(Some) Details on ATLAS VBS ZZ Results

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Introduction

□ Long interests in vector boson scattering even since 1970s, due to its obvious connection with Higgs mechanism and BSM

□ Among all VBS processes, VBS ZZ is the kind of rarest process, with O(0.1 fb) effective fiducial cross-sections.

Before LHC start, many didn't expect we could find this so fast => owing to excellent accelerator/detector/analysis work, and great theory advances

□ ATLAS and CMS have both measured VBS ZZ (in its hosting channels, so called electroweak ZZjj) with good significance and found SM compatibility, providing another triumph and milestone in testing the SM to its extremity.

Although we cannot use this limited number of events to tell much into the ultimate goal, this observation nevertheless signified that the door to the future is opening ...

The plan to explain a bit the ATLAS results

□ All materials shown are based on public ATLAS results

- arXiv:2004.10612
- or <u>https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PAPERS/STDM-</u> 2017-19/, including extra plots/tables

From time to time, personal interpretations and remarks will be provided, focusing on why certain actions were taken in the data analysis

An event display



Relevant diagrams



Figure 1: Typical diagrams for the production of ZZjj, including the relevant EW VBS diagrams (first row) and QCD diagrams (second row).

One needs to work on good S/N ratio channels (IIIIjj and IIvvjj) and take advantage of the VBS characteristics (more forward two jets, less color flow between jets)

ATLAS Results



Used ATLAS full Run-II data, 139 fb⁻¹, based on IIIIjj and IIvvjj final states

Obtained 5.5 σ observation significance of electroweak ZZjj

Fitted 3 regions simultaneously based on BDT discriminants: QCD control region, signal region for IIIIjj, and SR for IIvvjj

Use of two final states

We knew IIvvjj would have worse sensitivity, but still decided to use it as a cross-check (especially if anything extraordinary might be observed in the IIIIjj channel)

Illljj (main channel):

- clean with four charged leptons
- mainly fight against QCD ZZjj (use of start-of-art modelling, and cross-check with data)
- fully reconstructable final state
- benefit from precise lepton calibration
- reply on understanding of jets (calibration and systematic uncertainties)

llvvjj:

- suffer from large backgrounds (QCD ZZjj, WZ, top, WW, Z+jets ...), constraints with data
- although larger BR, must harshly restrict the phase space
- still has advantages in large mass/pT ranges for BSM sensitivity
- rely on understanding of MET
- rely on understanding of jets

Selection of detector-level events

Largely shaped by the detector acceptance and signal characteristics over backgrounds

| | $\ell\ell\ell\ell jj$ | $\ell\ell u u jj$ | |
|-----------------|---|--|--|
| Electrons | $p_{ m T} > 7 \ { m GeV}, \eta < 2.47$ $ d_0/\sigma_{d_0} < 5 \ { m and} \ z_0 	imes \sin \theta < 0.5 \ { m mm}$ | | |
| Muons | $p_{\rm T} > 7 \text{ GeV}, \eta < 2.7$ $ d_0/\sigma_{d_0} < 3 \text{ and } z_0 \times \sin \theta < 0.5 \text{ mm}$ $p_{\rm T} > 7 \text{ GeV}, \eta < 2.5$ | | |
| Jets | $p_{\rm T} > 30 \ (40) \ {\rm GeV} \ {\rm for} \ \eta < 2.4 \ (2.4 < \eta < 4.5)$ | $p_{\rm T} > 60~(40)~{\rm GeV}$ for the leading (sub-leading) jet | |
| ZZ selection | $p_{\rm T} > 20, 20, 10$ GeV for the leading, sub-leading and third leptons Two OSSF lepton pairs with smallest $ m_{\ell^+\ell^-} - m_Z + m_{e^{\prime}+e^{\prime}} - m_Z $ | $p_{\rm T}>30~(20)~{\rm GeV}$ for the leading (sub-leading) lepton One OSSF lepton pair and no third leptons | |
| | $m_{\ell^+\ell^-} > 10 \text{ GeV}$ for lepton pairs | $80 < m_{\ell^+ \ell^-} < 100 { m ~GeV}$ | |
| | $\Delta R(\ell,\ell')>0.2$ | No b-tagged jets | |
| | $66 < m_{\ell^+ \ell^-} < 116~{\rm GeV}$ | $E_{\rm T}^{\rm miss}$ -significance > 12 | |
| Dijet selection | Two most energetic jets with $y_{j_1} \times y_{j_2} < 0$ | | |
| | $m_{jj} > 300 \text{ GeV} \text{ and } \Delta y(jj) > 2$ | $m_{jj} > 400 \text{ GeV} \text{ and } \Delta y(jj) > 2$ | |

More precise description in the paper

- Difference between the two channels motivated/determined by experiment aspects

- Pileup contribution (often concerned) largely suppressed and thought to have negligible impact

- Selection chosen to be effective but not too strict, leaving room for later machine learning improvement

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Observed yields and backgrounds

Table 1: Observed data and expected event yields in 139 fb⁻¹ of data in the $\ell\ell\ell\ell jj$ and $\ell\ell\nu\nu jj$ signal regions. All the minor backgrounds are summed together as 'Others'. Uncertainties in the predictions include both the statistical and systematic components.

