

Hadron production Measurements for Long-Baseline Neutrino Beams



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Outline

- ♦ Neutrino oscillations
- ♦ Accelerator-generated neutrino beams
- ♦ Why hadron production measurements?
 - Measurement Strategies
 - Brief overview of external data

Neutrino Oscillations

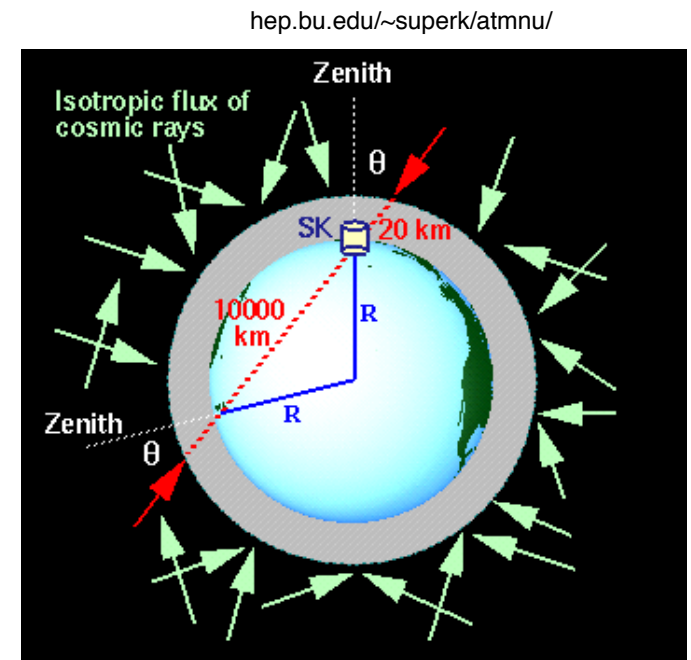
Standard Model of Particles

	Quarks		Leptons		Force carriers not included
charge →	$+2/3$	$-1/3$	-1	0	
mass →	u 3 MeV	d 7 MeV	e 0.5 MeV	ν_e ~0 eV	
	$+2/3$	$-1/3$	-1	0	Heavier Masses (?) ↓
	c 1.2 GeV	s 120 MeV	μ 105 MeV	ν_μ ~0 eV	
	$+2/3$	$-1/3$	-1	0	
	t 174 GeV	b 4.3 GeV	τ 1.8 GeV	ν_τ ~0 eV	
	Strong, EM, Weak forces		EM, Weak force Weak forces		

- ♦ Each particle also has a corresponding anti-particle, eg e^+ and $\bar{\nu}$

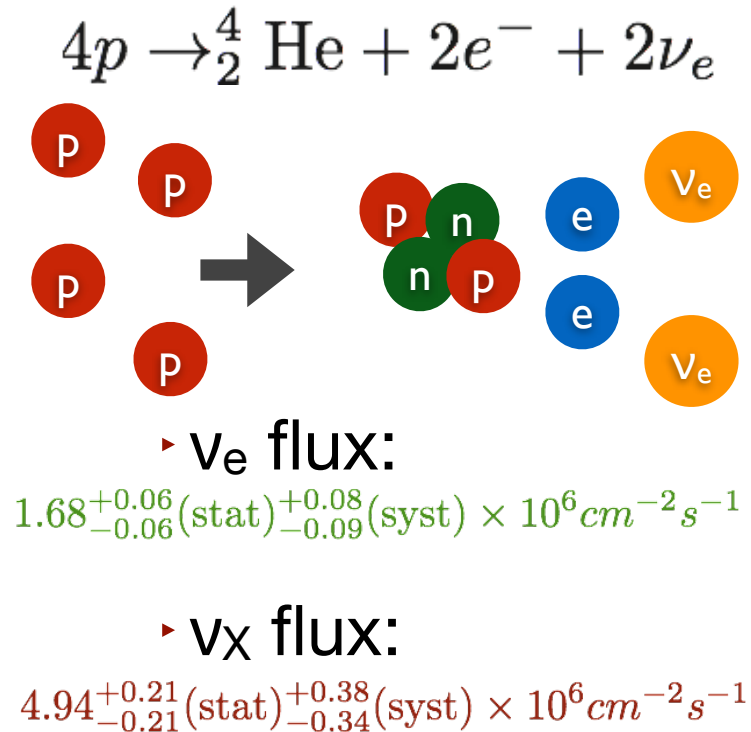
Evidence for Oscillations

- ♦ **Atmospheric neutrinos** should be symmetric about the horizon
- ♦ **Super-Kamiokande** reported same number of electron neutrinos, but **fewer muon neutrinos** from below than above



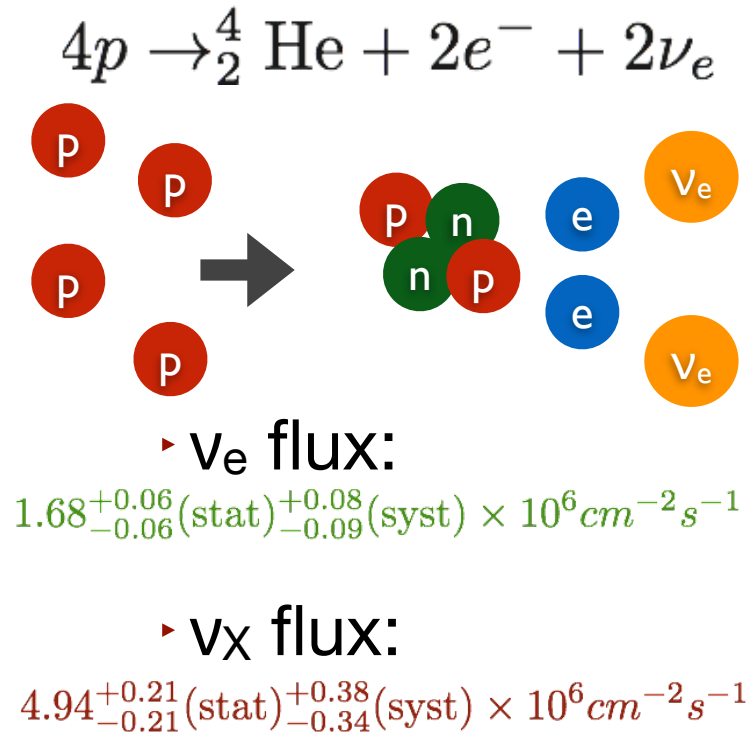
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- ♦ **Sudbury Neutrino Observatory** showed that solar ν_e turn into **other flavors**.



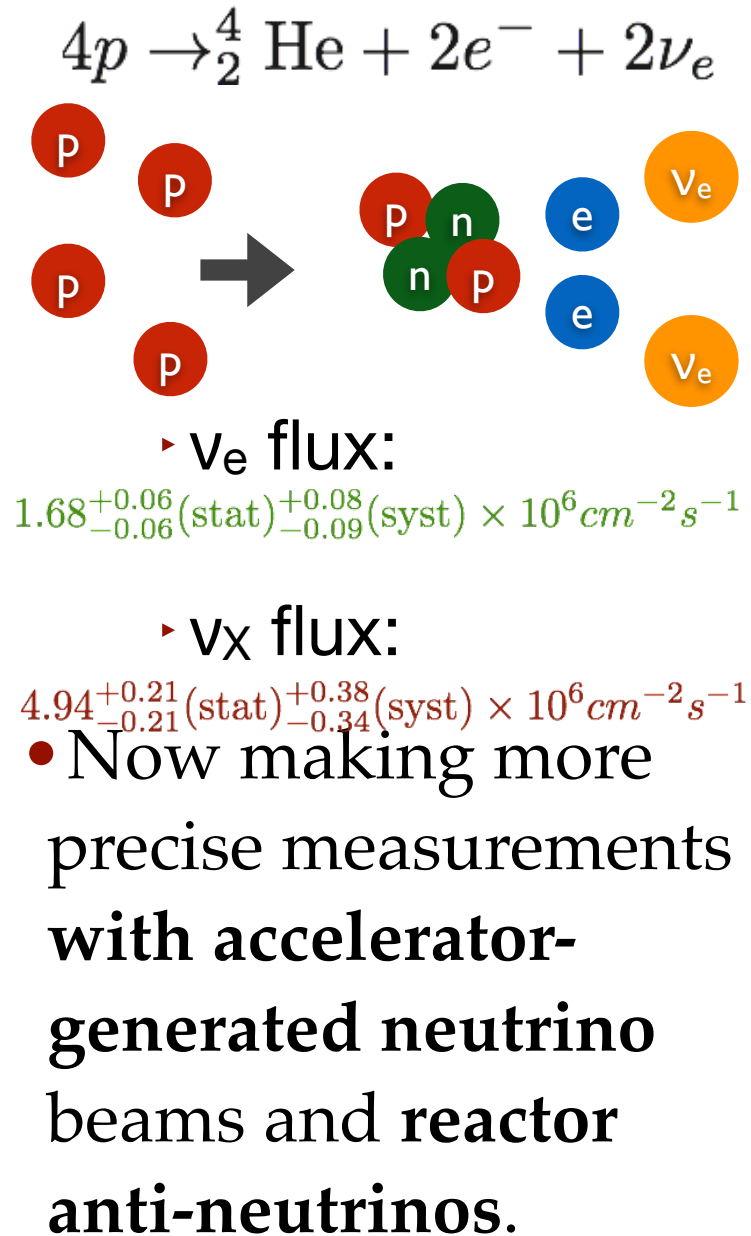
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Neutrino Mixing

- ♦ Neutrino flavor states are a mixture of neutrino mass states.

$$\begin{array}{c} \text{3 flavors} \\ \left(\begin{array}{c} \nu_e \\ \nu_\mu \\ \nu_\tau \end{array} \right) \end{array} = \begin{array}{c} \text{3x3 unitary} \\ \text{mixing matrix} \\ \left(U \right) \end{array} \times \begin{array}{c} \text{3 masses} \\ \left(\begin{array}{c} \nu_1 \\ \nu_2 \\ \nu_3 \end{array} \right) \end{array}$$

- ♦ Neutrinos are produced in pure flavor states.
- ♦ Interference between the **flavor eigenstates** and the **mass eigenstates** causes the observed flavor to oscillate over time.

