

# ATLFAST3: THE NEXT GENERATION OF FAST SIMULATION IN ATLAS

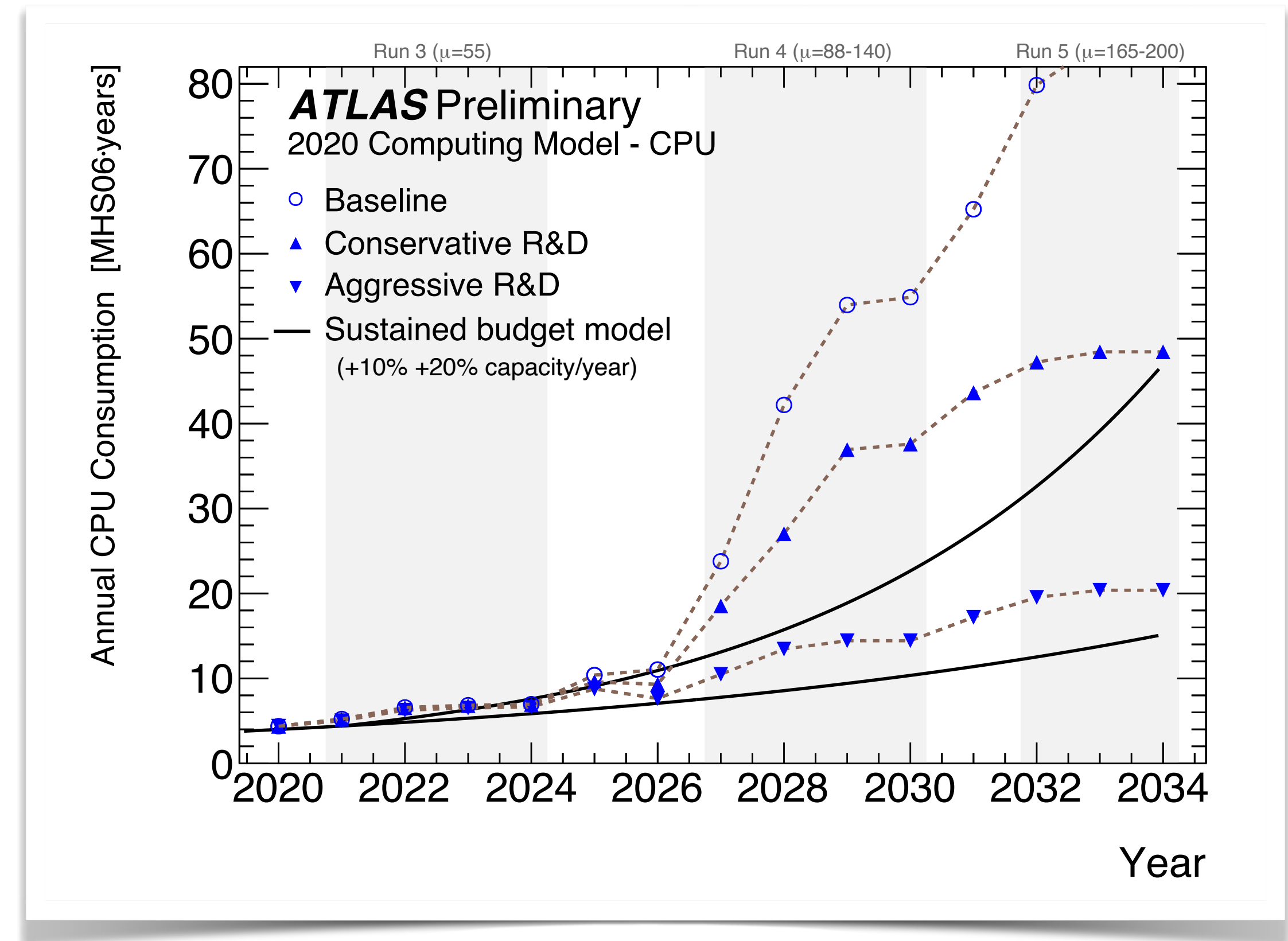
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on behalf of ATLAS Collaboration



# Challenges in Simulation: Run 3 & beyond

- ◆ Simulation of the ATLAS detector with Geant4 is CPU intensive.
- ◆ **~90% spent in shower simulation** i.e. Calorimeter simulation.
- ◆ The CPU requirement will increase due to the increased luminosity and pileup in Run 3 & HL-LHC.
- ◆ In Run 3, > 50% of all events will be simulated with fast simulation increasing to > 75% in Run 4 to mitigate this.
- ◆ Beyond Run 3 **fast Inner Detector (ID) simulation** along with **fast digitization** and **fast reconstruction** will be required. [2]
- ◆ Utilize the inherent parallelism of fast calorimeter simulation with GPUs. [3]



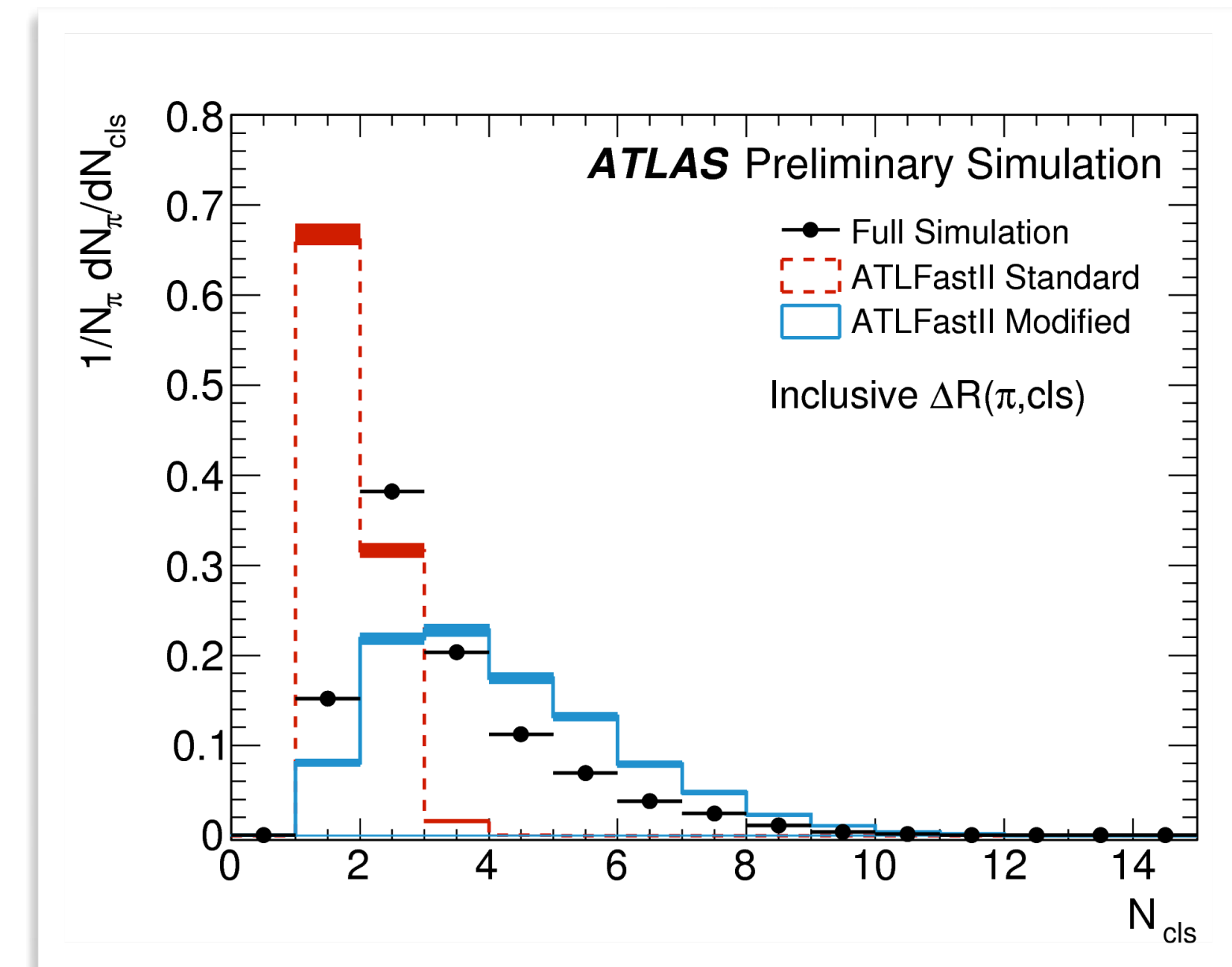
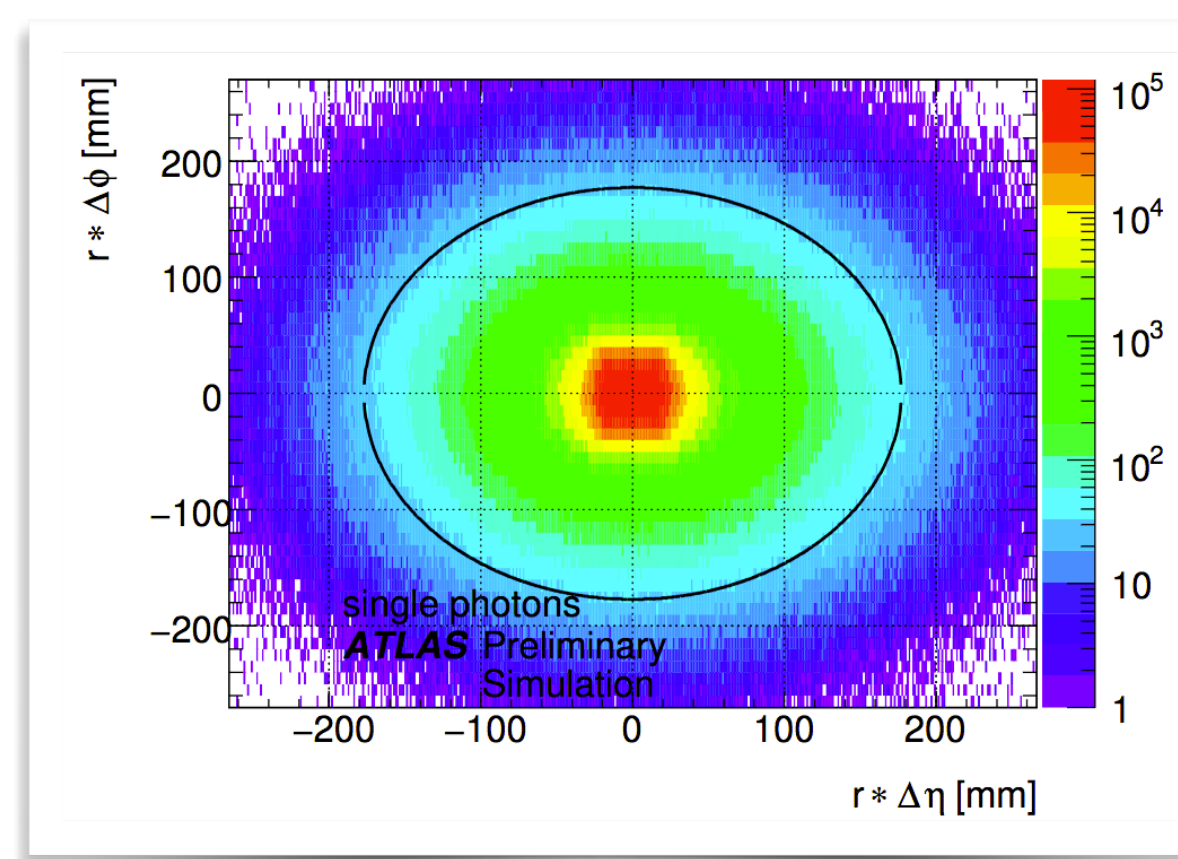
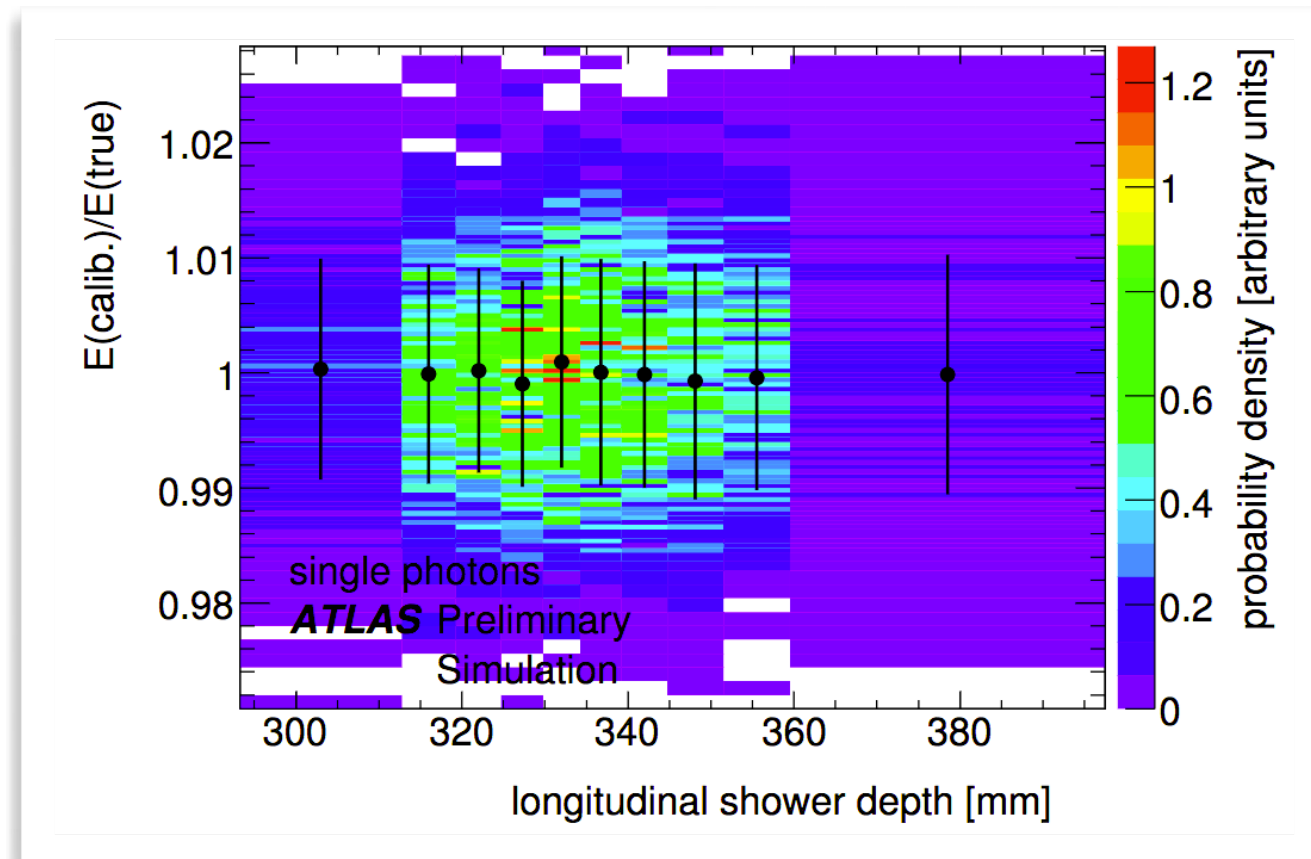
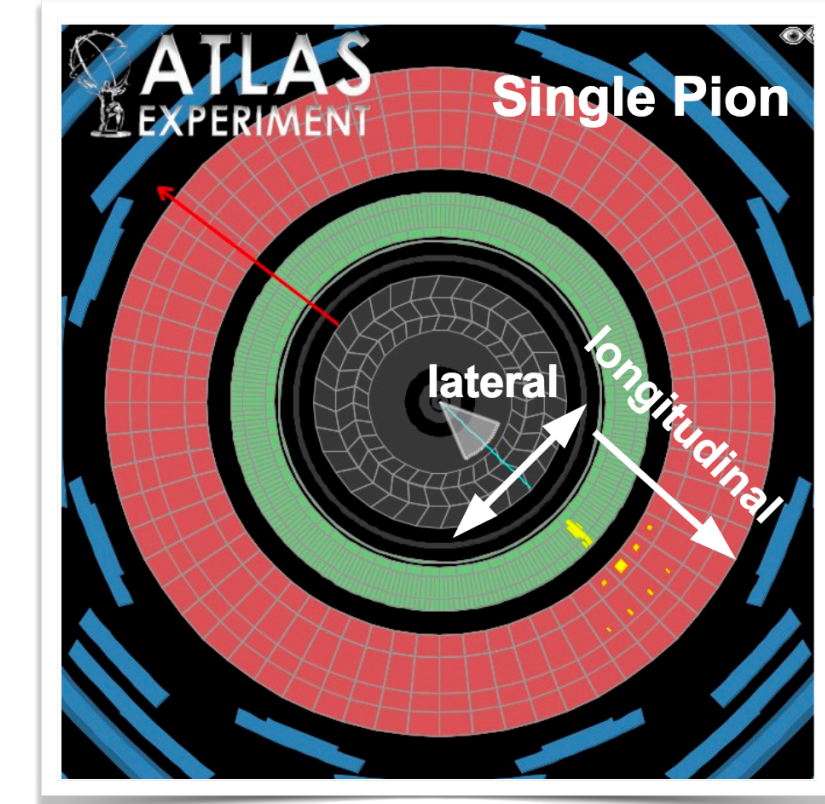
[1] [HS06 benchmark](#)

[2] [See talk on Fast Simulation Chain](#)

[3] [See talk on Porting Parametrized Calorimeter Simulation to GPU](#)

# Fast simulation in Run 1 & Run 2: ATLFastII (AF2)

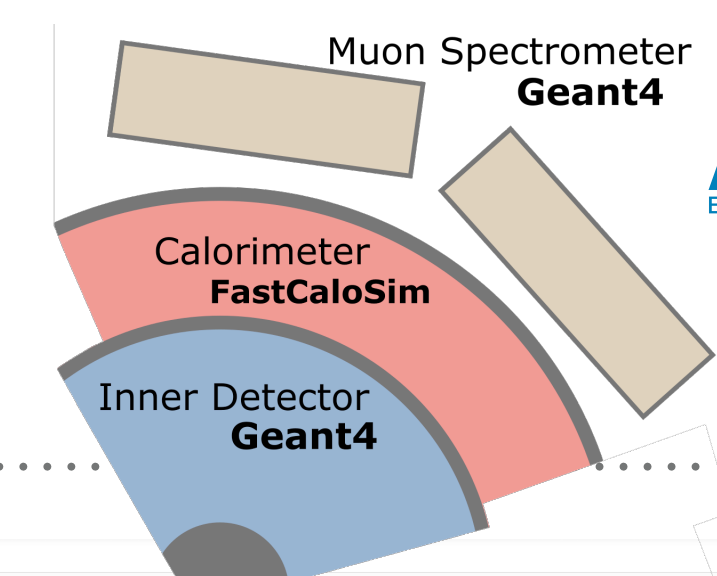
- ◆ AF2 - a parametrized calorimeter simulation is used in ATLAS during Run 1 and Run 2.
- ◆  $e/\gamma$  and  $\pi$  is used for electromagnetic and hadronic shower parametrization respectively.
- ◆ Longitudinal shower: **energy vs shower depth** and **correlation between layers**
- ◆ Lateral shower: **Average shower profile** from a fitted radial symmetric function for each layer.
- ◆ Good average shower description but complex variables e.g. **jet substructure** is not well modeled.
- ◆ No lateral parametrization for Forward Calorimeter (FCal), particles escaping calorimeter volume (*punch through*)
- ◆ In Run 2, ~50% of all simulation were done in AF2.



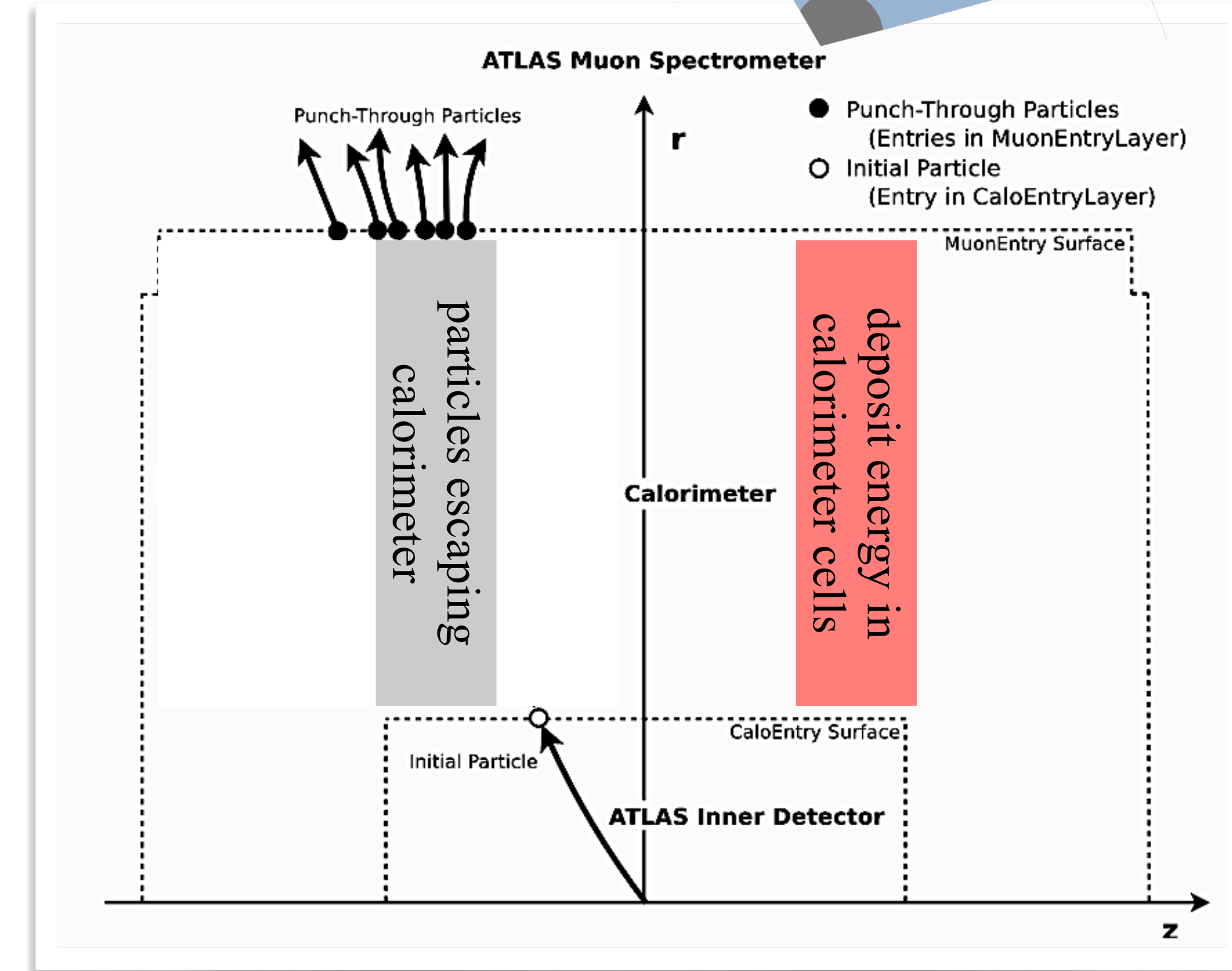
AF2 is **tuned to data** instead of Geant4 - requires a **separate set of calibrations** for reconstructed objects

# Fast simulation in Run 3 & beyond: ATLFast 3 (AF3)

- AF3 improves physics performance significantly over AF2 and will meet the fast simulation needs of ATLAS for Run 3.
- AF3 uses two distinct approach of shower generation (includes FCal):
  - Parametrization based modeling - **FastCaloSim V2 (FCSV2)**
  - Generative Adversarial Network (GAN) based modeling - **FastCaloGAN (FCSGAN)**
- Dedicated parametrization for **punch through particles** - particles escaping calorimeter volume.



<b>FastCaloSim V2</b>	Used for electron, photon and low or high energy hadrons
<b>FastCaloGAN</b>	Used for medium energy hadrons
<b>Punch Through</b>	Used for simulating particles that exit the calorimeter and enters Muon Systems (MS)
<b>Geant4</b>	Used for simulating very low energy hadrons in the Calorimeter, all particles in the MS and ID.

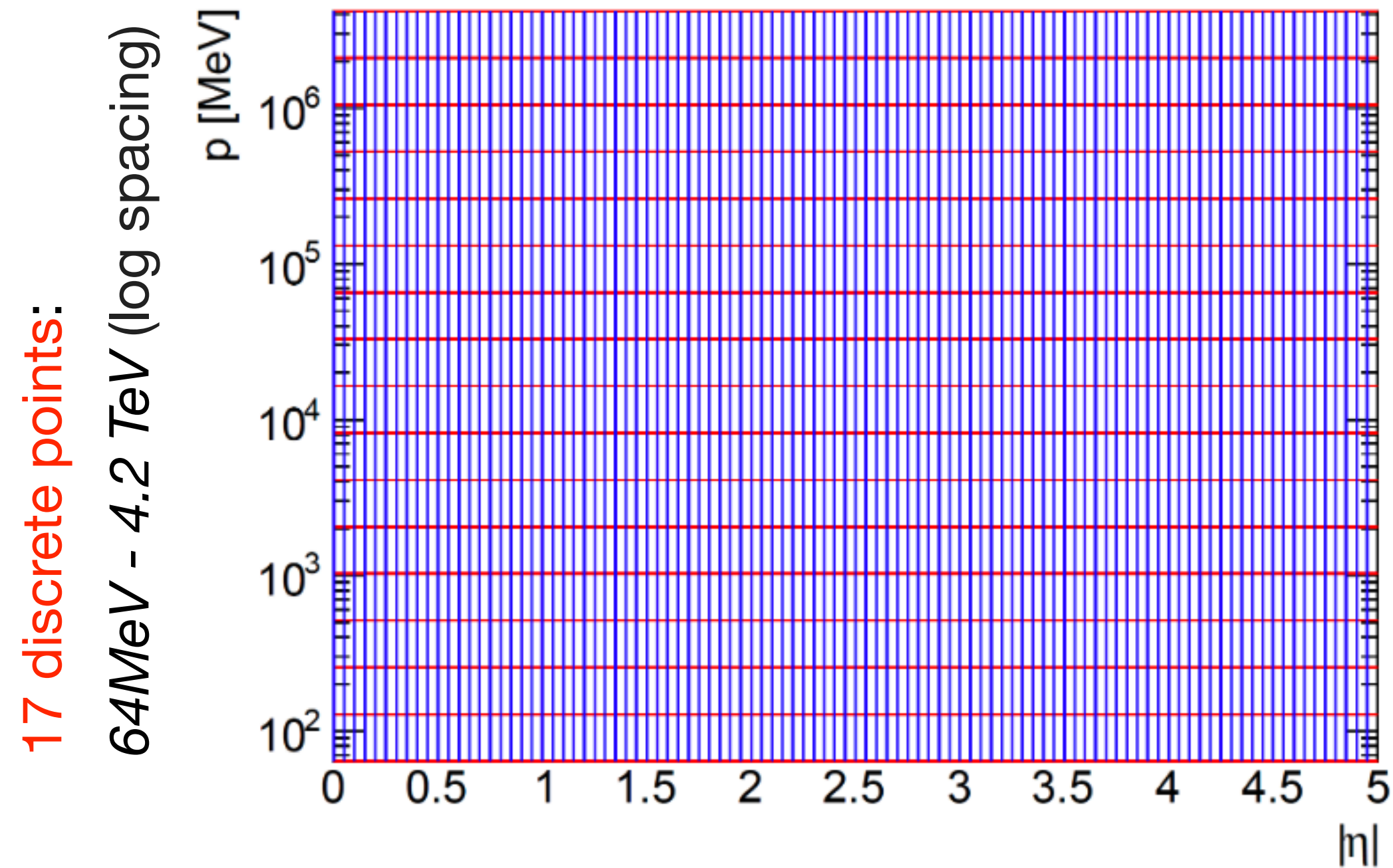


- AF3 provides a speed gain by a factor of -
  - O(500) for calorimeter only simulation
  - O(10) for full detector simulation

AF3 targets achieving *identical modeling to Geant4* requiring only *one set of calibrations*

# Input datasets for AF3 modeling

Geant4 simulated **single particles** generated at **the calorimeter surface** is used for modeling AF3



100 bins of **size 0.05** covering  $0 \leq |\eta| \leq 5$

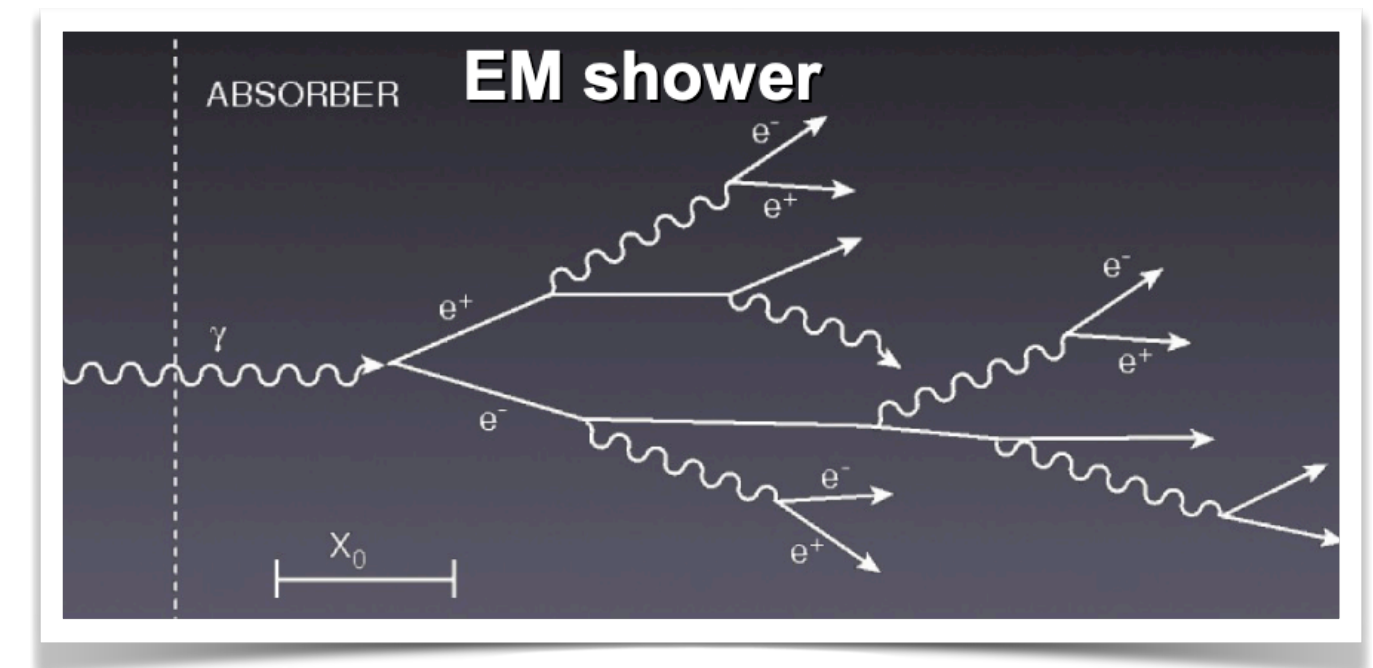
Detail G4 steps (granular energy deposit)

No primary vertex smearing in simulation

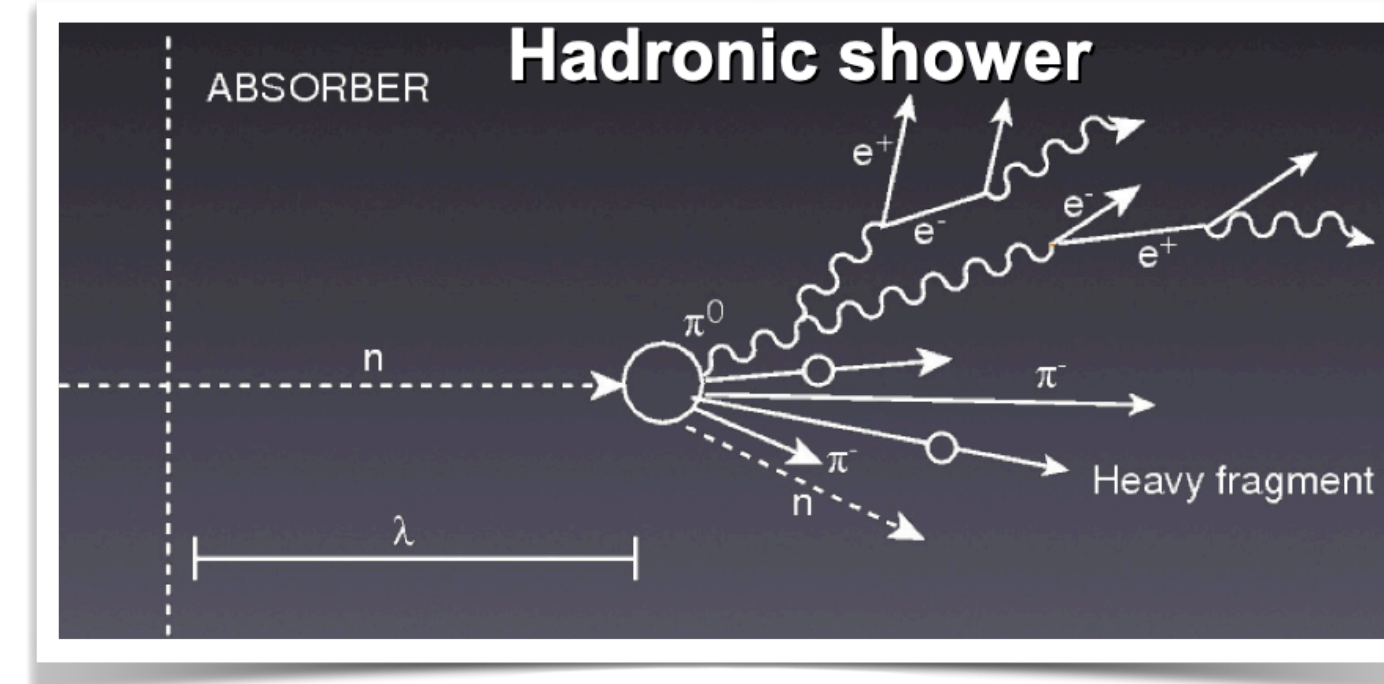
Noise, cross-talk between neighboring cells and bad cells turned off in digitization.

