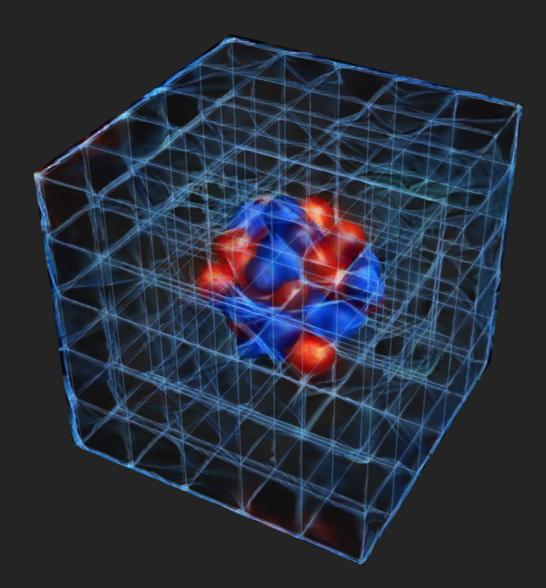
Machine learning for sampling in lattice field theory







The structure of matter

One application of lattice field theory:

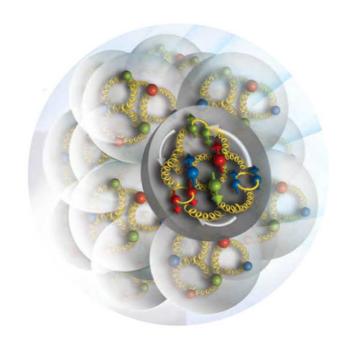
Hadron/nuclear structure and interactions from the Standard Model of particle physics



Emergence of complex structure in nature



Backgrounds and benchmarks for searches for new physics



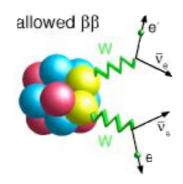
Many studies limited by available computation

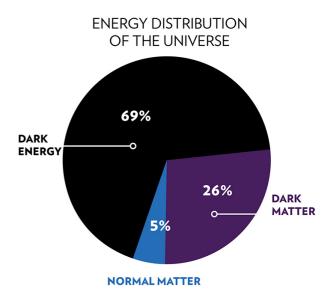
The search for new physics

Precise experiments seek new physics at the "Intensity Frontier"

- Sensitivity to probe the rarest Standard Model interactions
- Search for beyond—Standard-Model effects
- Dark matter direct detection
- Neutrino physics







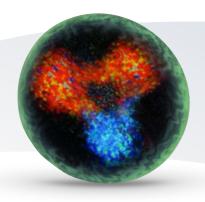
Exponential*factorial growth in computational cost with A

Strong interactions

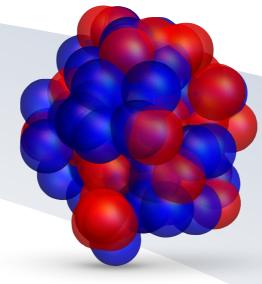
Study nuclear structure from the strong interactions

Quantum Chromodynamics (QCD)

Strongest of the four forces in nature Non-perturbative in low-energy regime

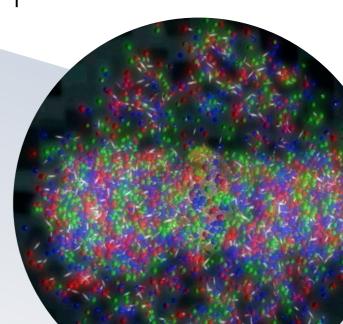


Binds quarks and gluons into protons, neutrons, pions etc.



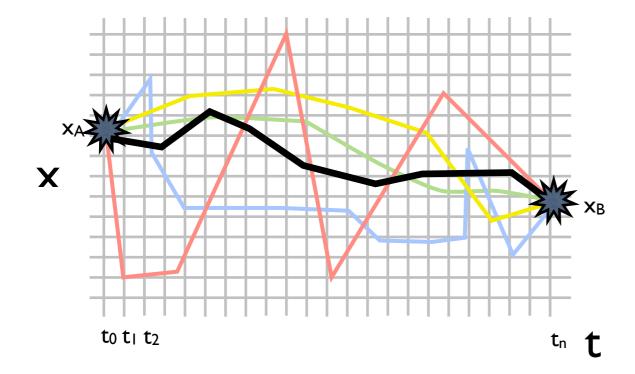
Binds protons and neutrons into nuclei

Forms other types of exotic matter e.g., quark-gluon plasma



Numerical first-principles approach to non-perturbative QCD

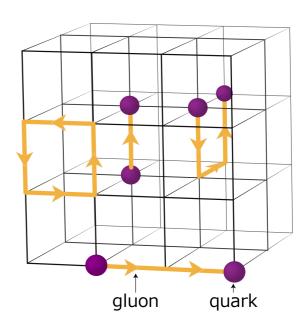
- Discretise QCD onto 4D space-time lattice
- QCD equations
 — integrals over the values of quark and gluon fields on each site/link (QCD path integral)
- $\sim 10^{12}$ variables (for state-of-the-art)



- Evaluate by importance sampling
- Paths near classical action dominate
- Calculate physics on a set (ensemble) of samples of the quark and gluon fields

Numerical first-principles approach to non-perturbative QCD

- Euclidean space-time $t \rightarrow i au$
- Finite lattice spacing α
- Volume $L^3 \times T = 64^3 \times 128$
- Boundary conditions



Approximate the QCD path integral by Monte Carlo

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}A \mathcal{D}\overline{\psi} \mathcal{D}\psi \mathcal{O}[A, \overline{\psi}\psi] e^{-S[A, \overline{\psi}\psi]} \longrightarrow \langle \mathcal{O} \rangle \simeq \frac{1}{N_{\text{conf}}} \sum_{i}^{N_{\text{conf}}} \mathcal{O}([U^{i}])$$

with field configurations $\,U^i$ distributed according to $\,e^{-S[U]}\,$

Workflow of a lattice QCD calculation

- 1 Generate field configurations via Hybrid Monte Carlo
 - Leadership-class computing
 - ~100K cores or 1000GPUs, 10's of TF-years
 - O(100-1000) configurations, each \sim 10-100GB
- 2 Compute propagators
 - Large sparse matrix inversion
 - ~few IOOs GPUs
 - I 0x field config in size, many per config



- Contract into correlation functions
- ~few GPUs
- O(100k-1M) copies

Computational cost grows exponentially with size of nuclear system

MACHINE LEARNING IS

E.g., A class of tools for optimising the parameters of **complex models** to describe data

In the context of LQCD, must rigorously account/correct for the effects of modelling in provably exact/unbiased ways

MACHINE LEARNING IS NOT

A black box or model-independent solution to e.g., inverse problems



Applications without formal quantification and propagation of the effects of modelling, correlations, and systematics, compromise the rigour of LQCD

Existing efforts to apply ML tools to many aspects of the lattice QCD workflow

Field configuration generation by e.g.,

- Multi-scale approaches
- Accelerated HMC
- Direct sampling methods

• ...

Shanahan et al., Phys.Rev.D 97 (2018) Albergo et al., Phys.Rev.D 100 (2019) Rezende et al., 2002.02428 (2020) Kanwar et al., Phys.Rev.Lett. 125 (2020) Boyda et al., 2008.05456 (2020)

Tanaka and Tomiya, 1712.03893 (2017) Zhou et al., Phys.Rev.D 100 (2019) Li et al., PRX 10 (2020) Pawlowski and Urban 1811.03533 (2020) Nagai, Tanaka, Tomiya 2010.11900 (2020)

Efficient computations of correlation functions/observables

Yoon, Bhattacharya, Gupta, Phys. Rev. D 100, 014504 (2019) Zhang et al, Phys. Rev. D 101, 034516 (2020) Nicoli et al., 2007.07115 (2020)

Sign-problem avoidance via contour deformation of path integrals

Alexandruet al., Phys. Rev. Lett. 121 (2020), Detmold et al., 2003.05914 (2020)

Analysis, order parameters, insights

Tanaka and Tomiya, Journal of the Physical Society of Japan, 86 (2017) Wetzel and Scherzer, Phys. Rev. B 96 (2017) S. Blücher et al., Phys. Rev. D 101 (2020) Boyda et al., 2009.10971 (2020)

*Early developmental stage — many of these papers use toy theories instead of QCD *Much more related work in e.g., condensed matter context

Existing efforts to apply ML tools to many aspects of the lattice QCD workflow

Consider only approaches which rigorously preserve quantum field theory in applicable

Efficient computation functions/observables

Yoon, Bhattacharya, Gupta, Phys. Rev. D 100, 014504 (2019) Zhang et al, Phys. Rev. D 101, 034516 (2020) Nicoli et al., 2007.07115 (2020)

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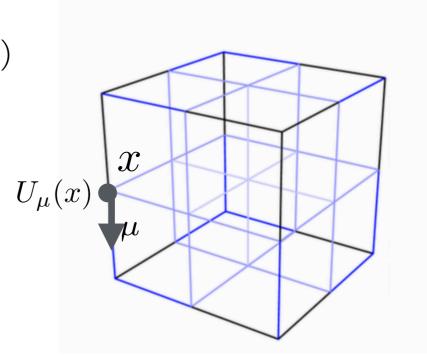
- Contract into correlation functions
- ~few GPUs
- O(100k-1M) copies

Computational cost grows exponentially with size of nuclear system

Generate field configurations $\phi(x)$ with probability

$$P[\phi(x)] \sim e^{-S[\phi(x)]}$$

- Gauge field configurations represented by $\sim 10^{10} \text{ links } U_{\mu}(x) \text{ encoded as SU(3) matrices}$ (3x3 complex matrix M with $\det[M]=1$, $M^{-1}=M^{\dagger}$) i.e., $\sim 10^{12}$ double precision numbers
- Configurations sample probability distribution corresponding to LQCD action $S[\phi]$ (function that defines the quark and gluon dynamics)
 - Weighted averages over configurations determine physical observables of interest
- Calculations use ~103 configurations



QCD gauge field configurations sampled via

Hamiltonian dynamics + Markov Chain Monte Carlo

Molecular dynamics

Classical motion with

$$H = \sum_{x} \frac{\pi^2(x)}{2} + S[\phi(x)]$$

- Reversible
- Volume-preserving

BUT

 Energy non-conservation for numerical integrators

Markov Chain Monte Carlo

Propose update using integrated molecular dynamics trajectory

Accept/ reject with probability

$$\alpha = \min(1, e^{(-S[\phi'(x)] + S[\phi(x)])})$$

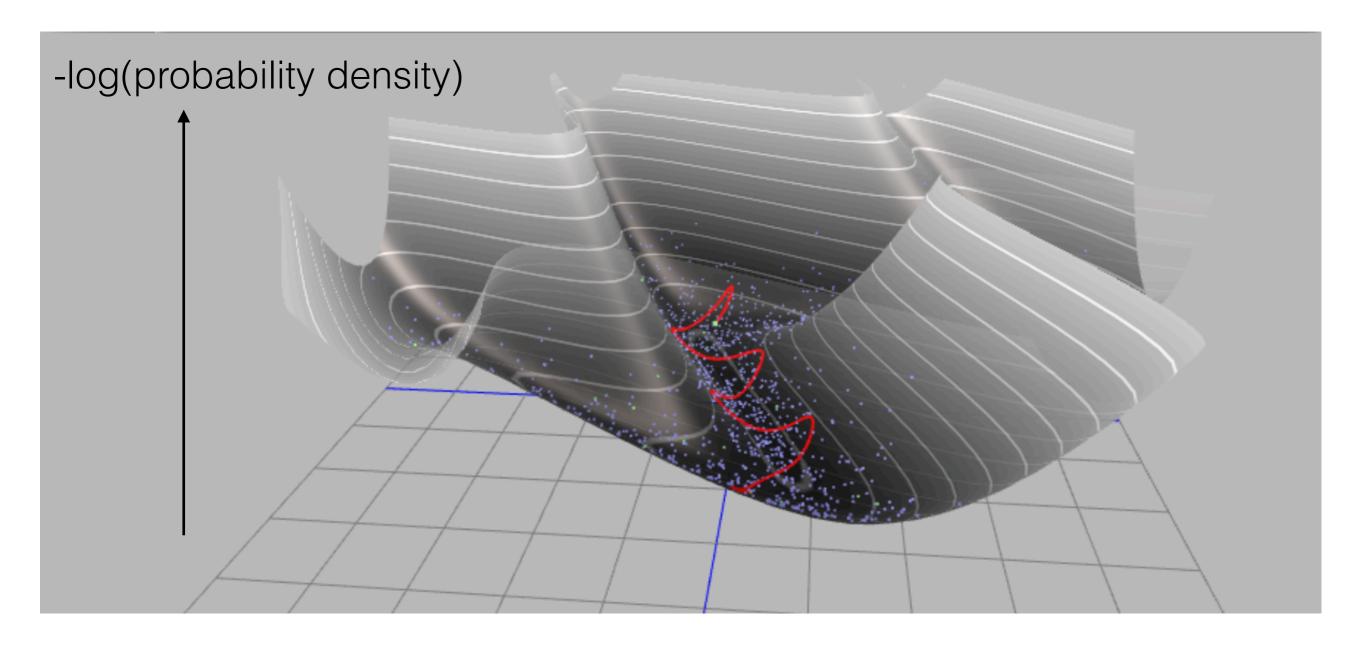
 Numerical error corrected by accept/reject

