



# Machine Learning applications for Future $e^+e^-$ Colliders

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# Introduction

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- Machine Learning doesn't need an intro anymore
- Already used in pretty much all classification problems
  - Most easy gains already gained
- Current trends -> efficient use of resources
  - simulation
  - permutations - track finding
  - triggering
- Wide applications
  - creative uses
  - field has exploded: hard to cover much in 20 mins
- Theory
- Accelerator
- Detector Monitoring
- Triggering
- Detector Simulation
- Reconstruction
- Identification
- Physics Analysis
- Computing

# Recent HEP ML Workshop

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## 4th Inter-experiment Machine Learning Workshop

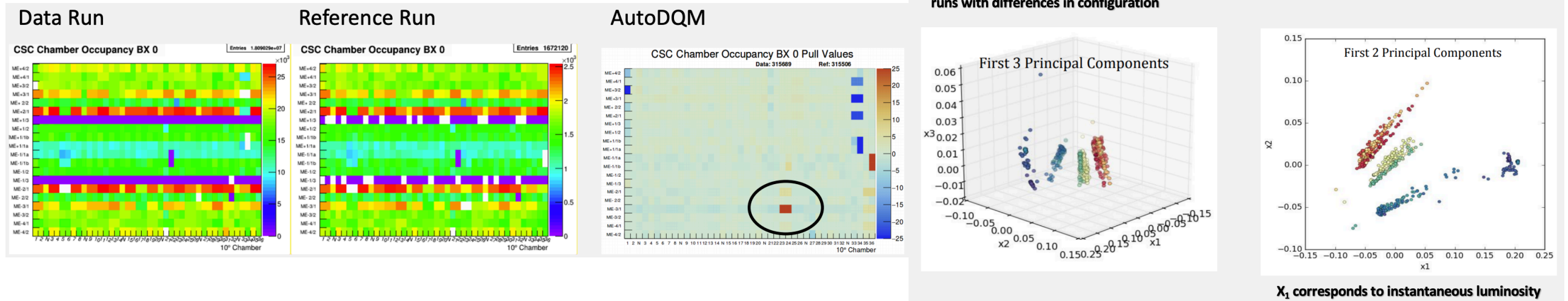
<https://indico.cern.ch/event/852553>

- ➔ 874 registered participants
- ➔ 88 contributions (tutorials, plenary, workshop)
- ➔ Lots of collaborations with industry (deepmind, facebook) and other disciplines
- ➔ 15 talks on Graph Neural Networks (GNNs)
  - ➔ ideal for structured data
- ➔ 11 talks on Generative Adversarial Networks (GANs)
- ➔ wide range of talks
  - ➔ lots of new ways to solve problems
  - ➔ use of machine learning as a scientific advisor

# Detector Monitoring

[https://indico.cern.ch/event/852553/contributions/4057666/attachments/2127765/3582662/AutoDQM\\_IML.pdf](https://indico.cern.ch/event/852553/contributions/4057666/attachments/2127765/3582662/AutoDQM_IML.pdf)

- ➔ Data Quality Monitoring (DQM) shifters monitor detector performance during data taking to certify good "data"
  - ➔ time consuming and prone to human error
- ➔ AutoDQM created to semi-automate data certification based on statistical tests and ML techniques
  - ➔ 1D histograms: Kolmogorov-Smirnov Test
  - ➔ 2D histograms: Pull Values/Chi-Squared Test
  - ➔ all plots: Principal Component Analysis
    - ➔ bad runs are not described well by the principal components
  - ➔ Looking into Autoencoders, Clustering algorithms (DBSCAN, k-means)
  - ➔ CMS implementations: muon dets (CSC, DT, RPCs), ECAL, Muon and Calo triggers
- ➔ Future
  - ➔ Full detector monitoring including conditions, using other sub-detectors for reference





# Triggering

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- ➔ At reconstruction level machine learning is not yet implemented.
  - ➔ while in identifying and classifying, machine learning is very widely used, b-tagging, quark tagging, egamma id, tau id
- ➔ At trigger level, CMS uses BDTs at L1 for muon pt assignment, soon to be updated with NN.
- ➔ ML is very interesting for triggering since inference is fast and faster with GPUs or FPGAs

# Muon triggering

## □ Motivation

- Trigger decision can be treated as image segmentation problem in Computer Vision, and Convolutional Neural Network(CNN) is a powerful tool in segmentation problem.
- Our plan is to increase trigger accuracy with CNN based segmentation, while reducing latency by FPGA to enable online use.

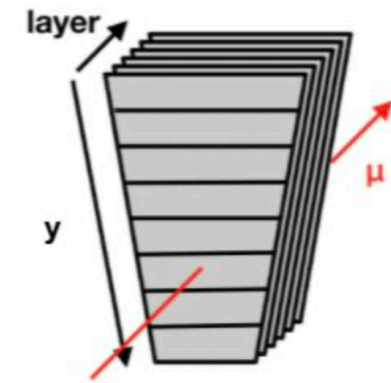


Fig1. Detector we aim for

## □ Dataset Info.

- We aim for generic muon detectors. In this study, the chamber has 6 layers, with layer consisting of 384 strips in the x axis and segmented in 8 partitions in the y axis.
- A chamber image made up of  $6*8*384$  voxels, and each voxel is binary (0 or 1). When the current flows on a strip, a voxel turned 1 from 0.
- Muons are ranging from  $p_T$  5~100 GeV.

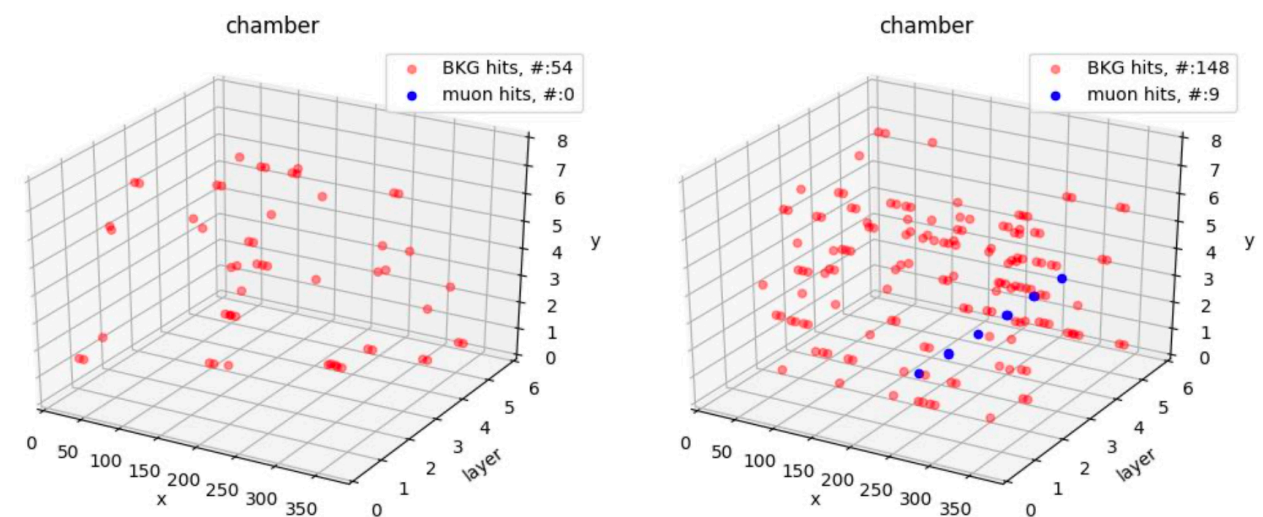


Fig2. Visualization of chambers with hits

# Muon triggering

## ❑ Training CNN

- Convolution kernels extract the visual features of muon hits so that model output close to ground truth.

- Loss - weighted binary cross entropy loss

$$WCE(p, \hat{p}) = -(\beta p \log(\hat{p}) + (1-p) \log(1-\hat{p}))$$

- Keras with tensorflow 1.14 for the backend.

