Holographic duality for averaged free CFTs

Tom Hartman Cornell University

Island Hopping + CERN + November 17, 2020

Based on

[arXiv 2006.04839] with Nima Afkhami-Jeddi, Henry Cohn, and Amir Tajdini

and coordinated with Alex Maloney's talk, coming up next

[arXiv 2006.04855] by Maloney and Witten

The proposal

[Afkhami-Jeddi, Cohn, TH, Tajdini '20] and [Maloney, Witten '20]

Consider *N* free bosons in two dimensions.

This is a CFT with N^2 moduli.

The proposal

Consider *N* free bosons in two dimensions.

This is a CFT with N^2 moduli.

Proposal: the ensemble average is holographically dual to an exotic theory of 3d gravity,

N free bosons averaged over moduli

 $U(1)^N \times U(1)^N$ 3d Chern-Simons theory

3d Chern-Simons theory summed over topologies

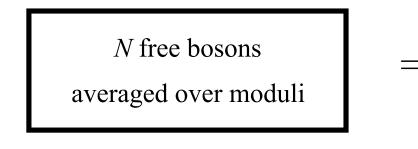
"U(1) gravity"

The proposal

Consider *N* free bosons in two dimensions.

This is a CFT with N^2 moduli.

Proposal: the ensemble average is holographically dual to an exotic theory of 3d gravity,



$$U(1)^N \times U(1)^N$$

3d Chern-Simons theory summed over topologies

"U(1) gravity"

I will discuss the torus partition function

$$\int dM Z_{\rm CFT}(\tau, \bar{\tau}; M) = Z_{\rm bulk}(\tau, \bar{\tau})$$

Background: Narain CFTs

N free bosons

$$S = \int d^2x \left(G_{\mu\nu} \delta^{ab} \partial_a X^{\mu} \partial_b X^{\nu} + i B_{\mu\nu} \epsilon^{ab} \partial_a X^{\mu} \partial_b X^{\nu} \right)$$

The is a CFT with central charge c = N and partition function

$$Z(\tau,\bar{\tau};\Lambda) = \frac{1}{|\eta(\tau)|^{2N}} \sum_{(p,\bar{p})\in\Lambda} q^{p^2/2} \bar{q}^{\bar{p}^2/2}$$

 $\Lambda = \text{Narain lattice in } \mathbb{R}^{N,N}$ (even, self-dual)

example: single compact boson, $\Lambda = (\frac{m}{R} - nR, \frac{m}{R} + nR)$

All Narain CFTs have (at least) a current algebra

 $U(1)_{\text{Left}}^N \times U(1)_{\text{Right}}^N$

The Dedekind eta functions account for descendants under this algebra

$$\frac{1}{|\eta|^{2N}} = \frac{(q\bar{q})^{-N/24}}{|\prod_m (1-q^m)|^{2N}}$$

So points on the lattice Λ correspond to primaries under $U(1)^N imes U(1)^N$

$$(p,\bar{p}) \in \Lambda \quad \Rightarrow \quad \Delta = \frac{1}{2}(p^2 + \bar{p}^2), \quad \ell = \frac{1}{2}(p^2 - \bar{p}^2)$$

Moduli space

All Narain lattices of dimension N are related by O(N,N) rotations

Moduli space of
Narain CFTs
$$\mathcal{M} \cong \frac{O(N, N)}{O(N) \times O(N) \times O(N, N, \mathbb{Z})}$$

example: single compact boson $R \ge 1$

Averaging over lattices

Why average?

In hindsight: because it gives an interesting answer with a holographic interpretation.

Initially: studying modular bootstrap bounds on the spectral gap

max. Δ_1 $U(1)^N \times U(1)^N$

Why average?

In hindsight: because it gives an interesting answer with a holographic interpretation.

Initially: studying modular bootstrap bounds on the spectral gap

max. Δ_1 $U(1)^N \times U(1)^N$

With this chiral algebra, the modular bootstrap gives constraints on sphere packing. [TH, Mazac, Rastelli '19]

Why average?

In hindsight: because it gives an interesting answer with a holographic interpretation.

Initially: studying modular bootstrap bounds on the spectral gap

max. Δ_1 $U(1)^N \times U(1)^N$

With this chiral algebra, the modular bootstrap gives constraints on sphere packing. [TH, Mazac, Rastelli '19]

In the theory of lattices, averaging is a standard trick to derive bounds on the spectrum at large N.

