

*Low x 2010 workshop, June 23-27 2010, Kavala, Greece*

# Leading Baryons at HERA



**Vitaliy Dodonov**

**On behalf of the H1 and ZEUS Collaborations**



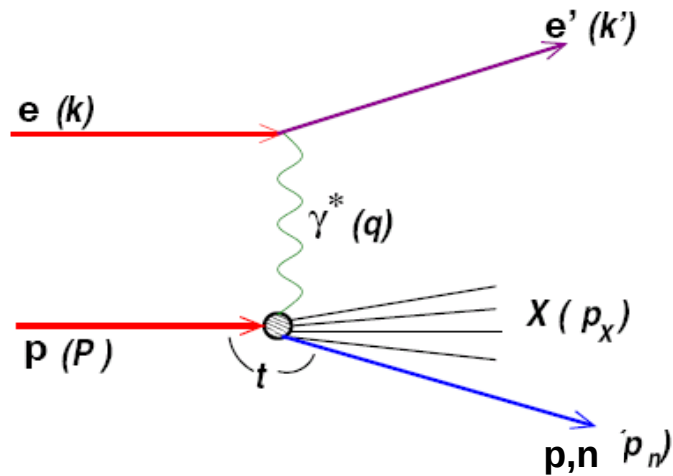
## Outline:

- Introduction
- Leading Protons and Neutrons in DIS
- Leading Neutrons in dijet photoproduction

# Introduction

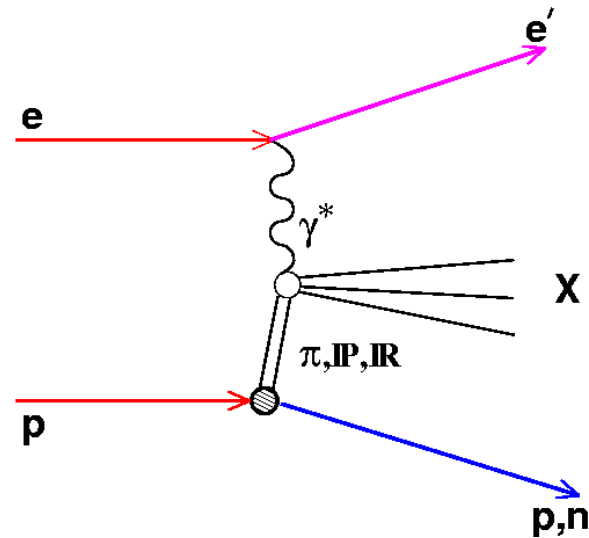
Significant fraction of  $ep$  scattering events contain a leading proton or leading neutron in the final state carrying a substantial portion of the energy of the incoming proton:  $e+p \rightarrow e+LB+X$

Different production models are available:



Leading baryon can come from “standard fragmentation”

- implemented in MC models (Lund String)



Leading baryon can be produced via **exchange** of virtual particle:

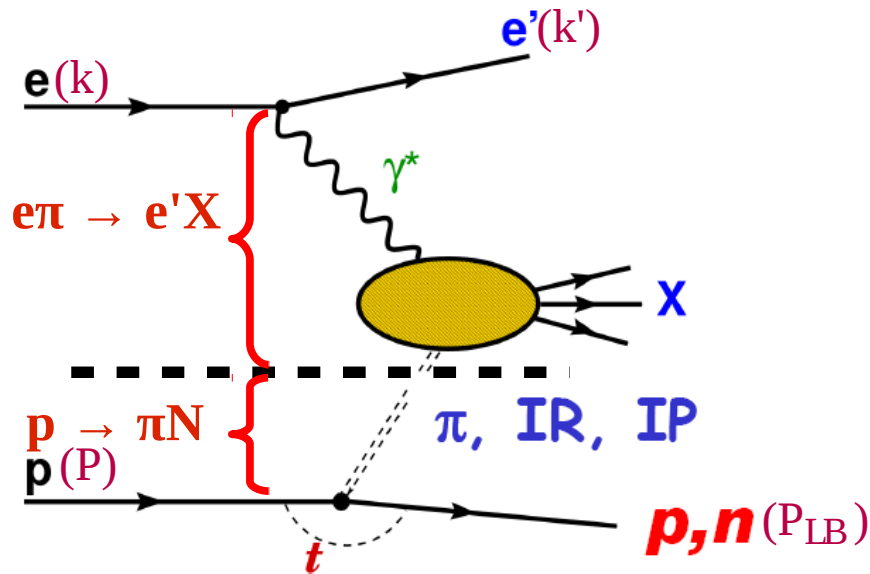
- leading protons:  $IP, IR, \pi^0$  (isoscalar + isovector)

leading neutrons:  $\pi^+, \rho^+, a_2$  (isovector)

## Legend:

- LP: Leading Proton
- LN: Leading Neutron
- LB: Leading Baryon
- $\gamma p$ : photoproduction

# Kinematics and Factorisation



Lepton variables:

$$Q^2 = - (k-k')^2$$

$$x = Q^2/(2Pq)$$

$$y = s/(xQ^2)$$

Leading baryon variables:

$$x_L = E_{IB}/E_p$$

$$t = (P-P_{IB})^2 \quad (\text{or } p_T^2)$$

In the exchange model the cross sections factorise, e.g. for one pion exchange

$$\sigma(ep \rightarrow e'LBX) = f_{\pi/p}(x_L, t) \times \sigma(e\pi \rightarrow e'X)$$

