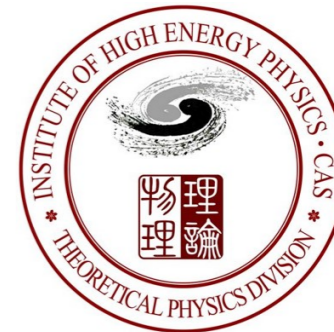




中国科学院高能物理研究所  
*Institute of High Energy Physics*  
*Chinese Academy of Sciences*



# A natural rescue of natural inflation

**Xinyi Zhang (IHEP, CAS)**  
zhangxinyi@ihep.ac.cn

**In collaboration with Yakefu Reyimuaji**  
**Based on work: arXiv:2012.07329**

**BSM-2021, March 31**

# Inflation & natural inflation

A. H. Guth, 1981

Inflation is a period of accelerated expansion solves initial condition problems: flatness, horizon seeds the inhomogeneities observed in CMB

## The fine-tuning problem in inflation

F.C.Adams, K.Freese and A.H.Guth, 1991

to match various observational constraints, the height of the inflaton potential must be of a much smaller scale than that of the width

$$\chi \equiv \Delta V / (\Delta\phi)^4 \leq \mathcal{O}(10^{-6} - 10^{-8})$$

## Natural inflation

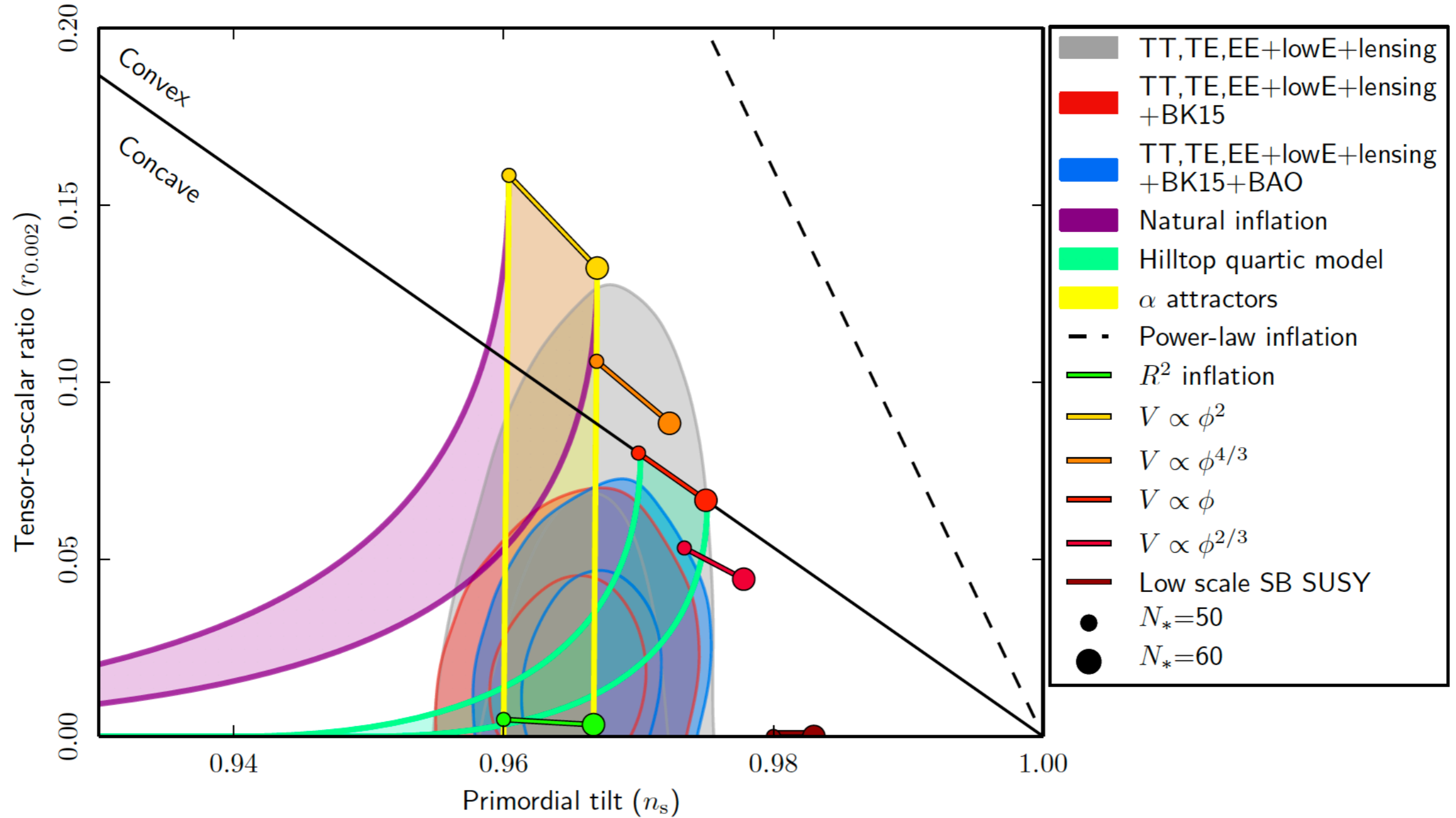
K. Freese, J. A. Frieman and A. V. Olinto, 1990;  
F. C. Adams, J. R. Bond, K. Freese, J. A. Frieman and A. V. Olinto, 1992

inflaton ~ "axion"  
shift symmetry -> flatness

$$V(\phi) = \Lambda^4 (1 + \cos \phi / f)$$

## Natural flatness

# Planck 2018 result



“Natural inflation (Freese et al. 1990; Adams et al. 1993) is strongly disfavoured by the Planck 2018 plus BK15 data”

