

# Axion-like-particles @ light meson experiments

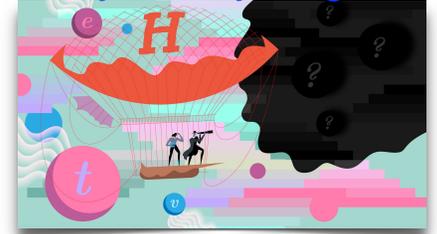
Stefania Gori  
UC Santa Cruz



Light dark world 2020  
December 15, 2020

# Outline

from symmetry magazine



## 1. Introduction

## 2. Light meson experiments: status and prospects

- \* Status of the measurement of very rare meson decays ( $K \rightarrow \pi \nu \nu$ ,  $\pi^+ \rightarrow l \nu$ ,  $\pi^+ \rightarrow \pi^0 l \nu$ )
- \* Anomalies?

## 3. Testing axion-like-particles (ALPs) at light meson experiments

- \* Testing the ALP-pion mixing at pion experiments
- \* ALP production at Kaon experiments
- \* New proposed ALP searches for the KOTO and NA62 experiments ( $K \rightarrow \pi \gamma \gamma$ )
- \* Complementarity with other experiments

### Main references for this talk

W. Altmannshofer, SG, D. Robinson, 1909.00005  
SG, G. Perez, K. Tobioka, 2005.05170

### Focus:

ALPs with masses  
above the MeV scale

# High intensity experiments & dark sectors

1. Several **flavor experiments** are coming online/will collect very large datasets in the coming years.
2. Several **fixed target experiments** are proposed for the near future.

All of these facilities can be used to search for light dark sector particles

# High intensity experiments & dark sectors

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All of these facilities can be used to search for light dark sector particles

1.

Many Standard Model (SM) **flavor violating processes** will be measured for the first time in the coming years:

For example:

$K \rightarrow \pi \nu \nu$  (KOTO and NA62)

$B \rightarrow K^{(*)} \nu \nu$  (Belle-II)

$B_d \rightarrow \mu\mu$  (LHCb)

As we will demonstrate, (some of) these decays can be easily affected by the presence of MeV scale (and above) axion-like-particles (ALPs)

# The precision frontier @ flavor factories

A big jump in luminosity is expected in the coming years

**Past/Present**

**Future**

**B-factories**

**Kaon-  
factories**

**Pion-  
factories**

# The precision frontier @ flavor factories

A big jump in luminosity is expected in the coming years

## Past/Present

## Future

### B-factories

**LHCb:** more than  $\sim 10^{12}$  b quarks produced so far;

$\sim 40$  times more b quarks will be produced by the end of the LHC;

**Belle** (running until 2010):  
 $\sim 10^9$  BB-pairs were produced.

$\sim 50$  times more BB-pairs will be produced by **Belle-II**.

.....

### Kaon-factories

**E949** at BNL:  $\sim 10^{12}$   $K^+$   
(decay at rest experiment);

**NA62** at CERN:  $\sim 10^{13}$   $K^+$   
by the end of its run  
(decay in flight experiment);

**E391** at KEK:  $\sim 10^{12}$   $K_L$

**KOTO** at JPARC:  $\sim 10^{14}$   $K_L$   
by the end of its run

.....

### Pion-factories

**PIENU experiment** at TRIUMF:  
 $\sim 10^{11}$   $\pi^+$  (still analyzing data)

?

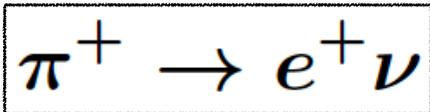
# Precision pion experiments

Several (past and present) small-scale experiments built to measure  $\pi^+$  rare decays

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$$\text{BR} \sim \frac{m_e^2 (m_\pi^2 - m_e^2)^2}{m_\mu^2 (m_\pi^2 - m_\mu^2)^2}$$

Helicity suppressed decay

Most precise measurement:

PIENU experiment @ TRIUMF

$$\text{BR}^{\text{exp}} = (1.234 \pm 0.004) \times 10^{-4}$$

Mainly stat. uncertainty

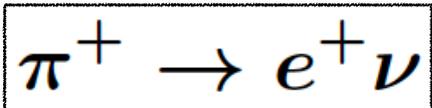
Theoretical uncertainty

~1 order of magnitude smaller!

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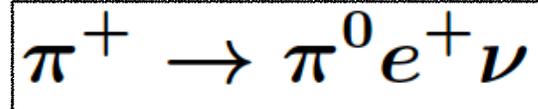
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Theoretical uncertainty

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$$\text{BR} \sim \frac{(m_{\pi^\pm} - m_{\pi^0})^5 m_{\pi^\pm}^3}{f_\pi^2 m_\mu^2 (m_{\pi^\pm}^2 - m_\mu^2)^2}$$

Phase space suppressed decay

Most precise measurement:

PIBETA experiment @ PSI

$$\text{BR}^{\text{exp}} = (1.036 \pm 0.006) \times 10^{-8}$$

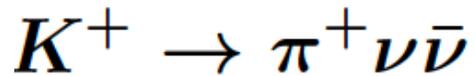
Comparable stat. and sys. uncertainties

Theoretical uncertainty

a factor of ~2 smaller

# Precision Kaon experiments

Several (past and present) small-scale experiments built to measure Kaon rare decays



NA62 @ CERN:

$$\text{BR}_{\text{exp}} = (11.0_{-3.5}^{+4.0} \pm 0.3) \times 10^{-11}$$

17 events observed  **stat.**

(expected: 5.3 background events  
+ 7.6 SM signal events)

(combination of the 2016, 2017, 2018 runs)

**3.5 $\sigma$  evidence**

Loop and GIM suppressed decays

$$\text{BR}_{\text{SM}} = (9.11 \pm 0.72) \times 10^{-11}$$

For the SM prediction, see: Brod, Gorbahn, Stamou 1009.0947;  
Buras, Buttazzo, Girbach-Noe, Kneijens, 1503.02693

# Precision Kaon experiments

Several (past and present) small-scale experiments built to measure Kaon rare decays

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

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Loop and GIM suppressed decays

$$BR_{\text{SM}} = (9.11 \pm 0.72) \times 10^{-11}$$

$$K_L \rightarrow \pi^0 \nu \bar{\nu}$$

KOTO @ J-PARC:

$$BR_{\text{exp}}^{\text{naive}} = (1.4 \pm 1.0) \times 10^{-9}$$

3 events observed

(expected: 1.05 $\pm$ 0.28 background events)

$$BR_{\text{SM}} = (3.4 \pm 0.6) \times 10^{-11}$$

# The Grossman-Nir (GN) bound

Beyond the Standard model theories can easily induce a New Physics (NP) effect in these very rare Kaon decays.

Generically, the NP effects in the  $K^+$  and in the  $K_L$  decay are highly correlated.

# The Grossman-Nir (GN) bound

Beyond the Standard model theories can easily induce a New Physics (NP) effect in these very rare Kaon decays.

Generically, the NP effects in the  $K^+$  and in the  $K_L$  decay are highly correlated.

From an EFT perspective:

$$\mathcal{H}_{\text{eff}} = \frac{c_1}{\Lambda^2} (\bar{s}_L \gamma_\mu d_L) (\bar{\nu}_\ell \gamma^\mu \nu_\ell) + \frac{c_2}{\Lambda^2} (\bar{s}_R \gamma_\mu d_R) (\bar{\nu}_\ell \gamma^\mu \nu_\ell)$$

SM operator

$$\mathbf{X} = \underbrace{\frac{V_{ts}^* V_{td}}{\lambda^5} X(x_t) + \frac{\text{Re}(V_{cs}^* V_{cd})}{\lambda} P_{c,u}}_{\text{SM contribution}} + \frac{1}{\lambda^5} (c_1 + c_2)$$

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \propto F_0 (\text{Im} \mathbf{X})^2 \quad (\text{CP violating})$$

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \propto F_+ |\mathbf{X}|^2 \quad (\text{CP conserving})$$

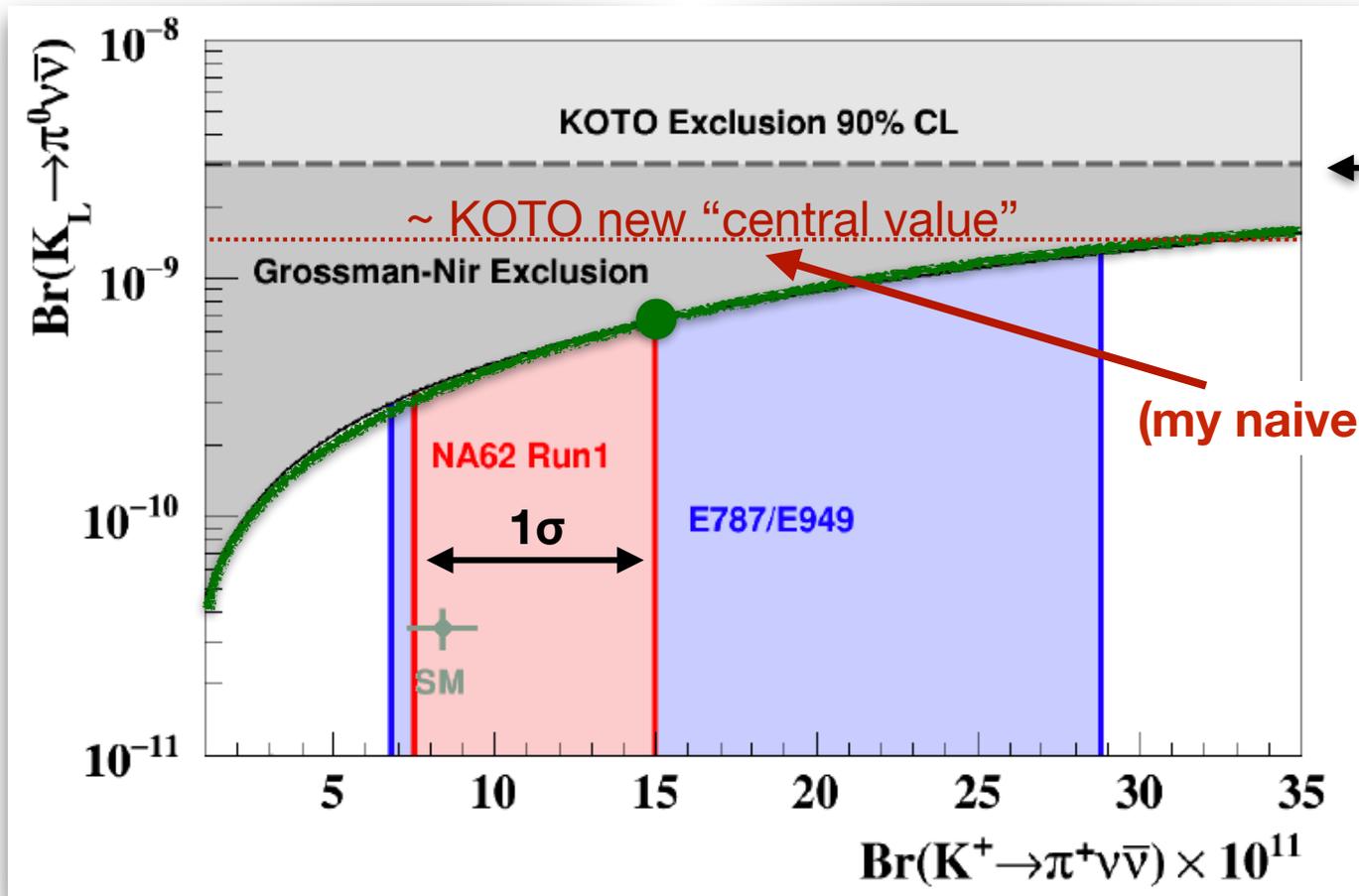
because of the isospin symmetry,  
the form factors:  $F_0 \sim F_+$

Grossman-Nir bound  
(model independent):

$$\frac{\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu})}{\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})} < 4.3$$

hep-ph/9701313

# How do the NA62 & KOTO results compare?



← 2015 data

$$\frac{\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu})}{\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})} < 4.3$$

(my naive estimate!)

**Light ( $< m_K$ ) new physics** would be required if this will turn out to be a “real” anomaly

It cannot be described by a EFT

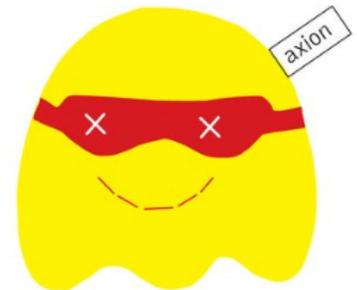
Marchevski talk @ ICHEP

# How to test ALPs at these experiments

For concreteness,

we will consider two benchmark scenarios:

1. ALP-pion mixed scenario  pion experiments
2. ALP-GG coupled scenario  kaon experiments  
(+ comment on the KOTO anomaly)



$$a - \pi^0$$

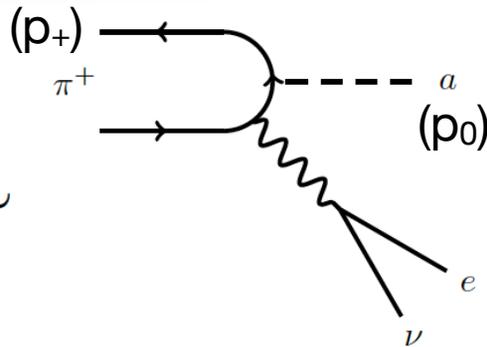
$$a G_{\mu\nu} \tilde{G}^{\mu\nu}$$

# 1. Pion-ALP mixed scenario & pion decays

$$\pi^+ \rightarrow ae^+\nu$$

Not helicity suppression, nor phase space suppression!

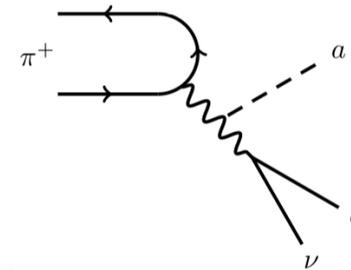
$$\mathcal{A}[\pi^+ \rightarrow ae\nu] \sim$$



$$\begin{aligned} \mathcal{A}^\mu &\simeq \langle a | \pi^{*0} \rangle \langle \pi^{*0} | \bar{d} \gamma^\mu u | \pi^+ \rangle \\ &\equiv \sin \vartheta \langle \pi^{*0} | \bar{d} \gamma^\mu u | \pi^+ \rangle \\ &\quad \text{mixing angle} \end{aligned}$$

Other contributions are generically suppressed:

$$\sum_{M^+} \langle 0 | \bar{d} \gamma^\mu u | M^+ \rangle \langle M^+ a | \bar{q} \not{p}_a \gamma^5 q | \pi^+ \rangle \sim m_a^2 / m_\rho^2$$



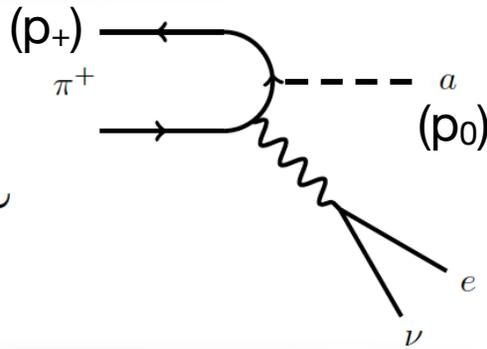
electroweak  
suppressed

# 1. Pion-ALP mixed scenario & pion decays

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$$\langle \pi^{*0} | \bar{d} \gamma^\mu u | \pi^+ \rangle = c_\pi \left[ f_+(p_+^\mu + p_0^\mu) + (f_0 - f_+) \frac{m_+^2 - m_0^2}{q^2} (p_+^\mu - p_0^\mu) \right]$$

← form factors →
← ALP mass →

$$f_+(q^2) \simeq 1 \quad \text{as long as } q^2 \text{ is small} \quad \Rightarrow \quad m_0 > \sim 10 \text{ MeV}$$

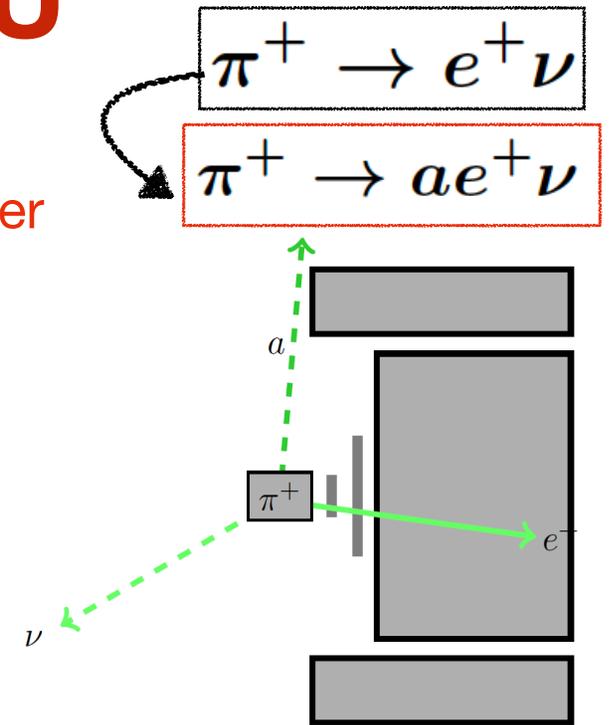
Theory: better understanding of form factors is needed to probe lighter ALPs!