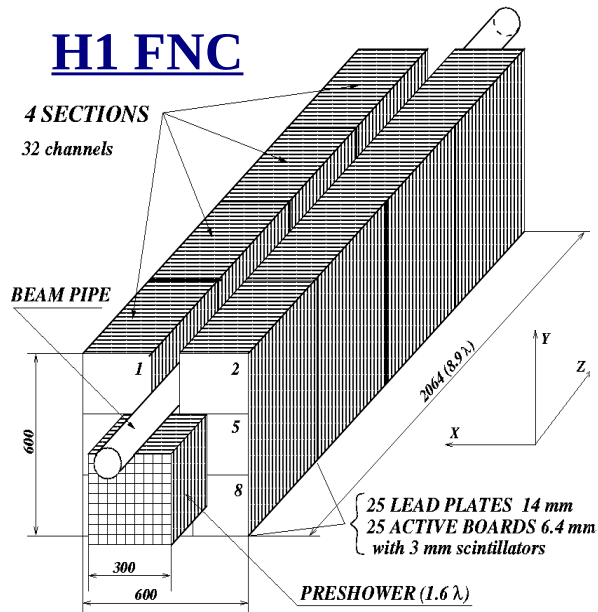
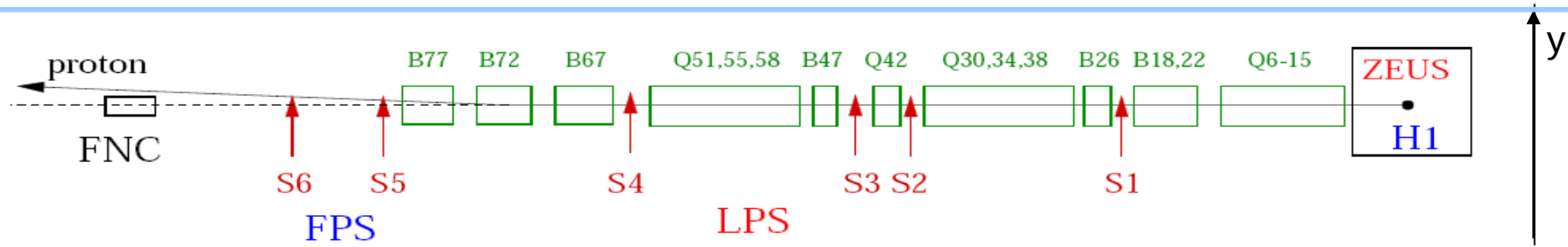
$f_{\pi/p}(x_L, t)$  - pion flux:

probability to emit pion from the proton with given  $x_L, t$

$\sigma(e\pi \rightarrow e'X)$  - cross-section of  $e\pi$  scattering

- LB production independent of photon vertex
- probe structure of exchanged particle
- possible violation of factorisation due to rescattering

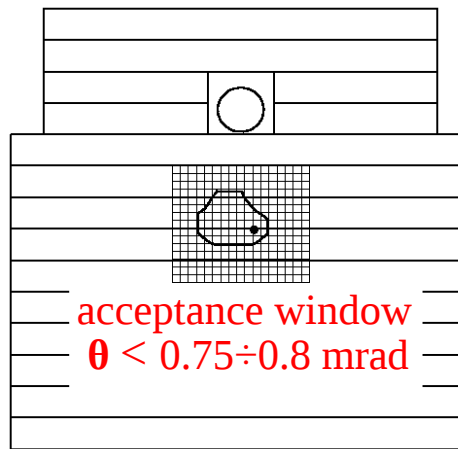
# Detectors used for measurement of LB



$$\sigma_E/E \approx 0.63/\sqrt{E} \oplus 2\%$$

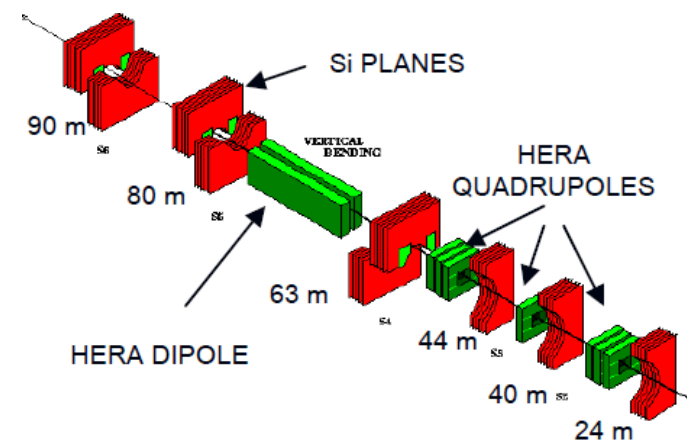
position resolution 2-3 mm

## ZEUS FNC+FNT



14 towers, 17x15 grid of the FNT hodoscopes,  
 $\sigma_E/E \approx 0.7/\sqrt{E}$

## ZEUS LPS

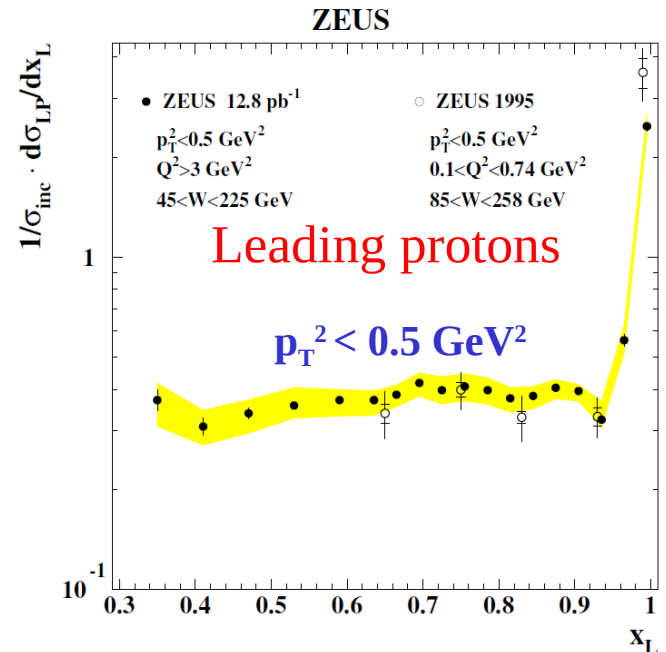


6 stations with  $\mu$ -strip detectors  
 hit position resolution  $\sim 30 \mu\text{m}$   
 $\sigma_{x_L} < 1\%$ ,  $\sigma_{p_T} \sim \text{few MeV}$

momentum accuracy  $< 1\%$

Acceptance limited by beam apertures and detector size.

$p_T$  resolution is dominated by  $p_T$  spread of proton beam (50-100 MeV).

