

# Astrophysical and Atmospheric Neutrinos

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Intro to Neutrino Astronomy

Diffuse astrophysical  $\nu$

Toward astrophysical sources

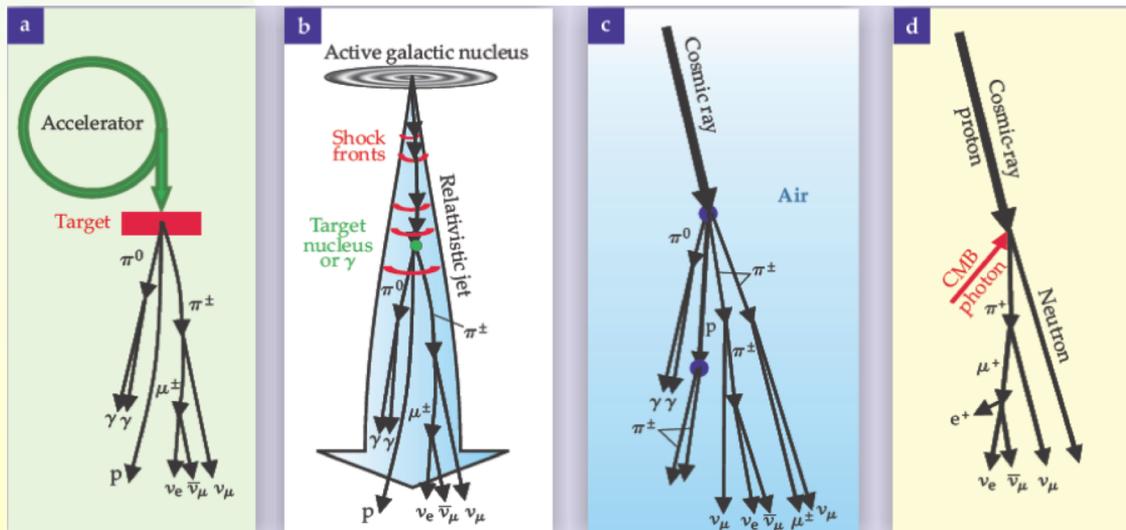
Particle physics from high-energy natural  $\nu$

Looking ahead

Throughout, I will try to  
give only post-trials  
significances

# Astrophysical $\nu$

- Believed produced in beam dumps of astrophysical accelerators
- Fermi acceleration on shock fronts Olivier Deligny's talk
  - ◆ More exotic mechanisms seem less likely
  - ◆ Energy spectrum  $dN_p/dE_n \sim E_n^{-2}$ 
    - ✦ Somewhat softer in more sophisticated models
- Beam dump may be matter (protons) or photons
  - ◆  $p$  &  $\gamma$  lead to different  $\pi^+/\pi^-$  ratio and energy spectra
- At high-energy, production occurs via  $p\gamma \rightarrow \Delta^+$  reaction on cosmic microwave background radiation



# Natural Neutrino Energy Spectrum

- Atmospheric  $\nu$  from cosmic-ray air showers
  - ◆ Fairly well understood, useful to study  $\nu$  properties
  - ◆ Background to astrophysical  $\nu$
- Astrophysical  $\nu$ , presumably from astrophysical sources
- Cosmogenic  $\nu$ , from  $p+\gamma \rightarrow \Delta^+ \rightarrow \pi^+n$ 
  - ◆  $\gamma$  from cosmic-microwave background radiation

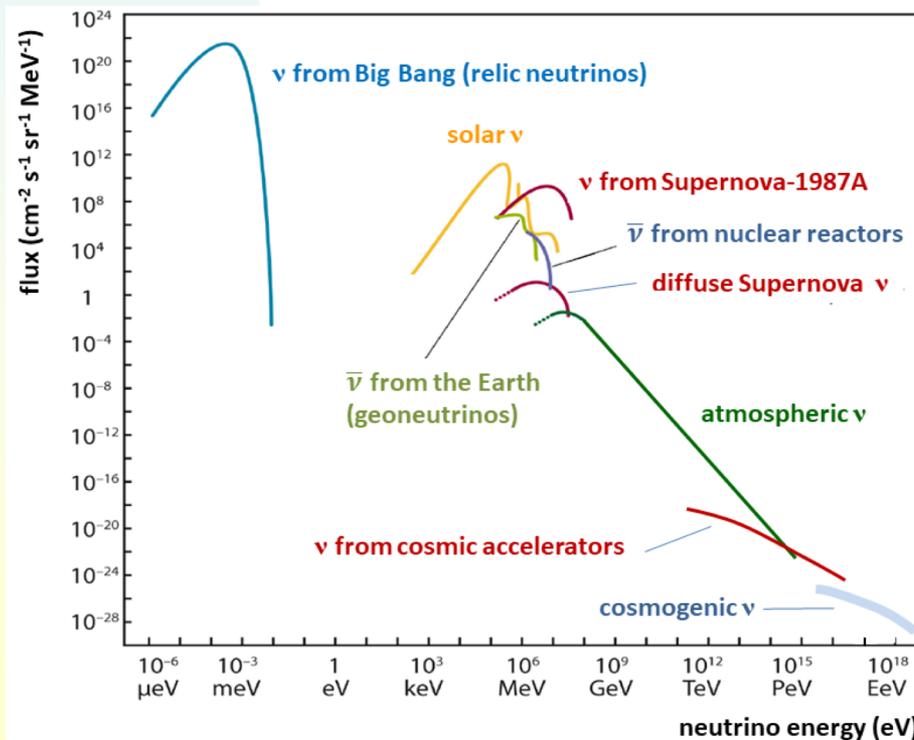


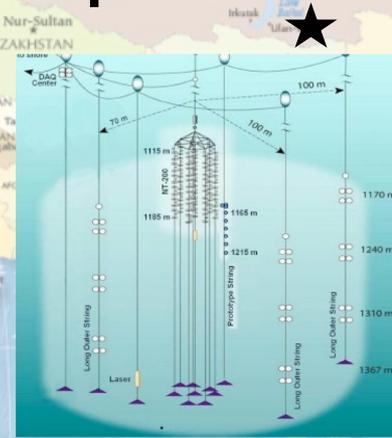
Figure from  
Christian Spiering

# The current experimental landscape

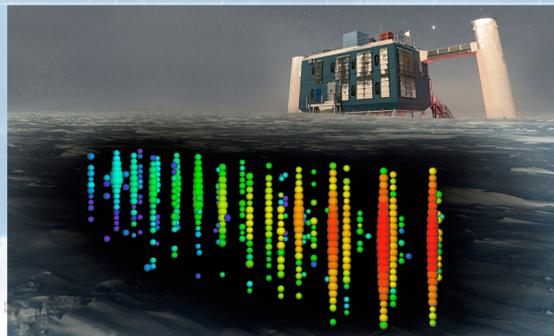
## Optical Cherenkov experiments



**KM3NeT in Mediterranean Sea**  
 Multi-PMT sensors  
 ORCA (for  $\nu$  mass hierarchy)  
 now: 180 sensors; will be 2120 sensors in  $0.07 \text{ km}^3$   
 ARCA:  
 now: 144 sensors; will be 4140 sensors in  $1.1 \text{ km}^3$



**Baikal GVD In freshwater lake**  
 In 2021:  $\sim 2300$  sensors in  $0.4 \text{ km}^3$   
 In 2024,  $\sim 4,000$  sensors in  $1.5 \text{ km}^3$



**IceCube in Antarctic ice**  
 5,160 sensors in  $1 \text{ km}^3$   
 Full detector since 2010  
 Deep Core dense infill  
 Dense-pack upgrade in progress

# Signatures of astrophysical $\nu$

## Starting events (interactions within the detector)

### ◆ Contained Cascades

- ◆ All flavors NC,  $\nu_e$  and most  $\nu_\tau$  CC
- ◆ Good energy resolution, moderate/poor directional info

### ◆ Starting tracks

- ◆  $\nu_\mu$  CC
- ◆ Moderate energy resolution, good directional info

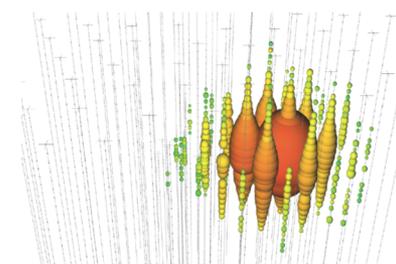
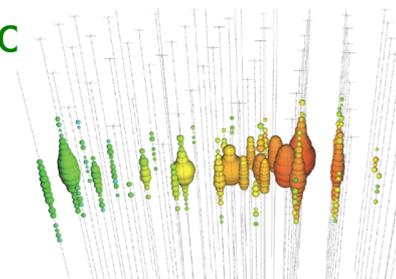
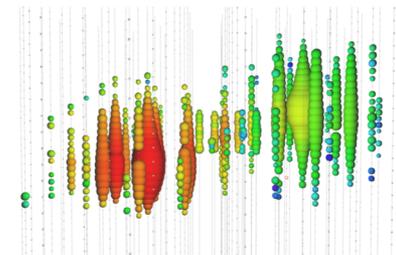
## Through-going muons from $\nu_\mu$ CC interactions

- ◆ Excellent directional info; poor  $\nu$  energy resolution
- ◆ Larger effective volume  $\rightarrow$  higher rates than starting events
- ◆ So far, results only from upward-going  $\nu$
- ◆ Work in progress on high-energy downward-going  $\nu$

