



JAVIER DUARTE  
OCTOBER 9, 2020  
UCSD PHYSICS 191

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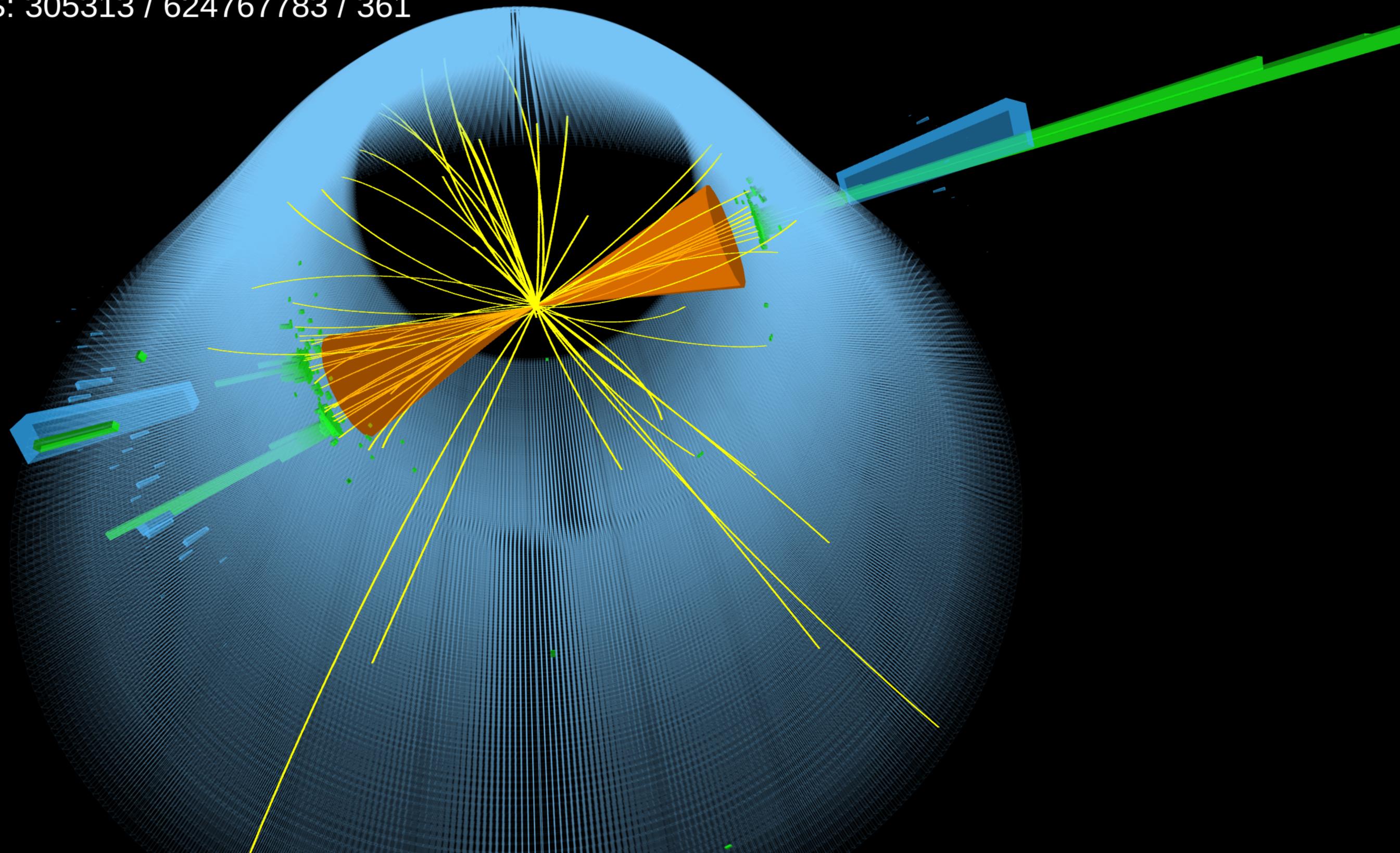
# PARTICLE PHYSICS AND MACHINE LEARNING AT THE CERN LHC & CMS



CMS Experiment at the LHC, CERN

Data recorded: 2017-Oct-20 03:55:39.135168 GMT

Run / Event / LS: 305313 / 624767783 / 361



- ▶ Goal: Introduction to research topics across physics. Opportunity for you to find potential labs to work in
- ▶ Lectures: 1:00-1:50 pm every Friday
- ▶ Attendance policy: Taking for credit? Attend most guest lectures and ask questions! I will record attendance for each class
- ▶ Webpage: Canvas: <https://canvas.ucsd.edu/courses/19858> and Indico: <https://indico.cern.ch/event/956641/>
- ▶ Zoom: <https://ucsd.zoom.us/j/98503442947>
  - General: Sign in with your full first and last name as listed on the class roster.
  - Video: Turn on your video when possible. It is helpful to be able to see each other, just as in an in-person class.
  - Audio: Mute your microphone when you are not talking. Use a headset when possible. If you own headphones with a microphone, please use them. Be in a quiet, distraction-free place when possible.
  - Chat: No disrespect or hate speech.
  - Recording: I will record each lecture for the benefit of students in different timezones.
  - Discussion: Ask questions!

- ▶ Oct. 9 – Introduction; Javier Duarte
- ▶ Oct. 16 – Alex Frano
- ▶ Oct. 23 – Kam Arnold
- ▶ Oct. 30 – Mattia Serra
- ▶ Nov. 6 – Shelley Wright
- ▶ Nov. 13 – Liang Yang
- ▶ Nov. 20 – Chunhui Du
- ▶ Dec. 4 – Yizhuang You
- ▶ Dec. 11 – Tongyan Lin

# PERSONAL BIO

- ▶ Originally from Cúcuta, Colombia
- ▶ Raised in Coram, NY (Long Island)



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Boone



**Javier Duarte, M.I.T.**

[Final report](#)

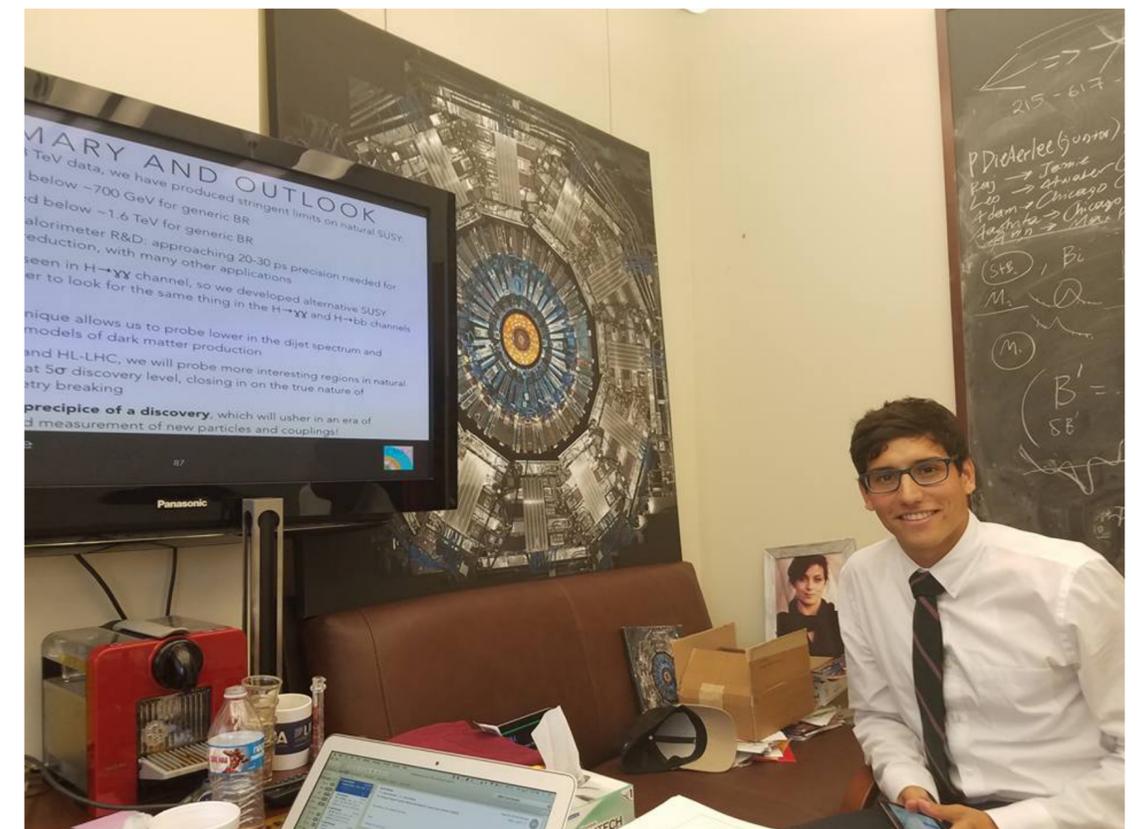
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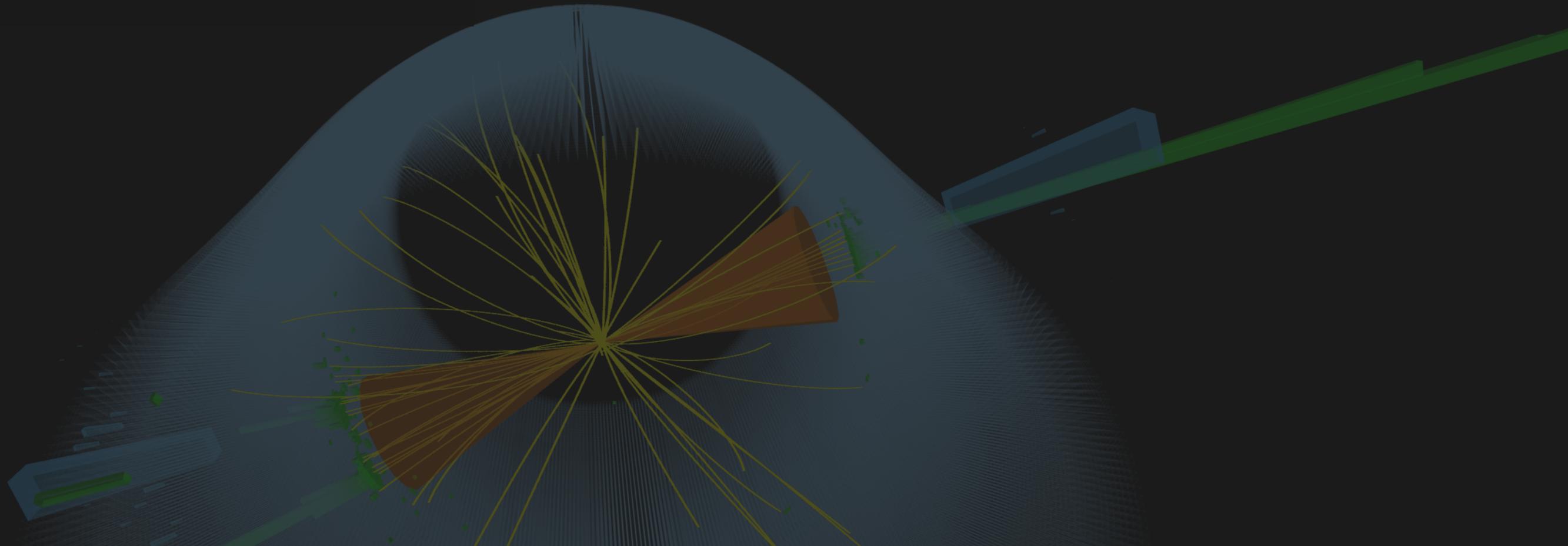
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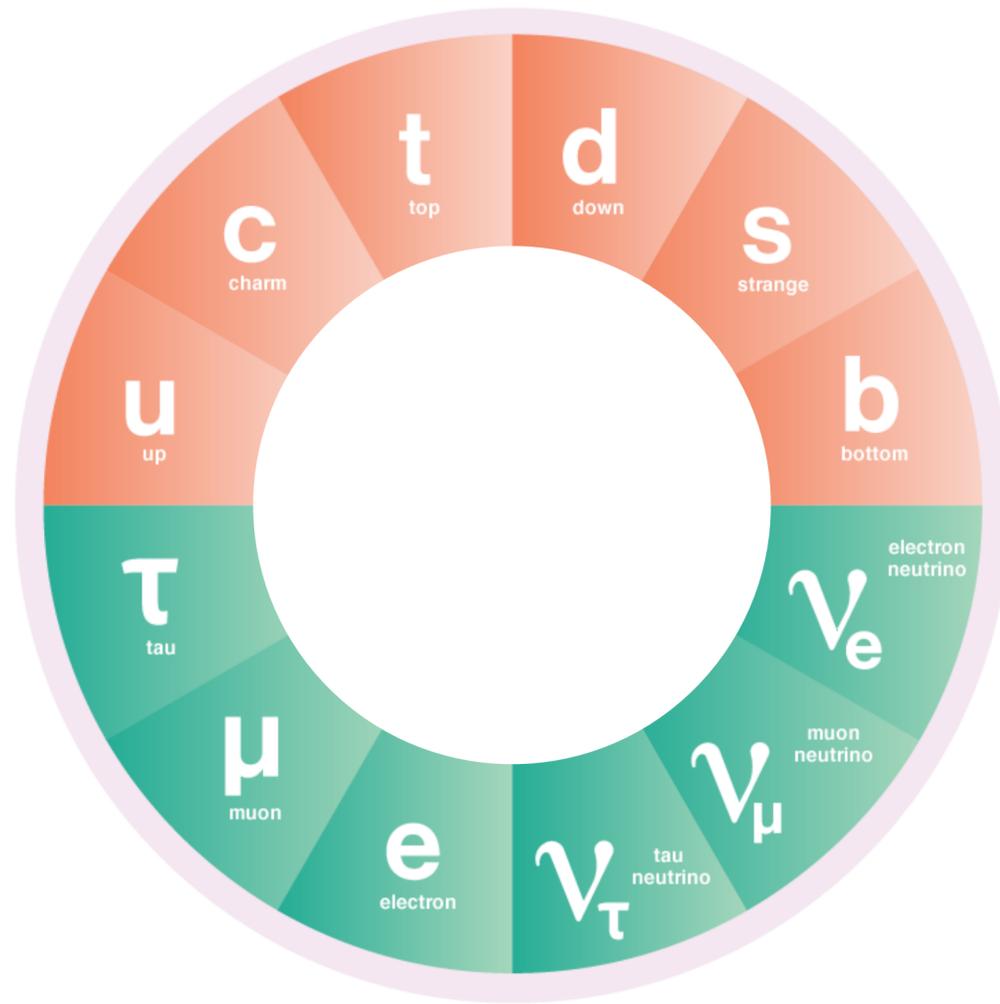
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- ▶ Grad student at Caltech/CERN (2011–2016)
- ▶ Lederman fellow at Fermilab (2016–2019)
- ▶ Assistant professor at UCSD (2019–Present)



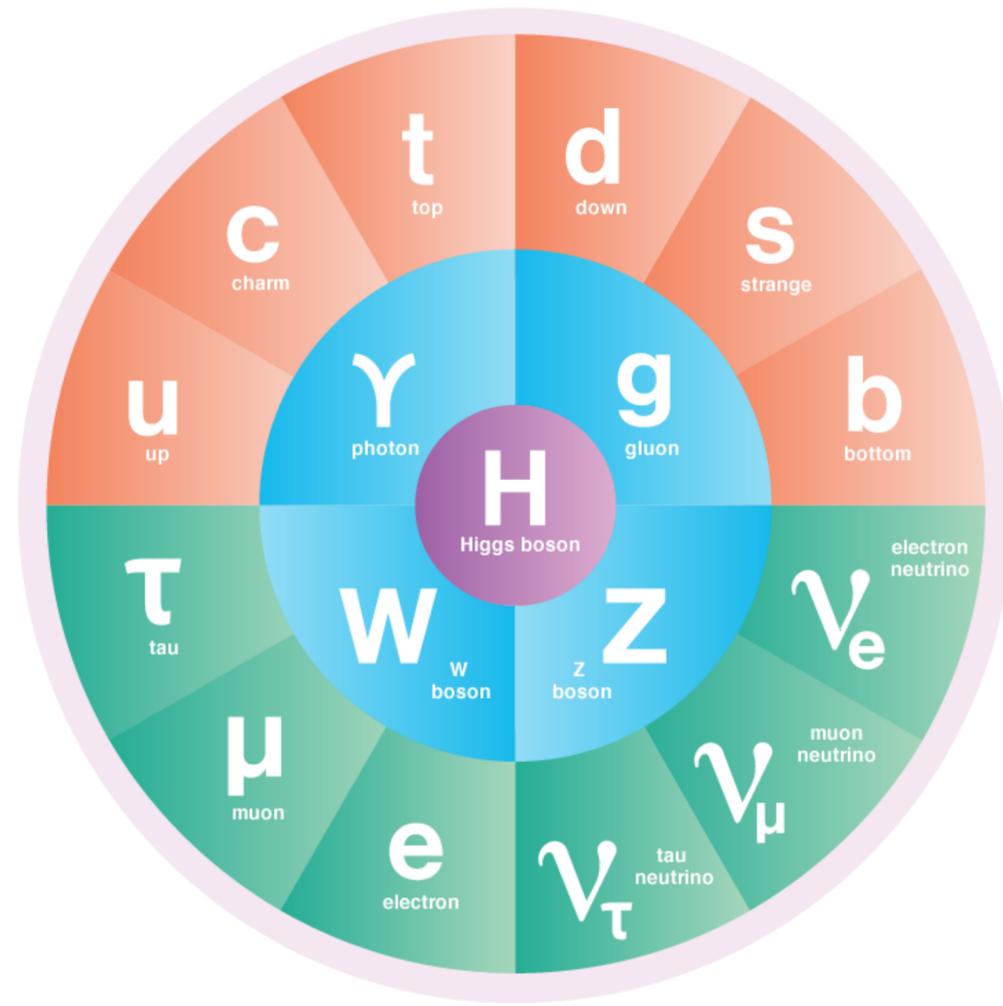
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# THE STANDARD MODEL & THE HIGGS BOSON

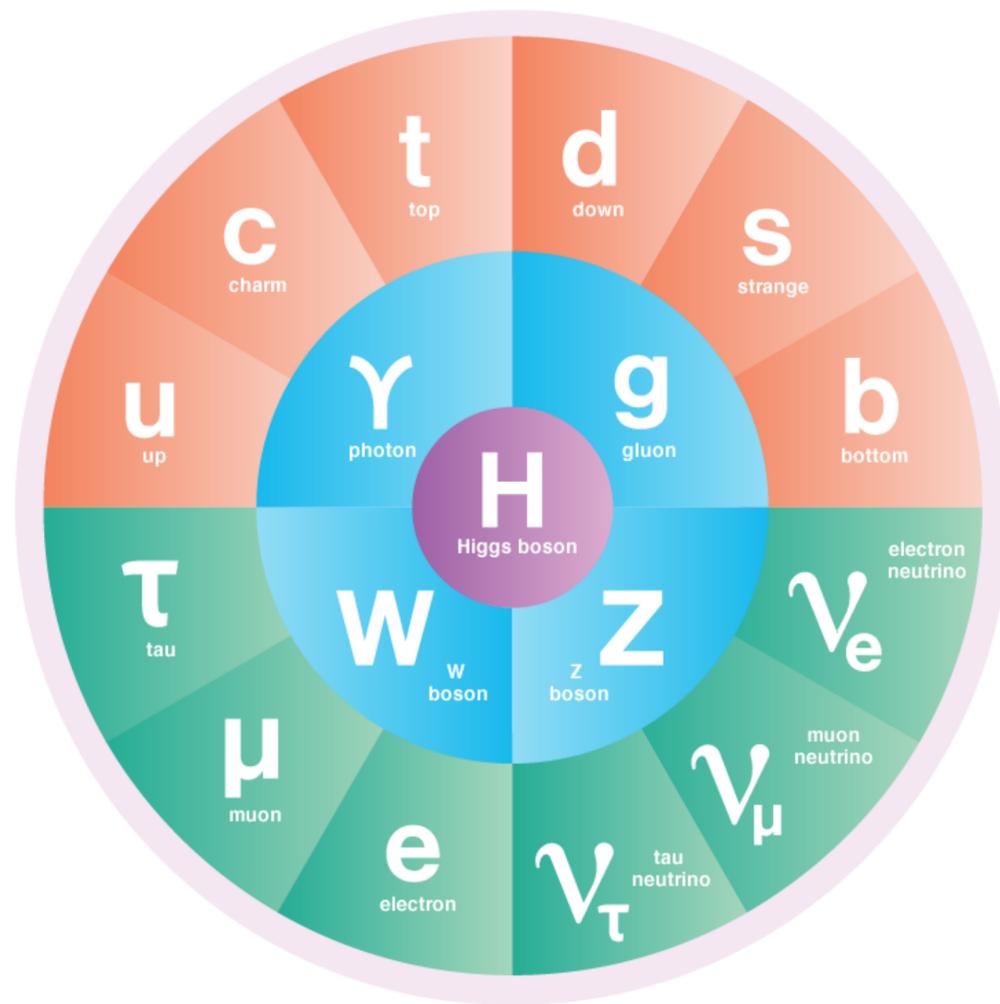
*particles*



*particles*

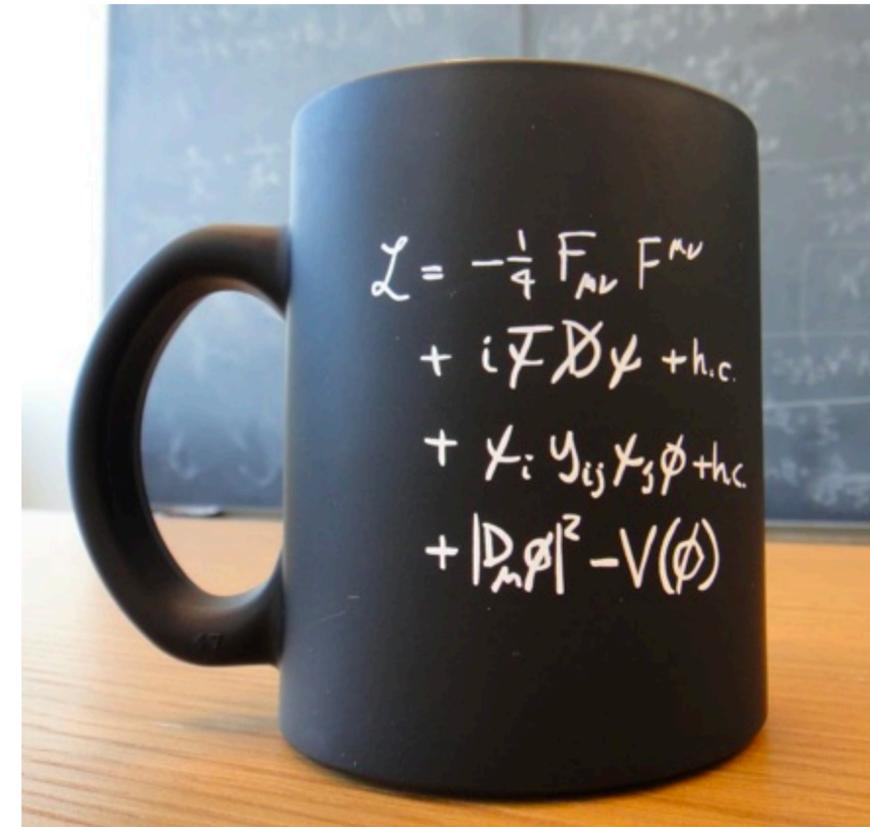


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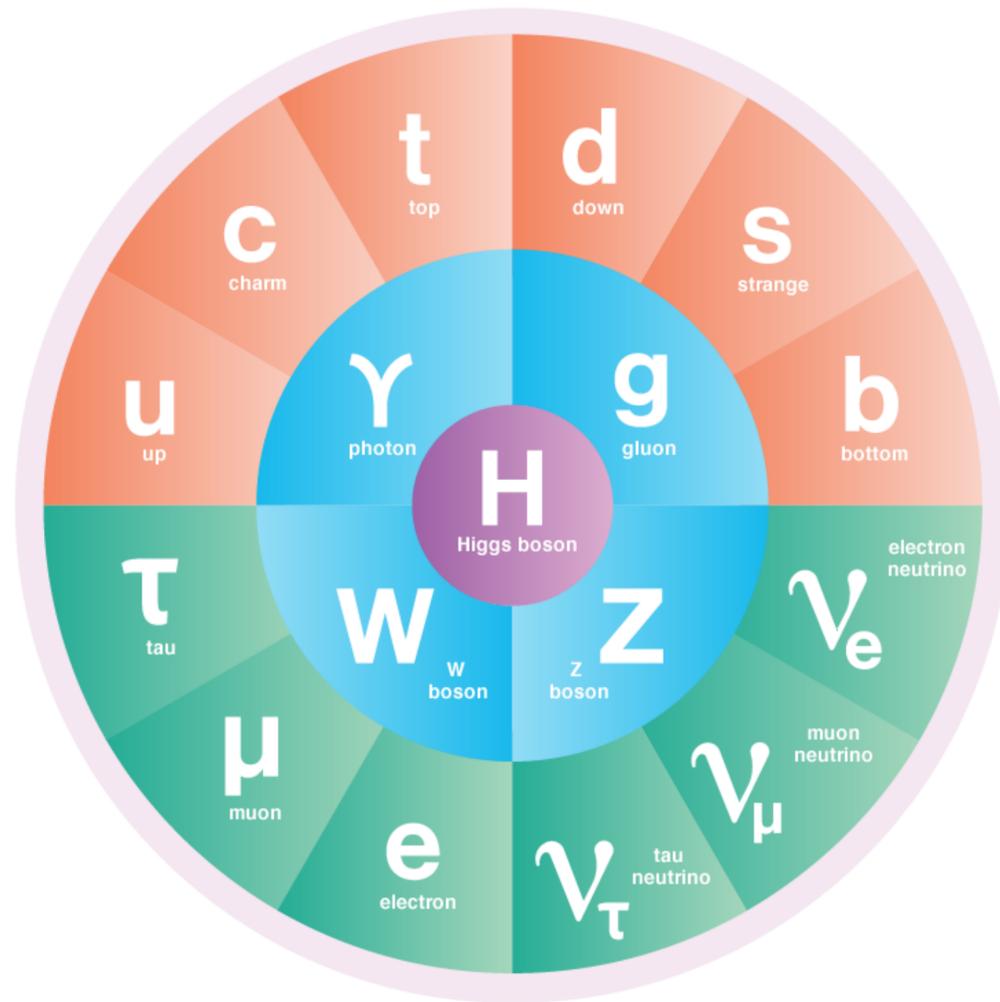
*particles*

+



*interactions*

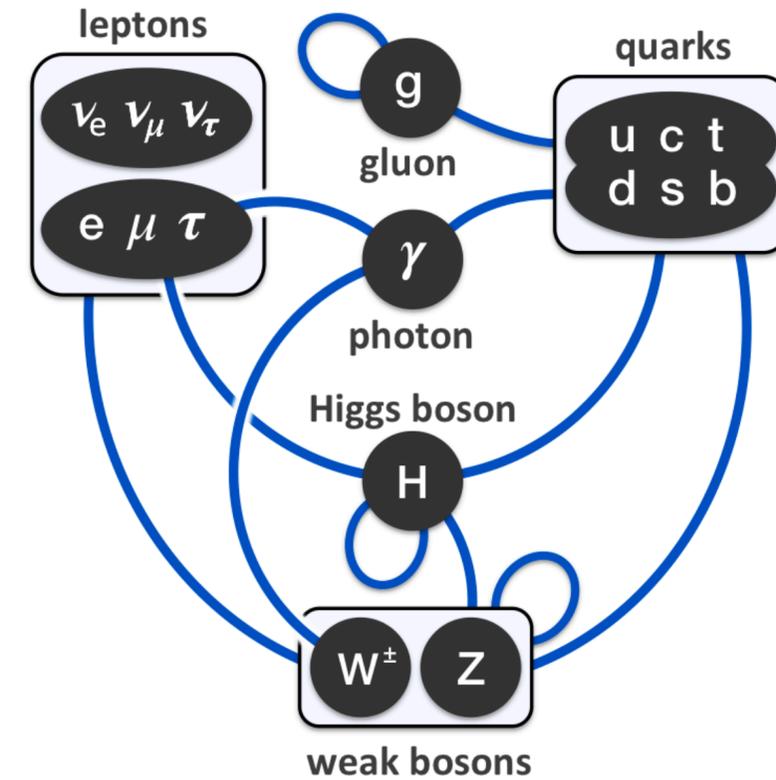
# THE STANDARD MODEL (OR WHY STUDY THE HIGGS BOSON?)



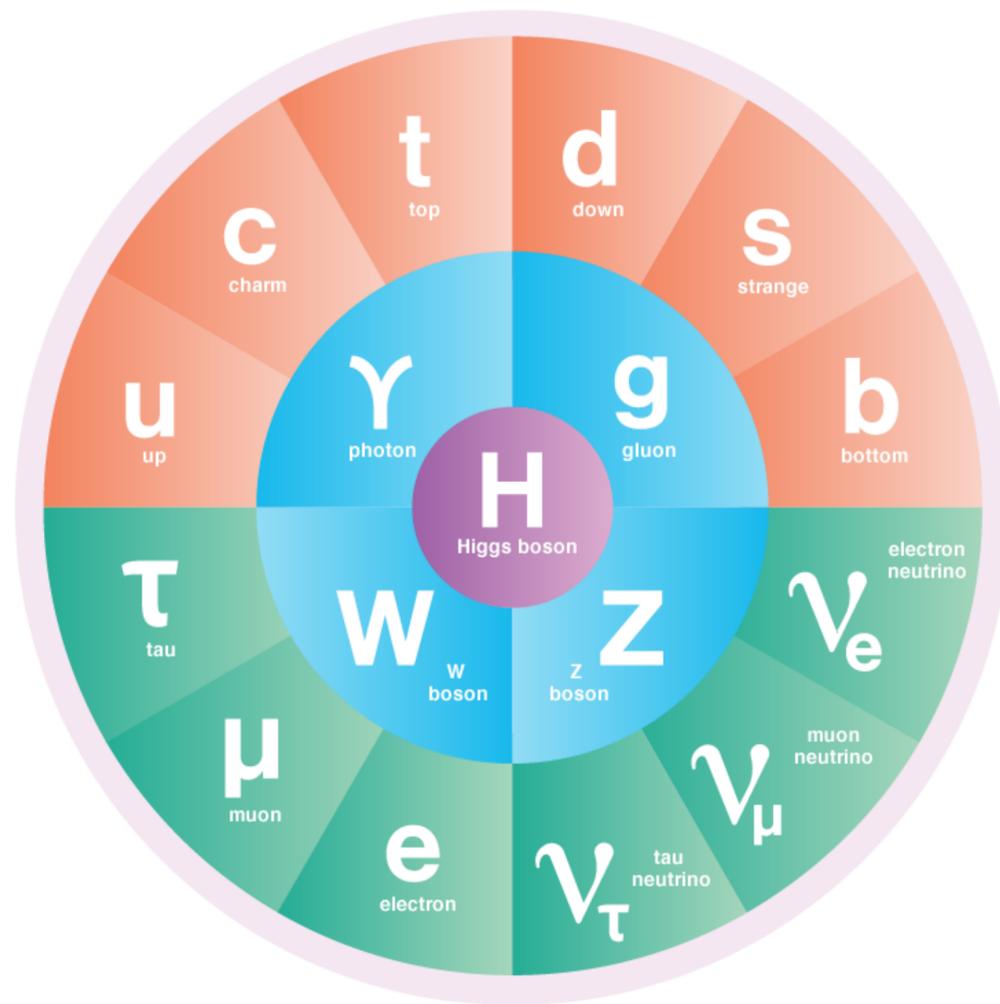
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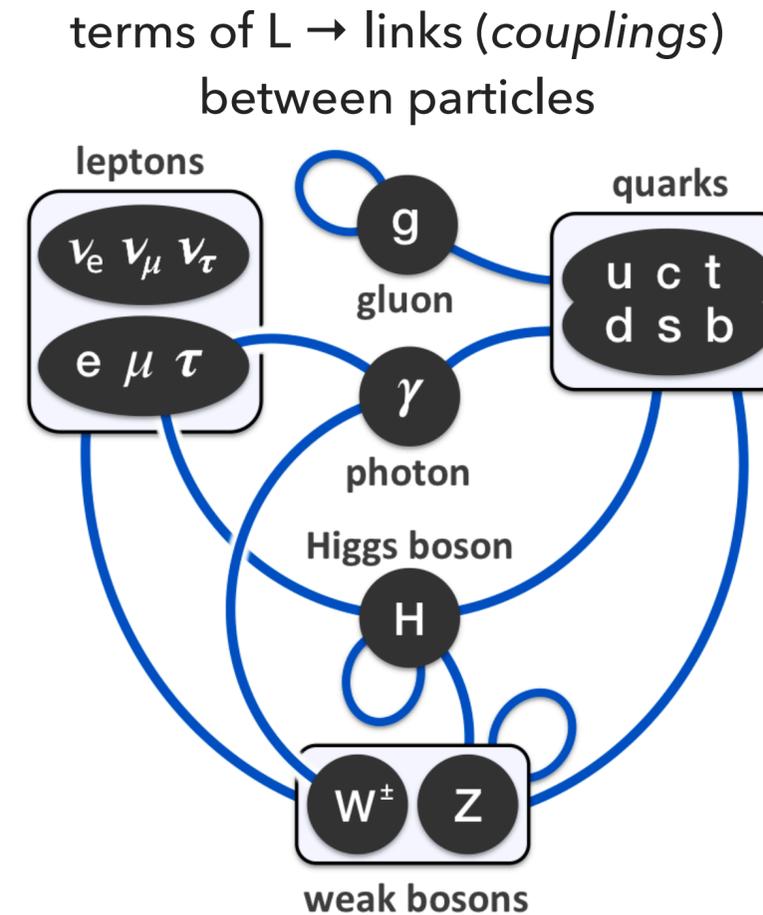
terms of L  $\rightarrow$  links (*couplings*)  
between particles



*interactions*

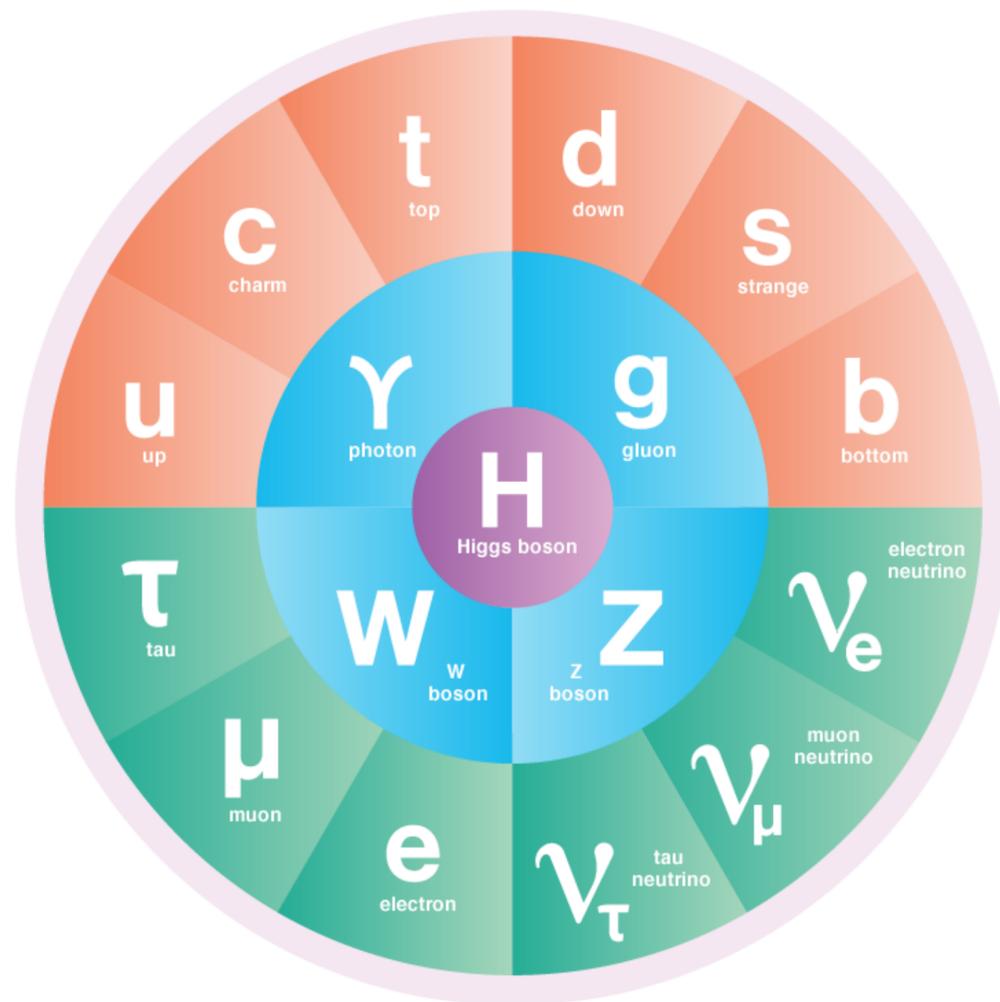


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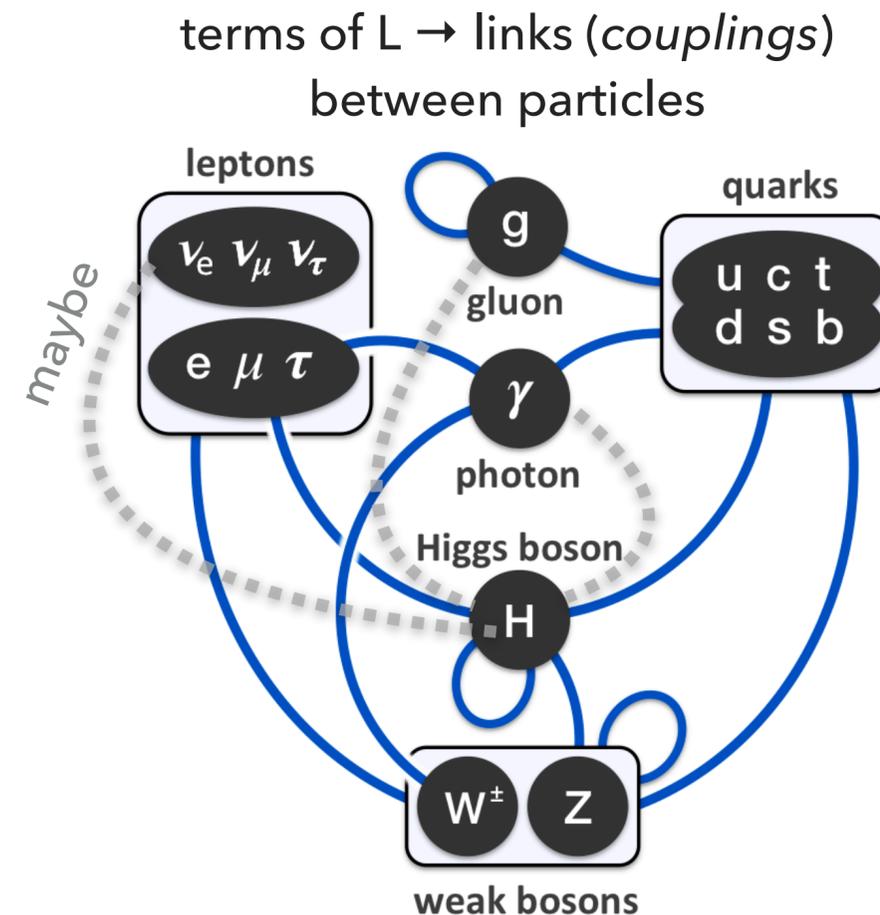


*interactions*

- ▶ But there has to be more to it! SM does not explain **dark matter**, **neutrino masses**, and the **matter-antimatter asymmetry**...

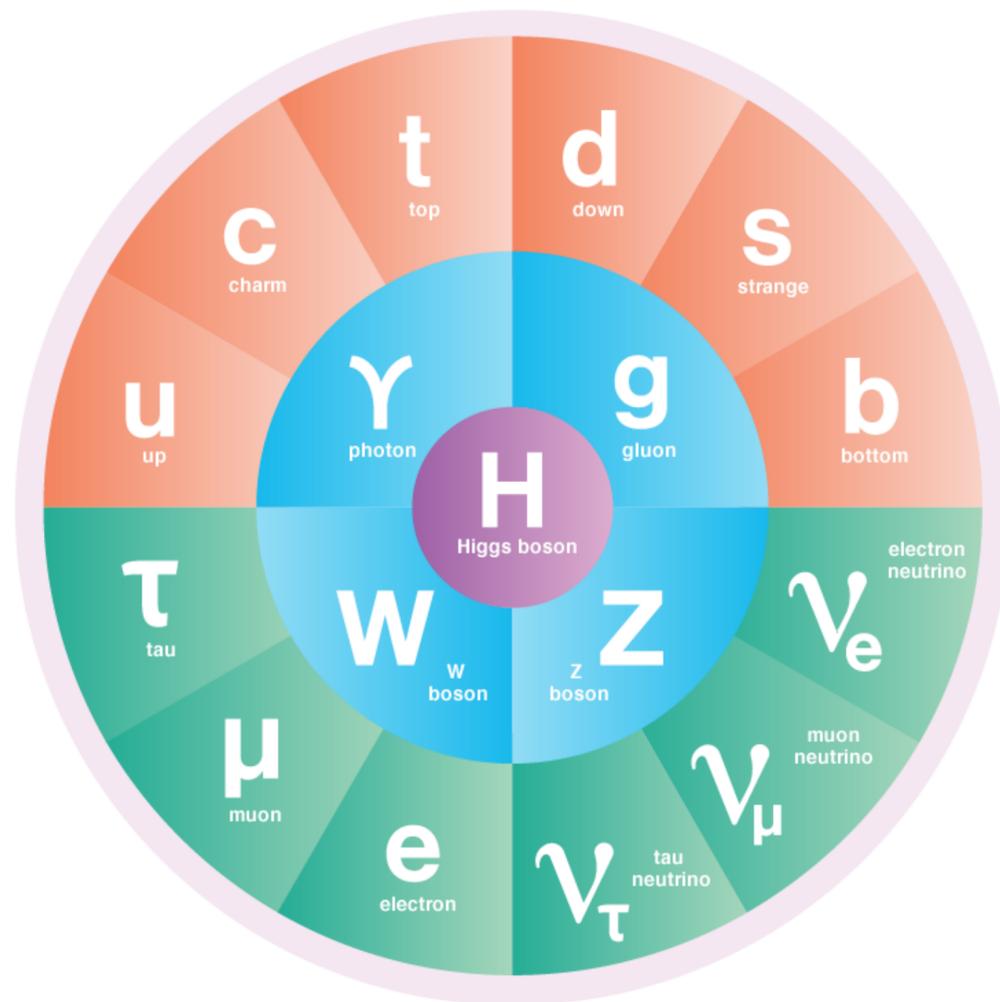


*particles*

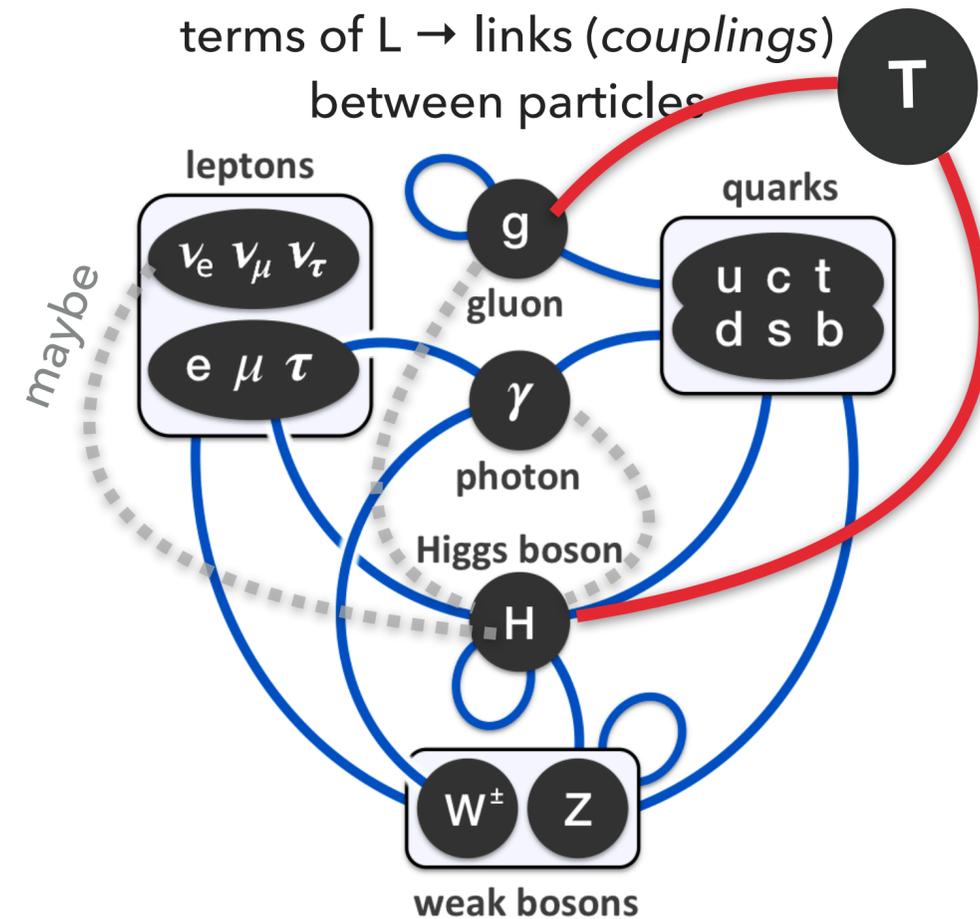


*interactions*

- ▶ But there has to be more to it! SM does not explain **dark matter**, **neutrino masses**, and the **matter-antimatter asymmetry**...
- ▶ Higgs boson is the **centerpiece**: all particles interact with it



*particles*



*interactions*

- ▶ But there has to be more to it! SM does not explain **dark matter**, **neutrino masses**, and the **matter-antimatter asymmetry**...
- ▶ Higgs boson is the **centerpiece**: all particles interact with it
- ▶ May be a link to new particles or interactions