$$\frac{\text{BR}[\pi^+ \rightarrow ae^+\nu]}{\text{BR}[\pi^+ \rightarrow e^+\nu]} \sim \frac{m_0^4 \sin^2 \vartheta}{f_\pi^2 m_\mu^2 (1 - m_e^2/m_+^2)^2} \times \int_1^{\frac{(m_0^2 + m_+^2)}{2m_0 m_+}} (w^2 - 1)^{3/2} dw$$

$$w = \frac{m_+^2 + m_0^2 - q^2}{2m_+ m_0}$$

# ALPs at PIENU

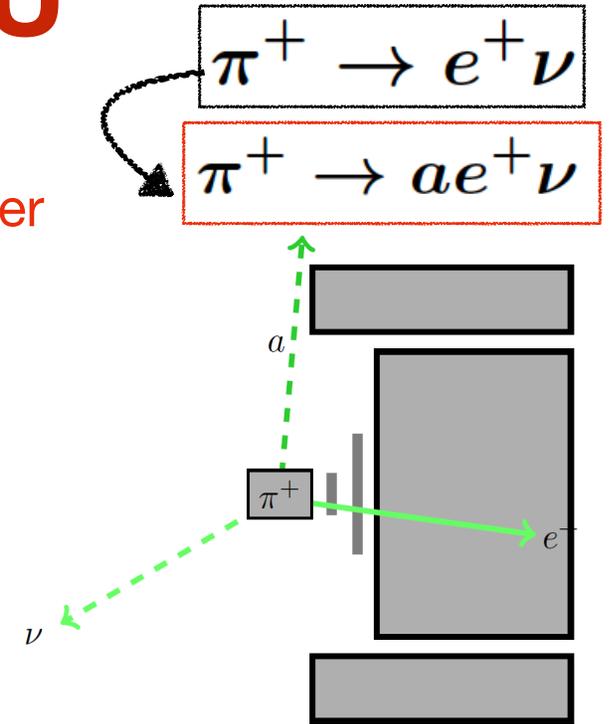
The production of the ALP will affect the energy spectrum measured by the PIENU calorimeter



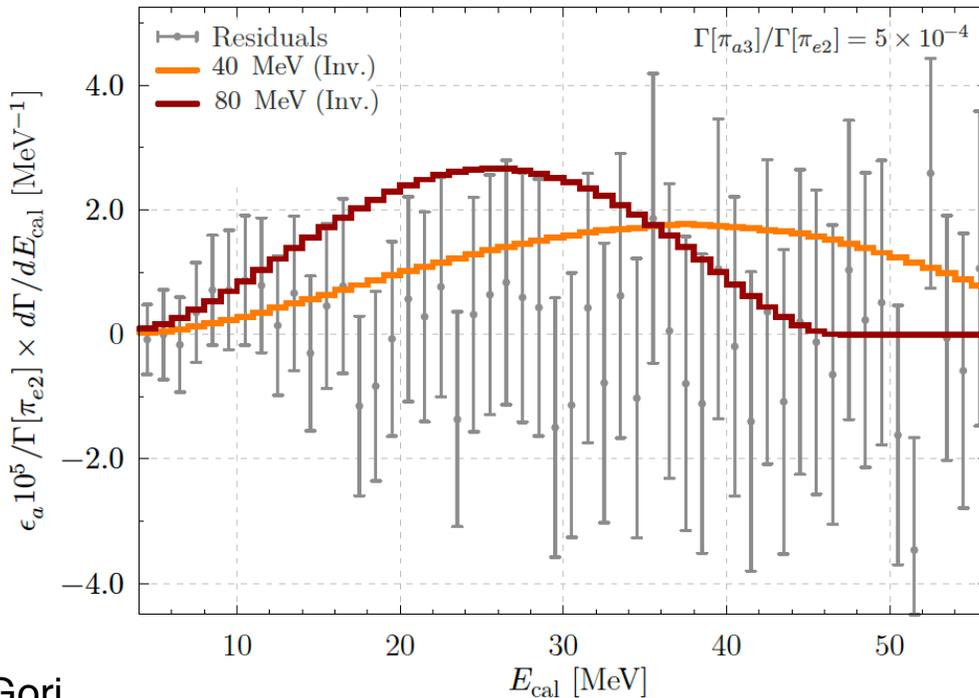
# ALPs at PIENU

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1. Invisible regime: the energy spectrum of the positron depends on the ALP mass.



W. Altmannshofer, SG, D. Robinson, 1909.00005

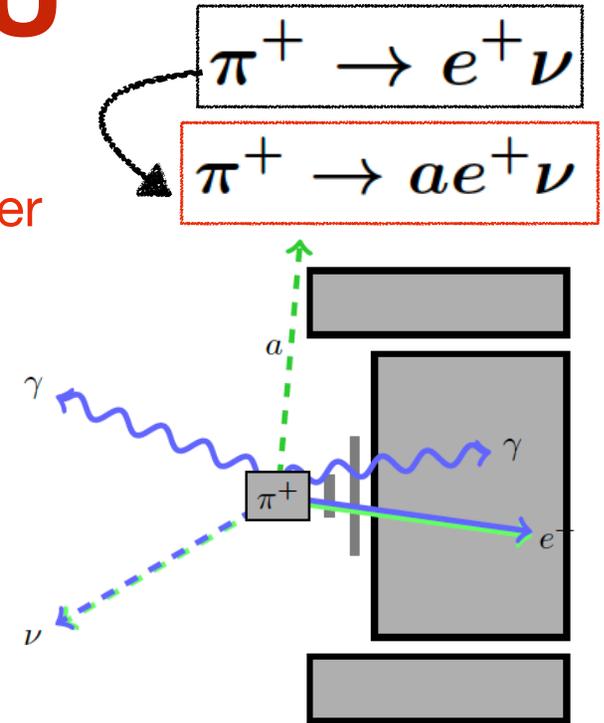
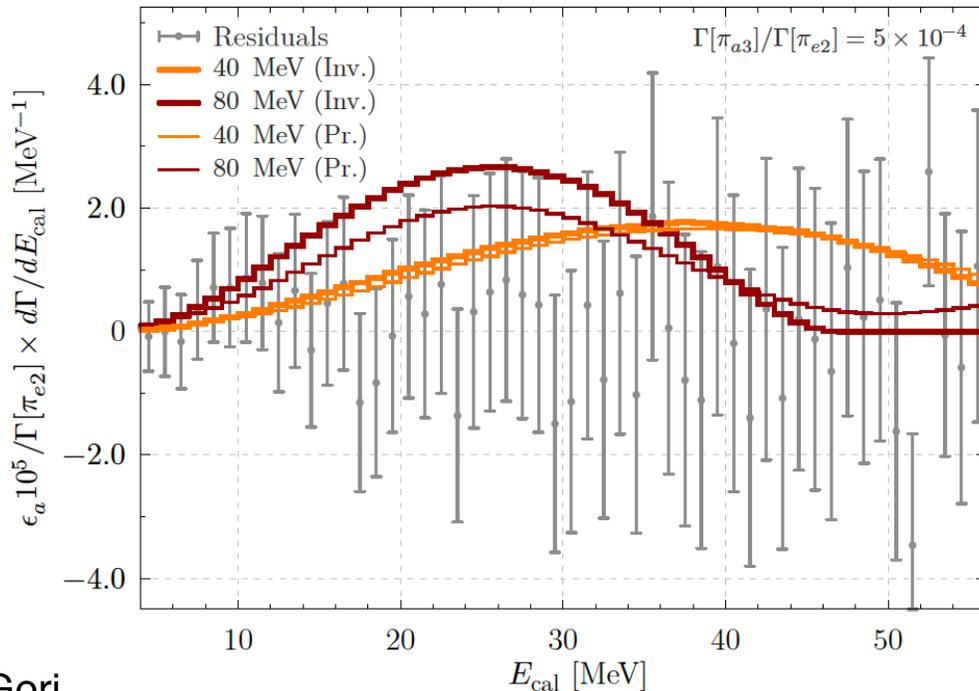


# ALPs at PIENU

The production of the ALP will affect the energy spectrum measured by the PIENU calorimeter

1. Invisible regime: the energy spectrum of the positron depends on the ALP mass.
2. Prompt regime: the energy measured by the calorimeter can get a contribution from the photons produced from the ALP decay.

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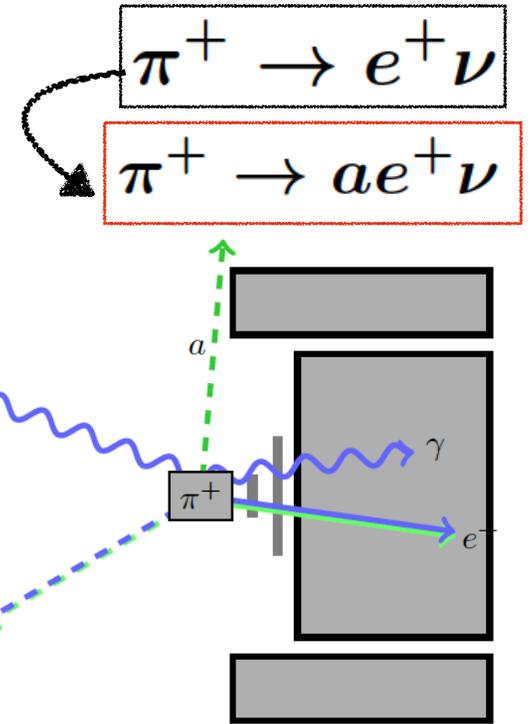
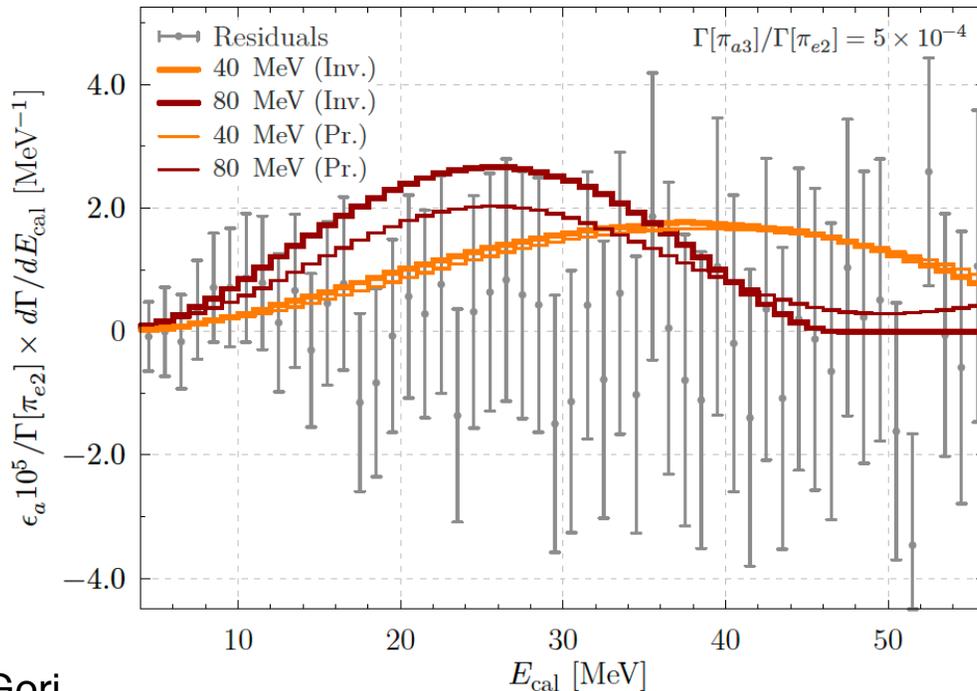


# ALPs at PIENU

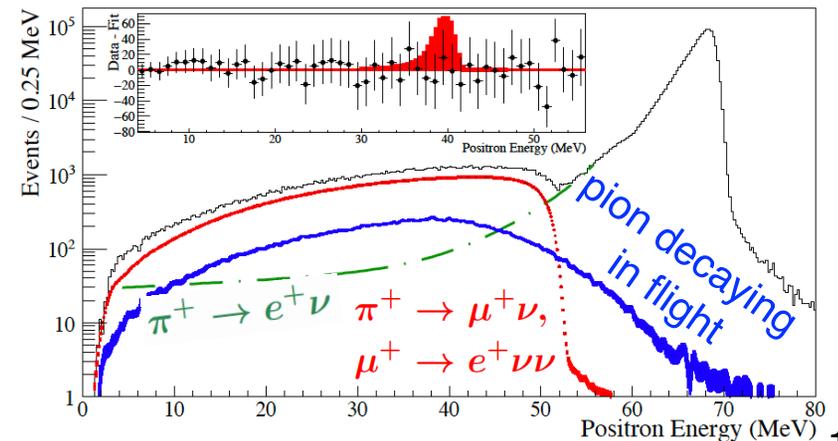
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W. Altmannshofer, SG, D. Robinson, 1909.00005



We can compare these distributions with the PIENU residuals:



# ALPs at PIBETA

The production of the ALP will affect the photon spectrum measured by PIBETA

$$\pi^+ \rightarrow \pi^0 e^+ \nu$$

$$\pi^0 \rightarrow \gamma\gamma$$

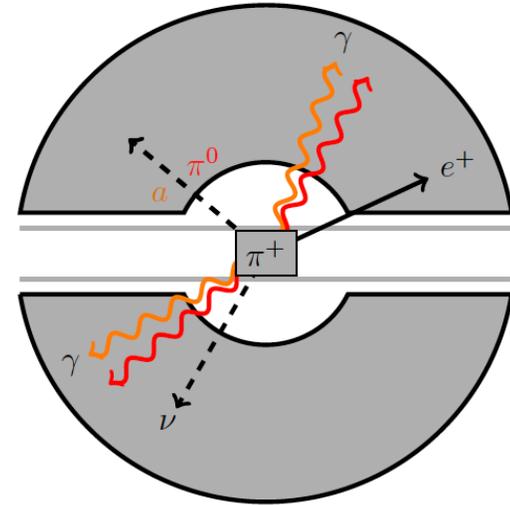
will be produced  
~ back to back

vs.

$$\pi^+ \rightarrow a e^+ \nu$$

$$a \rightarrow \gamma\gamma$$

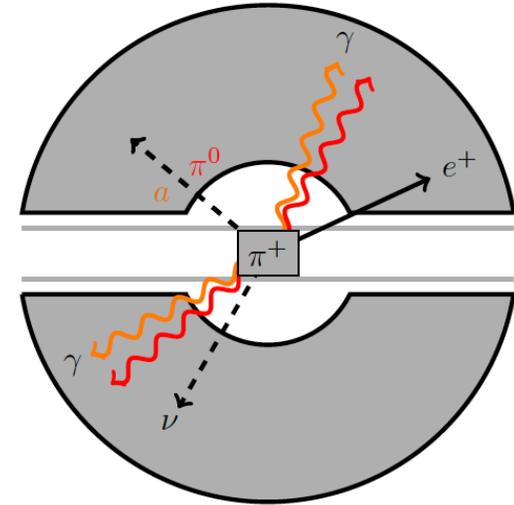
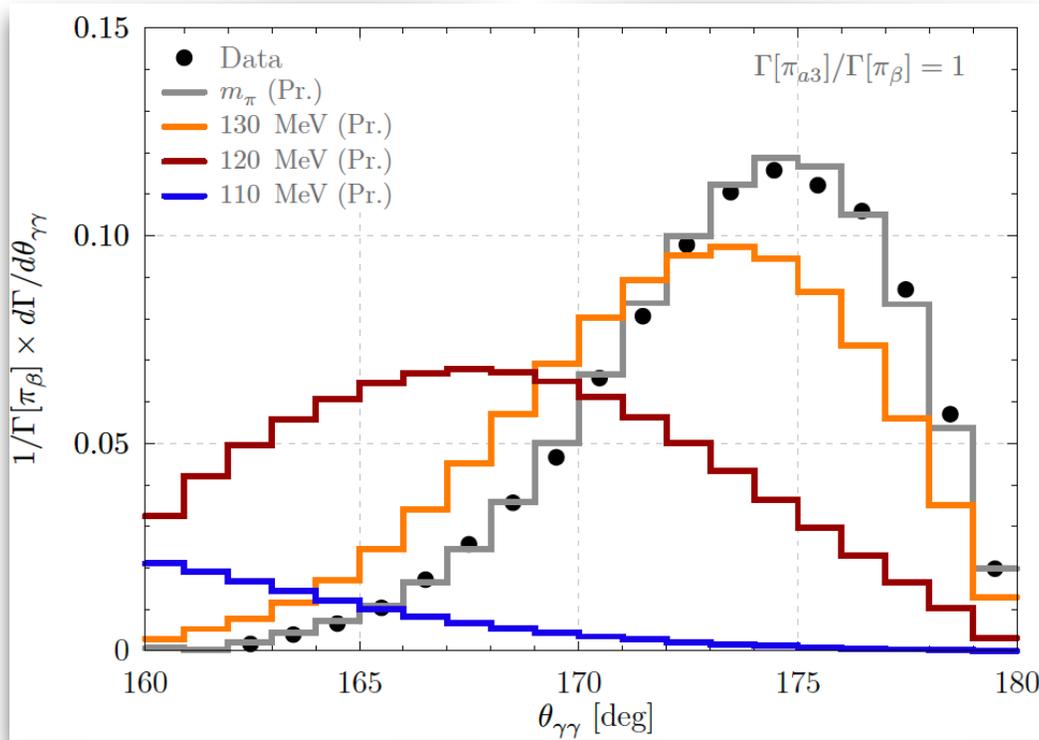
will have a smaller  
opening angle



$$-1 \leq \cos \theta_{\gamma\gamma} \leq -1 + 2 \left( \frac{m_{\pi^+}^2 - m_a^2}{m_{\pi^+}^2 + m_a^2} \right)^2$$

