

AXION MODELS WITH FLAVOR-DEPENDENT COUPLINGS

based on

- Quentin Bonnefoy, E.D and Stefan Pokorski JHEP01 (2020), 191 [arXiv:1909.05336 [hep-ph]]
- Quentin Bonnefoy, Peter Cox, E.D., Tony Gherghetta and Minh Nguyen, [arXiv:2012.09728 [hep-ph]], JHEP, to appear.

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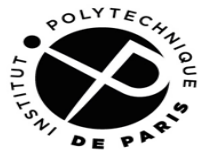


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Outline

- 1) Flavorful axions : phenomenology
- 2) Flavorful axion : models
 - Froggatt-Nielsen models
 - Holographic axion
 - Conclusions



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Even if a total derivative, the famous CP-violating QCD term

$$\frac{\theta_{QCD}}{32\pi^2} \text{tr}(G_{\mu\nu} \tilde{G}^{\mu\nu})$$

has physical effects and **breaks CP** in strong interactions

➔ **The strong CP problem**

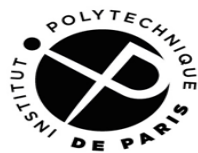
Best solution: **axion a** , a pseudo-goldstone boson of a global **Peccei-Quinn symmetry**, which couples to gluons via anomalies

$$\frac{a}{f_a} \text{tr}(G_{\mu\nu} \tilde{G}^{\mu\nu})$$

such that

$$\theta_{QCD} \rightarrow \theta_{eff} = \theta_{QCD} + \frac{32\pi^2 \langle a \rangle}{f_a} = 0$$

Good **Dark Matter** candidate (talk M. Hertzberg)



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1) Flavorful axions: phenomenology

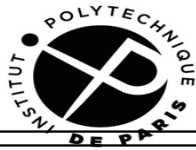
The axion couples to fermions via derivative couplings

$$\mathcal{L}_{aff} = \frac{\partial_\mu a}{2f_a} \bar{f}_i \gamma^\mu (c_{f_i f_j}^V + c_{f_i f_j}^A \gamma_5) f_j$$

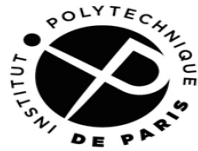
The vector and axial couplings can be non-diagonal in the quarks/leptons flavors. Define

$$F_{f_i f_j}^{V,A} \equiv \frac{2f_a}{c_{f_i f_j}^{V,A}}$$

There are experimental constraints from **flavor changing processes**



Flavors	Process	F_{ij}^V [GeV]	F_{ij}^A [GeV]	Ref.
	$K^+ \rightarrow \pi^+ a$	6.8×10^{11} (2×10^{12})	—	[85]
	$K^+ \rightarrow \pi^+ \pi^0 a$	—	1.7×10^7 (7×10^8)	[104]
	$\Lambda \rightarrow n a$ (decay)	6.9×10^6 (1×10^9)	5.0×10^6 (8×10^8)	[10]
	$\Lambda \rightarrow n a$ (SN)	7.4×10^9 †	5.4×10^9 †	
$s \rightarrow d$	$\Sigma^+ \rightarrow pa$	6.7×10^6 (7×10^8)	2.3×10^6 (3×10^8)	[10]
	$\Xi^- \rightarrow \Sigma^- a$	1.0×10^7	1.3×10^7	[10]
	$\Xi^0 \rightarrow \Sigma^0 a$	1.6×10^7 (2×10^8)	2.0×10^7 (3×10^8)	[10]
	$\Xi^0 \rightarrow \Lambda a$	5.4×10^7 (9×10^8)	1.0×10^7 (2×10^8)	[10]
	$K - \bar{K}$ (Δm_K)	5.1×10^5 †	2.0×10^6	[10]
	(ϵ_K)	0.9×10^6 †	4.4×10^7	[133]



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2) Flavorful axions: models

- Froggatt-Nielsen models

- Standard Model gives **no hint** on the **hierarchies** of fermion masses and mixings (talks J. Ellis, S. King).

An old prolific idea (Froggatt-Nielsen, 79) :

flavor U(1), spontaneously broken **family symmetry**.

Fermions of different generations have different charges

Fields: Q_i , U_i^c , D_i^c
Charges q_i , u_i^c , d_i^c



One needs charged « flavons » Φ breaking the symmetry.



