

# **A proton-oxygen run for cosmic rays and impact on the Muon Puzzle**

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Workshop on forward physics and QCD with LHC, EIC, and cosmic rays  
Jan 20-23, 2021

# Overview

- Muon puzzle in cosmic-ray included air showers
  - Unresolved physics discrepancy in simulated vs. measured showers
  - Bottleneck for progress in cosmic ray physics
    - Accurate experimental data, but cannot interpret data unambiguously without solving this
  - Creates large uncertainties also for neutrino and gamma ray observatories
- Need high-energy nobias p-O LHC data for astroparticle physics
  - Forward production cross-sections for light hadrons in p-O collisions
  - Forward production of c- and b-mesons (leptonic decays: bkg for neutrino observatories)

# Astroparticle physics

- Astroparticles are messengers of high-energy non-thermal universe
  - Black holes and neutron stars formation and exotics: dark matter decay...
  - Tremendous energies: GeV    TeV =  $10^3$  GeV    PeV =  $10^6$  GeV    EeV =  $10^9$  GeV

- Messengers

- Gamma rays

- Pointing ☺
    - Abundant ☺
    - $E_{\max} \sim 100$  TeV ☹

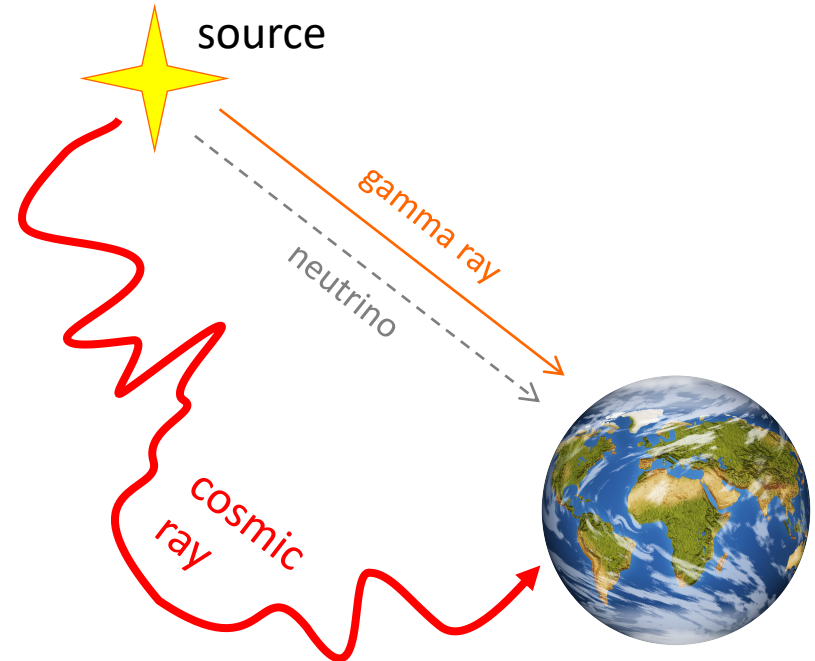
- Neutrinos

- Pointing ☺
    - Rare ☹
    - $E_{\max} > 100$  EeV ☺

- Cosmic rays (nuclei)

- No pointing ☹
    - Abundant ☺
    - $E_{\max} > 100$  EeV ☺

generates background



Sky looks "foggy" in cosmic ray "light"

# Cosmic-ray induced air showers

*Artist impression of an air shower*

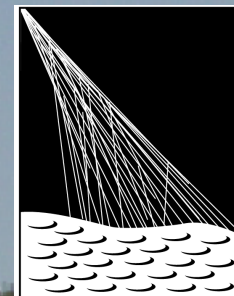
Image credit: Rebecca Pitt, Discovering Particles, CC BY-ND-NC 2.0



10 GeV proton in cloud chamber with lead absorbers at 3027 m altitude

K.-H. Kampert and A.A. Watson,  
Eur. Phys. J. H37 (2012) 359-412





**PIERRE  
AUGER**  
OBSERVATORY

**Fluorescence Detector**

UV light from excited  $N_2$

4 x 6 telescopes,  $30^\circ \times 30^\circ$

+ 3 high-elevation telescopes

**Surface Detector Array**

charged particle + photon detector

1500 m grid: 1660 stations (3000 km<sup>2</sup>)

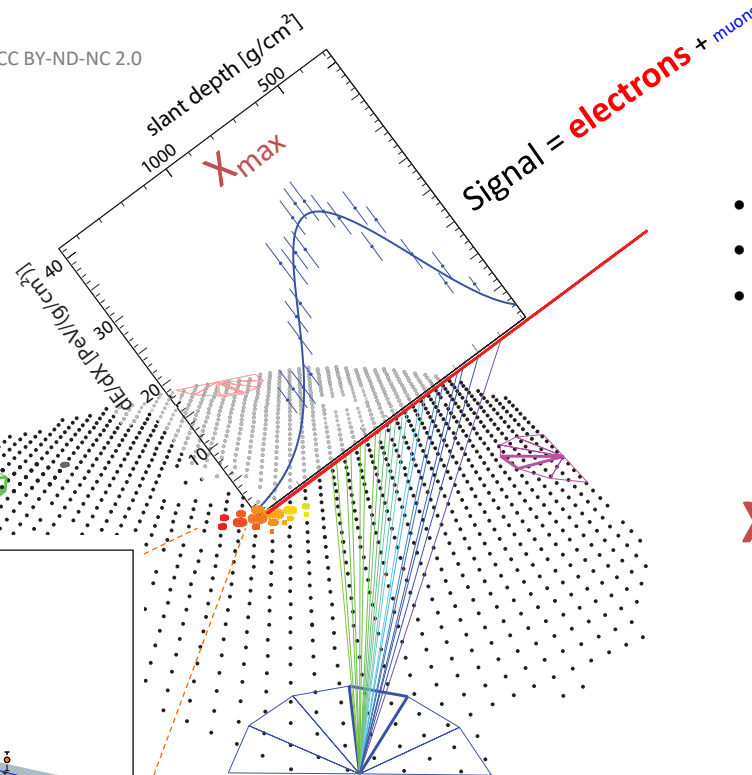
+ 750 m grid: 71 stations, (25 km<sup>2</sup>)

# High-energy cosmic ray detection

Example: event observed with Pierre Auger Observatory

Artist impression of air shower

Image credit: Rebecca Pitt, Discovering Particles, CC BY-ND-NC 2.0



$$E_{\text{cal}} = \int_0^\infty \left( \frac{dE}{dX} \right)_{\text{ionization}} dX$$

- **Direction** from particle arrival times
- **Energy** from size of **ey component**
- **Mass** from **depth of shower maximum** and size of **muonic component**

$X_{\text{max}}$

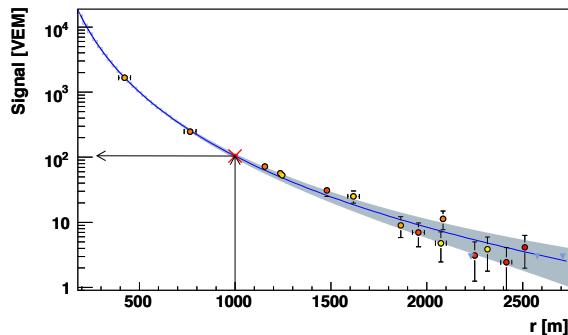
Shower depth and Mass

Iron depth = proton depth - **100 g cm<sup>-2</sup>** at same CR energy

$N_\mu$

Number of muons and Mass

Iron yield = **+40 %** of proton yield at same CR energy



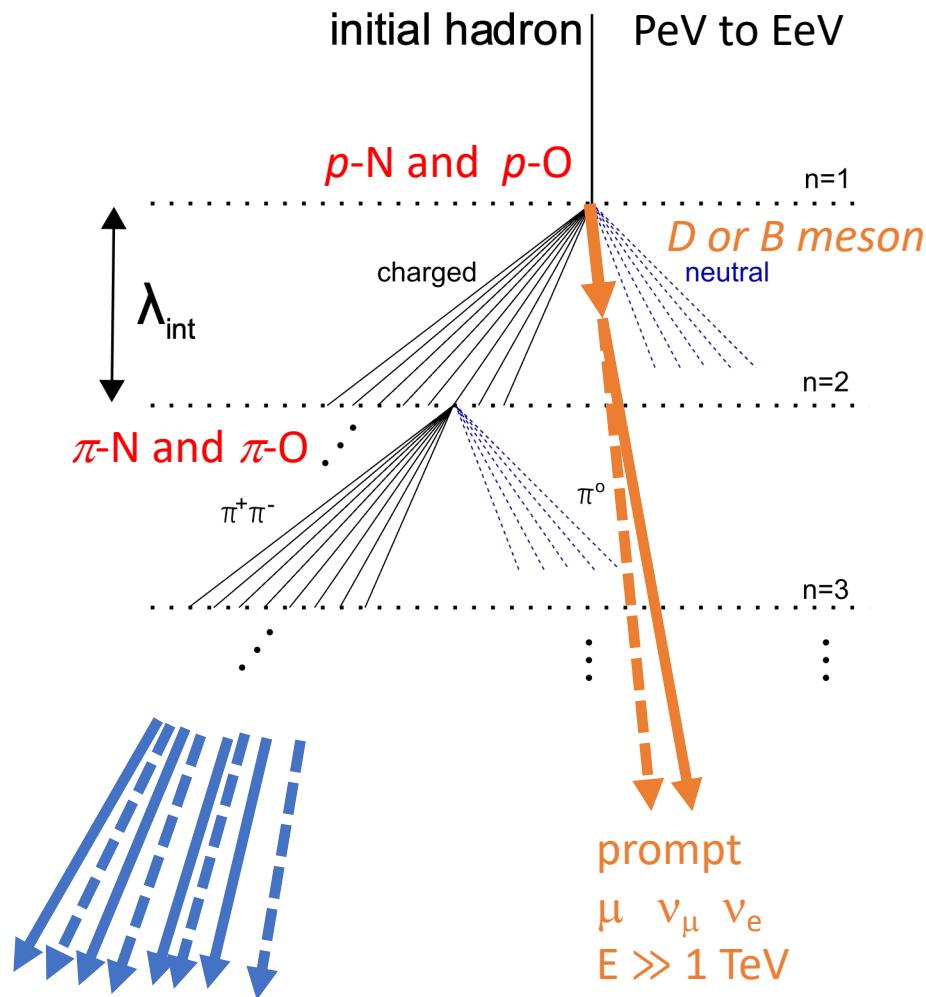
Vertical showers Signal = **electrons** + **photons** + **muons**

Inclined showers Signal = **electrons** + **photons** + **muons**

## Experimental accuracies

Direction	0.5 – 1.5 <sup>o</sup> <sub>stat</sub>	
Energy	10-20 % <sub>stat</sub>	<b>14 %<sub>sys</sub></b>
$X_{\text{max}}$	15 – 25 gcm <sup>-2</sup> <sub>stat</sub>	<b>10 g cm<sup>-2</sup><sub>sys</sub></b>
$N_\mu$	20 % <sub>stat</sub>	<b>11 %<sub>sys</sub></b>

# High-energy lepton production in air showers



## Prompt lepton production

- Very rare, but very high energy
- Ancestor: forward produced  $D$  or  $B$  meson from first interaction

## Conventional lepton production (bulk)

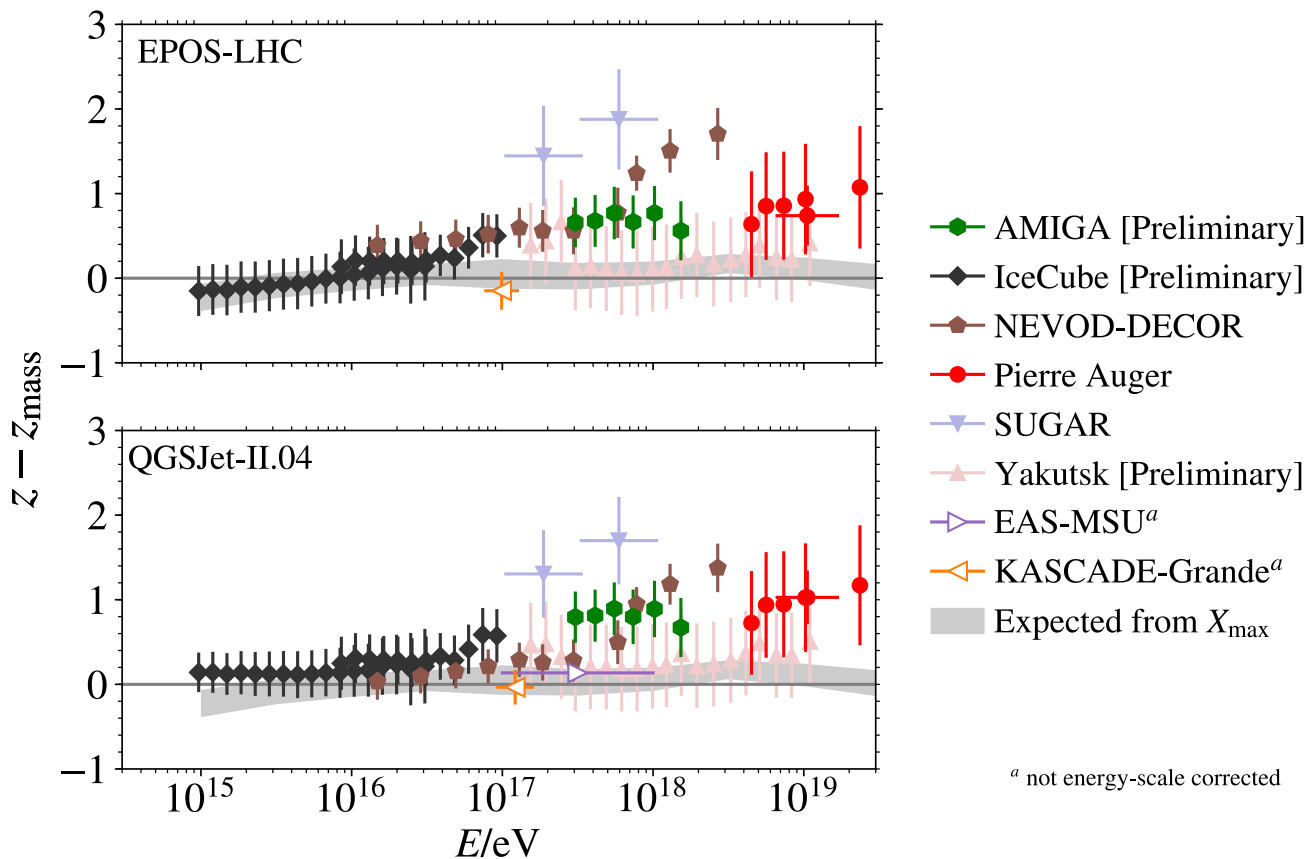
- Hadronic cascade with 5-10 steps
  - Intermediate particles:  $\pi$ ,  $K$ ,  $p$ ,  $n$ , ...
  - Exponential decrease in energy
- $\pi$ ,  $K$  decay at  $E \approx 10 \text{ GeV}$
- Sensitive to forward production and energy flow in hadronic cascade
- "Energy loss" through  $\pi^0$  mesons

