

Ultra-light scalar saving the 3+1 neutrino scheme from the cosmological bounds

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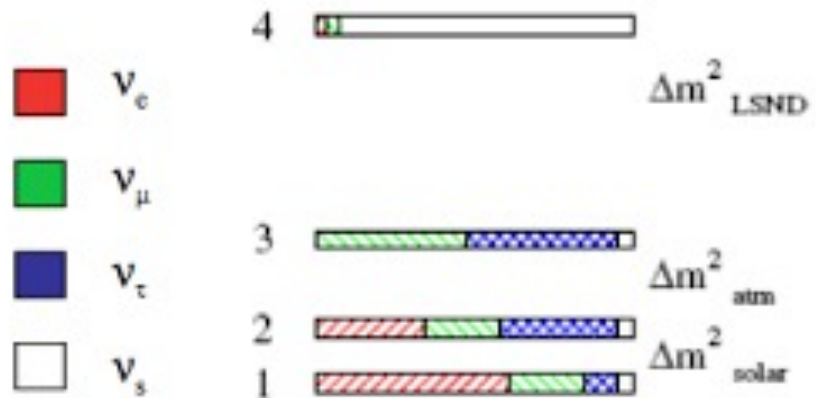
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Outline

- 3+1 neutrino scheme and ideas to relax cosmological bounds
- An introduction to ultralight dark matter (fuzzy dark matter)
- How to save 3+1 scheme with coupling to ultra-light dark matter
- Phenomenological consequences for **KATRIN** and **PTOLEMY**
- Summary

3+1 neutrino scheme

Sterile neutrino interpretations: (3+1) scheme



Mass splitting of $\sim 1\text{eV}$

Phenomenological motivations

- LSND + MiniBooNE
- Reactor neutrino anomaly
- Gallium neutrino anomaly

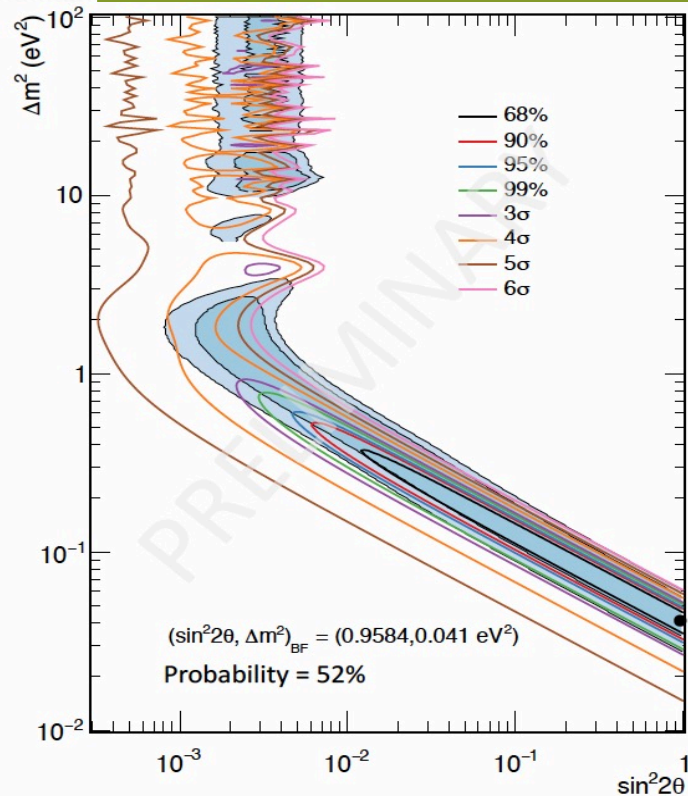
Short baseline appearance anomalies

- LSND and MiniBooNE

$$\frac{\Delta m_{21}^2 L}{E}, \frac{\Delta m_{31}^2 L}{E} \ll 1$$

$$\nu_{\mu} \rightarrow \nu_e \quad \bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$$

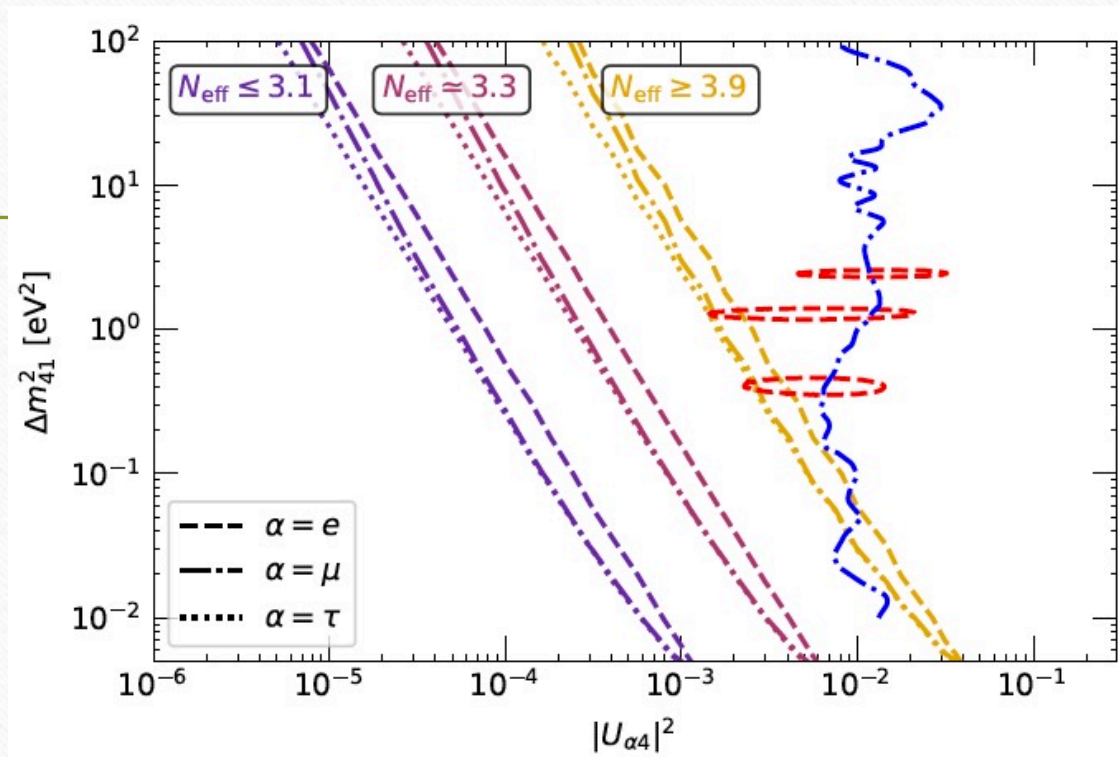
LSND and MiniBooNE



Neutrino 2018

MiniBooNE 4.8σ

MiniBooNE+LSND 6.1σ



Gariazzo, de Salas and Pastor, “Thermalisation of sterile neutrinos in the early universe in the 3+1 scheme with full mixing matrix,” JCAP 1907 (2019) 014.

