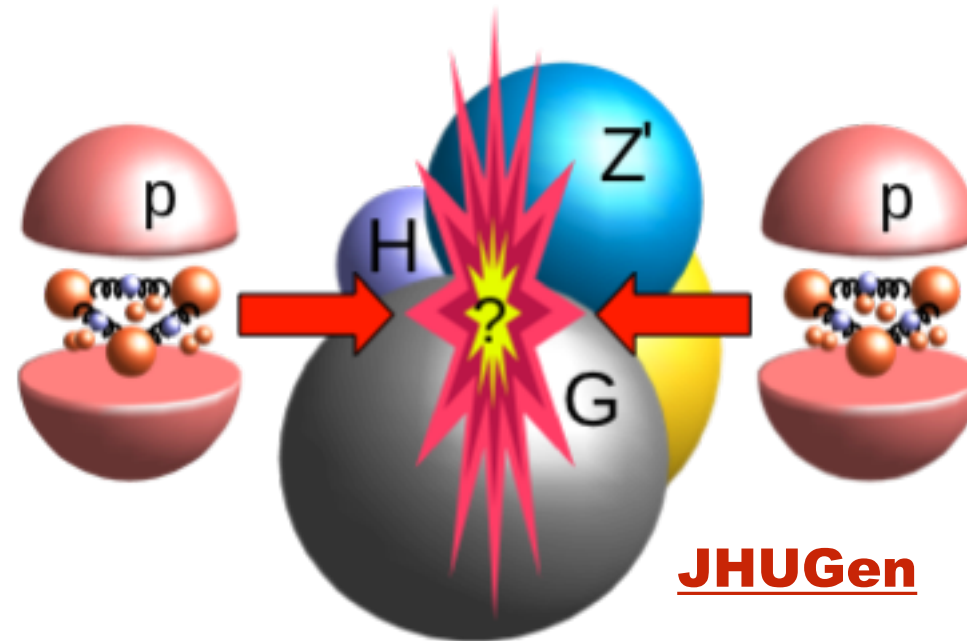


Off-shell Higgs EFT measurements with the **JHUGen+MCFM** framework

Andrei Gritsan

Johns Hopkins University
for the developers (see next slide)



October 22, 2019

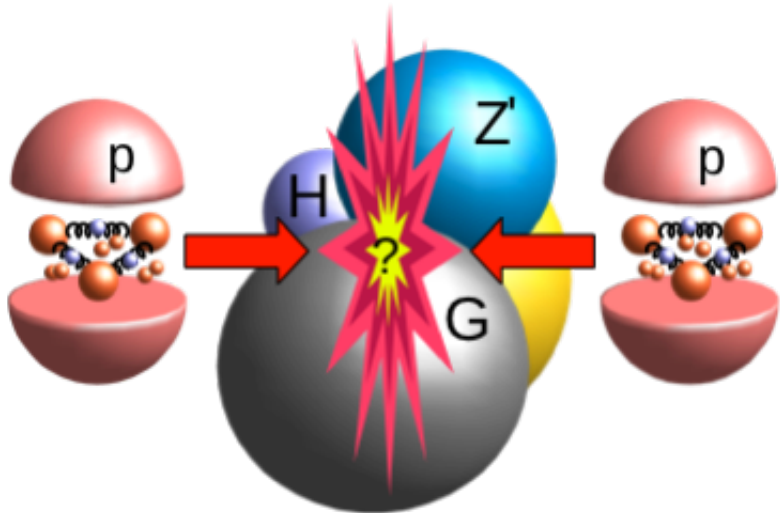
LHC Higgs (XS) WG Off-shell & Interference Meeting

JHUGen framework (for EFT)

JHUGen — generator

MELA — Matrix Element library

JHUGenLexicon — basis translation ...



<https://spin.pha.jhu.edu>

Theory + Experiment collaboration

MC Generator based on the papers:

"Spin Determination of Single-Produced Resonances at Hadron Colliders"

Yanyan Gao, Andrei V. Gritsan, Zijin Guo, Kirill Melnikov, Markus Schulze, and Nhan V. Tran
<http://arxiv.org/abs/1001.3396>

"On the Spin and Parity of a Single-Produced Resonance at the LHC"

Sara Bolognesi, Yanyan Gao, Andrei V. Gritsan, Kirill Melnikov, Markus Schulze, Nhan V. Tran, and Andrew Whitbeck
<http://arxiv.org/abs/1208.4018>

"Constraining anomalous HVV interactions at proton and lepton colliders"

Ian Anderson, Sara Bolognesi, Fabrizio Caola, Yanyan Gao, Andrei V. Gritsan, Christopher B. Martin, Kirill Melnikov, Markus Schulze, Nhan V. Tran, Andrew Whitbeck, and Yaofu Zhou
<http://arxiv.org/abs/1309.4819>

"Constraining anomalous Higgs boson couplings to the heavy flavor fermions using matrix element techniques"

Andrei V. Gritsan, Raoul Rontsch, Markus Schulze, and Meng Xiao
<http://arxiv.org/abs/1606.03107>

"New features in the JHU generator framework: constraining Higgs boson properties from on-shell and off-shell production"

Andrei V. Gritsan, Jeffrey Roskes, Ulascan Sarica, Markus Schulze, Meng Xiao, and Yaofu Zhou
<http://arxiv.org/abs/2002.09888>

contacts: [Jeffrey Davis](#), [Jeffrey \(Heshy\) Roskes](#), [Ulascan Sarica](#), [Markus Schulze](#)

[Home](#), [Download \(free access\)](#), [Manual](#), [License](#), [Notice](#),

New features in the JHU generator framework: Constraining Higgs boson properties from on-shell and off-shell production

Andrei V. Gritsan^{1,*}, Jeffrey Roskes^{1,†}, Ulascan Sarica^{1,2,‡}, Markus Schulze^{3,§}, Meng Xiao^{1,4,||} and Yaofu Zhou^{1,5,¶}

¹Department of Physics and Astronomy, Johns Hopkins University, Baltimore, Maryland 21218, USA

²Department of Physics, University of California, Santa Barbara, California 93106, USA

³Institut für Physik, Humboldt-Universität zu Berlin, D-12489 Berlin, Germany

⁴Zhejiang Institute of Modern Physics, Department of Physics, Zhejiang University, Hangzhou 310027, People's Republic of China

⁵Department of Physics, Missouri University of Science and Technology, Rolla, Missouri 65409, USA



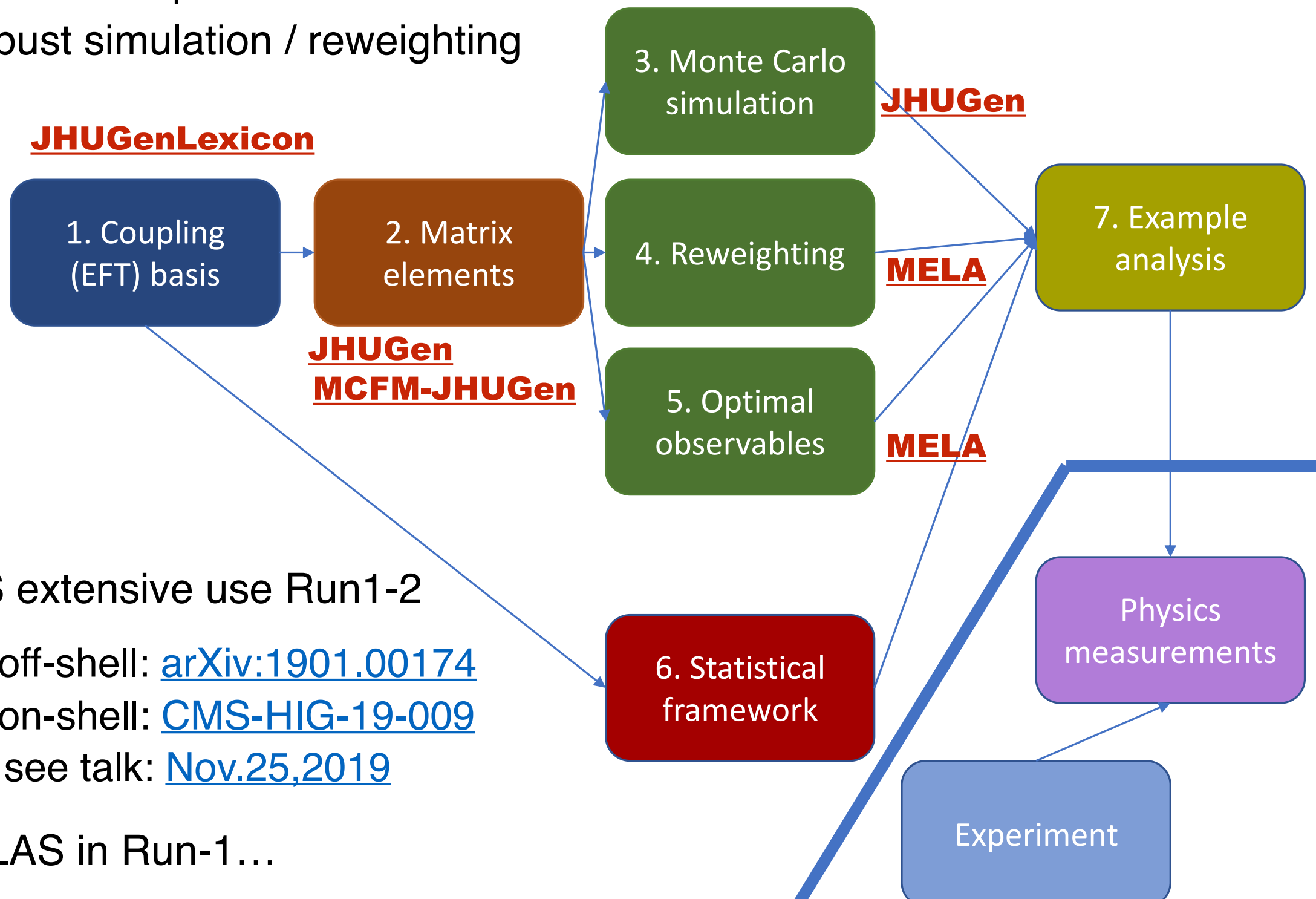
(Received 21 February 2020; accepted 22 July 2020; published 28 September 2020)

[arXiv:2002.09888](#)

JHUGen framework (for EFT)

- Support: detector-level studies
optimal observables
robust simulation / reweighting

see talks [H.Roskes at Pheno-2020](#)
[M.Xiao at ICHEP-2020](#)