Two-Flavor Mixing

- ♦ For 2 neutrino mixing this mixing matrix can be expressed as a rotation matrix, with a single **mixing angle** θ_{12}

Flavor States	Mixing Matrix	Mass States
$\begin{bmatrix} \nu_\alpha \\ \nu_\beta \end{bmatrix}$	$\begin{bmatrix} \cos\theta_{12} & \sin\theta_{12} \\ -\sin\theta_{12} & \cos\theta_{12} \end{bmatrix}$	$\begin{bmatrix} \nu_1 \\ \nu_2 \end{bmatrix}$

$\begin{bmatrix} \nu_\alpha \\ \nu_\beta \end{bmatrix} = \begin{bmatrix} \cos\theta_{12} & \sin\theta_{12} \\ -\sin\theta_{12} & \cos\theta_{12} \end{bmatrix} \times \begin{bmatrix} \nu_1 \\ \nu_2 \end{bmatrix}$

Example: Two-flavor Oscillations

- ♦ A neutrino produced with a given **flavor** α is a mixture of neutrino mass eigenstates (1 and 2)

$$|\psi(t=0)\rangle = |\nu_\alpha\rangle = U_{\alpha 1}^* |\nu_1\rangle + U_{\alpha 2}^* |\nu_2\rangle$$

- ♦ These evolve over time with slightly different frequencies

$$|\nu_\alpha(t)\rangle = U_{\alpha 1}^* |\nu_1\rangle e^{-i(E_1 t - \vec{p} \cdot \vec{x})} + U_{\alpha 2}^* |\nu_2\rangle e^{-i(E_2 t - \vec{p} \cdot \vec{x})}$$

- ♦ Since $L \sim t$ for neutrinos, probability of starting with **flavor** α and later **observing flavor** β is

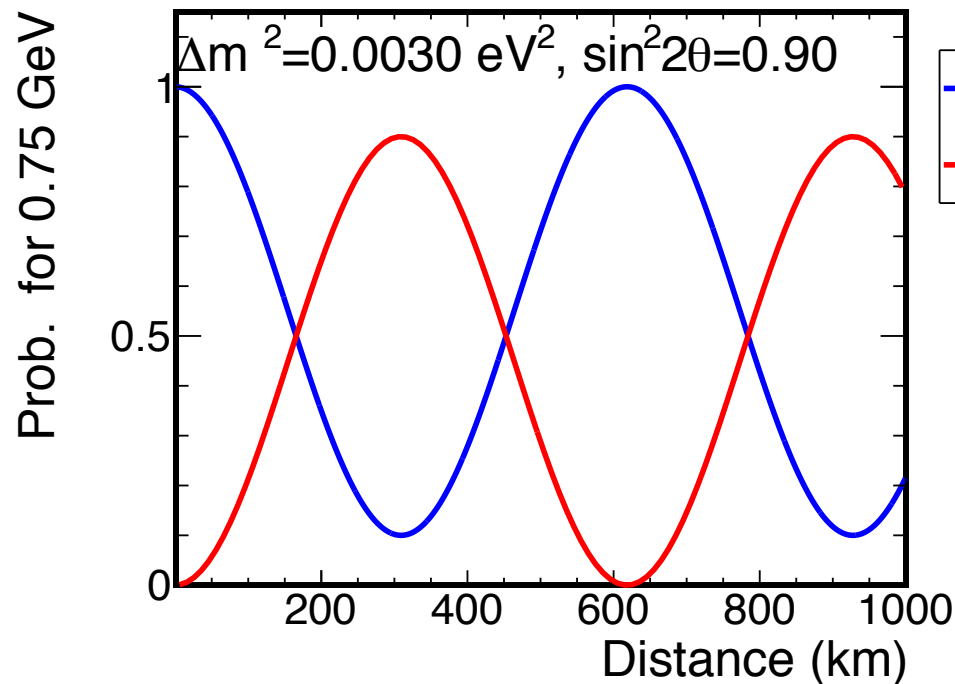
$$P(\nu_\alpha \rightarrow \nu_\beta) = |\langle \nu_\beta(L) | \nu_\alpha \rangle|^2$$

Two-Flavor Oscillations

- ♦ For 2 flavors, the survival probability simplifies to:

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta_{12} \sin^2 \left(\Delta m_{12}^2 \frac{L}{4E} \right)$$

Matrix Elements



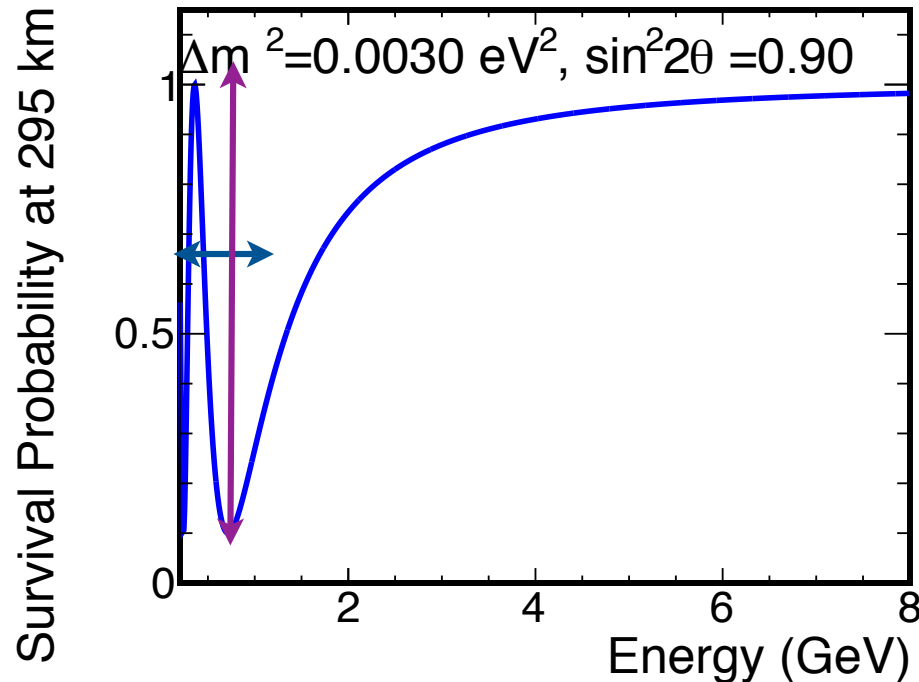
$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

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Matrix Elements



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3 Flavor Neutrino Mixing

- For 3 flavors, can be described by 3 angles and a phase in the PMNS matrix

θ_{23} and Δm^2_{32}

Atmospheric/
Accelerator
neutrinos

$\theta_{23} \sim 45^\circ$

δ , θ_{13} and Δm^2_{31}

reactor anti-
neutrinos and
accelerator neutrinos

$\theta_{13} \sim 9^\circ$

θ_{12} and Δm^2_{21}

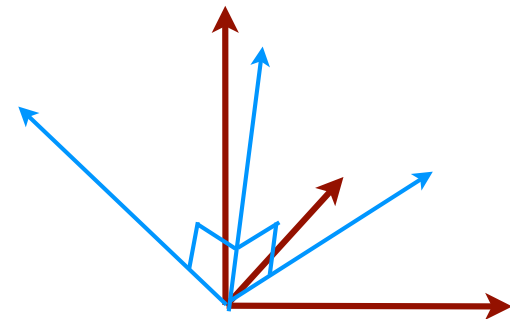
Solar neutrinos/
reactor anti-
neutrinos

$\theta_{12} \sim 34^\circ$

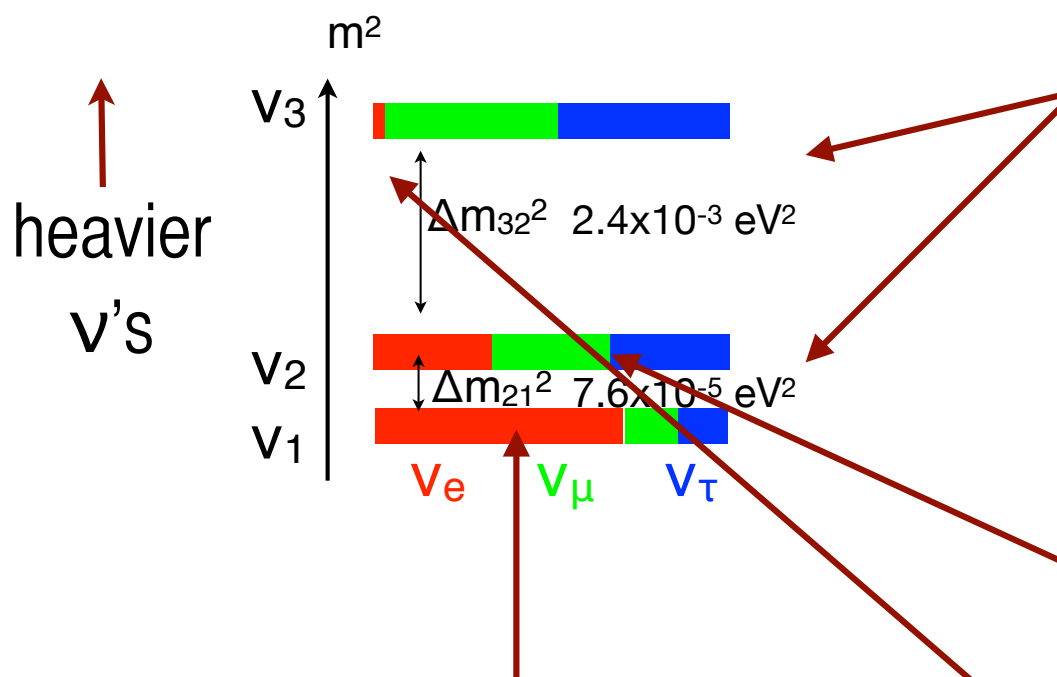
$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \times \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \times \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

where $s_{ij} = \sin(\theta_{ij})$ and $c_{ij} = \cos(\theta_{ij})$

- Anti- ν depend on U^*



What We Know about Neutrino Masses and Mixings



From solar and long-distance reactor ν , we know that the ν_1 and ν_2 have significant ν_e fractions so large mixing, but angle is less than 45°

We know that atmospheric ν oscillate with a much a smaller L/E than solar ν .

→ **Two different Δm^2 scales**

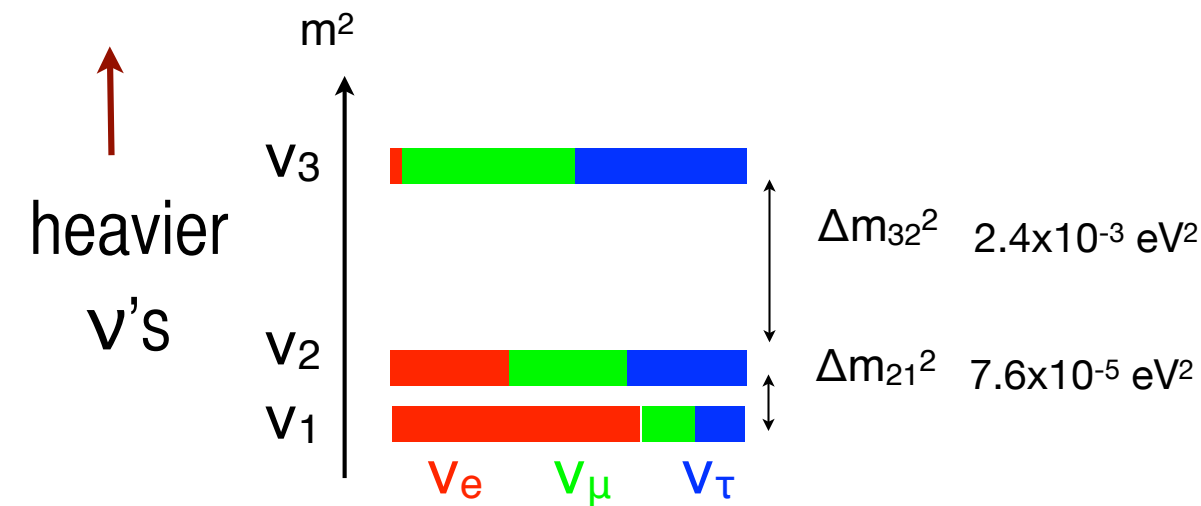
From atmospheric and accelerator ν_μ we know that ν_2 and ν_3 have significant ν_μ and ν_τ fractions. Mixing large, so **θ_{23} nearly 45°** .