## $\nu_\tau$ double bang events

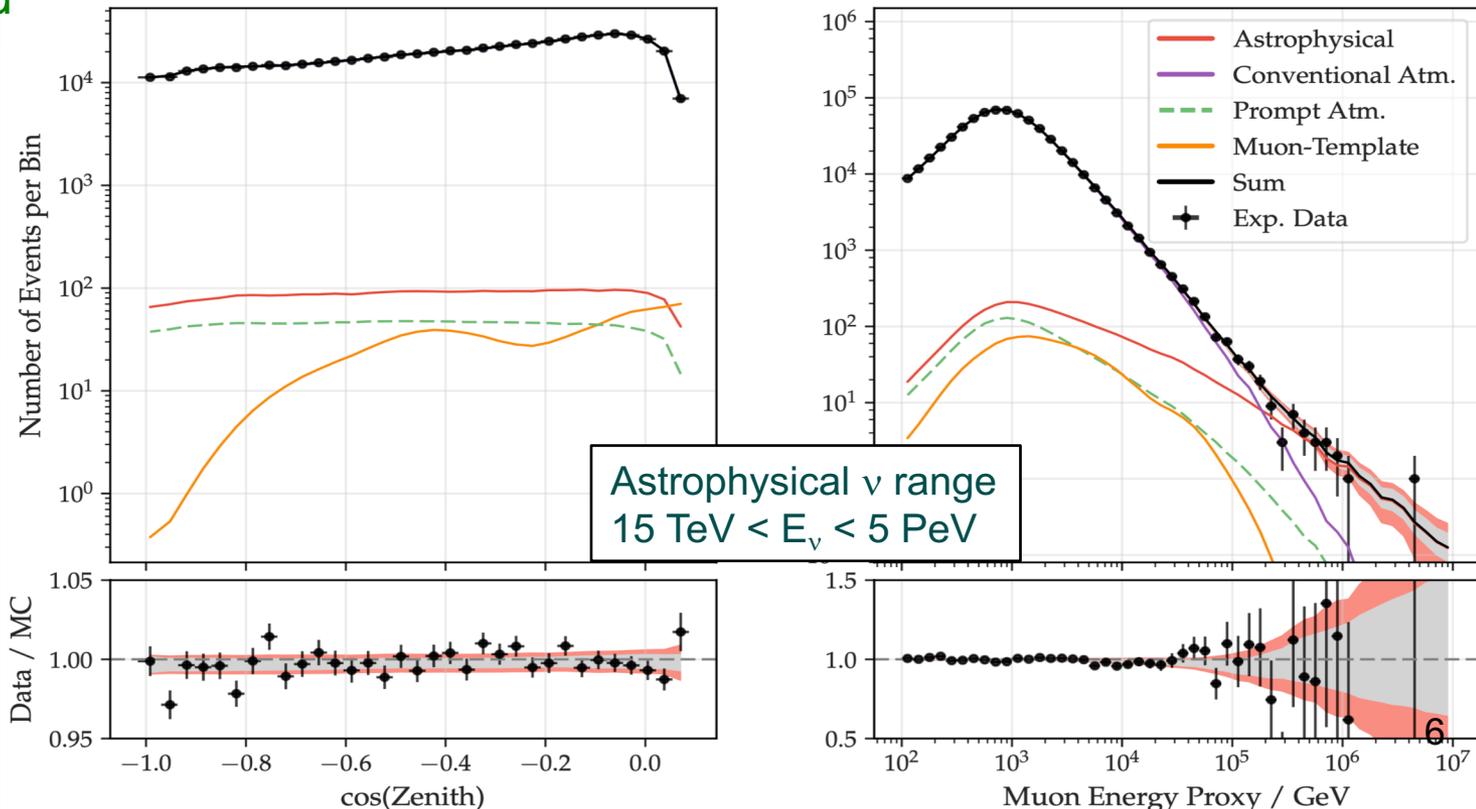
## Main backgrounds are atmospheric $\mu$ and $\nu$

- ◆ Atmospheric  $\mu$  are downgoing (or misreconstructed)
- ◆ Astrophysical  $\nu$  energy spectrum should be harder than atmospheric  $\nu$

Charged Current  $\nu_\mu$ Charged Current  $\nu_\tau$ 

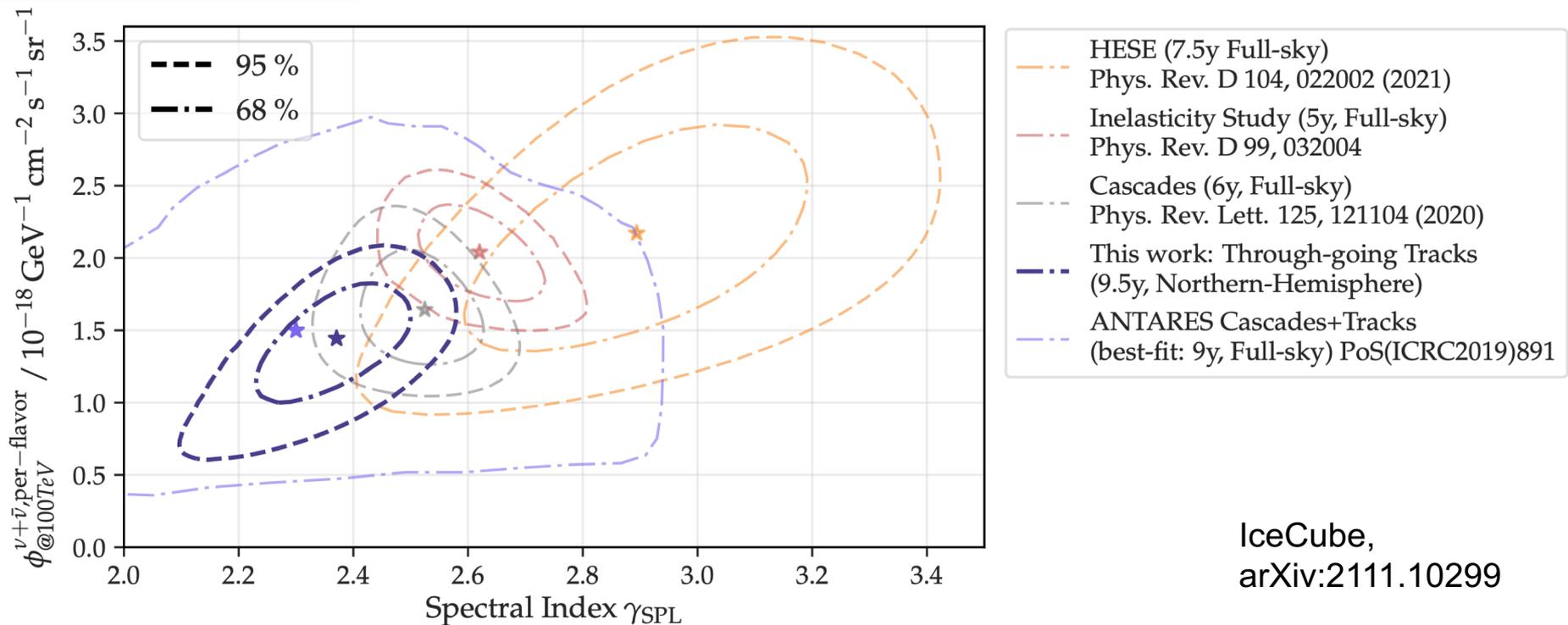
# Through-going muons

- 650,000 upgoing  $\mu$  from  $\nu$  in 9 1/2 years of IceCube data
- Fit to sum of conventional ( $\pi/K$  decay) + prompt atmospheric  $\nu$ , small misreco'd atmospheric  $\mu$ , + astrophysical  $\nu$ 
  - 2-d fit in muon energy proxy and zenith angle
  - Astrophysical baseline assumption: single power law
    - More complex models (cutoff, or double power law) favored but not needed



# Single-power-law comparisons

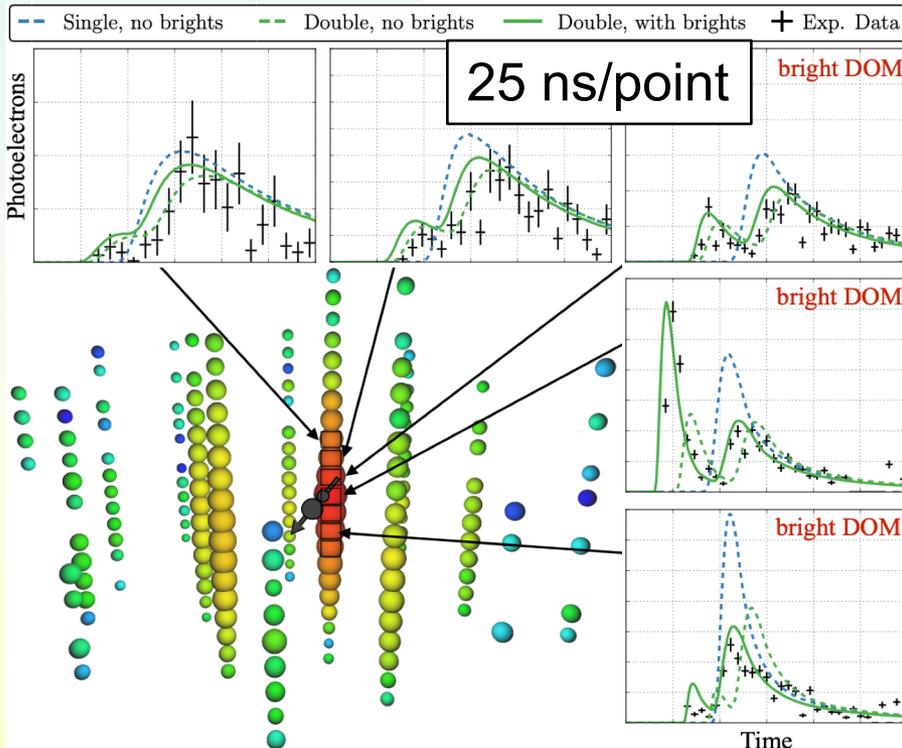
- All results still compatible with single power law:  $dN_\nu/dE_\nu \sim E_\nu^{-\alpha}$ 
  - ◆ Best fits for different analyses find  $2.3 < \alpha < 2.9$
- Tension between some different results
  - ◆ Different flavor ratios, different energy ranges...,
    - Not yet a big concern



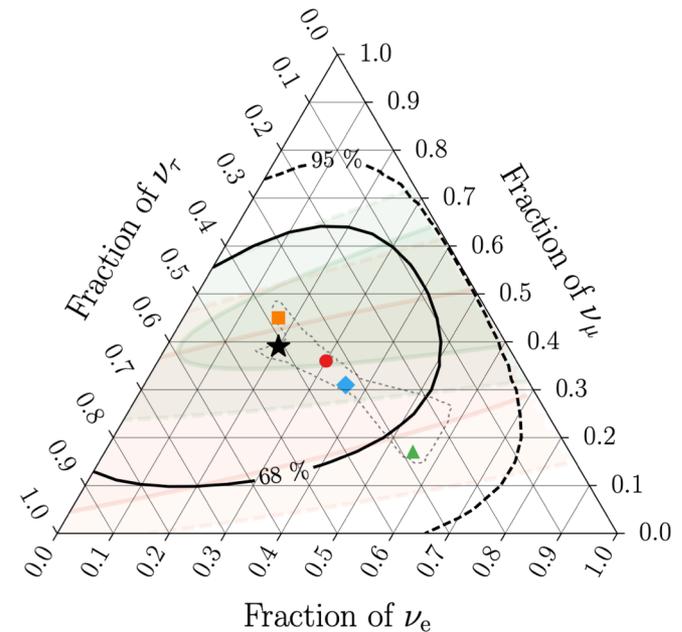
IceCube,  
arXiv:2111.10299

# $\nu_\tau$ searches and the astrophysical $\nu$ flavor ratio

- Identify  $\nu_\tau$  events by double-bang or double-pulse signature
  - ◆ Double cascades can be used in ternary (track/cascade/ $\nu_\tau$ ) identification
- Starting track inelasticity distribution is sensitive to  $\nu_\tau:\nu_\mu$  ratio
- Cascade:track ratio provide info on  $\nu_e$  abundance
- We cannot yet constrain different accelerator models