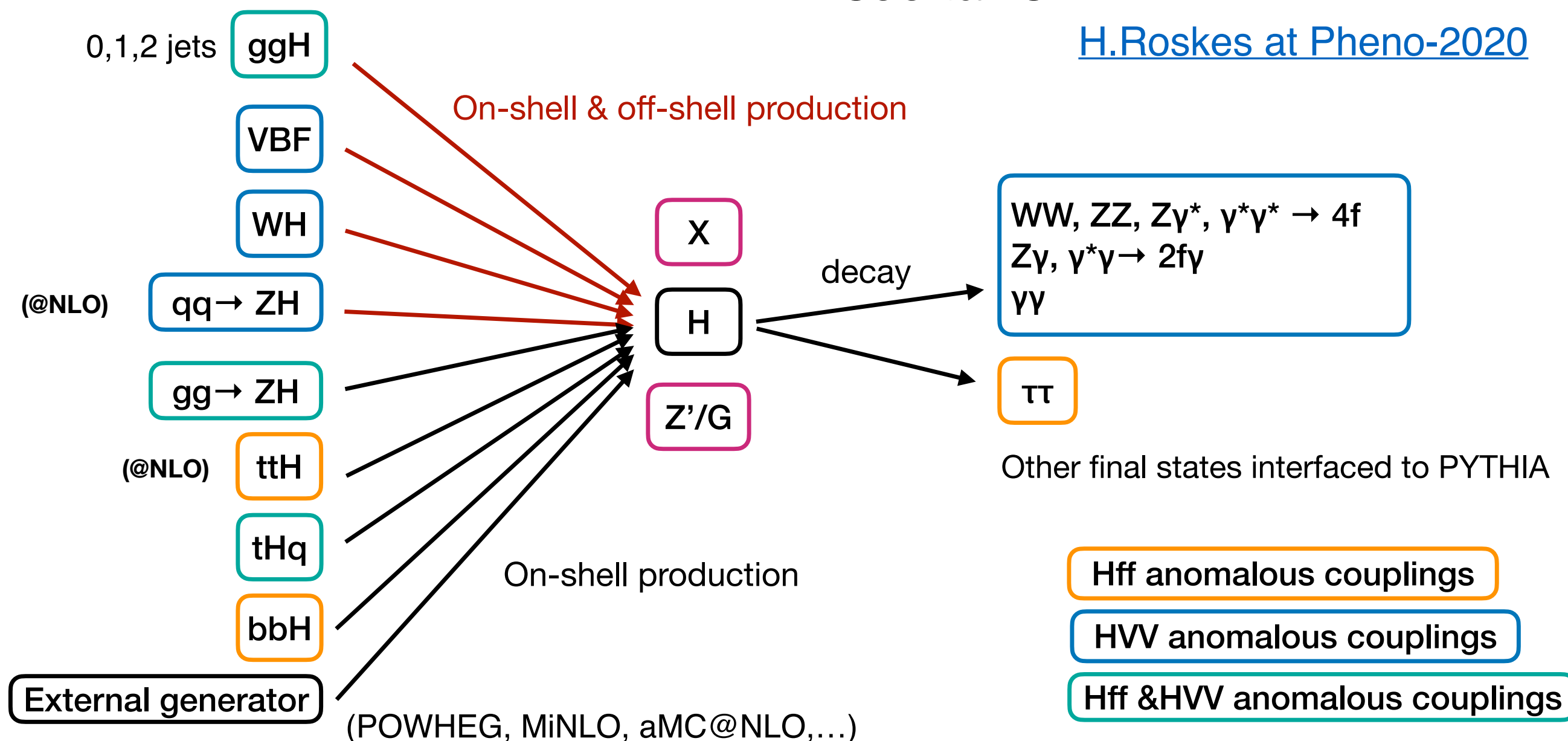


- CMS extensive use Run1-2
EFT in off-shell: [arXiv:1901.00174](#)
EFT in on-shell: [CMS-HIG-19-009](#)
see talk: [Nov.25,2019](#)
- ATLAS in Run-1...

JHUGen Physics (EFT)

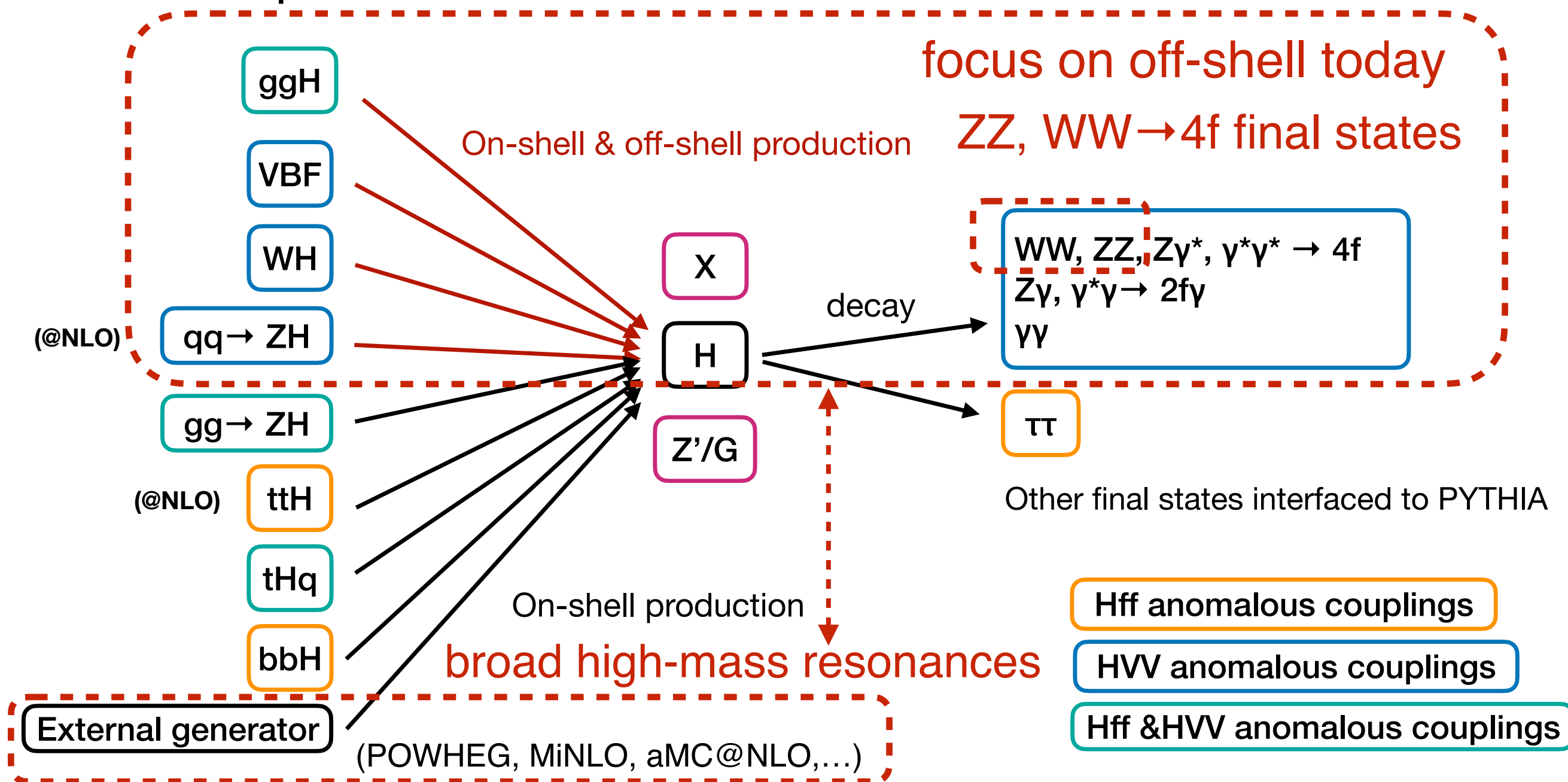
- Framework for studies of anomalous couplings / EFT of the Higgs
 - name attached by our ATLAS colleagues in 2012, so we learned to live with it...
- Available processes:

see talks [M.Xiao at ICHEP-2020](#)
[H.Roskes at Pheno-2020](#)



JHUGen Physics (EFT)