**Photons:** for photon shower

**Electrons ( $e^\pm$ ):** for electron shower

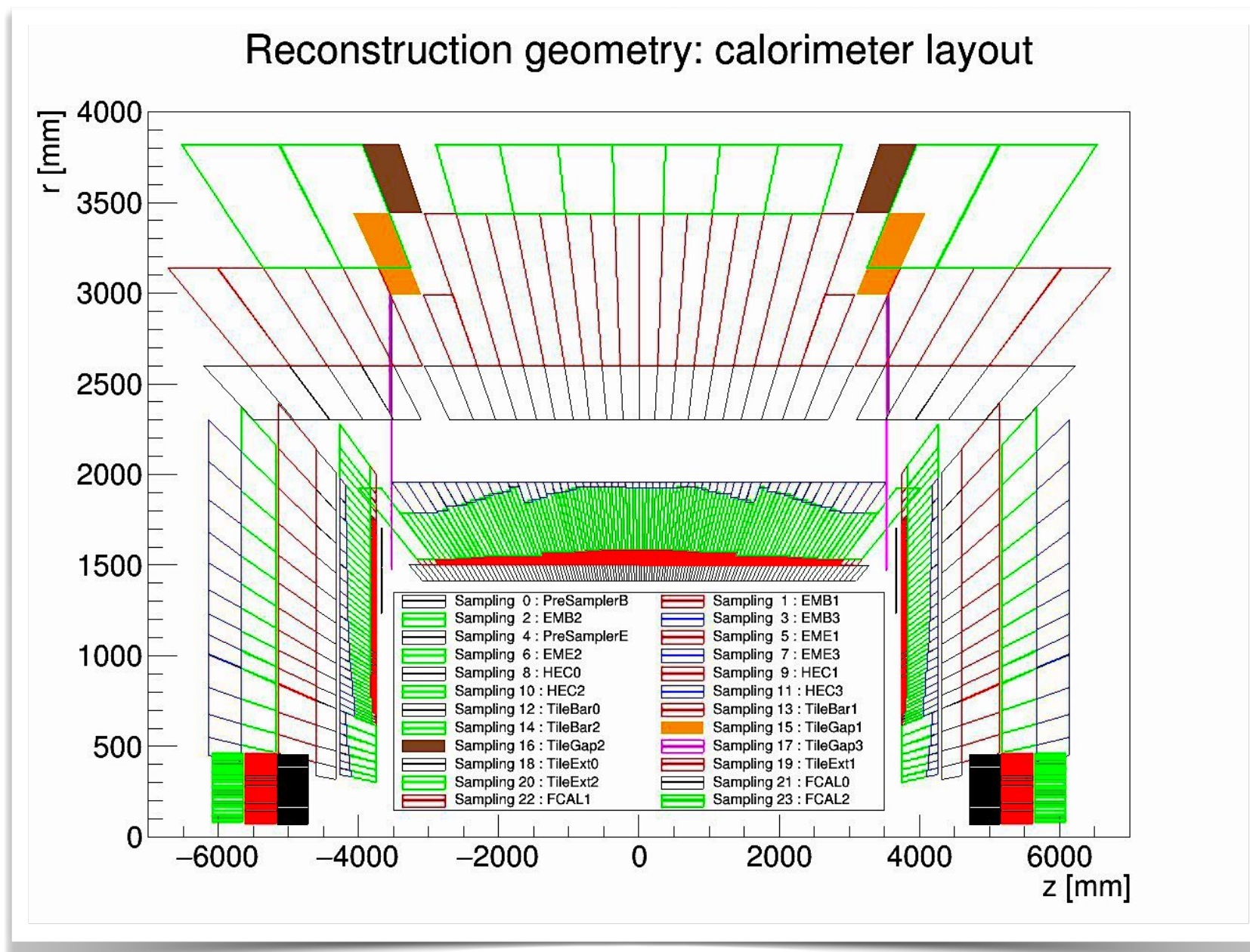
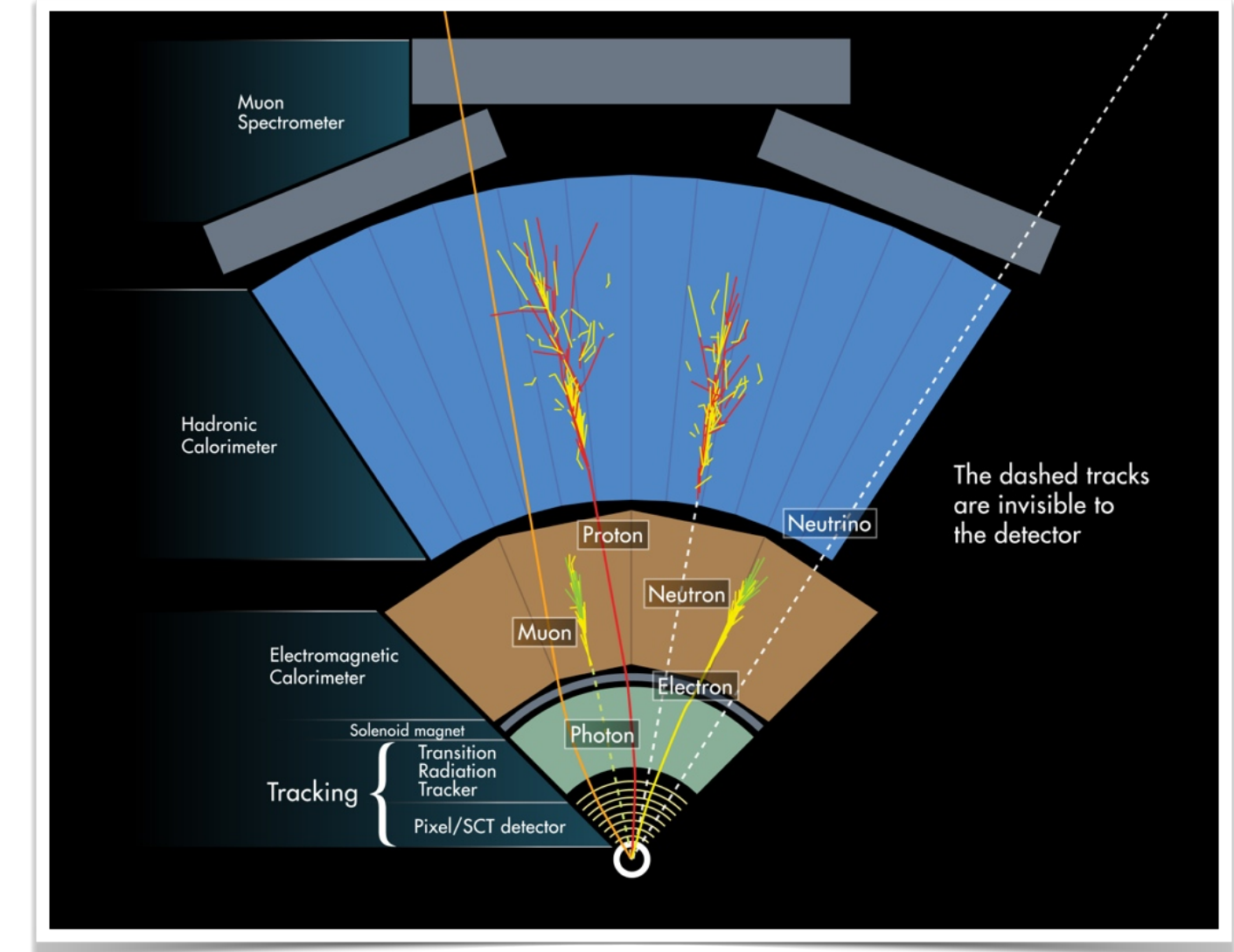


**Pions ( $\pi^\pm$ ):** for hadronic shower



# Parametrization based - FastCaloSim V2

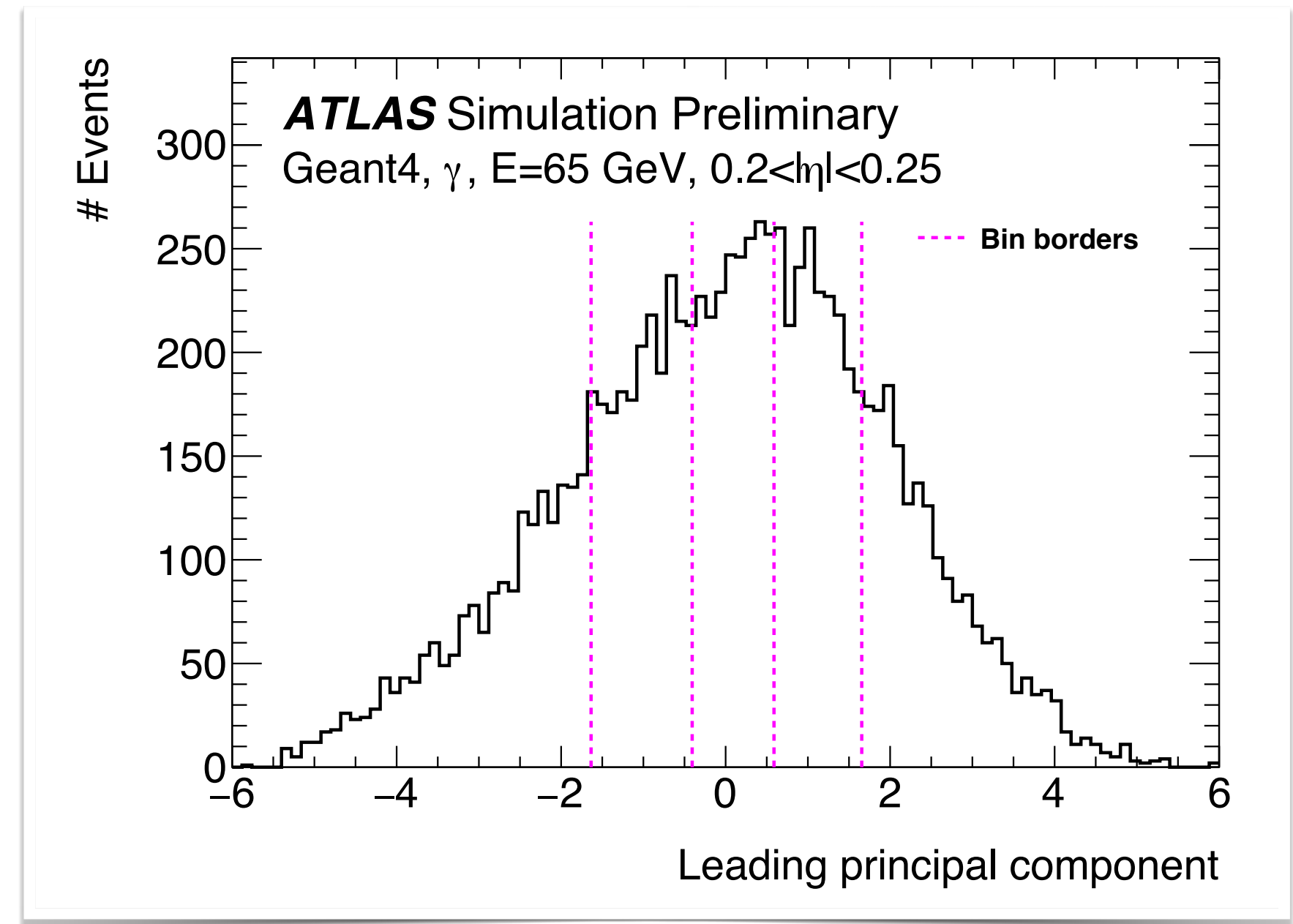
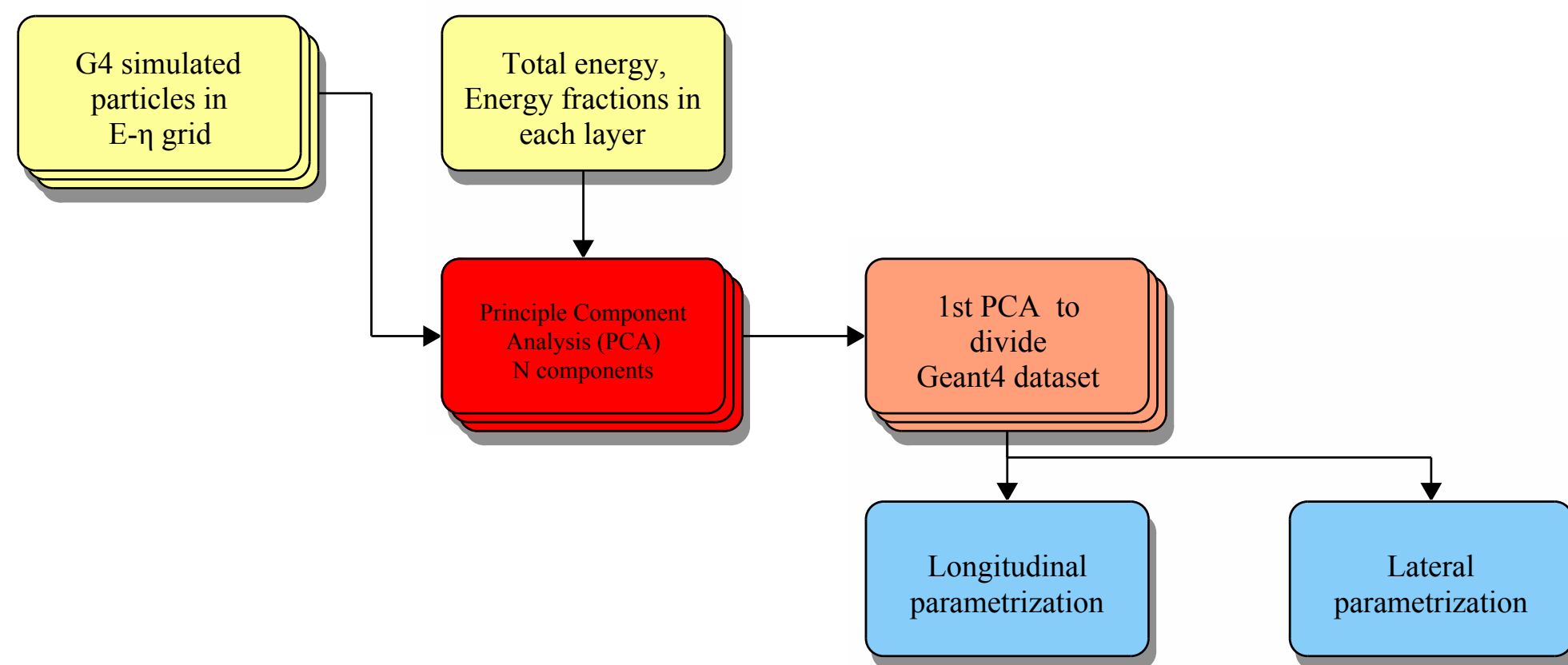
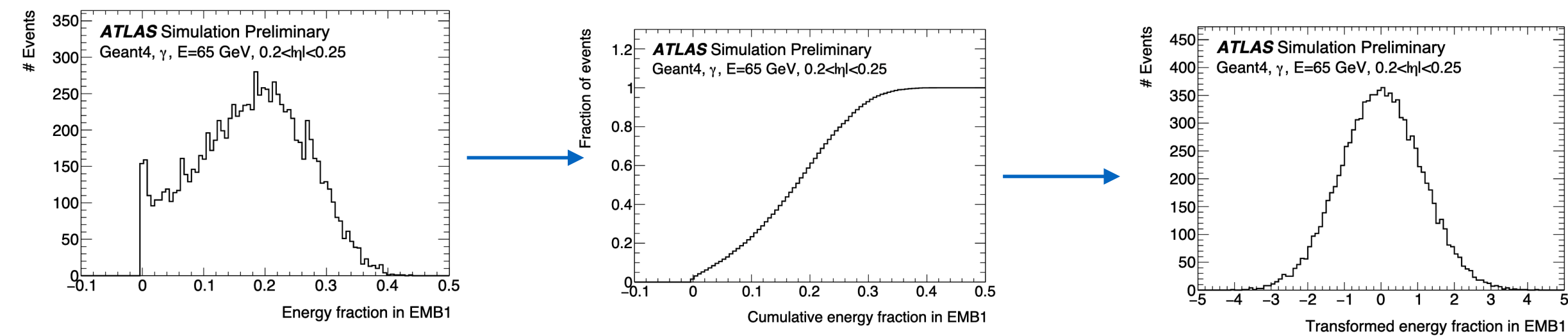
- ◆ Instead of simulating particle interactions, directly parametrize the **detector response** of single particles entering the calorimeter system.
- ◆ Parametrize the single particle shower development in **longitudinal** (energy) and **lateral** (shape) directions.
- ◆ Use the parametrization at simulation step to deposit energy in calorimeter cells using **simplified geometry**.



- ◆ The energy in each sampling layer is highly correlated.
- ◆ Classify showers based on the depth on the interaction point (i.e. depth at where a particle initiates the shower)
- ◆ The longitudinal and lateral parametrization is done for each for the shower type, for each calorimeter layers.

# Shower Classification

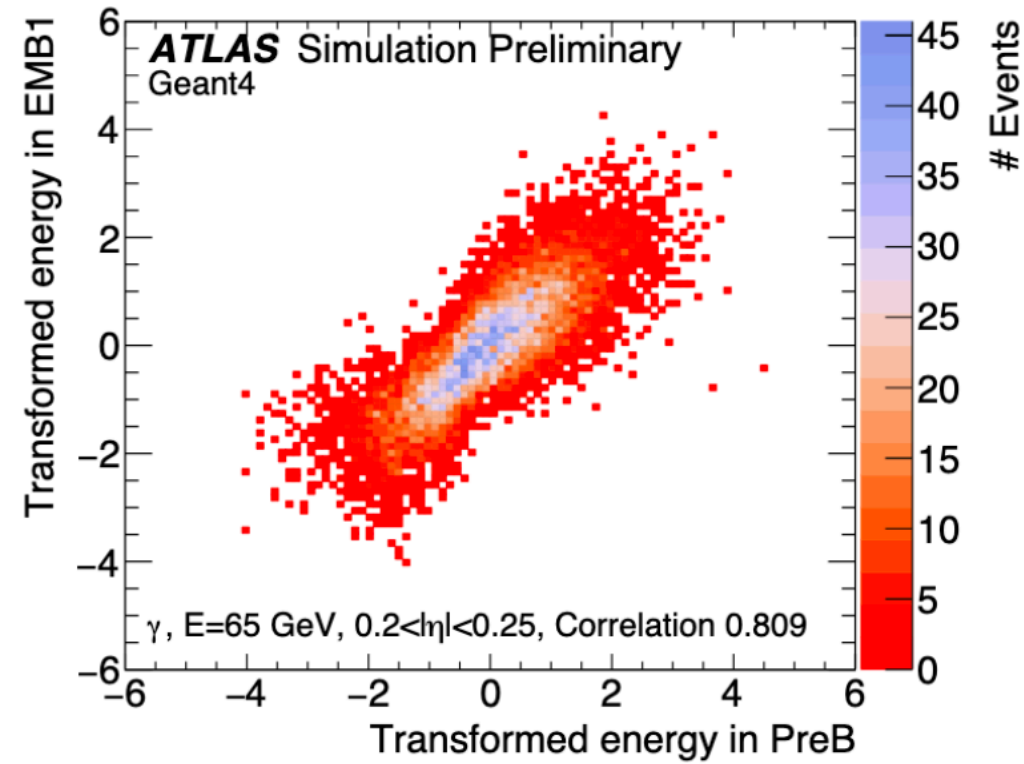
- ◆ To **remove the energy correlation** between layers - single particles are classified based on its depth on interaction point.
- ◆ The energy fraction of each layer, total energy for all particles are used to perform a Principal Component Analysis (PCA).



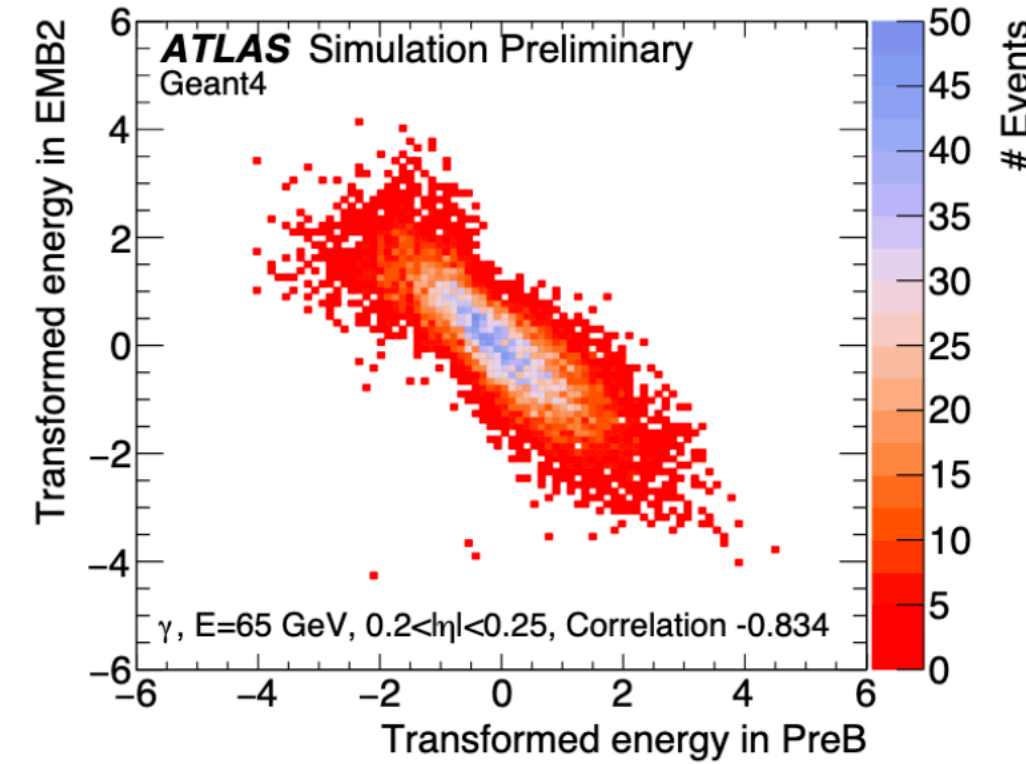
Leading principal component is used to divide the particles in **quantiles**

# Validation of shower classification - energy decorrelation

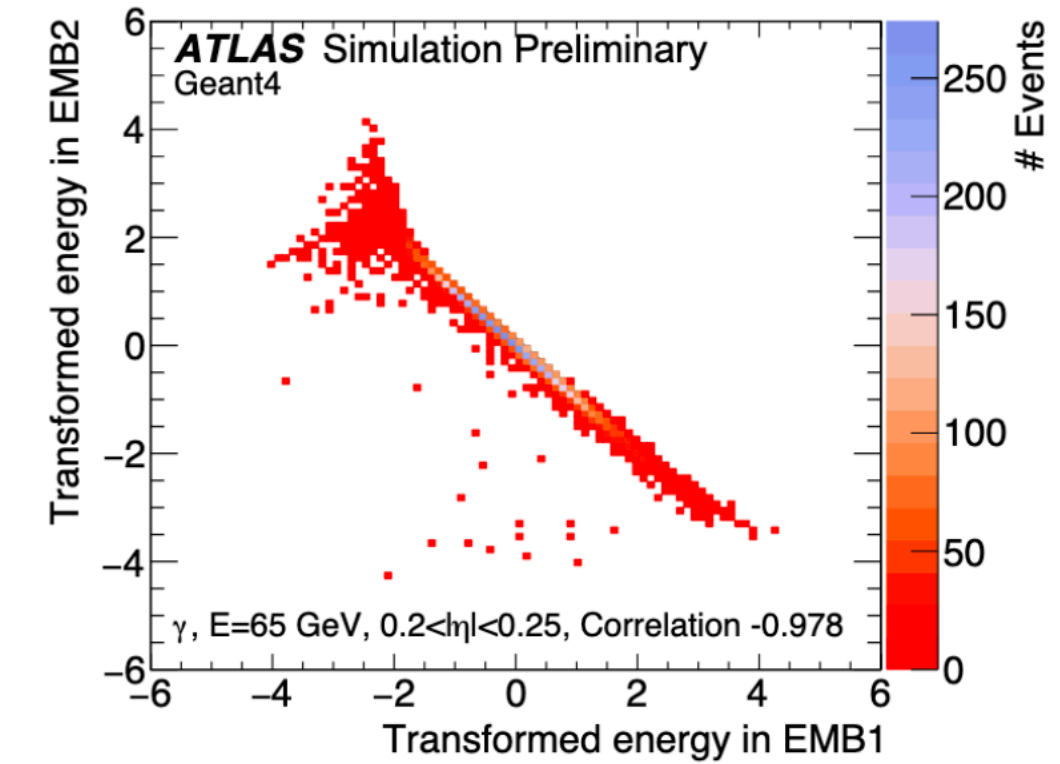
Before PCA:



(a) Presampler vs EM Barrel 1

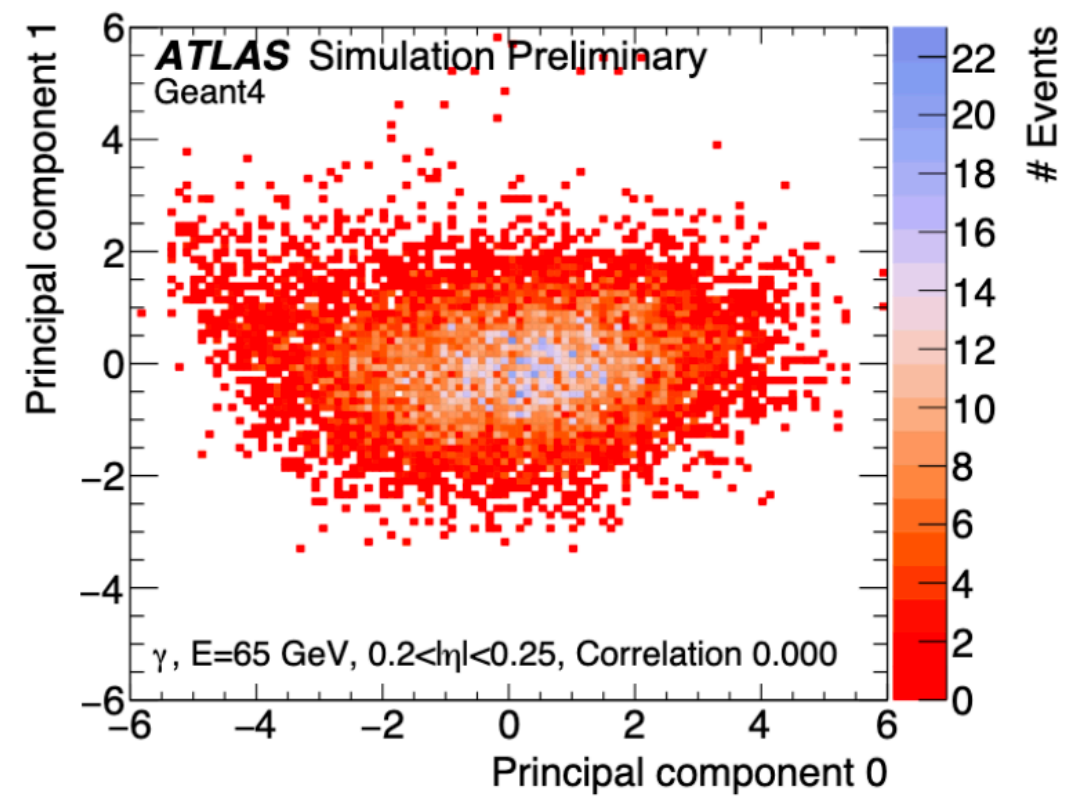


(b) Presampler vs EM Barrel 2

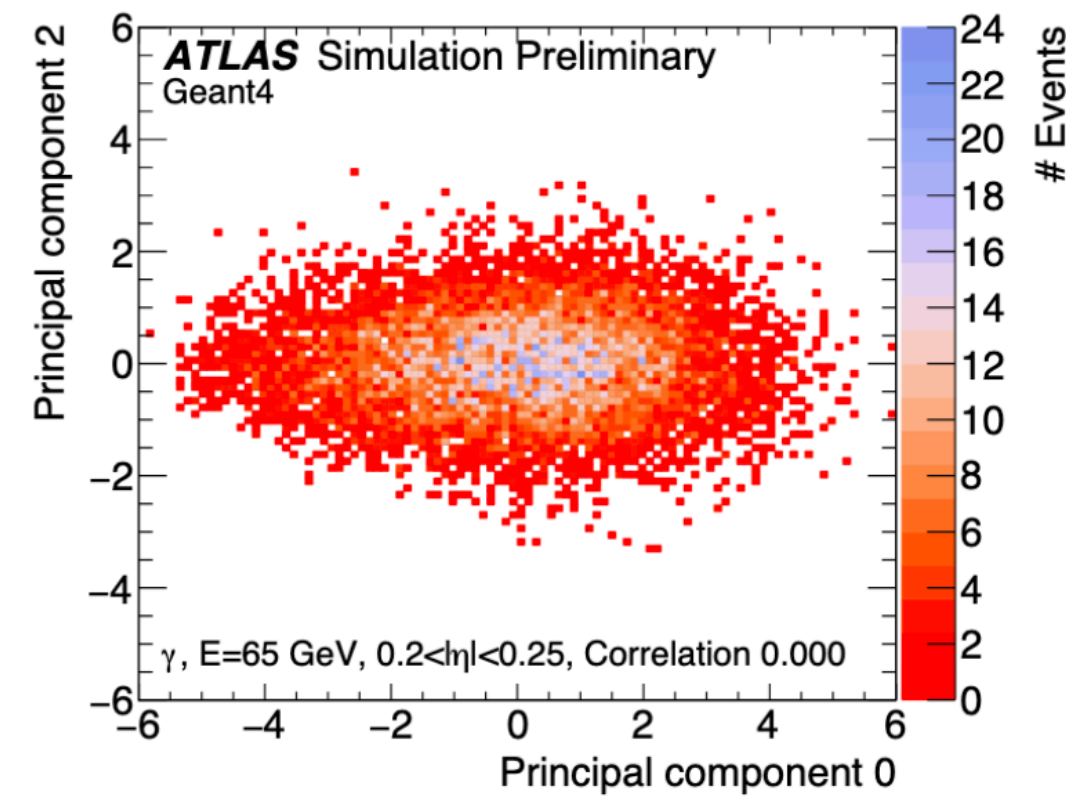


(c) EM Barrel 1 vs EM Barrel 2

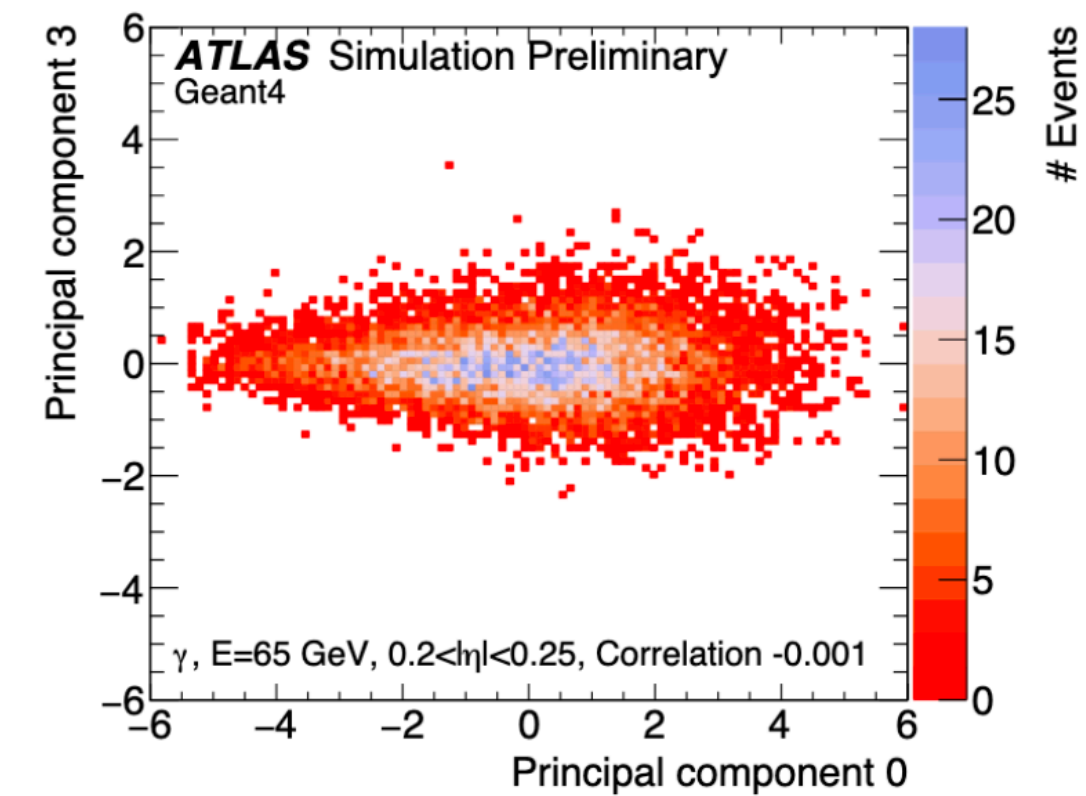
After PCA:



(a) First vs second PC



(b) First vs third PC

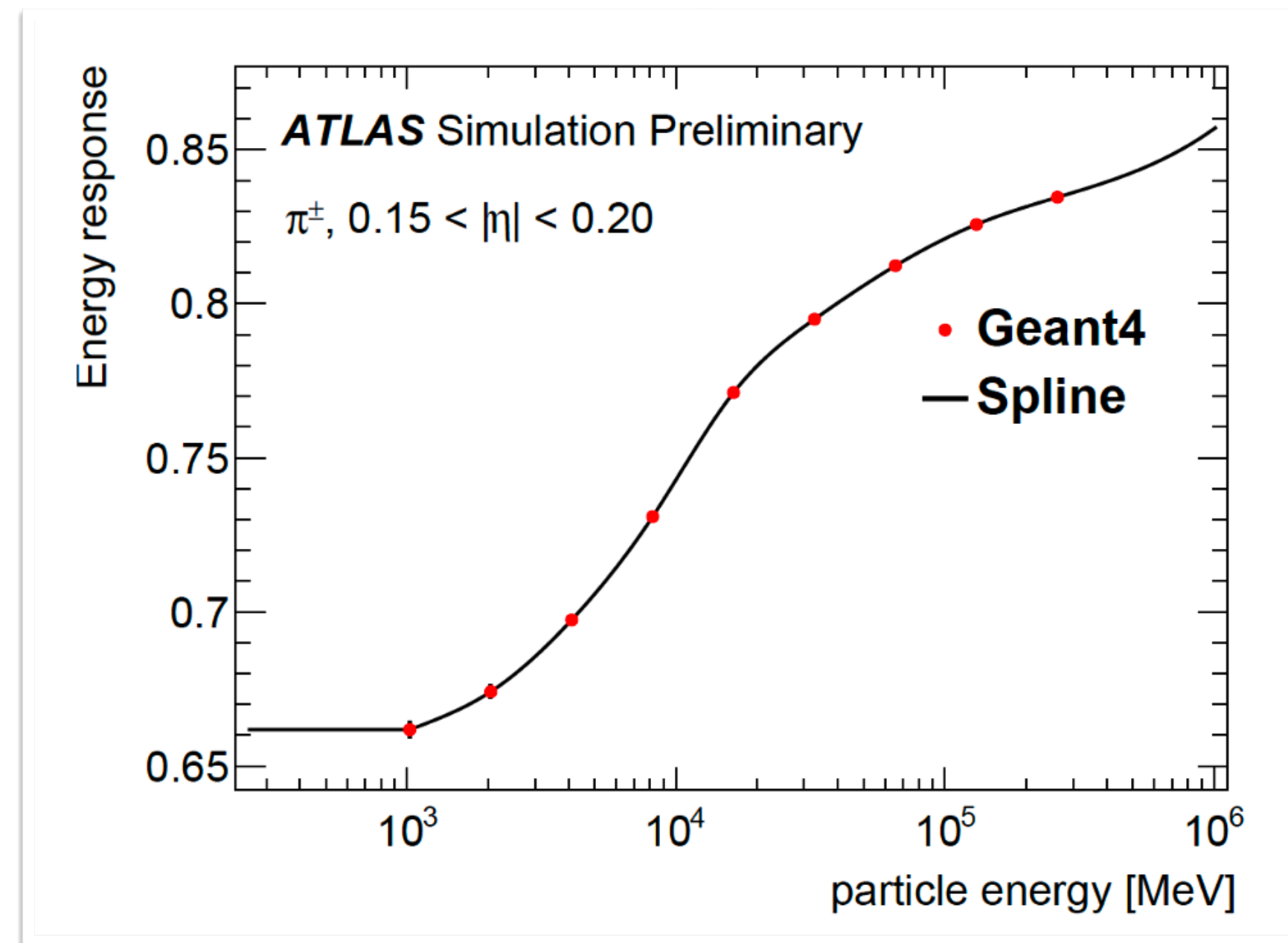
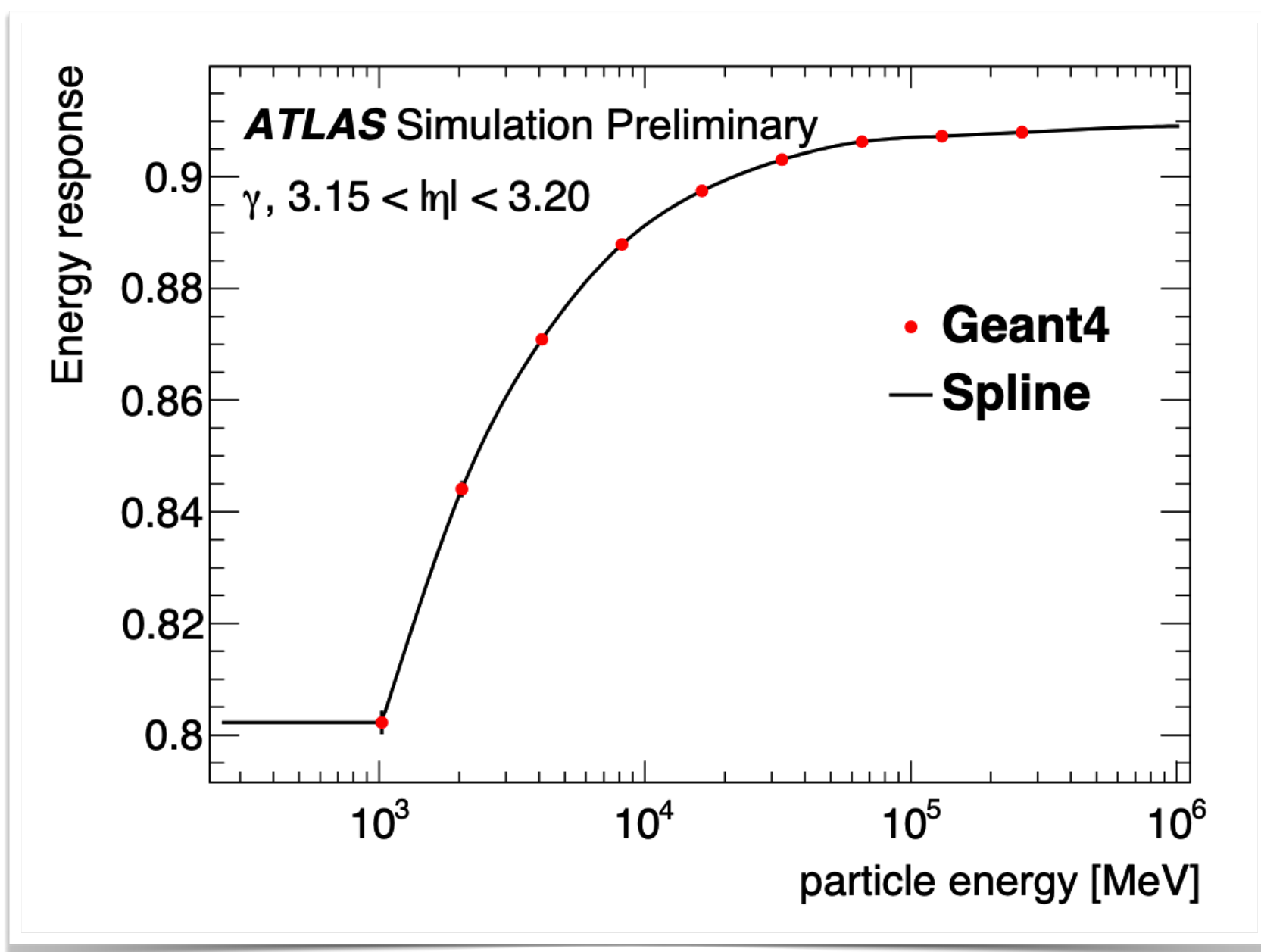
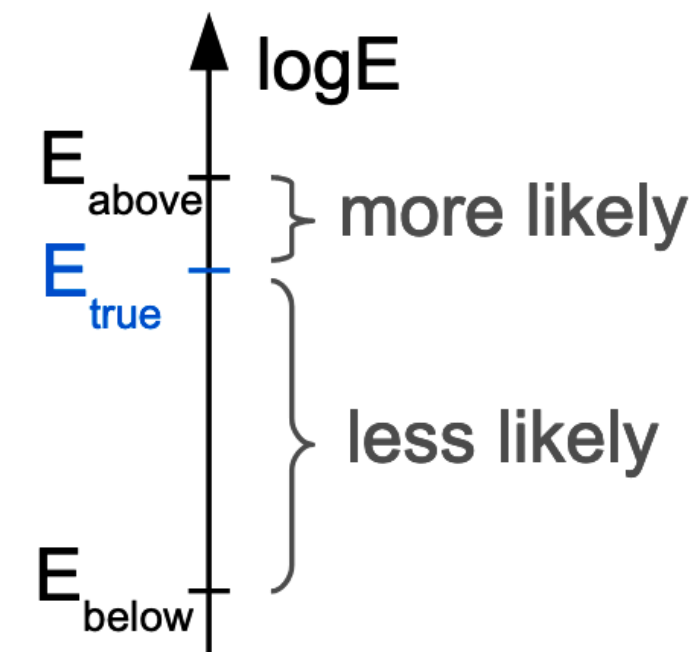