# Warm inflation

A. Berera and L. Z. Fang, 1995;  
A. Berera, 1995

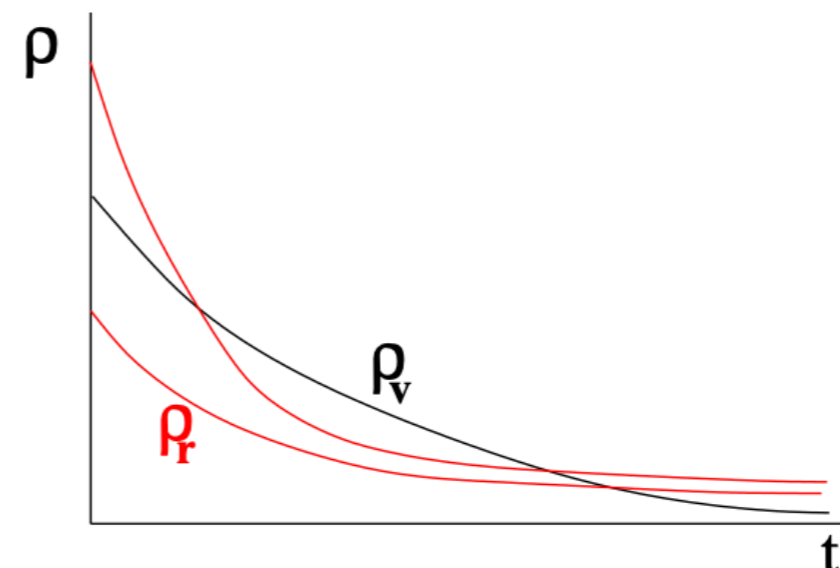
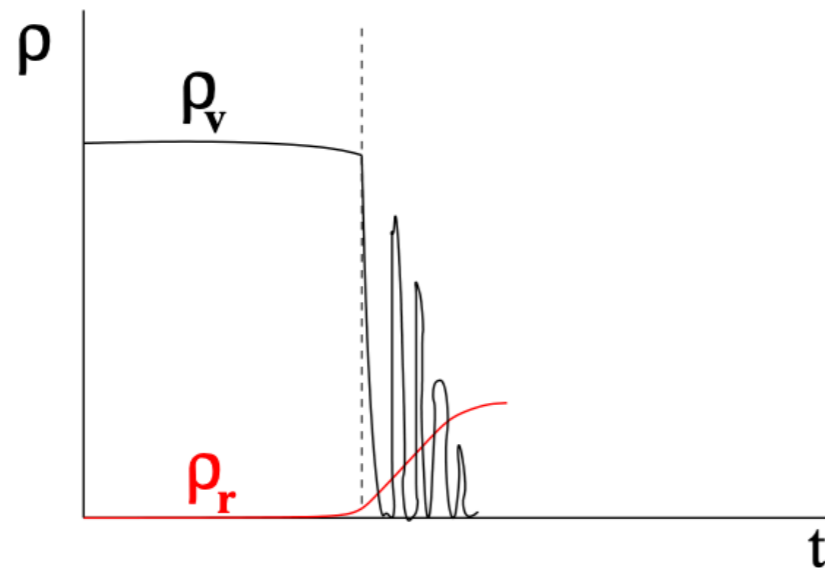
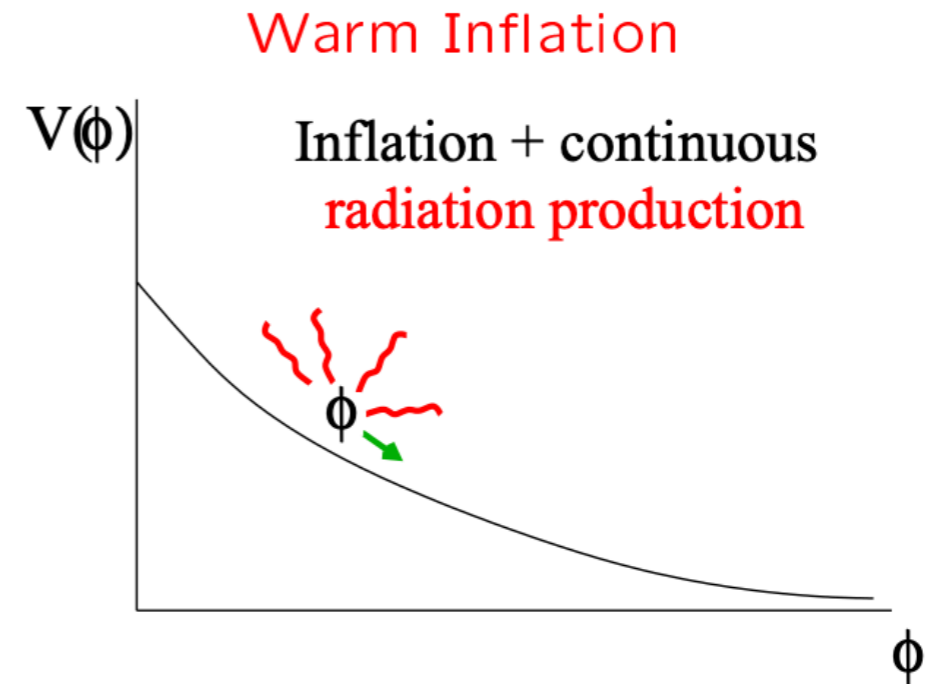
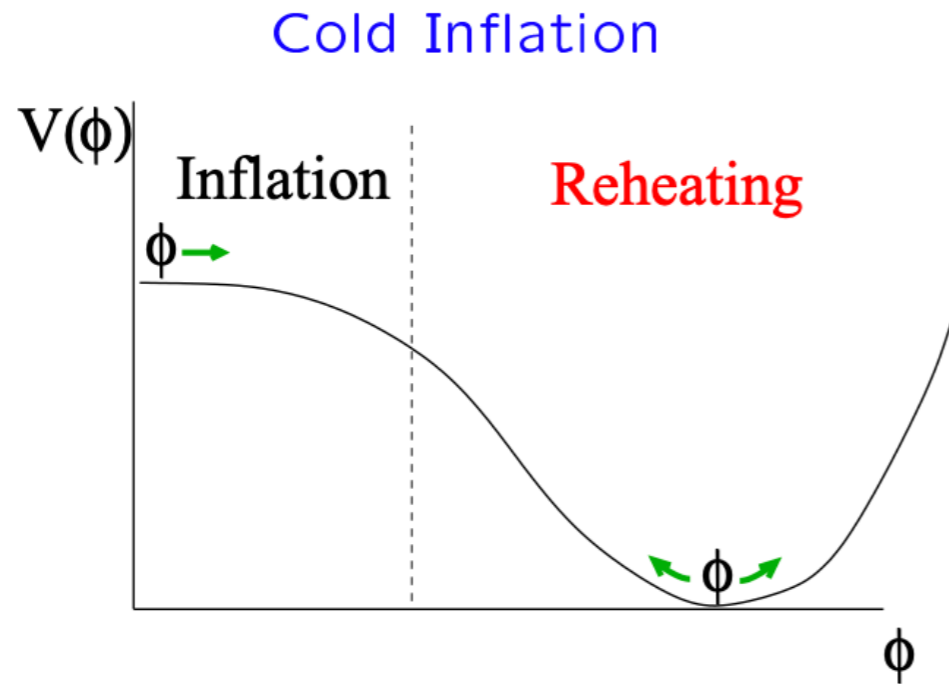


Figure from A. Berera, I. G. Moss, and R. O. Ramos, 2008  
Original at A. Berera, Contemp. Phys. 47, 33 (2006)

No separate reheating

# Warm inflation

Introduce a thermal friction term in inflaton's evolution

$$\ddot{\phi} + (3H + \Gamma)\dot{\phi} + V_{,\phi} = 0,$$

$\Gamma$  Dissipative coefficient

The friction source thermal bath

$$\dot{\rho}_r + 4H\rho_r = \Gamma\dot{\phi}^2,$$

$$H^2 \simeq \frac{V}{3M_{\text{pl}}^2}.$$

The slow-roll parameters

$$\epsilon_w \equiv \frac{\epsilon_V}{1+Q} = \frac{M_{\text{pl}}^2}{2(1+Q)} \left( \frac{V_{,\phi}}{V} \right)^2;$$

$$\eta_w \equiv \frac{\eta_V}{1+Q} = \frac{M_{\text{pl}}^2}{(1+Q)} \left( \frac{V_{,\phi\phi}}{V} \right);$$

$$\beta_w \equiv \frac{M_{\text{pl}}^2}{(1+Q)} \left( \frac{\Gamma_{,\phi} V_{,\phi}}{\Gamma V} \right).$$

Dimensionless dissipative ratio

$$Q \equiv \Gamma / (3H)$$

With  $Q \gg 1$ , the inflation gets prolonged!

Enough inflation for steeper potentials

The dimensionless primordial curvature power spectrum

$$\Delta_{\mathcal{R}}^2 = \left( \frac{H^2}{2\pi\dot{\phi}} \right)^2 \left( 1 + 2n_{\text{BE}} + \frac{2\sqrt{3}\pi Q}{\sqrt{3+4\pi Q}} \frac{T}{H} \right) G(Q)$$

Primordial tensor power spectrum

$$\Delta_t^2 = \frac{2H^2}{\pi^2 M_{\text{pl}}^2}$$

Thermal friction leads to a suppressed  $r$

Spectral tilt  $n_s - 1 = \frac{d \ln \Delta_{\mathcal{R}}^2}{d \ln k} \simeq \frac{d \ln \Delta_{\mathcal{R}}^2}{d N}$