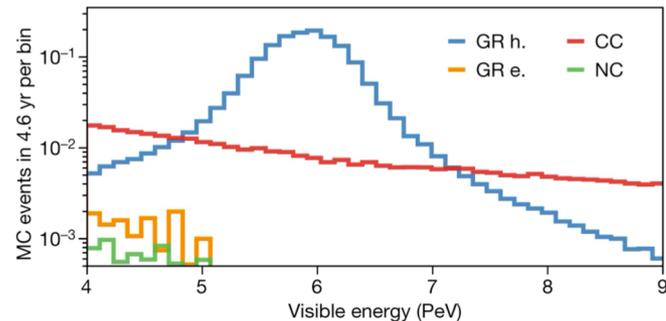
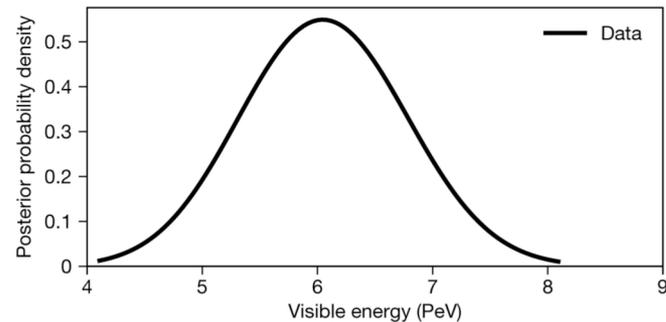
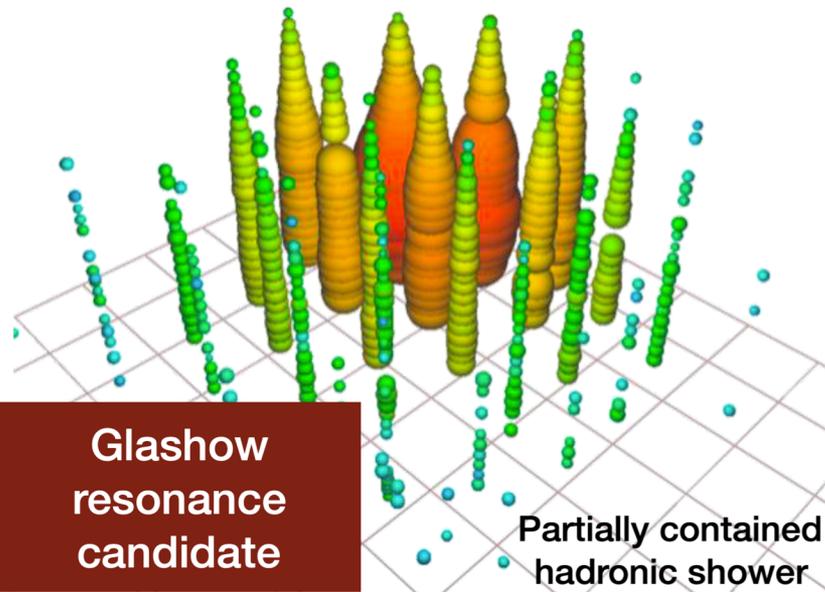
IceCube, arXiv:2011.03561



—	HESE with ternary topology ID	$\nu_e : \nu_\mu : \nu_\tau$ at source $\rightarrow$ on Earth:
★	Best fit: 0.20 : 0.39 : 0.42	■ 0:1:0 $\rightarrow$ 0.17 : 0.45 : 0.37
■	Global Fit (IceCube, APJ 2015)	● 1:2:0 $\rightarrow$ 0.30 : 0.36 : 0.34
■	Inelasticity (IceCube, PRD 2019)	▲ 1:0:0 $\rightarrow$ 0.55 : 0.17 : 0.28
⋯	$3\nu$ -mixing $3\sigma$ allowed region	◆ 1:1:0 $\rightarrow$ 0.36 : 0.31 : 0.33

# The Glashow resonance: $\bar{\nu}_e + e^- \rightarrow W^-$

- For an atomic electron, this requires a 6.3 PeV neutrino
- IceCube sees one event – a partially contained hadronic shower
  - ◆ Visible (EM equivalent) energy =  $6.05 \pm 0.72$  PeV
- Significance (from energy) to be a Glashow event is  $2.3 \sigma$
- +Early light seen, consistent with  $\mu$  production in the shower
- With enough statistics, can measure  $\nu/\bar{\nu}$  ratio

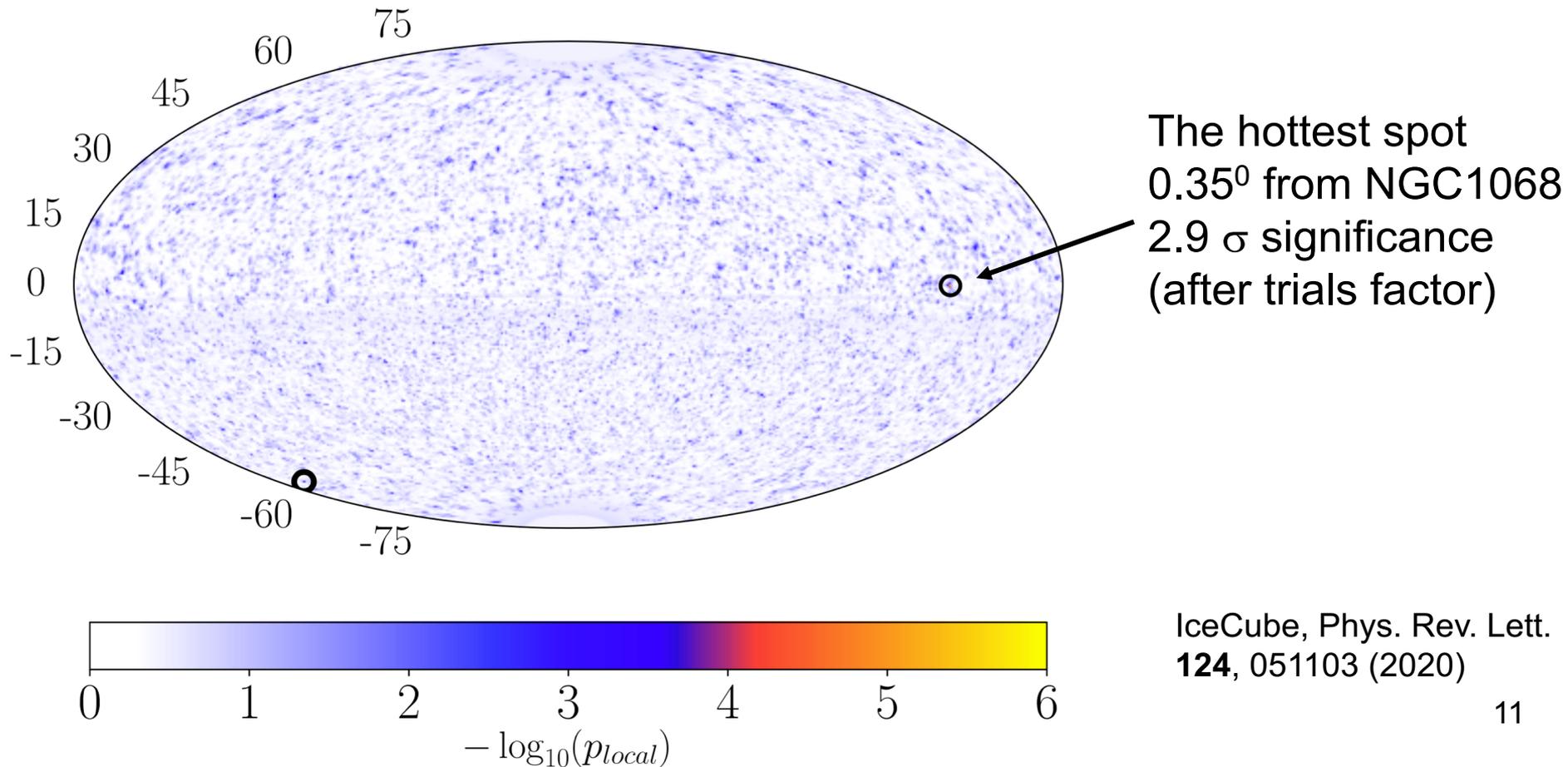


# Source search strategies

- All-sky point source searches
  - ◆ Constant or variable/episodic (many models)
- Searches of catalogs of 'likely' objects
  - ◆ Constant, variable/episodic or triggered by high emission
  - ◆ Stacked searches for emission from classes of events
    - ✦ E. g. active galactic nuclei
- Searches triggered by other observatories, due to enhanced x-ray/gamma-ray emission or gravitational waves
- There are many possible free parameters
  - ◆ Optimizations for hard or soft spectra
  - ◆ Parameters are fixed (after much discussion) before unblinding
    - ✦ Number & selection of sources, time periods etc.
  - ◆ Tradeoffs between number of trials factors and breadth of search
- Data is public; analyses by outside theorists

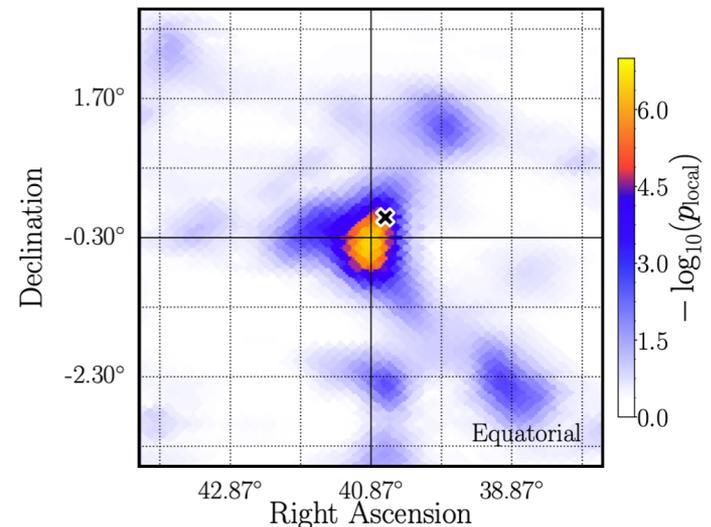
# Sky map

- 1.13 million upgoing  $\mu$  in 10 years of IceCube data
  - ◆ Mostly atmospheric  $\nu$
  - ◆ Angular resolution  $< 0.5^\circ$  for  $E_\nu > 10$  TeV
- No significant point sources seen