Yukawas (except top/bottom masses) are generated via **non-renormalizable operators**

$$\mathcal{L}_{Yuk} = y_{ij}^u \left(\frac{\Phi}{M}\right)^{-\frac{q_i+u_j+h_2}{X_\Phi}} Q_i U_i^c h_2 + y_{ij}^d \left(\frac{\Phi}{M}\right)^{-\frac{q_i+d_j+h_1}{X_\Phi}} Q_i D_i^c h_1 + \dots$$

after symmetry breaking

$$m_{ij}^u = y_{ij}^u \epsilon^{-\frac{q_i+u_j+h_2}{X_\Phi}} v_2, \quad m_{ij}^d = y_{ij}^d \epsilon^{-\frac{q_i+d_j+h_1}{X_\Phi}} v_1$$

where $\epsilon = \frac{\langle \Phi \rangle}{M} \sim \lambda = 0.22$



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- **Origin** of non-renormalizable operators ?

- String theory/supergravity

In this case $M = M_P$

- **Mixing** of **light fermions** with **heavy fermions** of mass $M \sim \langle \Phi_2 \rangle$



Global versus gauge symmetry :

Often FN models imply $U(1)_X \times G_{SM}^2$ **mixed anomalies**.

OK if $U(1)_X$ is **global**. In this case, the model has an axion with flavor-dependent couplings to fermions : **flavorful axion**

(Wilczek; Calibbi, Goertz, Redigolo, Ziegler, Zupan; Ema, Hamaguchi, Moroi, Nakayama)

Stronger couplings to light quarks: $\frac{q_i}{V} \partial_m a \bar{q}_i \gamma^m q_i$


Couplings to gauge fields similar to DFSZ models $\frac{E}{N} = \frac{A_1 + A_2}{A_3} \sim \frac{8}{3}$



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Quantum Gravity does not like global symmetries: typically **broken by gravity** and nonperturbative effects.

If $U(1)_X$ is gauged, models with one spurion and heavy vector-like fermions generally incompatible with **anomaly cancelation** 

- Stringy origin, **Green-Schwarz mechanism**
- Field theory, but heavy **chiral fermions**

Model with chiral heavy fermions

$$-\mathcal{L}_{\text{mass}} = y_i \Phi_2 \Psi_i \Psi_i^c$$

$X_2 \neq 0$  Heavy fermions vector-like wrt SM gauge group, but **chiral** wrt $U(1)_X$

Anomaly cancels between heavy and light fermions

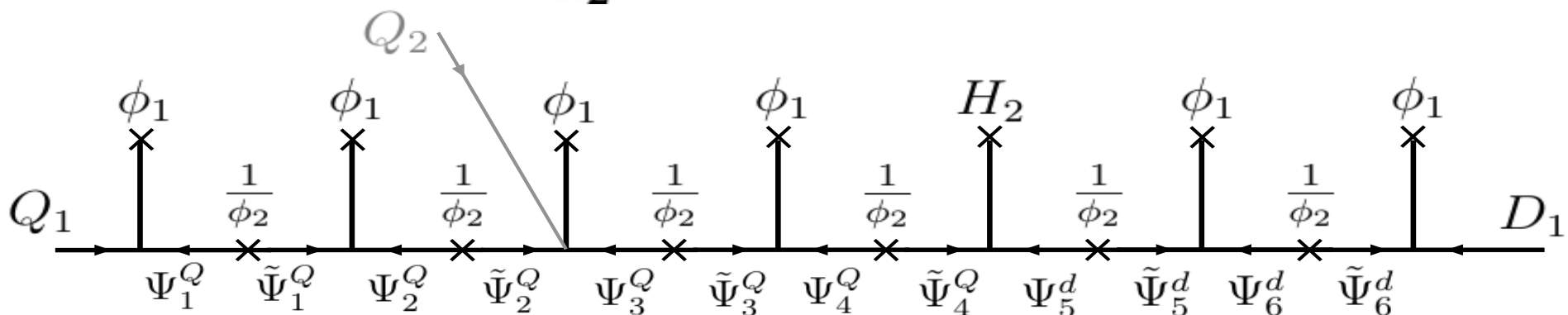
$$A_a^{SM} + A_a^{\text{heavy}} = 0$$

(see also talk S. King)