Two types of cosmological bounds

- Bound on extra relativistic degrees of freedom from BBN

- Upper bounds on the sum of neutrino masses from CMB+BAO
(free streaming at matter radiation equality)

Suppressed mixing

$$\begin{bmatrix} m_1 & m_2 \\ m_2 & M \end{bmatrix}$$

$$m_1, m_2 \ll M \quad \longrightarrow \quad \theta \simeq m_2/M \rightarrow 0$$

Self-interaction of sterile neutrinos

- Archidiacono et al, PRD 93 (2016); Kopp and Welter, JHEP 12 (2014); Hannestad et al, PRL 112 (2014); Chu et al, Astropart Phys 1811 (2018); Dasgupta and Kopp, PRL 112 (2014); Chu et al., Cosmol Astropart Phys. 1510 (2015); Paul et al, 1808.099706

- See however,

Mirizzi et al, PRD 91 (2015) Cherry et al, 1605.06506; Saviano et al, PRD 90 (2014) 113009

-
- Denton, YF and Shoemaker, “Activating the 4th Neutrino of the 3+1 scheme,” PRD99 (2019) 035003

$$\mathcal{L} = -2\sqrt{2}\epsilon_\chi G_F (\bar{\nu}_s \gamma^\mu P_L \nu_s) (\bar{\chi} \gamma_\mu (1 + b\gamma_5) \chi),$$

$$\epsilon_\chi \gg 10^9$$

$$g_\chi \sim 3 \times 10^{-5}$$

$$g_s \sim 3 \times 10^{-4}$$

$$m_{Z'} \sim 10 \text{ eV}$$

$$n_\chi = n_B \text{ (and } m_\chi/m_p \simeq 5)$$

$$\epsilon_\chi = \frac{g_s g_\chi}{2\sqrt{2} m_{Z'}^2 G_F} = 10^{12}$$

-
- Short introduction on light dark matter

Lower bounds on dark matter mass

- Standard thermal production: $m_{DM} > \text{few keV}$
- Gunn-Tremaine bound on fermionic dark matter: $m_{DM} > 400 \text{ eV}$
- Lower bound on bosonic dark matter: $m_{DM} > 10^{-22} \text{ eV}$

de Broglie wavelength

$$\lambda_{dB} > \frac{2\pi}{m_{DM}v}$$

Fuzzy dark matter

$$\lambda_{dB} \sim \text{Galaxy core}$$

Suarez, Robles and Matos, *Astrophys Space Sci Proc* 38 (2014) 107;

Rindler-Daller and Shapiro, *Mod Phys Lett A* 29; Chavanis, *PRD* 84 (2011) 43531;

Marsh, *Phys Rep* 643 (2016);

Hui, Ostriker, Tremaine and Witten, *PRD* 95 (2017) 043541

Lower bound on scalar

- Lyman alpha
- Rotation curves
- Superradiance M87*
- Precision cosmology
- ...

$$m_{DM} \gtrsim 10^{-21} \text{ eV}$$

Sterile neutrino coupled to ultralight dark matter

Y. F., “Ultra-light scalar saving the 3+1 neutrino scheme from the cosmological bounds,” PLB 797 (2019) 134911

$$\lambda \phi \nu_s^T c \nu_s + \text{H.c.}$$

$$c = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$

Classical limit

$$\lambda_{dB} \sim n_{DM}^{-1/3} = \left(\frac{m_{DM}}{\rho_{DM}} \right)^{1/3}$$

Real scalar:

$$\phi = A \cos(m_{DM}t)$$



$$T^{00} = \rho_{DM}$$



$$A^2 = \frac{2\rho_{DM}}{m_{DM}^2}$$

Complex scalar:



$$\phi = A \cos(m_{DM}t) + iB \sin(m_{DM}t + c)$$



$$A^2 + B^2 = \frac{\rho_{DM}}{m_{DM}^2}$$

Neutrino oscillations as a probe of light scalar dark matter

- A. Berlin, PRL 177 (2016) 231801

$$-\mathcal{L} \supset \frac{1}{2} m_\phi^2 \phi^2 + \frac{1}{2} m_i \bar{\nu}_i \nu_i + g_\phi \phi \bar{\nu}_1 \nu_2 + \dots$$