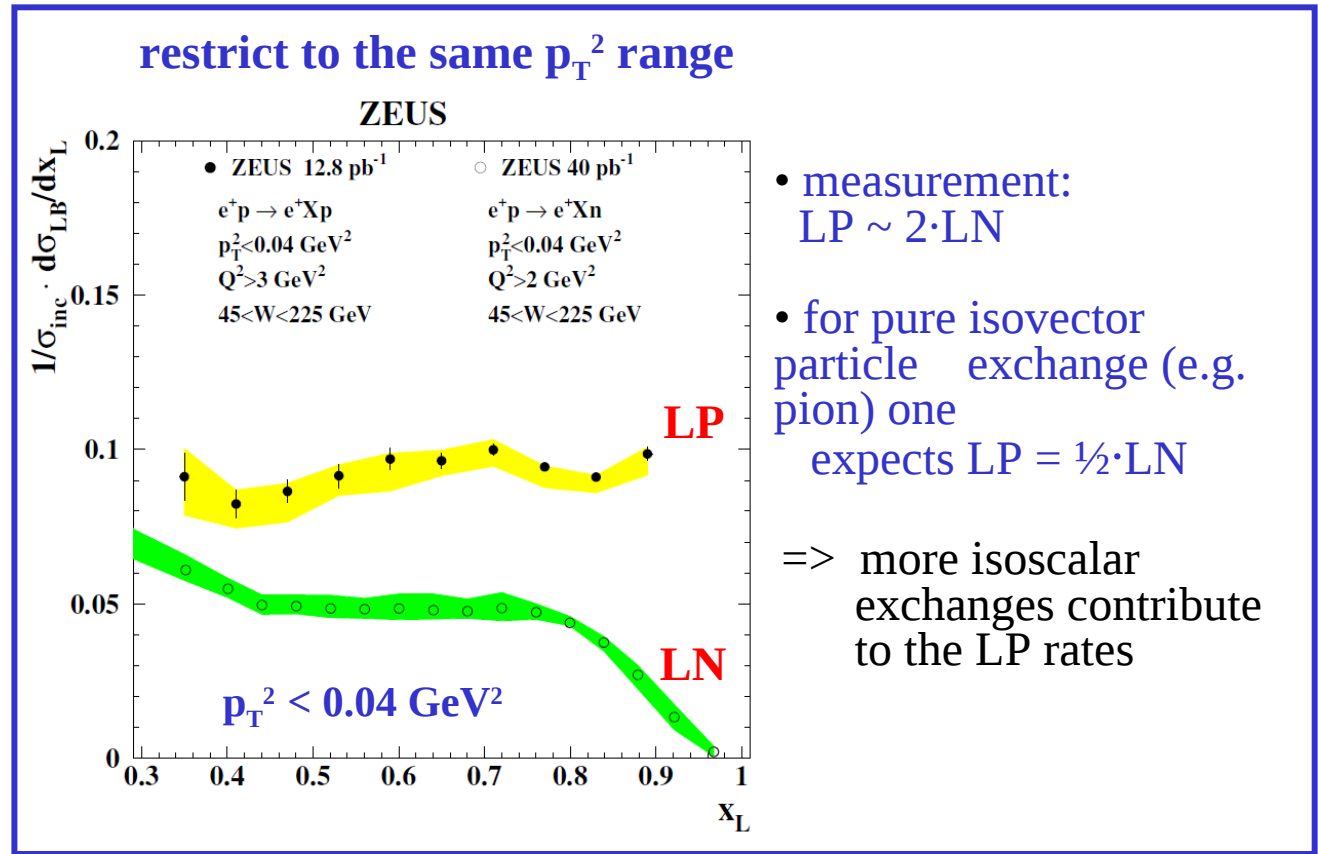
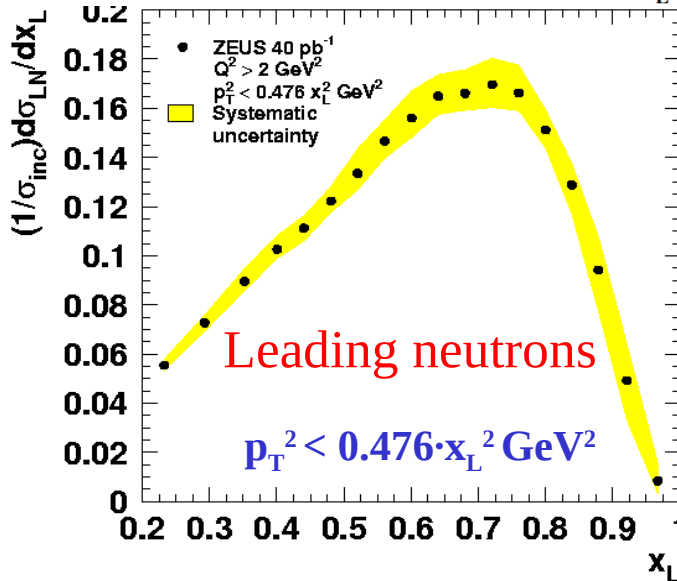


**Leading protons:** (JHEP 0906:074,2009)

- diffractive peak at  $x_L=1$  ; flat at  $x_L < 0.95$

**Leading neutrons:** (Nucl.Phys.B776(2007)1)

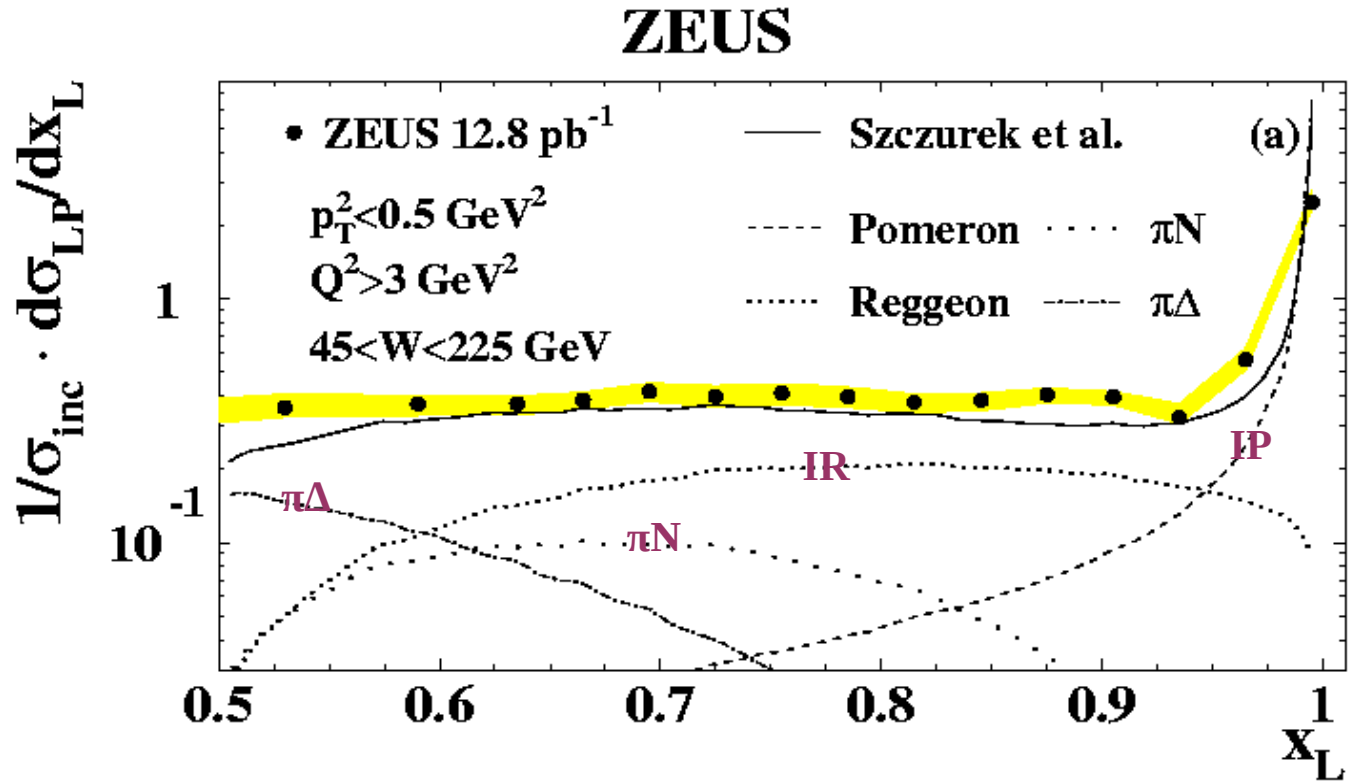
- yield goes to zero as  $x_L$  approaches 1.0
- drop at  $x_L < 0.7$  due to drop in acceptance