BUT

Short trajectories for high acceptance

QCD gauge field configurations sampled via

Hamiltonian dynamics + Markov Chain Monte Carlo

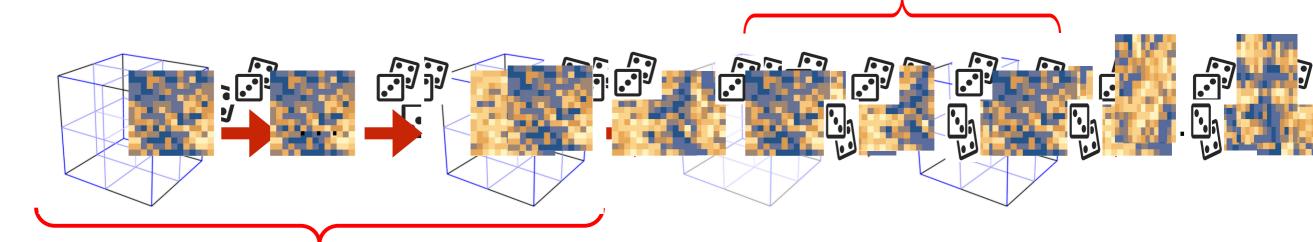


QCD gauge field configurations sampled via

Hamiltonian dynamics + Markov Chain Monte Carlo

Hamiltonian/Hybrid Monte Carlo

correlated



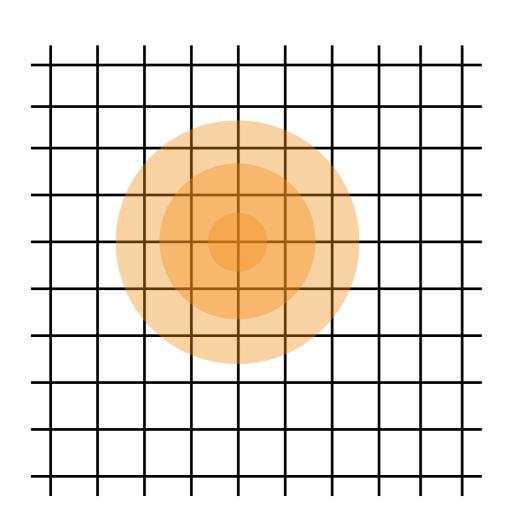
burn-in (discard)

sample every n^{th} : $\sim p(\phi)$

Burn-in time and correlation length dictated by Markov chain 'autocorrelation time': shorter autocorrelation time implies less computational cost

QCD gauge field configurations sampled via

Hamiltonian dynamics + Markov Chain Monte Carlo



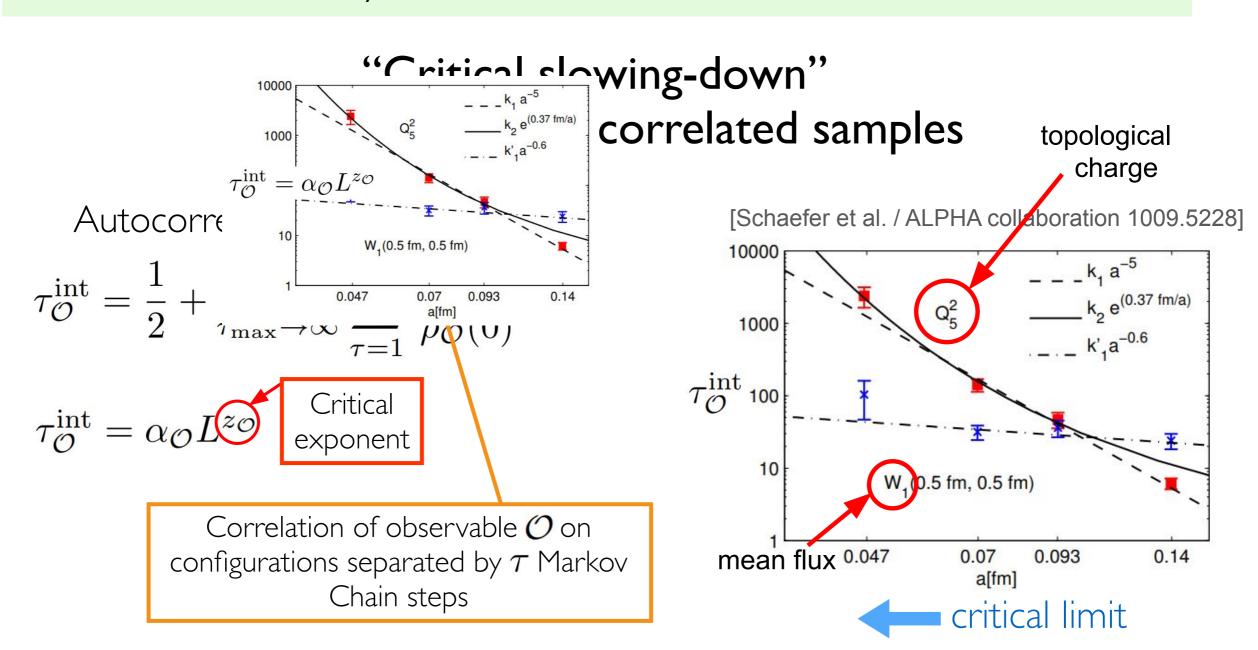
Updates diffusive

Number of updates to change fixed physical length scale

"Critical slowing-down" of generation of uncorrelated samples

QCD gauge field configurations sampled via

Hamiltonian dynamics + Markov Chain Monte Carlo



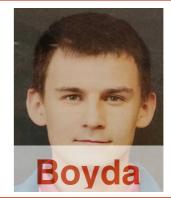
Generative models for QCD gauge field generation



Massachusetts Institute of Technology

























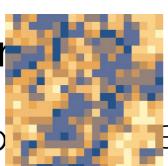
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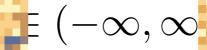
Scal Littice fie leory

Test case: scala

One real numb



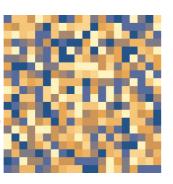
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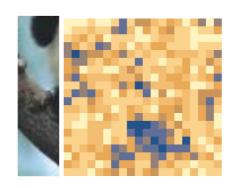


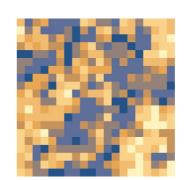








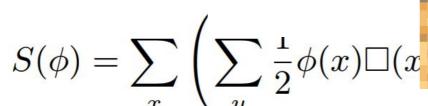




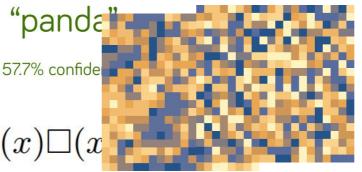




Action: kinetic te



Generate field config





 $+.007 \times$



"panda"

57.7% confidence

noise



"panda"