(c) First vs fourth PC



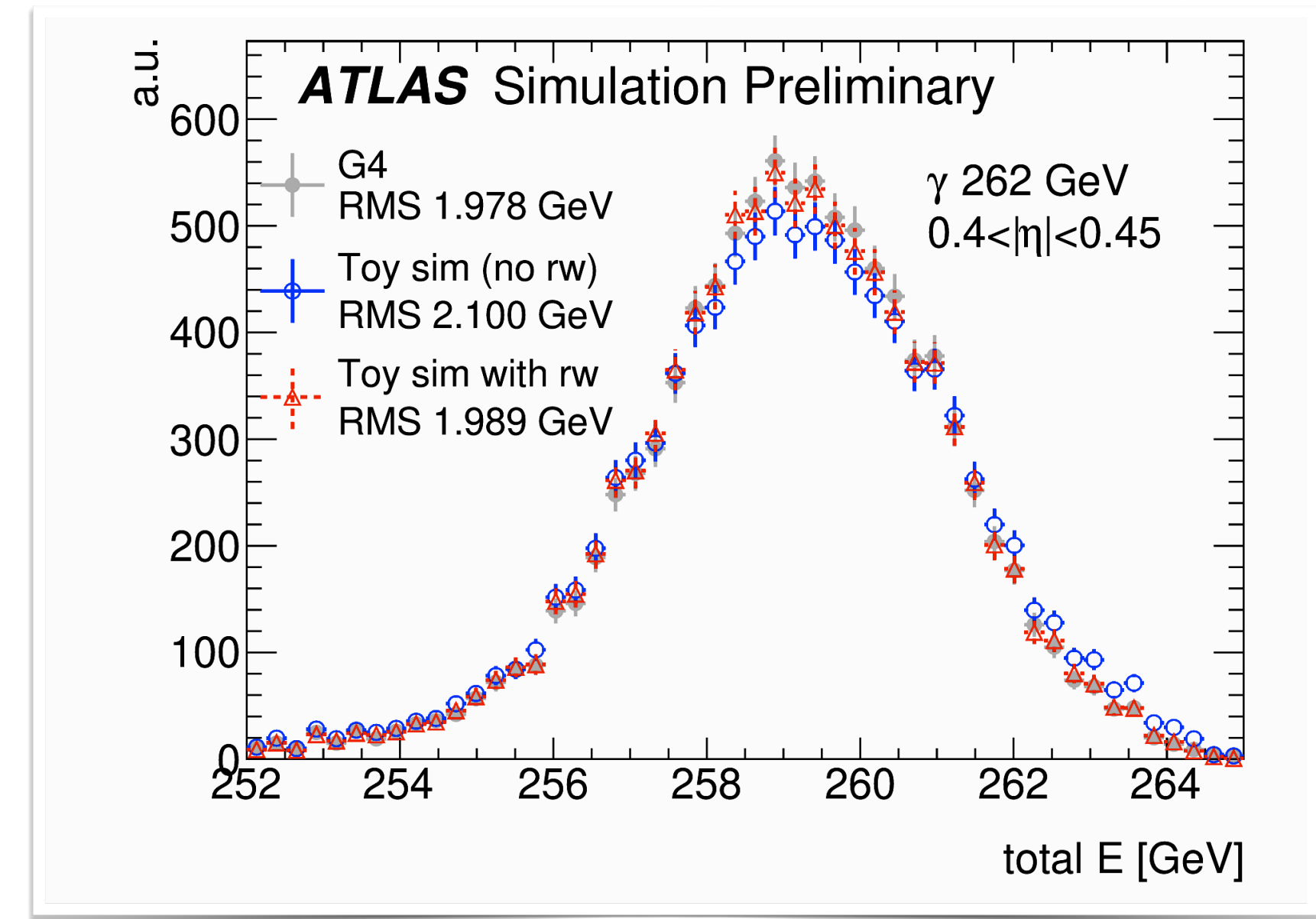
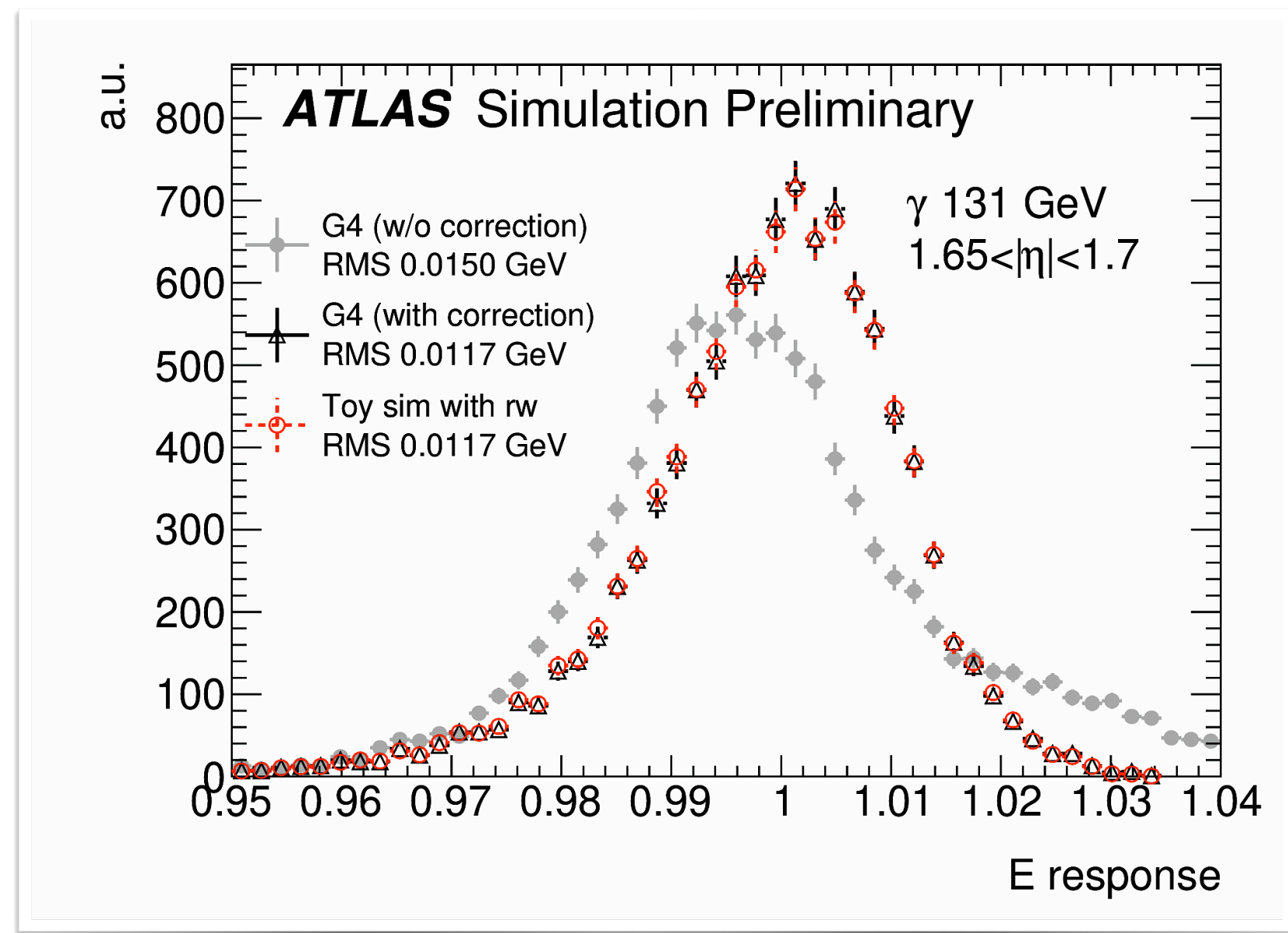
# Energy Parametrization & Interpolation

- ◆ **Additional PCA on each bins** of 1<sup>st</sup> Principal Component and the cumulative distributions, mean & RMS of the gaussians along with the PCA matrix is saved for energy parametrization - for the 17 discrete points.
- ◆ A piece-wise polynomial (spline) is used to fit the 17 energy points for **interpolation**.
- ◆ During simulation the parametrization is **randomly selected based** on the logarithm distance of  $E_{true}$  from parametrization grid.



# Corrections to improve energy resolution

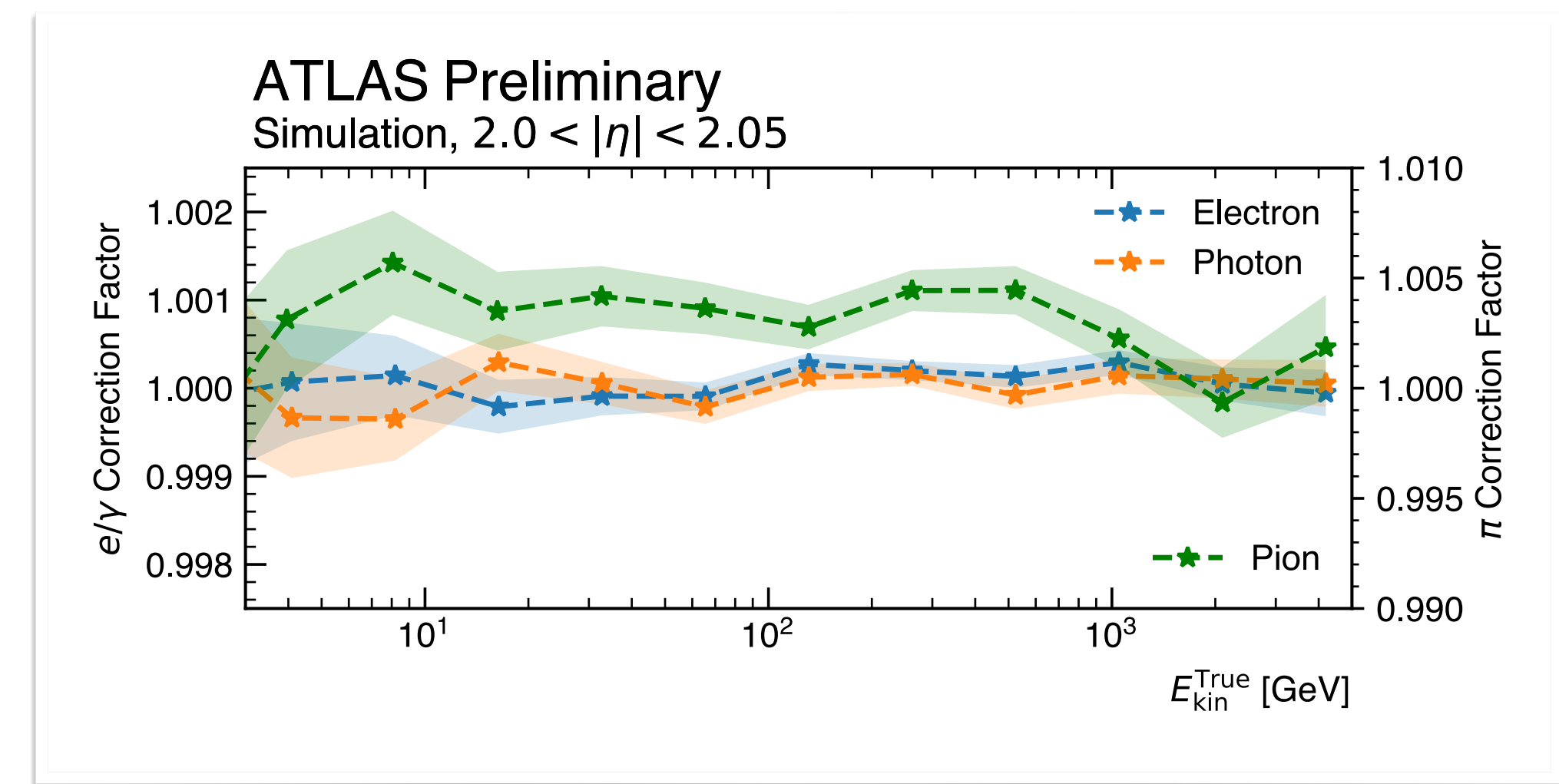
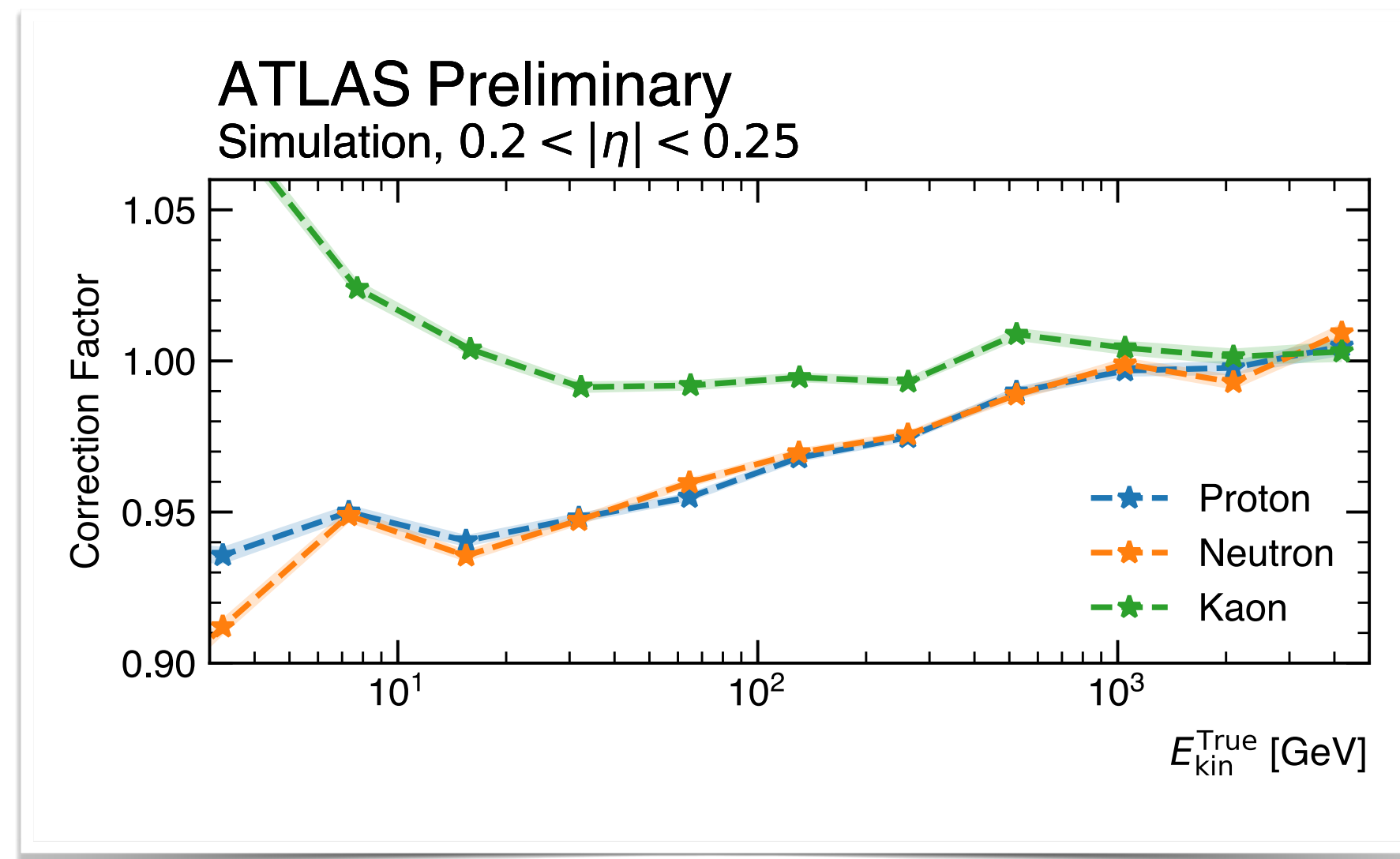
- ◆ **Probabilistic reweighting** to reject simulated energy far off from the G4 distribution - using a PDF derived from simulated over expected energy.



- ◆ There is a **modulation of energy in the phi direction** in the input G4 due to accordion structure in Liquid Argon (LAr) calorimeter which is not modeled in AF3.
- ◆ The Geant4 inputs are corrected before parametrization to “flatten” the phi modulation.

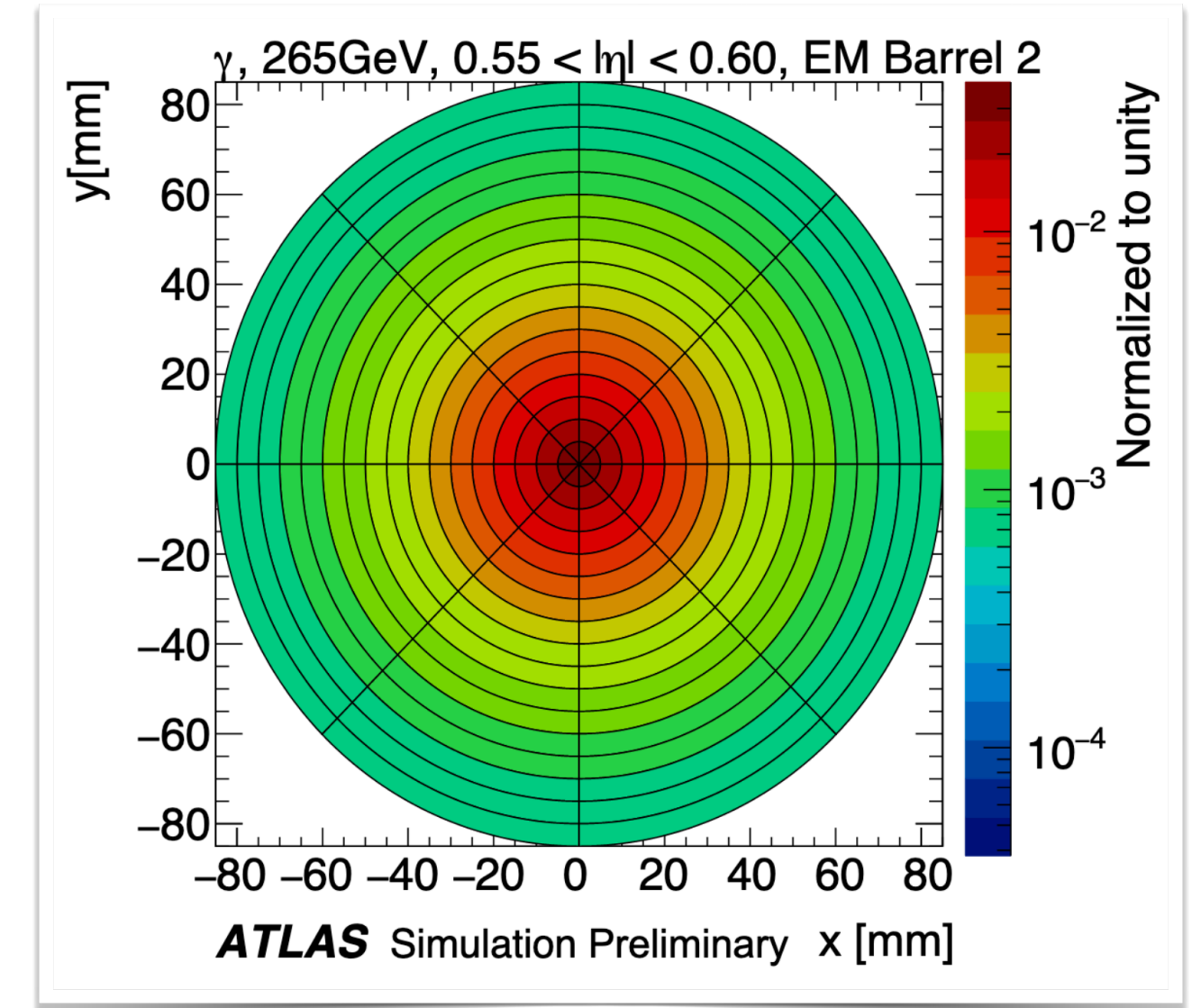
# Corrections to improve mean energy

- ◆ Small residual differences in energy response simulation in electron , photon and pion:
  - ◆ derive correction factors  $\bar{E}_{G4}/\bar{E}_{AF3}$  for each energy and  $\eta$  points with linear interpolation in between
  - ◆ for photons & electrons the corrections are applied if the correction factor is statistically significant
  
- ◆ Hadron showers simulated with pion parametrization has an intrinsic energy difference:
  - ◆ derive  $\bar{E}_{G4}^{\text{Hadron}}/\bar{E}_{G4}^{\pi}$  correction factors scaled by  $E_{\text{kin,true}}^{\pi}/E_{\text{kin,true}}^{\text{Hadron}}$
  - ◆ the correction factors are linearly interpolated in between the discrete energy points

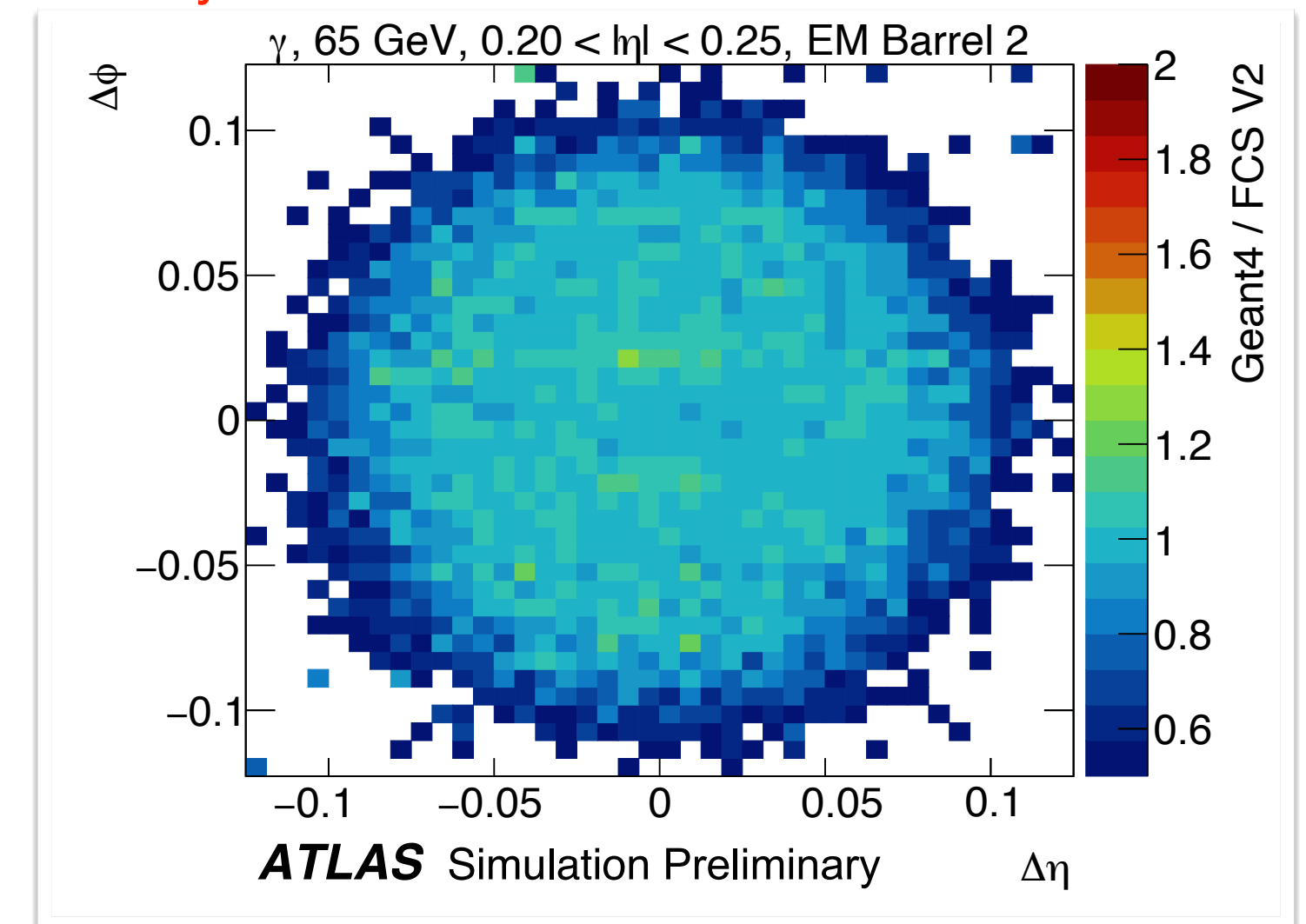


# Lateral shower shape parametrization

- ◆ The distribution of energy in lateral direction **averaged over many showers** is parametrized over a certain **radial distance** ( $r$ ) containing 99.5% of the total energy and 8-bins in the **angular direction** ( $\alpha$ ).
- ◆ The **bin size (1 or 5mm)** in the radial direction is coarser compared to G4 steps but **finer compared calorimeter cell size** in each layer.
- ◆ Shower centers are **corrected by average longitudinal depth** of energy deposits in each PCA bin.
- ◆ This parametrization is done for **each layer and PCA** bin for each parametrization grid point.
- ◆ These 2D histograms are used as PDF during simulation to randomly generate quantized energy deposits (hits)

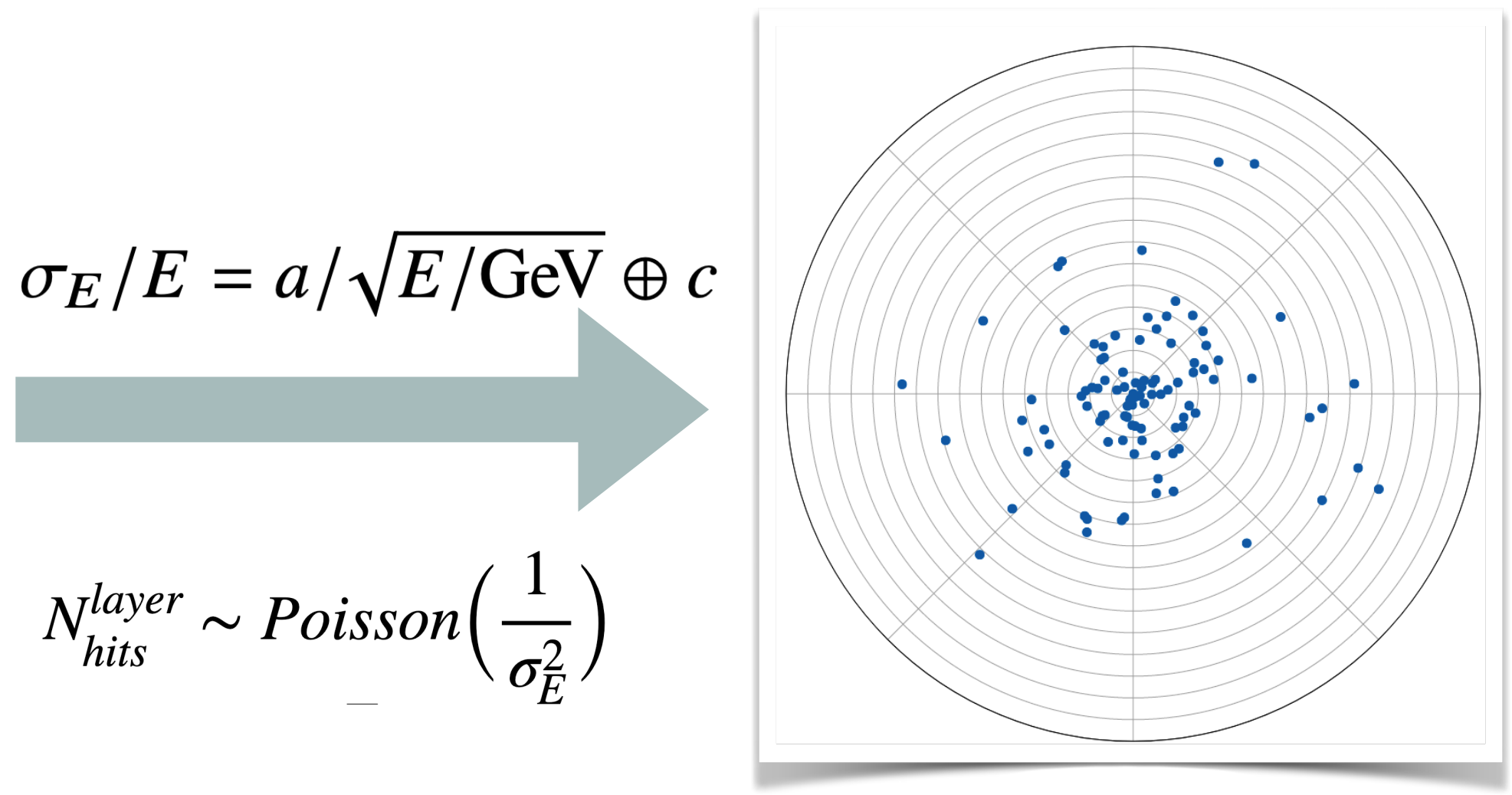
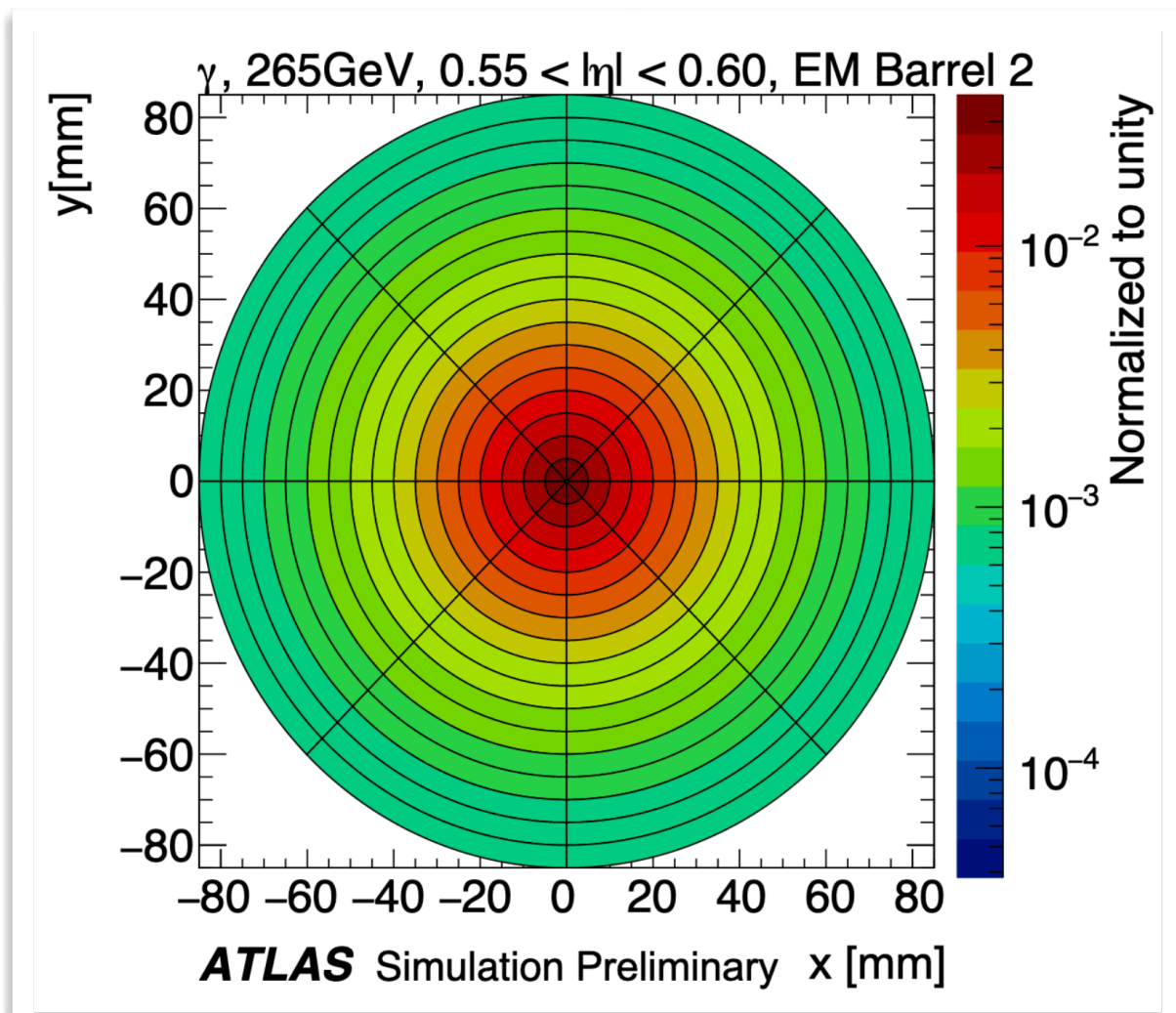


toy simulation



# Simulation of lateral shower

- ◆ Generation of shower is a **stochastic process** with the average shower gives the PDF.
- ◆ Energy is deposited using  $N_{hits}$  of **equal energy**.
- ◆  $N_{hits}$  is calculated such that it gives the **same poisson RMS as the resolution of the calorimeter layer**.