- measurement: LP ~ 2·LN

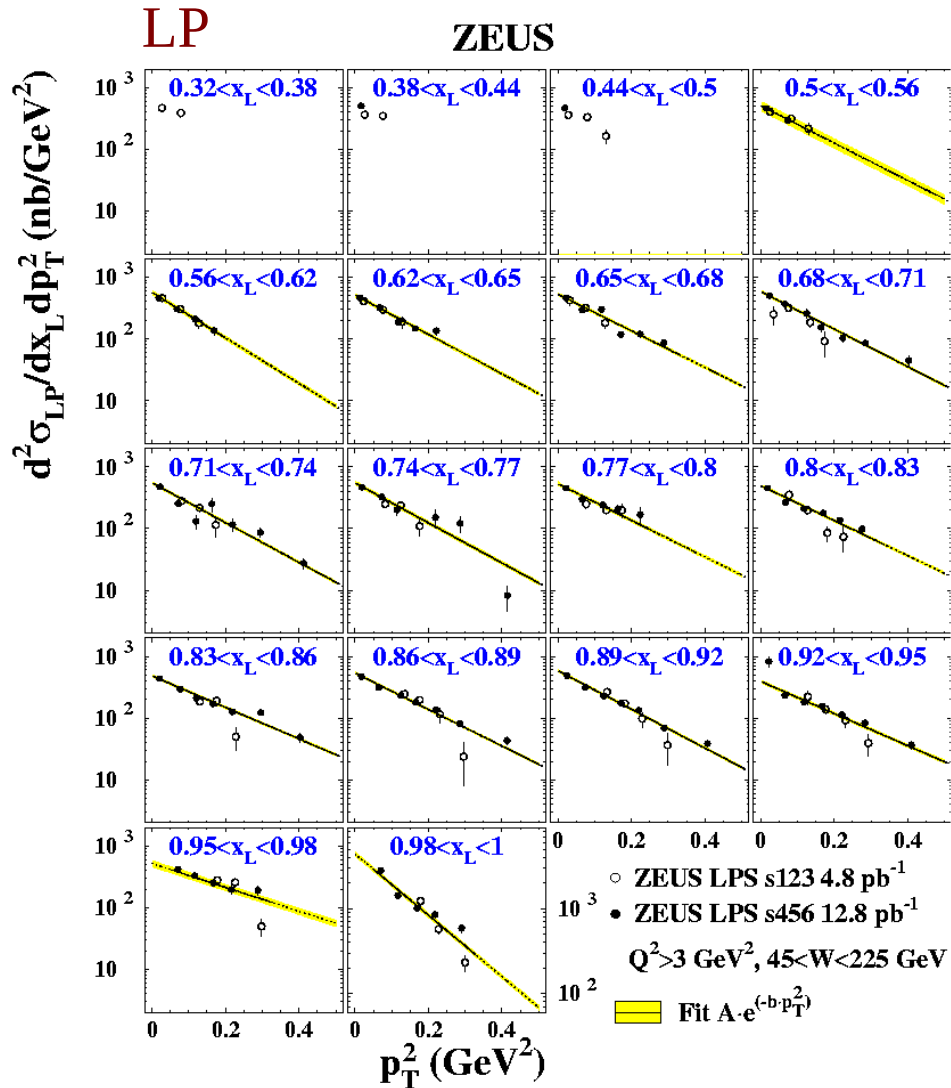
• for pure isovector particle exchange (e.g. pion) one expects LP = 1/2·LN

=> more isoscalar exchanges contribute to the LP rates

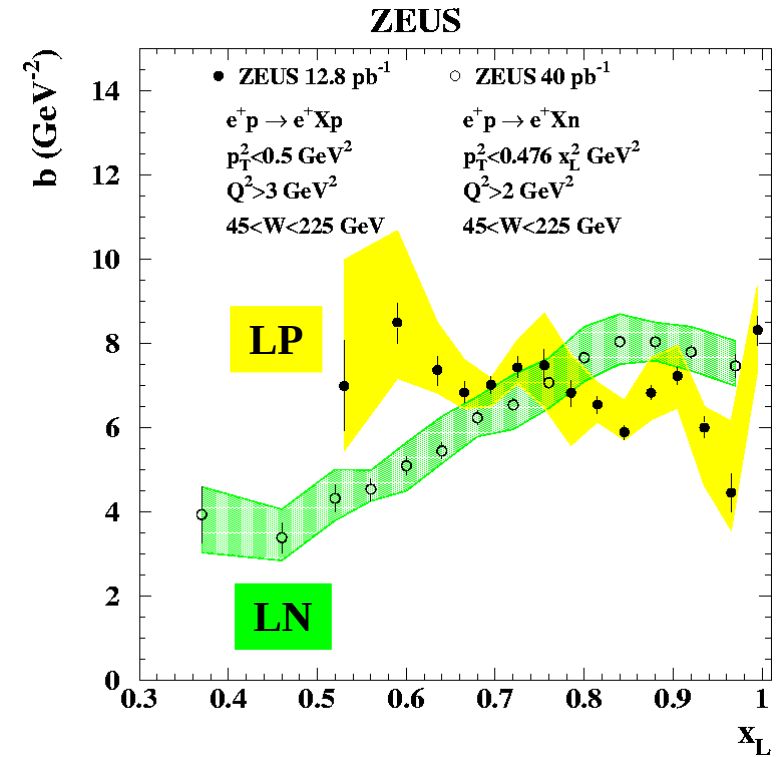


- good description of LP yield (and t-slopes) by adding different exchanges
- reggeon dominant at medium  $x_L$