From short-distance reactor ν we know that ν_3 has a small ν_e fraction. **θ_{13} angle is only $\sim 9^\circ$**

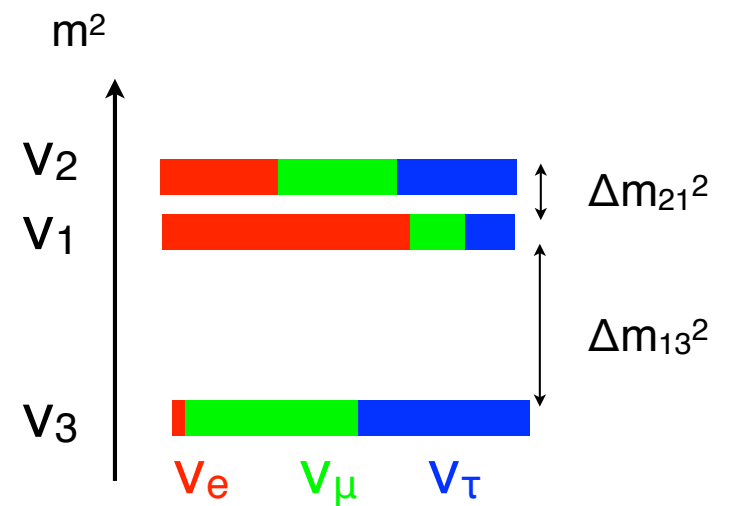
Neutrino Masses

- Two different mass difference orders are possible

“Normal” Ordering



“Inverted” Ordering



- Sign of Δm_{21}^2 is known due to effects in the Sun, but sign of Δm_{32}^2 isn't, so two possible orderings of masses

Three-Flavor Mixing in Vacuum with $\delta=0$

- ♦ L/E scale relevant for recent accelerator beams oscillation effects are dominated by $m_3 \leftrightarrow m_2$ and $m_3 \leftrightarrow m_1$ mixing
- ♦ ν_μ Disappearance in a ν_μ Beam

$$P(\nu_\mu \rightarrow \nu_\mu) \simeq 1 - \sin^2 2\theta_{23} \cdot \sin^2 (\Delta m_{32}^2 L/4E)$$

- ♦ ν_e Appearance in a ν_μ Beam

$$P(\nu_\mu \rightarrow \nu_e) \simeq \sin^2 2\theta_{13} \cdot \sin^2 \theta_{23} \cdot \sin^2 (\Delta m_{31}^2 L/4E)$$

- ♦ Precision measurements require 3 flavor fits

Neutrino Oscillations in Matter

- ♦ Matter has e^- , and few μ^- or τ^-
- ♦ Additional processes for ν_e and anti- ν_e scattering on e^-



- ♦ Modifies apparent oscillation probabilities

$$P(\nu_\mu \rightarrow \nu_e) \simeq \sin^2 2\theta_{13} \cdot \frac{\sin^2 \theta_{23}}{(A-1)^2} \cdot \sin^2 ((A-1)\Delta m_{31}^2 L/4E)$$

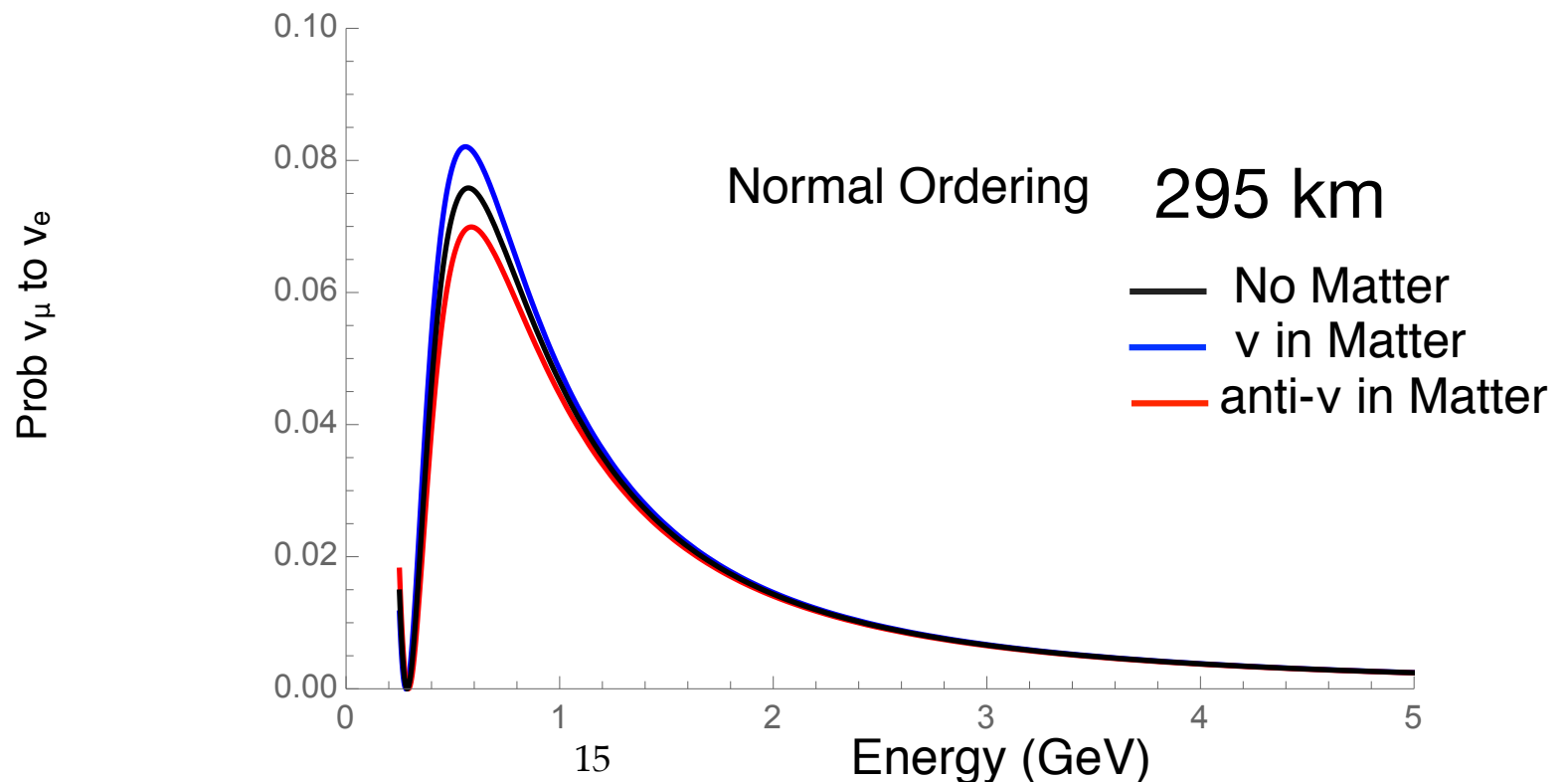
$$\text{where } A = \sqrt{2}G_F N_e \frac{2E}{\Delta m_{31}^2}$$

- ♦ Effect increases with E and N_e

Depends on
mass ordering

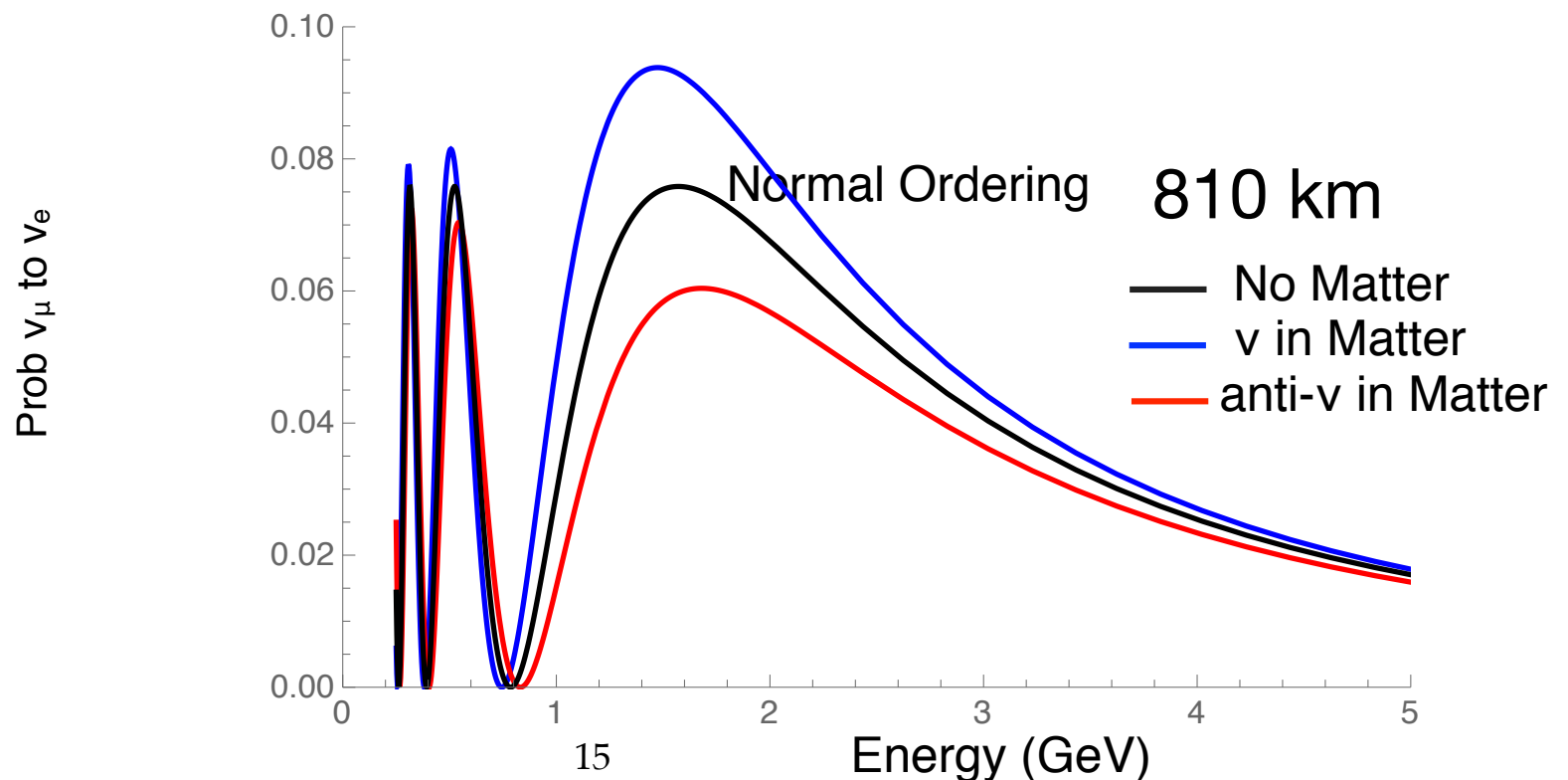
Matter Effects

- ♦ Additionally matter effects change sign for anti-neutrinos
- ♦ So in the normal ordering the appearance probability increases for neutrinos and decreases for anti-neutrinos.