Tensor-to-scalar ratio  $r = \frac{\Delta_t^2}{\Delta_{\mathcal{R}}^2}$

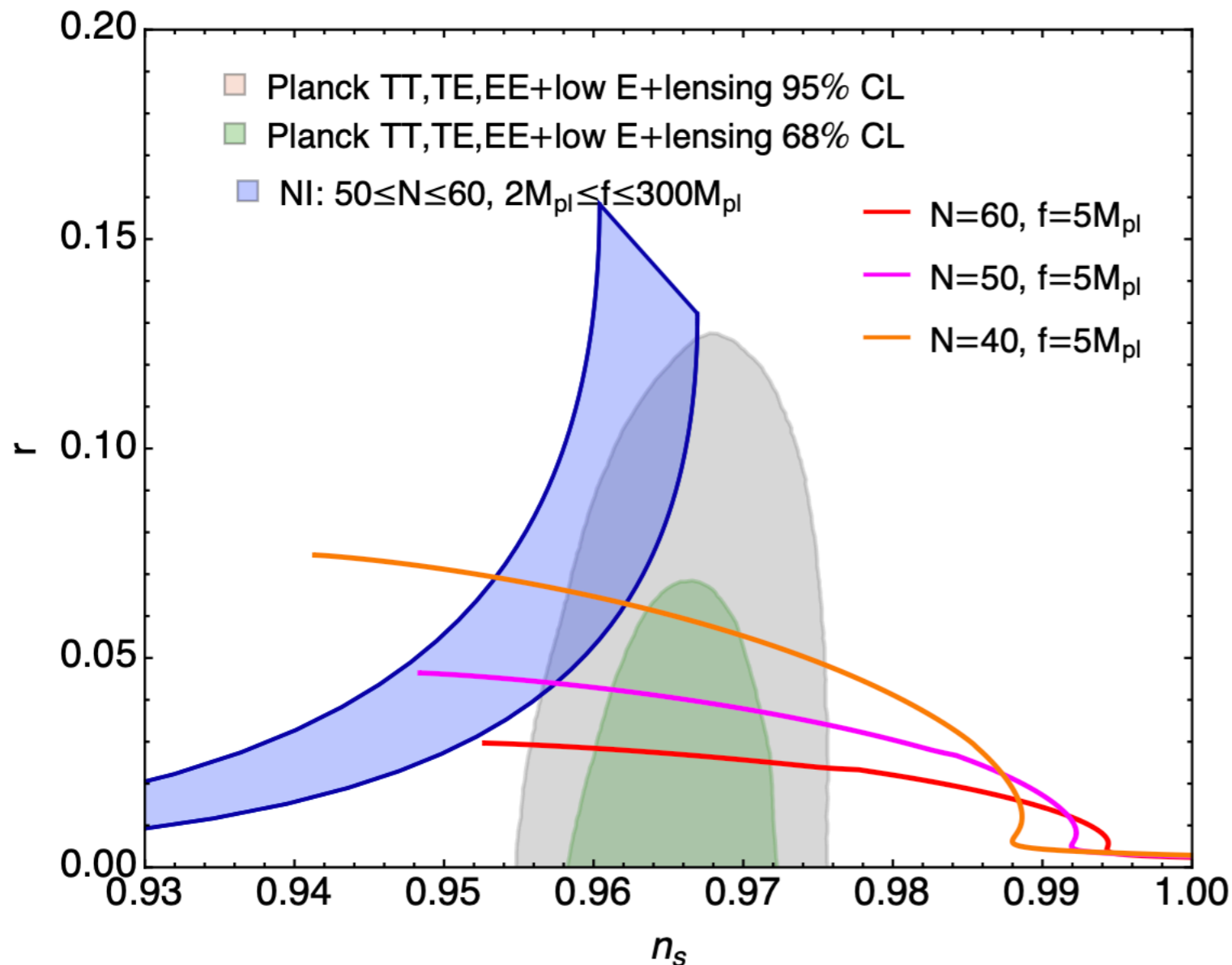
# Warm-assisted natural inflation

Inflaton potential  $V(\phi) = \Lambda^4 (1 + \cos \phi/f)$

Temperature-dependent dissipative coefficient  $\Gamma \propto T^3$

Dimensionless parameter  $c \propto Q(1 + Q)^6$   $Q \equiv \Gamma/(3H)$

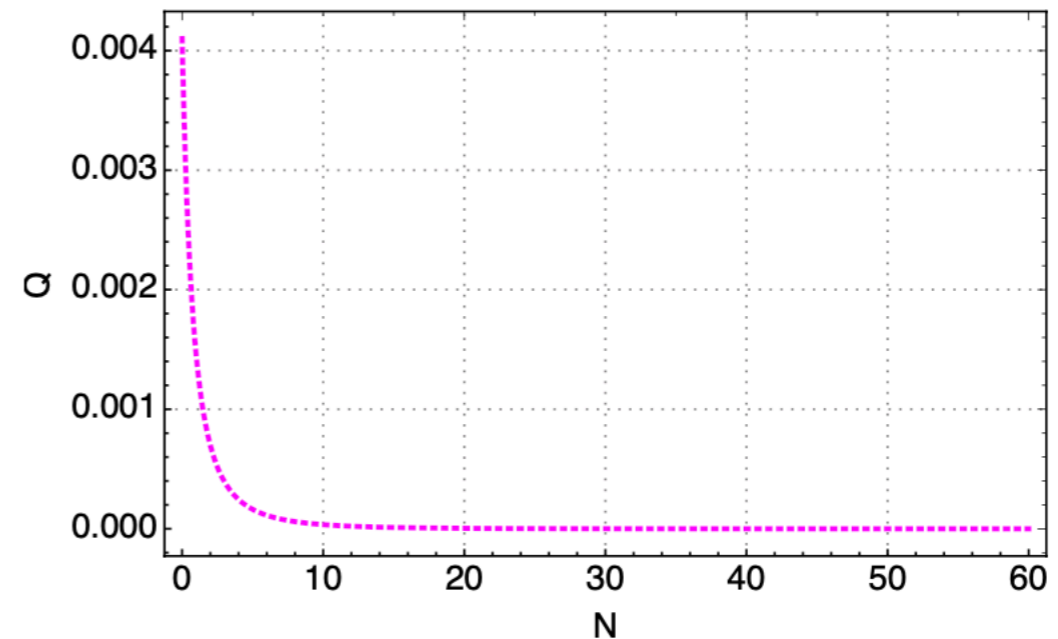
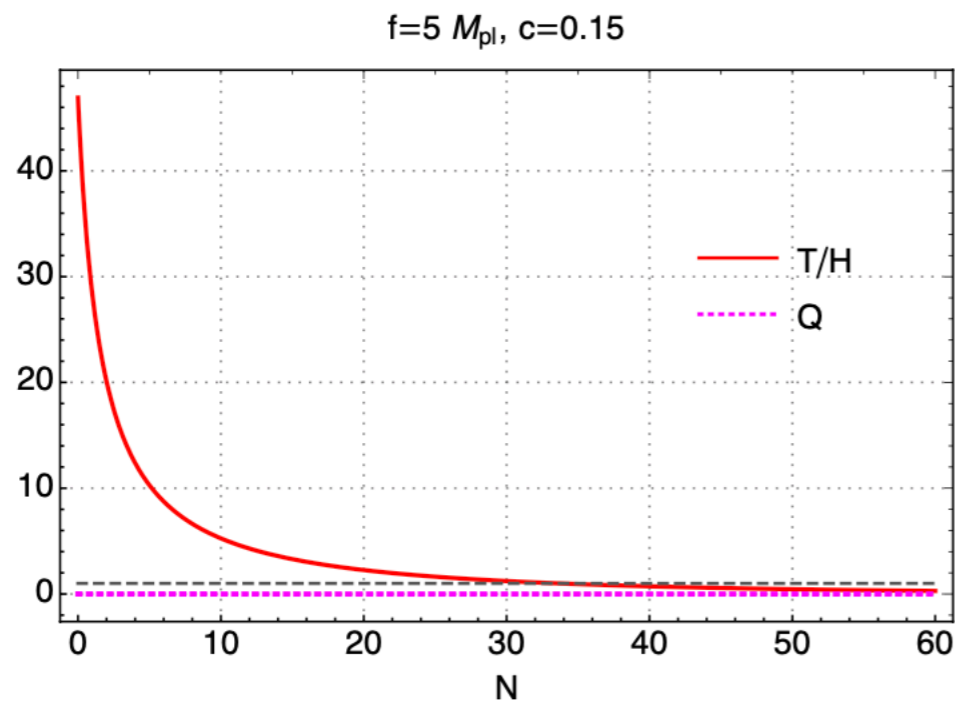
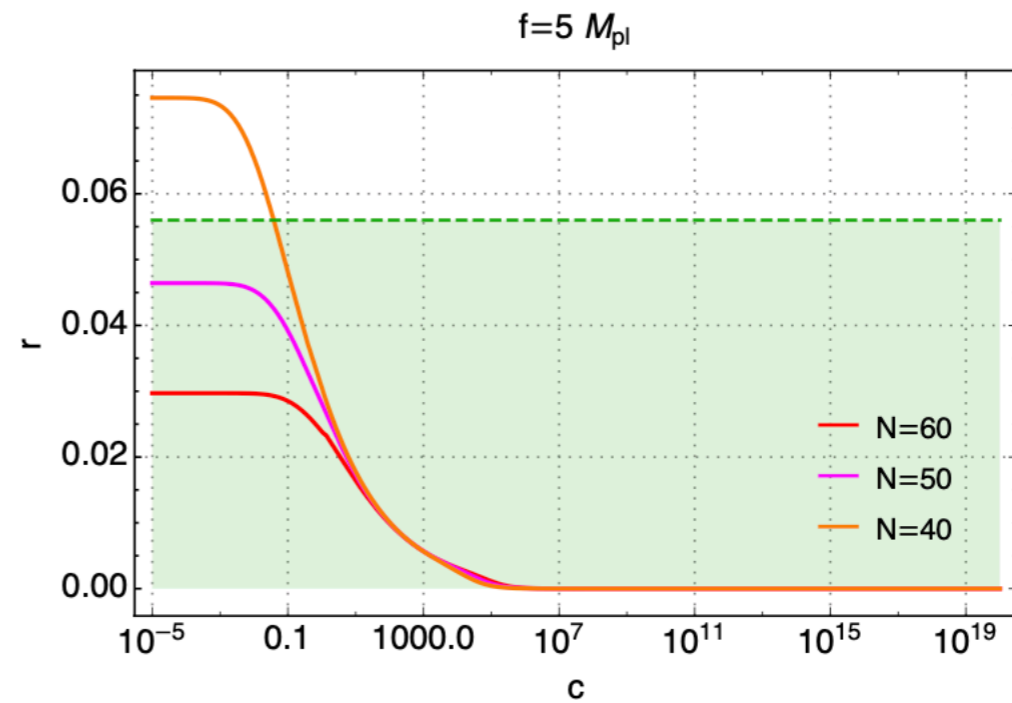
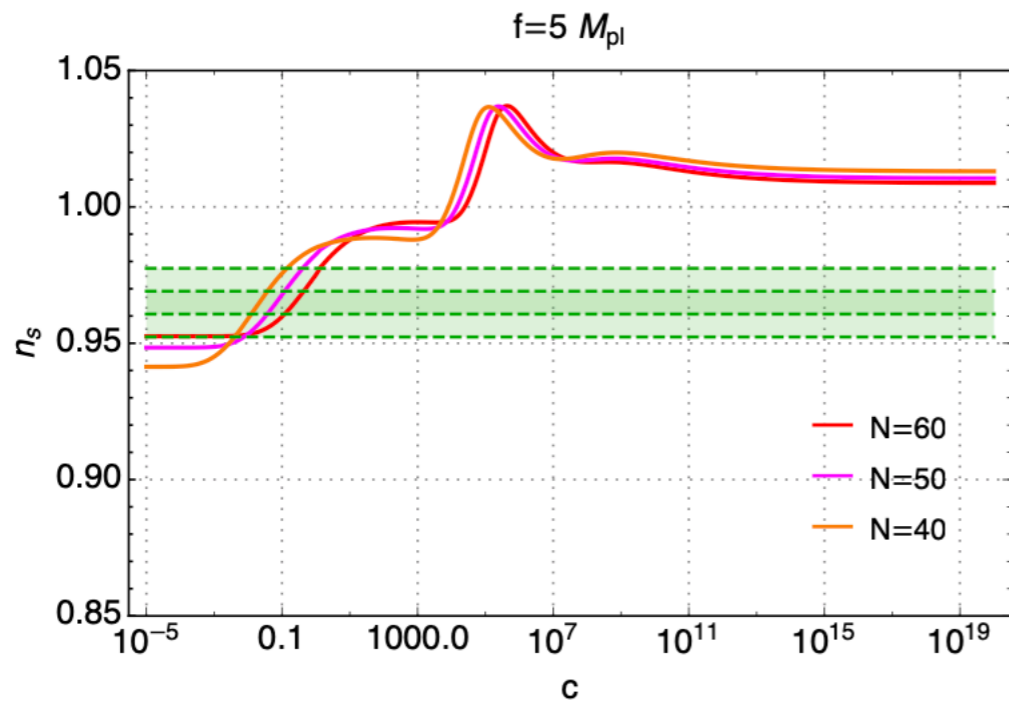
$\epsilon_w, \eta_w, \beta_w, Q, n_s, r$  are all functions of  $c, f$



