# **Metrics from Machine Learning**

14.12.2020, string\_data2020 CERN Sven Krippendorf (<u>sven.krippendorf@physik.uni-muenchen.de</u>, @krippendorfsven)



LUDWIG-MAXIMIL JNIVERSI MÜNCHEI



#### Moduli dependent Calabi-Yau and SU(3)-structure metrics from Machine Learning



Lara Anderson



Mathis Gerdes (applying for PhDs)



#### based on (2012.04656):

#### in collaboration with:



James Gray



Nikhil Raghuram



Fabian Ruehle



#### **Metrics** matter

• The metric is key in any extra-dimensional physics model

$$S = \int_{M_{4+D}} d^{4+D}x \sqrt{-\det g_{4+D}} R(g_4)$$

- String compactifications are no exception to this. For instance:
  - 1. Matter kinetic terms (soft-terms, cf. 0906.3297)

  - 3. Massive string spectrum (distance conjecture)



2. Moduli potential (D3-brane inflation [probing directly CY-moduli space])





- String Theory EOM for 4D  $\mathcal{N} = 1$  Minkowski vacua require a Ricci-flat Kähler metric
- Which compact spaces do exist with a Ricci-flat Kähler metric? **Calabi-Yau manifolds** (Example today: Quintic hypersurface in  $\mathbb{P}^4$ )

(Candelas, Horowitz, Strominger, Witten 1985)

Quintic hypersurface in  $\mathbb{P}^4$ :

$$p_{\psi}(\vec{z}) = \sum_{i=0}^{d+1} z_i^{d+2} + \psi \prod_{i=0}^{d+1} z_i^{d+2}$$





- String Theory EOM for 4D  $\mathcal{N} = 1$  Minkowski vacua require a Ricci-flat Kähler metric (Candelas, Horowitz, Strominger, Witten 1985)
- Which compact spaces do exist with a Ricci-flat Kähler metric? **Calabi-Yau manifolds** (Example today: Quintic hypersurface in  $\mathbb{P}^4$ )
- Yau (1977) showed the existence of such a unique Ricci-flat Kähler metric, but without explicit constructions.
- This talk is about how to get such metrics with ML.



Quintic hypersurface in  $\mathbb{P}^4$ :

$$p_{\psi}(\vec{z}) = \sum_{i=0}^{d+1} z_i^{d+2} + \psi \prod_{i=0}^{d+1} z_i$$



- String Theory EOM for 4D  $\mathcal{N} = 1$  Minkowski vacua require a Ricci-flat Kähler metric (Candelas, Horowitz, Strominger, Witten 1985)
- Which compact spaces do exist with a Ricci-flat Kähler metric? **Calabi-Yau manifolds** (Example today: Quintic hypersurface in  $\mathbb{P}^4$ )
- Yau (1977) showed the existence of such a unique Ricci-flat Kähler metric, but without explicit constructions.
- This talk is about how to get such metrics with ML.
- One ansatz is by using algebraic metrics.

4

Quintic hypersurface in  $\mathbb{P}^4$ :

$$p_{\psi}(\vec{z}) = \sum_{i=0}^{d+1} z_i^{d+2} + \psi \prod_{i=0}^{d+1} z_i$$

Algebraic metrics:

$$K = \frac{1/2\pi \ln(\mathbf{k})}{N_k}$$
$$\mathbf{k} = \sum_{\alpha, \bar{\beta}=0}^{N_k} s_{\alpha}(\vec{z}) H_{\alpha\bar{\beta}} \bar{s}_{\bar{\beta}}(\vec{\bar{z}})$$

$$g_{a\bar{b}} = \partial_a \overline{\partial}_{\bar{b}} K = \frac{1}{2\pi} \frac{\mathbf{k} \mathbf{k}_{a\bar{b}}}{\mathbf{k}^2}$$







#### **Metrics are hard without ML** 6D metrics relevant for string theory

- Finite distance methods "fail" (Headrick, Wiseman 2009)
- Spectral methods simplify, but they are currently inefficient:
  - 1. Single point in moduli space 2. High accuracies become expensive

