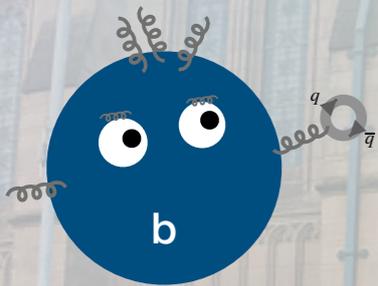


# New Results on Semileptonic Decays from Belle

[florian.bernlochner@uni-bonn.de](mailto:florian.bernlochner@uni-bonn.de)





Inclusive

1.

Measurement of **partial** branching fractions of **inclusive**  $B \rightarrow X_u \ell \bar{\nu}_\ell$  decays with hadronic tagging [PRD 104, 012008 (2021), arXiv:2102.00020]

2.

Measurement of **differential** branching fractions of **inclusive**  $B \rightarrow X_u \ell \bar{\nu}_\ell$  decays with hadronic tagging [Phys. Rev. Lett. 127, 261801 (2021), arXiv:2107.13855]

3.

Measurements of  $q^2$  **moments** of **inclusive**  $B \rightarrow X_c \ell \bar{\nu}_\ell$  decays with hadronic tagging [PRD 104, 112011 (2021), arXiv:2109.01685]

Exclusive

4.

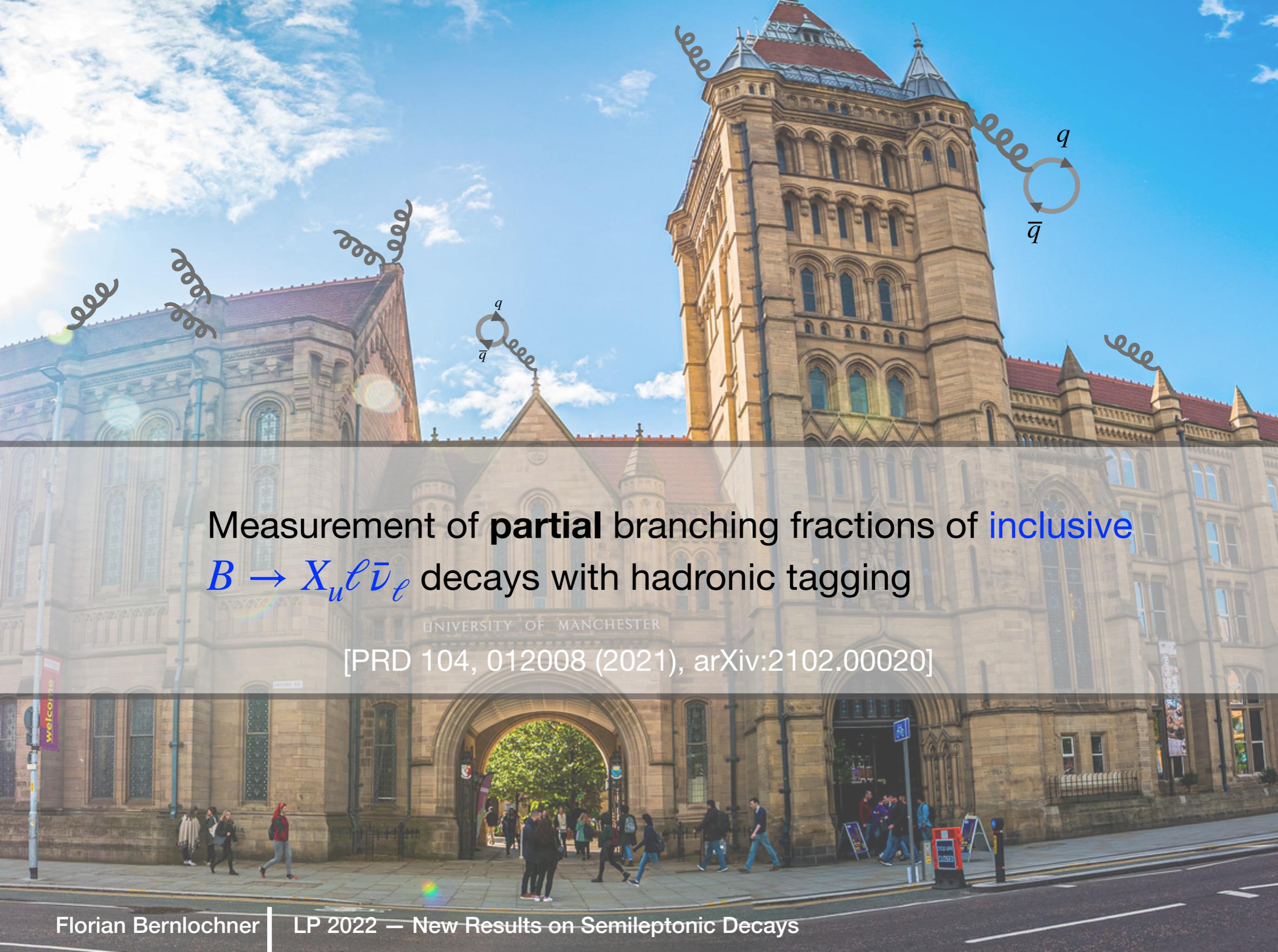
Measurement of the **branching fraction** of the decay  $B^+ \rightarrow \pi^+ \pi^- \ell^+ \nu$  in fully reconstructed events at Belle [PRD 103, 112001 (2021), arXiv:2005.07766]

5.

Measurement of the **branching fraction** of the  $B^+ \rightarrow \eta \ell^+ \nu$  and  $B^+ \rightarrow \eta' \ell^+ \nu$  decays with signal-side only reconstruction in the full  $q^2$  range [Submitted to PRD, arXiv:2104.13354]

6.

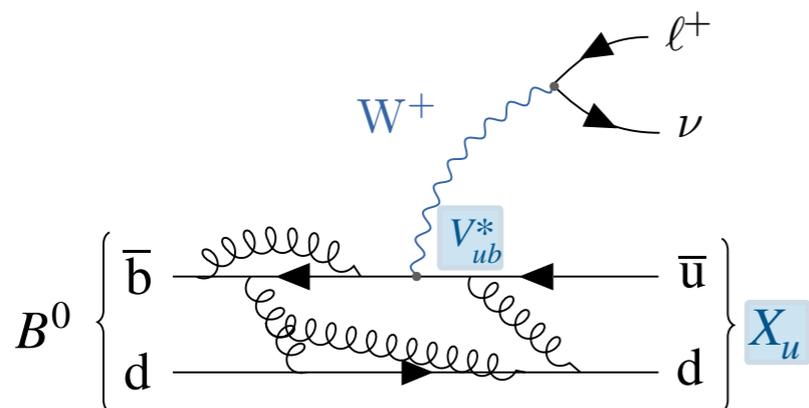
First test of **lepton flavor universality** in the charmed baryon decays  $\Omega_c^0 \rightarrow \Omega^- \ell^+ \nu_\ell$  using data of the Belle experiment [Submitted to PRL, arXiv:2112.10367]



Measurement of **partial** branching fractions of **inclusive**  
 $B \rightarrow X_u \ell \bar{\nu}_\ell$  decays with hadronic tagging

[PRD 104, 012008 (2021), arXiv:2102.00020]

# Introduction

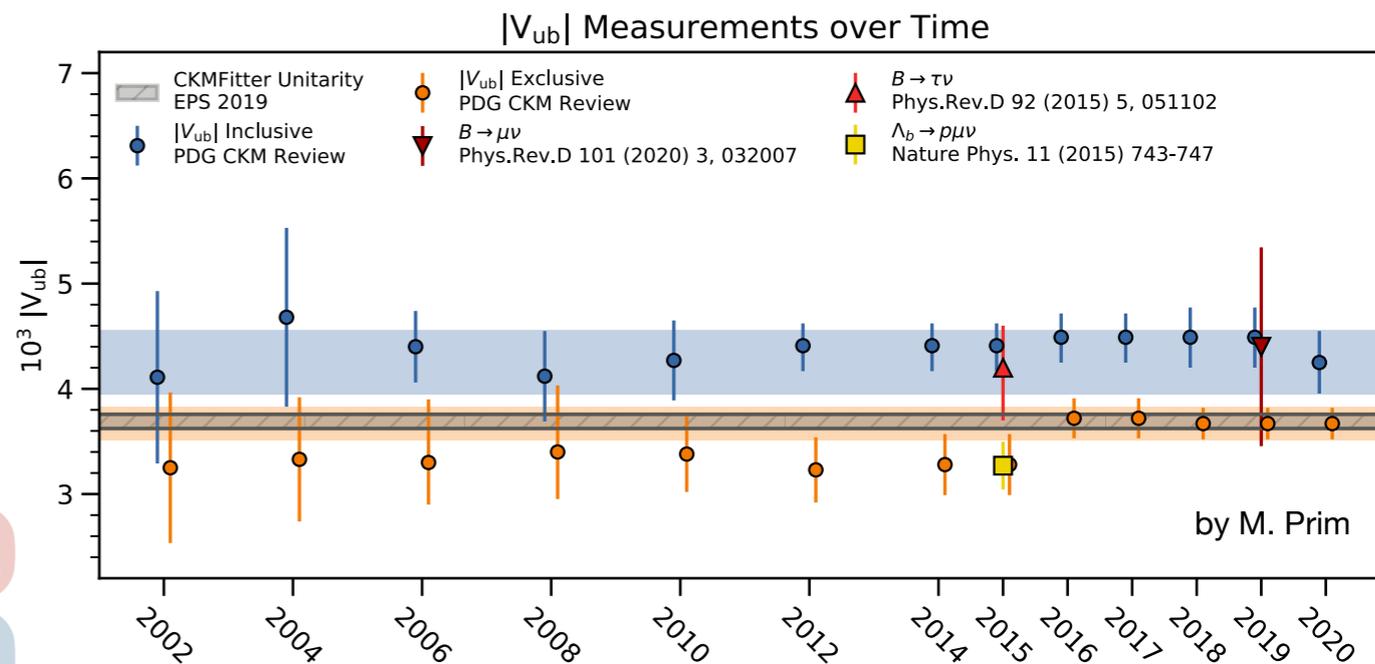


$\approx 3.3 \sigma$

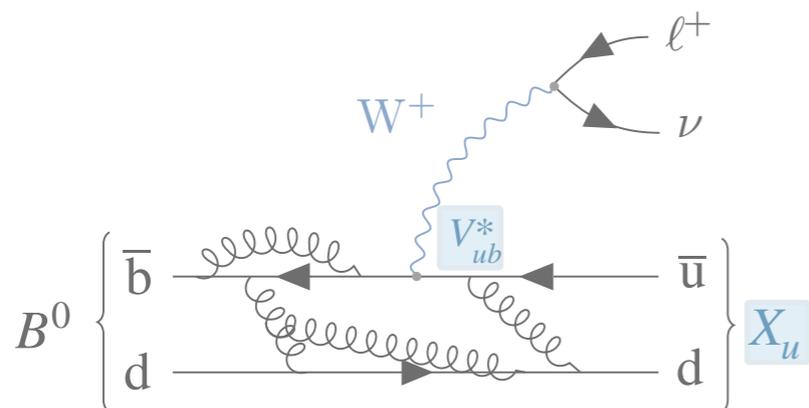
$$|V_{ub}^{\text{excl.}}| = (3.67 \pm 0.09 \pm 0.12) \times 10^{-3},$$

$$|V_{ub}^{\text{incl.}}| = (4.32 \pm 0.12_{-0.13}^{+0.12}) \times 10^{-3}.$$

(HFLAV)



# Introduction

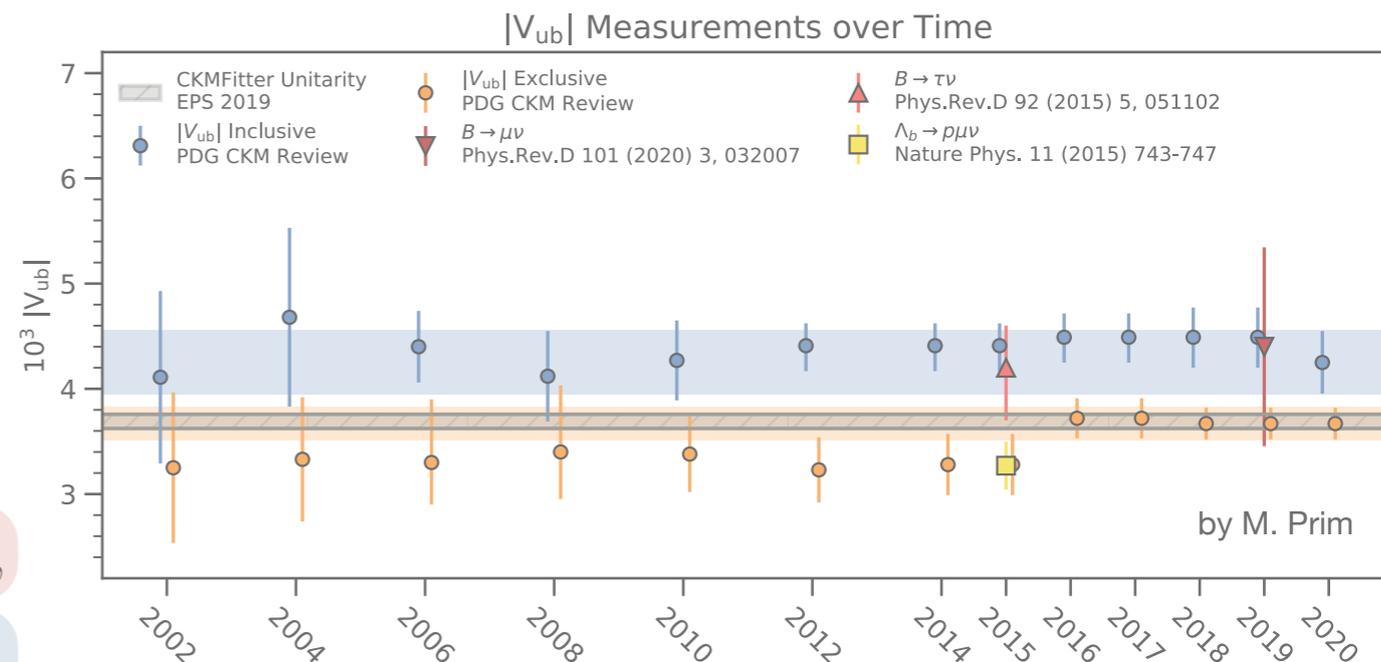


$\approx 3.3 \sigma$

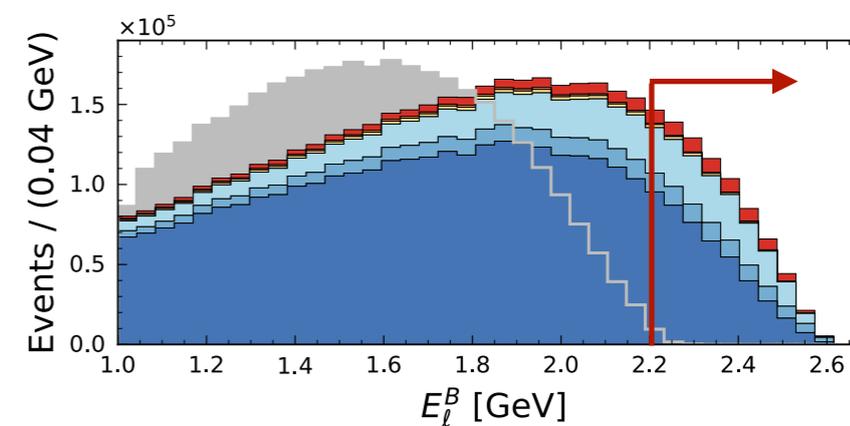
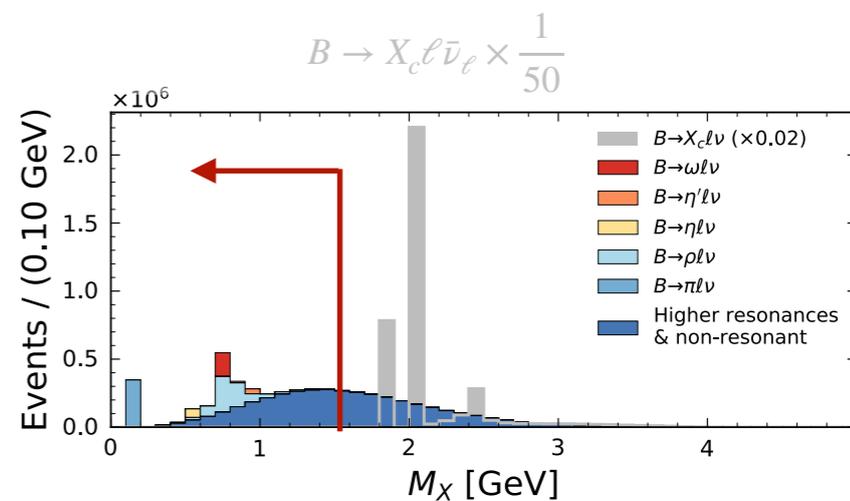
$$|V_{ub}^{\text{excl.}}| = (3.67 \pm 0.09 \pm 0.12) \times 10^{-3},$$

$$|V_{ub}^{\text{incl.}}| = (4.32 \pm 0.12^{+0.12}_{-0.13}) \times 10^{-3}.$$

(HFLAV)



Inclusive  $B \rightarrow X_u \ell \bar{\nu}_\ell$  measurements are extremely challenging due to dominant  $B \rightarrow X_c \ell \bar{\nu}_\ell$  background

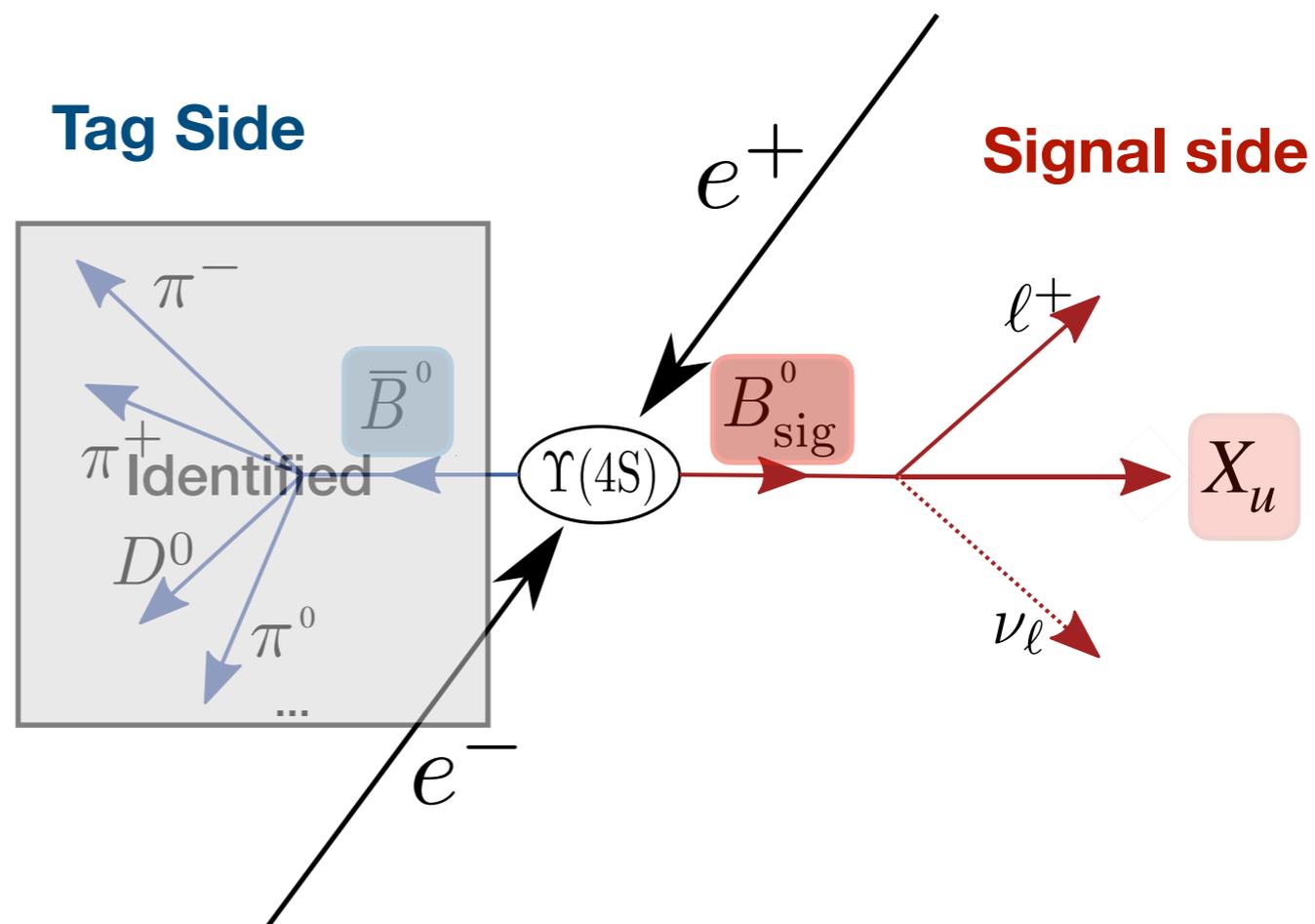


Clear separation only possible in certain kinematic regions, e.g. lepton endpoint or low  $M_X$