<https://www.youtube.com/watch?v=joTKd5j3mzk>



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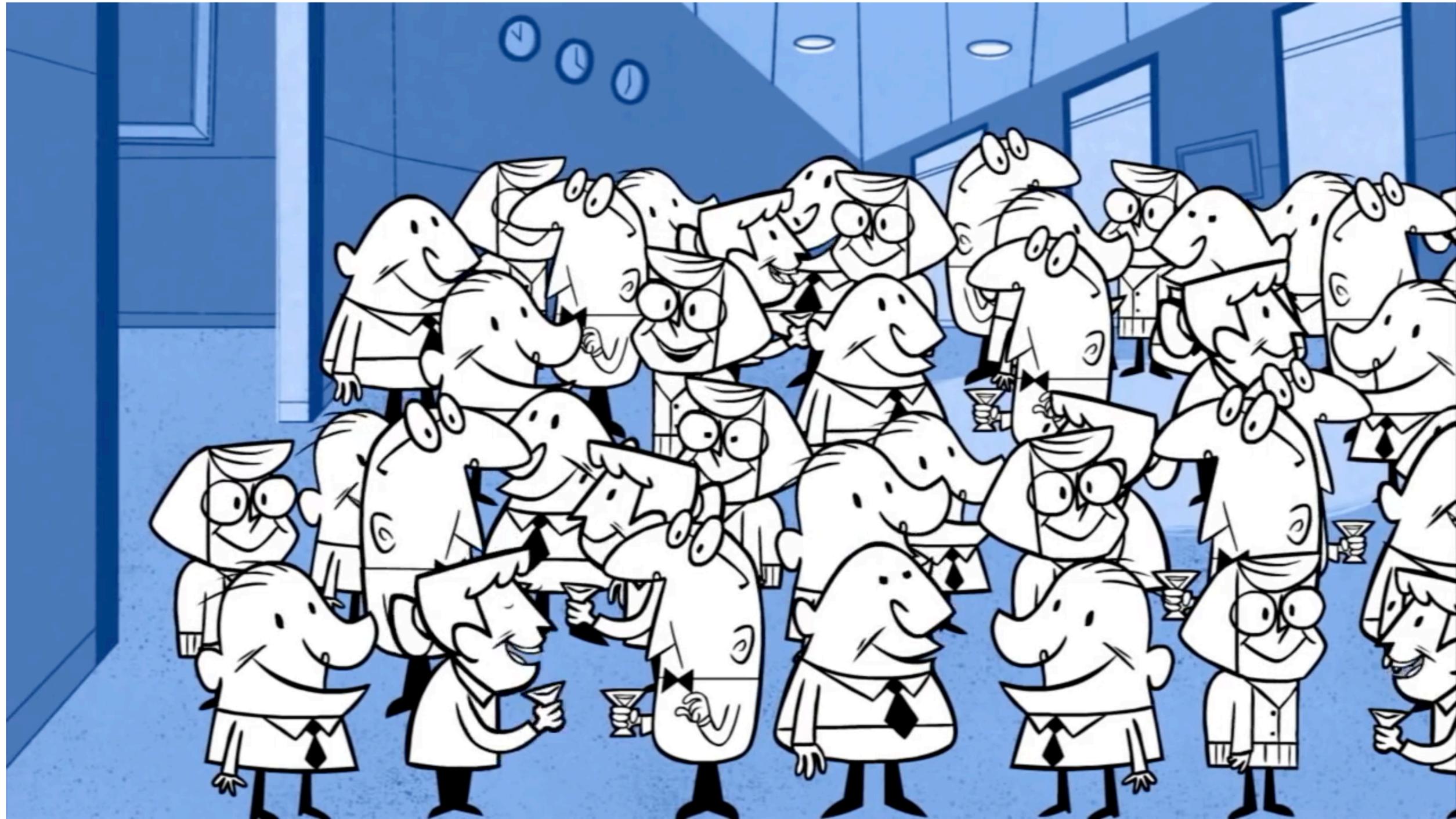
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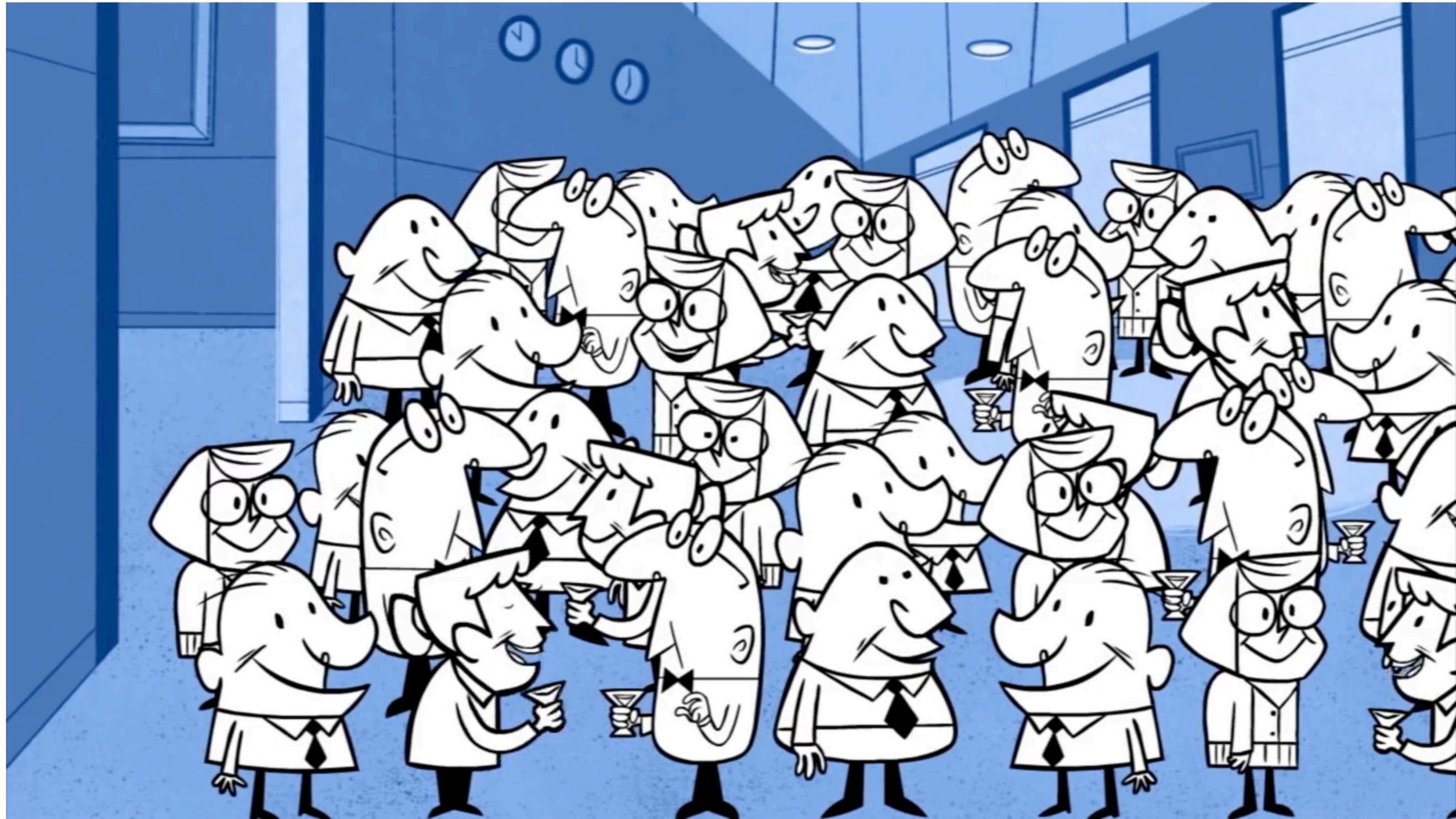
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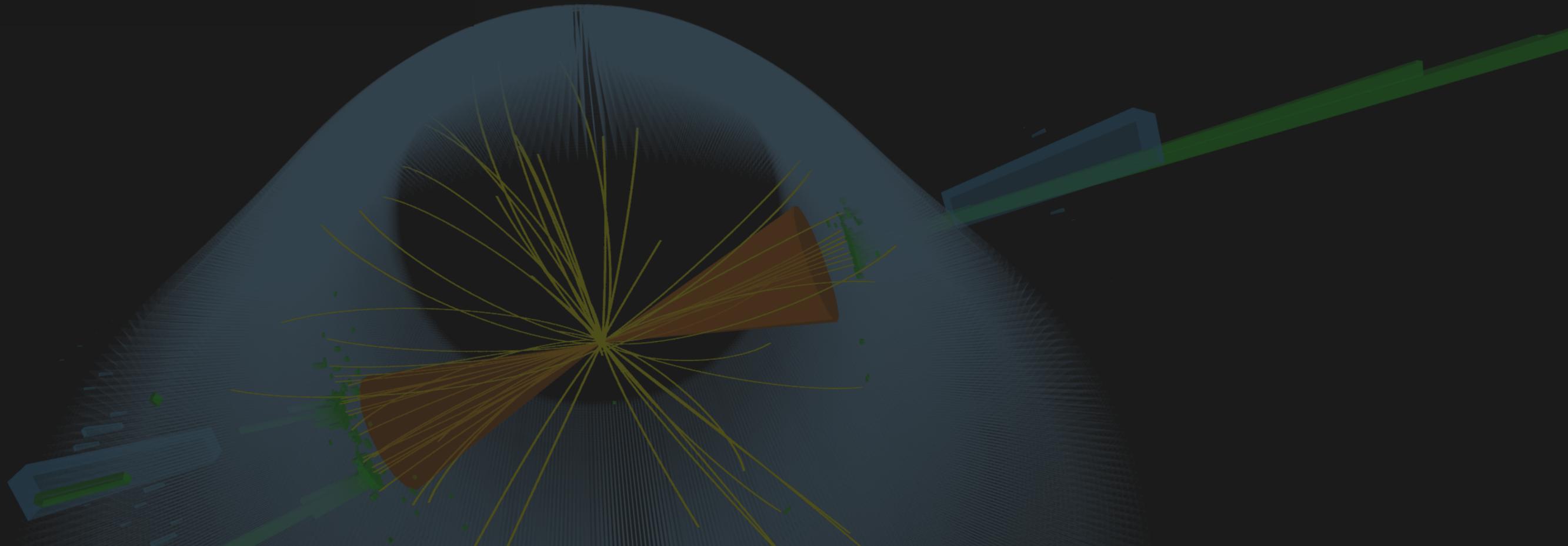


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# LARGE HADRON COLLIDER & COMPACT MUON SOLENOID



SUISSE  
FRANCE

CMS

LHCb

ATLAS

CERN Meyrin

CERN Prévessin

SPS 7 km

ALICE

LHC 27 km



27 km in circumference

South La Jolla State Marine Conservation Area

South La Jolla State Marine Reserve

BIRD ROCK

Kate Sessions

BAY HO

NORTH CLAIREMONT

CLAIREMONT

CLAIREMONT MESA EAST

163

KEARNY MESA

Montgomery-Gi Executive Airp

Miramar Landfill

Marian Bear Memorial Park

Mt. Soledad National Veterans Memorial

VILLAGE OF LA JOLLA

LA JOLLA SHORES

LA JOLLA VILLAGE

UNIVERSITY CITY

TORREY PINES

SORRENTO VALLEY

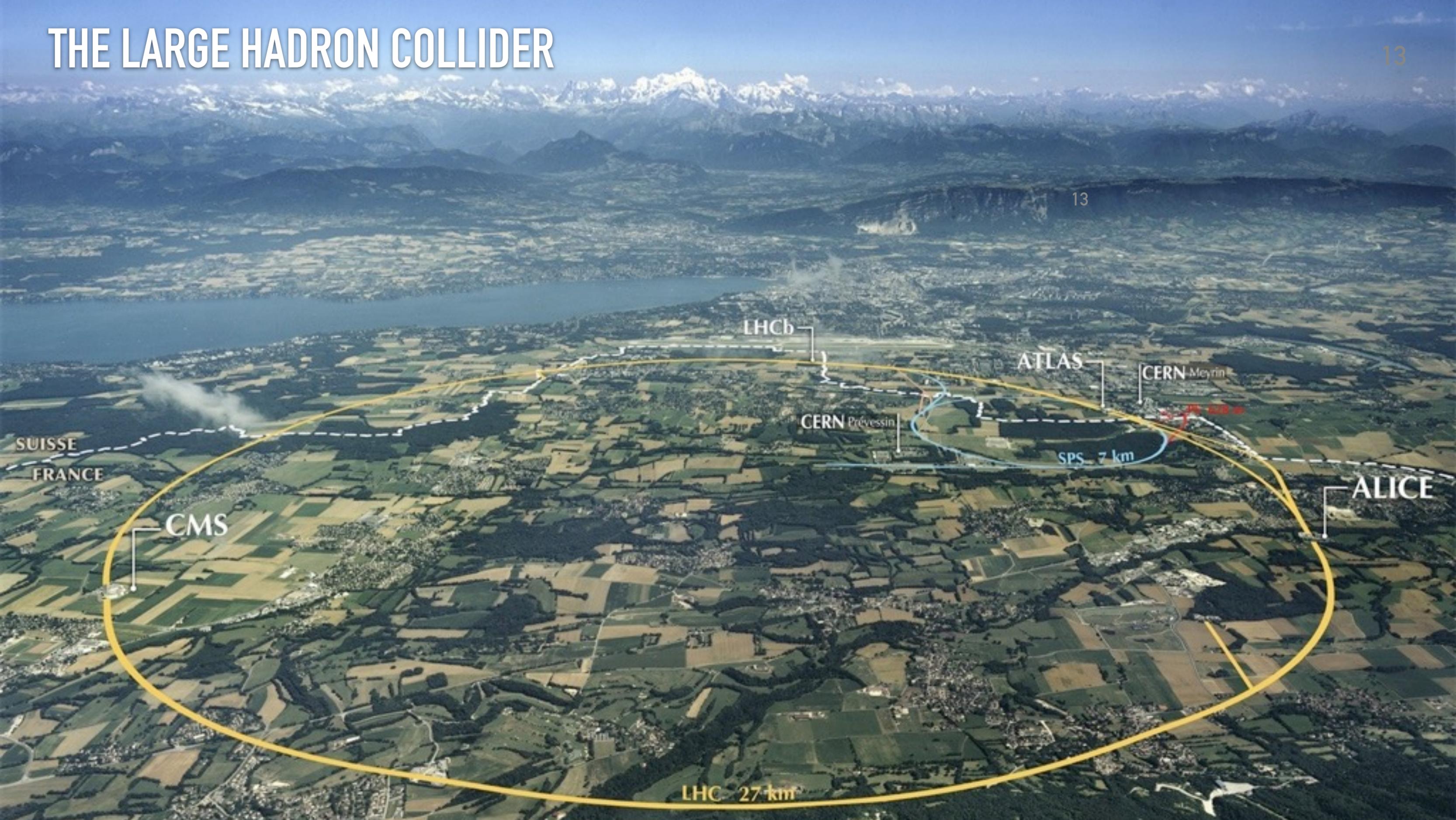
MIRAM

Vulcan Materials Company

MCAS Miramar Commissioned...

Rockin' Jump San Diego

# THE LARGE HADRON COLLIDER



13

LHCb

ATLAS

CERN Meyrin

CERN Prévessin

SPS 7 km

SUISSE  
FRANCE

CMS

ALICE

LHC 27 km

# THE LARGE HADRON COLLIDER



proton-proton collider @ 13 TeV center-of-mass energy

p

p

LHC 27 km

SPS 7 km

SUISSE  
FRANCE

CMS

LHCb

ATLAS

CERN Meyrin

CERN Prévessin

ALICE

# THE LARGE HADRON COLLIDER



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LHCb

ATLAS

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SPS 7 km

SUISSE  
FRANCE

CMS

ALICE

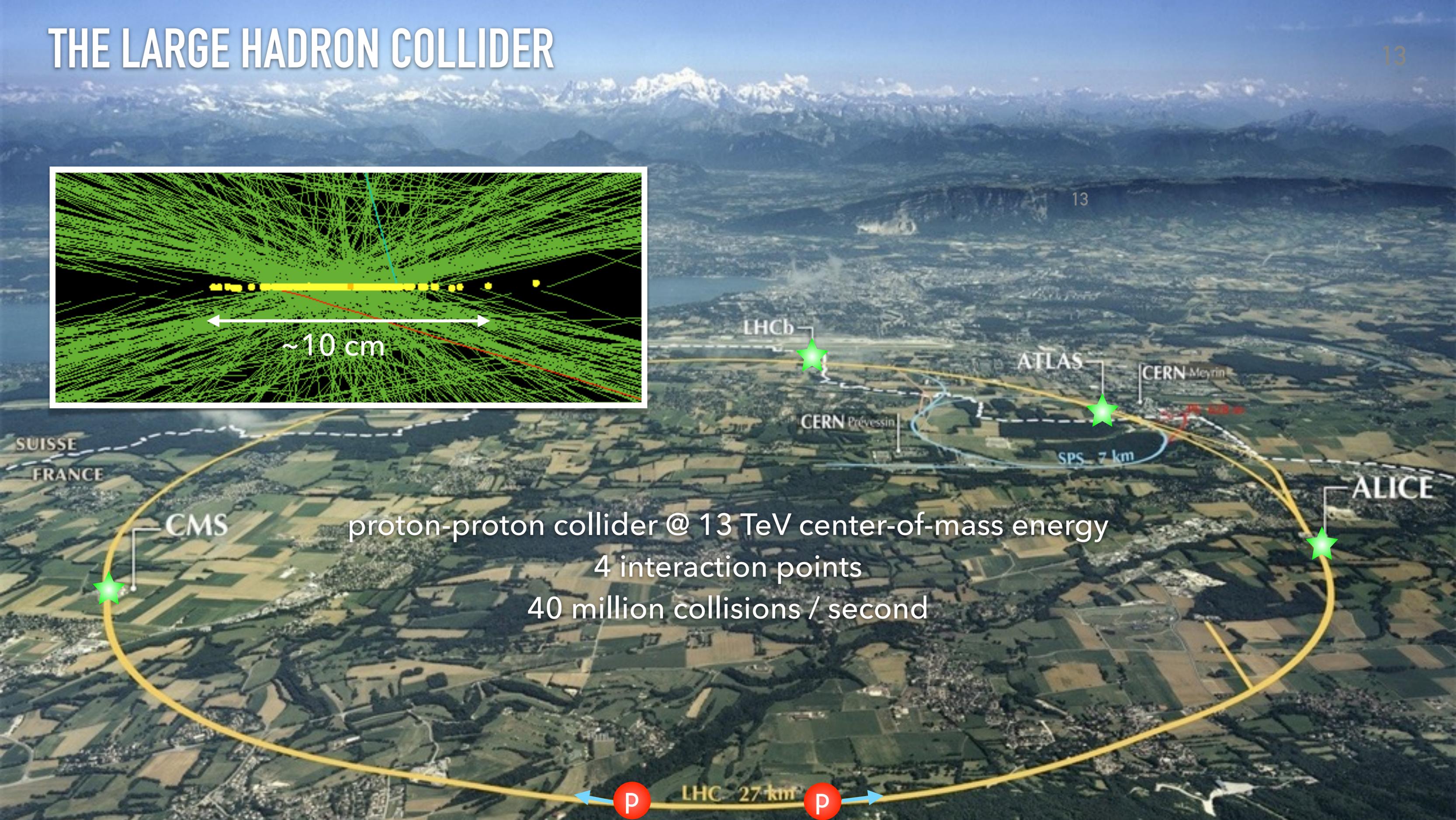
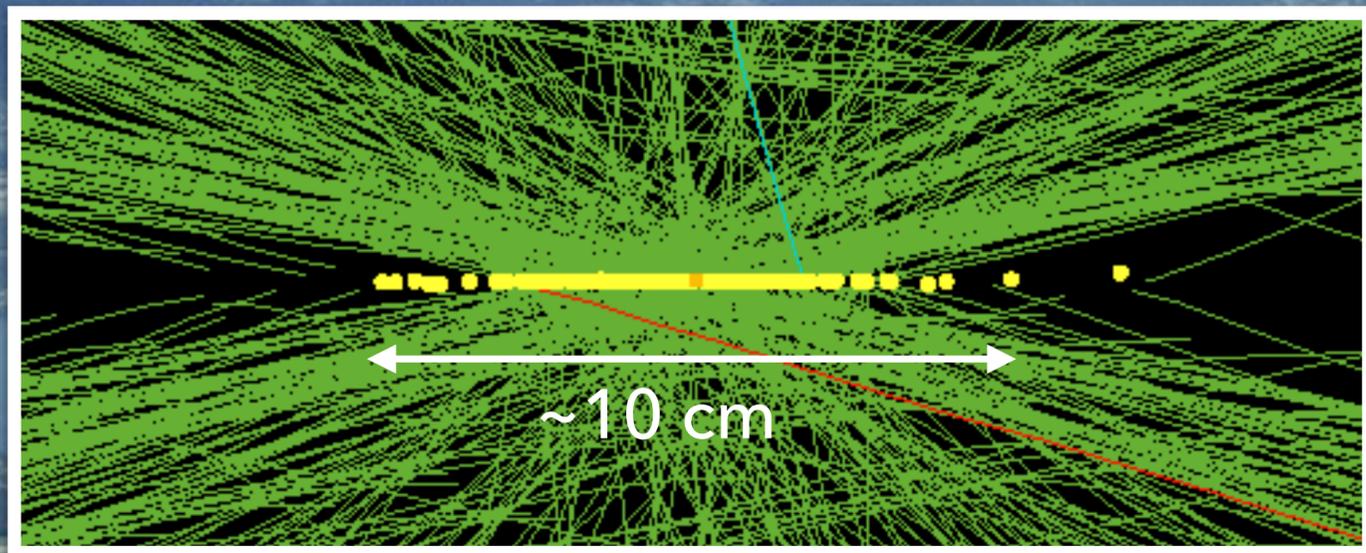
proton-proton collider @ 13 TeV center-of-mass energy  
4 interaction points

LHC 27 km

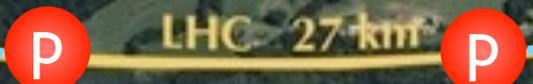
p

p

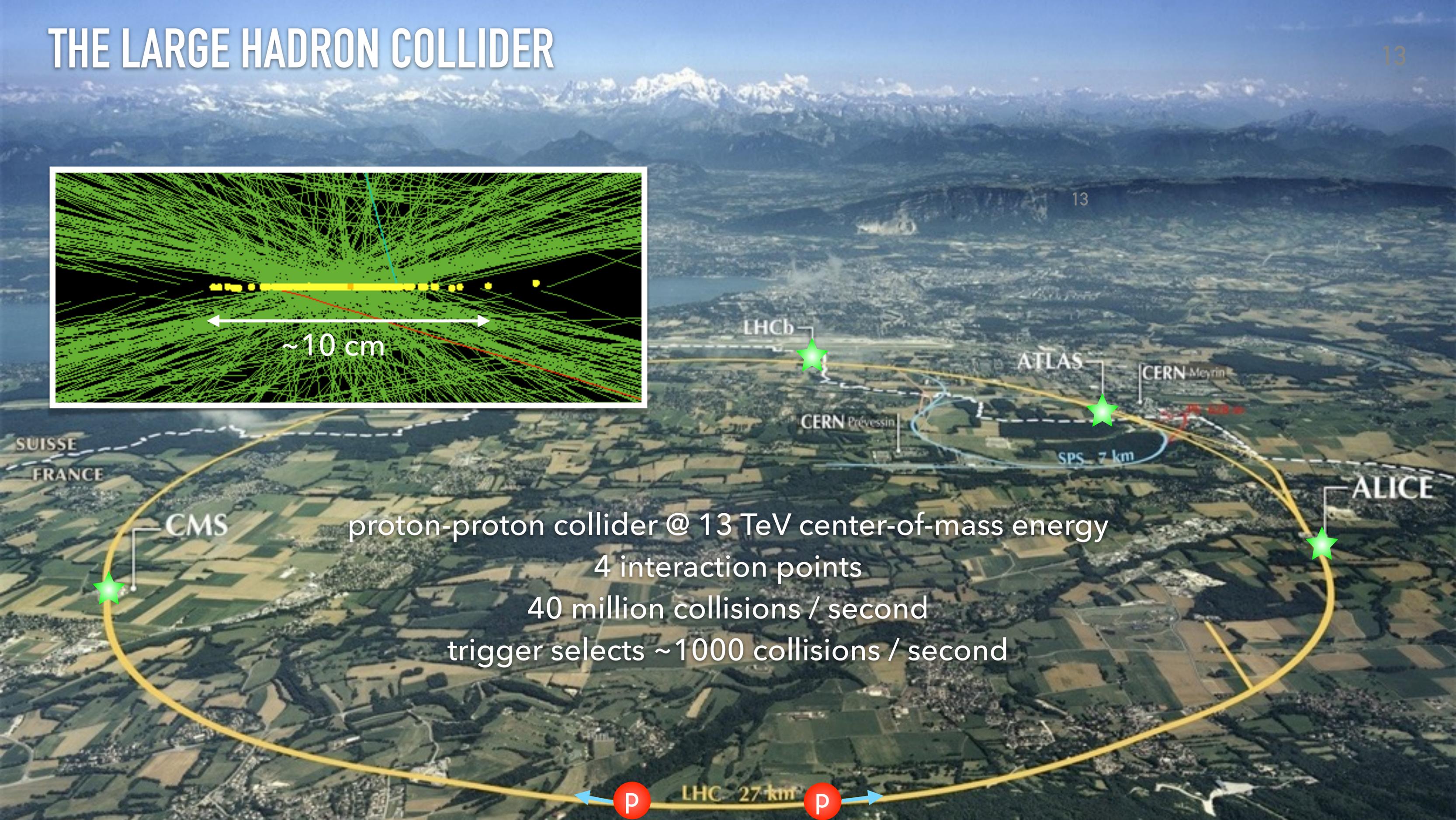
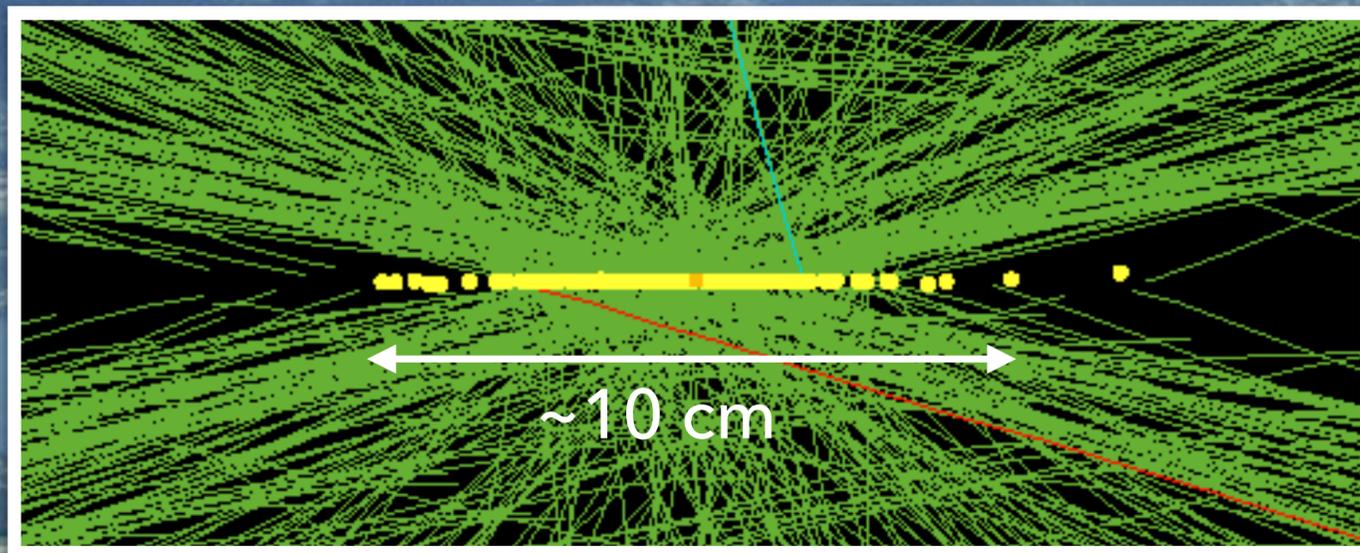
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proton-proton collider @ 13 TeV center-of-mass energy  
4 interaction points  
40 million collisions / second



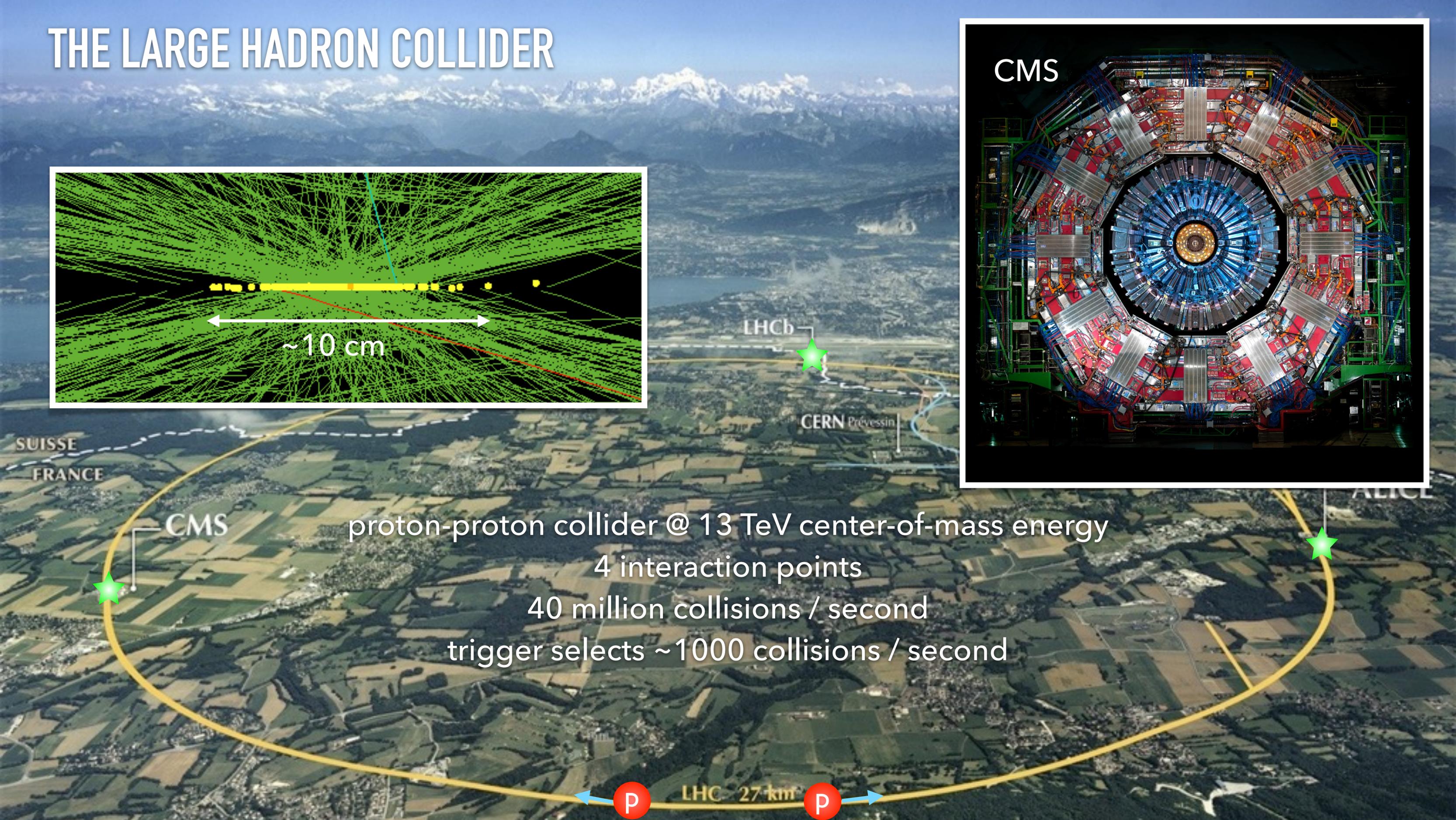
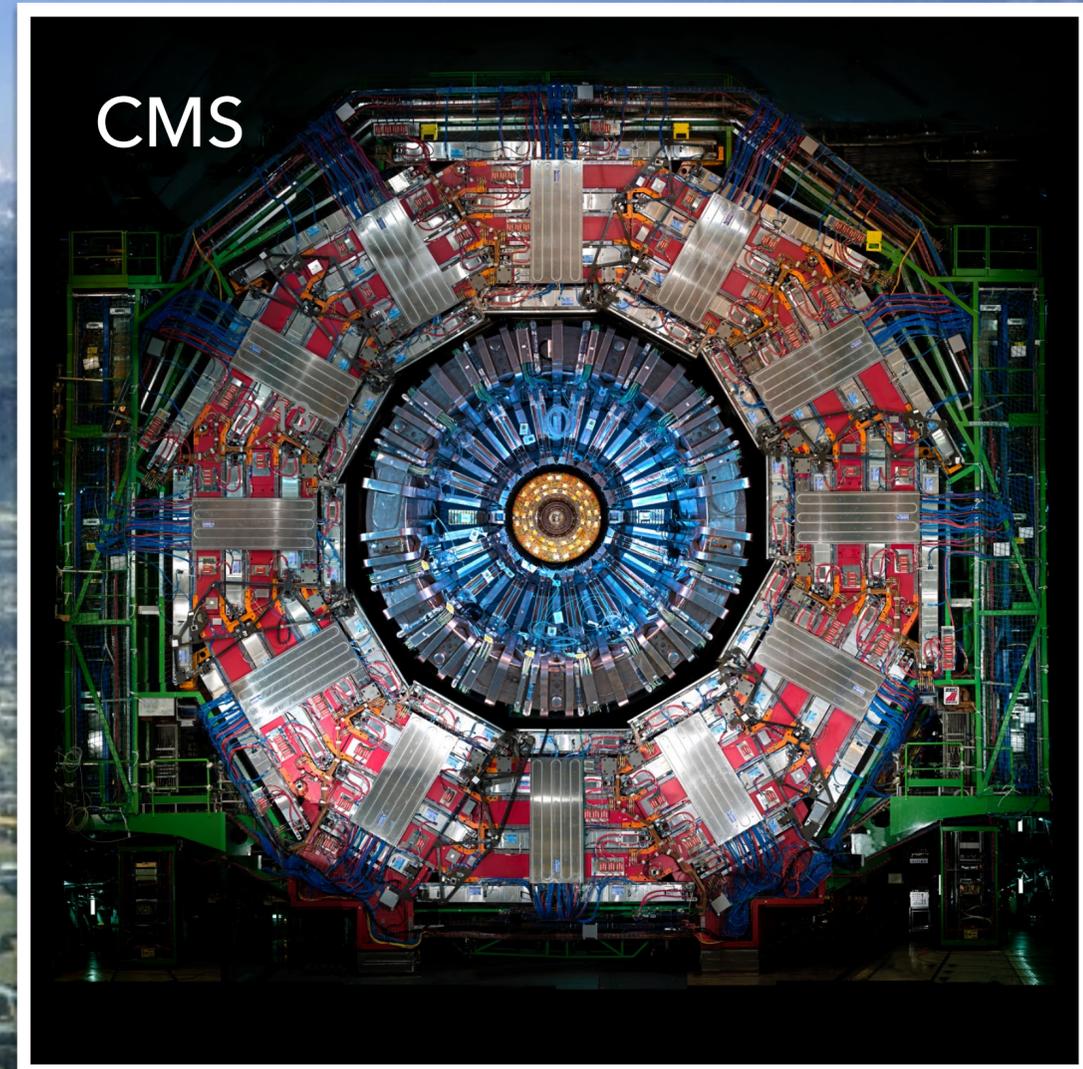
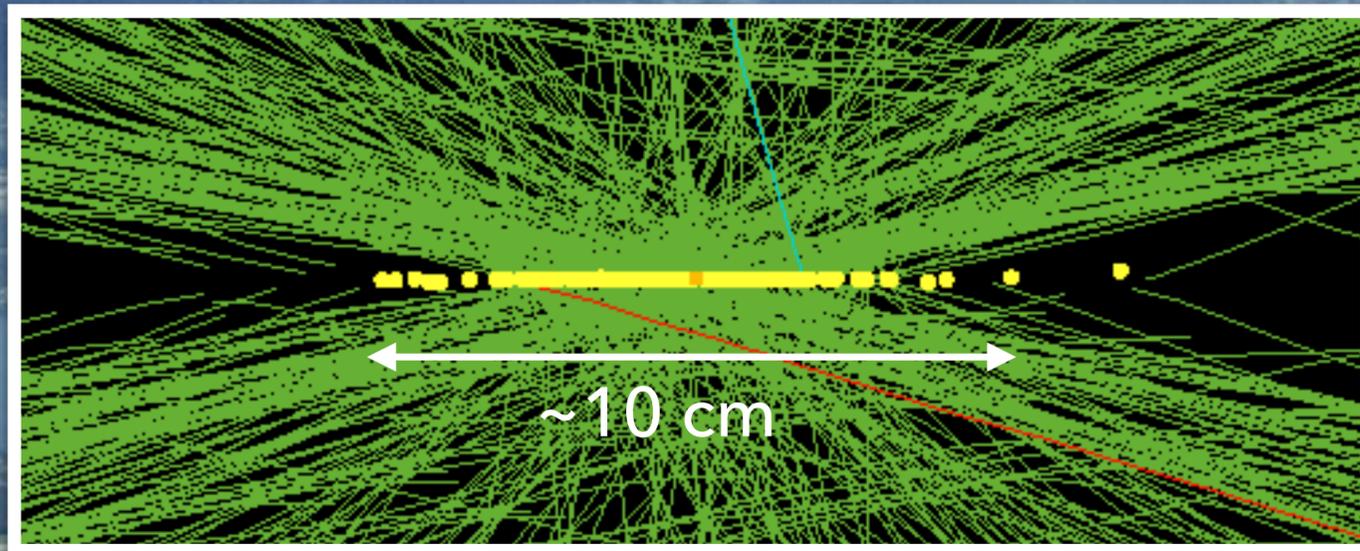
# THE LARGE HADRON COLLIDER



proton-proton collider @ 13 TeV center-of-mass energy  
4 interaction points  
40 million collisions / second  
trigger selects ~1000 collisions / second



# THE LARGE HADRON COLLIDER



proton-proton collider @ 13 TeV center-of-mass energy

4 interaction points

40 million collisions / second

trigger selects  $\sim 1000$  collisions / second

p

p

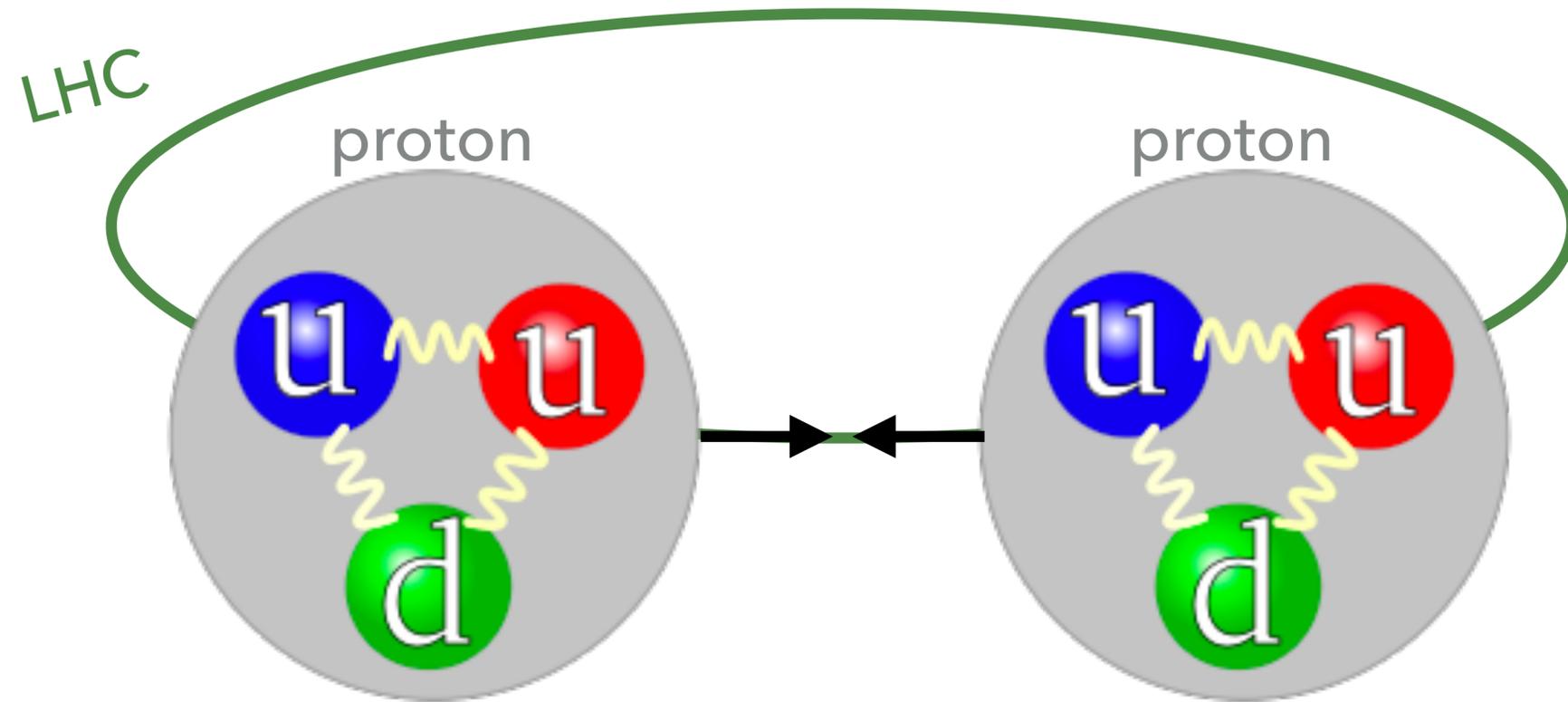
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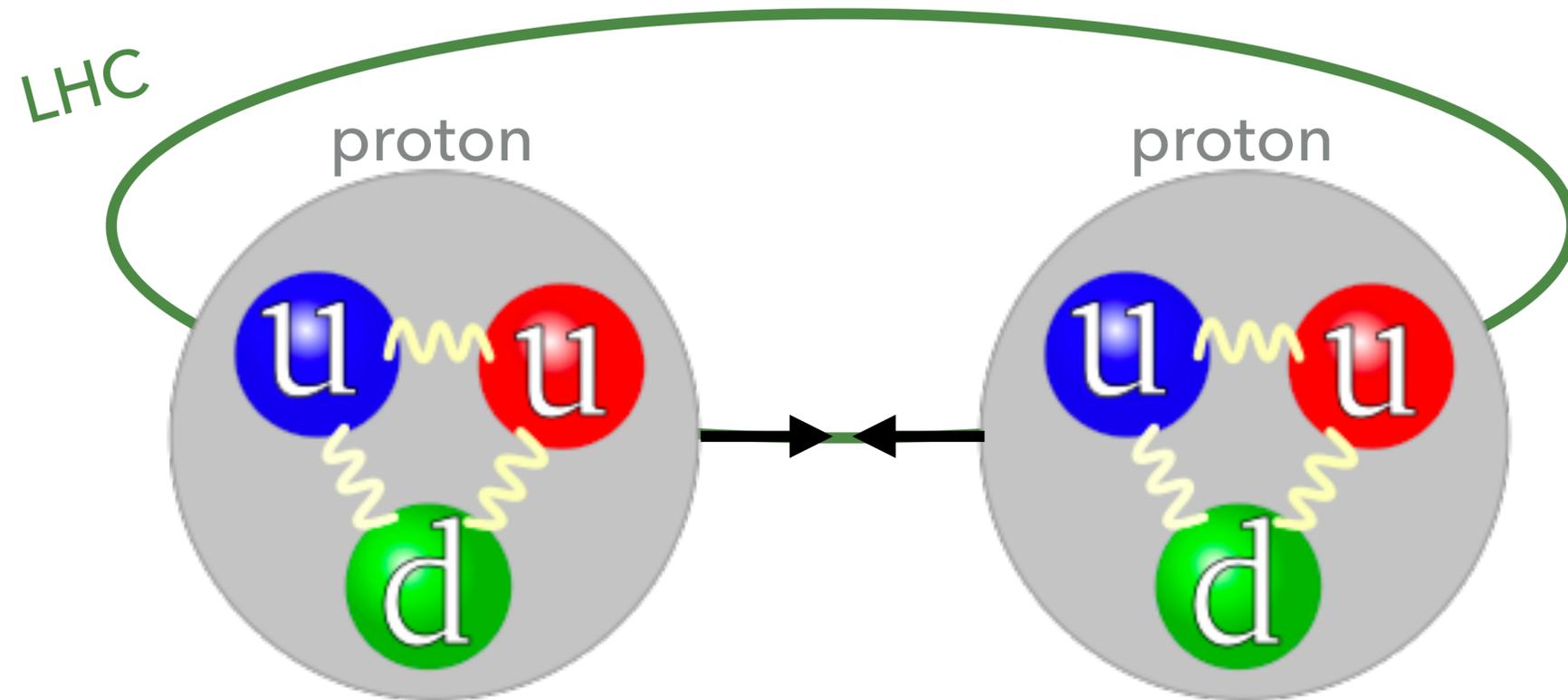


# HOW DO WE PRODUCE HIGGS BOSONS AT THE LHC?



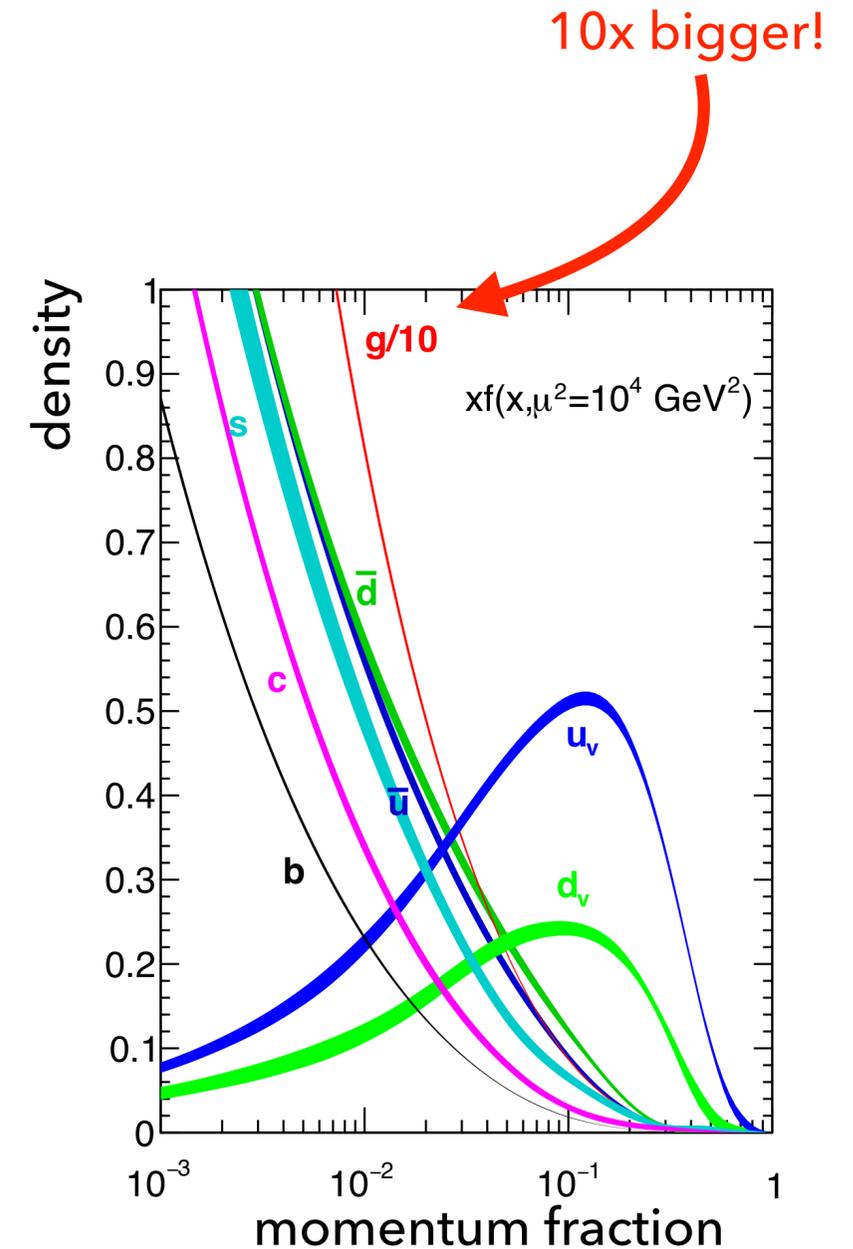
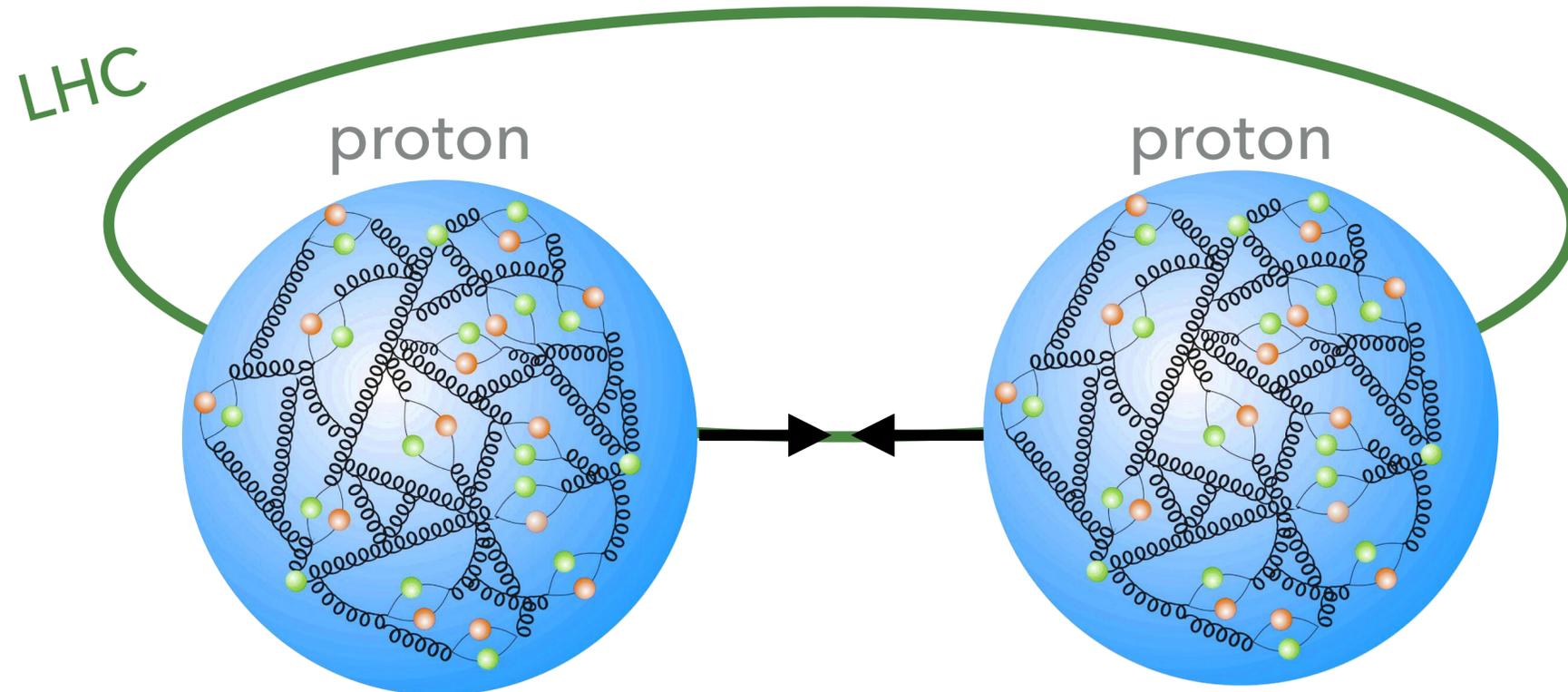
# HOW DO WE PRODUCE HIGGS BOSONS AT THE LHC?