noise



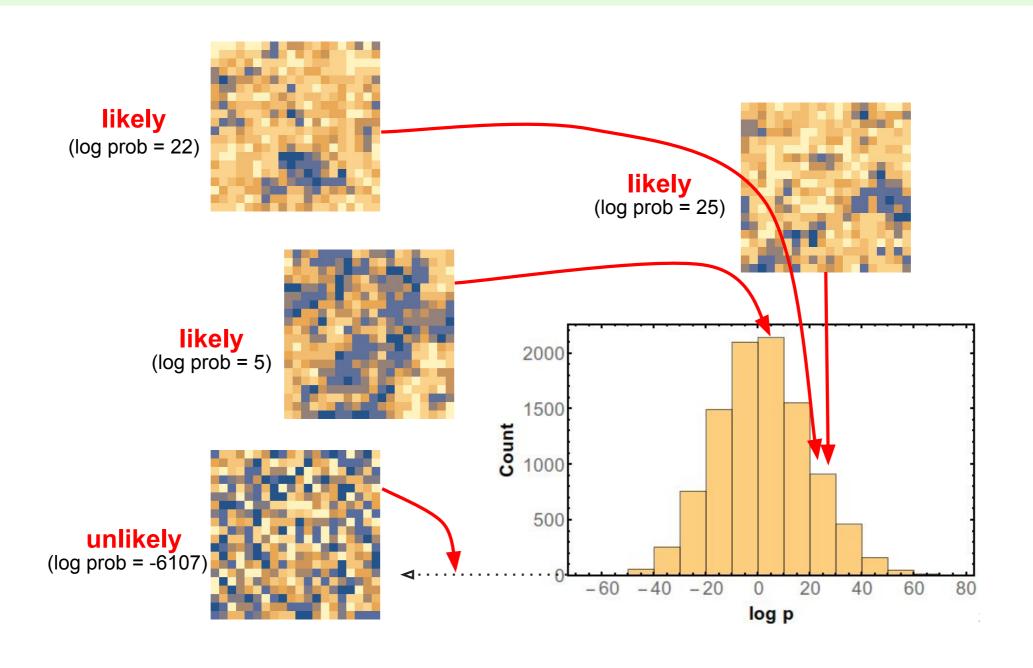
e "gibb

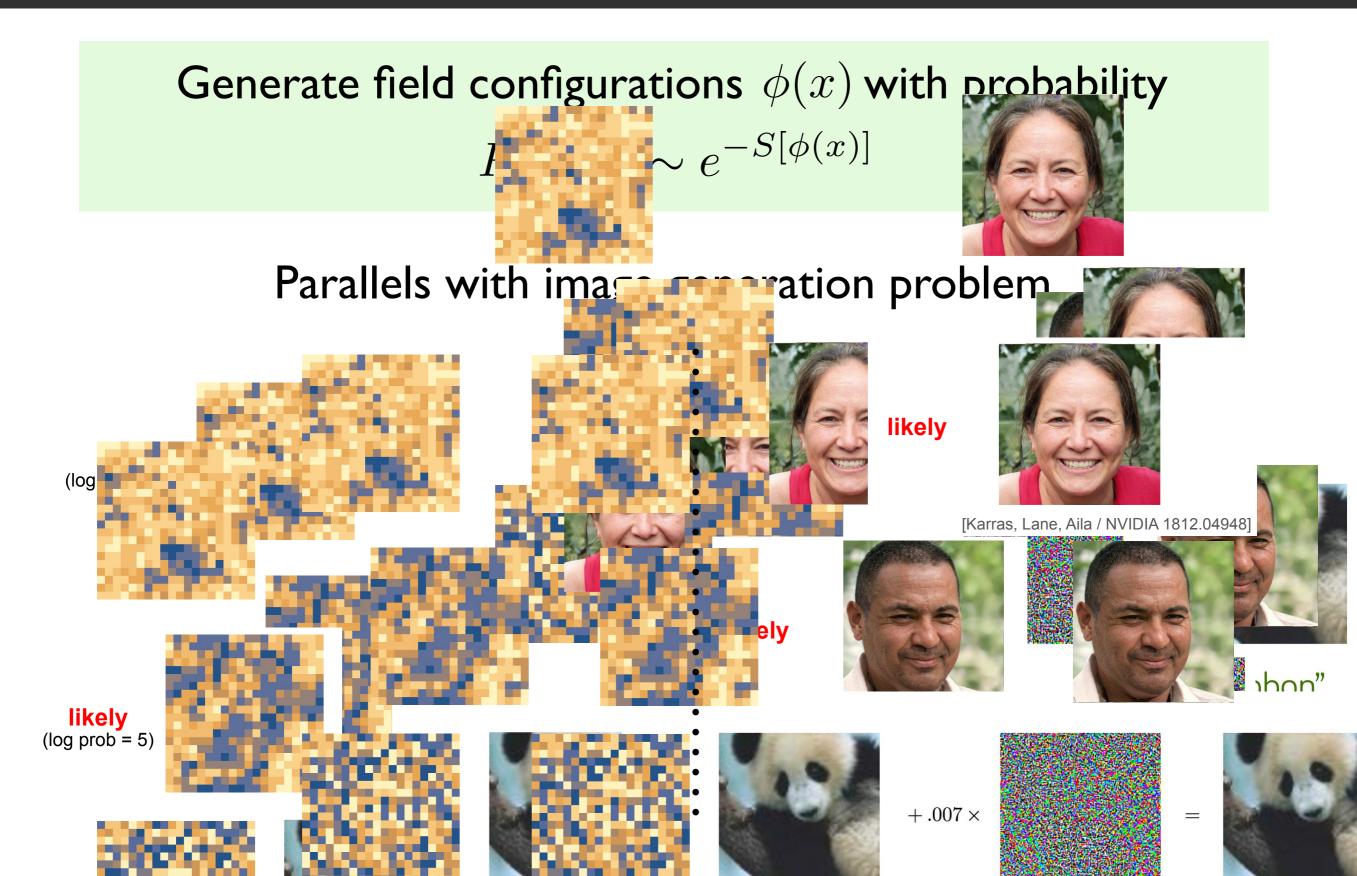
57.7% confidence

99.3% conf

Generate field configurations $\phi(x)$ with probability

$$P[\phi(x)] \sim e^{-S[\phi(x)]}$$



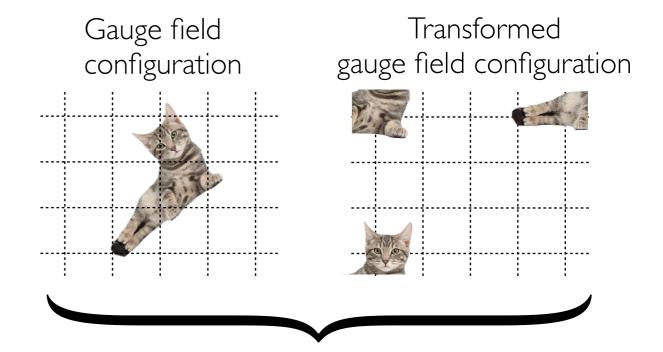


Ensemble of lattice QCD gauge fields

- $64^3 \times 128 \times 4 \times N_c^2 \times 2$ $\approx 10^9 \text{ numbers}$
- \sim 1000 samples
- Ensemble of gauge fields has meaning
- Long-distance correlations are important
- Gauge and translationinvariant with periodic boundaries

Physics is invariant under specific field transformations

Rotation, translation (4D), with boundary conditions



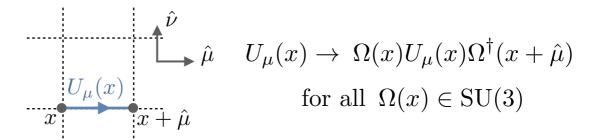
Encode same physics

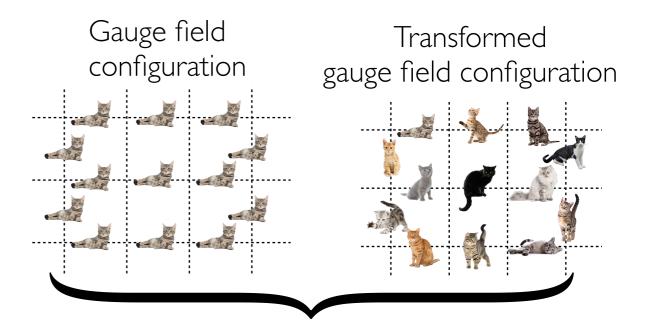
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Physics is invariant under specific field transformations

Gauge transformation





Encode same physics

Machine learning QCD

Ensemble of lattice QCD gauge fields

- $64^3 \times 128 \times 4 \times N_c^2 \times 2$ $\approx 10^9 \text{ numbers}$
- \sim 1000 samples
- Ensemble of gauge fields has meaning
- Long-distance correlations are important
- Gauge and translationinvariant with periodic boundaries

CIFAR benchmark image set for machine learning

- 32 x 32 pixels x 3 cols≈3000 numbers
- 60000 samples
- Each image has meaning
- Local structures are important
- Translation-invariance within frame

Machine learning QCD

Ensemble of lattice QCD a fields

Out-of-the-box ML tools are not appropriate Need custom ML for physics from the ground up

- Gauge and translationinvariant with periodic boundaries

CIFAR benchmark image set for machine learning

 \circ 32 x 32 pixels x 3 cols

Translation-invana. within frame

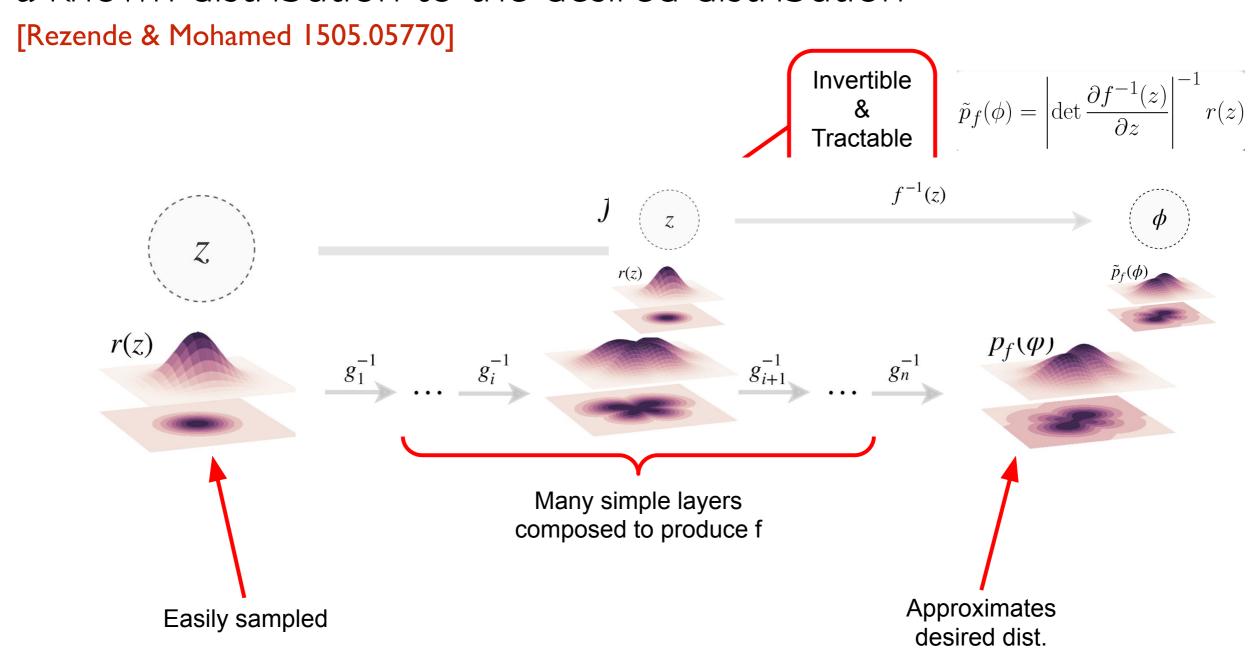
- Probability density can be computed for a given sample (up to normalization) $p(..) = e^{-S(...)}/Z$
- Physics distributions have precise symmetries
 - Lattice symmetries (translation, rotation, reflection)
 - Internal symmetries (gauge symmetries mixing field components)
- Data hierarchies are challenging
 - 109 to 1012 variables per configuration
 - O(1000), samples available (fewer than # degrees of freedom per config)
 - Hard to use training paradigms that rely on existing samples from distribution

Flow-based models learn a change-of-variables that transforms a known distribution to the desired distribution

a known distribution to the desired distribution [Rezende & Mohamed 1505.05770] Invertible & $\tilde{p}_f(\phi) = \left| \det \frac{\partial f^{-1}(z)}{\partial z} \right|^{-1} r(z)$ Tractable $f^{-1}(z)$ $p_f(\varphi)$ **Approximates** Easily sampled

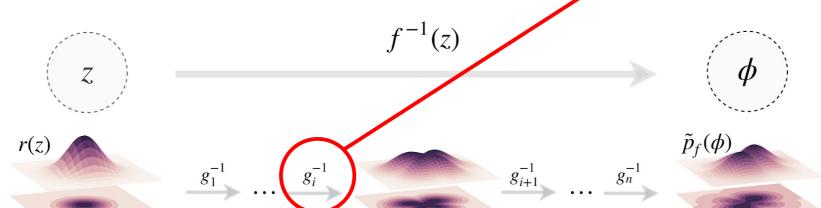
desired dist.

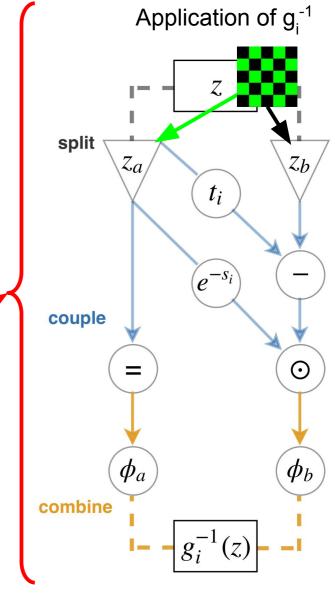
Flow-based models learn a change-of-variables that transforms a known distribution to the desired distribution



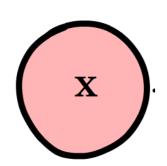
Choose real non-volume preserving flows: [Dinh et al. 1605.08803]

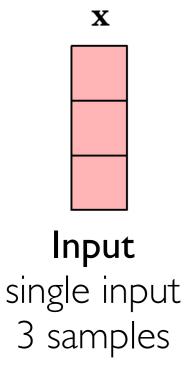
- Affine transformation of half of the variables:
 - scaling by exp(s)
 - translation by t
 - s and t arbitrary neural networks depending on untransformed variables only
- Simple inverse and Jacobian