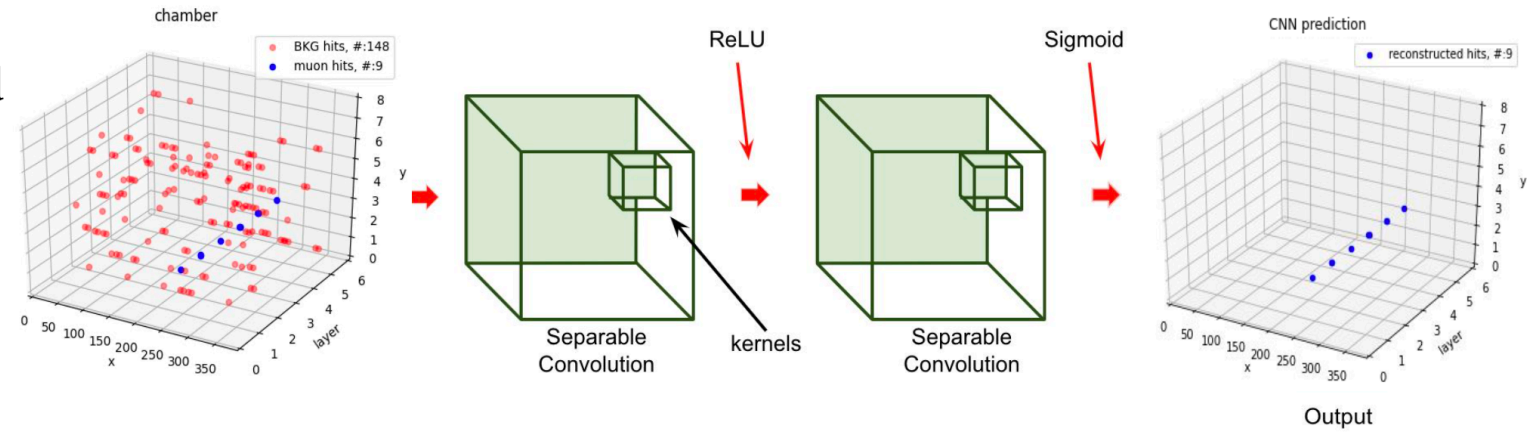
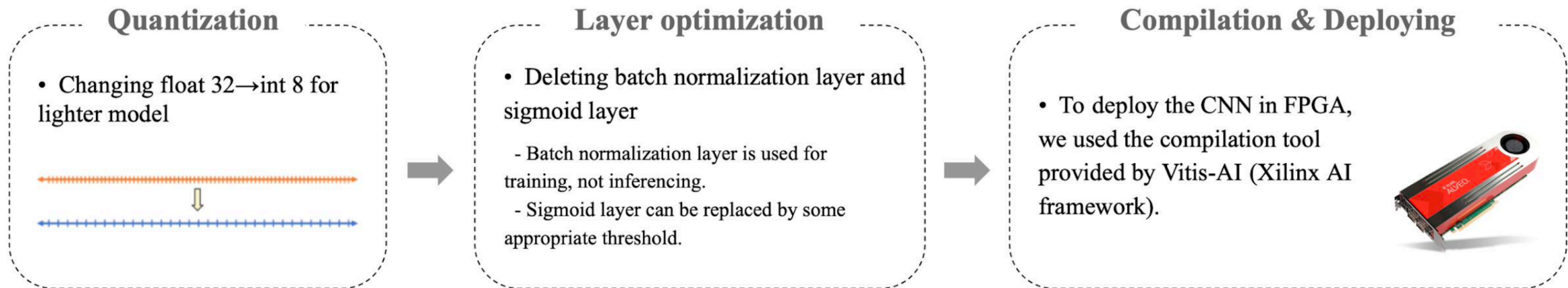


Fig3. CNN based track segment reconstruction

## ❑ Deploying on FPGA

- Quantization for smaller model size and faster computation.



# Muon triggering - results

## Efficiency & Fake rate

- About 300,000 images are used for test.
- If True Positive Rate (TP/P) of a image is over 0.6, we define it as a “matched”.
- In efficiency plot, 0~5GeV is empty, so the number of samples in first bin is half of others.

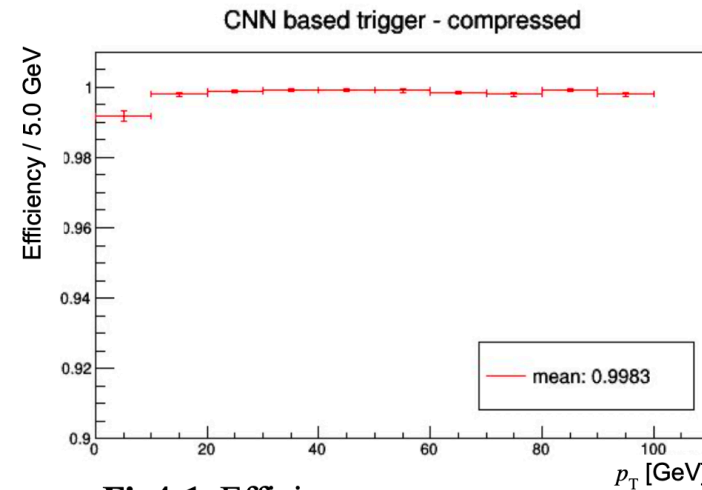


Fig4-1. Efficiency

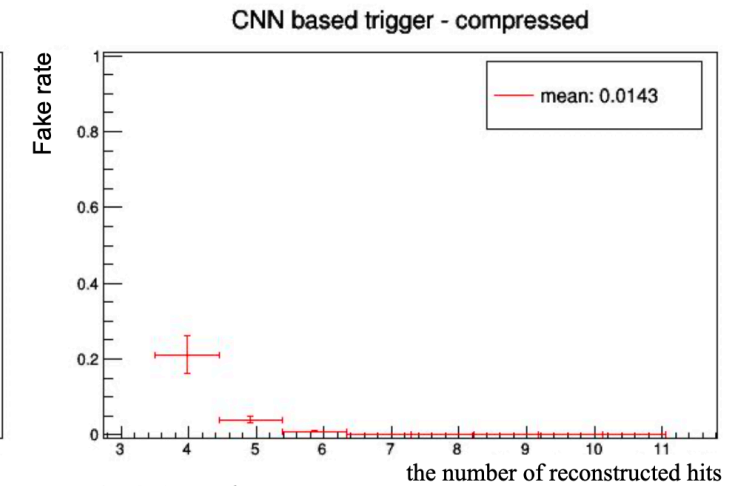


Fig4-2. Fake rate

※ Definitions of efficiency and fake rate are on posters.

## Throughput

- Throughput is the rate at which something is processed.
- On Fig5, throughput was measured in processed images per second (FPS), and the buffer sizes are 1, 2, 4, ..., 2048 from left.
- Only 1~6 buffer size is allowed for FPGA now.

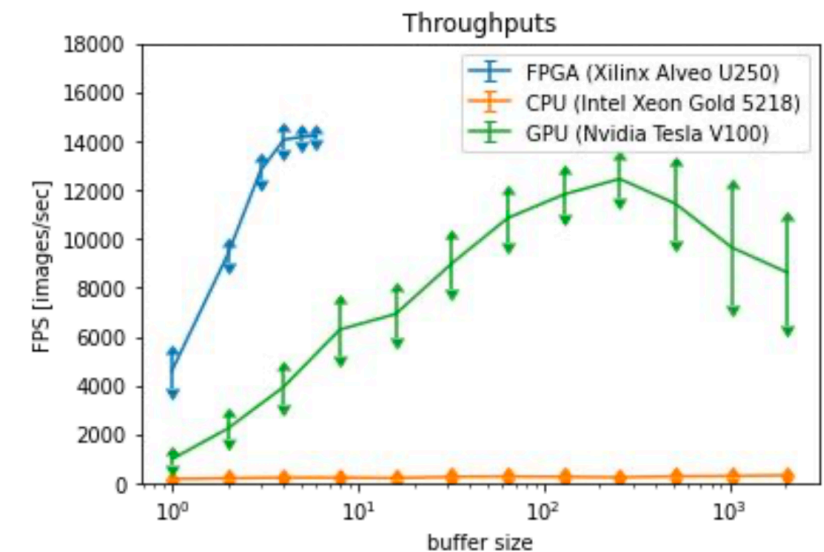
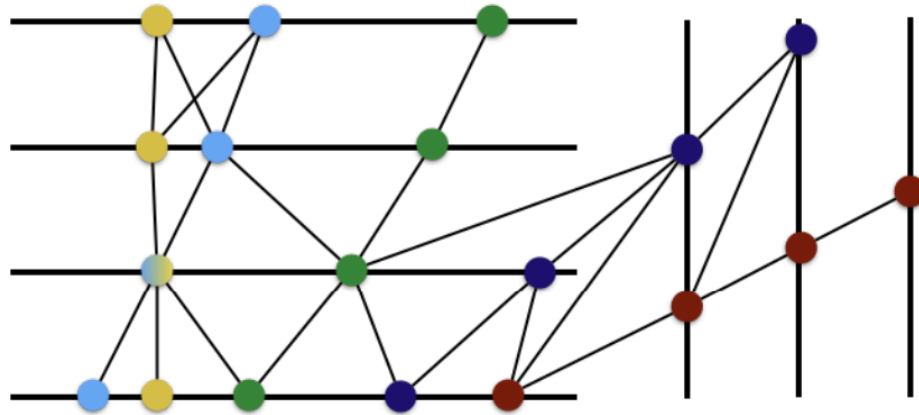