$f = 5M_{\text{pl}}$  and a varying  $c$

A better agreement with the Planck results!

# $f = 5M_{\text{pl}}$ results (continued)



N: # of e-folds before the end of inflation

Starts cold, evolves to warm. Weak all the time!

# Microphysics origin of the dissipative coefficient

Kim V. Berghaus, Peter W. Graham, and David E. Kaplan, 2019

Consider axion-like coupling to gauge fields

$$\mathcal{L} = \frac{\alpha}{16\pi} \frac{\phi}{f_1} \tilde{G}_a^{\mu\nu} G_{\mu\nu}^a$$

At high temperatures, sphalerons are no longer suppressed, which give rise to topological charge fluctuations.

$$\Gamma_{\text{sphal}} \sim \alpha^5 T^4$$

The dissipative coefficient is estimated from the sphaleron rate in thermal field theory as

$$\Gamma(T) = \frac{\Gamma_{\text{sphal}}}{2f_1^2 T}$$

$$\Gamma(T) = \kappa \alpha^5 \frac{T^3}{f_1^2},$$

The estimation is valid for

$$m_\phi < \alpha^2 T \text{ and } H < \alpha^2 T$$

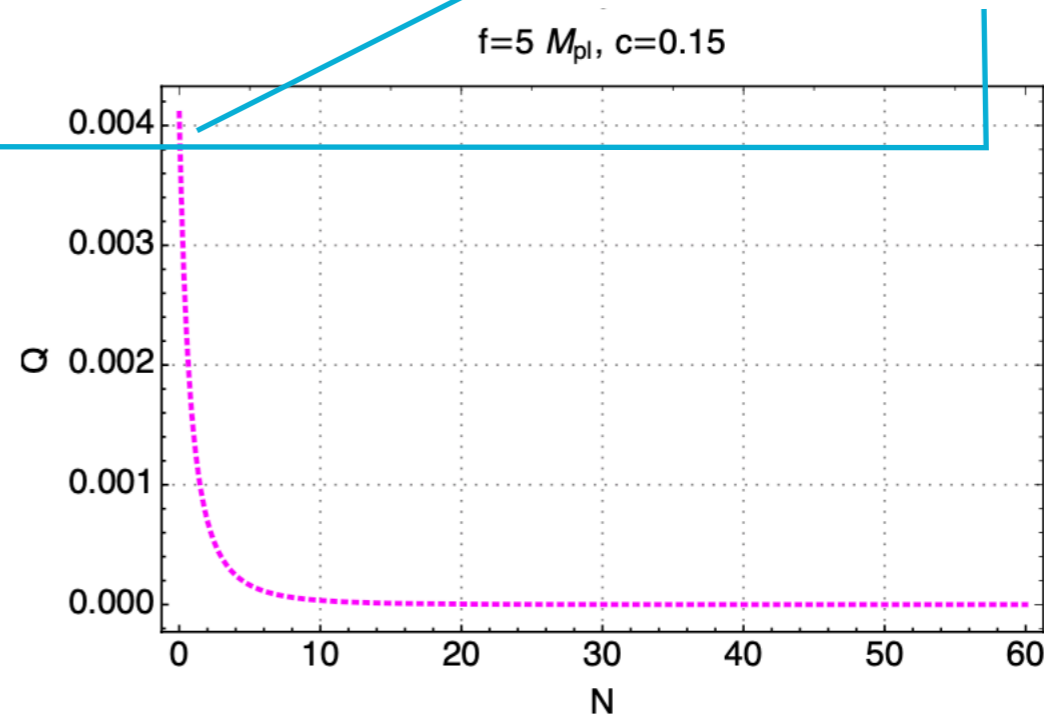
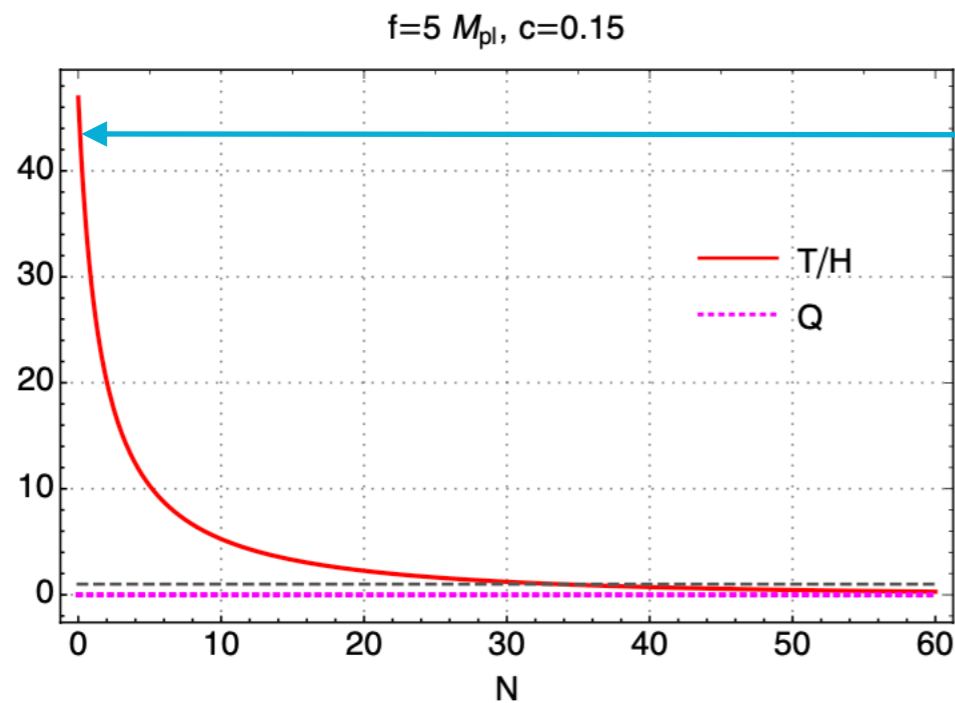
In strong dissipative regime ( $Q \gg 1$ )