(Donaldson, Braun, Belidze, Douglas, Ovrut, Karp, Cui, Gray, Lukic, Ashmore, He; Kachru, Tripathy, Zimet; Headrick and Nasar)

- How about non-Kähler solutions?
- Target on a practical level: metric with reasonable accuracy for one string compactification  $\sim O(1 \text{ day})$ [impossible with non ML algorithms]



k ~ accuracy of spectral resolution





1. Ricci-flatness: (Induced FS is not Ricci-flat): Ricci tensor:  $R_{i\bar{j}} = -\partial_i \overline{\partial}_{\bar{j}} \log \det g$ Cheaper alternative (less derivatives) via Monge-Ampere equation:

 $J \wedge J \wedge J = \kappa \ \Omega \wedge \bar{\Omega}$ 

 $J \wedge J \wedge J \sim \det g$  $\Omega = \frac{1}{\partial p_{\psi}(\vec{z})/\partial z_b} \bigwedge_{c = 1, \dots, d} dz_c$ 

$$\rightarrow \mathscr{L}_{MA} = \frac{1}{\int_{X} \Omega \wedge \bar{\Omega}} \int_{X} \left| 1 - \frac{1}{\kappa} \frac{J^{3}}{\Omega \wedge \bar{\Omega}} \right|$$



1. Ricci-flatness: (Induced FS is not Ricci-flat): Ricci tensor:  $R_{i\bar{i}} = -\partial_i \overline{\partial}_{\bar{i}} \log \det g$ Cheaper alternative (less derivatives) via Monge-Ampere equation:

$$J \wedge J \wedge J = \kappa \ \Omega \wedge \bar{\Omega}$$

2. Kählerity:

$$dJ = 0 \quad \leftrightarrow \quad g_{i\overline{j},k} \, dz_i \wedge d\overline{z}_{\overline{j}} \wedge dz_k$$

$$c_{ijk} = g_{i\bar{j},k} - g_{k\bar{j},i} = 0,$$

 $J \wedge J \wedge J \sim \det g$  $\Omega = \frac{1}{\partial p_{\psi}(\vec{z})/\partial z_b} \bigwedge_{c = 1, \dots, d} dz_c$ 

$$\rightarrow \mathscr{L}_{MA} = \frac{1}{\int_{X} \Omega \wedge \bar{\Omega}} \int_{X} \left| 1 - \frac{1}{\kappa} \frac{J^{3}}{\Omega \wedge \bar{\Omega}} \right|_{X}$$

 $= 0 = g_{i\bar{j},\bar{k}} \, dz_i \wedge d\bar{z}_{\bar{j}} \wedge d\bar{z}_{\bar{k}}$ 

 $\rightarrow \mathscr{L}_{dJ} = \sum ||\operatorname{Re}(c_{ijk})||_n + ||\operatorname{Im}(c_{ijk})||_n$ i, j, k



1. Ricci-flatness: (Induced FS is not Ricci-flat): Ricci tensor:  $R_{i\bar{i}} = -\partial_i \overline{\partial}_{\bar{i}} \log \det g$ Cheaper alternative (less derivatives) via Monge-Ampere equation:

$$J \wedge J \wedge J = \kappa \ \Omega \wedge \bar{\Omega}$$

2. Kählerity:

$$dJ = 0 \quad \leftrightarrow \quad g_{i\overline{j},k} \ dz_i \wedge d\overline{z}_{\overline{j}} \wedge dz_k$$

$$c_{ijk} = g_{i\bar{j},k} - g_{k\bar{j},i} = 0,$$

Well defined across different coordinate patches: 3.