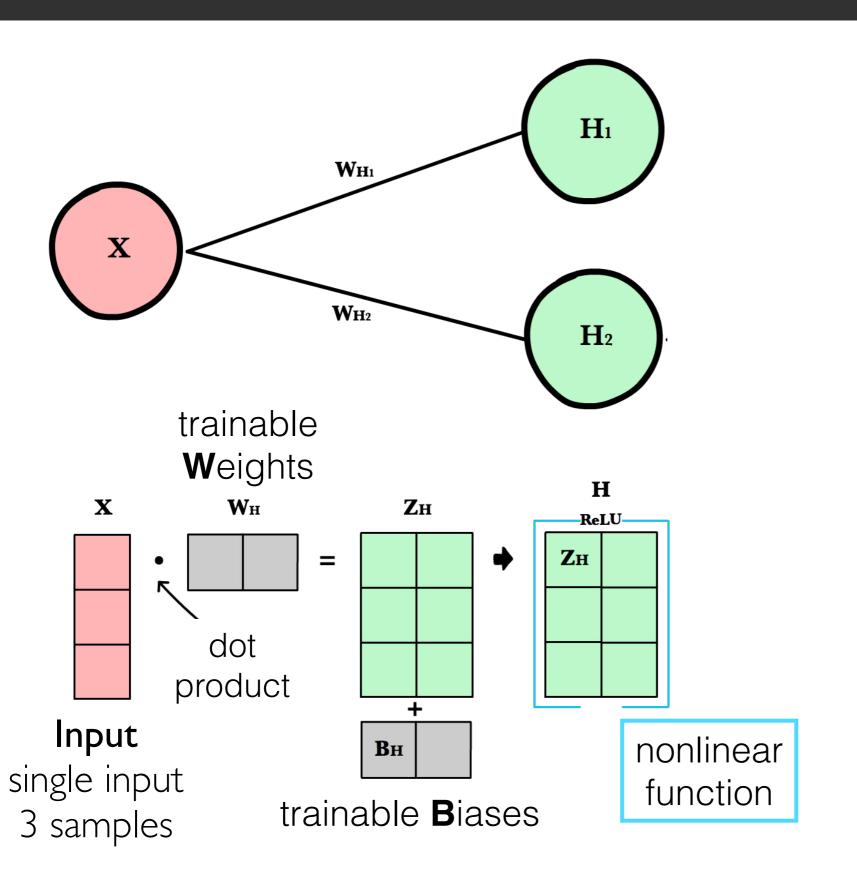


Simple neural network

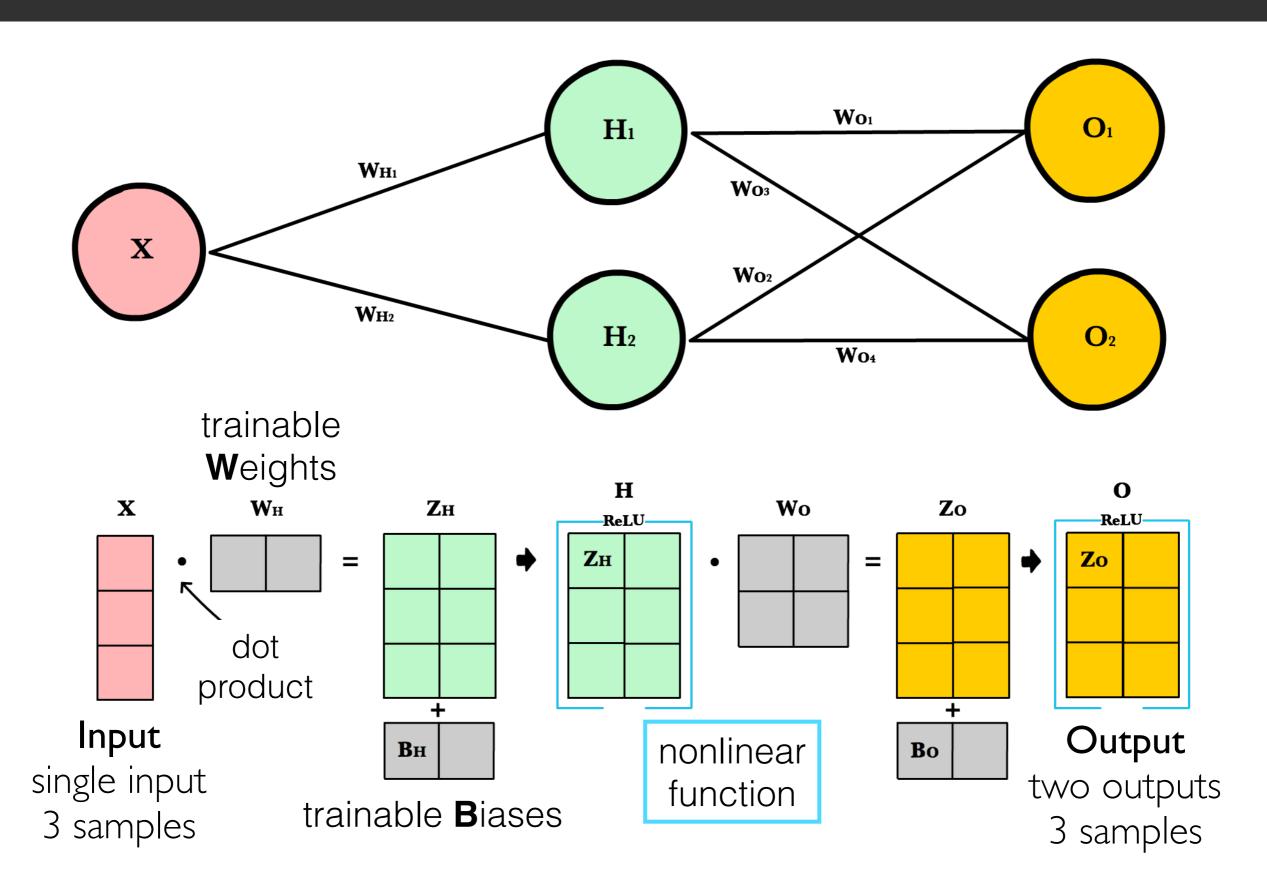




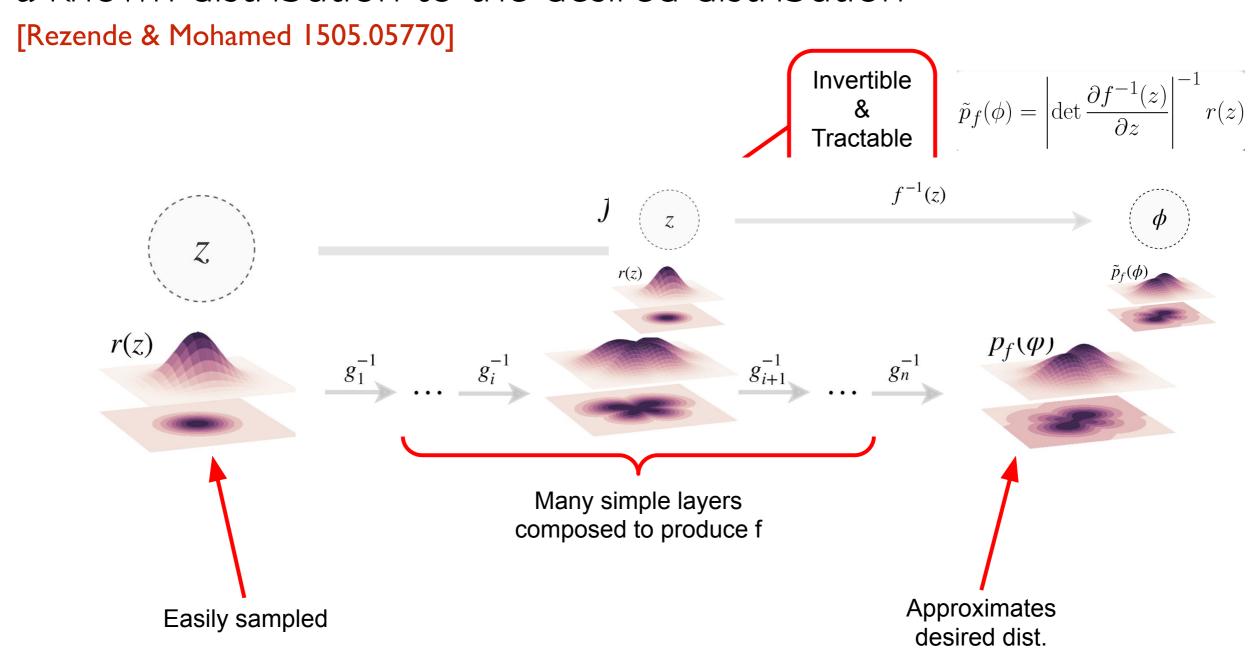
Simple neural network



Simple neural network



Flow-based models learn a change-of-variables that transforms a known distribution to the desired distribution



Training the model

Target distribution is known up to normalisation

$$p(\phi) = e^{-S(\phi)}/Z_{p(\phi) = e^{-S(\phi)}/Z}$$

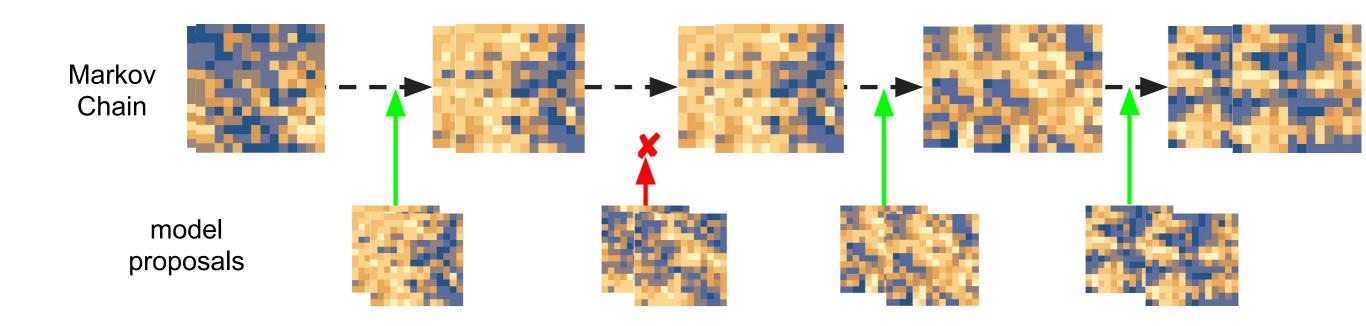
Train to minimise shifted KL divergence: [Zhang, E, Wang 1809.10188]

$$L(\tilde{p}_f) := D_{KL}(\tilde{p}_f||p) - D_{KL}(\tilde{p}$$

Exactness via Markov chain

Guarantee exactness of generated distribution by forming a Markov chain: accept/reject with Metropolis-Hastings step

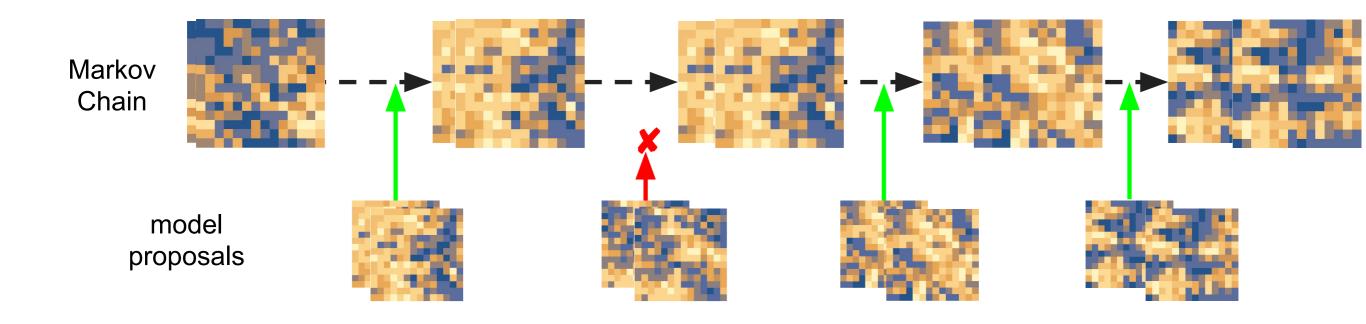
Acceptance probability
$$A(\phi^{(i-1)}, \phi') = \min\left(1, \frac{\tilde{p}(\phi^{(i-1)})}{p(\phi^{(i-1)})} \frac{p(\phi')}{\tilde{p}(\phi')}\right)$$
 proposal independent of previous sample



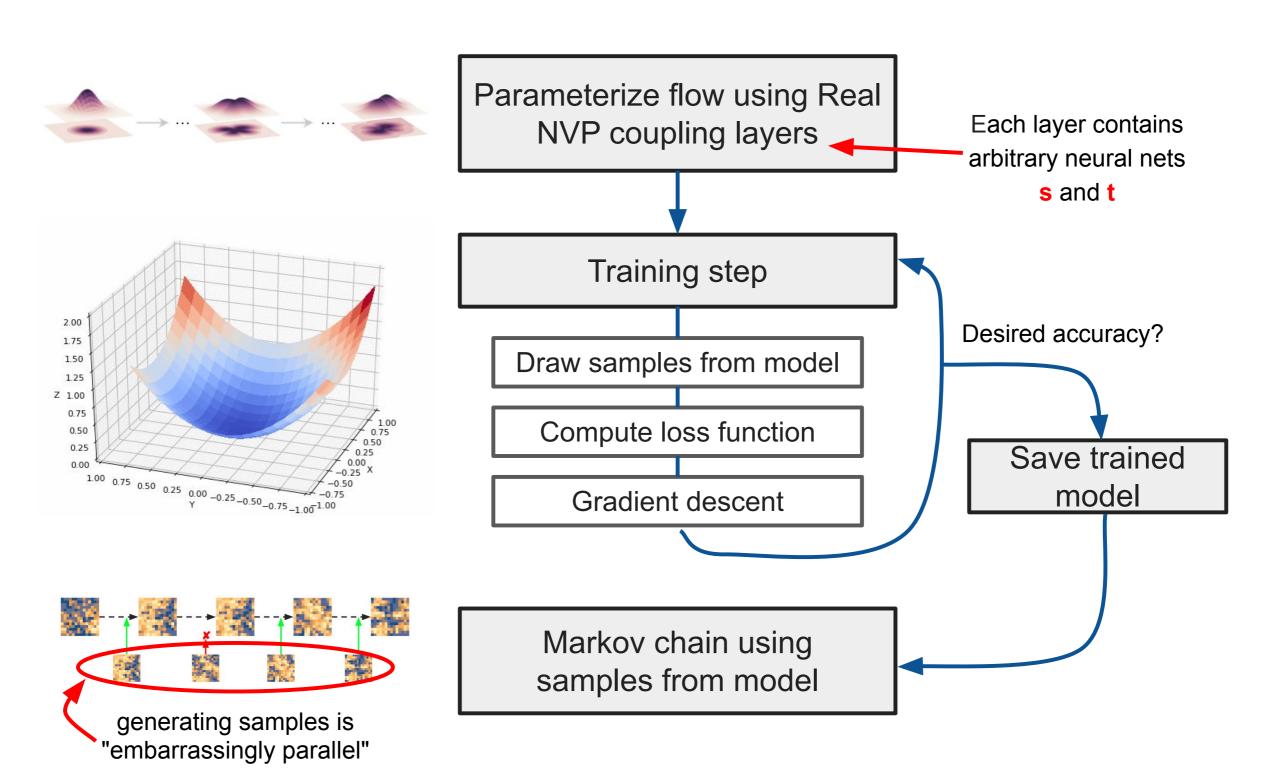
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 True dist proposal independent of previous sample



Fields via flow models



Summary chart: Tej Kanwar

Application: scalar field theory

First application: scalar lattice field theory

- One real number $\phi(x) \in (-\infty, \infty)$ per lattice site x (2D lattice)
- Action: kinetic terms and quartic coupling

$$S(\phi) = \sum_{x} \left(\sum_{y} \frac{1}{2} \phi(x) \Box(x, y) \phi(y) + \frac{1}{2} m^{2} \phi(x)^{2} + \lambda \phi(x)^{4} \right)$$