# Tension with thermal field theory requirement

In our model, thermalized inflaton requires  $\Gamma_{\phi g} > H$      $\alpha < 10^{-2}\sqrt{Q}$      $\rightarrow$   $\alpha < 10^{-5}$

$\Gamma_{\phi g} \simeq \frac{\alpha^3 T^3}{32\pi f_1^2} = \frac{1}{32\pi\kappa\alpha^2}\Gamma$     *Clearly violates  $H < \alpha^2 T$*



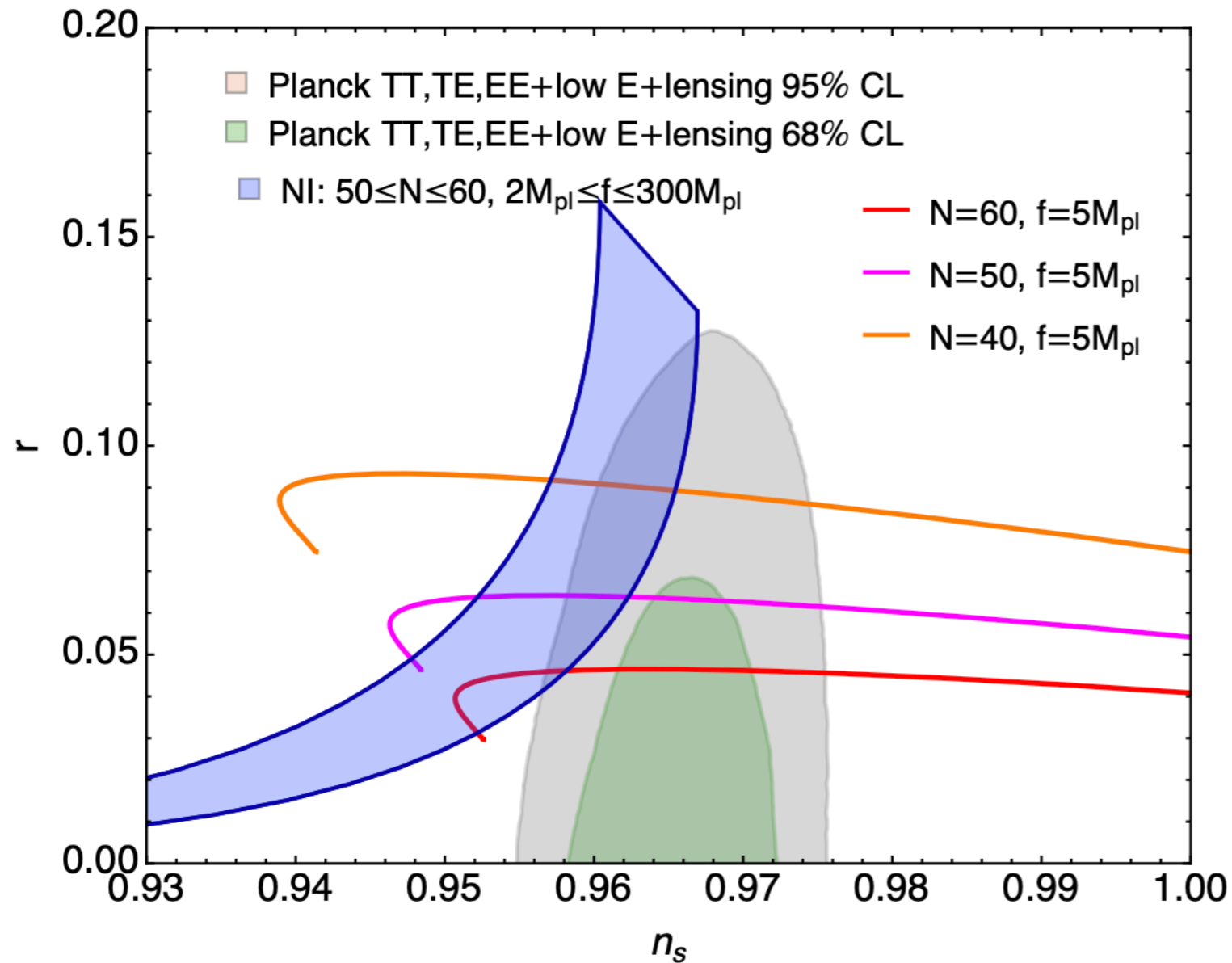
N: # of e-folds before the end of inflation

Not satisfy the condition, not necessarily ruled out

Not-thermalized inflaton?

# Not-thermalized inflaton

Change the thermal distribution into  $n \simeq \frac{\gamma_{\phi g}}{3 + \gamma_{\phi g}} n_{\text{BE}}$