Recall the moduli space of N free bosons is

$$\mathcal{M} \cong \frac{O(N, N)}{O(N) \times O(N) \times O(N, N, \mathbb{Z})}$$

Zamolodchikov metric = Haar measure for O(N, N)

Recall the moduli space of N free bosons is

$$\mathcal{M} \cong \frac{O(N, N)}{O(N) \times O(N) \times O(N, N, \mathbb{Z})}$$

Zamolodchikov metric = Haar measure for O(N, N)

Average partition function:

$$\langle\!\langle Z(\tau,\bar{\tau})\rangle\!\rangle = \frac{1}{\operatorname{vol}(\mathcal{M})} \int dM Z_{\operatorname{Narain}}(\tau,\bar{\tau};M)$$

This converges for $N > 2$

The average was calculated by C. Siegel in 1951!

In CFT language, Siegel's result for the average density of states is

$$\rho_{\ell}(\Delta) = \frac{2\pi^{N} \sigma_{1-N}(\ell)}{\Gamma(N/2)^{2} \zeta(N)} (\Delta^{2} - \ell^{2})^{N/2-1} + \delta_{\ell 0} \delta(\Delta)$$
$$\ell = \text{spin}$$
$$\Delta = \text{dimension}$$

Comments

- Continuous
- Extends down to the unitarity bound $\Delta \geq |\ell|$

• Vacuum state

moduli space = $SL(N, \mathbb{R})/SL(N, \mathbb{Z})$

Claim: The average density of lattice vectors is

$$\rho(\vec{x}) = \delta(\vec{x}) + 1$$

moduli space = $SL(N, \mathbb{R})/SL(N, \mathbb{Z})$

Claim: The average density of lattice vectors is

$$\rho(\vec{x}) = \delta(\vec{x}) + 1$$

Proof [Siegel]:

 \mathbb{R}^N has two orbits under *SL(N,R)* 1. the origin $\{0\}$ 2. everything else

moduli space = $SL(N, \mathbb{R})/SL(N, \mathbb{Z})$

Claim: The average density of lattice vectors is

$$\rho(\vec{x}) = \delta(\vec{x}) + 1$$

Proof [Siegel]:

```
\mathbb{R}^N has two orbits under SL(N,R)
1. the origin \{0\}
2. everything else
```

Therefore an SL(N,R)-invariant measure must take the form

$$\rho(\vec{x}) = a\delta(\vec{x}) + b$$

moduli space = $SL(N, \mathbb{R})/SL(N, \mathbb{Z})$

Claim: The average density of lattice vectors is

$$\rho(\vec{x}) = \delta(\vec{x}) + 1$$

Proof [Siegel]:

```
\mathbb{R}^N has two orbits under SL(N,R)
1. the origin \{0\}
2. everything else
```

Therefore an SL(N,R)-invariant measure must take the form

$$\rho(\vec{x}) = a\delta(\vec{x}) + b$$
1 (obviously)

moduli space = $SL(N, \mathbb{R})/SL(N, \mathbb{Z})$

Claim: The average density of lattice vectors is

$$\rho(\vec{x}) = \delta(\vec{x}) + 1$$

Proof [Siegel]:

```
\mathbb{R}^N has two orbits under SL(N,R)
1. the origin \{0\}
2. everything else
```

Therefore an SL(N,R)-invariant measure must take the form

$$\rho(\vec{x}) = a\delta(\vec{x}) + b$$
1 (obviously)
1 (by asymptotics)

Averaging over Narain lattices

$$(p,\bar{p}) \in \Lambda \quad \Rightarrow \quad \Delta = \frac{1}{2}(p^2 + \bar{p}^2), \quad \ell = \frac{1}{2}(p^2 - \bar{p}^2)$$

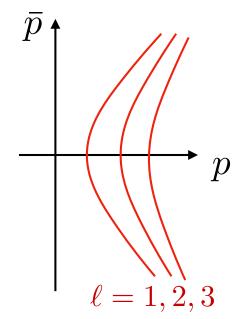
The action of O(N,N) preserves ℓ

So now we have an infinite set of orbits labeled by spin.