$$\text{Fit by: } \frac{1}{\sigma_{inc}} \frac{d\sigma_{LB}}{dp_T^2 dx_L} = a(x_L) \cdot e^{-b(x_L) p_T^2}$$



slopes -  $b(x_L)$

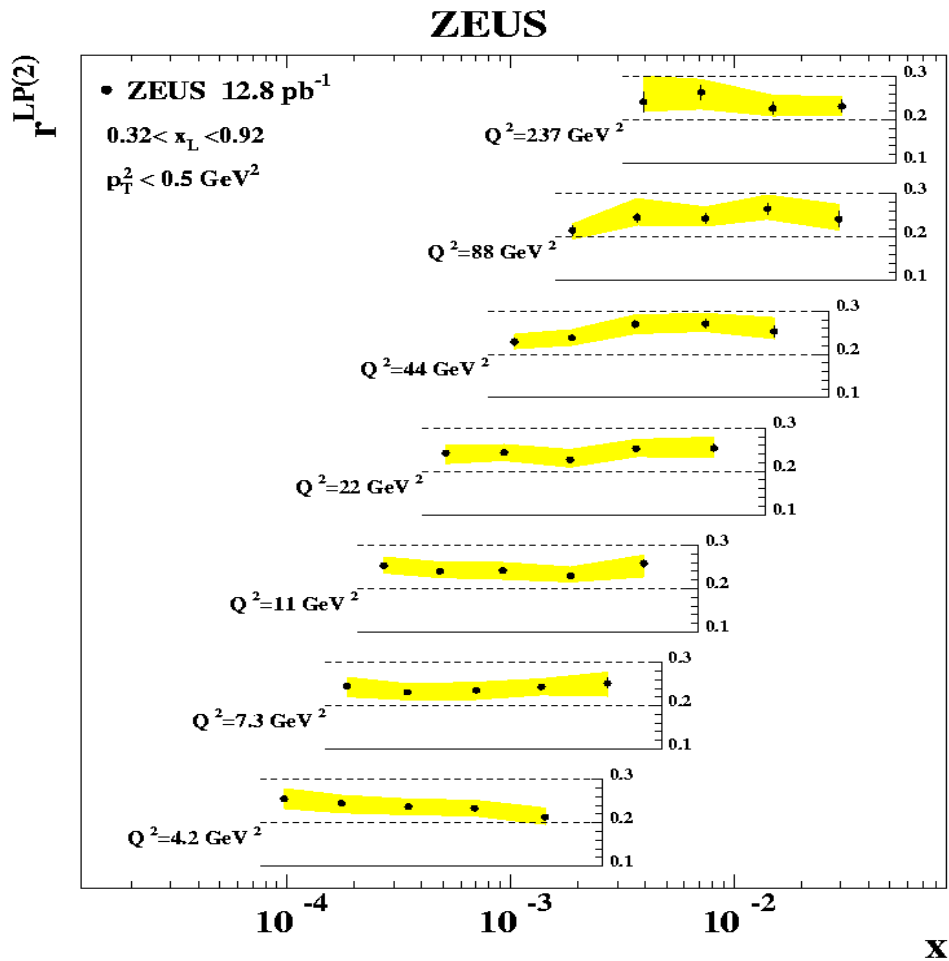


- different trends for LP and LN
- similar slopes for  $x_L \approx 0.65-0.8$

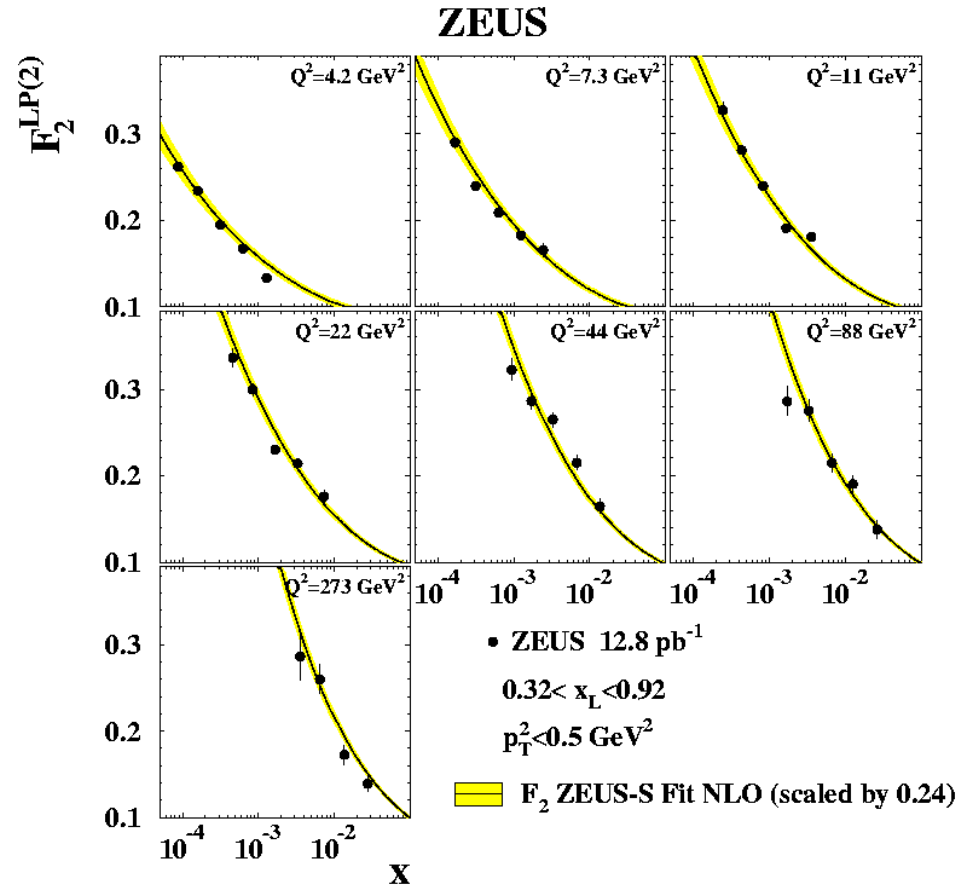
Rates to inclusive DIS

Structure function  $F_2^{LP(2)}$

$$\frac{d^2 \sigma(ep \rightarrow eXp)}{dx dQ^2} = \frac{4\pi \alpha^2}{x Q^4} \left[ 1 - y + \frac{y^2}{2} \right] \cdot F_2^{LP(2)}(x, Q^2)$$



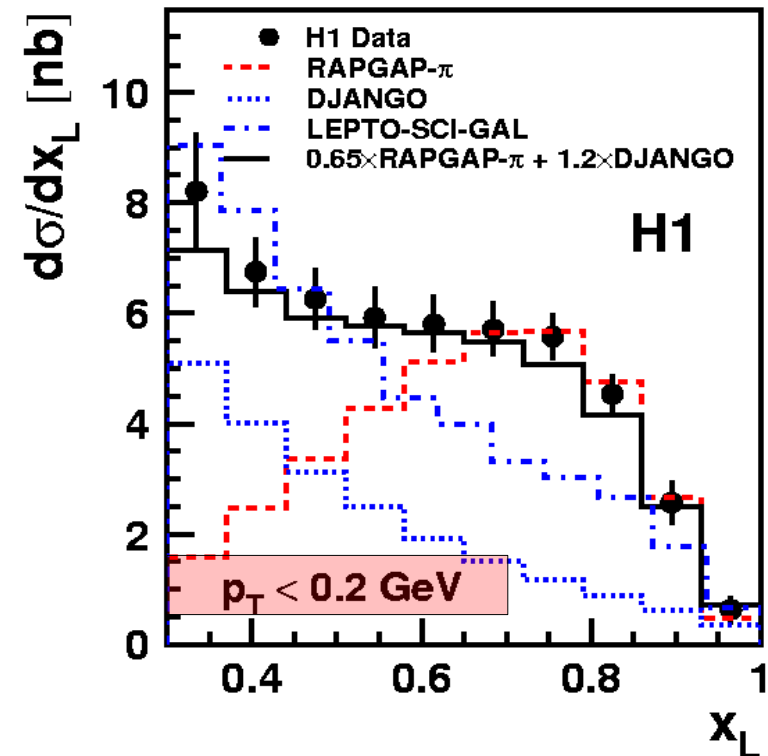
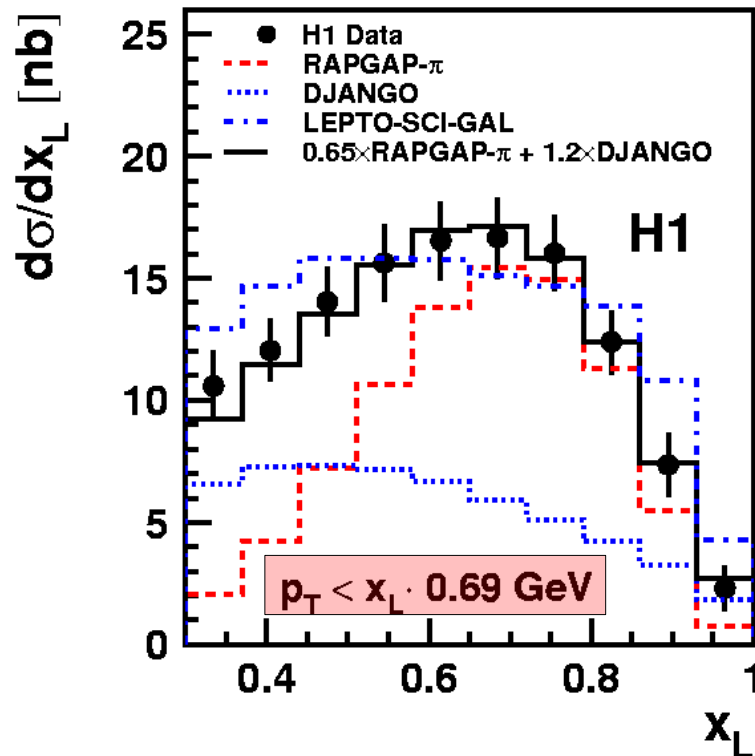
$r^{LP(2)}$  is approximately constant vs  $x$  and  $Q^2$  with average value  $\sim 0.24$



Same trend as inclusive  $F_2$  is observed



(DESY-09-185)



**DJANGO (standard fragmentation) predicts too low cross section, also  $x_L$  spectrum shape is too different**

**RAPGAP  $\pi^+$ -exchange describes data well for  $x_L > 0.7$**

**Mixture of the DJANGO and the RAPGAP MC's can be used to describe data in the whole kinematic region**

$F_2^{LN(3)}(Q^2, \beta, x_L)$

$Q^2 = 7.3 \text{ GeV}^2 \quad Q^2 = 11 \text{ GeV}^2 \quad Q^2 = 16 \text{ GeV}^2 \quad Q^2 = 24 \text{ GeV}^2 \quad Q^2 = 37 \text{ GeV}^2 \quad Q^2 = 55 \text{ GeV}^2 \quad Q^2 = 82 \text{ GeV}^2$

$$\frac{d^3 \sigma(ep \rightarrow enX)}{dQ^2 d\beta dx_L} = \frac{4\pi\alpha^2}{\beta Q^4} \left[ 1 - y + \frac{y^2}{2} \right] \cdot F_2^{LN}(Q^2, \beta, x_L)$$

In particle exchange picture expect proton vertex factorisation:

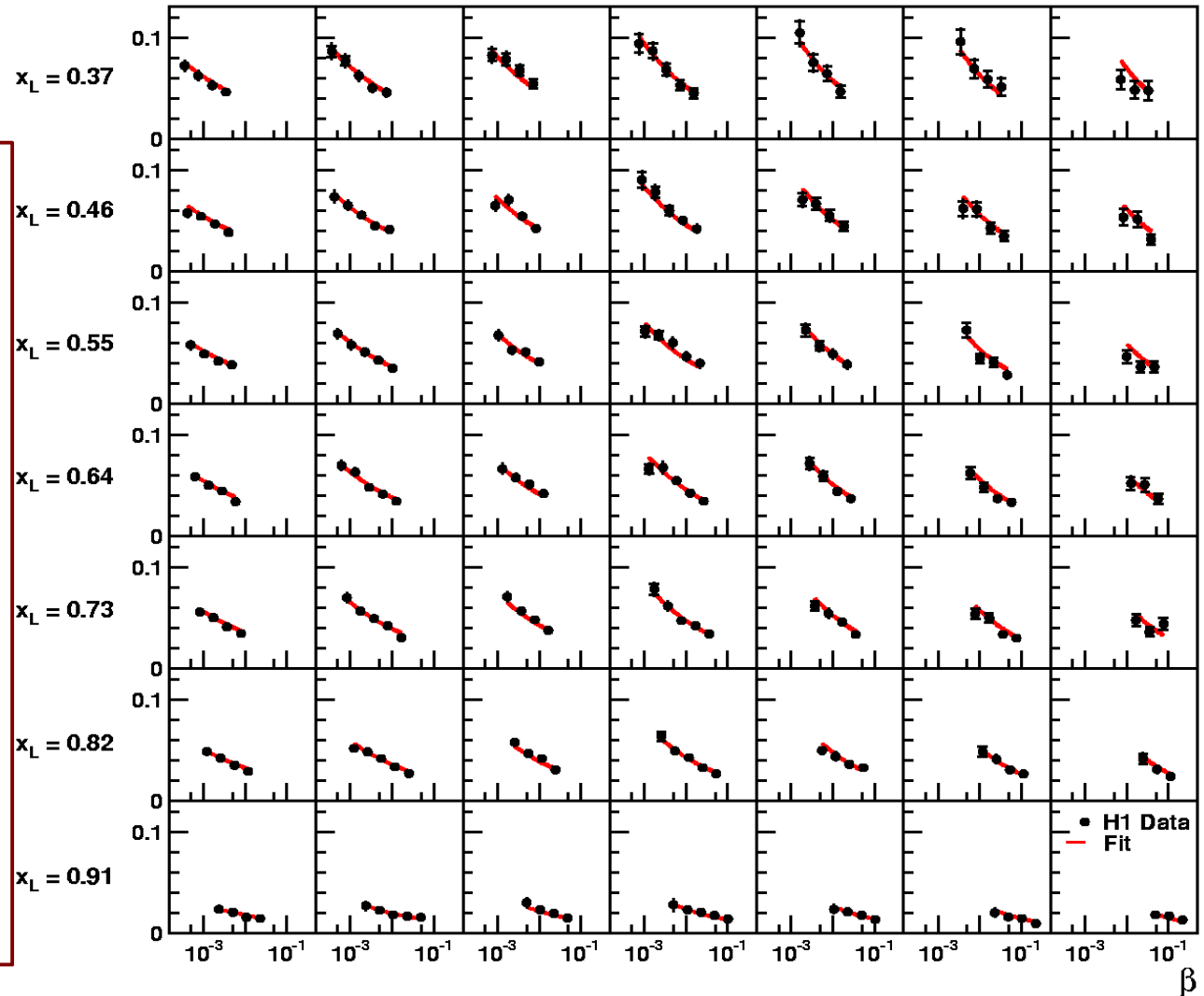
$$F_2^{LN(3)}(Q^2, \beta, x_L) \sim f(x_L) F_2^{LN(2)}(Q^2, \beta)$$

where  $\beta = x/(1-x_L)$  – fraction of exchange momentum carried by struck quark

Fit  $F_2^{LN(3)}(Q^2, \beta, x_L)$  by power law:

$$F_2^{LN(3)}(Q^2, \beta, x_L) \sim \beta^{-\lambda}$$

- $\lambda$  is independent of  $x_L$   
=> consistent with vertex factorisation
- $\lambda$  logarithmically depend on  $Q^2$ , similar to inclusive  $F_2$