5 lattice sizes: $L^2 = \{6^2, 8^2, 10^2, 12^2, 14^2\}$ with parameters tuned for analysis of critical slowing down

 1	T:1	Fo	E2	E4	
T	E1	E2	E3	$\frac{E4}{12}$	$\frac{E5}{14}$
m^2	4	4	10	12	14
$\frac{m}{\lambda}$	$\frac{-4}{6.975}$	$\frac{-4}{6.008}$	$\frac{-4}{5.550}$	$\frac{-4}{5.276}$	$\frac{-4}{5.113}$
m_pL	3.96(3)	3.97(5)	4.00(4)	3.96(5)	4.03(6)

Application: scalar field theory

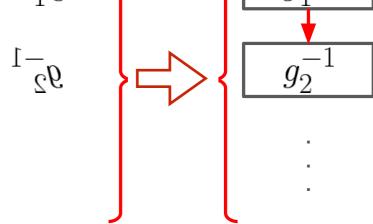
First application: scalar lattice field theory

Prior distribution chosen to be uncorrelated Gaussian:

$$\phi(x) \sim \mathcal{N}(0,1)$$

$$\phi(x) \sim \mathcal{N}(0,1)$$

- Real non-volume-preserving (NVP) couplings___
 - * 8-12 Real NVP coupling layers
 - * Alternating checkerboard pattern for variable split *
 - * NNs with 2-6 fully connected layers with 100-1024 hidden units
- Train using shifted KL loss with Adam opti
 - * Stopping criterion: fixed acceptance rate in Metro Hastings MCMC

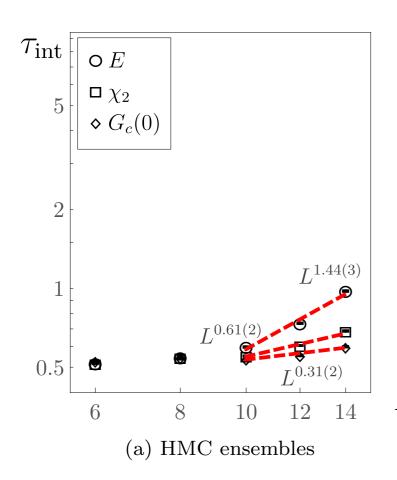


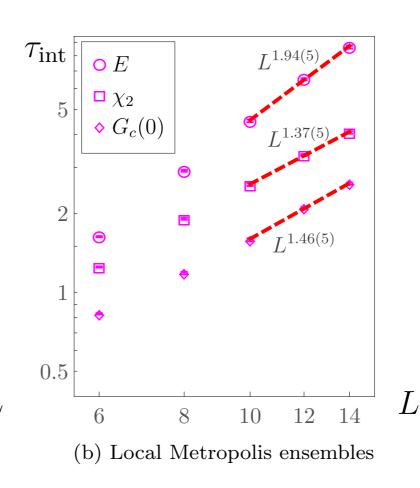
Application: scalar field theory

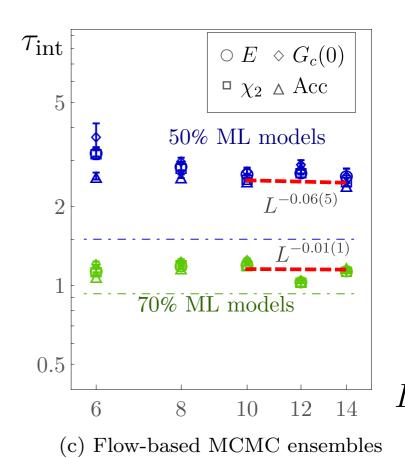
First application: scalar lattice field theory

Success: Critical slowing down is eliminated

Cost: Up-front training of the model







Dynamical critical exponents

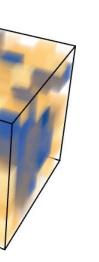
consistent with zero

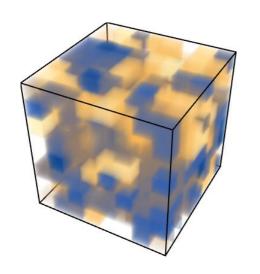
Next steps: ML for LQCD

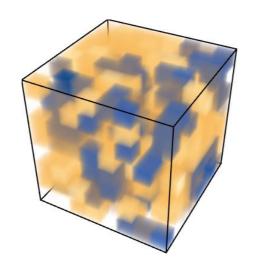
Target application: Lattice QCD for nuclear physics

- \blacksquare Scale number of dimensions \rightarrow 4D
- 2. Scale number of degrees of freedom \rightarrow 48³ x 96
- 3. Methods for gauge theories

[MIT, NYU, DeepMind, arXiv:2002.02428, arXiv:2003.06413]





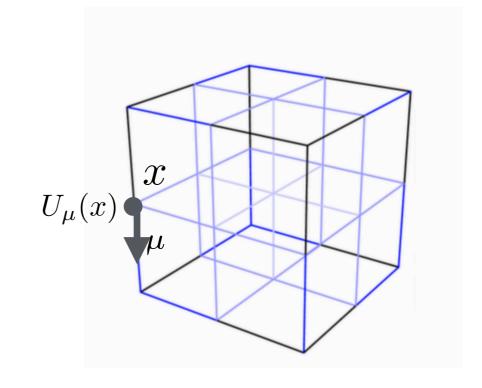




Incorporating symmetries

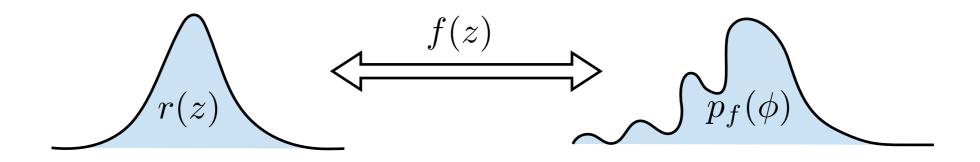
Gauge field theories

- Field configurations represented by links $U_{\mu}(x)$ encoded as matrices
- e.g., for Quantum Chromodynamics, SU(3) matrices (3x3 complex matrices M with det[M]=1, $M^{-1}=M^{\dagger}$)
- Group-valued fields live not on real line but on compact manifolds
- Action is invariant under group transformations on gauge fields

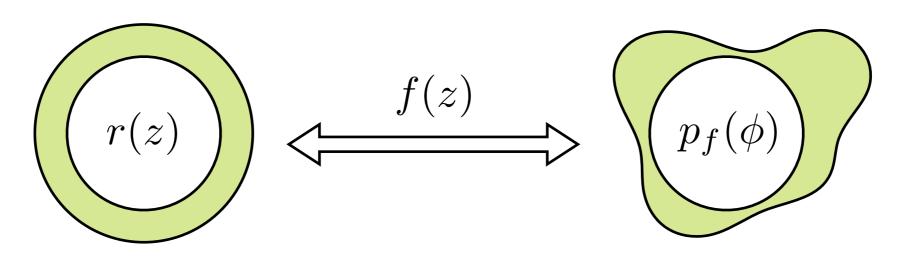


- Flows on compact, connected manifolds
- 2. Incorporate symmetries: gauge-equivariant flows

Previously: Real non-volume preserving flows

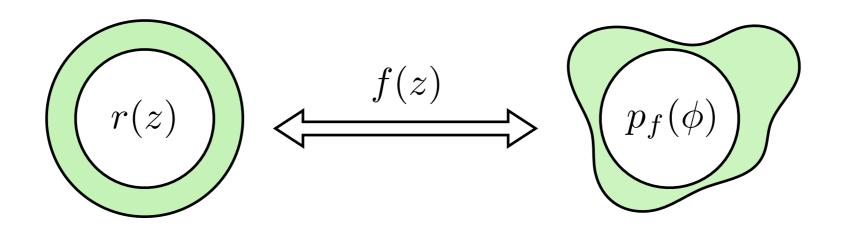


Need: Flows on compact, connected manifolds e.g., circles, tori, spheres



Test case: Flows on the circle

e.g., U(1) field theory, robot arm positions



Diffeomorphism requires:

$$f(0) = 0,$$
 Ensures transformation is monotonic
$$\nabla f(\theta) > 0, \qquad \text{invertible}$$

$$\nabla f(\theta)|_{\theta=0} = \nabla f(\theta)|_{\theta=2\pi}$$

Expressive transformations through:

- Composition $f = f_K \circ \cdots \circ f$
- Convex combination $\rho_i \geq 0$ $f(\theta) = \sum_i \rho_i f_i(\theta)$ $\sum_i \rho_i = 1$

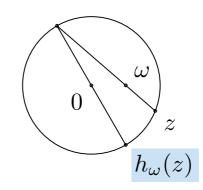
[arXiv:2002.02428]

Normalizing Flows on Tori and Spheres

Danilo Jimenez Rezende * 1 George Papamakarios * 1 Sébastien Racanière * 1 Michael S. Albergo 2 Gurtej Kanwar 3 Phiala E. Shanahan 3 Kyle Cranmer 2

Mobius transformation

Rotation to fix
$$f(heta=0)$$
 $f_{\omega}(heta)=R_{\omega}\circ h_{\omega}(z)$



- Circular splines
 - ullet Rational quadratic function of ullet on each of K segments
 - Several conditions on coefficients to guarantee diffeomorphism
- Non-compact projection
 - Project to the real line and back: careful with numerical instabilities at endpoints

$$f(\theta) = \frac{\alpha_{k2}\theta^2 + \alpha_{k1}\theta + \alpha_{k0}}{\beta_{k2}\theta^2 + \beta_{k1}\theta + \beta_{k0}}$$

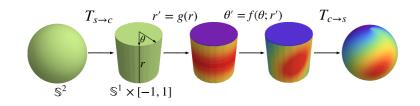
$$f(\theta) = 2 \tan^{-1} \left(\alpha \tan \left(\frac{\theta}{2} - \frac{\pi}{2} \right) + \beta \right) + \pi$$

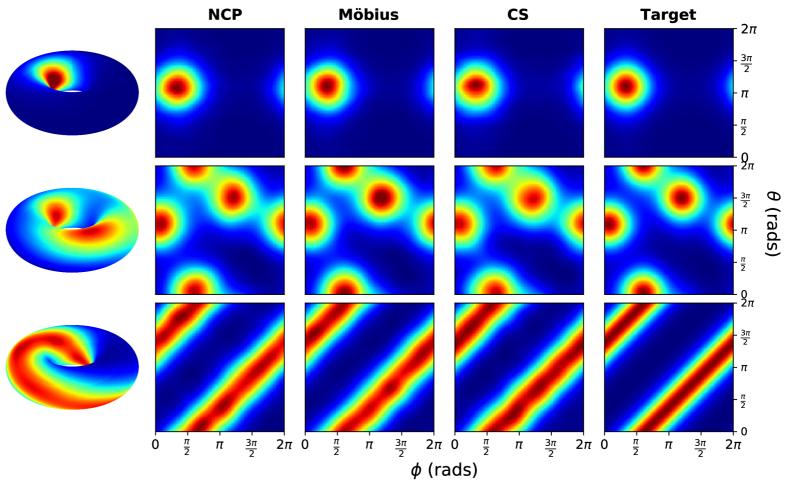
[arXiv:2002.02428]

Normalizing Flows on Tori and Spheres

Danilo Jimenez Rezende * 1 George Papamakarios * 1 Sébastien Racanière * 1 Michael S. Albergo 2 Gurtej Kanwar 3 Phiala E. Shanahan 3 Kyle Cranmer 2

- Extend straightforwardly to cartesian products of circles and intervals (e.g., tori)
- Extend recursively to D-dimensional spheres





Incorporating symmetries

Incorporating symmetries

- Not essential for correctness of ML-generated ensembles
- BUT: Likely important in training high-dimensional models especially with high-dimensional symmetries

Flow defined from coupling layers will be invariant under symmetry if

- The prior distribution is symmetric
- Each coupling layer is equivariant under the symmetry i.e., all transformations commute through application of the coupling layer

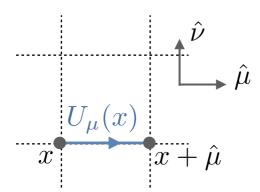
Gauge field theory

First gauge theory application: U(I) field theory

Generative flow architecture that is gauge-equivariant

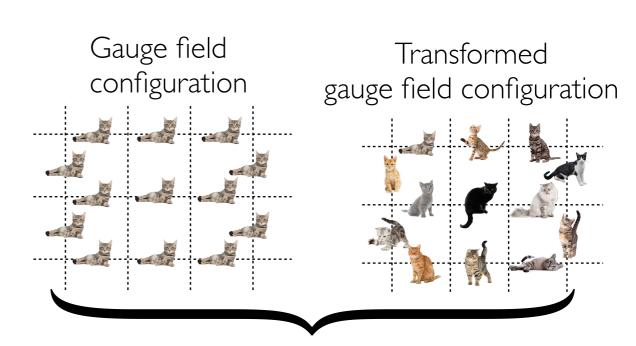
Gauge transformation

Separate group transformation of each link matrix $U_{\mu}(x)$



$$U_{\mu}(x) \to U'_{\mu}(x) = \Omega(x)U_{\mu}(x)\Omega^{\dagger}(x+\hat{\mu})$$