$$E_{hit} = E_{layer} / N_{hits}$$

Calorimeter technology	Constant term $c$	Stochastic term $a$
LAr EM barrel and endcap	0.2%	10.1%
Tile	5.5%	56.4%
LAr hadronic endcap	0	76.2%
FCal	3.5%	28.5%

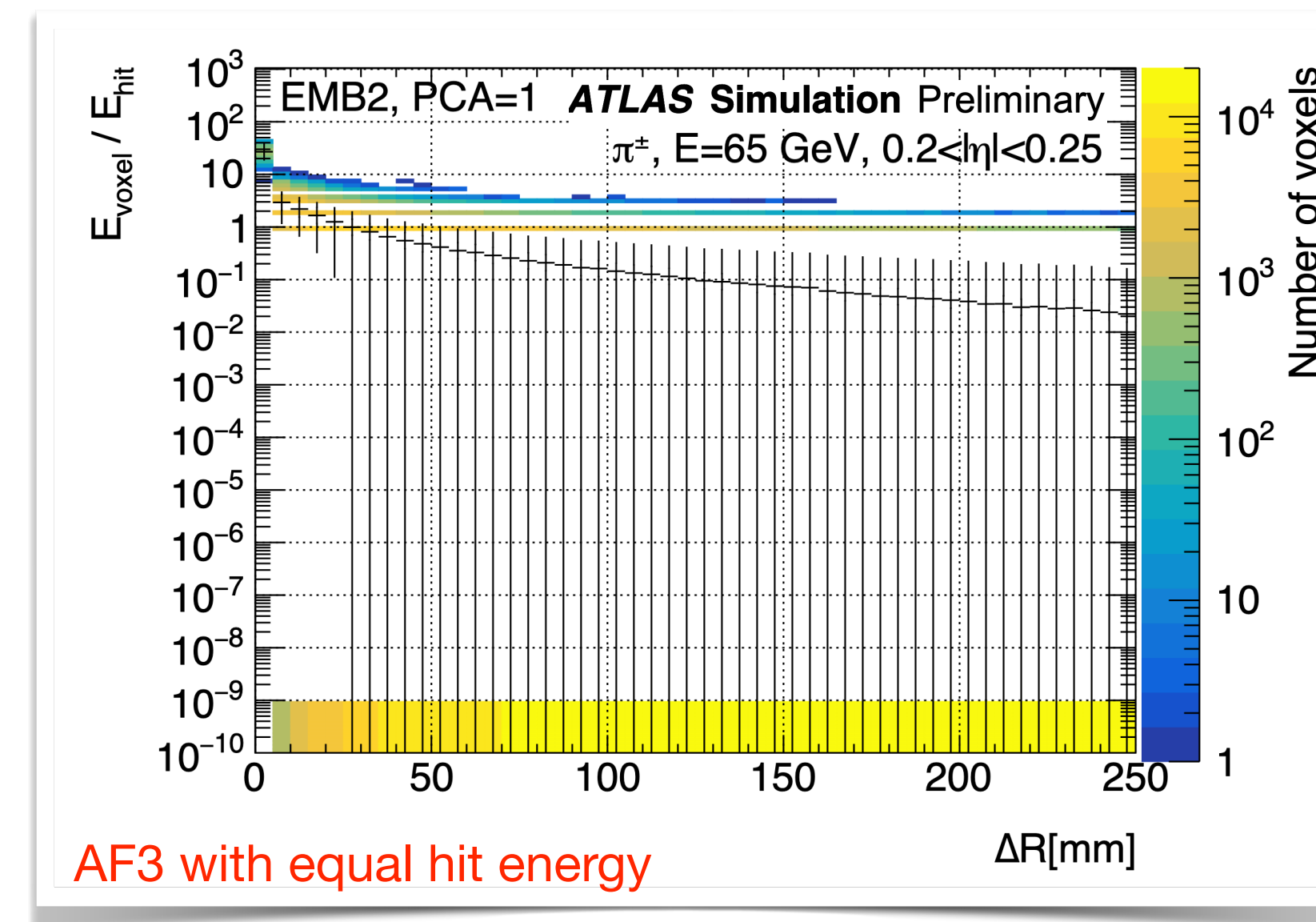
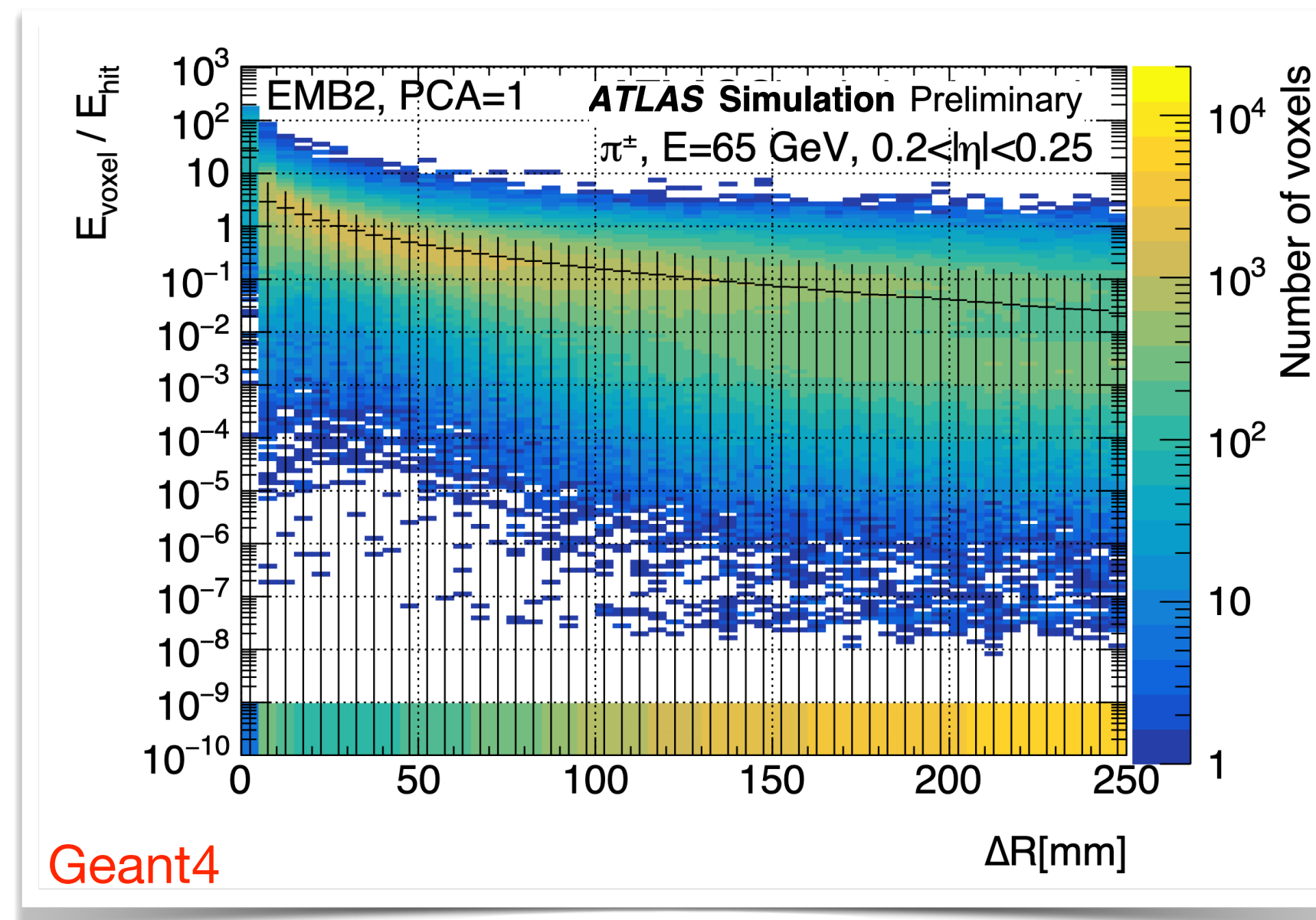
*Hadronic showers have larger intrinsic fluctuations and the stochastic terms are calculated for each layer and  $\eta$  region*

Calorimeter	Stochastic term $a$
EM	30 - 40%
Tile	50 - 60%
Hadronic endcap	60 - 80%
FCal	80 - 100%

This model with **equal energy** hits works well for **EM showers** but require hit **reweighting** for **Hadronic showers**.

# Weighted hit simulation for hadrons (1)

- ◆ Hadronic calorimeter layers have **large stochastic terms** ( $> 30\%$ ) leading to **large energy deposits** (100 - 300 MeV) for hits with equal energy.
- ◆ Even only few hits at far away from the shower center have **large probability** to create clusters.
- ◆ These low energy clusters introduce mismodeling in the total number of clusters.



$E_{\text{voxel}}$  - bins in average shower histogram

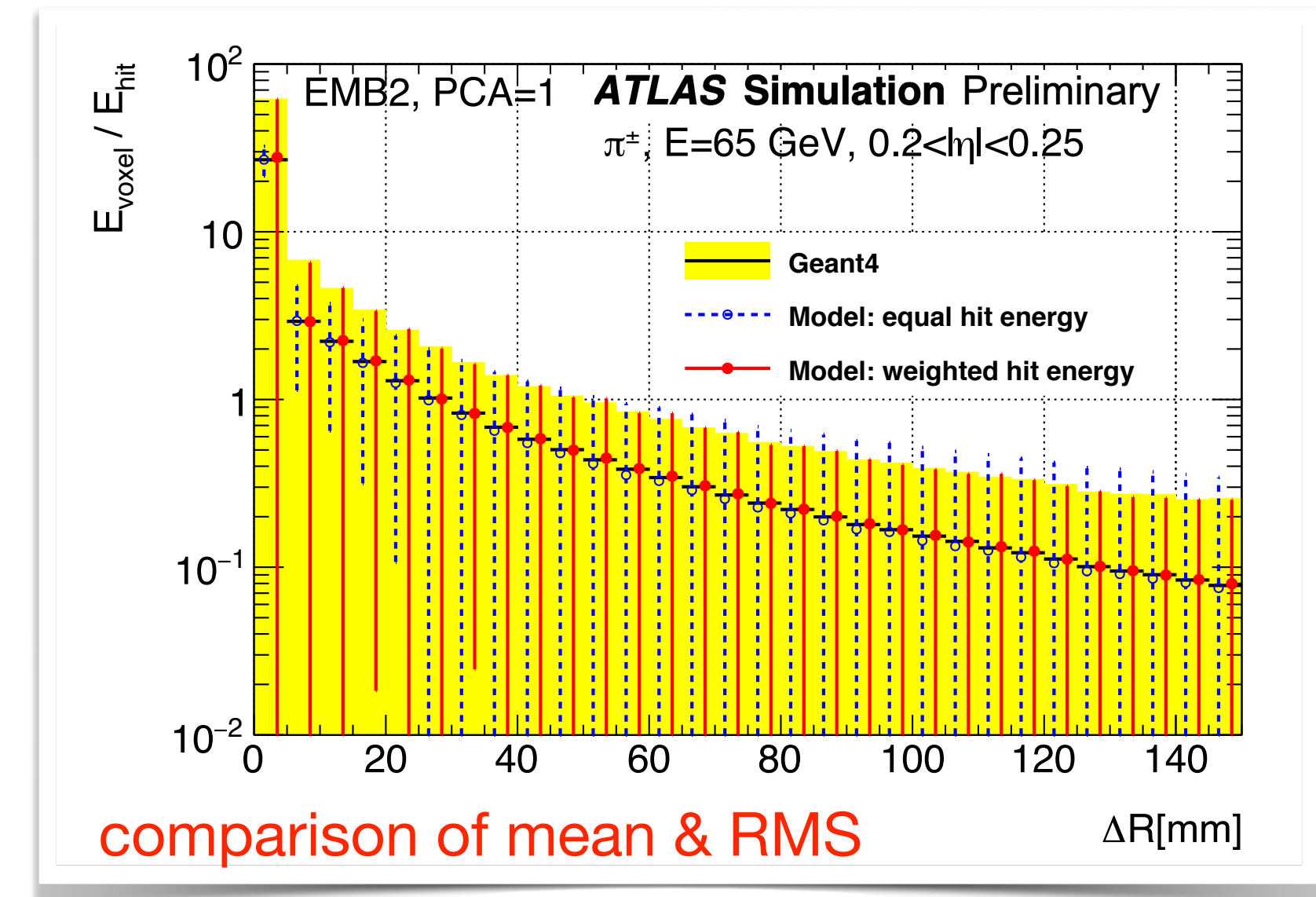
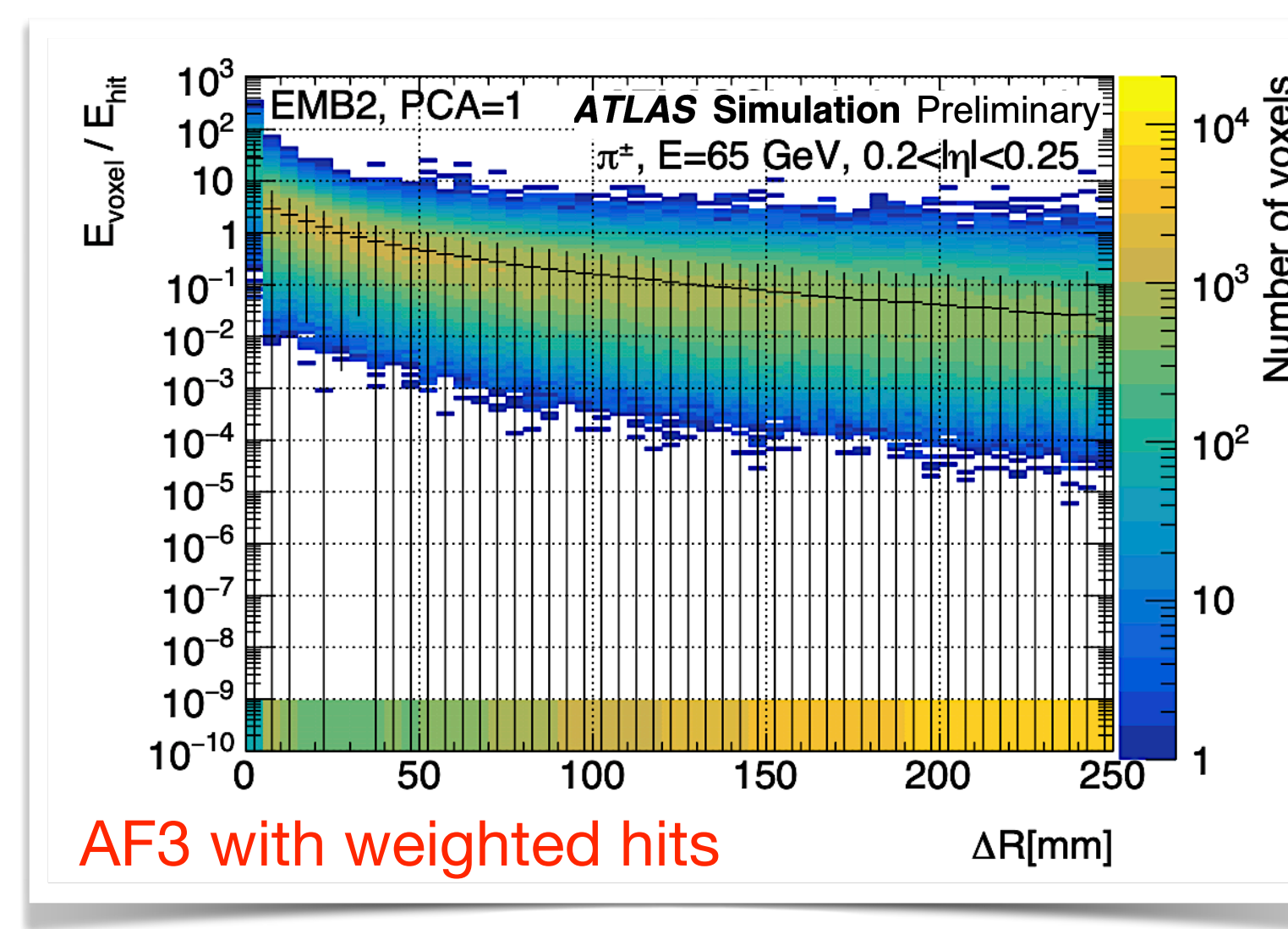
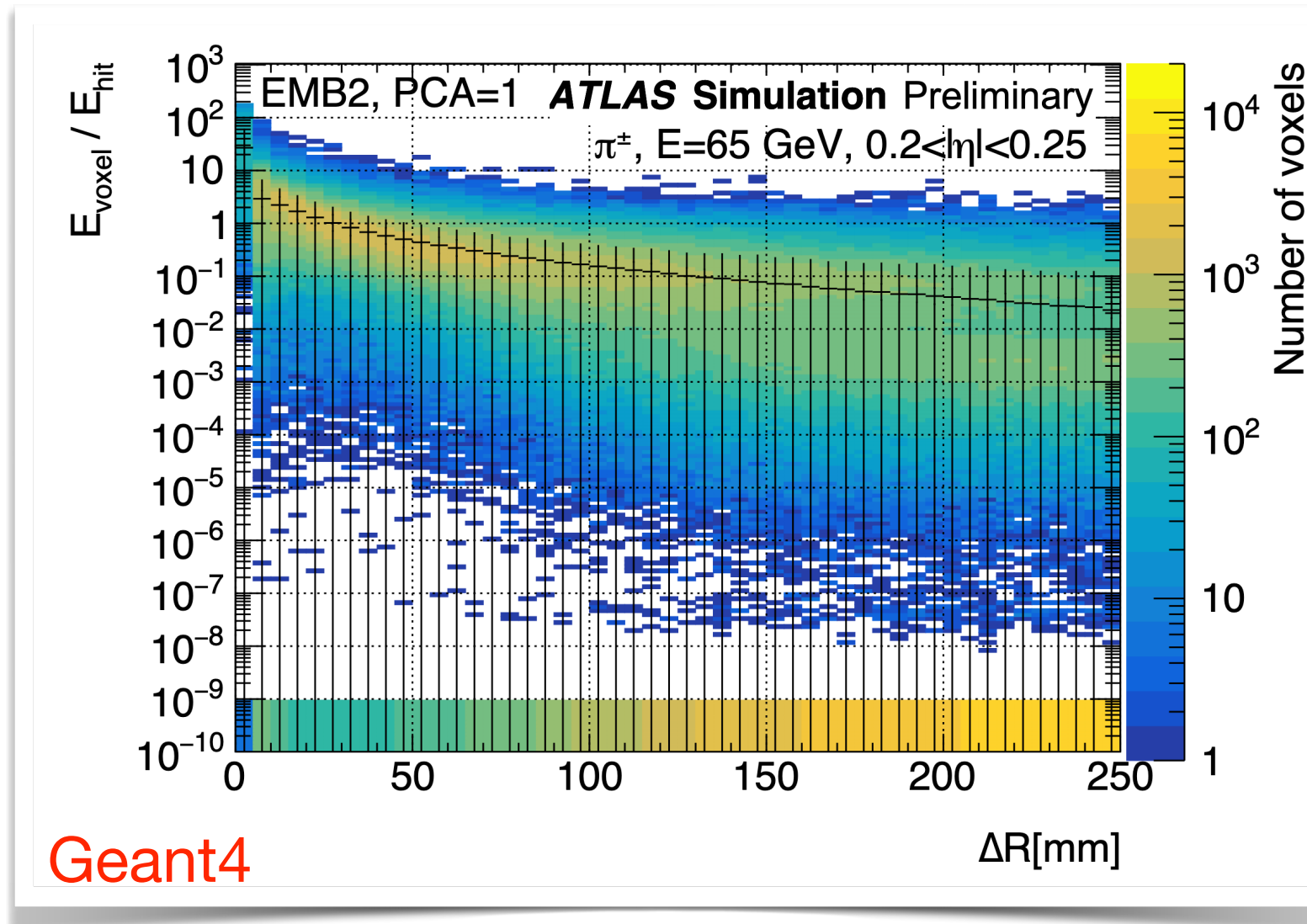
$E_{\text{voxel}} / E_{\text{hit}}$  - energy fraction in each bin of avg. shower

$\Delta R$  [mm] - radial distance from shower center in mm unit

Equal hit energy deposition creates **large number of clusters** away from the center of the shower not observed in Geant4!

# Weighted hit simulation for hadrons (2)

- ◆ The equal hit energy model can reproduce the mean well but not the RMS.
- ◆ Introduce **weights** to change the RMS of each bin to reproduce the RMS of the Geant4 distribution.
- ◆ Additional smearing is applied to include unaccounted fluctuations.



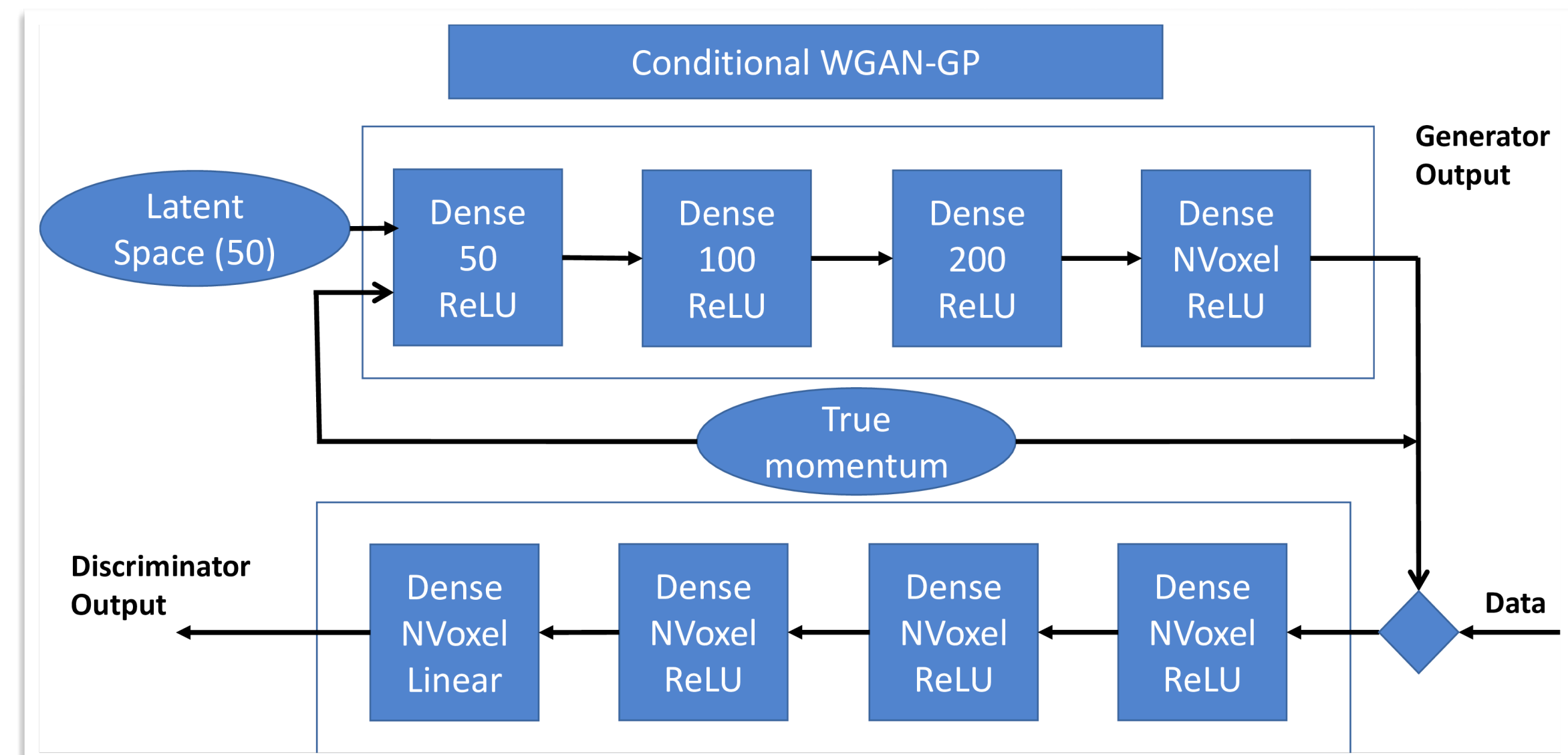
Weighted hit model significantly improves modeling of hadron showers!

# Neural Network based- FastCaloGAN

ATL-SOFT-PUB-2020-006

- ◆ Generative Adversarial Network (GAN) to simulate shower generation **in the entire calorimeter** - providing both **longitudinal** (including correlation between layers) and **lateral shower** modeling.
- ◆ The hits are **voxelized** in the same frame of reference  $(r, \alpha)$  as in FCS V2 shape parametrization - **optimized** for each particle and  $\eta$  bins.
- ◆ Wasserstein loss with gradient penalty (WGAN-GP) is used, **conditioned on the truth momentum** and trained for each  $\eta$  slice but inclusive in energy - resulting **100 GANs** for pions.
- ◆ Each GAN is trained for 1M epochs with a checkpoint saved every 1K epochs.
- ◆ At simulation step the GAN with **best epoch** is used to generate hits which are deposited in the corresponding voxels.

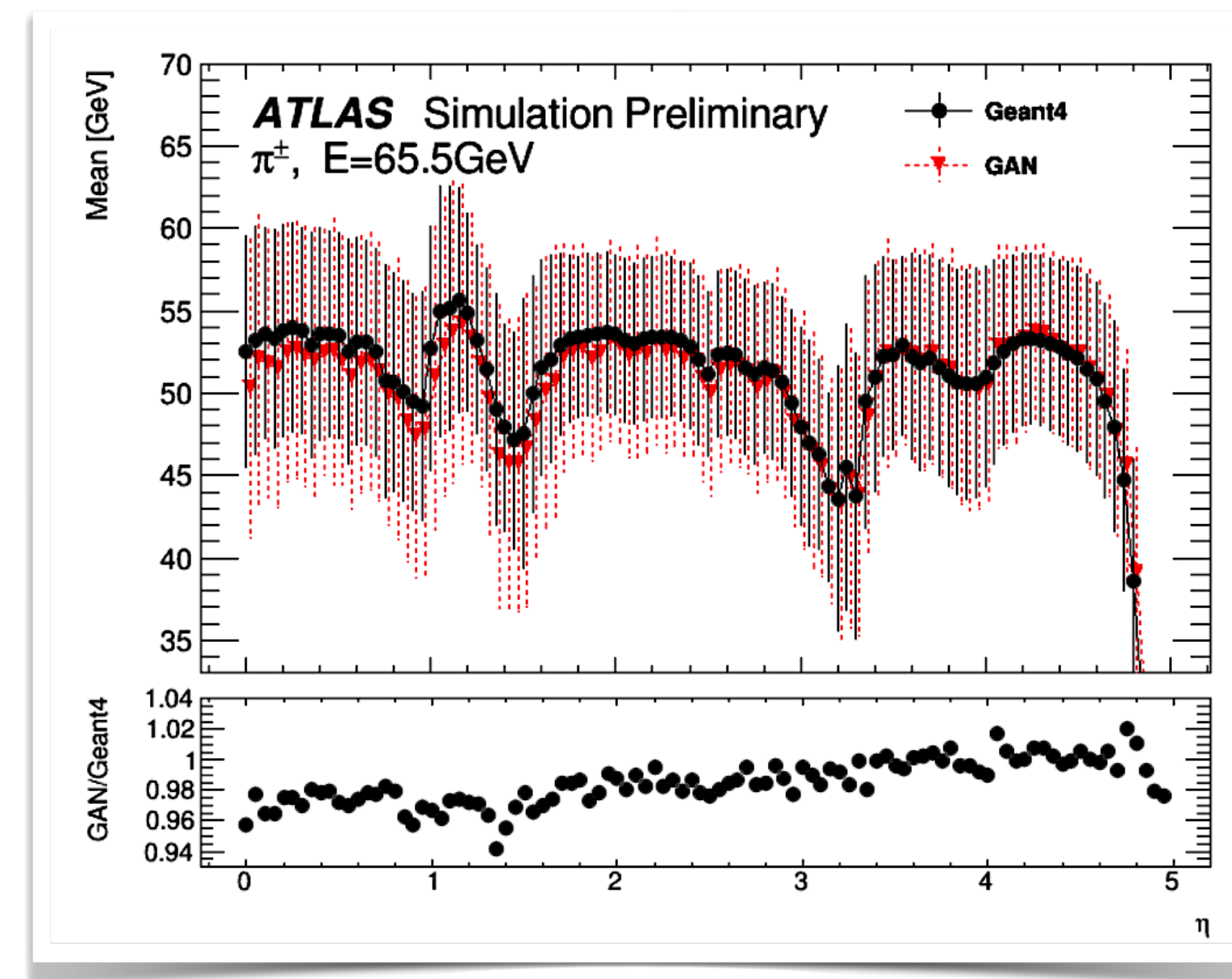
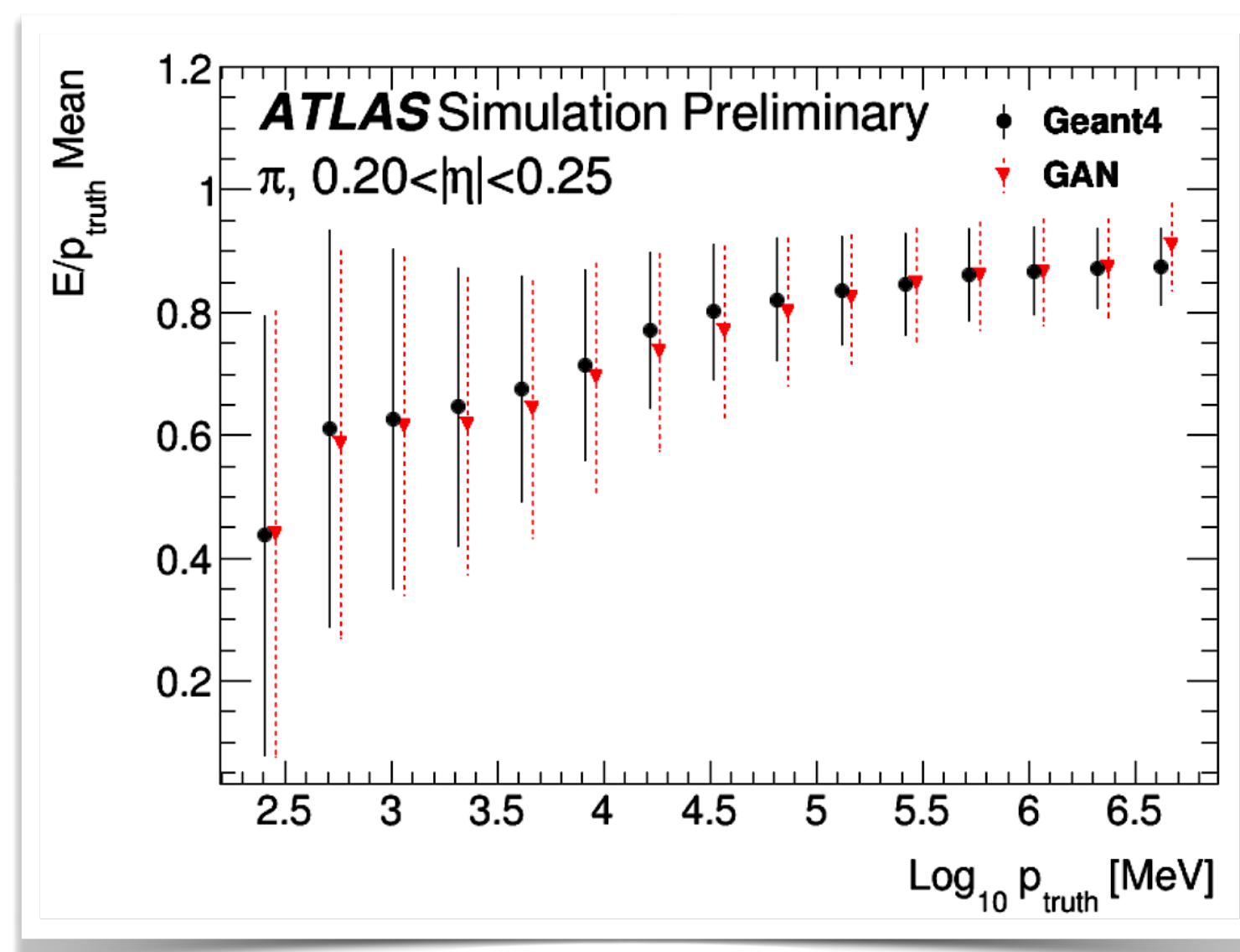
G	50 (Input latent Space), 50, 100, 200, NVoxel
D	NVoxel, NVoxel, NVoxel, NVoxel, 1
Activation function	ReLU (in all layers)
Optimiser	Adam [20]
Learning Rate	$10^{-4}$
$\beta$	0.5
Batchsize	128
Training ratio (D/G)	5
Gradient penalty $\lambda$	10





# FCSGAN Performance

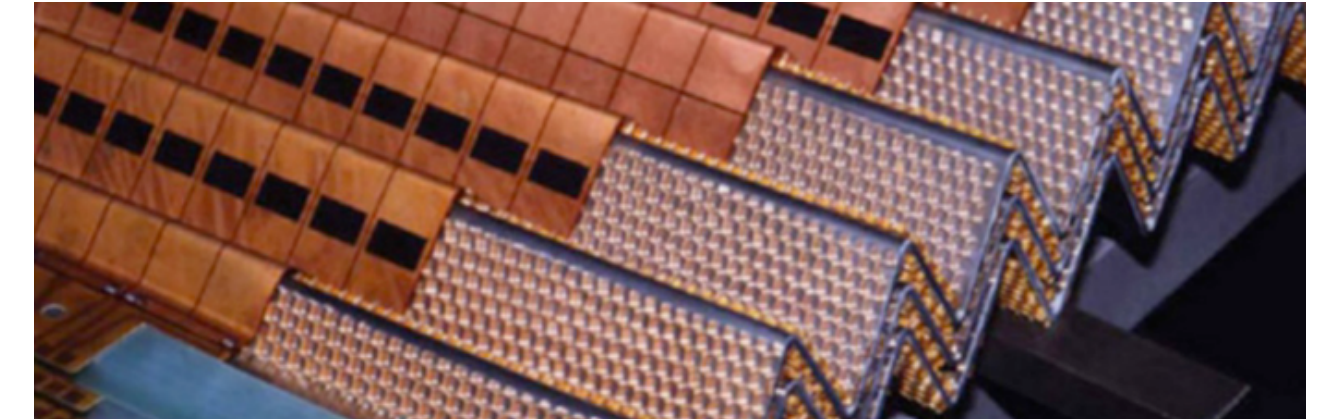
- ◆ FastCaloGAN shows better modeling compared to FCS V2 for hadrons in the **medium energy range**.
- ◆ The exact threshold is determined based on **single cluster** and **jet properties**.
- ◆ AF3 uses FCSGAN for hadron showers in the range:  **$16 \text{ GeV} \leq E_{\text{kin}} \leq 256 \text{ GeV}$**
- ◆ The total energy of the FastCaloGAN is **scaled to the energy of FCS V2** - allows smooth transition between the two simulation flavors.