$$g^{(j)} = T_{ij} \cdot g^{(i)} \cdot T_{ij}^{\dagger}, \quad T_{ij} = \partial \vec{z}^{(i)} / \partial \vec{z}^{(j)} \rightarrow \mathscr{L}\text{Transition} = \frac{1}{d} \sum_{k,j} \left| \left| g_{NN}^{(k)}(\vec{z}) - T_{jk}(\vec{z}) \cdot g_{NN}^{(j)}(\vec{z}) \cdot T_{jk}^{\dagger}(\vec{z}) \right| \right|_{n}$$

 $J \wedge J \wedge J \sim \det g$  $\Omega = \frac{1}{\partial p_{\psi}(\vec{z})/\partial z_b} \bigwedge_{c = 1, \dots, d} dz_c$ 

$$\rightarrow \mathscr{L}_{MA} = \frac{1}{\int_{X} \Omega \wedge \bar{\Omega}} \int_{X} \left| 1 - \frac{1}{\kappa} \frac{J^{3}}{\Omega \wedge \bar{\Omega}} \right|$$

 $= 0 = g_{i\bar{j},\bar{k}} \, dz_i \wedge d\bar{z}_{\bar{j}} \wedge d\bar{z}_{\bar{k}}$ 

$$\rightarrow \mathscr{L}_{dJ} = \sum_{i,j,k} ||\operatorname{Re}(c_{ijk})||_n + ||\operatorname{Im}(c_{ijk})||_n$$



#### How to measure accuracy?

Monte-Carlo sampling:



• Use this to evaluate  $\sigma$ -accuracy:

$$\sigma = \frac{1}{\int_X \Omega \wedge \bar{\Omega}} \int_X \left| 1 \right|^2$$

#### Neural networks to the rescue?

- Can NN give good approximations?
- Motivation beyond universal approximation scheme (NN can be shown to give good and accurate predictions to PDEs):
  - Solutions to high-dimensional Schrödinger equations (Rupp, Tkatchenko, Müller, von Lilienfeld 2012, …)
  - Black-Scholes PDE (Grohs, Hornung, Jentzen, von Wurstemberger 2018, ...)
  - Approximation rates of NNs to solutions of PDEs (Kutyniok, Petersen, Raslan, Schneider 2019, ...)



### Why can Neural Networks be useful?

• Searching for a function with particular properties, such as:

1. 
$$\mathscr{L}_{MA}(g_{a\bar{b}}) = 0$$
, 2.  $\mathscr{L}$ 

- network to evaluate (not just to optimise) loss functions.
- packages can do this (here: Pytorch, TensorFlow/Keras, JAX).

 $\mathscr{U}_{dJ}(g_{a\bar{b}}) = 0, \quad 3. \,\mathscr{L}_{\text{overlap}}(g_{a\bar{b}}) = 0$ 

• If  $g_{a\bar{b}}$  is the output of a NN, we need to be able to calculate derivatives of this

Auto-differentiation readily allows to do this and implementations in standard

### Our approach

Reporting here on learning H and the metric directly 



Results for quintic. Generalization to other examples straight-forward.  $\bullet$ 

### Our approach

Reporting here on learning H and the metric directly 



Results for quintic. Generalization to other examples straight-forward. 

Algebraic metrics:

$$K = \frac{1/2\pi \ln(\mathbf{k})}{\mathbf{k}}$$
$$\mathbf{k} = \sum_{\alpha, \bar{\beta}=0}^{N_k} s_{\alpha}(\vec{z}) H_{\alpha\bar{\beta}} \bar{s}_{\bar{\beta}}(\vec{\bar{z}})$$

$$g_{a\bar{b}} = \partial_a \overline{\partial}_{\bar{b}} K = \frac{1}{2\pi} \frac{\mathbf{k} \mathbf{k}_{a\bar{b}}}{\mathbf{k}}$$



- Overlap and Kähler conditions automatically satisfied lacksquare
- 2 Strategies:
  - 1. Use Donaldson data to formulate regression problem
  - 2. Use  $\sigma$ -measure to train H directly



• Standard few layer feedforward (dense) neural networks, ADAM optimiser.