Fig5. Throughput according to processors

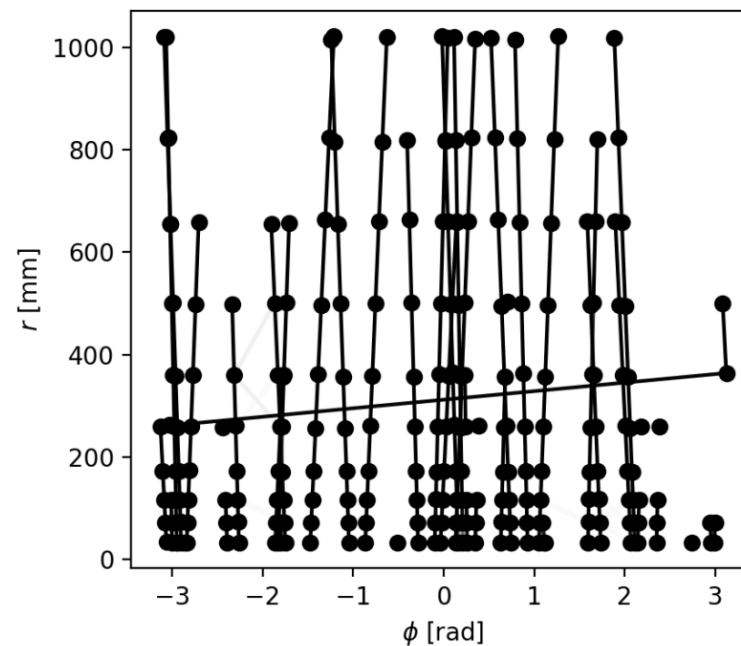
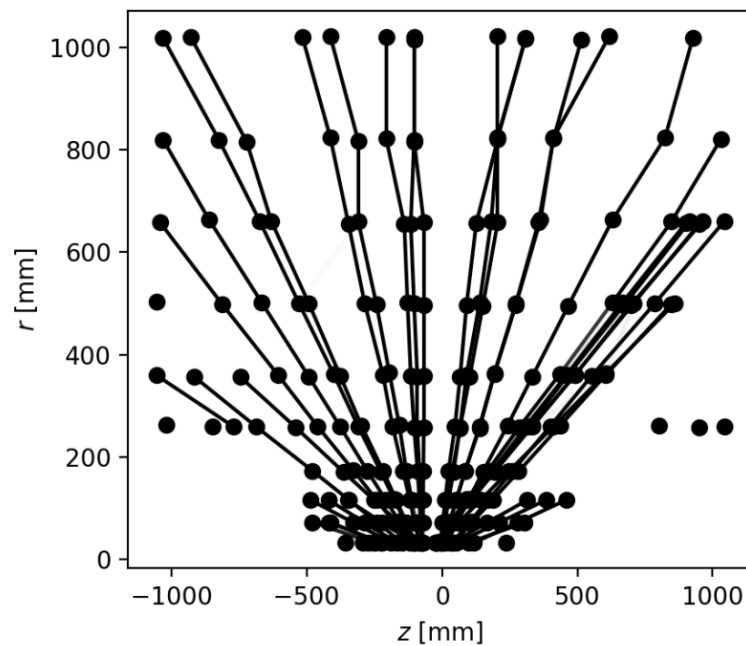
- ➔ shows very promising results.
- ➔ Almost reco level performance at L1
- ➔ FPGA not fully optimised for speed yet!

# Track building with GNN



**Figure 9.** Diagram of the Graph Neural Network model which begins with an input transformation layer and has a number of recurrent iterations of alternating EdgeNetwork and NodeNetwork components. In this case, the final output layer is the EdgeNetwork, making this a segment classifier model.

- ➔ EdgeNetwork computes edge weights using the features of the start and end nodes
- ➔ NodeNetwork computes new features for every node using the edge weight



- ➔ purity 99.2%, efficiency 97.9%, accuracy 99.4%
- ➔ reduce network size (pruning, precision) for implementation at trigger level

<https://arxiv.org/abs/1810.06111>



# Detector Simulation

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- ➔ Complex modern detectors take a long time -> calorimeters
  - ➔ many ideas have been proposed and in use
    - ➔ frozen showers, fast sim, DELPHES etc.
- ➔ Generative Adversarial Networks (GANs)
  - ➔ Calorimeters - CaloGAN (Paganini et al.)
  - ➔ WGAN, DCGAN
- ➔ Variational autoencoders
  - ➔ Calorimeters - Bounded-Information-Bottleneck autoencoder (Buhmann et al.)

# GAN (Generative Adversarial Network)

Introduction

**GAN**

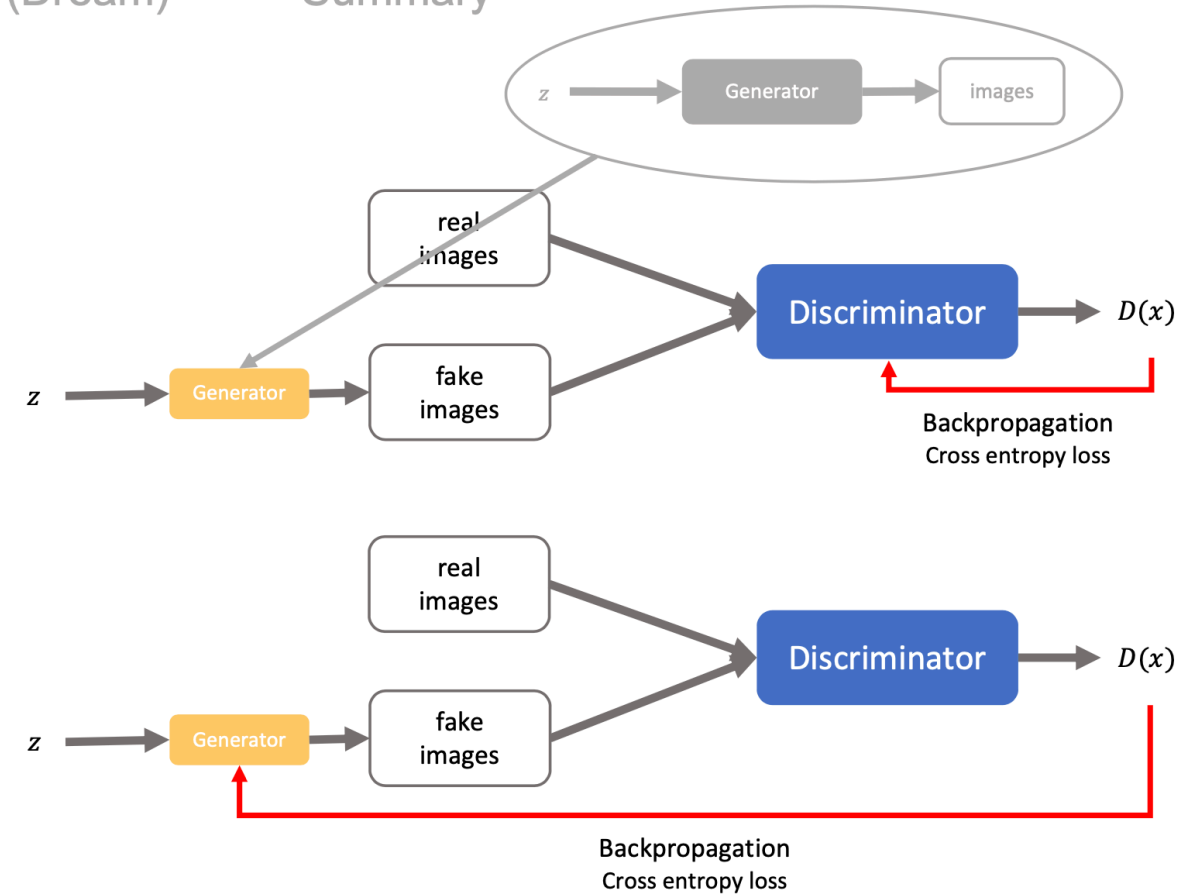
Result

Result (Dream)

Summary

## Generator and Discriminator

- Generator
  - Generator make images from noise. (similar way to VAE)
  - If generator is trained well, we can generate many images similar real images.
- Discriminator Network
  - Discriminator get real images and fake images.
  - If discriminator get real images,  $D(x_{real})$  should be 1
  - If discriminator get fake images,  $D(x_{fake})$  should be 0
- Adversarial Network
  - If discriminator get real images,  $D(x_{real})$  should be 0
  - If discriminator get fake images,  $D(x_{fake})$  should be 1
  - Adversarial relationship : discriminatorNets vs AdversarialNets
- minimax algorithm
  - GAN solve this function by training.