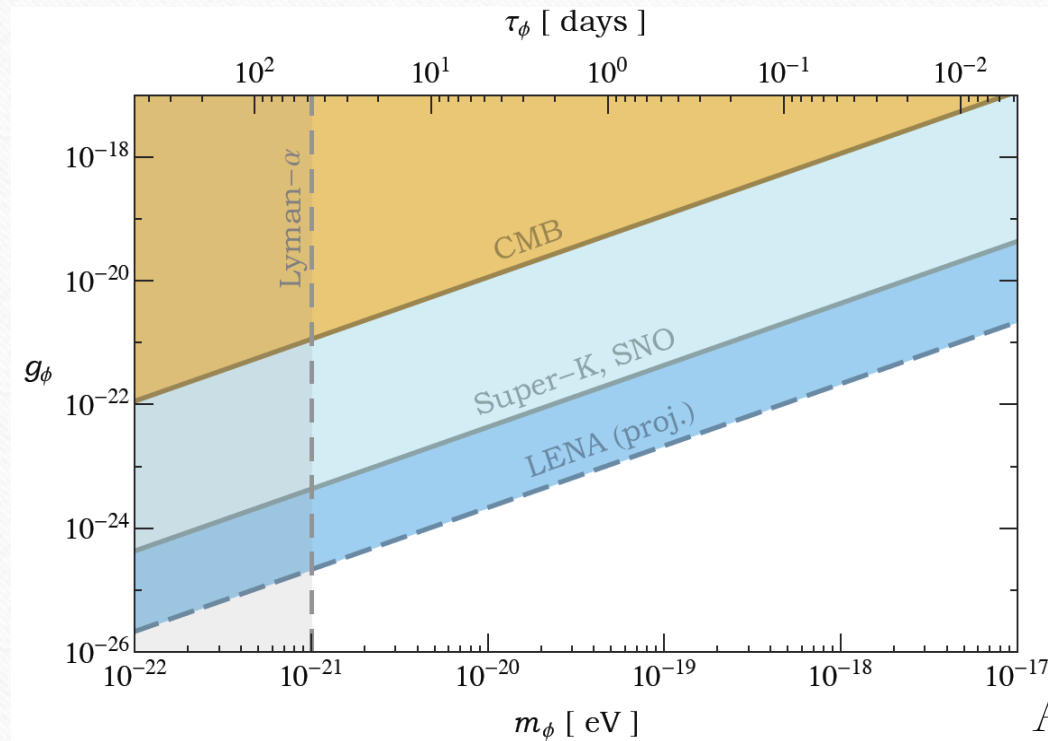
$$\phi(x) \simeq \frac{\sqrt{2 \rho_{\text{DM}}(x)}}{m_\phi} \cos [m_\phi (t - \vec{v} \cdot \vec{x})]$$

$$\sin \theta_{12}(t) \simeq \sin \theta_{12} + \frac{\cos \theta_{12}}{\Delta m_{12}} \frac{g_\phi \sqrt{2 \rho_{\text{DM}}}}{m_\phi} \cos m_\phi t$$

$$\Phi_{\text{eff}} = \Phi^{(0)} + \Phi^{(1)} \cos m_\phi t$$

$$\frac{\Phi^{(1)}}{\Phi^{(0)}} \simeq 2 \cot \theta_{12} \frac{g_\phi \sqrt{2 \rho_{\text{DM}}}}{m_\phi \Delta m_{12}}$$

Bounds from time modulation of solar neutrinos



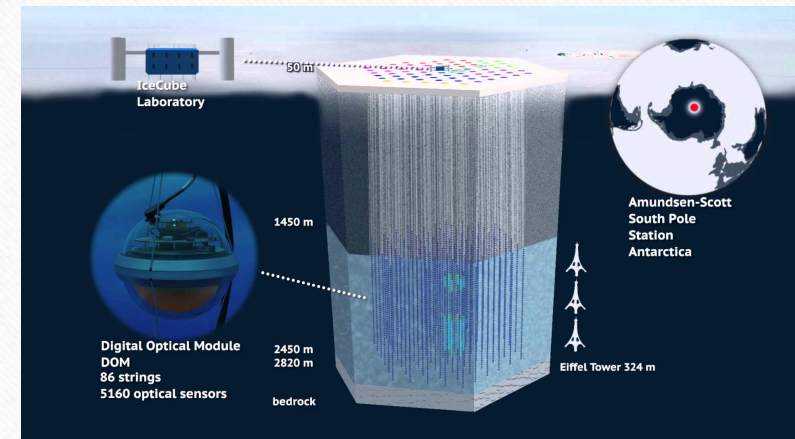
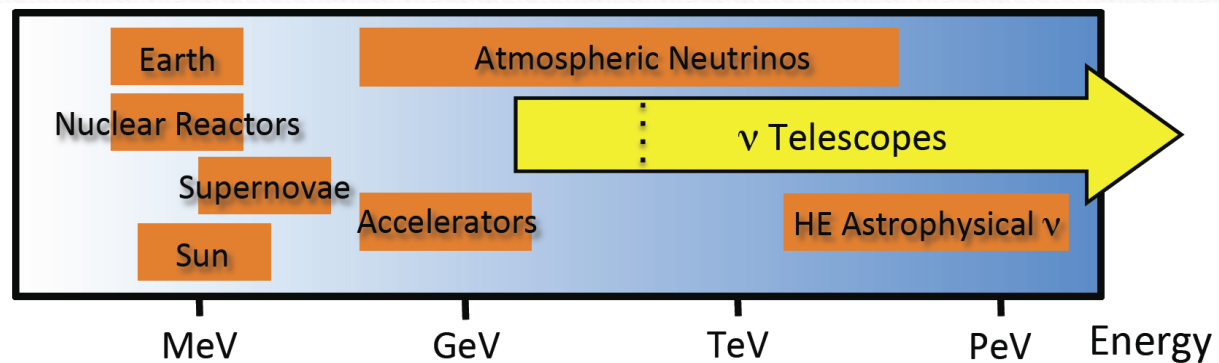
A. Berlin, PRL 177 (2016) 231801

Time varying effects

- Krnjaic, Machado and Necib, PRD 97 (2018) 075017: JUNO and DUNE
- Brdar, Kopp, Liu, Prass and Wang, PRD97 (2018) 43001: T2K and solar