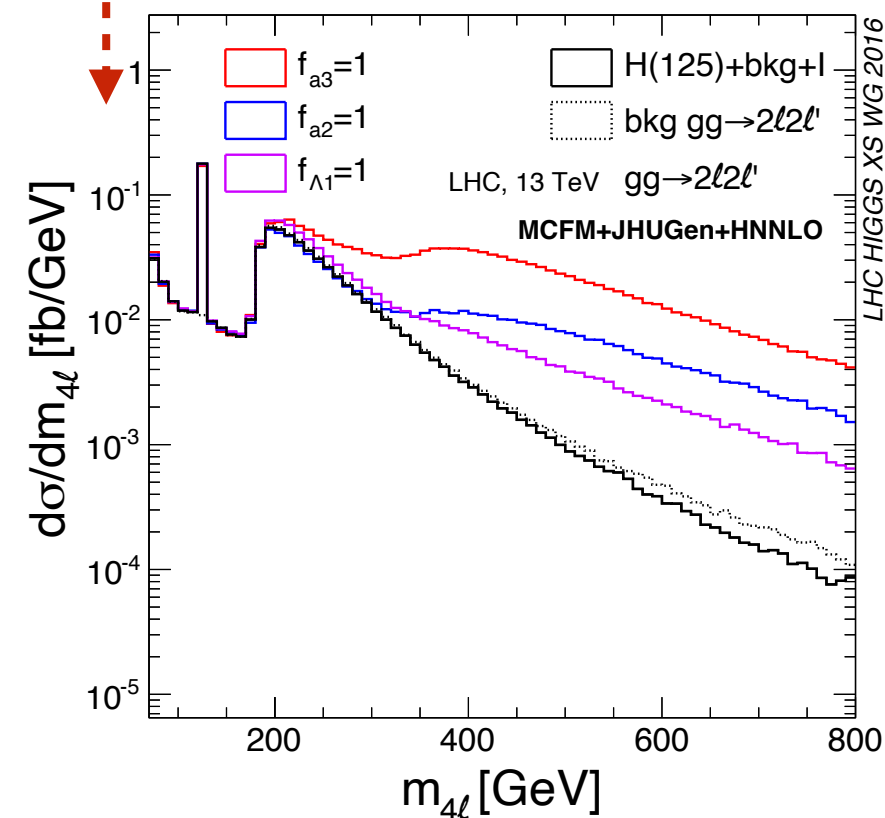
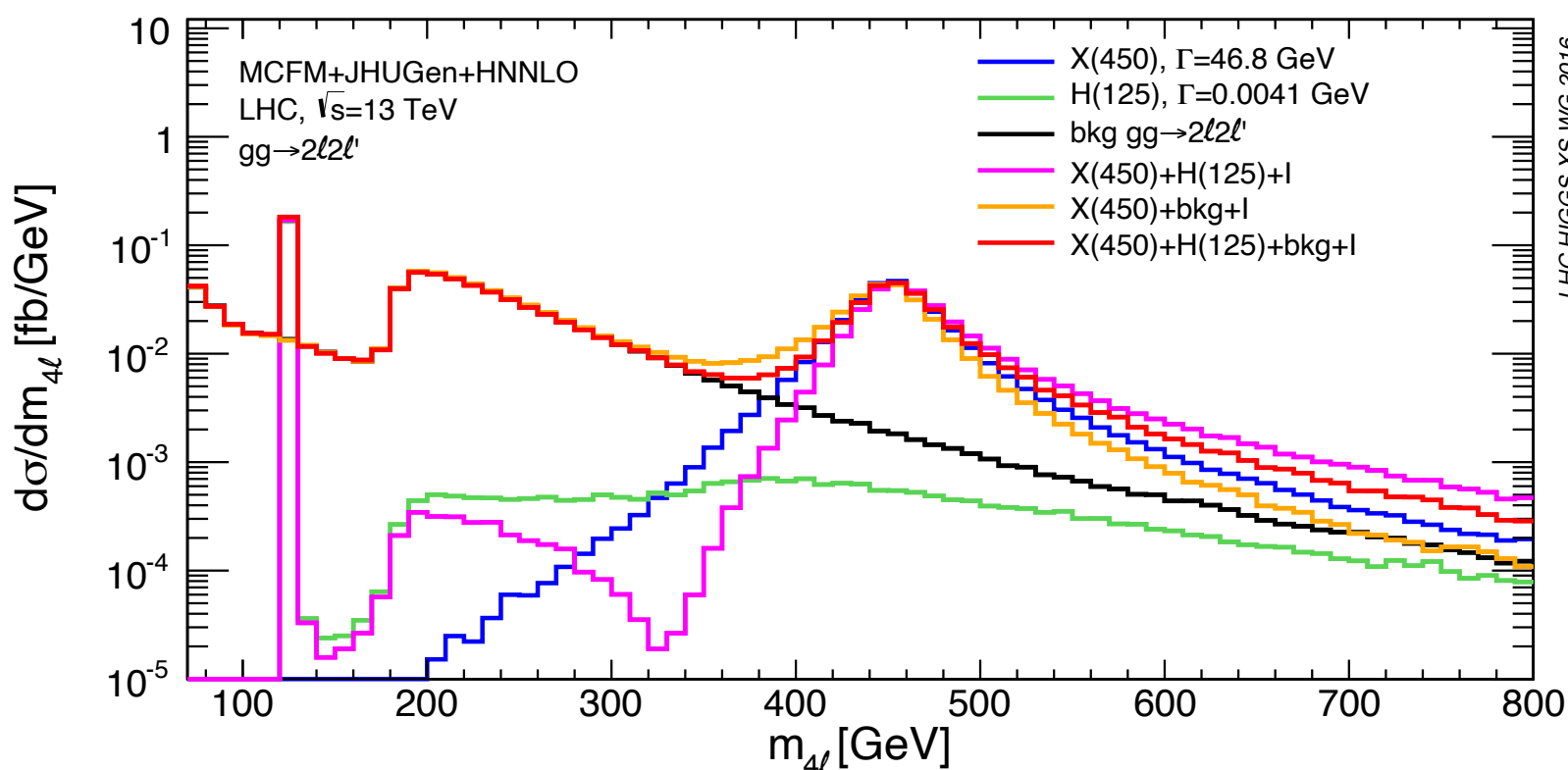
- Framework for studies of anomalous couplings / EFT of the Higgs
 - name attached by our ATLAS colleagues in 2012, so we learned to live with it...
- Available processes:



JHUGen Physics with off-shell Higgs

- Coherent framework to treat four effects in “off-shell”
 - EW (VBF+VH) and ggH processes
 - H^* + X + continuum + interference
 - EFT with H^* (X) in production and decay
- Documented in [YR4](#) of LHC H WG:
 - topics span across WG1, WG2, WG3...

- (1) width Γ_H modification
- (2) new resonance(s) X
- (3) EFT in H / X couplings
- (4) anomalous VBS



Some Background Information

- First off-shell H^* simulation used on LHC:

- ggH: **gg2VV** ([arXiv:1206.4803](#))
- EW: **PHANTOM** ([arXiv:0801.3359](#))

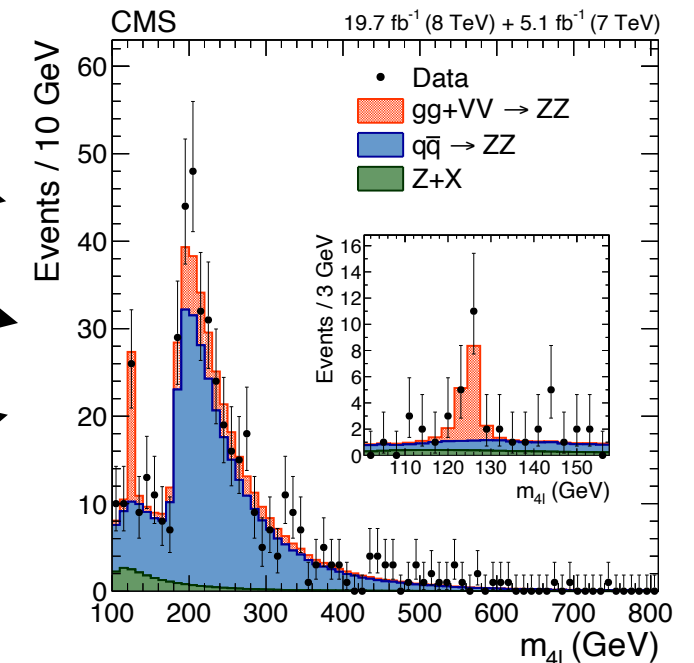
- Complemented by **MCFM**:

- ggH: ([arXiv:1311.3589](#))
- EW: ([arXiv:1502.02990](#))

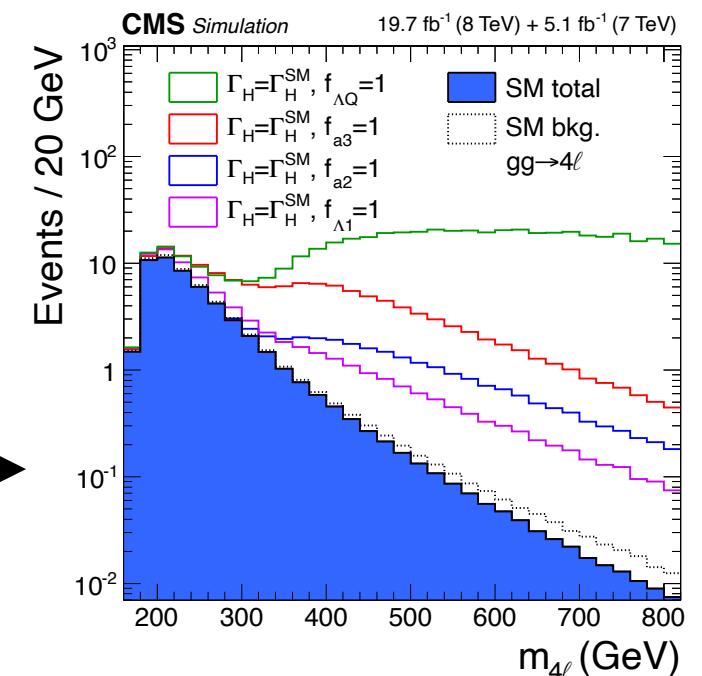
- Target of **JHUGen**:

- EFT modeling in “signal” (since ~2009)
- complement **MCFM** with EFT in off-shell
- integrate into **MELA** (since ~2015)

([arXiv:1405.3455](#))



([arXiv:1507.06656](#))



Higher-order effects

- **MC FM off-shell** is a LO simulation, so is **JHUGen+MC FM**

(1) apply **k factor** as a function of m_{4f}

- ggH \sim known at NLO for H^* (sig), bkg, interference
- NNLO for H^* (sig) (e.g. **MC FM+HNNLO** for illustration of ggH)

(2) matching of **parton shower** with **Pythia** is important

- effect in **EW production** is less important
 - 2 leading jets come from matrix element, effect in 3rd jet...
- effect in **ggH production** off-shell is more critical
 - 2jet correlation is **not modeled** for CP in ggH off-shell (!)
 - p_T or m_{JJ}, \dots **tuning** required