- ▶ LHC collides protons = uud + virtual quarks & gluons



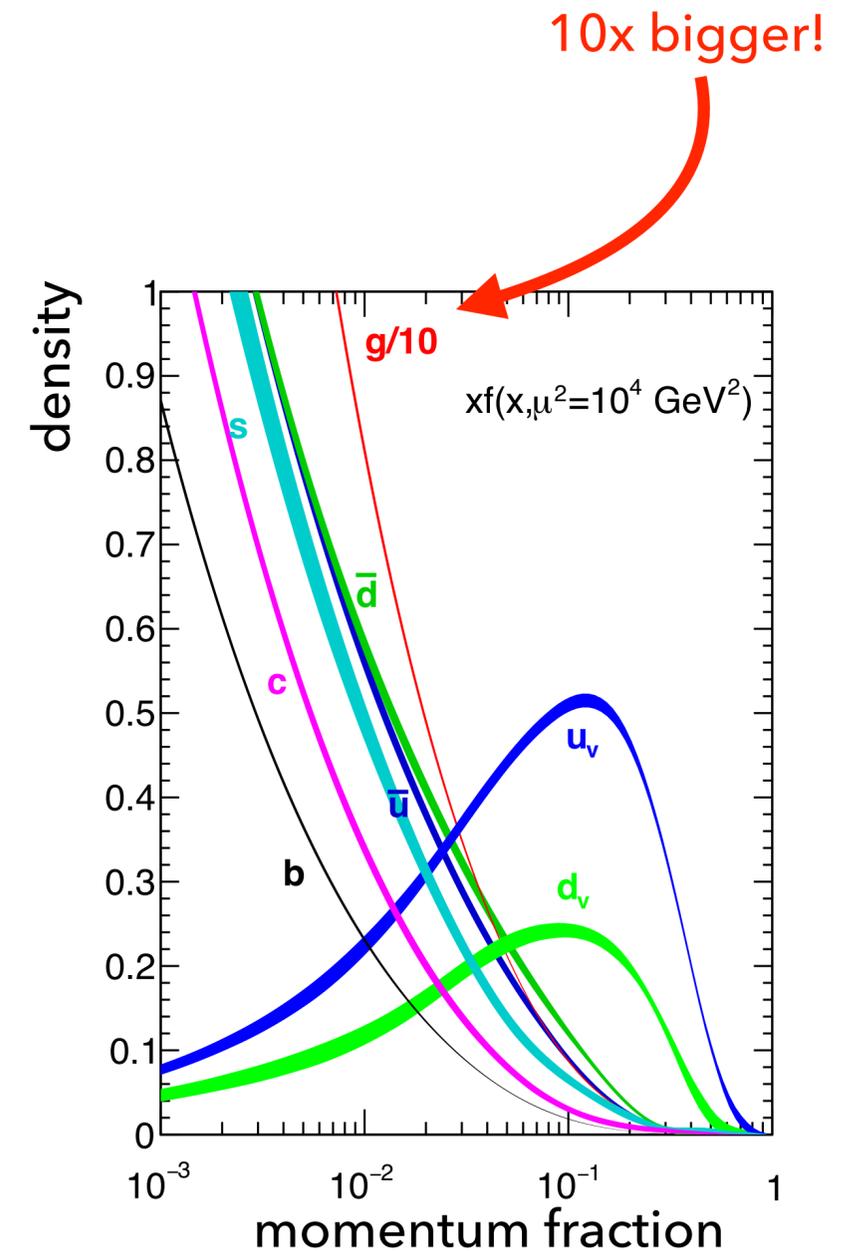
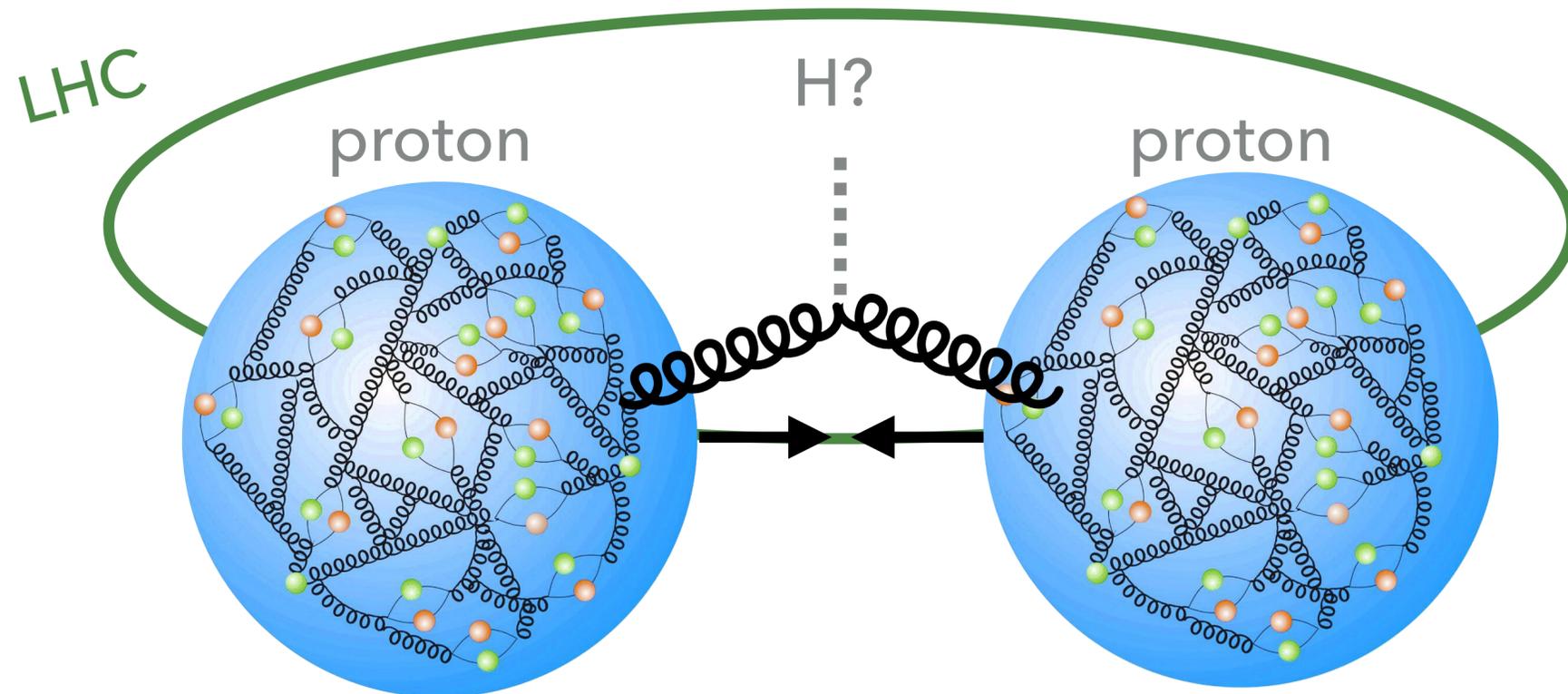
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- ▶ LHC collides protons = uud + virtual quarks & gluons
- ▶ Gluons have the biggest density in the proton

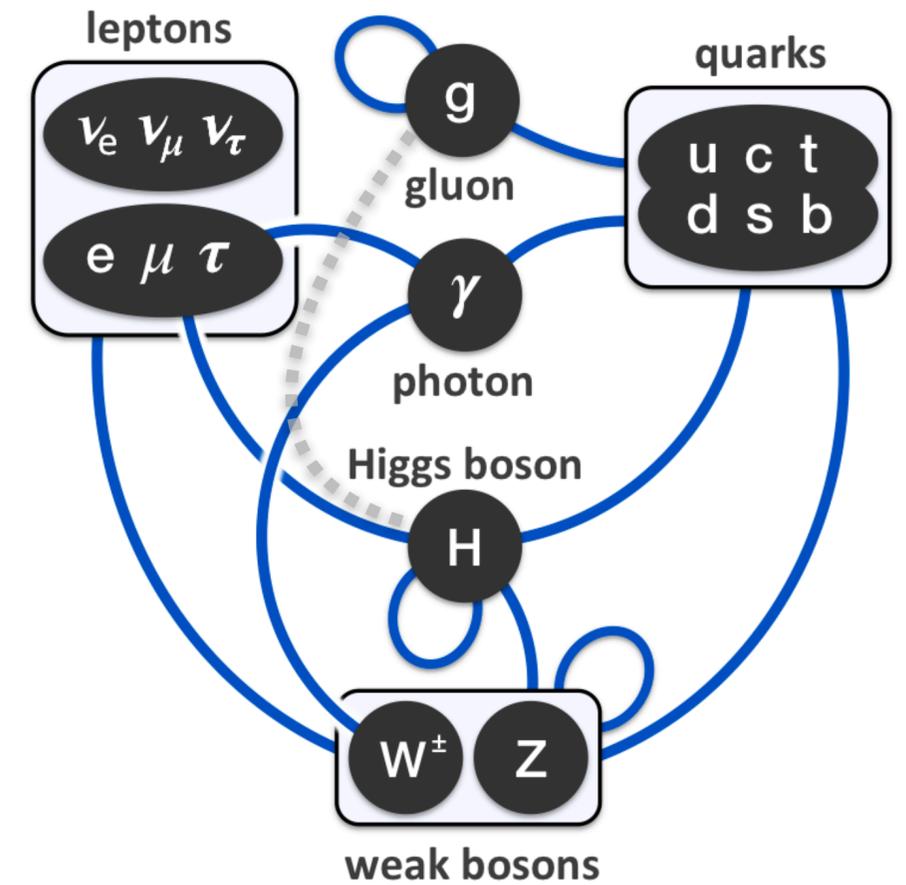
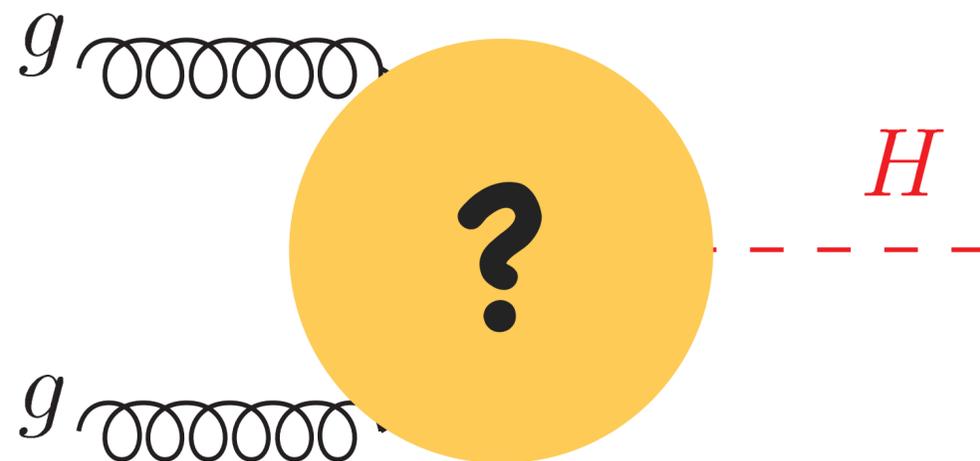


# HOW DO WE PRODUCE HIGGS BOSONS AT THE LHC?

- ▶ LHC collides protons = uud + virtual quarks & gluons
- ▶ Gluons have the biggest density in the proton
- ▶ Can we collide gluons to make a Higgs boson?

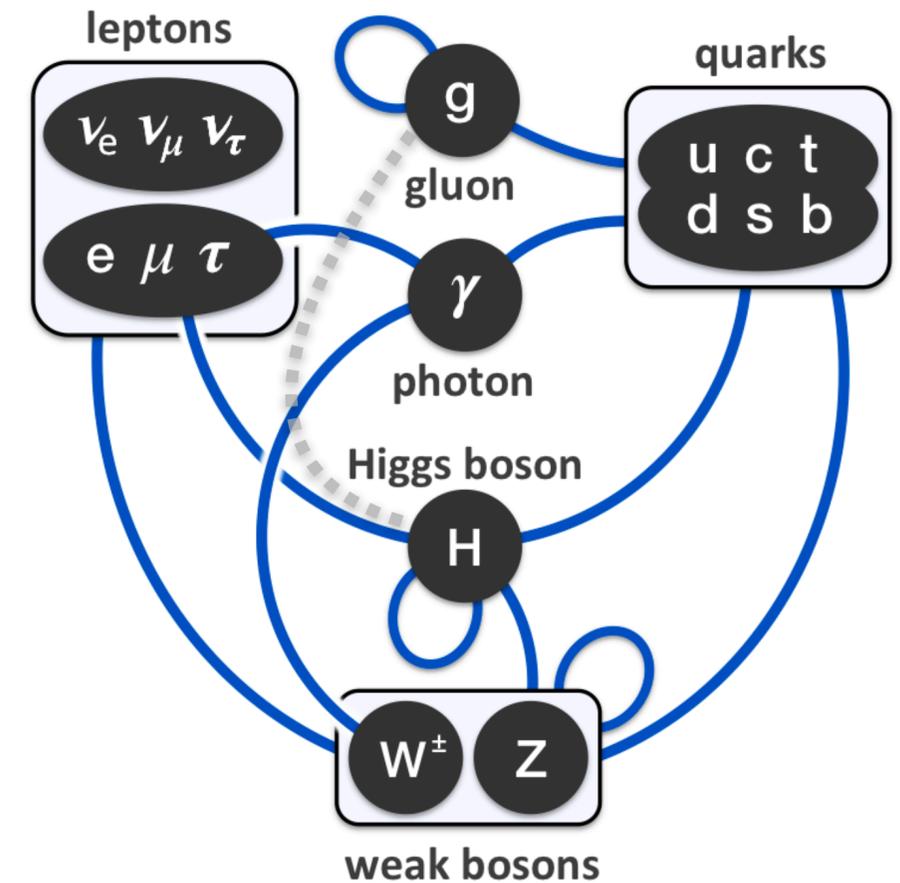
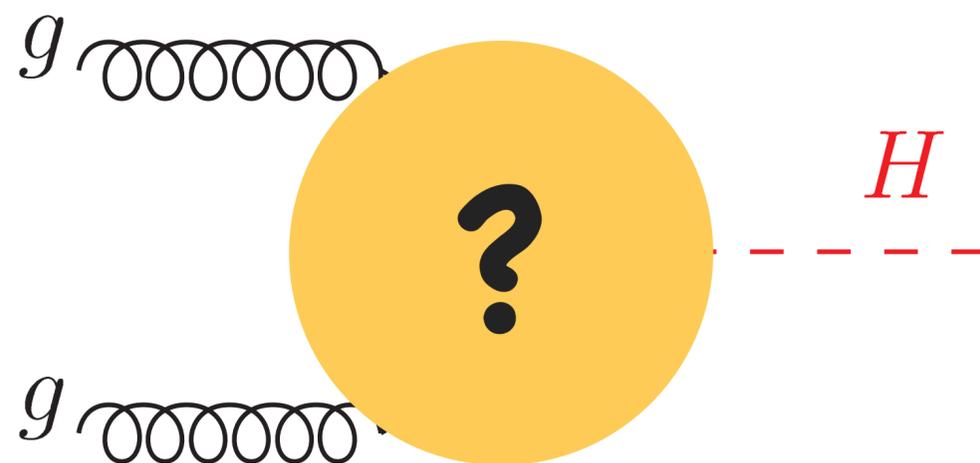


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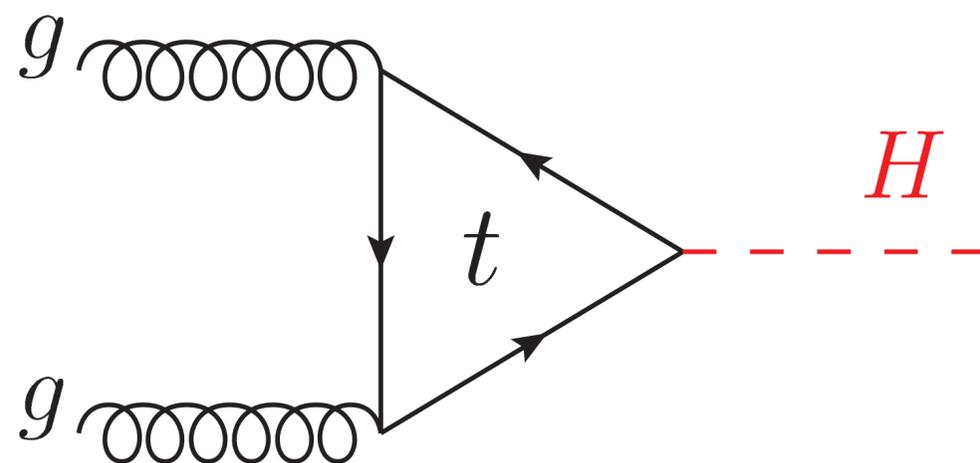
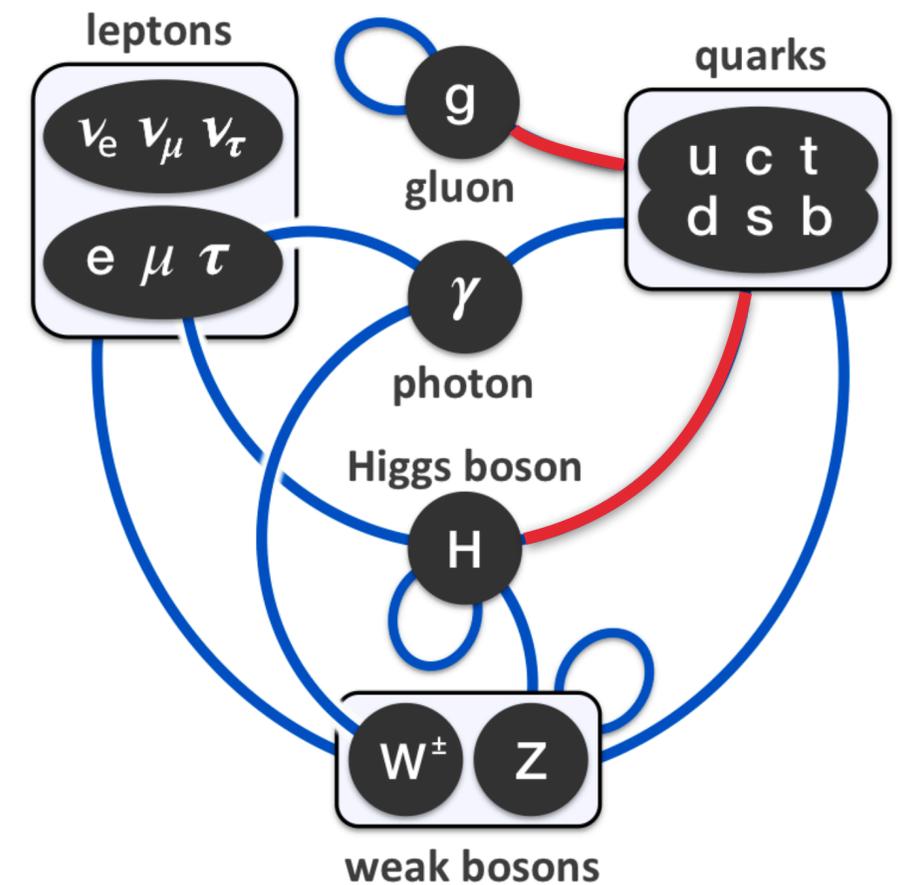
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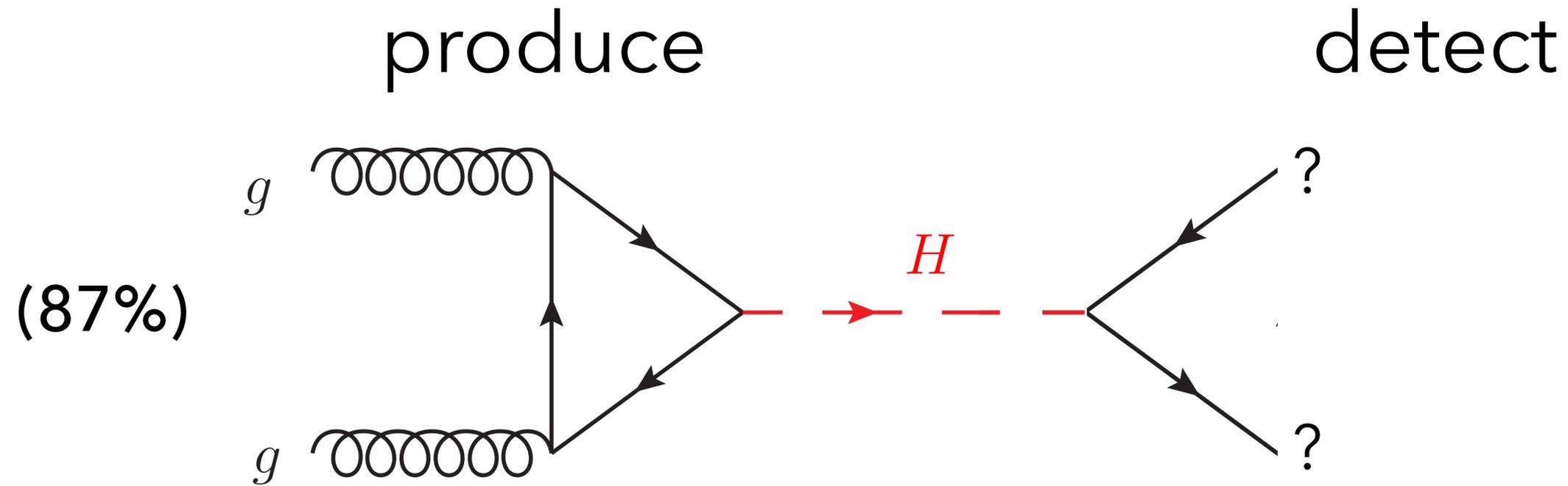
- ▶ Interactions can be "generated" through *virtual (intermediate) particles*



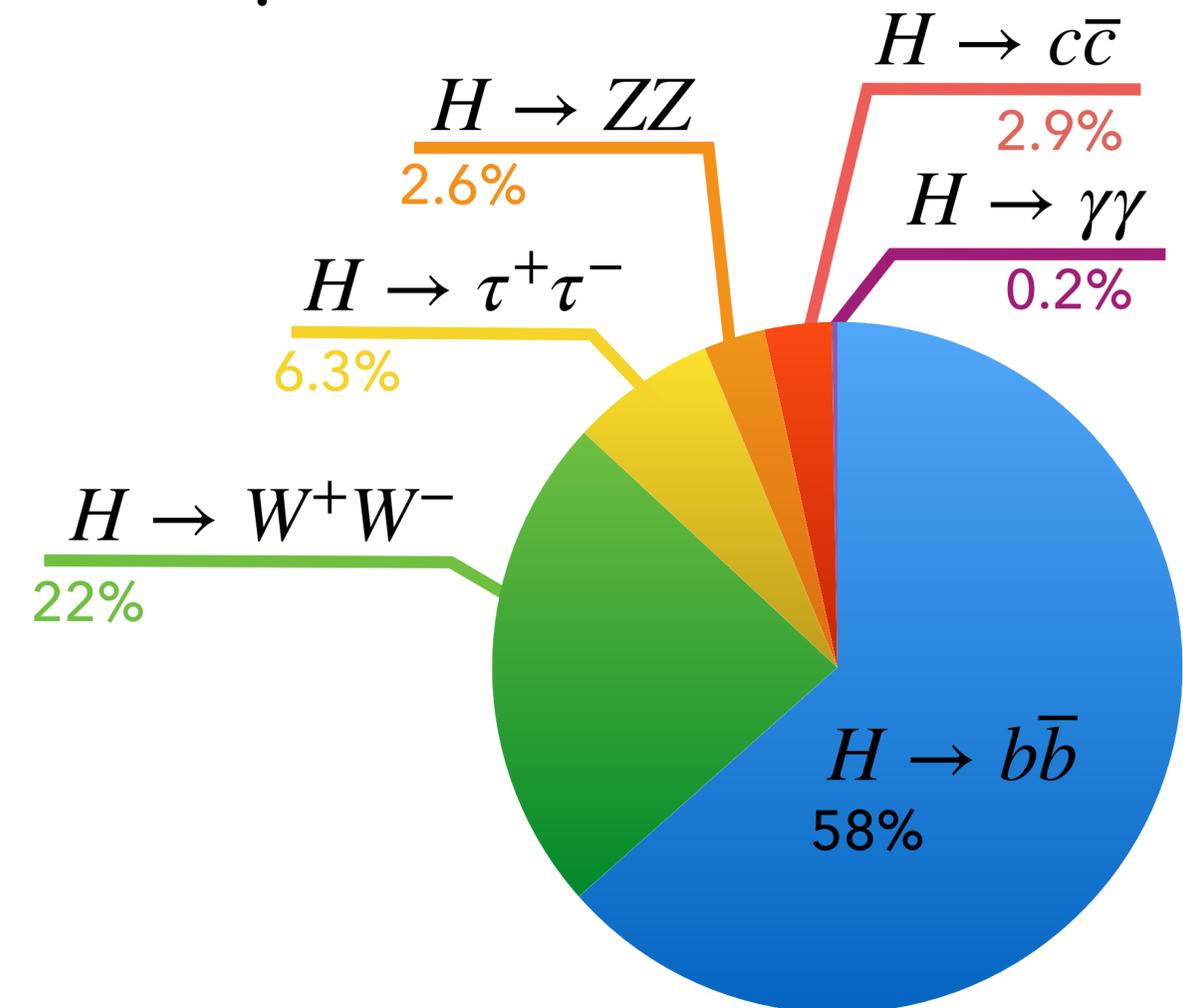
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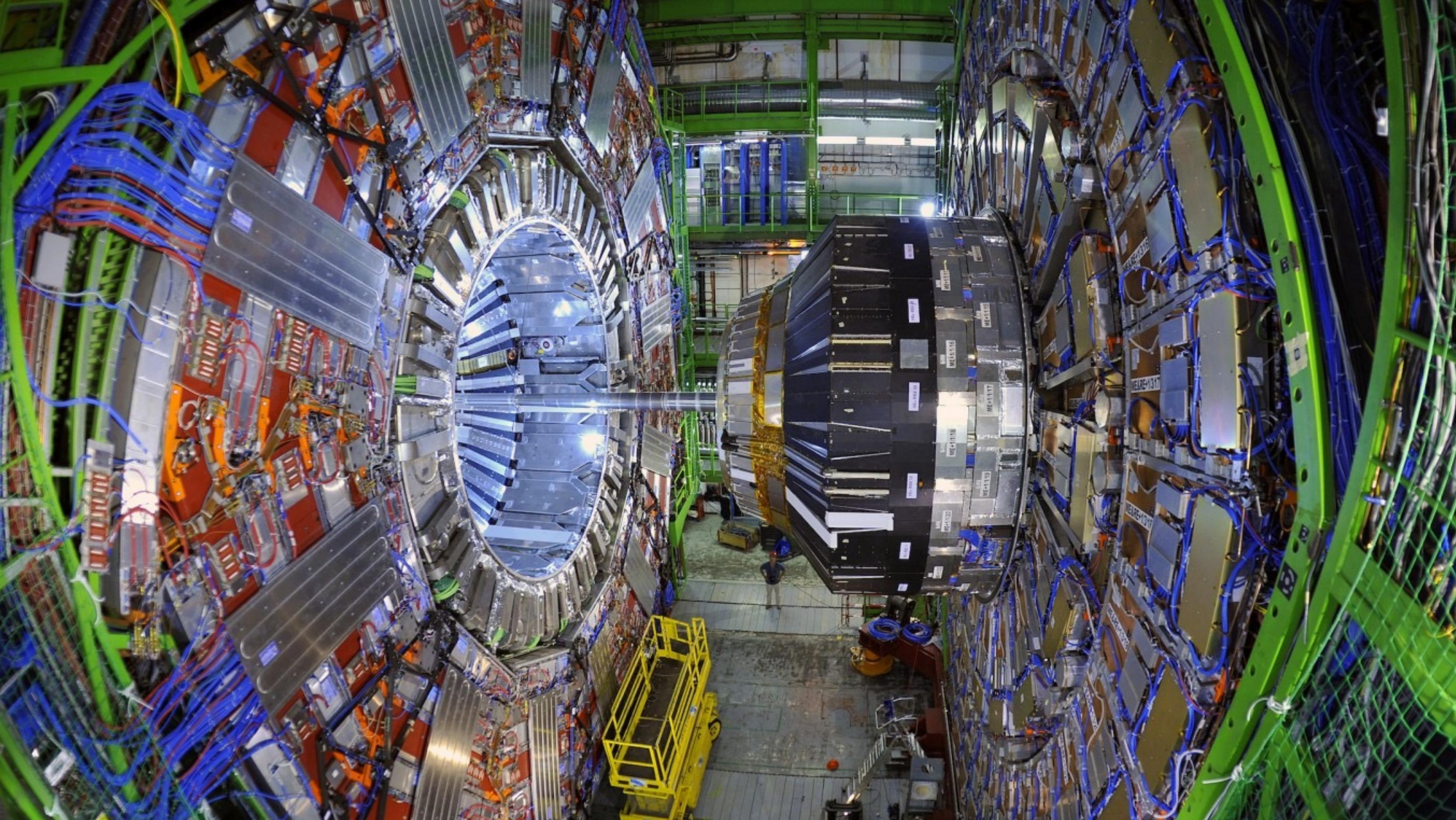
- ▶ Interactions can be "generated" through *virtual (intermediate) particles*
- ▶ Biggest contribution in the SM is from the **top quark**

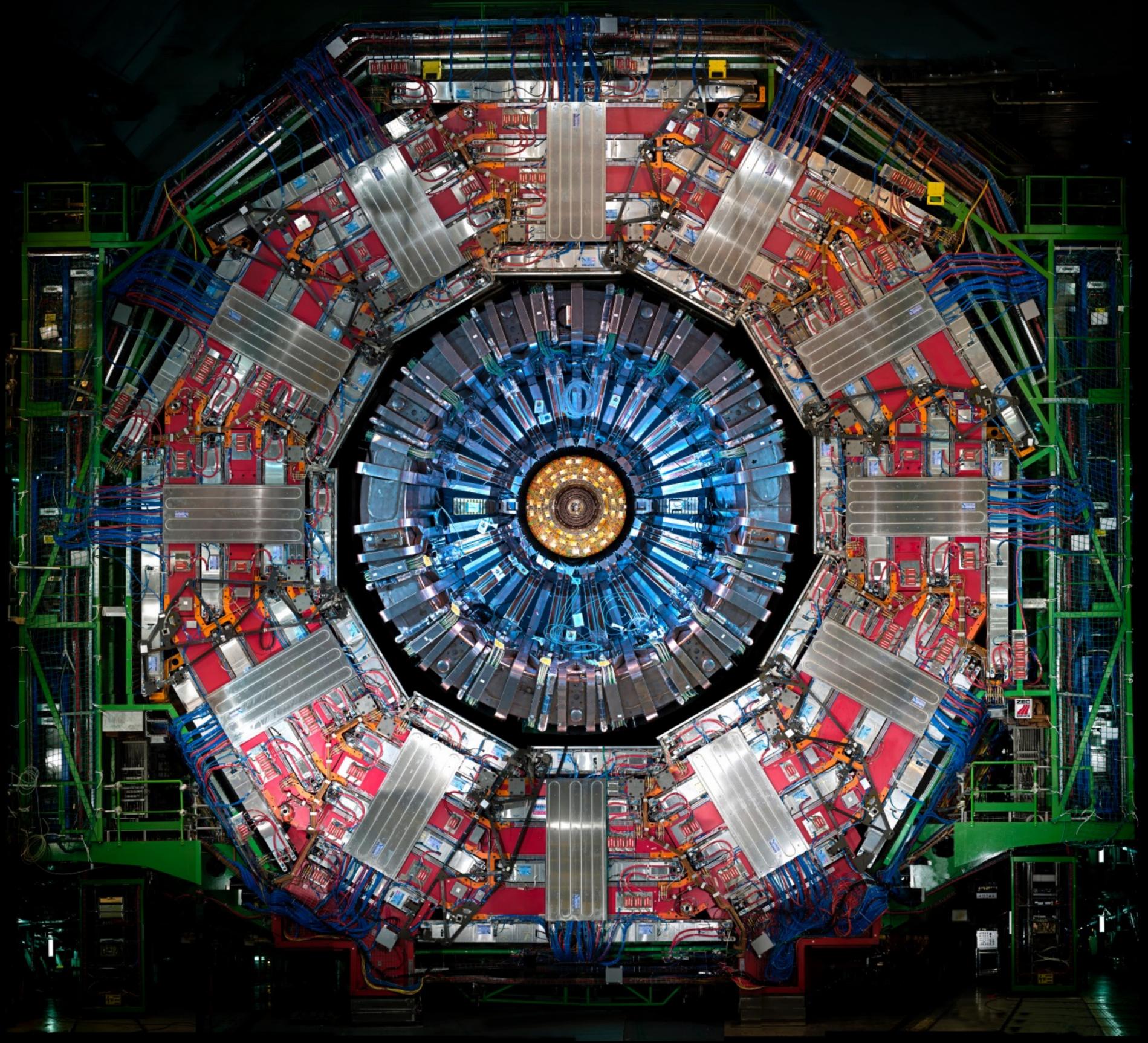




- ▶  $H \rightarrow \gamma\gamma$  and  $H \rightarrow ZZ$  have small rate but are very "clean"
- ▶  $H \rightarrow b\bar{b}$  is large, but more difficult due to large backgrounds



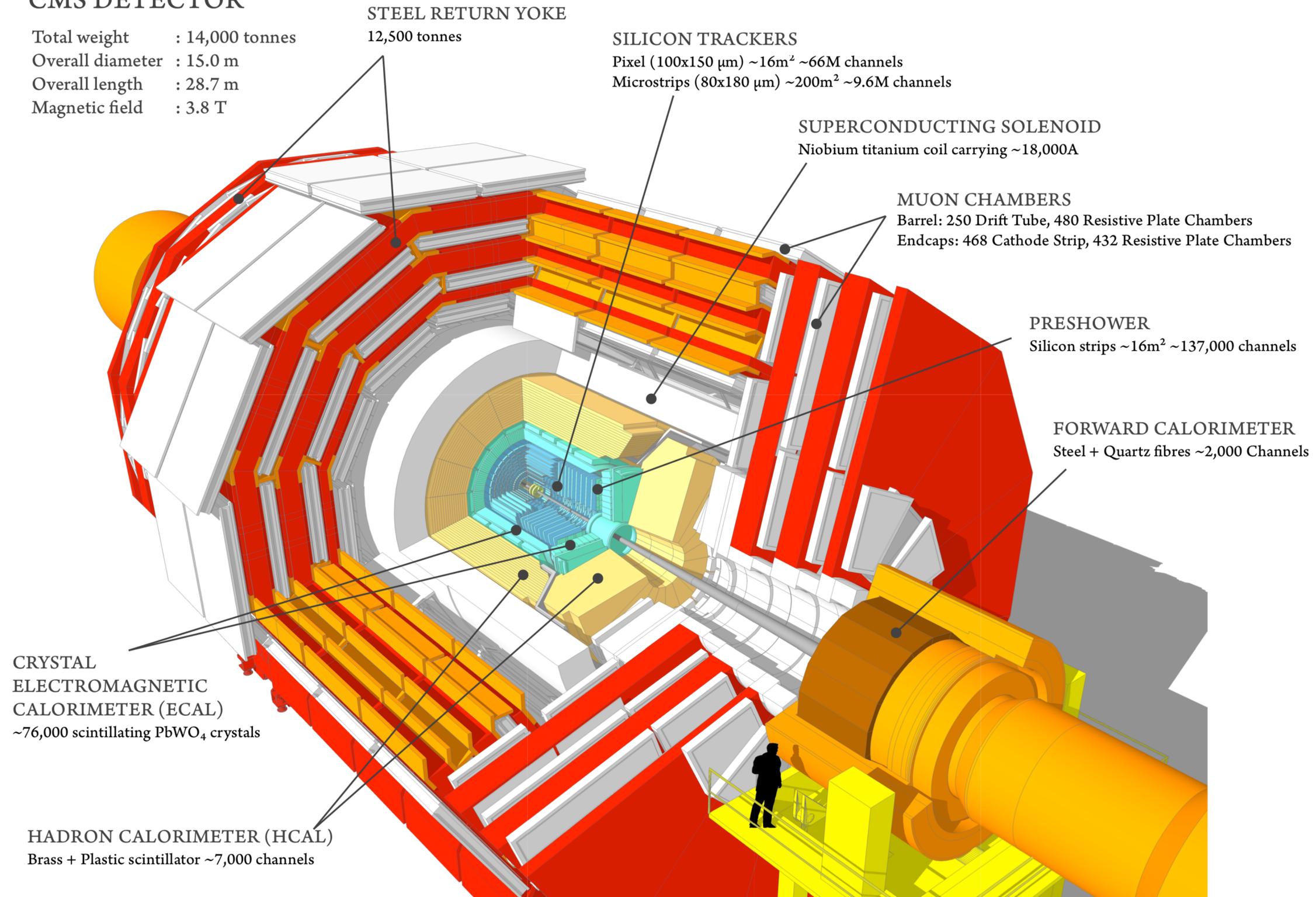




## ► Specialized components to measure different particles

### CMS DETECTOR

Total weight : 14,000 tonnes  
Overall diameter : 15.0 m  
Overall length : 28.7 m  
Magnetic field : 3.8 T



## ► Specialized components to measure different particles

### CMS DETECTOR

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 Overall length : 28.7 m  
 Magnetic field : 3.8 T

STEEL RETURN YOKE  
 12,500 tonnes

SILICON TRACKERS  
 Pixel (100x150  $\mu\text{m}$ )  $\sim 16\text{m}^2 \sim 66\text{M}$  channels  
 Microstrips (80x180  $\mu\text{m}$ )  $\sim 200\text{m}^2 \sim 9.6\text{M}$  channels

SUPERCONDUCTING SOLENOID  
 Niobium titanium coil carrying  $\sim 18,000\text{A}$

MUON CHAMBERS  
 Barrel: 250 Drift Tube, 480 Resistive Plate Chambers  
 Endcaps: 468 Cathode Strip, 432 Resistive Plate Chambers

PRESHOWER  
 Silicon strips  $\sim 16\text{m}^2 \sim 137,000$  channels

FORWARD CALORIMETER  
 Steel + Quartz fibres  $\sim 2,000$  Channels

CRYSTAL  
 ELECTROMAGNETIC  
 CALORIMETER (ECAL)  
 $\sim 76,000$  scintillating  $\text{PbWO}_4$  crystals

HADRON CALORIMETER (HCAL)  
 Brass + Plastic scintillator  $\sim 7,000$  channels

$$\sum \vec{p}_T = 0$$

incoming particle

## ► Specialized components to measure different particles

### CMS DETECTOR

Total weight : 14,000 tonnes  
 Overall diameter : 15.0 m  
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 Magnetic field : 3.8 T

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 12,500 tonnes

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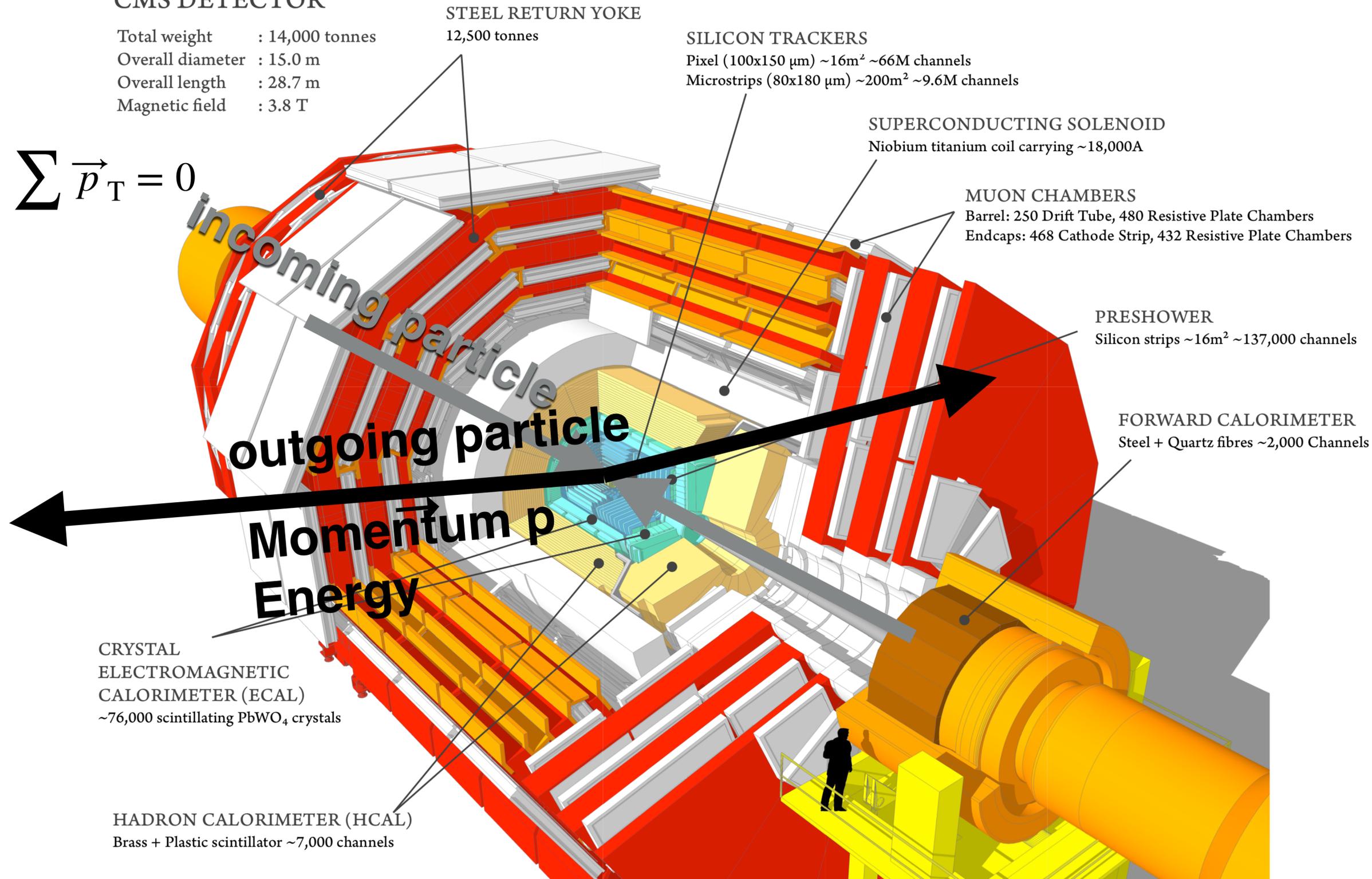
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 Brass + Plastic scintillator  $\sim 7,000$  channels

$$\sum \vec{p}_T = 0$$



# COMPACT MUON SOLENOID

## ► Specialized components to mea

### CMS DETECTOR

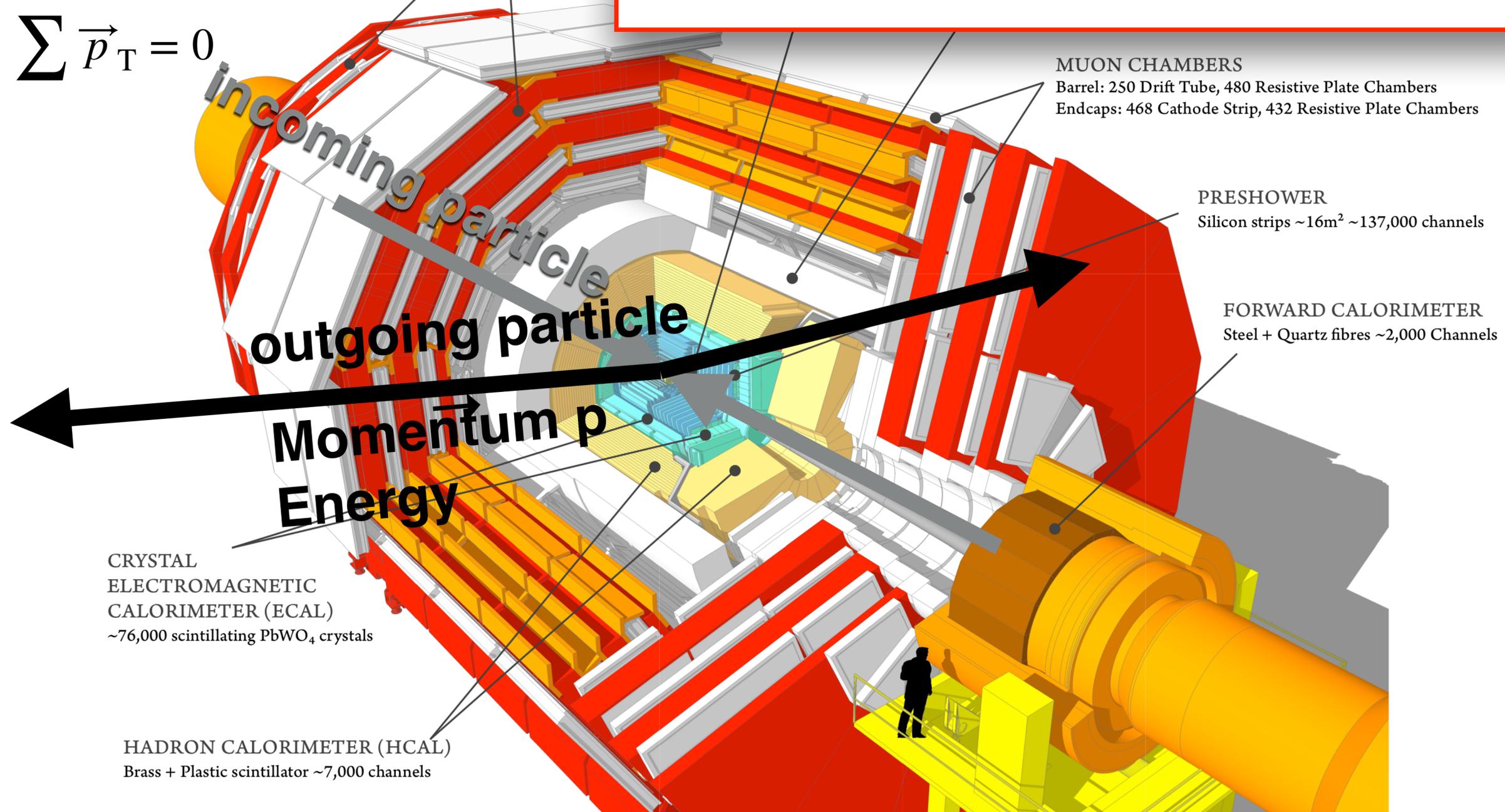
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 Magnetic field : 3.8 T

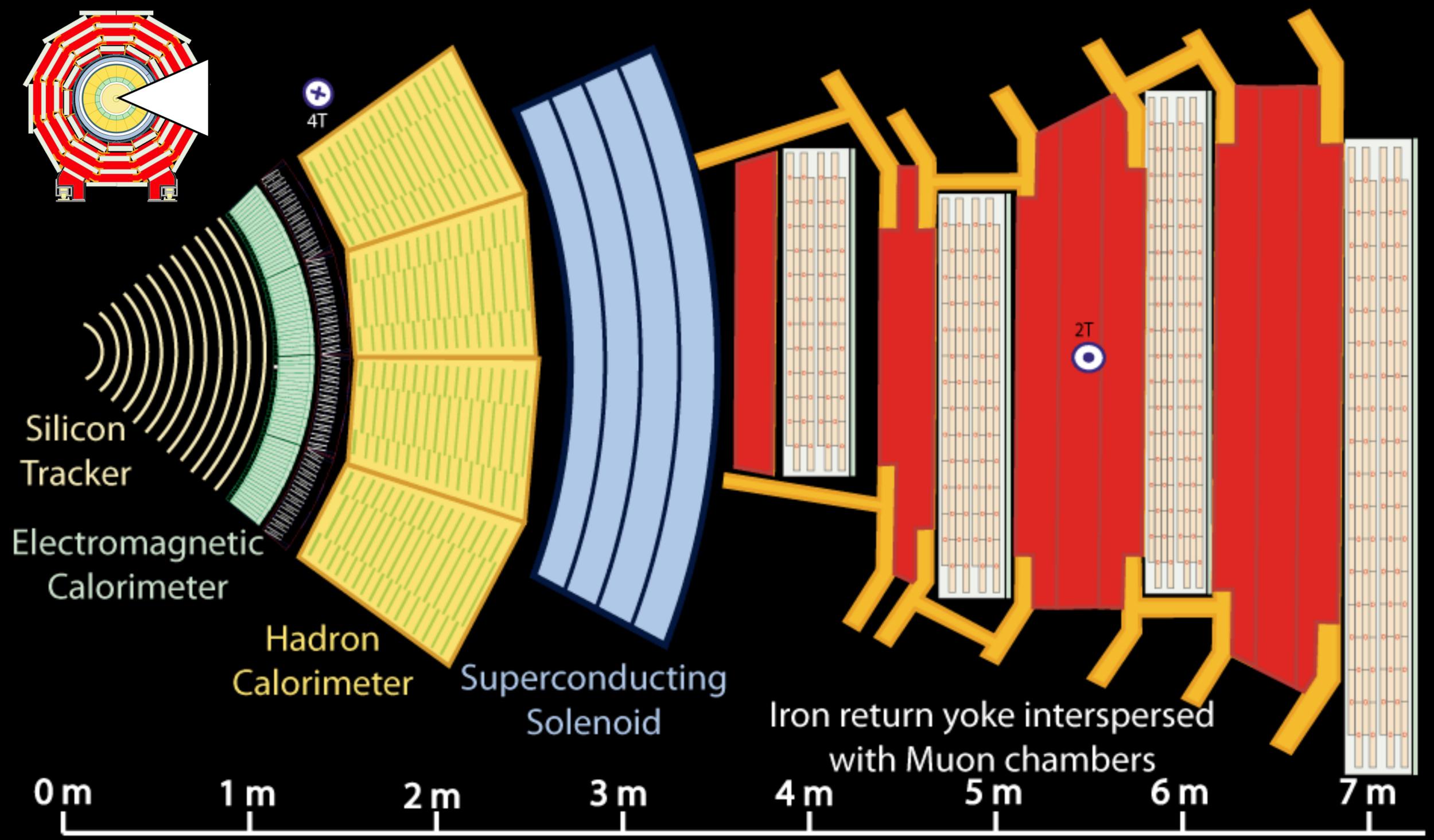
STEEL RETURN  
 12,500 tonnes

Diagram showing a particle entering from the left in a magnetic field  $H$  (indicated by a red arrow). It splits into two paths,  $f_1$  and  $f_2$ . To the right, a graph plots  $N$  (vertical axis) against  $m_{12}$  (horizontal axis), showing a red peak on a decaying curve.