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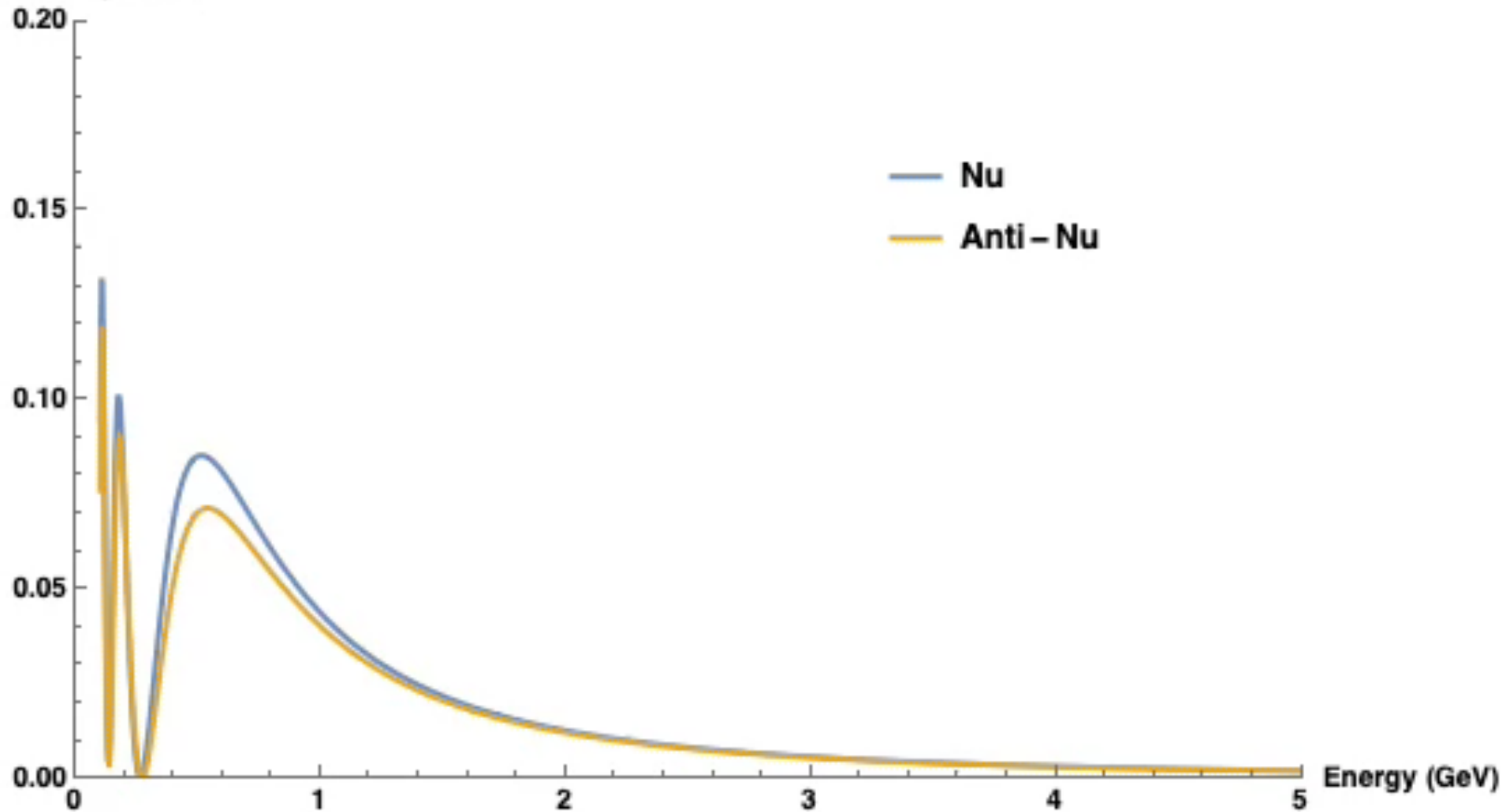
Impact of δ

- There are additional terms in the oscillation probability that depend on $-\sin(\delta)$ for neutrinos and $\sin(\delta)$ for anti-neutrinos.
- This will lead to **differences** in $P(\nu_\mu \rightarrow \nu_e)$ compared to even in vacuum $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

Effect of value of δ

Normal Ordering $\delta_{CP} = -3.14$

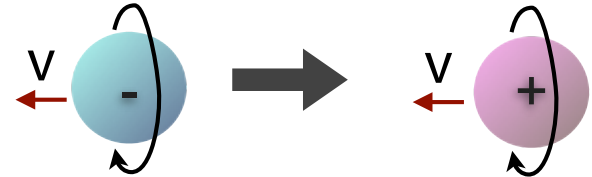
$\mu \rightarrow e$ Probability at 295 km



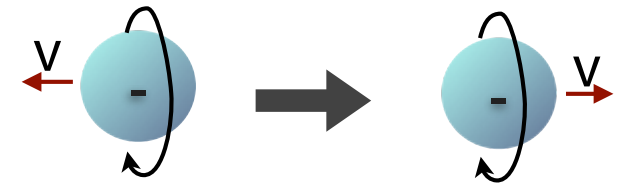
CP Symmetry

- ♦ **C**: Charge conjugation
 - Replace all particles with anti-particles
- ♦ **P**: Parity
 - Invert all spatial coords ($x \rightarrow -x$, etc)
 - Converts right-handed particles to left-handed particles
- ♦ Weak interaction violates **C** and **P** symmetry, but if **CP** is a good symmetry left-handed neutrinos and right-handed anti-neutrinos should have identical physics

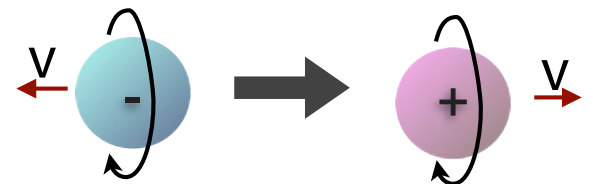
C transformation



P transformation



CP transformation



Big Questions to Answer with Long-Baseline Neutrino Beams

- ♦ Is the **mass ordering** normal or inverted?
- ♦ Is θ_{23} exactly 45 degrees?
- ♦ Is the mixing matrix different for neutrinos and anti-neutrinos?
 - Is $\sin(\delta)=0$?
 - CP Violation w leptons?
- ♦ Is the mixing matrix unitary?

Neutrino Experiments

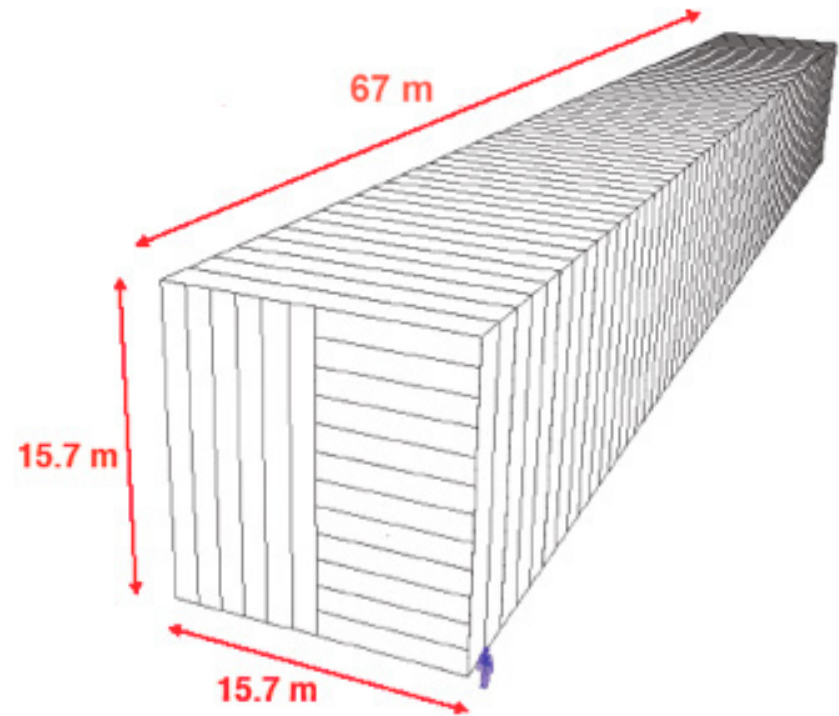
Current Neutrino Beams

- ♦ Current long-baseline experiments:
 - T2K using J-PARC neutrino beam
 - NOvA using NuMI beam
- ♦ Future long-baseline experiments:
 - Hyper-Kamiokande using J-PARC neutrino beam
 - DUNE using the LBNF beam



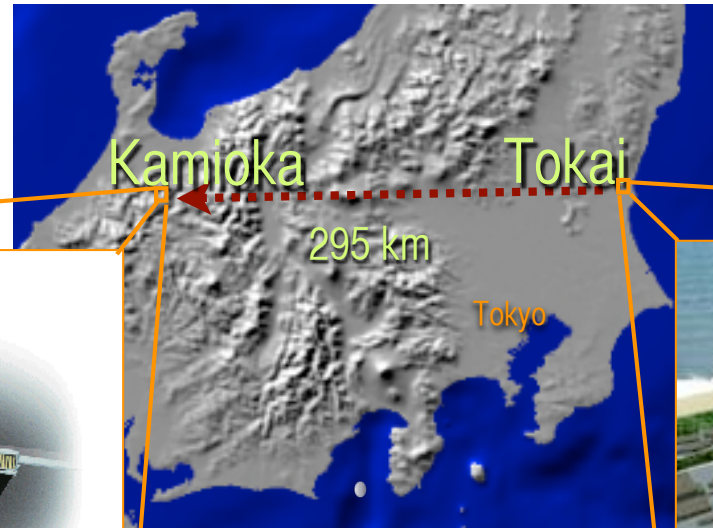
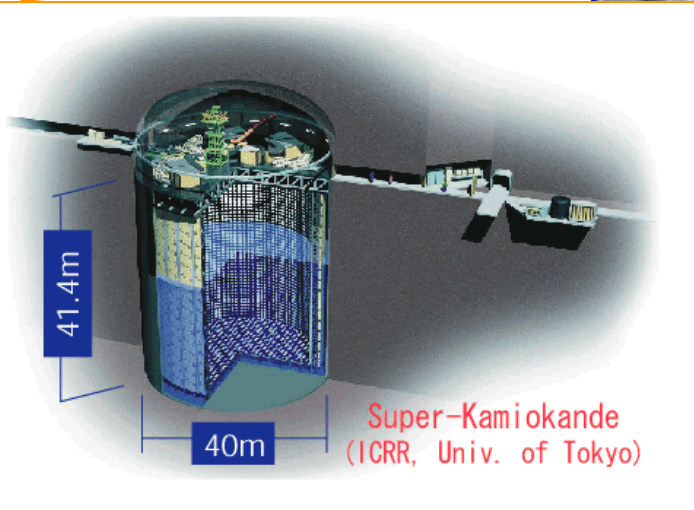
NO ν A

- ♦ Off-axis from NuMI beam
- ♦ 810 km baseline
- ♦ Active liquid scintillator detectors, 14 kton far det