# Analysis Strategy

Use **full Belle** data set of **711/fb**

**Hadronic tagging** with neural networks (ca. 0.2-0.3% efficiency) 



Charged Tracks

Neutral Clusters

$$p_X = \sum_i \left( \sqrt{m_\pi^2 + |\mathbf{p}_i|^2}, \mathbf{p}_i \right) + \sum_j (E_j, \mathbf{k}_j)$$

$$q^2 = (p_{\text{sig}} - p_X)^2$$

$$M_X = \sqrt{(p_X)^\mu (p_X)_\mu}$$

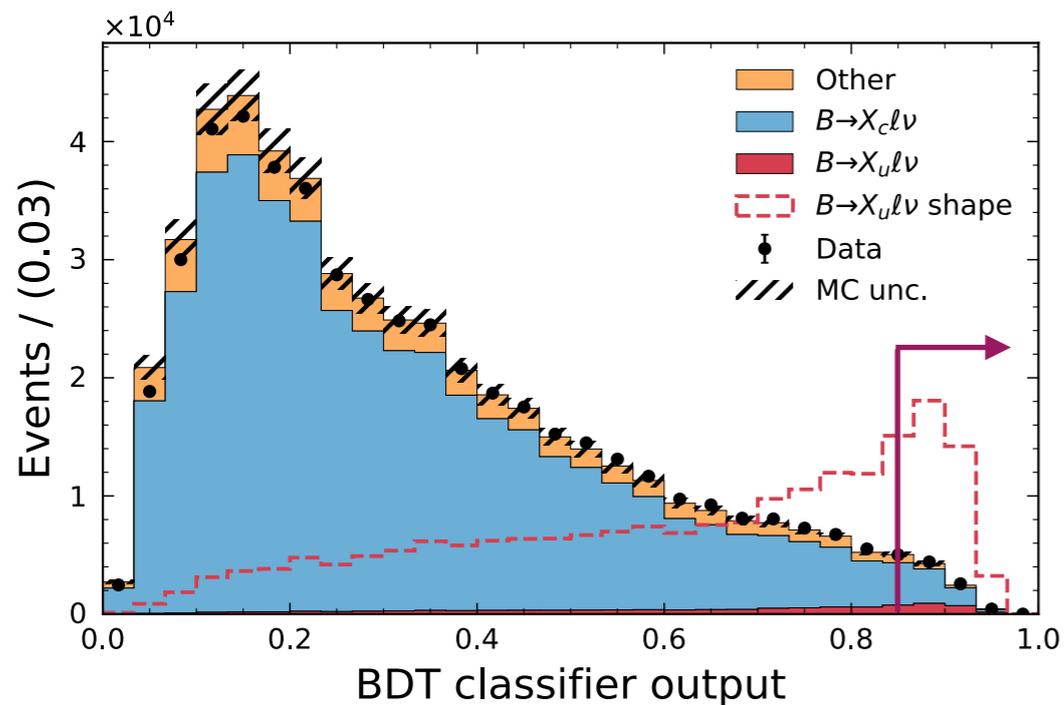
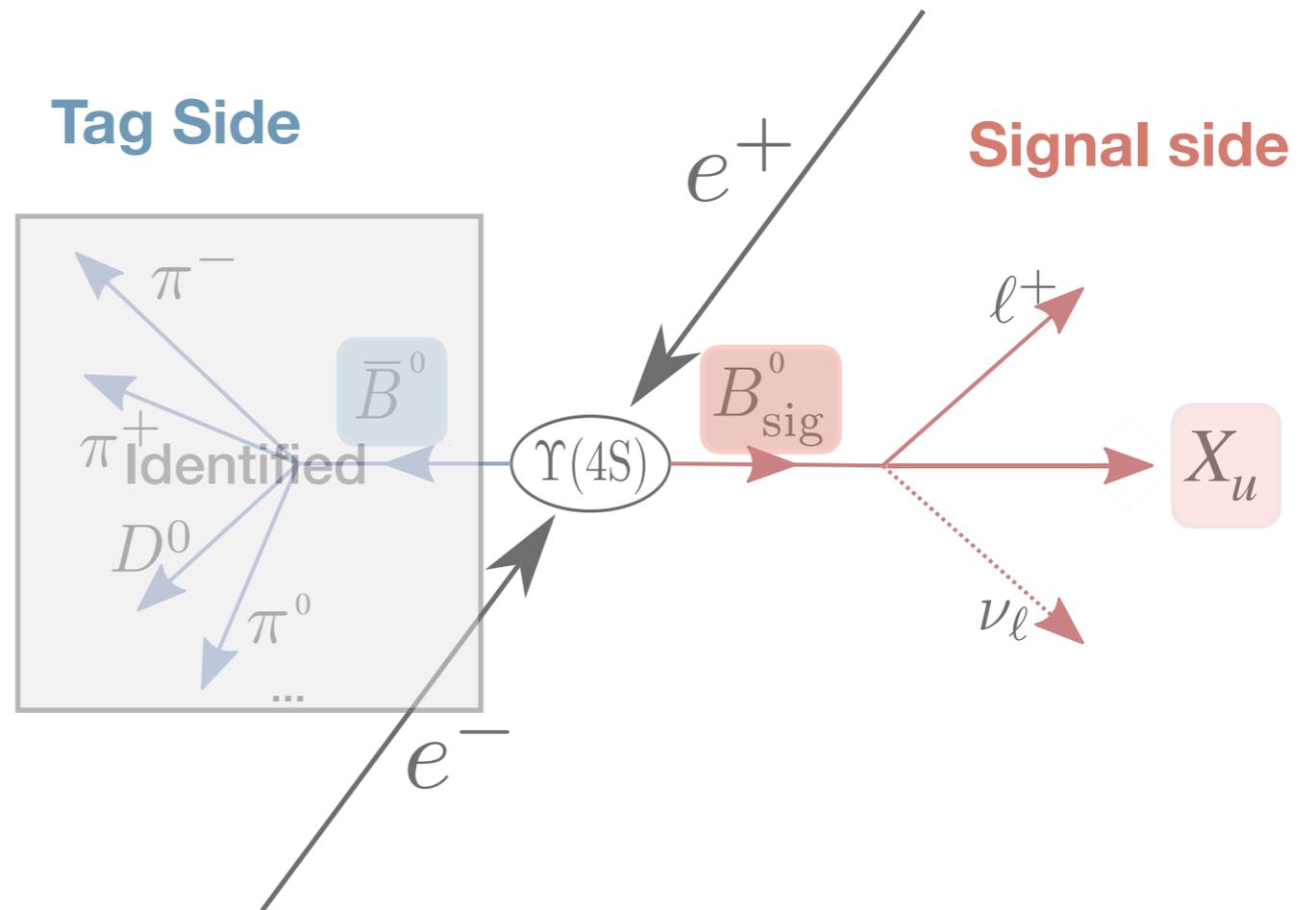
$$m_{\text{miss}}^2 = (p_{\text{sig}} - p_X - p_\ell)^2 \approx m_\nu^2 = 0 \text{ GeV}^2$$

# Analysis Strategy

Use full Belle data set of 711/fb

Hadronic tagging with neural networks (ca. 0.2-0.3% efficiency) 

Use machine learning (BDTs) to suppress backgrounds with 11 training features, e.g.  $m_{\text{miss}}^2$ ,  $\#K^\pm$ ,  $\#K_s$ , etc.



Charged Tracks      Neutral Clusters

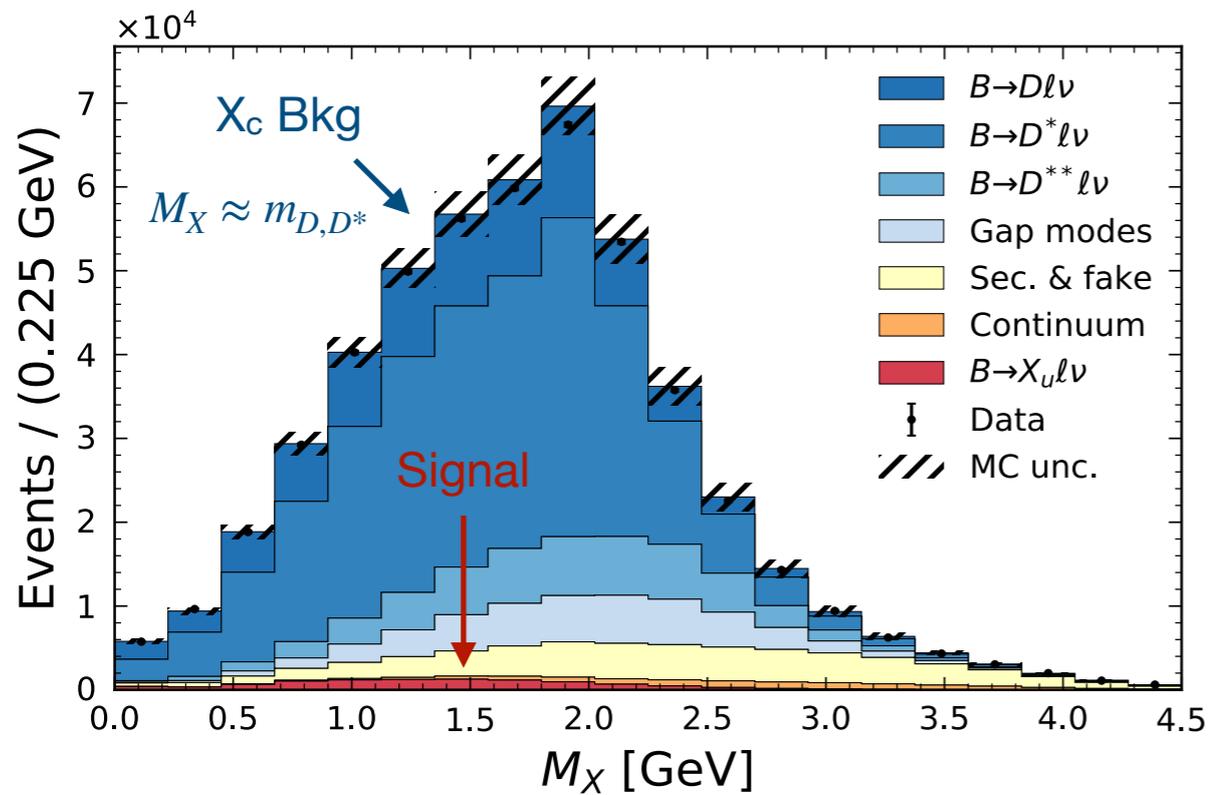
$$p_X = \sum_i \left( \sqrt{m_\pi^2 + |\mathbf{p}_i|^2}, \mathbf{p}_i \right) + \sum_j (E_j, \mathbf{k}_j)$$

$$q^2 = (p_{\text{sig}} - p_X)^2 \quad M_X = \sqrt{(p_X)^\mu (p_X)_\mu}$$

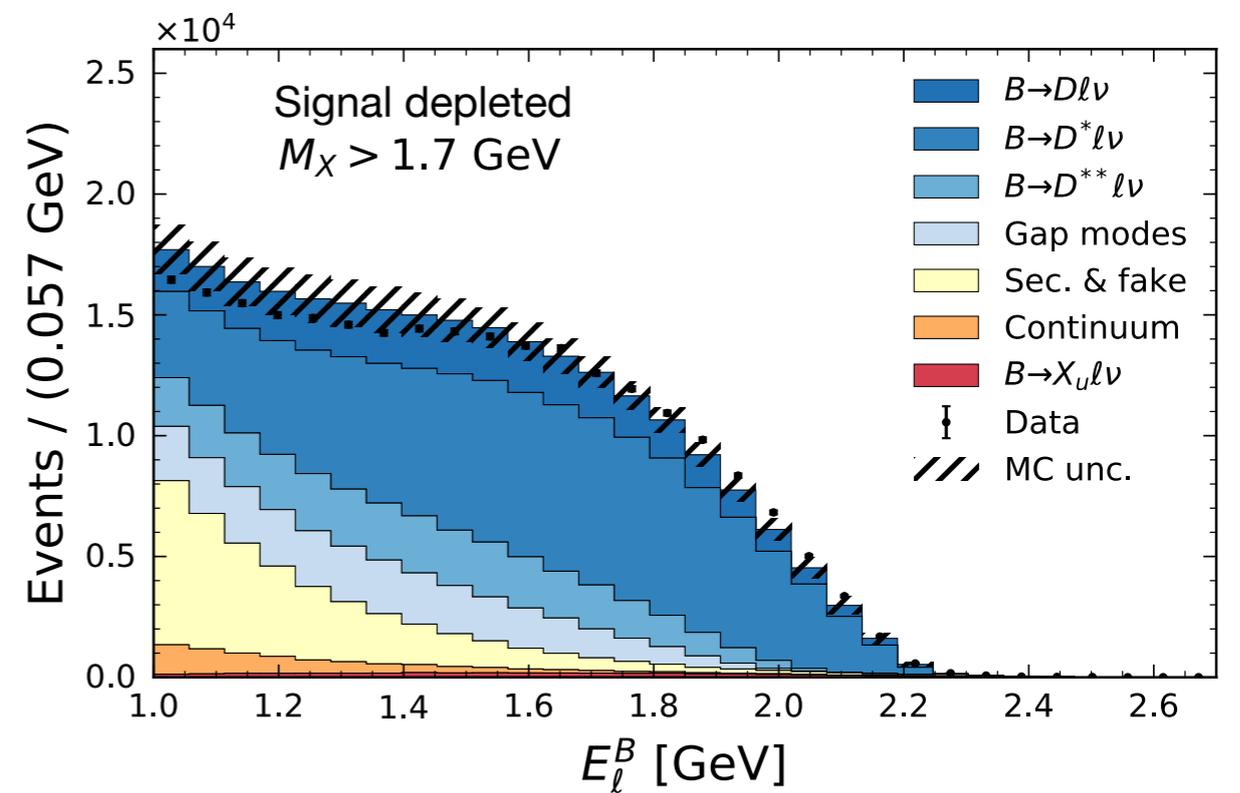
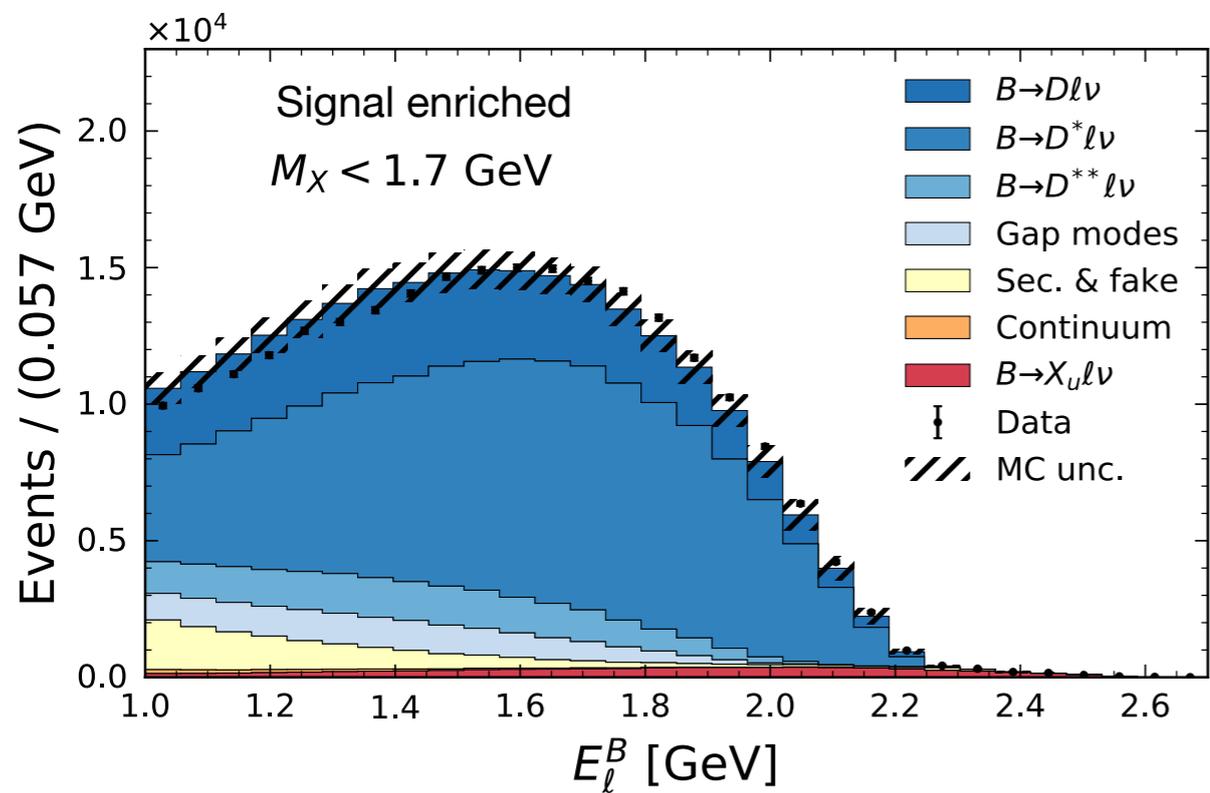
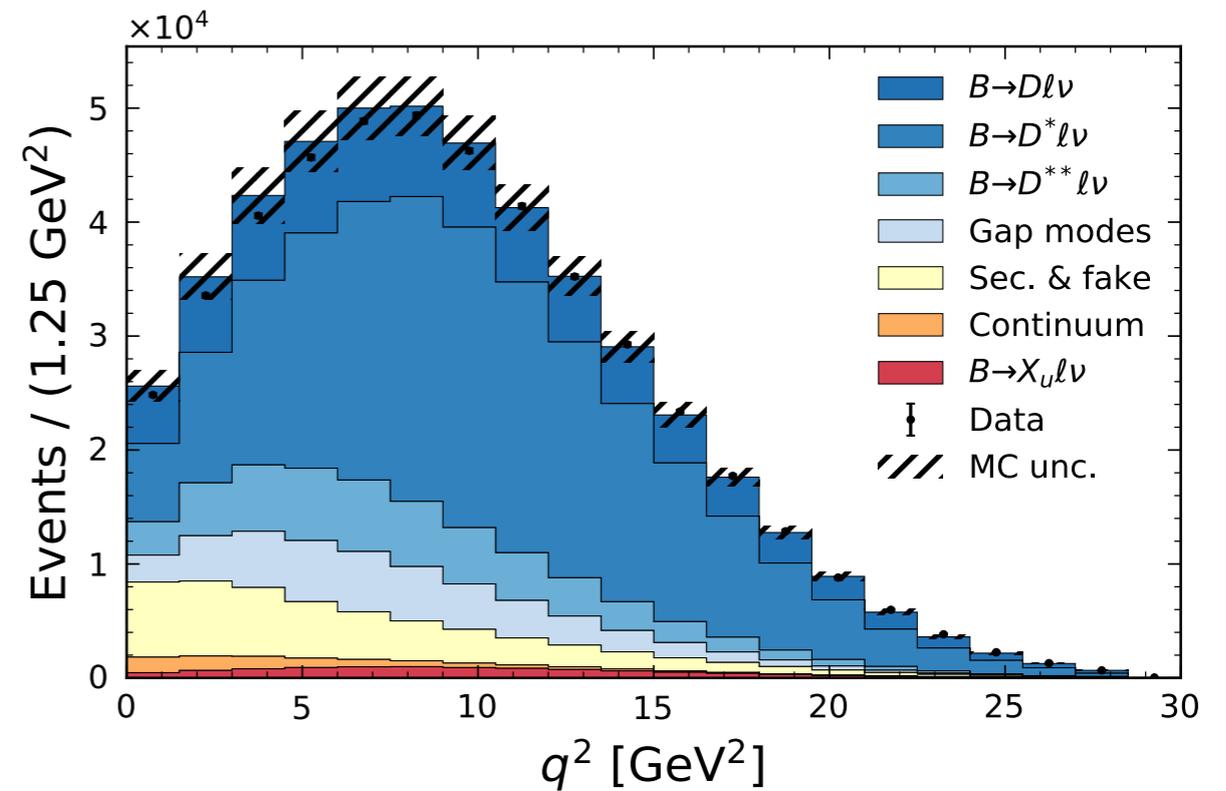
$$m_{\text{miss}}^2 = (p_{\text{sig}} - p_X - p_\ell)^2 \approx m_\nu^2 = 0 \text{ GeV}^2$$