Use one flavon Φ_1 , second field for fixing heavy fermion masses $M = \langle \Phi_2 \rangle$

$$\mathcal{L}_{Yuk} = y_{ij}^u \left(\frac{\Phi_1}{\Phi_2} \right)^{\frac{q_i + u_j + h_2}{X_2 - X_1}} Q_i U_i^c h_2 + \dots$$

$$\text{with } \frac{V_1}{V_2} \sim \sin \theta_c \sim 0.22$$



In total, one needs n_{11}^d pairs of heavy fields

The first $n_{Q,1}$ of them can be $SU(2)_W$ doublets The rest are singlets



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The model has a **physical axion**

$$\Phi_i = (V_i + r_i) e^{\frac{ia_i}{V_i}} \longrightarrow$$

$$a_{PQ} \sim X_2 V_2 a_1 - X_1 V_1 a_2$$

PQ symmetry is **accidental**, protected by the gauge symmetry

The low-energy couplings to gauge fields are determined **both** by heavy and light fermions :

Couplings to gauge fields

$$\frac{C_a}{C_{q_i}} = \frac{A_a^{SM}}{q_i} \frac{X_1^2 V_1^2 + X_2^2 V_2^2}{X_1 V_1^2 + X_2 V_2^2}$$

Couplings to quarks

contribution heavy fermions

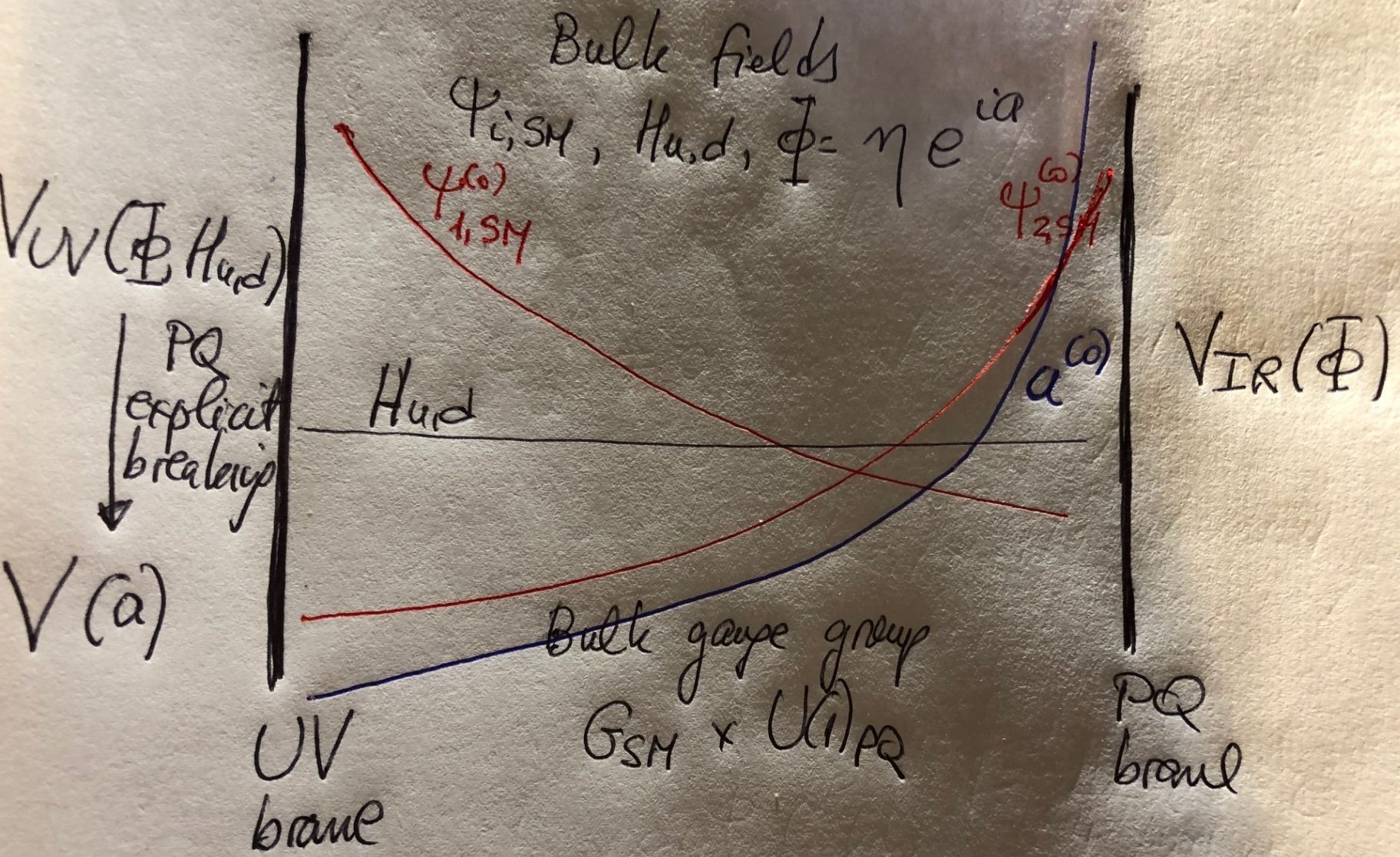
Usual flavorful axion couplings correspond to $X_2 = 0$