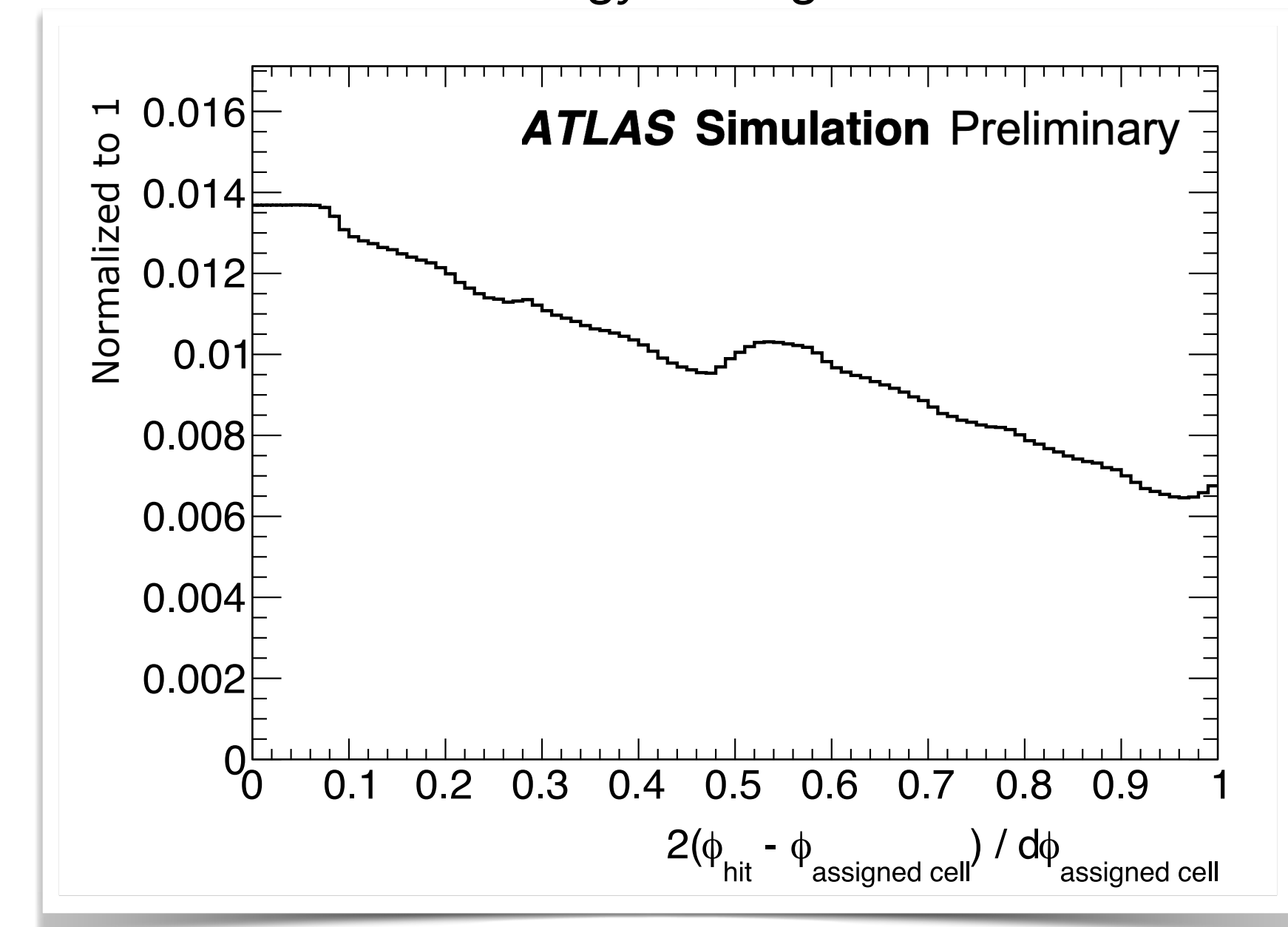
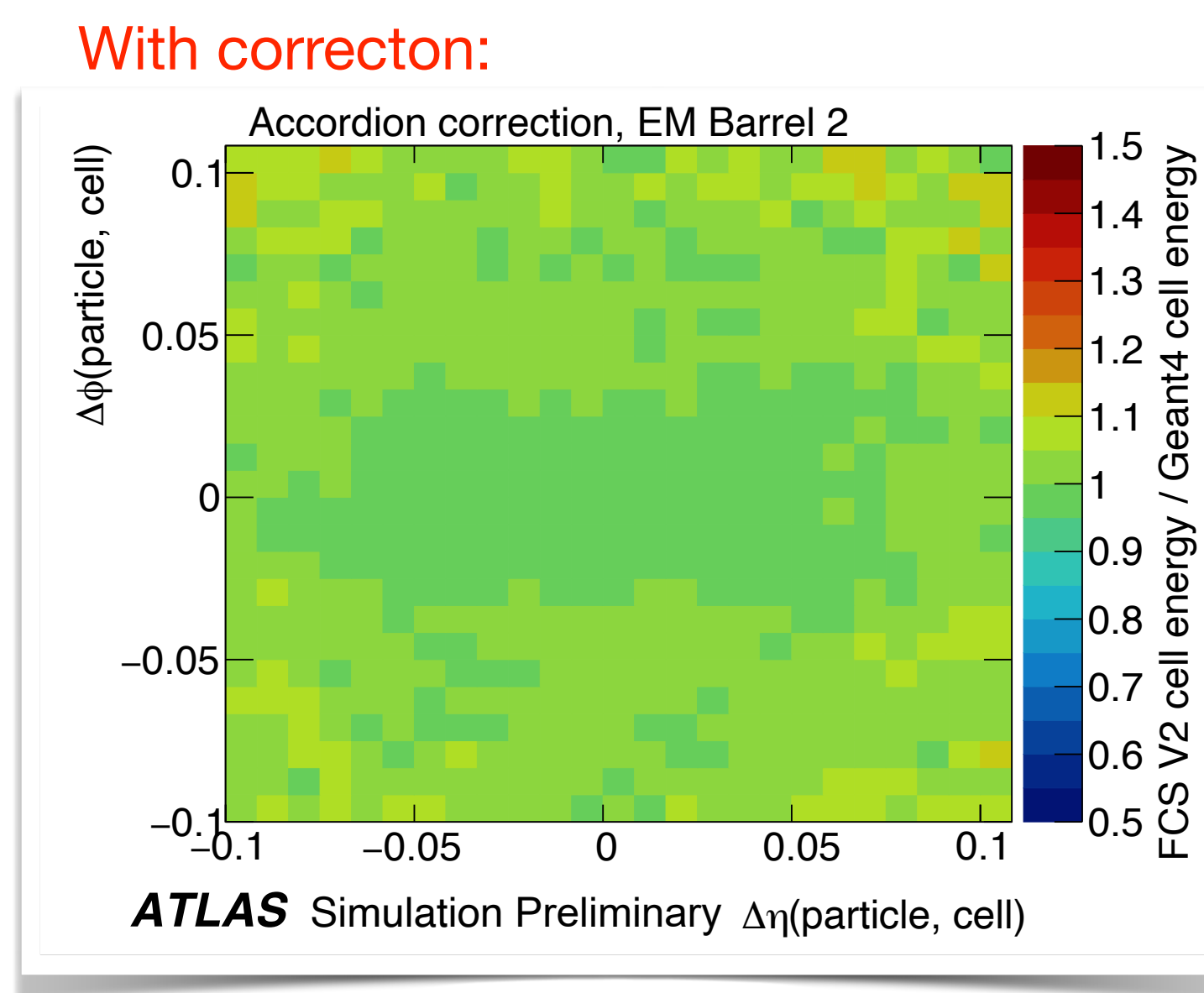
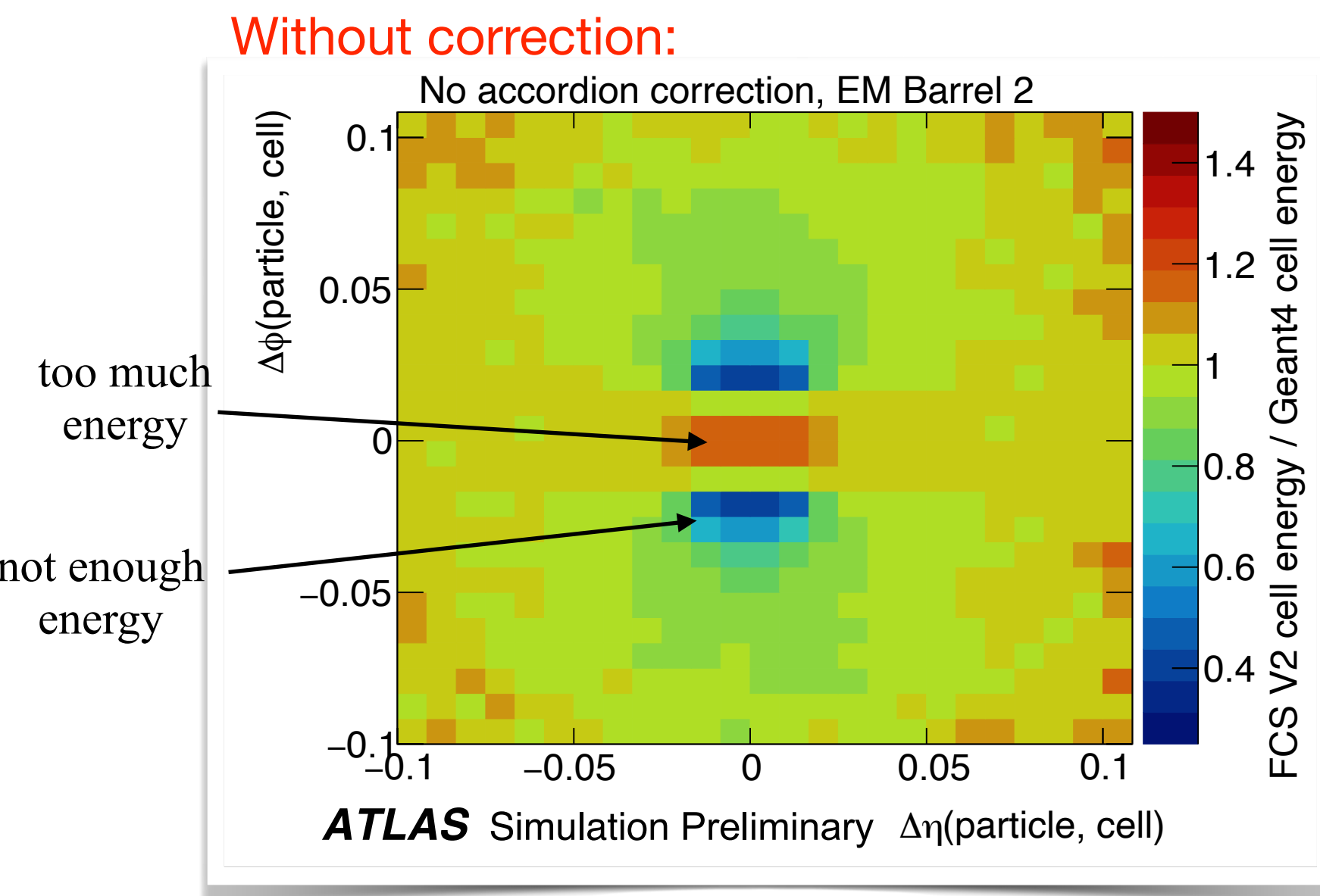
**Fully implemented** in the ATLAS simulation infrastructure and will be used as part of AF3 for sample production!

# Assigned quantized energy (hits) to calorimeter cells

- ◆ Simulated hits (from FCS V2 or FCSGAN) are assigned to cells assuming **simplified cuboid geometry**.
- ◆ Derive a **probability density function** (PDF) from the difference of cell assignment efficiency calculated in Geant4 and AF3.
- ◆ Use the PDF to randomly **assign a displacement to a hit** before assigning to a cell.



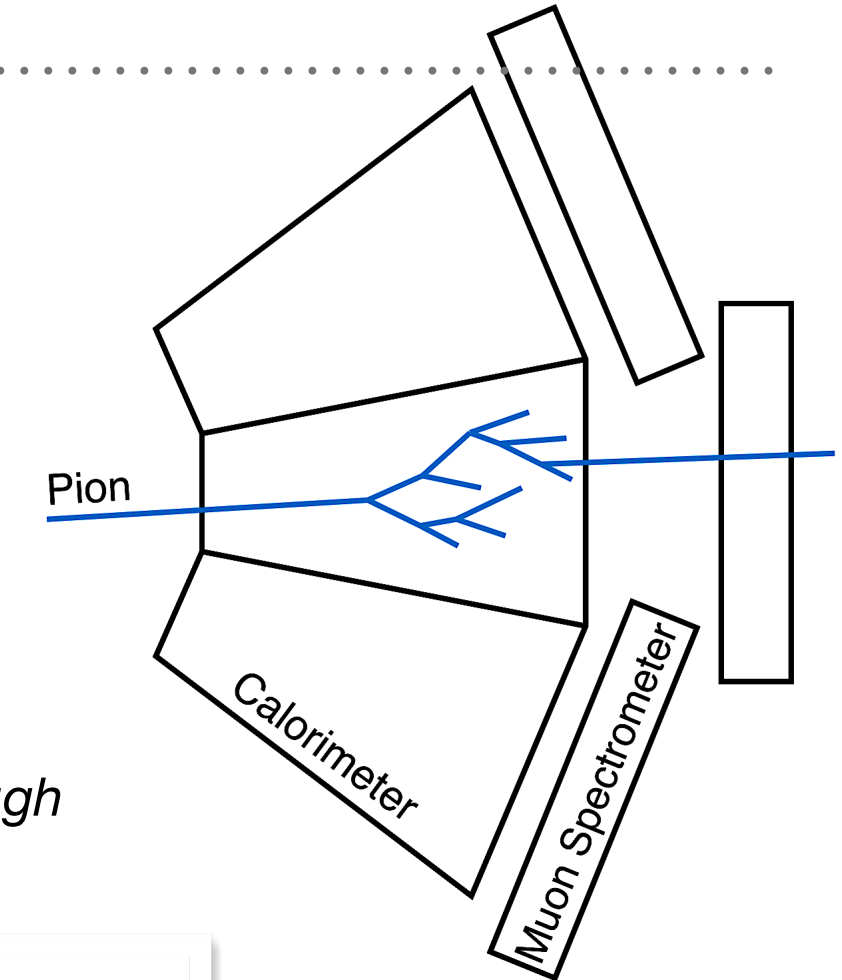
*Probability function describing the chance that the energy belongs to this cell*



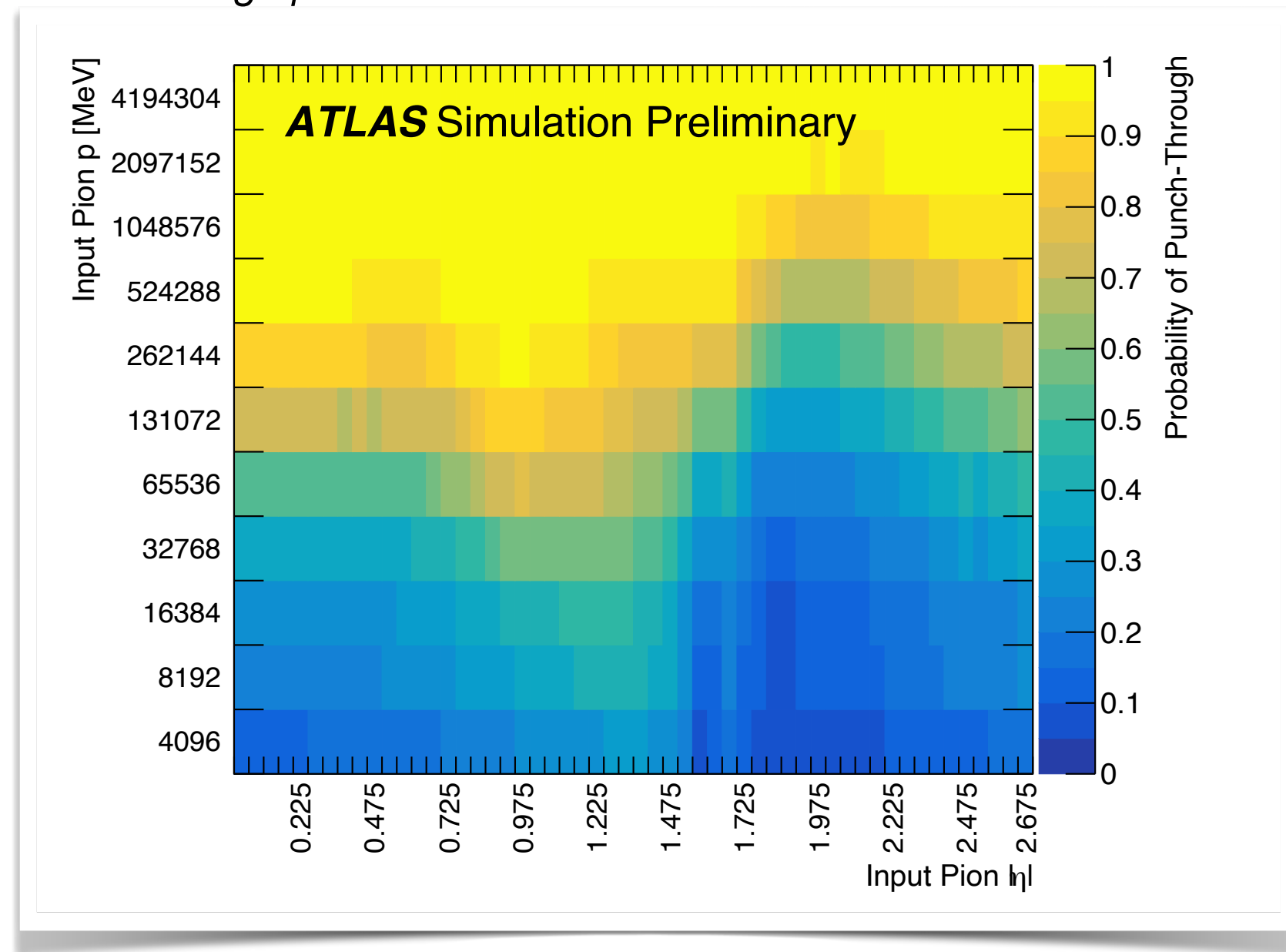
Closure with Geant4 with the correction applied!

# Parametrization of particles escaping to the muon systems

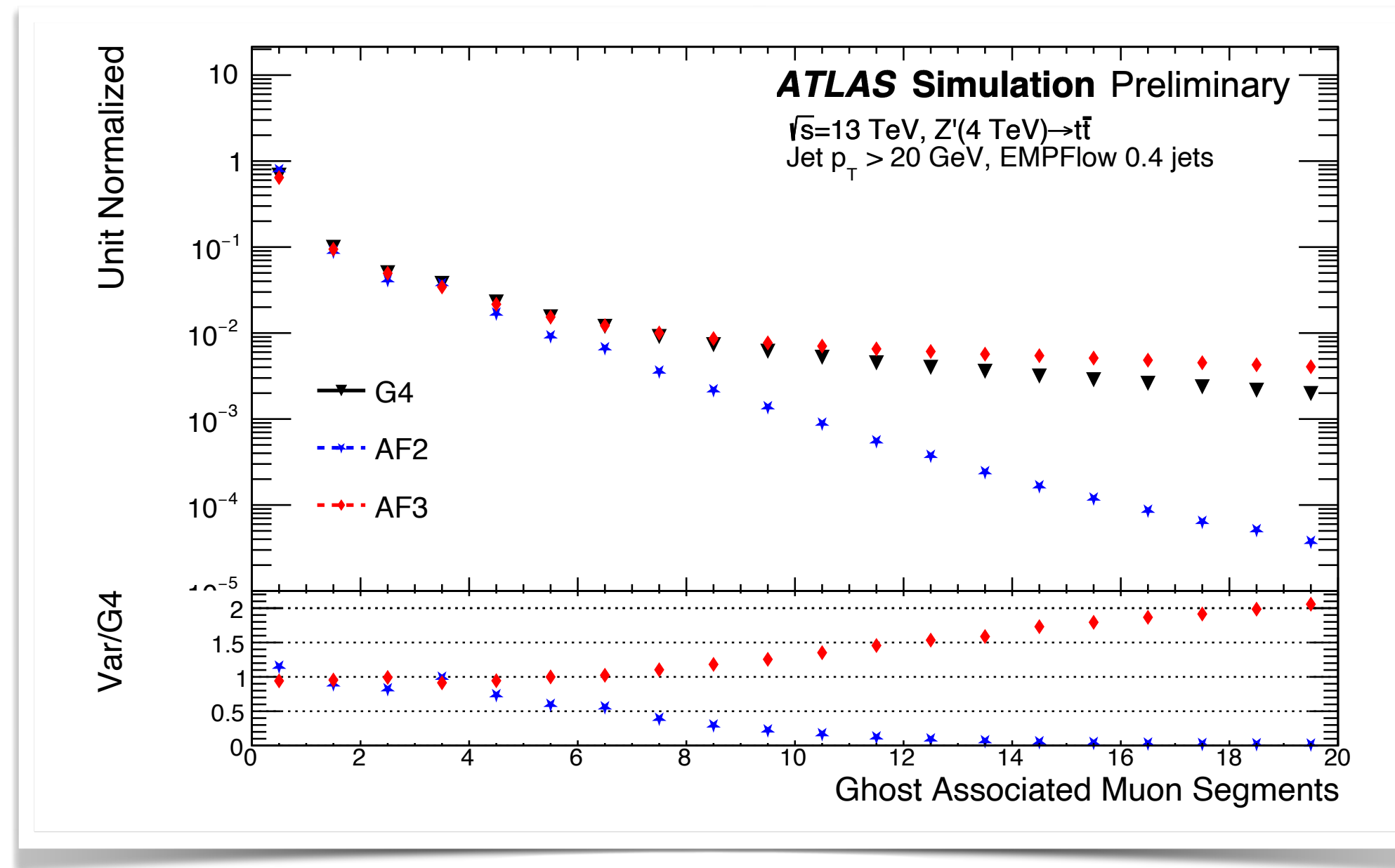
- ◆ Particles that **punch through to the MS** are reconstructed as a fake muon.
- ◆ AF3 includes a dedicated parametrization to model the **secondary particles** (e,  $\gamma$ ,  $\pi$ ,  $\mu$ ,  $p$ )
- ◆ Depending on the momentum and  $\eta$  for a pion entering the calorimeter volume, the punch through particles are generated and **passed to Geant4**.



probability of a single pion to produce at least one punch-through particle of at least 50 MeV



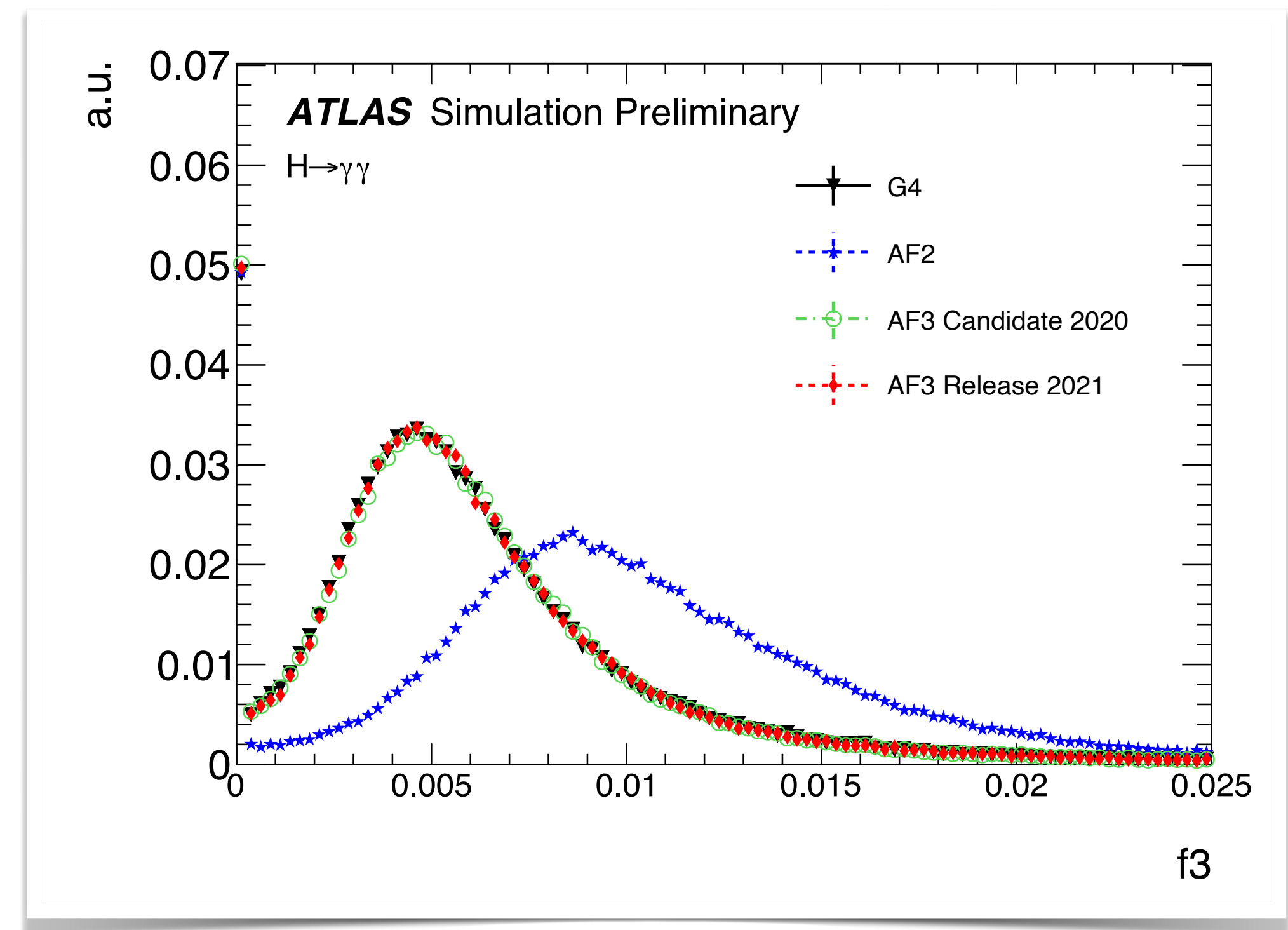
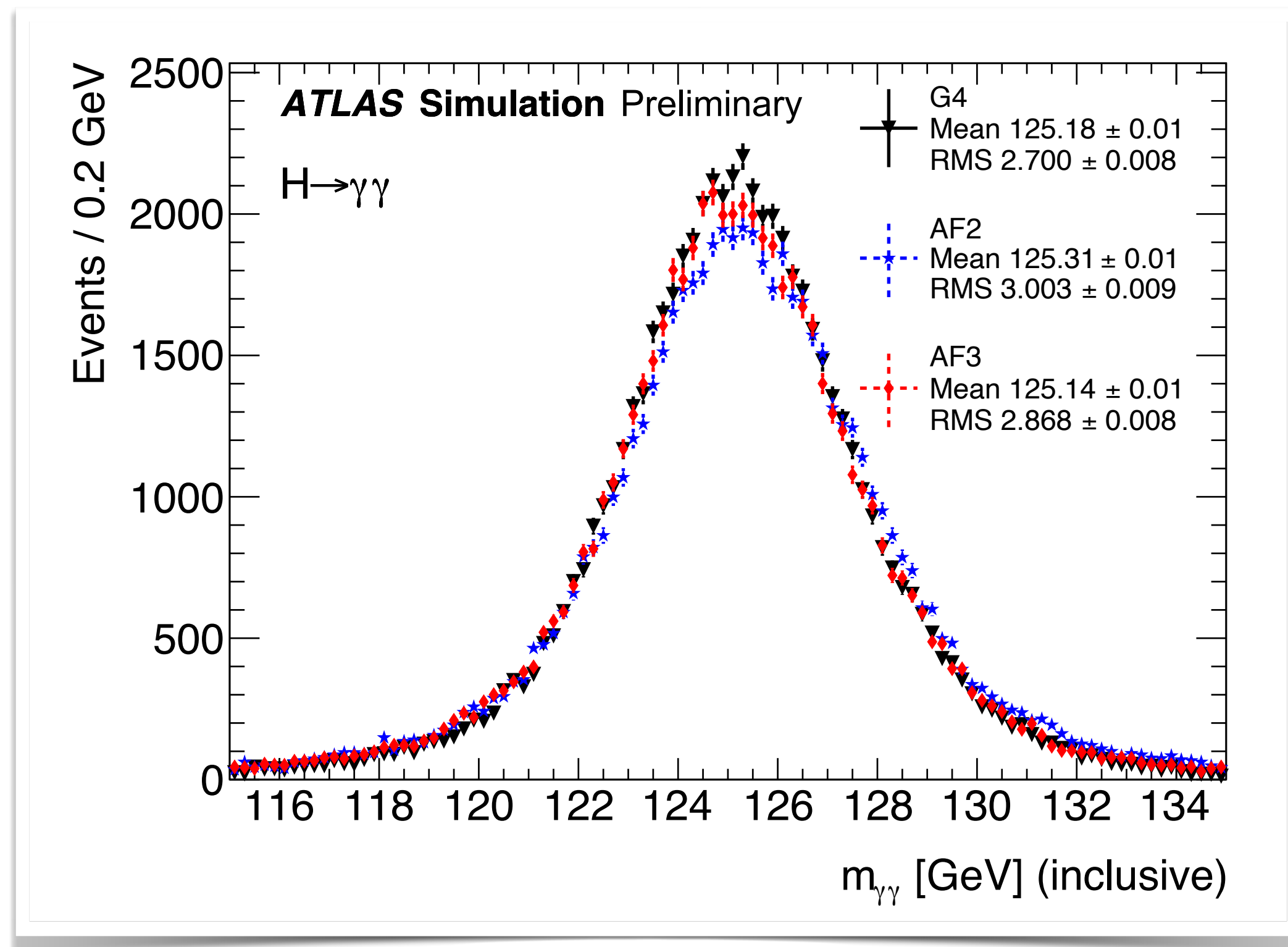
muon segments results from particles punching through the calorimeter as well as real muons



Improves modeling of muons significantly compared to AF2!

# Performance of AF3: reconstructed photons & electrons

- ◆ Photons and electrons are reconstructed from clusters of energy deposits in EM calorimeter.
- ◆ The objects are selected with identification criteria with high purity as used in physics analyses.

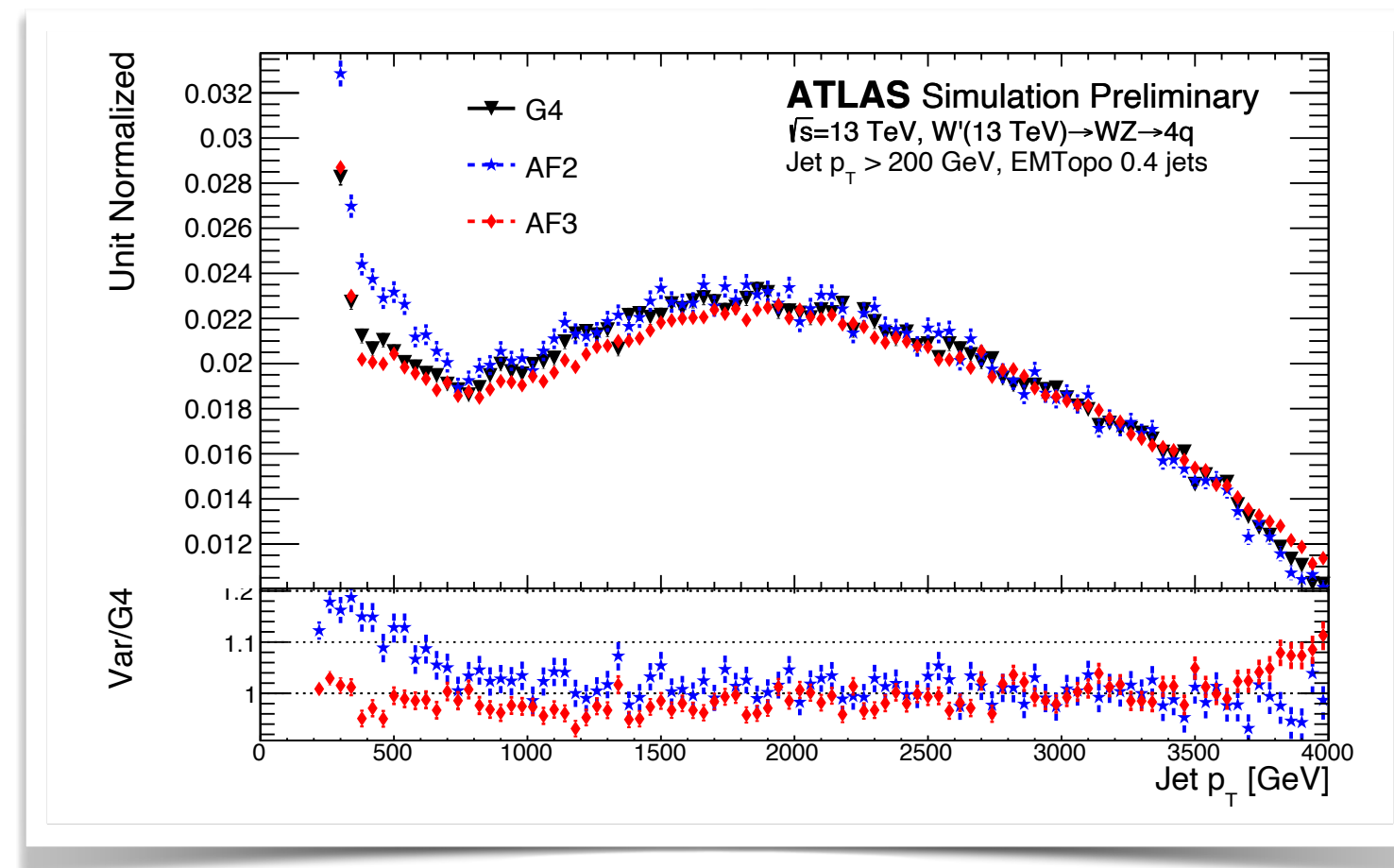
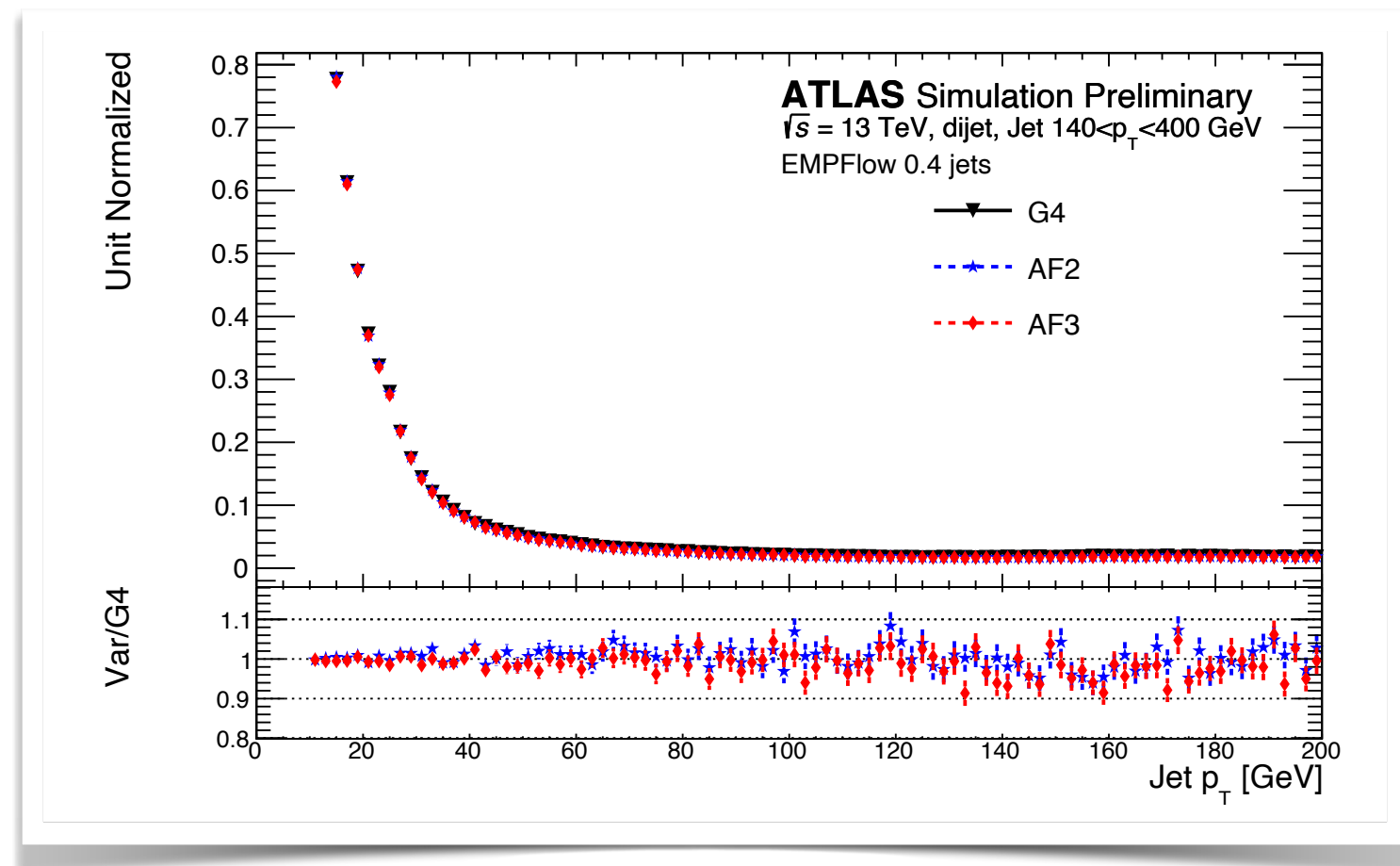


*Invariant mass of Higgs (left) and energy fraction in sampling 3 of the EM calorimeter (right) in  $H \rightarrow \gamma\gamma$  events*