Before BDT selection

Hadronic Mass  $M_X = \sqrt{p_X^2}$



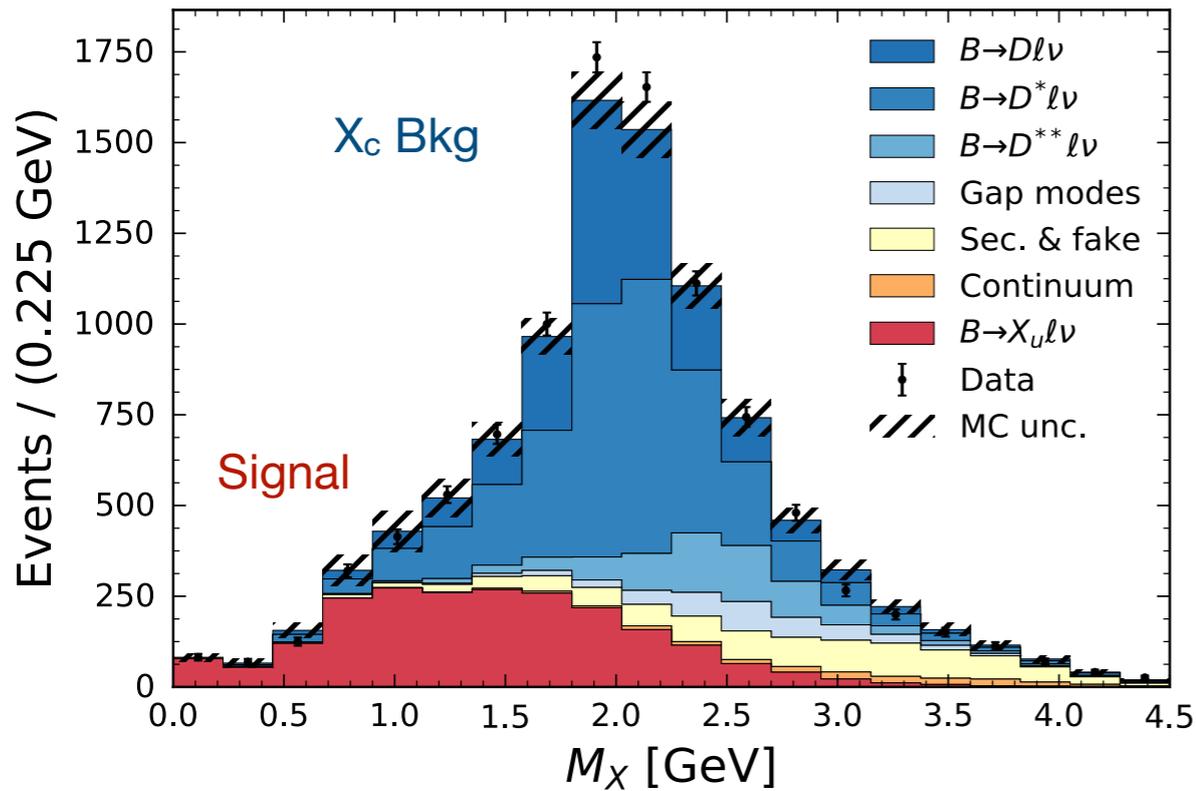
Four-momentum transfer squared  $q^2 = (p_B - p_X)^2$



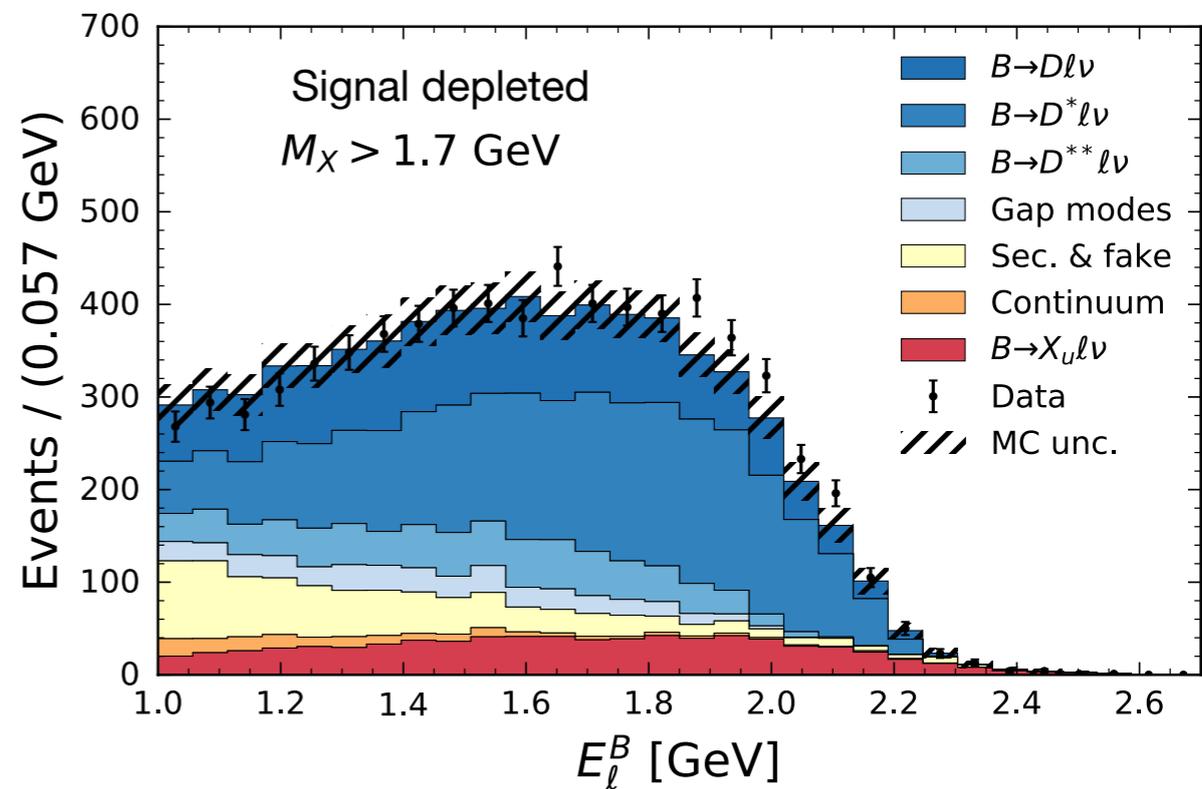
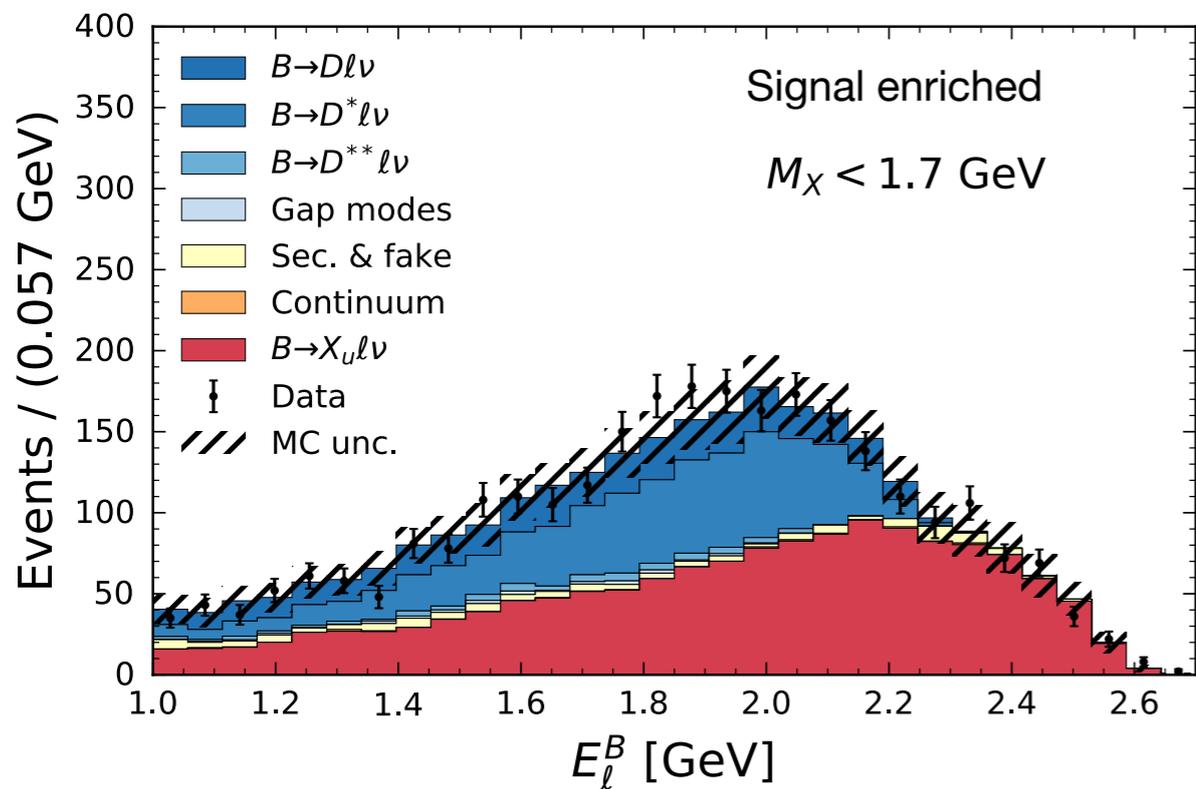
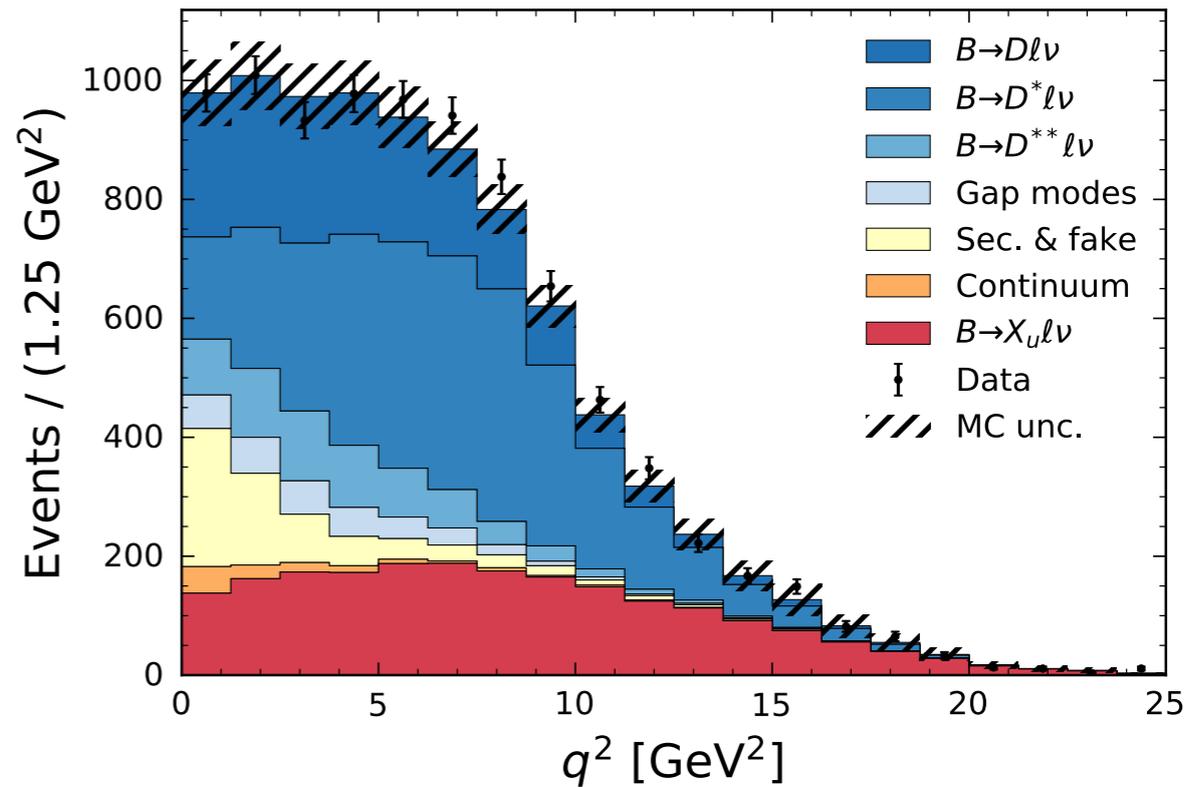
Lepton Energy in  
signal B restframe  $E_\ell^B$

After BDT selection

Hadronic Mass  $M_X = \sqrt{p_X^2}$



Four-momentum transfer squared  $q^2 = (p_B - p_X)^2$



Lepton Energy in  
signal B restframe  $E_\ell^B$

# Fit kinematic distributions and measure partial BF

3 phase-space regions

$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(B \rightarrow X_u \ell^+ \nu_\ell)}{\tau_B \cdot \Delta\Gamma(B \rightarrow X_u \ell^+ \nu_\ell)}}$$

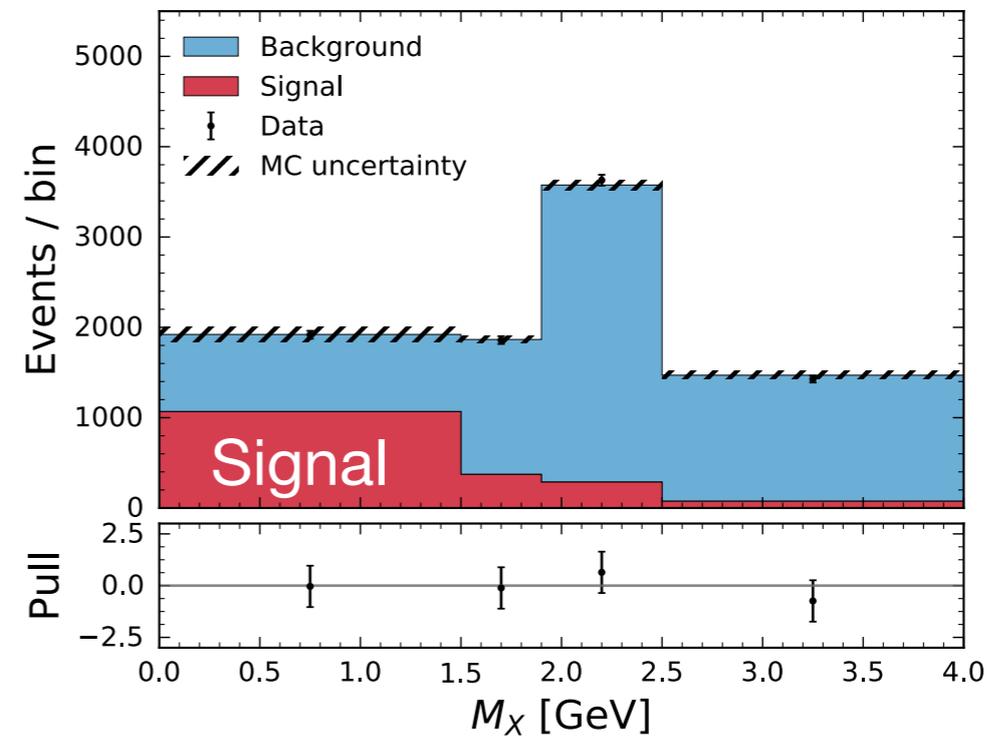
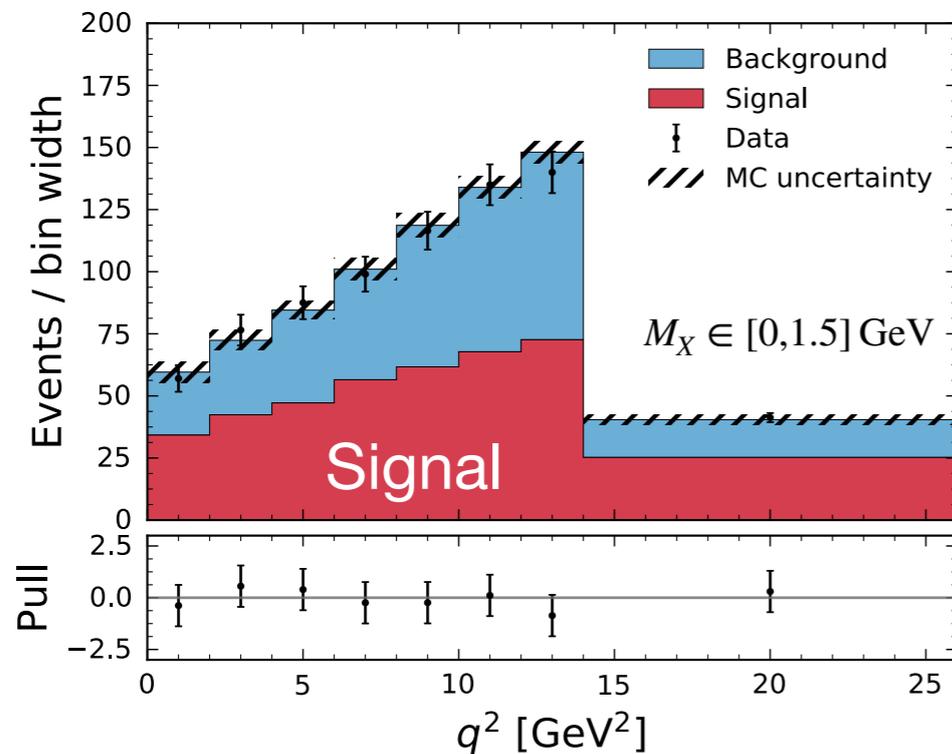
Phase-space region

$$M_X < 1.7 \text{ GeV}$$

$$M_X < 1.7 \text{ GeV}, q^2 > 8 \text{ GeV}^2$$

$$E_\ell^B > 1 \text{ GeV}$$

Example projections of 2D fit:



2D binning

$M_X : q^2$	
	$[0, 1.5] \text{ GeV} \times [0, 2, 4, 6, 8, 10, 12, 14, 26] \text{ GeV}^2$
	$[1.5, 1.9] \text{ GeV} \times [0, 2, 4, 6, 26] \text{ GeV}^2$
	$[1.9, 2.5] \text{ GeV} \times [0, 2, 4, 26] \text{ GeV}^2$
	$[2.5, 4.0] \text{ GeV} \times [0, 2, 26] \text{ GeV}^2$

# Fit kinematic distributions and measure partial BF

## 3 phase-space regions

Phase-space region

$$M_X < 1.7 \text{ GeV}$$

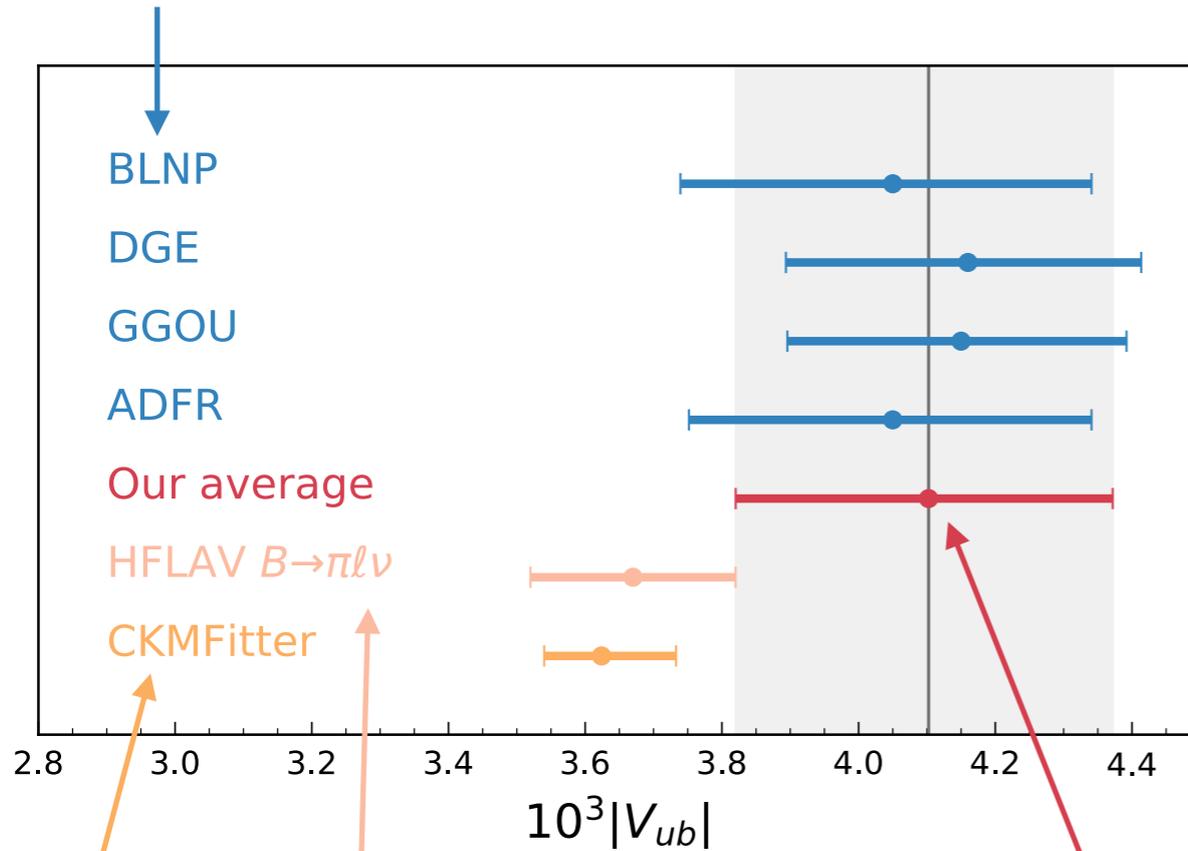
$$M_X < 1.7 \text{ GeV}, q^2 > 8 \text{ GeV}^2$$

$$E_\ell^B > 1 \text{ GeV}$$

$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(B \rightarrow X_u \ell^+ \nu_\ell)}{\tau_B \cdot \Delta\Gamma(B \rightarrow X_u \ell^+ \nu_\ell)}}$$

4 predictions of the partial rate

Result for most inclusive region with  $E_\ell^B > 1 \text{ GeV}$



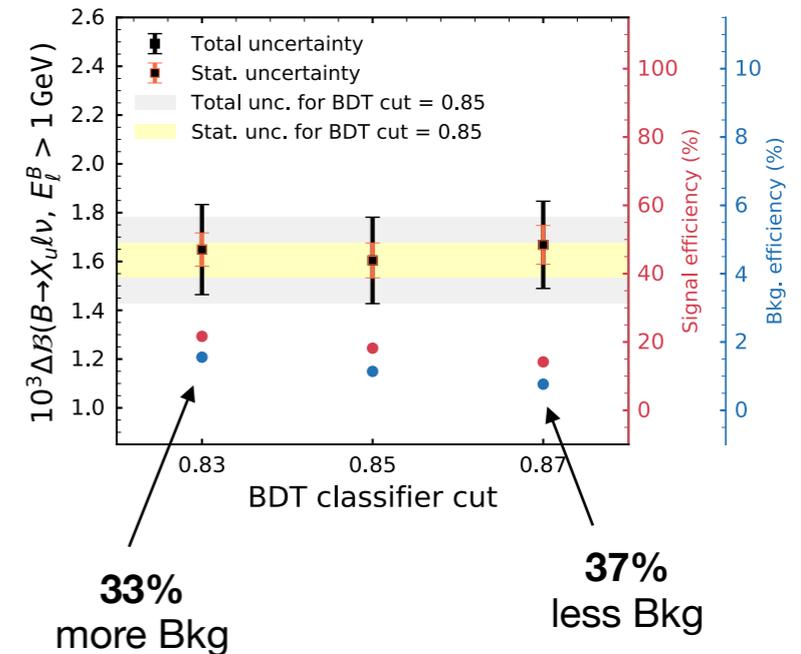
Exclusive Average for  $B \rightarrow \pi \ell \bar{\nu}_\ell$ :  
 $|V_{ub}| = (3.67 \pm 0.09 \pm 0.12) \times 10^{-3}$

CKM Unitarity:  
 $|V_{ub}| = (3.62^{+0.11}_{-0.08}) \times 10^{-3}$

**Arithmetic average:**

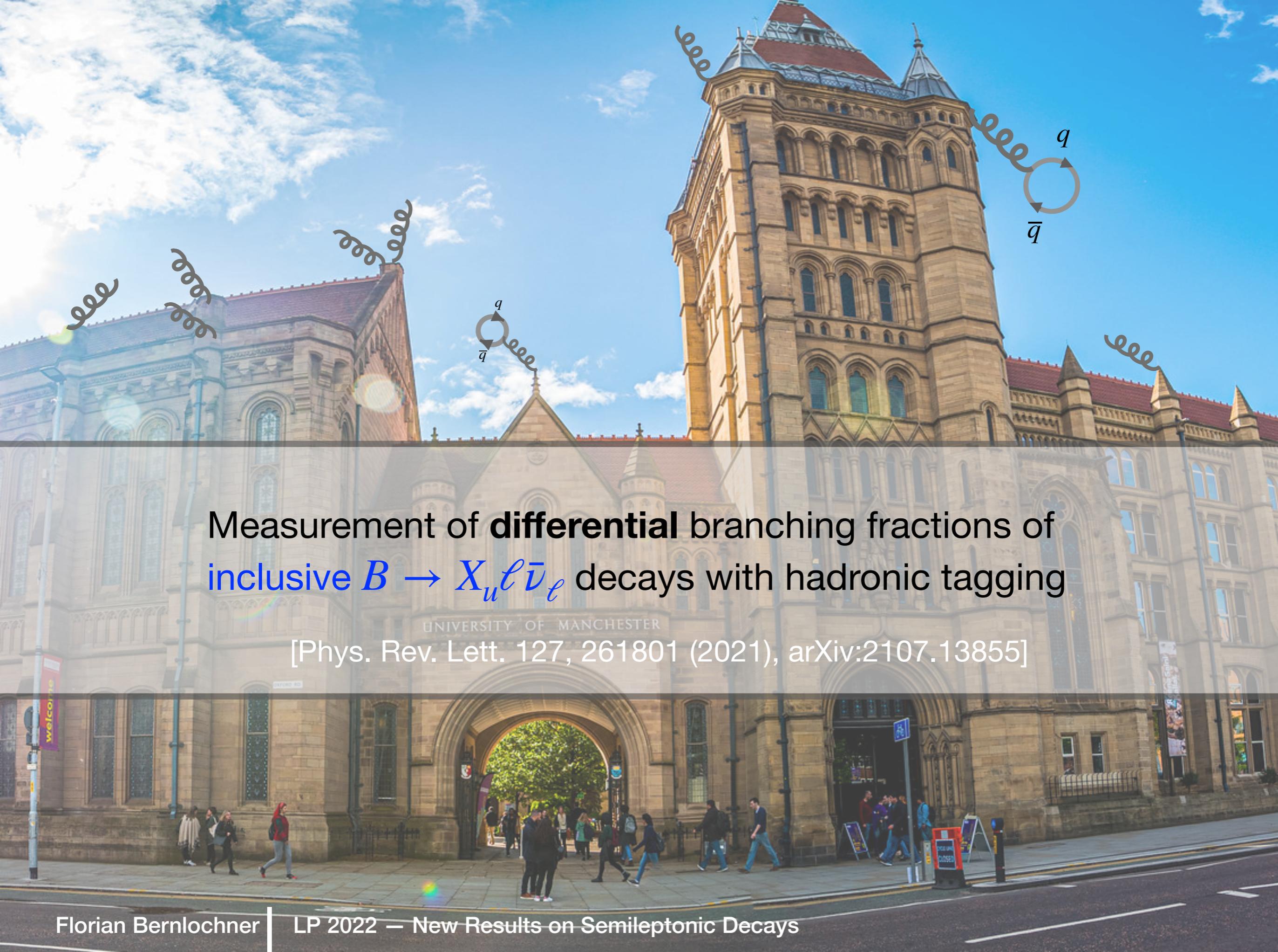
$$|V_{ub}| = (4.10 \pm 0.09 \pm 0.22 \pm 0.15) \times 10^{-3}$$

Stability as a function of BDT cut:



33% more Bkg

37% less Bkg

The background of the slide is a photograph of a large, ornate Gothic-style building, the University of Manchester, under a blue sky with scattered clouds. Several Feynman diagrams are overlaid on the image. These diagrams consist of wavy lines representing photons and circular loops representing quark-antiquark pairs. The quark loops are labeled with 'q' and 'q-bar'. The diagrams are positioned at various points on the building's facade, including the roofline and the central tower.

Measurement of **differential** branching fractions of  
inclusive  $B \rightarrow X_u \ell \bar{\nu}_\ell$  decays with hadronic tagging

[Phys. Rev. Lett. 127, 261801 (2021), arXiv:2107.13855]

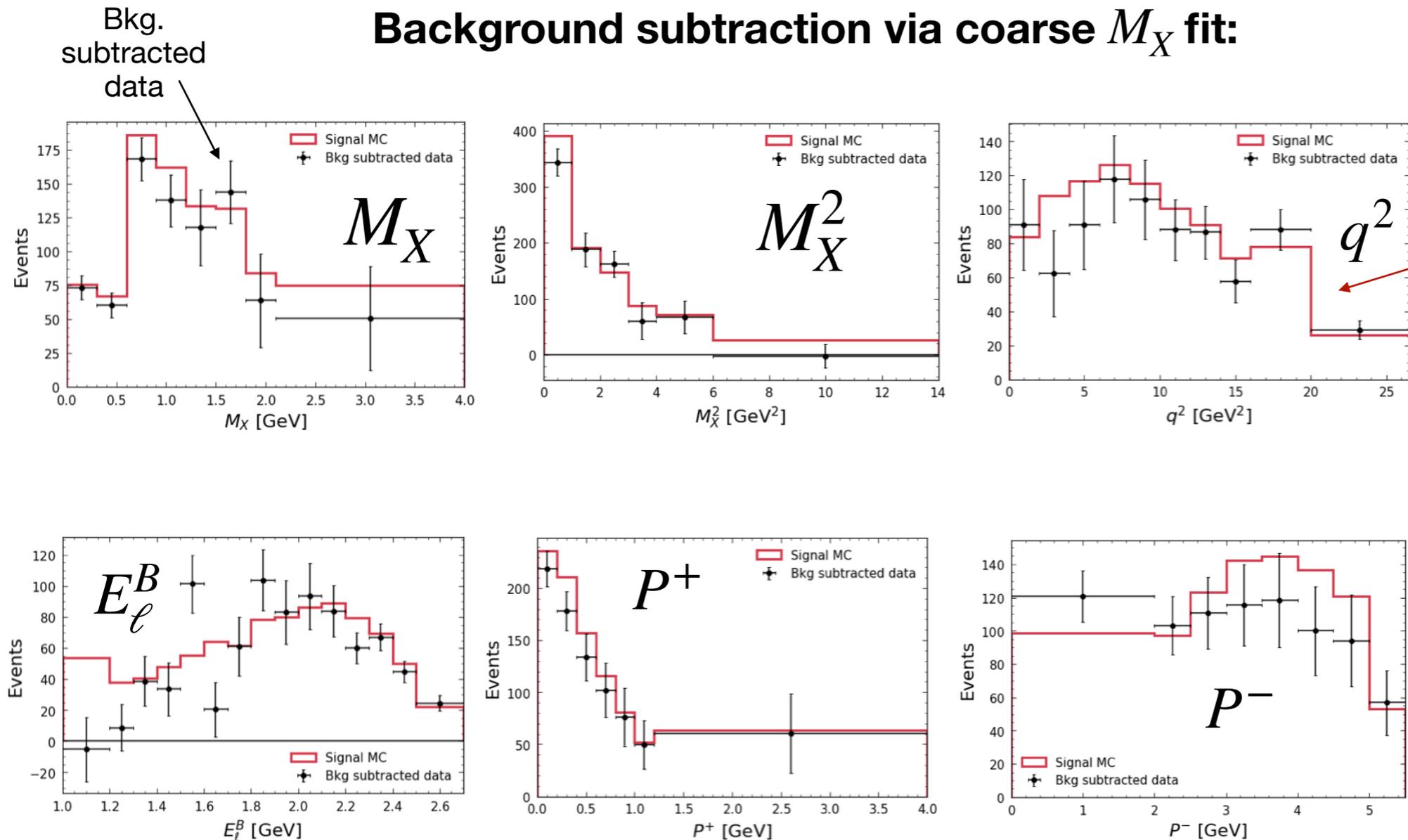
# Differential BF of inclusive $B \rightarrow X_u \ell \bar{\nu}_\ell$

Measurement of **6 kinematic** variables characterizing  $B \rightarrow X_u \ell \bar{\nu}_\ell$  in  $E_\ell^B > 1$  GeV region of PS

Selection and reconstruction **analogous to partial BF** measurement

Apply **additional selections to improve resolution and background shape uncertainties**

## Background subtraction via coarse $M_X$ fit:



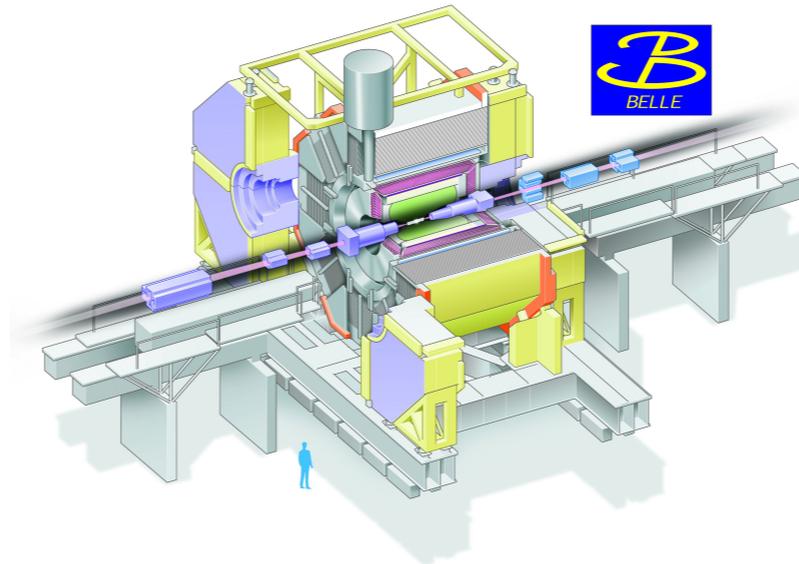
Overlaid **signal MC**  
(hybrid  $B \rightarrow X_u \ell \bar{\nu}_\ell$ )

light-cone momenta:  
 $P_\pm = E_X \mp |P_X|$

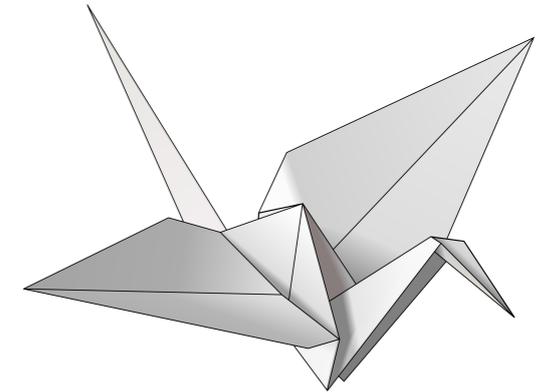
# Unfolding



**X: True distribution**



**M: Detector response**

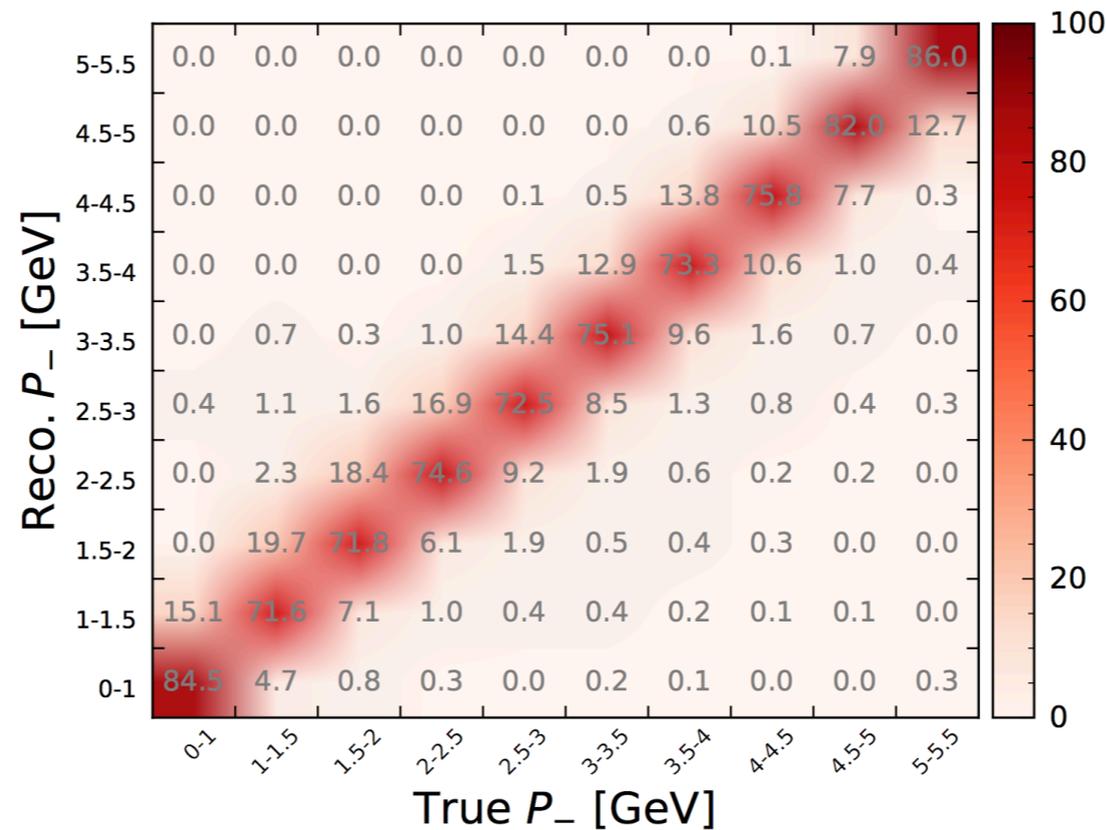


**Y: Measured distribution**

by L. Cao

Detector response is represented by migration matrix **M**

**M(i,j)** indicate probability to reconstruct event in bin **i** if it had generator-level value in bin **j**



Direct solution to obtain **X**:

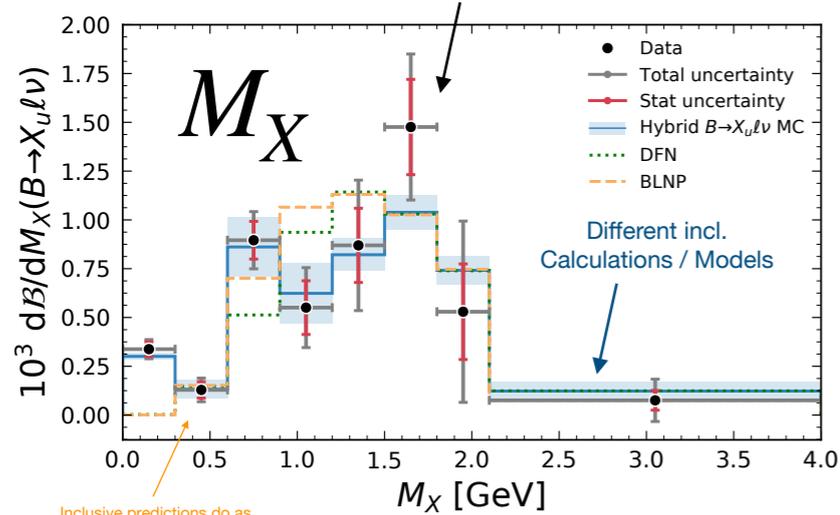
$$X = M^{-1}Y$$

Use **Singular-Value-Decomposition (SVD)** unfolding

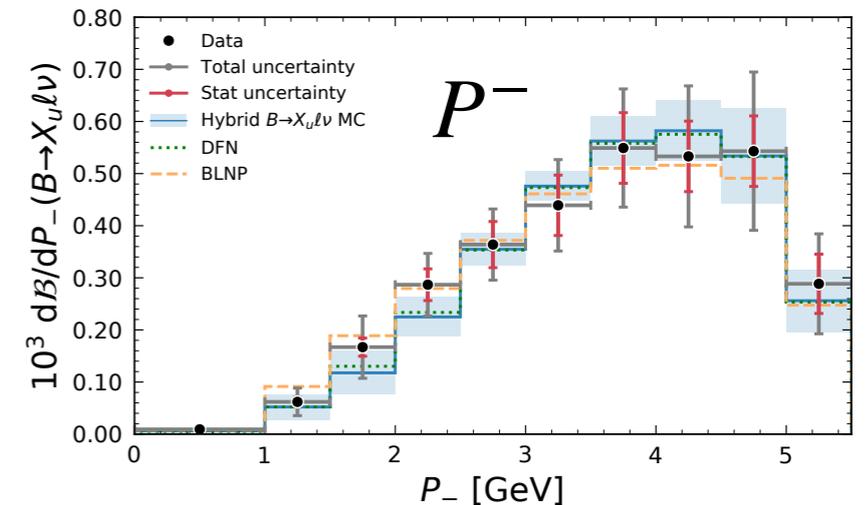
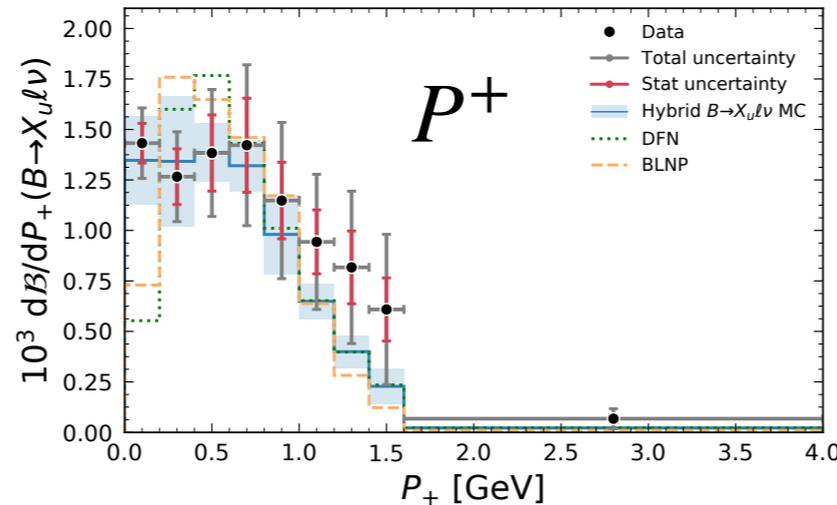
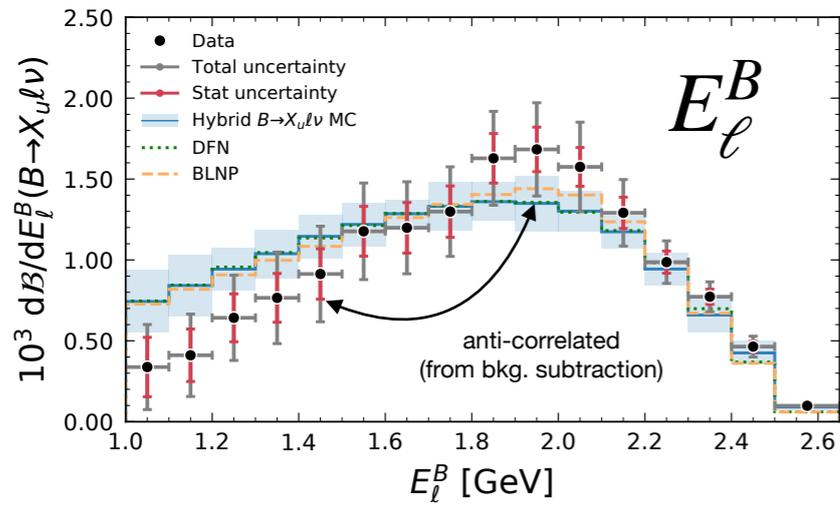
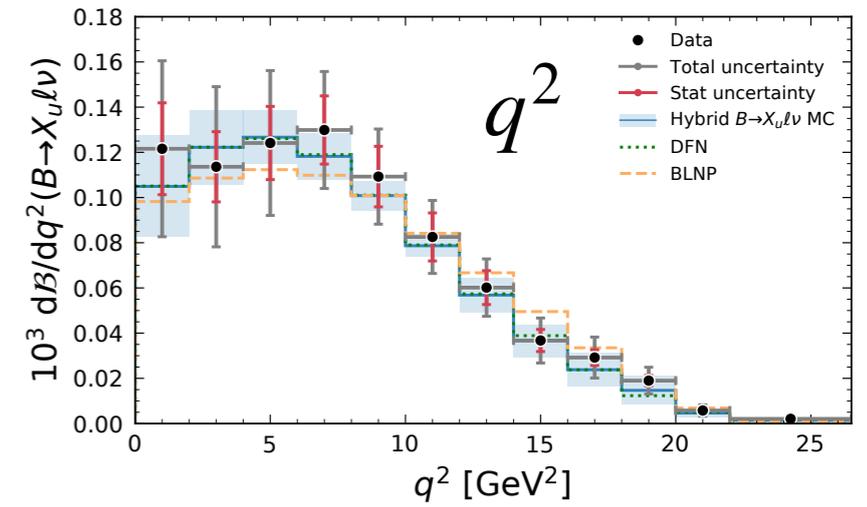
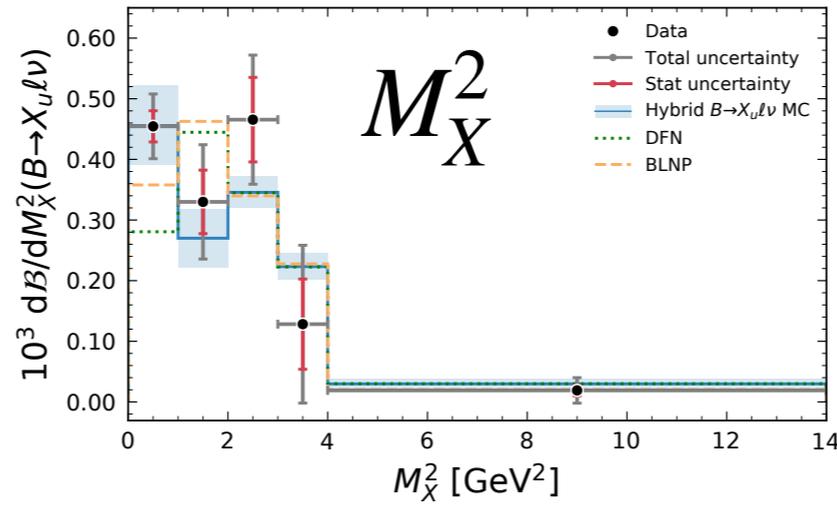
[NIMA 372:469 (1996)]

# Differential Spectra

Unfolded + acceptance corrected distributions with total Error / Stat. Error



Inclusive predictions do as expected not describe low  $M_X$  resonance region well

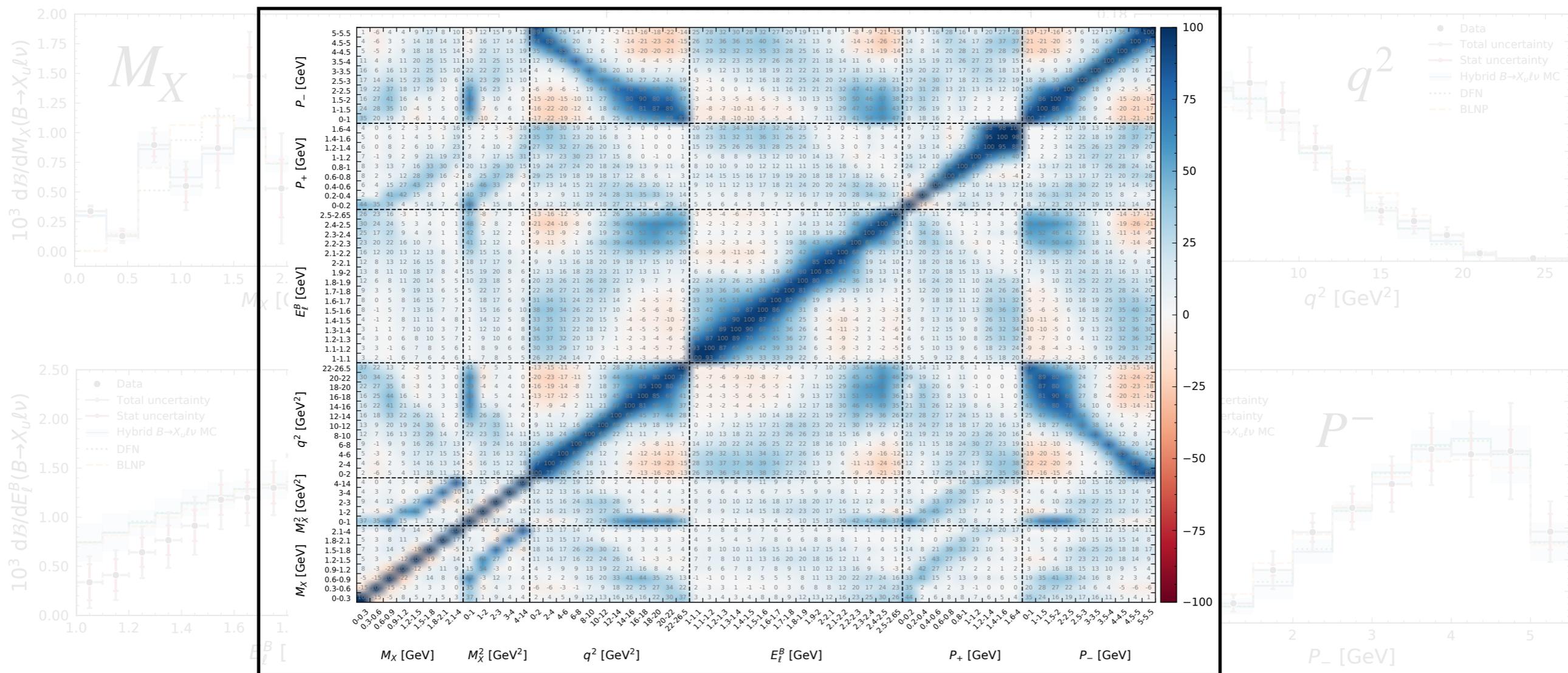


Agreement  
(w/o theory uncertainties)

$\chi^2$	$E_\ell^B$	$M_X$	$M_X^2$	$q^2$	$P_+$	$P_-$
n.d.f.	16	8	5	12	9	10
Hybrid	13.5	2.5	2.6	4.5	1.7	5.2
DFN	16.2	63.2	13.1	18.5	29.3	6.1
BLNP	16.5	61.0	6.3	20.6	23.6	13.7

# Differential Spectra

## Full experimental correlations

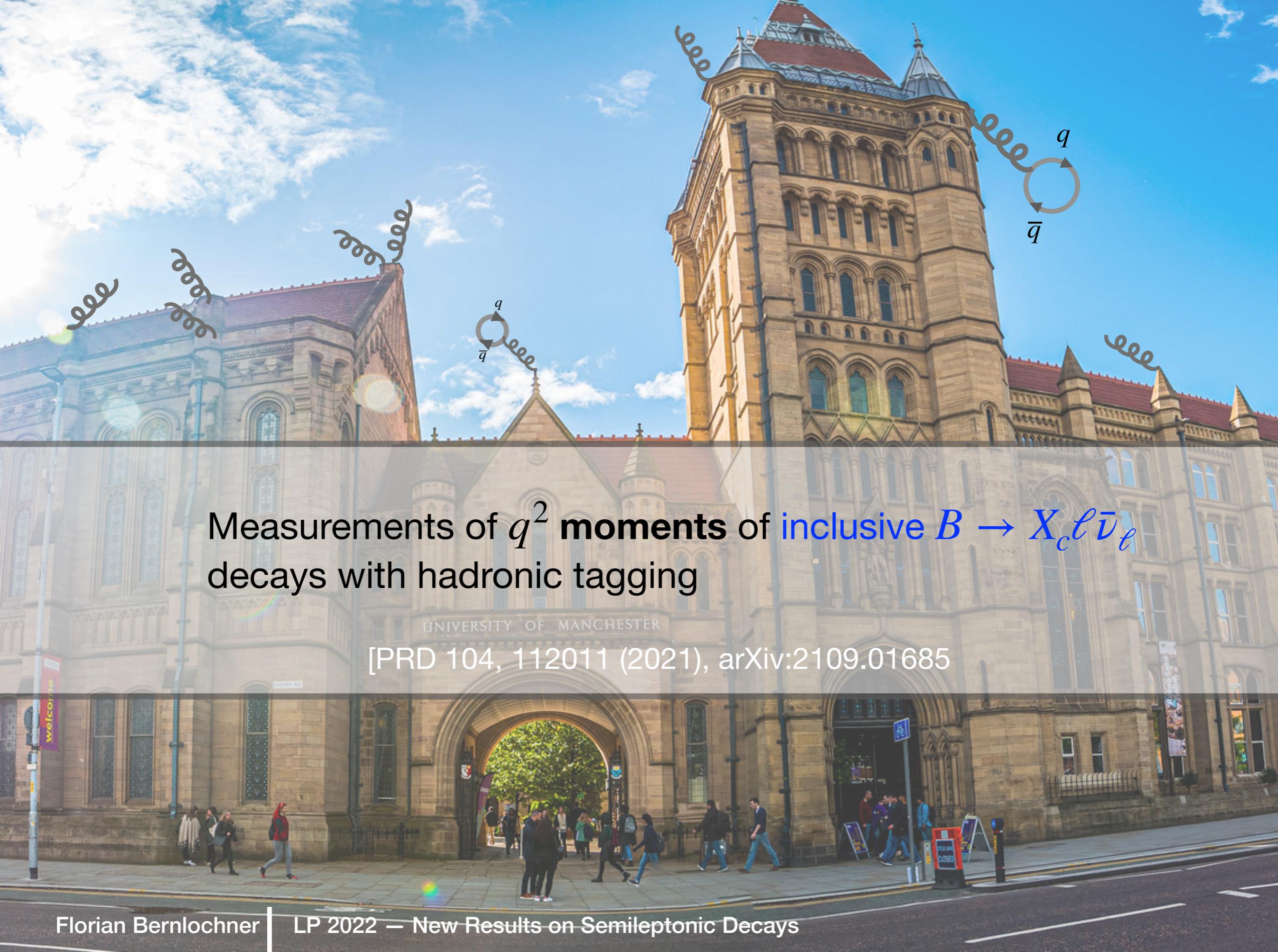


Can be used for future  
shape-function  
independent  $|V_{ub}|$   
determinations



P. Gambino, K. Healey, C. Mondino,  
Phys. Rev. D 94, 014031 (2016),  
[arXiv:1604.07598]

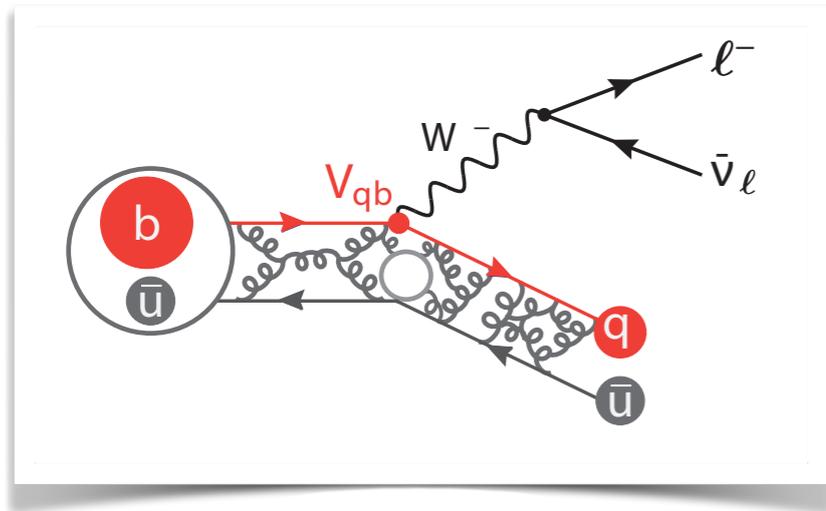
F. Bernlochner, H. Lacker, Z. Ligeti, I.  
Stewart, F. Tackmann, K. Tackmann  
Phys. Rev. Lett. 127, 102001 (2021)  
[arXiv:2007.04320]



