

# High-energy scattering at next-to-leading order

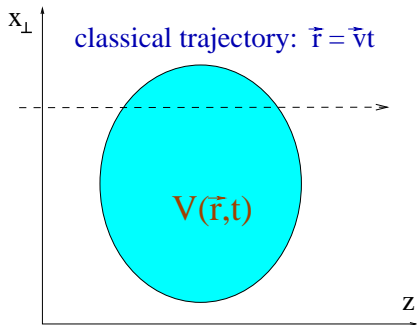
Ian Balitsky and G.A. Chirilli

JLab-ODU / CPHT-Polytechnique-LPT d'orsay

Low  $x$  in Kavala 23 June 2010

- High-energy scattering and Wilson lines in quantum mechanics, QED and QCD.
- Light-cone OPE versus OPE in color dipoles.
- The LO evolution of color dipoles: BK equation.
- NLO amplitudes and NLO BK equation in  $\mathcal{N}=4$  SYM.
- NLO amplitudes for deep inelastic scattering in QCD.
- Conclusions.

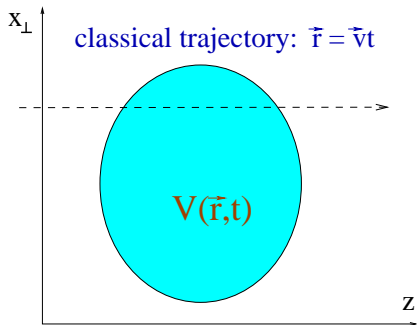
# “Wilson lines” in quantum mechanics



WKB approximation:  $\Psi \sim e^{\frac{i}{\hbar}S}$

$$\begin{aligned} S &= \int (pdz - Edt) \\ &= -Et + \int^z dz' \sqrt{2m(E - V(z'))} \end{aligned}$$

# “Wilson lines” in quantum mechanics



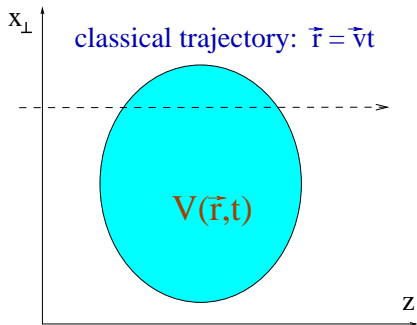
WKB approximation:  $\Psi \sim e^{\frac{i}{\hbar}S}$

$$\begin{aligned} S &= \int (pdz - Edt) \\ &= -Et + \int^z dz' \sqrt{2m(E - V(z'))} \end{aligned}$$

High energy:  $E \gg V(x) \Rightarrow$

$$\Psi(\vec{r}, t) = e^{-\frac{i}{\hbar}(Et - kx)} e^{-\frac{i}{\hbar} \int_{-\infty}^z dz' V(z')}$$

# “Wilson lines” in quantum mechanics



WKB approximation:  $\Psi \sim e^{\frac{i}{\hbar}S}$

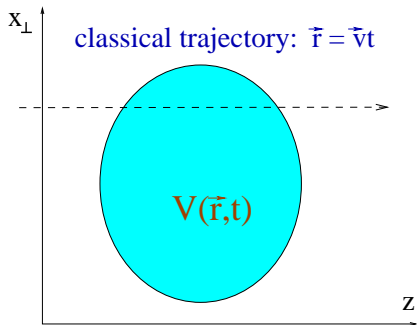
$$S = \int (pdz - Edt)$$
$$= -Et + \int^z dz' \sqrt{2m(E - V(z'))}$$

High energy:  $E \gg V(x) \Rightarrow$

$$\Psi(\vec{r}, t) = e^{-\frac{i}{\hbar}(Et - kx)} e^{-\frac{i}{\hbar} \int_{-\infty}^z dz' V(z')}$$

$\Psi$  at high energy = free wave  $\times$  phase factor ordered along the line  $\parallel \vec{v}$ .

# “Wilson lines” in quantum mechanics



WKB approximation:  $\Psi \sim e^{\frac{i}{\hbar}S}$

$$S = \int (pdz - Edt)$$
$$= -Et + \int^z dz' \sqrt{2m(E - V(z'))}$$

High energy:  $E \gg V(x) \Rightarrow$

$$\Psi(\vec{r}, t) = e^{-\frac{i}{\hbar}(Et - kx)} e^{-\frac{i}{\hbar} \int_{-\infty}^z dz' V(z')}$$

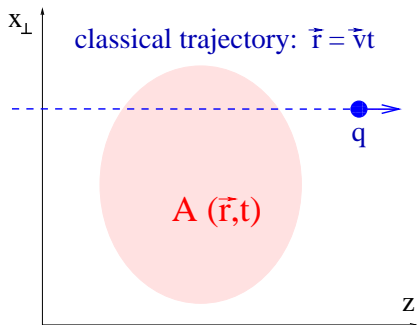
$\Psi$  at high energy = free wave  $\times$  phase factor ordered along the line  $\parallel \vec{v}$ .