$$m_H^2 = m_1^2 + m_2^2 + 2(E_1 E_2 - \vec{p}_1 \cdot \vec{p}_2)$$

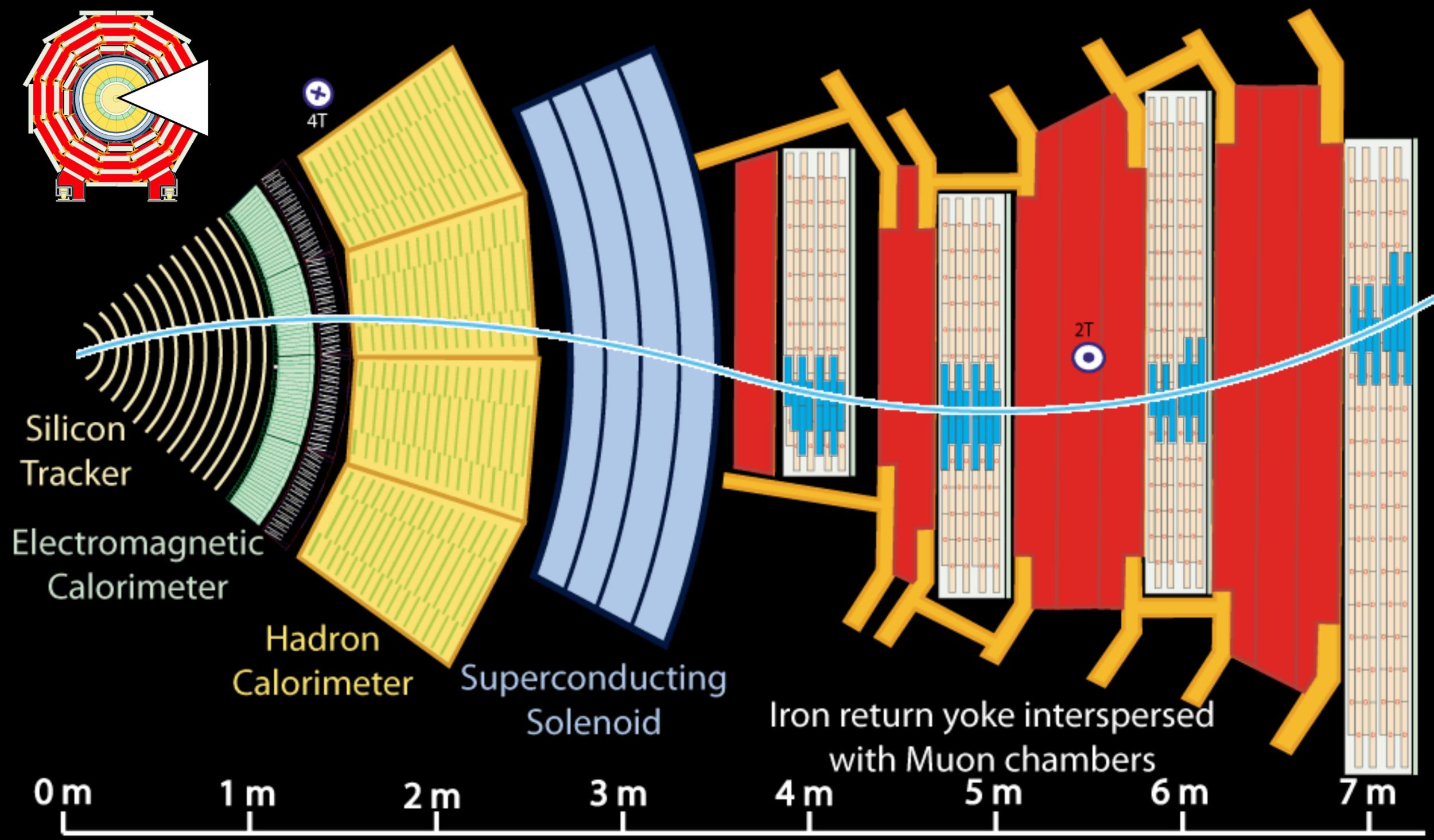
$$\sum \vec{p}_T = 0$$





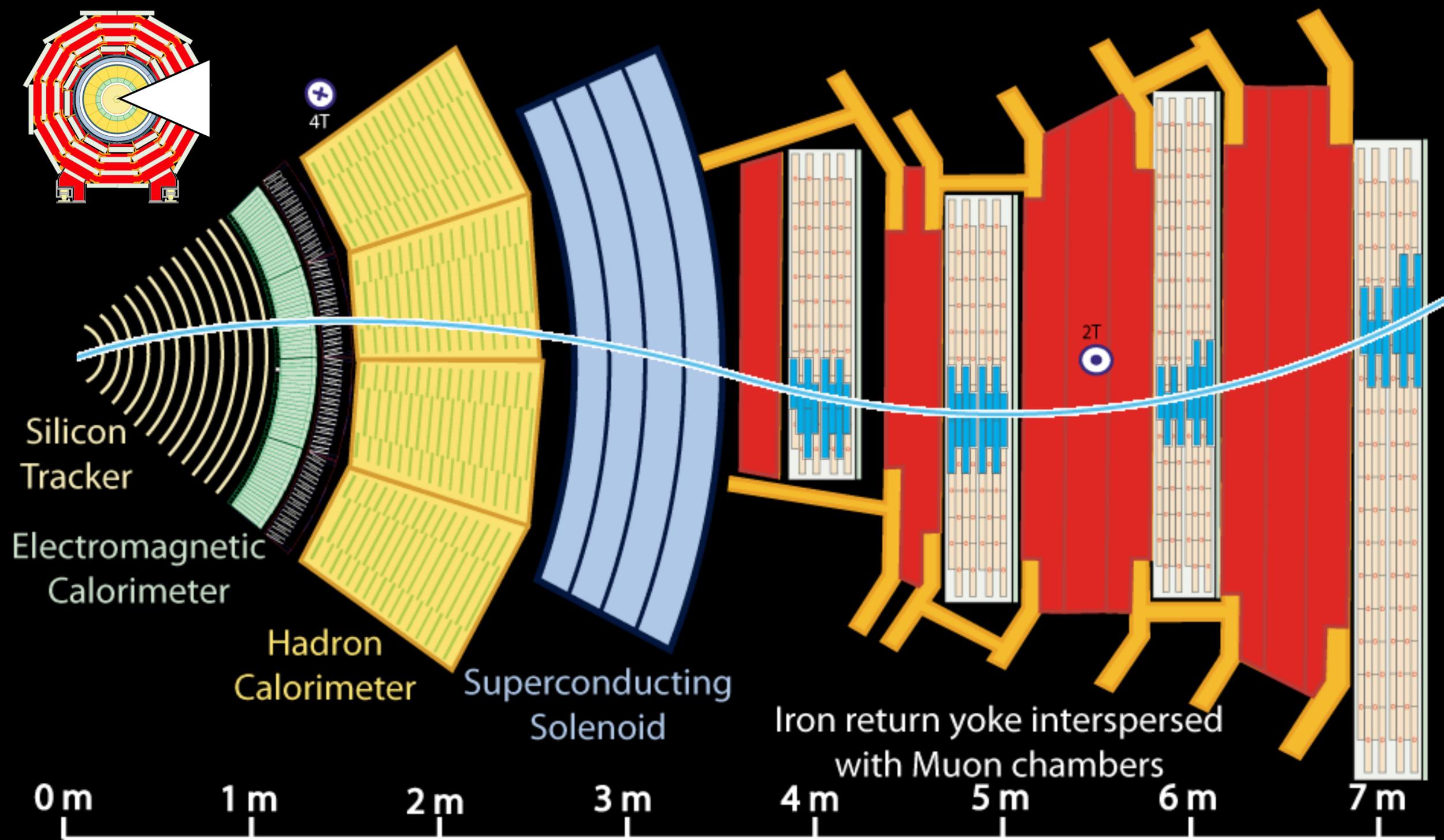
Key:

- Muon
- Electron
- Charged Hadron (e.g. Pion)
- Neutral Hadron (e.g. Neutron)
- Photon

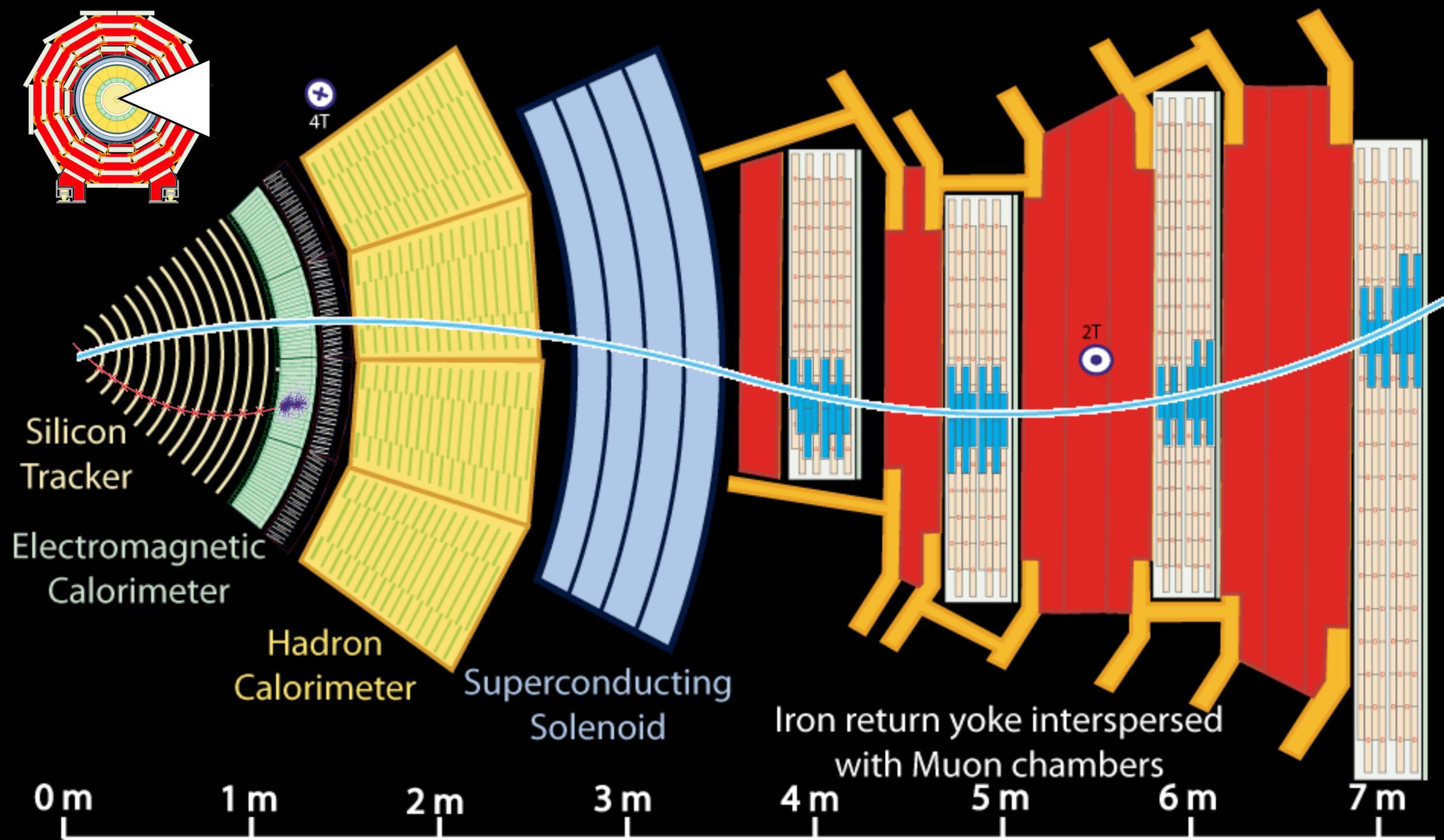


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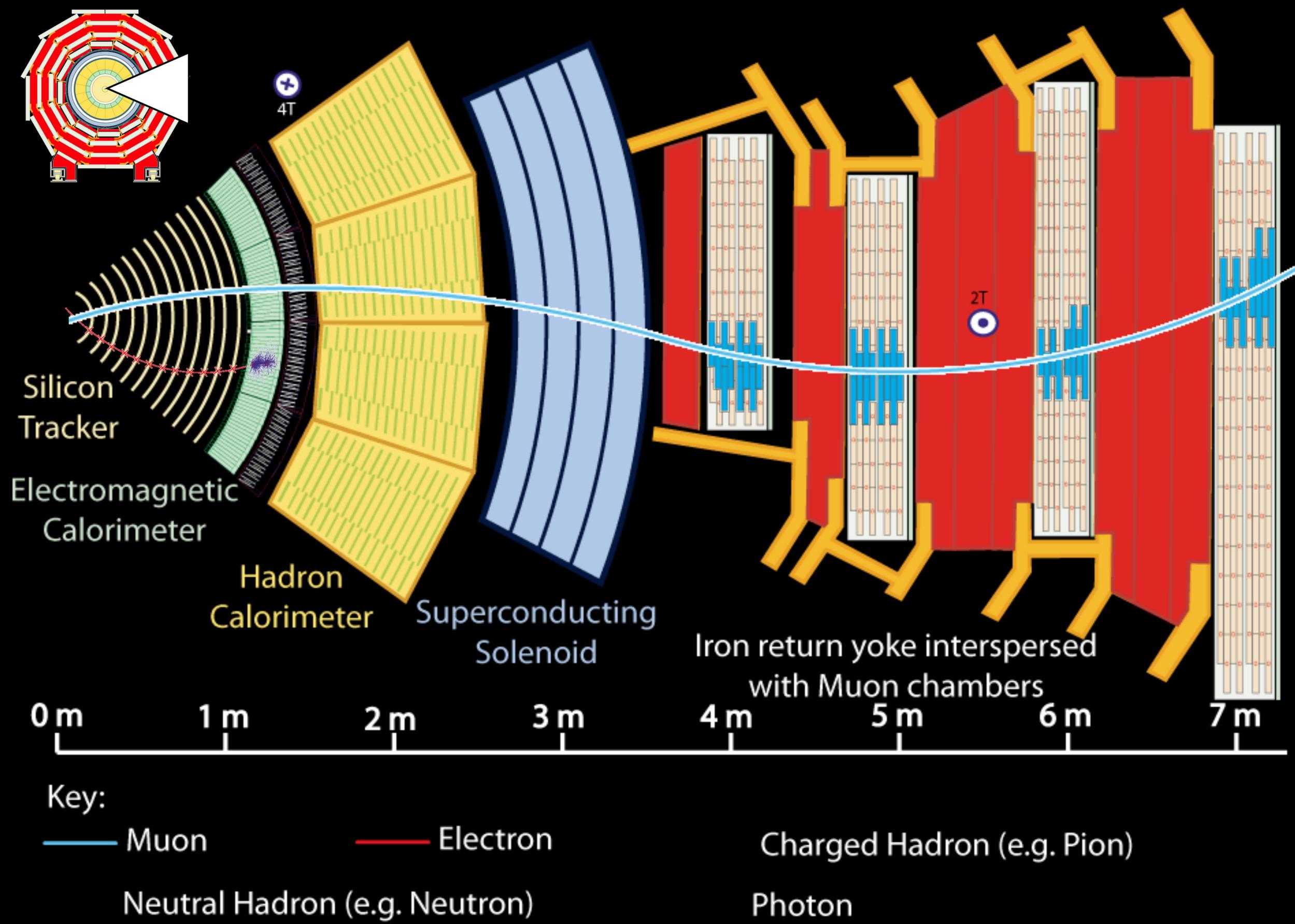


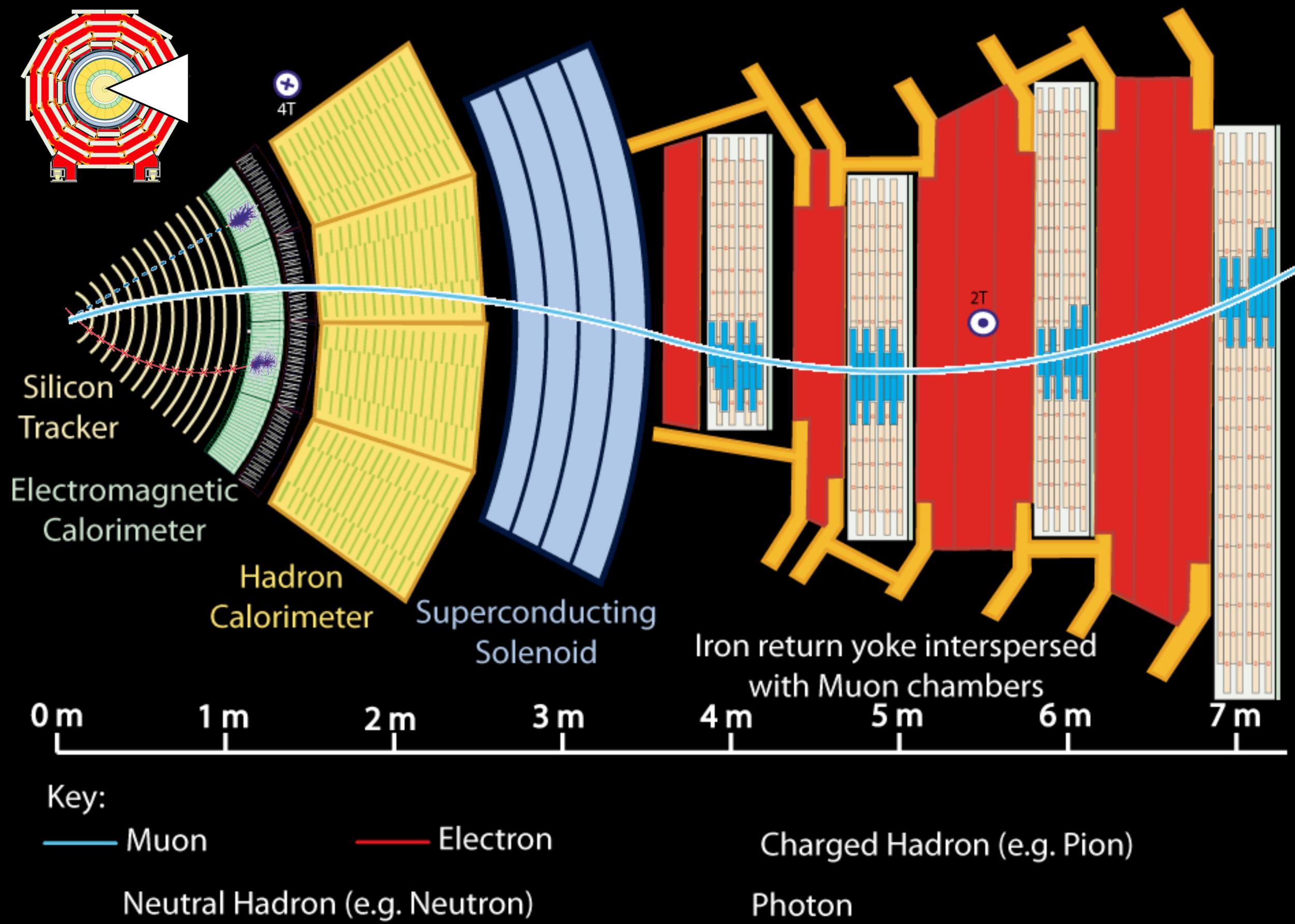
- Key:
- Muon
  - Electron
  - Charged Hadron (e.g. Pion)
  - Neutral Hadron (e.g. Neutron)
  - Photon

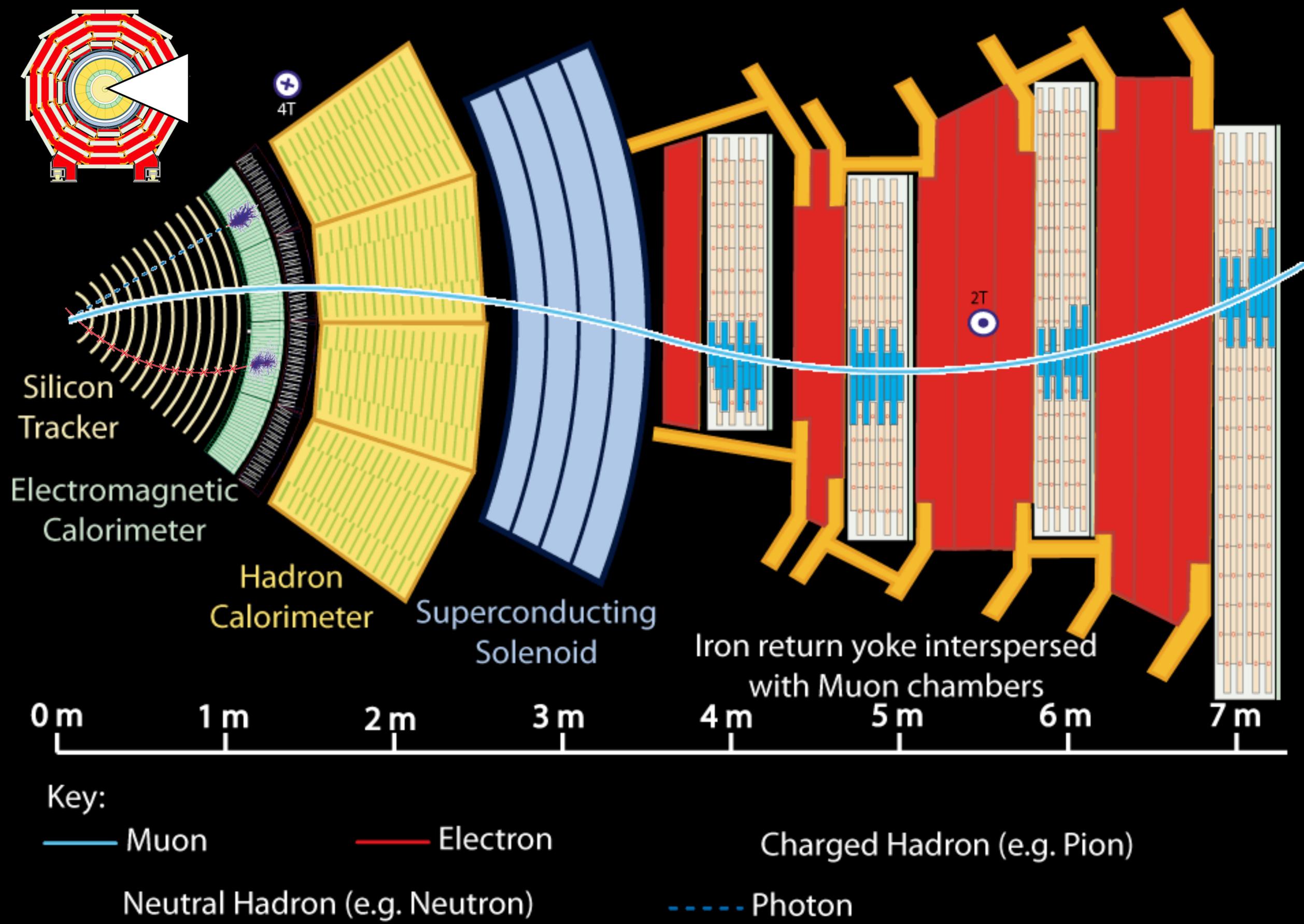


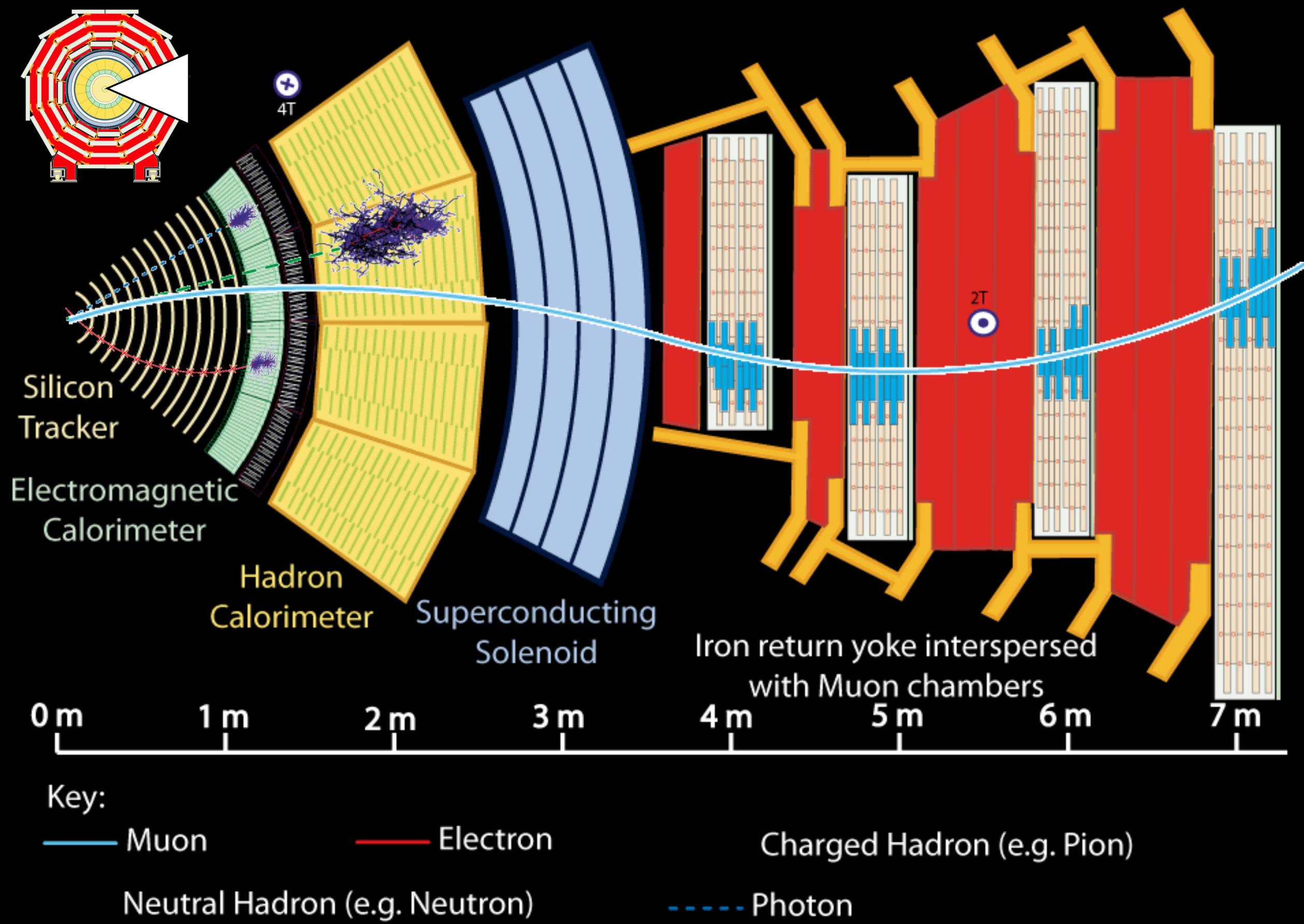
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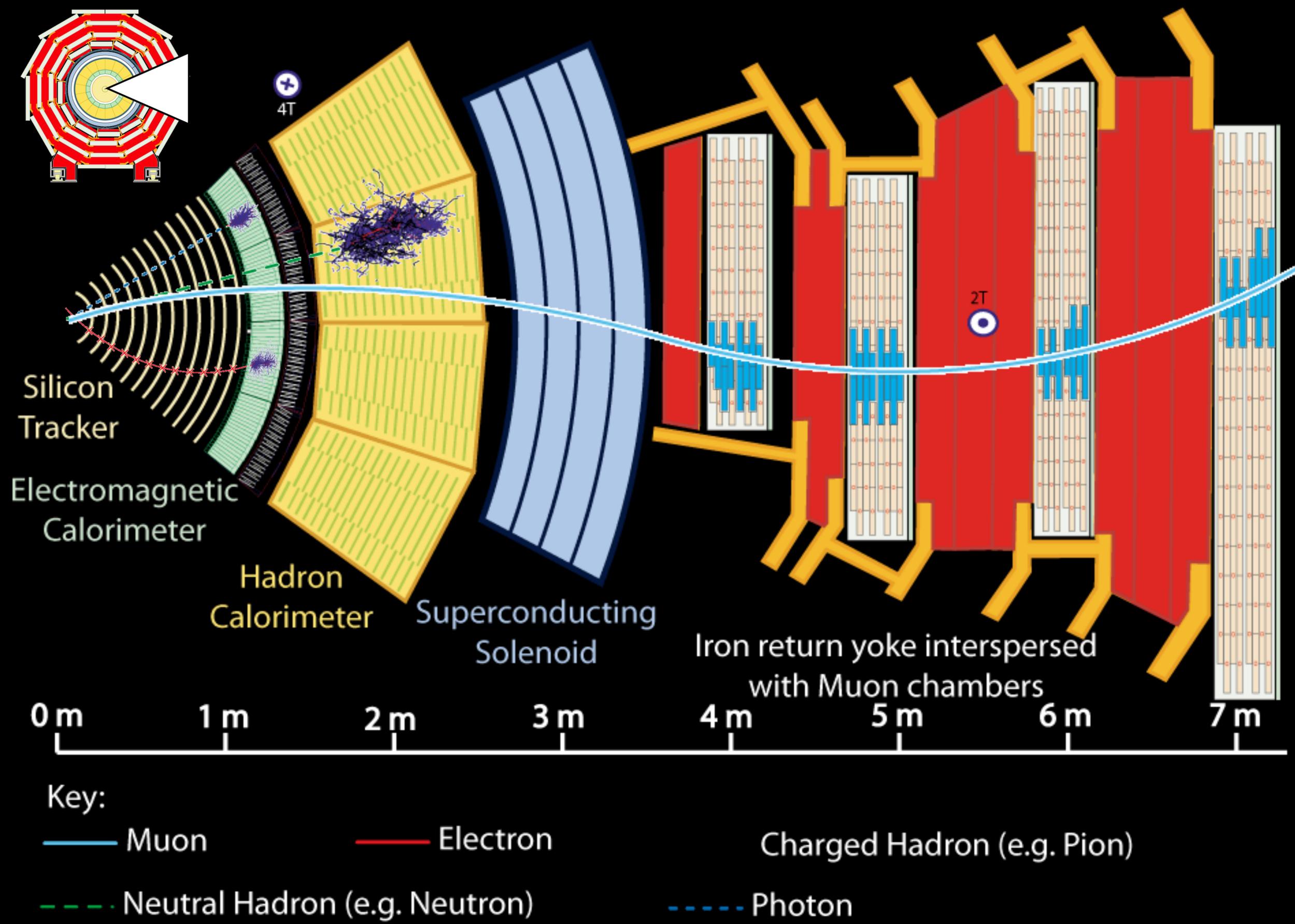
- Muon
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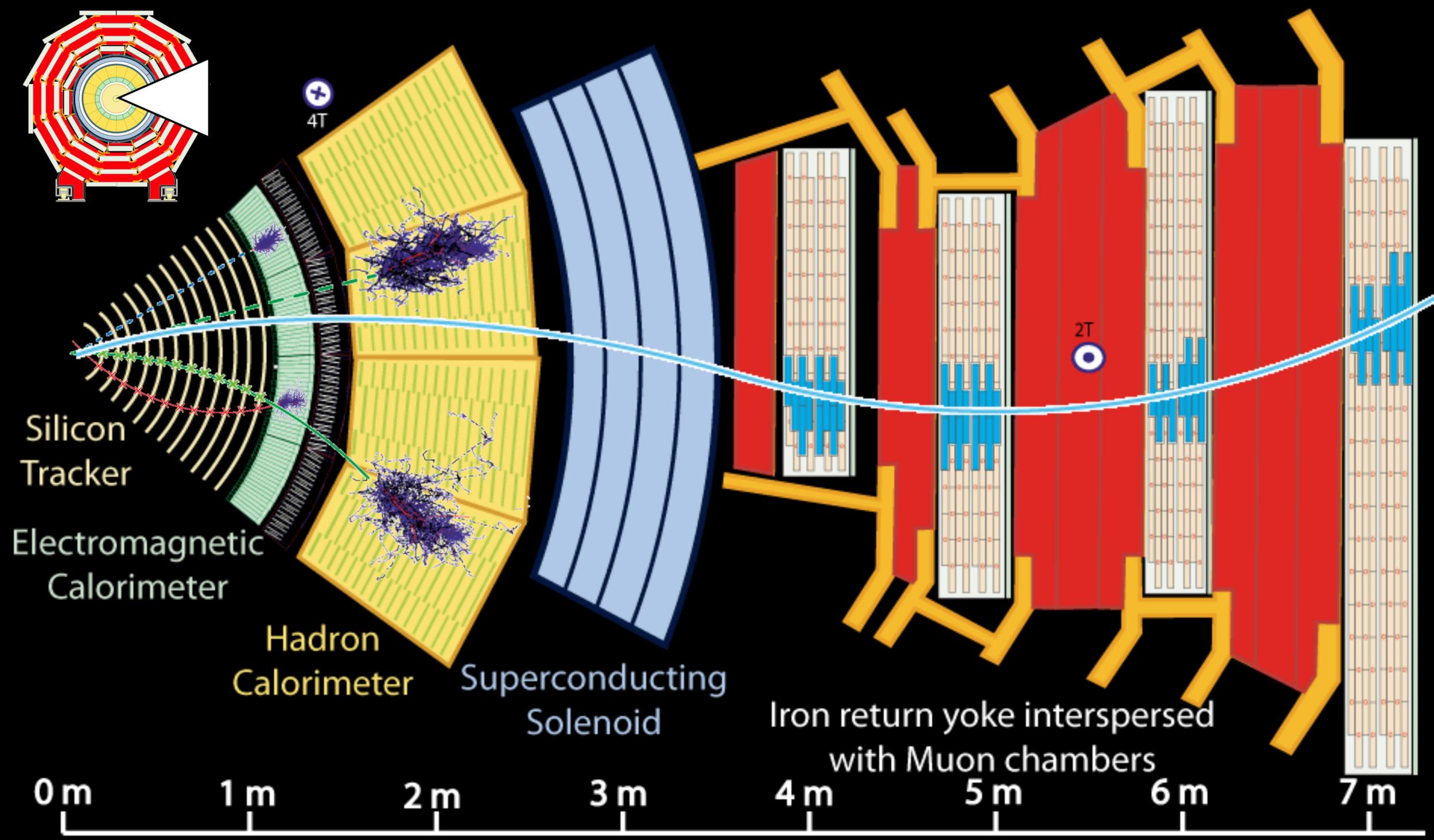












Silicon Tracker

Electromagnetic Calorimeter

Hadron Calorimeter

Superconducting Solenoid

Iron return yoke interspersed with Muon chambers

0 m 1 m 2 m 3 m 4 m 5 m 6 m 7 m

Key:

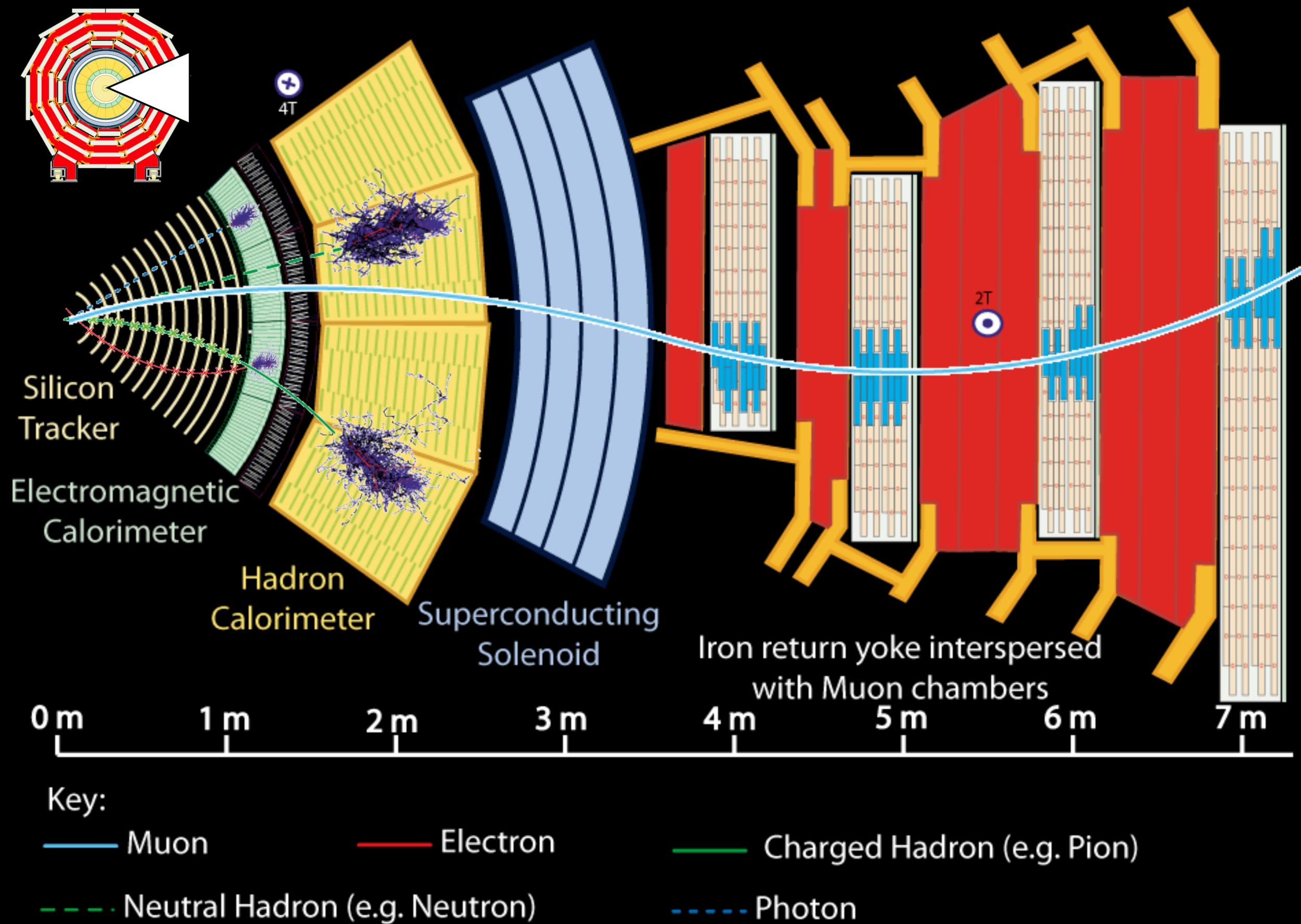
— Muon

— Electron

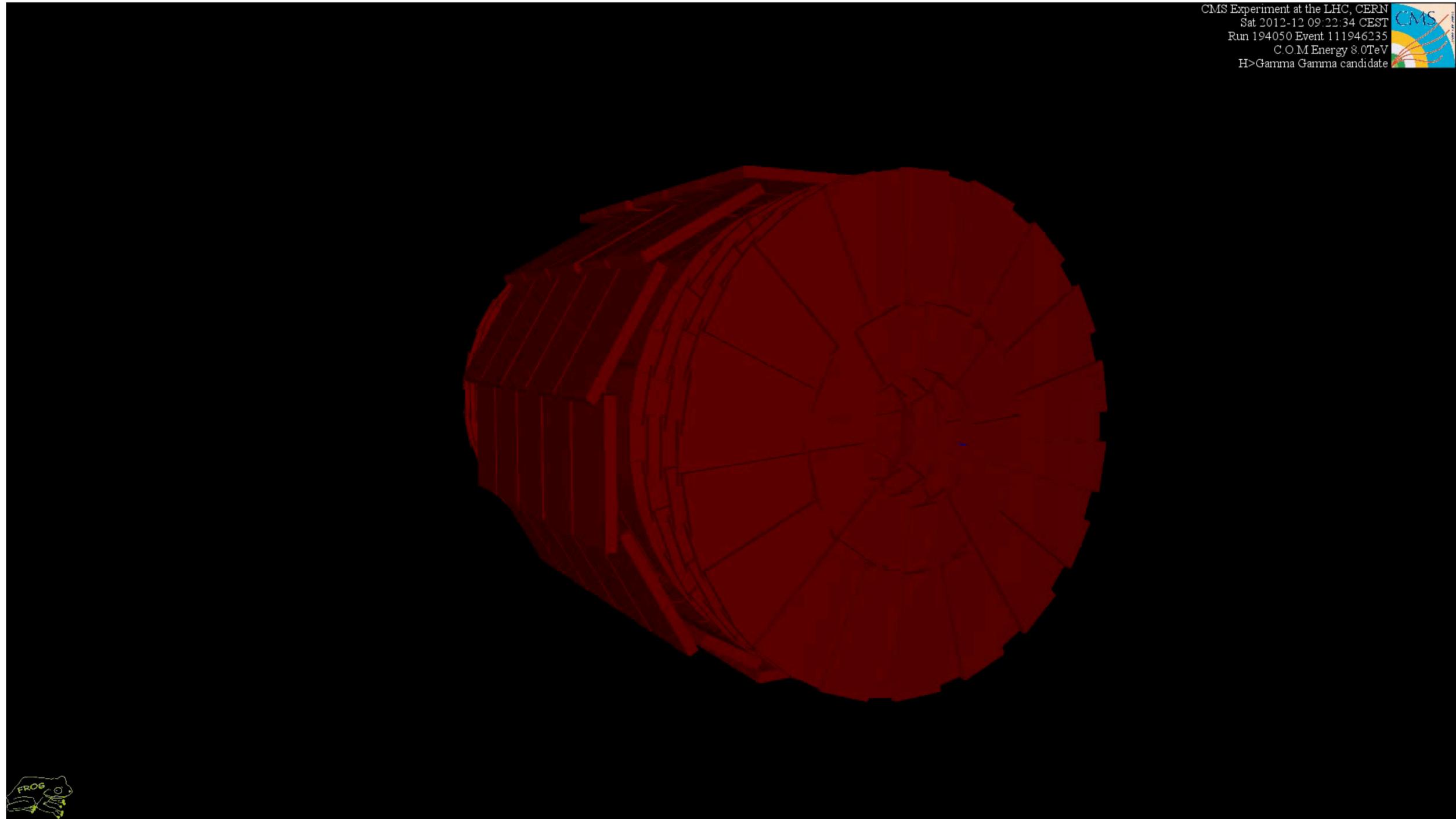
--- Charged Hadron (e.g. Pion)

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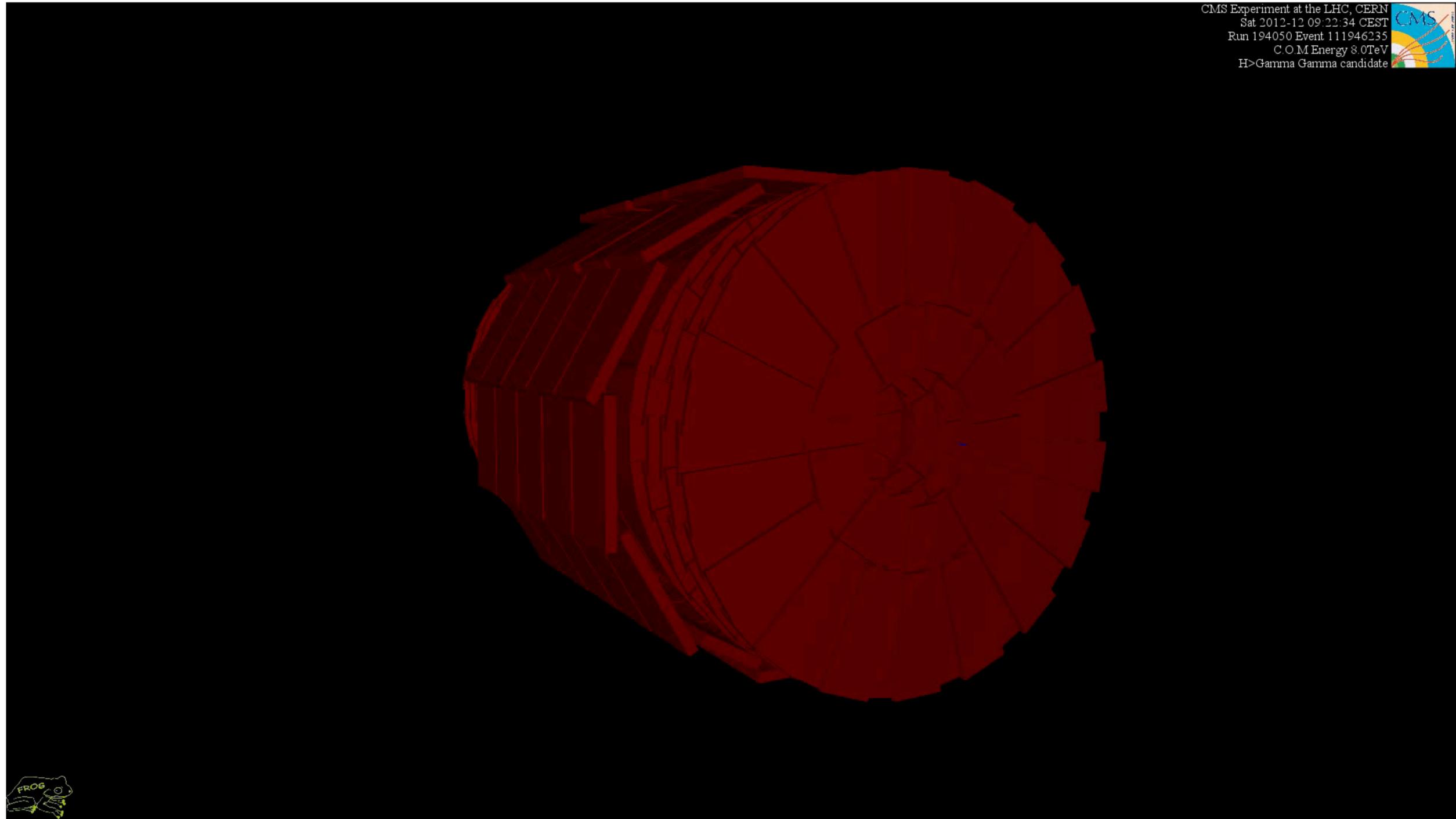
--- Photon



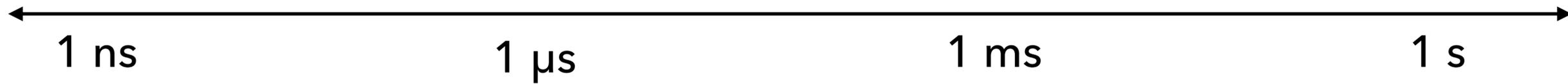
<https://www.youtube.com/watch?v=psMpabzGuLo>



<https://www.youtube.com/watch?v=psMpabzGuLo>



Compute  
Latency



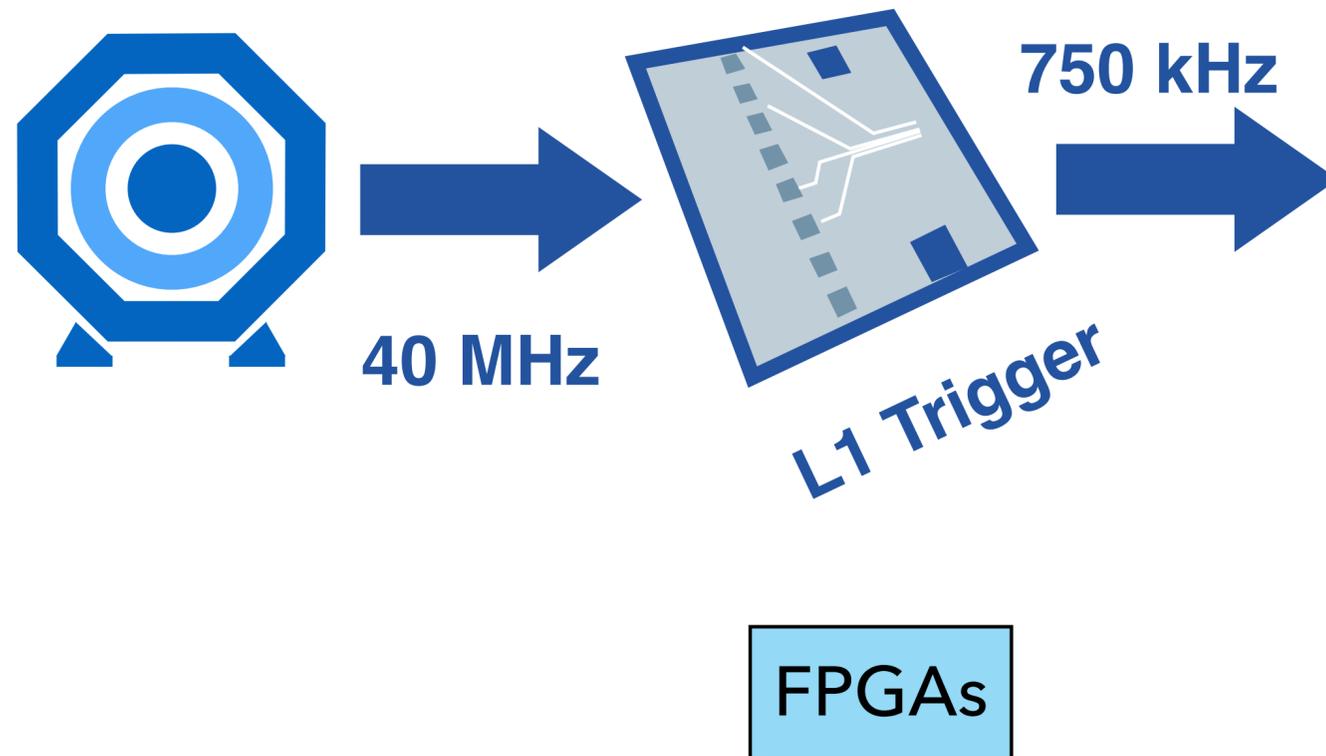
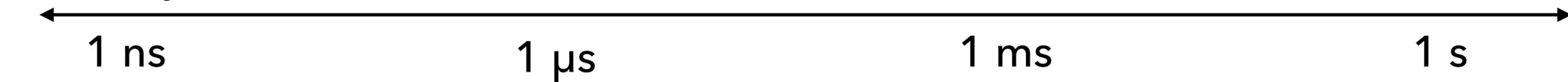
## Challenges:

Each collision produces  $O(10^3)$  particles

The detectors have  $O(10^8)$  sensors

Extreme data rates of  $O(100 \text{ TB/s})$

Compute  
Latency



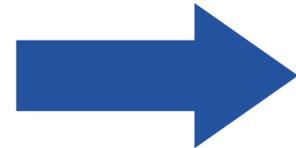
## Challenges:

Each collision produces  $O(10^3)$  particles

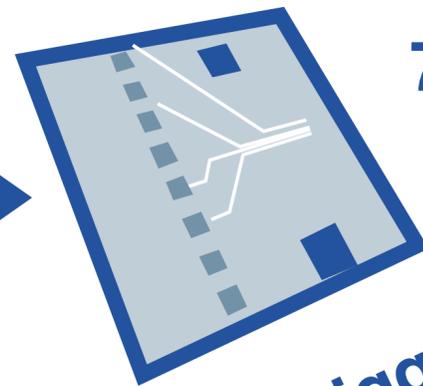
The detectors have  $O(10^8)$  sensors

Extreme data rates of  $O(100 \text{ TB/s})$

Compute  
Latency



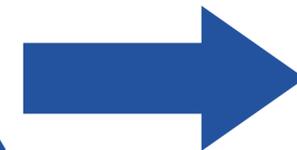
40 MHz



L1 Trigger

FPGAs

750 kHz



High-Level  
Trigger

CPUs

7.5 kHz  
1 MB/evt



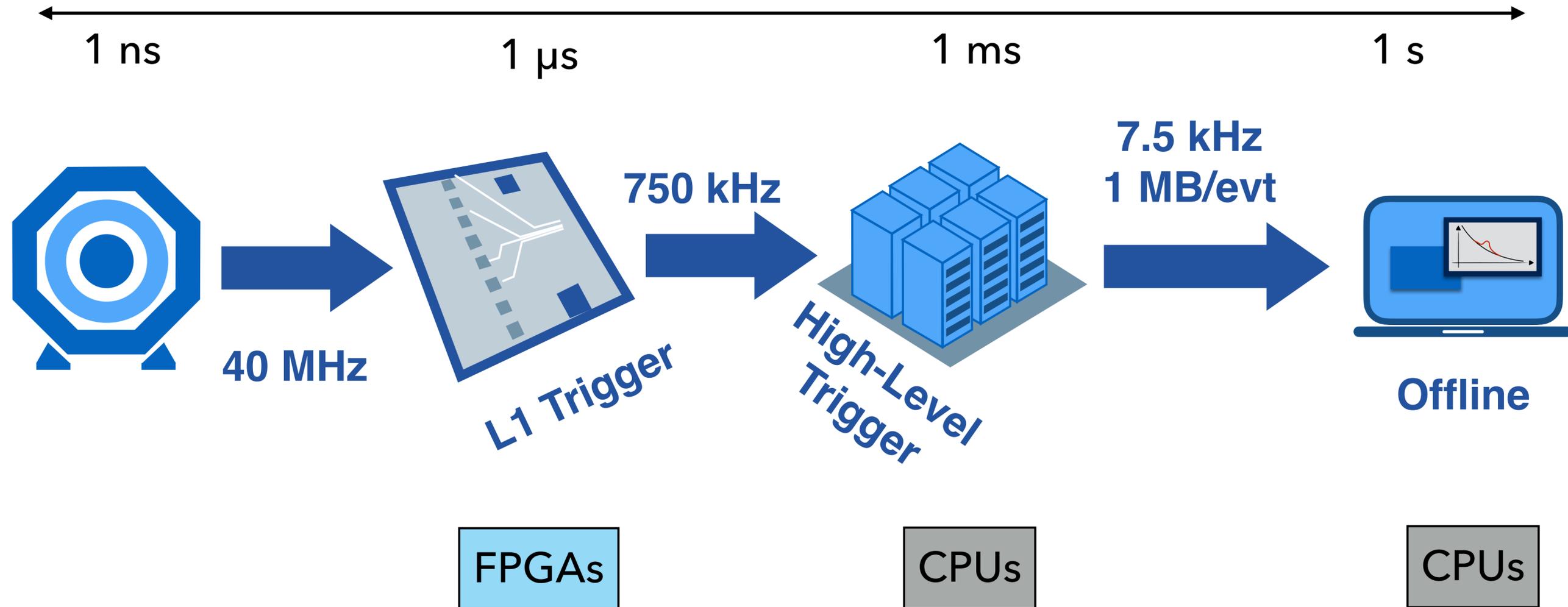
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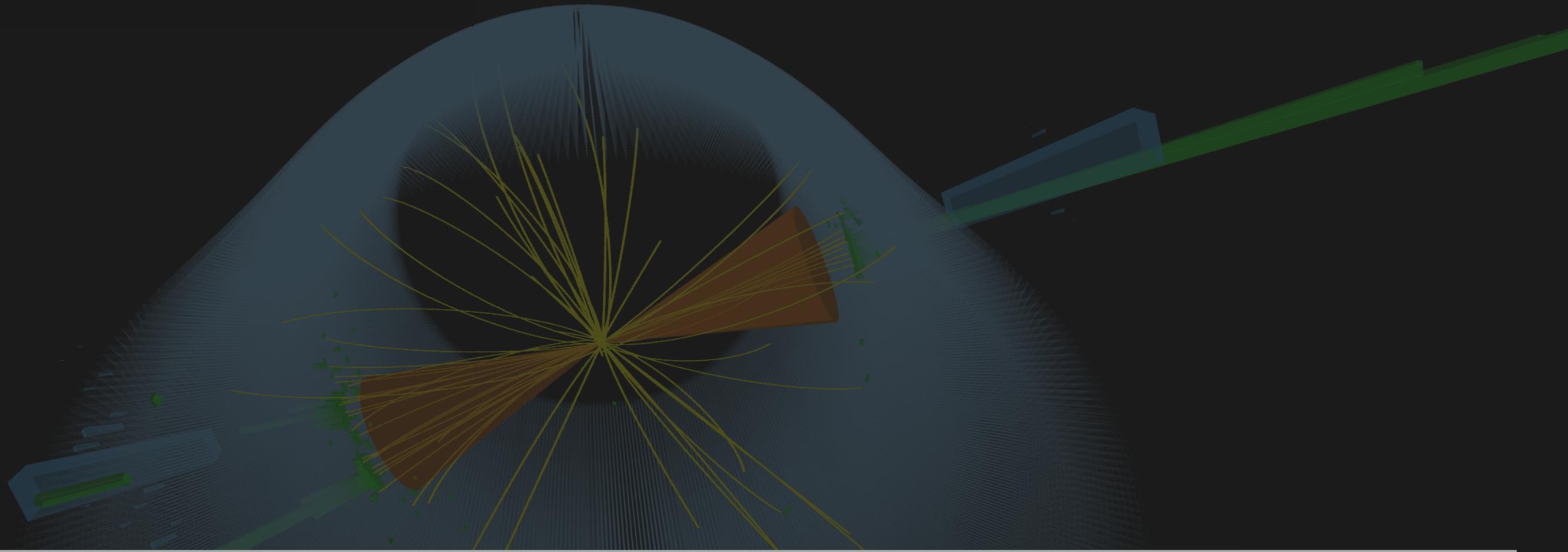