The orbits are the hyperboloids

$$p^2 - \bar{p}^2 = 2\ell$$

with "radial coordinate" Δ



Averaging over Narain lattices

$$(p,\bar{p}) \in \Lambda \quad \Rightarrow \quad \Delta = \frac{1}{2}(p^2 + \bar{p}^2), \quad \ell = \frac{1}{2}(p^2 - \bar{p}^2)$$

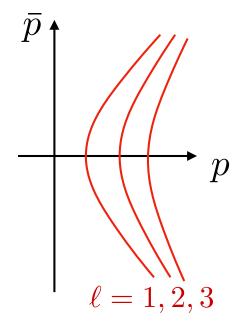
The action of O(N,N) preserves ℓ

So now we have an infinite set of orbits labeled by spin.

The orbits are the hyperboloids

$$p^2 - \bar{p}^2 = 2\ell$$

with "radial coordinate" Δ



On each orbit, symmetry fixes

$$\rho_{\ell}(\Delta) \propto \sqrt{|g|} \propto (\Delta^2 - \ell^2)^{N/2 - 1}$$

metric on the
hyperboloid

All that's left is to fix the coefficients; use the asymptotics. This can be done by an explicit counting [Siegel] or by modular invariance.

Hardy-Littlewood circle method

$$Z \sim \sum_{\ell=-\infty}^{\infty} \int_{|\ell|}^{\infty} d\Delta \rho_{\ell}(\Delta) e^{-\beta \Delta + 2\pi i x \ell} \qquad \tau = x + \frac{i\beta}{2\pi}$$

$$\rho_{\ell}(\Delta) \sim \mathcal{L}^{-1} \left[\int_{0}^{1} dx e^{-2\pi i \ell x} Z \right]$$

For $\beta \to 0$ this integral is dominated near the cusps of SL(2,Z)

$$\tau \sim \frac{a}{b} + i0^+$$

Use modular invariance to evaluate Z near cusps and sum over coprime (a,b)

(cf. the usual Cardy formula comes from a single cusp.)

$$\rho_{\ell}(\Delta) = \frac{2\pi^N \sigma_{1-N}(\ell)}{\Gamma(N/2)^2 \zeta(N)} (\Delta^2 - \ell^2)^{N/2 - 1} + \delta_{\ell 0} \delta(\Delta)$$

$$\rho_{\ell}(\Delta) = \frac{2\pi^N \sigma_{1-N}(\ell)}{\Gamma(N/2)^2 \zeta(N)} (\Delta^2 - \ell^2)^{N/2 - 1} + \delta_{\ell 0} \delta(\Delta)$$

Spectral gap

 $\Delta_1 =$ scaling dimension of the lightest nontrivial primary ("spectral gap")

Strictly speaking the gap of the averaged theory is zero. But for large N, the low-lying density of states is $\ll 1$ so most Narain CFTs have no light states!

$$\rho_{\ell}(\Delta) = \frac{2\pi^N \sigma_{1-N}(\ell)}{\Gamma(N/2)^2 \zeta(N)} (\Delta^2 - \ell^2)^{N/2 - 1} + \delta_{\ell 0} \delta(\Delta)$$

Spectral gap

 $\Delta_1 =$ scaling dimension of the lightest nontrivial primary ("spectral gap")

Strictly speaking the gap of the averaged theory is zero. But for large N, the low-lying density of states is $\ll 1$ so most Narain CFTs have no light states!

Define the gap by

$$\rho(\Delta_1) = 1$$

Then

$$\Delta_1 \approx \frac{N}{2\pi e}$$

$$\rho_{\ell}(\Delta) = \frac{2\pi^N \sigma_{1-N}(\ell)}{\Gamma(N/2)^2 \zeta(N)} (\Delta^2 - \ell^2)^{N/2 - 1} + \delta_{\ell 0} \delta(\Delta)$$

Spectral gap

 $\Delta_1 =$ scaling dimension of the lightest nontrivial primary ("spectral gap")

Strictly speaking the gap of the averaged theory is zero. But for large N, the low-lying density of states is $\ll 1$ so most Narain CFTs have no light states!

Define the gap by

$$\rho(\Delta_1) = 1$$

Then

$$\Delta_1 \approx \frac{N}{2\pi e}$$

This is surprisingly large, and suggests looking for a holographic dual.

In fact this is the Cardy threshold for a theory with $U(1)^N$ symmetry

$$S_{\text{Cardy}} = N \log \left(\frac{\Delta}{\Delta_1}\right)$$
$$\Delta_1 = \frac{N}{2\pi e}$$

This is analogous to the BTZ threshold in a theory with only Virasoro

$$S_{\text{Cardy}} = 2\pi \sqrt{\frac{c}{3}} (\Delta - \Delta_1)$$
$$\Delta_1 \approx \frac{c}{12}$$

Status report: 3d Pure Gravity

3d gravity is dual to a CFT with

$$c = \frac{3\ell}{2G_N} \gg 1$$

Assuming the graviton is the only massless field with spin, the chiral algebra in the CFT is Virasoro (nothing more).