The scattering amplitude is proportional to  $\Psi(t = \infty)$  defined by

$$U(x_{\perp}) = e^{-\frac{i}{\hbar} \int_{-\infty}^{\infty} dz' V(z' + x_{\perp})}$$

Glauber formula:  $\sigma_{\text{tot}} = 2 \int d^2x_{\perp} [1 - \Re U(x_{\perp})]$

# High-energy phase factor in QED and QCD

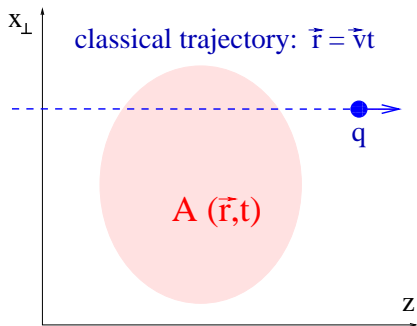


$$\begin{aligned} S_e &= \int dt \left\{ -mc^2 \sqrt{1 - \frac{v^2}{c^2}} - e\Phi + \frac{e}{c} \vec{v} \cdot \vec{A} \right\} \\ &= S_{\text{free}} + \int dt (-e\Phi + \frac{e}{c} \vec{v} \cdot \vec{A}) \end{aligned}$$

$\Rightarrow$  phase factor for the high-energy scattering is

$$\begin{aligned} U(x_{\perp}, v) &= e^{-\frac{ie}{\hbar c} \int_{-\infty}^{\infty} dt (-e\Phi + \frac{e}{c} \vec{v} \cdot \vec{A})} \\ &= e^{-\frac{ie}{\hbar c} \int_{-\infty}^{\infty} dt \dot{x}_{\mu} A^{\mu}(x(t))} \end{aligned}$$

# High-energy phase factor in QED and QCD



$$S_e = \int dt \left\{ -mc^2 \sqrt{1 - \frac{v^2}{c^2}} - e\Phi + \frac{e}{c} \vec{v} \cdot \vec{A} \right\}$$

$$= S_{\text{free}} + \int dt \left( -e\Phi + \frac{e}{c} \vec{v} \cdot \vec{A} \right)$$

$\Rightarrow$  phase factor for the high-energy scattering is

$$U(x_{\perp}, v) = e^{-\frac{ie}{\hbar c} \int_{-\infty}^{\infty} dt (-e\Phi + \frac{e}{c} \vec{v} \cdot \vec{A})}$$

$$= e^{-\frac{ie}{\hbar c} \int_{-\infty}^{\infty} dt \dot{x}_{\mu} A^{\mu}(x(t))}$$

In QCD  $e \rightarrow -g$ ,  $A_{\mu} \rightarrow A_{\mu}^a \equiv A_{\mu}^a \frac{\lambda^a}{2}$

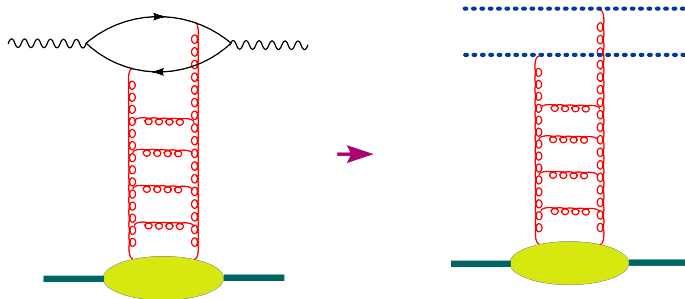
$$\Rightarrow U(x_{\perp}, v) = P \exp \left\{ \frac{ig}{\hbar c} \int_{-\infty}^{\infty} dt \dot{x}_{\mu} A^{\mu}(x(t)) \right\}$$

Wilson – line operator

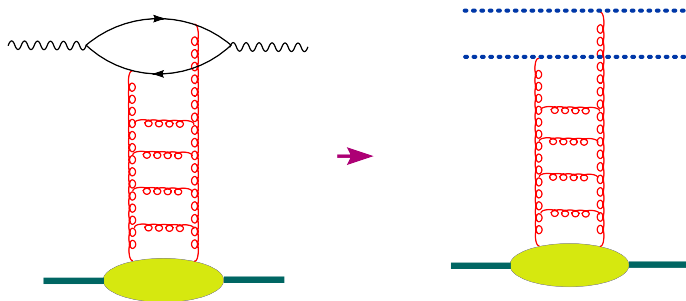
(Later  $\hbar = c = 1$ )