solution in experiment: tune jets with **HJJ-MiNLO+JHUGen**

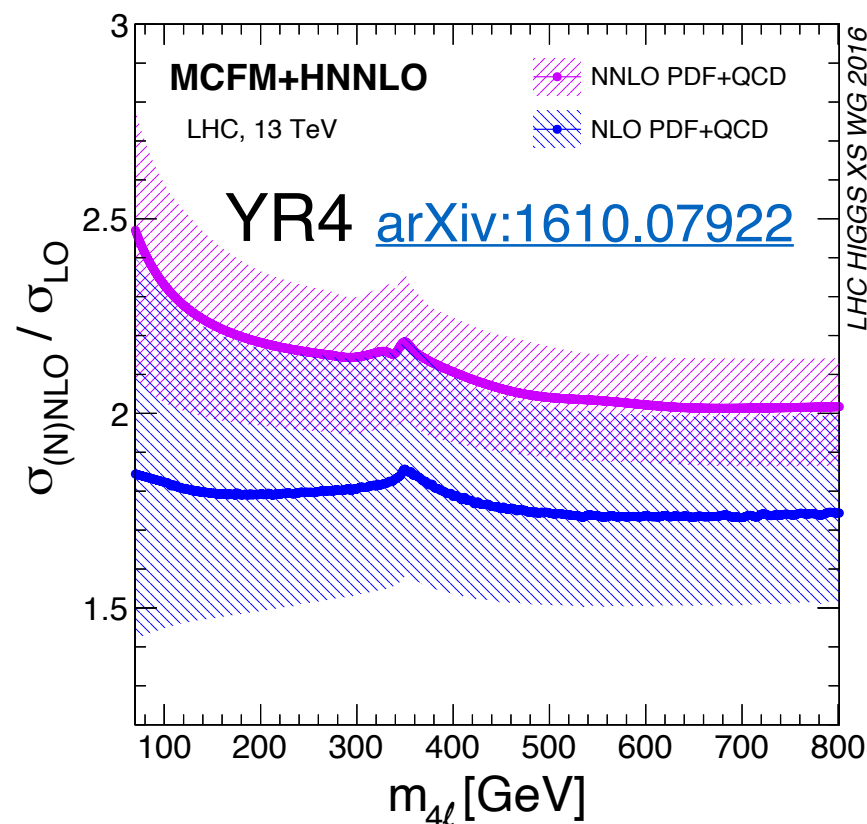
or **POWHEG+JHUGen**

$$pp \rightarrow X(\rightarrow 4f) + \text{jet(s)}$$

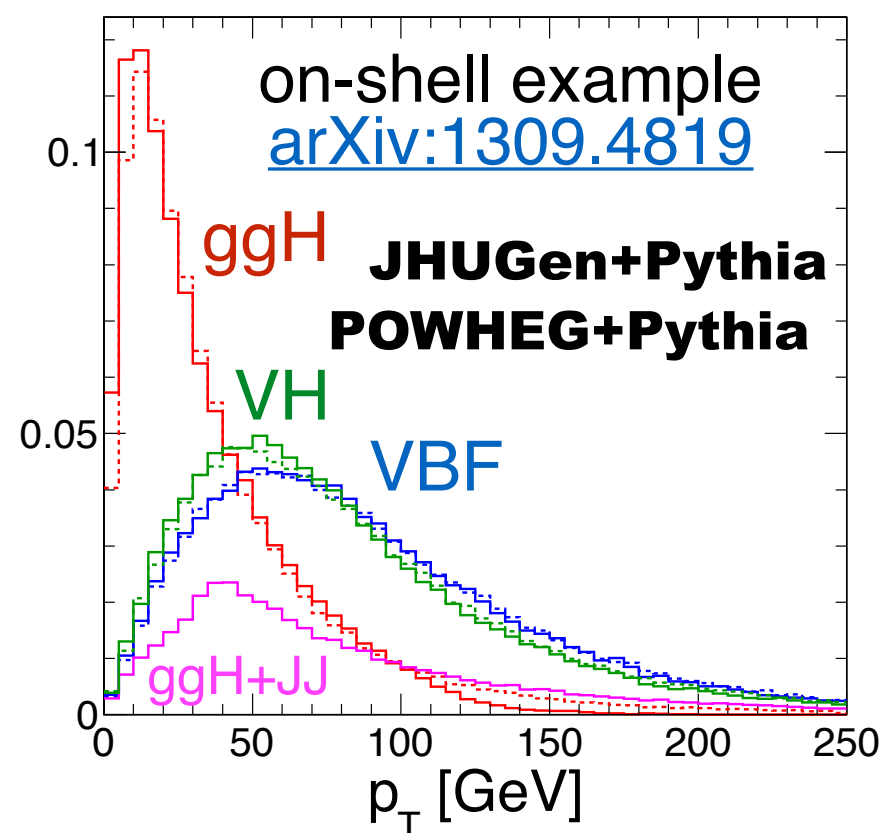
Higher-order effects (part II)

- Approximate: same QCD effect for SM & BSM sig, bkg, interference

(1) apply **k factor**



(2) matching of **parton shower**



tune **ggH+jets** e.g. **POWHEG**
on-shell not an issue
EW off-shell not a big issue

- (a) tune jet observables
- (b) re-weight **POWHEG** with **MELA**
(approx.: LO ME applied to NLO gen)
- (c) model **ggH*+jets** for signal only...
 $pp \rightarrow H^*(\rightarrow VV \rightarrow 4f) + \text{jet(s)}$

Note on jet correlations (for EFT)

- Plan to perform comparison (a,b,c) and other programs...

- Test (c): $pp \rightarrow H^*(\rightarrow VV \rightarrow 4f) + \text{jet(s)}$

— tested **MadGraph** process for comparison

have not succeeded with full off-shell generation
interested to learn...

discovered **sign difference** in connecting
CP-odd and CP-even couplings on-shell

$$R_{gg} = 1.1068 \kappa_t^2 + 0.0082 \kappa_b^2 - 0.1150 \kappa_t \kappa_b + 2.5717 \tilde{\kappa}_t^2 + 0.0091 \tilde{\kappa}_b^2 - 0.1982 \tilde{\kappa}_t \tilde{\kappa}_b \\ + 1.0298 \kappa_Q^2 - 1.2095 \kappa_Q \kappa_t - 0.1109 \kappa_Q \kappa_b + 2.3170 \tilde{\kappa}_Q^2 + 4.8821 \tilde{\kappa}_Q \tilde{\kappa}_t - 0.1880 \tilde{\kappa}_Q \tilde{\kappa}_b$$

The κ_Q and $\tilde{\kappa}_Q$ couplings are connected to the g_2^{gg} and g_4^{gg} point-like interactions introduced in Eq. (1)

[arXiv:2002.09888](https://arxiv.org/abs/2002.09888)

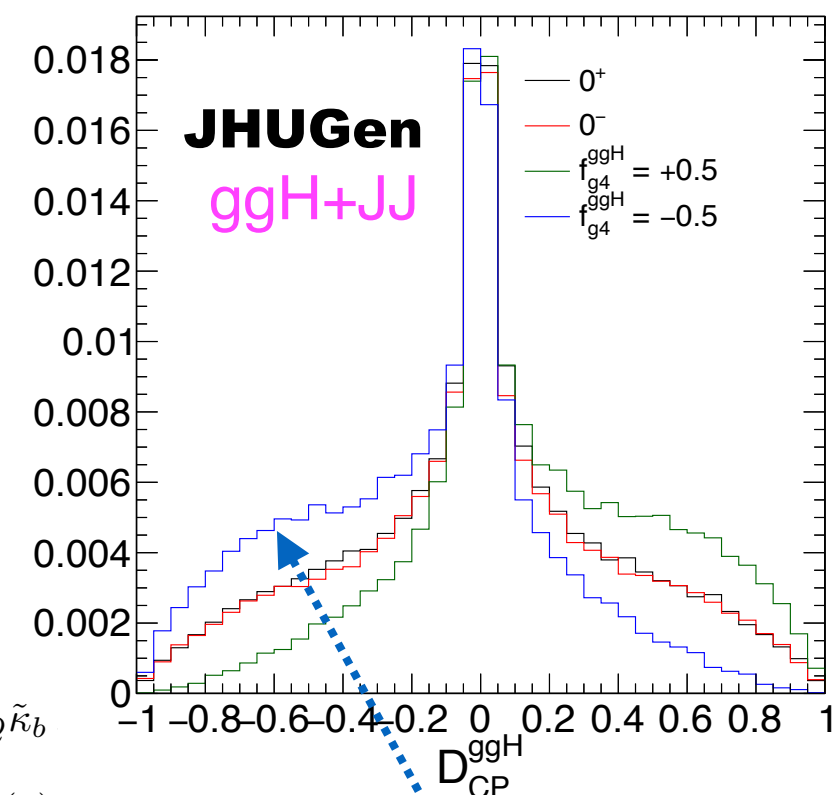
$$g_2^{gg} = -\alpha_s \kappa_Q / (6\pi), \quad g_4^{gg} = -\alpha_s \tilde{\kappa}_Q / (4\pi)$$

same sign, but opposite in **MadGraph** [arXiv:1306.6464](https://arxiv.org/abs/1306.6464)

JHUGen consistent with [hep-ph/9701277](https://arxiv.org/abs/hep-ph/9701277), [arXiv:1511.05584](https://arxiv.org/abs/1511.05584)

- important to sort out for both off-shell and on-shell...

$$\mathcal{L}_{hff} = -\frac{m_f}{v} \bar{\psi}_f (\kappa_f + i \tilde{\kappa}_f \gamma_5) \psi_f h,$$



effect in observable
distributions

EFT modeling of production in ggH

- $H(125)$

$$R_{gg} = 1.1068 \kappa_t^2 + 0.0082 \kappa_b^2 - 0.1150 \kappa_t \kappa_b + 2.5717 \tilde{\kappa}_t^2 + 0.0091 \tilde{\kappa}_b^2 - 0.1982 \tilde{\kappa}_t \tilde{\kappa}_b$$

$$+ 1.0298 \kappa_Q^2 - 1.2095 \kappa_Q \kappa_t - 0.1109 \kappa_Q \kappa_b + 2.3170 \tilde{\kappa}_Q^2 + 4.8821 \tilde{\kappa}_Q \tilde{\kappa}_t - 0.1880 \tilde{\kappa}_Q \tilde{\kappa}_b$$

The κ_Q and $\tilde{\kappa}_Q$ couplings are connected to the g_2^{gg} and g_4^{gg} point-like interactions introduced in Eq. (1)

$$\mathcal{L}_{hff} = -\frac{m_f}{v} \bar{\psi}_f (\kappa_f + i \tilde{\kappa}_f \gamma_5) \psi_f h, \quad g_2^{gg} = -\alpha_s \kappa_Q / (6\pi), \quad g_4^{gg} = -\alpha_s \tilde{\kappa}_Q / (4\pi)$$

- EFT effect in production: may disentangle **point-like** interaction...