A tentative definition of "pure" gravity is a theory with gap

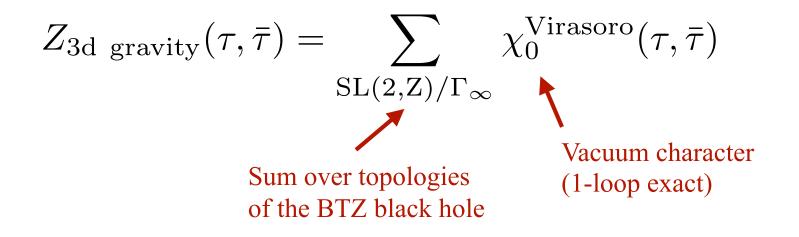
$$\Delta_1 = O(c)$$
 or $\Delta_1 \approx \frac{c}{12}$

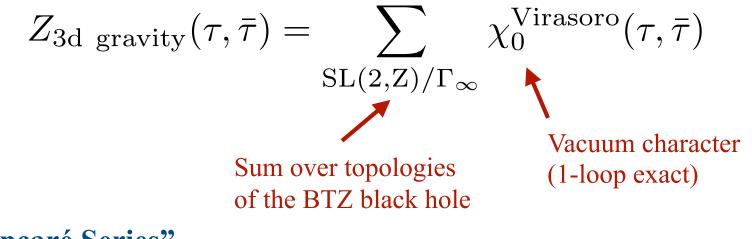
i.e., the only perturbative excitations are gravitons.

No such theory is known.

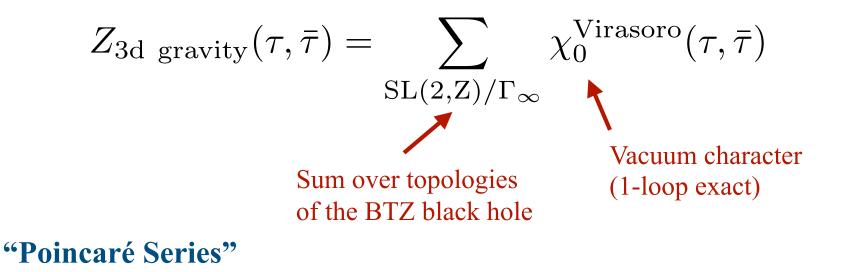
$$Z_{3d \text{ gravity}}(\tau, \bar{\tau}) = \sum_{\text{SL}(2, \mathbb{Z})/\Gamma_{\infty}} \chi_0^{\text{Virasoro}}(\tau, \bar{\tau})$$

$$Z_{3d \text{ gravity}}(\tau, \bar{\tau}) = \sum_{SL(2,Z)/\Gamma_{\infty}} \chi_0^{\text{Virasoro}}(\tau, \bar{\tau})$$
Vacuum character (1-loop exact)



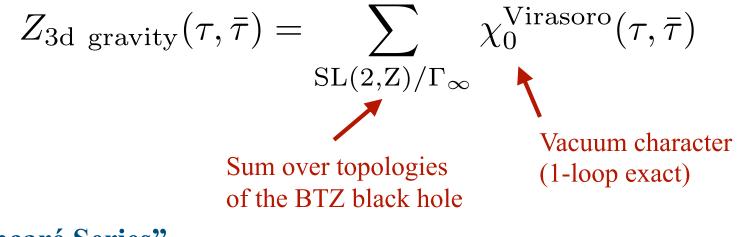


"Poincaré Series"



• Spectrum is continuous and non-unitary

[Maloney, Witten '07] [Benjamin, Ooguri, Shao, Wang '19] In 2007 Maloney and Witten studied the sum over saddles



"Poincaré Series"

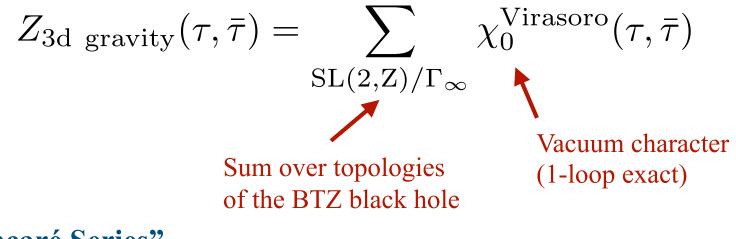
• Spectrum is continuous and non-unitary

[Maloney, Witten '07] [Benjamin, Ooguri, Shao, Wang '19]

• Non-unitarity can apparently be fixed by extra contributions.