- At high energies, particles move along straight lines  $\Rightarrow$  the amplitude of  $\gamma^*A \rightarrow \gamma^*A$  scattering reduces to the matrix element of a two-Wilson-line operator (color dipole):



- At high energies, particles move along straight lines  $\Rightarrow$  the amplitude of  $\gamma^*A \rightarrow \gamma^*A$  scattering reduces to the matrix element of a two-Wilson-line operator (color dipole):



$$A(s) = \int \frac{d^2k_{\perp}}{4\pi^2} I^A(k_{\perp}) \langle B | \text{Tr} \{ U(k_{\perp}) U^{\dagger}(-k_{\perp}) \} | B \rangle$$

Formally,  $\rightarrow$  means the operator expansion in Wilson lines

# Four steps of an OPE

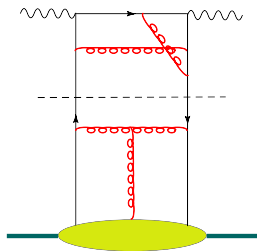
- To factorize an amplitude into a product of coefficient functions and matrix elements of relevant operators.

- To factorize an amplitude into a product of coefficient functions and matrix elements of relevant operators.
- To find the evolution equations of the operators with respect to factorization scale.

- To factorize an amplitude into a product of coefficient functions and matrix elements of relevant operators.
- To find the evolution equations of the operators with respect to factorization scale.
- To solve these evolution equations.

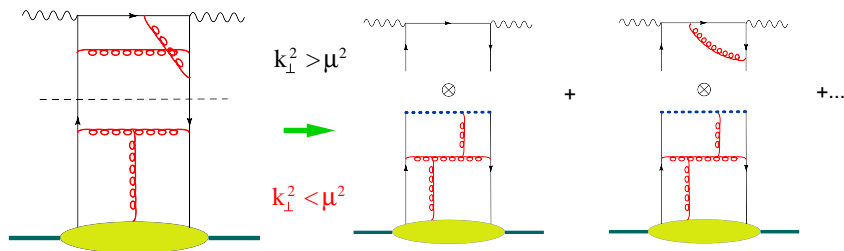
- To factorize an amplitude into a product of coefficient functions and matrix elements of relevant operators.
- To find the evolution equations of the operators with respect to factorization scale.
- To solve these evolution equations.
- To convolute the solution with the initial conditions for the evolution and get the amplitude

# Light-cone expansion and DGLAP evolution





# Light-cone expansion and DGLAP evolution

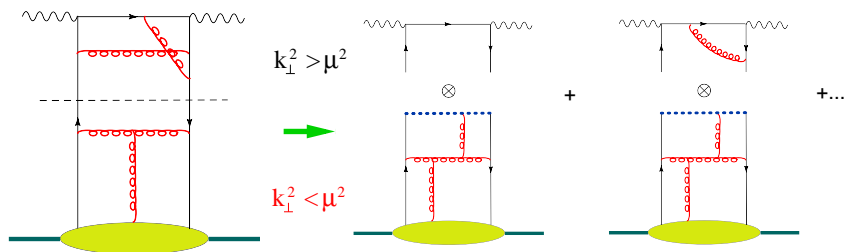


$\mu^2$  - factorization scale (normalization point)

$k_{\perp}^2 > \mu^2$  - coefficient functions

$k_{\perp}^2 < \mu^2$  - matrix elements of light-ray operators (normalized at  $\mu^2$ )

# Light-cone expansion and DGLAP evolution



$\mu^2$  - factorization scale (normalization point)

$k_{\perp}^2 > \mu^2$  - coefficient functions

$k_{\perp}^2 < \mu^2$  - matrix elements of light-ray operators (normalized at  $\mu^2$ )