Measurements of  $q^2$  moments of inclusive  $B \rightarrow X_c \ell \bar{\nu}_\ell$  decays with hadronic tagging

[PRD 104, 112011 (2021), arXiv:2109.01685]

# Measurement of $q^2$ moments of $B \rightarrow X_c \ell \bar{\nu}_\ell$



Inclusive  $|V_{cb}|$

$$\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$$

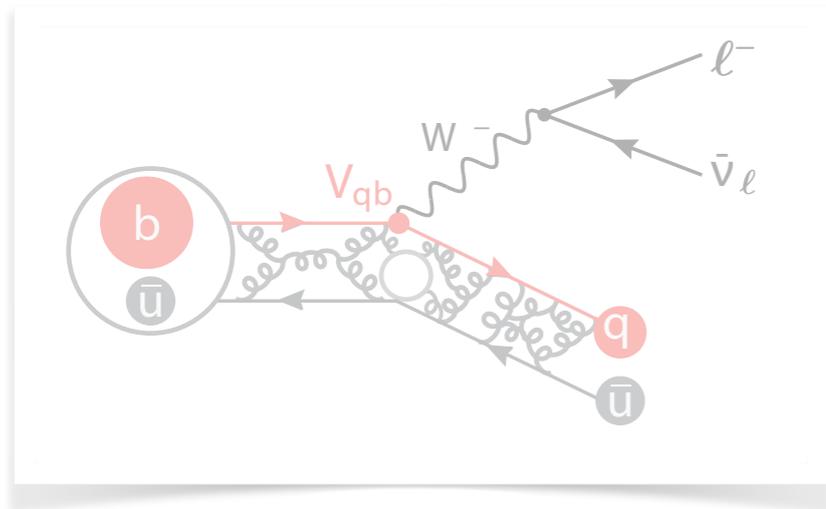
Operator Product Expansion (OPE)

$$\mathcal{B} = |V_{qb}|^2 \left[ \Gamma(b \rightarrow q \ell \bar{\nu}_\ell) + 1/m_{c,b} + \alpha_s + \dots \right]$$

**Traditional approach:** Use hadronic mass moments, lepton energy moments etc. to determine **non-perturbative matrix elements (ME)** of OPE and extract  $|V_{cb}|$

**Bad news:** number of these matrix elements increases if one increases expansion in  $1/m_{b,c}$

# Measurement of $q^2$ moments of $B \rightarrow X_c \ell \bar{\nu}_\ell$



Inclusive  $|V_{cb}|$

$$\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$$

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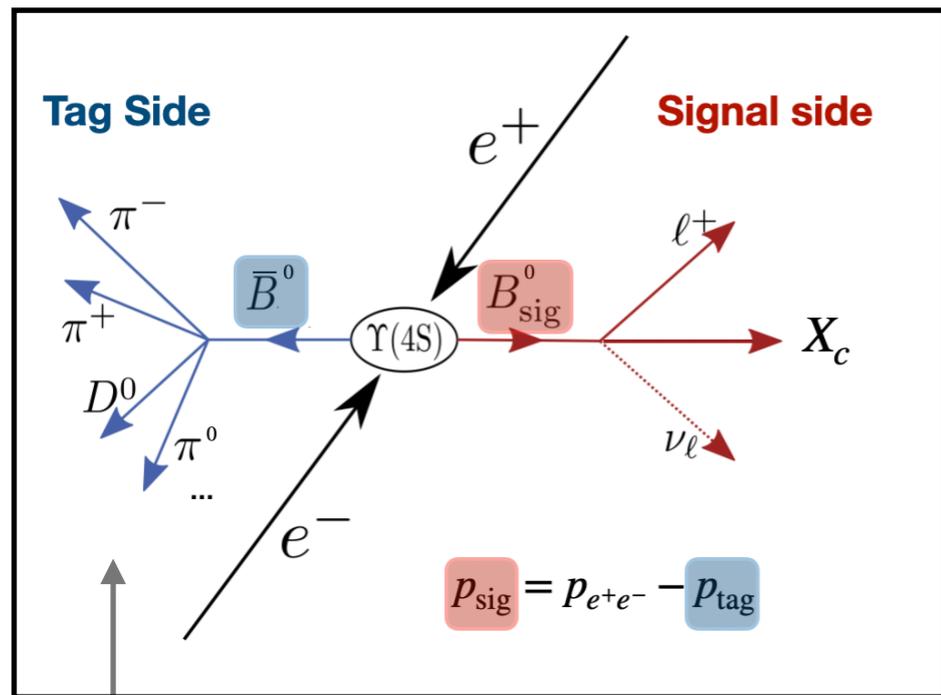
Fresh idea from [\[arXiv:1812.07472\]](https://arxiv.org/abs/1812.07472) (M. Fael, T. Mannel, K. Vos)

→ Number of ME reduce by exploiting reparametrization invariance, but **not true for every observable** (e.g. not for  $\langle M_X \rangle$ )

But it **holds** for  $\langle q^2 \rangle$  and at  $1/m_b^4$  the # of ME reduces from **13** → **8(!)**

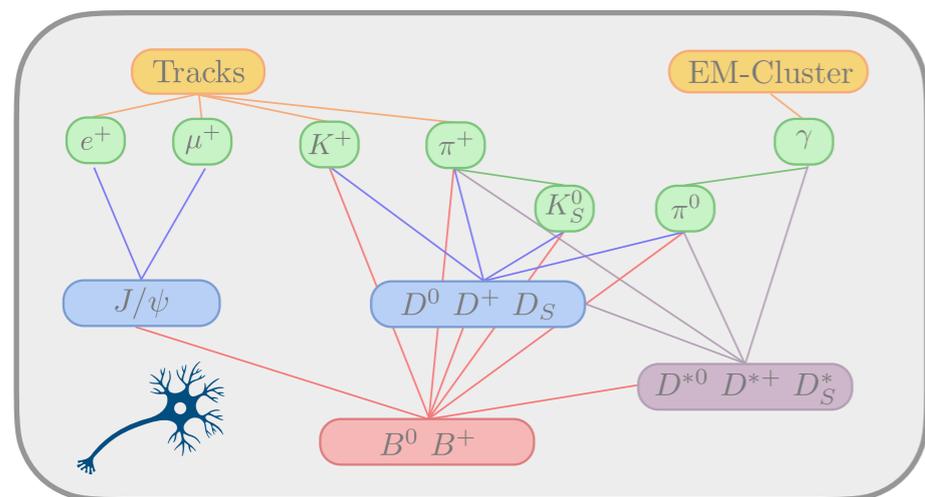
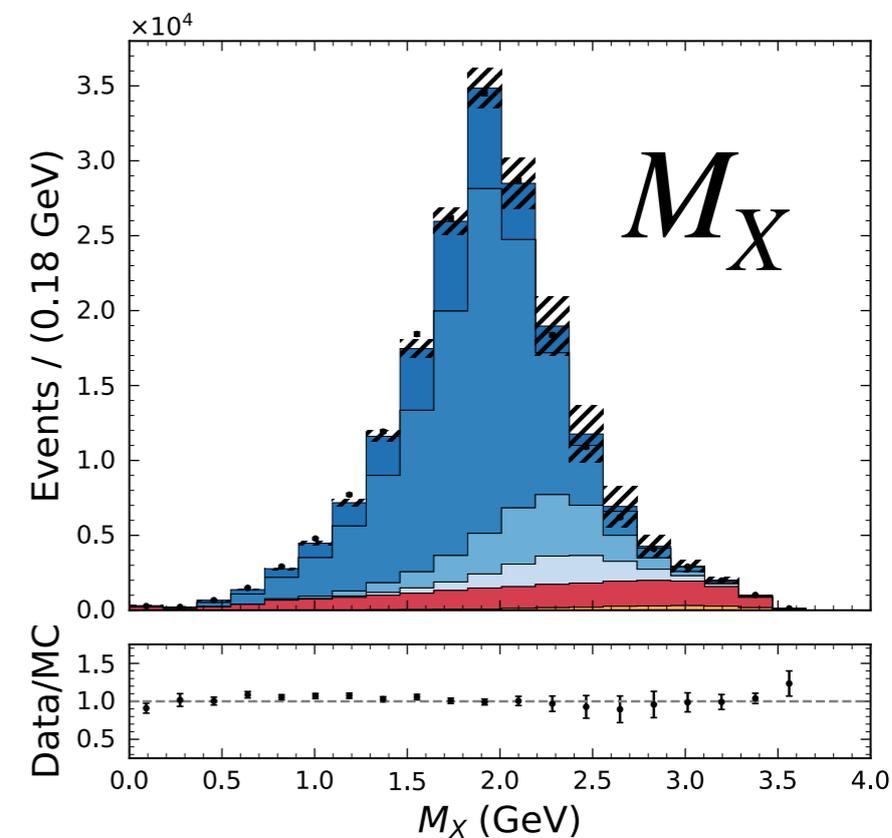
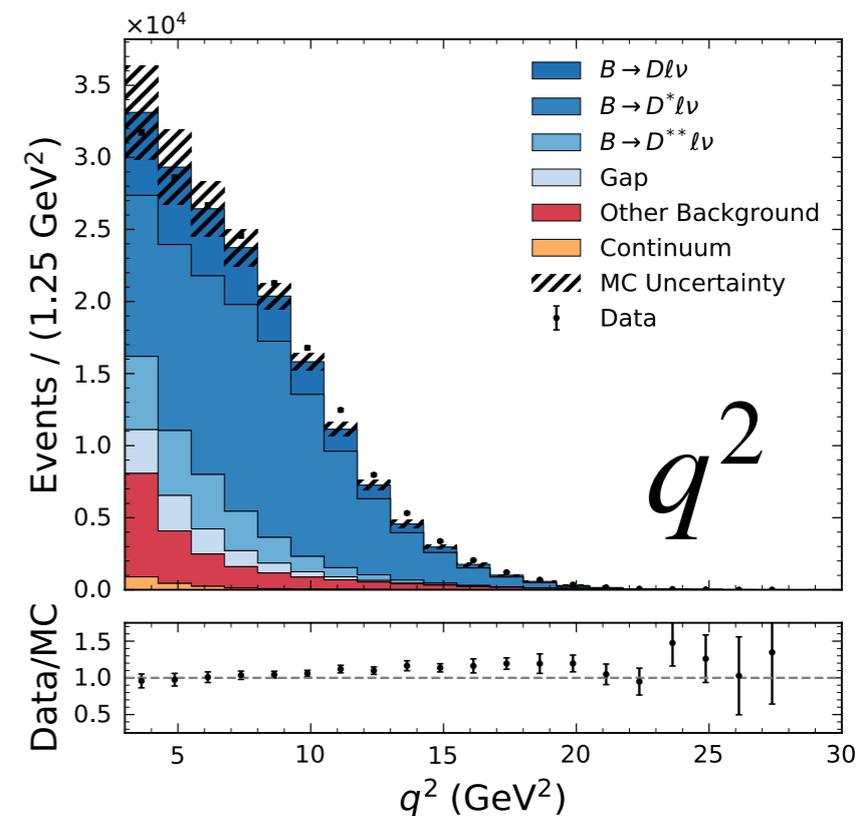
# Measurement of $q^2$ moments of $B \rightarrow X_c \ell \bar{\nu}_\ell$

Key-technique: hadronic tagging



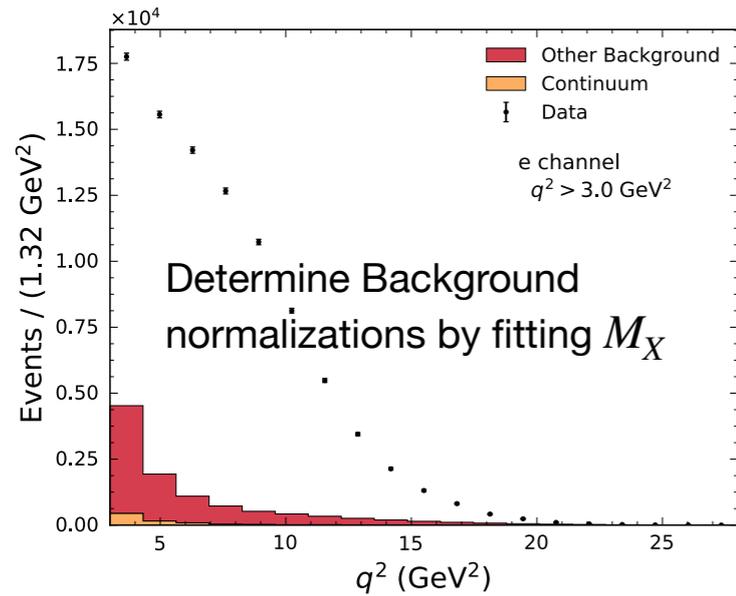
Can identify  $X_c$  constituents

$$q^2 = (p_{\text{sig}} - p_{X_c})^2$$

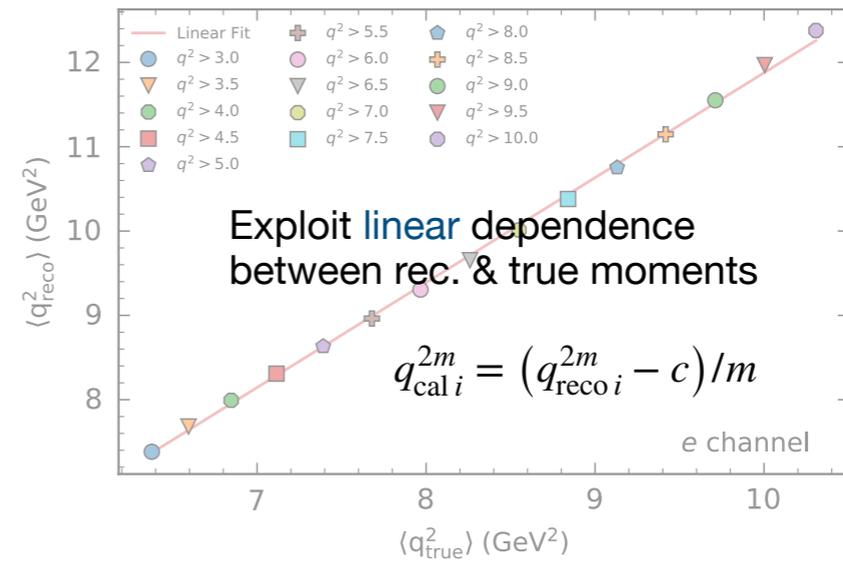


$$M_X = \sqrt{(p_{X_c})_\mu (p_{X_c})^\mu}$$

# Moment determination



Step #1: Subtract Background

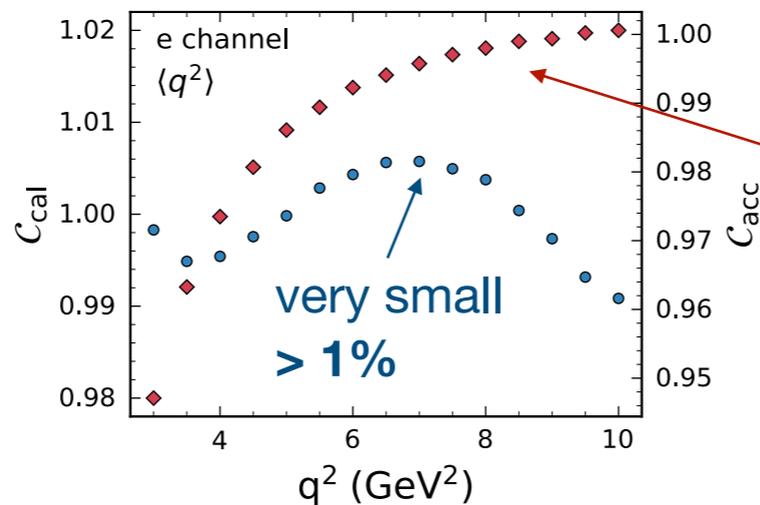


Step #2: Calibrate moment

## Event-wise Master-formula

$$\langle q^{2m} \rangle = \frac{C_{cal} \cdot C_{acc}}{\sum_i \text{events} w(q_i^2)} \times \sum_i \text{events} w(q_i^2) \cdot q_{cal i}^{2m}$$