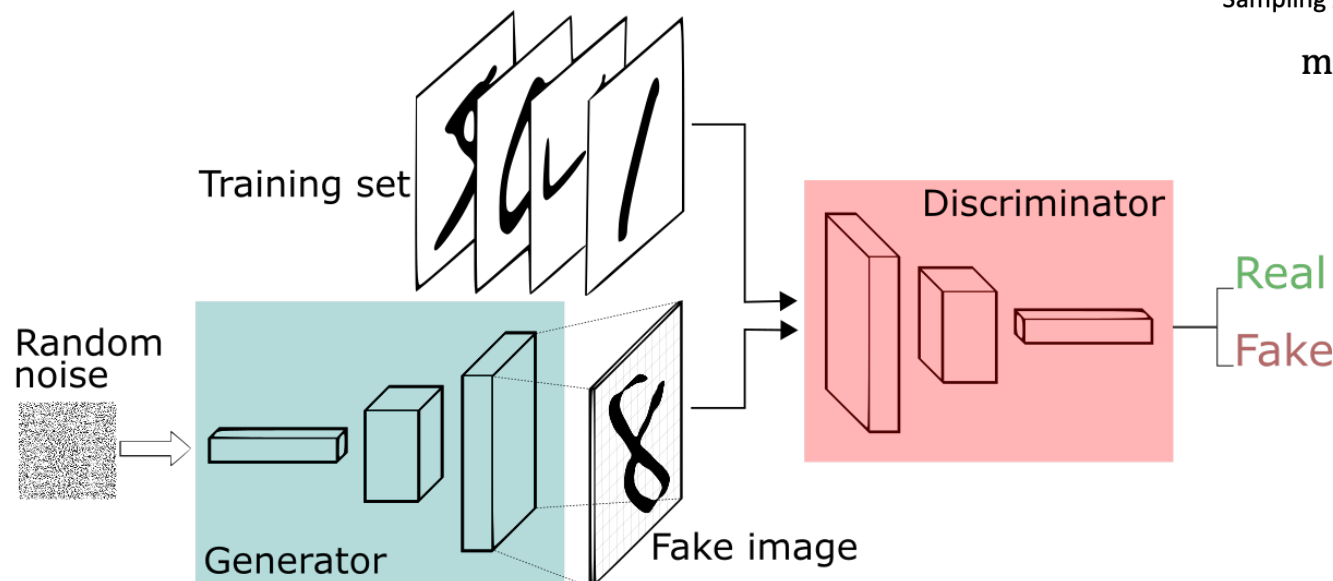
$$\min_{G, \max_{D}} V(D, G) = E_{x \sim p_{data}(x)} [\log D(x)] + E_{z \sim p_z(z)} [\log(1 - D(G(z)))]$$

Sampling  $z$  from noise

Sampling  $x$  from real data

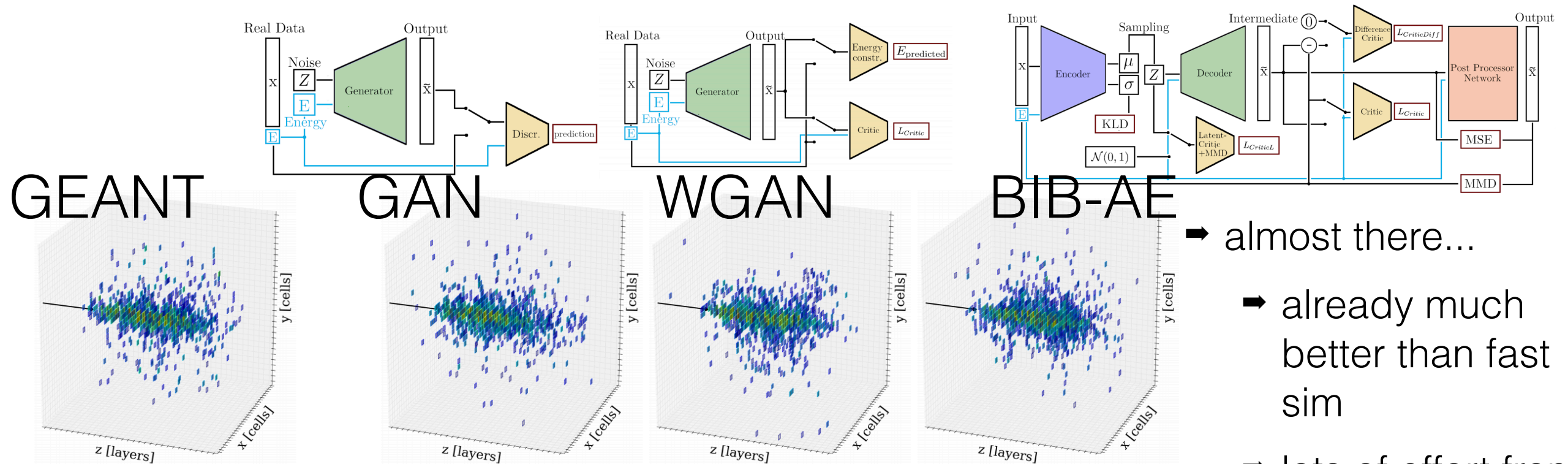
Probability determining real about real data

Probability determining real about fake data



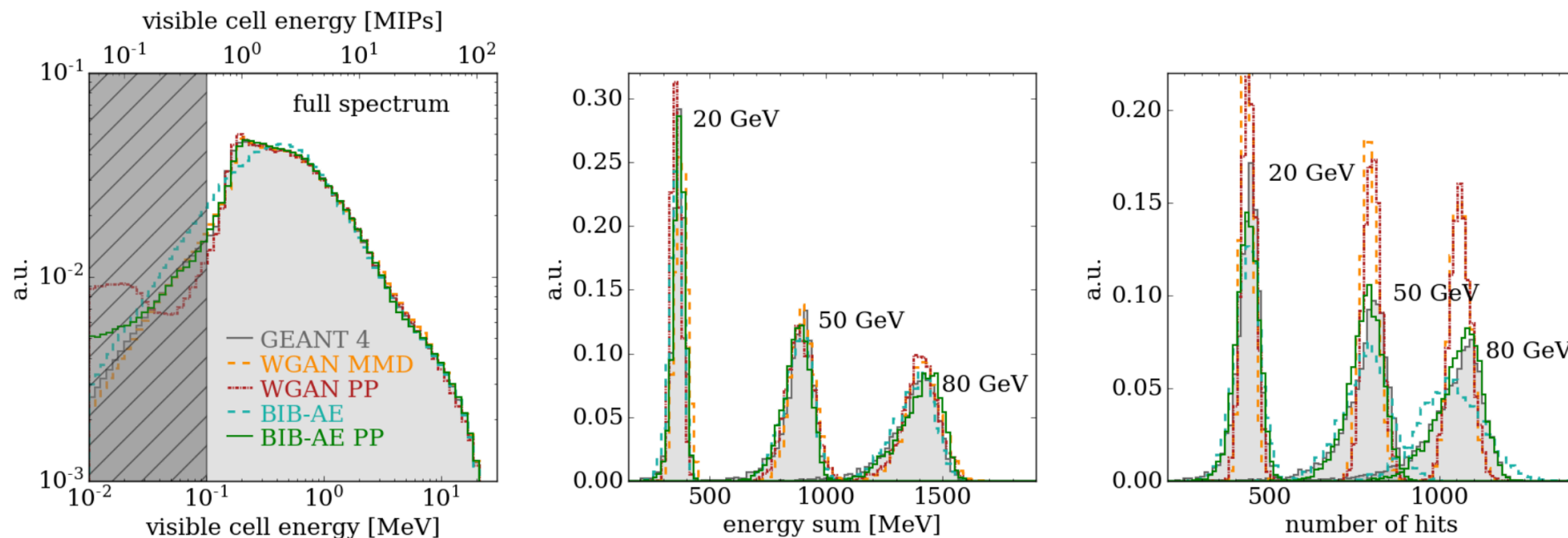
# Generative Calo Sims

<https://arxiv.org/pdf/2005.05334.pdf>



**Fig. 5** Examples of individual 50 GeV photon showers generated by GEANT4 (left), the GAN (center left), WGAN (center right), and BIB-AE (right) architectures. Colors encode the deposited energy per cell.