# NGC1068

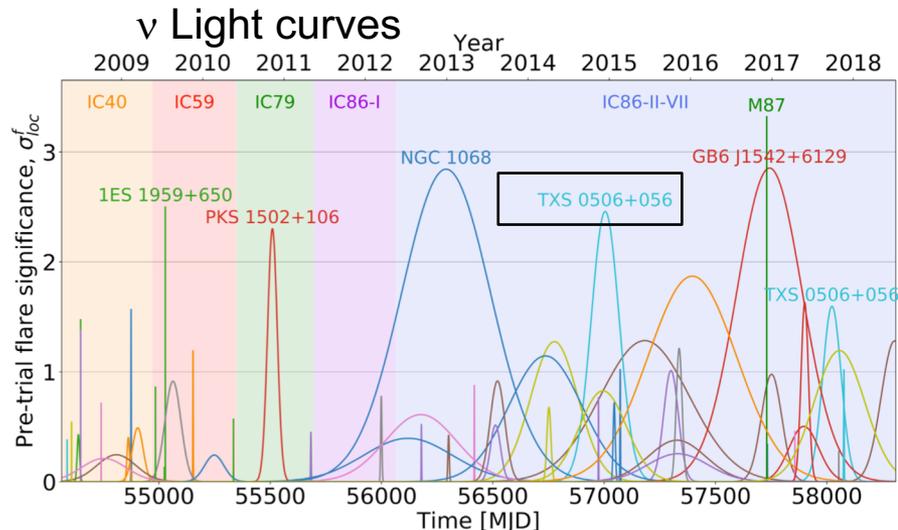
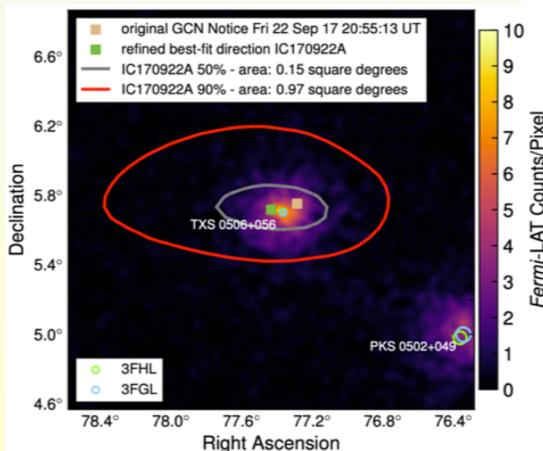
- NGC1068 is an Active Galactic Nucleus: a galaxy with a supermassive black hole at its center, high-energy particles (likely accretion powered)
  - ◆ 14.4 Mpc from Earth
  - ◆ Redshift of 0.0035
- Observed with a significance of  $2.9 \sigma$ 
  - ◆ NGC1068 fit by a single power law with a soft spectral index:  $\sim -3.2$



# TXS0506 + 056

- A blazar: an AGN with a relativistic jet pointed nearly at Earth
  - ◆ Source of high-energy gamma rays
  - ◆ 1.75 Gpc from Earth -> Redshift 0.3365
- Sept. 22, 2017: a  $\sim 290$  TeV  $\nu$  seen from the direction of TXS0506
  - ◆ During a period of high  $\gamma$ -ray emission (flaring)
  - ◆ Coincidence has  $3 \sigma$  significance (after trials for other IceCube alerts)
- A later analysis found a 158 day  $\nu$  flare in 2014-2015
  - ◆ Unaccompanied by enhanced  $\gamma$ -ray emission
  - ◆ Significance  $2.5$ - $3.5 \sigma$ , depending on analysis

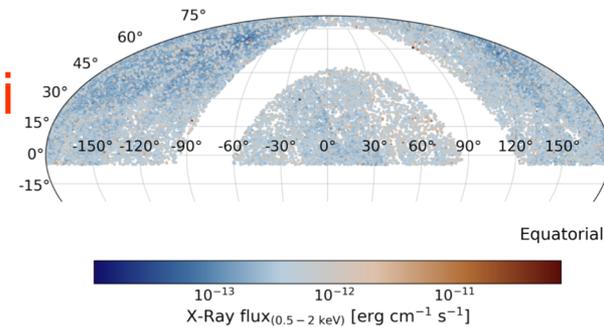
$\nu$  Direction + 1-300 GeV  $\gamma$



IceCube *et al.* Science **361**, 6398 (2018); Science **361**, 147 (2018); arXiv: 2011.03561

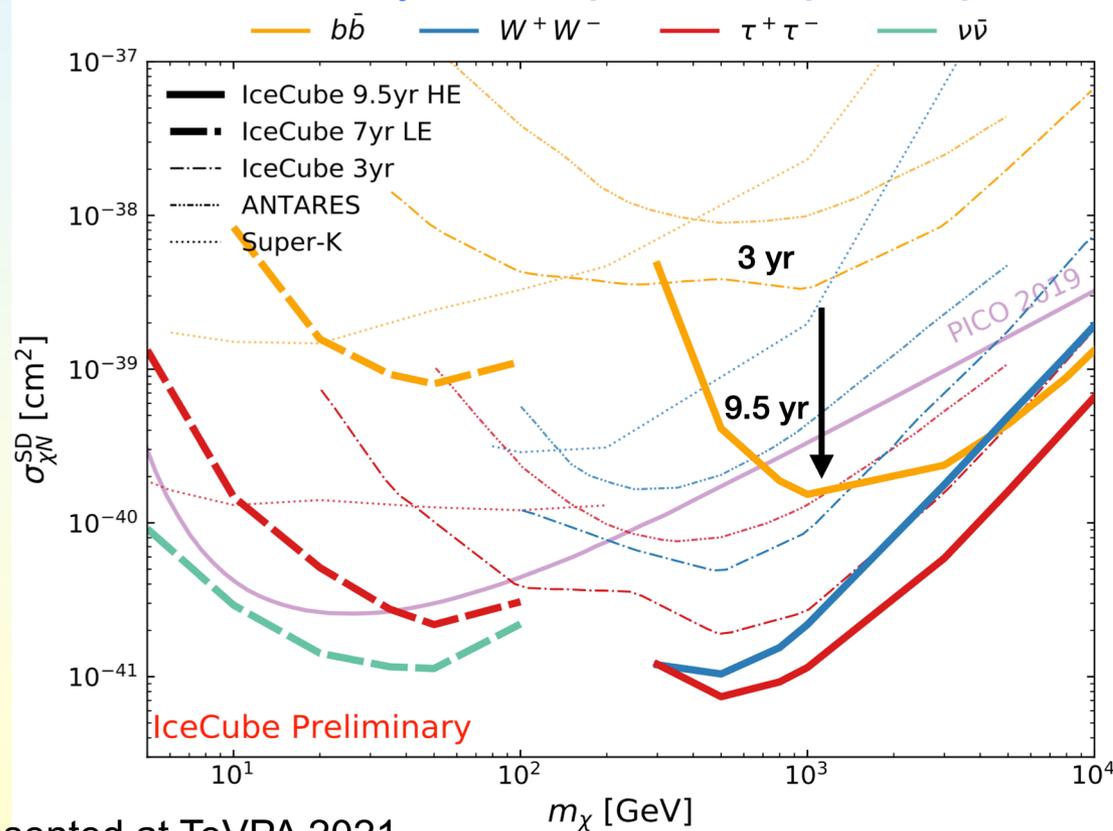
# Astrophysical $\nu$

- Flux appears isotropic
  - Predominantly not from our galaxy
  - Autocorrelation studies show that there are many ( $\sim > 50$ ) sources
- Limits on emission from many source classes
- Evidence of emission from active galactic nuclei
  - TXS0506, NGC1068
  - A study of 32,000 stacked finds  $2.6 \sigma$  evidence for emission from AGN
    - Multiple scenarios; most significant evidence is for  $\nu$  emission proportional to accretion disk luminosity in infrared band
- Quenched (opaque to photons, so dark) sources are possible



# Dark Matter

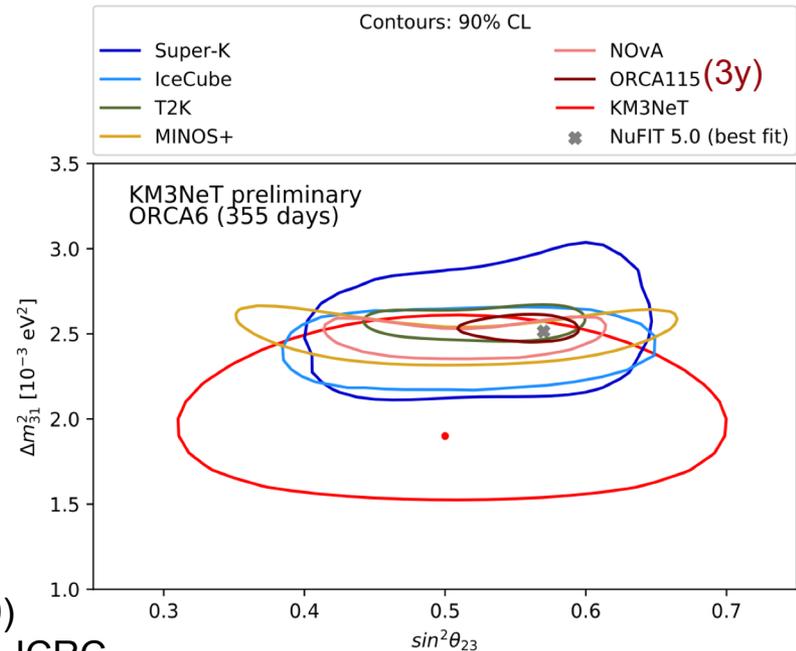
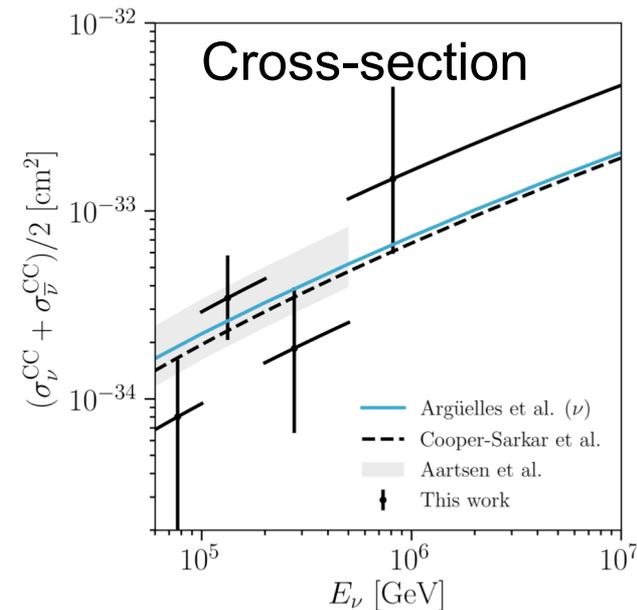
- Dark matter may build up and annihilate, producing  $\nu$ 
  - ◆ Dark matter decay to  $\nu$  is also possible
- Rate depends on mass and annihilation modes
- In the Earth, the Sun, the center of our galaxy and dwarf galaxies
  - ◆ The Sun is mostly H, so probes spin-dependent couplings