[Keller, Maloney '14], [Benjamin, Collier, Maloney '20], [Maxfield, Turiaci '20]

In 2007 Maloney and Witten studied the sum over saddles



"Poincaré Series"

• Spectrum is continuous and non-unitary

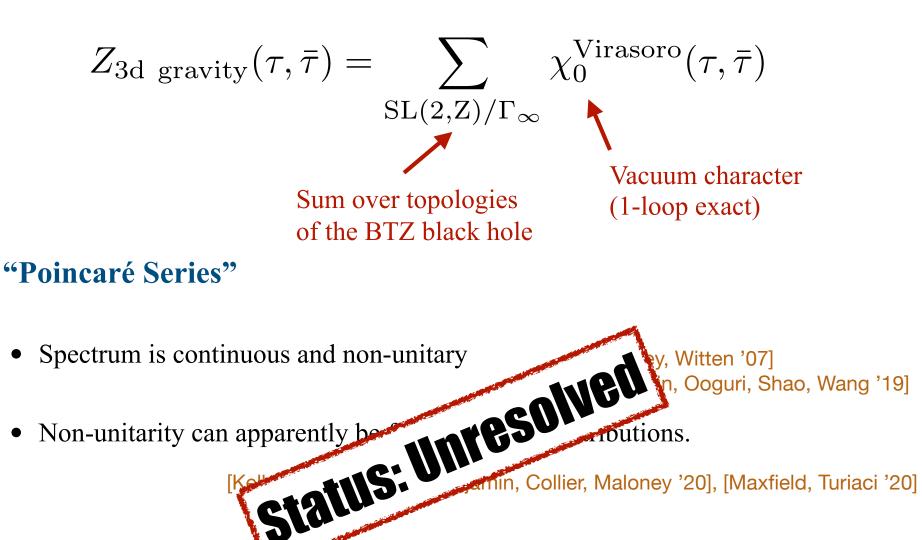
[Maloney, Witten '07] [Benjamin, Ooguri, Shao, Wang '19]

• Non-unitarity can apparently be fixed by extra contributions.

[Keller, Maloney '14], [Benjamin, Collier, Maloney '20], [Maxfield, Turiaci '20]

The JT/Random matrix duality suggests an ensemble interpretation. There is growing evidence for this, but so far, we do not know how to define an "average CFT".
 [Saad, Shenker, Stanford '19], [Maxfield, Turiaci '20], [Cotler, Jensen '20]

In 2007 Maloney and Witten studied the sum over saddles



• The JT/Random mathematic adality suggests an ensemble interpretation. There is growing evidence for this, but so far, we do not know how to define an "average CFT". [Saad, Shenker, Stanford '19], [Maxfield, Turiaci '20], [Cotler, Jensen '20]

Let's replace $Virasoro \rightarrow U(1)^N$

and try again.

First, we need a 3d theory for which the 1-loop partition function is the correct vacuum character.

First, we need a 3d theory for which the 1-loop partition function is the correct vacuum character.

 $U(1)^N \times U(1)^N$ Chern-Simons

$$S_{\rm CS} = \sum_{i=1}^{N} \int_{M_3} \left(A_i dA_i - \tilde{A}_i d\tilde{A}_i \right)$$

First, we need a 3d theory for which the 1-loop partition function is the correct vacuum character.

 $U(1)^N \times U(1)^N$ Chern-Simons

$$S_{\rm CS} = \sum_{i=1}^{N} \int_{M_3} \left(A_i dA_i - \tilde{A}_i d\tilde{A}_i \right)$$

Each U(1) gauge field gives a U(1) current algebra at the boundary. Therefore perturbatively,

$$Z_{
m pert}(au,ar{ au}) = \chi_0^{U(1)^N} = rac{1}{|\eta(au)|^{2N}}$$
 e.g. [Porrati, Yu '19]

The tentative definition of bulk theory is this theory summed over topologies.