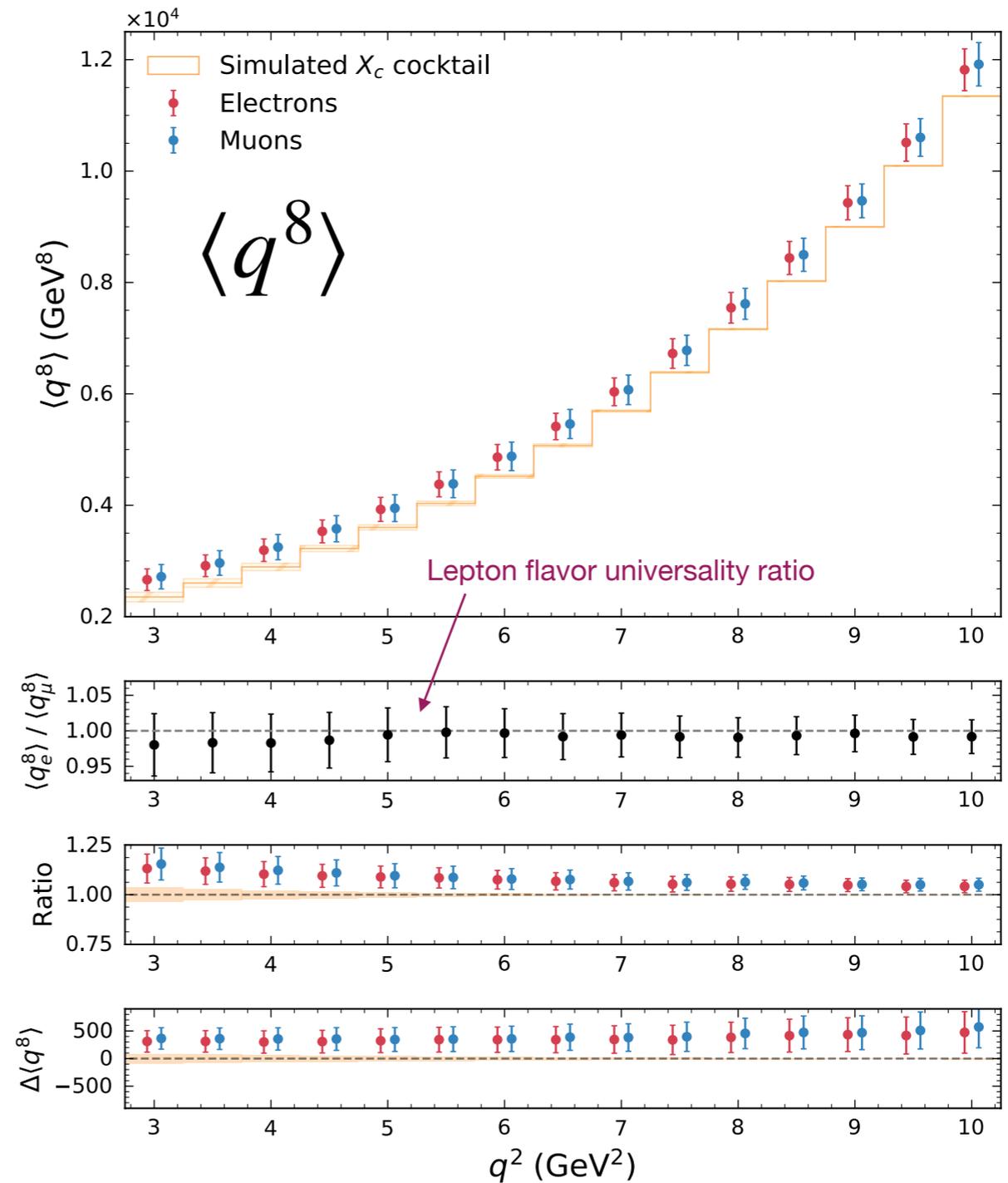
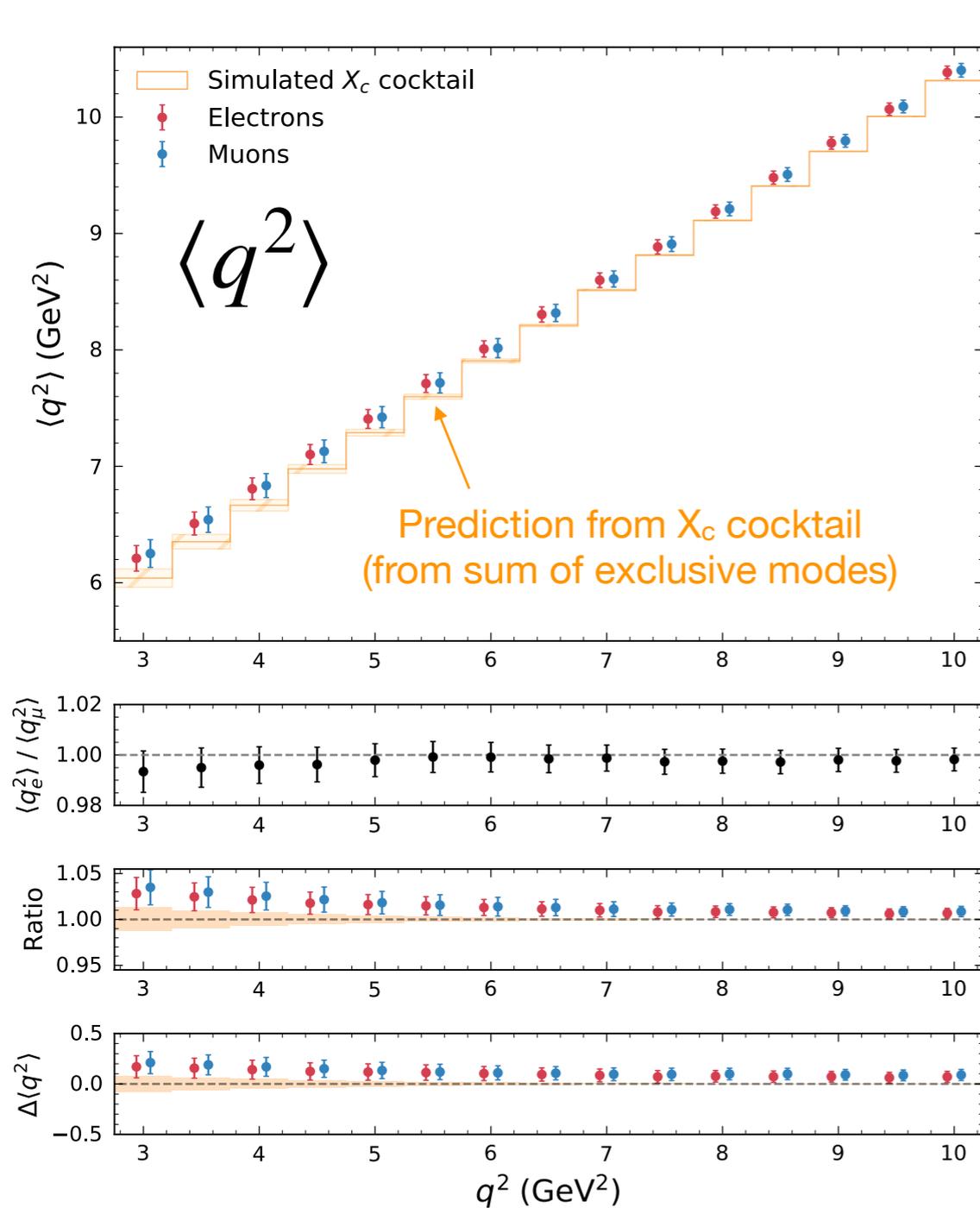
Step #3: If you fail, try again

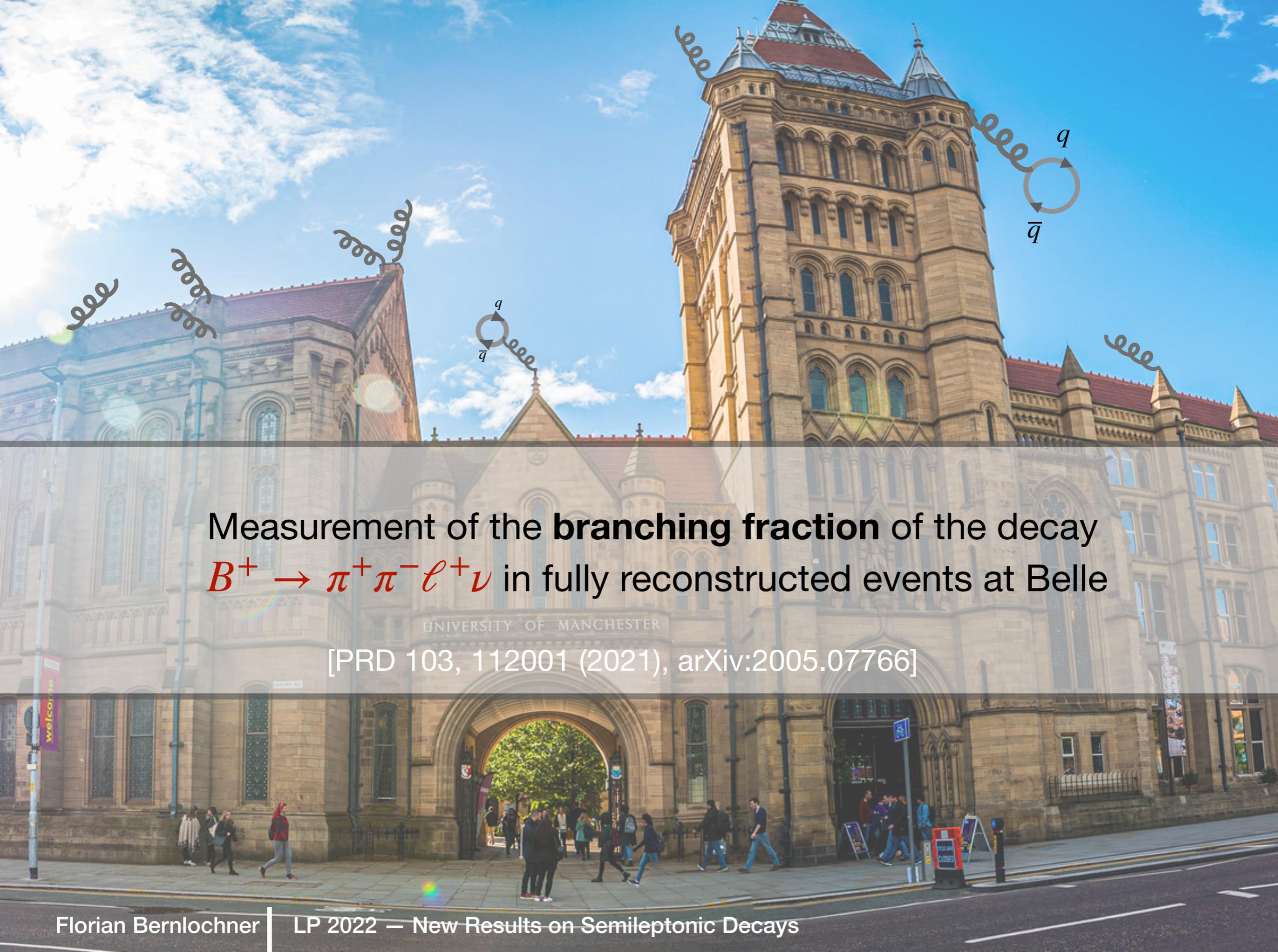


Step #4: Correct for selection effects

Overall event reconstruction itself also **biases** measured moment by **1-2%**

# Measured Moments: $\langle q^2 \rangle - \langle q^8 \rangle$





Measurement of the **branching fraction** of the decay  
 $B^+ \rightarrow \pi^+ \pi^- \ell^+ \nu$  in fully reconstructed events at Belle

[PRD 103, 112001 (2021), arXiv:2005.07766]



# Result

First measurement of **differential**  $B^+ \rightarrow \pi^+ \pi^- \ell^+ \bar{\nu}_\ell$  **BF** with full mass spectrum:

- includes **resonant** ( $\rho, f_2, \dots$ ) and **non-resonant**  $\pi\pi$ -contributions
- Measured as a function of  $M_{\pi\pi}$ ,  $q^2$  and  $M_{\pi\pi} : q^2$

Systematically **dominated** due to large **signal modelling uncertainties**

Clear evidence for additional **resonant & non-resonant contributions**, i.e. observe excess over  $B^+ \rightarrow \rho^0 \ell^+ \nu_\ell$

$$\mathcal{B}(B^+ \rightarrow \rho^0 \ell^+ \nu_\ell) = (18.3 \pm 1.0 \pm 1.0) \times 10^{-3}$$

$$\mathcal{B}(B^+ \rightarrow \pi^+ \pi^- \ell^+ \nu_\ell) = (22.7 \pm 1.9 \pm 3.5) \times 10^{-3}$$

$$\mathcal{B}(B^+ \rightarrow \pi^+ \pi^- \ell^+ \nu_\ell)[1D(M_{\pi\pi})]$$

$$= [22.3^{+2.0}_{-1.8}(\text{stat}) \pm 4.0(\text{syst})] \times 10^{-5}$$

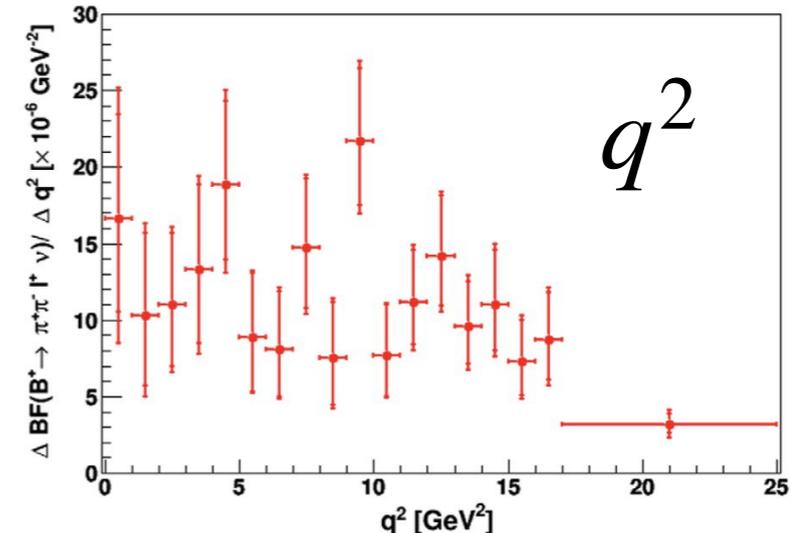
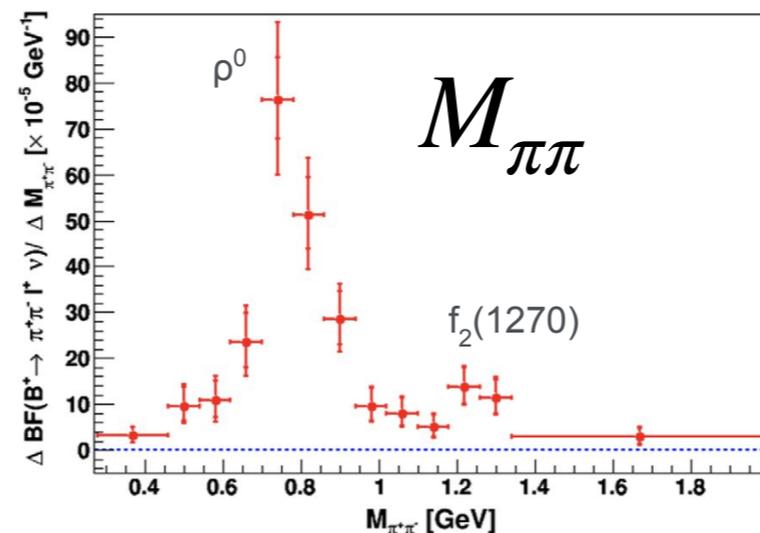
$$\mathcal{B}(B^+ \rightarrow \pi^+ \pi^- \ell^+ \nu_\ell)[1D(q^2)]$$

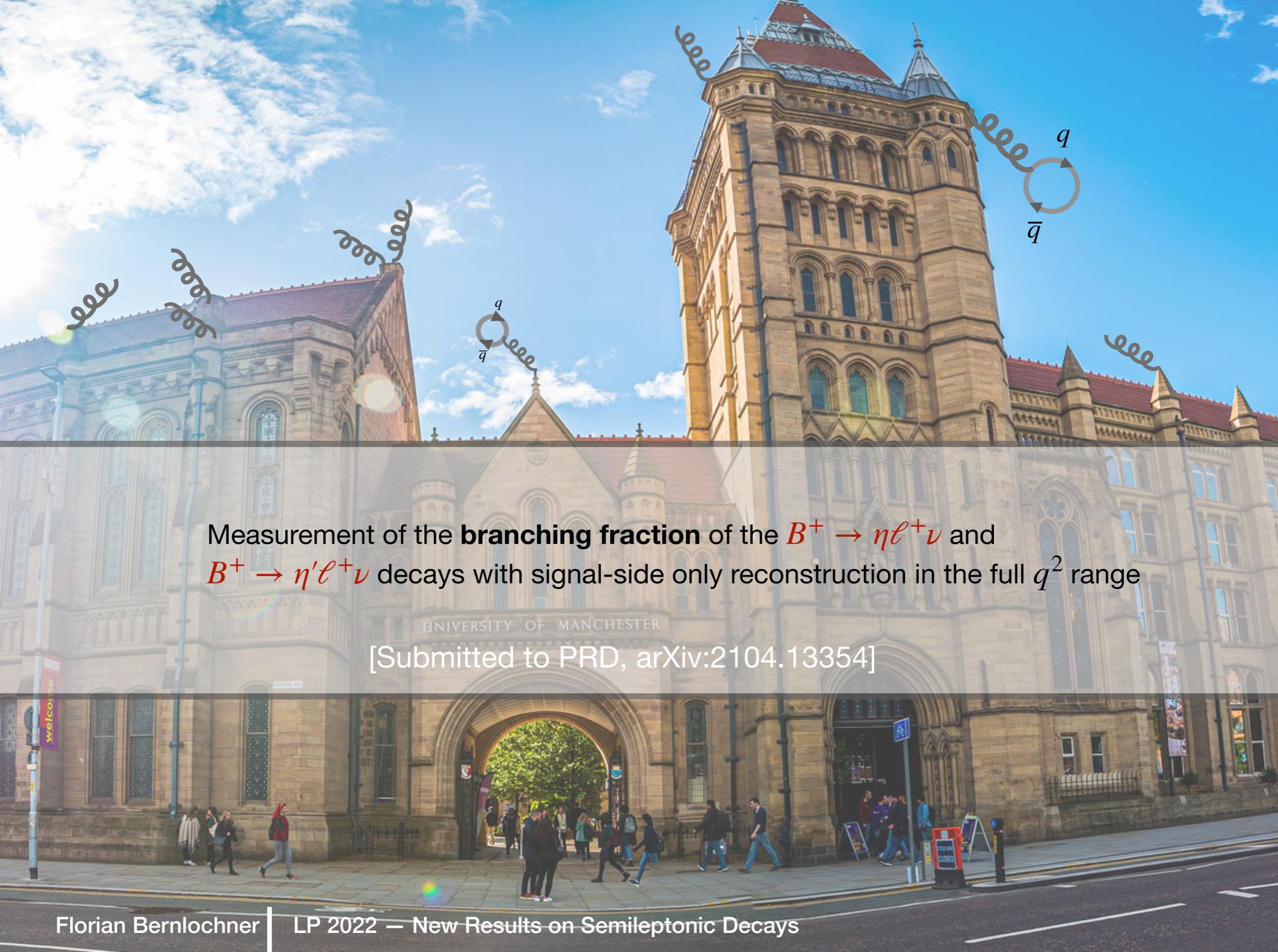
$$= [22.7^{+1.9}_{-1.6}(\text{stat}) \pm 3.5(\text{syst})] \times 10^{-5}$$

$$\mathcal{B}(B^+ \rightarrow \pi^+ \pi^- \ell^+ \nu_\ell)[2D]$$

$$= [23.0^{+2.0}_{-1.8}(\text{stat}) \pm 3.0(\text{syst})] \times 10^{-5}$$

Partial branching fractions  
with 1D( $M_{\pi\pi}$ ) and 1D( $q^2$ ) config.