New limits on WIMP  
Annihilation in the Sun

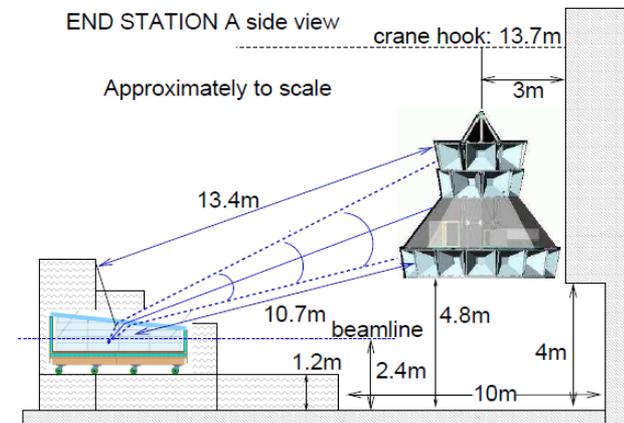
# $\nu$ physics with natural $\nu$

- Disappearance en-route to the detector
  - ◆ Studies fit a 2-d( $E_\nu$ ,  $\Theta_{\text{zenith}}$ ) matrix of rates
  - ◆ Absorption in the Earth  $\rightarrow$  Cross-sections
  - ◆ Vacuum or matter induced oscillations
    - ✦ 1<sup>st</sup> results from ORCA/KM3NeT
  - ◆ IceCube search for oscillations to sterile  $\nu$ 
    - ✦ Consistent with no sterile  $\nu$  at 8% level
    - ✦ In 3+1 models best fit:
      - $\sin^2(2\Theta_{24})=0.10$   $\Delta m_{41}^2=4.5 \text{ eV}^2$
  - ◆ BSM oscillations to sterile  $\nu$  or ?
- In-detector interactions
  - ◆ Inelasticity distributions
  - ◆ Unexpected topologies
- Monopoles,, upgoing track pairs...



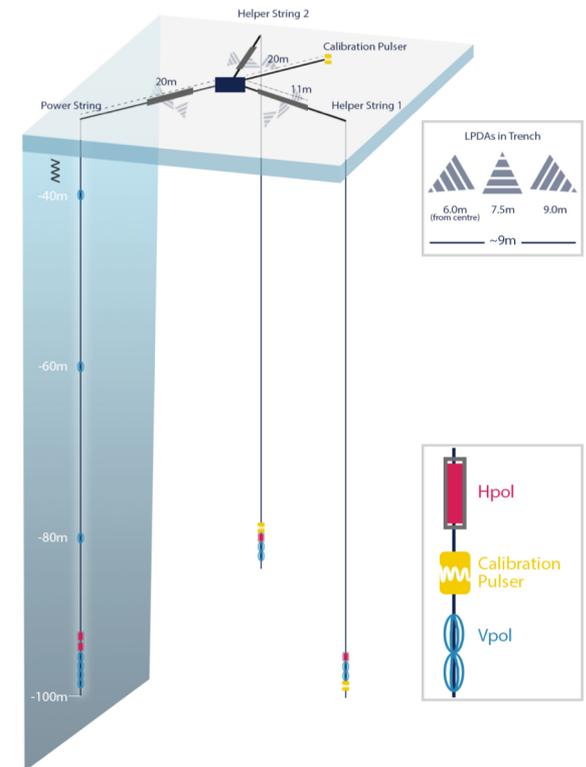
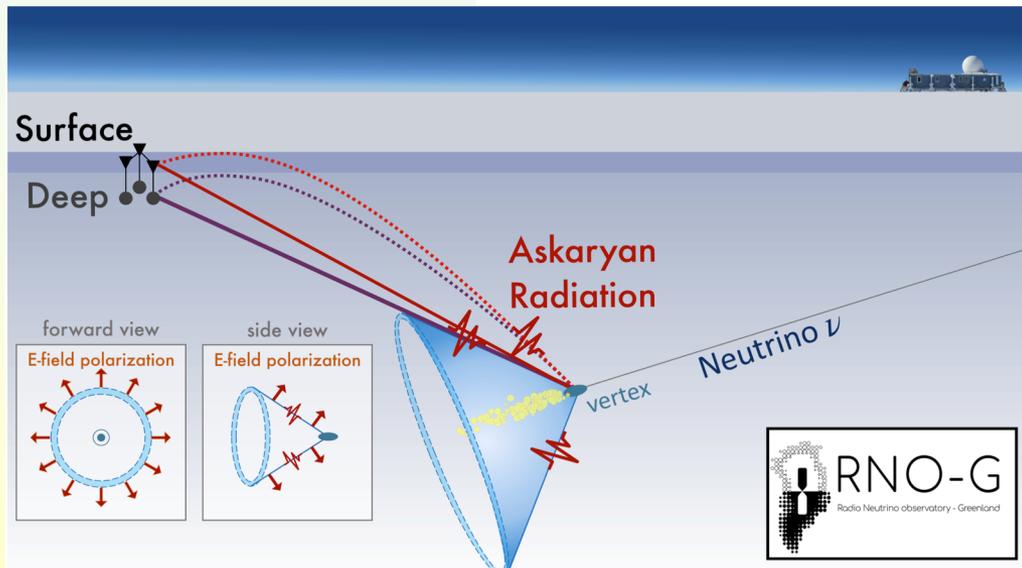
# Radiodetection of $\nu$

- Above  $\sim 10^{17}$  eV, volumes  $> 100 \text{ km}^3$  are needed to observe a signal
  - ◆ Optical Cherenkov cannot scale -> a new technique is needed
- Showers produce large coherent radio-Cherenkov emission
  - ◆ In-phase emission -> add amplitudes
  - ◆ Radio pulse power  $\sim E_\nu^2$  for frequencies  $< \sim 1 \text{ GHz}$ 
    - ✦ Detectable for  $E > 10^{17}$  eV ( $10^{16}$  with phased array techniques)
  - ◆ Requires a non-conducting medium -> Antarctic or Greenland ice
  - ◆ Radio absorption lengths vary from 100s of meters to  $> 1 \text{ km}$ 
    - ✦ 1 km+ detector spacing possible
  - ◆ Production studied in experiments at SLAC
- The  $\nu$  direction may be determined from radio spectrum and polarization (fold ambiguity)



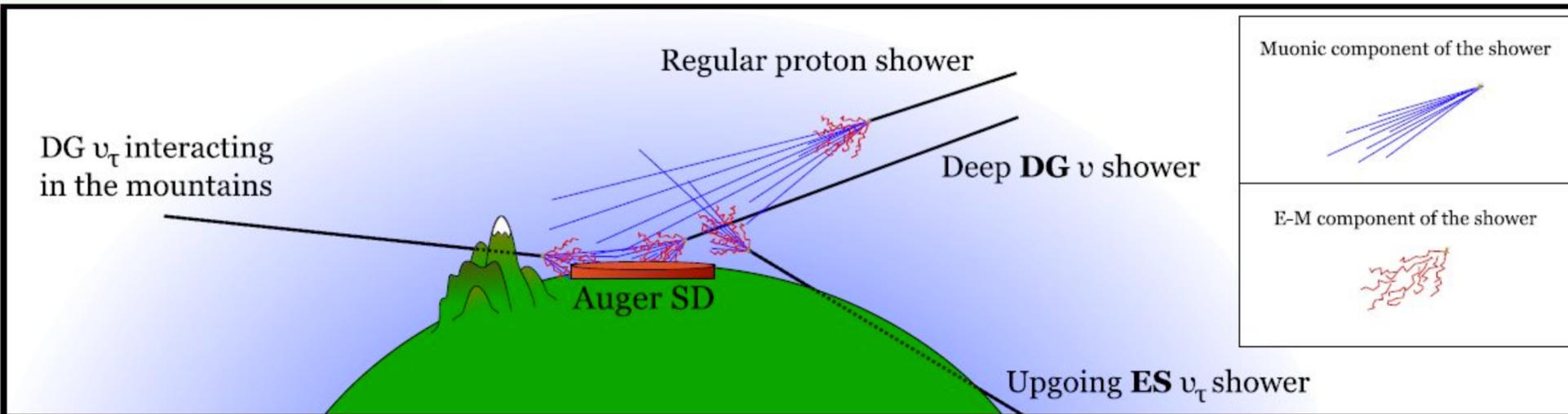
# RNO-G

- A radio-detection experiment at Summit station in Greenland
  - ◆ Logistics may be easier than Antarctica (at least in the Covid era)
- 35 stations funded, with effective volume  $\sim 10 \text{ km}^3$  at  $10^{18} \text{ eV}$
- 3 stations deployed in summer 2021
- Builds on Antarctic experience from ARIANNA and ARA
- Surface and buried (100 m deep) antennas



# Earth-skimming experiments

- $\nu$  may interact in the Earth close to its surface, so the products escape into the atmosphere, to produce optical and radio Cherenkov emission
  - ◆ In a spherical Earth, or in a mountain
- Current results from the Auger air shower array
- Many experiments plan to look for these events
  - ◆ POEMMA satellite pair and EUSO-SPB balloon experiments...
    - ✦ Air fluorescence + Cherenkov light



# ANITA balloon experiment

- Horn antennas search for radio pulses from  $\nu$  interactions in the Antarctic ice
  - ◆ Visibility to horizon ( $\sim 600$  km)
    - ✦ Threshold  $\sim 10^{18-19}$  eV
- Also sees cosmic-ray air showers
  - ◆ Polarization shows shower direction (up/down)
    - ✦ Radio signal could reflect off the ice surface
- ANITA observes 'anomalous' showers that appear to be going upward
  - ◆ Path length through Earth incompatible with standard model cross-section
  - ◆ New physics, atmospheric effects or ???
- ANITA's 4th flight observes four Earth-skimming events which are consistent with  $\nu_\tau$
- Imputed anomalous and earth-skimming flux far exceeds limits from other experiments