# ALPs at PIBETA

The production of the ALP will affect the photon spectrum measured by PIBETA



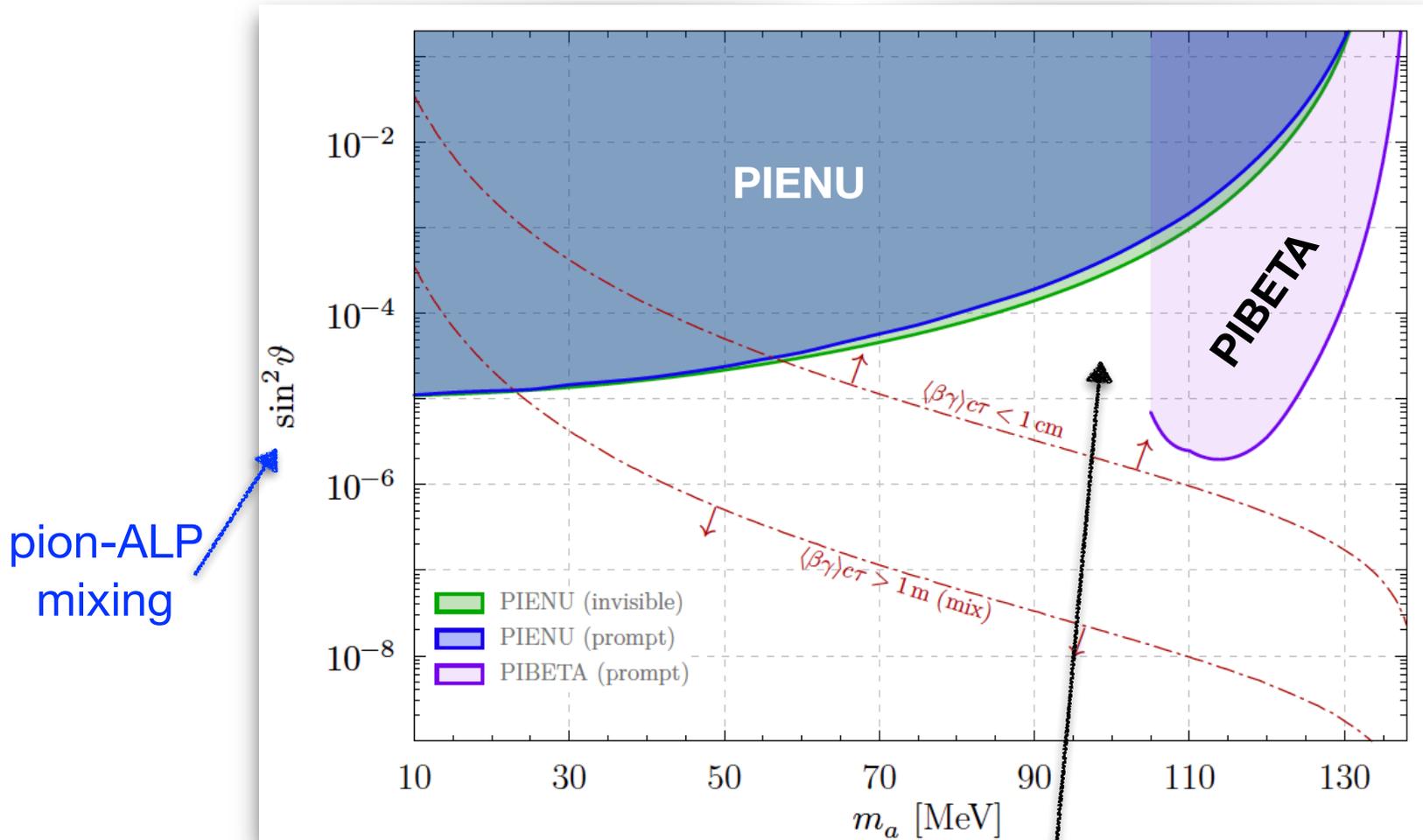
$$-1 \leq \cos \theta_{\gamma\gamma} \leq -1 + 2 \left( \frac{m_{\pi^+}^2 - m_a^2}{m_{\pi^+}^2 + m_a^2} \right)^2$$

Unfortunately the PIBETA collaboration does not report residuals.



We require that the integrated contribution in (160-180) deg is smaller than the experimental uncertainty in the  $\text{BR}(\pi^+ \rightarrow \pi^0 e^+ \nu)$

# ALP bounds at pion experiments



Possibility to go to lower masses  
at future experiments  
(data at smaller angles!)

## 2. GG-coupled ALP simplified model

$$\frac{\alpha_s}{8\pi F_a} a G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

ALP interactions with SM mesons

$$\mathcal{L}_{eff} = \frac{iF_\pi^2}{4} \frac{\partial_\mu a}{F_a} \text{Tr}[\tilde{\kappa}_q(\Sigma^\dagger D^\mu \Sigma - \Sigma D^\mu \Sigma^\dagger)] + \frac{F_\pi^2}{2} B_0 \text{Tr}[\Sigma \mathbf{m}^\dagger + \mathbf{m}^\dagger \Sigma^\dagger]$$

Kinetic mixing

Mass mixing

$$\mathbf{m} = \exp\left(i\kappa_q \frac{a}{2F_a} \gamma_5\right) \cdot m_q \cdot \exp\left(i\kappa_q \frac{a}{2F_a} \gamma_5\right) \quad \tilde{\kappa}_q = \text{diag}(\kappa_q), \quad \kappa_q = \frac{1}{m_q} / \sum_{q'} \left(\frac{1}{m_{q'}}\right)$$

$$\Sigma \equiv \exp[2i\Pi/F_\pi], \quad \Pi \equiv \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} + \frac{\eta_0}{\sqrt{3}} & & & \\ & \pi^- & & \\ & & -\frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} + \frac{\eta_0}{\sqrt{3}} & \\ & & & \bar{K}^0 & \\ & & & & -2\frac{\eta_8}{\sqrt{6}} + \frac{\eta_0}{\sqrt{3}} \end{pmatrix} \begin{matrix} K^+ \\ K^0 \\ K^- \end{matrix}$$

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$$\left\{ \begin{array}{l} \theta_{\pi a} \simeq \frac{F_\pi}{2F_a} (\kappa_u - \kappa_d) \frac{m_a^2}{m_a^2 - m_{\pi^0}^2} \\ \theta_{\eta a} \simeq \frac{F_\pi}{F_a} \frac{\sqrt{2}m_a^2 [\kappa_u + \kappa_d - 2\kappa_s] \cos \theta_{\eta\eta'} - 2(m_a^2 [\kappa_u + \kappa_d + \kappa_s] - 6\Delta m_{\pi^0}^2) \sin \theta_{\eta\eta'}}{2\sqrt{6}(m_a^2 - m_\eta^2)} \end{array} \right.$$

Kinetic mixing with the **pion** of the SM

Kinetic mixing and mass mixing with the **eta** of the SM

(mass mixing is due to the eta-eta' mixing,  $\theta_{\eta\eta'}$ )

# Theory prediction for $K \rightarrow \pi a$

The ALP-pion and ALP-eta mixing will induce

- \* an effective  $K$ - $\pi$ -ALP coupling      ( $K \rightarrow a\pi$ )
- \* an ALP coupling to photons      ( $a \rightarrow \gamma\gamma$ )

# Theory prediction for $K \rightarrow \pi a$

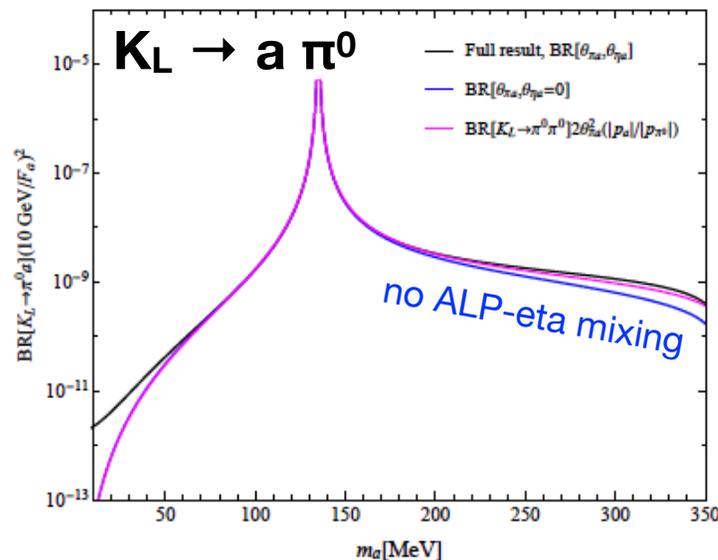
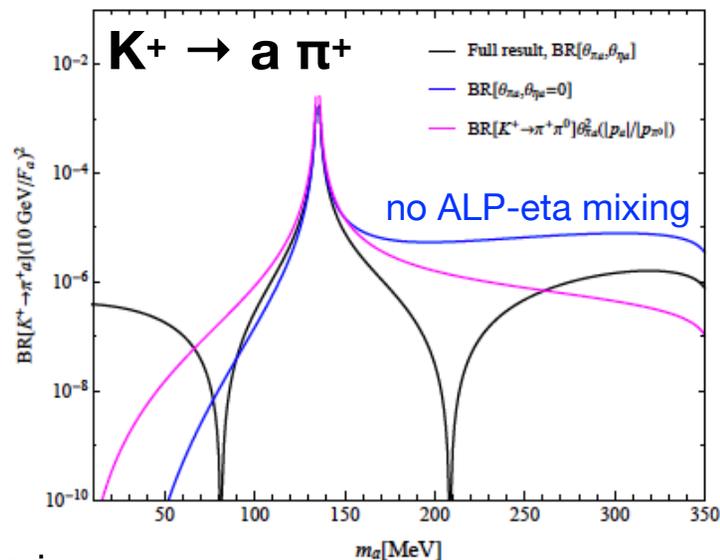
The ALP-pion and ALP-eta mixing will induce

- \* an effective K- $\pi$ -ALP coupling ( $K \rightarrow a\pi$ )
- \* an ALP coupling to photons ( $a \rightarrow \gamma\gamma$ )

At low energy, the two operators responsible for  $s \rightarrow d$  transitions are

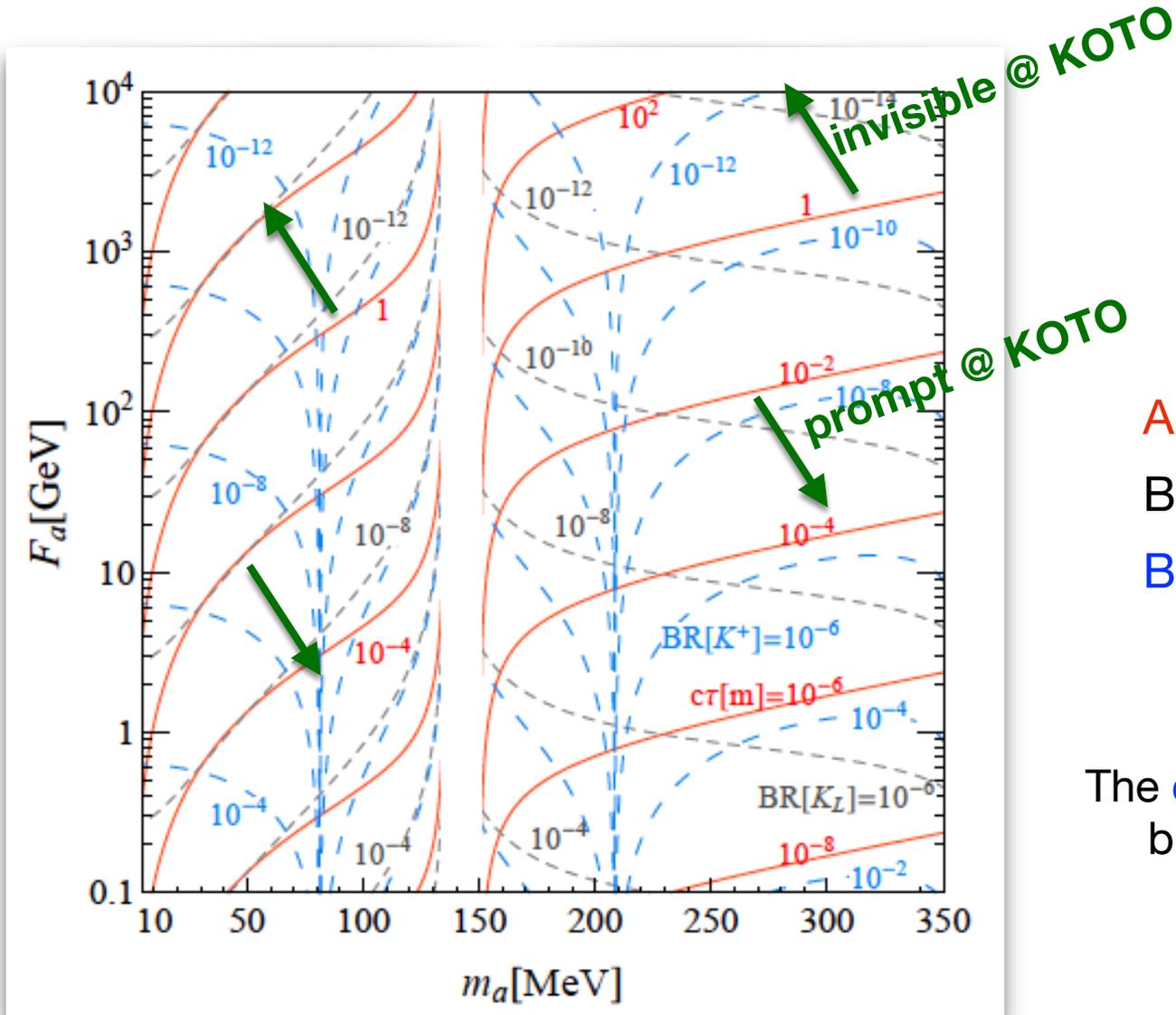
$$\mathcal{L}_{\Delta S=1} = G_8 F_\pi^4 \text{Tr}[\lambda_{sd} D^\mu \Sigma^\dagger D_\mu \Sigma] + G_{27} F_\pi^4 \left( L_{\mu 23} L_{11}^\mu + \frac{2}{3} L_{\mu 21} L_{13}^\mu \right) + h.c.$$

$$\begin{aligned} \pi^0 &\rightarrow \pi_{\text{phy}}^0 + \theta_{\pi a} a_{\text{phy}} \\ \eta &\rightarrow \eta_{\text{phy}} + \theta_{\eta a} a_{\text{phy}} \end{aligned} \quad L_\mu \equiv i\Sigma^\dagger D_\mu \Sigma, \quad \lambda_{sd} \equiv \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$



Note:  
possible additional  
UV contributions

# GG-coupled ALP pheno



ALP lifetime (in meters)

$\text{BR}(K_L \rightarrow \pi a)$

$\text{BR}(K^+ \rightarrow \pi^+ a)$

The charged BR is typically larger but there are regions where

$$\frac{\text{BR}(K_L \rightarrow \pi^0 a)}{\text{BR}(K^+ \rightarrow \pi^+ a)} \gg 1$$