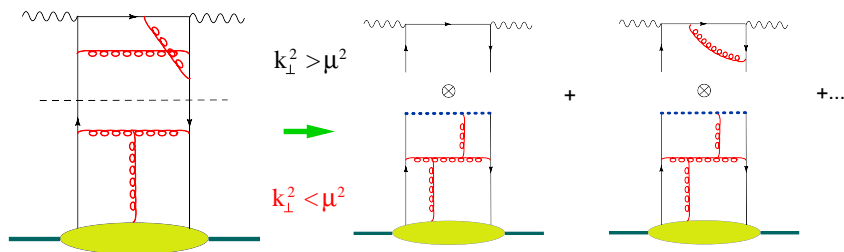
OPE in light-ray operators

$(x - y)^2 \rightarrow 0$

$$T\{j_{\mu}(x)j_{\nu}(y)\} = \frac{(x - y)_{\xi}}{2\pi^2(x - y)^4} \left[ 1 + \frac{\alpha_s}{\pi} (\ln(x - y)^2 \mu^2 + C) \right] \bar{\psi}(x) \gamma_{\mu} \gamma^{\xi} \gamma_{\nu} [x, y] \psi(y)$$

$$[x, y] \equiv Pe^{ig \int_0^1 du (x-y)^{\mu} A_{\mu}(ux+(1-u)y)} - \text{gauge link}$$

# Light-cone expansion and DGLAP evolution



$\mu^2$  - factorization scale (normalization point)

$k_{\perp}^2 > \mu^2$  - coefficient functions

$k_{\perp}^2 < \mu^2$  - matrix elements of light-ray operators (normalized at  $\mu^2$ )

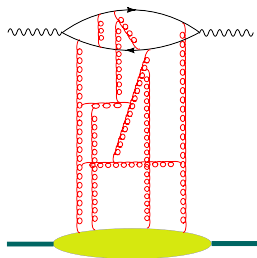
Renorm-group equation for light-ray operators  $\Rightarrow$  DGLAP evolution of

parton densities

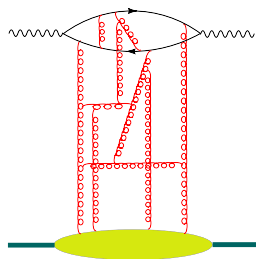
$$(x - y)^2 = 0$$

$$\mu^2 \frac{d}{d\mu^2} \bar{\psi}(x)[x, y]\psi(y) = K_{\text{LO}} \bar{\psi}(x)[x, y]\psi(y) + \alpha_s K_{\text{NLO}} \bar{\psi}(x)[x, y]\psi(y)$$

# High-energy expansion in color dipoles



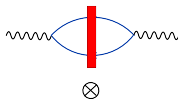
# High-energy expansion in color dipoles



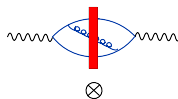
$Y > \eta$



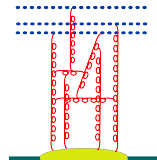
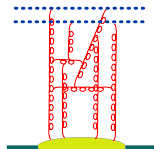
$Y < \eta$



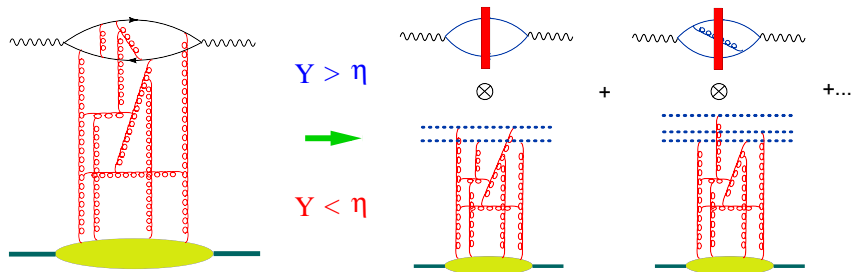
+



+...



# High-energy expansion in color dipoles



$\eta$  - rapidity factorization scale

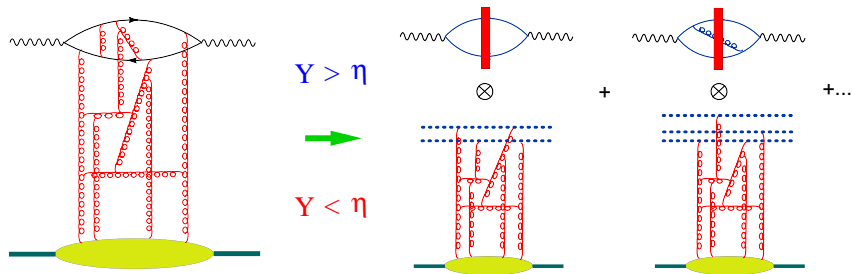
Rapidity  $Y > \eta$  - coefficient function (“impact factor”)

Rapidity  $Y < \eta$  - matrix elements of (light-like) Wilson lines with rapidity divergence cut by  $\eta$

$$U_x^\eta = \text{Pexp} \left[ ig \int_{-\infty}^{\infty} dx^+ A_+^\eta(x_+, x_\perp) \right]$$

$$A_\mu^\eta(x) = \int \frac{d^4 k}{(2\pi)^4} \theta(e^\eta - |\alpha_k|) e^{-ik \cdot x} A_\mu(k)$$