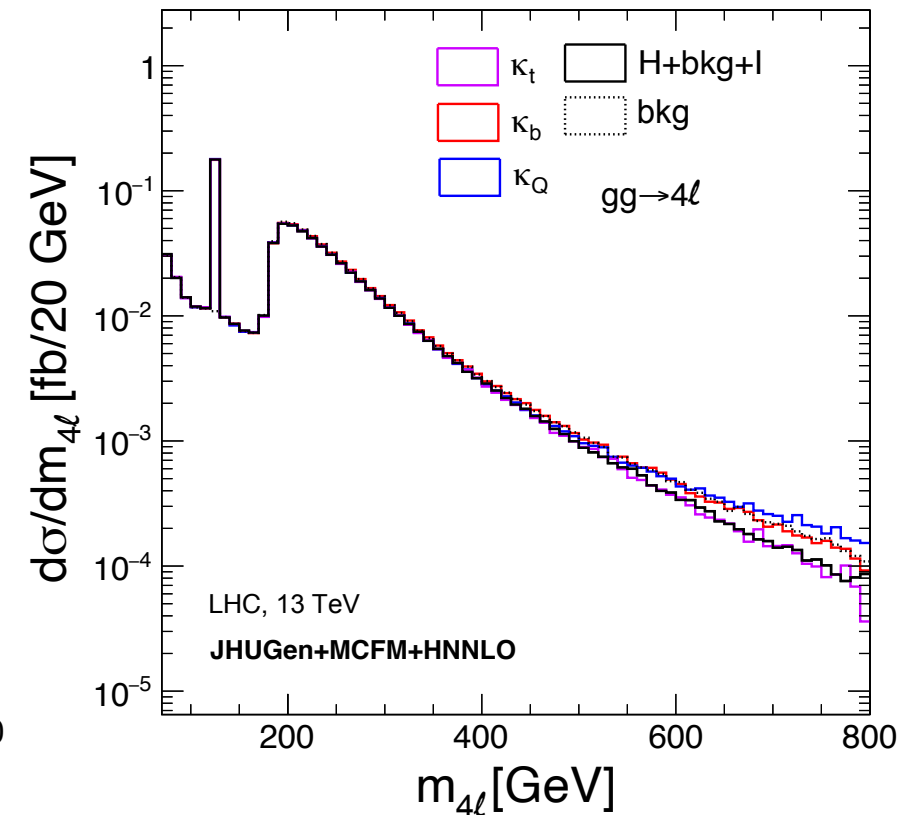
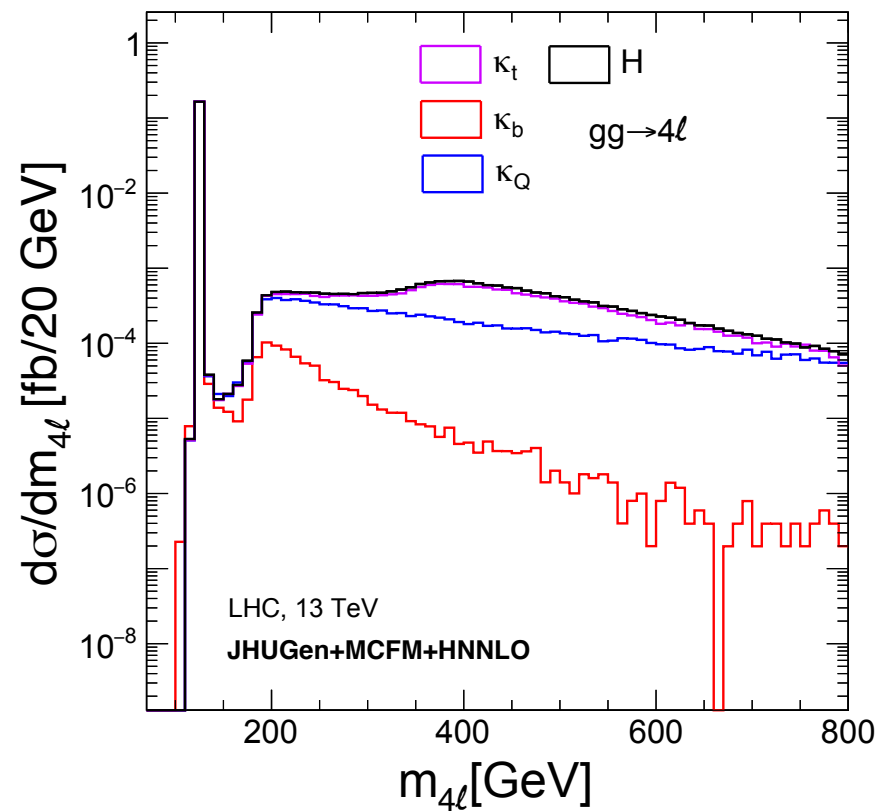
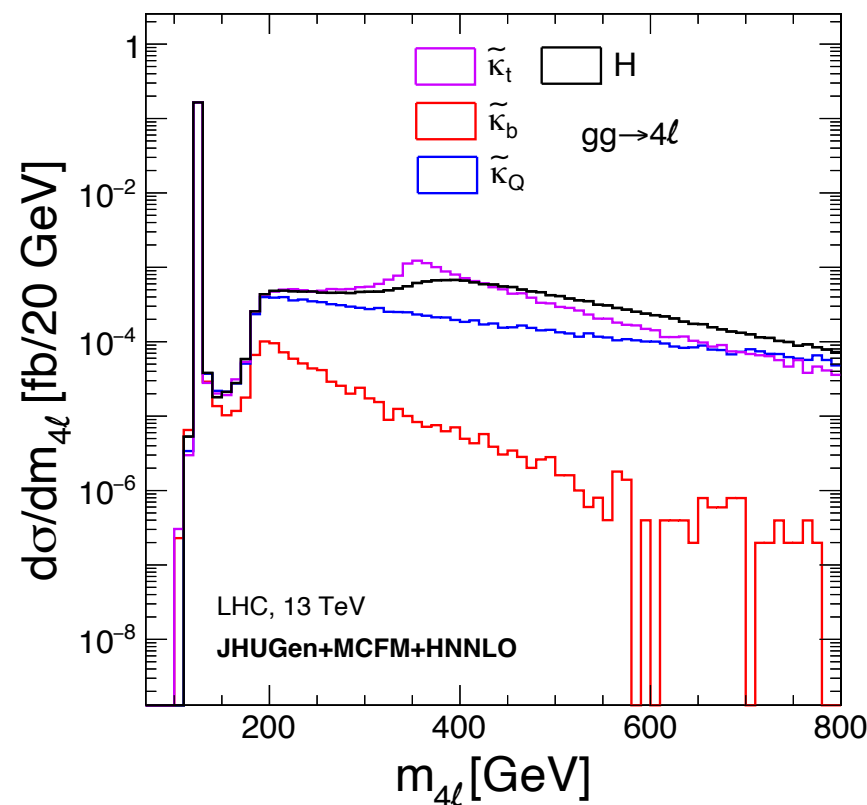
CP-odd signal

$\tilde{\kappa}_t, \tilde{\kappa}_b, \tilde{\kappa}_Q$

CP-even signal

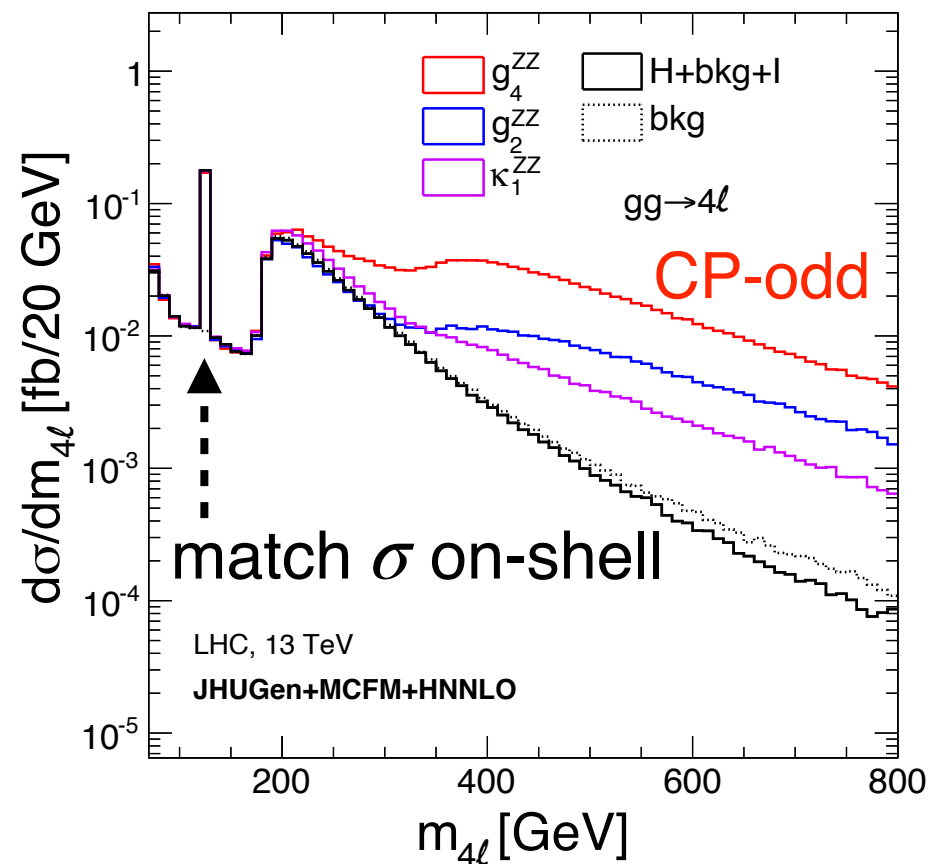
$\kappa_t (\sim \text{SM}), \kappa_b, \kappa_Q$

sig.+bkg.+interf.



EFT modeling of decay $H \rightarrow VV$ in ggH

- sig.+bkg.+interference in $gg \rightarrow (H^*) \rightarrow VV \rightarrow 4f$



- use mass eigenstate basis (Z^*, γ^*)
- off-shell effect interplay of $H \rightarrow ZZ^*$ (or WW^*) vs $H^* \rightarrow ZZ$ (or WW)
- off-shell effect does not work with $H \rightarrow V\gamma^*$
- mixing $H \rightarrow Z\gamma^*, \gamma^*\gamma^*, ZZ^*$ leads to x2 more “flat” parameters, more coding

$$A(HV_1V_2) = \frac{1}{v} \left\{ M_{V_1}^2 \left(g_1^{VV} + \frac{\kappa_1^{VV} q_1^2 + \kappa_2^{VV} q_2^2}{(\Lambda_1^{VV})^2} + \frac{\kappa_3^{VV} (q_1 + q_2)^2}{(\Lambda_Q^{VV})^2} + \frac{2q_1 \cdot q_2}{M_{V_1}^2} g_2^{VV} \right) (\varepsilon_1 \cdot \varepsilon_2) \right. \\ \left. - 2g_2^{VV} (\varepsilon_1 \cdot q_2)(\varepsilon_2 \cdot q_1) - 2g_4^{VV} \varepsilon_{\varepsilon_1 \varepsilon_2 q_1 q_2} \right\},$$

- **JHUGenLexicon**

interface to relate to either Higgs or Warsaw bases
similar to **Rosetta**, but integrated into the framework

JHUGenLexicon for EFT

- **JHUGen** “basis” does not have to be SMEFT, could be HEFT
- interface to the **Higgs basis**, with or without $SU(2) \times U(1)$

$$\begin{aligned}
 \delta c_z &= \frac{1}{2} g_1^{ZZ} - 1, & c_{zz} &= -\frac{2s_w^2 c_w^2}{e^2} g_2^{ZZ}, & c_{z\Box} &= \frac{M_Z^2 s_w^2}{e^2} \frac{\kappa_1^{ZZ}}{(\Lambda_1^{ZZ})^2}, & \tilde{c}_{zz} &= -\frac{2s_w^2 c_w^2}{e^2} g_4^{ZZ}, \\
 \delta c_w &= \frac{1}{2} g_1^{WW} - 1, & c_{ww} &= -\frac{2s_w^2}{e^2} g_2^{WW}, & c_{w\Box} &= \frac{M_W^2 s_w^2}{e^2} \frac{\kappa_1^{WW}}{(\Lambda_1^{WW})^2}, & \tilde{c}_{ww} &= -\frac{2s_w^2}{e^2} g_4^{WW}, \\
 c_{z\gamma} &= -\frac{2s_w c_w}{e^2} g_2^{Z\gamma}, & \tilde{c}_{z\gamma} &= -\frac{2s_w c_w}{e^2} g_4^{Z\gamma}, & c_{\gamma\Box} &= \frac{s_w c_w}{e^2} \frac{M_Z^2}{(\Lambda_1^{Z\gamma})^2} \kappa_2^{Z\gamma}, \\
 c_{\gamma\gamma} &= -\frac{2}{e^2} g_2^{\gamma\gamma}, & \tilde{c}_{\gamma\gamma} &= -\frac{2}{e^2} g_4^{\gamma\gamma}, & c_{gg} &= -\frac{2}{g_s^2} g_2^{gg}, & \tilde{c}_{gg} &= -\frac{2}{g_s^2} g_4^{gg}
 \end{aligned}$$