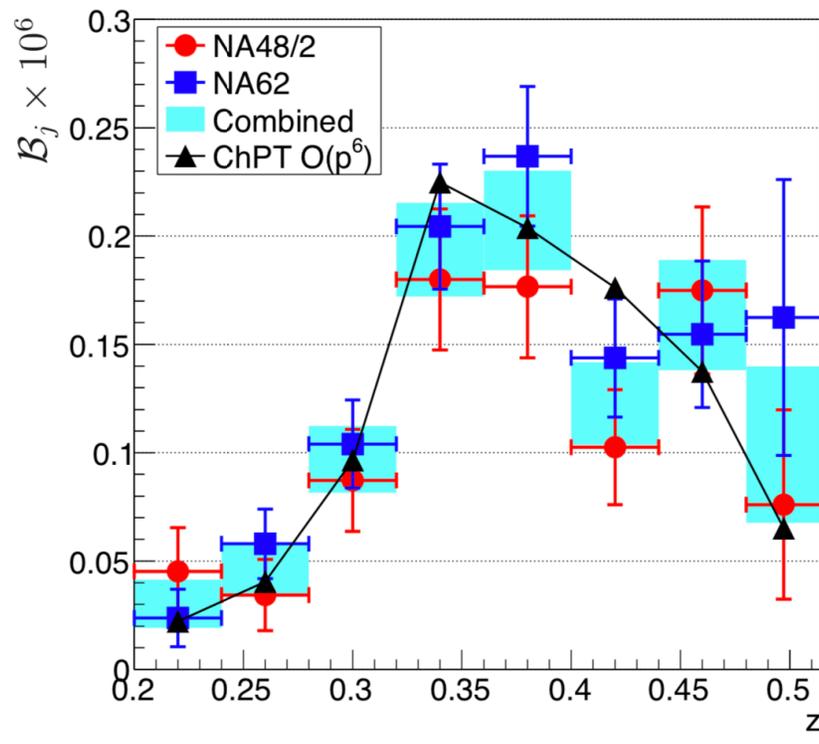
# $K \rightarrow \pi X, X \rightarrow \gamma \gamma$ (charged mode)

$K^+ \rightarrow \pi^+ \gamma \gamma$  has been searched for at:

\* at the **E949** experiment with the requirements ([hep-ex/0505069](https://arxiv.org/abs/hep-ex/0505069))

- Photons originate within 80 cm of the stopped Kaon
- $p_{\pi^+} > 213$  MeV

\* at the **NA62/48** experiment ([1402.4334](https://arxiv.org/abs/1402.4334))



**NA62** did not perform the search (yet).  
 In the following, we will rescale  
 the NA48/62 bound with  $\sqrt{L}$   
 considering a downscaling  
 trigger factor of 400.

$$220 \text{ MeV} \lesssim m_{\gamma\gamma} \lesssim 350 \text{ MeV}$$

$$z = (m_{\gamma\gamma}/m_K)^2$$

$\sim 10^9$   $K^+$  in the fiducial region

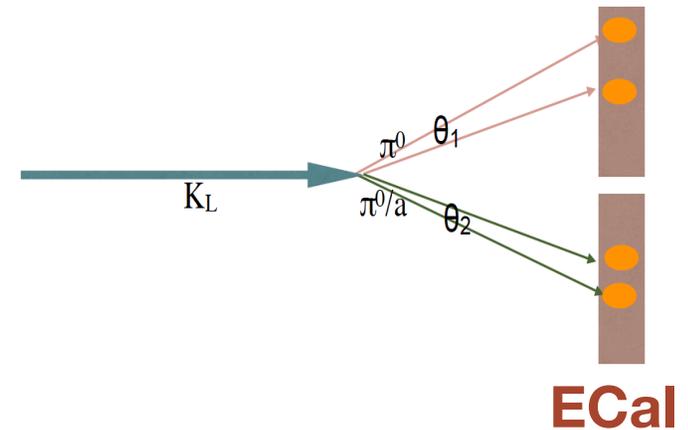
# $K \rightarrow \pi X, X \rightarrow \gamma\gamma$ (neutral mode)

\* KTeV analysis for  $K_L \rightarrow \pi^0 \gamma\gamma$

\* Our new proposed search for KOTO:  $K_L \rightarrow \pi^0 X \rightarrow 4\gamma$

Challenges of  
the search:

- the decay point is unknown (only ECal, no tracker)
- combinatorics of  $\gamma\gamma$  pairs



# $K \rightarrow \pi X, X \rightarrow \gamma\gamma$ (neutral mode)

\* KTeV analysis for  $K_L \rightarrow \pi^0 \gamma\gamma$

\* Our new proposed search for KOTO:  $K_L \rightarrow \pi^0 X \rightarrow 4\gamma$

Challenges of the search:

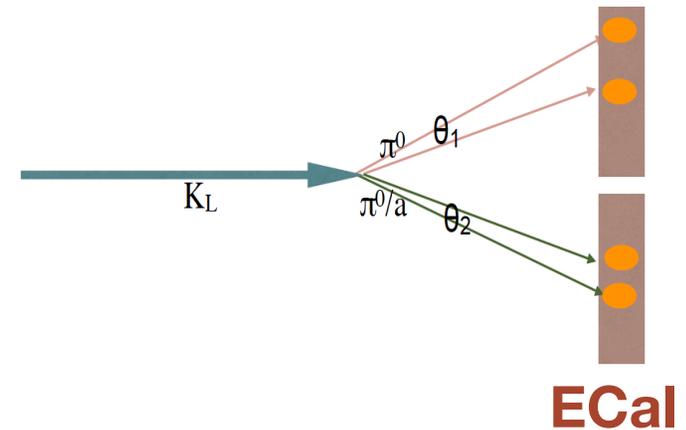
- the decay point is unknown (only ECal, no tracker)
- combinatorics of  $\gamma\gamma$  pairs

Main ingredients :

1. We derive the  $K_L$  decay vertex location of the 6 possible di-photon pair combinations, assuming

$$m_{\gamma_i \gamma_j}^2 = m_{\pi^0}^2$$

2. Require  $m_{4\gamma} \simeq m_{K_L}$  to find a correct pair



Importance of a good vertex resolution! ( $\sim 5\text{cm}$ ) and small energy smearing ( $\sim 2\%$ )

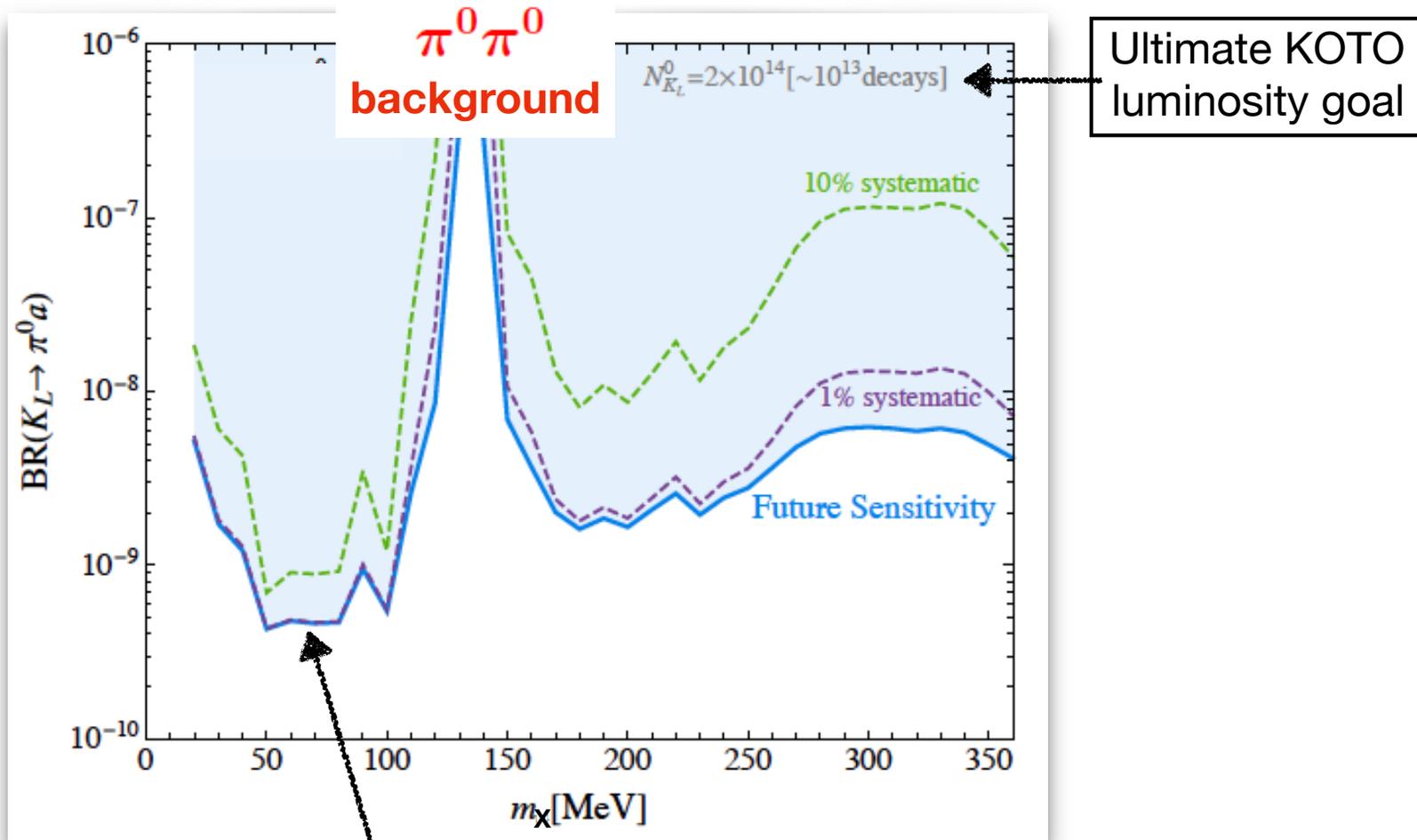
We simulate the **main sources of background**:

$$K_L \rightarrow \pi^0 \pi^0, K_L \rightarrow \pi^0 \gamma\gamma$$

mainly for  $m_a \sim m_{\text{pion}}$

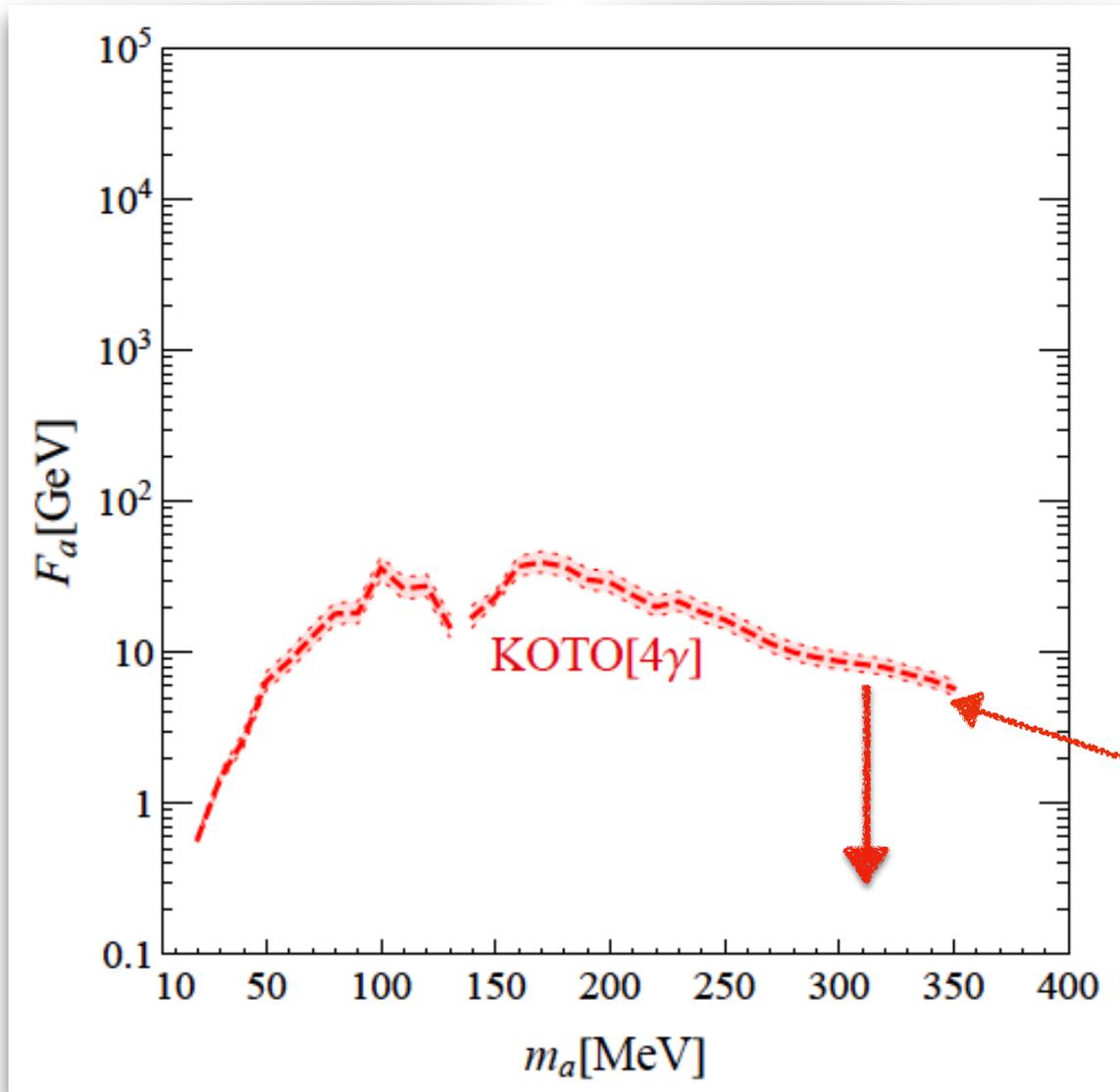
# The KOTO reach

$$K_L \rightarrow \pi^0 X \rightarrow 4\gamma$$



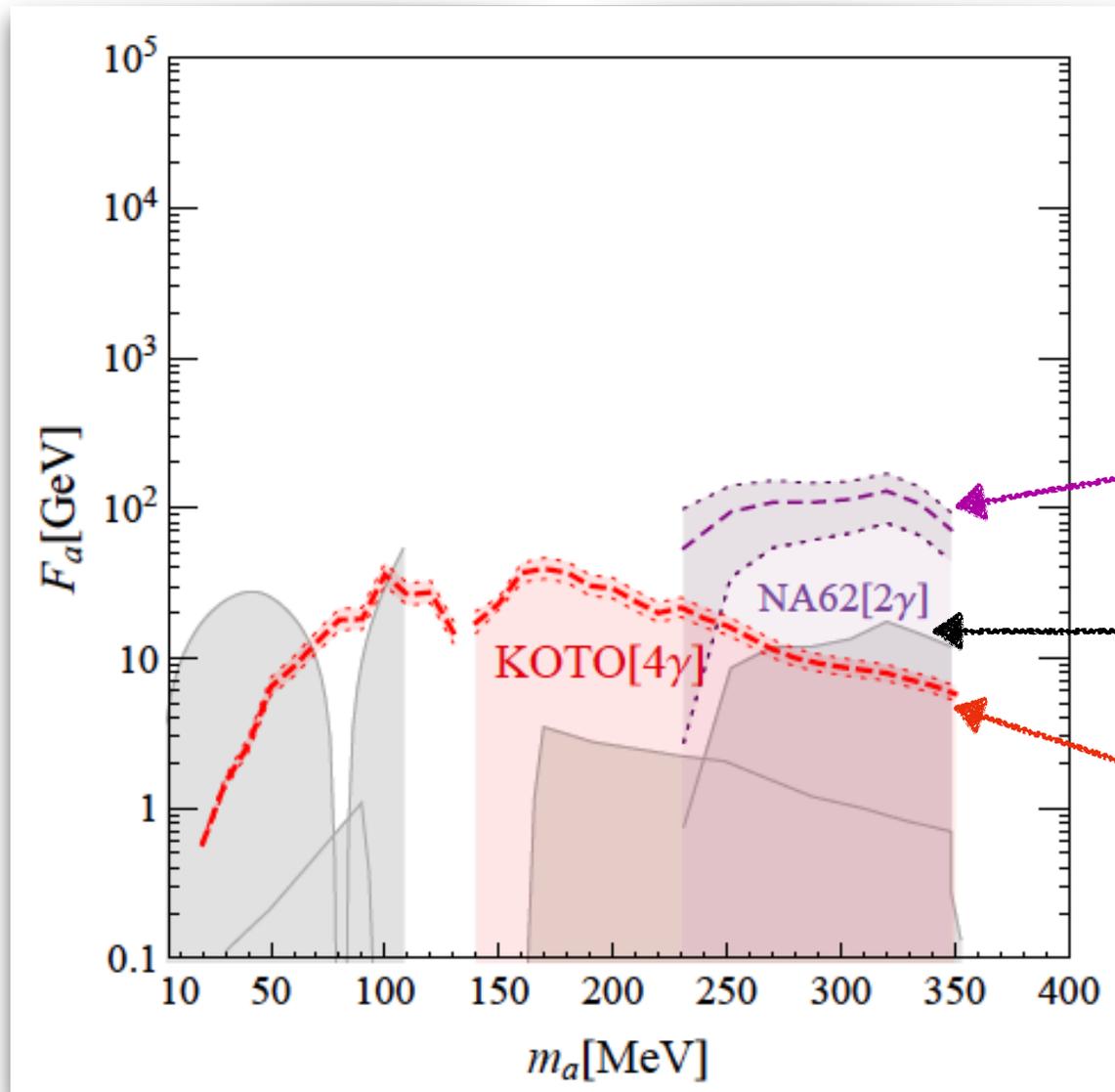
Branching ratios as small as **few  $10^{-10}$**  can be tested!