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Each collision produces  $O(10^3)$  particles

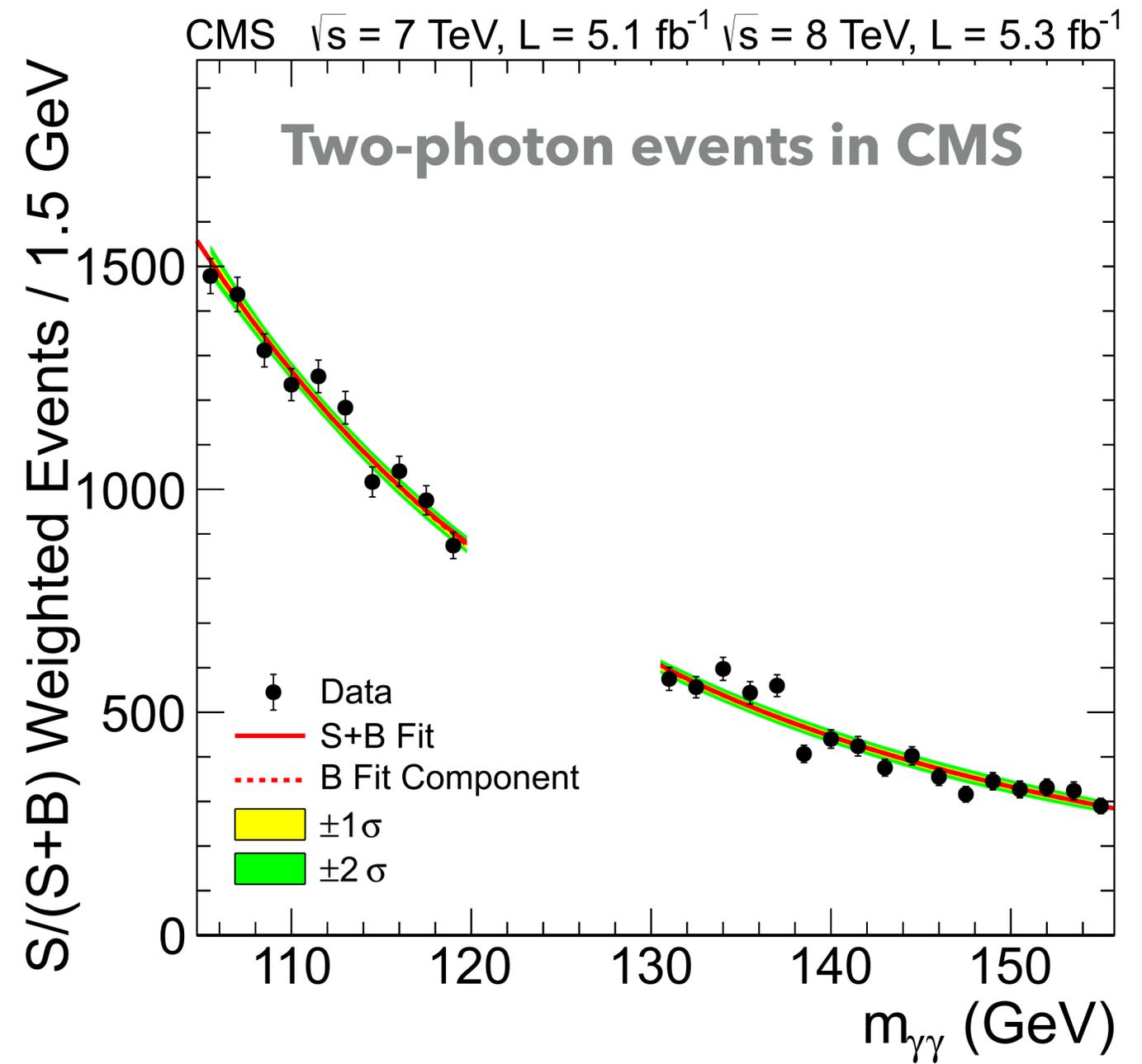
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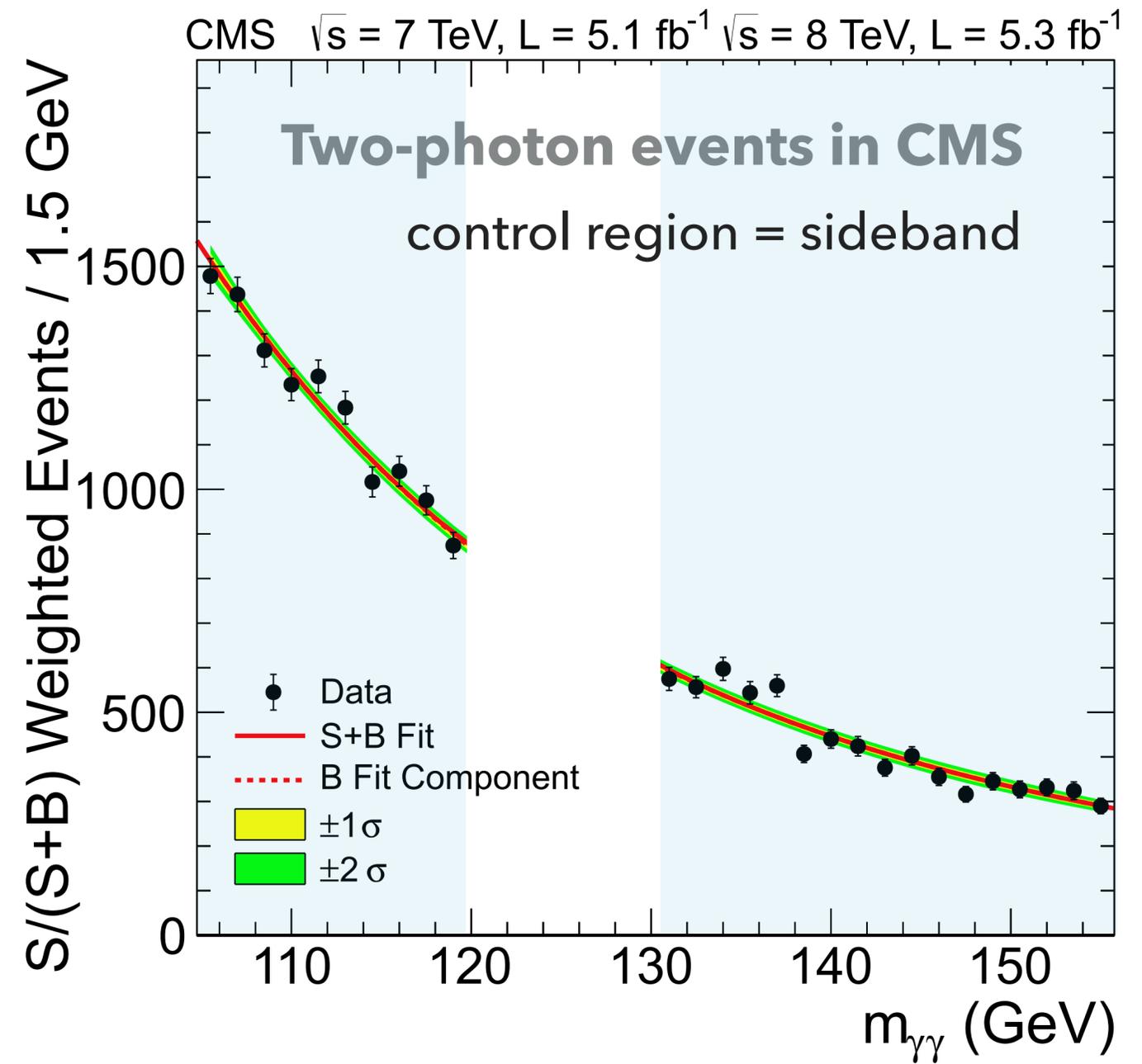
Extreme data rates of  $O(100 \text{ TB/s})$

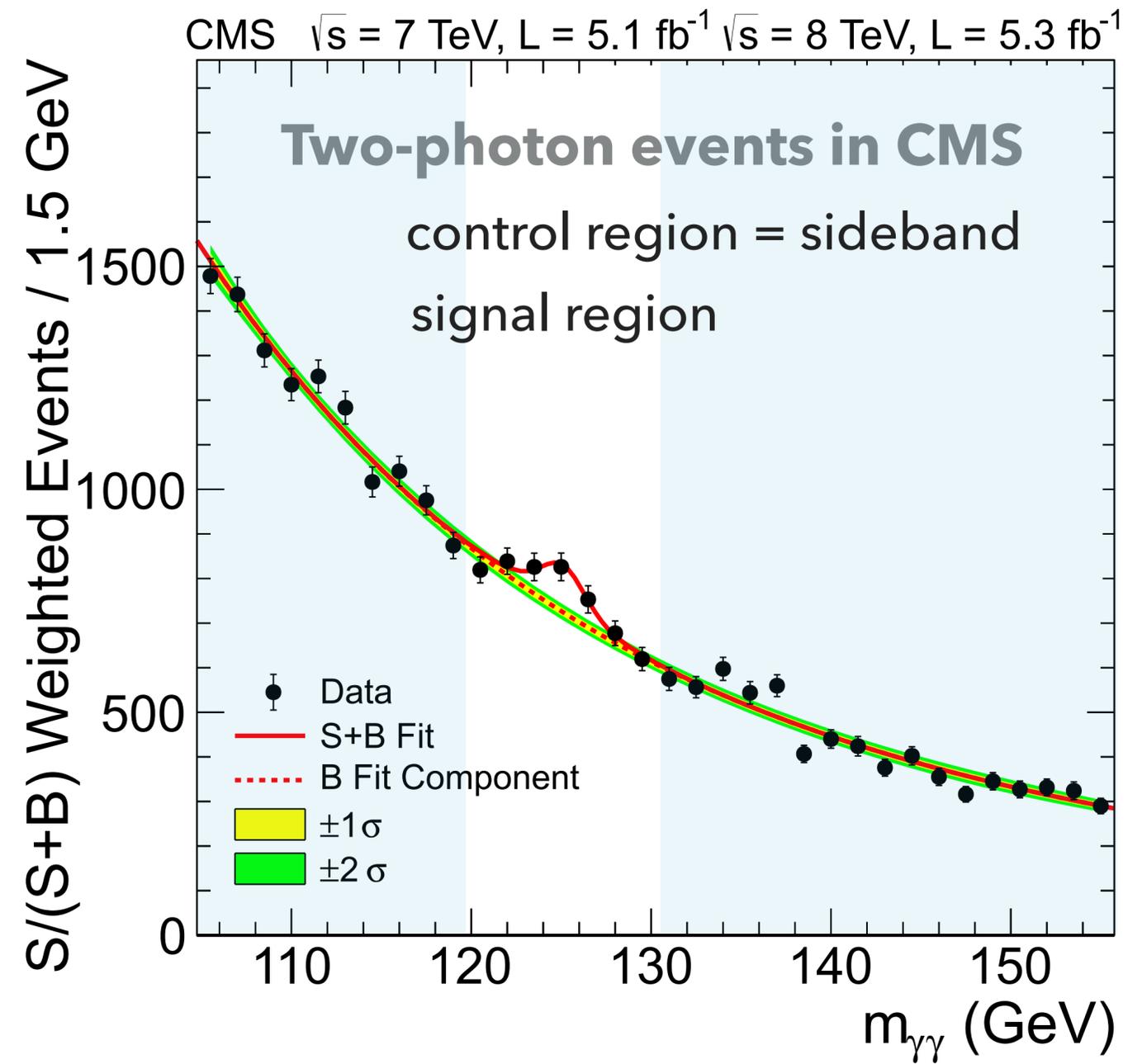


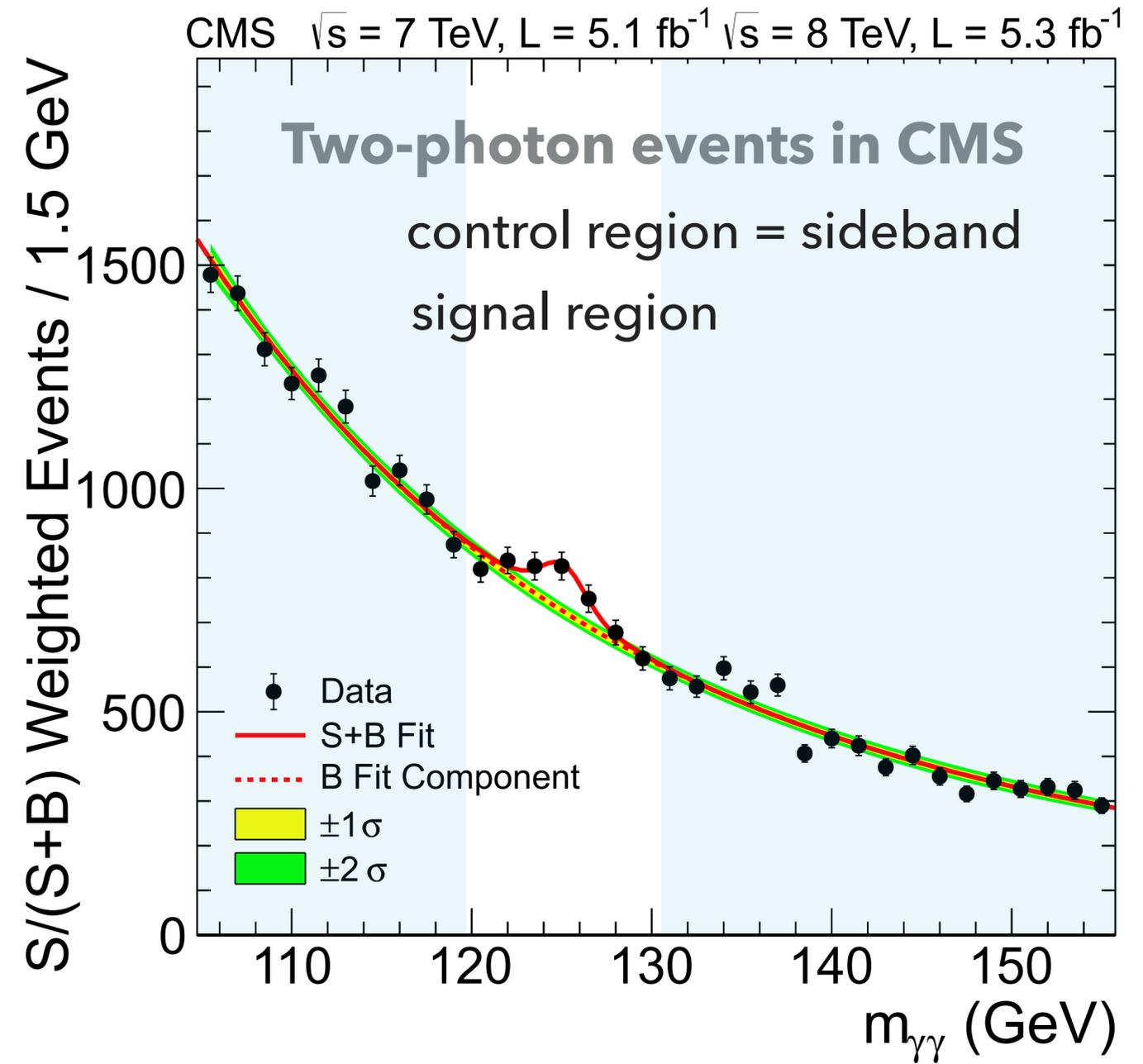
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# HIGGS BOSON & SEARCHES FOR NEW PHYSICS











ed Events / 1.5 GeV



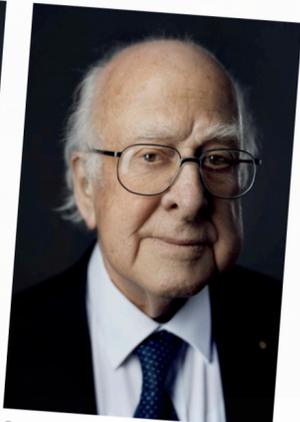
8 TeV, L = 5.3 fb<sup>-1</sup>



### The Nobel Prize in Physics 2013

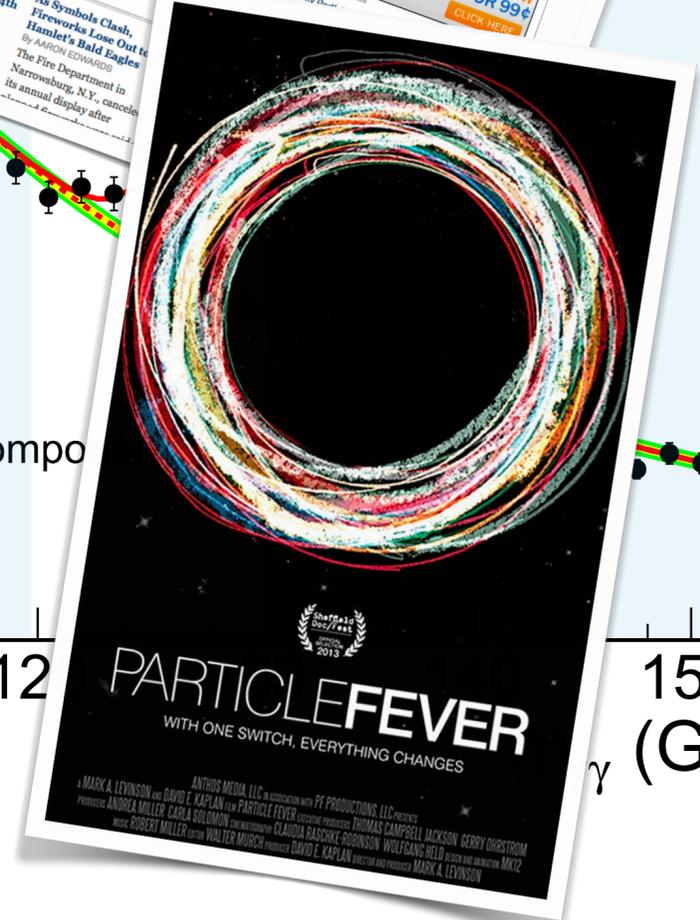
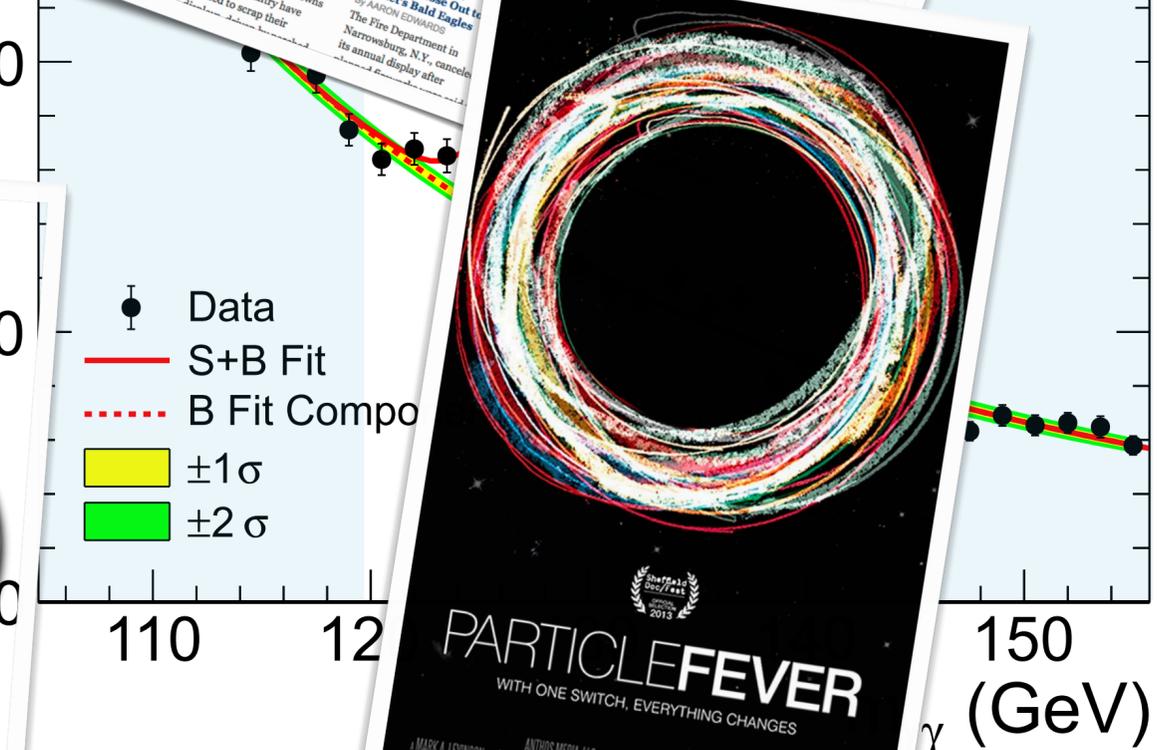


© Nobel Media AB. Photo: A. Mahmoud  
**François Englert**  
Prize share: 1/2



© Nobel Media AB. Photo: A. Mahmoud  
**Peter W. Higgs**  
Prize share: 1/2





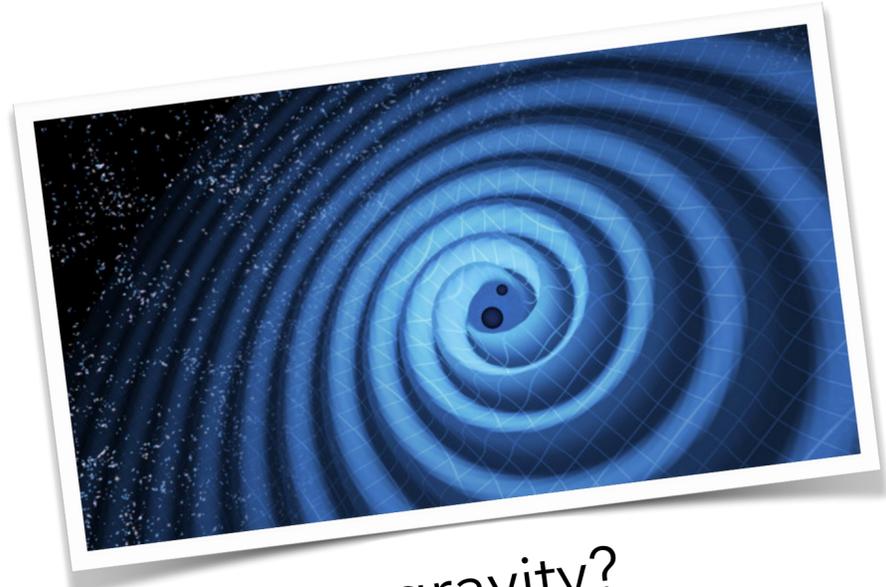
γ (GeV)

- ▶ Because we know the standard model is *incomplete*...

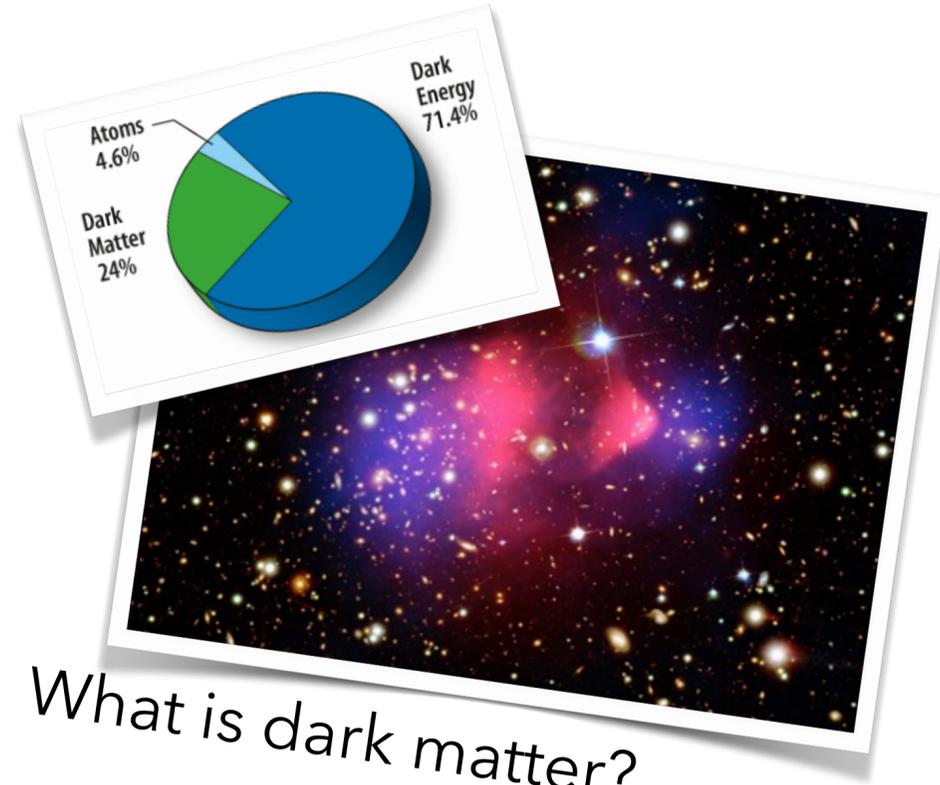


Quantum gravity?  
Why is electroweak scale much  
smaller than the Planck scale?

- ▶ Because we know the standard model is *incomplete*...



Quantum gravity?  
Why is electroweak scale much smaller than the Planck scale?

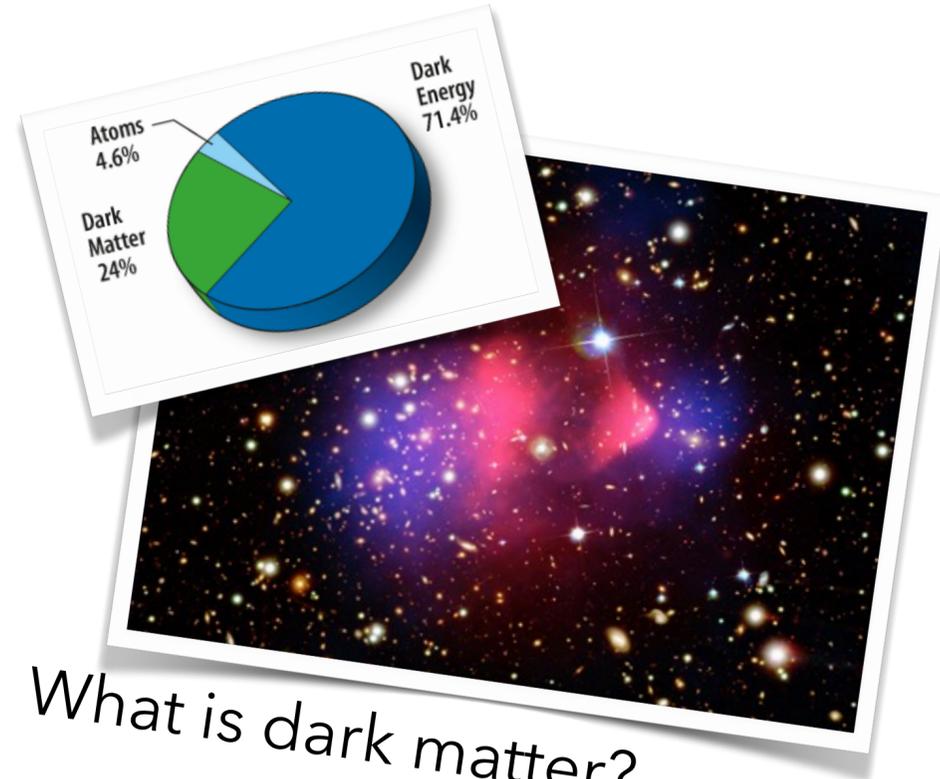


What is dark matter?

- ▶ Because we know the standard model is *incomplete*...



Quantum gravity?  
Why is electroweak scale much smaller than the Planck scale?



What is dark matter?

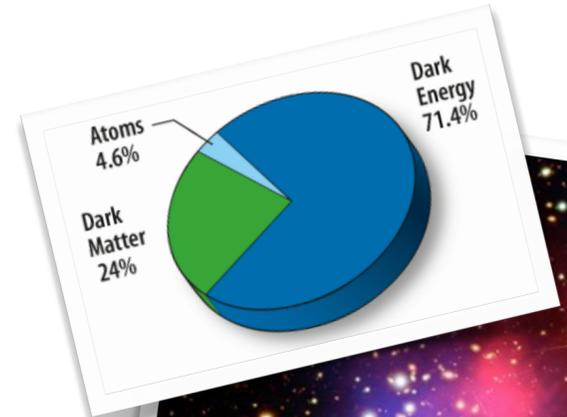


Matter-antimatter asymmetry?

- ▶ Because we know the standard model is *incomplete*...



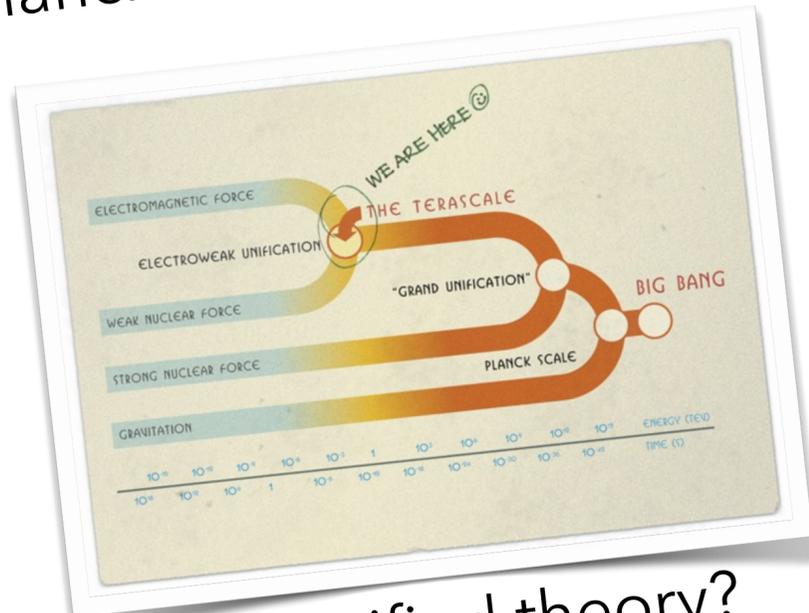
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Why is electroweak scale much smaller than the Planck scale?



What is dark matter?



Matter-antimatter asymmetry?

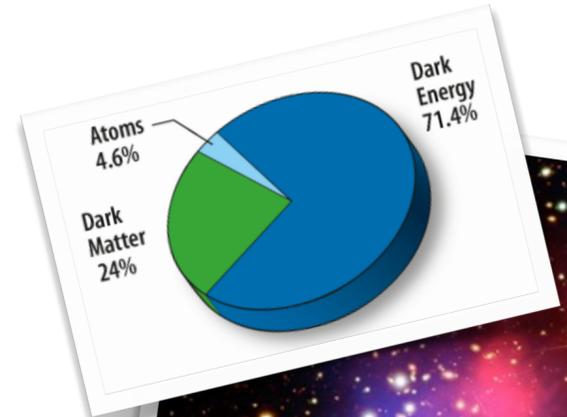


Grand unified theory?  
Theory of everything?

- ▶ Because we know the standard model is *incomplete*...



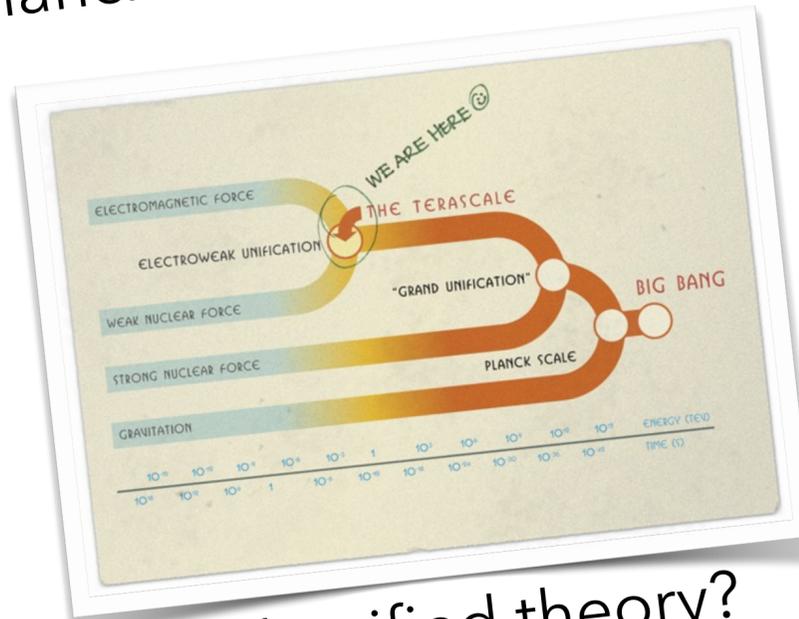
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What is dark matter?



Matter-antimatter asymmetry?



Grand unified theory?  
Theory of everything?



Neutrino mass?

- ▶ Because we know the standard model is *incomplete*...

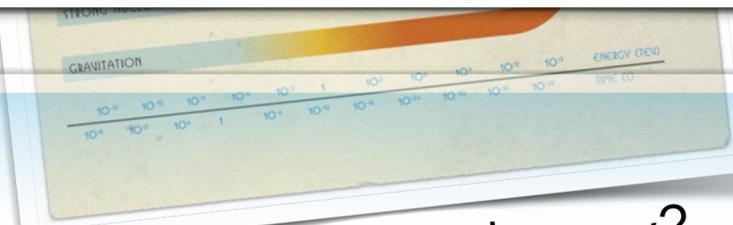
**MANY OPEN QUESTIONS.....**

Quantum gravity?  
Why is electroweak scale much smaller than the Planck scale?  
What is dark matter?

**AND MANY POSSIBLE SOLUTIONS! HOW CAN WE LOOK FOR THEM?**



Matter-antimatter asymmetry?



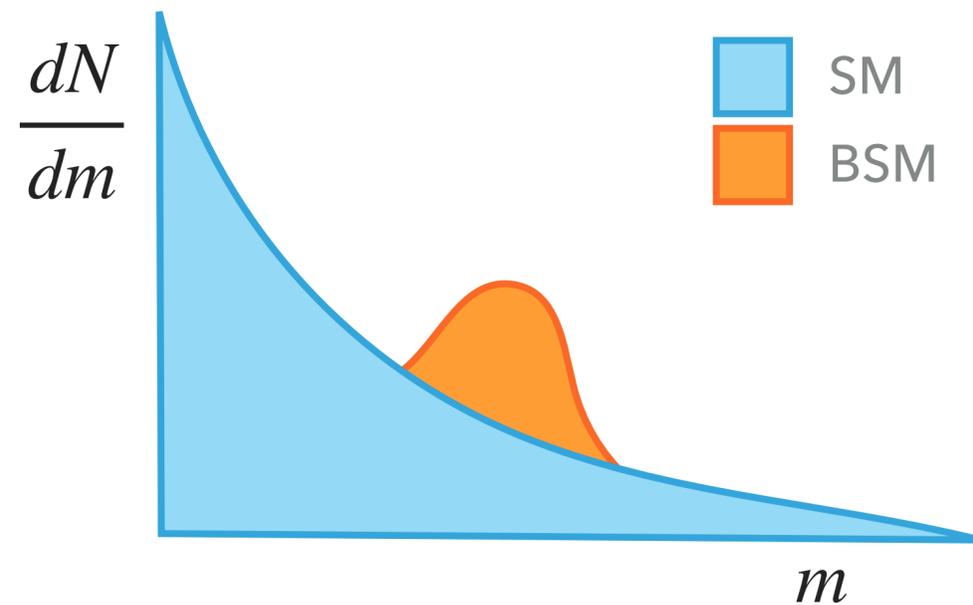
Grand unified theory?  
Theory of everything?



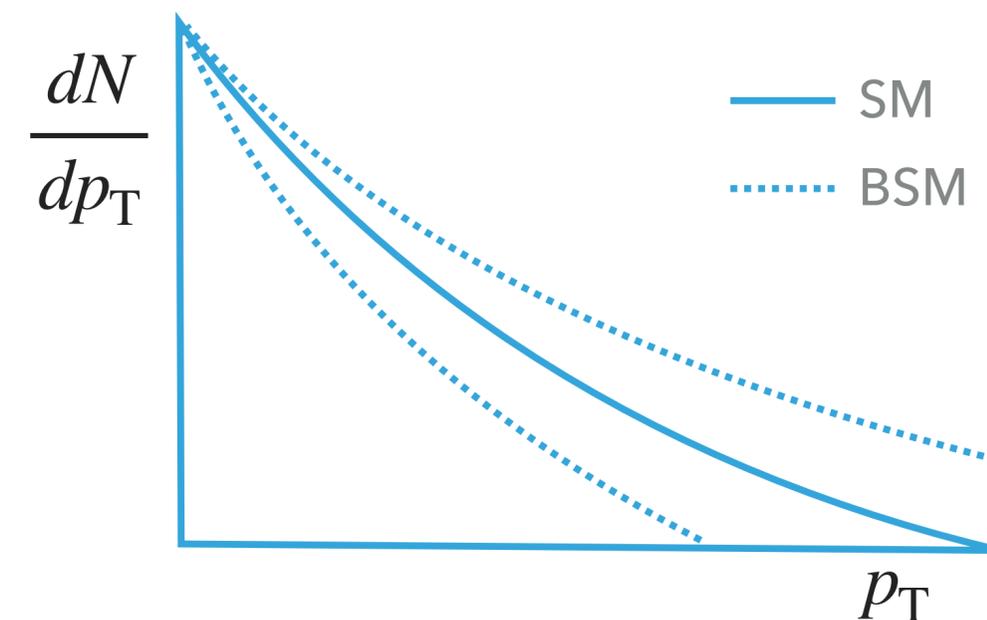
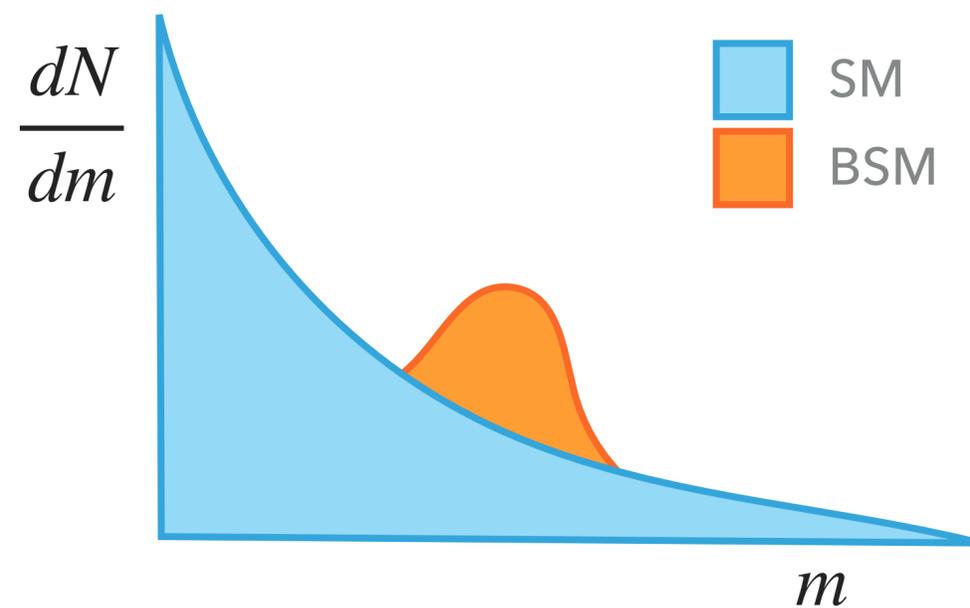
Neutrino mass?



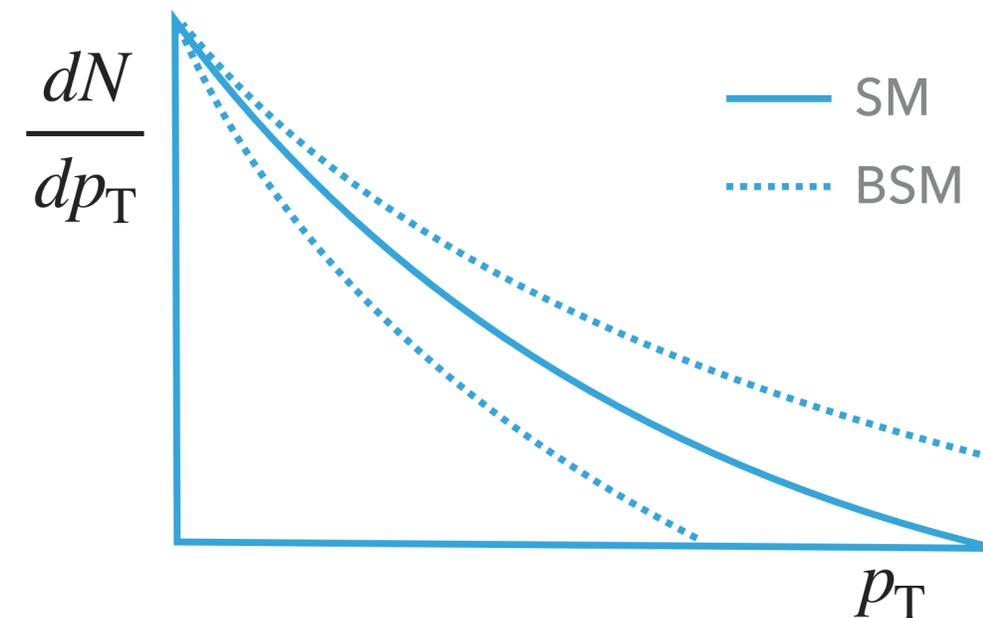
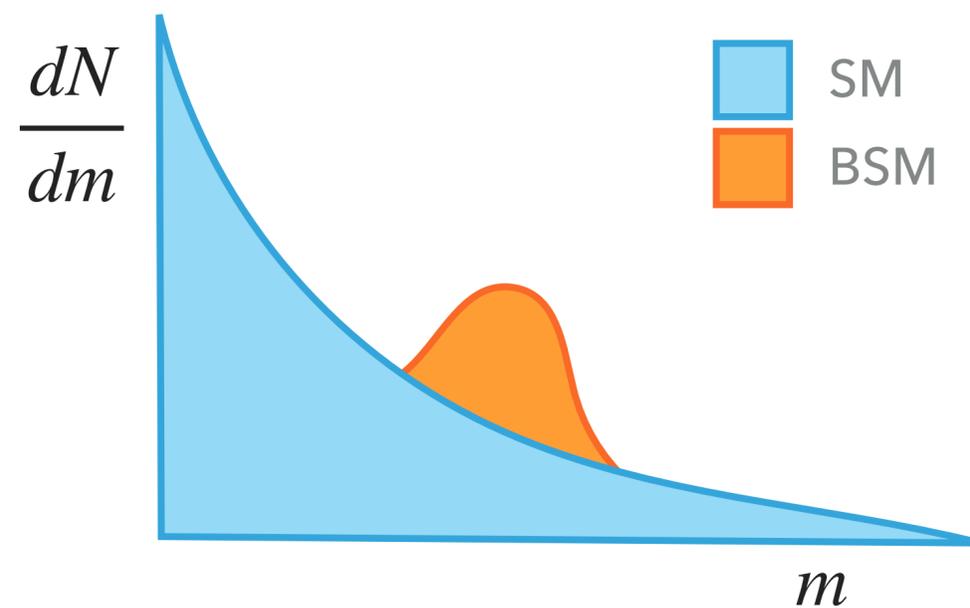
- ▶ **Direct searches:** produce and observe a new particle or interaction

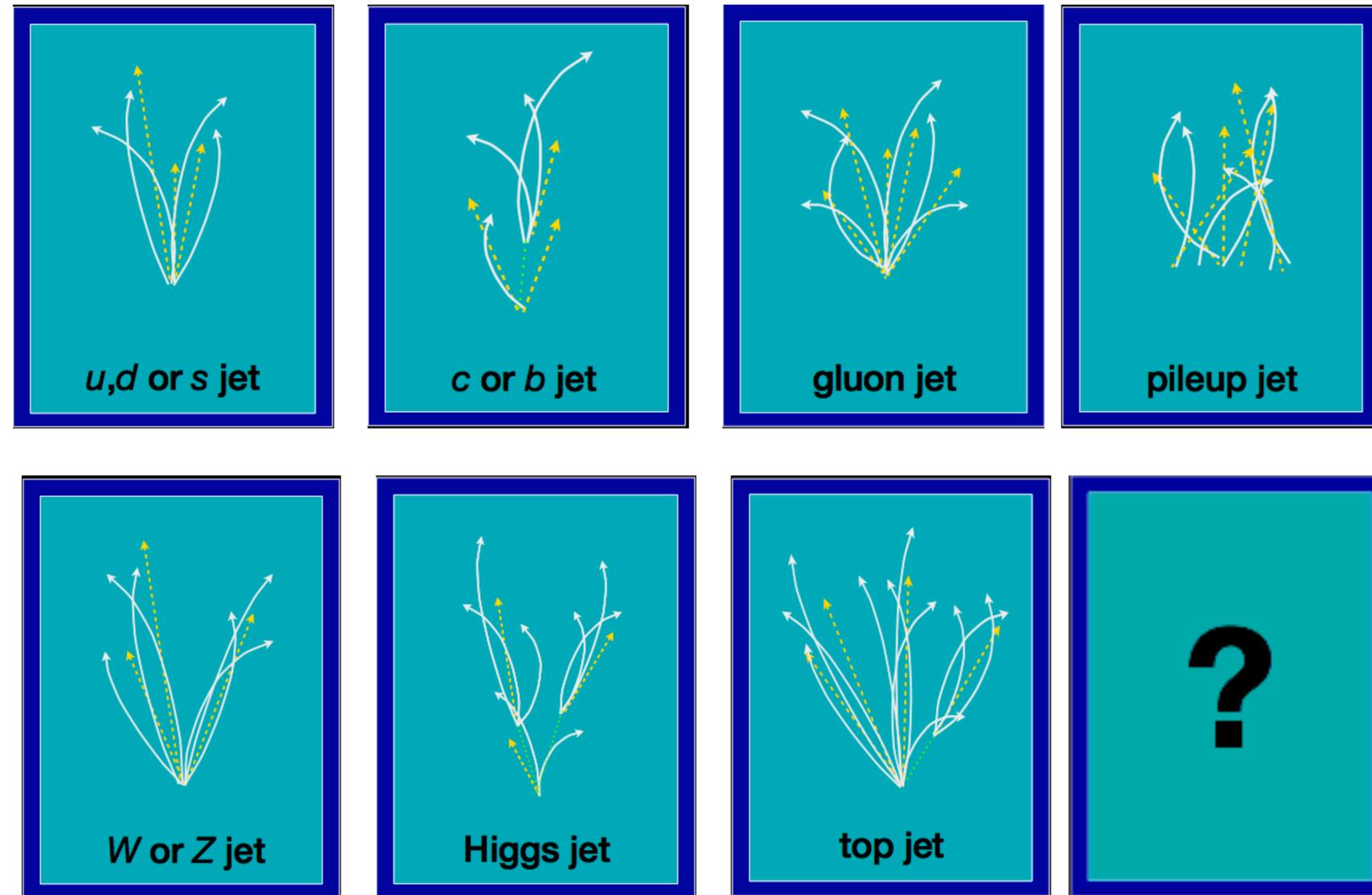


- ▶ **Direct searches:** produce and observe a new particle or interaction
- ▶ **Indirect searches:** measure known standard model particles and interactions to look for deviations