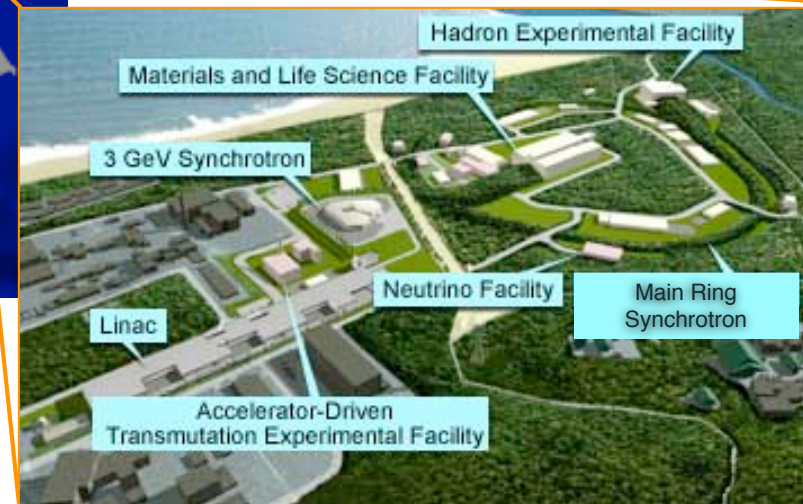


T2K: Tokai-to-Kamioka

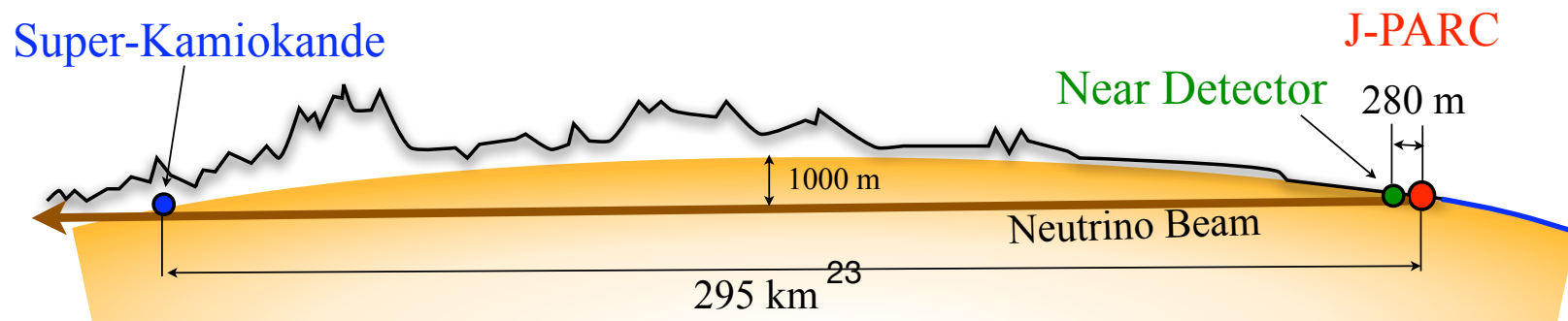
Super-Kamiokande
Detector



J-PARC Facility (Tokai)
Accelerator+Near Detector



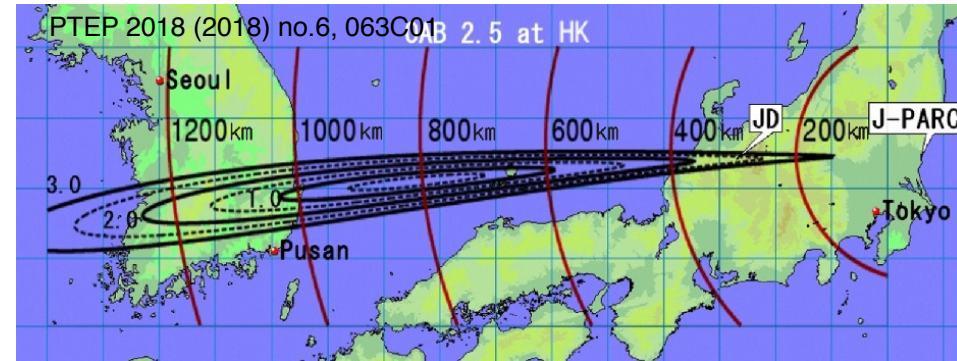
- ♦ Long-baseline neutrino experiment in Japan with 295 km baseline



Future Long-Baseline Neutrino Experiments

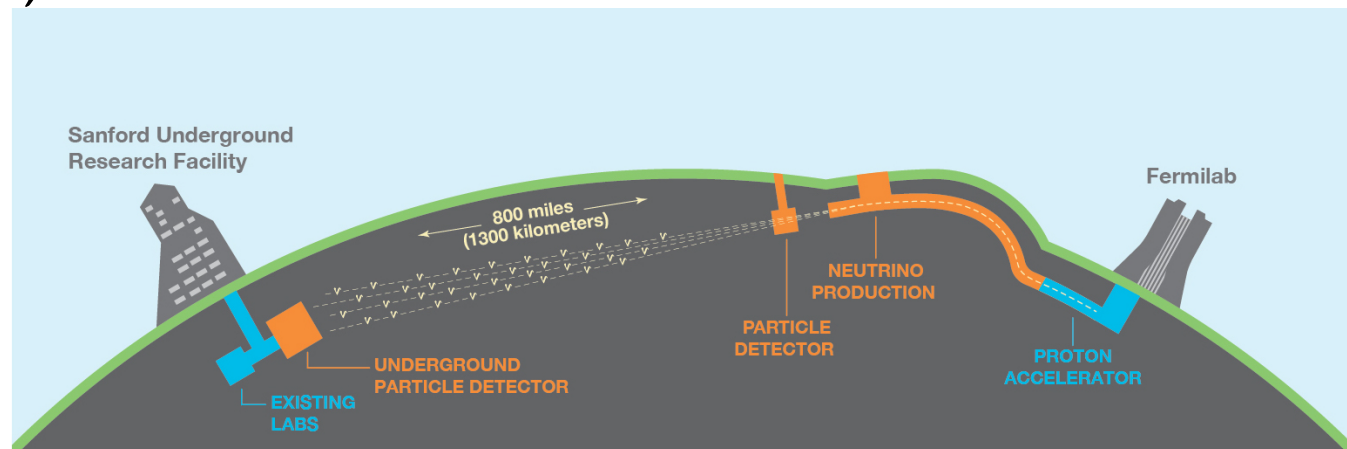
♦ Hyper-Kamiokande:

- New far detector in Japan
- Possibility for other detectors at longer-baselines



♦ Deep Underground Neutrino Experiment (DUNE)

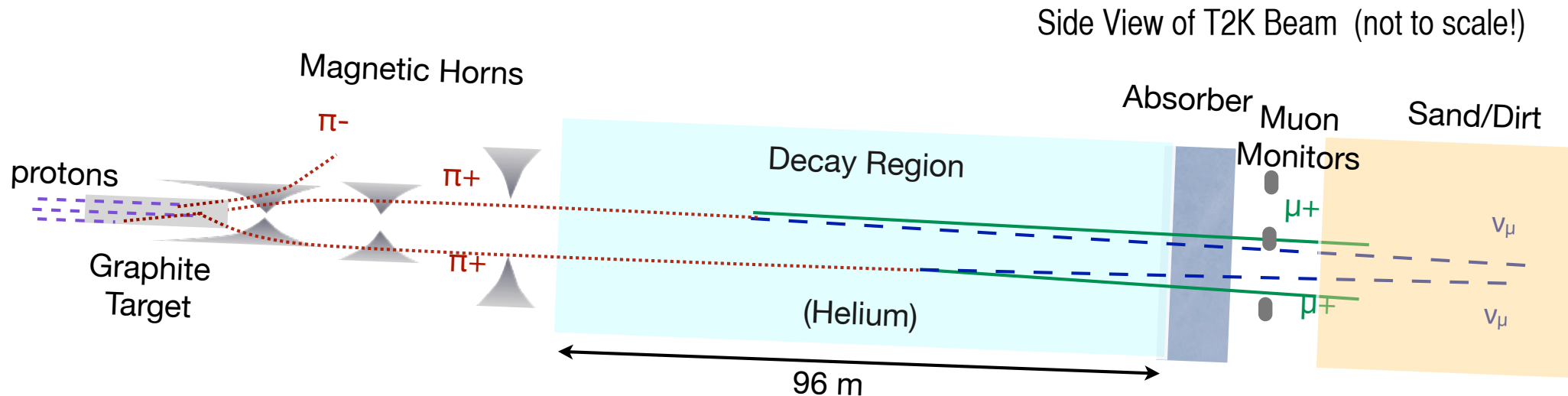
- New LBNF beamline from Fermilab to SURF in Lead,SD



Neutrino Production

(Using T2K as an example)

How to Make a Beam of ν : T2K Beam



- 30 GeV p's strike graphite target, producing π 's and K's
- 3 magnetic horns focus π^+ and K^+ in desired direction
- π 's and K's to decay to μ 's and ν 's
- Dirt will stop μ s; ν s continue through the earth
- T2K Beam is $\sim 95\%$ ν_μ , 4% $\bar{\nu}_\mu$, 1% ν_e
- Can make a $\bar{\nu}_\mu$ beam by changing sign of horn current

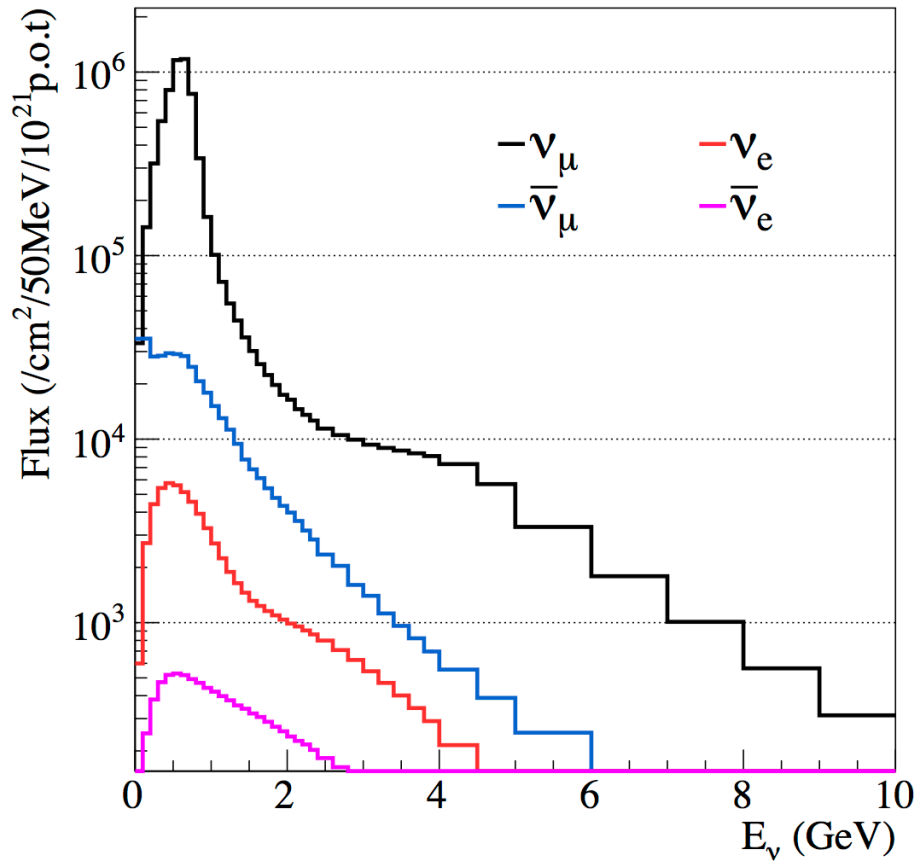
Accelerator used by T2K

- ✦ Protons accelerated to 30 GeV at J-PARC
- ✦ Designed for 3.3×10^{14} protons per pulse
- ✦ Pulse is 5.2 μsec , 1 pulse every ~ 2.5 sec