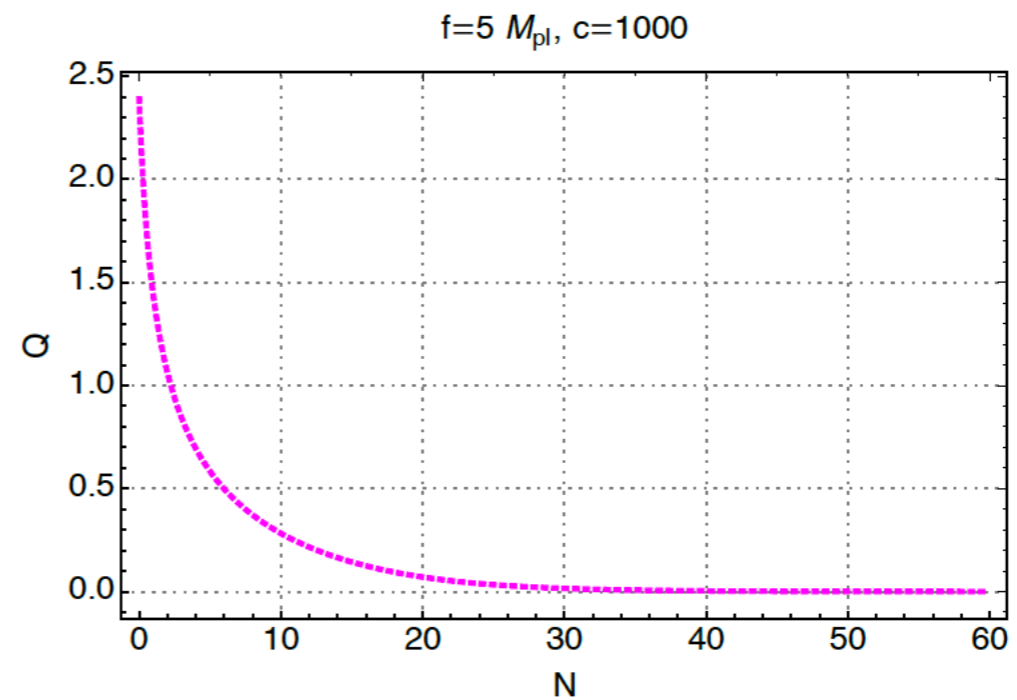
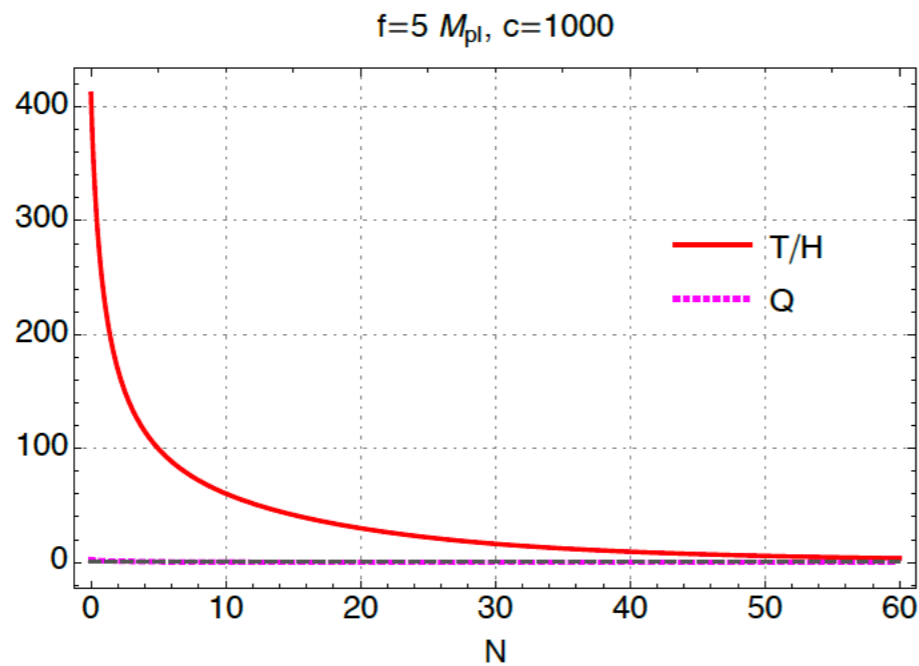
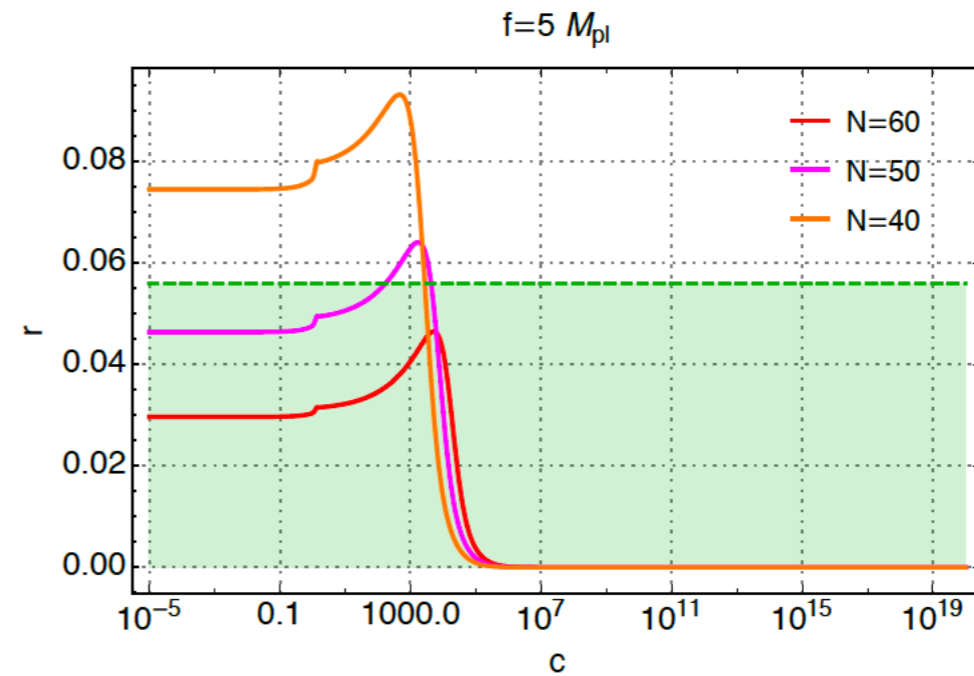
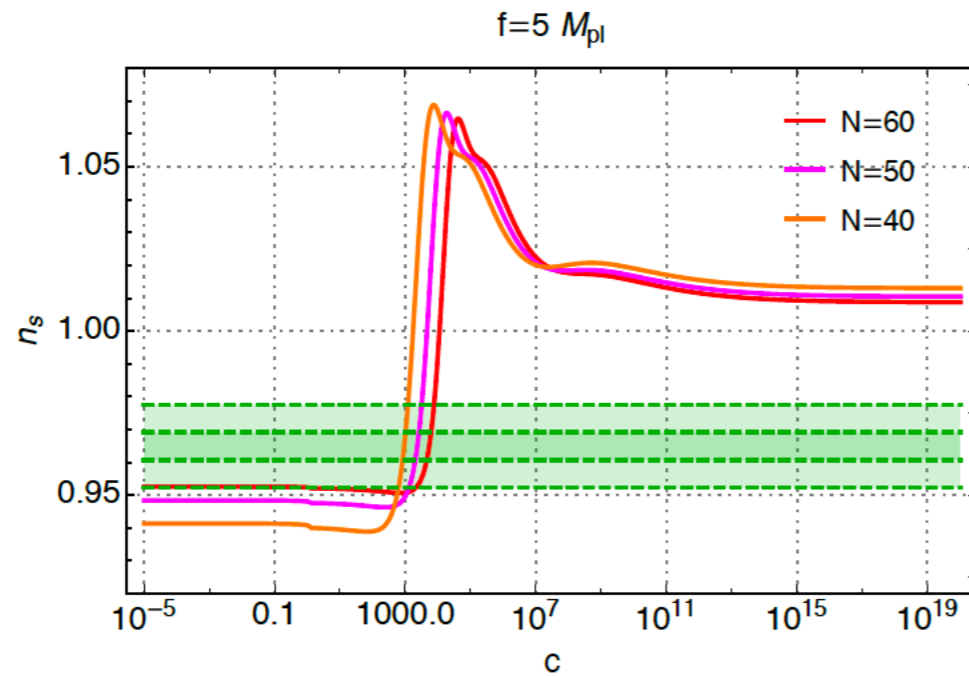
Better agreement with Planck results compared with cold NI!

No better agreement with Planck results compared with thermalized inflaton?

Little excess: caused by differences in  $\epsilon_w$ ,  $\beta_w$  determining  $\phi_{\text{end}}$