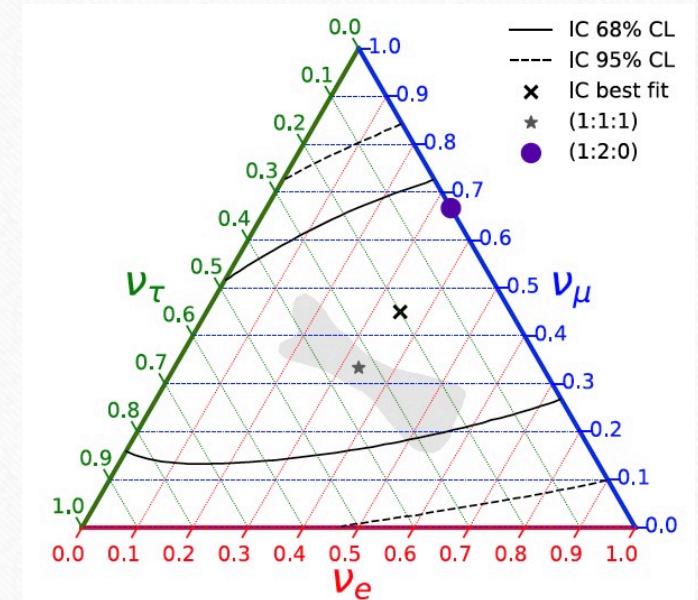
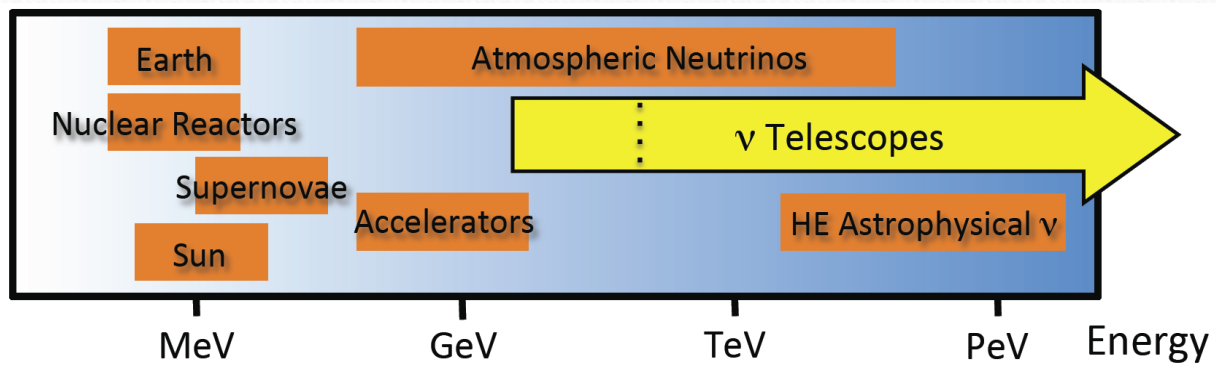
Dark matter effect constant in time

- Y.F. and S. Palomares-Ruiz, “Flavor of cosmic neutrinos preserved by ultralight dark matter,” Phys. Rev. D 99 (2019) 051702



Dark matter effect constant in time

- Y.F. and S. Palomares-Ruiz, “Flavor of cosmic neutrinos preserved by ultralight dark matter,” Phys. Rev. D 99 (2019) 051702



Sterile neutrino coupled to ultralight dark matter

Y. F., “Ultra-light scalar saving the 3+1 neutrino scheme from the cosmological bounds,” PLB 797 (2019) 134911

$$\lambda \phi \nu_s^T c \nu_s + \text{H.c.}$$

$$c = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$

Euler-Lagrange Solution

$$\mathcal{L} = \frac{\partial_\mu \phi \partial^\mu \phi}{2} - \frac{m^2 \phi^2}{2}$$

$$\phi = \frac{\sqrt{2\rho_\phi}}{m_\phi} \cos(m_\phi t - \vec{p}_\phi \cdot \vec{x})$$

$$|\vec{p}_\phi| \ll m_\phi$$

$$T^{\mu\nu} = \frac{\delta \mathcal{L}}{\delta \partial_\mu \phi} \partial^\nu \phi - \eta^{\mu\nu} \mathcal{L}$$

$$\mathcal{L} = \frac{\partial_\mu \phi \partial^\mu \phi}{2} - \frac{m^2 \phi^2}{2}$$



$$T_{00} = \rho_\phi$$

$$T_{ii} = -\rho_\phi (\cos^2(m_\phi t) - \sin^2(m_\phi t))$$

Solution

$$\phi = \frac{\sqrt{2\rho_\phi}}{m_\phi} \cos(m_\phi t - \vec{p}_\phi \cdot \vec{x}) \quad |\vec{p}_\phi| \ll m_\phi$$

$$t \ll 1/m_\phi \quad T_{00} = -T_{ii} \quad \text{Or} \quad \rho_\phi = -p_\phi$$

$$T_{;\nu}^{\mu\nu} = 0 \quad \longleftrightarrow \quad \dot{\rho}_\phi + 3H(\rho_\phi + p_\phi) = 0$$

$$t \ll 1/m_\phi \quad \longrightarrow \quad \rho_\phi = cte$$

Solution

$$\phi = \frac{\sqrt{2\rho_\phi}}{m_\phi} \cos(m_\phi t - \vec{p}_\phi \cdot \vec{x}) \quad |\vec{p}_\phi| \ll m_\phi$$

$$t \gg 1/m_\phi \quad \longrightarrow \quad \langle p_\phi \rangle = 0$$

$$T_{;\nu}^{\mu\nu} = 0 \quad \longleftrightarrow \quad \dot{\rho}_\phi + 3H(\rho_\phi + p_\phi) = 0$$

$$\rho_\phi(T) = \frac{T^3}{T_0^3} \rho_\phi(T_0)$$

Coupling and effective mass

$$\lambda \phi \nu_s^T c \nu_s + \text{H.c.}$$

$$\phi \bar{\nu}_s \nu_s$$

$$m_{eff} = \lambda \frac{\sqrt{2\rho_\phi}}{m_\phi} \cos(m_\phi t)$$

$$m_\phi < 5 \times 10^{-17} \text{ eV} = \frac{1}{13 \text{ sec}}$$

until $T \sim 0.22 \text{ MeV} (m_\phi / (5 \times 10^{-17} \text{ eV}))^{1/2}$

Neutrino decoupling occurs at $T \sim 1 \text{ MeV}$

Effective mixing

$$m_{eff} \gg m_{\nu_s}$$

$$\sin 2\theta_m|_T = \sin 2\theta \frac{m_{\nu_s}}{m_{eff}}$$

$$= \begin{cases} \sin 2\theta_m^{int} & \text{at } t \ll m_\phi^{-1}, \\ \sin 2\theta_m^{int} \left(\frac{0.22 \text{ MeV} \sqrt{m_\phi / 5 \times 10^{-17} \text{ eV}}}{T} \right)^{3/2} & \text{at } t \gg m_\phi^{-1}, \end{cases}$$