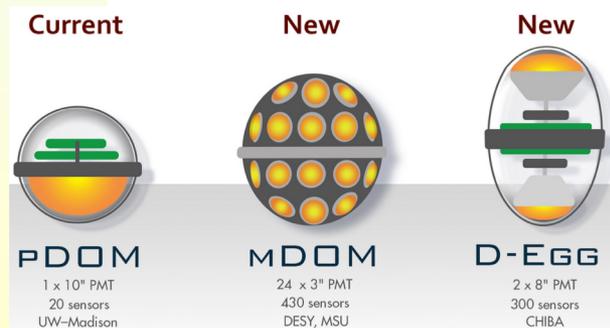
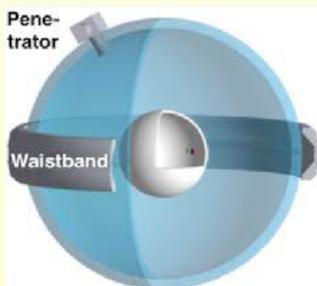


ANITA, arXiv:2112.07069

# Looking ahead: short term



- **Completion of KM3NeT and Baikal GVD**
  - ◆ Big sensitivity boost in the southern hemisphere
    - ✦ Their acceptance sweeps the sky
  - ◆ ORCA will find the  $\nu$  mass hierarchy to  $2.5-5 \sigma$  in 3 yers
  - ◆ Better angular resolution than IceCube, because there is less optical scattering in water. This will boost its sensitivity
- **The 7-string IceCube Upgrade:**
  - ◆ Much smaller spacing & improved optical modules
    - ✦ Few GeV threshold
  - ◆ Many calibration devices to calibrate the whole array
    - ✦ Better angular resolution-> higher sensitivity



Any dates that could have been here were casualties of Covid

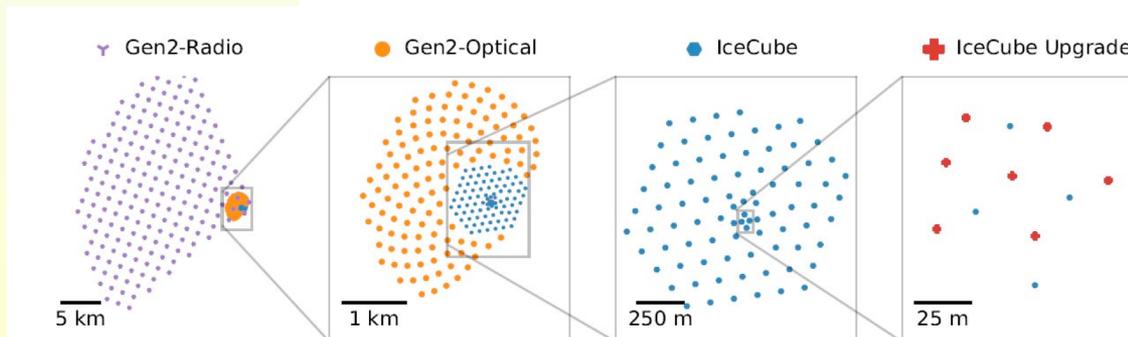
# Looking ahead: long term

## IceCube Gen-2

- ◆  $\sim 8 \text{ km}^3$  optical Cherenkov array
  - ✦ With surface array covering optical footprint
    - To reject atmospheric  $\nu$  with air showers
- ◆  $\sim 1600 \text{ km}^3 \text{ sr}$  aperture radio-detection array

## Radio-detection

- ◆ PUEO (ANITA successor)
  - ◆ GRAND radio array for Earth-skimming  $\nu$
  - ◆ Searches for  $\nu$  interactions in the Moon with LOFAR and SKA
  - ◆ Radio-detector in Pierre Auger array for  $\nu$ -induced air showers
- ## P-one array in Pacific Ocean, other Earth-skimming efforts etc.



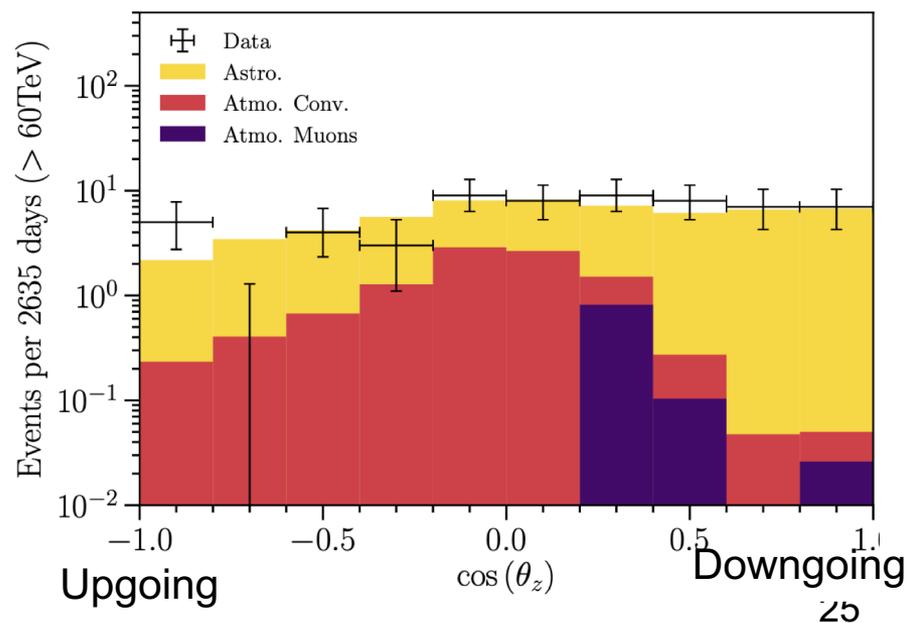
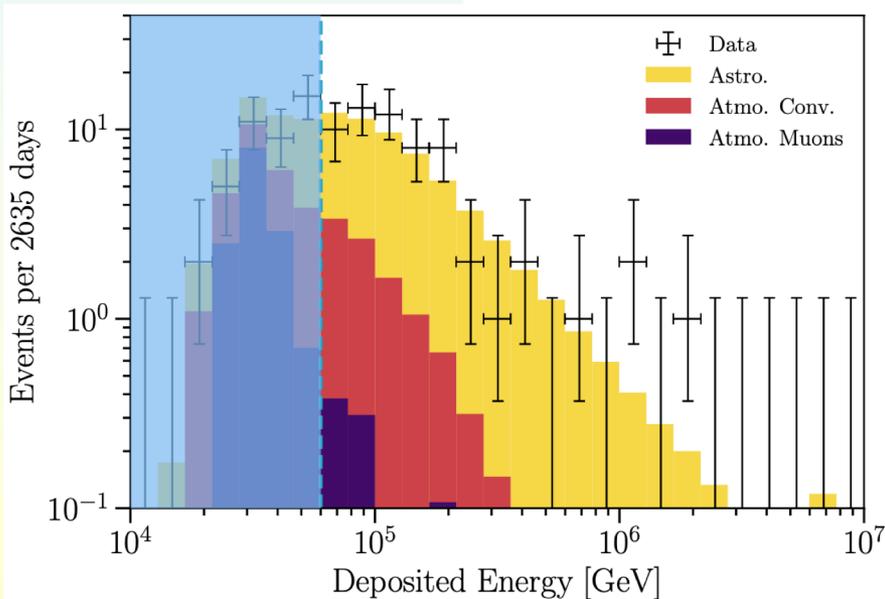
# Conclusions

- Optical Cherenkov  $\nu$  telescopes have collected million-event samples of atmospheric and astrophysical  $\nu$ .
- We observe diffuse astrophysical neutrinos at energies from 10 TeV to  $\sim 10$  PeV, at a flux near the maximum expected based on photon emission.
  - ◆ Quenched (photon-absorbing) sources could be stronger
  - ◆ They are largely isotropic, indicating that there are a large number of sources.
  - ◆ There is evidence that some/most of these neutrinos may come from active galactic nuclei.
- Natural  $\nu$  have been used to study neutrino oscillations (including sterile  $\nu$ ), measure  $\sigma_{\nu N}$  and inelasticity distributions, and look for a wide variety of beyond-standard-model processes.
- More powerful optical and radio Cherenkov detectors should come online in the next decade, and show us the UHE  $\nu$  universe.

# Backup/surplus

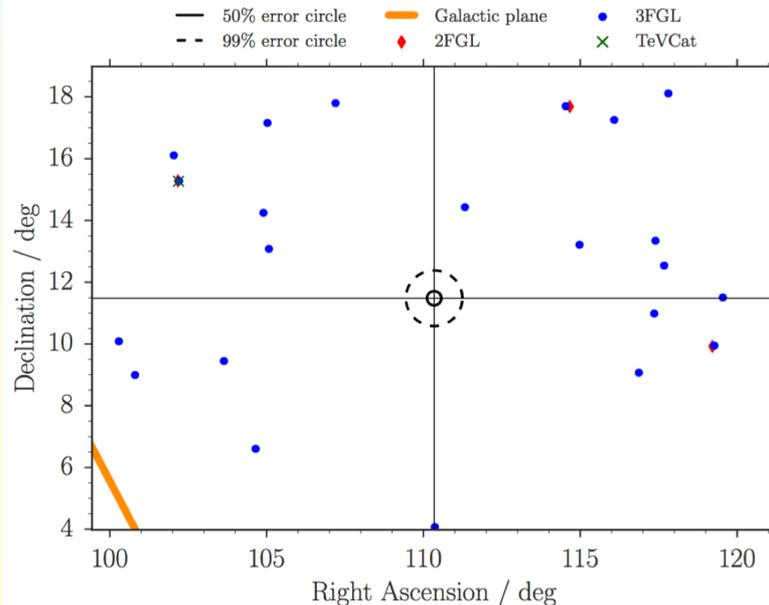
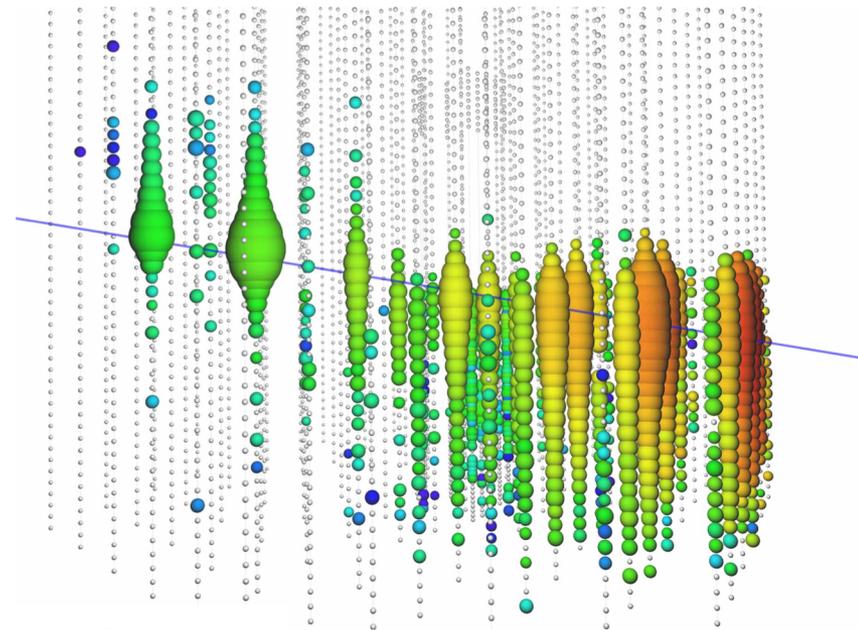
# Starting events