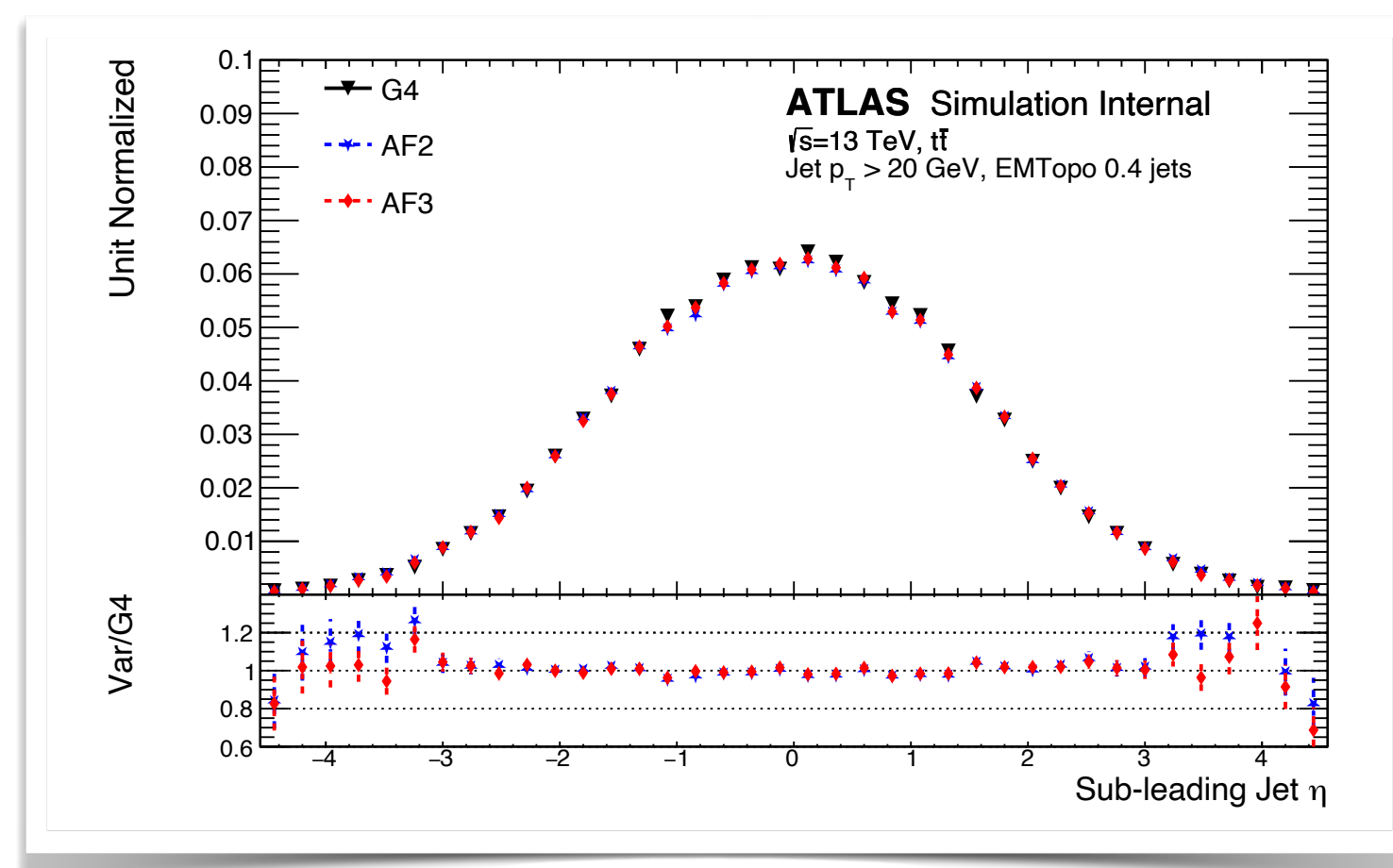
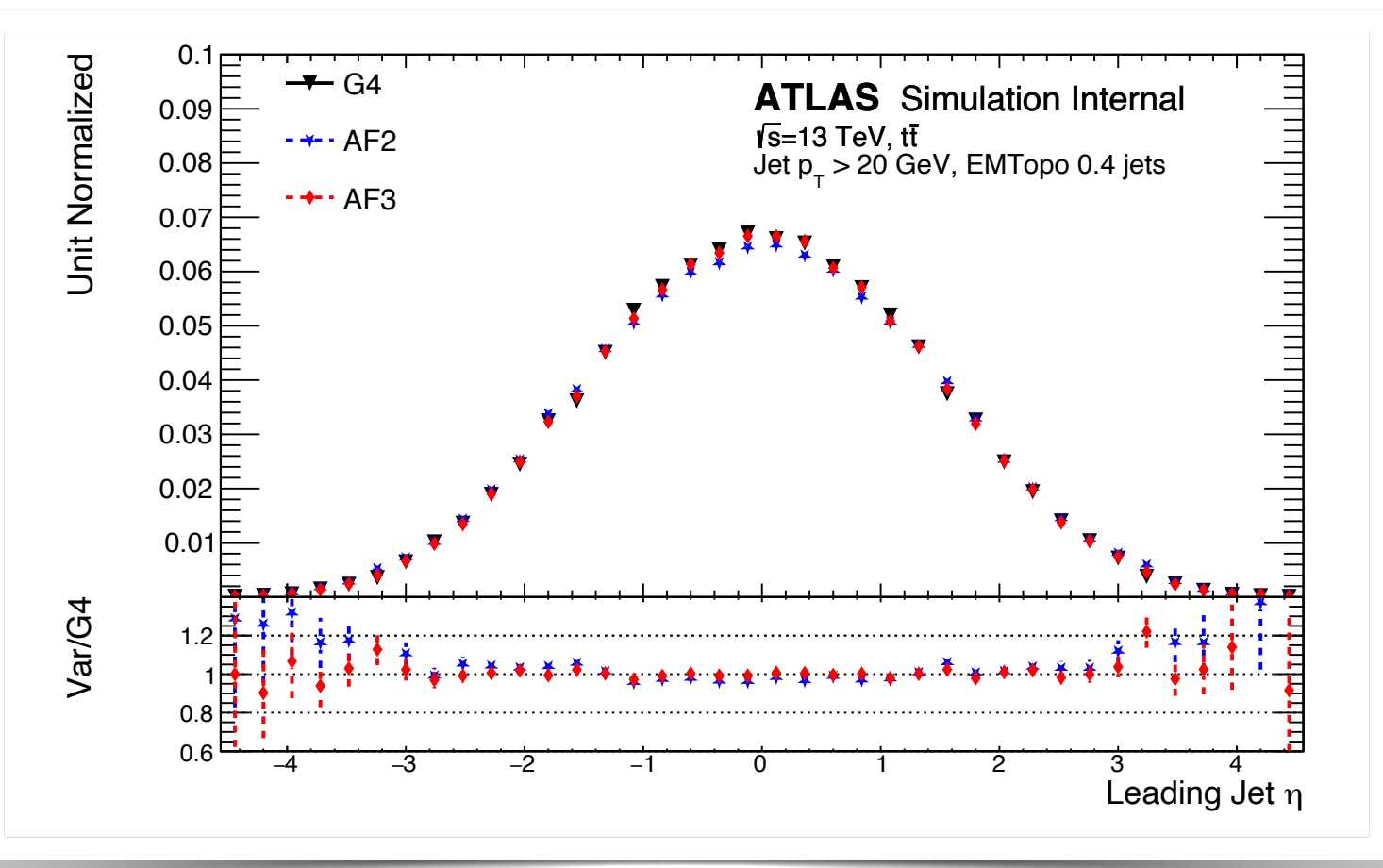
Very good modeling for all electron/photon variables!

# Performance of AF3: kinematics of reconstructed jet

- ◆ Good modeling of jet kinematics for jets of cone 0.4 reconstructed with EMPFlow or EMTopo algorithms
- ◆ Jets with  $p_T > 200$  GeV shows better agreement in AF3 compared to AF2
- ◆ Dedicated parametrization in forward calorimeter also improves the modeling for  $|\eta| > 3$



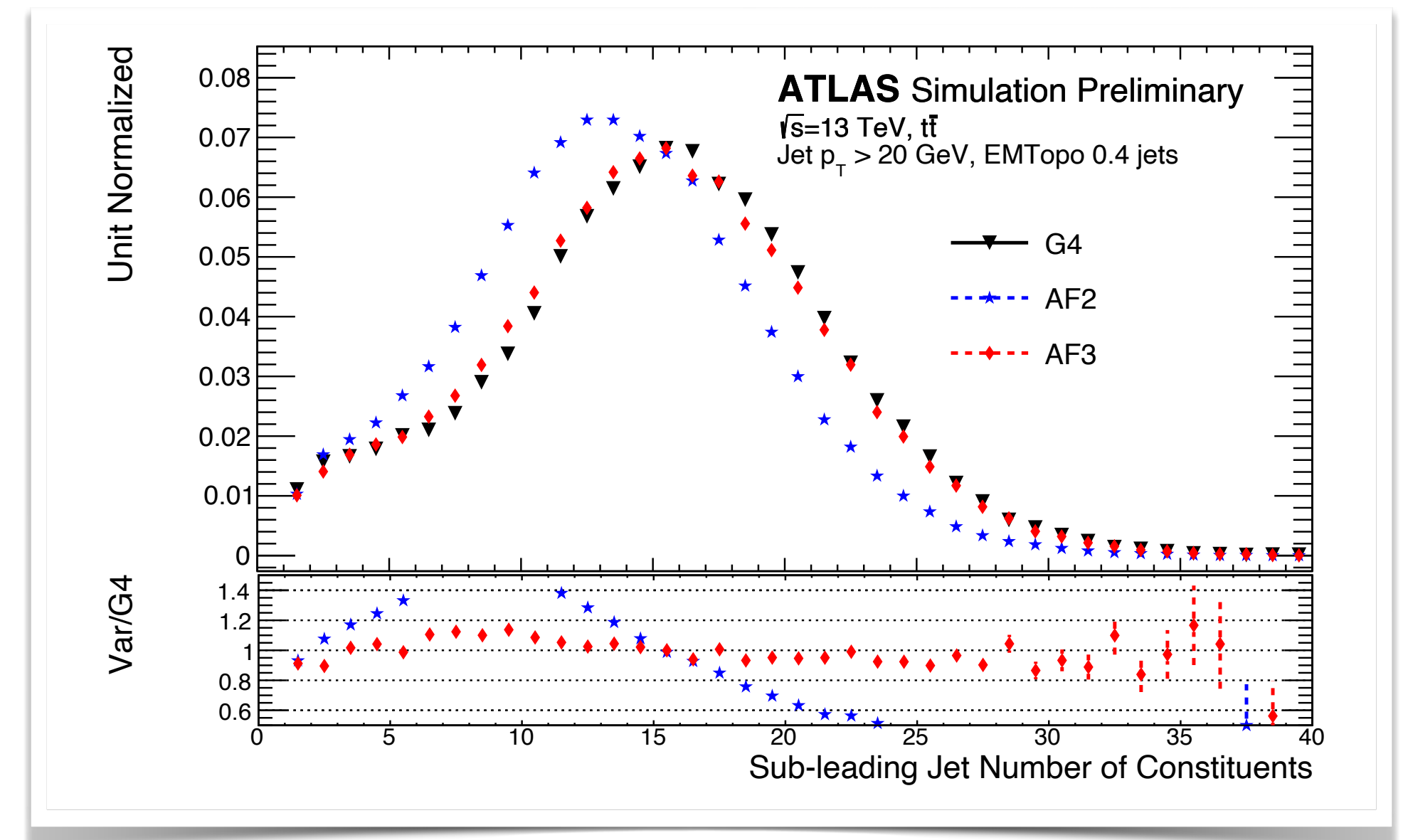
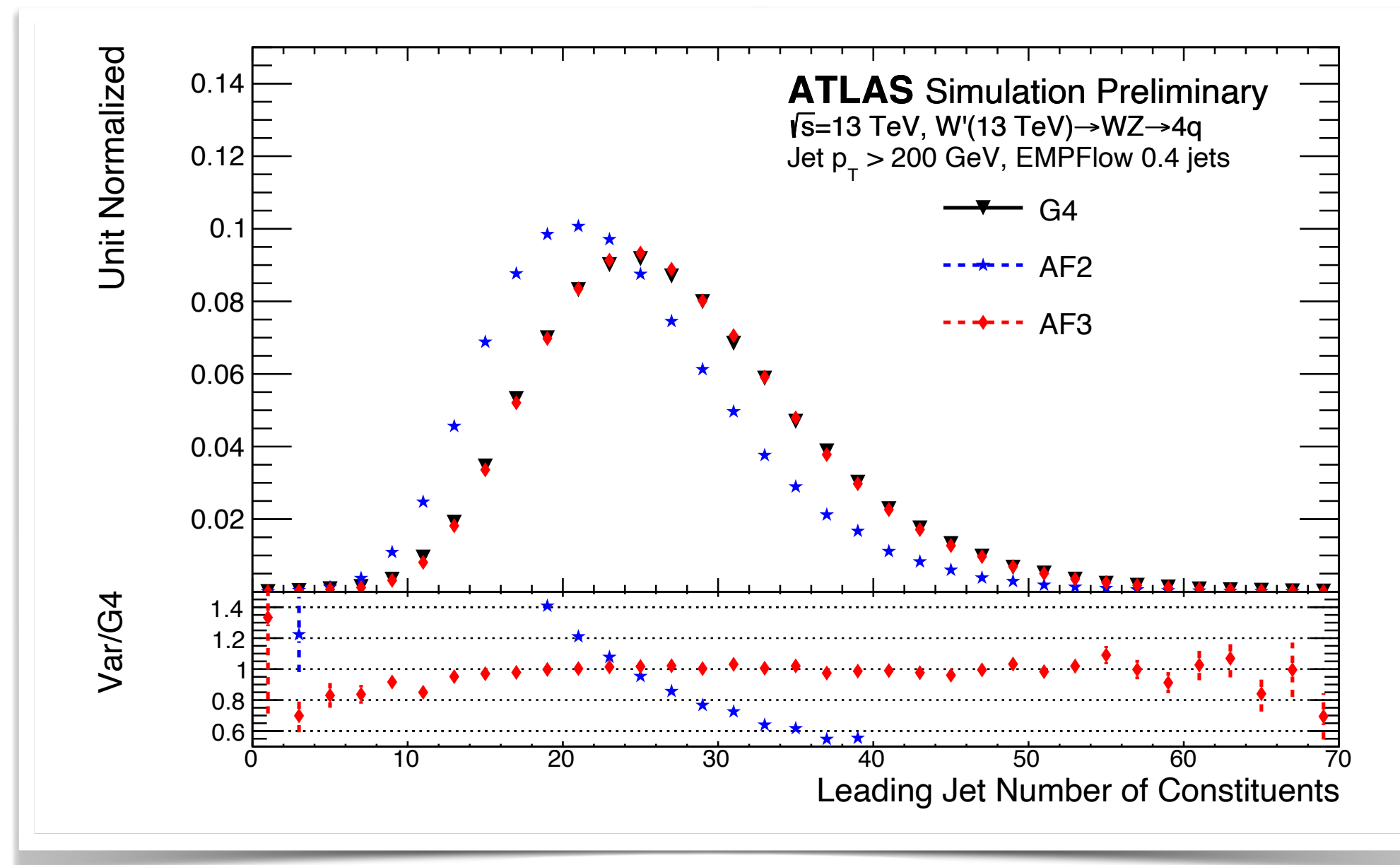
*all jets  $p_T$  distribution - (left) for  $p_T < 200$  GeV in dijet and (right) for  $p_T > 200$  GeV in  $W'(13\text{TeV}) \rightarrow WZ \rightarrow 4q$*



*jet  $\eta$  distribution - (left) for leading and (right) sub-leading jet in  $t\bar{t}$*

# Jet performance: small-R jet number of clusters

- ◆ Number of constituents inside a **jet of cone 0.4** for leading ( $p_T > 200$  GeV) and sub-leading ( $p_T > 20$  GeV)
- ◆ Jets reconstructed with EMPFlow algorithm

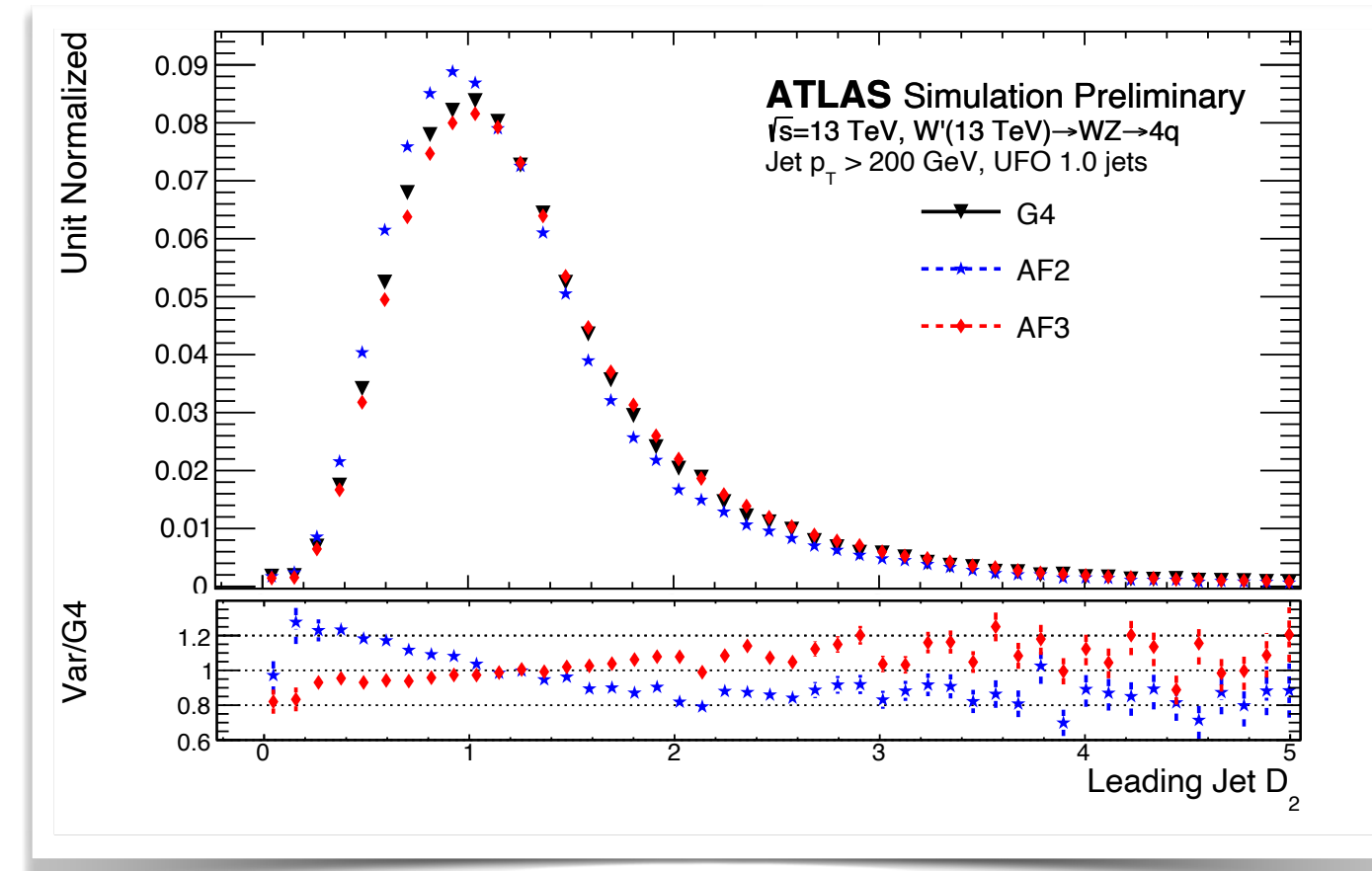
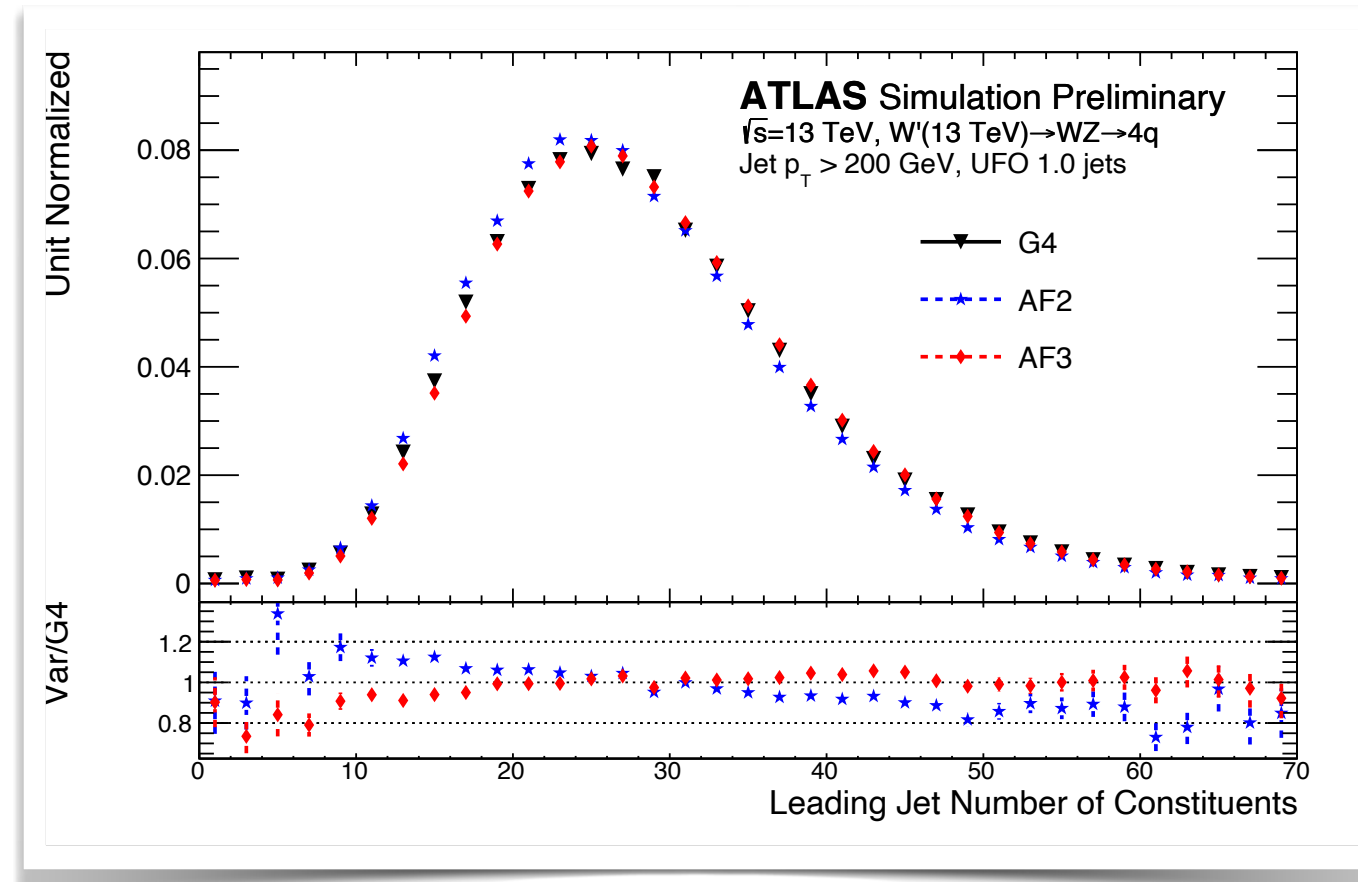


Number of constituents - (left) leading jet in  $W'(13\text{TeV}) \rightarrow WZ \rightarrow 4q$  and (right) sub-leading jet in  $t\bar{t}$  events

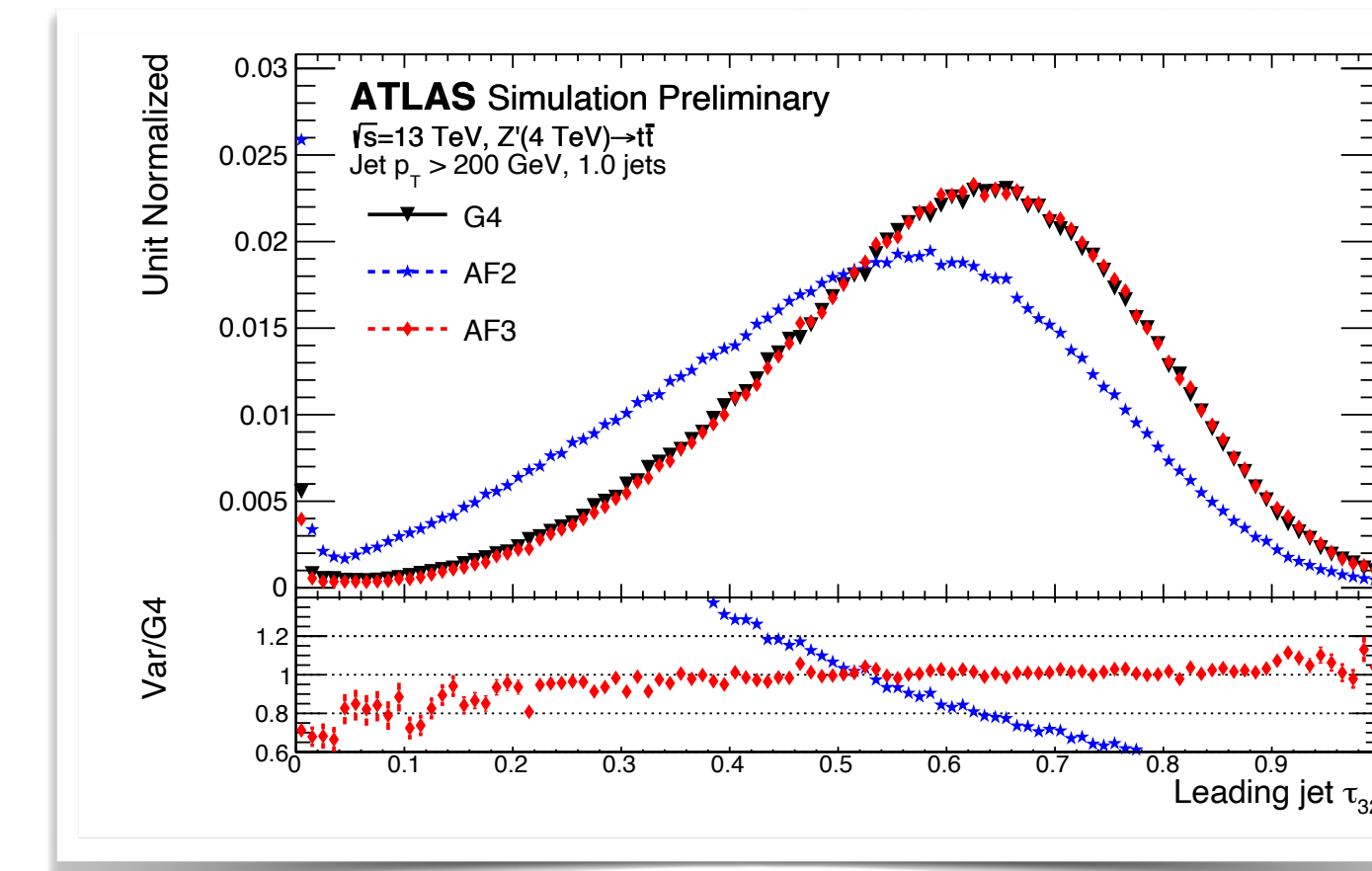
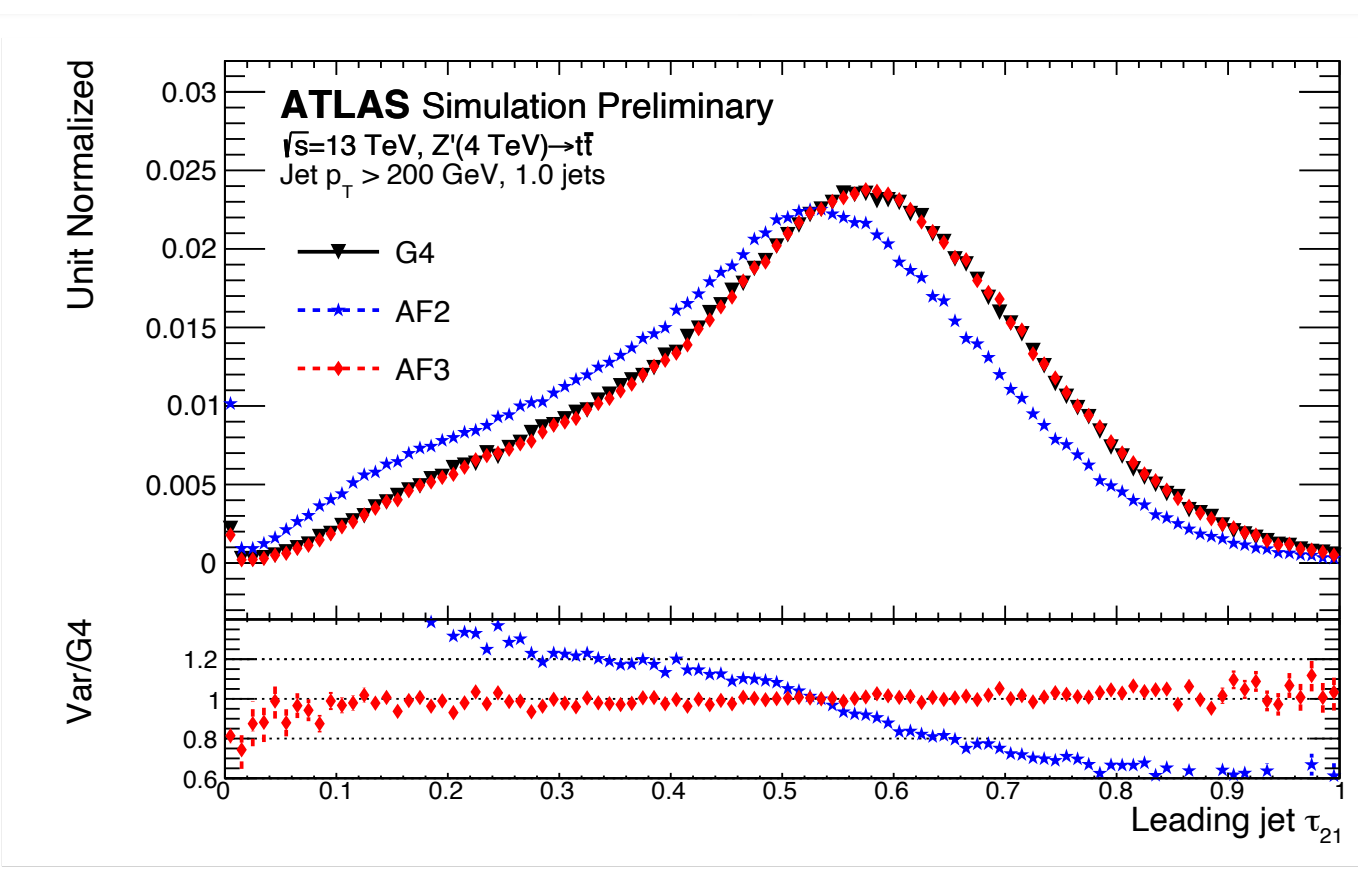
Significant improvement over AF2 leading to improvements in other observables!

# Jet performance: large-R jet substructures

- ◆ Jet substructure variables for high energetic jets inside **a cone of 1.0**
- ◆ Reconstructed with trimmed UFO or LCTopo algorithm



*Number of constituents - (left) and dipolarity (right) in  $W'(13\text{TeV}) \rightarrow WZ \rightarrow 4q$  events*

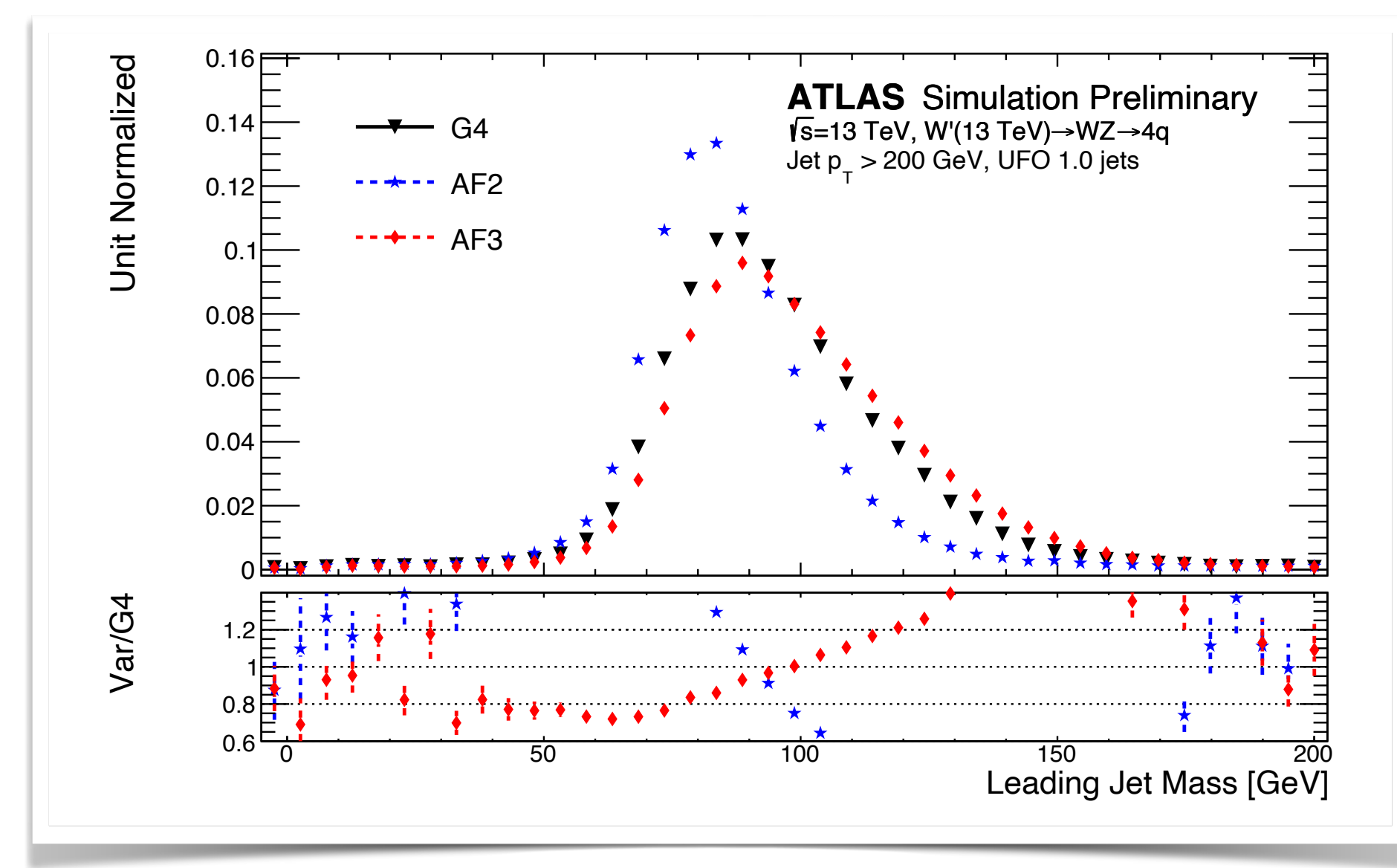
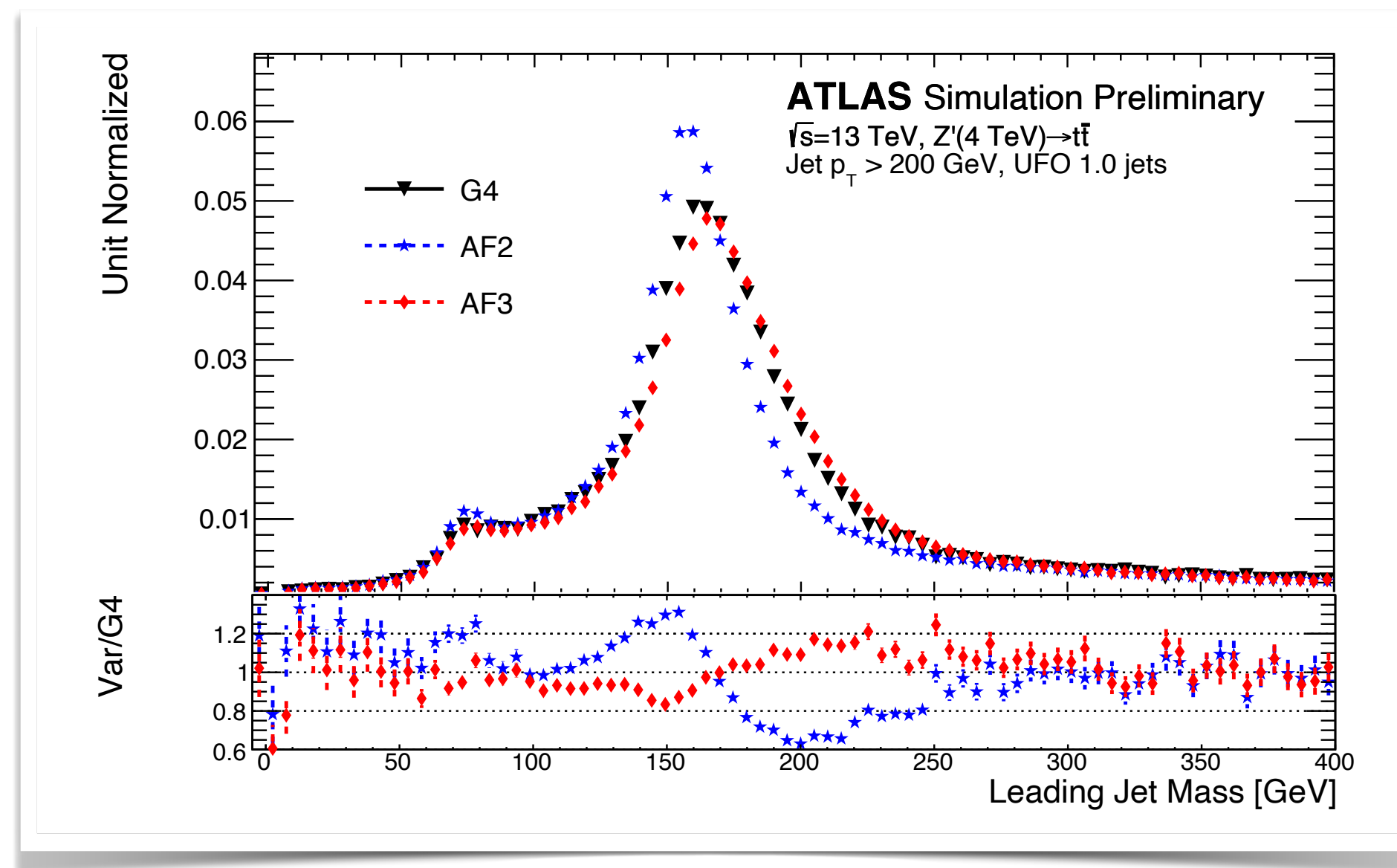


*sub-jetiness variables -  $\tau_{21}$ , (left) and  $\tau_{32}$  (right) in  $Z'(4\text{TeV}) \rightarrow t\bar{t}$  events*

Improvements of these variables in AF3 over AF2 will allow more analyses to use fast simulation!