- ➔ almost there...
- ➔ already much better than fast sim
- ➔ lots of effort from many experiments



- ➔ Future
- ➔ training with real data
- ➔ digitalised/reconstructed
- ➔ tracking detectors
- ➔ pile-up

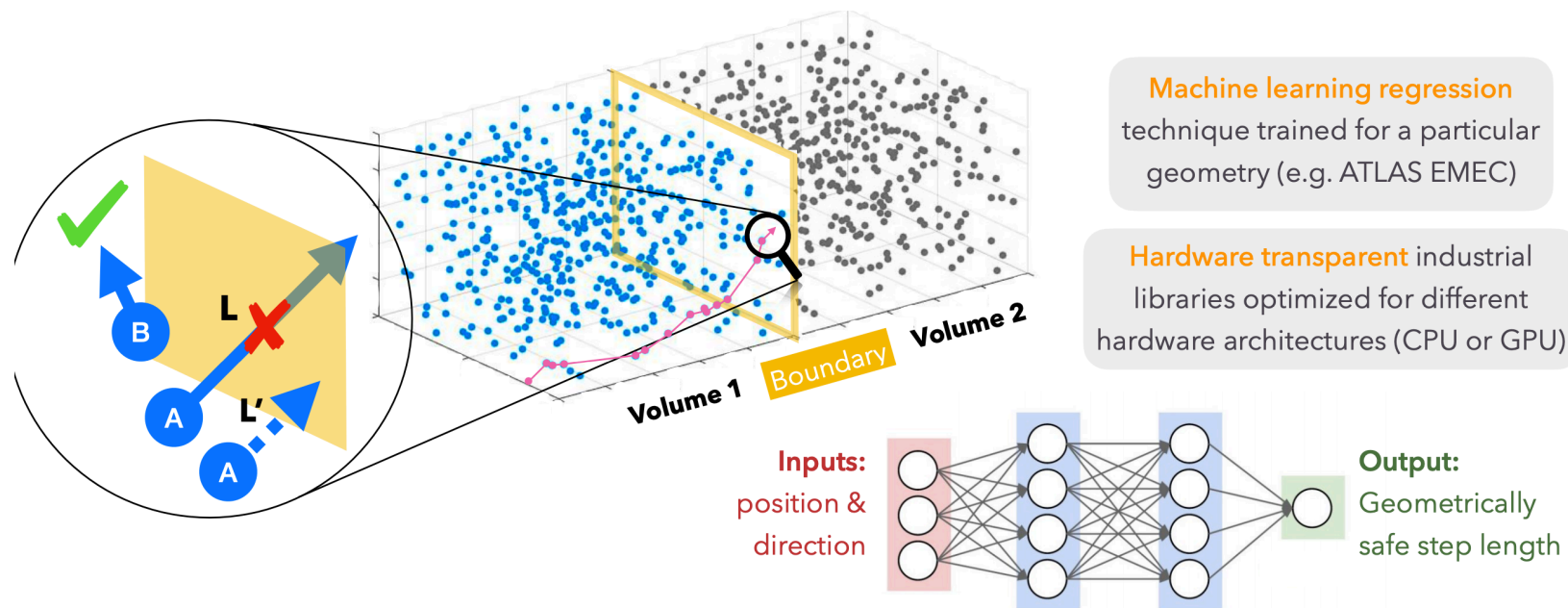


# Pre-Learning Det Geometry

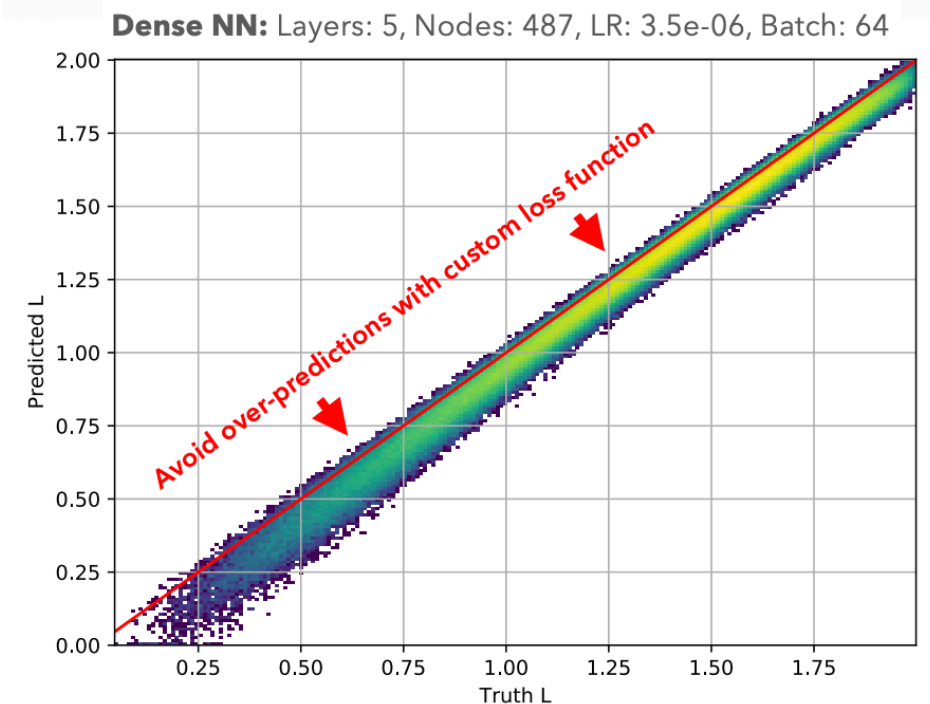
<https://indico.cern.ch/event/852553/contributions/4059291/attachments/2128524/3584069/IML2020.pdf>

## The Idea

**Surrogate modeling within Geant4:** Could we speed-up the geometry exploration by using a pre-defined/learned map instead of algorithmic calculations in each step?

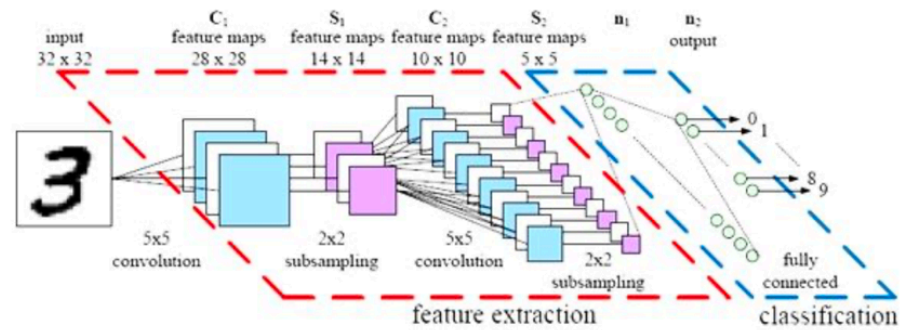
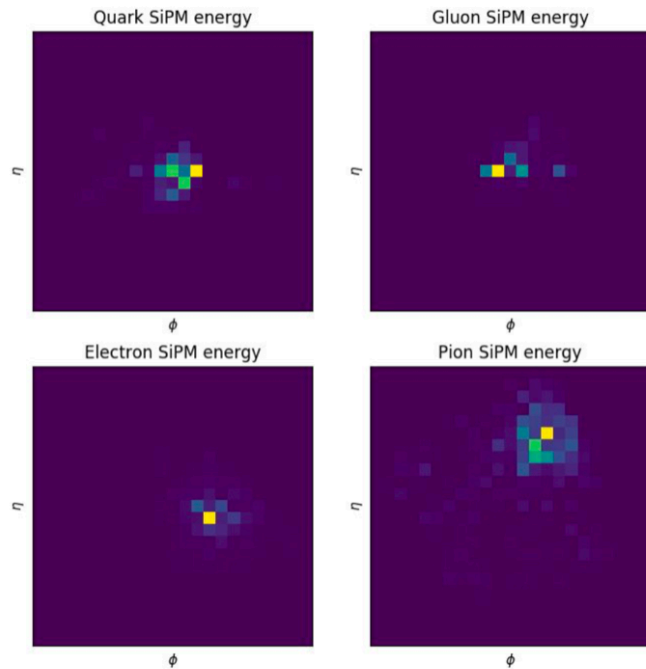


	Geometry	Time [ $\mu$ s]
Geant4	ATLAS EMEC	$\sim 5$
	(nested) Twisted Trapezoids	50 - 100
Dense NN evaluation	-	$\sim 1000$

