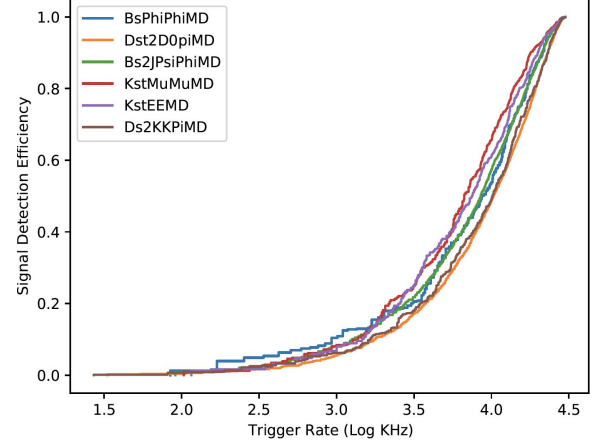
-Downsample all decay datasets to have N_{small} events

-To avoid low training data, instead downsample all decay datasets to $\text{upsamplingDegree} * N_{\text{small}}$ events

-upsamplingDegree was varied between 1-10, optimal performance (AUC ROC) at 7

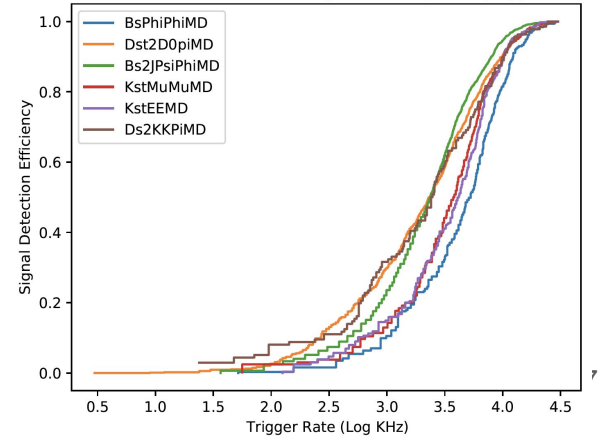
One-Track

Classifier Performance by Interesting Decay Type



Two-Track

Classifier Performance by Interesting Decay Type

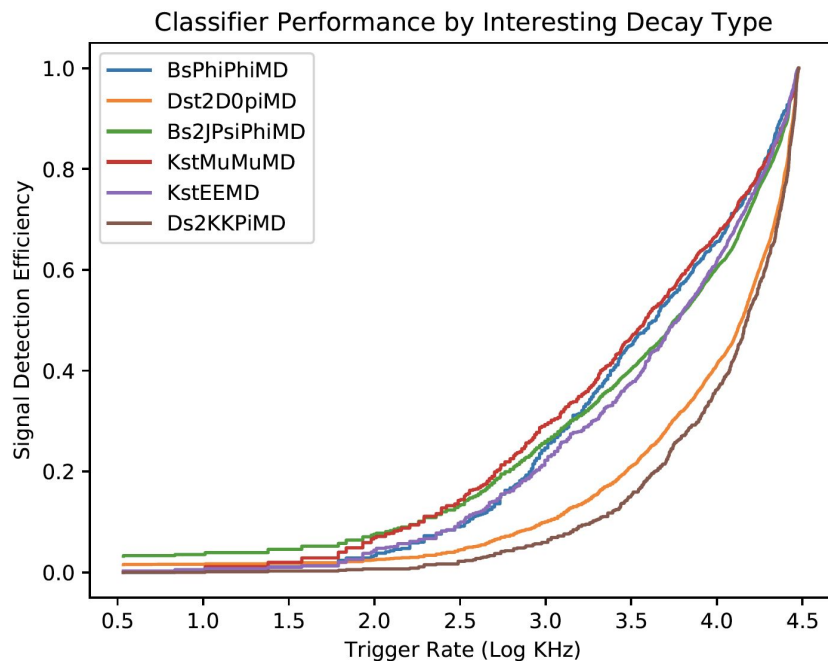


Additional Improvements on Classifiers

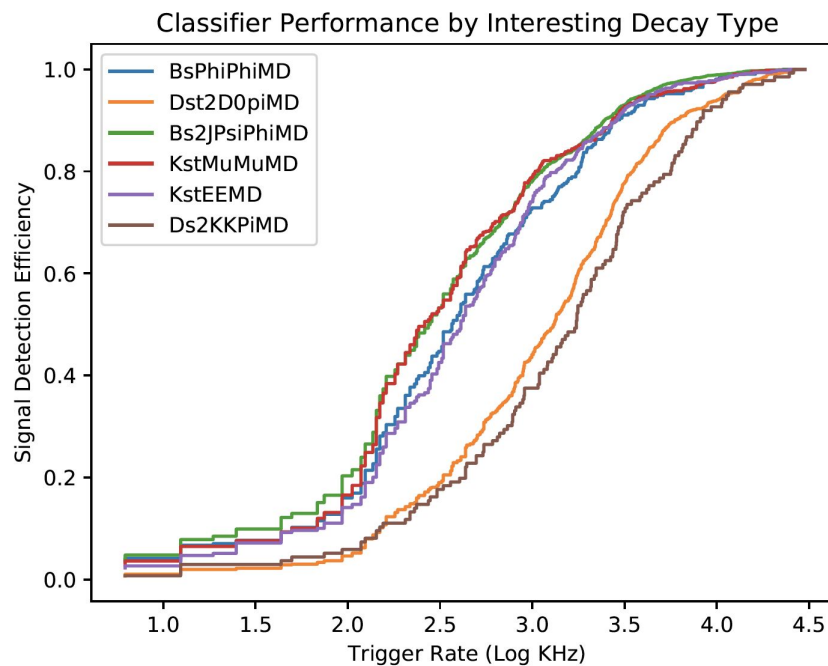
- Shuffling of training data helped to prevent overtraining on certain decay types
- Re-scaling parameters improved performance, namely putting all χ^2 input variables on a log scale before training
- Improvements **increased efficiency** several percentage points each at constant trigger rate