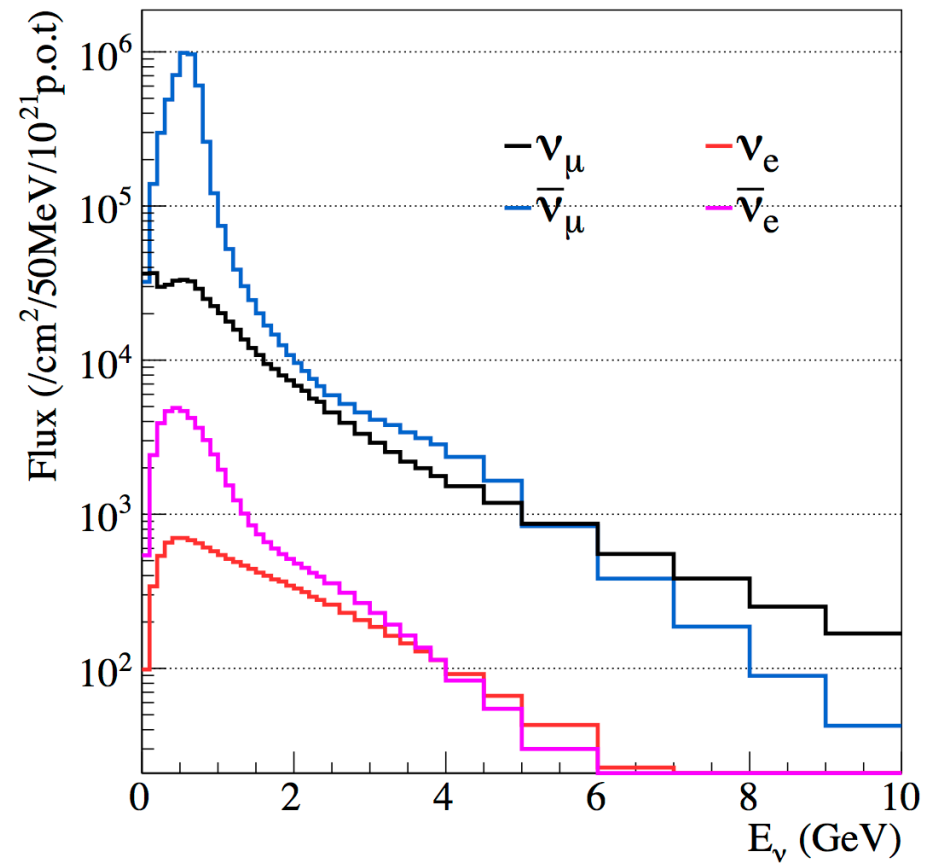


Neutrino Flux Example: T2K

Neutrino Mode Flux at SK



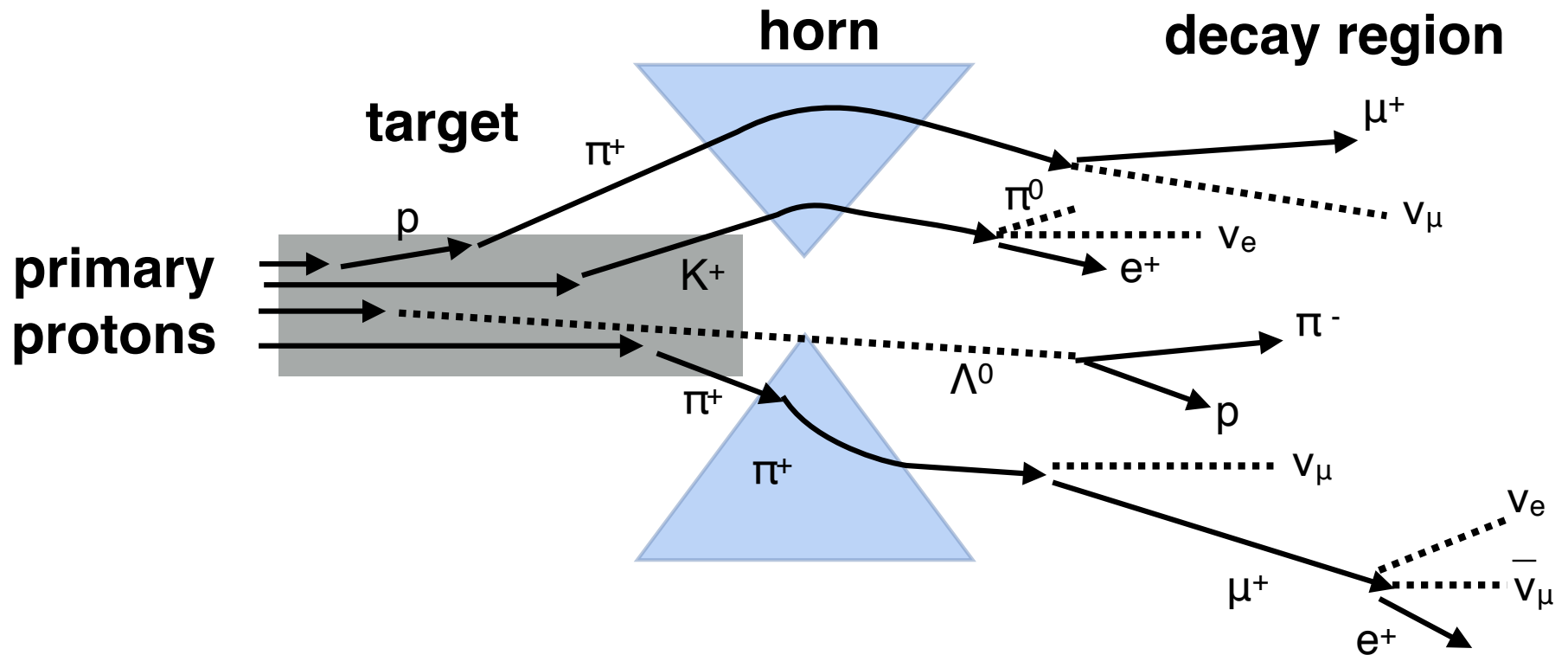
Antineutrino Mode Flux at SK



Pause for Questions

Hadron Production Measurements for Neutrino Experiments

Neutrino Production



- ♦ For **accelerator-based** and **atmospheric neutrino sources** neutrino production processes are complex.
 - Hadron production in beamline interactions typically dominates the flux uncertainty

Why Hadron Production Measurements?

- ♦ Neutrino flux not well-modeled by Monte Carlo simulations
 - But with more measurements the model predictions keep improving
- ♦ Important to understand neutrino source when making measurements of **flavor oscillation**
- ♦ **Neutrino interaction** measurements and other **near detector physics** require precise independent flux constraints

Hadron Production Measurement Strategies

♦ **In Situ** measurements:

- Direct hadron production measurements in beamline
- Muon monitors
- Very challenging detector environment!

♦ **External hadron production** measurements:

- **Thin targets** (few % of λ)
- **Thick or replica targets** ($> \sim \lambda$)

2 cm C target



T2K replica target

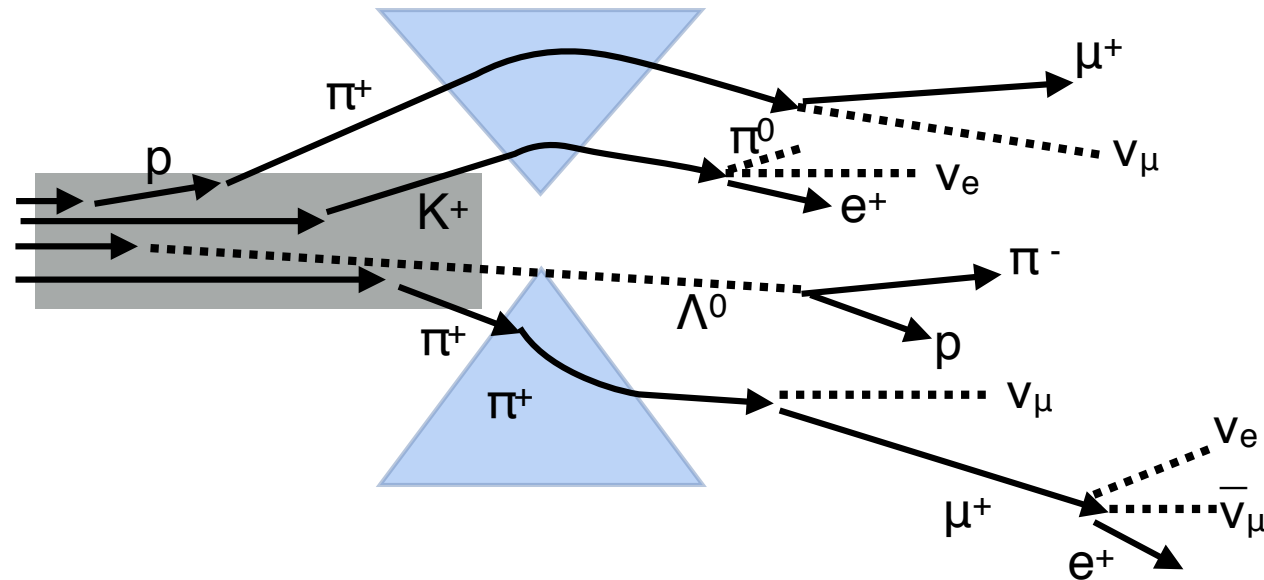


External Measurement Needs

- ✦ Total **cross section** measurements help to model the interaction probabilities in the beamline materials
- ✦ Also need **particle production spectra**
 - Often reported in bins of hadron (p, θ) or (x_F, p_T)
where $x_F = 2p_L^* / \sqrt{s}$
- ✦ Some existing single-arm spectrometer measurements
- ✦ More recently there are **dedicated hadron production experiments** using large acceptance tracking detectors

Secondary Interactions and Beyond

- ♦ Secondary and tertiary interactions often significant, so want **not just primary proton data, but also thick target data and lower energy hadron data** to constrain reinteractions



- ♦ In T2K ~ 1.4 hadronic interactions per ν_μ in neutrino mode
- ♦ In NOvA, ~ 1.5 - 1.6 hadronic interactions per ν_μ in neutrino mode for $E_\nu > 1$ GeV, even more at lower energies

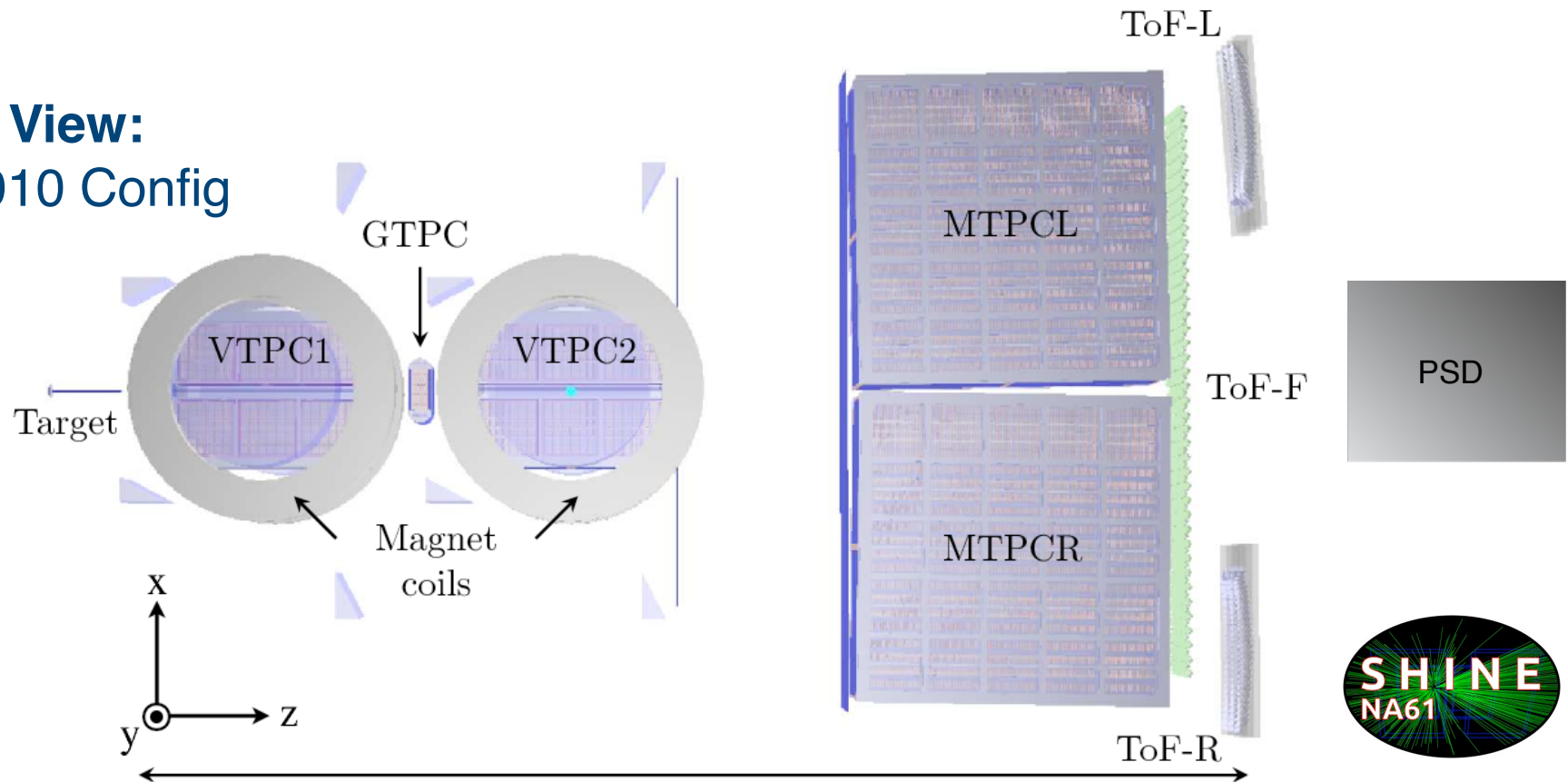
Key Thin Target Data below 25 GeV/c

Reaction	Experiment	Particles Measured	Reference
8.9 GeV/c p + thin Be	HARP	π^+	Eur. Phys J C 52 (2007) 29
6.4, 12.3, and 17.5 GeV/c p + thin Be	BNL E910	π^\pm	Phys. Rev. C 77 (2008) 015209
12.9 GeV/c p + thin Al	HARP	π^+	Nucl. Phys. B 732 (2006) 1
12 GeV/c p and π^\pm + C	HARP	π^\pm	Astr. Phys. 29 (2008) 257
19.2 GeV/c p on p, Be, Al, Cu, Pb	Allaby et al	p, pbar, π^\pm , K^\pm	Tech. Rep. 70-12 (CERN, 1970)
24 GeV/c p on Be, Al, Cu, Pb	Eichten et al (CERN-Rome)	p, pbar, π^\pm , K^\pm	Nucl. Phys. B 44 , 333 (1972)