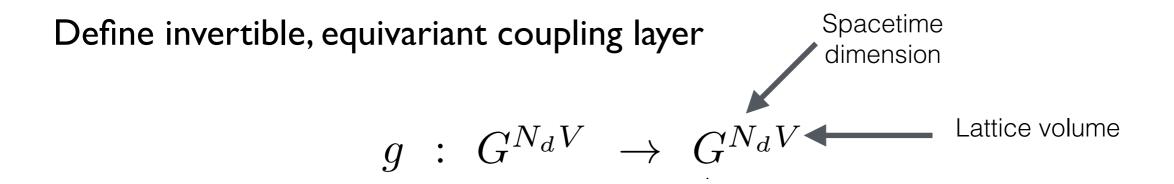
for all $\Omega(x) \in U(1)$



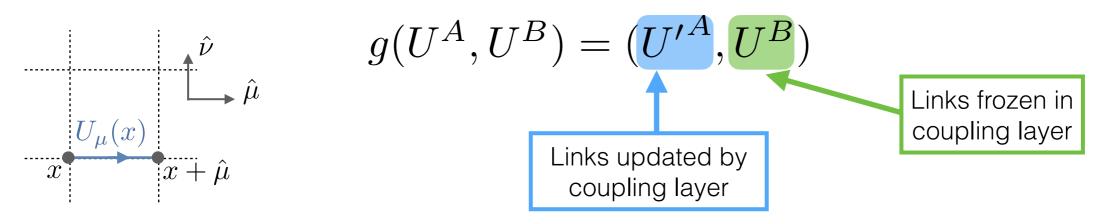
Encode same physics

First gauge theory application: U(I) field theory

Generative flow architecture that is gauge-equivariant



Act on a subset of the variables in each layer



First gauge theory application: U(I) field theory

Generative flow architecture that is gauge-equivariant

Define invertible, equivariant coupling layer $g(U^A, U^B) = (U'^A, U^B)$

Link updates via a kernel $h:G \to G$

Coupling layer equivariant under the condition

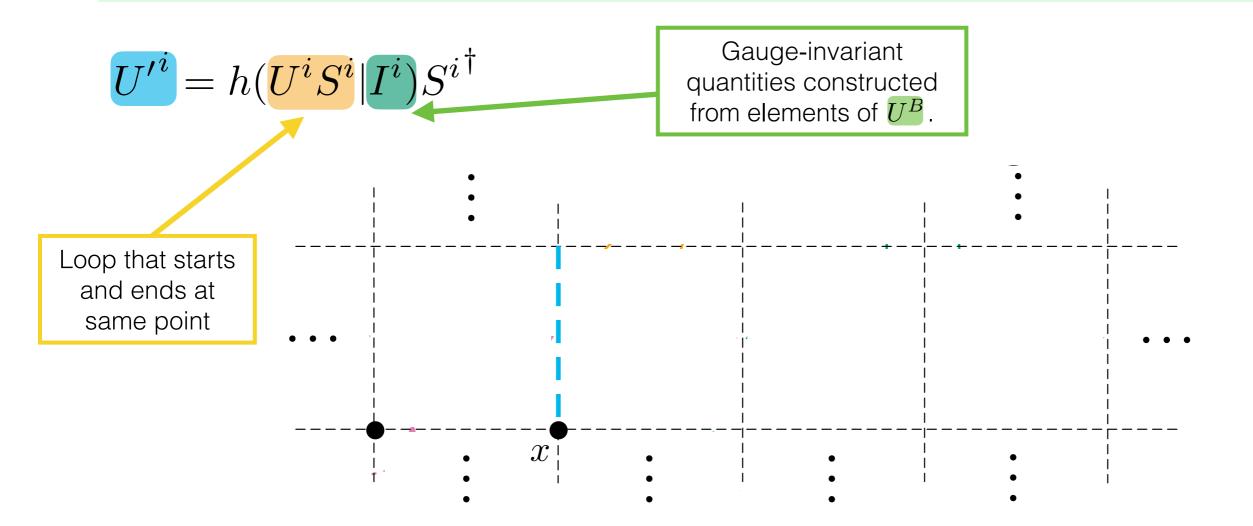
$$h(XWX^{\dagger}) = X h(W) X^{\dagger}, \quad \forall X, W \in G$$

Gauge-invariant quantities constructed from elements of $\overline{U^B}$.

Loop that starts and ends at same point

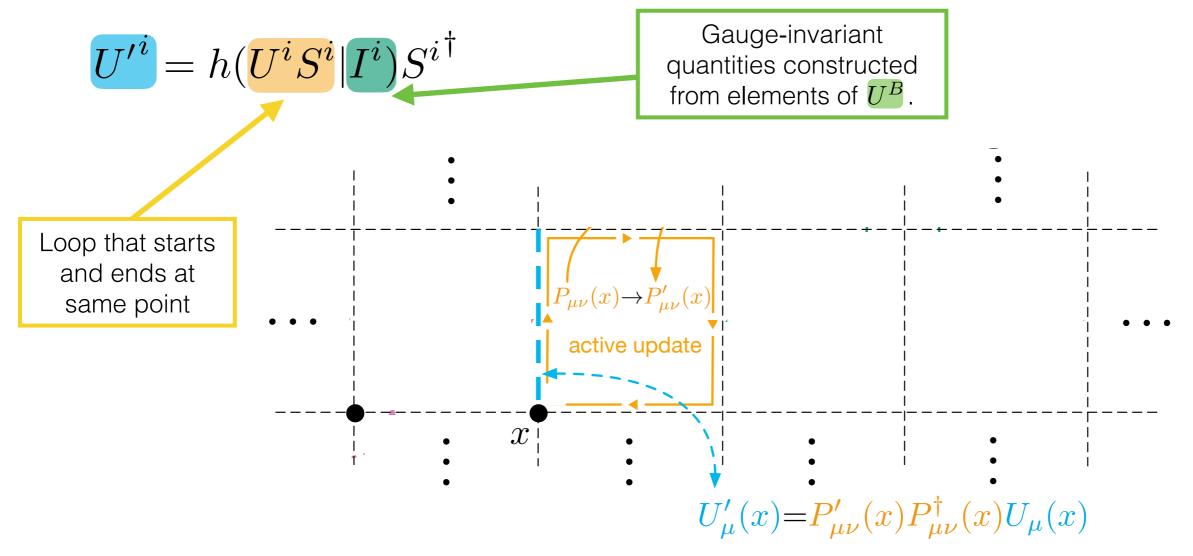
First gauge theory application: U(I) field theory

Generative flow architecture that is gauge-equivariant



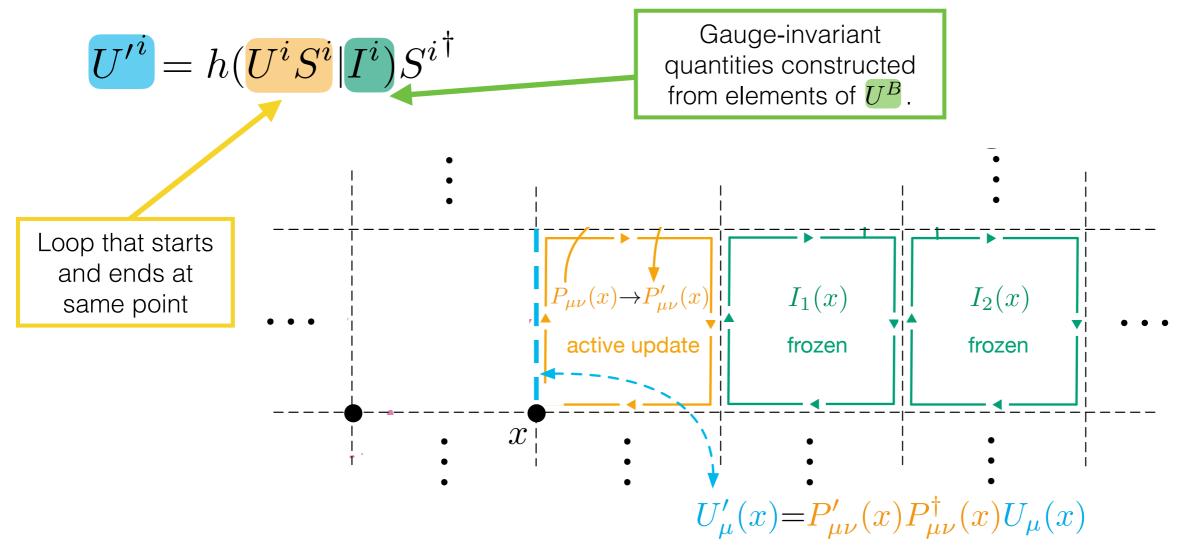
First gauge theory application: U(I) field theory

Generative flow architecture that is gauge-equivariant



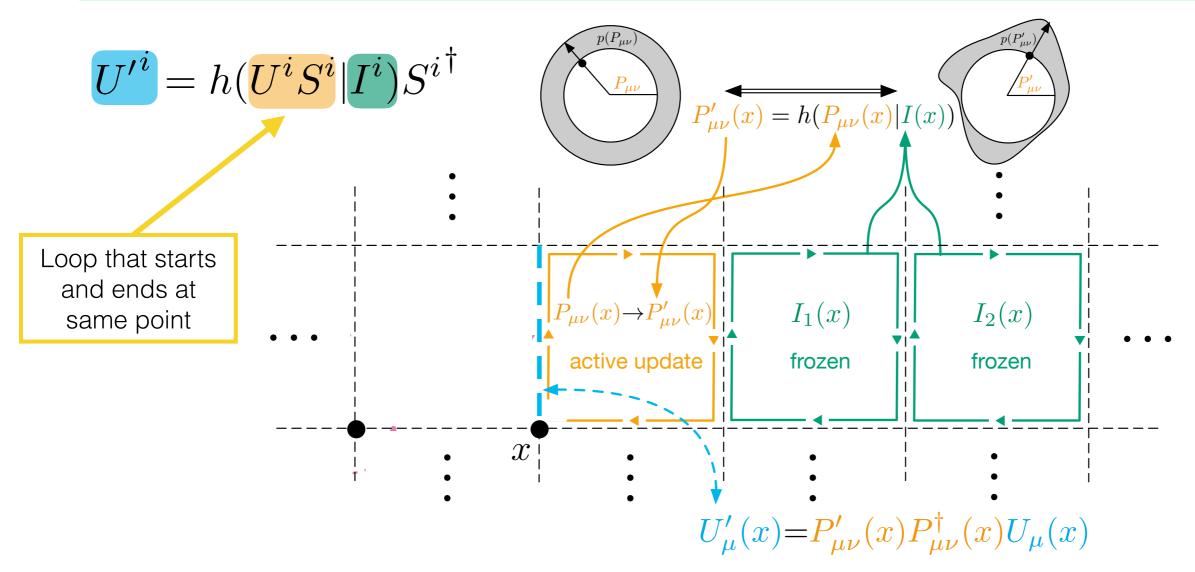
First gauge theory application: U(I) field theory

Generative flow architecture that is gauge-equivariant



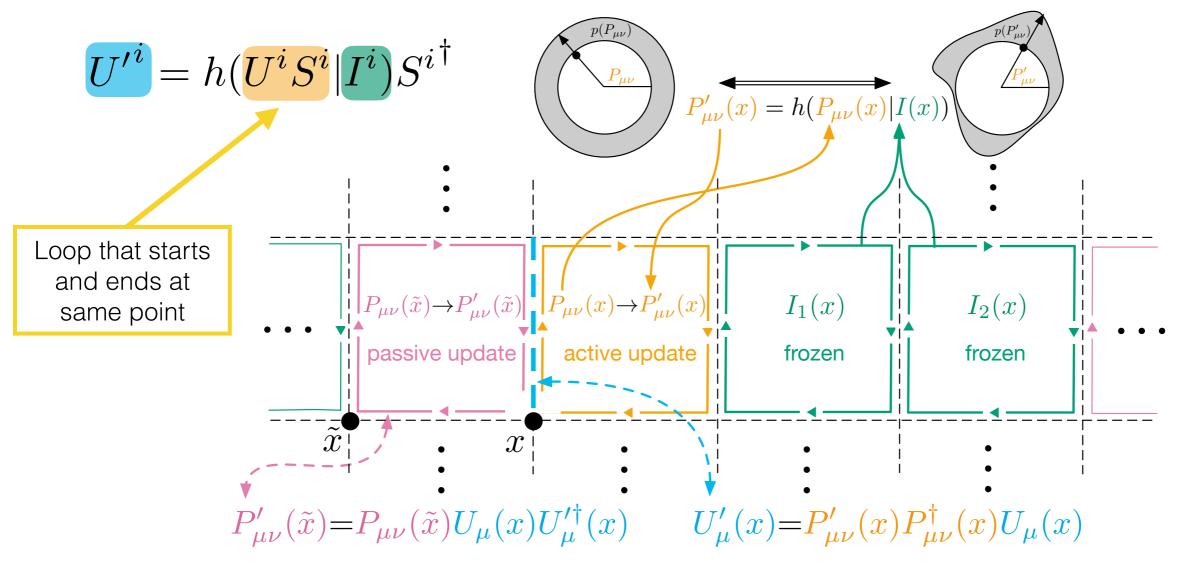
First gauge theory application: U(I) field theory