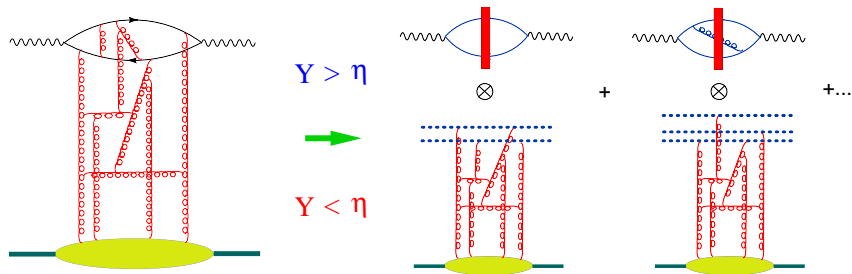
# High-energy expansion in color dipoles



The high-energy operator expansion is

$$T\{\hat{j}_\mu(x)\hat{j}_\nu(y)\} = \int d^2z_1 d^2z_2 I_{\mu\nu}^{\text{LO}}(z_1, z_2, x, y) \text{Tr}\{\hat{U}_{z_1}^\eta \hat{U}_{z_2}^{\dagger\eta}\} + \text{NLO contribution}$$

# High-energy expansion in color dipoles



$\eta$  - rapidity factorization scale

Evolution equation for color dipoles

$$\begin{aligned}
 \frac{d}{d\eta} \text{tr}\{U_x^\eta U_y^{\dagger\eta}\} &= \frac{\alpha_s}{2\pi^2} \int d^2z \frac{(x-y)^2}{(x-z)^2 (y-z)^2} [\text{tr}\{U_x^\eta U_y^{\dagger\eta}\} \text{tr}\{U_x^\eta U_y^{\dagger\eta}\} \\
 &- N_c \text{tr}\{U_x^\eta U_y^{\dagger\eta}\}] + \alpha_s K_{\text{NLO}} \text{tr}\{U_x^\eta U_y^{\dagger\eta}\} + \mathcal{O}(\alpha_s^2)
 \end{aligned}$$

(Linear part of  $K_{\text{NLO}} = K_{\text{NLO}} \text{BFKL}$ )



# Spectator frame: propagation in the shock-wave background.



Each path is weighted with the gauge factor  $Pe^{ig \int dx_\mu A^\mu}$ . Quarks and gluons do not have time to deviate in the transverse space  $\Rightarrow$  we can replace the gauge factor along the actual path with the one along the straight-line path.

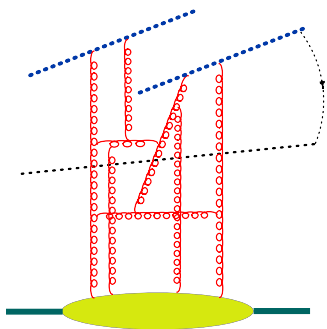


[  $x \rightarrow z$ : free propagation ]  $\times$   
[  $U^{ab}(z_\perp)$  - instantaneous interaction with the  $\eta < \eta_2$  shock wave ]  $\times$   
[  $z \rightarrow y$ : free propagation ]

To get the evolution equation, consider the dipole with the rapidities up to  $\eta_1$  and integrate over the gluons with rapidities  $\eta_1 > \eta > \eta_2$ . This integral gives the kernel of the evolution equation (multiplied by the dipole(s) with rapidities up to  $\eta_2$ ).

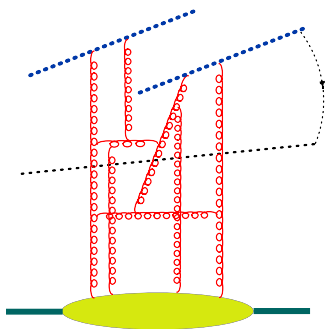
## Evolution equation for color dipoles

To get the evolution equation, consider the dipole with the rapidities up to  $\eta_1$  and integrate over the gluons with rapidities  $\eta_1 > \eta > \eta_2$ . This integral gives the kernel of the evolution equation (multiplied by the dipole(s) with rapidities up to  $\eta_2$ ).