NA61/SHINE Experiment

- ♦ SPS Hheavy Ion and Neutrino Experiment: Fixed target experiment at CERN SPS
- ♦ Primary 400 GeV/c p beam, Secondary hadron beams ~26 to 160 GeV/c

Top View: 2009-2010 Config

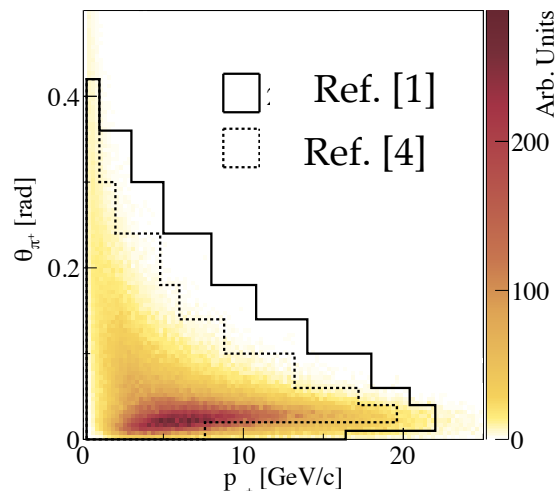


- ♦ Comprises several large acceptance TPCs, Two inside magnets

NA61/SHINE Thin Target Data 31-60 GeV

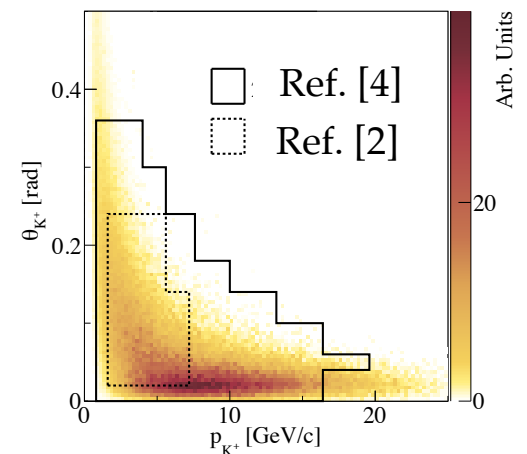
Reaction	Particles Measured	Reference
31 GeV/c p + C	π^\pm	[1] Phys. Rev. C 84 (2011) 034604
31 GeV/c p + C	K^+	[2] Phys. Rev. C 85 (2012) 035210
31 GeV/c p + C	K^0_s, Λ	[3] Phys.Rev. C 89 (2014) 025205
31 GeV/c p + C	$\pi^\pm, K^\pm, K^0_s, \Lambda, p$	[4] Eur.Phys.J. C 76 (2016) 84
60 GeV/c π^+ +C, p+Be	$\pi^\pm, K^\pm, K^0_s, \Lambda, p$	[5] Phys.Rev.D 100 (2019) 11, 112004
60 GeV/c p+C, p+Be, p+Al	$\pi^\pm, K^\pm, K^0_s, \Lambda, p$	in progress

π^+ Coverage



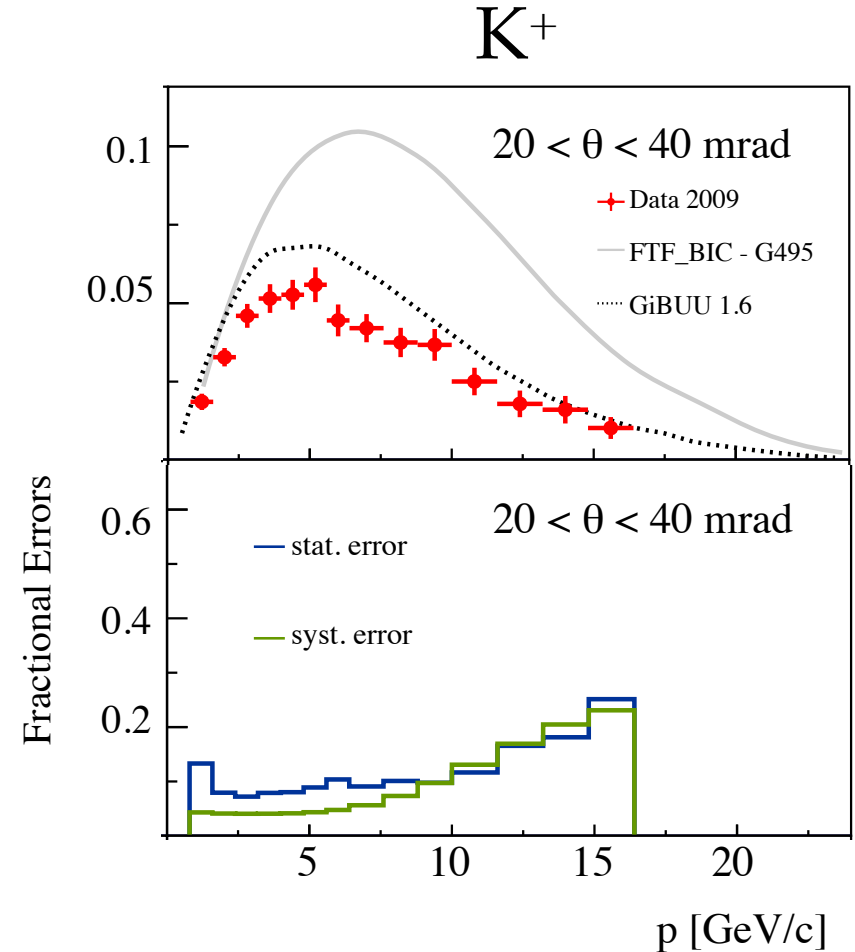
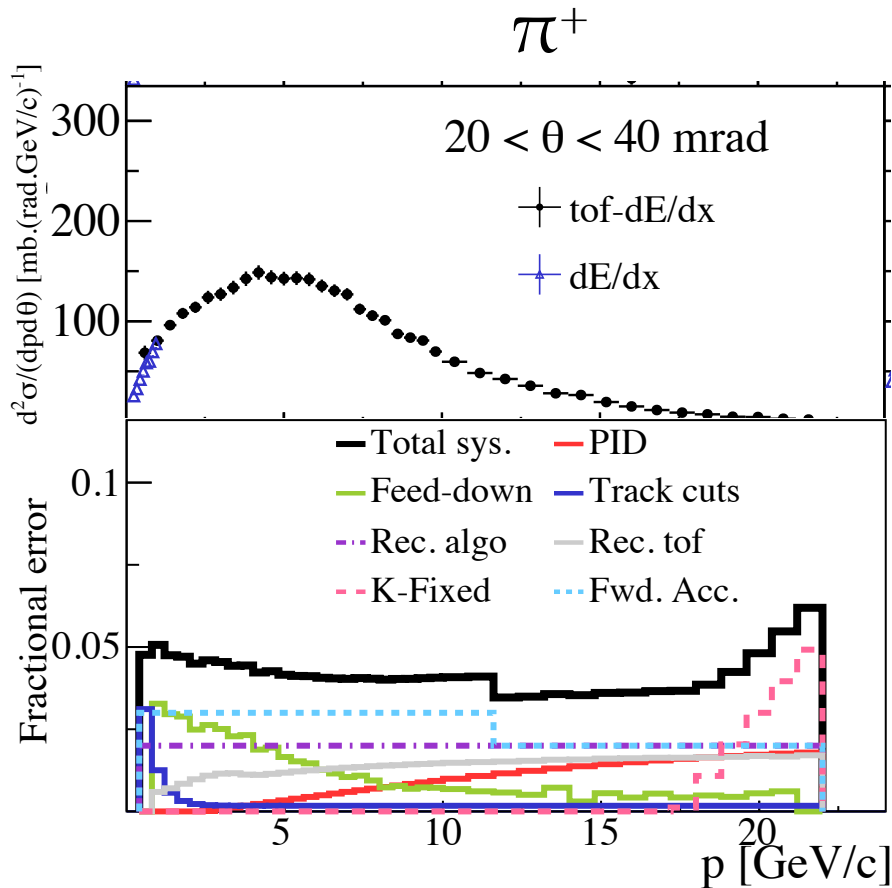
Colors indicate relative contribution to T2K neutrino flux at SK in neutrino mode

K^+ Coverage



Thin Target Results

- ◆ Sample slices for π^+ and K^+ for 20-40 mrad



Key data at 120-160 GeV/c

Reaction	Experiment	Particles Measured	Reference
58, 84, 120 GeV/c p + various thin targets	E907/MIPP	n	Phys.Rev. D 83 (2011) 012002
120 GeV/c p + NuMI low energy target	E907/MIPP	π^\pm	Phys.Rev. D 90 (2014) 032001
158 GeV/c p + C	NA49	π^\pm	Eur.Phys.J. C 49 (2007) 897
158 GeV/c p + C	NA49	p, pbar, n, d, t	Eur.Phys.J. C 73 (2013) 2364
120 GeV/c p + C	NA61		in progress

Key Data at 400-450 GeV/c

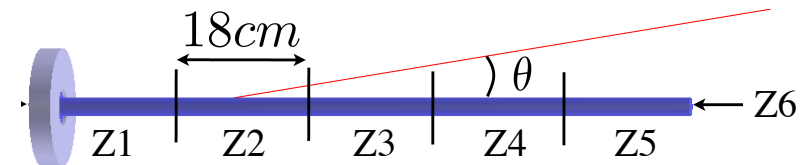
Reaction	Experiment	Particles Measured	Reference
400 GeV/c p + 10-50 cm Be target	NA20	π^\pm , K^\pm , p, pbar	CERN Tech. Rept. 80-70 (1980)
450 GeV/c p + 10 cm Be target	NA56/SPY	K/ π ratio	Phys. Lett. B420 (1998) 225
450 GeV/c p + 10 cm Be target	NA56/SPY	π^\pm	Phys. Lett. B425 (1998) 208
450 GeV/c p + Be target	NA56/SPY	π^\pm , K^\pm , p, pbar	Eur. Jour. Phys. C10 (1999) 605

- ♦ Most of existing data just covers the interactions of protons, and not re-interactions of pions, kaons, etc.