- Holographic axion (see also talk E.Kiritsis)

5d setup with AdS_5 geometry,
UV (Planck) and IR (PQ) branes

- Bulk gauge group $G = G_{SM} \times U(1)_{PQ}$
- SM fields, two Higgses $H_{u,d}$, one singlet Φ
- **Gauged PQ symmetry**, broken explicitly UV brane
- Fields localized towards UV : elementary
- Fields localized towards IR: composites
- 4d Yukawas, axion couplings : overlap integrals





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Due to the non-trivial profile of the fermions and the axion, axion-fermion couplings are **flavor non-diagonal**

$$\frac{1}{(F_u^{V,A})_{ij}} \equiv \frac{(c_u^{V,A})_{ij}}{F_a} = X_{H_u} \int_{z_{UV}}^{z_{IR}} \frac{dz}{(kz)^4} \hat{f}_{aX}^0 \left((A_R^u)_{ik} (f_{U_{kR}}^0)^2 (A_R^{u\dagger})_{kj} \mp (A_L^u)_{ik} (f_{Q_{kL}}^0)^2 (A_L^{u\dagger})_{kj} \right)$$

matrices diagonalizing quark masses

axion profile quark profiles

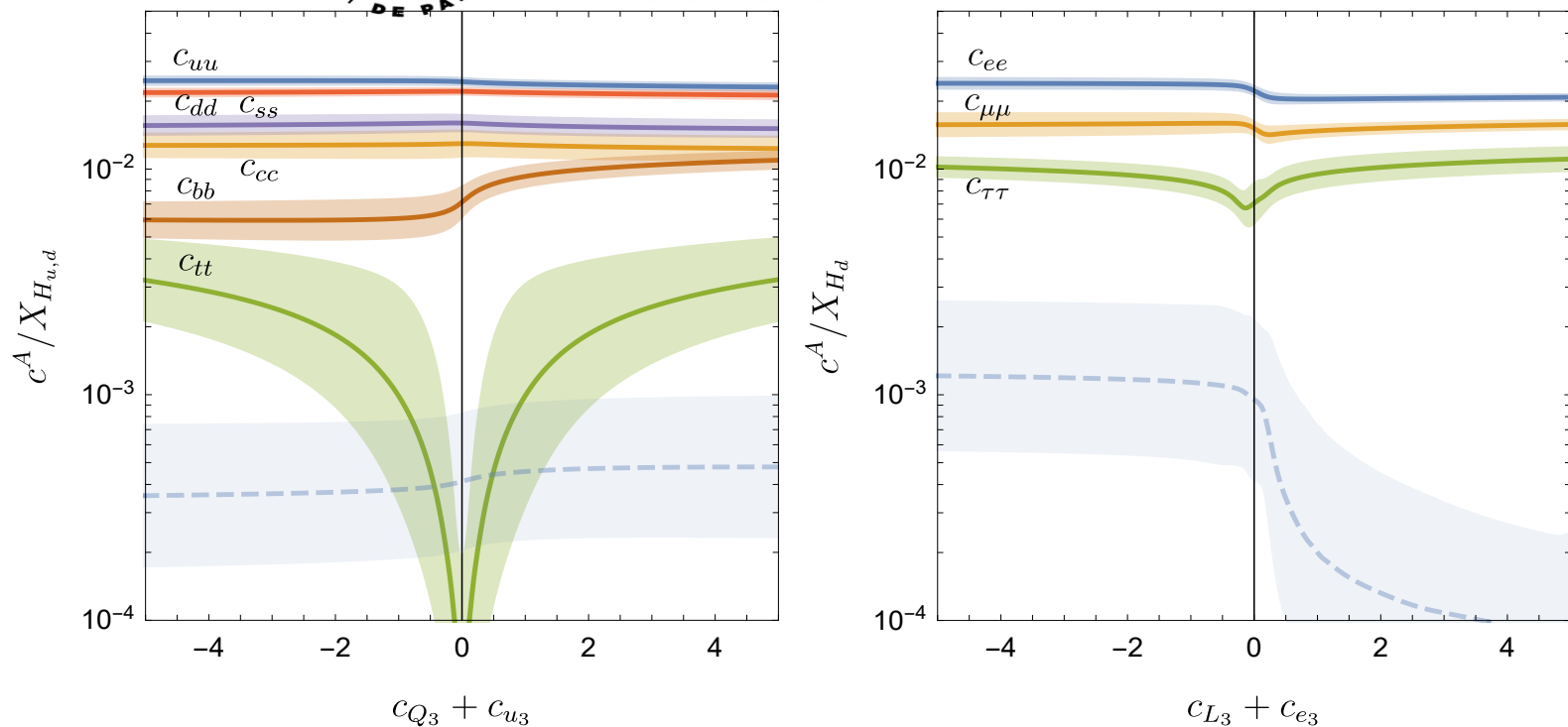


Figure 4: Left: absolute values of the diagonal axion-quark couplings c_{uu}^A (blue), c_{cc}^A (orange) and c_{tt}^A (green) in units of X_{H_u} , and c_{dd}^A (red), c_{ss}^A (purple) and c_{bb}^A (tan) in units of X_{H_d} as functions of $c_{Q_3} + c_{u_3}$. Right: absolute values of the diagonal axion-charged lepton couplings c_{ee}^A (blue), $c_{\mu\mu}^A$ (orange) and $c_{\tau\tau}^A$ (green) in units of X_{H_d} as functions of $c_{L_3} + c_{e_3}$. We fix $kz_{\text{IR}} = 10^{10}$, $g_5^2 k = 1$, $\Delta = 10$ and $\sigma_0 = 3$, corresponding to $F_a \simeq 10^9$ GeV. The curves and bands depict the mean and standard deviation of $\log_{10} F^V$ obtained from a scan over anarchic 5D Yukawa couplings. The dashed line shows (left) c_{uc}^A and (right) $c_{e\mu}^A$ for reference.