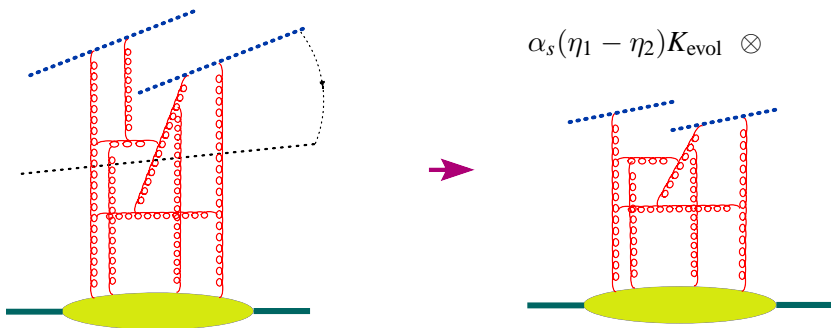
# Evolution equation for color dipoles

To get the evolution equation, consider the dipole with the rapidities up to  $\eta_1$  and integrate over the gluons with rapidities  $\eta_1 > \eta > \eta_2$ . This integral gives the kernel of the evolution equation (multiplied by the dipole(s) with rapidities up to  $\eta_2$ ).



# Evolution equation for color dipoles

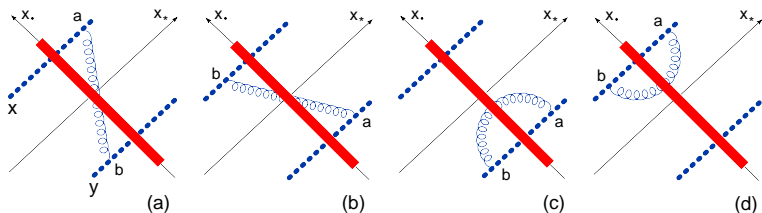
To get the evolution equation, consider the dipole with the rapidities up to  $\eta_1$  and integrate over the gluons with rapidities  $\eta_1 > \eta > \eta_2$ . This integral gives the kernel of the evolution equation (multiplied by the dipole(s) with rapidities up to  $\eta_2$ ).



# Evolution equation in the leading order

$$\frac{d}{d\eta} \text{Tr}\{\hat{U}_x \hat{U}_y^\dagger\} = K_{\text{LO}} \text{Tr}\{\hat{U}_x \hat{U}_y^\dagger\} + \dots \Rightarrow$$

$$\frac{d}{d\eta} \langle \text{Tr}\{\hat{U}_x \hat{U}_y^\dagger\} \rangle_{\text{shockwave}} = \langle K_{\text{LO}} \text{Tr}\{\hat{U}_x \hat{U}_y^\dagger\} \rangle_{\text{shockwave}}$$



$$U_z^{ab} = \text{Tr}\{t^a U_z t^b U_z^\dagger\} \Rightarrow (U_x U_y^\dagger)^{\eta_1} \rightarrow (U_x U_y^\dagger)^{\eta_1} + \alpha_s (\eta_1 - \eta_2) (U_x U_z^\dagger U_z U_y^\dagger)^{\eta_2}$$

$\Rightarrow$  Evolution equation is non-linear

$$\hat{U}(x, y) \equiv 1 - \frac{1}{N_c} \text{Tr}\{\hat{U}(x_\perp)\hat{U}^\dagger(y_\perp)\}$$

## Non-linear evolution equation

$$\hat{U}(x, y) \equiv 1 - \frac{1}{N_c} \text{Tr}\{\hat{U}(x_\perp)\hat{U}^\dagger(y_\perp)\}$$

$$\frac{d}{d\eta}\hat{U}(x, y) = \frac{\alpha_s N_c}{2\pi^2} \int \frac{d^2z}{(x-z)^2(y-z)^2} \left\{ \hat{U}(x, z) + \hat{U}(z, y) - \hat{U}(x, y) - \hat{U}(x, z)\hat{U}(z, y) \right\}$$



# Non-linear evolution equation

$$\hat{U}(x, y) \equiv 1 - \frac{1}{N_c} \text{Tr}\{\hat{U}(x_\perp)\hat{U}^\dagger(y_\perp)\}$$

$$\frac{d}{d\eta}\hat{U}(x, y) = \frac{\alpha_s N_c}{2\pi^2} \int \frac{d^2z (x-y)^2}{(x-z)^2(y-z)^2} \left\{ \hat{U}(x, z) + \hat{U}(z, y) - \hat{U}(x, y) - \hat{U}(x, z)\hat{U}(z, y) \right\}$$

LLA for DIS in pQCD  $\Rightarrow$  BFKL

(LLA:  $\alpha_s \ll 1, \alpha_s \eta \sim 1$ )