Lorentz structure of the effective mass

$$\nu_s^T C \nu_s$$



Added to neutrino mass

Versus

$$\nu_a^\dagger \nu_a$$



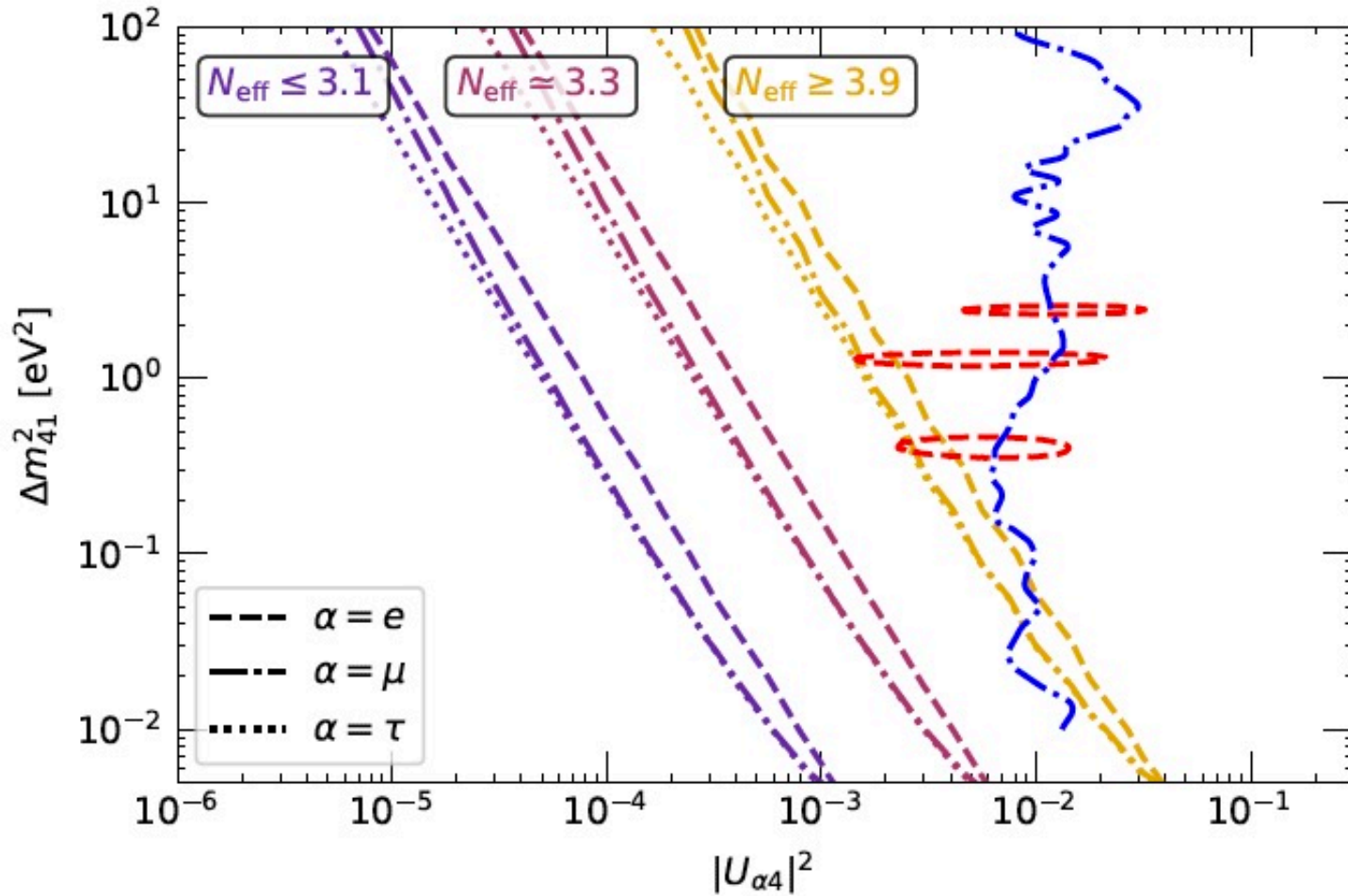
Added to $m_\nu^2/(2p)$

τ_ν^{-1} is the interaction rate of neutrinos $\tau_\nu^{-1} \sim G_F^2 T^5$

$$\frac{\tau_\nu \Delta m^2}{E} \gg 1 \quad \longrightarrow \quad \Gamma_{\nu_a \rightarrow \nu_s} = \frac{\sin^2 2\theta_m^{int}}{4\tau_\nu}$$

$$\delta N_{eff} = \int_{T_{min}}^{T_{max}} \Gamma_{\nu_a \rightarrow \nu_s} dt = \frac{\sin^2 2\theta_m^{int}}{4} \int_{T_{min}}^{T_{max}} \frac{1}{\tau_\nu} dt \quad \longrightarrow \quad (\Delta m^2 / T)t \gtrsim 1$$