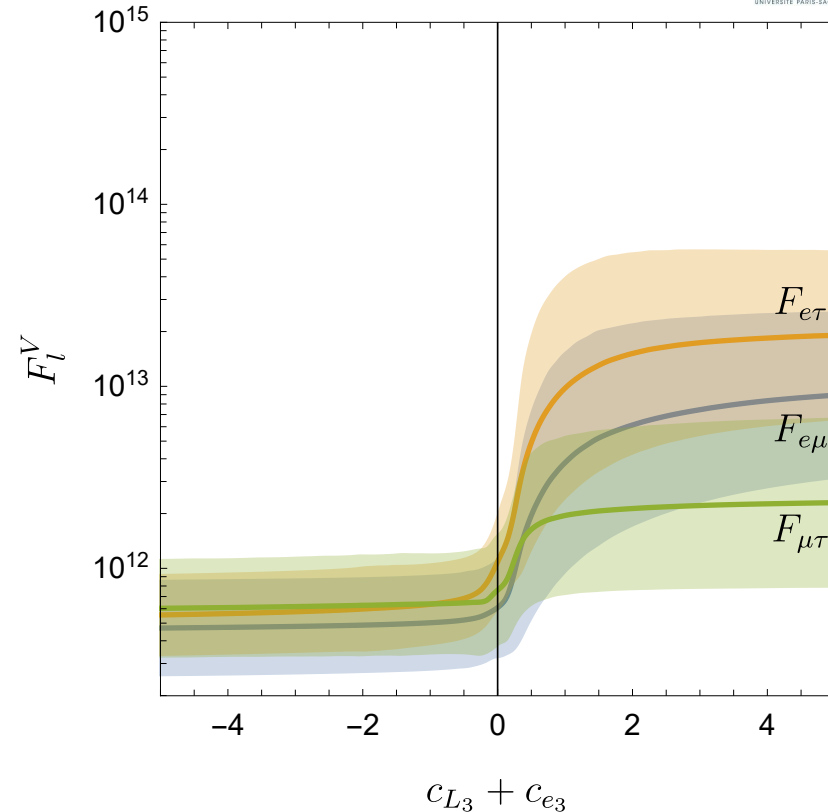
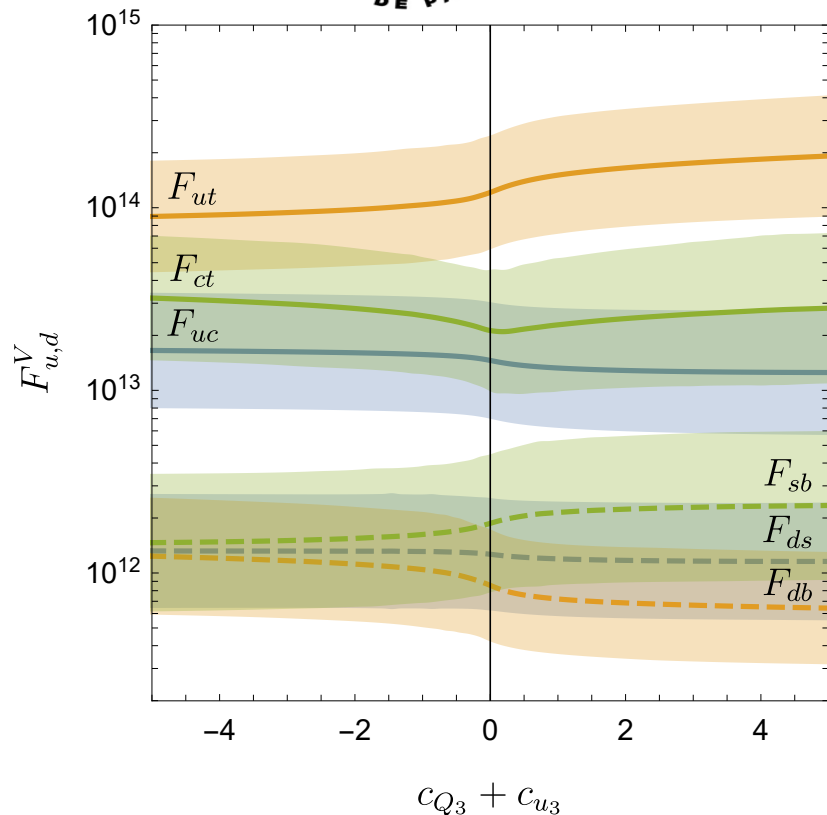


Figure 5: Absolute values of the off-diagonal elements of the axion-quark coupling matrix F_u^V (left, solid) and F_d^V (left, dashed) as functions of $c_{Q_3} + c_{u_3}$, and F_l^V (right) as a function of $c_{L_3} + c_{e_3}$. We fix $kz_{\text{IR}} = 10^{10}$, $g_5^2 k = 1$, $\Delta = 10$ and $\sigma_0 = 3$, corresponding to $F_a \simeq 10^9$ GeV. The curves and bands depict the mean and standard deviation of $\log_{10} F^V$ obtained from a scan over anarchic 5D Yukawa couplings.



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Conclusions

- **Gauged FN models** with chiral heavy fermions more natural: dynamical mass, cancelation anomalies, axion. Low-energy axion couplings **slightly modified** compared to the flavorful axion. **Explicit examples**, perturbativity in minimal setup favors **large** axion decay constants $f \geq 10^{14} \text{ GeV}$
- **Composite axion** arises naturally in **holographic** setups. **Flavor-dependent** axion couplings to bulk fermions, if axion profile is not flat. One needs one-two more orders of magnitude improvement in the data to test such models.

THANK YOU

Backup slides