| | Process | lllljj | llvvjj |
|-------------------------|--------------------------|----------------|----------------|
| | EW ZZjj | 20.6 ± 2.5 | 12.3 ± 0.7 |
| Mostly top | QCD ZZjj | 77 ± 25 | 17.2 ± 3.5 |
| and WW | QCD ggZZjj | 13.1 ± 4.4 | 3.5 ± 1.1 |
| | Non-resonant- $\ell\ell$ | _ | 21.4 ± 4.8 |
| Backgrounds due to | WZ | _ | 22.8 ± 1.1 |
| non-prompt leptons, —• | Others | 3.2 ± 2.1 | 1.2 ± 0.9 |
| fake MET, triboson, ttV | Total | 114 ± 26 | 78.4 ± 6.2 |
| | Data | 127 | 82 |

- A reasonable agreement between data and predictions was found
- Further discrimination between signal and backgrounds necessary to reach at observation threshold

Multivariant Discriminants







Based on Gradient Boosted Decision Trees

Input variables are typical jet and lepton kinematics

Hyperparameters scanned for optimal performance

Binning was optimized too

Low MD \rightarrow background-like

High MD \rightarrow signal-like

MC simulation

🗆 EW ZZjj

MadGraph5_aMC@NLO 2.6.1, LO in QCD + PS

NLO calculation not included in time for initial publication, to be incorporated for revisions and Run-II legacy results, won't affect data observation

🖵 QCD ZZjj

- Sherpa 2.2.2, NLO in QCD for up to 1 parton, LO in QCD for 2-3 partons
- box diagram gluon-gluon QCD ZZjj, with Sherpa/gg2VV, LO in QCD + PS; cross-sections corrected to NLO in QCD

EW-QCD ZZjj interference

- modelled with MadGraph5_aMC@NLO 2.6.1
- found to be small w.r.t. EW ZZjj; treated as uncertainty in modelling of EW ZZjj

Other processes

- Sherpa 222: WWjj, WZjj, triboson; Sherpa 221: Z+jets (including EW process)
- Powheg-Box V2: ttbar; Powheg-Box V1: Wt; MG5_aMC@NLO: ttV and ttVV

QCD ZZjj

based on MC simulation (full experimental uncertainties and typical modelling uncertainties – QCD scale, PDF, PS), with examination provided by data CR and VR (IIIIjj only), and with constraints from sensitive events in the final statistical fit



- \Rightarrow Data and simulation was found to be compatible, even up to high mjj
- \Rightarrow Full uncertainty on QCD ZZjj amounts to 30% level or larger depending on regions
- \Rightarrow QCD CR provides a normalization factor of QCD ZZjj for Illijj channel; while Ilvvjj channel relies on pure MC for QCD ZZjj modelling
- \Rightarrow Correlation scheme for modelling uncertainties been treated conservatively 11/24/2020 Y. Wu

Other Backgrounds

🖵 IIIIjj channel

Fake background yield estimated via fake-factor method and shape via simulation, > 50% uncertainty

Triboson, ttV(V) via simulation

Ilvvjj channel

✤ WZjj: based on simulation and corrected with 3-lepton CR



Non-resonant-Il contribution: WW, ttbar, Wt, estimated using $e+\mu+jj$ CR for the yield and simulation for shape

Others:

Z+jets largely suppressed, estimated via
low-to-high MET extrapolation (>100% unc.)
ttV/VVV estimated via simulation

Statistical Fitting

A simultaneous fit to three regions



POI:

 σ (EW ZZjj) normalized to SM prediction

Free Normalization factor for QCD ZZjj only applied in IIIIjj: σ (QCD ZZjj) normalized to SM prediction

All experimental and modelling systematic uncertainties treated as nuisance parameters in the fit, affecting signal and background distributions if applicable

Uncertainties

Experimental systematic uncertainties

- Iuminosity uncertainty, 1.7% constant
- uncertainties due to lepton, jet, and MET reconstruction, and pileup condition modelling => inclusive uncertainty typically 5% for simulated processes
- uncertainties due to the same sources correlated among processes and channels
- background uncertainties and MC statistical uncertainties
- Additional uncertainty in QCD ZZjj pileup modelling as difference in QCD ZZjj shape between low and high pile-up conditions (~10% difference in shape)

Modelling uncertainties

- For EW and QCD ZZjj processes, included uncertainties due to PDF, QCD scale, partonshowering, and alpha(S) variation: up to 30% impact on the yield and shape of QCD ZZjj
- Conservative approach was taken to un-correlate modelling uncertainties between regions and channels (see the paper for further description): choosing a more aggressive approach could lead to a further 10-20% improvement to the significance
- Additional uncertainty assigned to cover difference between Sherpa and MG5 in the QCD ZZjj shape modelling
- EW-QCD interference considered as modelling uncertainty on the EW process