# Non-linear evolution equation

$$\hat{U}(x, y) \equiv 1 - \frac{1}{N_c} \text{Tr}\{\hat{U}(x_\perp)\hat{U}^\dagger(y_\perp)\}$$

$$\frac{d}{d\eta}\hat{U}(x, y) = \frac{\alpha_s N_c}{2\pi^2} \int \frac{d^2z (x-y)^2}{(x-z)^2(y-z)^2} \left\{ \hat{U}(x, z) + \hat{U}(z, y) - \hat{U}(x, y) - \hat{U}(x, z)\hat{U}(z, y) \right\}$$

LLA for DIS in pQCD  $\Rightarrow$  BFKL eqn

(LLA:  $\alpha_s \ll 1, \alpha_s \eta \sim 1$ )

LLA for DIS in sQCD  $\Rightarrow$  BK eqn

(LLA:  $\alpha_s \ll 1, \alpha_s \eta \sim 1, \alpha_s A^{1/3} \sim 1$ )

(s for semiclassical)

- L.V. Gribov, E.M. Levin, M.G. Ryskin (1983) - GLR equation suggested
- A.H. Mueller, J. Qiu (1986) - DLA limit of GLR equation proved
- A.H. Mueller + Nikolaev, Zakharov (1994) - dipole model for the high-energy scattering
- I.B. (1996) - NL evolution equation for Wilson-line operators
- Yu.Kovchegov (1999)- evolution equation for the structure functions of heavy nuclei
- JIMWLK (1997-2000) - RG equation for Color Glass Condensate

# Why NLO correction?

- To check that high-energy OPE works at the NLO level.

- To check that high-energy OPE works at the NLO level.
- To determine the argument of the coupling constant.

- To check that high-energy OPE works at the NLO level.
- To determine the argument of the coupling constant.
- To get the region of application of the leading order evolution equation.

- To check that high-energy OPE works at the NLO level.
- To determine the argument of the coupling constant.
- To get the region of application of the leading order evolution equation.
- To check conformal invariance (in  $\mathcal{N}=4$  SYM)



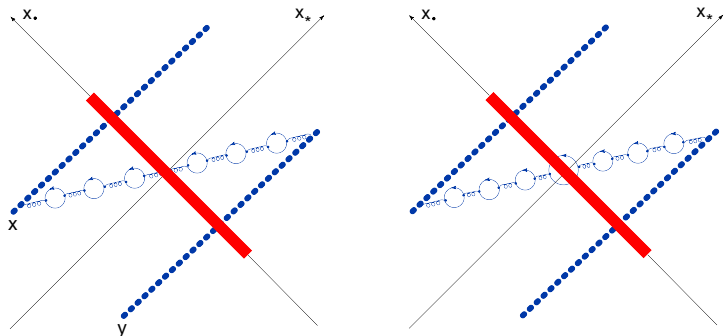
## Argument of coupling constant

$$\frac{d}{d\eta} \hat{U}(z_1, z_2) = \frac{\alpha_s(\perp) N_c}{2\pi^2} \int dz_3 \frac{z_{12}^2}{z_{13}^2 z_{23}^2} \left\{ \hat{U}(z_1, z_3) + \hat{U}(z_3, z_2) - \hat{U}(z_1, z_2) - \hat{U}(z_1, z_3) \hat{U}(z_3, z_2) \right\}$$

# Argument of coupling constant

$$\frac{d}{d\eta} \hat{U}(z_1, z_2) = \frac{\alpha_s(\perp) N_c}{2\pi^2} \int dz_3 \frac{z_{12}^2}{z_{13}^2 z_{23}^2} \left\{ \hat{U}(z_1, z_3) + \hat{U}(z_3, z_2) - \hat{U}(z_1, z_2) - \hat{U}(z_1, z_3) \hat{U}(z_3, z_2) \right\}$$

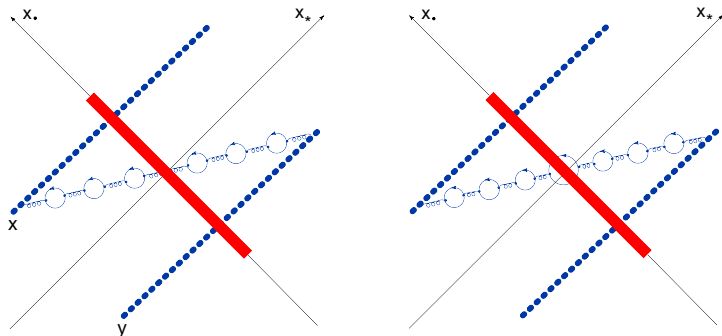
Renormalon-based approach: summation of quark bubbles