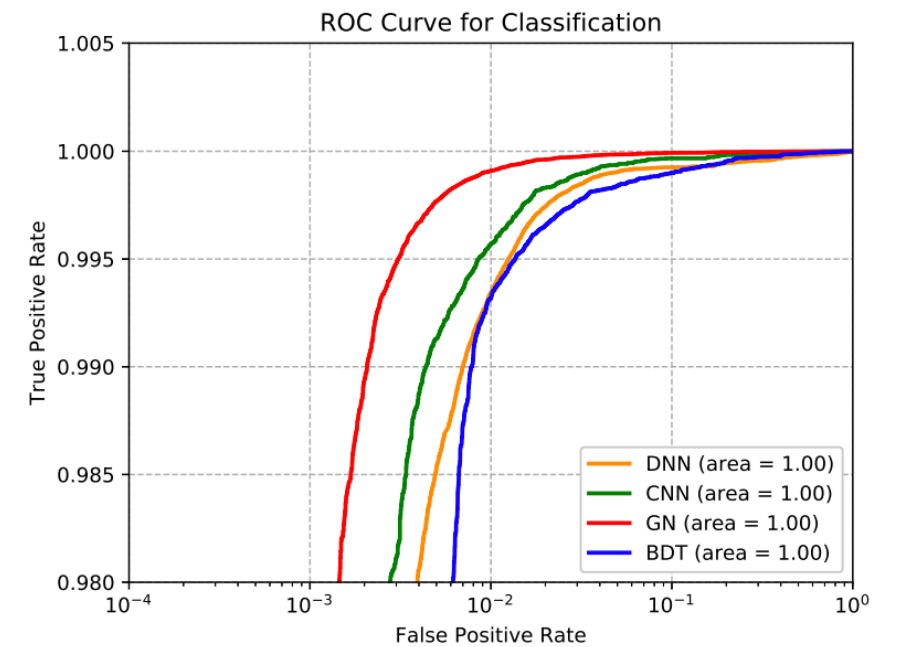
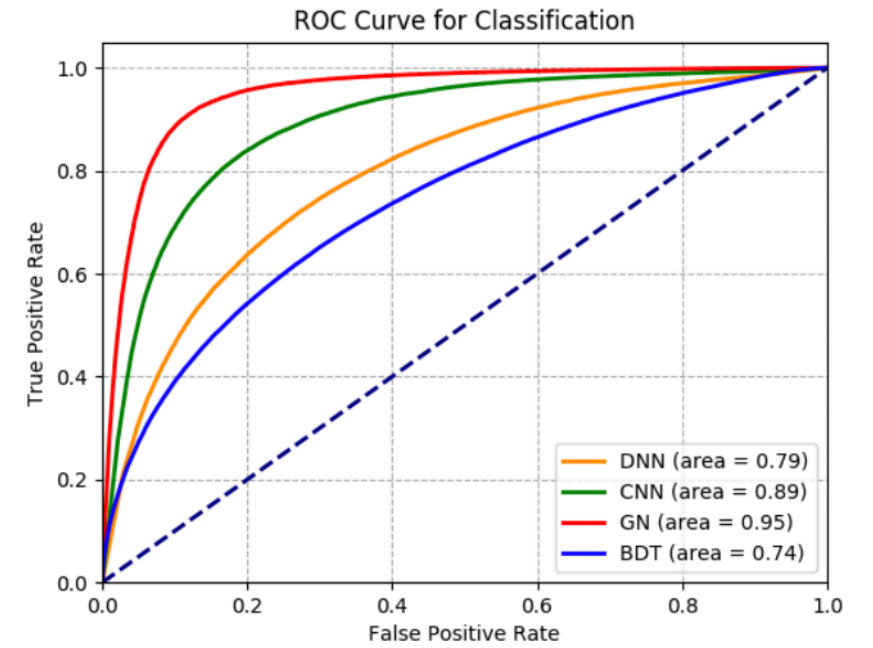


- ➔ single thread is slow currently, no optimisations
- ➔ very parallelable -> can gain in GPUs

# e/ $\gamma$ /pion classification in calorimeters



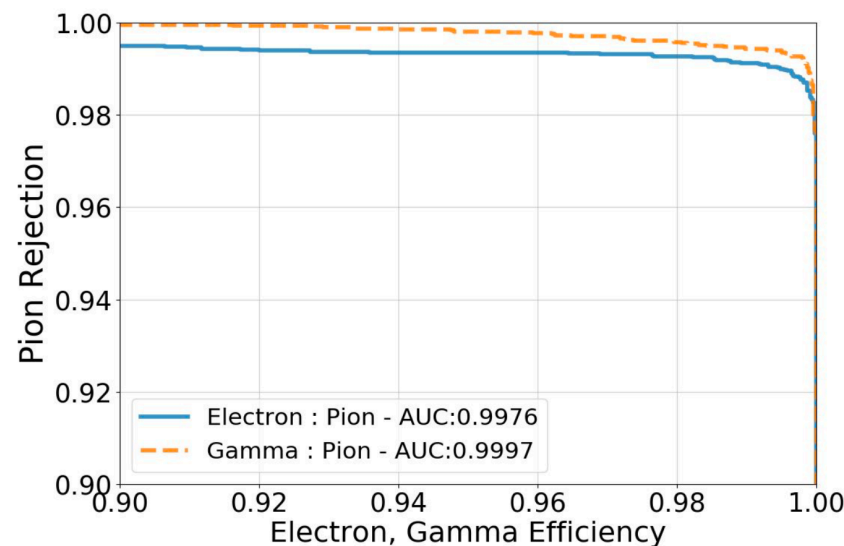
Concept of CNN  
(<http://parse.ele.tue.nl/cluster/2/CNNArchitecture.jpg>)



## Electron, gamma and pion discrimination

Gamma is more discriminated with pion, while pion can be rejected over 97% with any efficiency of both electron and gamma.

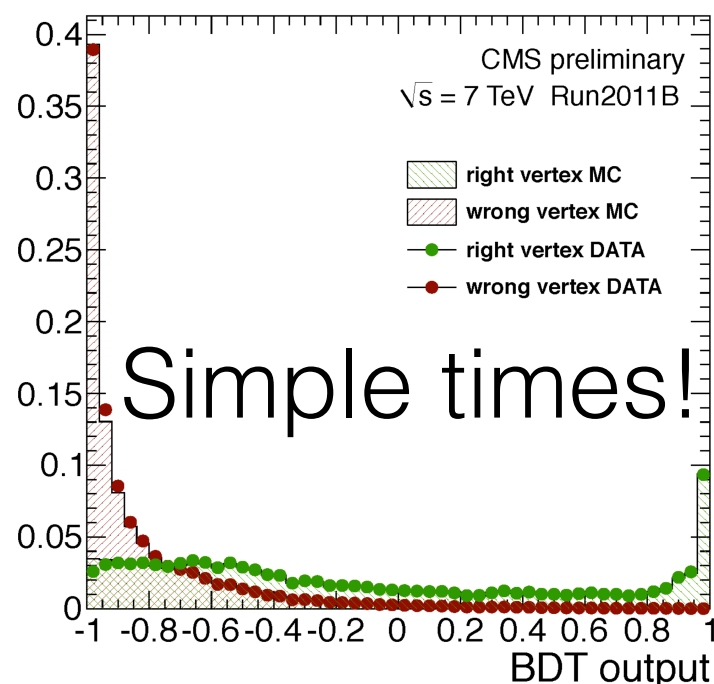
Few pion images which is very similar to electron and gamma are wrongly predicted.



**Fig. 13.** ROC curve comparisons for  $\gamma$  vs.  $\pi^0$  (top) and  $e$  vs.  $\pi^\pm$  (bottom) classification using different neural network architectures. Samples include particle energies from 10 to 510 GeV, and an inclusive  $\eta$  range.