Results

Table 2: Observed μ_{EW} and $\mu_{\text{QCD}}^{\ell\ell\ell\ell jj}$, as well as the observed and expected significance of EW ZZjj processes from the individual $\ell\ell\ell\ell jj$ and $\ell\ell\nu\nu jj$ channels, and the combined fits. The full set of statistical and systematic uncertainties is included.

| | $\mu_{ m EW}$ | $\mu_{	ext{QCD}}^{\ell\ell\ell\ell jj}$ | Significance Obs. (Exp.) |
|----------|-----------------|---|--------------------------|
| llljj | 1.5 ± 0.4 | 0.95 ± 0.22 | 5.5 (3.9) <i>o</i> |
| llvvjj | 0.7 ± 0.7 | — | $1.2(1.8)\sigma$ |
| Combined | 1.35 ± 0.34 | 0.96 ± 0.22 | 5.5 (4.3) <i>o</i> |

- The observed significance is larger than the expected owing to the slight excess of data in high MD
- The llvvjj channel is of a cross-checking purpose as expected
- Results shown a compatibility with the SM
- The final significance is statistically limited; the systematic uncertainties on the signal strength are about 10% and 30% for Illiji and Ilvvjj

Fiducial phase space

| | $\ell\ell\ell\ell jj$ | $\ell\ell u u jj$ |
|-----------------|---|---|
| Electrons | $p_{\rm T} > 7~{ m GeV}, \eta < 2.47$ | $p_{\rm T} > 7~{ m GeV}, \eta < 2.5$ |
| Muons | $p_{\rm T} > 7~{ m GeV}, \eta < 2.7$ | $p_{\rm T} > 7~{ m GeV}, \eta < 2.5$ |
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| ZZ selection | $p_{\rm T} > 20, 20, 10$ GeV for the leading, sub-leading and third leptons Two OSSF lepton pairs with smallest $ m_{\ell^+\ell^-} - m_Z + m_{\ell^{'+}\ell^{'-}} - m_Z $ $m_{\ell^+\ell^-} > 10$ GeV for lepton pairs $\Delta B(\ell, \ell') > 0.2$ | $p_{\rm T} > 30 \ (20) \ {\rm GeV}$ for the leading (sub-leading) lepton One OSSF lepton pair and no third leptons $80 < m_{\ell^+\ell^-} < 100 \ {\rm GeV}$ $E_{\rm miss}^{\rm miss} > 130 \ {\rm GeV}$ |
| | $50 < m_{\ell^+ \ell^-} < 120 \text{ GeV}$ | $E_{\rm T}$ > 150 GeV |
| Dijet selection | Two most energetic jets with $m_{jj} > 300~{ m GeV}$ and $\Delta y(jj) > 2$ | $\begin{array}{l} \begin{array}{l} 1 \ y_{j_1} \times y_{j_2} < 0 \\ m_{jj} > 400 \ {\rm GeV} \ {\rm and} \ \Delta y(jj) > 2 \end{array} \end{array}$ |

Similar to detector-level selection, with simplifications (e.g. MET vs MET-significance)

For a measurement of EW ZZjj cross-section

- Multiple the $\mu(\text{EW ZZjj})$ derived in the fit with the SM prediction

For a measurement of inclusive ZZjj

- use the simple counting experiment, treat EW+QCD ZZjj as the signal
- fiducial to detector correction factors: 70% for Illijj, and 22% for Ilvvjj
- worse factor for IIvvjj due to the migration and resolution effect of using MET at truth level

Fiducial cross-sections

Measured EW ZZjj cross-section combining two channels 0.82 +/- 0.21 fb, in comparison to prediction of 0.61 +/- 0.03 fb Note: the fiducial region is broader than the most sensitive region (i.e. high MD) used to extract the EW ZZjj signal

Measured EW+QCD ZZjj cross-section

| | Measured fiducial σ [fb] | Predicted fiducial σ [fb] |
|--------|--|--|
| lllljj | $1.27 \pm 0.12(\text{stat}) \pm 0.02(\text{theo}) \pm 0.07(\text{exp}) \pm 0.01(\text{bkg}) \pm 0.03(\text{lumi})$ | $1.14 \pm 0.04(\text{stat}) \pm 0.20(\text{theo})$ |
| llvvjj | $1.22 \pm 0.30(\text{stat}) \pm 0.04(\text{theo}) \pm 0.06(\text{exp}) \pm 0.16(\text{bkg}) \pm 0.03(\text{lumi})$ | $1.07 \pm 0.01(\text{stat}) \pm 0.12(\text{theo})$ |

A reasonable precision has been achieved for the two fiducial measurements

Looking forward





With the full Run-II data, we not only have observed the rare process,

=> but also been able to have a peek at the high s-hat region, which was the original target that attracted us to seek for anomalies

Summary

A brief discussion on the analysis details has been provided for ATLAS VBS ZZ measurement

- This is a typical measurement, seeking for a rare process and relying on many factors to succeed
- Luckily, excellent theory advances and experimental scrutinies made it possible
- Extracting further insights using this channel would be difficult without a big leap in the data statistics
- Nevertheless, next phase of studies shall and have already been started: differential measurements, direct constraints on resonance models in VBS channel, polarization studies, effective field theory studies, etc.

Thank you for your attention!