← not in offshell
← **ggH**

- interface to **Warsaw basis**

$$\begin{aligned}
 g_4^{ZZ} &= -2 \frac{v^2}{\Lambda^2} \left(s_w^2 w_{\phi\tilde{B}} + c_w^2 w_{\phi\tilde{W}} + s_w c_w w_{\phi B\tilde{W}} \right), \\
 g_4^{\gamma\gamma} &= -2 \frac{v^2}{\Lambda^2} \left(c_w^2 w_{\phi\tilde{B}} + s_w^2 w_{\phi\tilde{W}} - s_w c_w w_{\phi B\tilde{W}} \right), \\
 g_4^{Z\gamma} &= -2 \frac{v^2}{\Lambda^2} \left(s_w c_w (w_{\phi\tilde{W}} - w_{\phi\tilde{B}}) + \frac{1}{2} (s_w^2 - c_w^2) w_{\phi B\tilde{W}} \right), \\
 g_4^{gg} &= -2 \frac{v^2}{\Lambda^2} w_{\phi\tilde{G}}.
 \end{aligned}$$

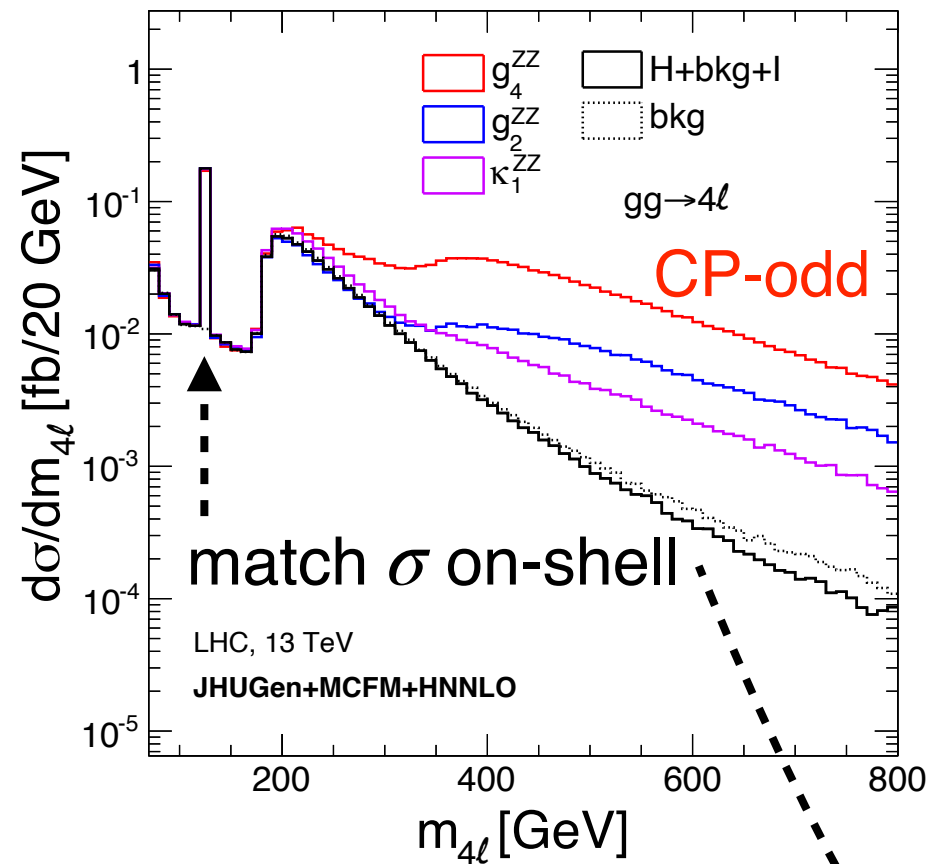
- additional symmetries

— “custodial” symmetry $g_1^{ZZ} = g_1^{WW}$
 motivated by M_W

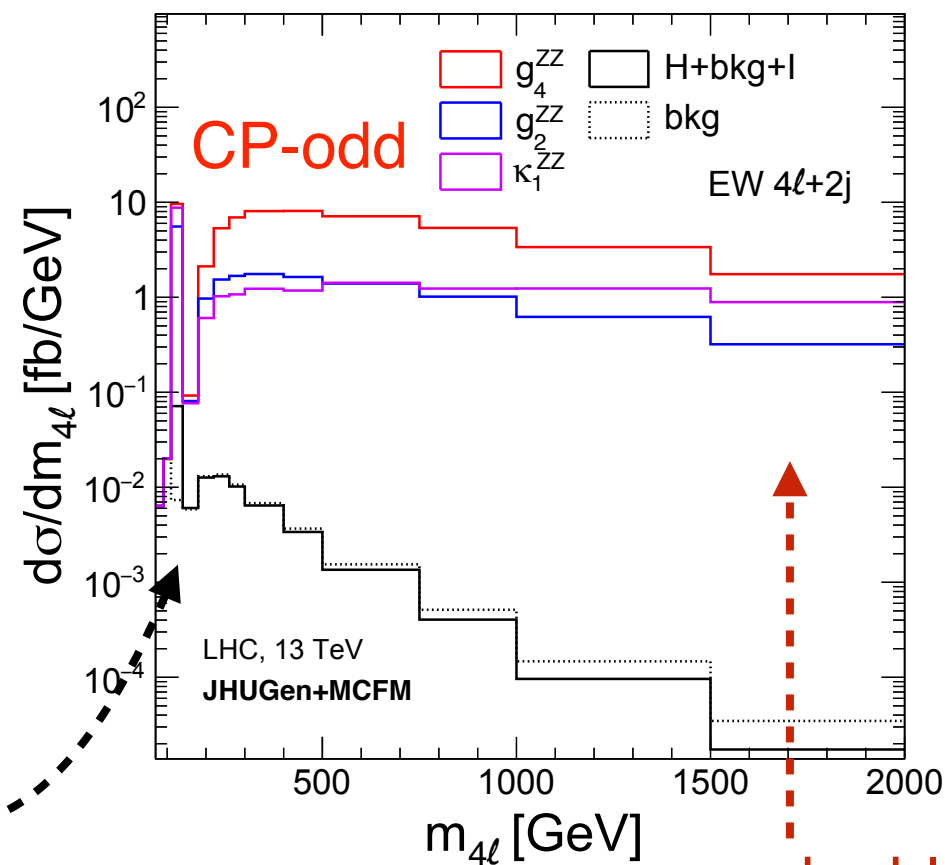
- **JHUGenLexicon** with **JHUGen** and standalone

EFT modeling of $VV \rightarrow H \rightarrow VV$ in EW production

- sig.+bkg.+interference in $gg \rightarrow (H^*) \rightarrow VV \rightarrow 4f$



- in $qqVV \rightarrow (qqH^*) \rightarrow qqVV \rightarrow 6f$
including $(VH^*) \rightarrow VVV \rightarrow 6f$



- cut off q^2 growth:

$$\frac{\Lambda_{V1,i}^2 \Lambda_{V2,i}^2 \Lambda_{H,i}^2}{(\Lambda_{V1,i}^2 + |q_{V1}^2|)(\Lambda_{V2,i}^2 + |q_{V2}^2|)(\Lambda_{H,i}^2 + |(q_{V1} + q_{V2})^2|)}$$

double-enhancement

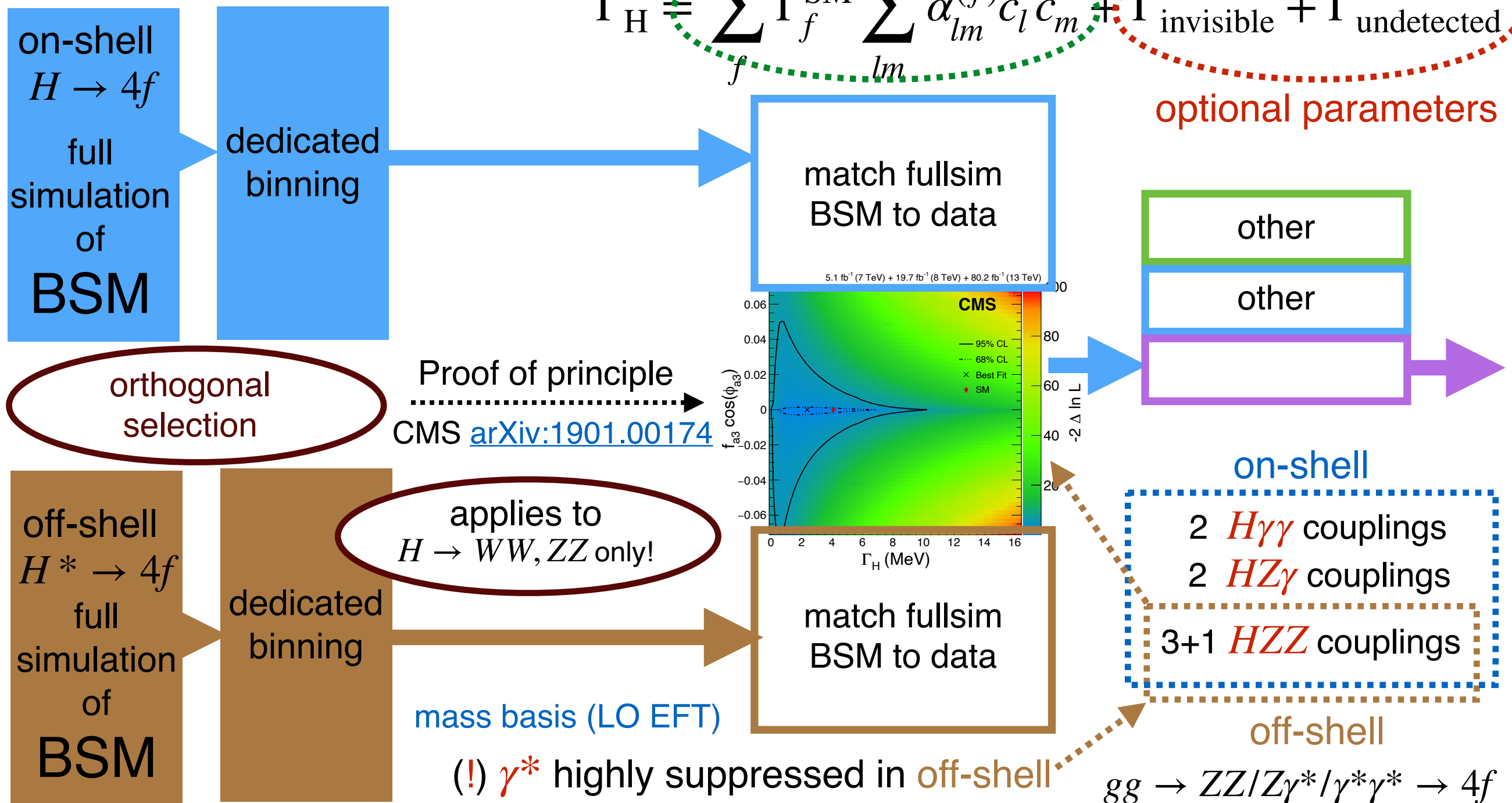
Off-shell H^* should enter EFT Higgs fits!