Conventional  $\mu$   $\nu_\mu$   $\nu_e$  produced when mesons decay, average energy about  $E \approx 10 \text{ GeV}$

# Muon deficit in simulated showers

## Conventional muons

HD et al. for the EAS-MSU, IceCube, KASCADE-Grande, NEVOD-DECOR, Pierre Auger, SUGAR, Telescope Array and Yakutsk EAS Array collaborations, EPJ Web of Conferences **210**, 02004 (2019)

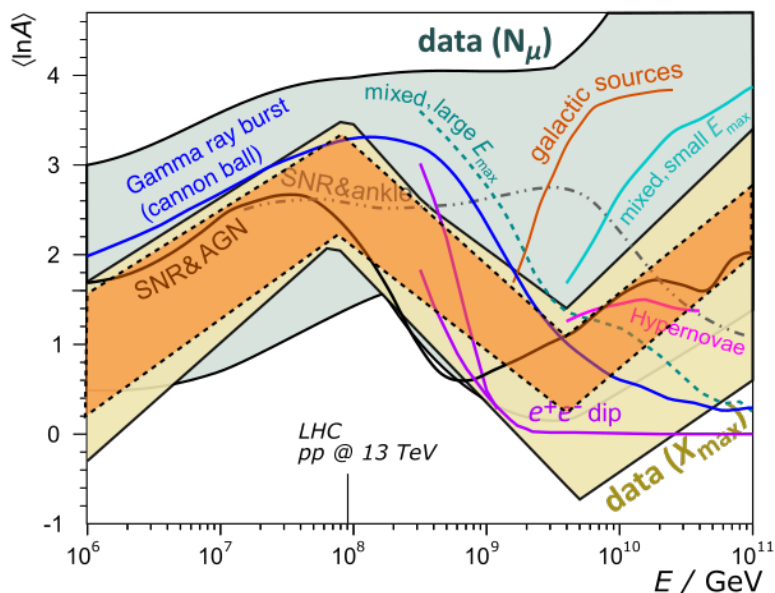


Air shower muons produced in light meson decays at end of hadronic cascade

Deficit in air shower simulations starting around  $4 \times 10^{16}$  eV or  $\sqrt{s} \sim 8$  TeV



# CR elemental (mass) composition



Based on Kampert & Unger, *Astropart. Phys.* 35 (2012) 660

## Astrophysical origins of cosmic rays?

- Mass composition ( $\langle \ln A \rangle$ ) of cosmic rays carries imprint of sources and propagation
- **Muon Puzzle:** Muon predictions in air showers are inconsistent with  $X_{\text{max}}$
- Uncertainties of  $\langle \ln A \rangle$  limited by uncertainty in description of hadronic interactions

## How to resolve this?

- Air shower experts connect inconsistencies to hadronic interaction properties
- Collider community provides dedicated reference measurements

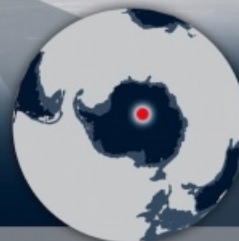
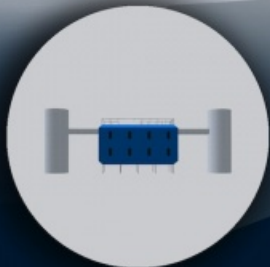


# ICECUBE

SOUTH POLE NEUTRINO OBSERVATORY

50 m

IceTop



Amundsen-Scott South Pole Station, Antarctica  
A National Science Foundation-managed research facility

## IceCube Laboratory

Data from every sensor is collected here and sent by satellite to the IceCube data warehouse at UW-Madison

1450 m

86 strings

DeepCore



Digital Optical Module (DOM)  
5,160 DOMs deployed in the ice

2450 m

2820 m

IceCube

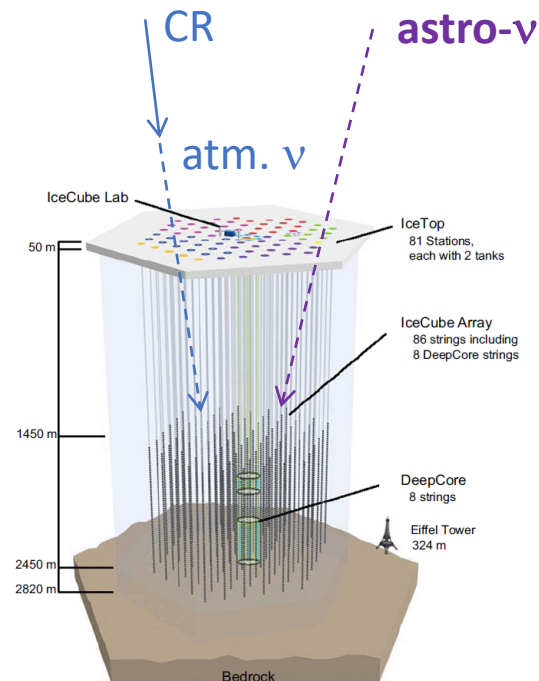
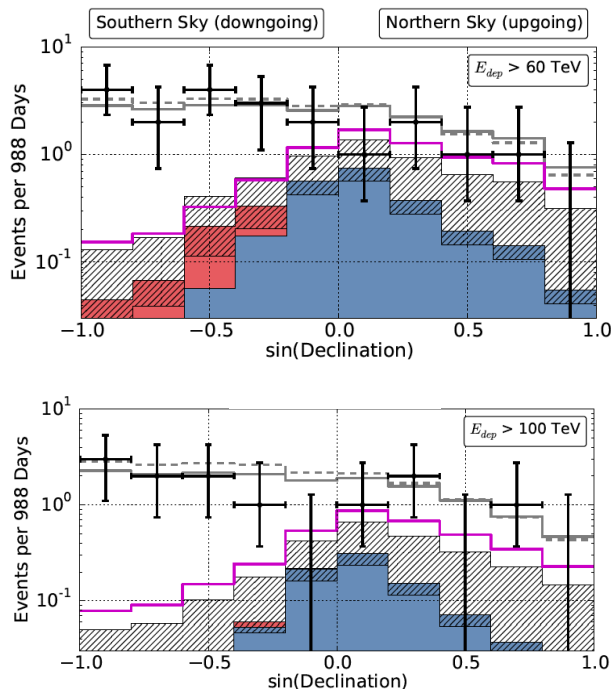
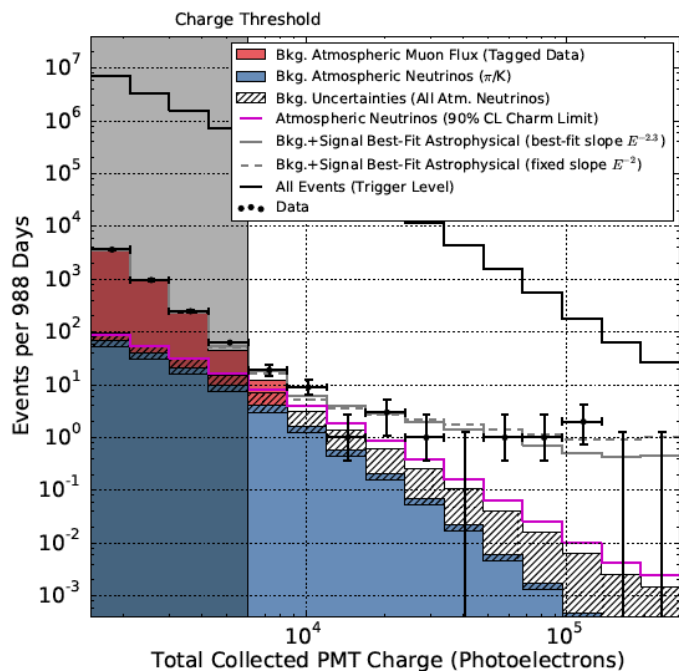


Eiffel Tower  
324 m

bedrock

# Diffuse atmospheric lepton flux

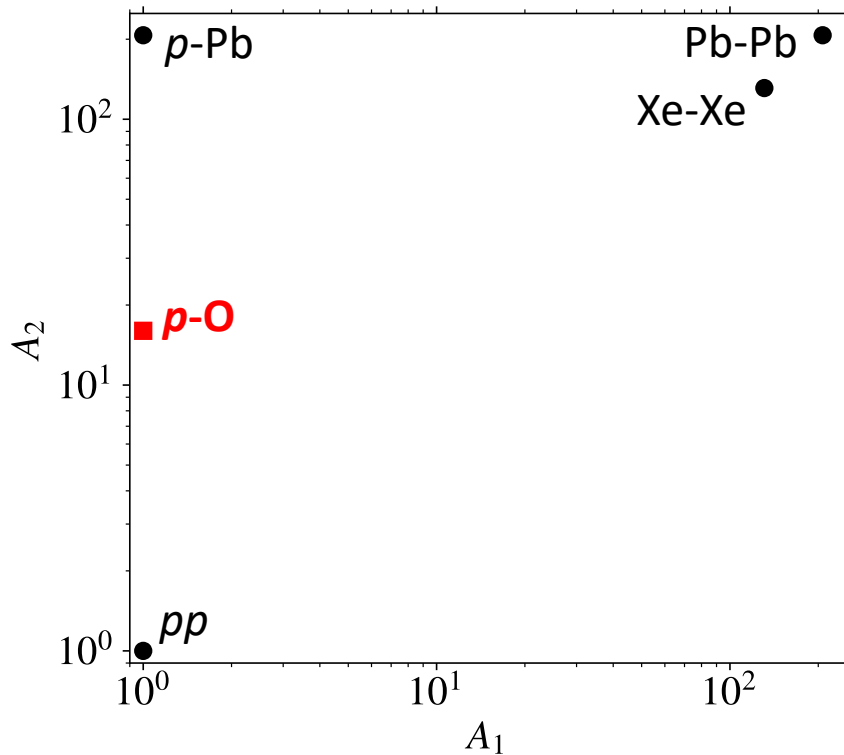
*IceCube collab. Phys.Rev.Lett. 113 (2014) 101101*



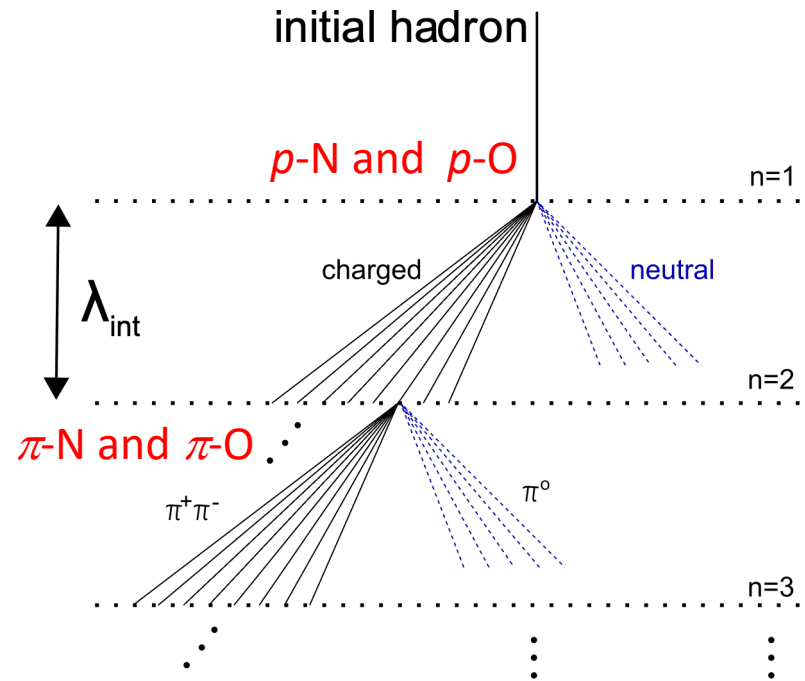
- Most astroneutrinos detected from above, despite high atmospheric background
- **>50 %** uncertainty in atmospheric lepton flux: about **30 %** from uncertain CR mass composition
- Double gain from better LHC measurements
  - More accurate cosmic ray composition
  - More accurate atm. lepton production