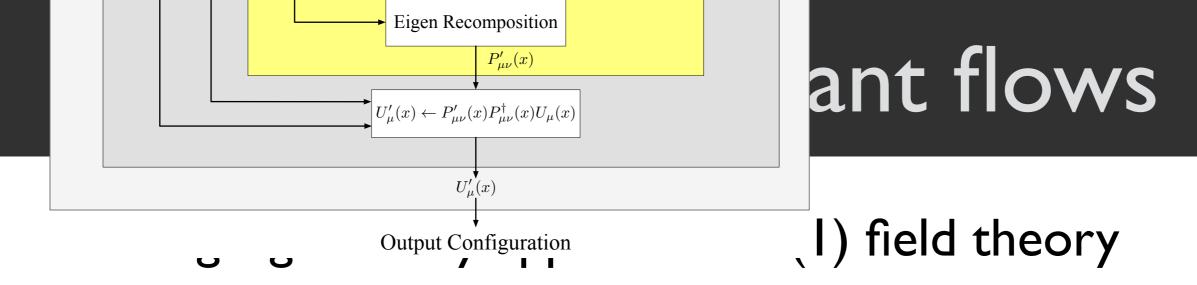
Generative flow architecture that is gauge-equivariant



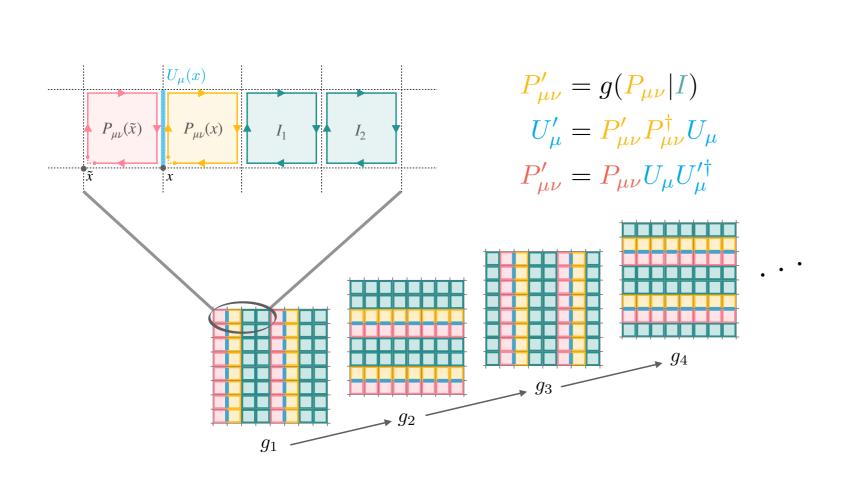
First gauge theory application: U(I) field theory

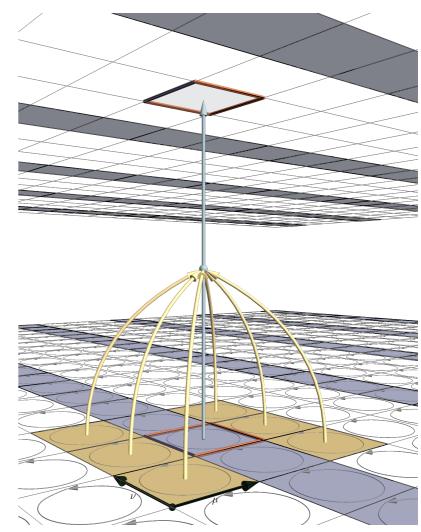
Generative flow architecture that is gauge-equivariant

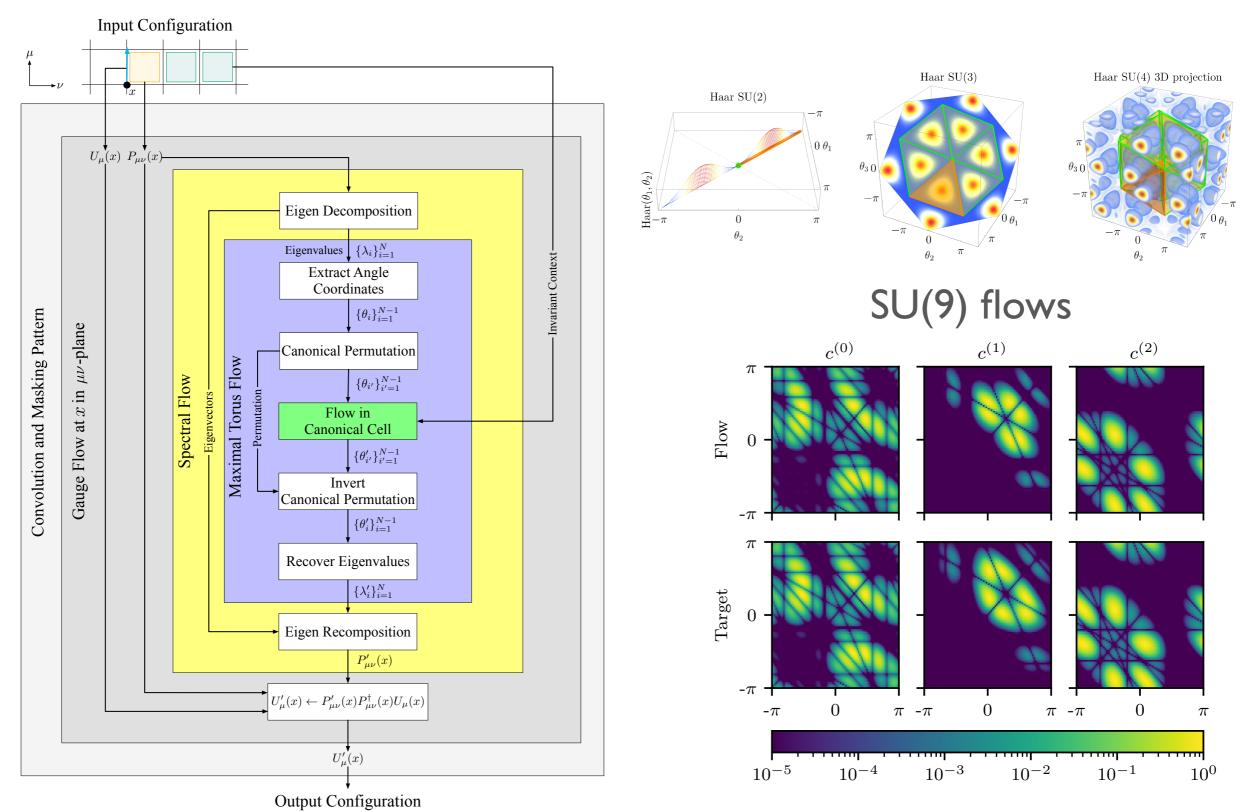




Generative flow architecture that is gauge-equivariant







First gauge theory application: U(I) field theory

- lacksquare One complex number $U=e^{i heta}$ per link on a 2D lattice
- Action: expressed in terms of plaquettes (products of links around closed loops) with a single coupling

$$S(U) := -\beta \sum_{x} \operatorname{Re} P(x)$$

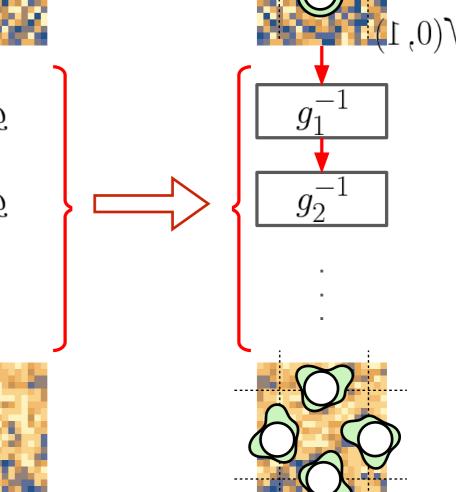
$$P(x) := U_0(x)U_1(x+\hat{0})U_0^{\dagger}(x+\hat{1})U_1^{\dagger}(x)$$

$$U_1^{\dagger}(x) = U_0(x)U_1(x+\hat{0})U_0^{\dagger}(x+\hat{1})U_1^{\dagger}(x)$$

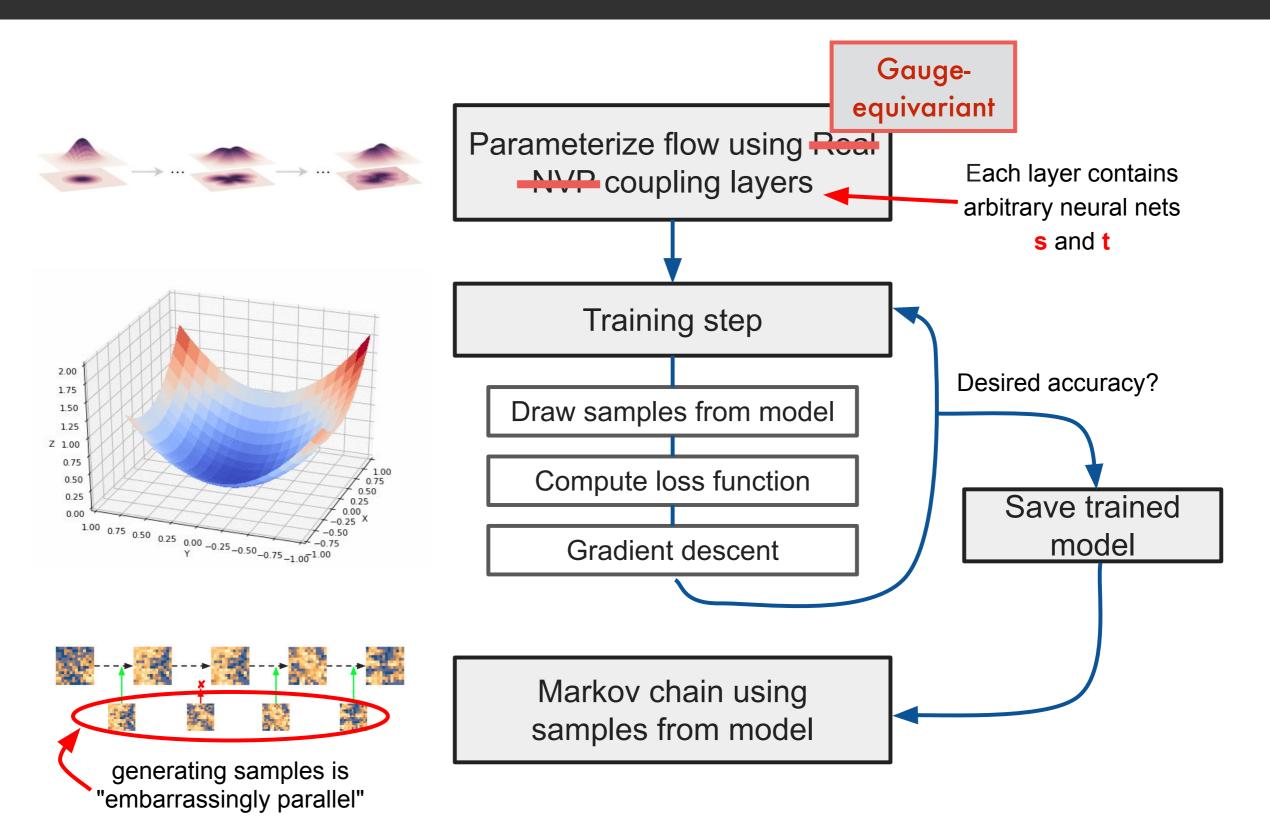
- Fixed lattice size: $L^2=16$ with couplings $\beta=\{1,2,3,4,5,6,7\}$
- Continuum limit (critical slow-down) as $\beta \to \infty$.