- Analysis of 7.5 years of IceCube data
  - ◆ Some tension between early and later data
- 0.4 km<sup>3</sup> active volume, surrounded by veto regions
  - ◆ Veto will also veto  $\mu$  that may accompany atmospheric  $\nu$ 
    - ◆ Most atmospheric  $\nu$  are up-going
  - ◆ Atmospheric  $\nu$  follow is  $dN_\nu/dE_\nu \sim E_\nu^{-3.7-4.0}$ ,
    - ◆ Softer than astrophysical



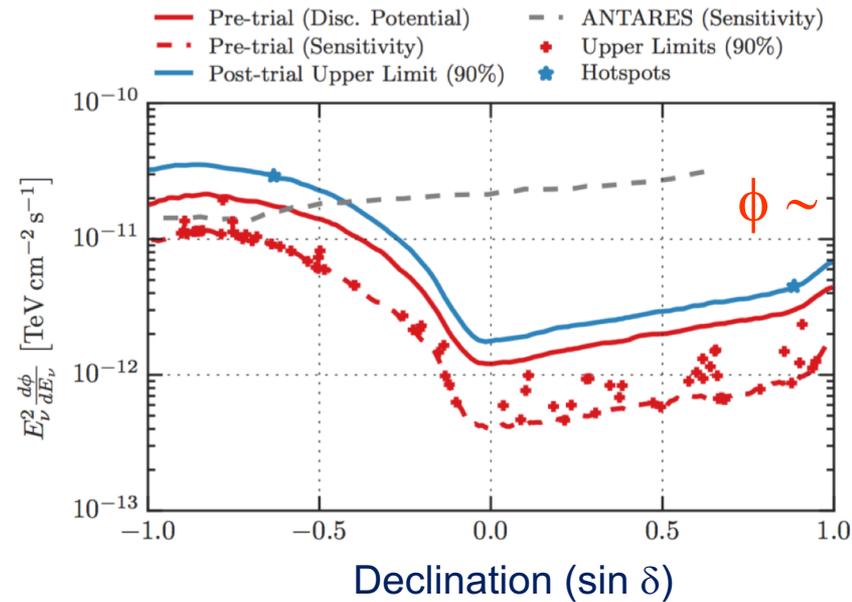
# Where do these neutrinos come from?

- Big event seen June 11, 2014
  - ◆ 2.6 PeV deposited in detector
  - ◆  $E_\mu$  likely  $\sim 3\text{-}5$  PeV
  - ◆  $E_\nu$  likely  $\sim 5\text{-}10$  PeV
- Direction known to  $\sim 0.3^\circ$ 
  - ◆ Nothing obviously interesting from that direction



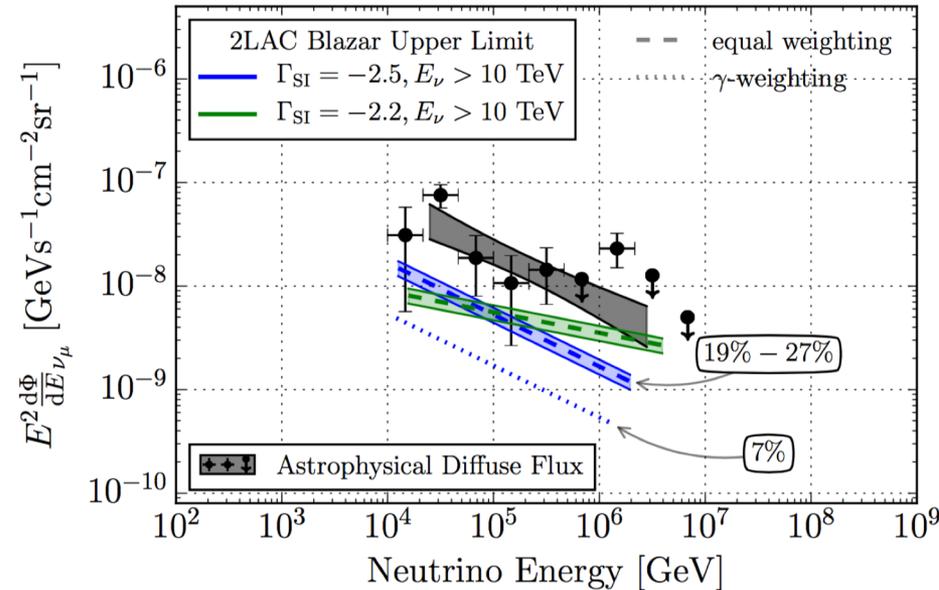
# Point source searches

- Flux limits calculated for assumed  $E^{-2}$  energy spectrum
  - ◆ Limits depend on declination
    - ✦ == zenith angle in IceCube
  - ◆  $E^2\phi \sim 10^{-12} \text{ TeV cm}^{-2} \text{ s}^{-1}$  constrain many older theory predictions
    - ✦ ~ Comparable to photon flux
- All-sky survey, pre-selected sources and source class stacking
  - ◆ e.g. blazars, supernova remnants, etc.
- Searches for gamma-ray bursts, using GRB position/times determined from photon observations
- Periodic/flaring sources
  - ◆ Triggered (by other observations) and untriggered
  - ◆ LIGO gravity wave events



# Blazar search

- Stacked search of blazars seen by the Fermi-LAT gamma-ray telescope
  - ◆ Sum signal from 862 blazars (and, separately, by some sub-categories)
    - ✦ Equal weighting, or weighting by gamma-ray flux
- No excess seen
- For an  $dN/dE \sim E^{-2.5}$  neutrino spectrum, blazars produce <27% of the diffuse flux seen by IceCube
  - ◆ If spectrum is  $E^{-2.2}$ , limit loosens to 50% of observed flux

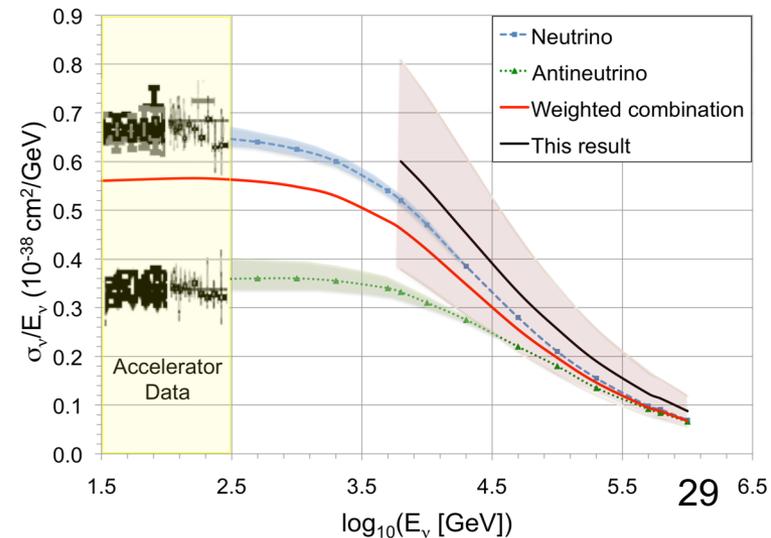
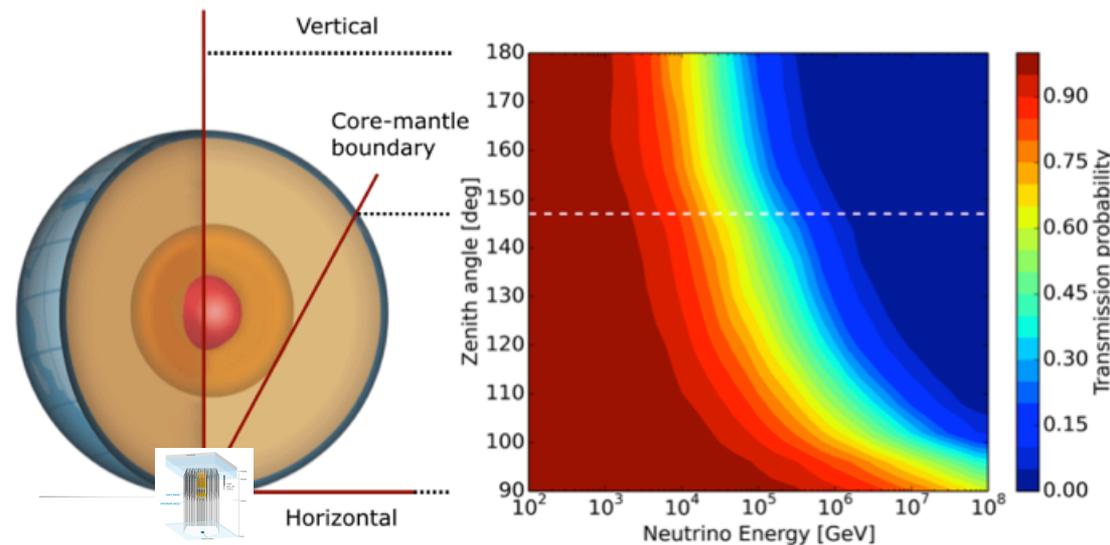


# $\sigma_{\nu N}$ measurement

- At energies above 30 TeV, the Earth absorbs neutrinos
- 1 year of up-going  $\nu_\mu$  data was binned in  $(E_\mu, \cos(\theta_z))$ 
  - Fit to standard cocktail, with absorption allowed to vary
  - Cross-section is a multiple (“R”) of standard model cross-section
  - Neutral-current included; reduces neutrino energy