T_{min} is the neutrino decoupling temperature

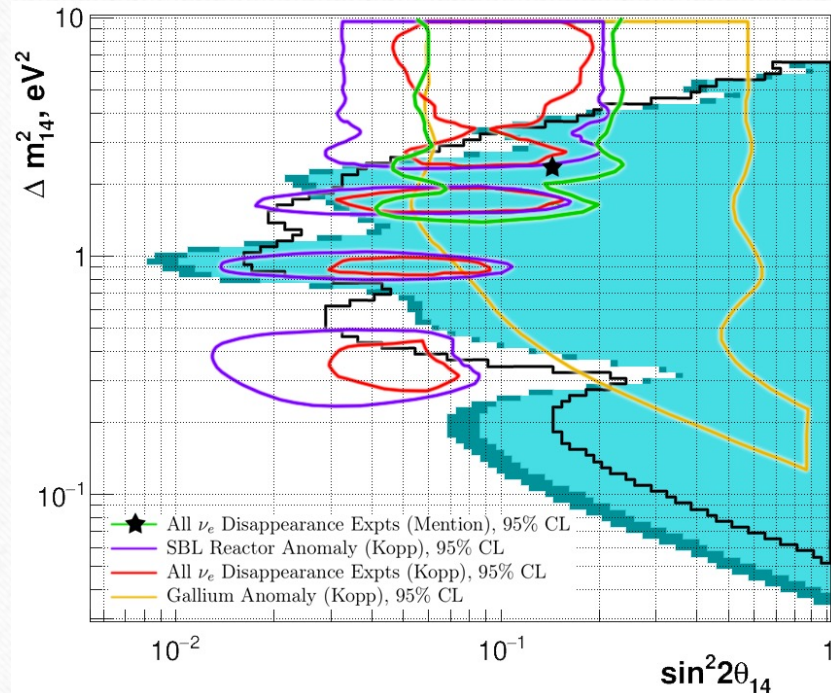


$$\varrho(p, t) = \begin{pmatrix} \varrho_{ee} & \varrho_{e\mu} & \varrho_{e\tau} & \varrho_{es} \\ \varrho_{\mu e} & \varrho_{\mu\mu} & \varrho_{\mu\tau} & \varrho_{\mu s} \\ \varrho_{\tau e} & \varrho_{\tau\mu} & \varrho_{\tau\tau} & \varrho_{\tau s} \\ \varrho_{se} & \varrho_{s\mu} & \varrho_{s\tau} & \varrho_{ss} \end{pmatrix}$$

Gariazzo, de Salas and Pastor, “thermalisation of sterile neutrinos in the early universe in the 3+1 scheme with full mixing matrix,” JCAP 1907 (2019) 014.

$\sin^2 2\theta_m^{int} = 4 \times 10^{-5}$, the contribution will be less than $O(0.01)$

DANSS and other reactor neutrino experiments



DANSS Collaboration, arXiv:1911.10140

PoS EPS-HEP2019 (2020) 401

$$\Delta m^2 \sim 3 \text{ eV}^2 \text{ and } |U_{e4}|^2 \sim 2 \times 10^{-2}$$

Effective mixing

$$\sin^2 2\theta_m^{int} = 4 \times 10^{-5}$$



$$m_{eff}^{int} > 40 \text{ eV}$$



$$\lambda > 2 \times 10^{-23}$$

-
- These results are confirmed by

J. Cline, “[viable secret neutrino interactions with ultralight dark matter](#),” Phys. Lett B 802 (2020) 135182, arXiv:1908.0227

$$\lambda \phi \nu_s^T c \nu_s + \text{H.c.}$$

$$m_s \nu^T c \nu_s$$

$$\phi = \frac{\sqrt{2\rho_\phi}}{m_\phi} \cos(m_\phi t - \vec{p}_\phi \cdot \vec{x})$$

$$m_{eff} = \lambda \frac{\sqrt{2\rho_\phi}}{m_\phi} \cos(m_\phi t).$$

Cancellation

$$m_s + m_{eff} = 0$$

$$\phi \rightarrow \nu_s \nu_s$$

Perturbative lifetime $\frac{4\pi}{\lambda^2 m_\phi}$

However, parametric resonance

Traschen and Brandenberger, PRD 42 (1990);

Kofman Linde Starobinsky, PRL 73 (1994);

Shtanov, Traschen and Brandenberger, PRD 51 (1995)

Kofman et al, JHEP 405 (2004)

$$\rho_\phi \ll \rho_{\nu_a}$$

Neutrino oscillation after $1/m_{DM}$

Coherence between mass eigenstates are lost already at $T < \text{MeV}$ so we deal with $\nu_{m1}, \nu_{m2}, \nu_{m3}$ and ν_{m4}

Contribution of ν_{m4} :
$$\sum_{\alpha \in \{e, \mu, \tau\}} |U_{\alpha 4}|^2$$

Important question

$$m_{eff} = \lambda \frac{\sqrt{2\rho_\phi}}{m_\phi} \cos(m_\phi t).$$

Resonance condition: $m_{eff} = m_\nu \cos 2\theta$

- Is the transition adiabatic?

Reminder of solar oscillation

$$i \frac{d}{dt} \begin{pmatrix} A_e(t, t_0) \\ A_\alpha(t, t_0) \end{pmatrix} = \begin{pmatrix} -\epsilon(t) & \epsilon' \\ \epsilon' & \epsilon(t) \end{pmatrix} \begin{pmatrix} A_e(t, t_0) \\ A_\alpha(t, t_0) \end{pmatrix}$$

$$\epsilon(t) = \frac{1}{2} \left[\frac{\Delta m^2}{2E} \cos 2\theta - \sqrt{2} G_F N_e(t) \right], \quad \epsilon' = \frac{\Delta m^2}{2E} \sin 2\theta$$

$$\begin{aligned} |\nu_e\rangle &= |\nu_1^m\rangle \cos \theta_m + |\nu_2^m\rangle \sin \theta_m \\ |\nu_\alpha\rangle &= -|\nu_1^m\rangle \sin \theta_m + |\nu_2^m\rangle \cos \theta_m \end{aligned}$$

Adiabaticity vs Non-adiabaticity

$$A_e(t, t_0) = A_1(t, t_0) \cos \theta_m(t) + A_2(t, t_0) \sin \theta_m(t)$$

$$A_\alpha(t, t_0) = -A_1(t, t_0) \sin \theta_m(t) + A_2(t, t_0) \cos \theta_m(t)$$

$$i \frac{d}{dt} \begin{pmatrix} A_1(t, t_0) \\ A_2(t, t_0) \end{pmatrix} = \begin{pmatrix} E_1^m(t) & -i\dot{\theta}_m(t) \\ i\dot{\theta}_m(t) & E_2^m(t) \end{pmatrix} \begin{pmatrix} A_1(t, t_0) \\ A_2(t, t_0) \end{pmatrix}$$

$$\frac{\dot{\theta}_m}{\text{Effective mass splitting}} \Big|_{\text{resonance}} = \frac{\lambda \sqrt{2\rho_\phi} \sin(m_\phi t)}{8m_{\nu_s}^2 \sin^2 \theta} \Big|_{\text{resonance}} \simeq \frac{m_\phi (T/T^{\text{int}})^{3/2}}{8m_{\nu_s} \sin \theta \sin \theta_m^{\text{int}}} \ll 1$$