# Argument of coupling constant

$$\frac{d}{d\eta} \hat{U}(z_1, z_2) = \frac{\alpha_s(\perp) N_c}{2\pi^2} \int dz_3 \frac{z_{12}^2}{z_{13}^2 z_{23}^2} \left\{ \hat{U}(z_1, z_3) + \hat{U}(z_3, z_2) - \hat{U}(z_1, z_2) - \hat{U}(z_1, z_3) \hat{U}(z_3, z_2) \right\}$$

Renormalon-based approach: summation of quark bubbles



$$-\frac{2}{3}n_f \rightarrow b = \frac{11}{3}N_c - \frac{2}{3}n_f$$

Bubble chain sum:

$$\begin{aligned} \frac{d}{d\eta} \text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_2}^\dagger\} &= \frac{\alpha_s(z_{12}^2)}{2\pi^2} \int d^2z [\text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_3}^\dagger\} \text{Tr}\{\hat{U}_{z_3} \hat{U}_{z_2}^\dagger\} - N_c \text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_2}^\dagger\}] \\ &\times \left[ \frac{z_{12}^2}{z_{13}^2 z_{23}^2} + \frac{1}{z_{13}^2} \left( \frac{\alpha_s(z_{13}^2)}{\alpha_s(z_{23}^2)} - 1 \right) + \frac{1}{z_{23}^2} \left( \frac{\alpha_s(z_{23}^2)}{\alpha_s(z_{13}^2)} - 1 \right) \right] + \dots \end{aligned}$$

I.B.; Yu. Kovchegov and H. Weigert (2006)

Bubble chain sum:

$$\frac{d}{d\eta} \text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_2}^\dagger\} = \frac{\alpha_s(z_{12}^2)}{2\pi^2} \int d^2z [\text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_3}^\dagger\} \text{Tr}\{\hat{U}_{z_3} \hat{U}_{z_2}^\dagger\} - N_c \text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_2}^\dagger\}]$$

$$\times \left[ \frac{z_{12}^2}{z_{13}^2 z_{23}^2} + \frac{1}{z_{13}^2} \left( \frac{\alpha_s(z_{13}^2)}{\alpha_s(z_{23}^2)} - 1 \right) + \frac{1}{z_{23}^2} \left( \frac{\alpha_s(z_{23}^2)}{\alpha_s(z_{13}^2)} - 1 \right) \right] + \dots$$

I.B.; Yu. Kovchegov and H. Weigert (2006)

When the sizes of the dipoles are very different the kernel reduces to:

$$\frac{\alpha_s(z_{12}^2)}{2\pi^2} \frac{z_{12}^2}{z_{13}^2 z_{23}^2} \quad |z_{12}| \ll |z_{13}|, |z_{23}|$$

$$\frac{\alpha_s(z_{13}^2)}{2\pi^2 z_{13}^2} \quad |z_{13}| \ll |z_{12}|, |z_{23}|$$

$$\frac{\alpha_s(z_{23}^2)}{2\pi^2 z_{23}^2} \quad |z_{23}| \ll |z_{12}|, |z_{13}|$$

Bubble chain sum:

$$\frac{d}{d\eta} \text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_2}^\dagger\} = \frac{\alpha_s(z_{12}^2)}{2\pi^2} \int d^2z [\text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_3}^\dagger\} \text{Tr}\{\hat{U}_{z_3} \hat{U}_{z_2}^\dagger\} - N_c \text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_2}^\dagger\}] \\ \times \left[ \frac{z_{12}^2}{z_{13}^2 z_{23}^2} + \frac{1}{z_{13}^2} \left( \frac{\alpha_s(z_{13}^2)}{\alpha_s(z_{23}^2)} - 1 \right) + \frac{1}{z_{23}^2} \left( \frac{\alpha_s(z_{23}^2)}{\alpha_s(z_{13}^2)} - 1 \right) \right] + \dots$$

I.B.; Yu. Kovchegov and H. Weigert (2006)

When the sizes of the dipoles are very different the kernel reduces to:

$$\frac{\alpha_s(z_{12}^2)}{2\pi^2} \frac{z_{12}^2}{z_{13}^2 z_{23}^2} \quad |z_{12}| \ll |z_{13}|, |z_{23}|$$

$$\frac{\alpha_s(z_{13}^2)}{2\pi^2 z_{13}^2} \quad |z_{13}| \ll |z_{12}|, |z_{23}|$$

$$\frac{\alpha_s(z_{23}^2)}{2\pi^2 z_{23}^2} \quad |z_{23}| \ll |z_{12}|, |z_{13}|$$

⇒ the argument of the coupling constant is given by the size of the smallest dipole.

To be continued in Giovanni's talk...