# $aG\tilde{G}$ at KOTO



KOTO (proposed) search for  
 $K_L \rightarrow \pi^0 a, a \rightarrow \gamma\gamma$

# $aG\tilde{G}$ at KOTO and NA62 (visible)

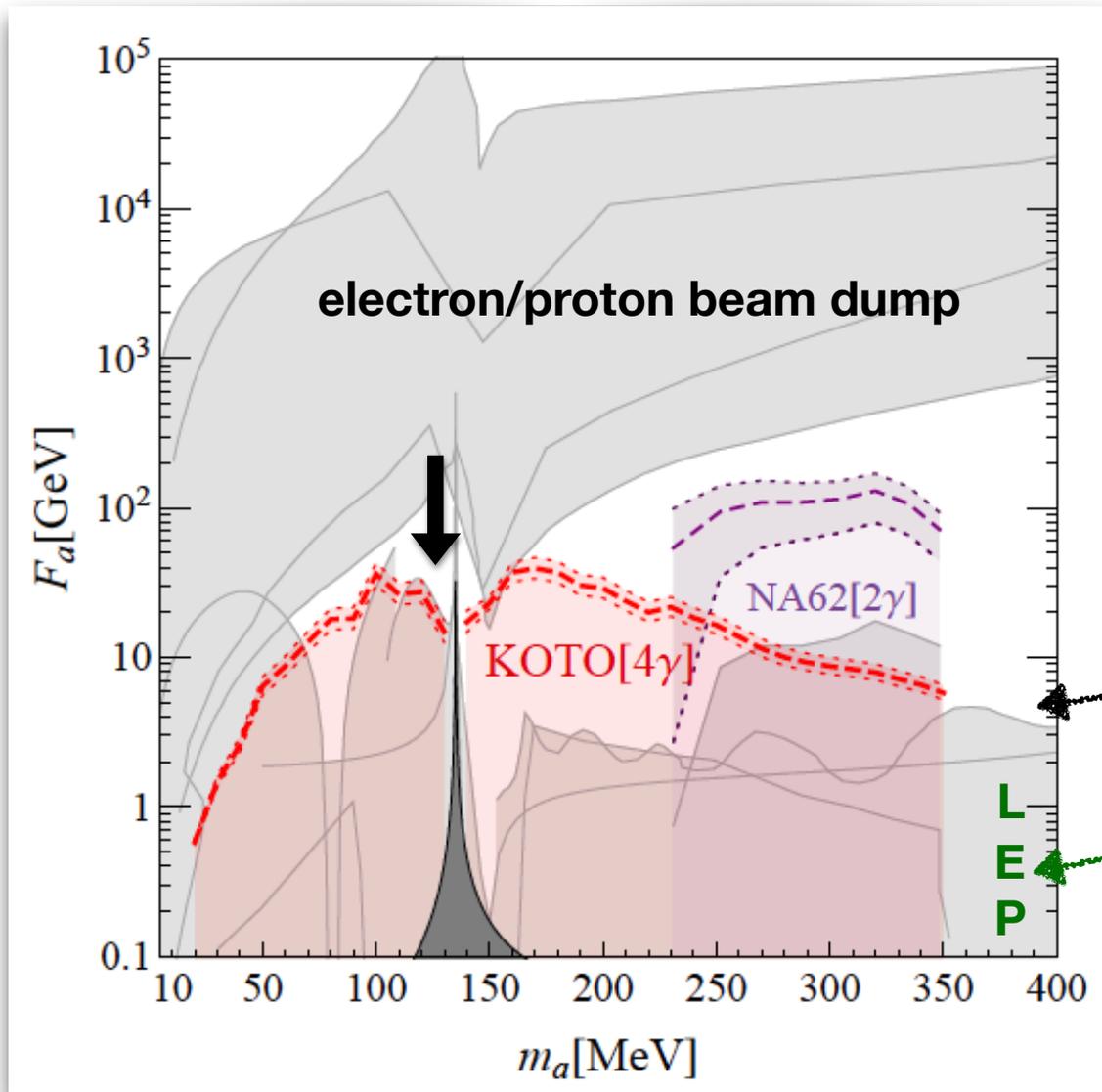


projection with  
the full NA62 luminosity  
 $K^+ \rightarrow \pi^+ a, a \rightarrow \gamma\gamma$

NA48/62 search for  
 $K^+ \rightarrow \pi^+ a, a \rightarrow \gamma\gamma$

**KOTO (proposed) search for**  
 $K_L \rightarrow \pi^0 a, a \rightarrow \gamma\gamma$

# Complementarity with other experiments



In gray,  
we show all present constraints

**→ This bound comes from  
precision pion experiments**

(new interpretation of existing PIBETA  
data for  $\pi^+ \rightarrow \pi^0 (\rightarrow \gamma\gamma) e^+ \nu$ )

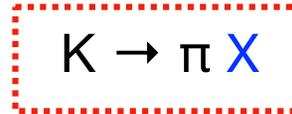
W. Altmannshofer, SG, D. Robinson,  
1909.00005

GlueX,  
Aloni et al., 1903.03586

$e^+e^- \rightarrow \gamma a, a \rightarrow \gamma\gamma$   
(collimated)

# 3. Class of models for the KOTO anomaly

1. Light New Physics (X) that satisfies the GN bound:



- X is “long-lived enough” for KOTO, but not for NA62

$K \rightarrow \pi$  (X  $\rightarrow$  invisible) for KOTO, but NOT for NA62

Preferred lifetime: O(0.1-0.01)ns

- X has a mass in (100-160) MeV (close to the pion mass)

NA62 has large  $K^+ \rightarrow \pi^+ \pi^0$  background in this region.

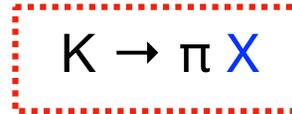
(Fuyuto et al, 1412.4397)

(based on the observation that NA62 is effectively larger than KOTO:

$$L_{\text{NA62}}/p_{\text{NA62}} > L_{\text{KOTO}}/p_{\text{KOTO}})$$

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(based on the observation that NA62 is effectively larger than KOTO:

$$L_{\text{NA62}}/P_{\text{NA62}} > L_{\text{KOTO}}/P_{\text{KOTO}})$$

2. Light New Physics (X) that breaks the GN bound (produced from Kaon decays)

(e.g. SG, G. Perez, K. Tobioka, 2005.05170)

3. Exotics:

e.g. New particle,  $\phi$ , produced at the target and that decays  $\phi \rightarrow \gamma\gamma$  inside the KOTO fiducial region

**An (incomplete) list of pheno interpretations:**

Kitahara et al. 1909.11111; Egana-Ugrinovic et al. 1911.10203; Dev et al. 1911.12334

Jho et al. 2001.06572; Liu et al. 2001.06522; He et al. 2002.05467; Ziegler et al. 2005.00451; Liao et al.

2005.00753; Hostert et al. 2005.07102; Datta et al. 2005.08920; Altmannshofer et al. 2006.05064; ...

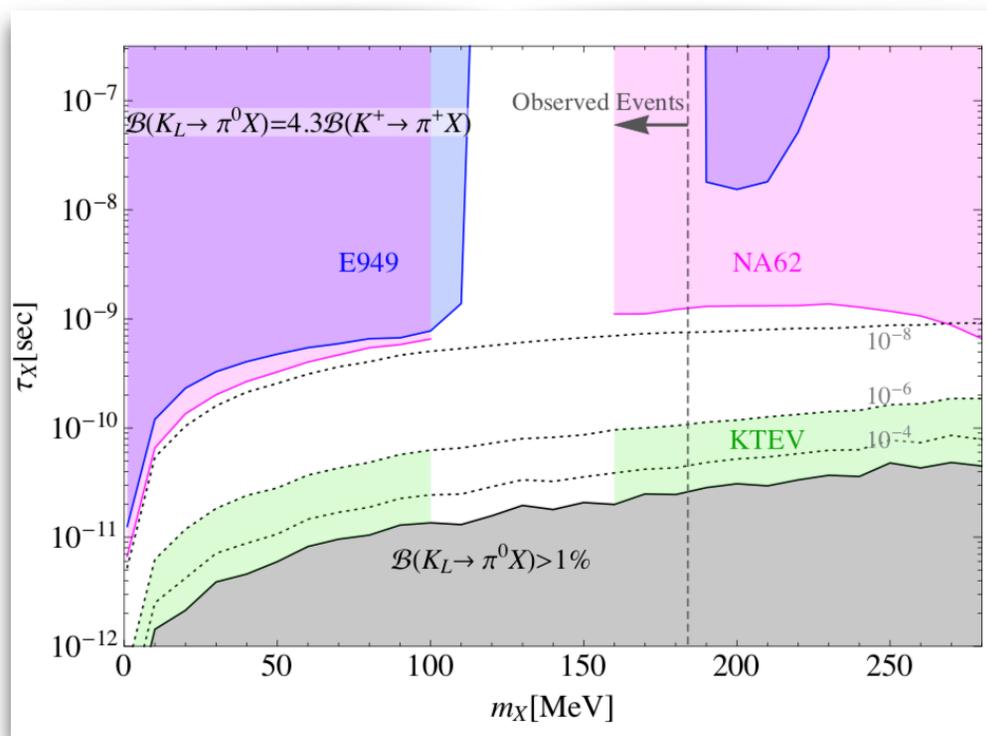
# Models addressing the KOTO anomaly

(light new physics satisfying the GN bound)

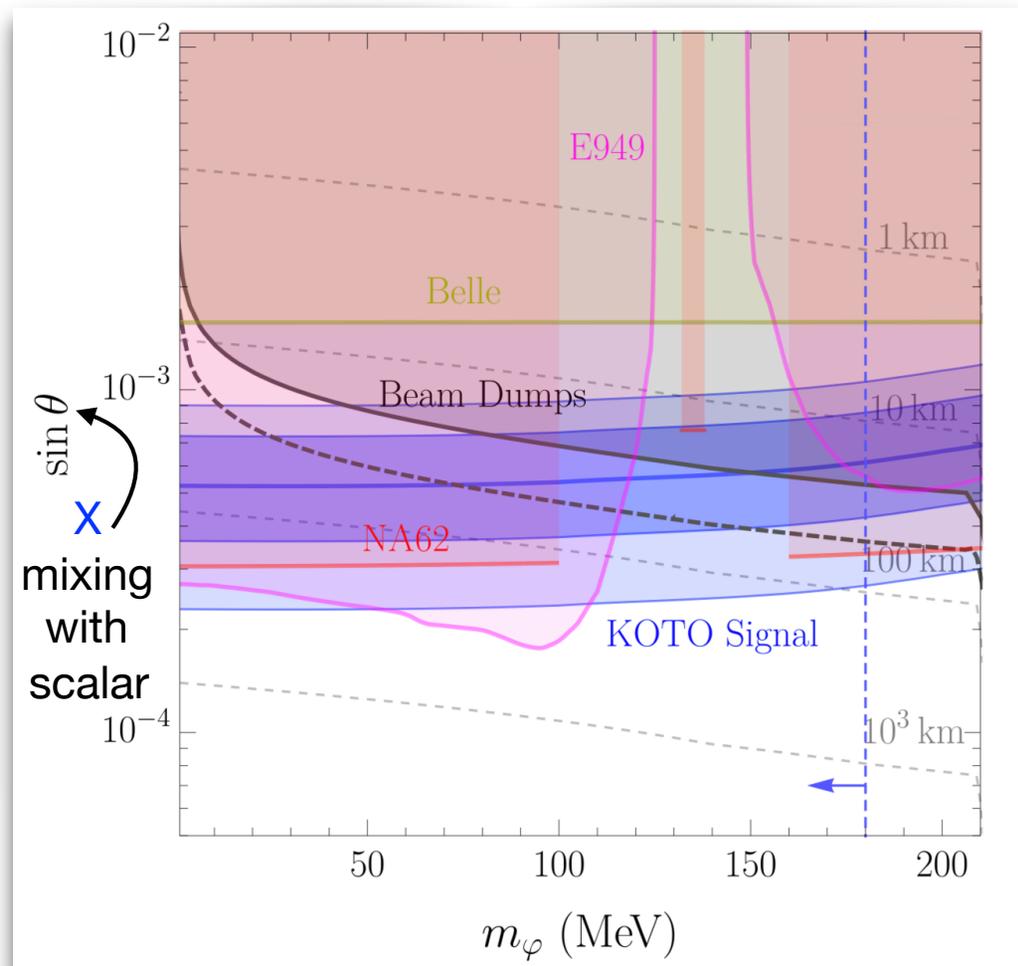
$$K \rightarrow \pi X$$

Mass

Life-time



Kitahara et al., 1909.11111



Egana-Ugrinovic et al, 1911.10203

# (Strongly) Breaking the GN bound

One can avoid the Grossman-Nir bound in models with **only neutral New Physics particles**.

Based on an idea by M. Pospelov

Let us suppose to have a new decay:

$$K_L \rightarrow \sigma\chi, \quad \chi = \text{Im}(\phi), \quad \sigma = \text{Re}(\phi)$$

This would dominate the 3-body final states of the charged Kaon:

$$K^+ \rightarrow \pi^+\chi\chi, \quad K^+ \rightarrow \pi^+\sigma\sigma$$

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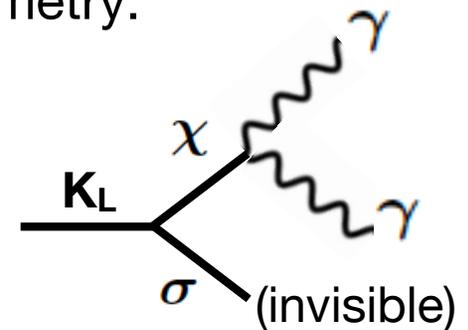
This would dominate the 3-body final states of the charged Kaon:

$$K^+ \rightarrow \pi^+\chi\chi, \quad K^+ \rightarrow \pi^+\sigma\sigma$$

A working model based on an approximate strange flavor symmetry:

$$\left\{ \begin{array}{l} y_1 H \bar{Q}_1 s \phi^2 / \Lambda^2 \text{ and/or } y_2 H \bar{Q}_2 d \phi^2 / \Lambda^2 + h.c. \\ \mathcal{L}_\chi \supset \frac{\chi}{\Lambda_\chi} F_{\mu\nu} \tilde{F}^{\mu\nu} \end{array} \right.$$