# Not-thermalized inflaton results

Prefers a larger  $c$

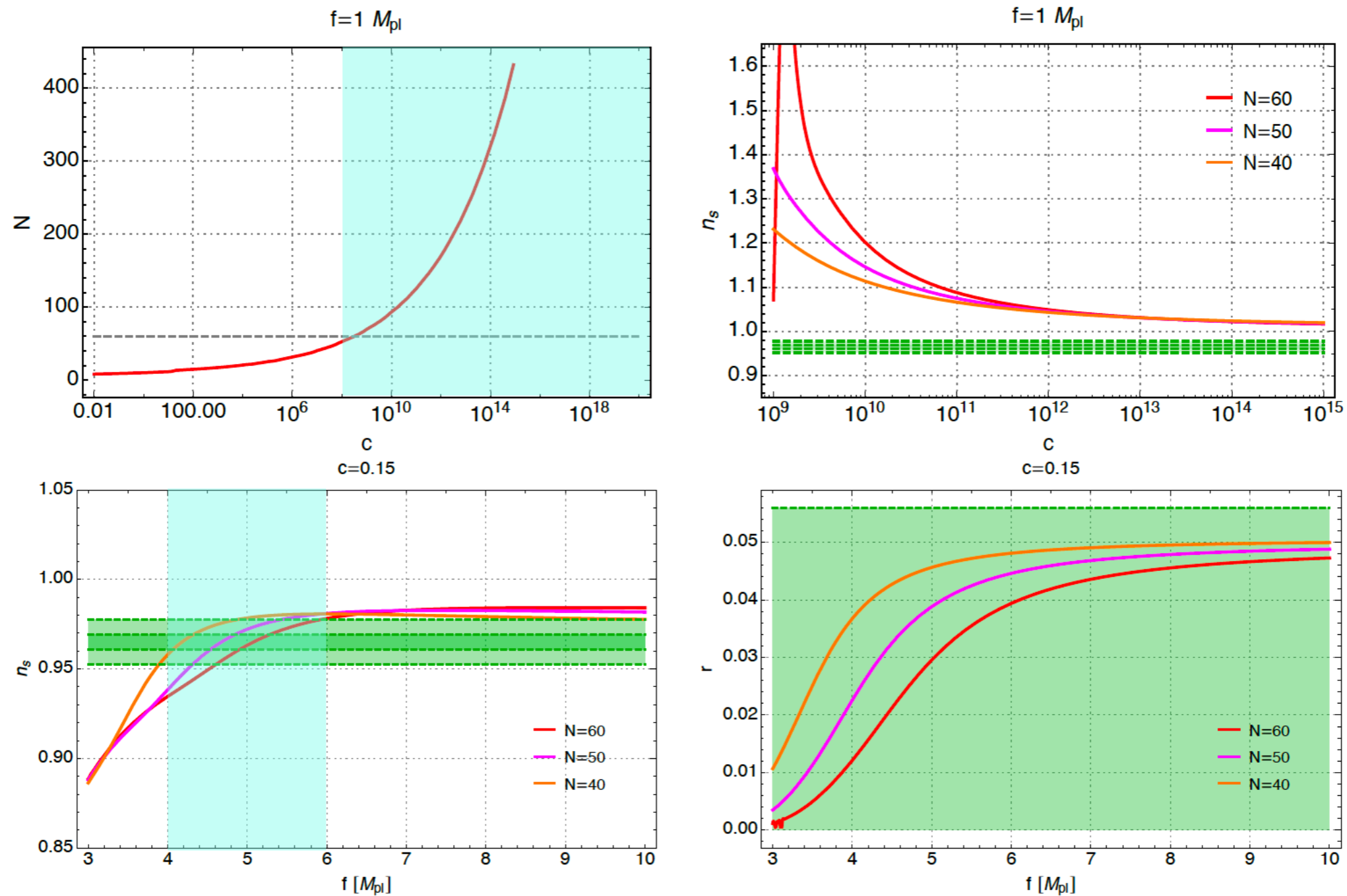


the tension with the thermal field theory requirement is much alleviated

Starts weakly, evolves to intermediate regime

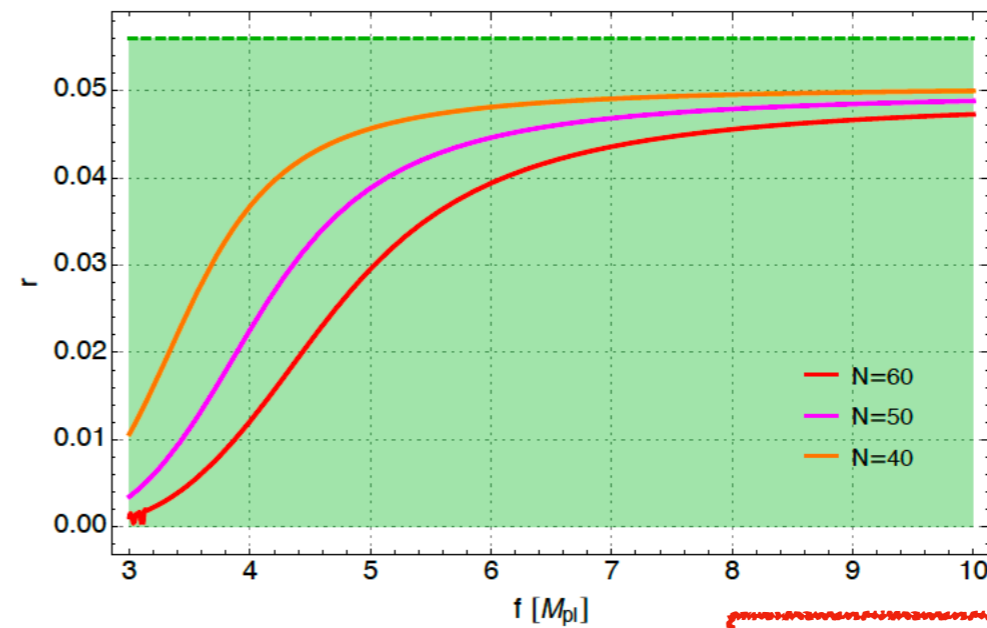
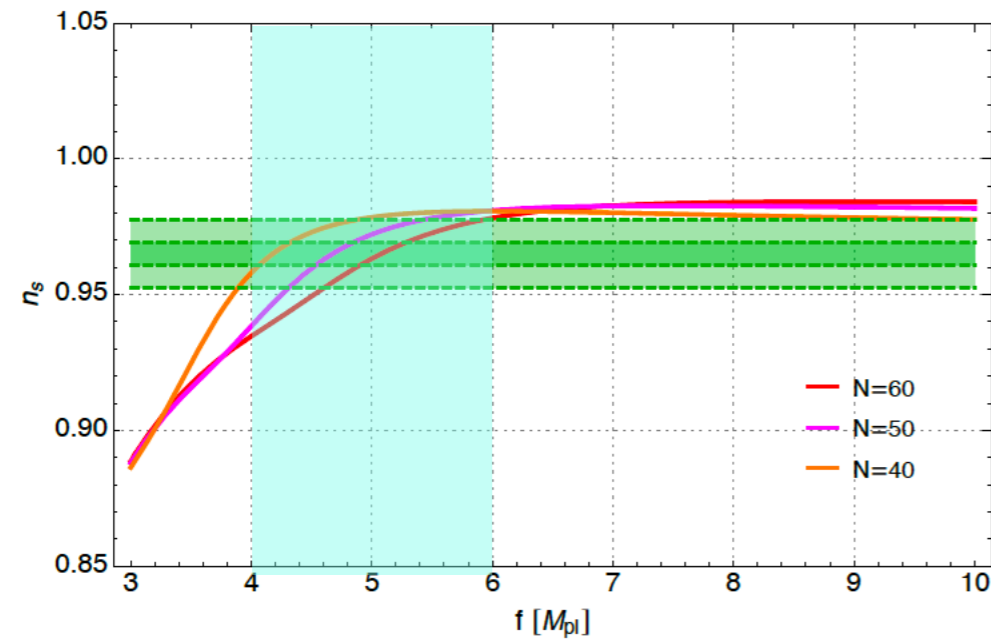
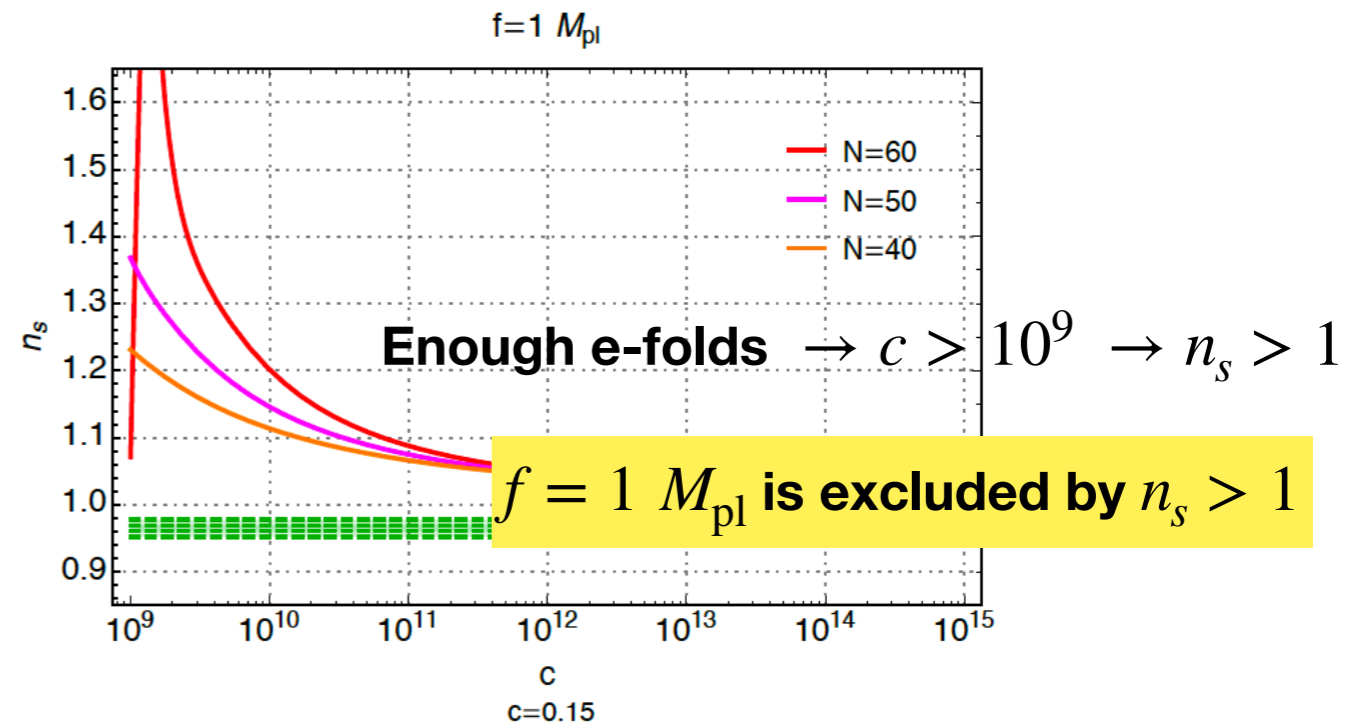
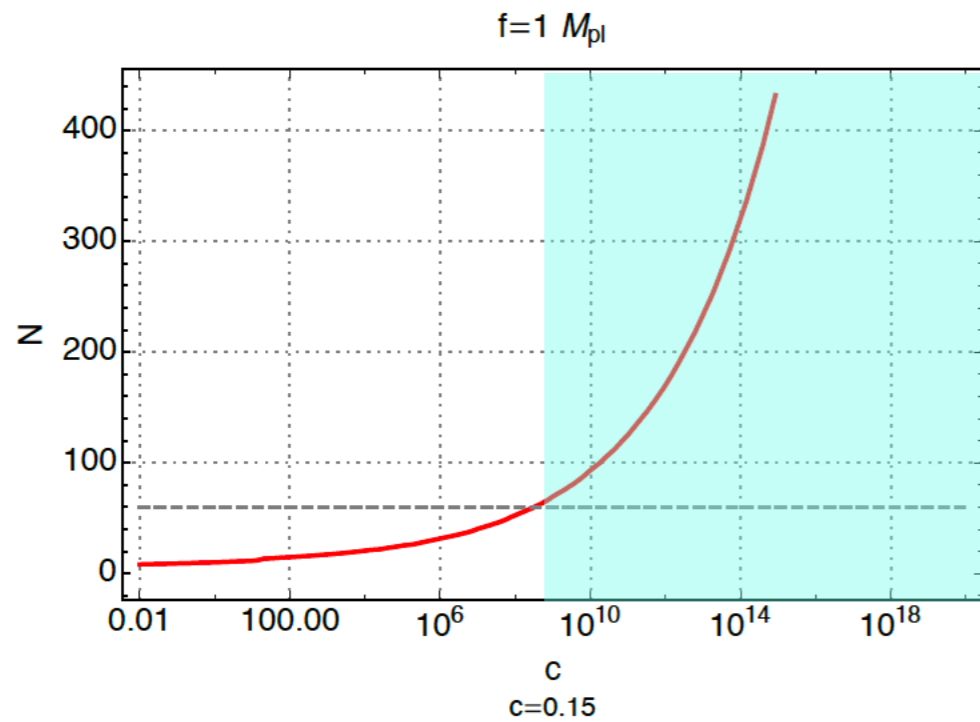
# A smaller decay constant $f$

