

## Contribution to the “QCD instantons” discussion session:

### ***Remarks on the numerical impact of potential theoretical systematics in the prediction of QCD instanton cross sections***

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- no comments on the overall theoretical framework or detailed aspects of the calculations
- take for granted the approach, and just explore the impact on LHC predictions of possible sources of implicit assumptions that are nevertheless subject to systematics
- .... *for more details, see the note attached to this item on Indico*

[1] V. V. Khoze, D. L. Milne and M. Spannowsky, [arXiv:2010.02287](#)

[2] V. V. Khoze, F. Krauss and M. Schott, [arXiv:1911.09726](#)

[3] A. H. Mueller, Nucl. Phys. B 348 (1991) 310.

[4] A. H. Mueller, Nucl. Phys. B 353 (1991) 44.

[5] A. H. Mueller, Nucl. Phys. B 364 (1991) 109.

From Ref [1], neglecting overall factors like constants or wave function normalizations:

$$\mathcal{A}(2 \rightarrow n_g + 2n_f) \sim \int_0^\infty d\rho^2 (\rho^2)^{n_g+n_f-1} \boxed{e^{-\frac{2\pi}{\alpha_s(1/\rho)} - \frac{\alpha_s(1/\rho)}{16\pi} E^2 \rho^2 \log(E^2 \rho^2)}}$$

To reach this expression, the relation  $\rho \mu_R = 1$  has been imposed between the renormalization scale  $\mu_R$  and the instanton radius  $\rho$

**Remark on energy dependence:**

$$b_0 = 11 - \frac{2}{3} n_f$$

$$\mathcal{A} \propto E^{-2(n_g+n_f)} \boxed{\left(\frac{\Lambda}{E}\right)^{b_0}}$$

These  $b_0$  powers of  $\Lambda_{\text{QCD}}$  are a reflection of the non-perturbative nature of the instanton action, and are an intrinsic feature of the transition amplitude

These powers of  $E$  will be matched in the calculation of the cross section by powers of  $E$  from the phase-space and from the normalization of the external states

**Saddle-point** evaluation of the amplitude:

$$\mathcal{A} \sim \int_0^\infty d\rho^2 e^{f(\rho^2)} = e^{f(\bar{\rho})} \sqrt{\frac{2\pi}{-f''(\bar{\rho})}}$$

with  $\bar{\rho}$  defined by  $\frac{\partial f}{\partial \rho^2} \big|_{\rho=\bar{\rho}} = 0$

$$f(\rho^2) = (n_g + n_f - 1 + b_0/2) \log \rho^2 - \frac{\alpha_s(1/\rho)}{16\pi} E^2 \rho^2 \log(E^2 \rho^2)$$

$$\frac{\partial f}{\partial \rho^2} \big|_{\rho=\bar{\rho}} = 0 \quad \text{implies}$$

$$\frac{1}{\bar{\rho}^2} = \frac{E^2}{16\pi A} \alpha_s(1/\bar{\rho}) \log(E^2 \bar{\rho}^2) + \mathcal{O}(\alpha_s^2)$$