$$\sigma_j^{\text{prod}} \times \mathcal{B}^{\text{dec}} \propto \frac{\left(\sum_{il} \alpha_{il}^{(\text{prod } j)} a_i a_l \right) \left(\sum_{mn} \alpha_{mn}^{(\text{dec})} a_m a_n \right)}{\Gamma_H}$$

see talk: [July 1, 2020](#)

not in off-shell

$$\Gamma_H = \sum_f \Gamma_f^{\text{SM}} \sum_{lm} \alpha_{lm}^{(f)} c_l c_m + \Gamma_{\text{invisible}} + \Gamma_{\text{undetected}}$$



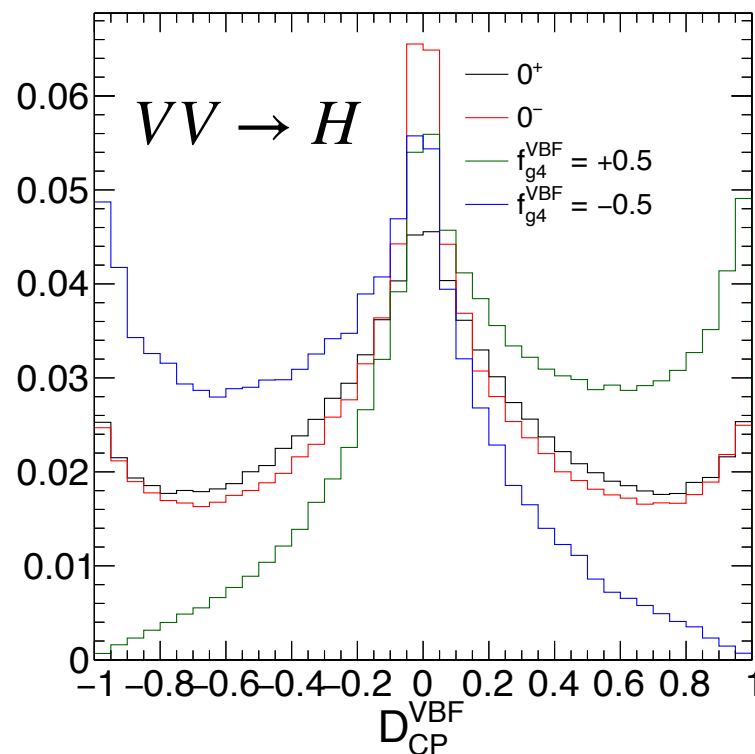
MELA: Re-weighting and Optimal observables for EFT

- **Optimal** and **fully correct** analysis to be done at **detector level**
full detector simulation is expensive, re-use events for EFT

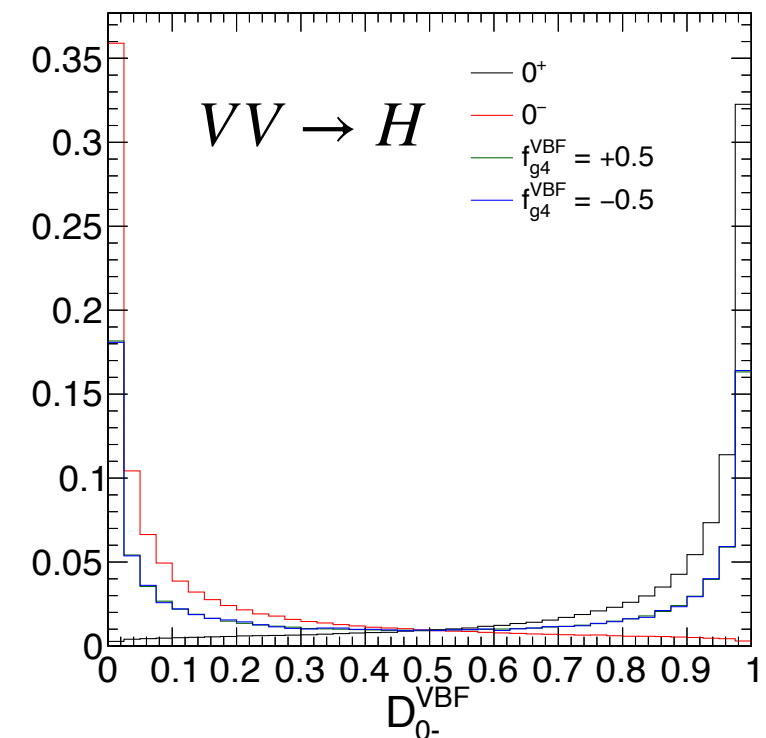
MELA: **re-weighting** of any **JHUGen** production and/or decay at LO
extensively used for EFT on LHC hep-ex since 2012

MELA: **optimal observables** for most Higgs processes, since discovery
provides guidance for Machine Learning approach

see talk: [July 1, 2020](#)



$$\mathcal{D}_{\text{int}}(\Omega) = \frac{\mathcal{P}_{\text{int}}(\Omega)}{2\sqrt{\mathcal{P}_{\text{sig}}(\Omega) \times \mathcal{P}_{\text{alt}}(\Omega)}}$$
$$\mathcal{D}_{\text{alt}}(\Omega) = \frac{\mathcal{P}_{\text{sig}}(\Omega)}{\mathcal{P}_{\text{sig}}(\Omega) + \mathcal{P}_{\text{alt}}(\Omega)}$$



Connection to EW in VBS in **JHUGen**

- Higgs SMEFT analyses to be done with EFT effects in “background”
- Example of quartic-gauge couplings / VBS:

$$\begin{aligned}\mathcal{L}_{\text{qgc}} = & e^2(W_\mu^+ A_\mu W_\nu^- A_\nu - W_\mu^+ W_\mu^- A_\nu A_\nu) + \frac{e^2}{2s_w^2}(1 + 2c_w^2 \delta g_{1,z})(W_\mu^+ W_\mu^+ W_\nu^- W_\nu^- - W_\mu^+ W_\mu^- W_\nu^+ W_\nu^-) \\ & + e^2 \frac{c_w^2}{s_w^2}(1 + 2\delta g_{1,z})(W_\mu^+ Z_\mu W_\nu^- Z_\nu - W_\mu^+ W_\mu^- Z_\nu Z_\nu) \\ & + e^2 \frac{c_w}{s_w}(1 + \delta g_{1,z})(W_\mu^+ Z_\mu W_\nu^- A_\nu + W_\mu^+ A_\mu W_\nu^- Z_\nu - 2W_\mu^+ W_\mu^- Z_\nu A_\nu) + \dots\end{aligned}$$

0 in SM

- Relate Higgs and EW in SMEFT:

$$\delta g_{1,z} = \frac{1}{2} \left(\frac{s_w^2}{c_w^2} d^{ZZWW} - 1 \right) = \frac{s_w}{c_w} d^{Z\gamma WW} - 1$$

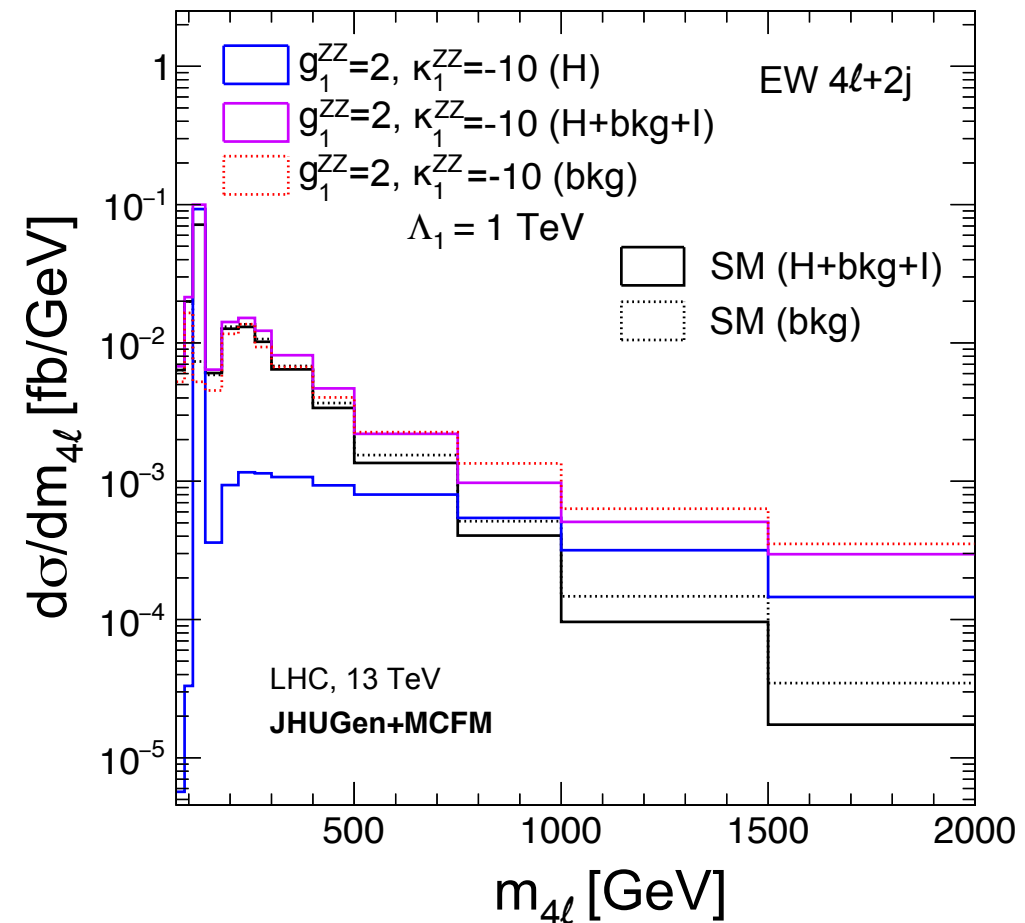
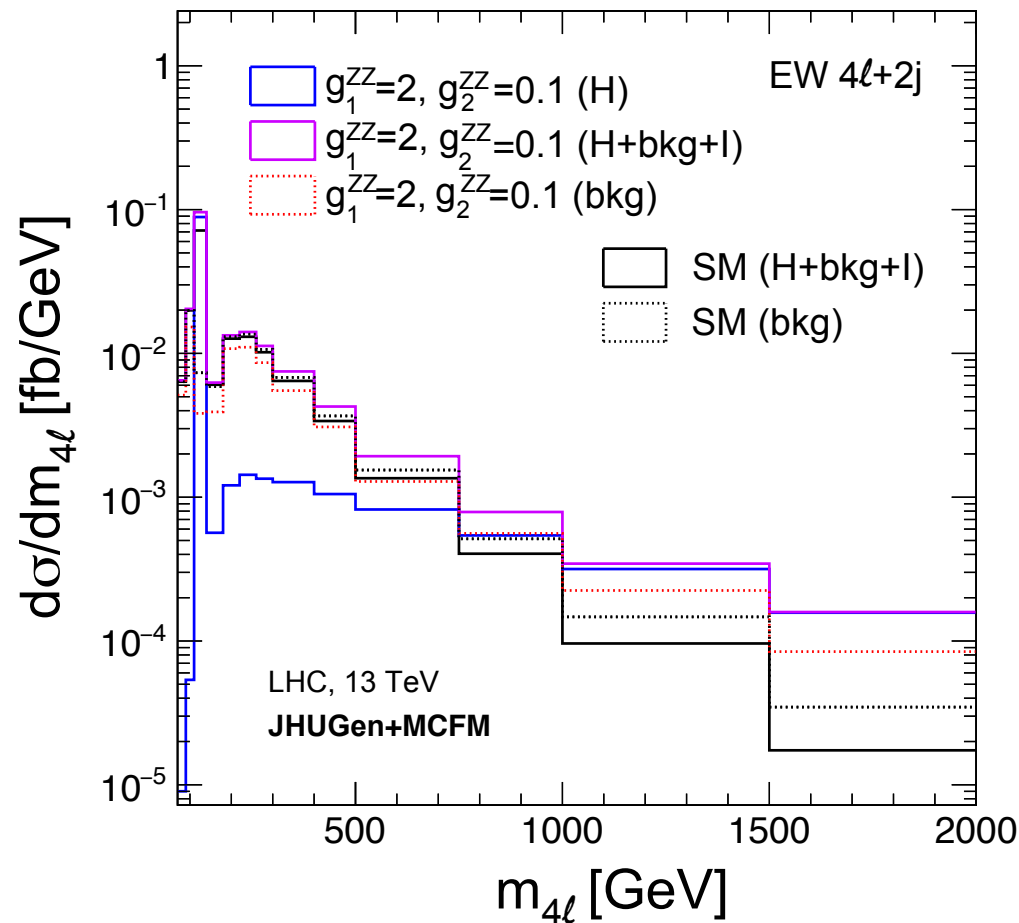
$$d^{ZZWW} = \frac{c_w^2}{s_w^2} (2d_2^Z - 1)$$