Measurement of the **branching fraction** of the  $B^+ \rightarrow \eta \ell^+ \nu$  and  $B^+ \rightarrow \eta' \ell^+ \nu$  decays with signal-side only reconstruction in the full  $q^2$  range

[Submitted to PRD, arXiv:2104.13354]

# Results

$$\cos \theta_{B-\eta\ell} = \frac{2E_B E_{\eta\ell} - m_B^2 - m_{\eta\ell}^2}{2|p_B||p_{\eta\ell}|}$$

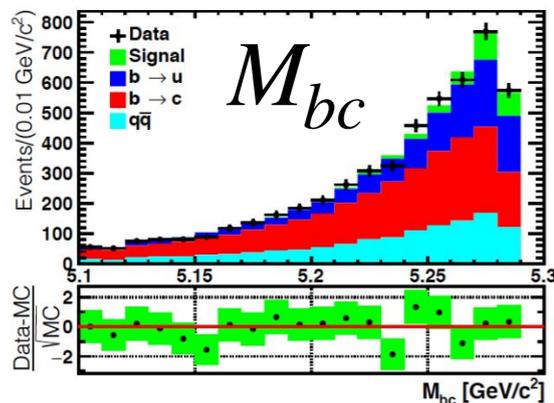
Use **full Belle** data set without tagging in 3 channels:  $\eta \rightarrow \gamma\gamma, \eta \rightarrow \pi^+\pi^-\pi^0, \eta' \rightarrow \pi^+\pi^-\eta$

**Suppress background** via two BDTs and select events with  $|\cos \theta_{B-\eta\ell}| < 1$

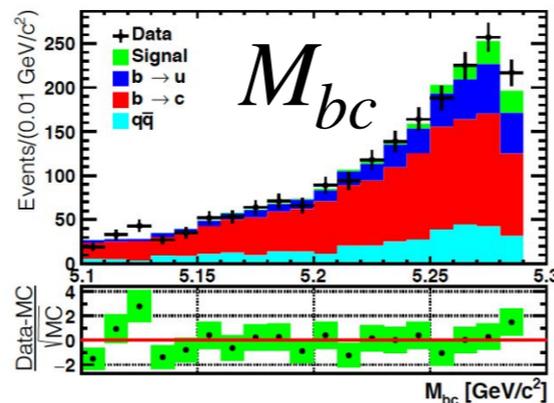
**Signal yield extracted** via ML fit in 2D with  $M_{bc} : \Delta E$

Preliminary

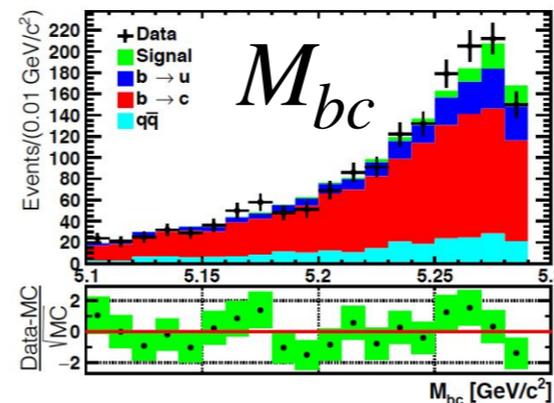
Post-fit projections



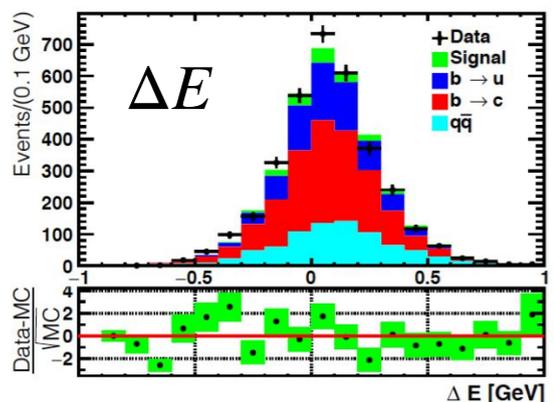
(a)  $M_{bc}(\eta \rightarrow \gamma\gamma)$



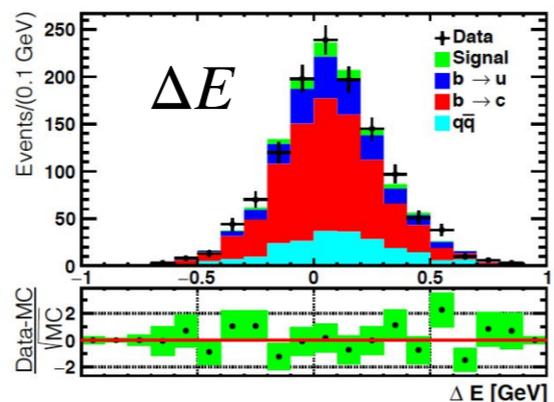
(b)  $M_{bc}(\eta \rightarrow \pi^+\pi^-\pi^0)$



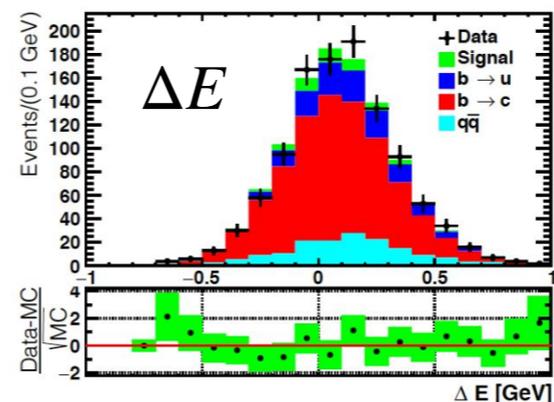
(c)  $M_{bc}(\eta' \rightarrow \pi^+\pi^-\eta(\gamma\gamma))$



(d)  $\Delta E(\eta \rightarrow \gamma\gamma)$



(e)  $\Delta E(\eta \rightarrow \pi^+\pi^-\pi^0)$



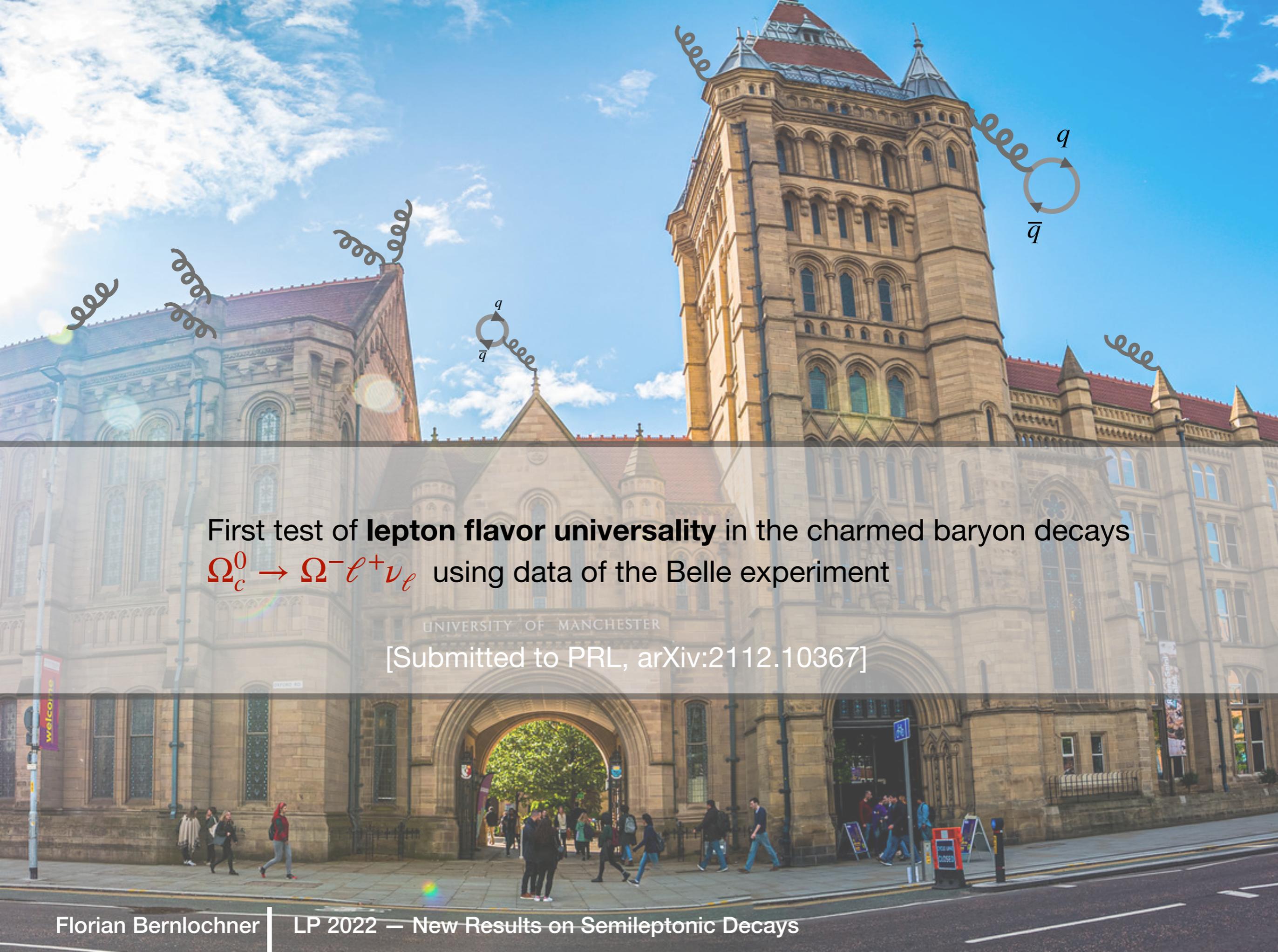
(f)  $\Delta E(\eta' \rightarrow \pi^+\pi^-\eta(\gamma\gamma))$

$$\mathcal{B}(B^+ \rightarrow \eta\ell\bar{\nu}_\ell)$$

$$= (2.83 \pm 0.55 \pm 0.34) \times 10^{-5}$$

$$\mathcal{B}(B^+ \rightarrow \eta'\ell\bar{\nu}_\ell)$$

$$= (2.79 \pm 1.29 \pm 0.30) \times 10^{-5}$$

The background is a photograph of the University of Manchester building, a large Gothic-style stone structure with a prominent central tower. Overlaid on the image are several Feynman diagrams. On the left, a quark line (represented by a wavy line) enters from the left and splits into a lepton and a neutrino. In the center, a quark line enters from the left and splits into a lepton and a neutrino, with a quark-antiquark pair loop (represented by a circle with arrows) above the quark line. On the right, a quark line enters from the left and splits into a lepton and a neutrino, with a quark-antiquark pair loop (represented by a circle with arrows) to the right of the quark line. The text "First test of lepton flavor universality in the charmed baryon decays" is centered over the image, followed by the decay equation  $\Omega_c^0 \rightarrow \Omega^- \ell^+ \nu_\ell$  and "using data of the Belle experiment".

First test of **lepton flavor universality** in the charmed baryon decays  
 $\Omega_c^0 \rightarrow \Omega^- \ell^+ \nu_\ell$  using data of the Belle experiment

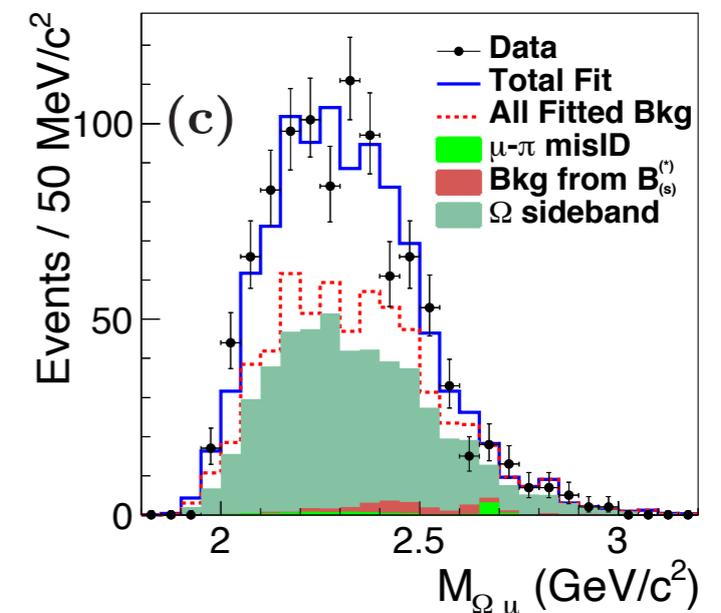
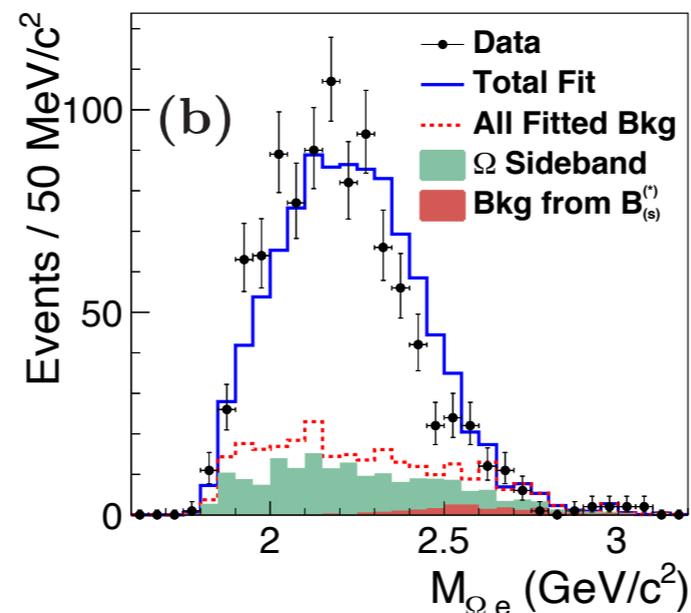
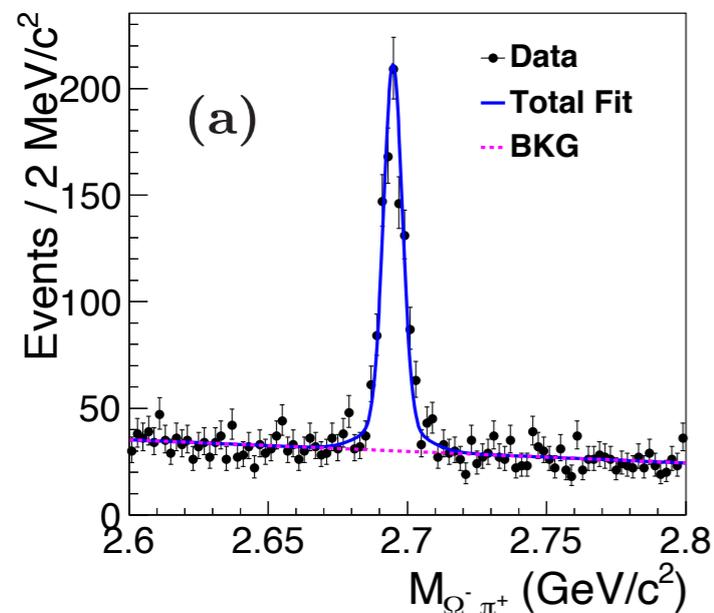
[Submitted to PRL, arXiv:2112.10367]

# Results

Use **full Belle** data set of on-resonance and off-resonance data;  
 Production process is  $e^+e^- \rightarrow c\bar{c} \rightarrow \Omega_c^0 + \text{anything}$

**Signal yield extracted** via ML fit of  $M_{\Omega\ell}$  and normalized to  $\Omega_c^- \rightarrow \Omega^- \pi^+$

First observation of  $\Omega_c^- \rightarrow \Omega^- \mu^+ \bar{\nu}_\mu$



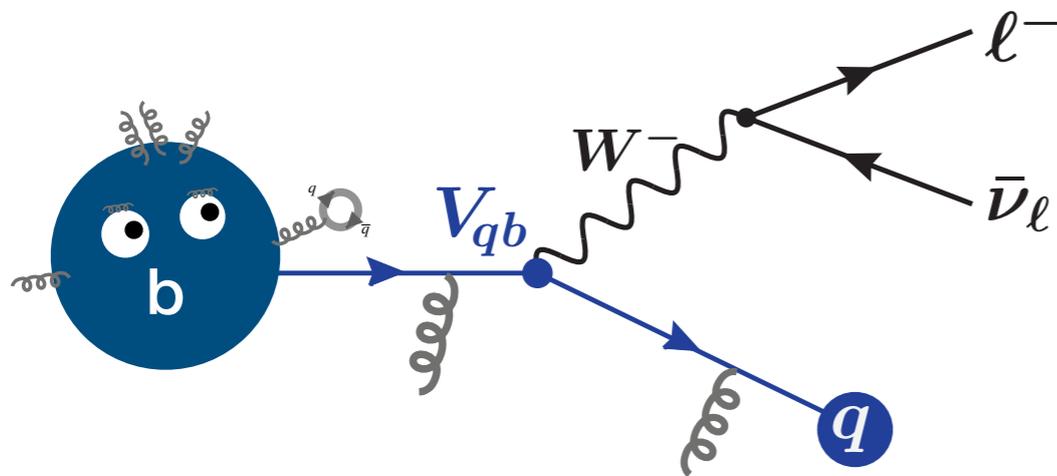
Observe ratio of  $\frac{\mathcal{B}(\Omega_c^0 \rightarrow \Omega^- e^+ \nu_e)}{\mathcal{B}(\Omega_c^0 \rightarrow \Omega^- \mu^+ \nu_\mu)} = 1.02 \pm 0.10 \pm 0.02$

$$\frac{\mathcal{B}(\Omega_c^0 \rightarrow \Omega^- e^+ \nu_e)}{\mathcal{B}(\Omega_c^0 \rightarrow \Omega^- \mu^+ \nu_\mu)} = 1.03$$

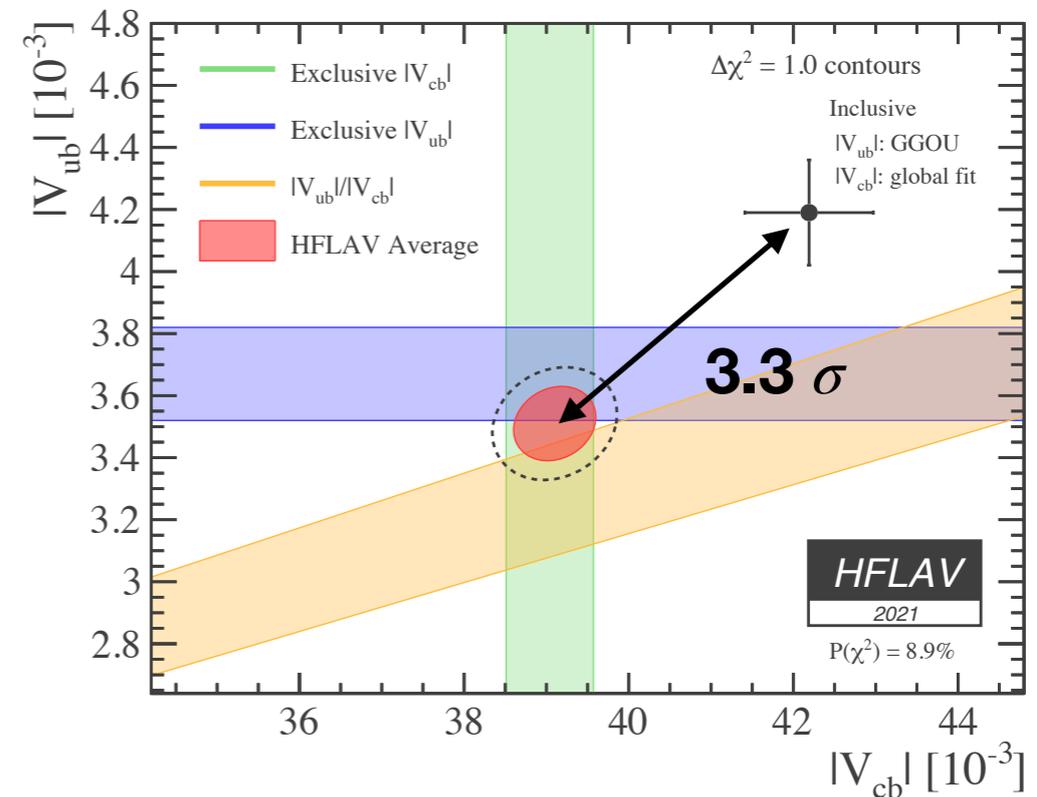
F. Huang, Q-A Zang  
 [arXiv:2108.06110]

# Summary

He may look cute, but that might be deceiving...



... Long-standing discrepancy since about a decade



**Belle very active** and produced several recent results:

- ▶ Discrepancy between exclusive & inclusive  $|V_{ub}|$  is reduced by recent BF measurements
- ▶ First systematic measurements of differential  $B \rightarrow X_u \ell \bar{\nu}_\ell$  branching fractions provide input for future, less model-independent  $|V_{ub}|$  determinations
- ▶ First measurement of  $B \rightarrow X_c \ell \bar{\nu}_\ell$   $q^2$  moments; new observable for inclusive  $|V_{cb}|$
- ▶ First measurements of  $B \rightarrow \pi\pi \ell \bar{\nu}_\ell$  mass spectrum and  $q^2$  distribution; potentially new channel for exclusive  $|V_{ub}|$
- ▶ New measurements of  $B \rightarrow \eta^{(\prime)} \ell \bar{\nu}_\ell$  and LFU test with  $\Omega_C \rightarrow \Omega \ell \bar{\nu}_\ell$