#### Learning H **Optimising with Donaldson**

- Experiment k=3 (dims of H: 35x35)





#### • Network learns interpolation and shows good performance even outside of trained area





# Optimising with $\sigma$ (no Donaldson)



- Instead of σ we can also use R directly (2 additional derivatives, more expensive).
- Accuracy sensitive to architecture and range we train for.
- Metric eigenvalues close to metric eigenvalues obtained from Donaldson at higher k!
- Interesting structures in H obtained from Donaldson.





 $10^{-1}$ 

 $10^{-2}$ 

р

- Instead of  $\sigma$  we can also use R directly (2 additional derivatives, more expensive).
- Accuracy sensitive to architecture and range we train for.
- Metric eigenvalues close to metric eigenvalues obtained from Donaldson at higher k!
- Interesting structures in H obtained from Donaldson.





- Instead of  $\sigma$  we can also use R directly (2 additional derivatives, more expensive).
- Accuracy sensitive to architecture and range we train for.
- Metric eigenvalues close to metric eigenvalues obtained from Donaldson at higher k!
- Interesting structures in H obtained from Donaldson.

rel std( $H_{lphaar{eta}}$ ) over iterations of T-operator  $10^{1}$  $10^{-1}$ 0.025 0.020 0.015



 $\mathbb{Z}_{d+2}^{(2)} : [z_0 : z_1 : \dots : z_{d+1}] \mapsto [z_1 : z_2 : \dots : z_{d+1} : z_0]$ 

16











#### Learning g Ψ Model ż learnable parameters $\theta$

• Now: dJ = 0 and overlap need to be checked.  $\vec{z}$  part of input.

 $\mathscr{L} = \lambda_1 \mathscr{L}_{MA} + \lambda_2 \mathscr{L}_{dJ} + \lambda_3 \mathscr{L}_{overlap}$ 

 Neural networks as perturbation to induced Fubini-Study metric (satisfying overlap and Kählerity condition)

 $g_{\rm CY} = g_{\rm FS}(1 + g_{\rm NN})$ 

- Standard feed-forward neural network with relatively small initialisations.
- Metric networks converge,  $\sigma$ -accuracy improves, deviating from Fubini-Study





#### Learning g Ψ Model ż learnable parameters $\theta$

• Now: dJ = 0 and overlap need to be checked.  $\vec{z}$  part of input.

 $\mathscr{L} = \lambda_1 \mathscr{L}_{MA} + \lambda_2 \mathscr{L}_{dJ} + \lambda_3 \mathscr{L}_{overlap}$ 

 Neural networks as perturbation to induced Fubini-Study metric (satisfying overlap and Kählerity condition)

 $g_{\rm CY} = g_{\rm FS}(1 + g_{\rm NN})$ 

- Standard feed-forward neural network with relatively small initialisations.
- Metric networks converge,  $\sigma$ -accuracy improves, deviating from Fubini-Study







 $\mathscr{L} = \lambda_1 \mathscr{L}_{MA} + \lambda_2 \mathscr{L}_{dJ} + \lambda_3 \mathscr{L}_{overlap}$ 

18



# g-Networks for New Classes of Solutions

- Approach of learning g allows to ask for metrics with different properties (not covered with previous numerical approaches).
- Philosophy: modified loss functions, additionally learned outputs.
- Can we augment the landscape of metrics to G2 and SU(3) structure manifolds? unexplored mathematical structures.
- Here: example SU(3) structure manifolds

$$J \wedge J \wedge J = \frac{3}{4}i\Omega \wedge \overline{\Omega} , J \wedge \Omega = 0, dJ = -\frac{3}{2}\operatorname{Im}(W_1\overline{\Omega}) + W_4 \wedge J + W_3,$$
$$d\Omega = W_1J \wedge J + W_2 \wedge J + W_5 \wedge \Omega, W_3 \wedge J = W_3 \wedge \Omega = W_2 \wedge J \wedge J = 0$$

Phenomenologically necessary, otherwise missing large parts of string theory constructions;