Other forms of interaction

$$\phi^2 \nu_s^T C \nu_s$$

Y. Zhao, Phys. Rev. D 95 (11) (2017) 115002,

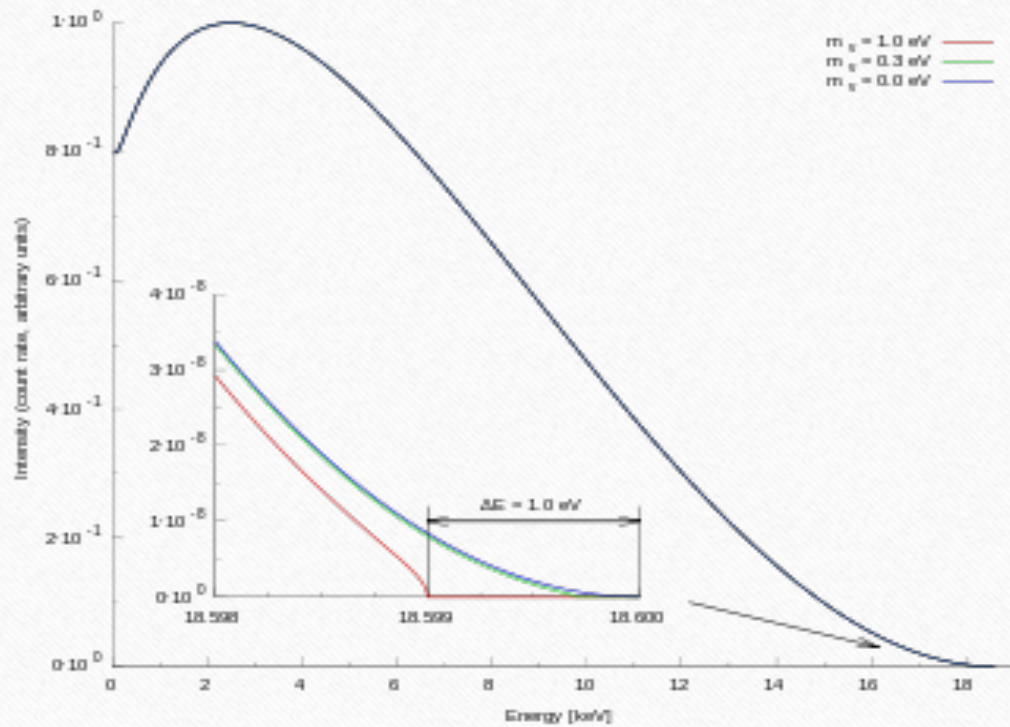
$$i(\phi^* \partial_\mu \phi - \phi \partial_\mu \phi^*) \bar{\nu} \gamma^\mu \nu$$