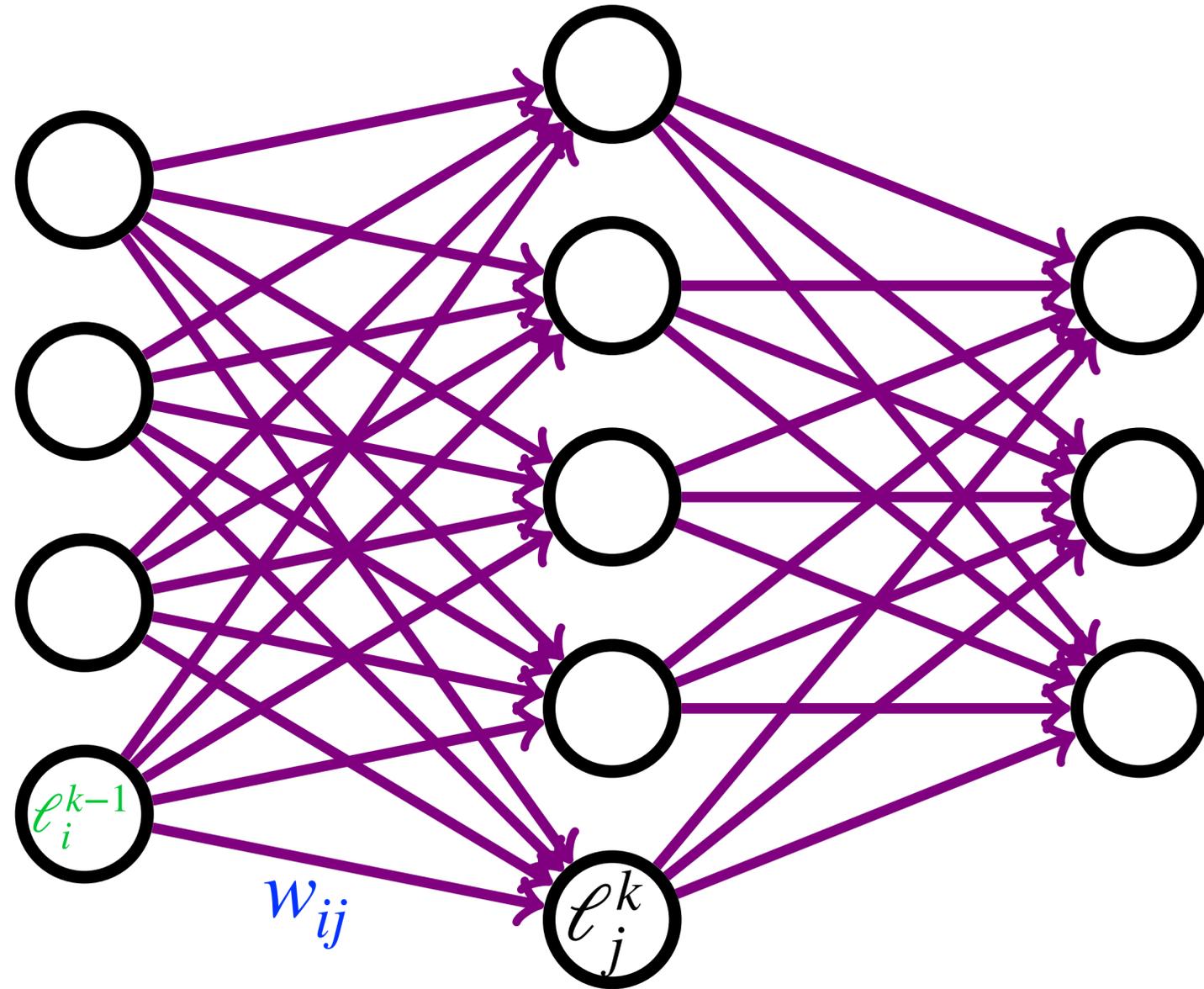
- ▶ **Direct searches:** produce and observe a new particle or interaction
- ▶ **Indirect searches:** measure known standard model particles and interactions to look for deviations
- ▶ **Machine learning** is becoming more important to searches... Can even do *anomaly detection*



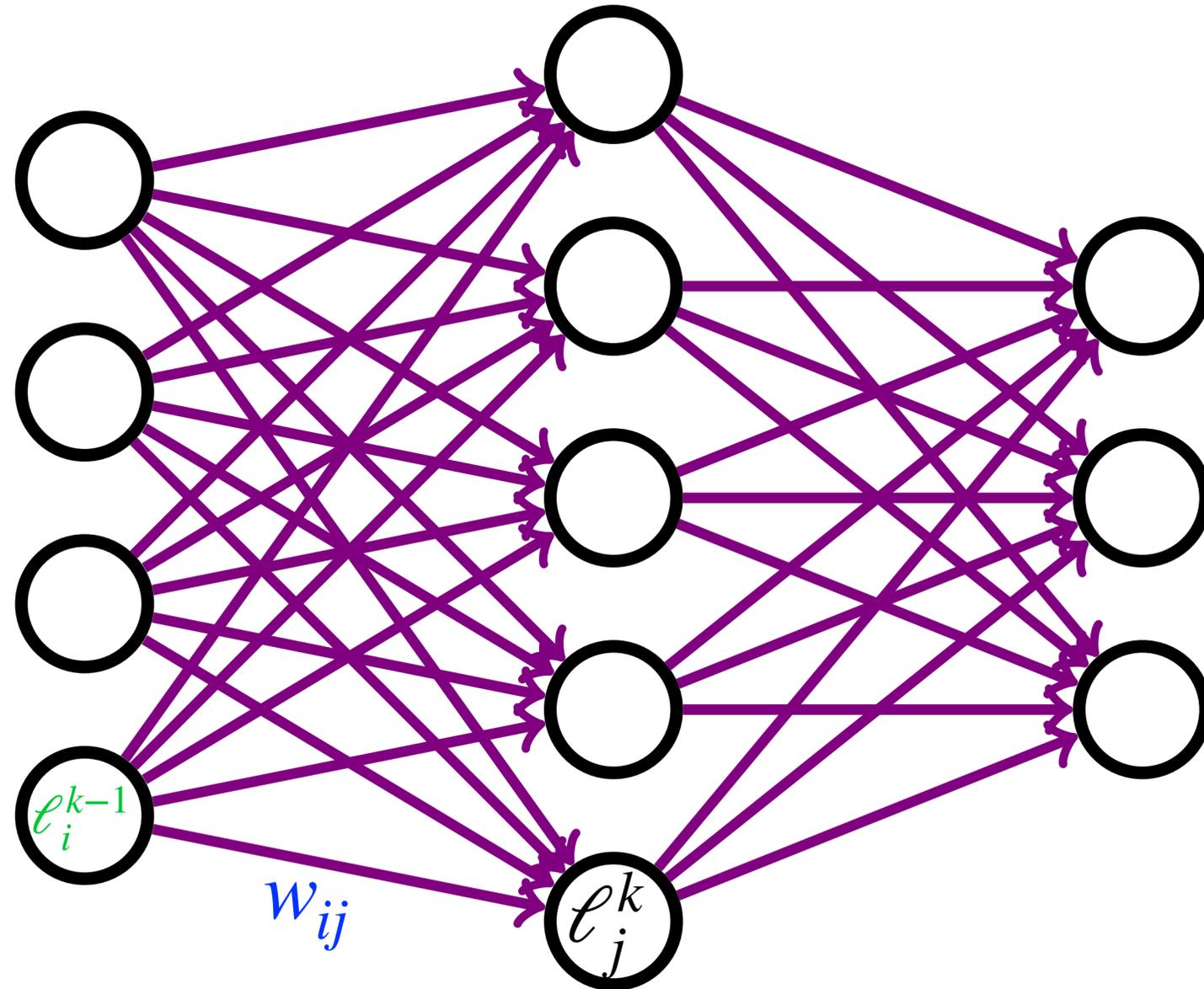


- ▶ Use machine learning to classify jets

$$\ell_j^k = \phi \left( \sum_i w_{ij} \ell_i^{k-1} + b_j \right)$$

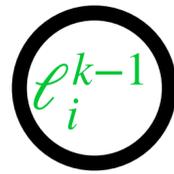
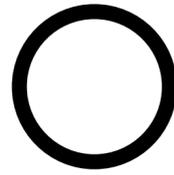
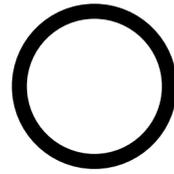
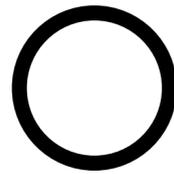


- ▶ Classic fully connected architecture  $\ell_j^k = \phi \left( \sum_i w_{ij} \ell_i^{k-1} + b_j \right)$

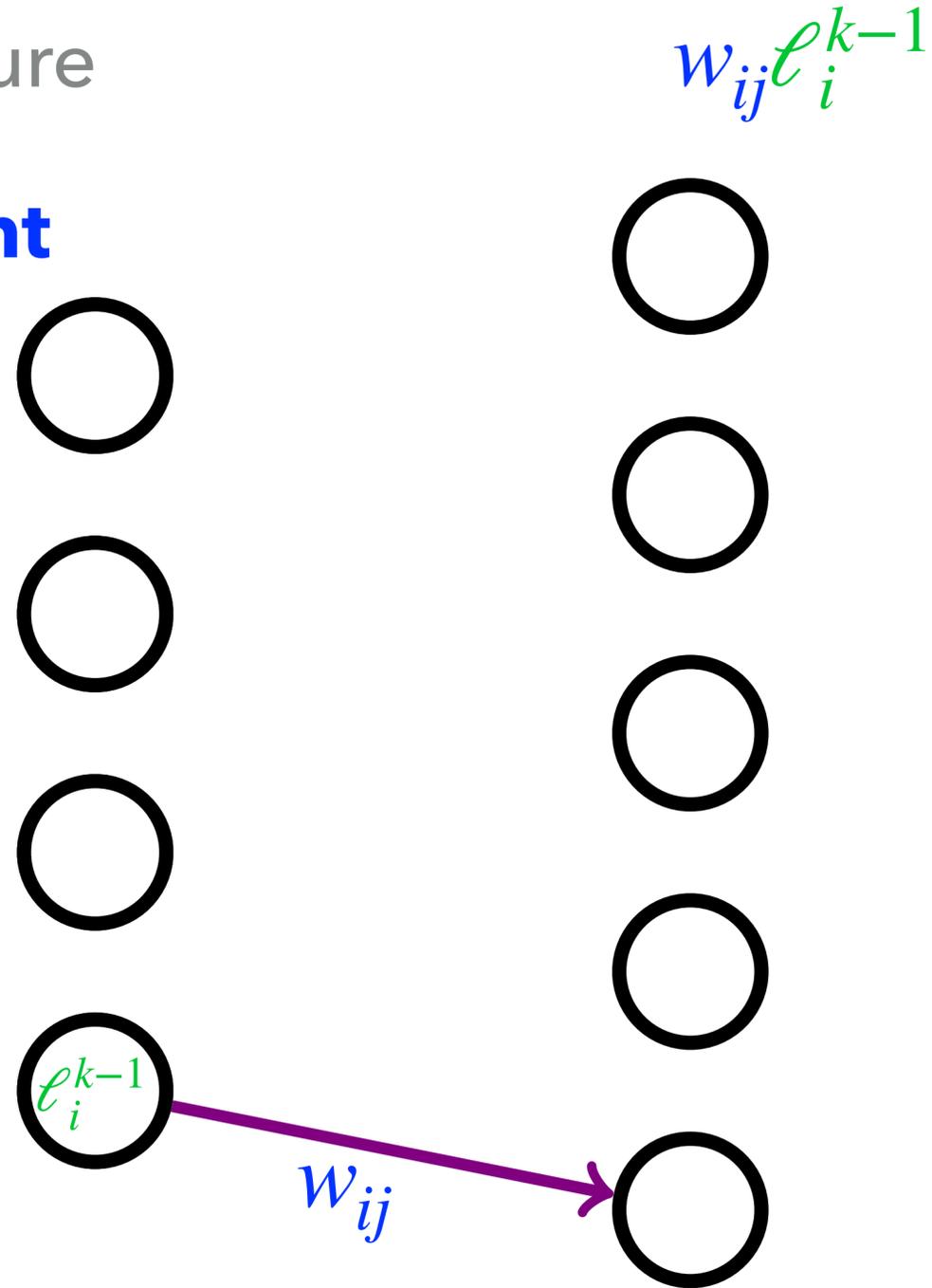


- ▶ Classic fully connected architecture

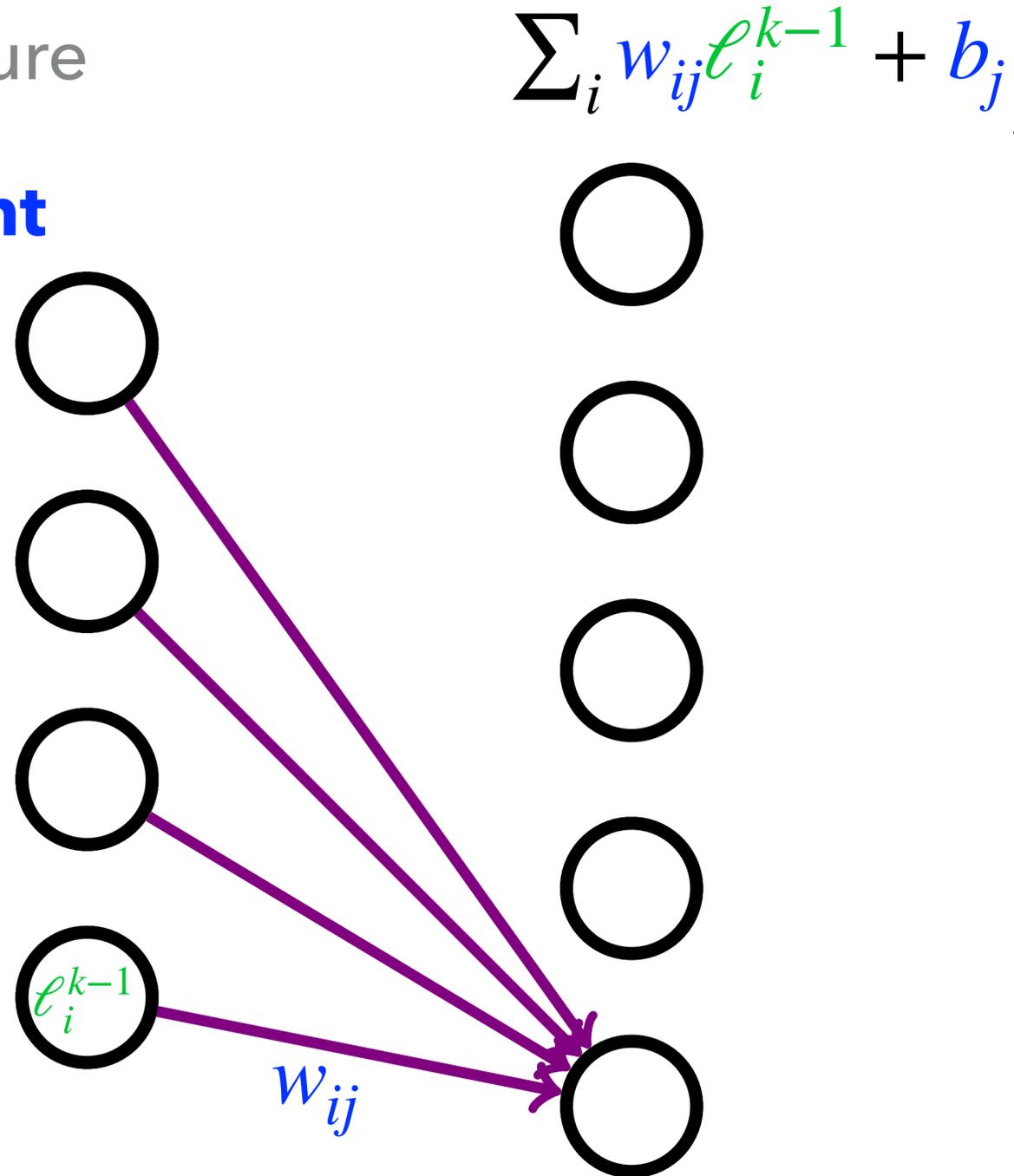
$$e_i^{k-1}$$



- ▶ Classic fully connected architecture
- ▶ Each **input** multiplied by a **weight**



- ▶ Classic fully connected architecture
- ▶ Each **input** multiplied by a **weight**
- ▶ **Weighted** values are summed, **bias** is added



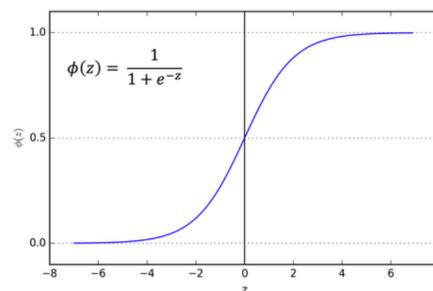
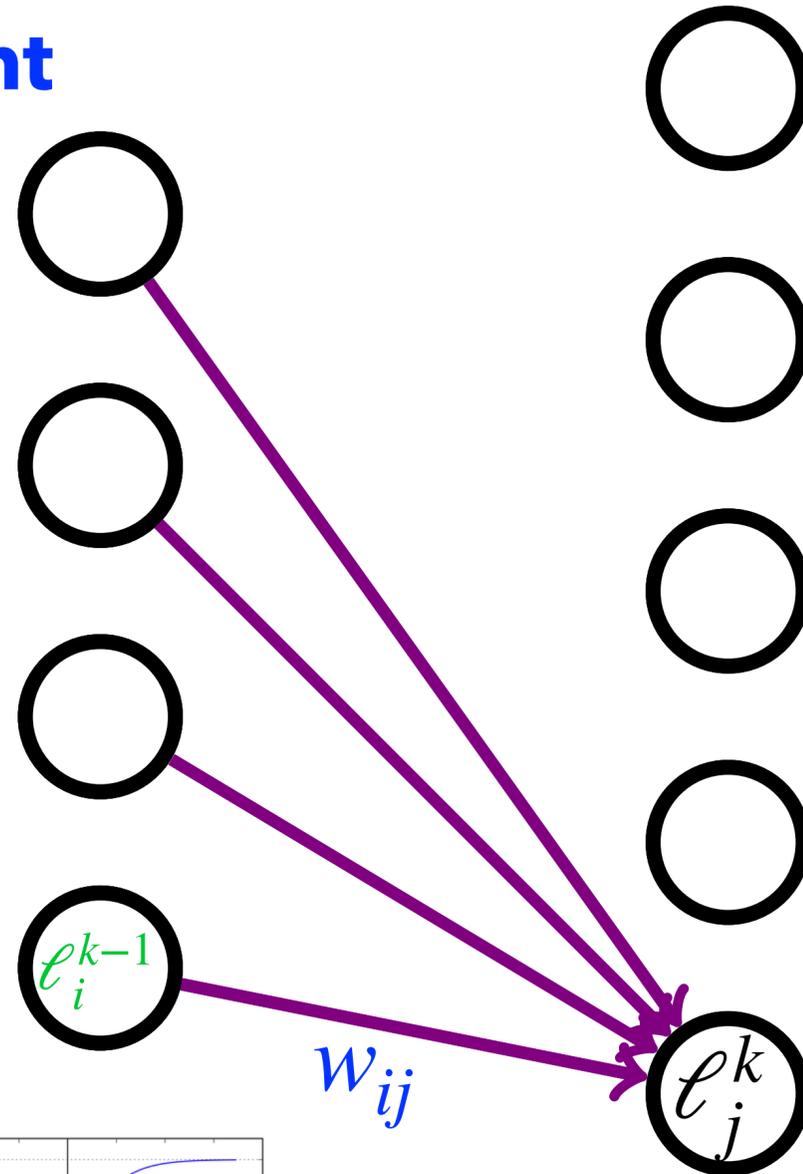
▶ Classic fully connected architecture

$$\ell_j^k = \phi \left( \sum_i w_{ij} \ell_i^{k-1} + b_j \right)$$

▶ Each **input** multiplied by a **weight**

▶ **Weighted** values are summed, **bias** is added

▶ Nonlinear **activation function** is applied



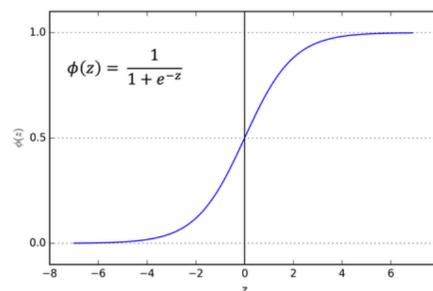
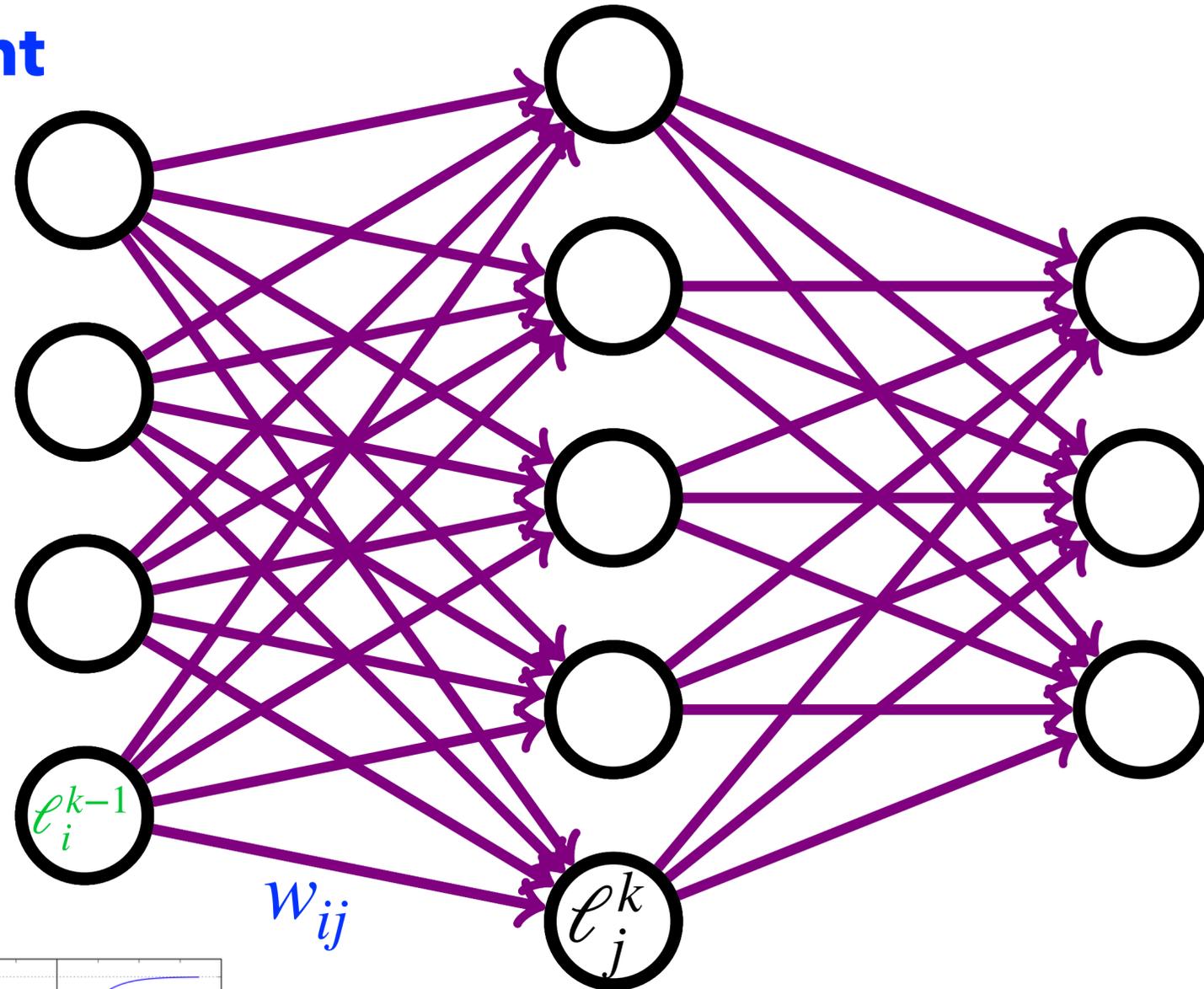
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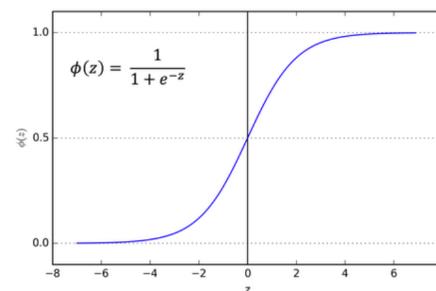
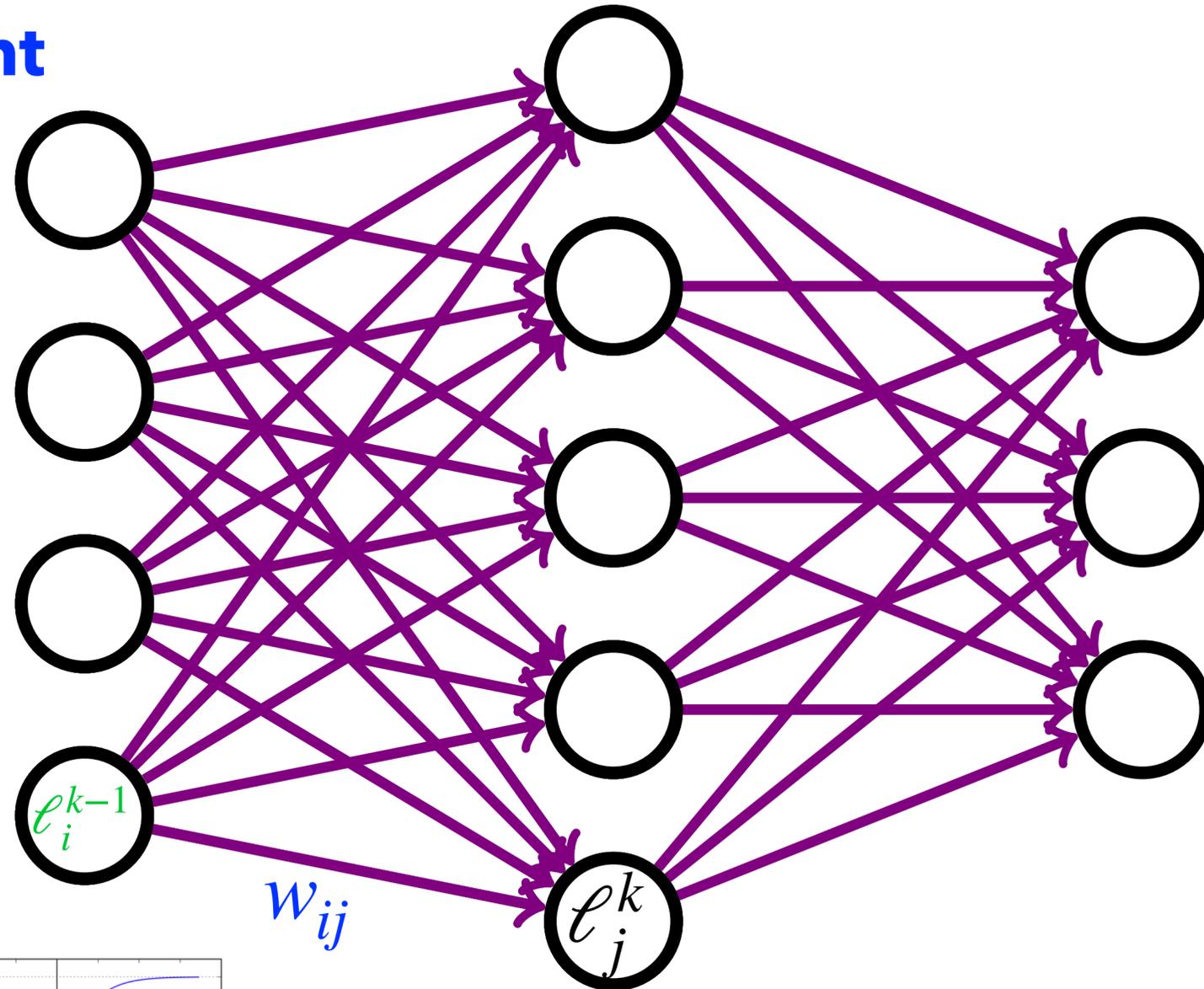
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- ▶ Trained by varying the **parameters** to minimize a loss function (quantifies how many mistakes the network makes)



▶ Classic fully connected architecture

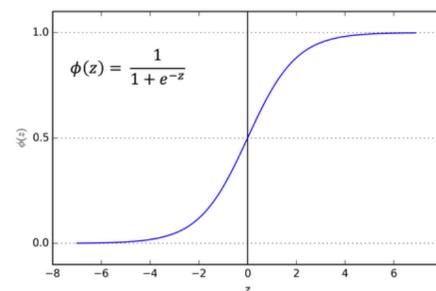
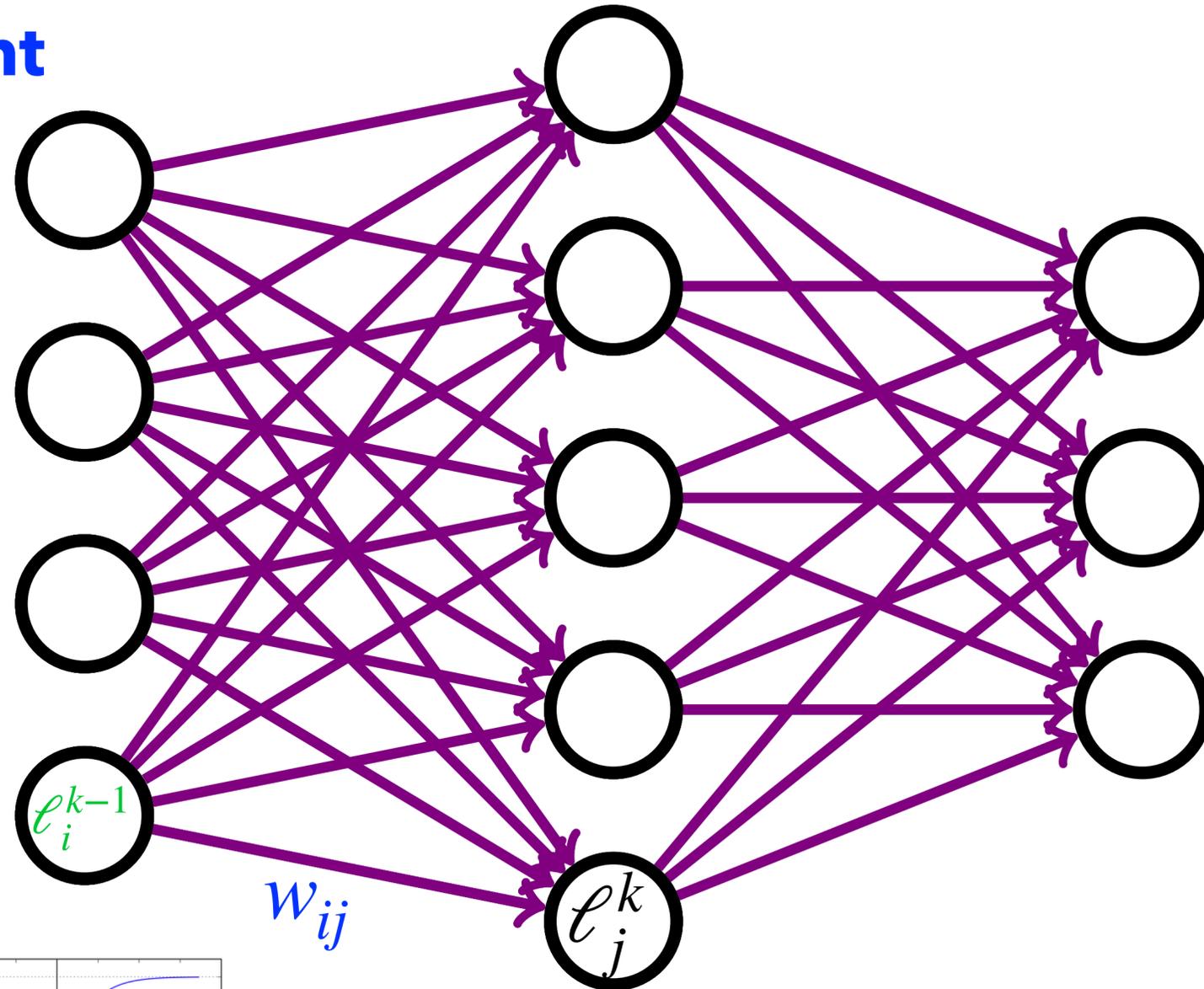
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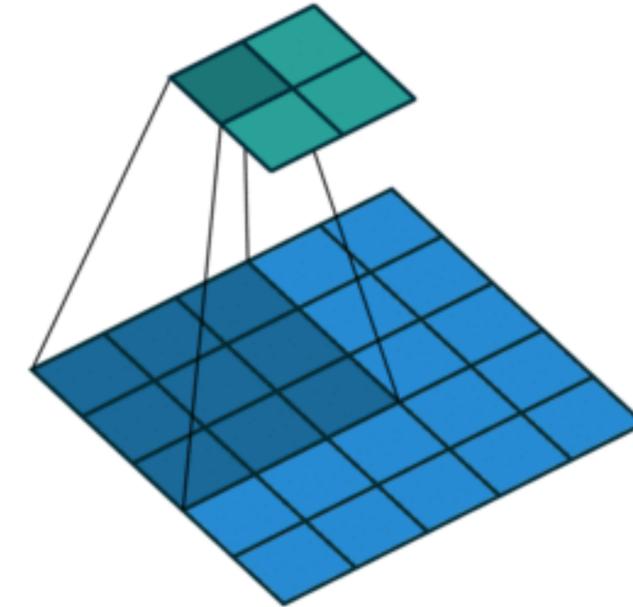
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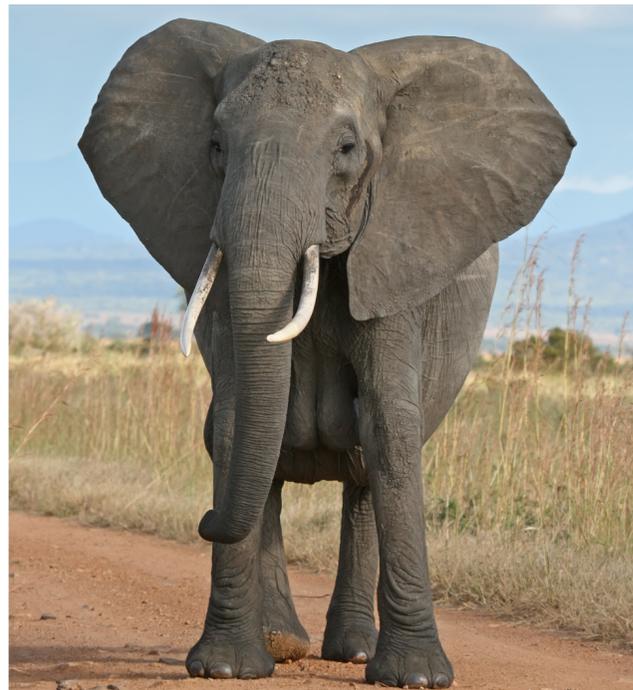


A sufficiently "wide" neural network can approximate any function!

- ▶ ResNet-50 is a deep convolutional neural network
  - ▶ inputs (pixels) are locally grouped and the same set of weights is applied to each patch
  - ▶ 50 hidden layers
  - ▶ state-of-the-art performance for natural images



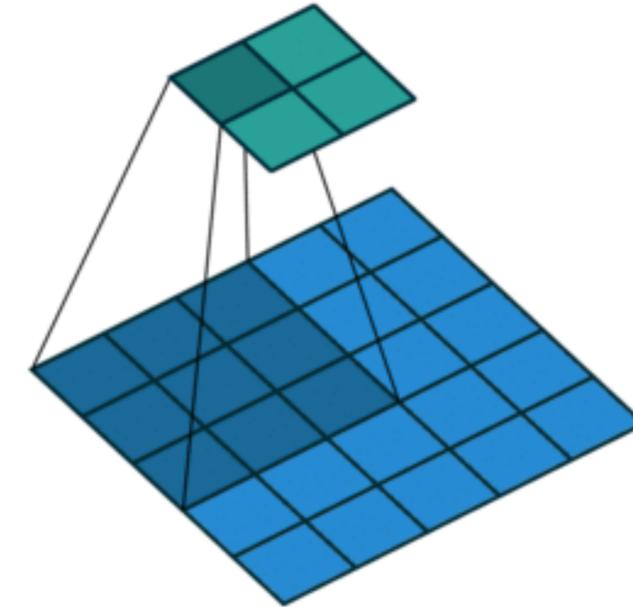
African elephant from Wikipedia



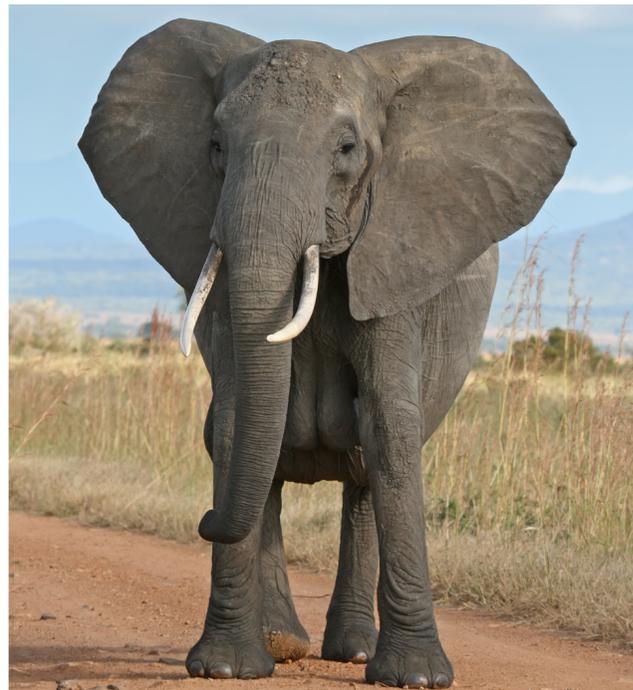
ResNet-50 predictions:

African elephant: 90%  
Tusker: 6%  
Indian elephant: 3%

- ▶ ResNet-50 is a deep convolutional neural network
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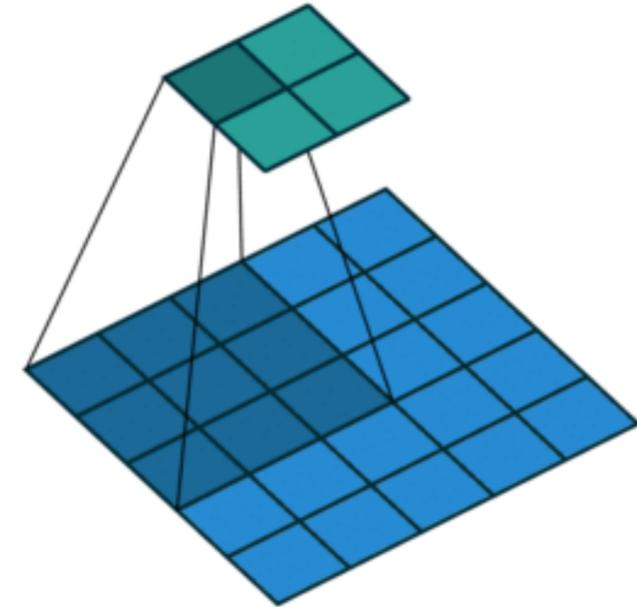
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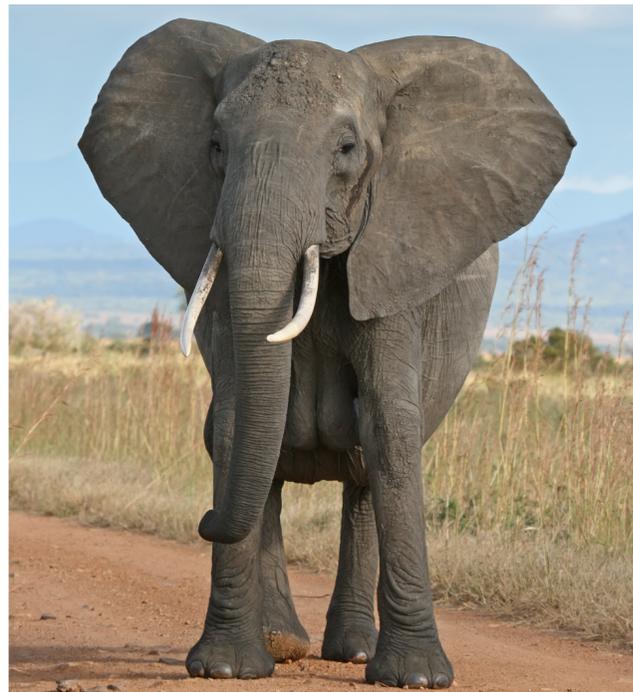
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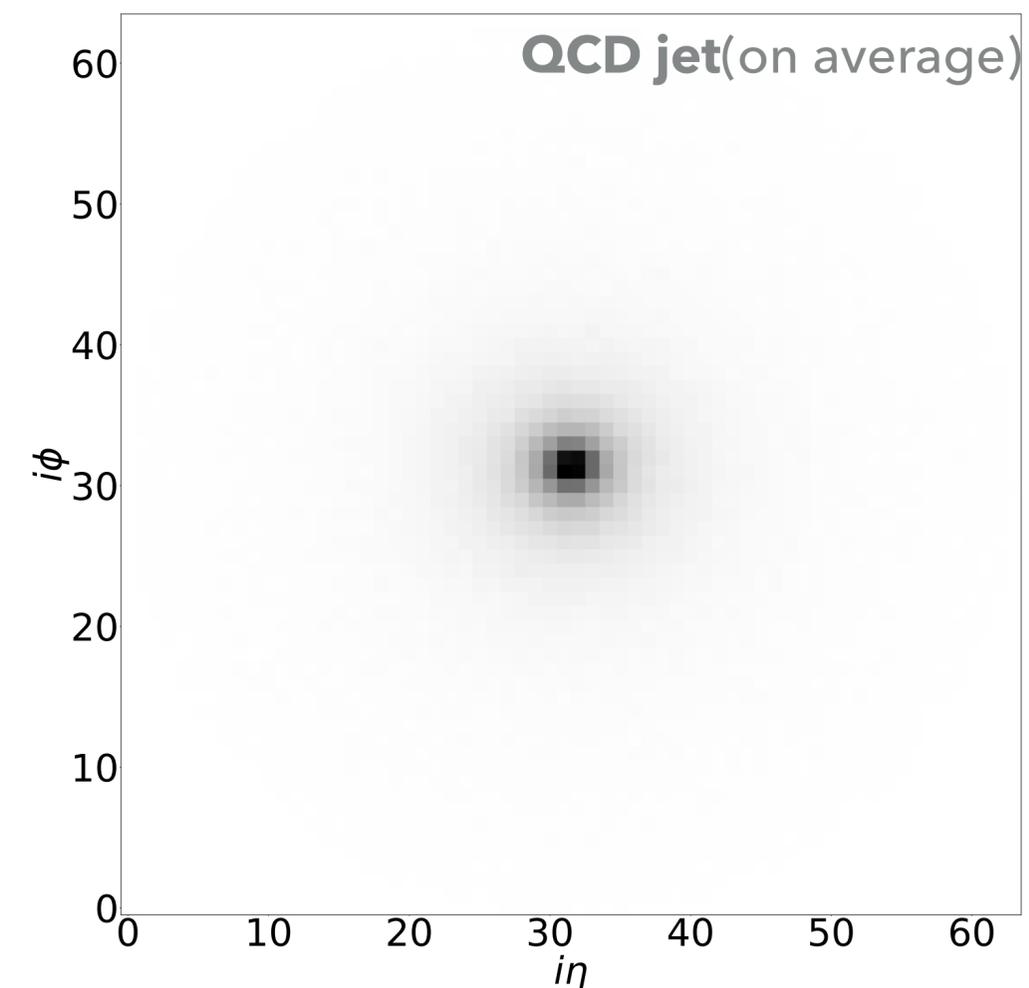
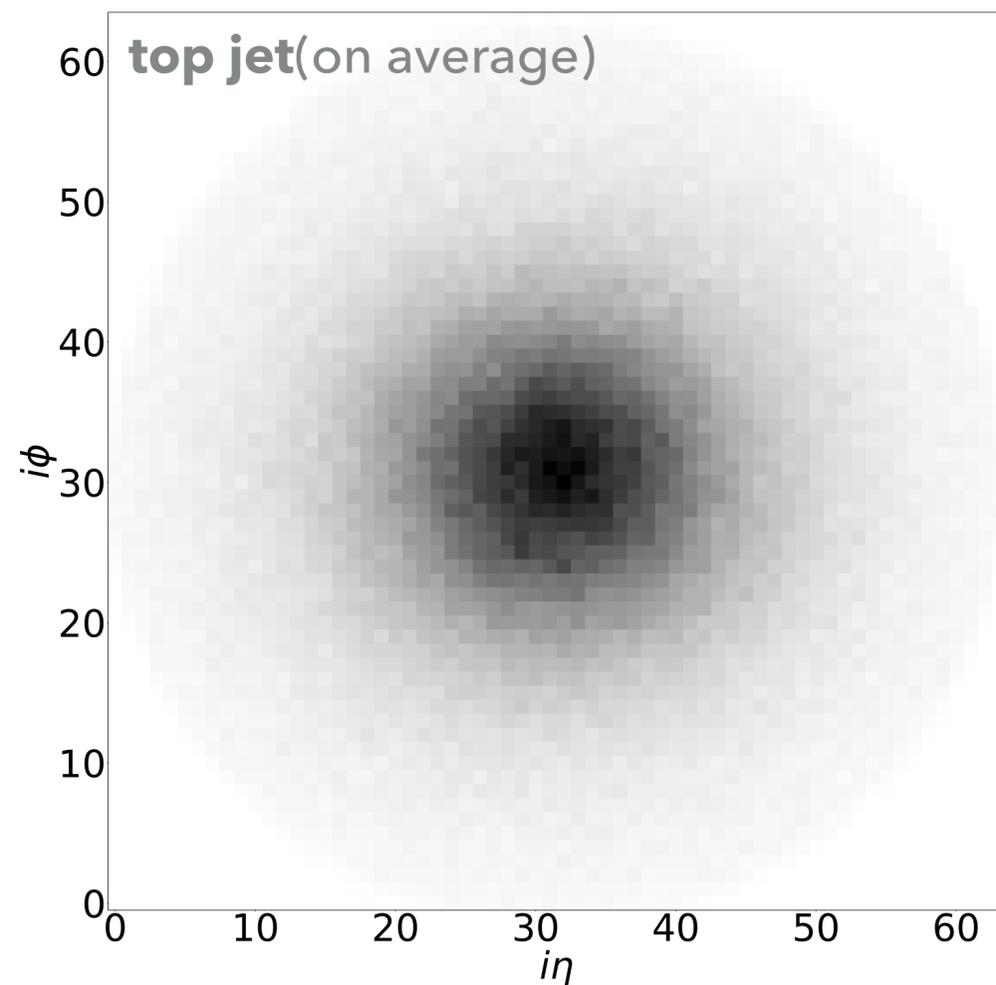
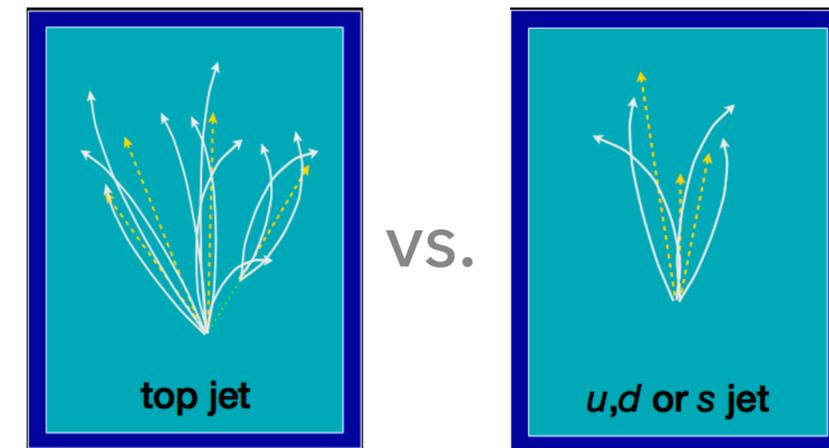
My puppy: whippet mixed with ???