SG, Perez, Tobioka,  
2005.05170



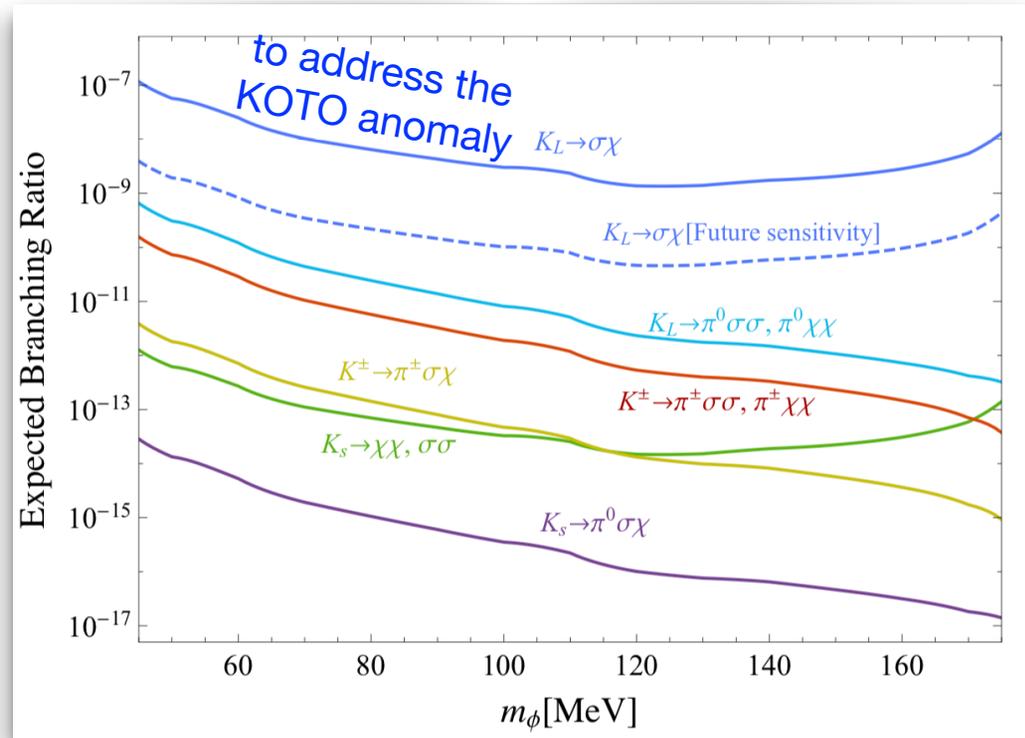
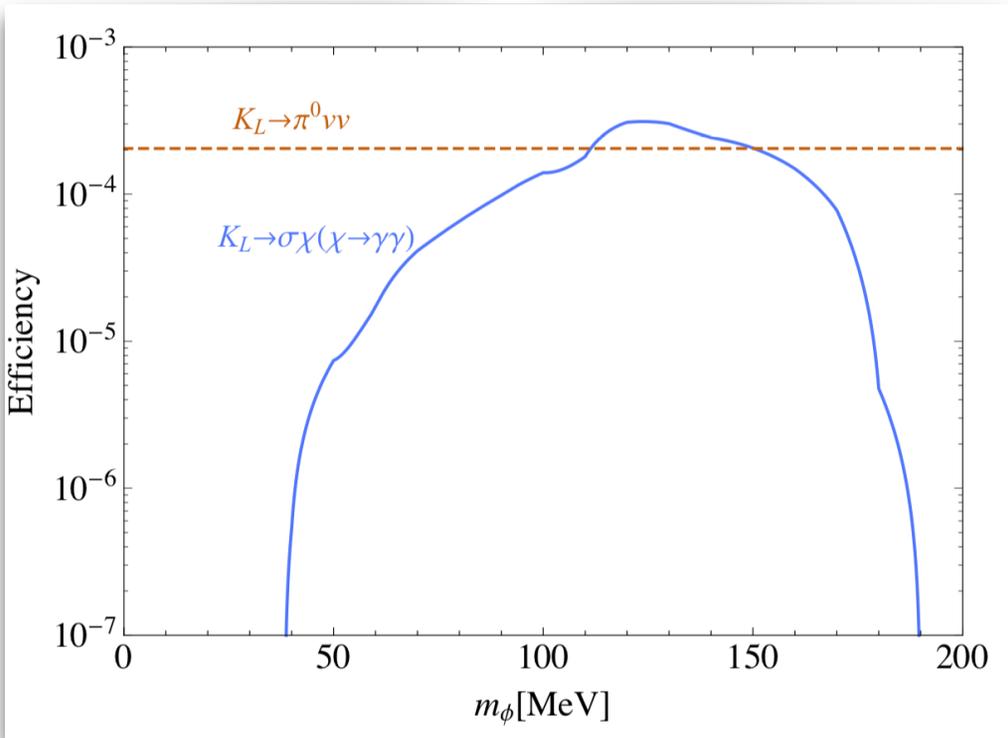
$$\Gamma(K_L \rightarrow \chi\sigma) \sim M_K \left| \frac{y_{1,2} v}{\Lambda^2} \right|^2 \times F_\pi^2$$

Depending on the  $\phi$  mass,  
this decay can  
fall into the KOTO signal region

# Predictions for Kaon experiments

$$\frac{v}{\Lambda_{\text{GNV}}^2} \phi^2 \frac{F_\pi^2 B_0}{2} \text{Tr}[y_1 \lambda_{sd} \Sigma] + \frac{v}{\Lambda_{\text{GNV}}^2} \phi^2 \frac{F_\pi^2 B_0}{2} \text{Tr}[y_2 \lambda_{sd}^\dagger \Sigma] + h.c.$$

$$\Sigma \equiv \exp[2i\Pi/F_\pi] \quad \Pi \equiv \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} & \pi^+ & K^+ \\ \pi^- & -\frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} & K^0 \\ K^- & \bar{K}^0 & -2\frac{\eta_8}{\sqrt{6}} \end{pmatrix}, \quad \lambda_{sd} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$





## Conclusions & Outlook

Interesting times for flavor physics:  
anomalies + several experiments ramping up

**Plenty of opportunities to test dark sectors  
at these experiments**

For this seminar:

**testing ALPs at pion & kaon experiments**

- \* New proposed searches & model interpretations.
- \* Complementarity with other experiments.

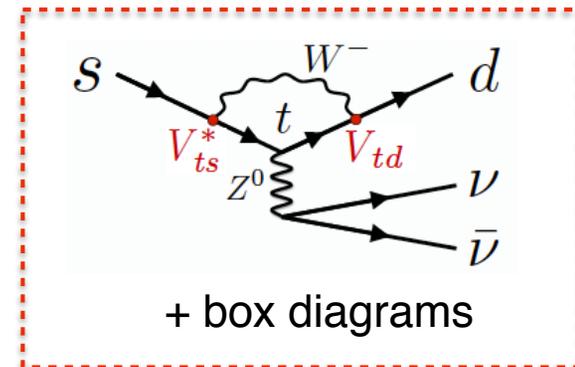
# Kaon rare decays in the SM: $K \rightarrow \pi \nu \nu$

$$\mathcal{H}_{\text{SM}} = g_{\text{SM}}^2 \sum_{\ell=e,\mu,\tau} \left[ V_{cs}^* V_{cd} X(x_c) + V_{ts}^* V_{td} X(x_t) \right] \underbrace{(\bar{s}_L \gamma_\mu d_L)(\bar{\nu}_\ell \gamma^\mu \nu_\ell)}_{\text{Only operator in the SM}}$$

Very clean decays (mainly short distance contribution)

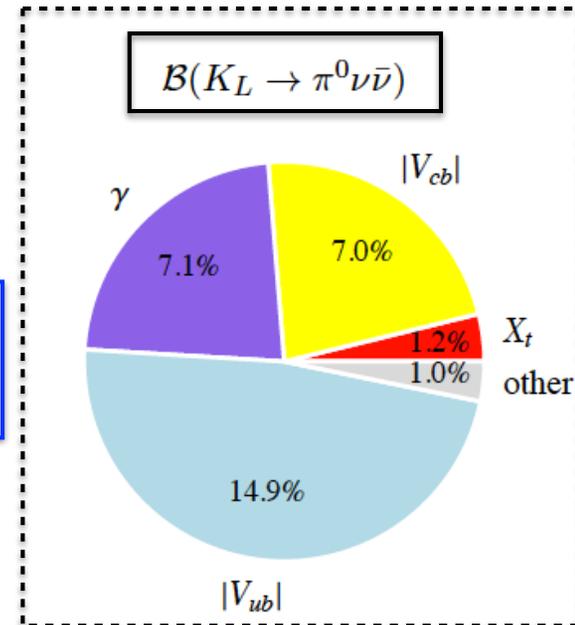
$$\left\{ \begin{array}{l} \mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \simeq \kappa_+ \left| \frac{V_{ts}^* V_{td}}{\lambda^5} X(x_t) + \frac{V_{cs}^* V_{cd}}{\lambda} \left( \frac{X(x_c)}{\lambda^4} + \delta P \right) \right|^2 \\ \text{CP-conserving} \\ \mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \simeq \kappa_L \text{Im} \left( \frac{V_{ts}^* V_{td}}{\lambda^5} X(x_t) \right)^2 \\ \text{CP-violating} \end{array} \right.$$

Long-distance contributions



$$\left\{ \begin{array}{l} \text{BR}(K^+ \rightarrow \pi^+ \bar{\nu} \nu) = (9.11 \pm 0.72) \times 10^{-11} \\ \text{BR}(K_L \rightarrow \pi^0 \bar{\nu} \nu) = (3.4 \pm 0.6) \times 10^{-11} \end{array} \right.$$

Very rare!  
→ Access to NP



Brod, Gorbahn, Stamou 1009.0947;  
Buras, Buttazzo, Girbach-Noe, Kneijens, 1503.02693

# Status of the NA62 experiment (K<sup>+</sup>)

\* E949 (past) experiment: [0903.0030](#)

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (17.3_{-10.5}^{+11.5}) \times 10^{-11}$$

\* Analysis of the 2016-2017 data

$$m_{\text{miss}}^2 = (P_K - P_{\pi^+})^2$$

**3 events observed**

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) < 1.78 \times 10^{-10} \text{ (90\% C.L.)}$$

2007.08218

\* Analysis of the 2018 data

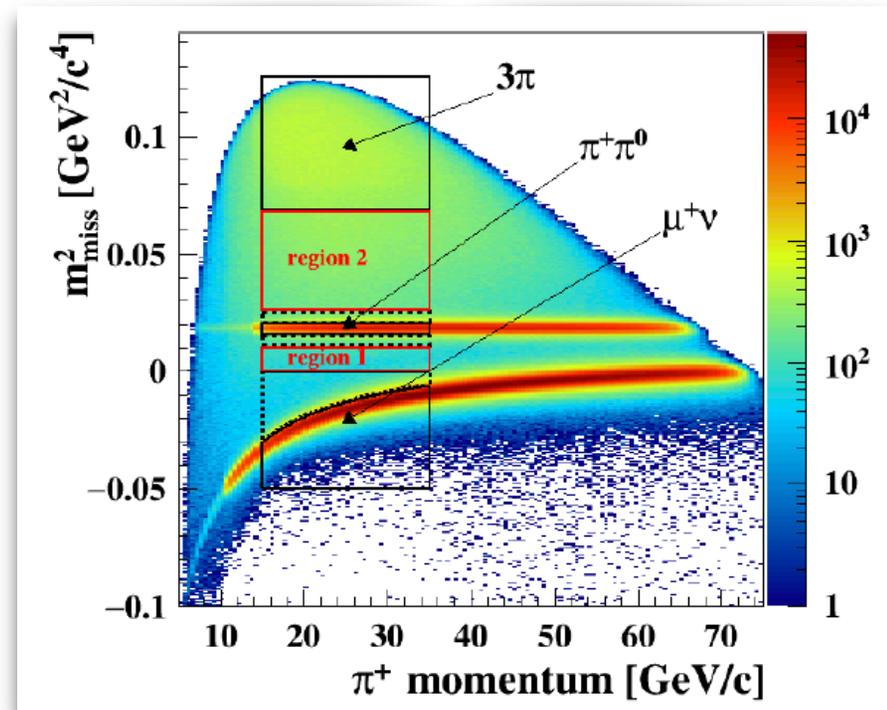
**other 17 events observed**

$$\text{BR}(K^+ \rightarrow \pi^+ \bar{\nu} \nu) = (11.0_{-3.5}^{+4.0} \text{ stat.} \pm 0.3_{\text{syst.}}) \times 10^{-11}$$

**3.5 $\sigma$  evidence**

Marchevski talk  
@ ICHEP

**NEW**



Final NA62 goal:  
measurement of the SM BR  
with ~10% uncertainty

# Status of the KOTO experiment ( $K_L$ )

Experimental challenges associated to the signature (2 photons+nothing)

- \* Initial physics data taken in 2013

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 5.1 \times 10^{-8}$$

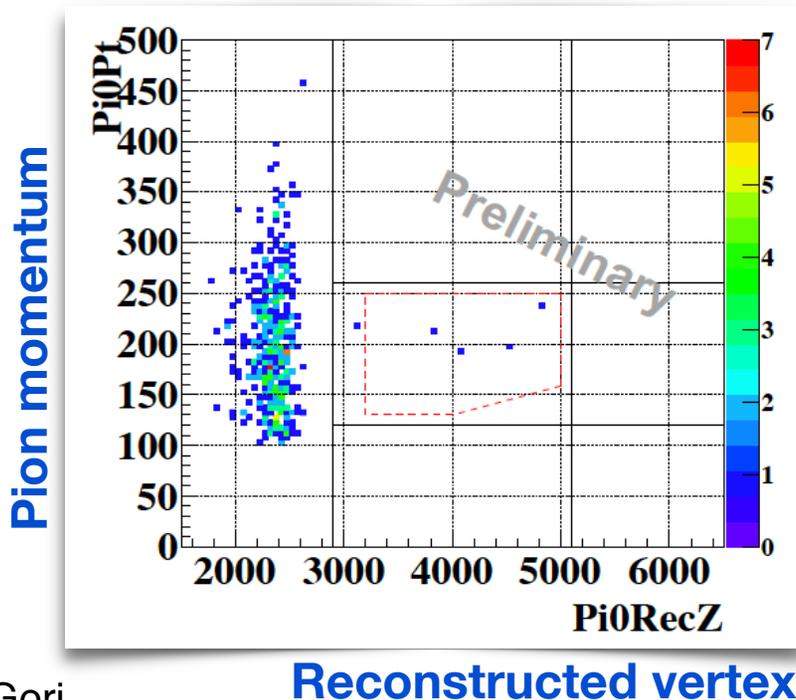
(1609.03637)

- \* 2015 run: ~ 20 times more data

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 3.0 \times 10^{-9}$$

(1810.09655)

- \* 2016-2018 run: ~ 50% more data



**3 events in the signal region!**

**NEW**

**Expected number of events:**

$$0.05 \pm 0.02 \rightarrow 1.05 \pm 0.28 \quad (K^\pm \rightarrow \pi^0 e^\pm \nu)$$

(pre  $\rightarrow$  post-ICHEP)

talk by Shimizu  
@ ICHEP

Final KOTO goal (~100 times more data)  
measurement of/evidence for  
the SM BR with ~?% uncertainty

$$\text{BR}(K_L \rightarrow \pi^0 \bar{\nu} \nu) = (3.4 \pm 0.6) \times 10^{-11}$$

# The Grossman-Nir (GN) bound

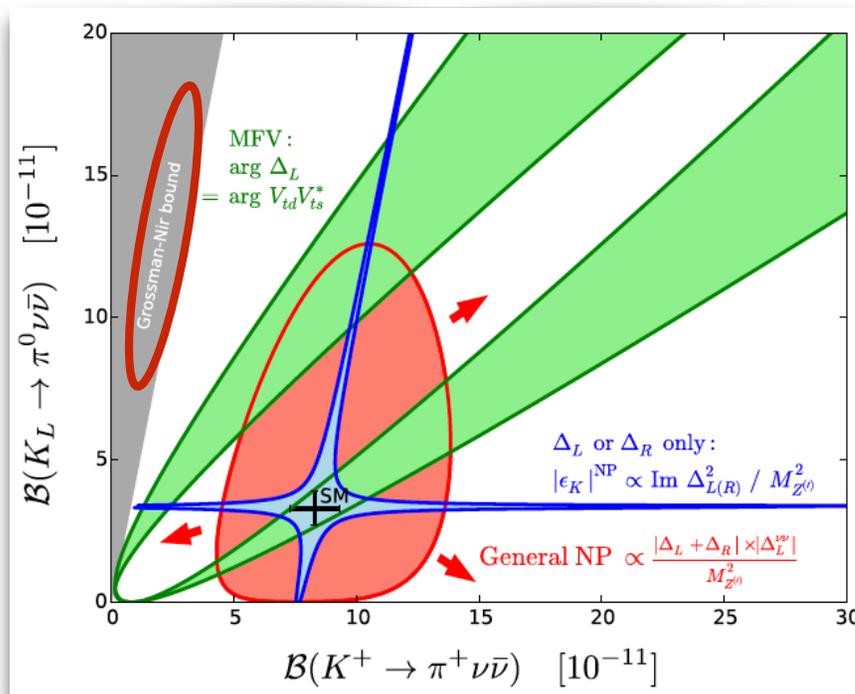
Beyond the Standard model theories can easily induce a New Physics (NP) effect in these very rare Kaon decays.

Generically, the NP effects in the  $K^+$  and in the  $K_L$  decay are highly correlated.