Performance of Improved Classifiers

OneTrack: eff = 28.1% at 1 MHz



TwoTrack: eff = 70.7% at 1 MHz



Note: lower efficiency modes are charm

Comparison with Cut-based classifiers

- Two-track classifier shows **significant improvement** over cut-based classifier
- One-track classifier shows **slight improvement** over cut-based classifier
- One-track cut-based classifier is a function fit to the response of an ML selection

Data Type	Classifier	Signal Detection Efficiency (at same FPR)
1-Track	Cut-Based	21.4%
	BDT	24.4%
2-Track	Cut-Based	60.4%
	BDT	75.1%

Implementation of Models into Allen

- Late July and early August were spent writing C++ code to implement one-track and two-track models in [Allen Pipeline for LHCb run 3](#)
- Two corresponding new algorithms, one new sequence
- Secured an LHCb computing account, merge request coming soon
- Infrastructure to make implementing additional Catboost classifiers easier

Experimenting with 3-Track and 4-Track Triggers

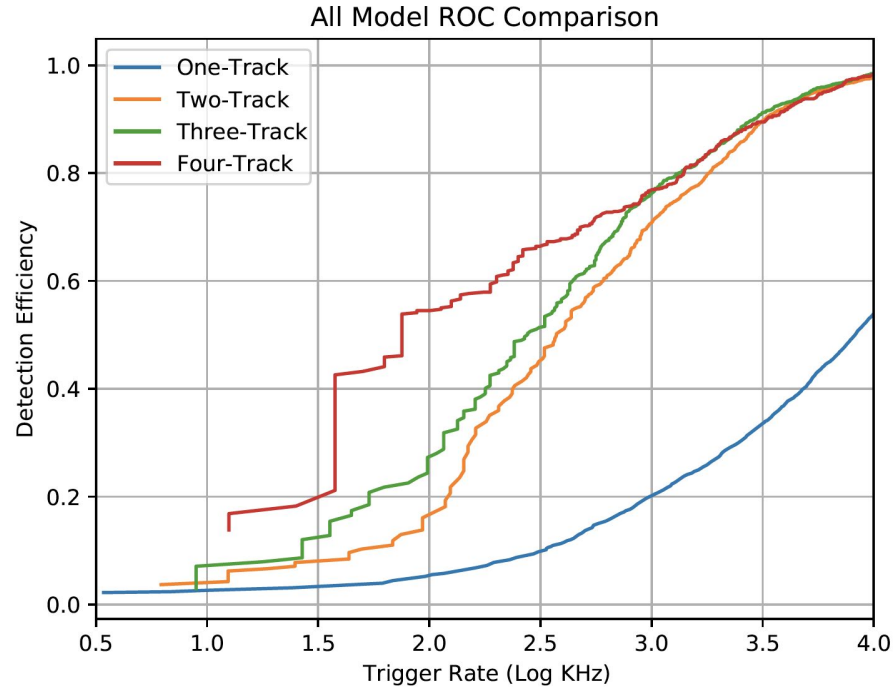
- Classifier **inputs** are taken from *LHCb Trigger Reoptimization* paper[†]

Analysis variables:

n , m_{cor} , $\text{sum } PT$, vertex_{χ^2} ,
 η , FD_{χ^2} , $\text{min } PT$,
 IP_{χ^2} , $N(\text{tracks})$,
 $N(\text{tracks with } IP_{\chi^2} < 16)$

- In run 2, these selections could only be done in HLT2. Allen tracking in run 3 is so fast that these selections can now be made in HLT1.
- 3-Track, 4-Track models trained separately, all **D decays excluded**
- Model hyperparameters were optimized by exploring parameter space

Performance of All Four BDT Models



Note: in actual analysis, use an OR selection on all of these lines to improve efficiency.

Summary of Work Completed

1. Optimized 1-track and 2-track BDT classifiers
2. Implemented optimal 1-track and 2-track models into Allen
3. Demonstrated efficiency of 3-track and 4-track classifiers

Next steps: -Submit merge request for new Allen algorithms
-Implement 3-track / 4-track into Allen pipeline