# Collisions at the LHC and air showers

Collision systems at the LHC



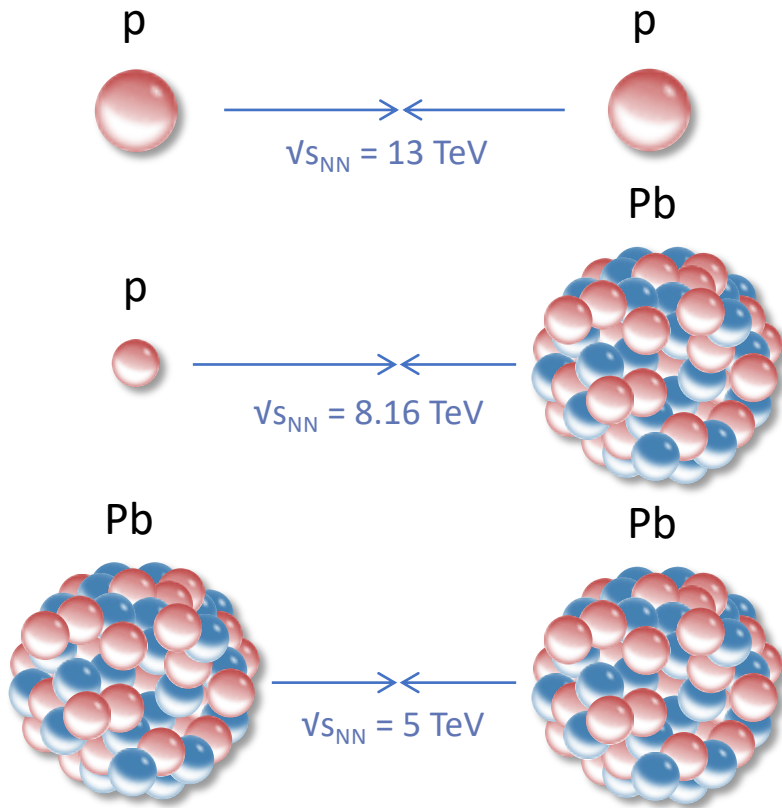
Air shower collision systems



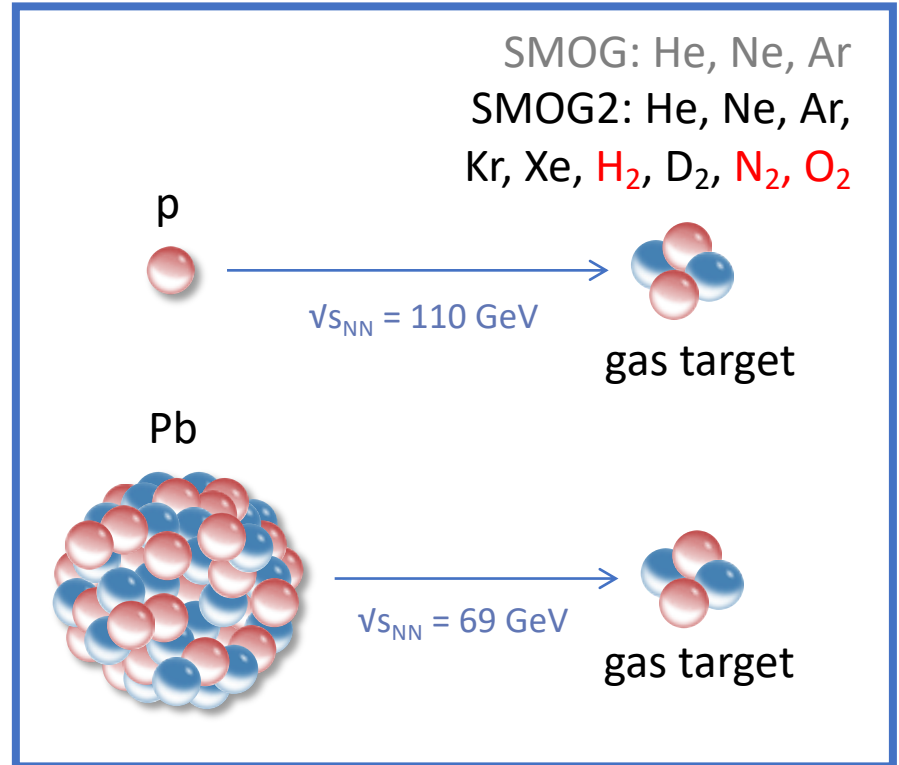
- $p\text{-O}$  collisions mimic air shower interactions
- Should be transferable to  $\pi\text{-O}$  (backup)
- Need  $p\text{-p}$ ,  $p\text{-Pb}$ , and  $p\text{-O}$  to understand nuclear effects in forward production

# Collisions at the LHC

SMOG2 TRD: <https://cds.cern.ch/record/2673690/files/LHCB-TDR-020.pdf>



Fixed target LHCb only, lower  $\sqrt{s}$

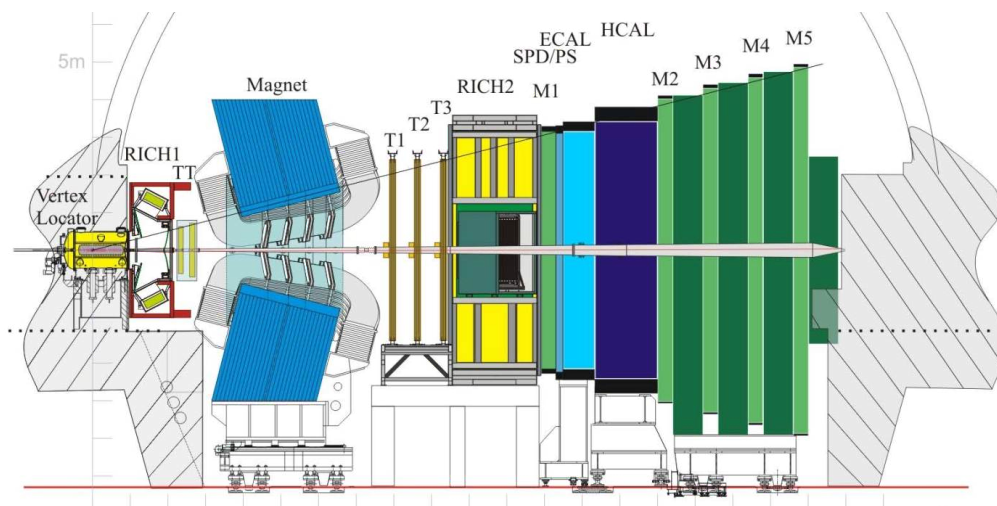


Short Xe-Xe run in 2017

Planned but currently endangered:  $p$ -O and O-O runs in 2023

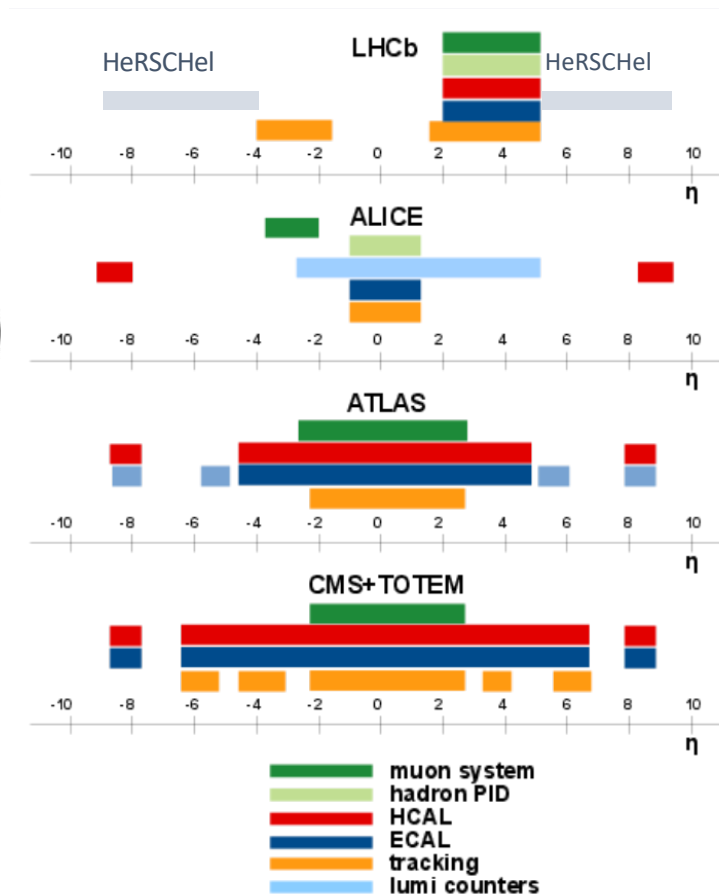
# LHCb detector

JINST 3 (2008) S08005  
IJMP A 30 (2015) 1530022



## Forward spectrometer

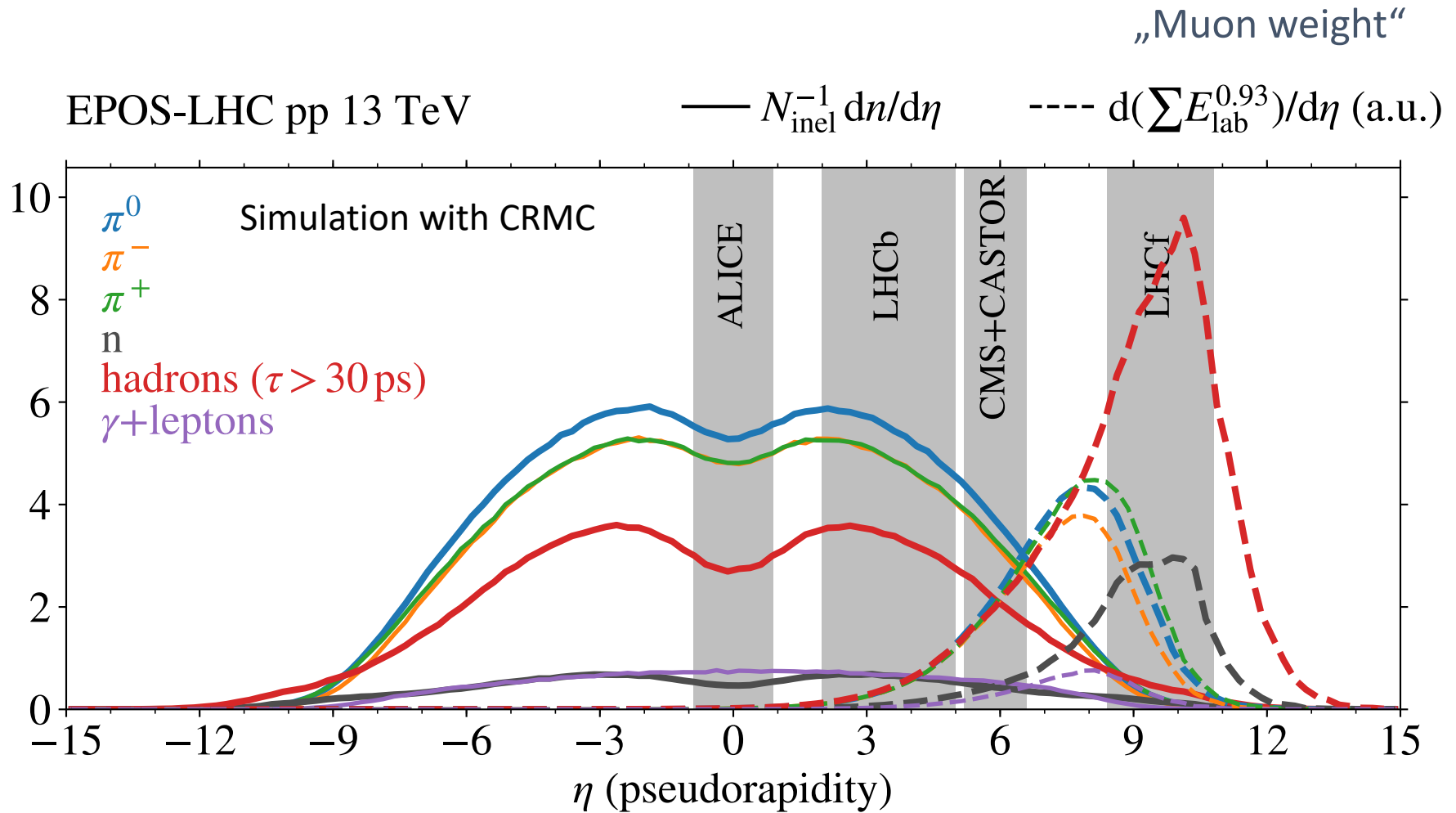
- Acceptance
  - $2 < \eta < 5$
  - $0.08 < (p_T / \text{GeV } c^{-1}) < 10$
- Very good momentum and vertex resolution
- Particle identification over full acceptance
- **Optimal:**  $\mu$ ,  $p$ ,  $K^+$ ,  $\pi^+$
- HeRSCHel detector to tag diffractive events