From an EFT perspective:

$$\mathcal{H}_{\text{eff}} = \frac{c_1}{\Lambda^2} (\bar{s}_L \gamma_\mu d_L) (\bar{\nu}_\ell \gamma^\mu \nu_\ell) + \frac{c_2}{\Lambda^2} (\bar{s}_R \gamma_\mu d_R) (\bar{\nu}_\ell \gamma^\mu \nu_\ell)$$

SM operator



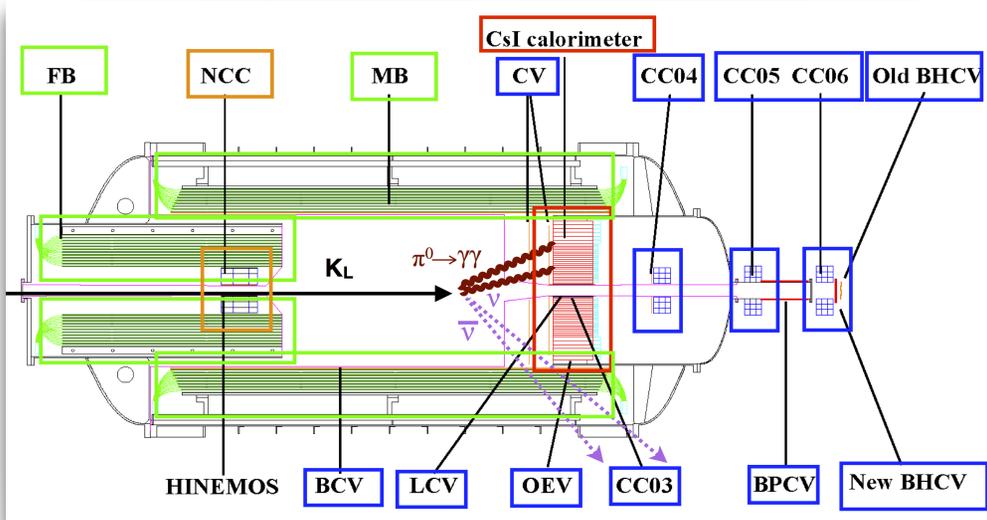
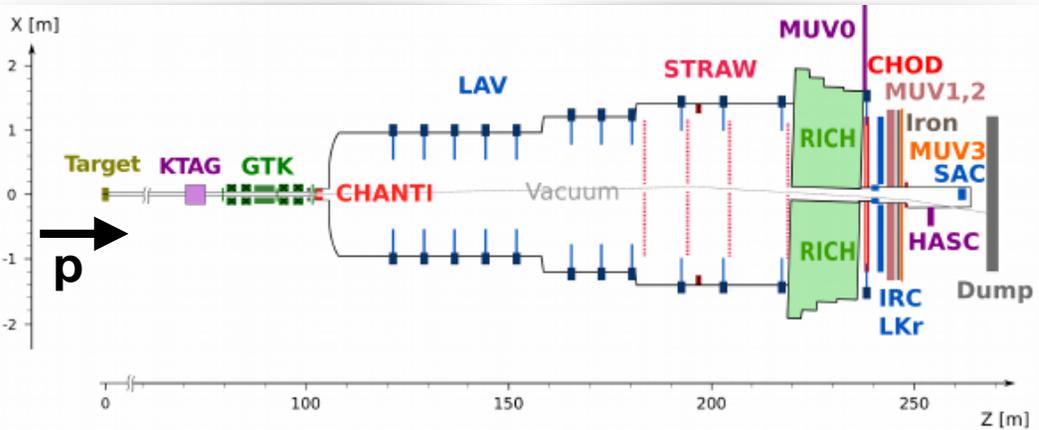
Grossman-Nir bound  
(model independent):

$$\frac{\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu})}{\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})} < 4.3$$

hep-ph/9701313

# Brief look: NA62 & KOTO

Only calorimetry, no tracking



**NA62**

**KOTO**

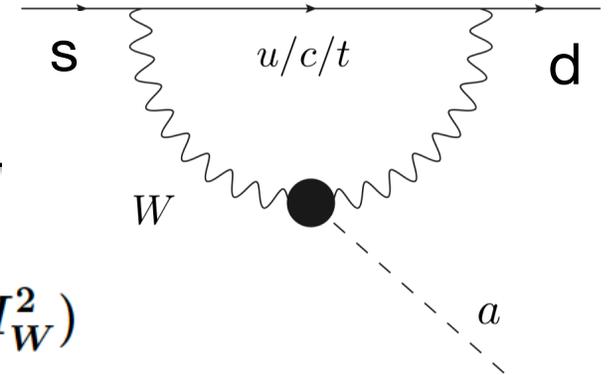
future goals

	NA62	KOTO
POT	10 <sup>19</sup> (400 GeV)	10 <sup>21</sup> (30 GeV)
# Kaons	10 <sup>13</sup>	10 <sup>13</sup>
K-Energy	75 GeV	1.5 GeV
Length	300 m	10 m
Decay region	150 m	3-4 m

In comparison,  
CHARM  
(past beam dump  
experiment):  
~10<sup>18</sup> POT

# WW coupled ALP simplified model

$$\frac{g_{aW}}{4} a W_{\mu\nu}^a \tilde{W}^{a\mu\nu}$$



$$g_{ads} \equiv -\frac{3\sqrt{2}G_F M_W^2 g_{aW}}{16\pi^2} \sum_{\alpha \in c,t} V_{\alpha d} V_{\alpha s}^* f(M_\alpha^2/M_W^2)$$

$$\Gamma(K_L \rightarrow \pi^0 a) = \frac{M_{K_L}^3}{64\pi} \left(1 - \frac{M_{\pi^0}^2}{M_{K_L}^2}\right)^2 \text{Im}(g_{asd})^2 \lambda_{\pi^0 a}^{1/2}$$

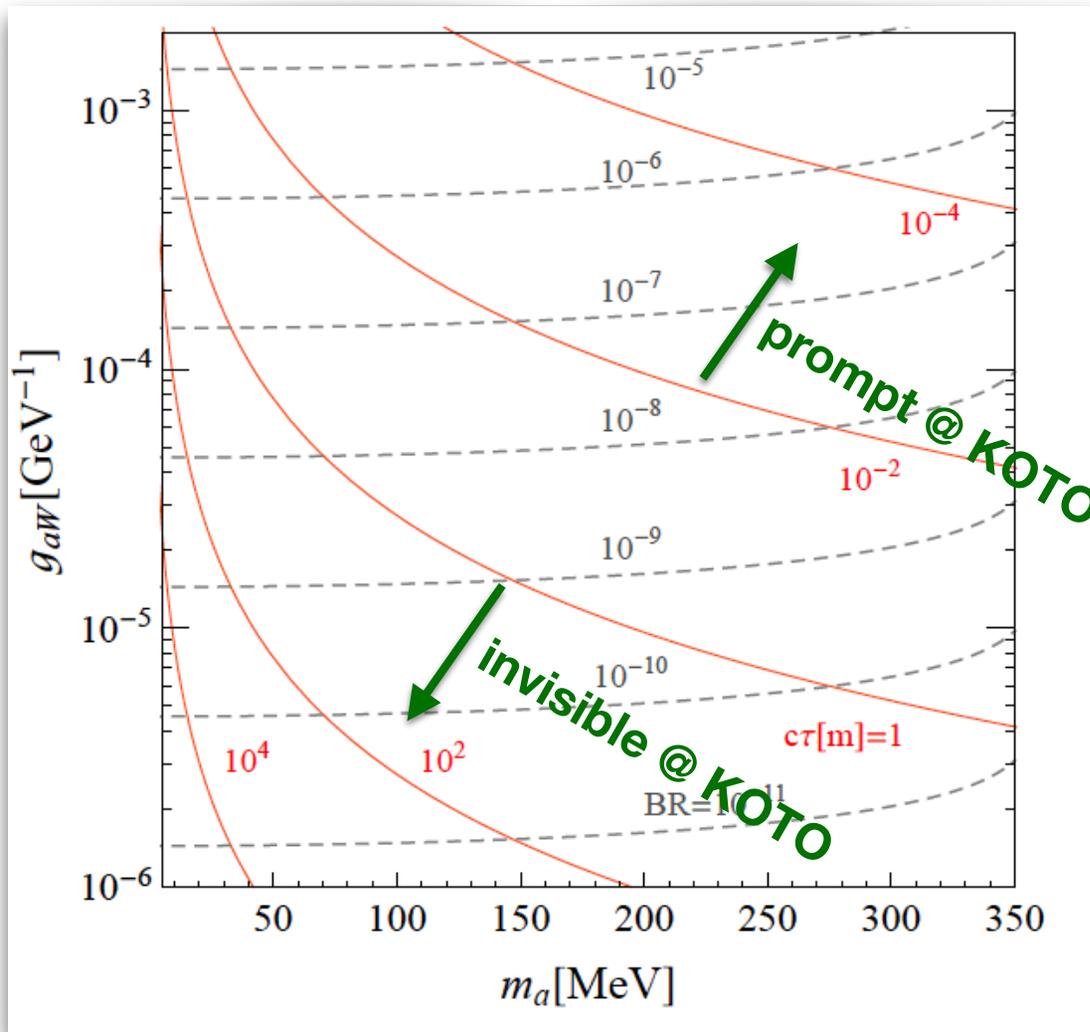
This coupling will induce the decay of the ALP into two photons:

$$\frac{g_{a\gamma}}{4} a F_{\mu\nu} \tilde{F}^{\mu\nu}, \quad g_{a\gamma} = g_{aW} \sin^2 \theta$$

Due to isospin, we expect an effect also in the  $K^+$  decay. Indeed:

$$\Gamma(K^+ \rightarrow \pi^+ a) = \frac{M_{K^+}^3}{64\pi} \left(1 - \frac{M_{\pi^+}^2}{M_{K^+}^2}\right)^2 |g_{asd}|^2 \lambda_{\pi^+ a}^{1/2}$$

# WW coupled ALP pheno

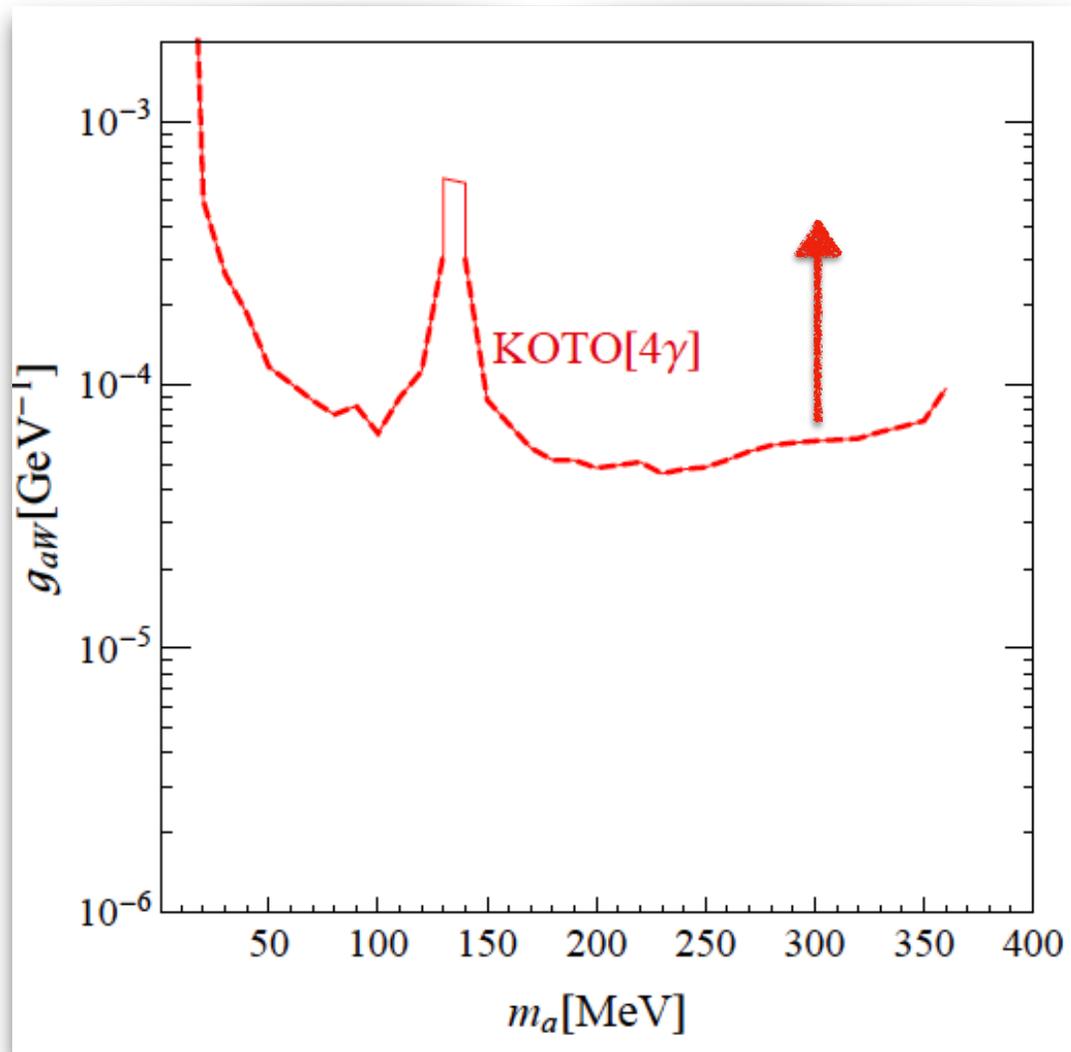


ALP lifetime (in meters)