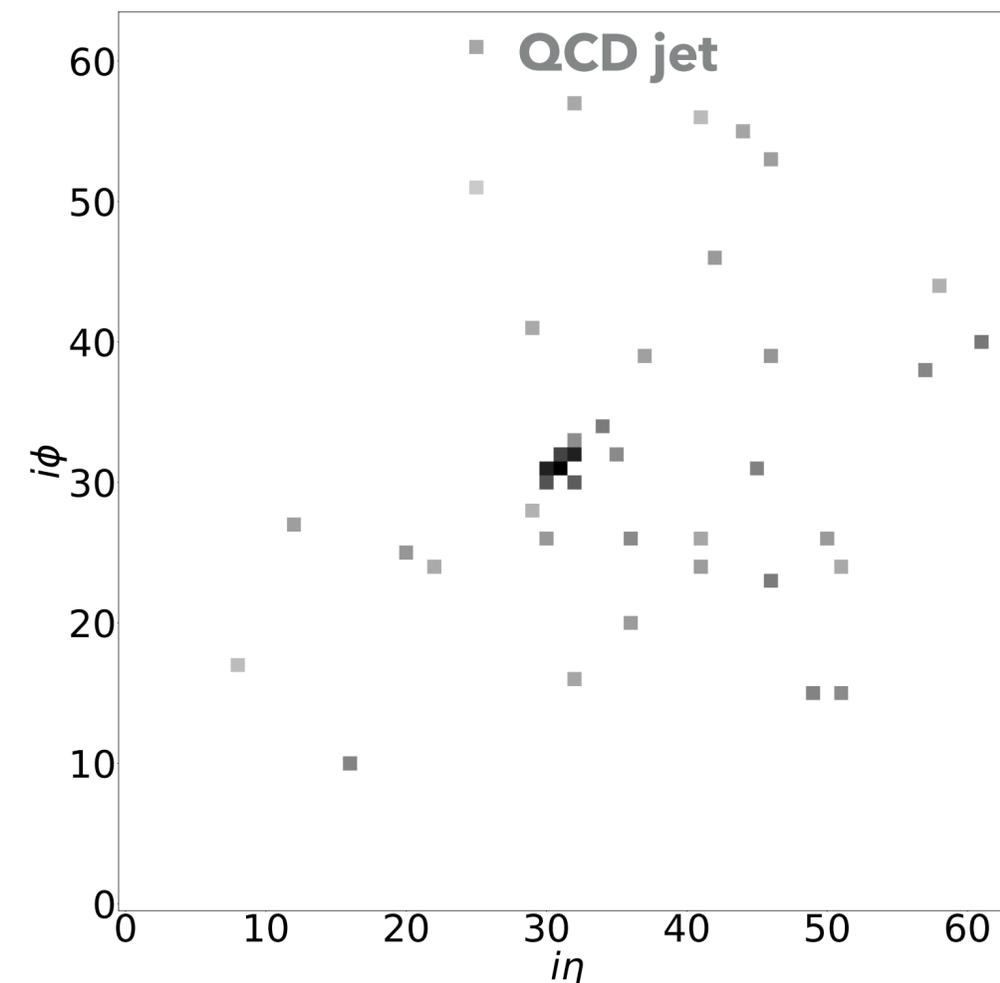
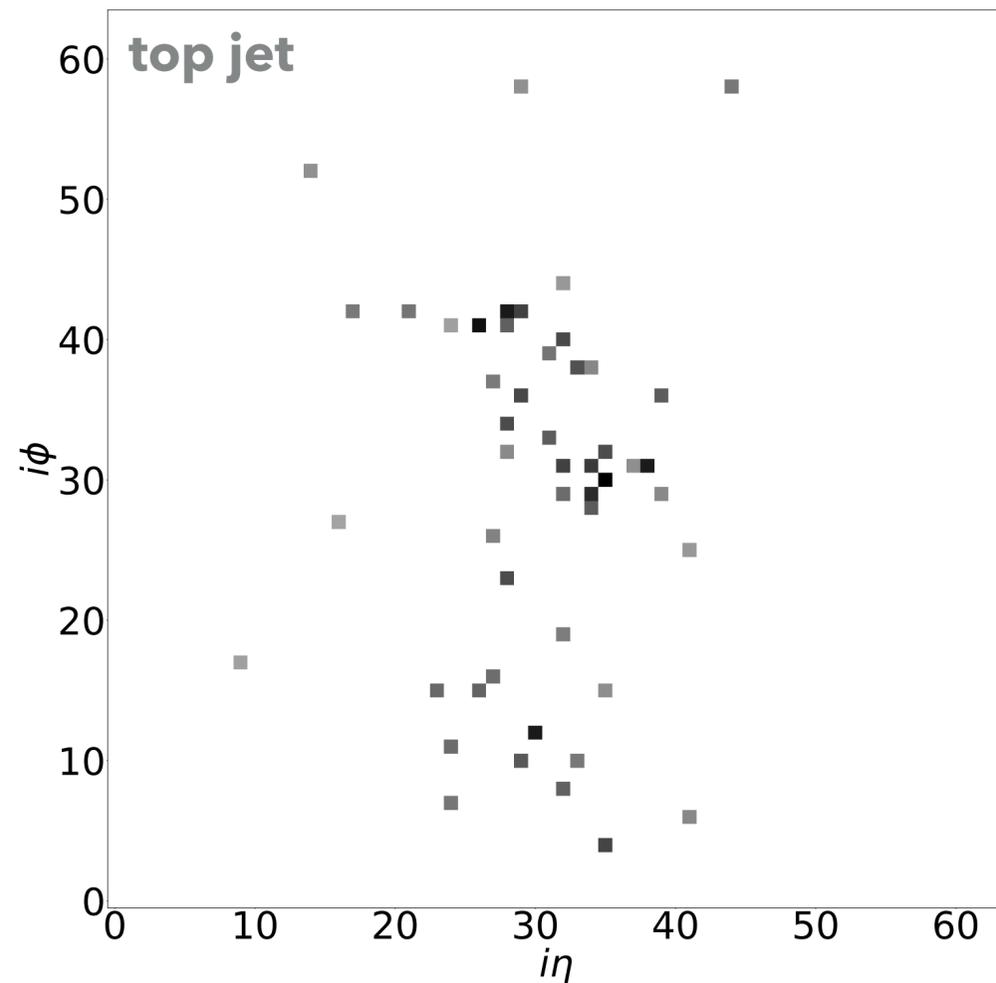
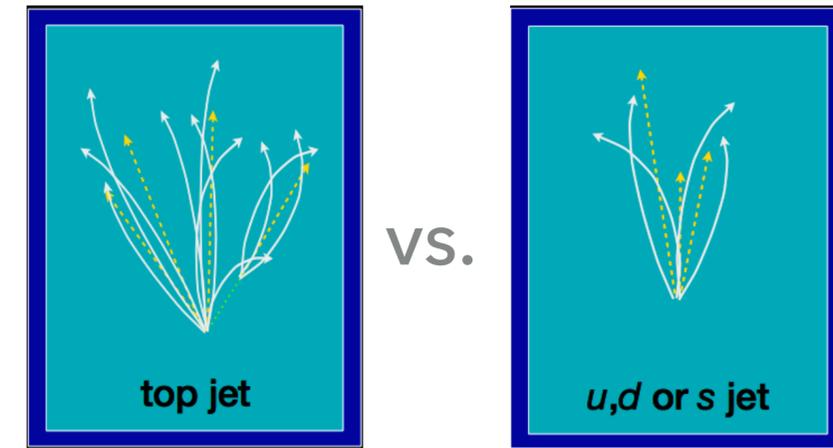
ResNet-50 predictions:

Whippet: 47%  
Italian greyhound: 20%  
Rhodesian ridgeback: 18%

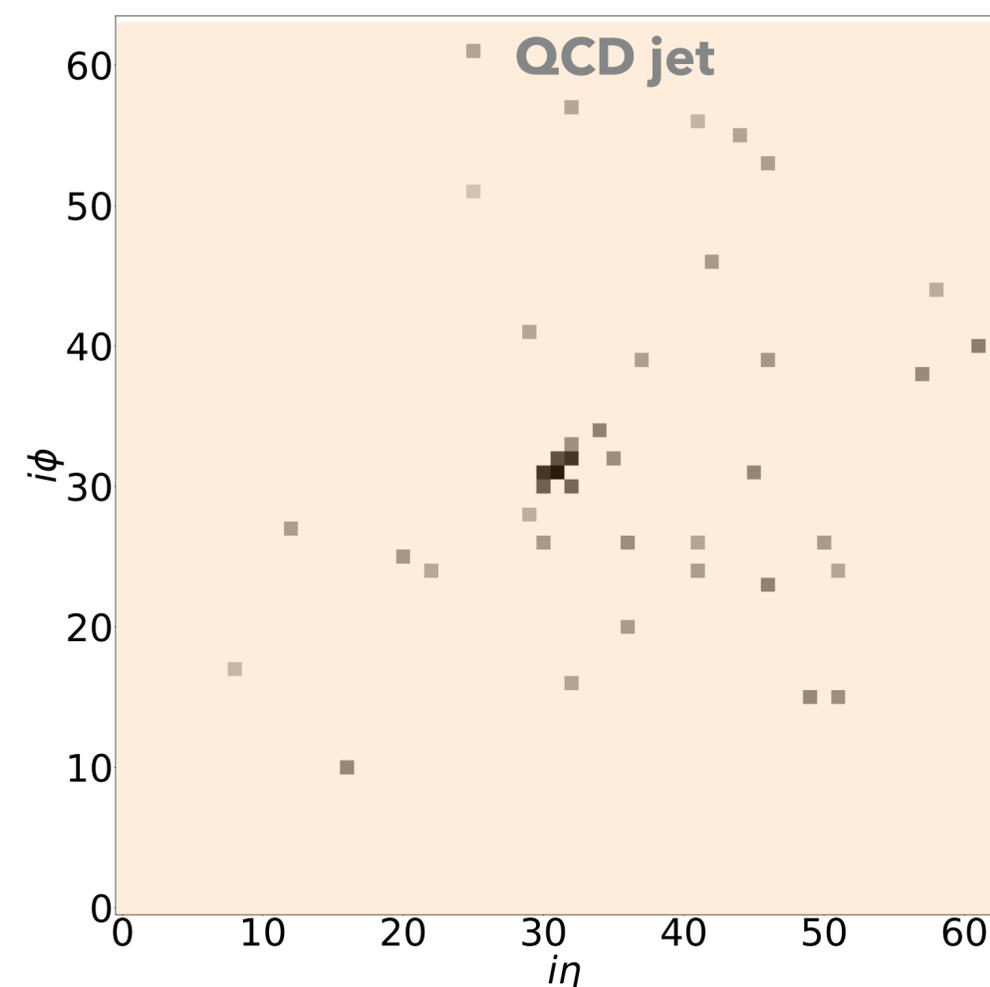
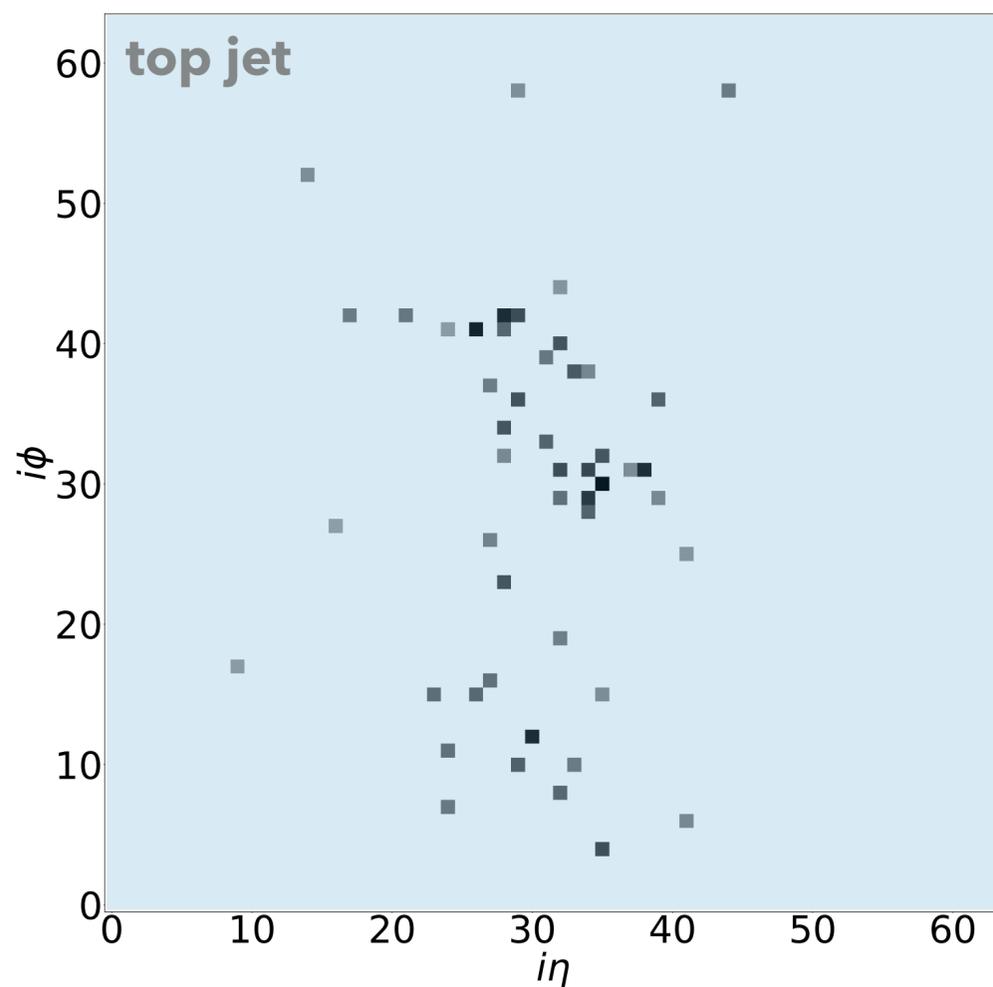
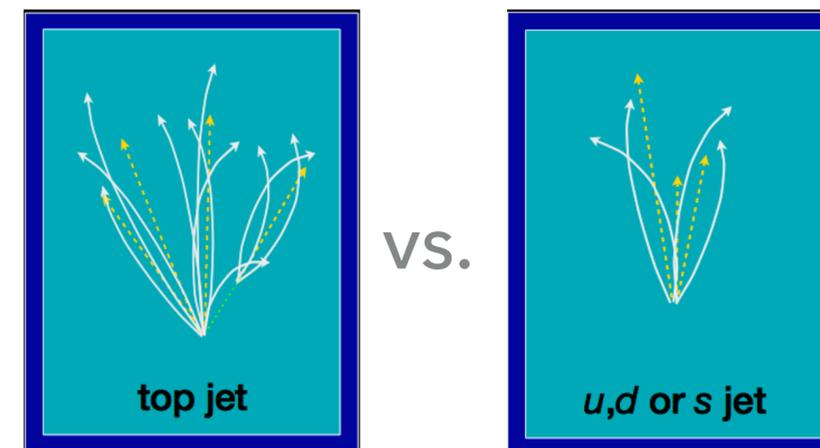
- ▶ Train ResNet-50 to identify the origin of jets
- ▶ Jet images = pixelated versions of calorimeter hits in 2D ( $\eta$ ,  $\phi$ )

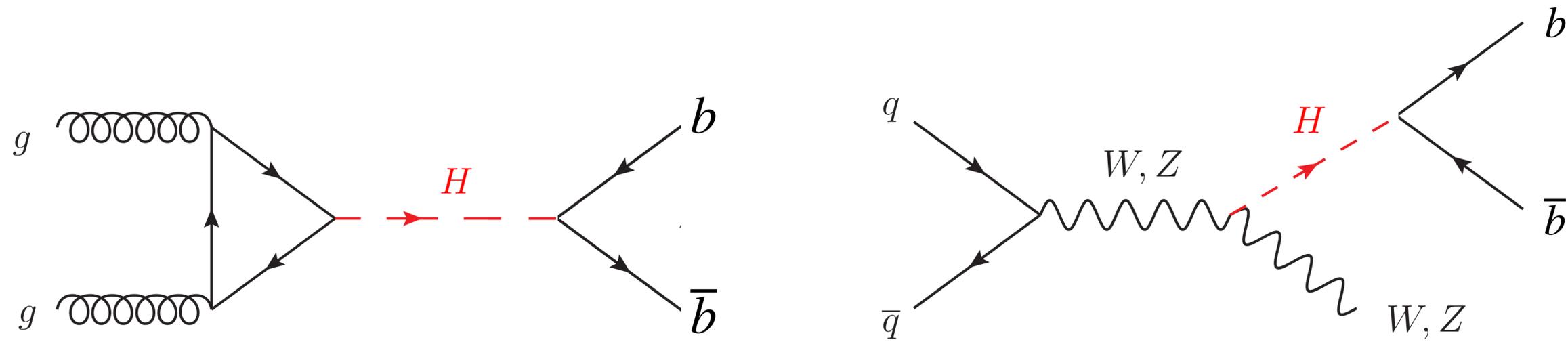


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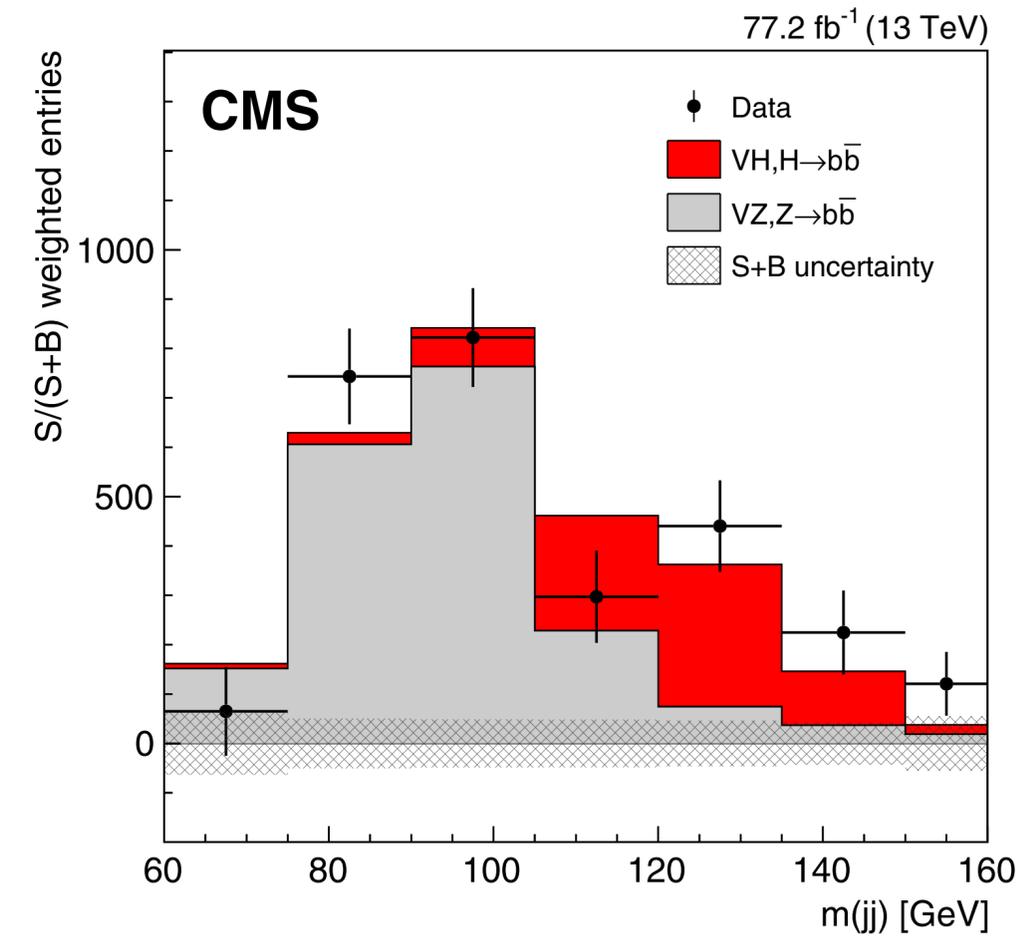
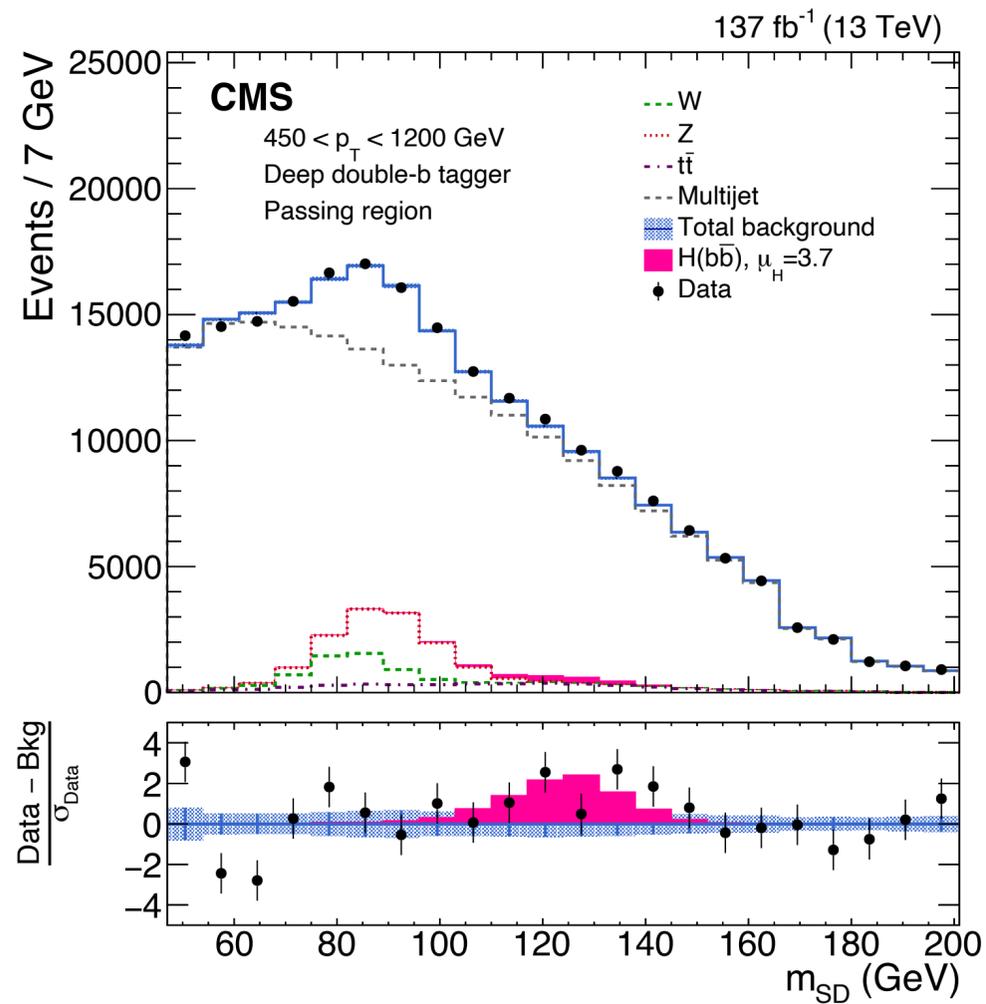
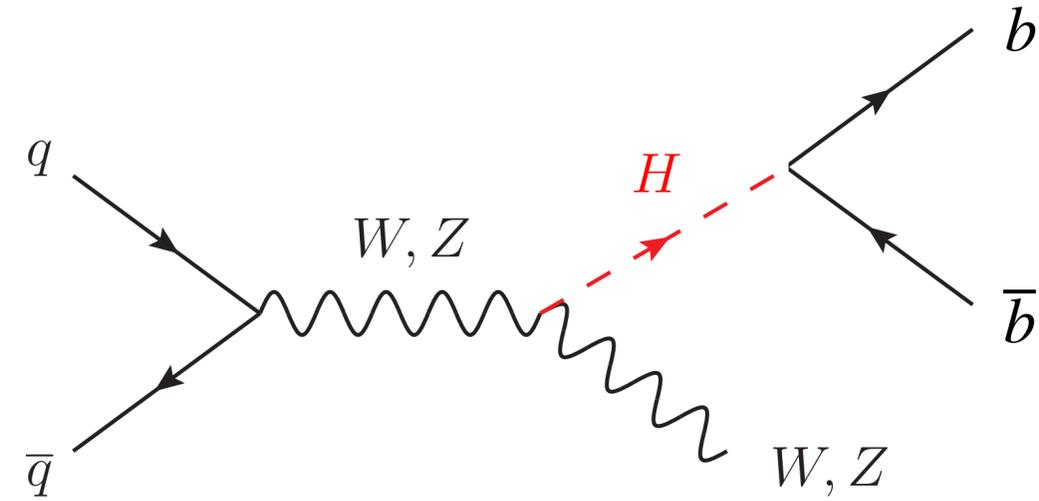
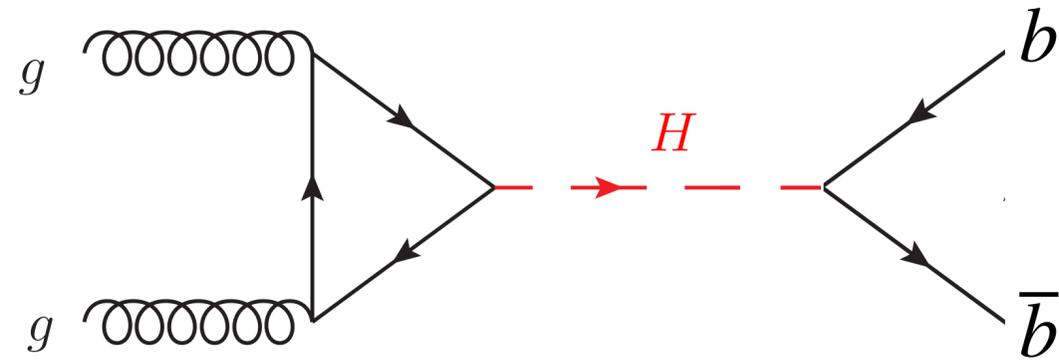


- ▶ Train ResNet-50 to identify the origin of jets
- ▶ Jet images = pixelated versions of calorimeter hits in 2D ( $\eta$ ,  $\phi$ )





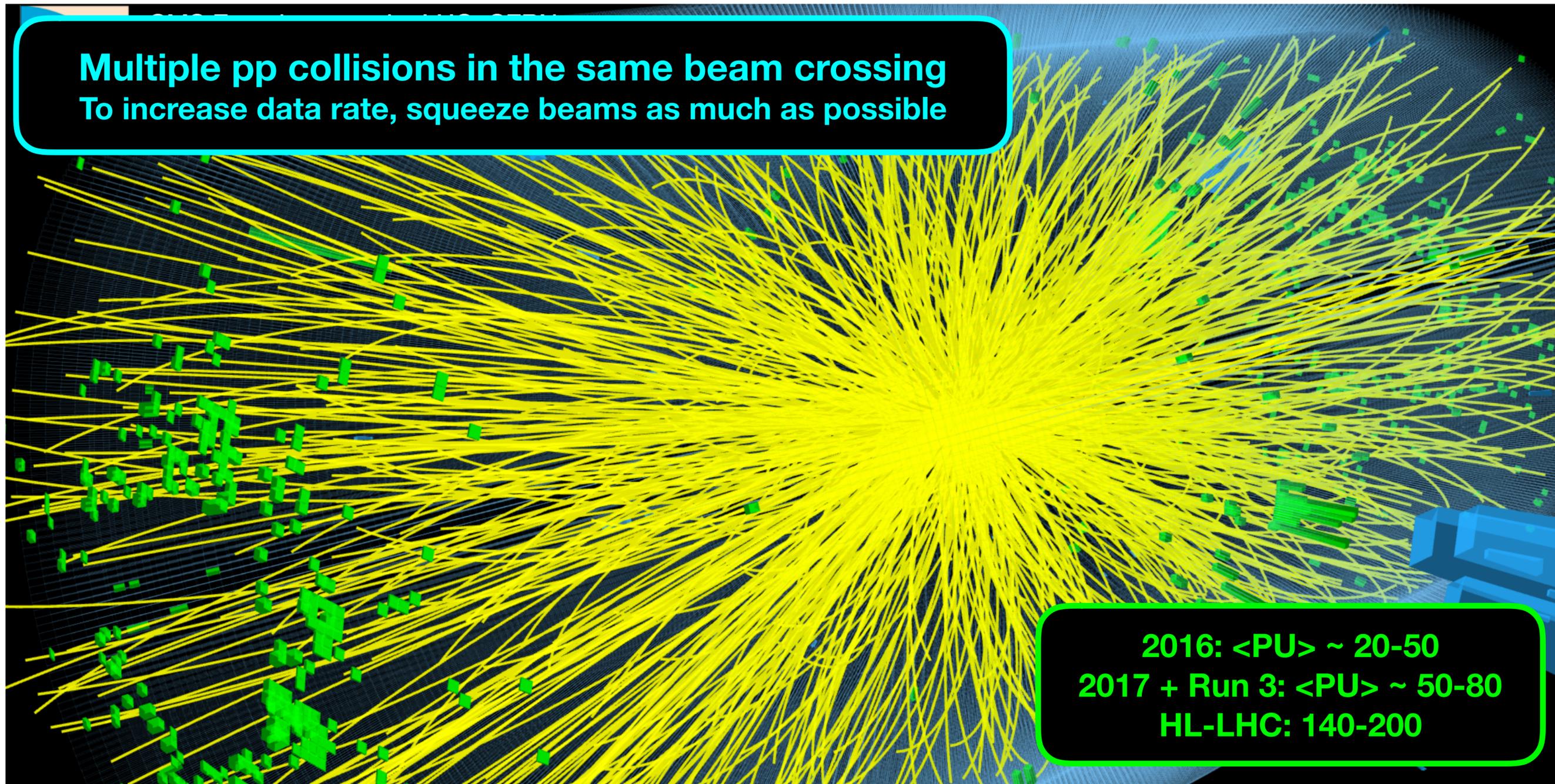
- ▶ Can we use ML techniques like this to observe the Higgs boson decaying to bottom quarks?

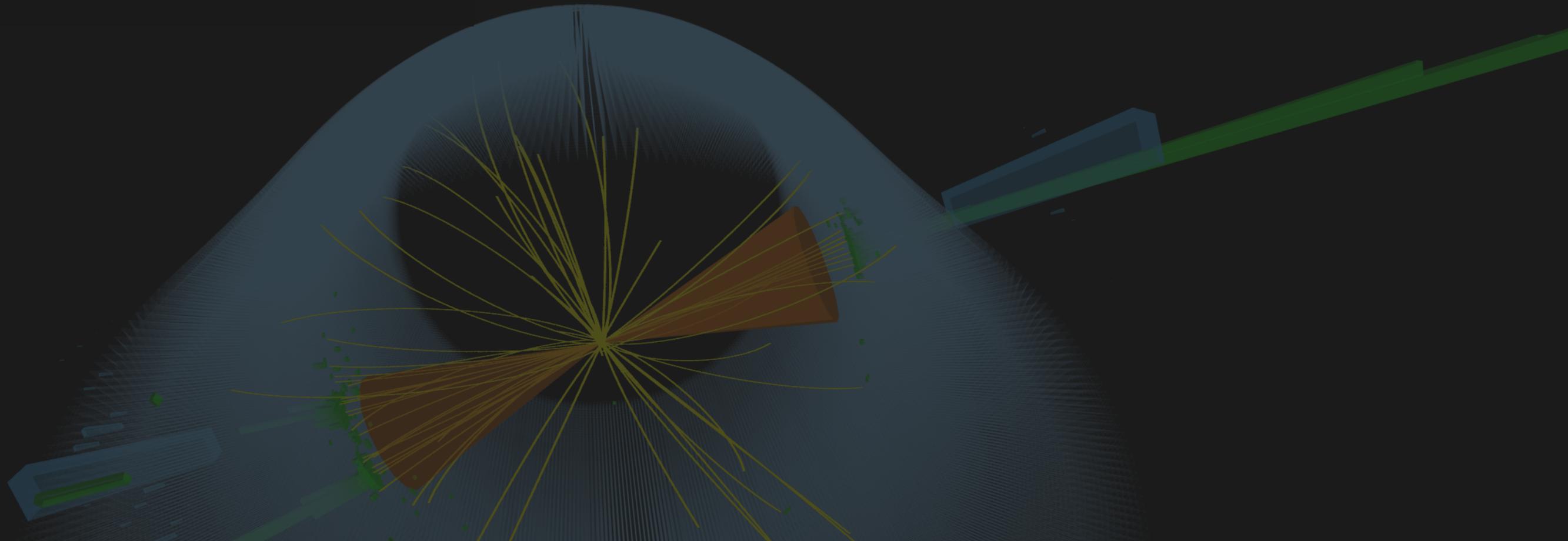


DEEP NEURAL NETWORK

BOOSTED DECISION TREE

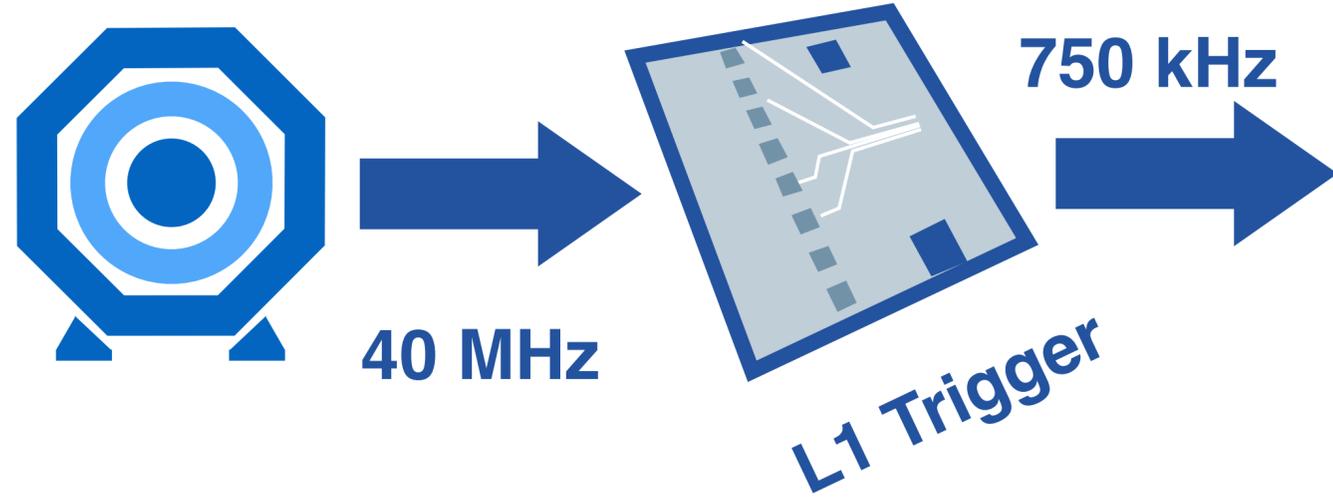
- ▶ High luminosity: increased event rate and many more simultaneous collisions (pileup)!
- ▶ Pileup + new detectors (= more complex data) challenge our **trigger** and **reconstruction**

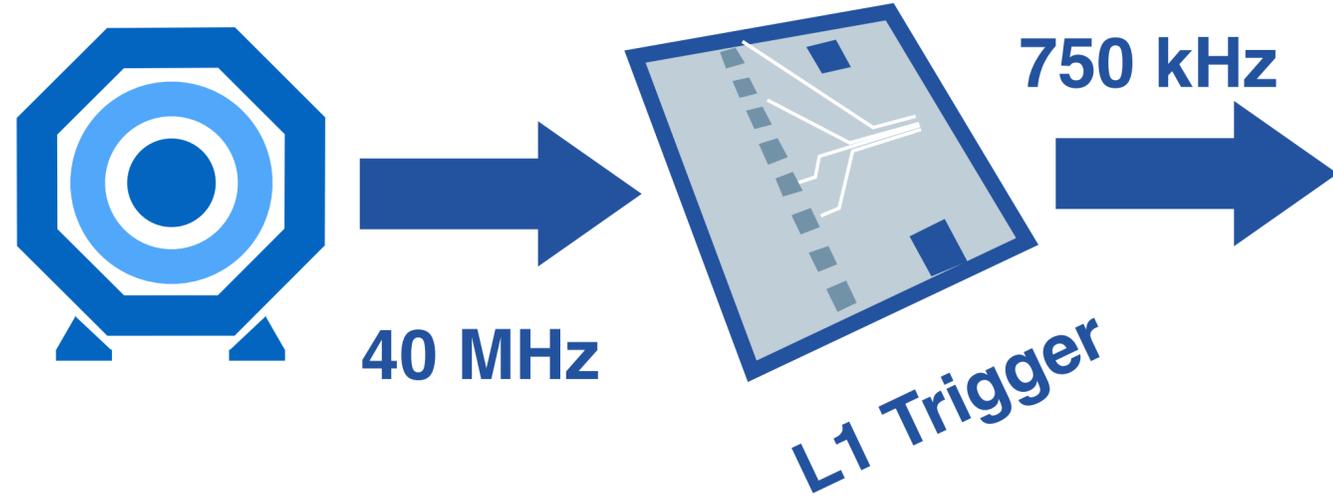




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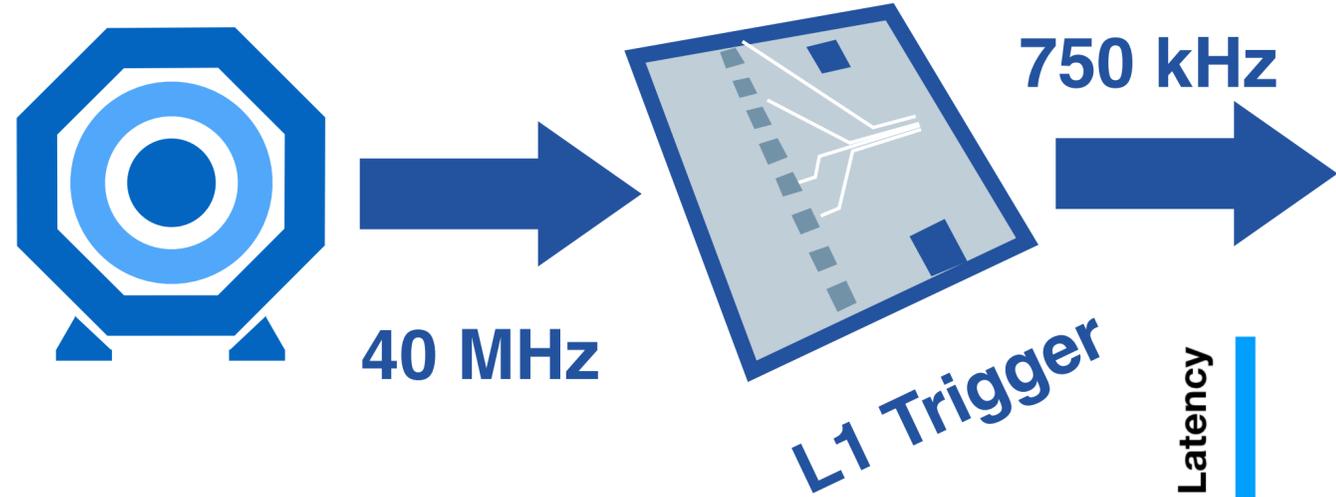
# ML IN PARTICLE PHYSICS



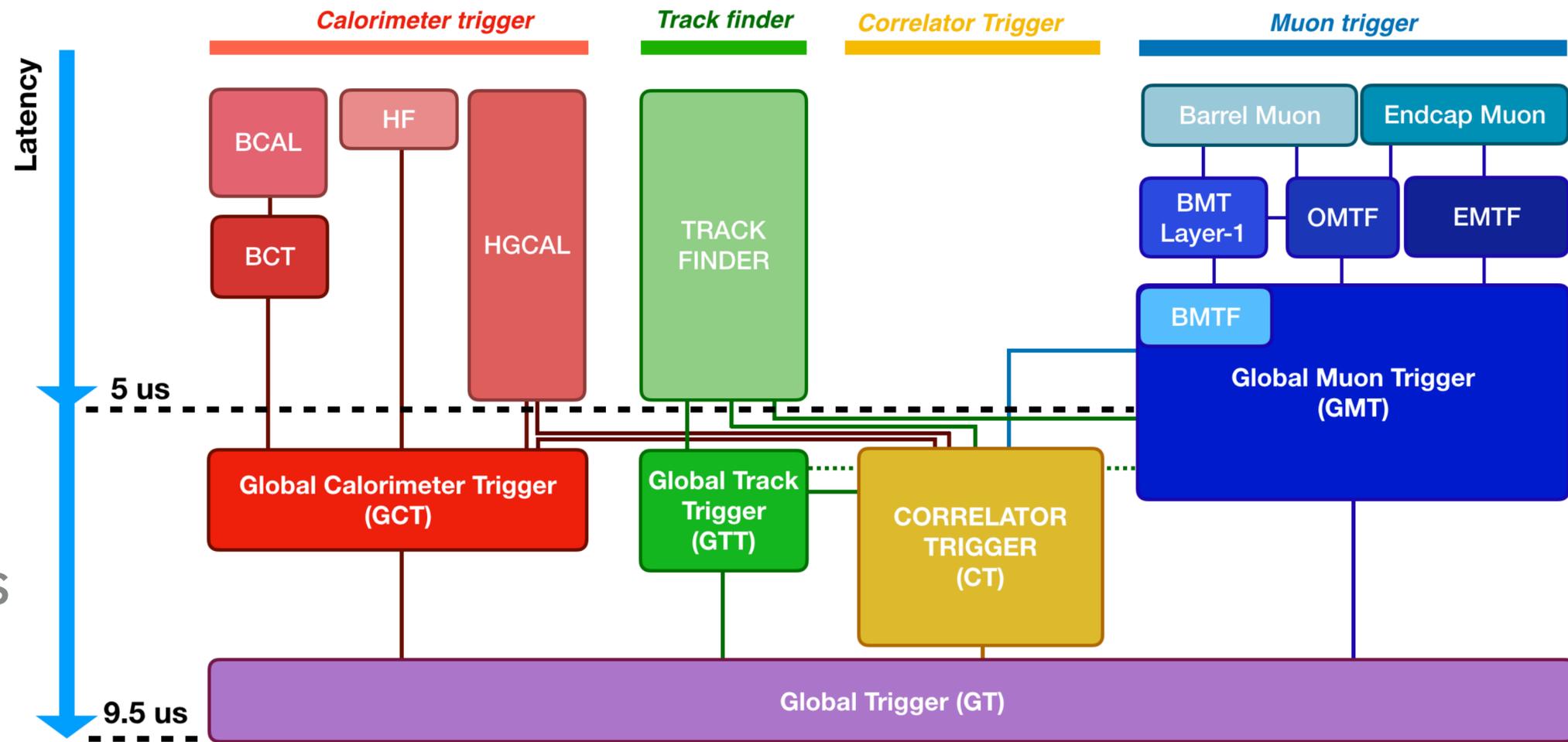


- ▶ Upgraded Level-1 Trigger:  
40 MHz → 750 kHz

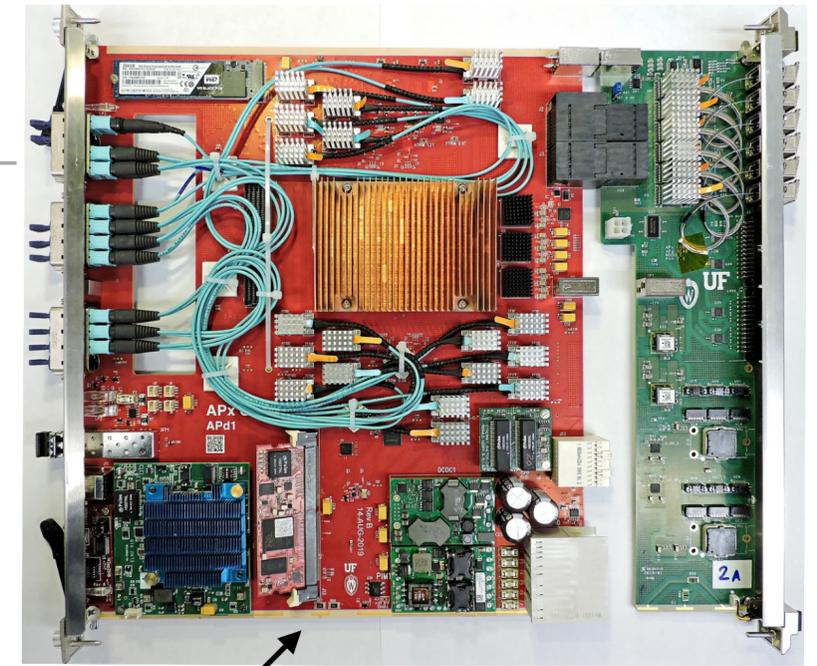
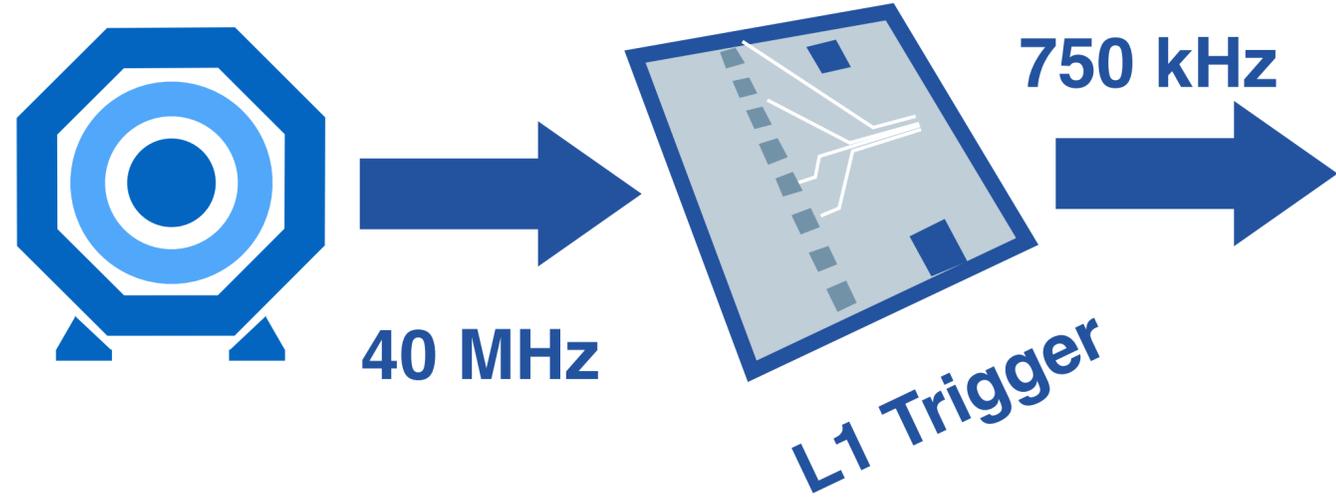
# LEVEL-1 TRIGGER



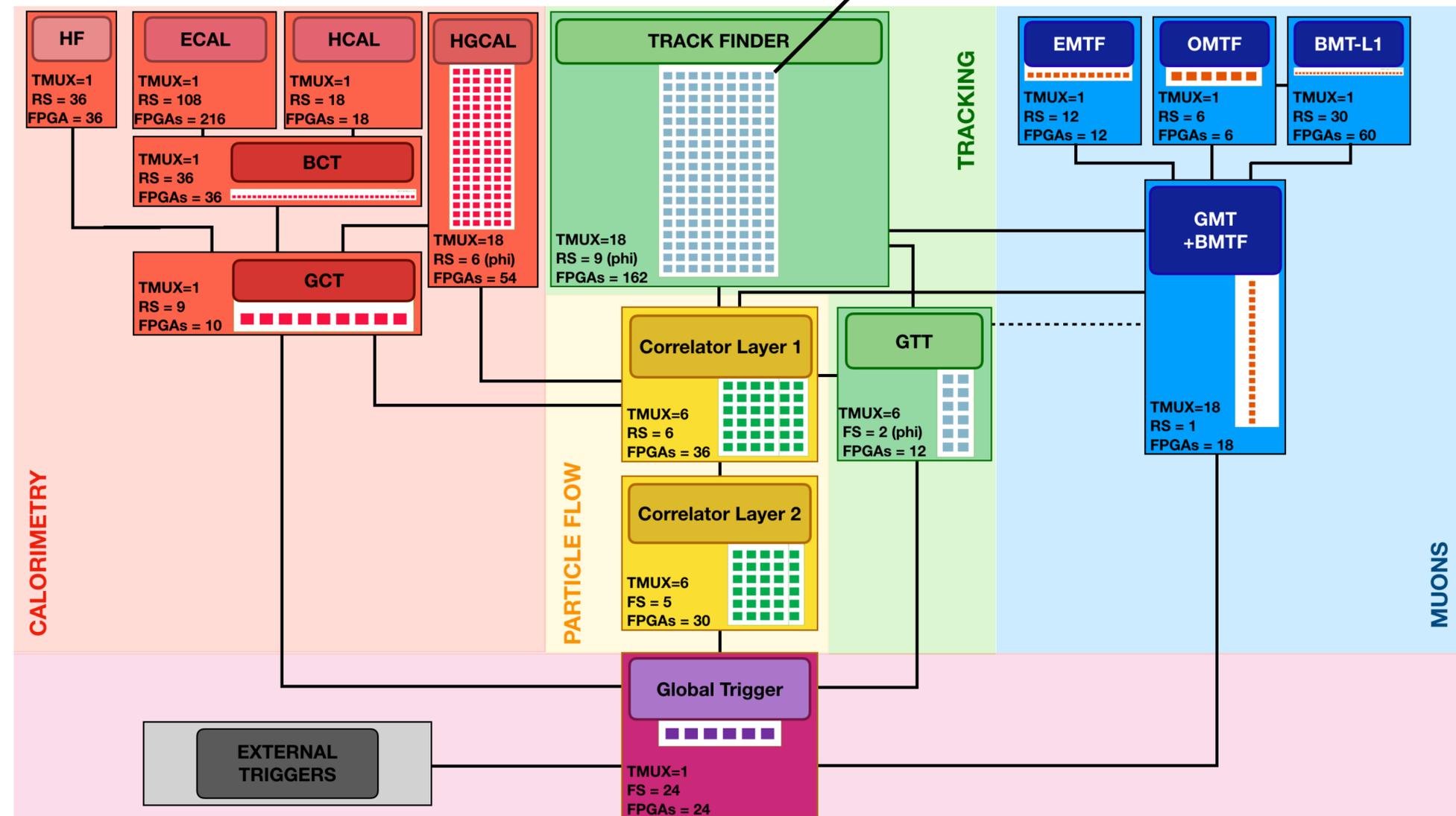
- ▶ Upgraded Level-1 Trigger: 40 MHz → 750 kHz
- ▶ Reconstruct all events and reject 98% of them in  $\sim 12.5 \mu\text{s}$



# LEVEL-1 TRIGGER



- ▶ Upgraded Level-1 Trigger: 40 MHz → 750 kHz
- ▶ Reconstruct all events and reject 98% of them in  $\sim 12.5 \mu\text{s}$
- ▶ Latency necessitates all **FPGA** design (729 FPGAs!)