# Relevant LHCb production cross-sections

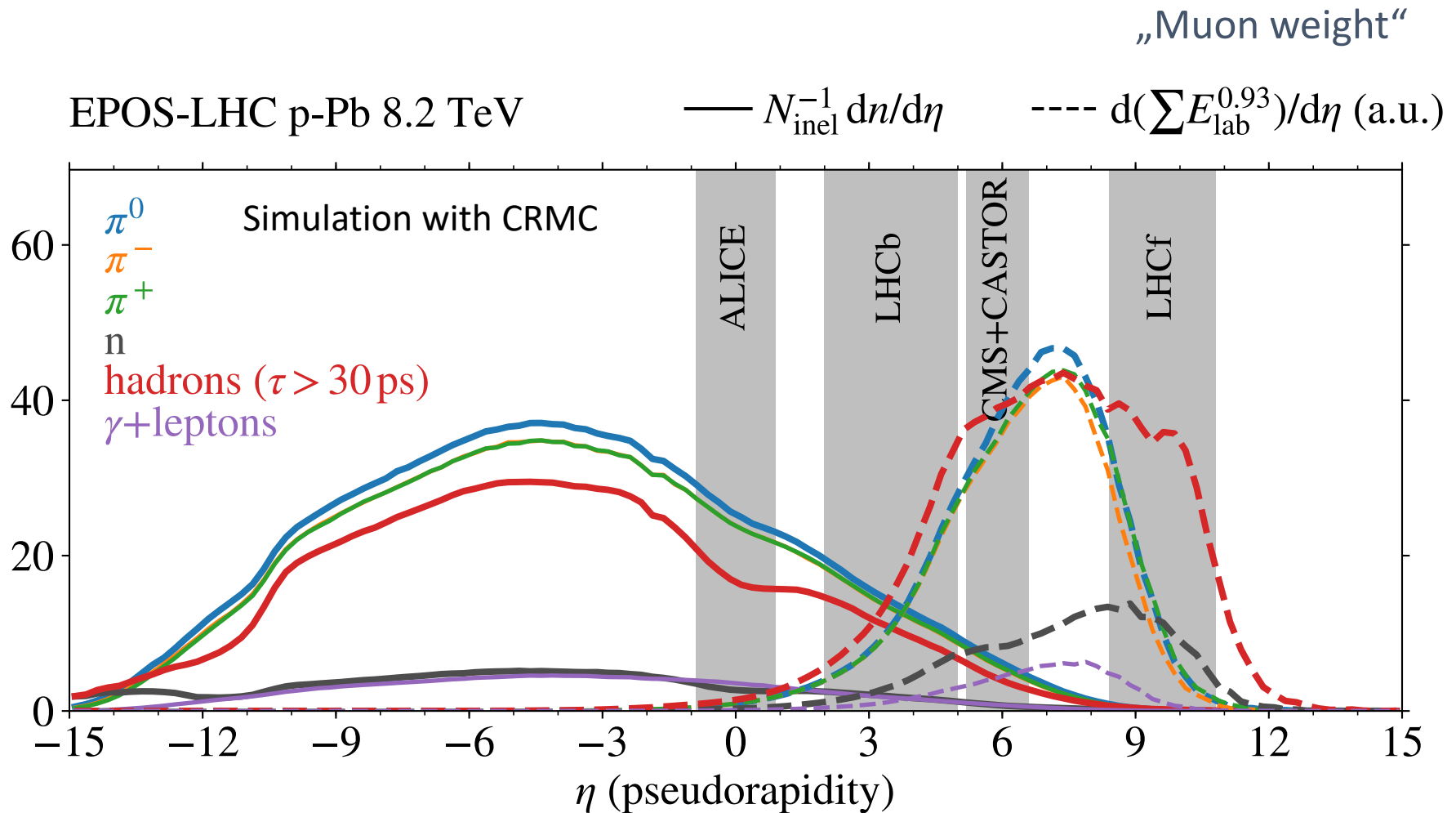
- Inelastic cross-section
  - pp @ 7 TeV [JHEP 02\(2015\)129](#)
  - pp @ 13 TeV [JHEP 06\(2018\)100](#)
- Charged particle multiplicities
  - pp @ 7 TeV [EPJ C \(2012\) 72:1947](#)
  - pp @ 7 TeV [EPJ C \(2014\) 74:2888](#)
- Energy flow
  - pp @ 7 TeV [EPJ C \(2013\) 73:2421](#)
- Prompt hadron production ratios
  - pp @ 0.9, 7 TeV [EPJ C \(2012\) 72:2168](#)
- anti-proton production
  - pHe @ 110 GeV [PRL 121 \(2018\) 222001](#)
- Long-range near-side angular correlation
  - pPb @ 5 TeV [PLB 762 \(2016\) 473-483](#)
- $K_S^0$  production (s-hadron production)
  - pp @ 0.9 TeV [PLB 693 \(2010\) 69-80](#)
- $\phi$  production (s-hadron production)
  - pp @ 7 TeV [PLB 703 \(2011\) 267-273](#)
- $J/\psi$  production (c-hadron production)
  - pPb @ 5 TeV [JHEP 02\(2014\)072](#)
- $D^+$  production (c-hadron production)
  - pp @ 13 TeV [JHEP 10\(2017\)090](#)
- $\Lambda_c^+$  production (c-hadron production)
  - pPb @ 5 TeV [JHEP 02\(2019\)102](#)
- $\psi(2S)$  production (c and b-hadron production)
  - pPb @ 5 TeV [JHEP 03\(2016\)133](#)
- $D^+, D^0, D_s^+, D^{*+}$  production (c-hadron production)
  - pp @ 5 TeV [JHEP06\(2017\)147](#)
  - pp @ 7 TeV [Nucl. Phys. B \(2013\) 1](#)
  - pp @ 13 TeV [JHEP 03 \(2016\) 159](#)
- $B^+, B^0, B_s^0, \Lambda_b^+$  production (b-hadron production)
  - pp @ 7 TeV [JHEP 08 \(2013\) 117, 2013](#)
  - pPb @ 8.2 TeV [PRD 99 \(2019\) 052011](#)
  - ( $B^+$  only) pp @ 13 TeV [JHEP 12 \(2017\) 026](#)
- $\Upsilon$  production (b-hadron production)
  - pPb @ 5 TeV [JHEP 07\(2014\)094](#)
  - pPb @ 8 TeV [JHEP 11\(2018\)194](#)

# Forward production p-p



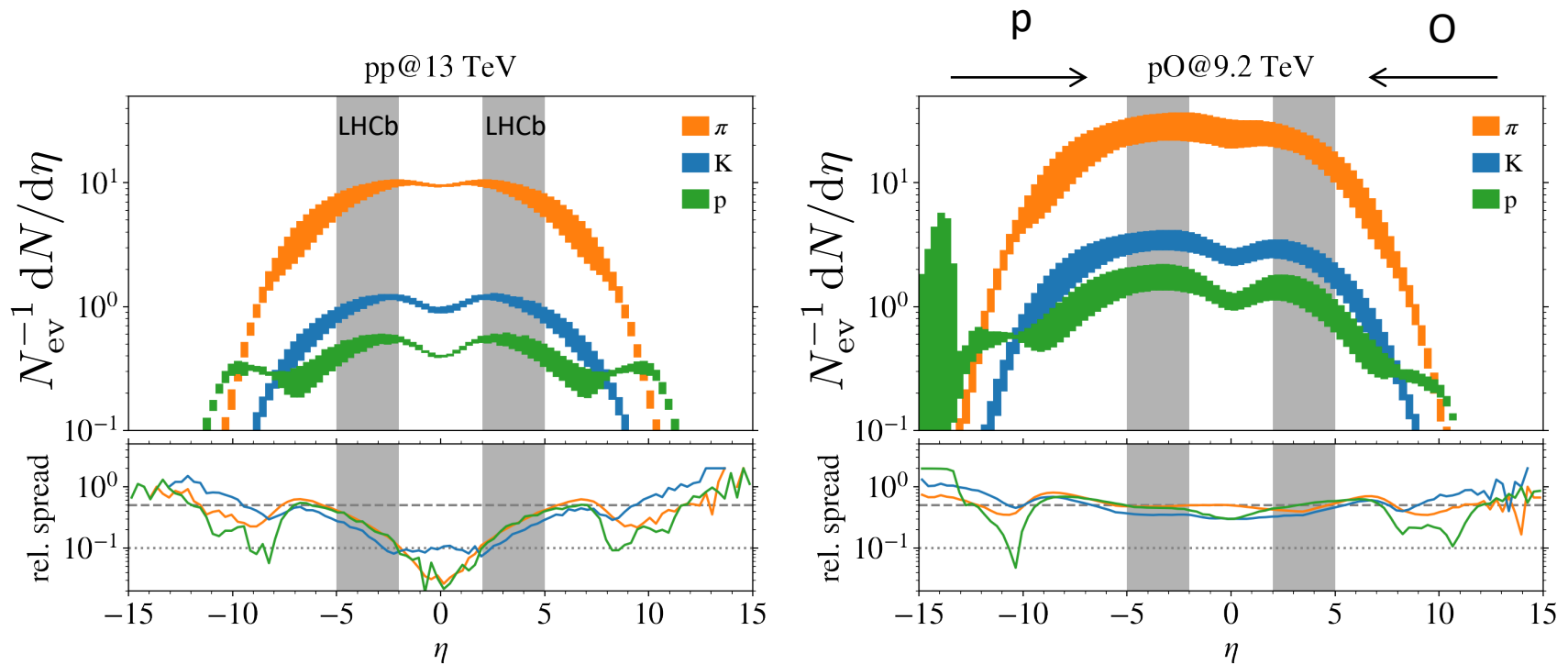


# Forward production p-Pb



# Model variation in hadron spectra

- Simulations done with CRMC
- Model spread: EPOS-LHC, QGSJet-II.04, SIBYLL-2.3



- Models mostly tuned to  $pp$  data at  $|\eta| < 2$
- $pp$  10 % model spread, but 50 % spread at  $\eta = 5$
- 50 % spread also in  $pO$

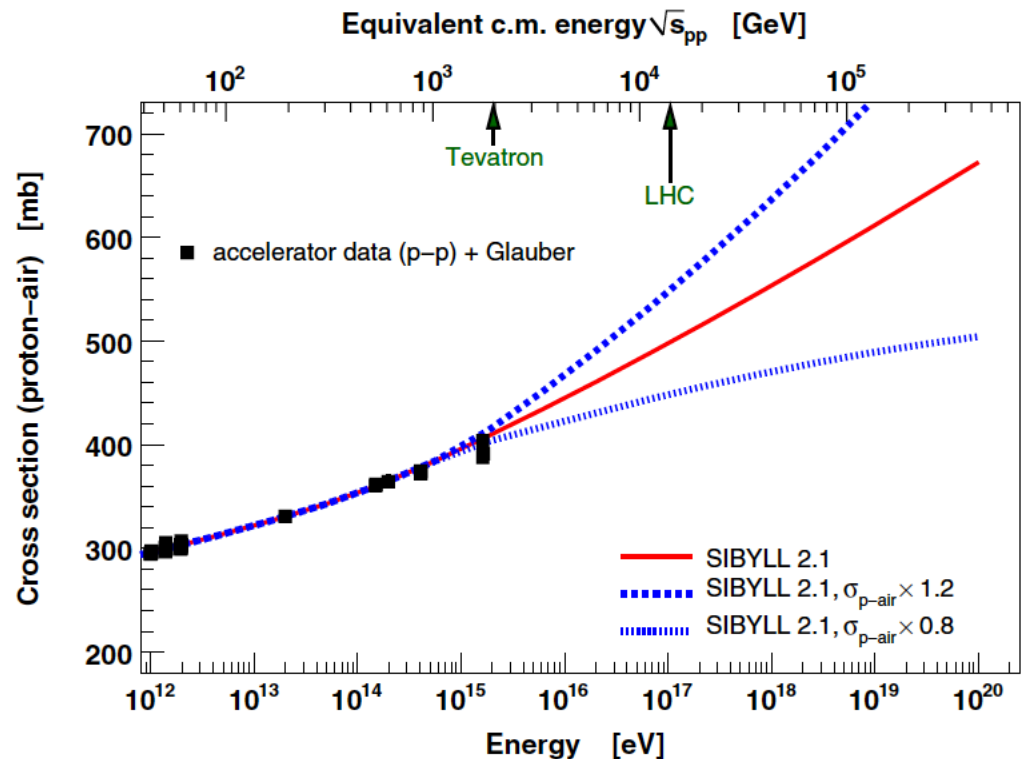
# Impact of LHC measurements

*R. Ulrich et al PRD 83 (2011) 054026*

Ad-hoc modify features at LHC energy scale with factor  $f_{\text{LHC-pO}}$   
and extrapolate up to  $10^{19}$  eV proton shower