$$d_2^Z = d_3^Z = 1 - \frac{s_w^2}{c_w^2 - s_w^2} (g_2^{\gamma\gamma} - g_2^{ZZ}) - \frac{s_w}{c_w} g_2^{Z\gamma} - \frac{M_Z^2}{2(c_w^2 - s_w^2)} \frac{\kappa_1^{ZZ}}{(\Lambda_1^{ZZ})^2} + \dots$$

CP-even HVV couplings

Connection to EW / top in SMEFT

- Effect of Higgs - EW coupling relationship in EW offshell:

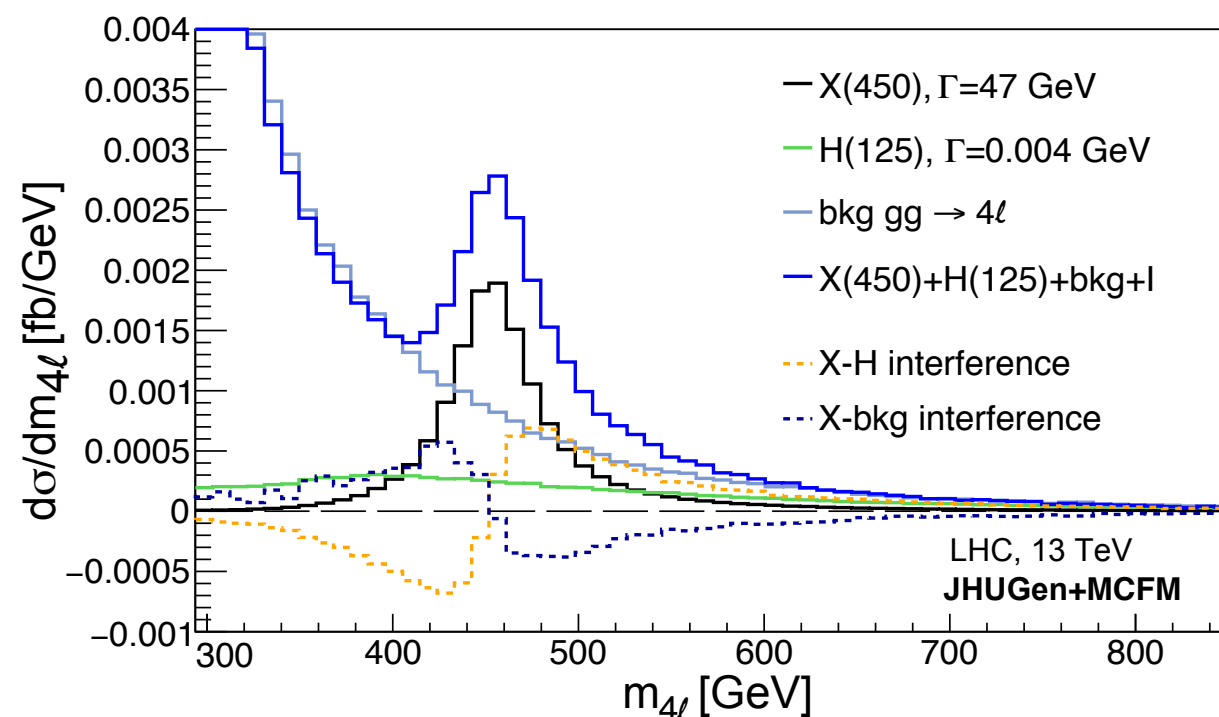


- Related: Vtt coupling in ggH within **MCFM** framework

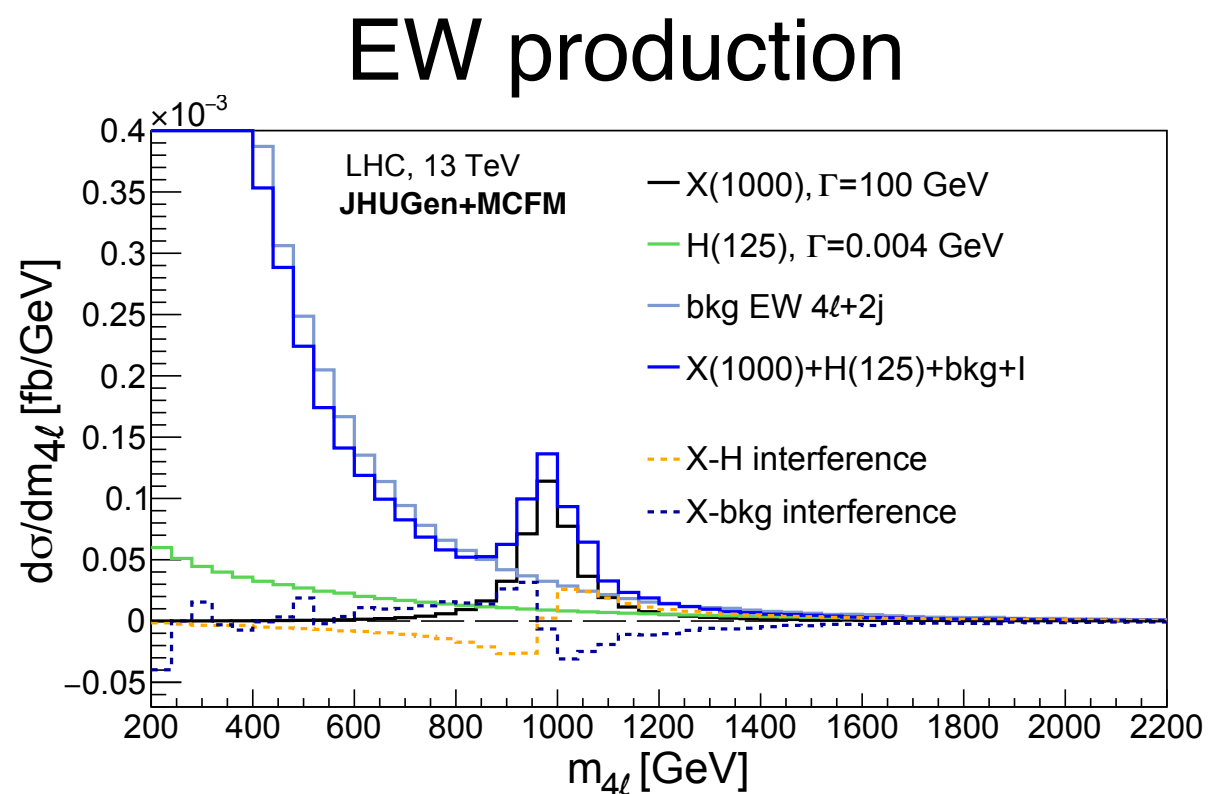
see talk by Oscar Eboli: [Sep.24,2020](#)

Interference with a second resonance X

- Broad X with **JHUGen+MCFM**
 - $H^* + X + \text{continuum} + \text{interference}$
 - full “EFT” treatment of $X \& H^*$
- Broad X with **POWHEG+JHUGen**
 - account for $X \rightarrow ZZ, WW$ effects



ggH production



— for application on LHC, see e.g. [arXiv:1804.01939](https://arxiv.org/abs/1804.01939)

Summary on **JHUGen** framework (for EFT)

- Coherent framework for studies of EFT with on+off-shell Higgs
 - **full simulation** of all $H^* + \text{bkg} + l$ production and decay processes
 - **re-weighting** to increase statistics and cover all EFT models
 - **observables** to be optimal to full kinematics
 - **fitting** tools to pull it all together
- Goal: introduce off-shell H^* into EFT fits
- Focus on experimental aspects
 - target **detector-level** studies
 - in experiment limited in **statistics** and practical **application**
 - **JHUGen** / **MELA** were designed to address both
 - **experience** in practical EFT application to off-shell in hep-ex