$$A = n_g + n_f - 1 + \frac{b_0}{2}$$

**Remark on  $\mathcal{O}(\alpha_s)$ :**

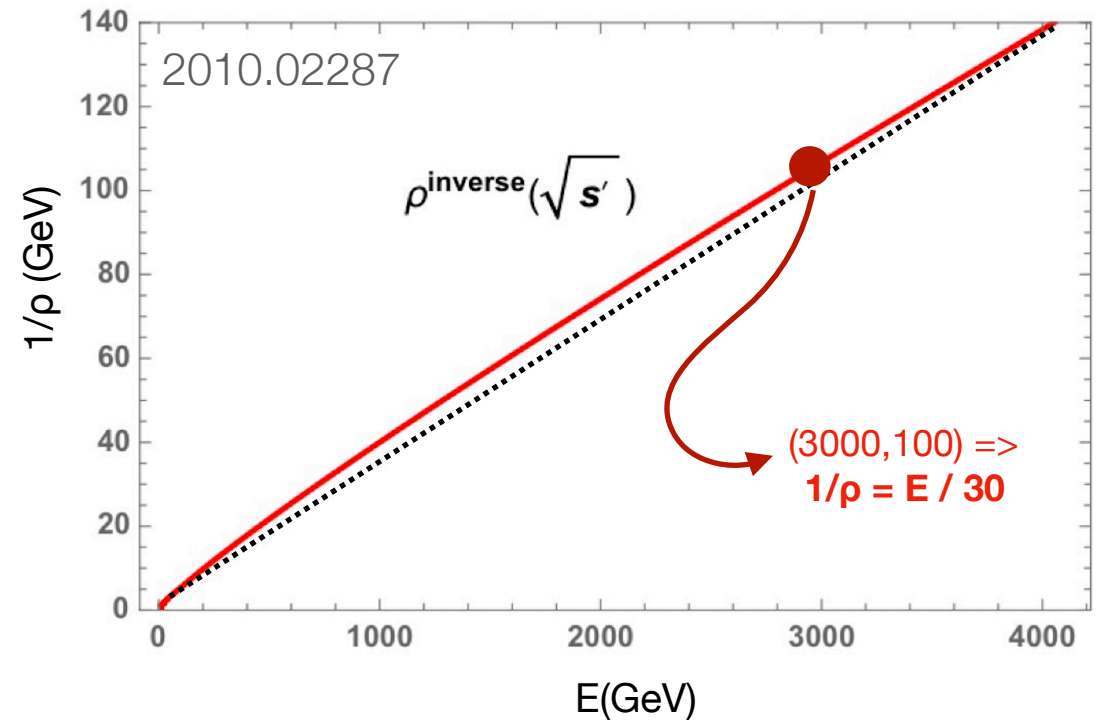
Corrections of higher order in  $\alpha_s$  arise by taking the derivative of  $f(\rho)$  (eg  $\partial \alpha / \partial \rho^2 \sim \beta(\alpha) \alpha^2$ ). It makes no sense to keep these, since there is no full control of all other sources of  $\mathcal{O}(\alpha_s)$  corrections in  $f(\rho)$

Approximate solution of the saddle point condition (within 10% of the exact numerical solution)

$$\frac{1}{\bar{\rho}^2} = \left[ \eta \alpha_s(\eta E^2) \log(1/\eta) \right] E^2 + \mathcal{O}(\alpha_s^2)$$

where:

$$\eta = \frac{1}{16\pi A} \sim \left( \frac{1}{30} \right)^2 \quad \text{for } (n_g, n_f) = (10, 5)$$



**Remark**

$$\alpha_s(1/\bar{\rho}^2) \bar{\rho}^2 E^2 \sim \left[ \eta \log(1/\eta) \right]^{-1} = \text{const}$$

The relative suppression from the Mueller's factor is only very slowly dependent on E... the dramatic drop of cross section at high-E is mostly due to the  $1/E^n$  terms

Final result, up to overall constants:

$$\mathcal{A} \sim \left(\frac{\Lambda}{E}\right)^{b_0} \left(\frac{1}{E}\right)^{n_g+n_f} \left[ \frac{1}{\alpha_s(\eta E^2)[1 + O(\alpha_s)]} \right]^{n_g+n_f+b_0/2}$$

## Remarks

- Which numerical value for  $\Lambda$ ?
  - $\alpha_s^{1\text{-loop}}(M_Z, \Lambda) = 0.120 \Rightarrow \Lambda = 100 \text{ MeV}$
  - $\alpha_s^{2\text{-loop}}(M_Z, \Lambda) = 0.120 \Rightarrow \Lambda = 260 \text{ MeV}$
  - $\Rightarrow \sigma \sim |\mathcal{A}|^2$  can vary in a range  $2^{\pm b_0} \sigma_0 \sim [0.04 - 250] \sigma_0$
- $O(\alpha_s)$  effects:
  - $n_g+n_f+b_0/2 > 10$  for  $n_g > 1$
  - If  $O(\alpha_s) \sim \pm 20\% \Rightarrow \Delta\mathcal{A} / \mathcal{A} \sim (1.2/0.8)^{n_g+n_f+b_0/2} \sim 50$
- More in general:
  - if we had set  $\rho \mu_R = \lambda$  instead of 1, with  $\lambda \in [0.5, 2]$ , variations of size similar to those listed above would have arisen
- Less clear what are the potential systematics in the modeling of the detailed final-state structure: impact on event simulation, kinematical distributions, bg suppression, differentiation of possible signals from BSM sources of “soft-bombs-like” final states, ... Maybe discussed on **Friday**?

## Conclusions

- Potential for large sources of systematics, leading to uncertainties covering several orders of magnitude.
- This does not remove interest in the search for such final states, but a possible lack of evidence does not lead to the immediate conclusion that instantons “do not exist”, but simply that their actual production rate is unfortunately on the lower end of the systematics, wrt to the central baseline rates