## Modified features

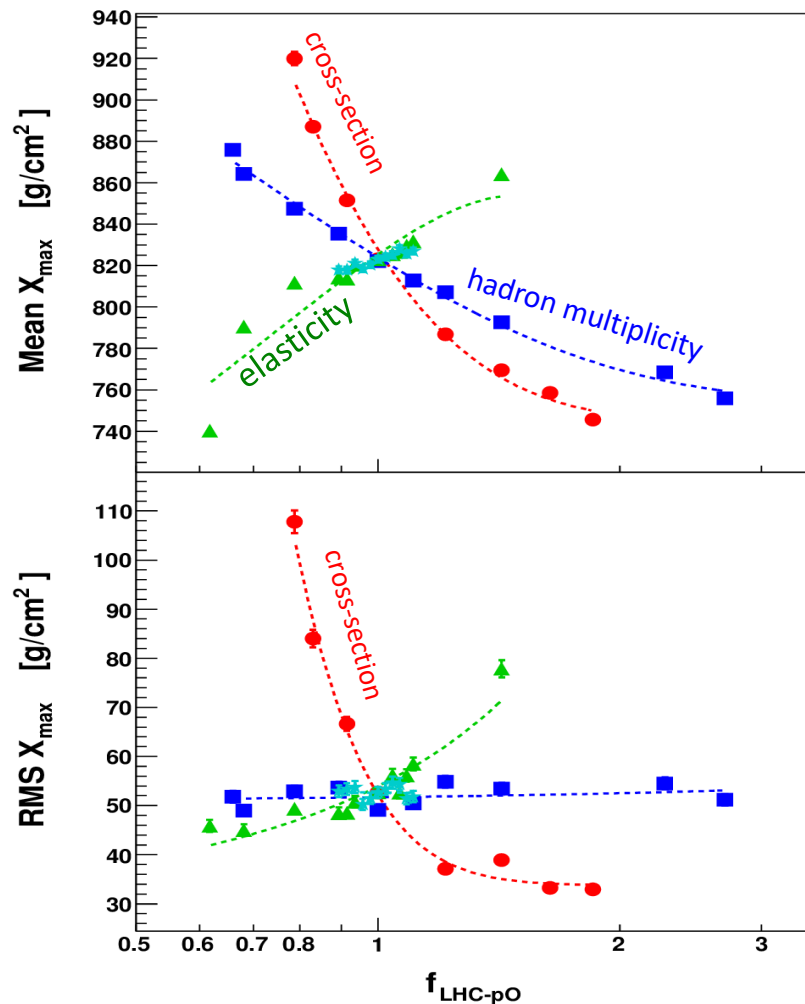
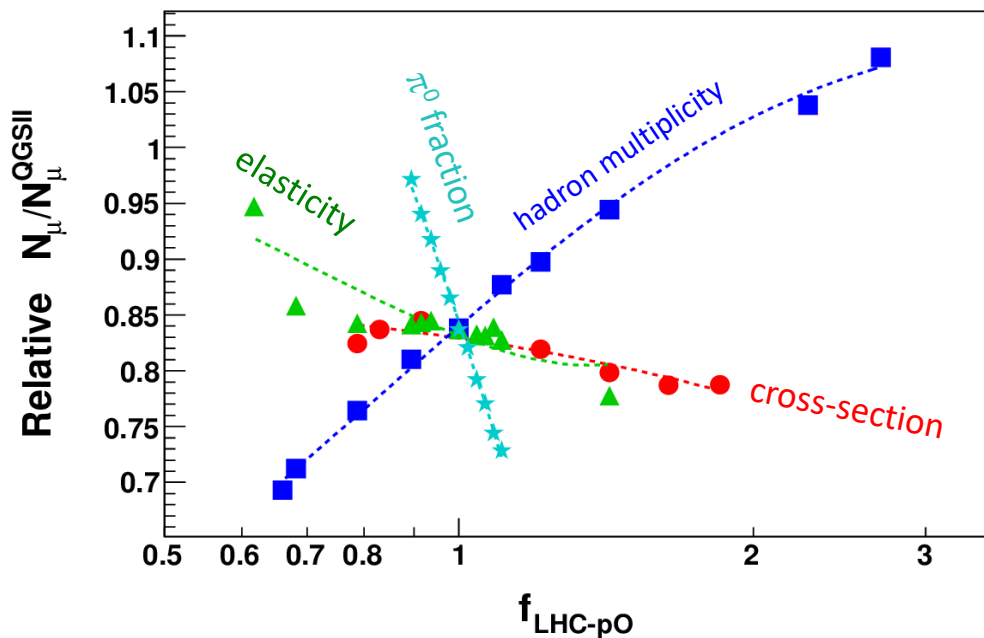
- **cross-section:** inelastic cross-section of all interactions
- **hadron multiplicity:** total number of secondary hadrons
- **elasticity:**  $E_{\text{leading}}/E_{\text{total}}$  (lab frame)
- **$\pi^0$  fraction:** (no. of  $\pi^0$ ) / (all pions)



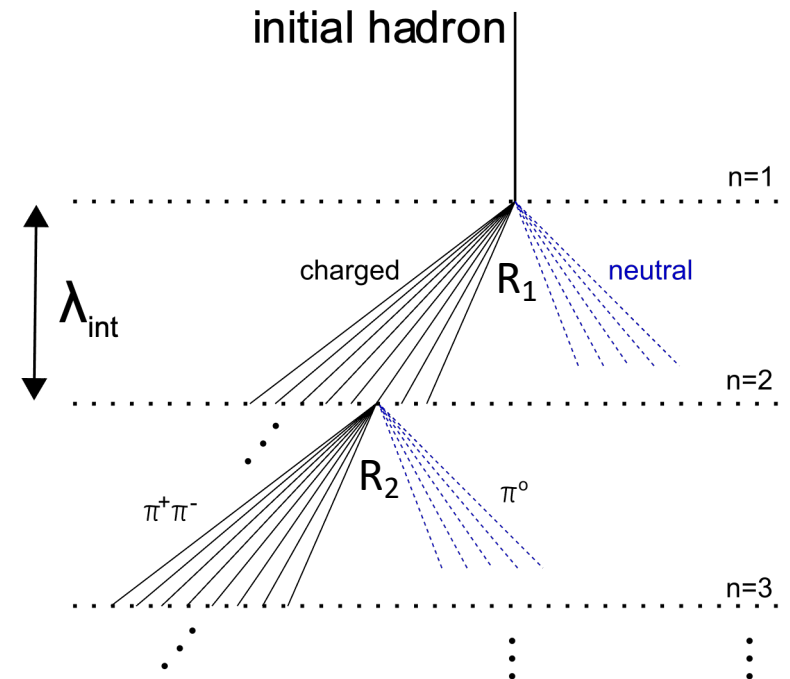
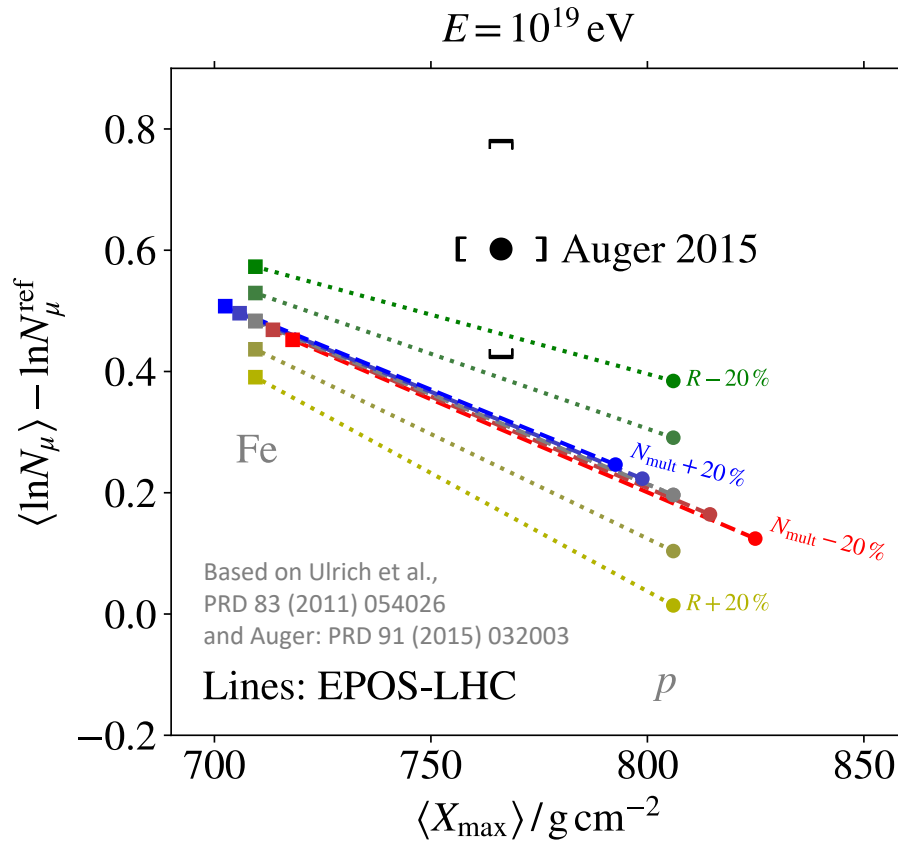
# Importance of interaction features

## Modified features

- **cross-section**: inelastic cross-section of all interactions
- **hadron multiplicity**: total number of secondary hadrons
- **elasticity**:  $E_{\text{leading}}/E_{\text{total}}$  (lab frame)
- **$\pi^0$  fraction**: (no. of  $\pi^0$ ) / (all pions)



# Impact of LHC measurements



- $X_{\text{max}}$  sensitive to: inel. cross-section, hadron multiplicity
- $N_\mu$  sensitive to: **energy ratio R**, hadron multiplicity
- **Strong nuclear modification in forward-produced hadrons**

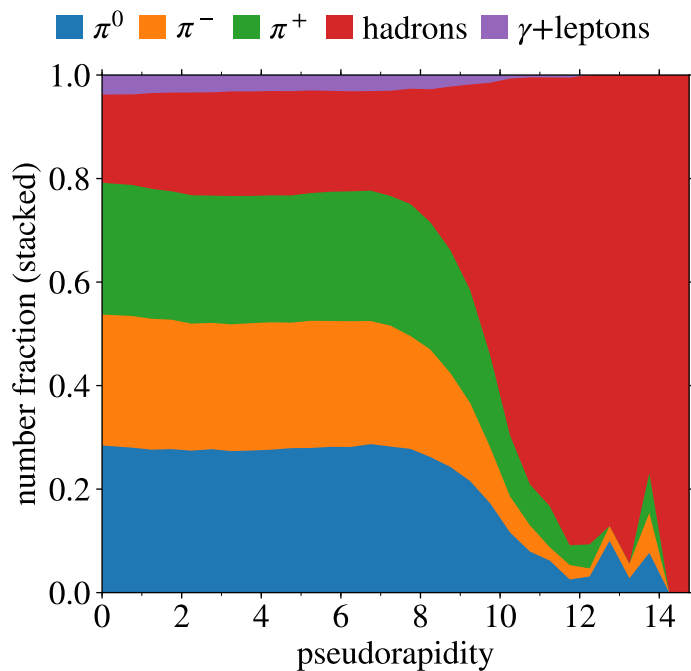
$$R = \frac{E_{\pi^0}}{E_{\text{other hadrons}}}$$

needs to be known to 5 %

# Possibilities to reduce energy ratio $R$

- Iso-spin symmetry:  $\pi^+ : \pi^- : \pi^0 \sim 1 : 1 : 1$  so need to reduce  $\pi$  production
- Is strangeness yield enhanced in hadron-nuclear collisions, reducing  $\pi$  yield?