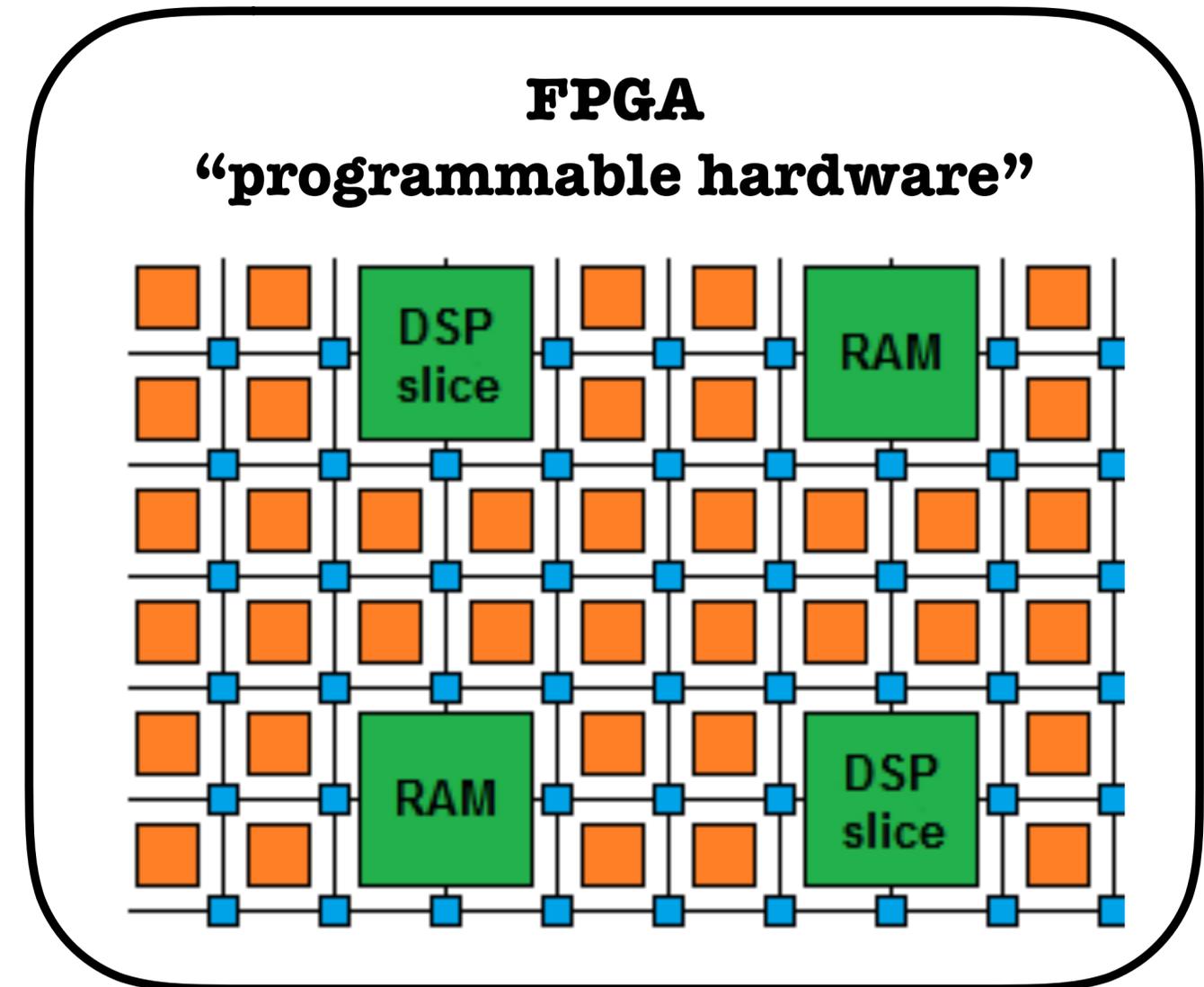
# FPGAS: REPROGRAMMABLE HARDWARE

## ▶ Pros:

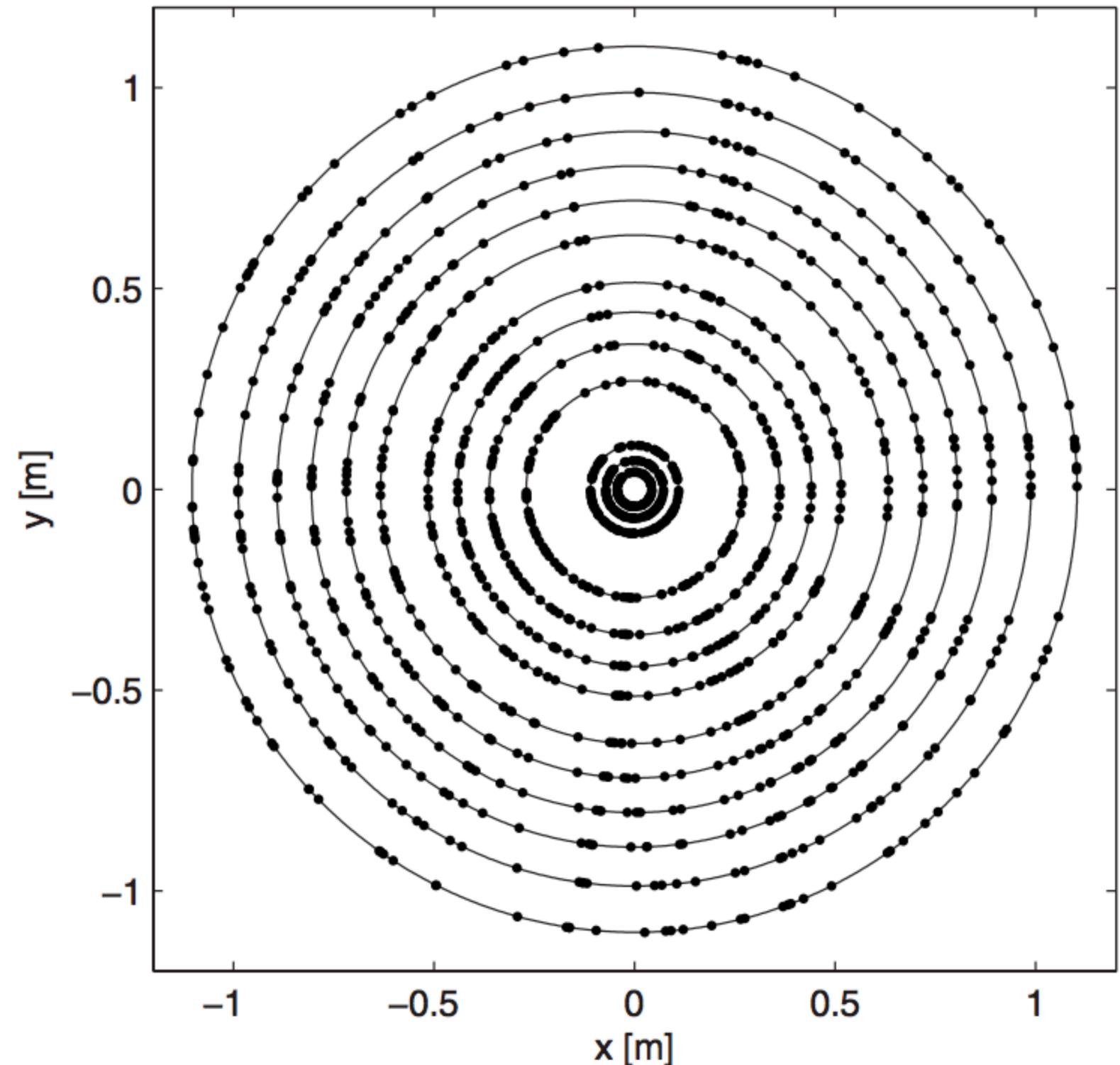
- ▶ Reprogrammable interconnects between embedded components that perform multiplication (DSPs), apply logical functions (LUTs), or store memory (BRAM)
- ▶ High throughput: O(100) optical transceivers running at O(15) Gbps
- ▶ Massively parallel
- ▶ Low power

## ▶ Cons:

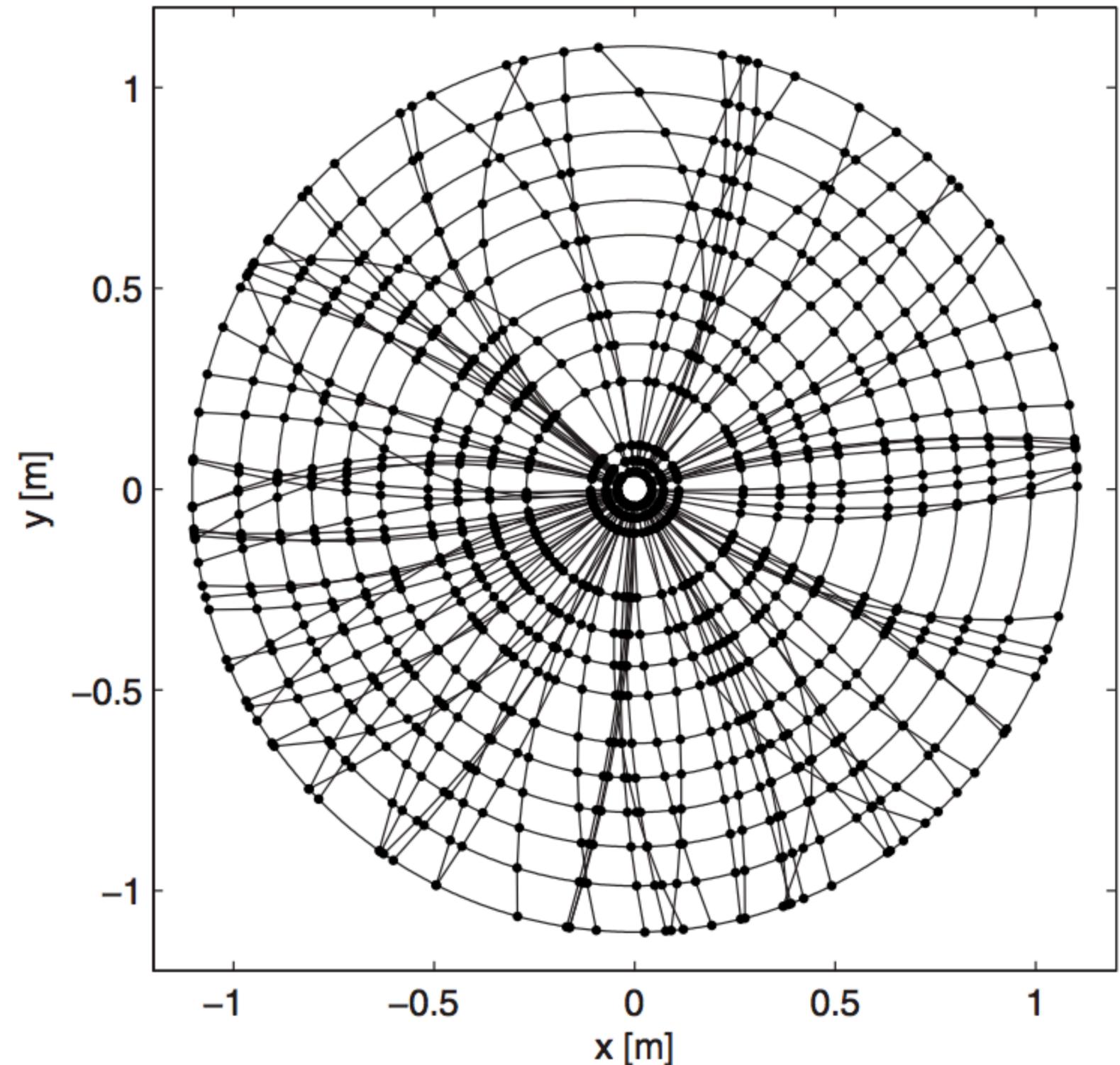
- ▶ Requires domain knowledge to program (using VHDL/Verilog)

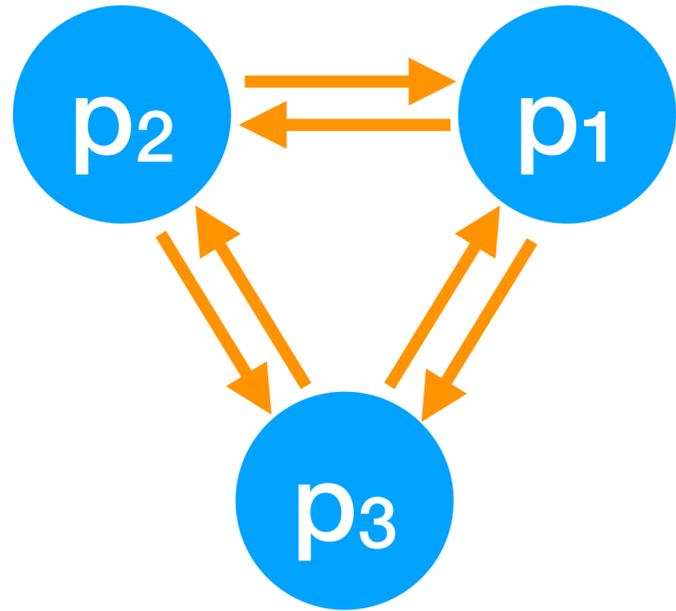


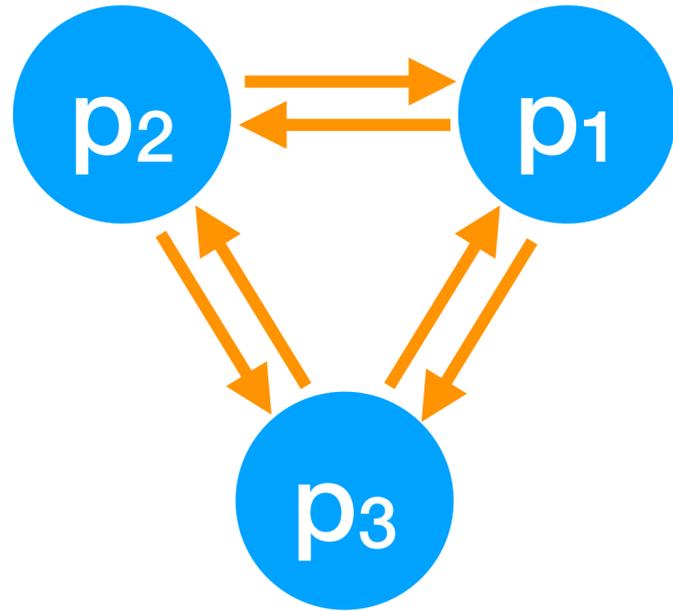
- ▶ Particle tracking is a classic reconstruction task
- ▶ From a set of hits sampled sparsely in 3D, reconstruct the helical trajectories of particles
- ▶ Traditional algorithms scale badly with the number of hits
- ▶ New algorithms (based on **graph neural networks**) may be able to do better



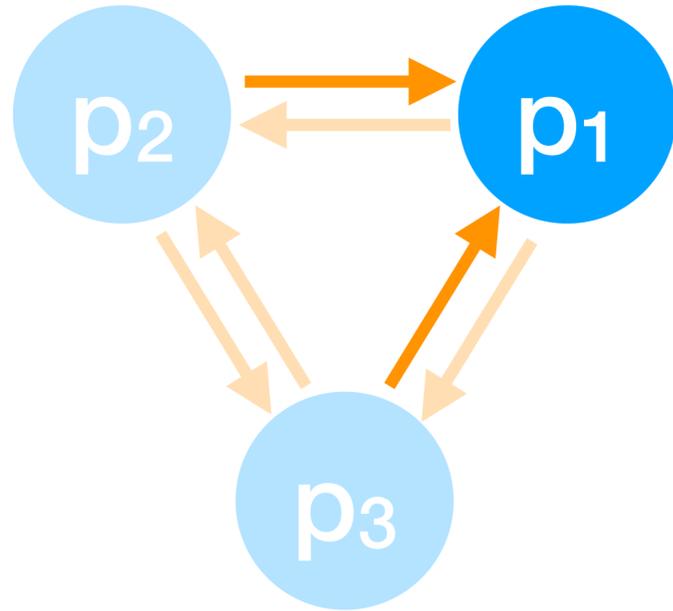
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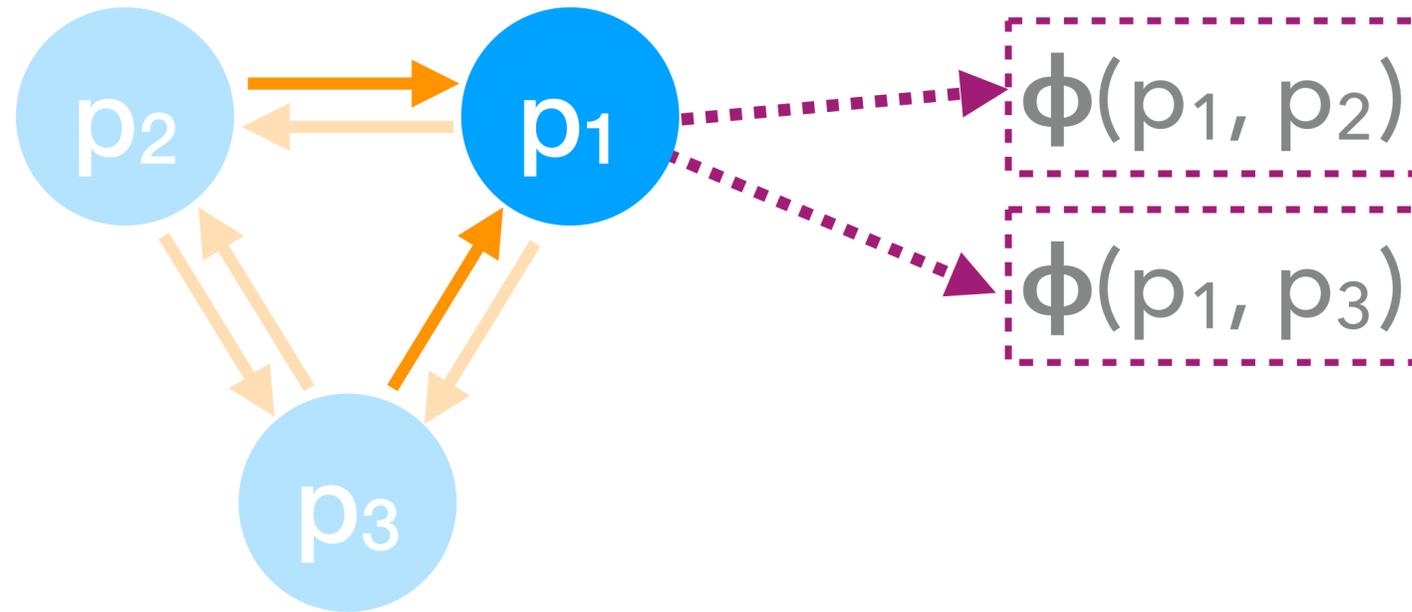




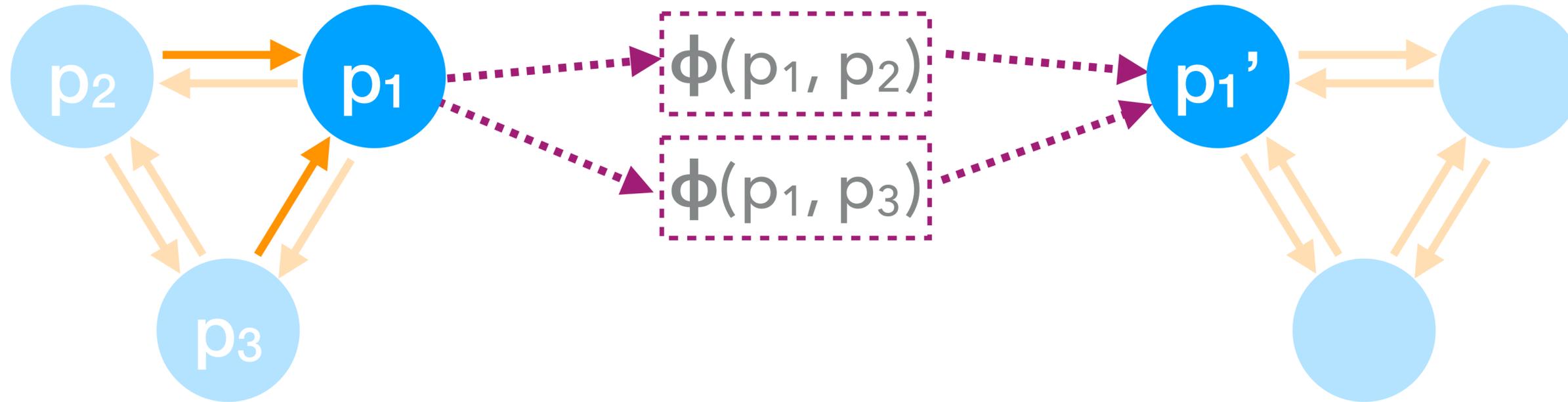
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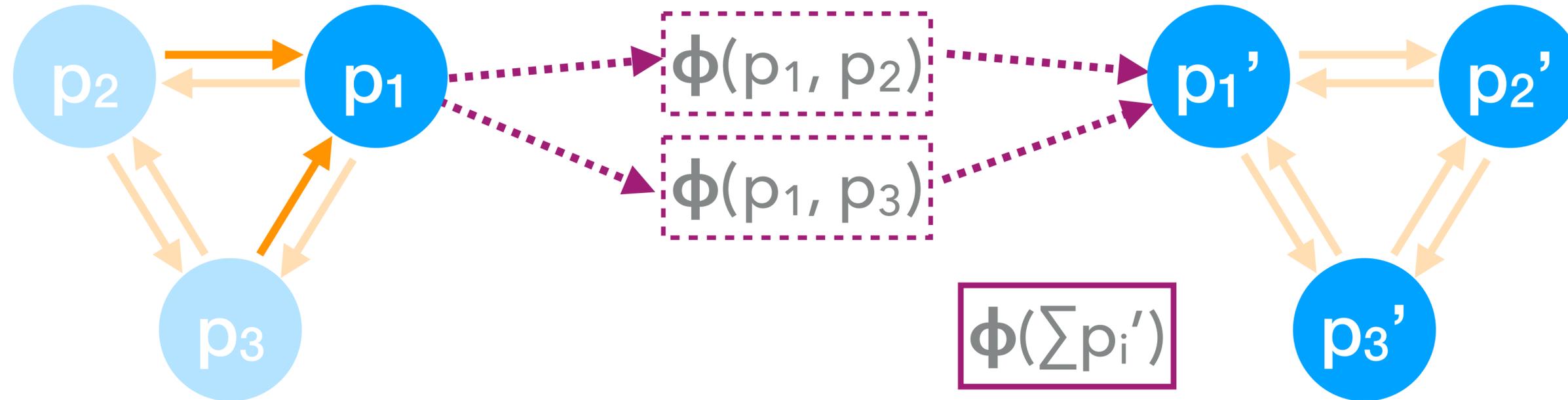


- ▶ A **graph** is a set of objects and their connections
- ▶ NN is evaluated on **pairs** of connected objects to produce a **message**



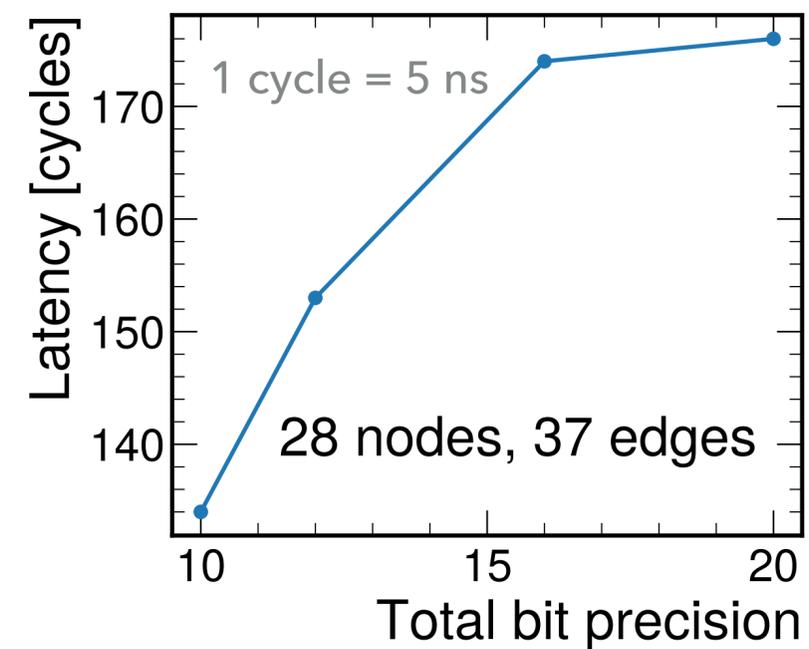
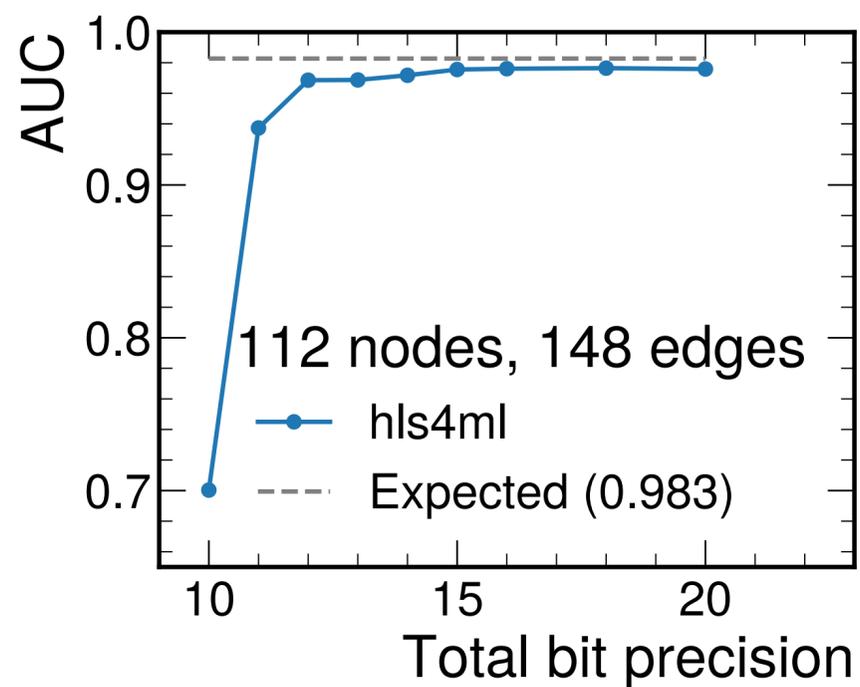
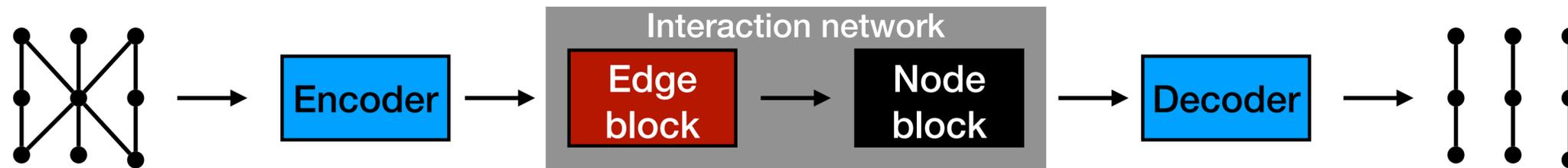
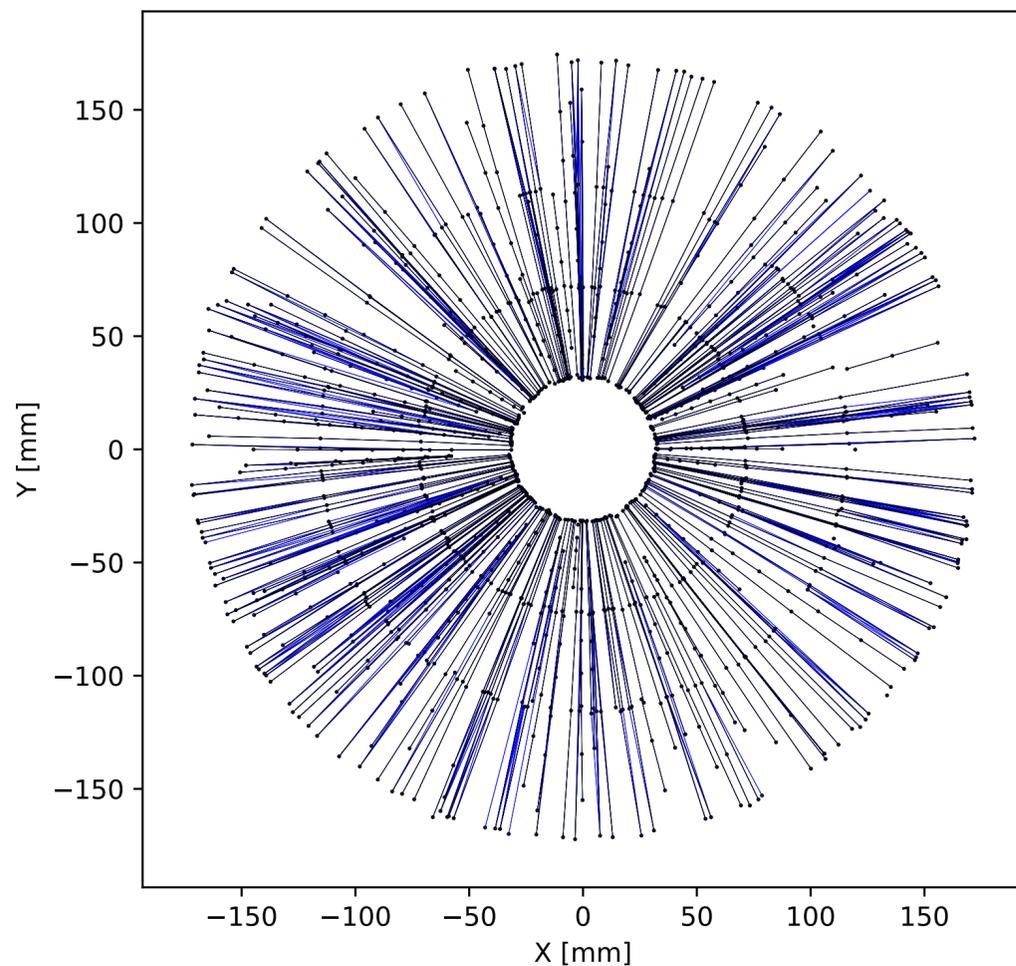
- ▶ A **graph** is a set of objects and their connections
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- ▶ **Messages** are communicated from nearest neighbors (and summed\*) to **update** the graph's features

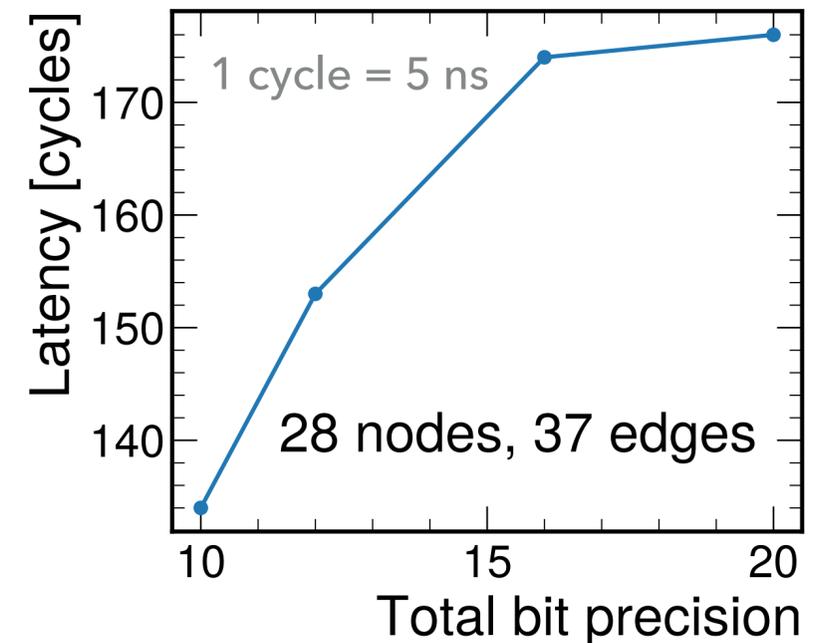
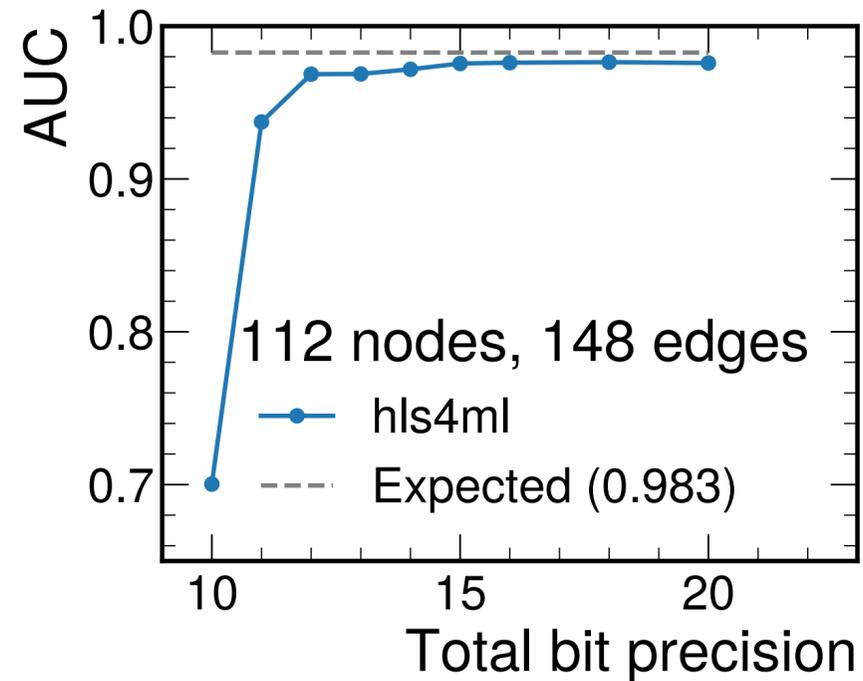
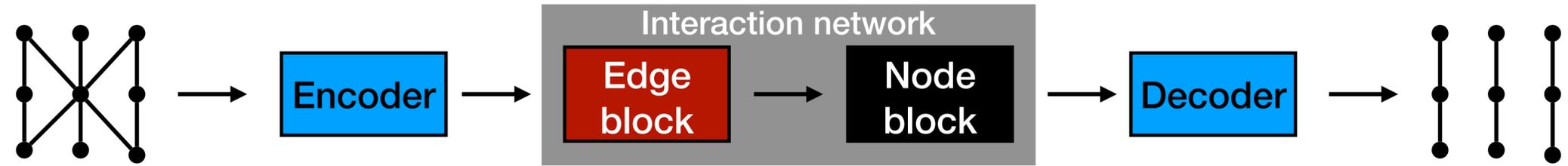
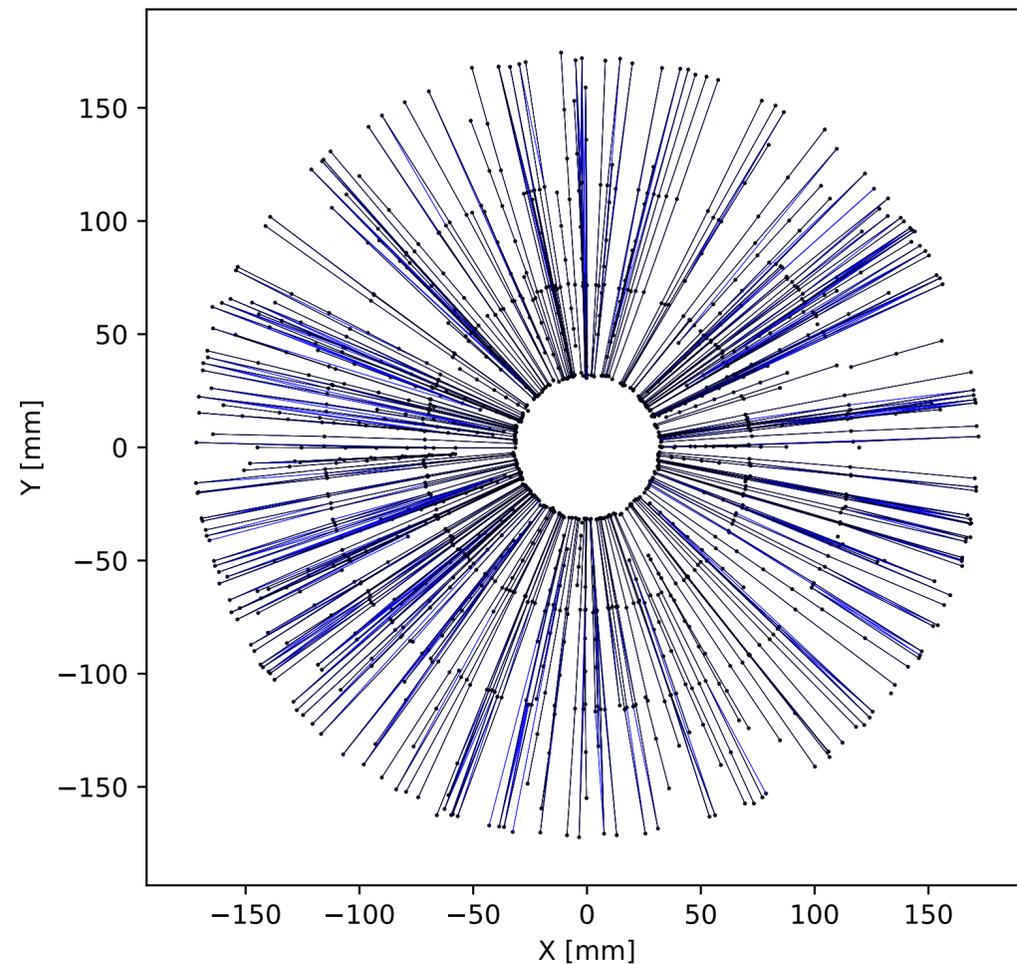
\*sum preserves permutation invariance



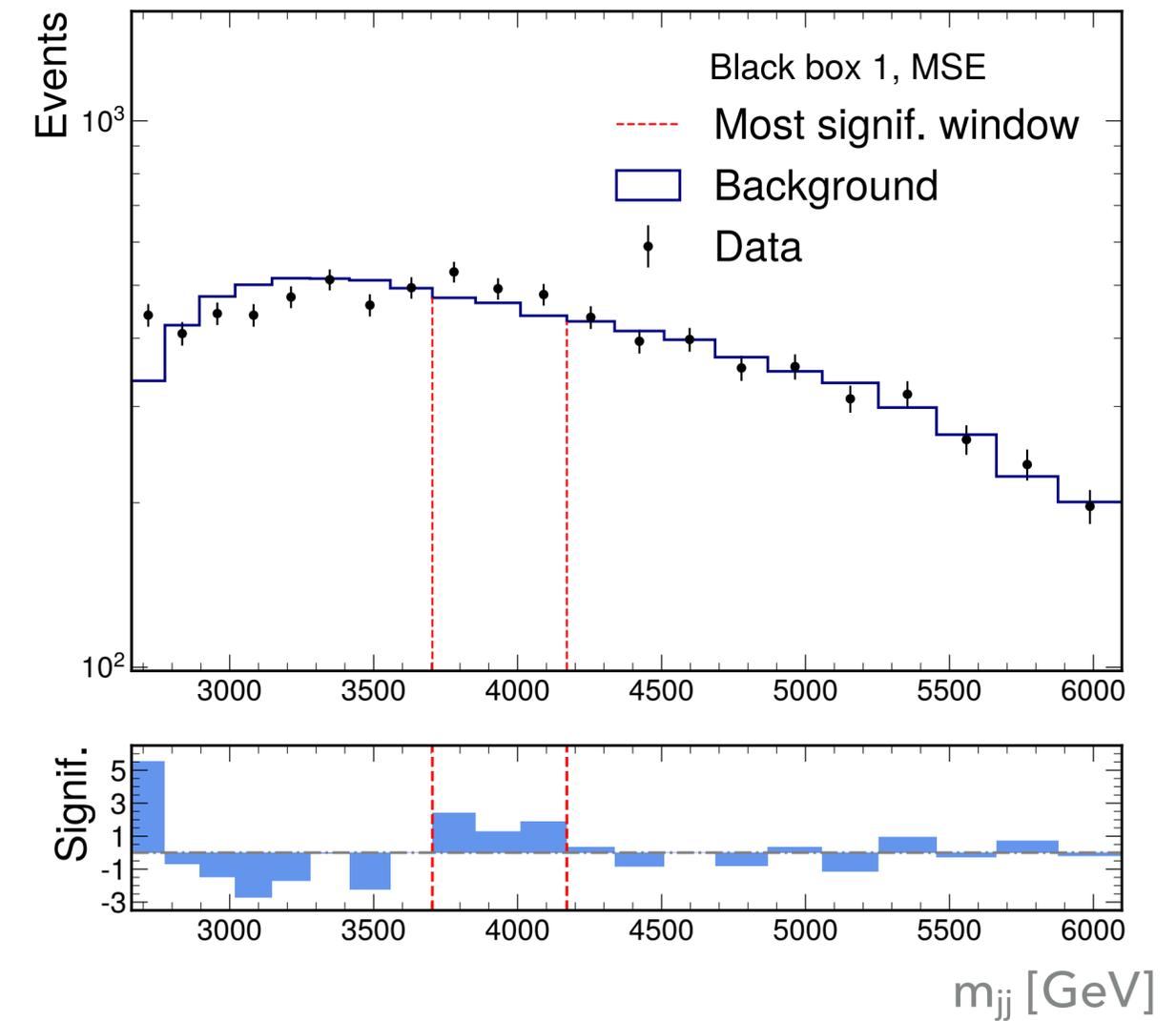
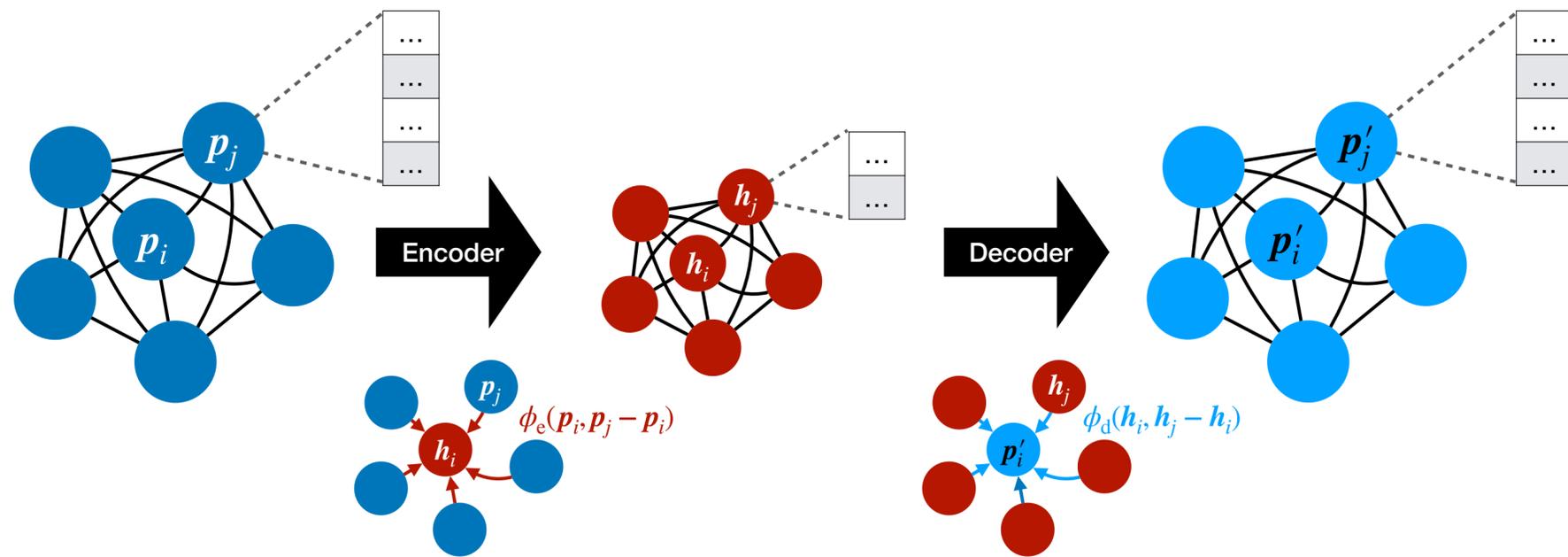
- ▶ A **graph** is a set of objects and their connections
- ▶ NN is evaluated on **pairs** of connected objects to produce a **message**
- ▶ **Messages** are communicated from nearest neighbors (and summed\*) to **update** the graph's features
- ▶ Node-level, edge-level or graph-level **outputs** can be computed based on the updated hidden representations of the graph

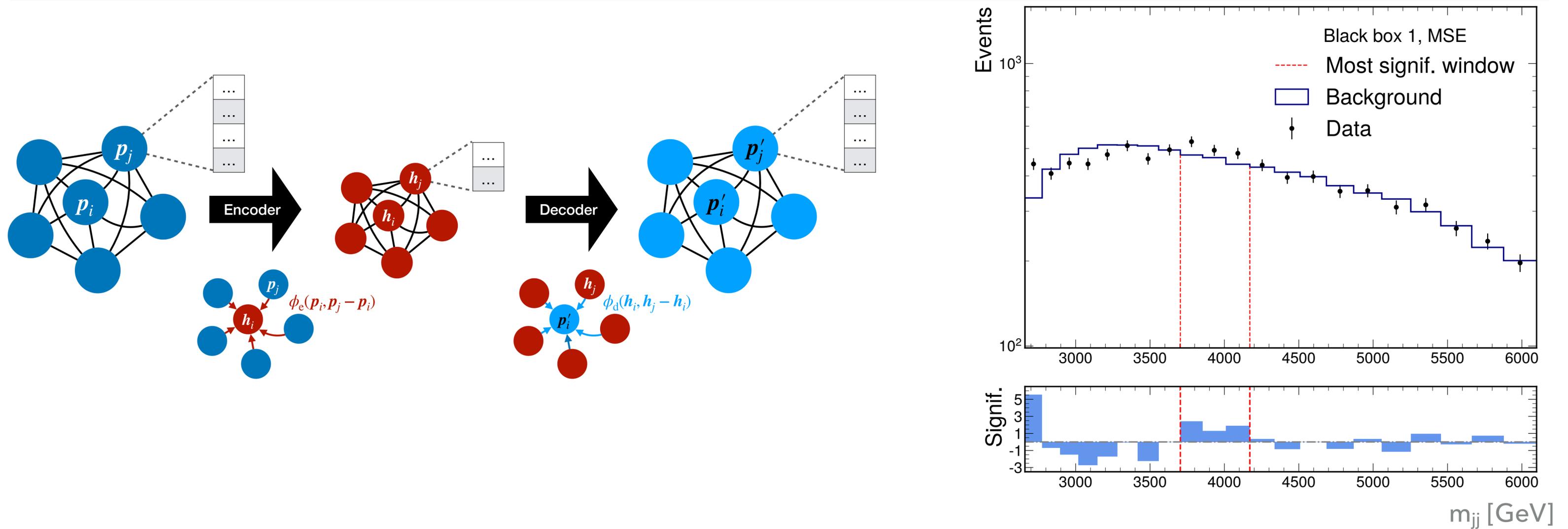
\*sum preserves permutation invariance





- ▶ Undergrad. summer student (IRIS-HEP fellow) project to implement a GNN on an FPGA for particle tracking
- ▶ Found it can complete a tracking task in  $< 1$  microsecond



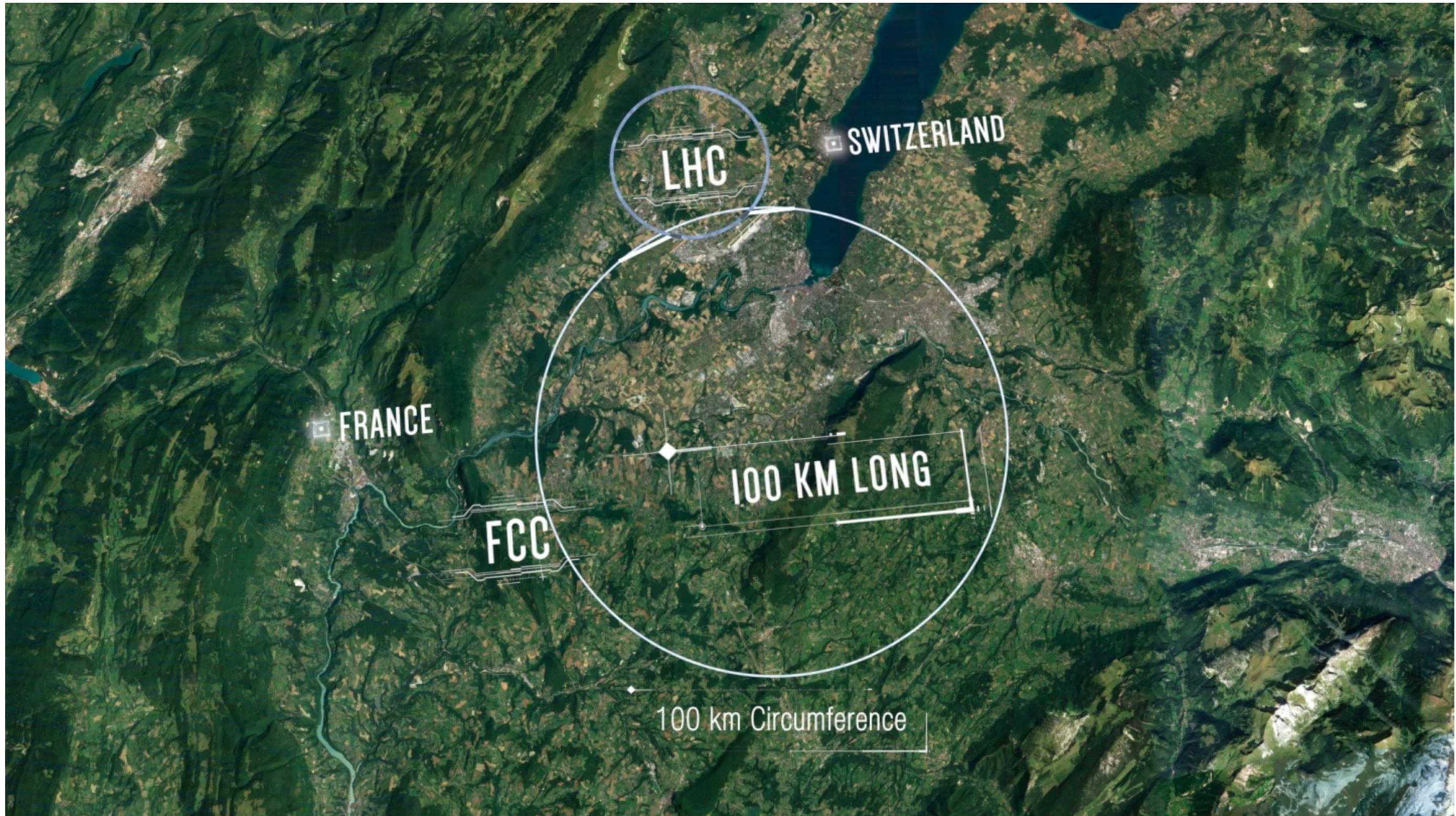


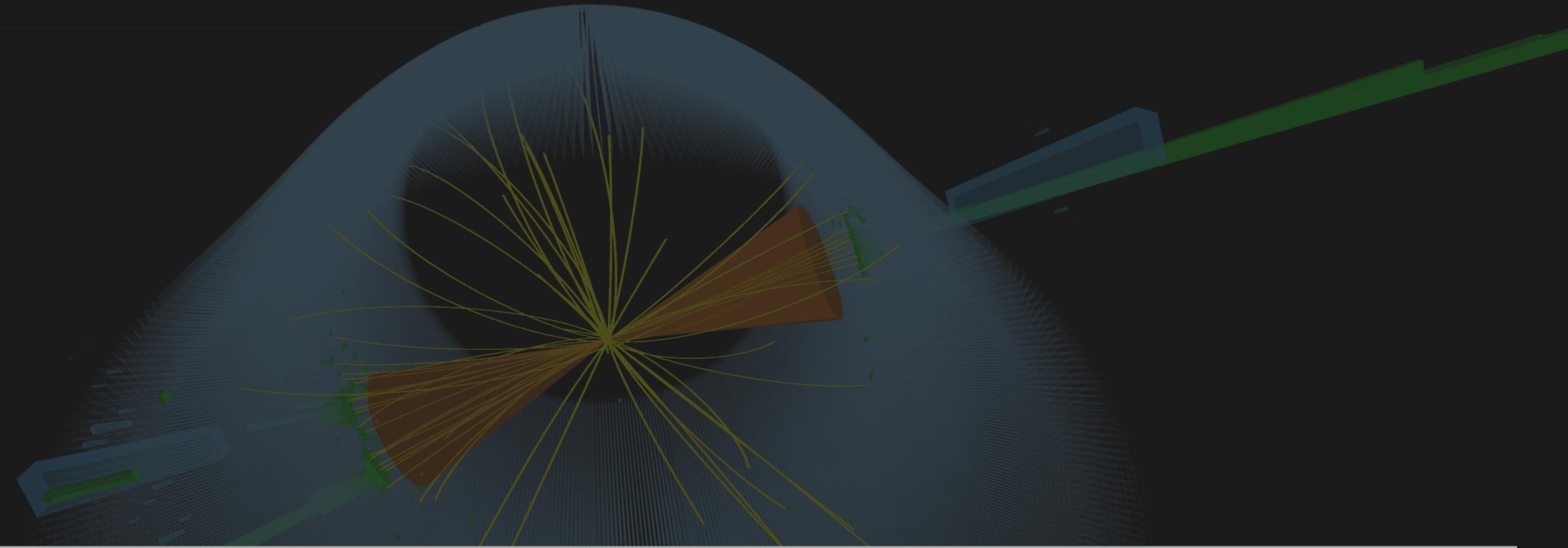
- ▶ Autoencoders compress data to a smaller representation and reconstruct it
  - ▶ Apply it to particles  $(E, \mathbf{p})$ : train autoencoder on known SM data
- ▶ Undergraduate summer student (TRELS) project found it to be effective on a mock dataset with a known signal injected at  $\sim 3800$  GeV

# SUMMARY

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- ▶ Many interesting ML applications in particle physics!
- ▶ Intersection of two fields that is very fast paced
- ▶ If this sounds interesting to you, let me know!
  - ▶ No prior experience in ML or particle physics necessary; just a desire to learn it "on the job"





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# BACKUP