Y. Farzan, S. Palomares-Ruiz, Phys. Rev. D 99 (5) (2019) 051702,

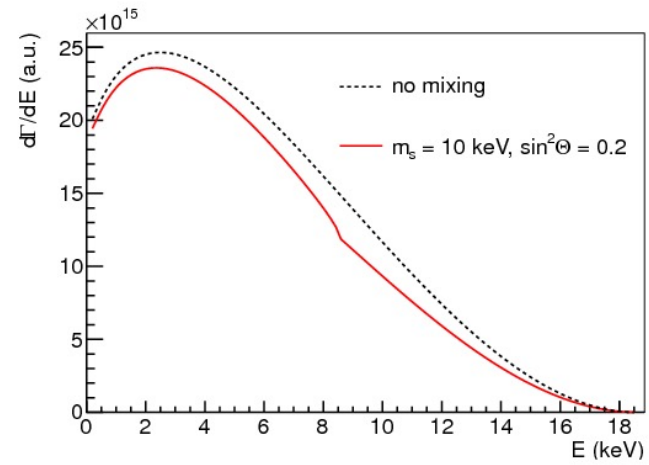
KATRIN



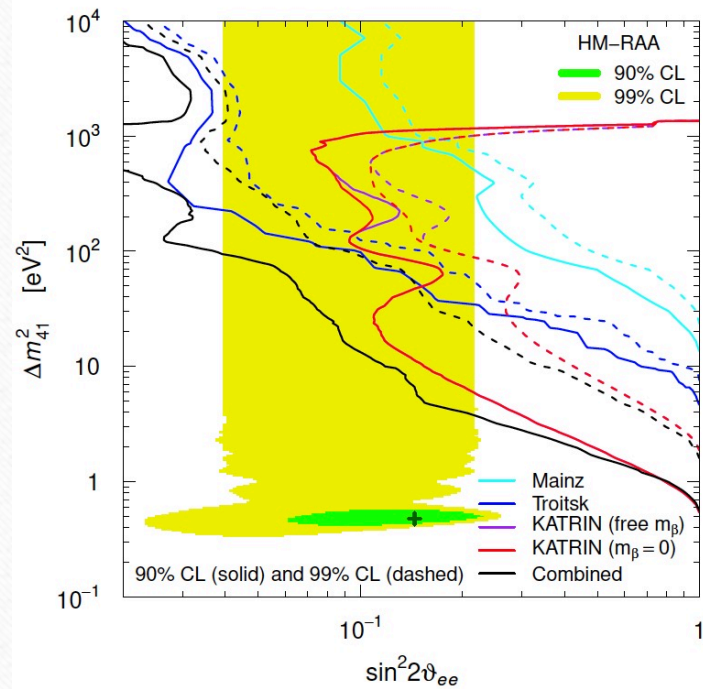
Shift of end-point



Kink

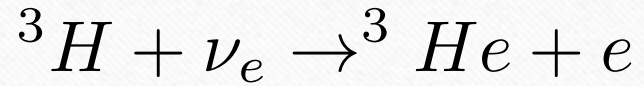


Preliminary KATRIN bounds

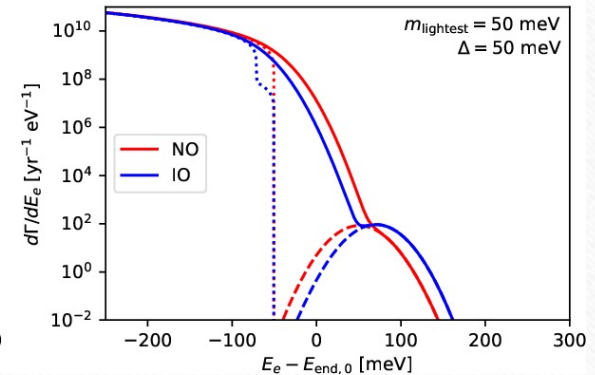
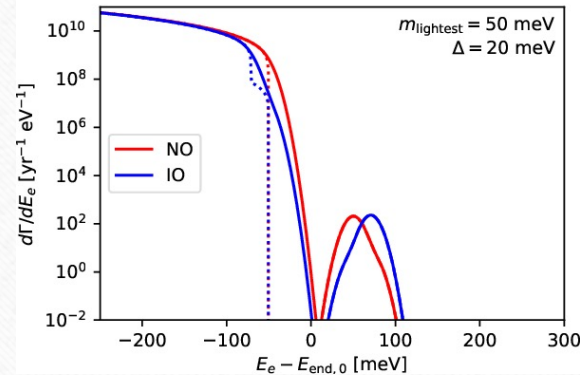
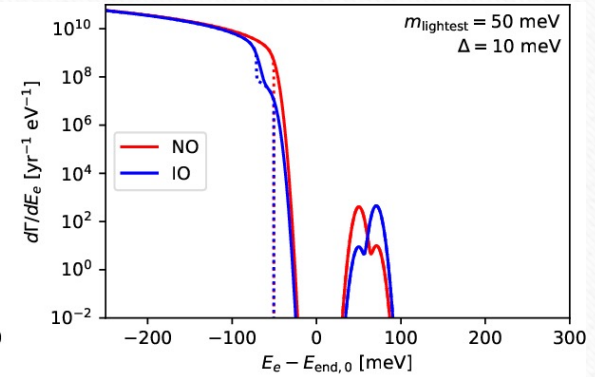
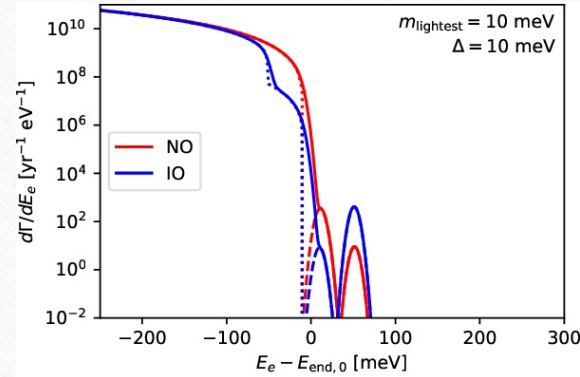
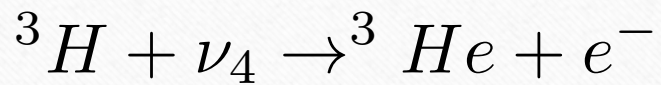


Giunti, Li and Zhang, *JHEP* 05 (2020) 061

PTOLEMY



PTOLEMY collaboration, JCAP 1907
(2019) 047



Conclusions

- It is possible to save 3+1 scheme from cosmological bounds by coupling it to ultralight scalar DM
- Hope to see kinks at KATRIN

Backup slides

Light but cold

$$\Phi = |\Phi|e^{i\phi/f_0}$$

$$(-f_0\pi, f_0\pi)$$



$$\langle \phi \rangle \neq 0$$

D.J.E. Marsh, Phys. Rep. 643 (2016) 1

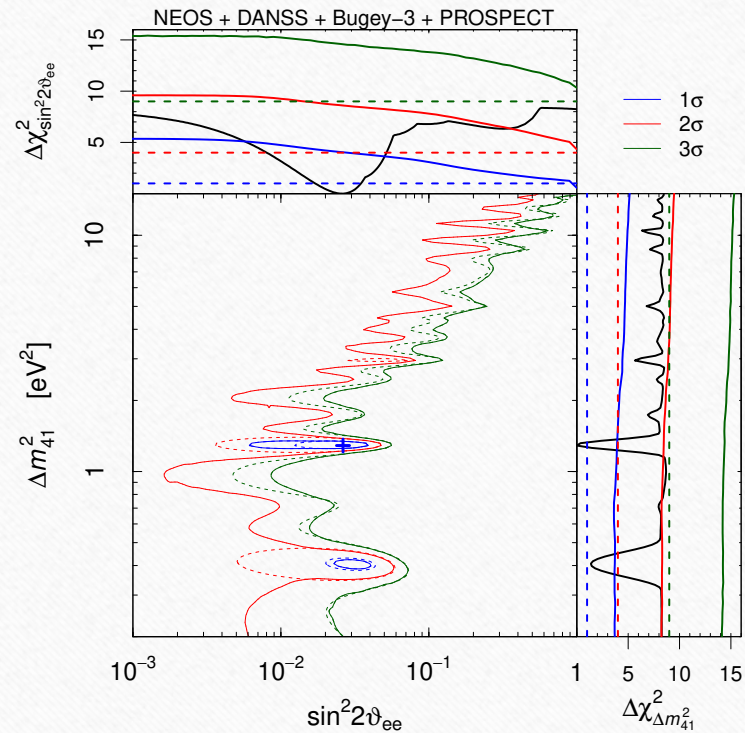
de Broglie wavelength \gg average distance between particles

Force between DM

- Repulsive force (like gauge coupling) stable
- Attractive force (like Yukawa coupling) unstable

Chavanis, PRD84 (2011) 043531

Reactor neutrino experiments



C. Giunti,

“Statistical Significance of Reactor Antineutrino
Active-Sterile Oscillations,” *Phys.Rev.D* 101 (2020) 9, 095025

Sterile neutrino decay

$$\nu_i \rightarrow \nu_j \bar{\nu}_k \nu_l$$

$$\Gamma_i = \frac{g_s^4 |U_{si} U_{sj} U_{sk} U_{sl}|^2}{192\pi^3} \frac{m_i^5}{m_{Z'}^4} \frac{m_i}{E_\nu}$$

Decay before recombination and free streaming at recombination

$$3 \times 10^{-4} \left(\frac{0.1}{U_{si}} \right)^{3/2} \lesssim g_s \lesssim 5 \times 10^{-4} \left(\frac{0.1}{U_{si}} \right)^2$$