*pp 13 TeV, EPOS-LHC*



Collective effects may reduce pion fraction, EPOS-LHC predicts drop in  $R$  at  $\eta = 0$

<https://arxiv.org/pdf/1902.09265.pdf>

QGP in air showers could enhancing strangeness production, reducing pion fraction

<https://arxiv.org/pdf/1612.07328.pdf>

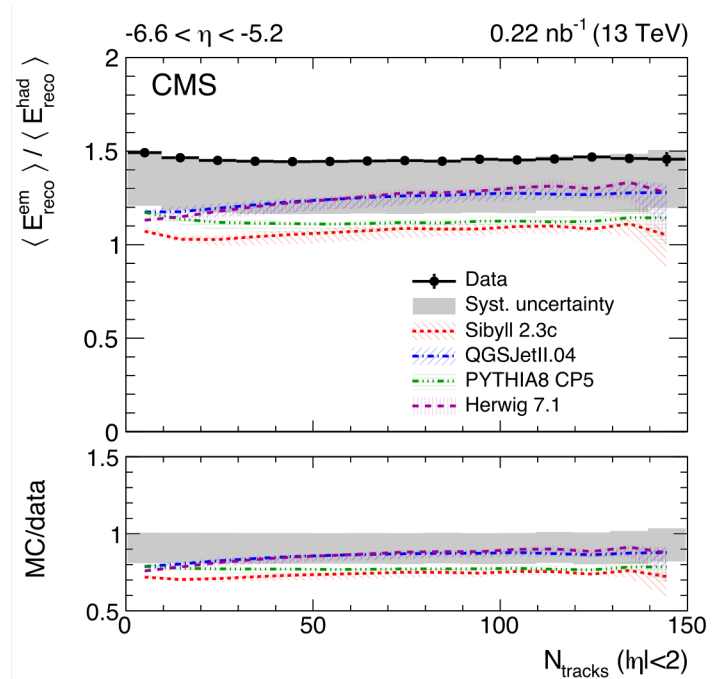
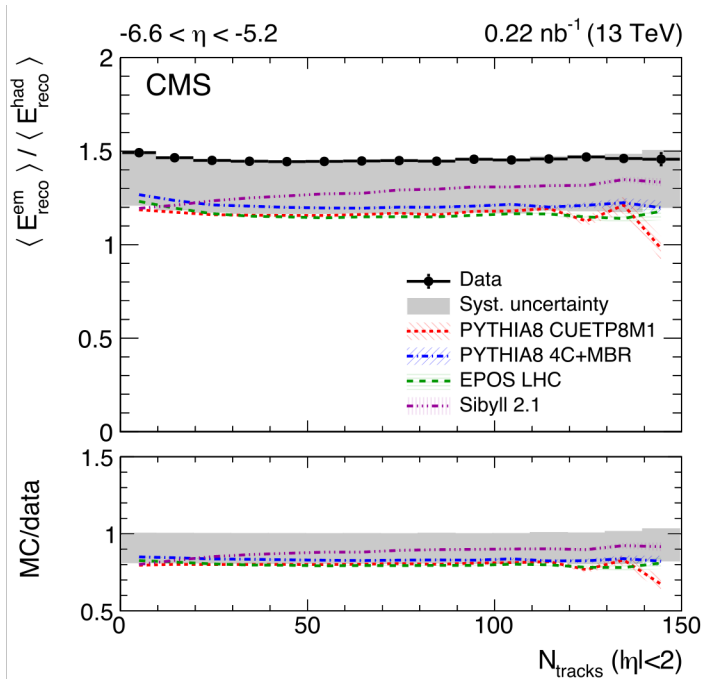
Unexpected enhancement of strangeness observed in central collisions in  $pp$ ,  $pPb$

*ALICE, Nature Phys. 13 (2017) 535*

# R in models seems too low in pp

pp @ 13 TeV

CMS collab. Eur.Phys.J. C79 (2019) no.11, 893



- CMS measurements give higher R than models for  $5.2 < |\eta| < 6.6$
- Models should have higher R and should yield even fewer muons!
- Evidence points to nuclear effects

# Proton-oxygen collisions at the LHC



We gratefully acknowledge support from the Simons Foundation and member institutions.

arXiv.org > hep-ph > arXiv:1812.06772v1

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High Energy Physics – Phenomenology

## Future physics opportunities for high-density QCD at the LHC with heavy-ion and proton beams

Z. Citron, A. Dainese, J.F. Grosse-Oetringhaus, J.M. Jowett, Y.-J. Lee, U.A. Wiedemann, M. Winn (editors), A. Andronic, F. Bellini, E. Bruna, E. Chapon, H. Dembinski, D. d'Enterria, I. Grabowska-Bold, G.M. Innocenti, C. Loizides, S. Mohapatra, C.A. Salgado, M. Verweij, M. Weber (chapter coordinators), J. Aichelin, A. Angerami, L. Apolinario, F. Arleo, N. Armesto, R. Arnaldi, M. Arstrandok, P. Azzi, R. Bailhache, S.A. Bass, C. Bedda, N.K. Behera, R. Bellwied, A. Beraudo, R. Bi, C. Bierlich, K. Blum, A. Borissov, P. Braun-Munzinger, R. Bruce, G.E. Bruno, S. Bufalino, J. Castillo Castellanos, R. Chatterjee, Y. Chen, Z. Chen, C. Cheshkov, T. Chujo, Z. Conesa del Valle, J.G. Contreras Nuno, L. Cunqueiro Mendez, T. Dahms, N.P. Dang, H. De la Torre, A.F. Dobrin, B. Doenigus, L. Van Doremalen, X. Du, A. Dubla, M. Dumancic, M. Dyndal, L. Fabbietti, E.G. Ferreira, F. Fionda, F. Fleuret, S. Floerchinger, G. Giacalone, A. Giammanco, P.B. Gossiaux, G. Graziani, V. Greco, A. Grelli, F. Grosa, M. Guilbaud, T. Gunji, V. Guzey, C. Hadjidakis, S. Hassani, M. He, I. Helenius, P. Huo, P.M. Jacobs, P. Janus, M.A. Jebramcik, J. Jia, A.P. Kalweit, H. Kim, M. Klasen, S.R. Klein, M. Klusek-Gawenda, J. Kremer, G.K. Krintiras, F. Krizek, E. Kryshen, A. Kurkela, A. Kusina, J.-P. Lansberg, R. Lea, M. van Leeuwen, W. Li, J. Margutti et al. (83 additional authors not shown)

(Submitted on 17 Dec 2018)

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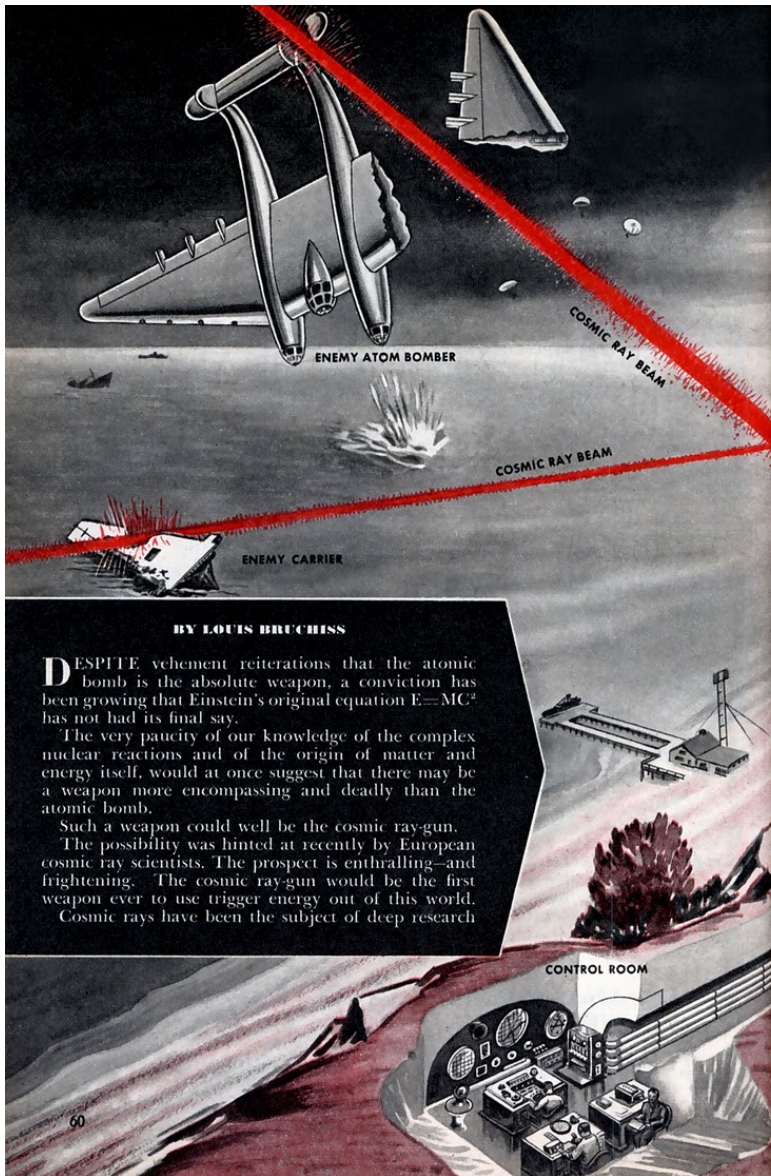
# Proposed schedule for Run 3 (2018)

Year	Systems, $\sqrt{s_{NN}}$	Time	$L_{int}$
2021	Pb–Pb 5.5 TeV	3 weeks	$2.3 \text{ nb}^{-1}$
	pp 5.5 TeV	1 week	$3 \text{ pb}^{-1}$ (ALICE), $300 \text{ pb}^{-1}$ (ATLAS, CMS), $25 \text{ pb}^{-1}$ (LHCb)
2022	Pb–Pb 5.5 TeV	5 weeks	$3.9 \text{ nb}^{-1}$
	O–O, p–O	1 week	$500 \mu\text{b}^{-1}$ and $200 \mu\text{b}^{-1}$
2023	p–Pb 8.8 TeV	3 weeks	$0.6 \text{ pb}^{-1}$ (ATLAS, CMS), $0.3 \text{ pb}^{-1}$ (ALICE, LHCb)
	pp 8.8 TeV	few days	$1.5 \text{ pb}^{-1}$ (ALICE), $100 \text{ pb}^{-1}$ (ATLAS, CMS, LHCb)
2027	Pb–Pb 5.5 TeV	5 weeks	$3.8 \text{ nb}^{-1}$
	pp 5.5 TeV	1 week	$3 \text{ pb}^{-1}$ (ALICE), $300 \text{ pb}^{-1}$ (ATLAS, CMS), $25 \text{ pb}^{-1}$ (LHCb)
2028	p–Pb 8.8 TeV	3 weeks	$0.6 \text{ pb}^{-1}$ (ATLAS, CMS), $0.3 \text{ pb}^{-1}$ (ALICE, LHCb)
	pp 8.8 TeV	few days	$1.5 \text{ pb}^{-1}$ (ALICE), $100 \text{ pb}^{-1}$ (ATLAS, CMS, LHCb)
2029	Pb–Pb 5.5 TeV	4 weeks	$3 \text{ nb}^{-1}$
Run-5	Intermediate AA	11 weeks	e.g. Ar–Ar $3\text{--}9 \text{ pb}^{-1}$ (optimal species to be defined)
	pp reference	1 week	

- $200 \mu\text{b}^{-1}$  is enough statistics to push statistical error below 5 % in LHCb
- $2 \text{ nb}^{-1}$  (10 x minimum) was requested, also allows to measure charm
- Mid-term budget plan allocated no resources to do oxygen-week in Run 3
  - Delay very bad for cosmic ray experiments, critical for **LHCf** which cannot measure after Run 3
  - Strong response by cosmic ray community in open letter from LHCf to LHCC

# Needed data for astroparticle physics

- Collider: p-p, p-Pb, p-O
  - Mimics first interaction in air shower
  - Min-bias data, ideally zero-bias data
  - ALICE, CMS mid-rapidity; LHCb forward
    - Measure rapidity distributions of identified hadrons  $\pi$ , K, p vs. centrality
  - LHCf extreme forward
    - Measure rapidity distribution of  $\pi^0$ , n
  - ATLAS, CMS, ALICE, LHCb
    - Measure  $\rho$ ,  $\phi$  for conventional lepton production
    - Measure D, B,  $\mu$  for prompt lepton production
  - Nuclear modification of these spectra in pA
  - $p_T$  not directly important, but needed to integrate  $p_T$  distribution
  - Currently ongoing (supported by German national grant)
    - Measure inclusive spectra of  $\pi$ , K, p at p-p 13 TeV, p-Pb 8.2 TeV
    - Demonstrate impact on air showers with CORSIKA 8 air shower program
- Fixed target (SMOG2): p-p(gas), Pb-p(gas), O-p(gas), p-O(gas)
  - Mimics intermediate stage in air shower
  - Same measurements as in collider mode
  - Highest cms energy ever achieved in fixed target experiment
  - $p_T$  distribution important for lateral spread of muons in air showers
  - Model/data discrepancies seen at lower cms energy by NA61
  - Complement but cannot replace p-O collider measurements due to lower  $\sqrt{s}$



BY LOUIS BRUCHISS

**D**ESPITE vehement reiterations that the atomic bomb is the absolute weapon, a conviction has been growing that Einstein's original equation  $E=MC^2$  has not had its final say.

The very paucity of our knowledge of the complex nuclear reactions and of the origin of matter and energy itself, would at once suggest that there may be a weapon more encompassing and deadly than the atomic bomb.

Such a weapon could well be the cosmic ray-gun.

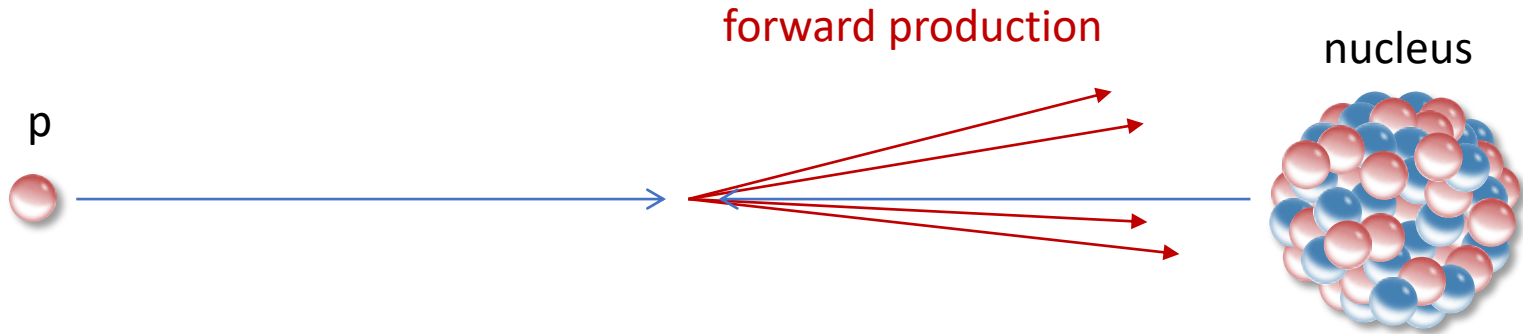
The possibility was hinted at recently by European cosmic ray scientists. The prospect is enthralling—and frightening. The cosmic ray-gun would be the first weapon ever to use trigger energy out of this world.