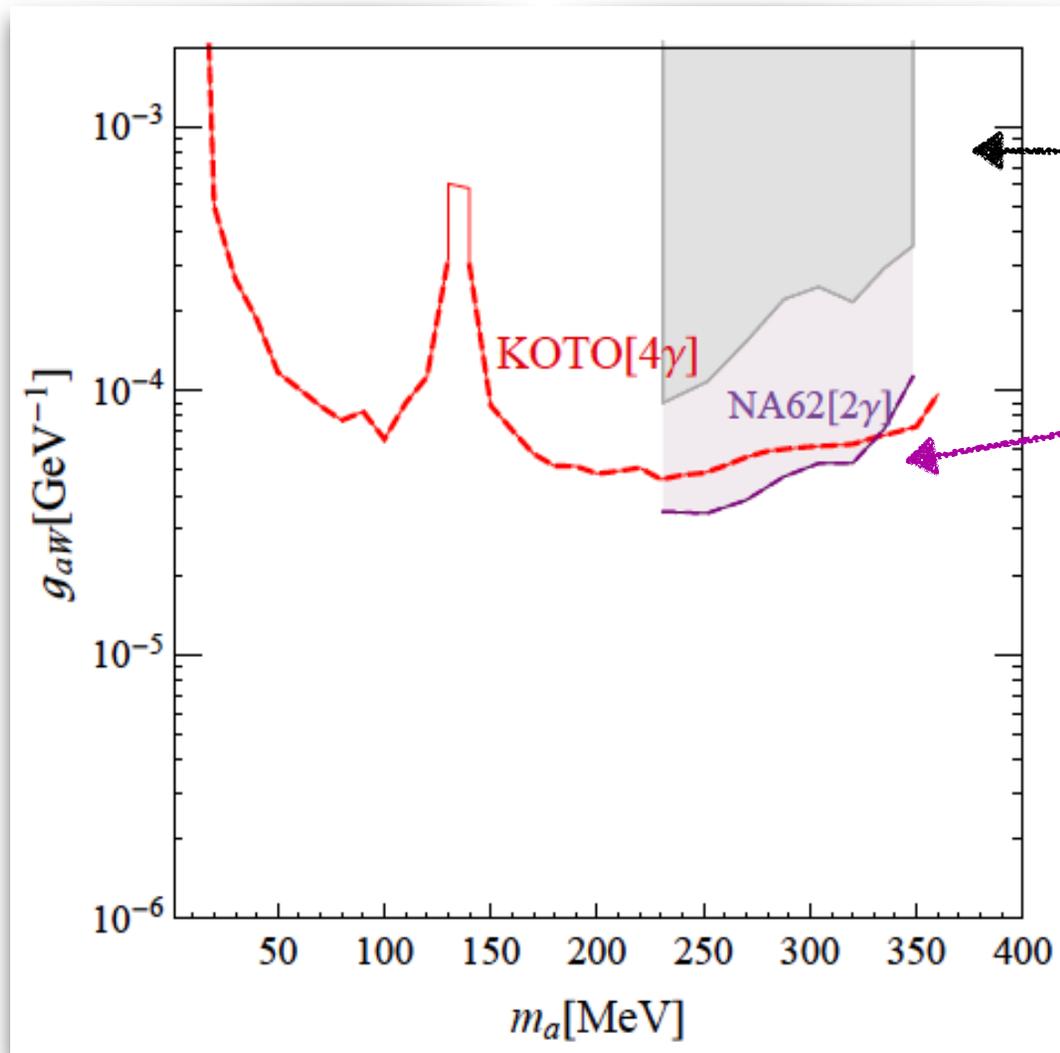
$BR(K_L \rightarrow \pi a)$

$$BR(K^+ \rightarrow \pi^+ a) \sim 1.8 BR(K_L \rightarrow \pi a)$$

# $aW\tilde{W}$ at KOTO



# $aW\tilde{W}$ at KOTO and NA62 (visible)



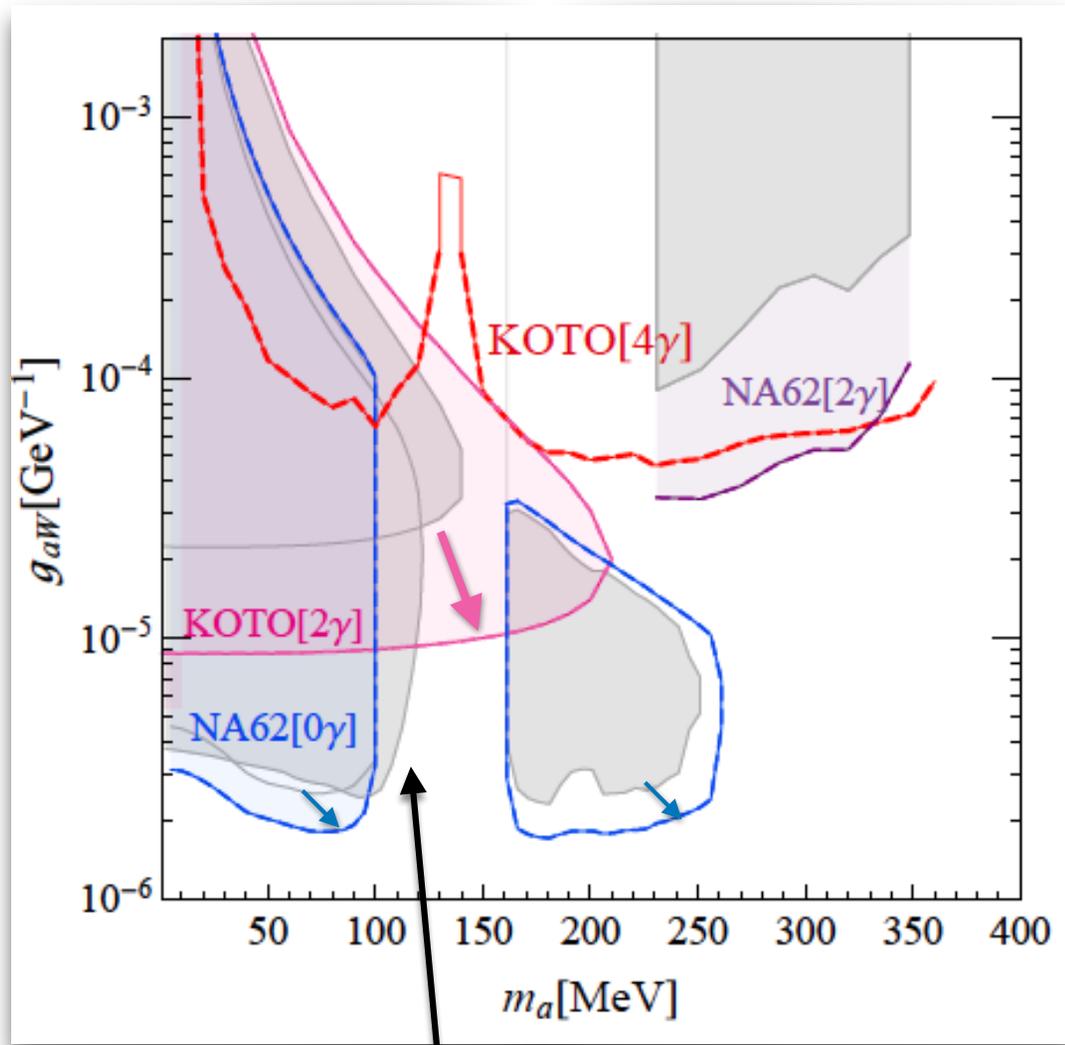
NA48/62 search for

$$K^+ \rightarrow \pi^+ a, a \rightarrow \gamma\gamma$$

Izaguirre, Lin, Shuve, 1611.09355

projection with  
the full NA62 luminosity

# $aW\tilde{W}$ at KOTO and NA62



**E949 invisible**

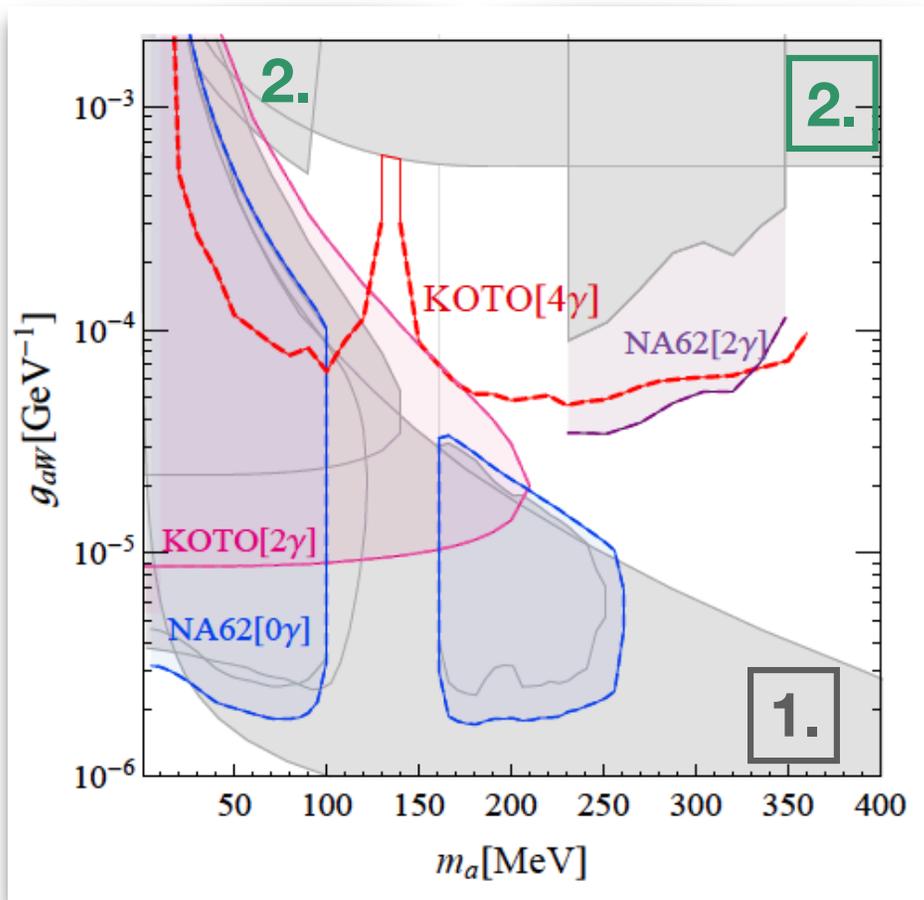
Longer life-time

Both **NA62** and **KOTO**  
can search for  
 $K \rightarrow \pi + \text{invisible}$

(the ALP is long lived  
enough to decay after  
the detector)

# Complementarity with other experiments

This specific model ( $aW\tilde{W}$ ) also predicts a coupling of the ALP with photons  
➔ Several other experiments can probe the parameter space



1. Beam dump experiments
2. LEP ( $e^+e^- \rightarrow \gamma a$  or  $Z \rightarrow \gamma a \rightarrow \gamma\gamma(\gamma)$ )  
+ CDF ( $Z \rightarrow \gamma a \rightarrow \gamma\gamma$ )

Additional opportunities at B-factories?  
(Belle-II) [Izaguirre, Lin, Shuve, 1611.09355](#)

Note, however, that NA62 and KOTO will have **~ 3 orders of magnitude more** Kaons than the number of B-mesons at Belle-II!

# ALP-pion & ALP-eta mixing

$$\mathcal{L}_{eff} = \frac{iF_\pi^2}{4} \frac{\partial_\mu a}{F_a} \text{Tr}[\tilde{\kappa}_q(\Sigma^\dagger D^\mu \Sigma - \Sigma D^\mu \Sigma^\dagger)] + \frac{F_\pi^2}{2} B_0 \text{Tr}[\Sigma \mathbf{m}^\dagger + \mathbf{m}^\dagger \Sigma^\dagger],$$

$$\left\{ \begin{array}{l} \mathbf{m} = \exp\left(i\kappa_q \frac{a}{2F_a} \gamma_5\right) \cdot m_q \cdot \exp\left(i\kappa_q \frac{a}{2F_a} \gamma_5\right) \\ \tilde{\kappa}_q = \text{diag}(\kappa_q), \quad \kappa_q = \frac{1}{m_q} / \sum_{q'} \left(\frac{1}{m_{q'}}\right) \end{array} \right.$$

$$\Sigma \equiv \exp[2i\Pi/F_\pi], \quad \Pi \equiv \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} + \frac{\eta_0}{\sqrt{3}} & & & \\ & \pi^- & & \\ & & -\frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} + \frac{\eta_0}{\sqrt{3}} & \\ & & & K^- & & \pi^+ & & K^+ \\ & & & & & & & K^0 \\ & & & & & & & & & -2\frac{\eta_8}{\sqrt{6}} + \frac{\eta_0}{\sqrt{3}} \end{pmatrix}$$

$$\begin{pmatrix} \eta \\ \eta' \end{pmatrix} = \begin{pmatrix} \cos \theta_{\eta\eta'} & -\sin \theta_{\eta\eta'} \\ \sin \theta_{\eta\eta'} & \cos \theta_{\eta\eta'} \end{pmatrix} \begin{pmatrix} \eta_8 \\ \eta_0 \end{pmatrix}$$

$$\theta_{\eta\eta'} \subset -(10 - 20)^\circ$$

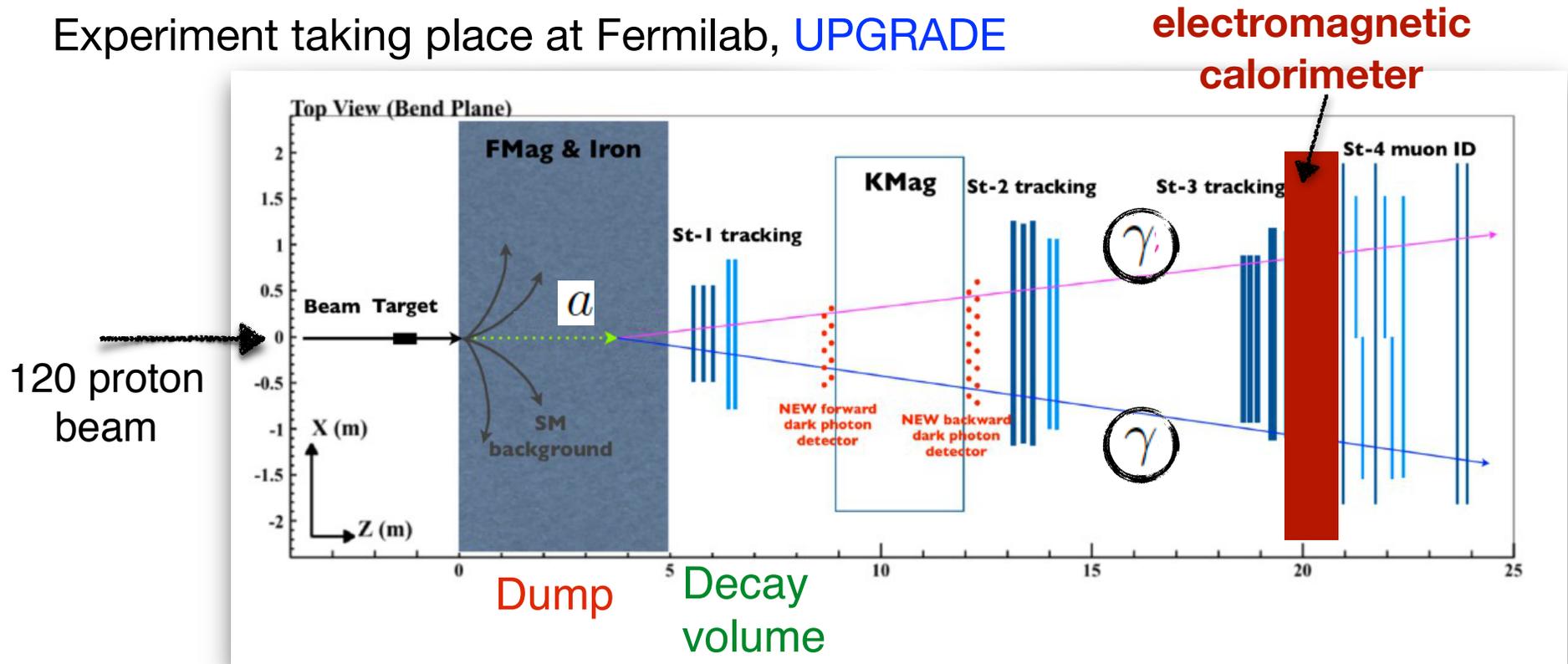
**Large uncertainties**

← this is decoupled

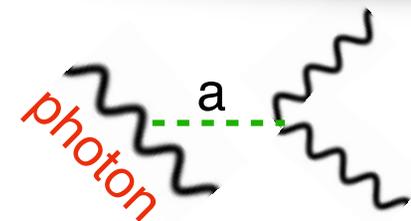
# More new measurements coming up?

## DarkQuest

Experiment taking place at Fermilab, **UPGRADE**



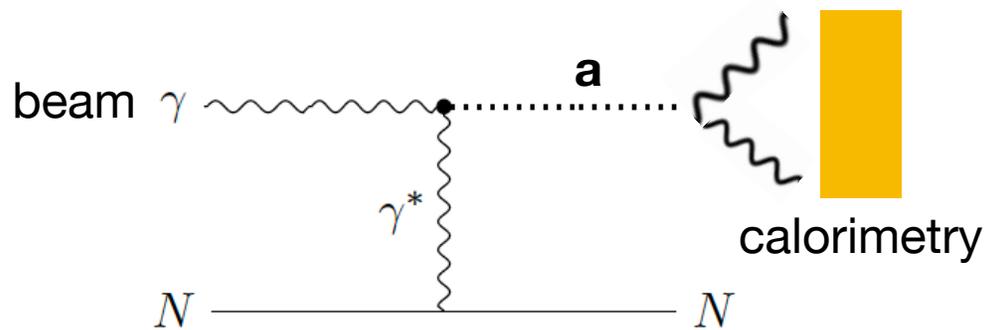
Proposed running after 2022.  $\sim 10^{20}$  protons on target.  
Displaced electromagnetic objects (including photons)



axions radiated from secondary photons produced in the collisions

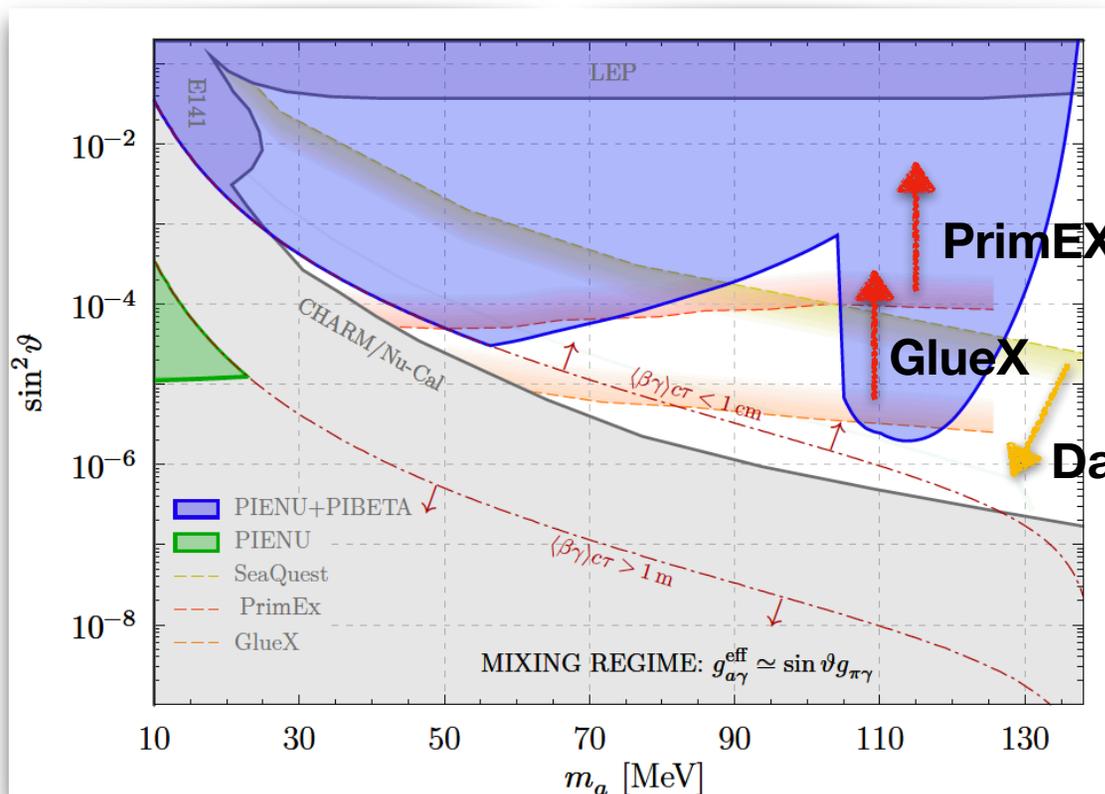
# More new measurements coming up?

## PrimEX, GlueX



Proposed upgrades for the PrimEX and GlueX experiments at JLAB

$$\gamma N \rightarrow a N \rightarrow \gamma \gamma$$



The parameter space for ALPs with mass below the pion mass (and above a few MeV) could be fully covered!