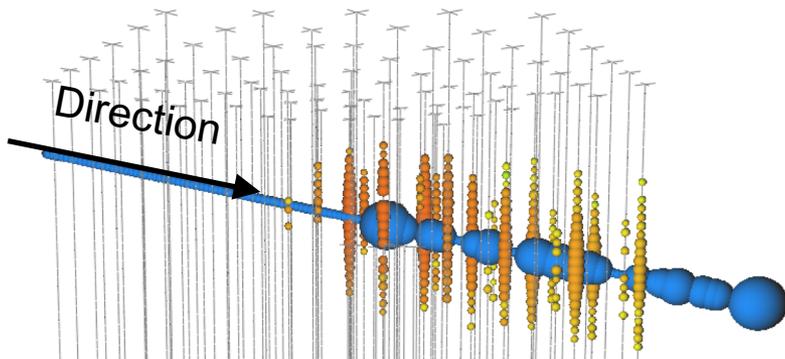
$$R = 1.30^{+0.21}_{-0.19} \text{ (stat)} \quad ^{+0.39}_{-0.43} \text{ (syst.)}$$

$$6.3 \text{ TeV} < E_\nu < 980 \text{ TeV}$$



# Inelasticity in contained events

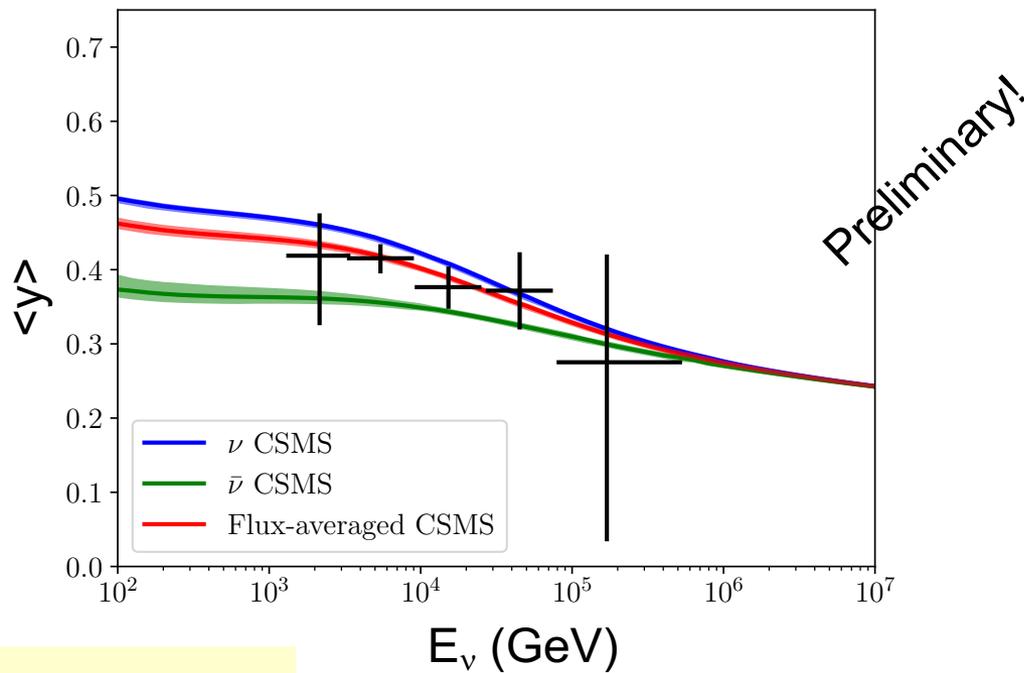
- Inelasticity  $y = E_{\text{hadronic shower}}/E_{\nu}$ 
  - ◆ Predicted by standard weak interaction model
  - ◆ Inelasticity distributions are different for  $\nu$ ,  $\bar{\nu}$  for  $E_{\nu} < 10$  TeV
- Analysis uses 2650 starting track events ( $\nu_{\mu}$ ) in 5 years of data, selected by a machine learning approach
  - ◆ 965 similarly-selected cascades used in some global fits
- A second machine finds  $E_{\text{cascade}}$ ,  $E_{\mu}$ ,  $y_{\text{vis}}$ 
  - ◆  $y_{\text{vis}} \sim y$ , but accounts for missing energy



A starting track event with  
 $E_{\text{casc}} = 64$  TeV  
 $E_{\mu} = 724$  TeV  
 $y_{\text{vis}} = 0.08$

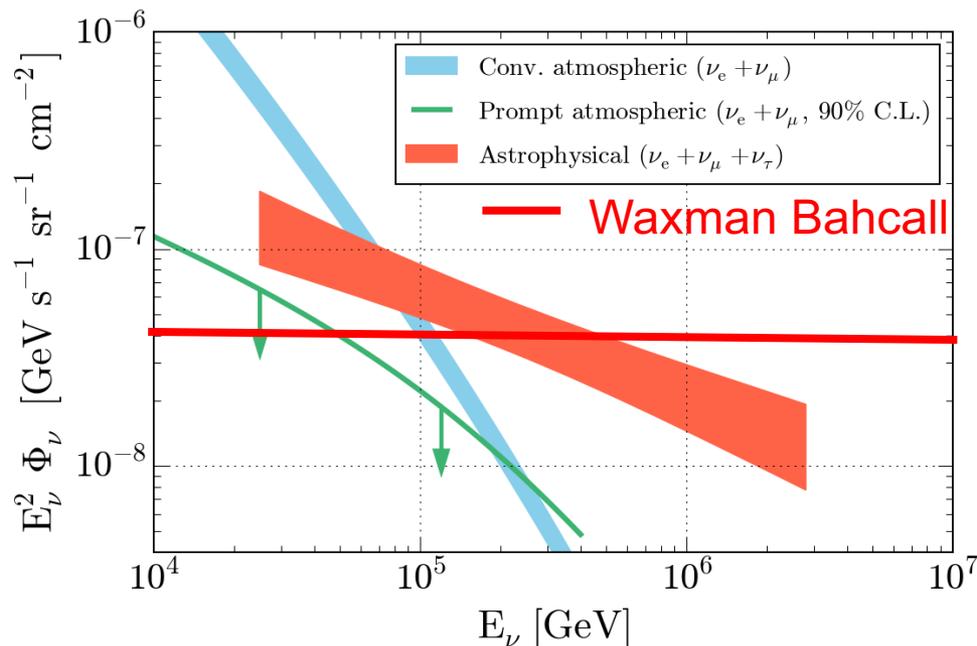
# Mean inelasticity $\langle y \rangle$

- Parameterize  $d\sigma/dy \sim (1+\varepsilon(1-y)^2)y^{\lambda-1}$ 
  - ◆ Motivated by low-x region, where  $xq(x, Q^2) \sim x^{-\lambda}$
- $\varepsilon, \lambda$  are heavily correlated, so fit for  $\langle y \rangle$  and  $\lambda$ 
  - ◆  $\langle y \rangle$  decreases with energy, as expected
  - ◆ In agreement with CSMS calculation
  - ◆ Used to measure atmospheric  $\bar{\nu}/\nu$  ratio and to observe charm production in  $\nu$  interactions from 1.5 to 340 TeV



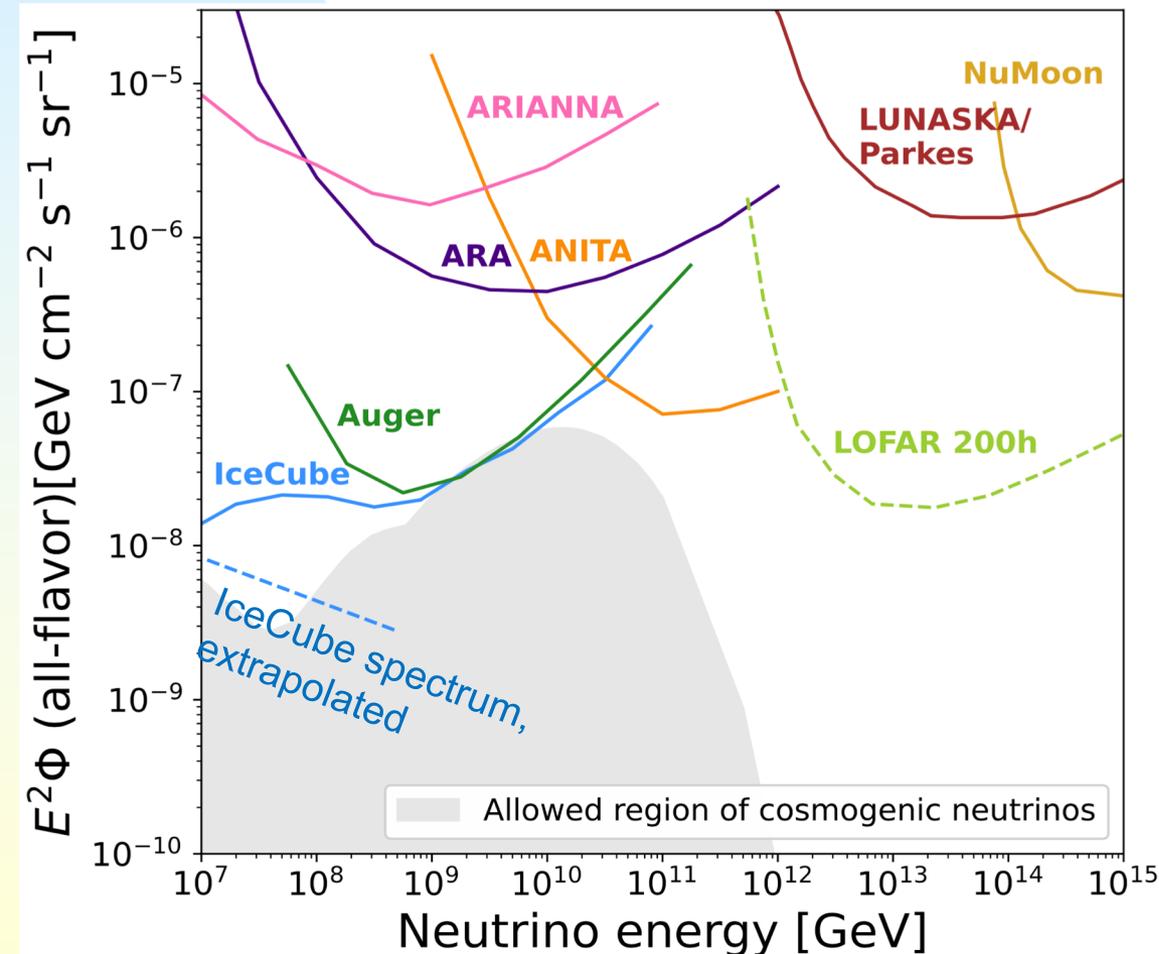
# The $\nu$ flux is nearly saturated

- The flux is near (or above) the 'Waxman-Bahcall bound, which corresponds to opacity=1
  - ◆ Different spectral indices complicate comparison
  - ◆ Cosmic-rays just escape from the source
- For most  $\nu$  prediction models, one must push parameters upward to explain the observed flux
  - ◆ It should be easy to find the sources.



# EHE neutrino flux limits

- Many competing approaches, with different optimum energy ranges



NuMoon & LUNASKA/Parkes are limits from radio-telescopes searches in the Moon.

LOFAR is a planned search using an existing detector.