Thick Target Data at 31 GeV/c

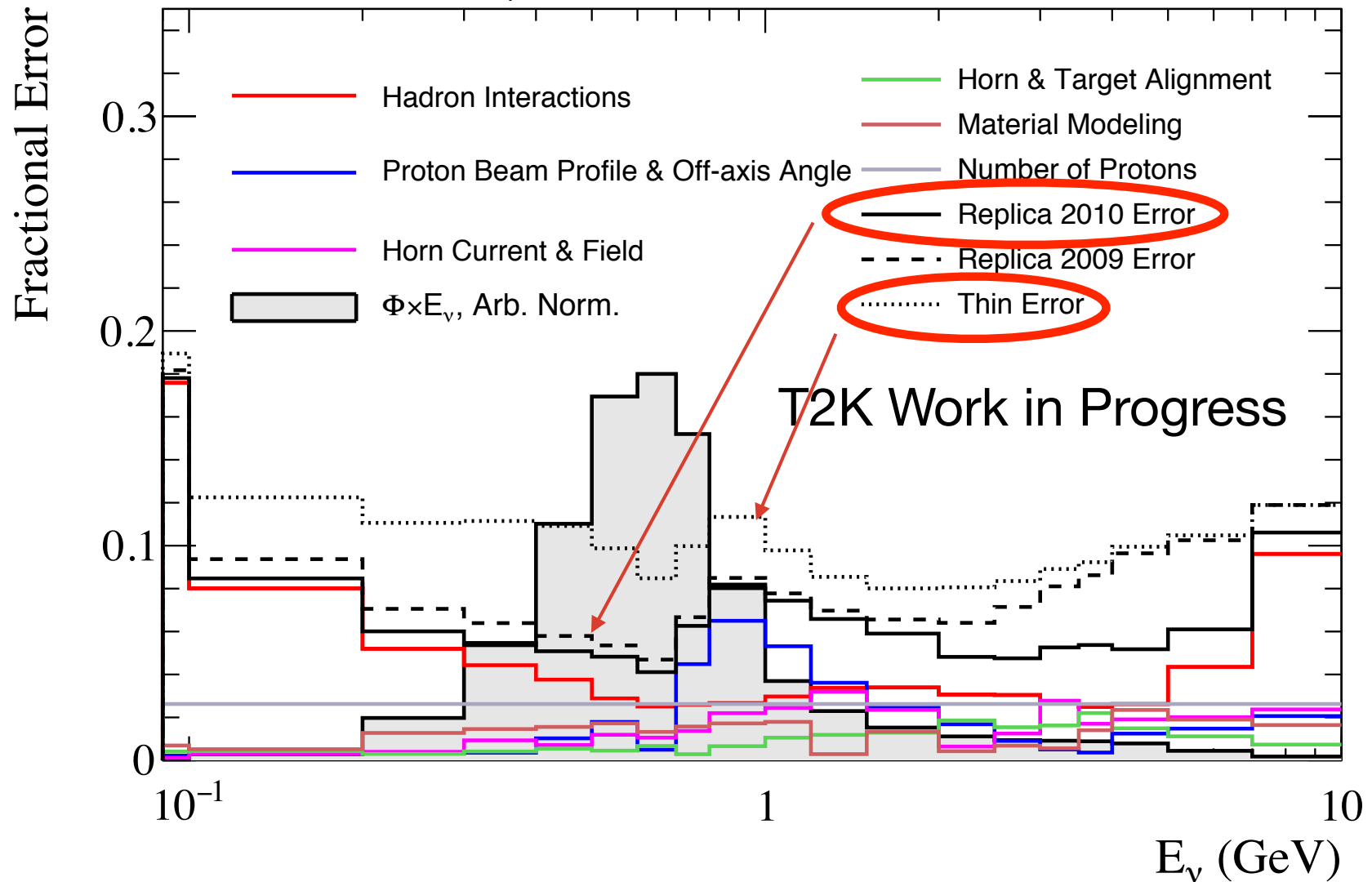
Reaction	Particles Measured	Reference
31 GeV/c p + T2K replica target	π^+	Nucl.Instrum.Meth. A701 (2013) 99-114
31 GeV/c p + T2K replica target	π^\pm	Eur.Phys.J. C76 (2016) no.11, 617
31 GeV/c p + T2K replica target	π^\pm, K^\pm, p	Eur.Phys.J.C 79 (2019)2, 100
31 GeV/c p + T2K replica target	p total cross section	CERN-EP-DRAFT-NA61-2020-007

♦ Differential yields in $(p, \theta, z_{\text{target}})$



Improvements with Replica Data

SK: Neutrino Mode, ν_μ



Application of NA61 data to T2K

- ♦ Thin target weights: Where available reweight each interaction by $W(p, \theta) = \frac{N(p, \theta)_{Data}}{N(p, \theta)_{MC}}$
 - Can apply scaling for different materials and momenta
- ♦ Replica target data: Reweight particles exiting the target by $W(p, \theta, z) = \frac{N(p, \theta, z)_{Data}}{N(p, \theta, z)_{MC}}$

Sanford-Wang Parameterization

- ♦ J. R. Sanford and C. L. Wang, “Empirical formulas for particle production in p–Be collisions between 10 and 35 BeV / c”, Brookhaven National Laboratory, AGS internal report, (1967), *unpublished*

- ♦ Depends on p_{beam} , p, θ of hadron

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C80 (2009) 035208

- ♦ 8 free parameters for π

$$\frac{d^2\sigma(pA \rightarrow \pi^\pm X)}{dpd\Omega} = c_1 \exp[B] p^{c_2} \left(1 - \frac{p}{p_{\text{beam}}}\right)$$

where:

$$B = -c_3 \frac{p^{c_4}}{p_{\text{beam}}^{c_5}} - c_6 \theta (p - c_7 p_{\text{beam}} \cos^{c_8} \theta)$$

BMPT Parameterization

- ♦ From M. Bonesini, A. Marchionni, F. Pietropaolo, T. Tabarelli de Fatis, Eur. Phys. J. C **20**, 13 (2001)
- ♦ Fits include data from 400 GeV and 450 GeV data.

For π^+ and K^+

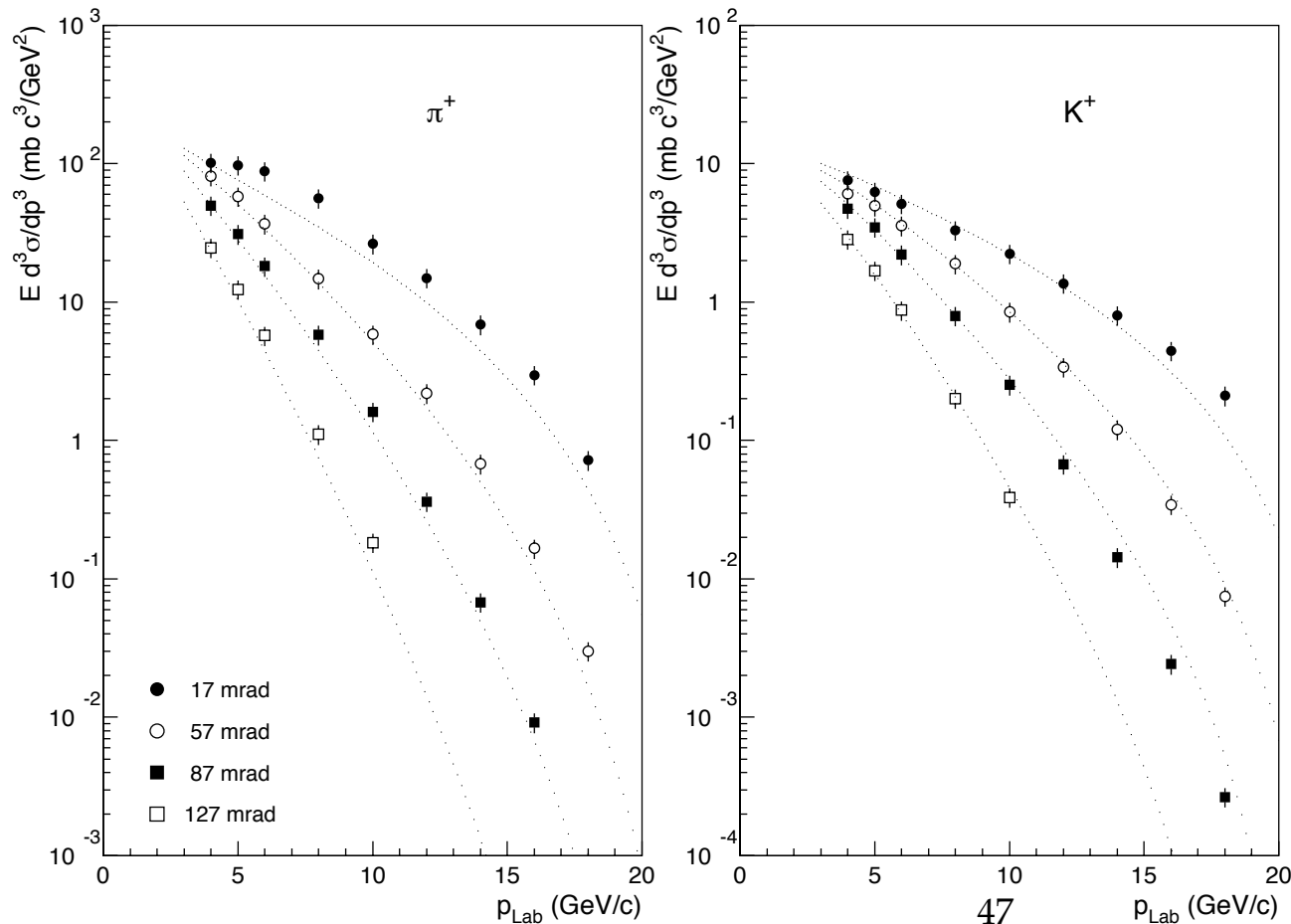
$$\left(E \times \frac{d^3\sigma}{dp^3}\right) = A(1 - x_R)^\alpha (1 + Bx_R)x_R^{-\beta} \times \\ (1 + a'(x_R)p_T + b'(x_R)p_T^2)e^{-a'(x_R)p_T}$$

where $a'(x_R) = a/x_R^\gamma$ and $b'(x_R) = a^2/2x_R^\delta$.

- ♦ Paper also discusses $p/\bar{p}/\pi^-/K^-/K^0$ production, A scaling, momentum scaling, long targets

Scaling of Hadron Production Above ~ 30 GeV

- ♦ Feynman argued that invariant cross section ($E \frac{d^3\sigma}{d^3p}$) should be more or less constant with p_T and x_F , where $x_F = 2p_L^*/\sqrt{s}$
- ♦ Others have scaled by $x_R = E^*/E_{max}$



From Eur. Phys. J. C **20**, 13
(2001)

Dashed = fit to 400 and 450
GeV p + Be data scaled in p_T
and x_R

Points = data for 24 GeV p +
Be interactions

Scaling for A of materials

- ♦ From BMPT:

$$E \frac{d^3 \sigma^{hA_1}}{dp^3} = \left(\frac{A_1}{A_2} \right)^\alpha \cdot E \frac{d^3 \sigma^{hA_2}}{dp^3}.$$

- ♦ Scales as a power law of degree α which depends on x_F ,

$$p_T \quad \alpha(x_F) = (0.74 - 0.55 \cdot x_F + 0.26 \cdot x_F^2) \cdot (0.98 + 0.21 \cdot p_T^2) \quad (10)$$

- ♦ At lower energies HARP data (Phys.Rev. C80 (2009) 035208) has shown that for the Sanford-Wang

parameterization a correction of $corr = (A/A_{Be})^\alpha$

where $\alpha = \alpha_0 + \alpha_1 \times x_F + \alpha_2 \times x_F^2$

$$\alpha_0 \quad (0.69 \pm 0.04) \quad (0.72 \pm 0.04)$$

$$\alpha_1 \quad (-0.91 \pm 0.21) \quad (-1.36 \pm 0.20)$$

$$\alpha_2 \quad (0.34 \pm 0.21) \quad (2.18 \pm 0.21)$$

Summary

- ♦ Lots of progress over the past 5 years in understanding hadron production uncertainties and their impact on neutrino flux uncertainties.
- ♦ Increasingly measurements are available with uncertainties at the few % level
- ♦ More measurements that can have a major impact on the T2K, NuMI, and LBNF fluxes expected over the next few years
- ♦ These experiments are **crucial for reaching the scientific goals** of next-generation neutrino beam experiments

Thanks!

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