$f > 1 M_{\text{pl}}$  may have difficulty in embedding into a more fundamental theory



# A smaller decay constant $f$

$f > 1 M_{\text{pl}}$  may have difficulty in embedding into a more fundamental theory



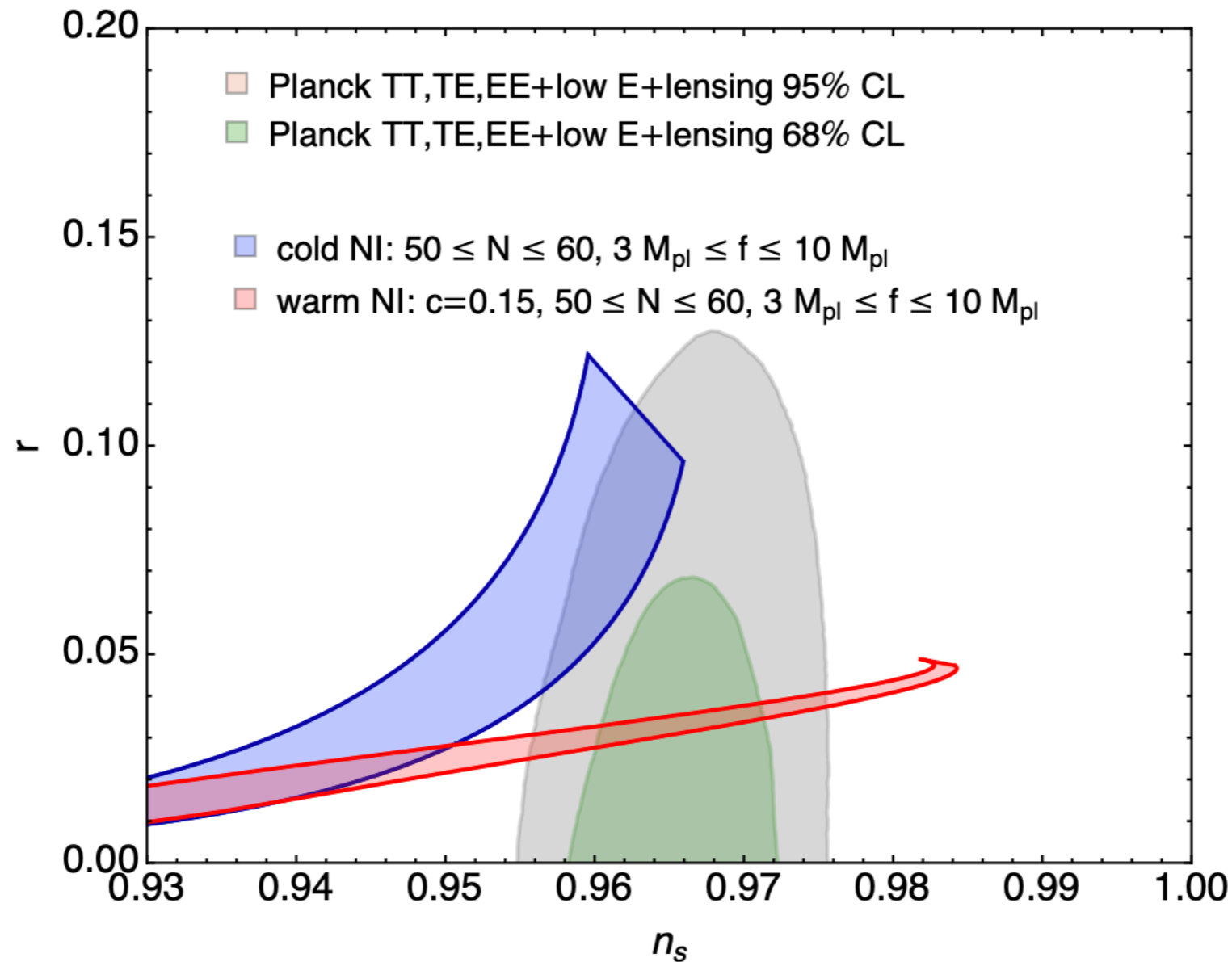
For fixed  $c$ ,  $n_s$  grows with  $f$

Also find large  $c \rightarrow n_s > 1$   
for  $f < 1 M_{\text{pl}}$

$f < 1 M_{\text{pl}}$  is excluded

# Last comparison with cold NI & Planck results

Thermalized inflaton, fixed  $c$



**A slightly blue-tilted  $n_s$**   
**Suppressed  $r$**   
**Shrunked parameter space**

Warm dissipative effects bring NI into better agreement with the Planck 2018 results

# Conclusions

- A **weak** dissipative effect with **cubic T dependence** is enough to rescue natural inflation
- A **sub-Planckian** decay constant is **excluded**
- **Microphysics origin** of the dissipative coefficient
  - Axion-like coupling to gauge fields
    - Weak regime - **tension** with the thermal field theory requirement
    - Not-thermalized inflaton alleviates the tension, but fits worse than thermalized case

*Thank you for your attention.*

$f = 5M_{\text{pl}}$  slow roll parameters,  $\phi_{\text{end}}$ , and  $\phi_*$