# Jet performance: scope for improvement

- ◆ High energetic jets inside **a cone of 1.0** reconstructed with trimmed UFO algorithm
- ◆ AF3 shows some discrepancy for jet mass - although improves upon AF2
- ◆ The discrepancies are in the **tails of the high energetic jets**



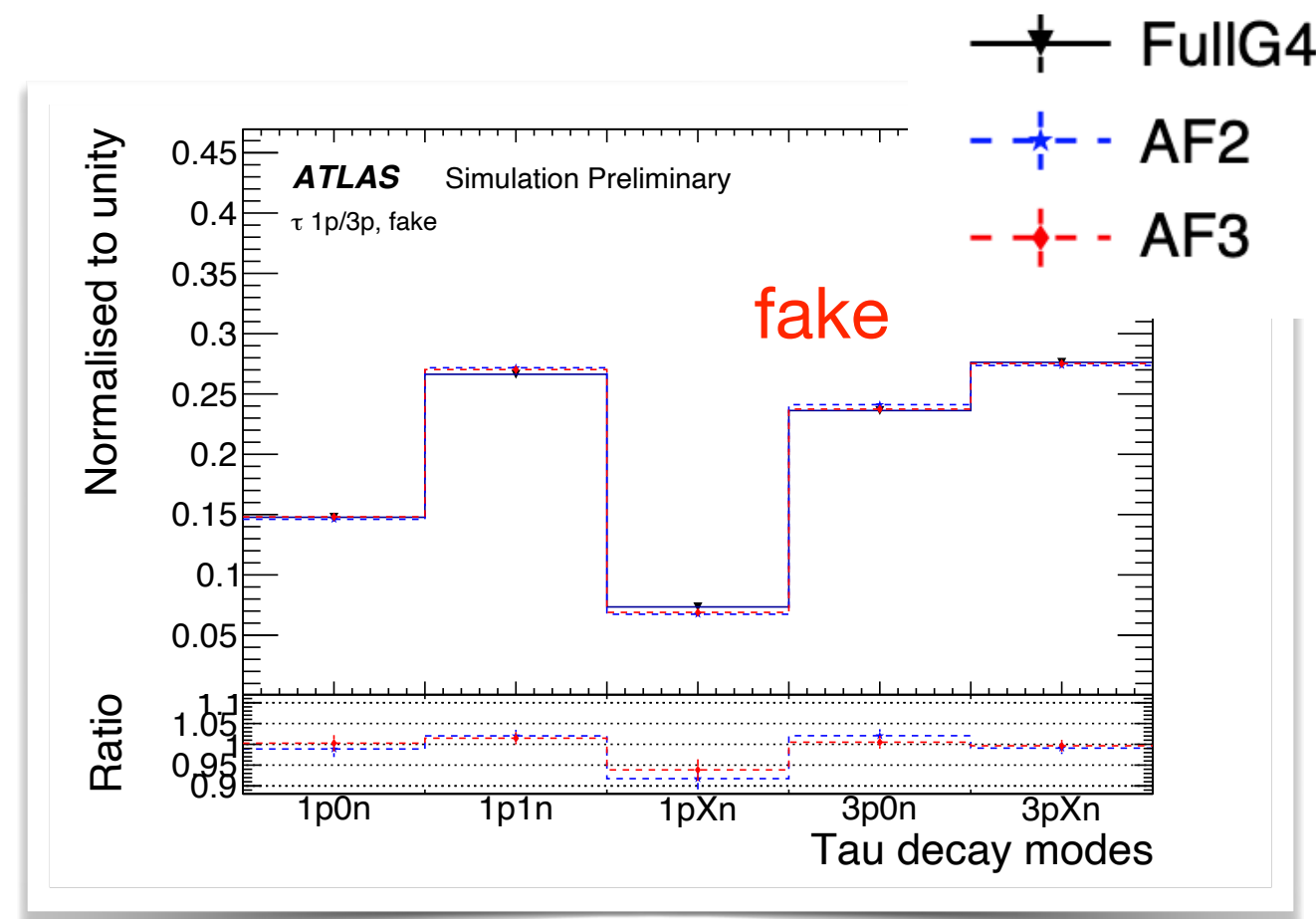
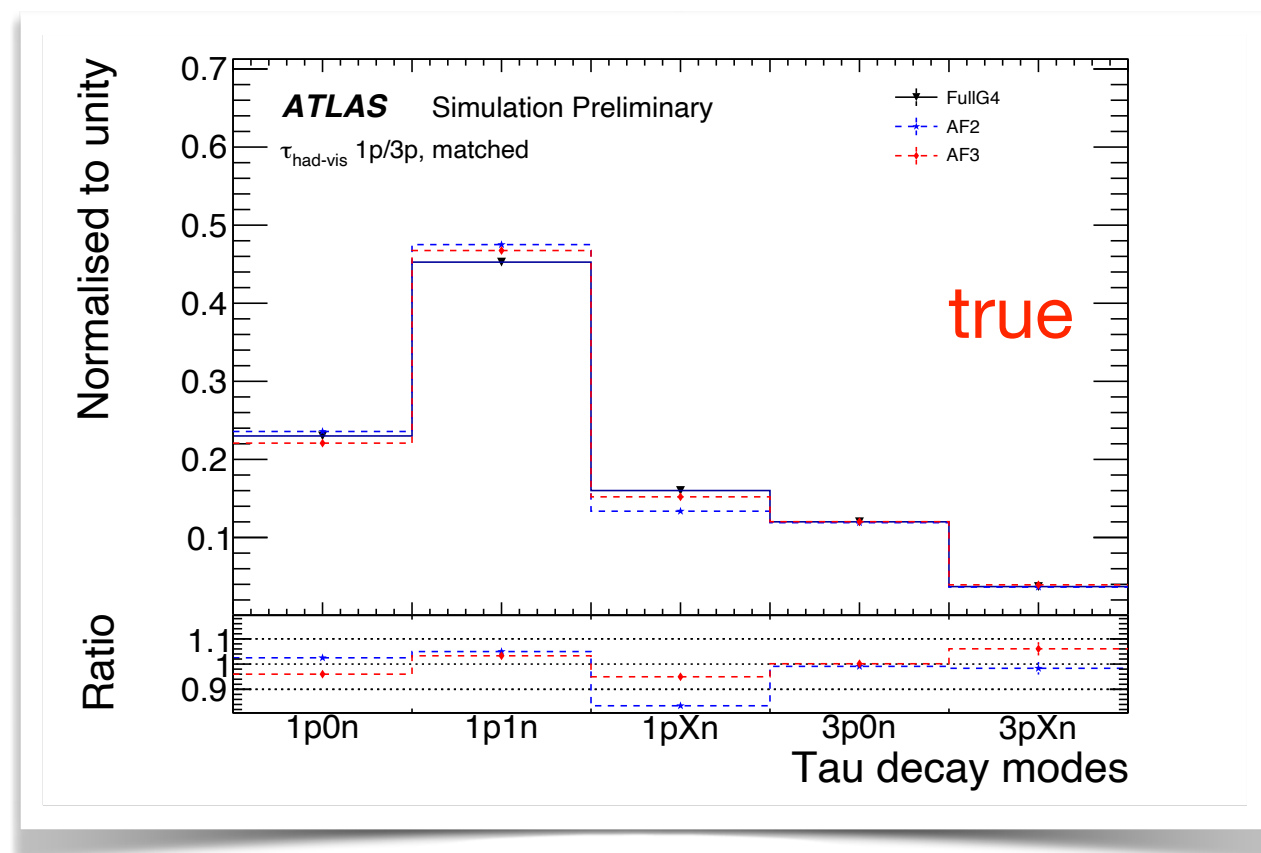
leading jet mass - (left) in  $Z'(4\text{TeV}) \rightarrow t\bar{t}$  and  
 (right) in  $W'(13\text{TeV}) \rightarrow WZ \rightarrow 4q$  events

Most physics analyses are not affected!

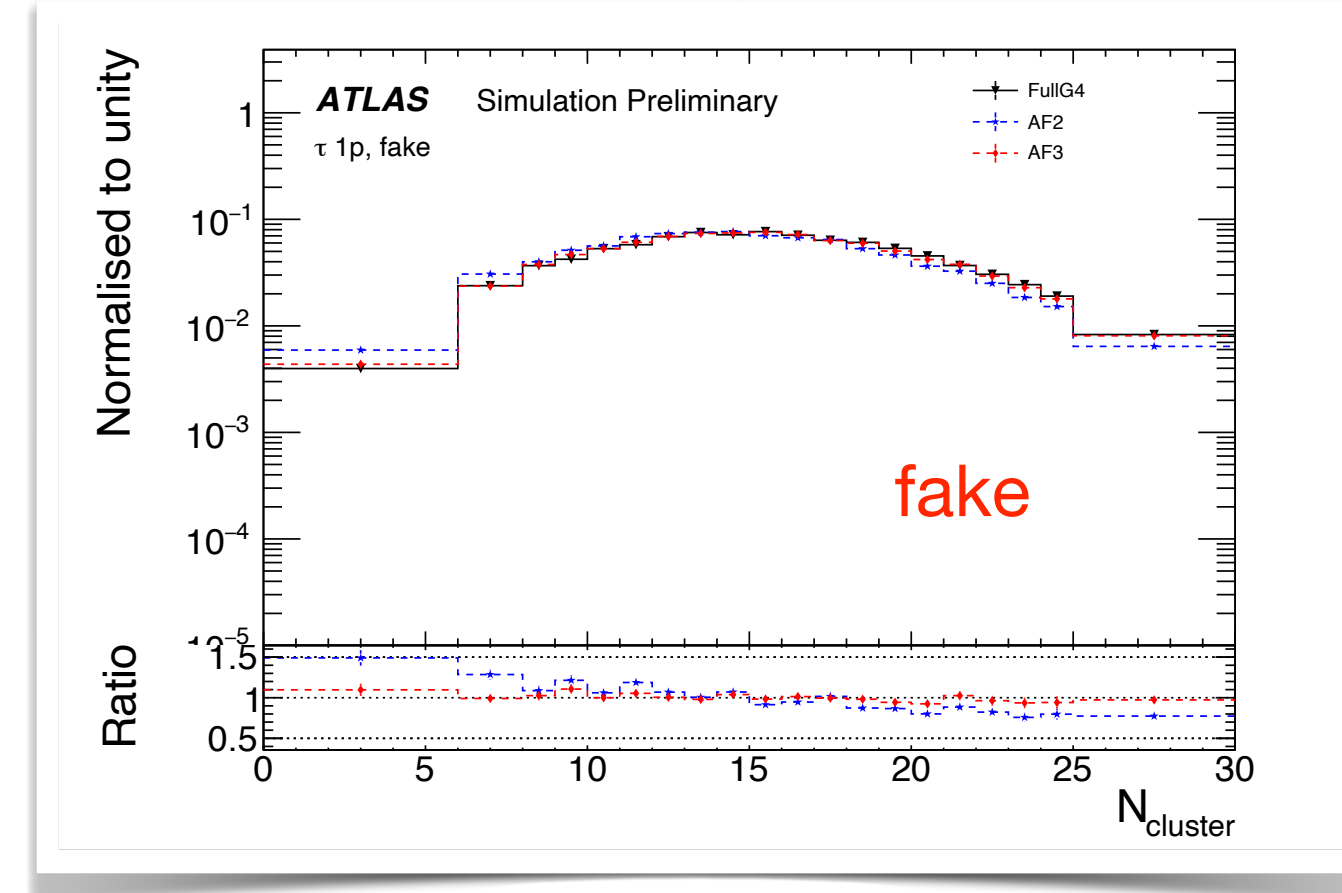
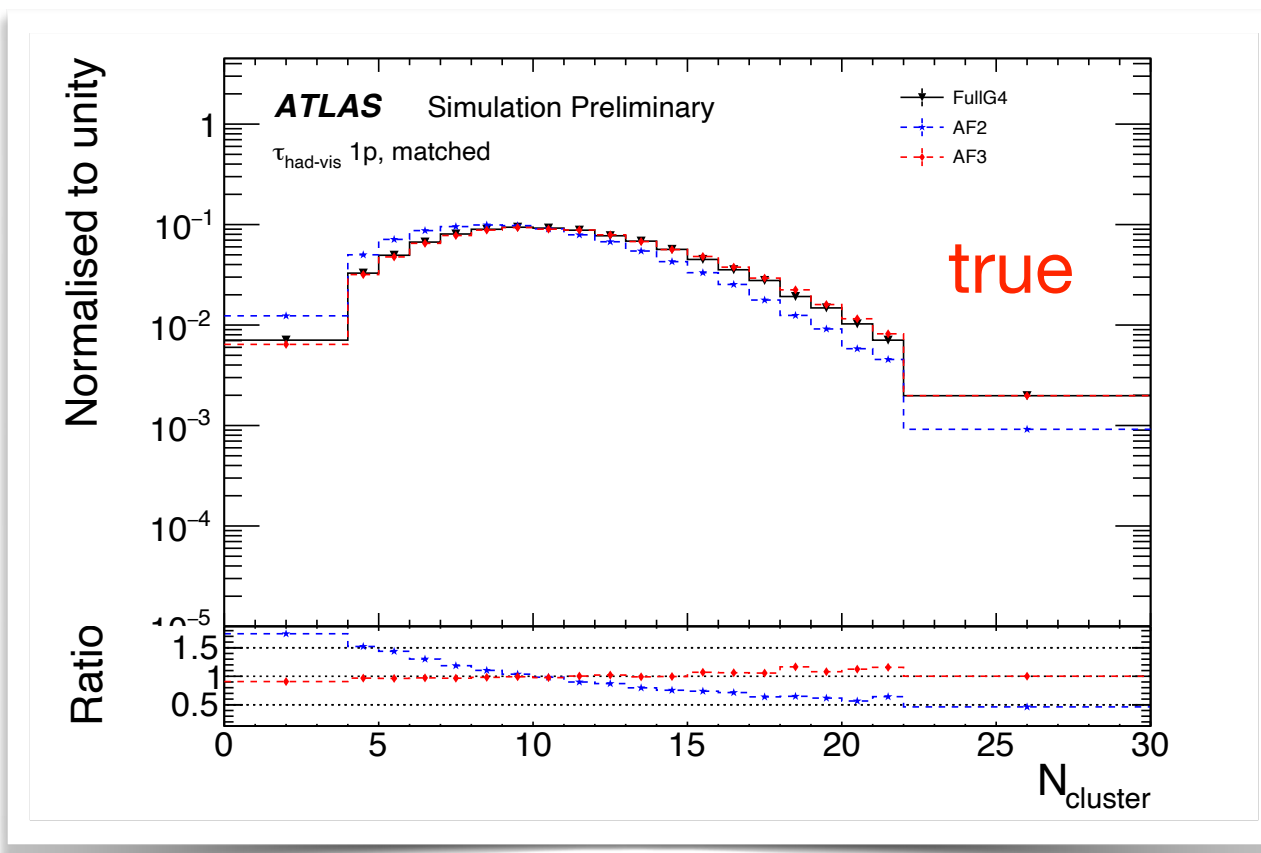


# Performance of AF3: reconstructed hadronic taus

- ◆ Hadronically decaying  $\tau$ -lepton is reconstructed using BDT algorithm and matched to truth taus



*tau decay to one or two charged particles (1p or 3p) and multiple neutral particles (0n, 1n, Xn, etc.) for (left) true taus and (right) fake taus in a  $Z \rightarrow \tau\tau$  Drell-Yan sample with a mass of 2.0-2.5 TeV.*



*number of clusters for (left) true taus and (right) fake taus in a  $Z \rightarrow \tau\tau$  Drell-Yan sample with a mass of 2.0-2.5 TeV.*

AF3 shows good performance for both true and fake taus !

# Summary

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- ◆ AF3 is the next generation of fast simulation in ATLAS - successfully deploying complex parametrized and deep learning algorithms.
- ◆ AF3 achieved very good modeling for all reconstructed observables compared to Geant4 even for complex variables such as jet substructure.
- ◆ The CPU performance of AF3 is only limited by the ID simulation (Geant4), but a factor of  $O(10)$  speed up is sufficient to meet the CPU needs for Run 3.
- ◆ ATLAS will use AF3 to re-simulate  $\sim 7$  billion events from Run 2.
- ◆ An update of the current AF3 version is expected for Run 3 - current performance seems sufficient to produce a large fraction of ATLAS Run 3 Monte Carlo events.

*Thank you!*



**BACKUP**

# ATLAS Calorimeter and shower generation

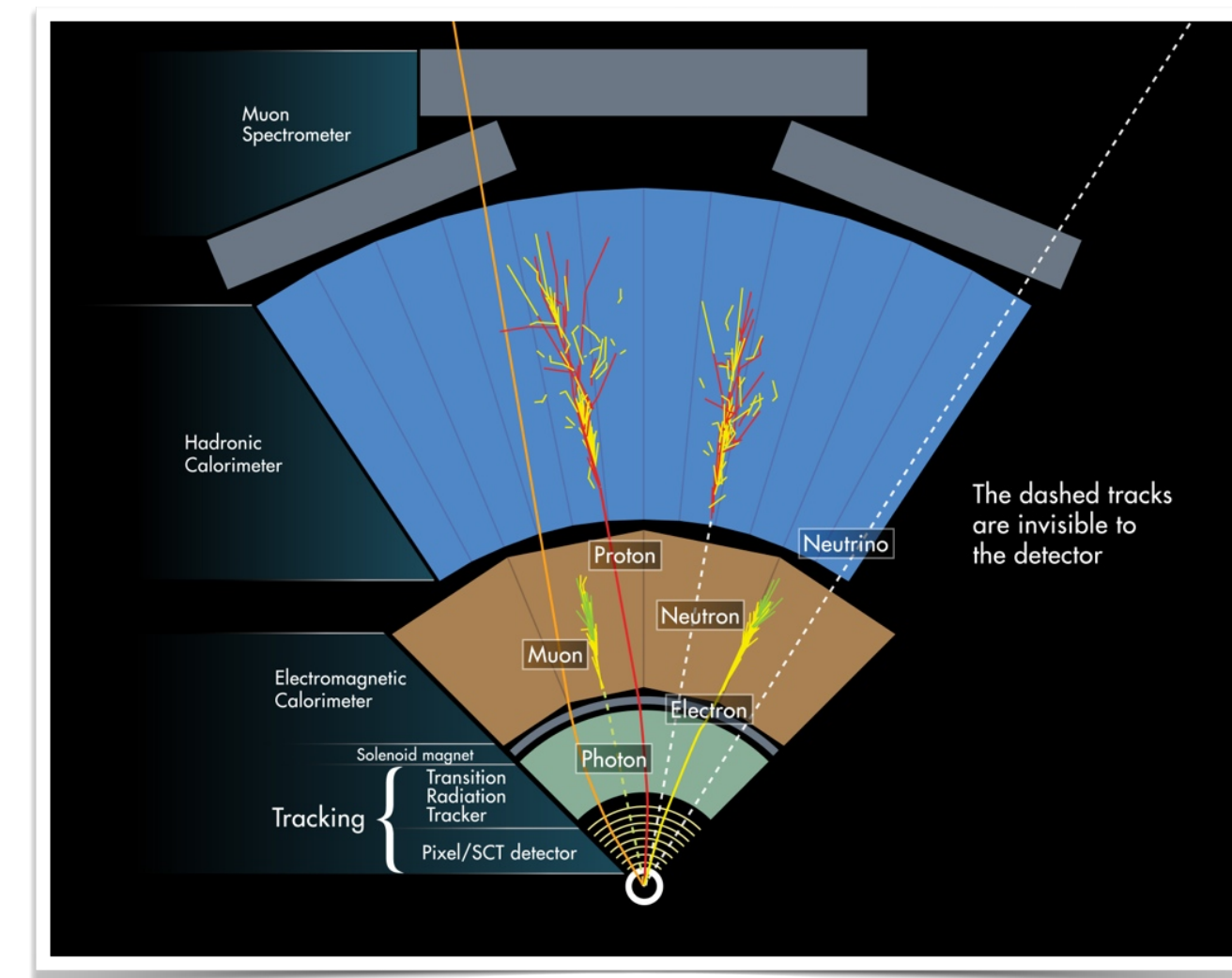
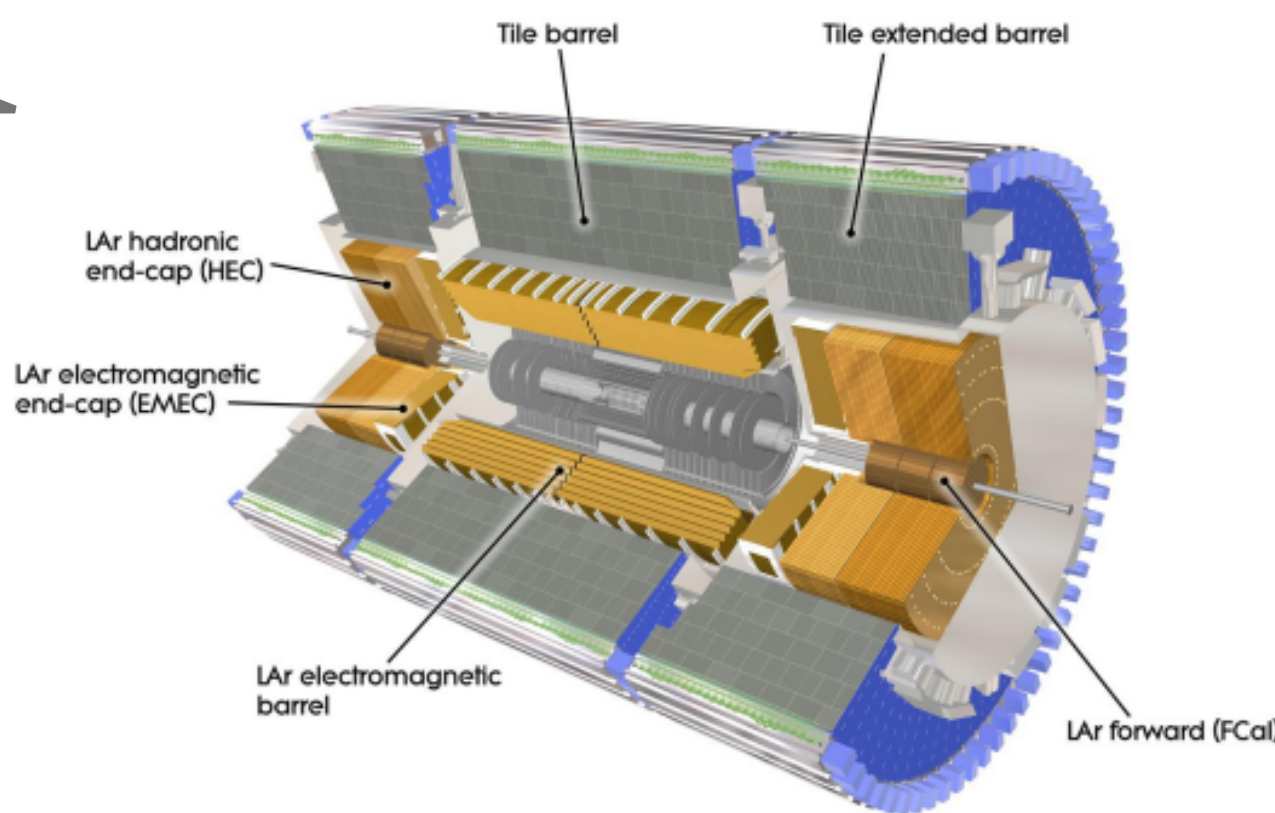
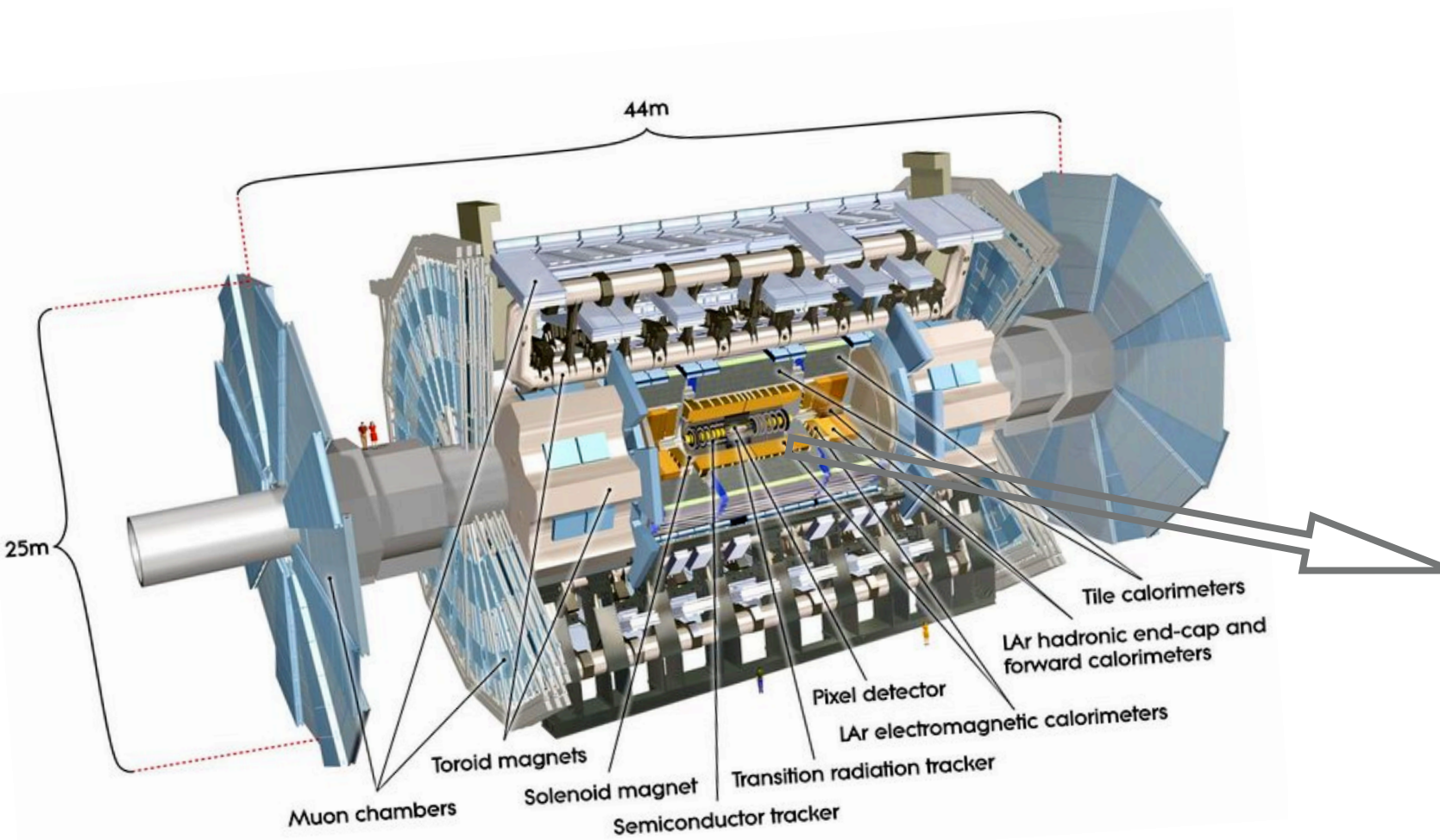
Sampling calorimeter covering  $|\eta| < 4.9$

**Electromagnetic (EM) Cal:**

- Liquid Argon (active)
- Pb/Cu/Tungsten (absorber)

**Hadronic/Tile Cal:**

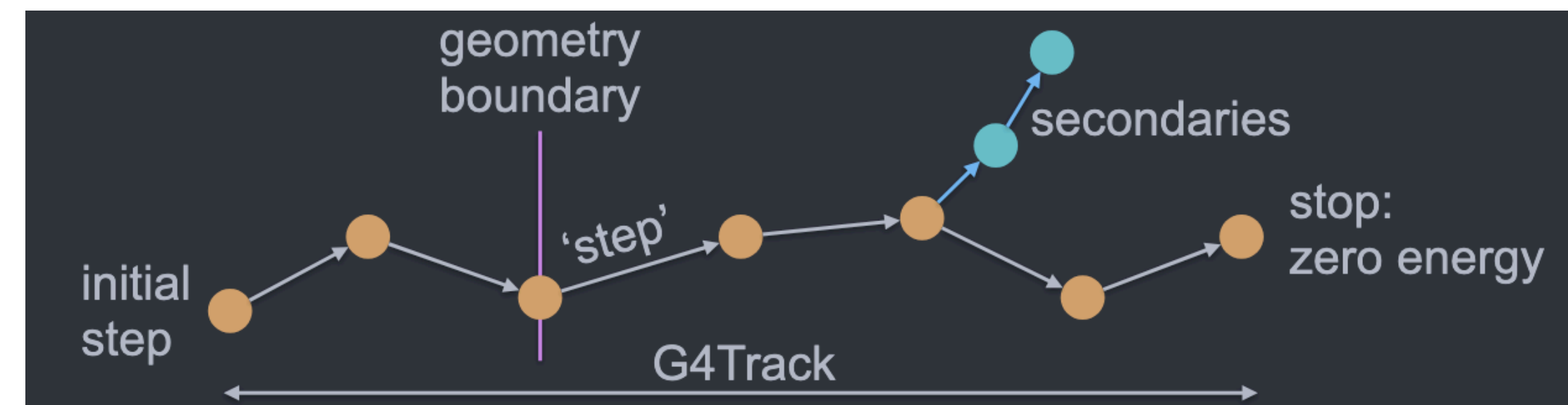
- Scintillating tiles (active)
- Steel (absorber)



Total readout channels: ~190 k  
Number of layers: 24

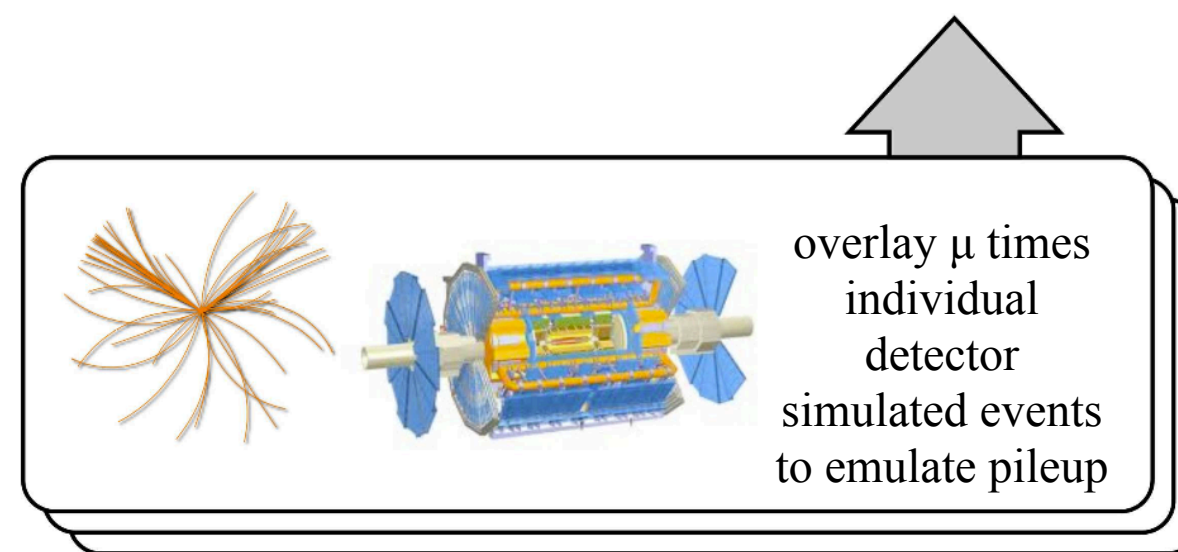
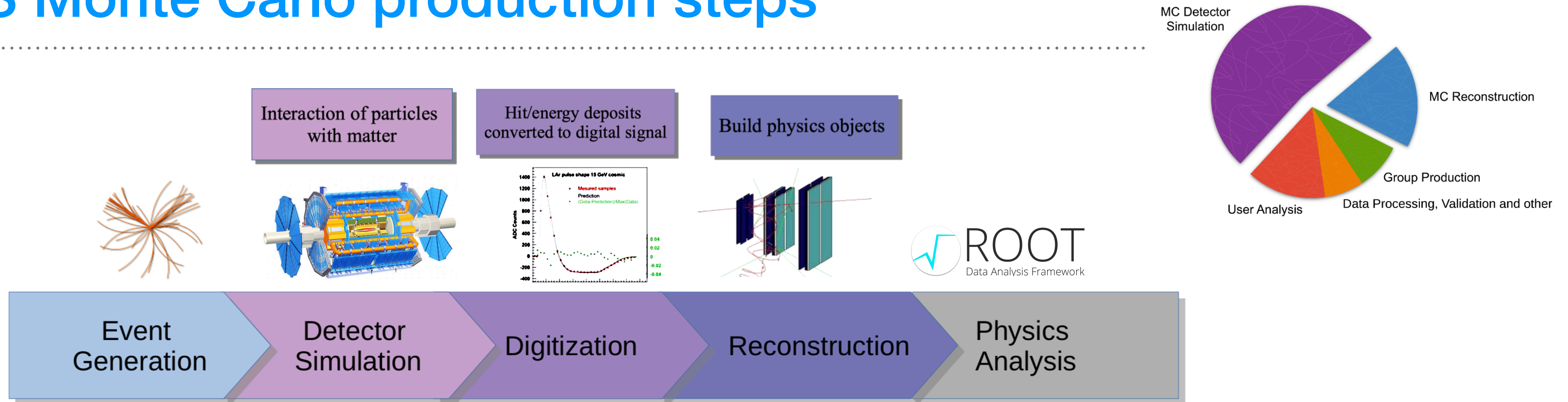
System	EM Barrel	EM EC	Hadronic EC	FCAL	Tile
#Channels	110k	64k	5.6k	3.5k	9.8k