Cosmic rays have been the subject of deep research



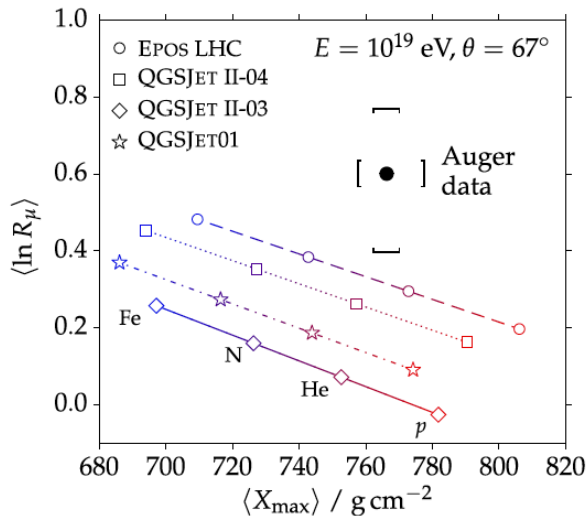
# Backup

# Nuclear effects



**For forward produced particles**  
 longitudinal parton momentum high (high  $x$ )  
 Compton wavelength small  
 Large contribution from valence quarks

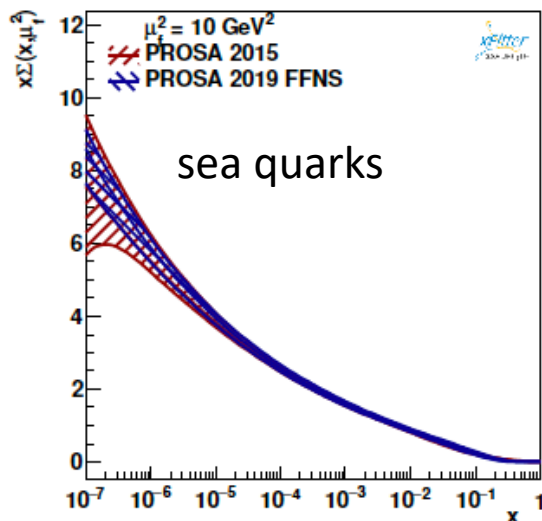
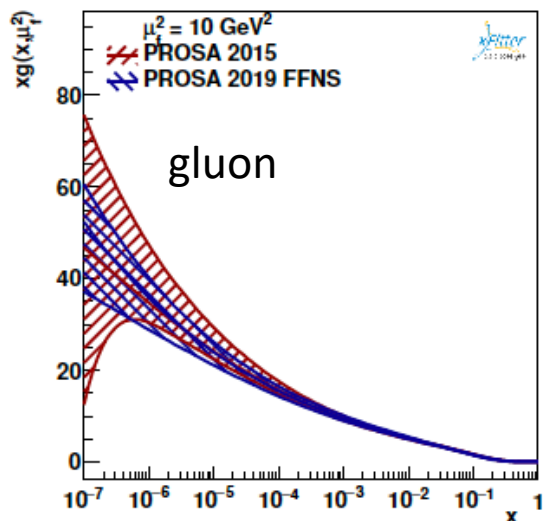
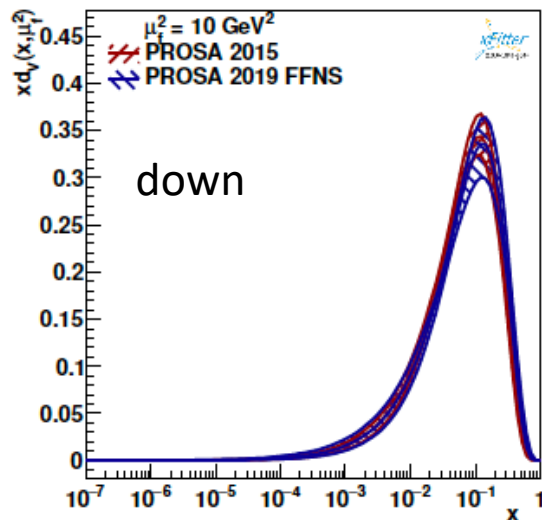
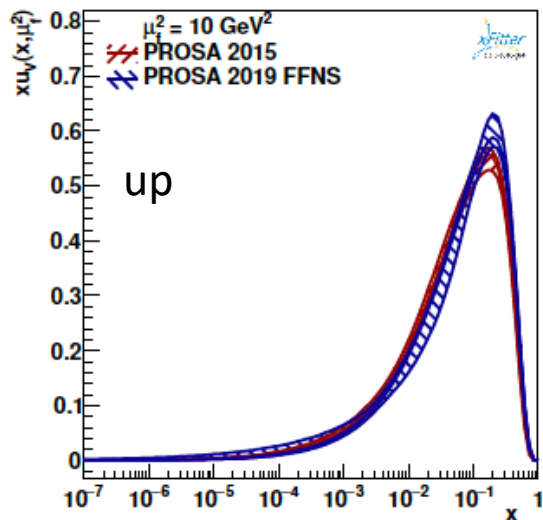
**For forward produced particles**  
 longitudinal parton momentum low (low  $x$ )  
 Compton wavelength large  
 Large contribution from gluons



- Model lines **parallel**, because of superposition in **projectile**
- Model line **offsets** from nuclear effects in **target**

- Essential: Measure  $p$ -O
- Bonus: Measure O-O

# (Proton) Parton density functions



PROSA collab.  
 JHEP04(2020)118

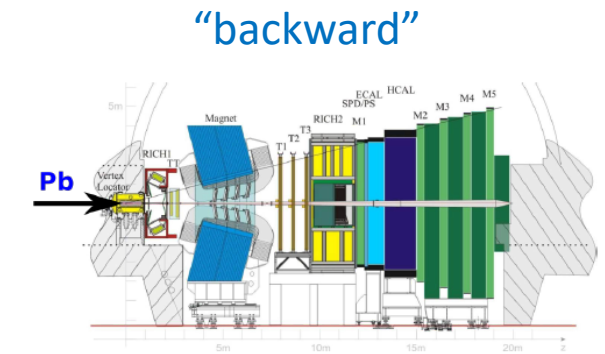
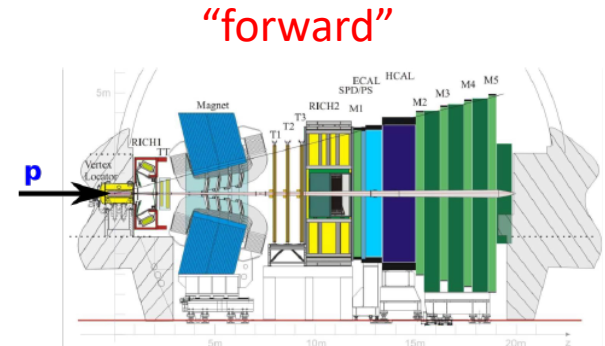
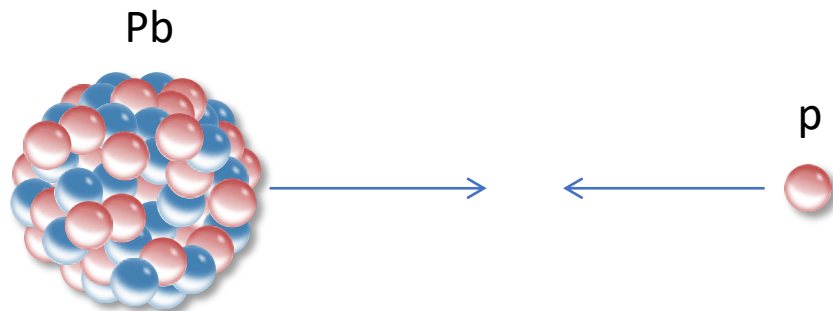
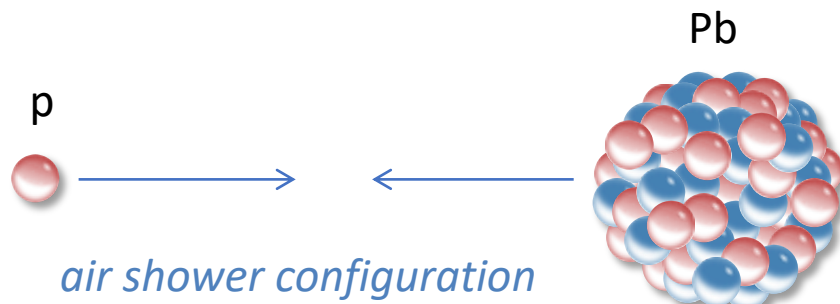
- Low x
  - Large uncertainties
  - Large contribution to cross-sections
  - Note scaling with x
- Gluon density  $\gg$  sea quark density (about 8x)
- Data down to  $x \approx 10^{-6}$

# Nuclear modification

Nuclear modification factor

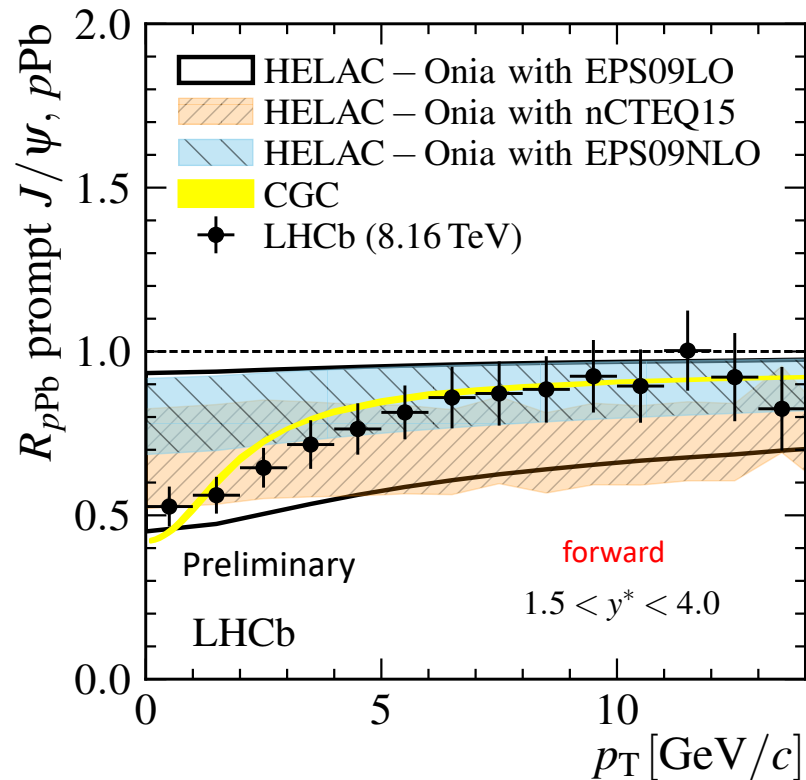
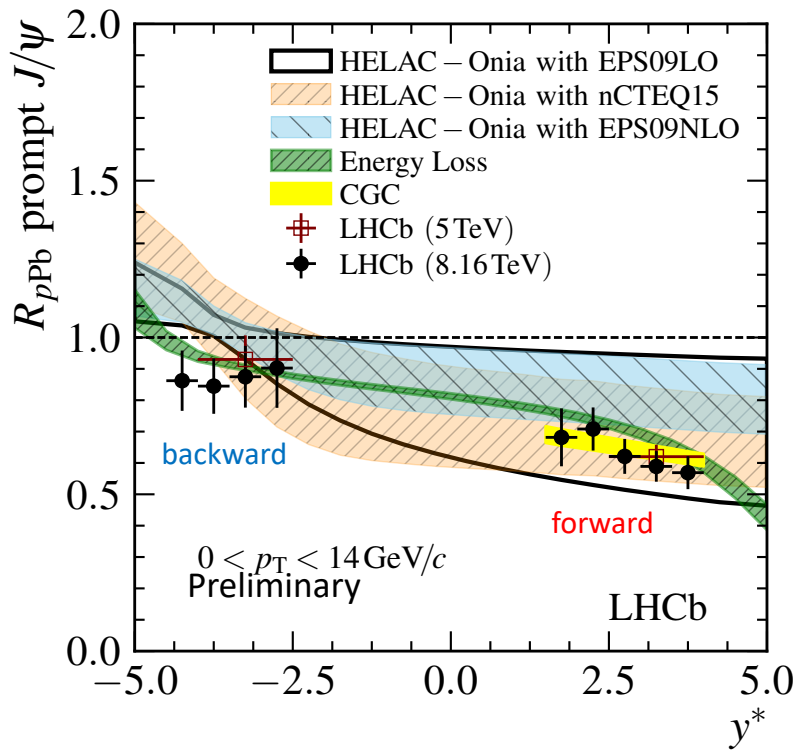
$$R_{pA} = \frac{\text{cross-section for } pA}{A \times \text{cross-section for } pp}$$