# **Our SU(3) structure metrics**

- Example of subset of torsion classes (Strominger-Hull system):  $W_1 = W_2 = 0, W_4 = \frac{1}{2}W_5 = d\phi, W_3$  arbitrary
- Simple ansatz for metric and 3-form:

$$J = \sum_{i}^{m} a_{i}J_{i} , \quad \Omega = A_{1}\Omega_{0} + A_{2}\overline{\Omega}$$
$$|A_{1}|^{2} + |A_{2}|^{2} = \sum_{i,j,k=1}^{m} \Lambda_{ijk}a_{i}a_{j}a_{k} ,$$

Special ansa

$$|A_{1}|^{2} + |A_{2}|^{2} = \sum_{i,j,k=1}^{m} \Lambda_{ijk} a_{i} a_{j} a_{k}, \ J_{i} \wedge J_{j} \wedge J_{k} = \frac{3}{4} i \Lambda_{ijk} \Omega_{0} \wedge \overline{\Omega}_{0}$$
  
atz for  $W_{i}$ :  
 $W_{1} = W_{2} = W_{3} = 0 \ , \ W_{5} = 2W_{4} = 2d(\ln a_{1}) \ , \ a_{1} = \frac{1}{\pi^{3}} \frac{|\nabla p_{\psi}|^{2}}{(\sum |X_{a}|^{2})^{4}}$ 

**2**0

### SU(3) structure experiment

- Ansatz with known solution:  $W_4 = d \log a_1$
- Adapted Kähler loss:

$$\mathscr{L}'_{W_4} = ||dJ - d\ln a_1 \wedge J||_n$$

 Does the network converge to known solution? Yes.



#### Status of Metrics

- Very little is known on interesting EFT questions due to the lack of results on the compactification metrics
- Generically: good accuracy requires computational effort, largely unfeasible with previous methods (e.g. single point in moduli space ~ day on desktop computer [k=12])

	Donaldson, Headrick & Nassar	Kähler potential	Metric Direct
Fixed point in Moduli Space			
Moduli Dependence	<b>X</b> (interpolation)		
Non Kähler	X	X	
Analytic	×	×	×



# **Analytic formulae from NN?**

- Can we find analytic expressions for these metrics?
- Hopeful, as other physics examples show that it is possible (Cranmer, Xu, Battaglia, Ho; Sahoo, Lampert, Martius; Wetzel, Melko, Scott, Panju, Ganesh)
- Example (work with Marc Syvaeri): Inferring Hamiltonian and Conserved Quantities from simulated data of physical systems

$$P_{c1} = -4.21 \ p_{x1} - 4.21 \ p_{x2} - 1.26 \ p_{y1} - 1.29 \ p_{y2}$$

$$P_{c2} = -0.93 \ p_{x1} - 0.92 \ p_{x2} - 3.23 \ p_{y1} - 3.22 \ p_{y2}$$

$$L = -1.07 \ q_{x1}p_{y1} + 0.88 \ q_{x1}p_{y2} + 0.93 \ q_{x2}p_{y1} - 1$$

$$+ 1.01 \ q_{y1}p_{x1} - 0.89 \ q_{y1}p_{x2} - 0.92 \ q_{y2}p_{x1} + 0$$

(0.03) , (0.03) ,  $..03 \; q_{x2} p_{y2}$ J.99  $q_{y2}p_{x2}$ (0.10) .



#### Conclusions

- Learning CY metrics with NNs works and is more efficient.
- Moduli dependent metrics (here: complex structure)
- Auto-differentiation for loss functions depending on derivatives of metric
- New types of metrics are within reach (SU(3) structure, G2)
- Applications in physics and mathematics, e.g.: EFTs in string theory (non-holomorphic quantities), SYZ-conjecture (are CYs  $T^3$ -fibrations at large CS)
- A lot of physics and mathematics ahead for future string\_data meetings!