Simulation in Geant4 with each Geant4 process responsible for the smallest unit called 'step'



No. of steps  $\propto$  simulation time

# ATLAS Monte Carlo production steps



## Detector Simulation:

- ◆ Dense hit content in inner trackers
- ◆ Larger sub-detectors means longer simulation time

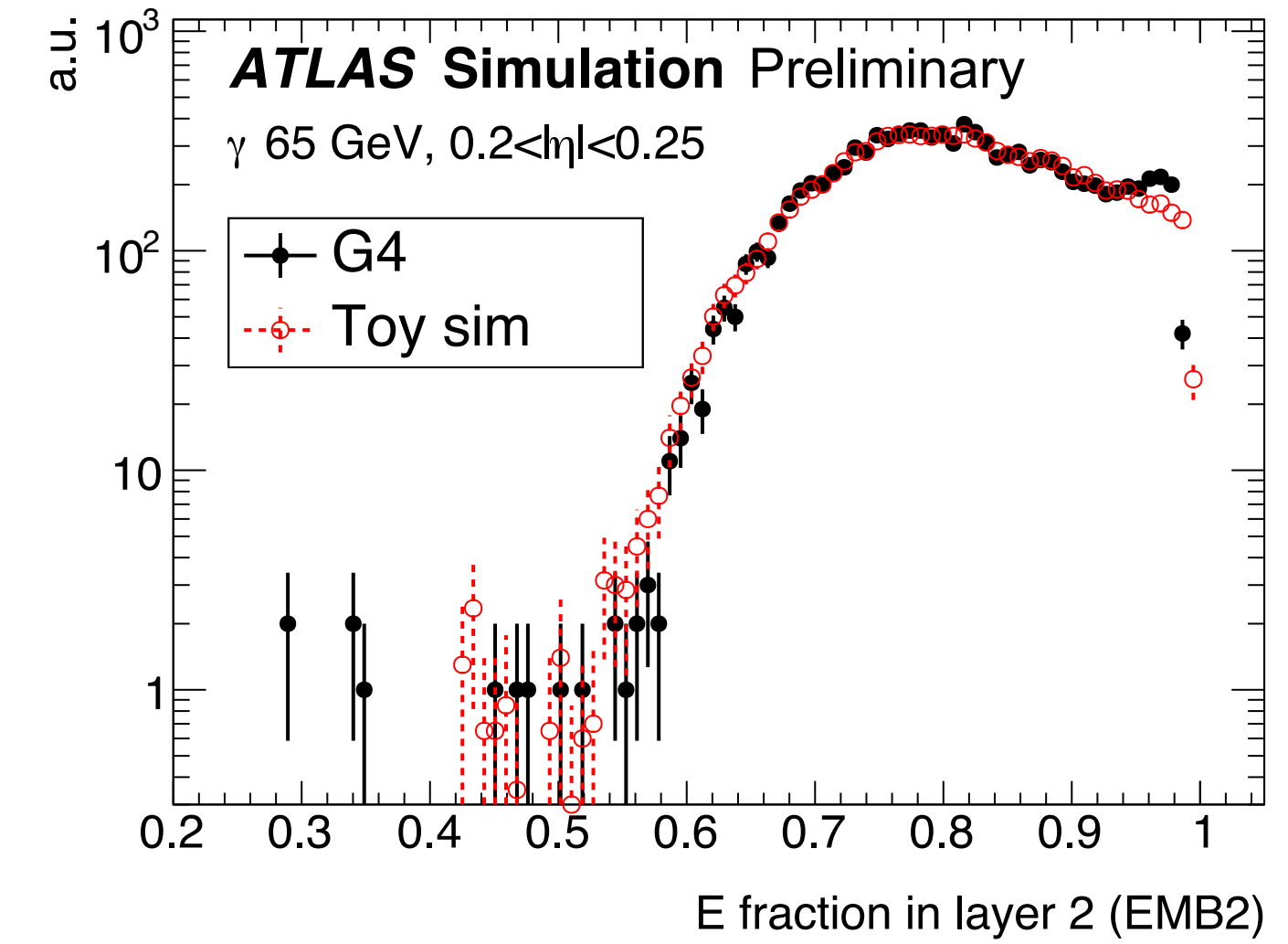
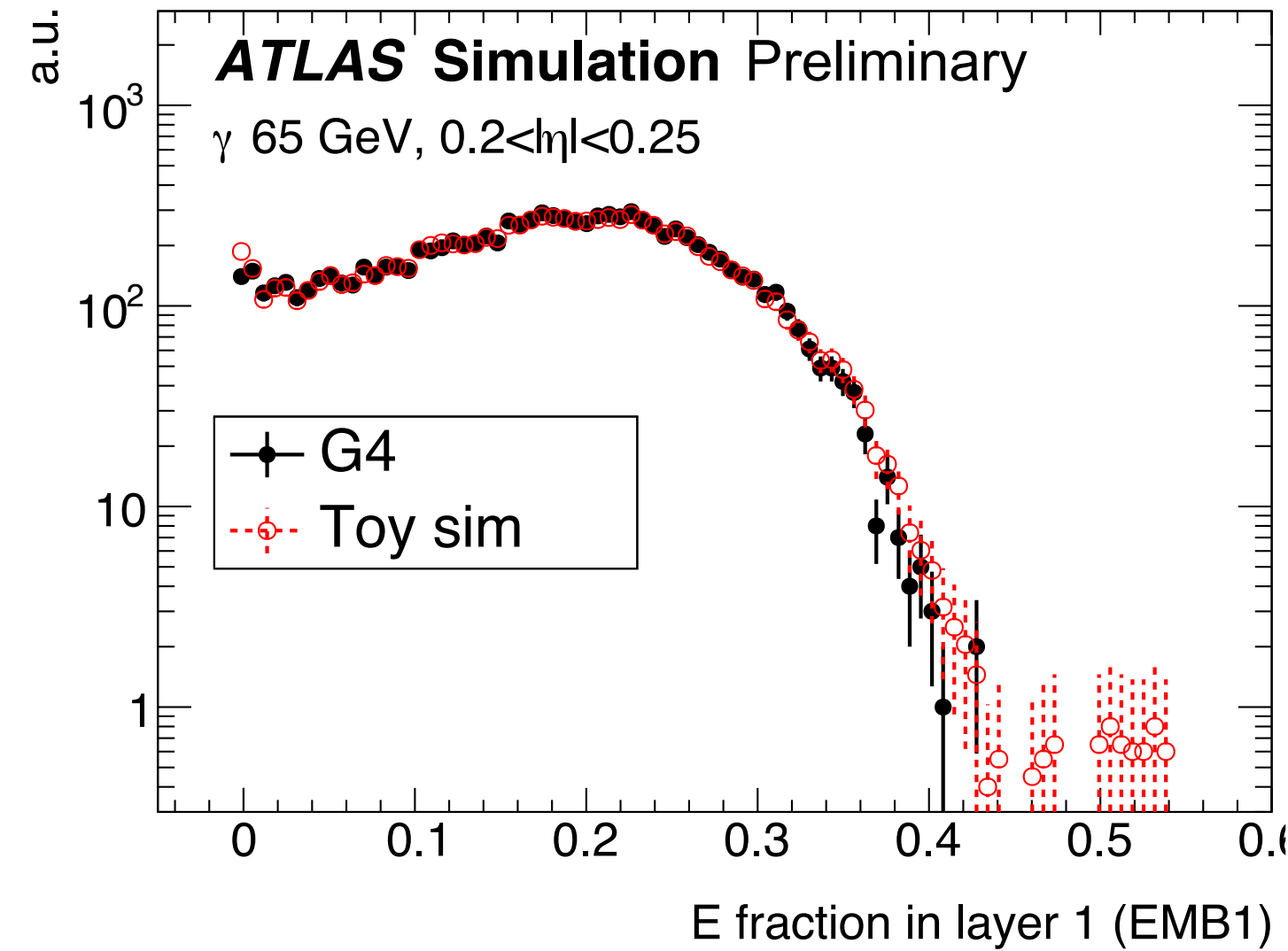
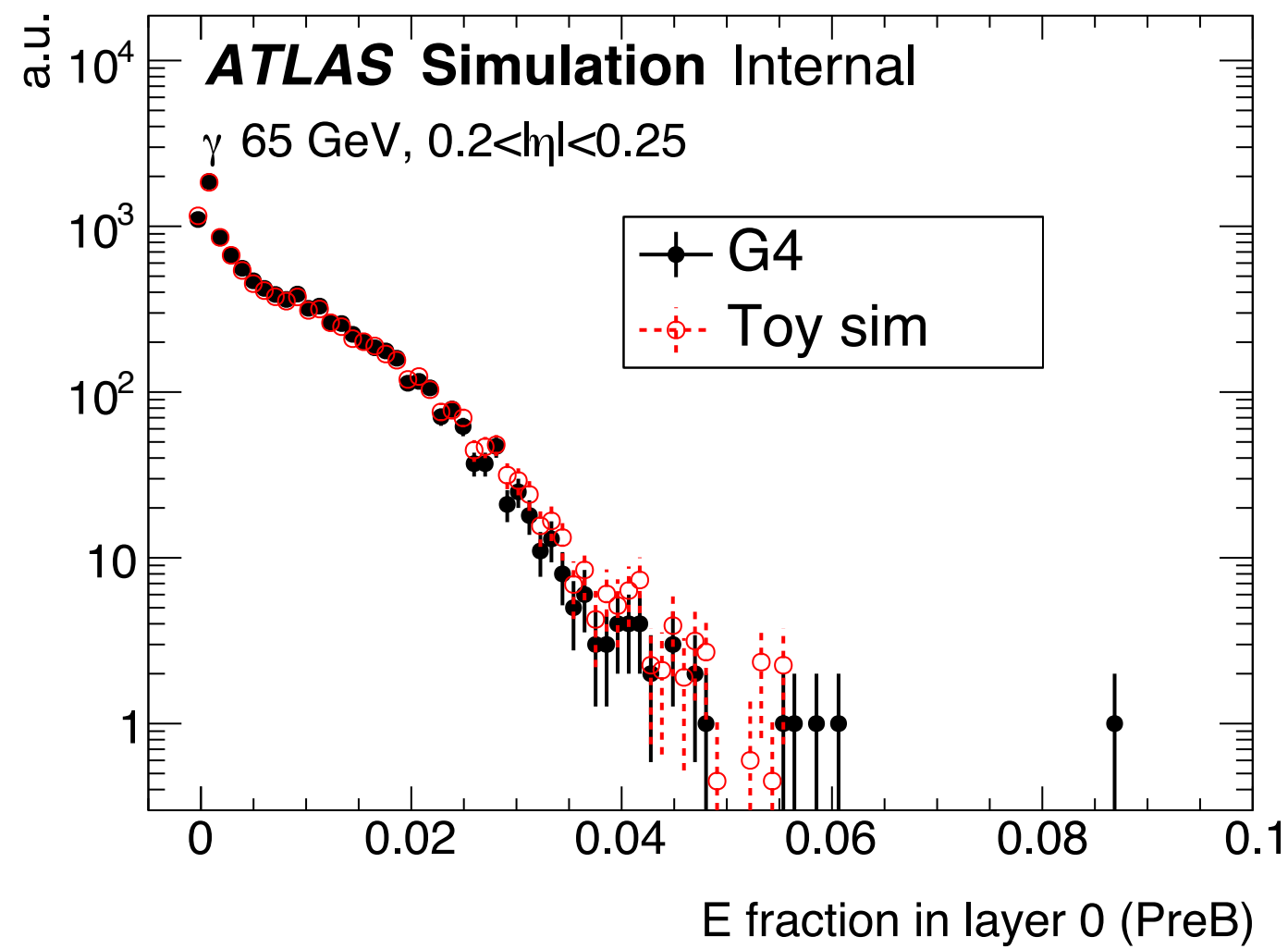
## Digitization:

- ◆ Large number of inner tracker readout channels
- ◆ Complex modeling of readout emulation

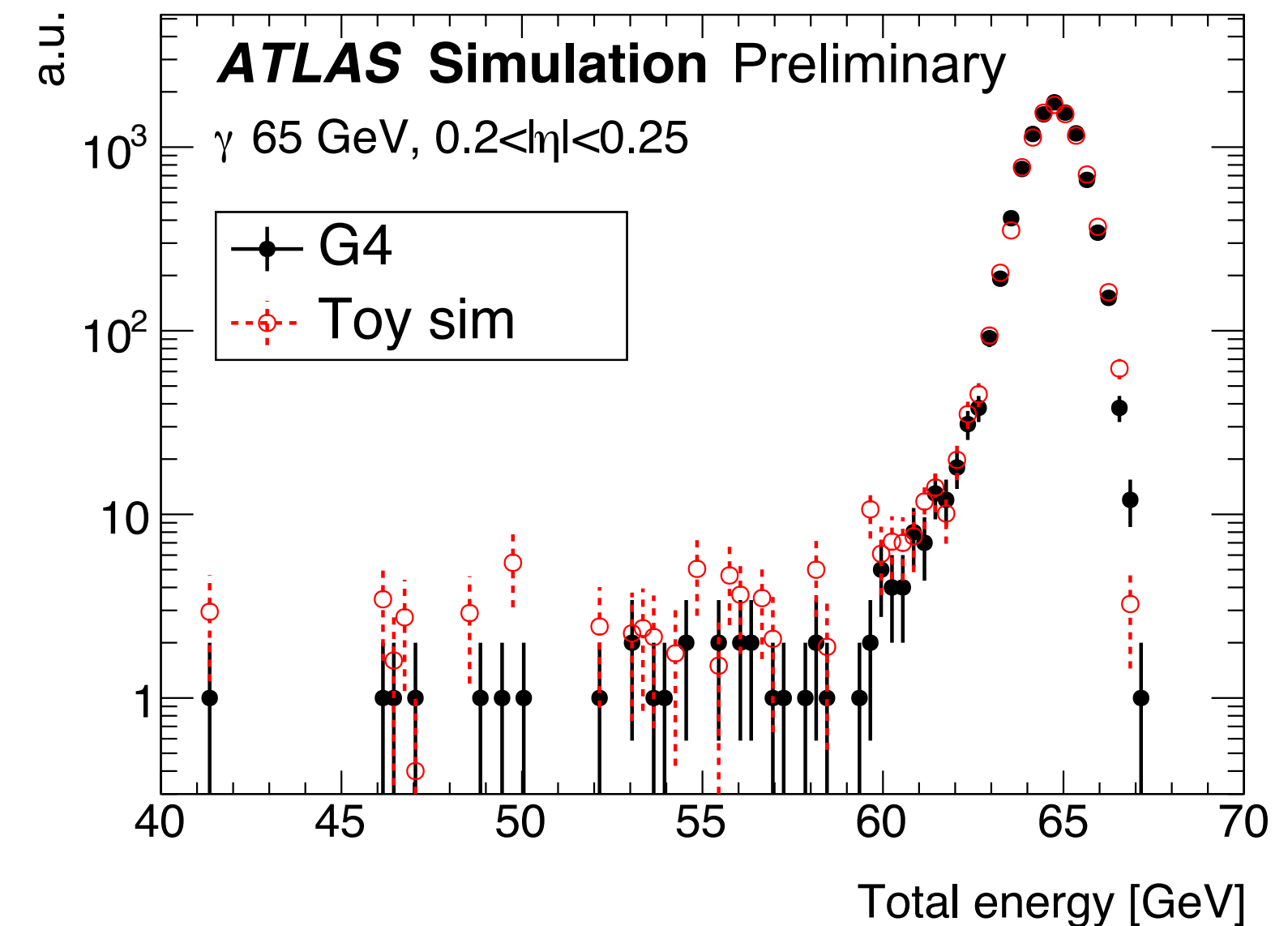
## Reconstruction:

- ◆ Pattern recognition (combinatorics) function of average pileup

# Energy Parametrization: Toy validation



- ◆ Small mismodeling compared to G4 is observed
- ◆ The mean is shifted & RMS is larger than that of G4

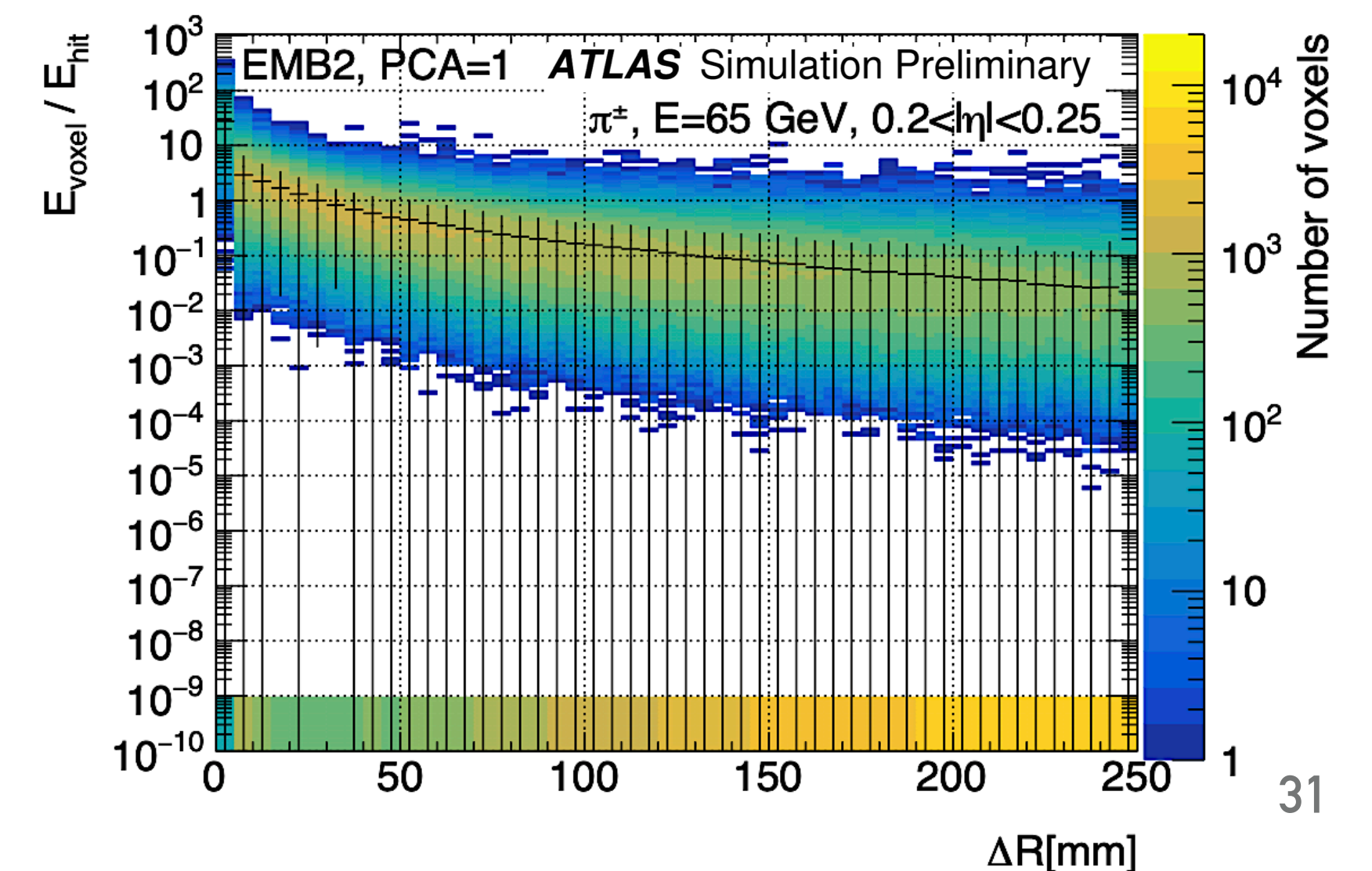
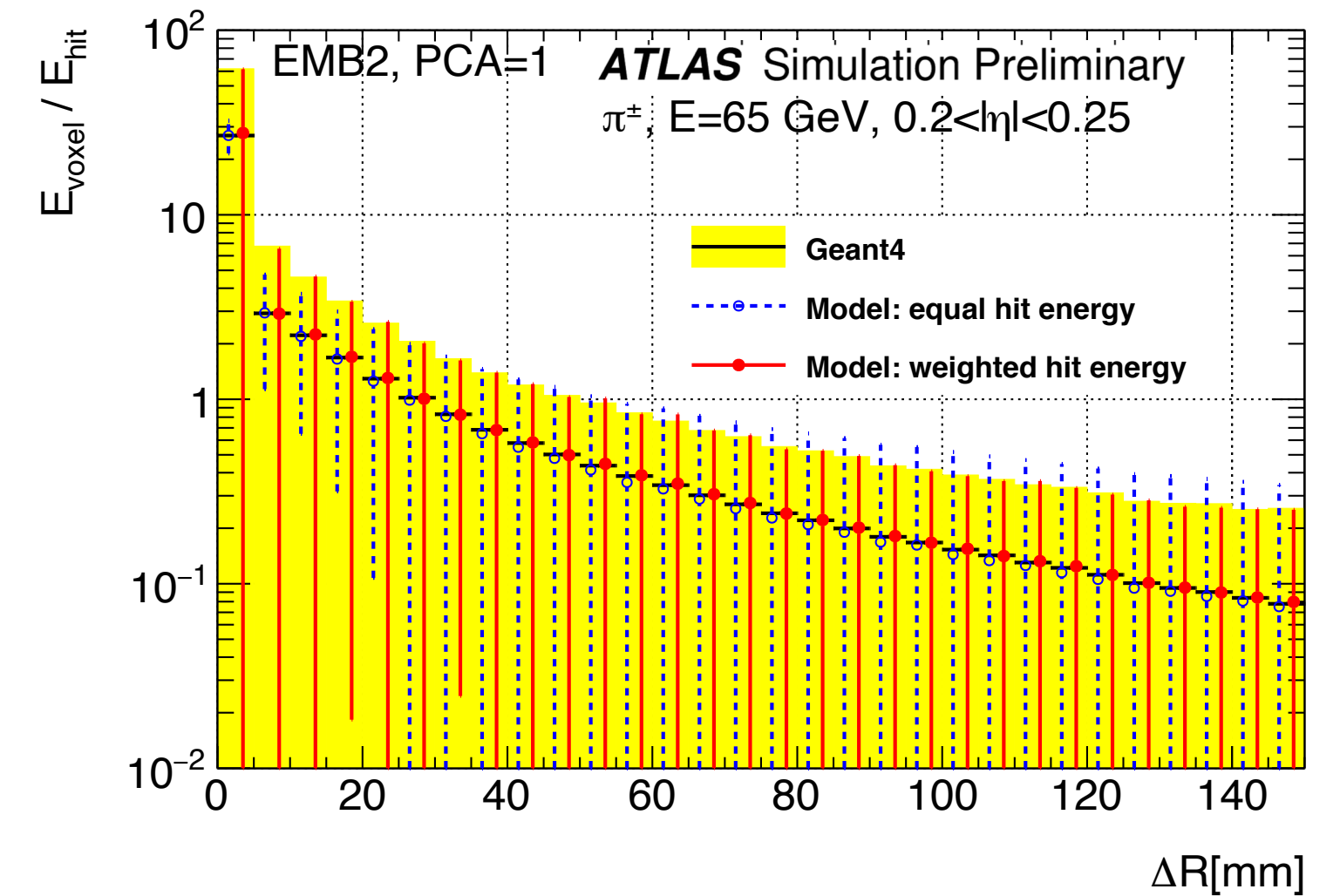


# Weighted hit simulation for hadrons (2)

- ◆ Take the smaller RMS of the two poisson distributions ( $RMS_{\text{Poisson}}$ ) reproducing:
  - fraction of events with  $E = 0$
  - RMS of G4 distribution
- ◆ Calculate the weight as  $w = \langle E_{\text{voxel}}/E_{\text{hit}} \rangle / N_{\text{Poisson}}$  with  $N_{\text{Poisson}} = 1 / (RMS_{\text{Poisson}} / \lambda_{\text{Poisson}})^2$
- ◆  $E'_{\text{hit}} = E_{\text{hit}} \times w$
- ◆ An extra smearing function ( $e^s$  with  $s$  being a RandGauss) is introduced for cases  $RMS_{\text{Poisson}} < RMS_{G4}$
- ◆ Calculate the unaccounted fluctuation as:  $RMS_{\text{smearing}}^2 = RMS_{G4}^2 - RMS_{\text{Poisson}}^2$
- ◆ The RMS of the smearing function  $e^s$  is then matched to  $RMS_{\text{smearing}} / \sqrt{N_{\text{Poisson}}}$  by adjusting the sigma of the gaussian distribution to draw the random number  $s$
- ◆  $E''_{\text{hit}} = E_{\text{hit}} \times w \times e^s$

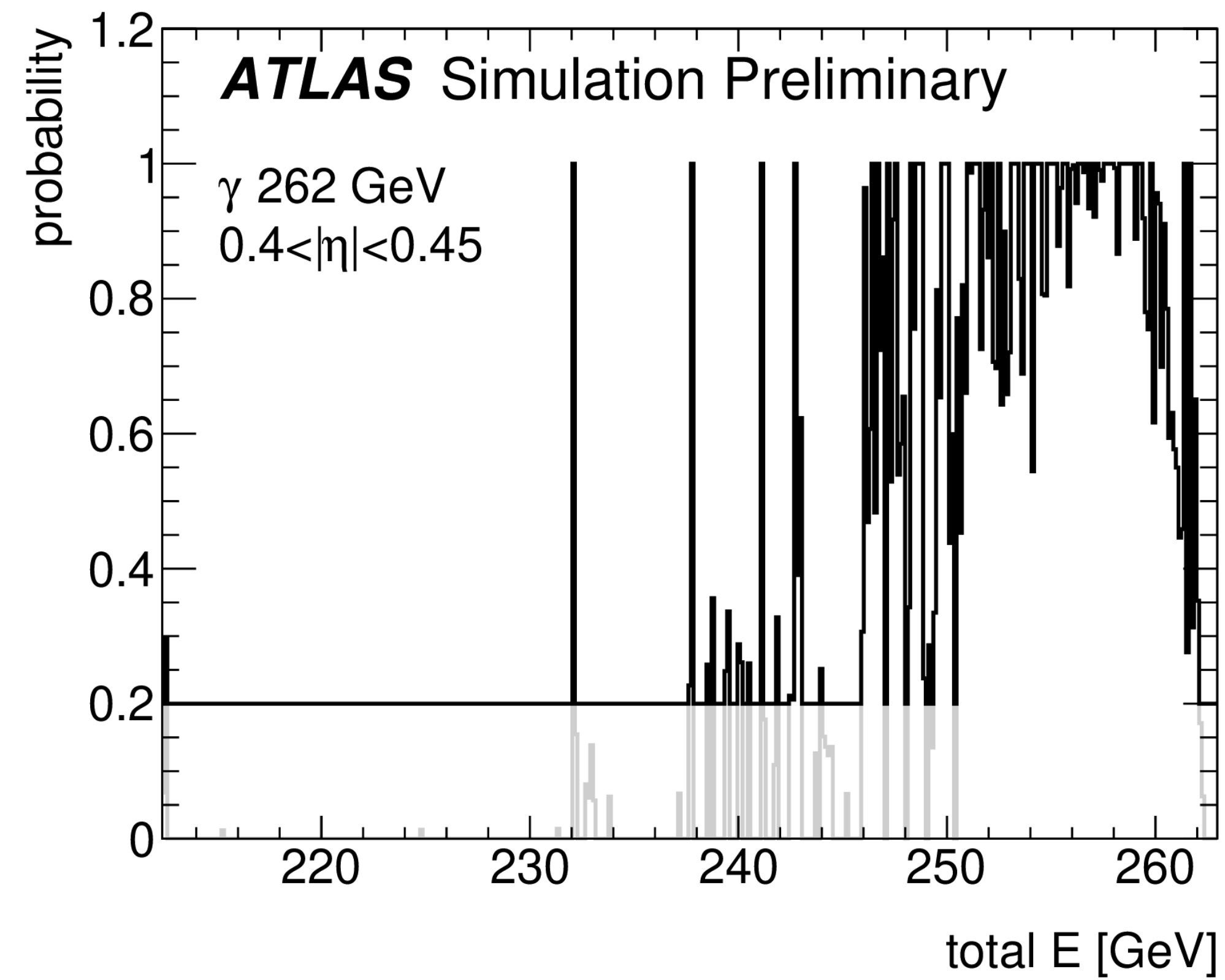
The resulting weight and sigma of the gaussian distribution for smearing are stored as a function of  $\Delta R[\text{mm}]$  and used in simulation to improve energy deposits.

AF3 with equal hit energy deposition:



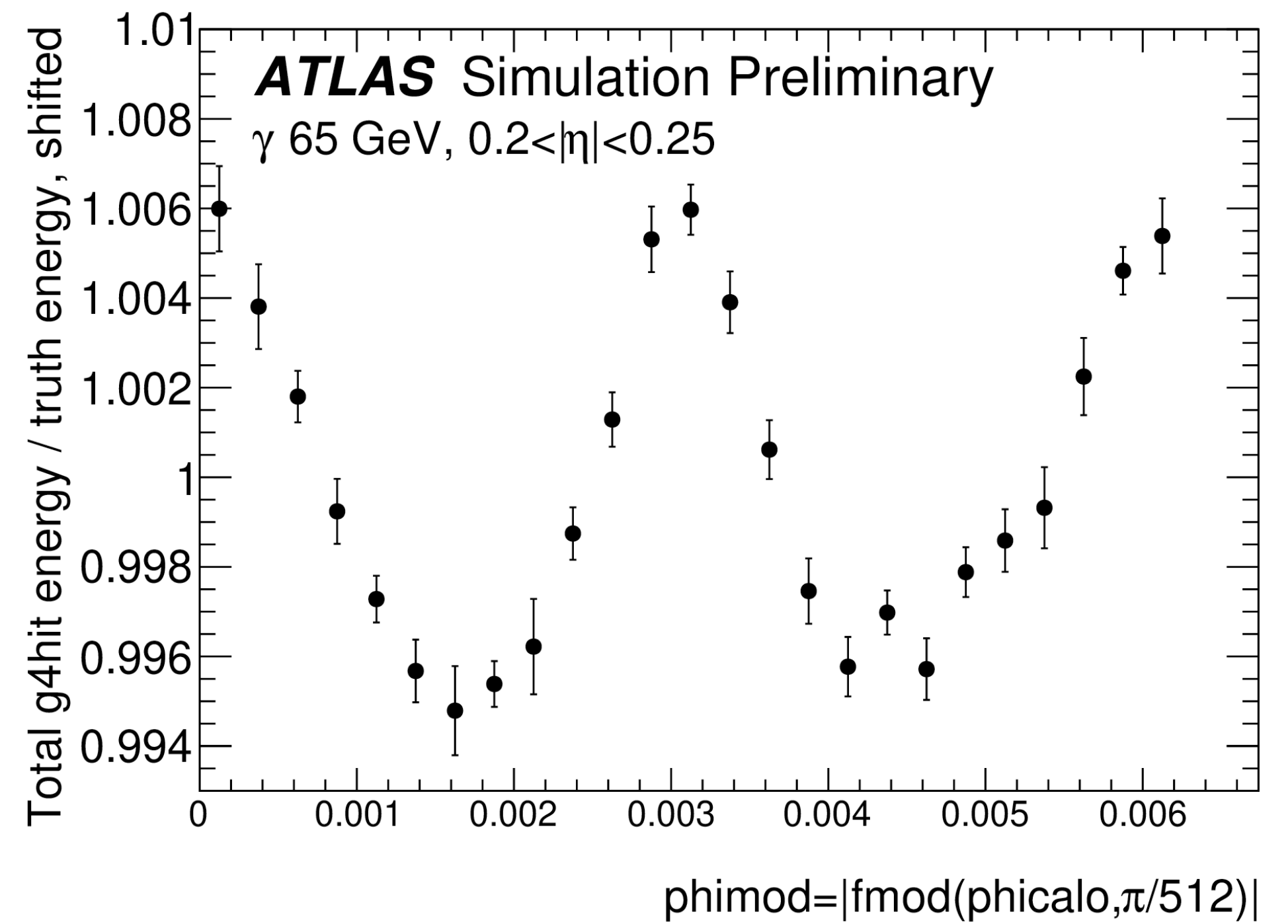
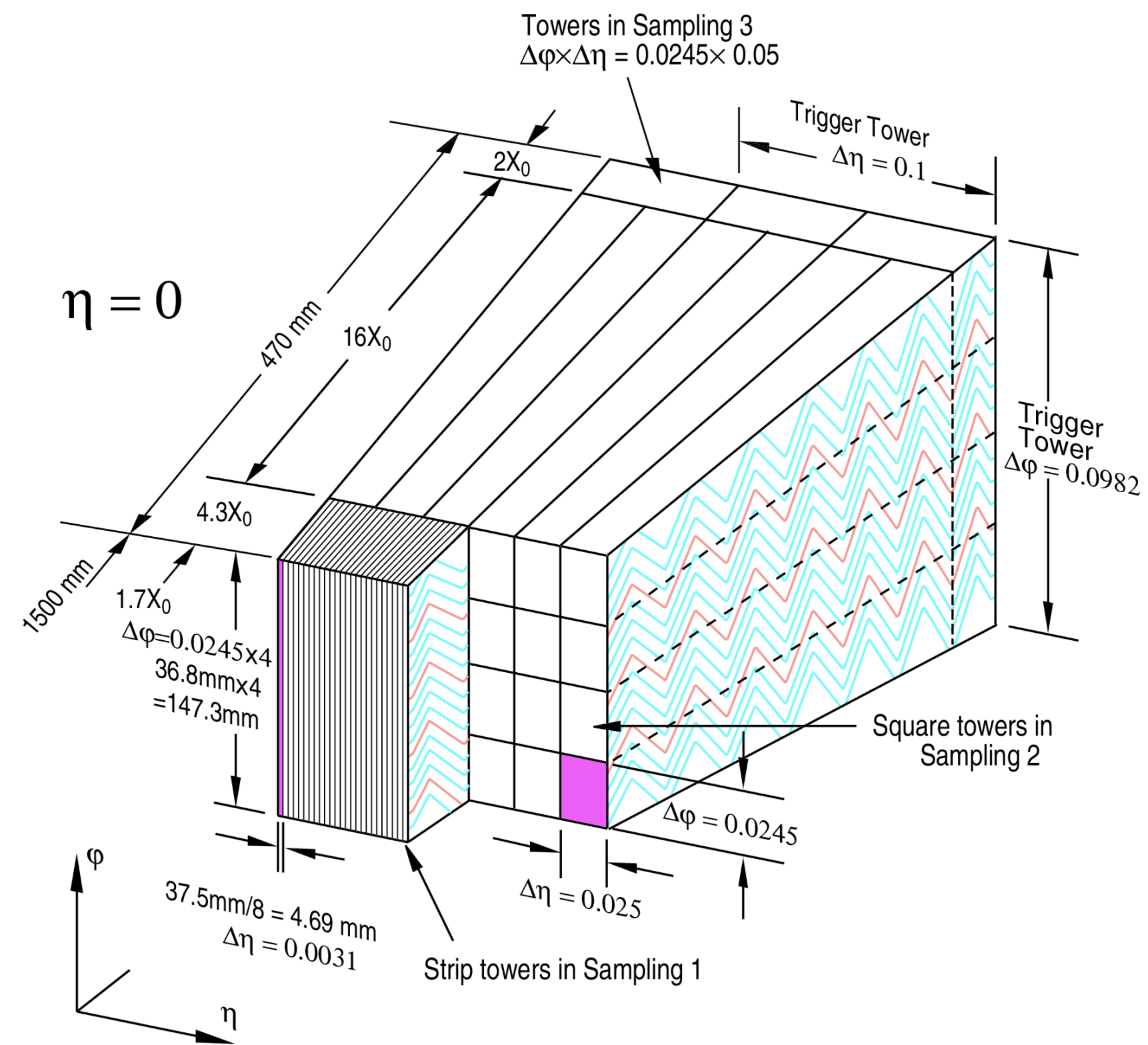
# PDF for probabilistic reweighting

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# Phi modulation



# Best epoch selection

For every checkpoint, 10k events are generated for each energy point. The  $\chi^2$  is then evaluated between the binned distributions of the GAN and the training sample. The total  $\chi^2$  for a checkpoint is the sum of the  $15\chi^2$ . The checkpoint with the lowest  $\chi^2$  is chosen for each GAN