Poincare series:

 $Z_{\text{bulk}}(\tau, \bar{\tau}) = \sum Z_{\text{pert}}(\tau, \bar{\tau})$ $SL(2,Z)/\Gamma_{\infty}$

Poincare series:

$$Z_{\text{bulk}}(\tau, \bar{\tau}) = \sum_{SL(2,Z)/\Gamma_{\infty}} Z_{\text{pert}}(\tau, \bar{\tau})$$

This Poincaré series is proportional to a non-holomorphic Eisenstein series:

$$Z_{\text{bulk}}(\tau,\bar{\tau}) = (\text{Im }\tau)^{-N/2} |\eta|^{-2N} E(\tau,\frac{N}{2})$$
$$E(\tau,s) = \sum_{\gamma \in SL(2,Z)/\Gamma_{\infty}} (\text{Im }\gamma\tau)^{s}$$

The sum can be done explicitly to read off the density of states:

$$Z_{\text{bulk}}(\tau,\bar{\tau}) = \sum_{SL(2,Z)/\Gamma_{\infty}} Z_{\text{pert}}(\tau,\bar{\tau})$$
$$= \frac{1}{|\eta|^2} \sum_{\ell} \int_{|\ell|}^{\infty} d\Delta \,\rho_{\ell}(\Delta) q^{(\Delta-\ell)/2} \bar{q}^{(\Delta+\ell)/2}$$

The sum can be done explicitly to read off the density of states:

$$\begin{split} Z_{\text{bulk}}(\tau,\bar{\tau}) &= \sum_{SL(2,Z)/\Gamma_{\infty}} Z_{\text{pert}}(\tau,\bar{\tau}) \\ &= \frac{1}{|\eta|^2} \sum_{\ell} \int_{|\ell|}^{\infty} d\Delta \rho_{\ell}(\Delta) q^{(\Delta-\ell)/2} \bar{q}^{(\Delta+\ell)/2} \\ & \text{Siegel's measure on random} \\ & \text{Narain lattices!} \end{split}$$

The sum can be done explicitly to read off the density of states:

$$\begin{split} Z_{\text{bulk}}(\tau,\bar{\tau}) &= \sum_{SL(2,Z)/\Gamma_{\infty}} Z_{\text{pert}}(\tau,\bar{\tau}) \\ &= \frac{1}{|\eta|^2} \sum_{\ell} \int_{|\ell|}^{\infty} d\Delta \rho_{\ell}(\Delta) q^{(\Delta-\ell)/2} \bar{q}^{(\Delta+\ell)/2} \\ & \text{Siegel's measure on random} \\ & \text{Narain lattices!} \end{split}$$

"Siegel-Weil formula"

$$(\text{Eisenstein}) = \int \Theta$$

Recap

We have shown that on the torus,

N free bosons

=

averaged over moduli

 $U(1)^N \times U(1)^N$ 3d Chern-Simons theory

3d Chern-Simons theory summed over topologies

"U(1) gravity"

for N>2

Remarks

Obviously.

Obviously.

But is it really so different at large N?

Obviously.

But is it really so different at large N?

It has a composite boundary gravity,

$$T(z) \sim \sum_{i=1}^{N} J^{(i)}(z)^2$$

Obviously.

But is it really so different at large N?

It has a composite boundary gravity,

$$T(z) \sim \sum_{i=1}^{N} J^{(i)}(z)^2$$

It has a large spectral gap,

$$\Delta_1 \approx \frac{N}{2\pi e}$$

compare:
$$\Delta_1^{\rm BTZ} \approx \frac{c}{12}$$

The proposal is that there exists a theory "U(1) gravity" which

- agrees perturbatively with Chern-Simons.
- involves a sum over topologies

The proposal is that there exists a theory "U(1) gravity" which

- agrees perturbatively with Chern-Simons.
- involves a sum over topologies

Compare: ordinary 3d gravity agrees perturbatively with SL(2,C) Chern-Simons but is not equivalent.

The proposal is that there exists a theory "U(1) gravity" which

- agrees perturbatively with Chern-Simons.
- involves a sum over topologies

Compare: ordinary 3d gravity agrees perturbatively with SL(2,C) Chern-Simons but is not equivalent.

Note that perturbatively, compact U(1) = non-compact U(1).

3. Alpha states, etc.

I hope we can use this toy model as a testing ground for averaged holography, alpha states, baby universes, ...

3. Alpha states, etc.

I hope we can use this toy model as a testing ground for averaged holography, alpha states, baby universes, ...

Thank you