# Thank you!

#### Learning Hamiltonian and Conserved Quantities **Additional information** 2-body problem



$$\mathcal{L}_{\text{HNN}} = \sum_{i=1}^{N \cdot d} \left\| \frac{\partial \mathcal{H}_{\phi}(\mathbf{P}, \mathbf{Q})}{\partial p_{i}} - \frac{dq_{i}}{dt} \right\|_{2} + \left\| \frac{\partial \mathcal{H}_{\phi}(\mathbf{P}, \mathbf{Q})}{\partial q_{i}} + \frac{dp_{i}}{dt} \right\|_{2}. \qquad \qquad \mathcal{L}_{\text{HQP}}^{(n)} = \sum_{i=1}^{n} \left\| \frac{dP_{i}}{dt} \right\|_{2} + \left\| \mathcal{L}_{\text{HQP}}^{(n)} - \sum_{i=1}^{n} \left\| \frac{dP_{i}}{dt} \right\|_{2} + \beta \sum_{i=n+1}^{N \cdot d} \left\| \frac{dP_{i}}{dt} \right\|_{2} + \beta \sum_{i=n+1}^{N \cdot d} \left\| \frac{dP_{i}}{dt} \right\|_{2}$$

$$P_x = p_{x1} + p_{x2} , \qquad P_y = p_{y1} + p_{y2} , \qquad \qquad \mathcal{H} = \frac{p_{x1}^2}{2m_1} + \frac{p_{y1}^2}{2m_1} + \frac{p_{y2}^2}{2m_1} + \frac{p_{y2}^2}{2m_1} + \frac{p$$

# **Our SU(3) structure metrics**

• General solutions:  

$$\begin{split} &W_1 = 0 \\ &W_2 = -i\overline{\partial}A_1 \lrcorner \Omega_0 + i\partial A_2 \lrcorner \overline{\Omega}_0 + i\frac{\overline{\partial}(A_1 + \overline{A}_2)}{A_1 + \overline{A}_2} \lrcorner A_1 \Omega_0 - i\frac{\partial(\overline{A}_1 + A_2)}{\overline{A}_1 + A_2} \lrcorner A_2 \overline{\Omega}_0 \\ &W_3 = \sum \left( da_i - W_4 \right) \wedge J_i \\ &W_4 = \frac{1^i}{2} \sum J_i \lrcorner (da_i \wedge J_i) \\ &W_5 = \frac{\overline{\partial}(A_1^i + \overline{A}_2)}{A_1 + \overline{A}_2} + \frac{\partial(\overline{A}_1 + A_2)}{\overline{A}_1 + A_2} \\ &W_1 = W_2 = 0, \ W_4 = \frac{1}{2} W_5 = d\phi, \ W_3 \text{ arbitrary} \end{split}$$

#### Network Layouts

Layer	Number of Nodes	Activation	Number of Parameters
input	3	_	—
hidden 1	100	leaky ReLU	400
hidden 2	1000	leaky ReLU	101 000
hidden 3	1000	leaky ReLU	1001000
output	$N_k^2$	identity	$1000 \times N_k^2 + N_k^2$

Layer	number nodes	Activation	Regularization	Initialization
input	10	—	—	—
hidden 1	1000	$\operatorname{ReLU}$	$L2(10^{-6})$	$\mathcal{N}_k(0, 10^{-4}),  \mathcal{N}_k(0, 10^{-3})$
hidden 2	1000	$\operatorname{ReLU}$	$L2(10^{-6})$	$\mathcal{N}_k(0, 10^{-4}),  \mathcal{N}_k(0, 10^{-3})$
hidden 3	1000	$\operatorname{ReLU}$	$L2(10^{-6})$	$\mathcal{N}_k(0, 10^{-4}),  \mathcal{N}_k(0, 10^{-3})$
output	9	_	$L2(10^{-4})$	$\mathcal{N}_{k,b}(0,10^{-2})$

Layer	Number of Nodes	Activation	Number of Parameters
input	17	_	_
hidden 1	100	leaky ReLU	1800
hidden 2	100	leaky ReLU	10 100
hidden 3	100	leaky ReLU	10 100
output	$d^2$	identity	$101 \ d^2$