# Physics

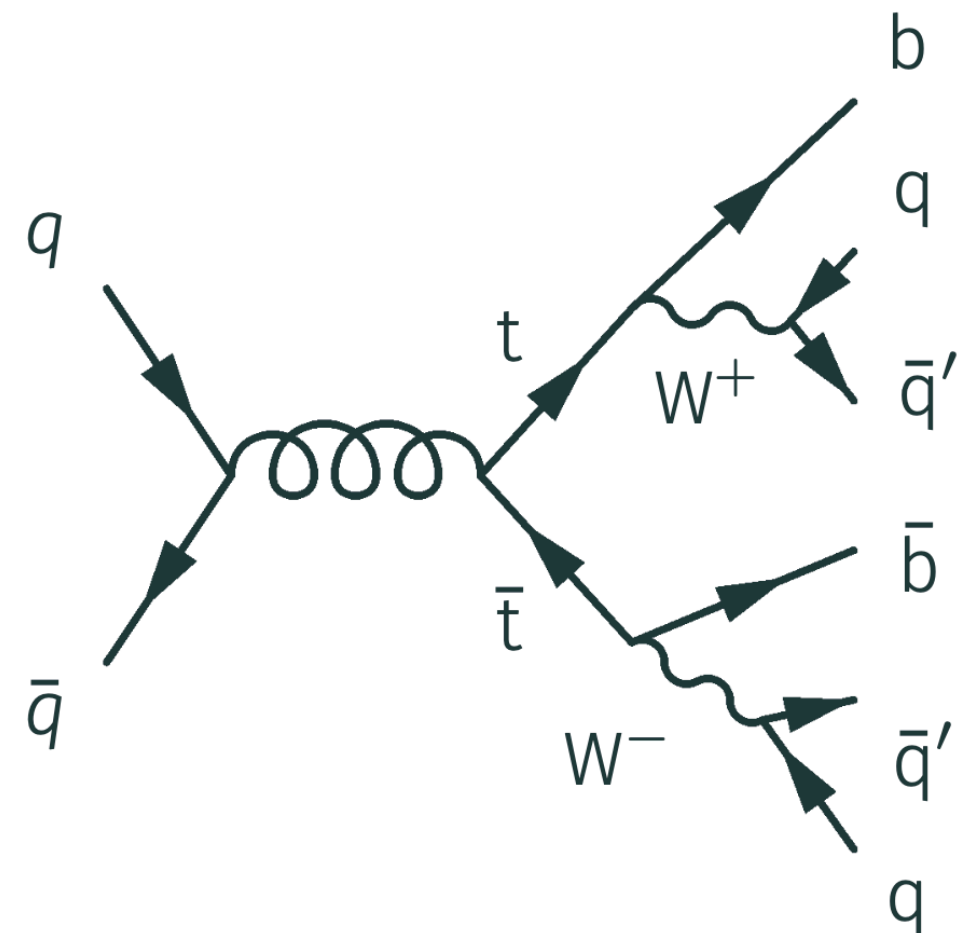
- ML is also widely used in final physics analysis
  - BDT has been a standard for awhile now
  - mostly used as a simple binary classifier to separate signal from background
  - now seeing very wide range of ML uses
    - CWoLa - Classification without labels (<https://arxiv.org/abs/1708.02949>)
      - data driven
      - anomaly detection, training classifiers with data
    - Unfolding with ML ([https://indico.cern.ch/event/852553/contributions/4058157/attachments/2127229/3581617/IML\\_AButter.pdf](https://indico.cern.ch/event/852553/contributions/4058157/attachments/2127229/3581617/IML_AButter.pdf))
    - use of machine learning as a scientific advisor ([https://indico.cern.ch/event/852553/contributions/4059762/attachments/2126919/3581625/IML\\_SMenary\\_201021.pdf](https://indico.cern.ch/event/852553/contributions/4059762/attachments/2126919/3581625/IML_SMenary_201021.pdf))
    - top tagging (<https://indico.cern.ch/event/852553/contributions/4059759/attachments/2126774/3580825/LGN.pdf>)



	AUC	Acc	$1/\epsilon_B$ ( $\epsilon_S = 0.3$ )			#Param
			single	mean	median	
CNN	0.981	0.930	914±14	995±15	975±18	610k
ResNeXt	0.984	0.936	1122±47	1270±28	1286±31	1.46M
TopoDNN	0.972	0.916	295±5	382±5	378±8	59k
Multi-body $N$ -subjettiness 6	0.979	0.922	792±18	798±12	808±13	57k
Multi-body $N$ -subjettiness 8	0.981	0.929	867±15	918±20	926±18	58k
TreeNiN	0.982	0.933	1025±11	1202±23	1188±24	34k
P-CNN	0.980	0.930	732±24	845±13	834±14	348k
ParticleNet	0.985	0.938	1298±46	1412±45	1393±41	498k
LBN	0.981	0.931	836±17	859±67	966±20	705k
LoLa	0.980	0.929	722±17	768±11	765±11	127k
LDA	0.955	0.892	151±0.4	151.5±0.5	151.7±0.4	184k
Energy Flow Polynomials	0.980	0.932	384			1k
Energy Flow Network	0.979	0.927	633±31	729±13	726±11	82k
Particle Flow Network	0.982	0.932	891±18	1063±21	1052±29	82k
<b>LGN</b>	<b>0.964</b>	<b>0.929</b>	<b>435</b>			<b>4.5k</b>

# ttbar full hadronic jet association

- The reconstruction of events with intermediate states decaying to jets requires a technique to assign jets to partons.
- The number of jets can be greater than the number of partons because of additional QCD radiation. It makes the assignment harder.
- We introduce **the self-attention for jet assignment (SAJA) network without requiring jet permutations**. We apply SAJA to find jet-parton assignments of fully-hadronic  $t\bar{t}$  events to test the performance.

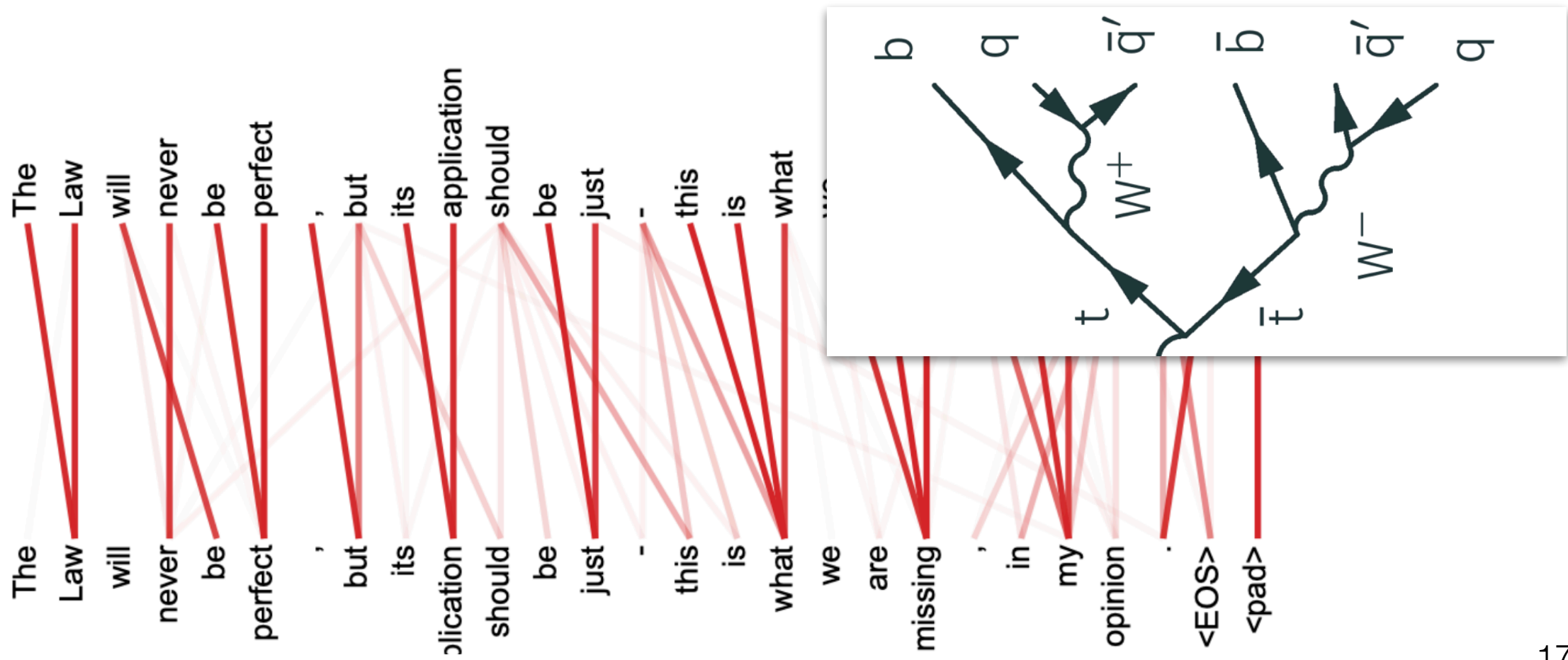


[https://indico.cern.ch/event/852553/contributions/4059757/attachments/2127372/3582061/IML2020\\_Zero-Permutation-Jet-Parton-Assignment.pdf](https://indico.cern.ch/event/852553/contributions/4059757/attachments/2127372/3582061/IML2020_Zero-Permutation-Jet-Parton-Assignment.pdf)