Superposition:  $R_{pA} = 1$



# Nuclear effects in forward $J/\Psi$ production

LHCb collab., *Phys. Lett. B* 774 (2017) 159

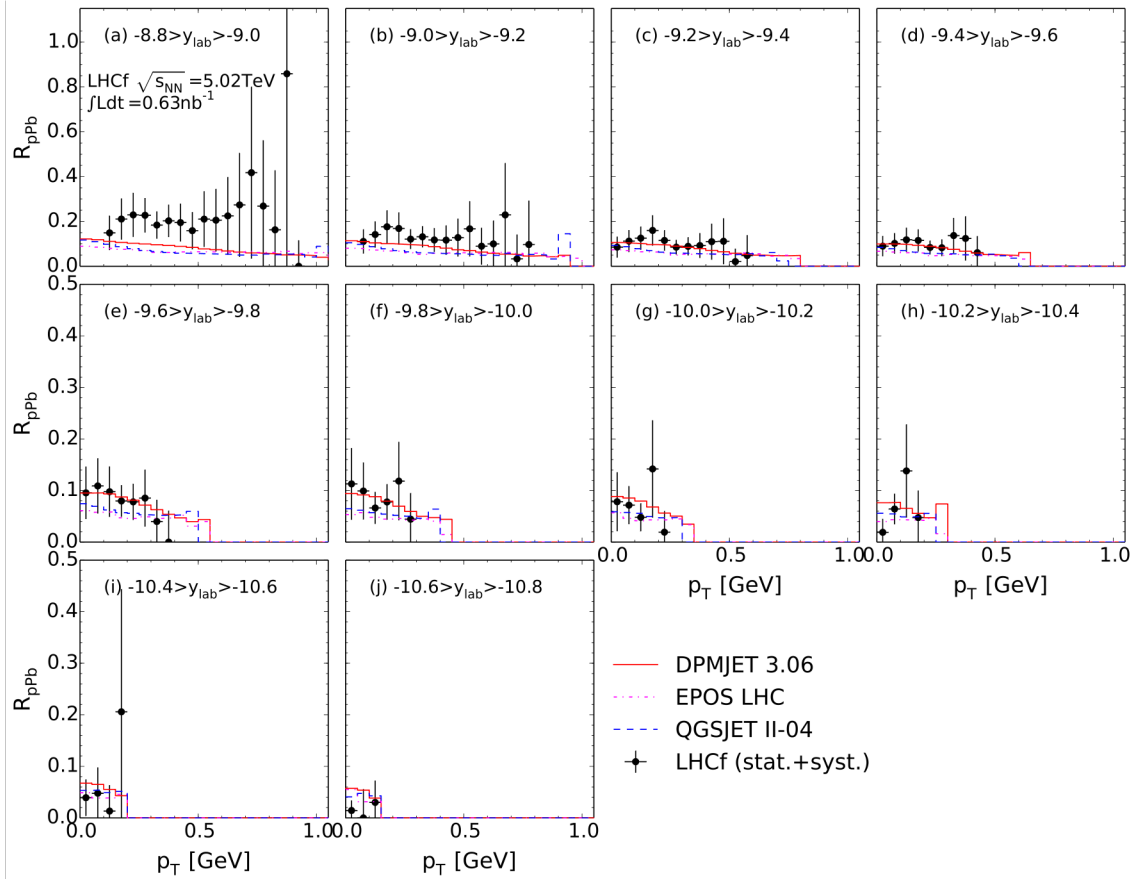


- Up to 50 % suppression in forward direction
- Especially strong where relevant for CR!



# Nuclear effects in forward $\pi^0$ production

LHCf collab., *Eur. Phys. J. C* (2013) 73:2421

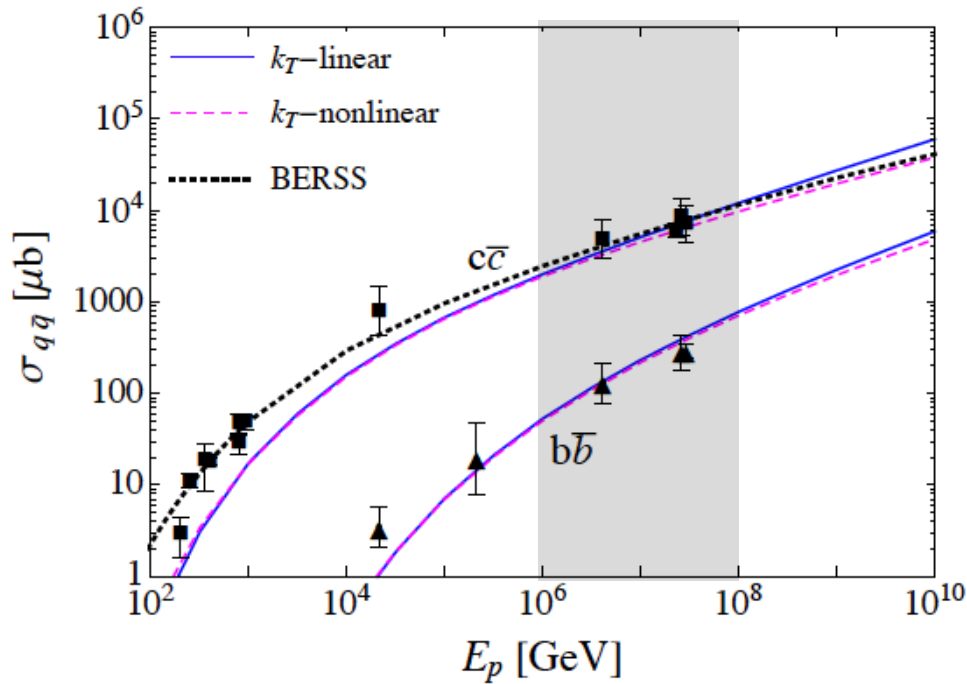


Strong suppression for  $\pi^0$  production in far forward (as predicted by current models)

# Importance of c,b-hadrons for prompt lepton production

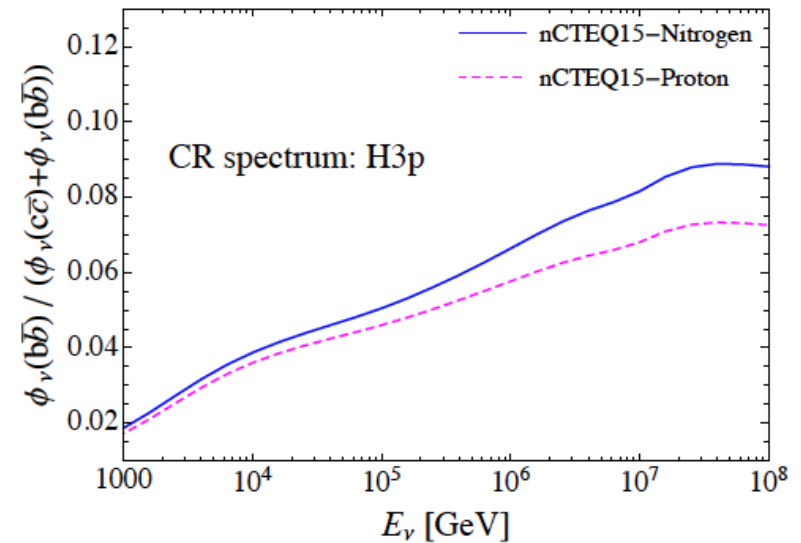
Bhattacharya et al., JHEP11(2016)167

Energy range of interest for IceCube



How to make a prompt lepton

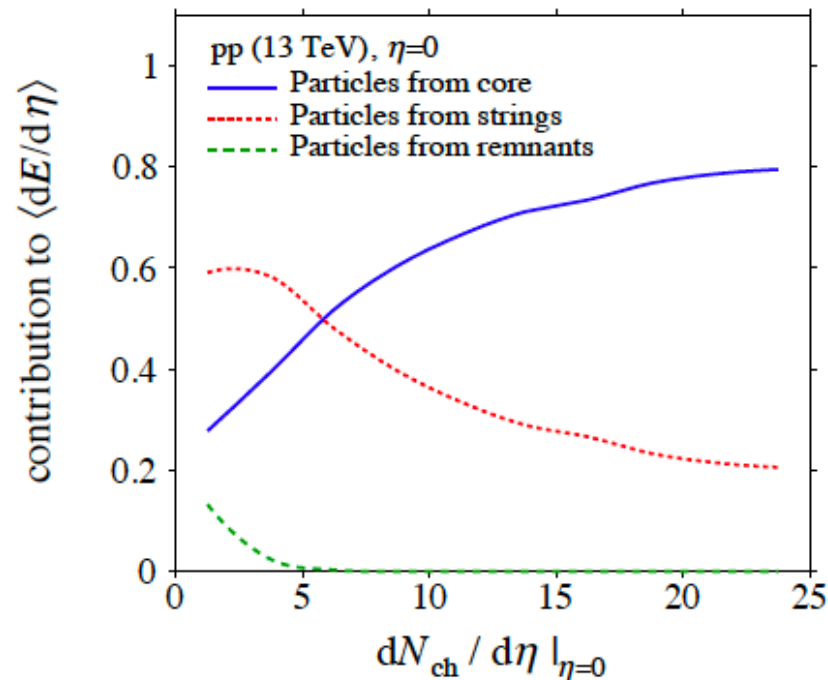
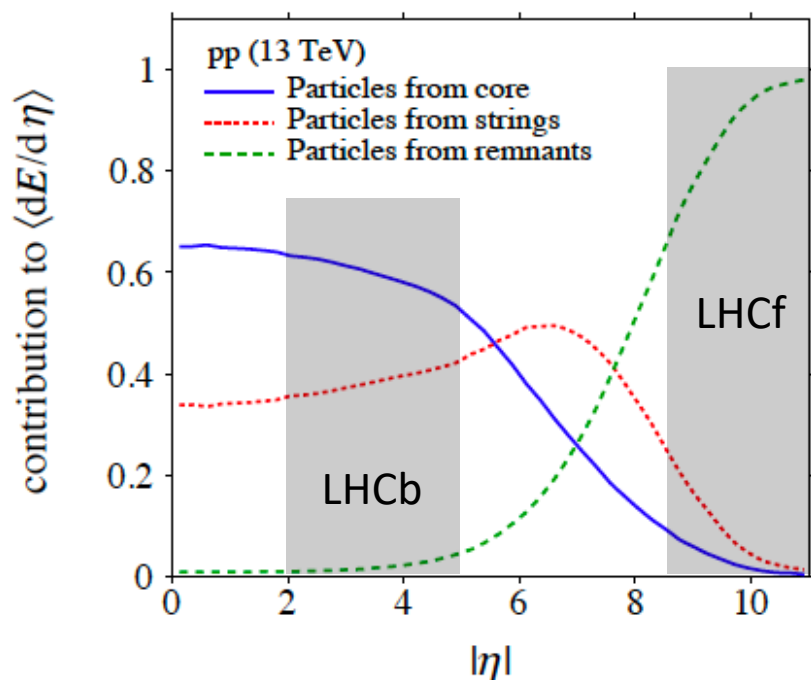
- $q\bar{q}$  -> hadronization -> decay
- Large uncertainties in hadronization
- Hadronization measured in ep, but certain effects only visible in pp



# Forward production and QGP

Baur, Dembinski, Perlin, Pierog, Ulrich, Werner (2019) arXiv:1902.09265

EPOS model: LHCb covers transition from core (QGP formation) to peripheral physics



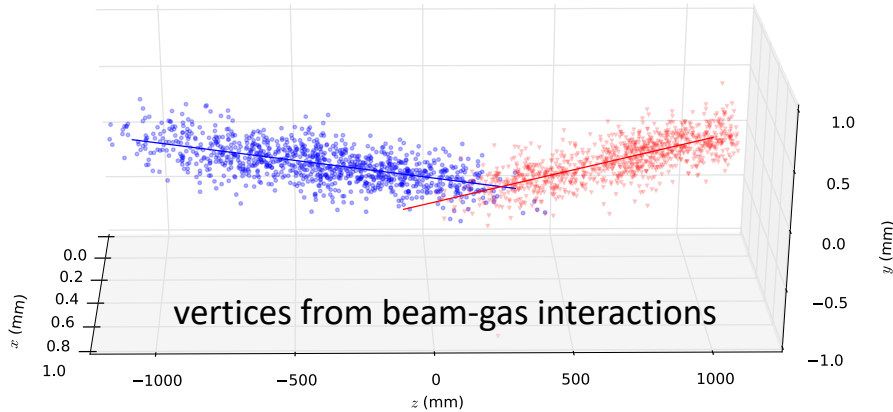
Need to measure hadron spectra as function of centrality proxy like  $dN_{ch}/d\eta|_{\eta=0}$

# LHCb SMOG system

JINST 9 (2014) P12005

LHCb data

Colin Barschel, PhD thesis 2013



## System for **M**easuring **O**verlap with **G**as

- Inject He, Ne, Ar at  $\sim 2 \times 10^{-7}$  mbar
- Designed to measure beam profile
- Allows data taking in **fixed target mode**

## SMOG2

- More gas targets  
He, Ne, Ar, Kr, Xe,  $H_2$ ,  $D_2$ ,  $N_2$ ,  $O_2$
- Higher gas density, well controlled (accurate luminosity)
- Can run parasitically during normal operation
- Smaller acceptance  $3 < \eta < 5$ , but not an issue