First gauge theory application: U(I) field theory

- Prior distribution chosen to be unifor
- Gauge-equivariant coupling layers (0,1)
 - * 24 coupling layers
 - * Kernels h: mixtures of non-compact projections, ¹⁶ 6 components, parameterised with convolutional NNs (i.e., NN output gives params. of NCP)
 - * NNs with 2 hidden layers with 8x8 convolutional filters, kernel size 3
- Train using shifted KL loss with Adam optimizer
 - * Stopping criterion: loss plateau



Fields via flow models



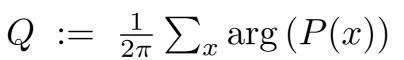
Summary chart: Tej Kanwar

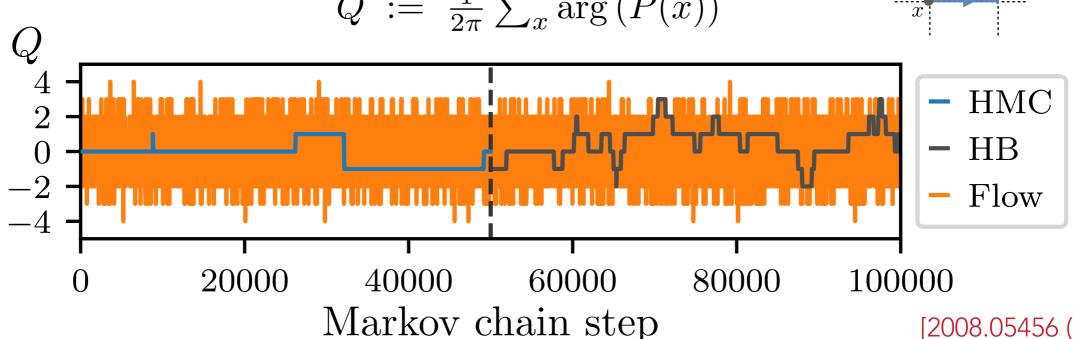
First gauge theory application: U(I) field theory

Success: Critical slowing down is significantly reduced

Up-front training of the model Cost:

Sampling of the topological charge





2D, L=16,
$$\beta$$
=6

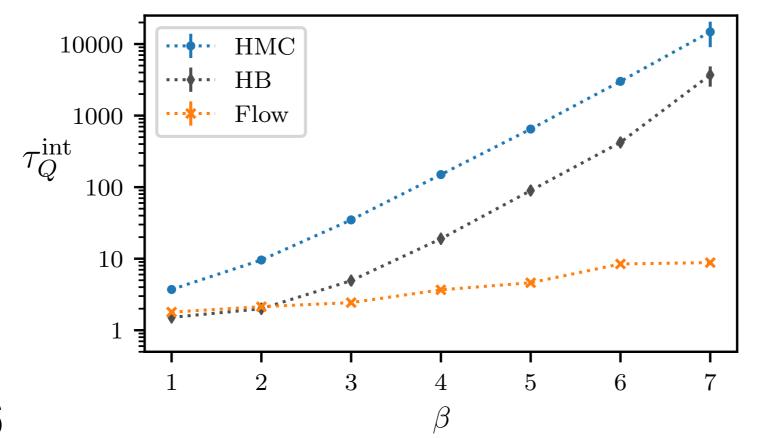
[2008.05456 (2020), PRL 125, 121601 (2020), 2002.02428 (2020)]

First gauge theory application: U(I) field theory

Success: Critical slowing down is significantly reduced

Cost: Up-front training of the model

Integrated autocorrelation time



2D, L=16

[2008.05456 (2020), PRL 125, 121601 (2020), 2002.02428 (2020)]

First gauge theory application: U(I) field theory

Successor Critical daving davin is significantly radius d

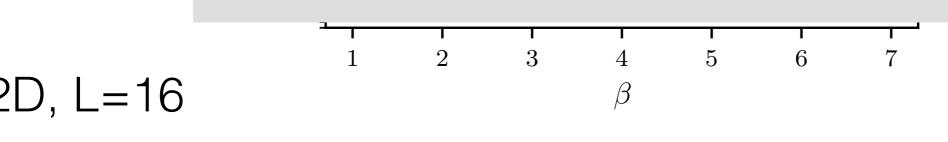
C

SUCCESS!

Proof-of-principle of efficient, exact, ML algorithm for U(N) and SU(N) LQFT

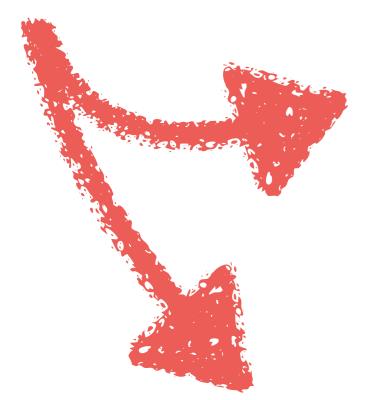


Significant work required to add fermions, scale to state-of-the-art



[2008.05456 (2020), PRL 125, 121601 (2020), 2002.02428 (2020)]

Interdisciplinary applications



Molecular genetics and drug design



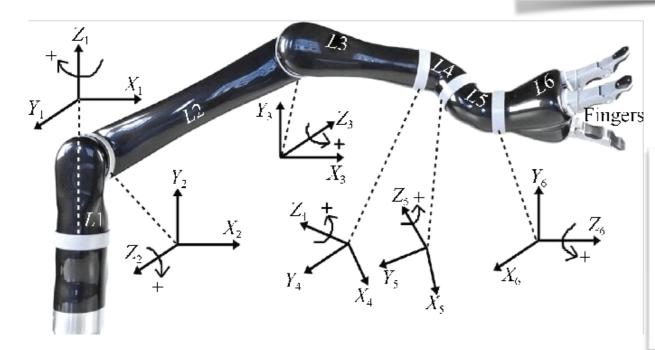
RESEARCH ARTICLE SUMMARY

MACHINE LEARNING

Boltzmann generators: Sampling equilibrium states of many-body systems with deep learning

Frank Noé*†, Simon Olsson*, Jonas Köhler*, Hao Wu

Robotics



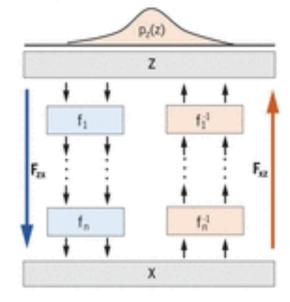
H. Application: Multi-Link Robot Arm

As a concrete application of flows on tori, we consider the problem of approximating the posterior density over joint angles $\theta_{1,\dots,6}$ of a 6-link 2D robot arm, given (soft) constraints on the position of the tip of the arm. The possible configurations of this arm are points in \mathbb{T}^6 . The position r_k of a joint $k=1,\dots,6$ of the robot arm is given by

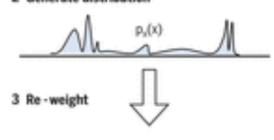
$$r_k = r_{k-1} + \left(l_k \cos\left(\sum_{j \le k} \theta_j\right), l_k \sin\left(\sum_{j \le k} \theta_j\right)\right),$$

there $m_0 = (0, 0)$ is the nosition where the arm is affived

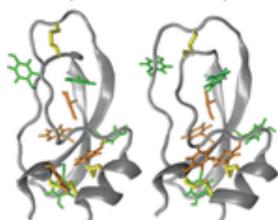
1 Sample Gaussian distribution



2 Generate distribution







Outlook

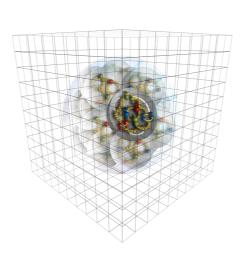
ML-accelerated algorithms have huge potential to enable first-principles nuclear physics studies

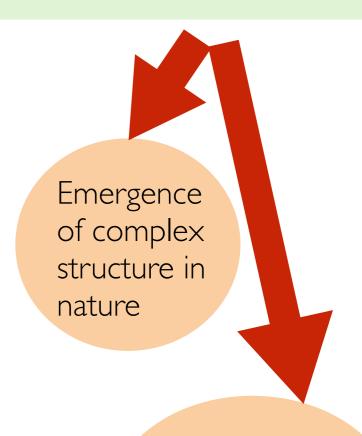
Flow-based generation of QCD gauge fields at scale would

- * Enable fast, embarrassingly parallel sampling
 - → high-statistics calculations
- * Allow parameter-space exploration (re-tune trained models)
- * Reduce storage challenges (store only model, not samples)

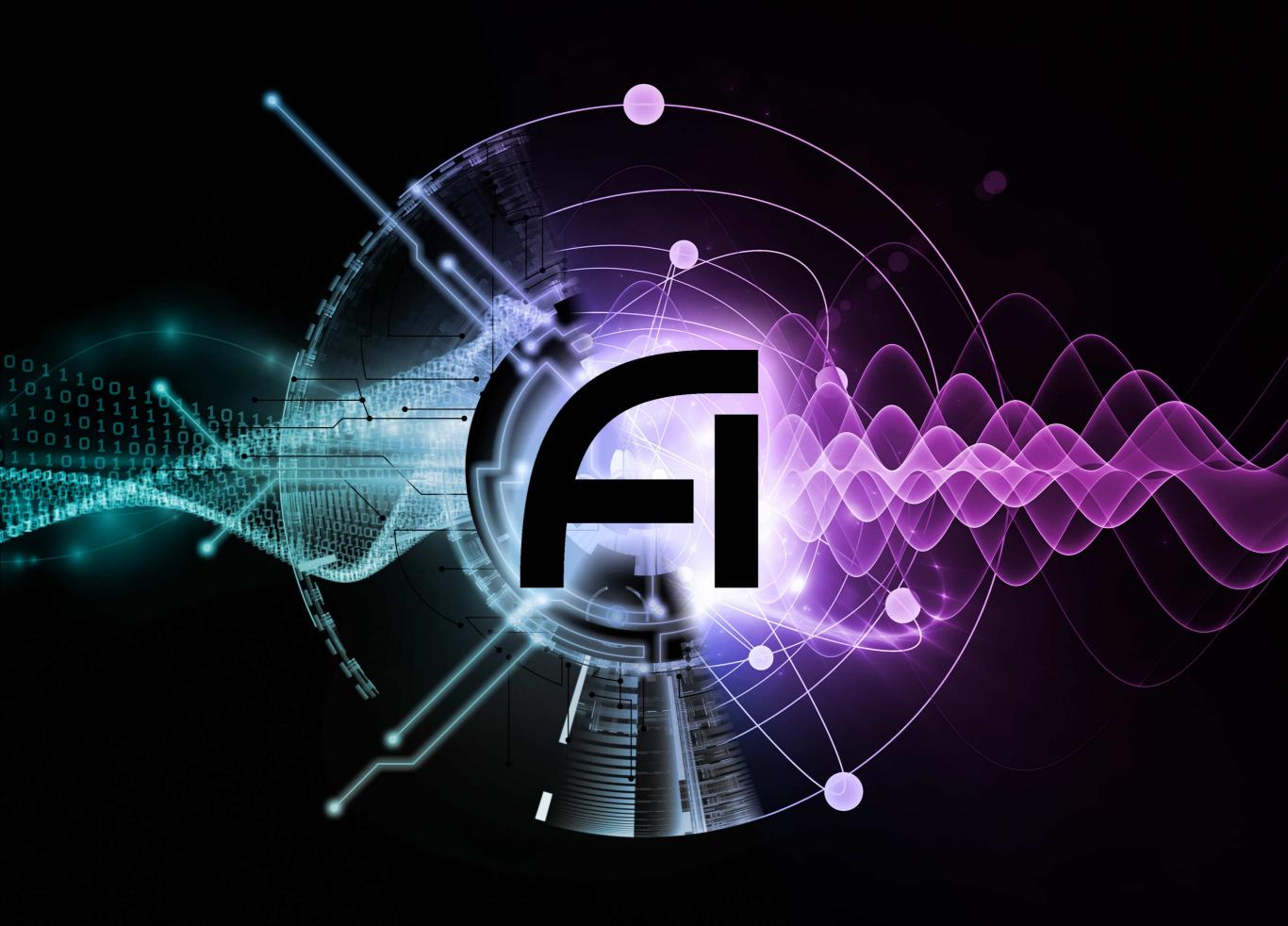
Implementations of flow models at scale (e.g., 4D, $64^3 \times 128$) conceptually straightforward, but work needed

- * Training paradigms
- * Model parallelism
- * Exascale-ready implementations
- * ...





Backgrounds and benchmarks for searches for new physics



Joint software effort

Our codes exploit and extend existing ML software frameworks

Tensorflow



Pytorch



JAX





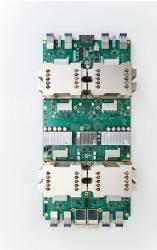
Active research projects into training protocols:

- Pruning
- Hyperparameter searches
- Initialisation frameworks

• . . .

We run on

- CPUs
- GPUs
- TPUs



Targeting exascale hardware for nuclear physics projects