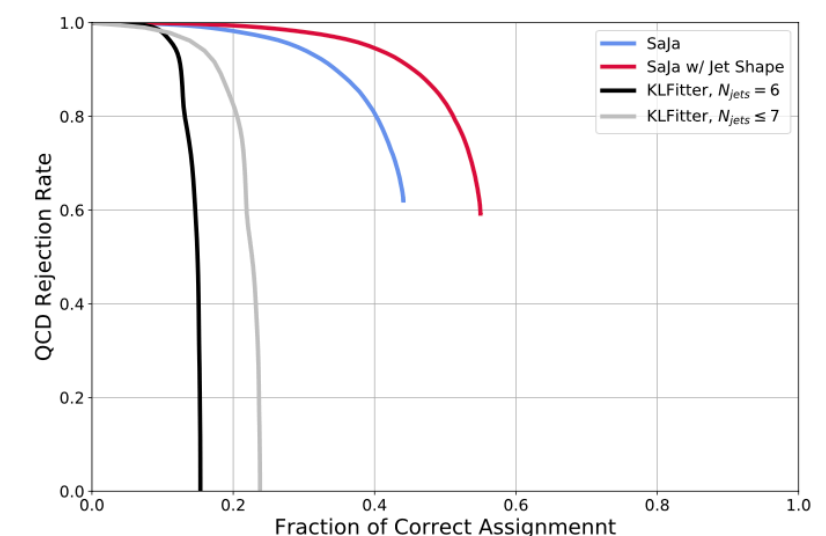
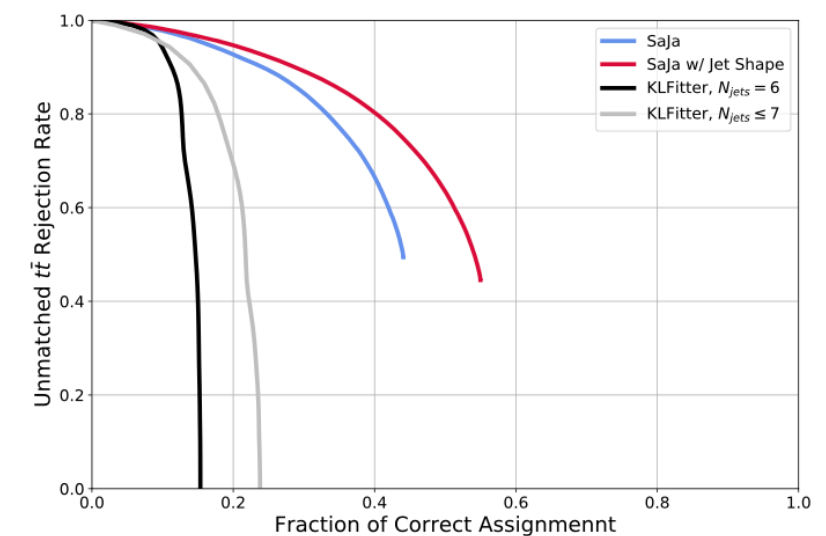
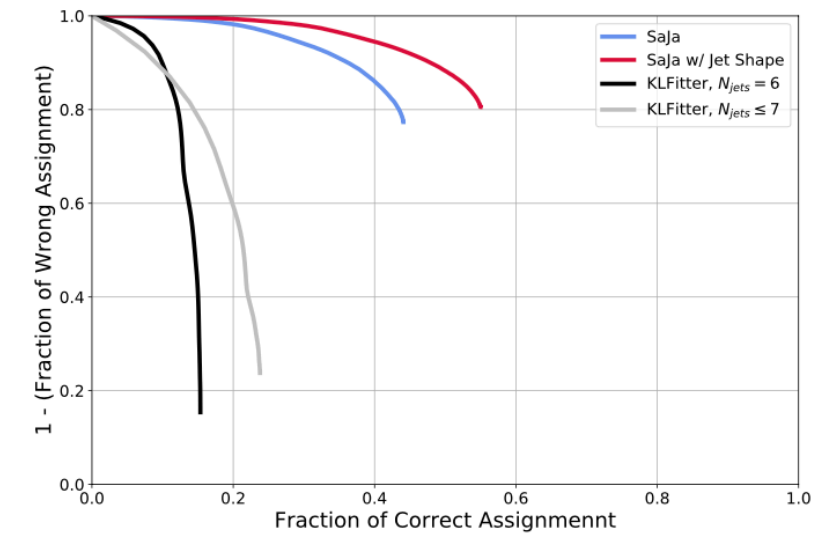
# ttbar full hadronic jet association

- The model implementation is based on TRANSFORMER, which is the neural machine translation model and features self-attention. [A. Vaswani, arXiv:1706.03762]
- Self-attention is a weight sum of the elements of the input set, where the weight matrix is also computed from the elements.
- Self-attention based model can learn the dependency between elements.



# $t\bar{t}$ full hadronic jet association

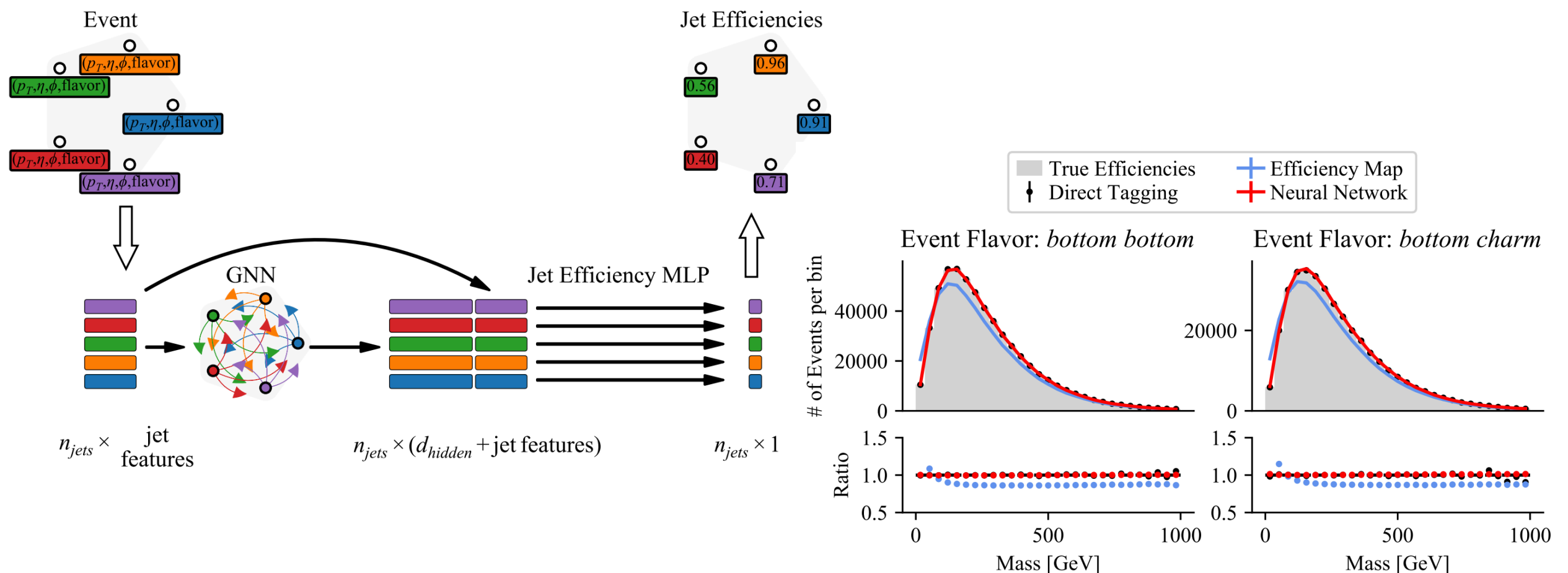
- The assignment performance is visualized as ROC-like curves drawn by varying the threshold value for the predictive entropy of SAJA or the likelihood of KL FITTER.
- SAJA shows more powerful performance than KL FITTER.
- Predictive entropy not only reduces poor jet-parton assignments but also helps reduce unmatched  $t\bar{t}$  and QCD multijet events without additional training process.
- Jet shape increases the fraction of correct assignment.



# Efficiency Parameterisation with Neural Network

<https://arxiv.org/abs/2004.02665v2>

- ➔ Efficiency Map in  $p_T$  -  $\eta$  bins, used in b-tagging, lepton reco/id/trig etc
- ➔ Limited due to MC stats
- ➔ Use GNN to learn efficiencies as function parameters



- ➔ more input parameters -> generalise well -> better efficiency estimations
- ➔ Learns the jet-jet dependency -> important jets close together

# Summary

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- ML has overtaken particle physics
  - Standard tool for HEP
  - Integrated into core CMS SW
- Detector Monitoring to Identification should be production ready by future experiments
- New networks, training techniques updated
  - new imaginative ways to implement in HEP!
- Theory
- Accelerator
- Detector Monitoring
- Triggering
- Detector Simulation
- Reconstruction
- Identification
- Physics Analysis
- Computing



# The EnD

→ ML is overtaking particle physics