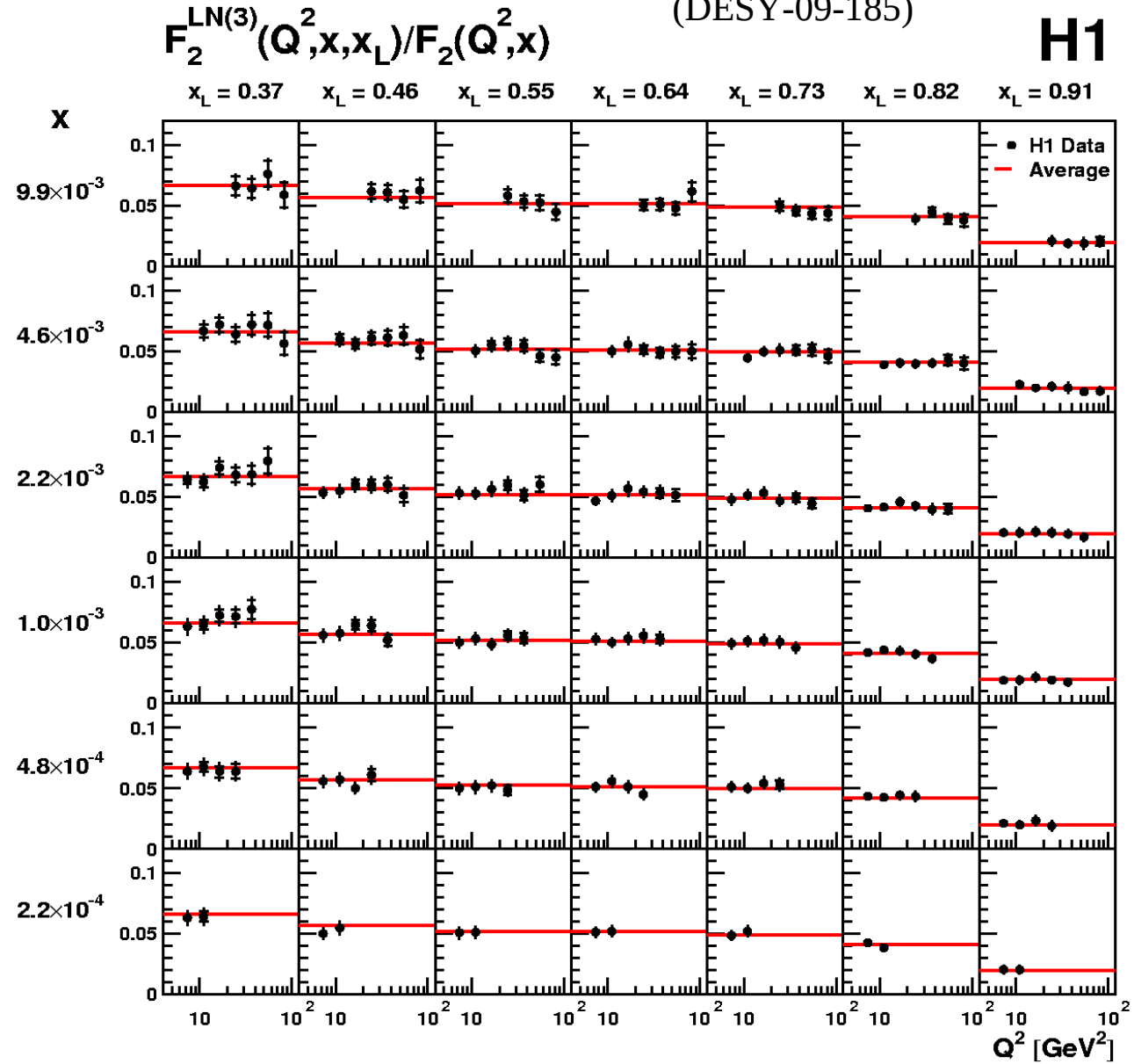


(DESY-09-185)

H1

$F_2(Q^2, x)$  from the H1PDF2009 parameterisation

$F_2^{LN}(Q^2, x, x_L)/F_2(Q^2, x)$  is mostly flat in  $Q^2$  and  $x$   
 i.e. LN production rate, kinematics is approx. independent of  $Q^2$  and  $x$   
 => consistent with factorisation and limiting fragmentation



Within  $\pi^+$ -exchange model we may try to estimate  $F_2^\pi$  from measured  $F_2^{LN}$ :

$$F_2^{LN(3)}(\beta, Q^2, x_L) \approx \Gamma_\pi(x_L) \cdot F_2^\pi(\beta, Q^2)$$

where:

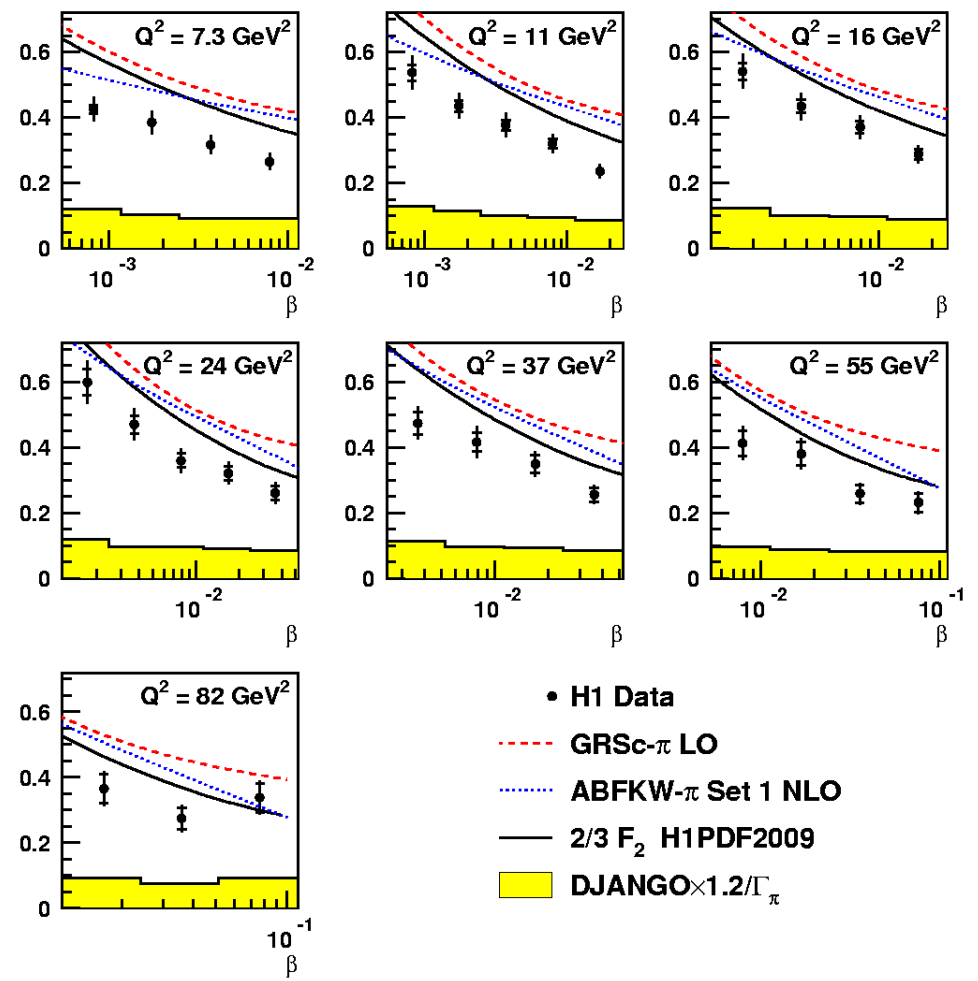
$\beta = x/(1-x_L)$  – fraction of pion momentum carried by struck quark (e.g.  $X_{Bj}$  for pion)

$\Gamma_\pi(x_L)$  is integrated over  $t$  pion flux

$$\Gamma_\pi = \int f_{\pi/p}(x_L = 0.73, t) dt$$

use pion flux parameterisation (Holtmann et al.):

$$f_{\pi^+/p} = \frac{1}{2\pi} \frac{g_{p\pi n}^2}{4\pi} (1-x_L) \frac{-t}{(m_\pi^2 - t)^2} \exp\left(-R_{\pi n}^2 \frac{m_\pi^2 - t}{1-x_L}\right)$$



Data are sensitive to the parameterisations of the pion structure function (constrained for  $x > 0.1$  from fixed target experiments)

Within  $\pi^+$ -exchange model we may try to estimate  $F_2^\pi$  from measured  $F_2^{LN}$ :

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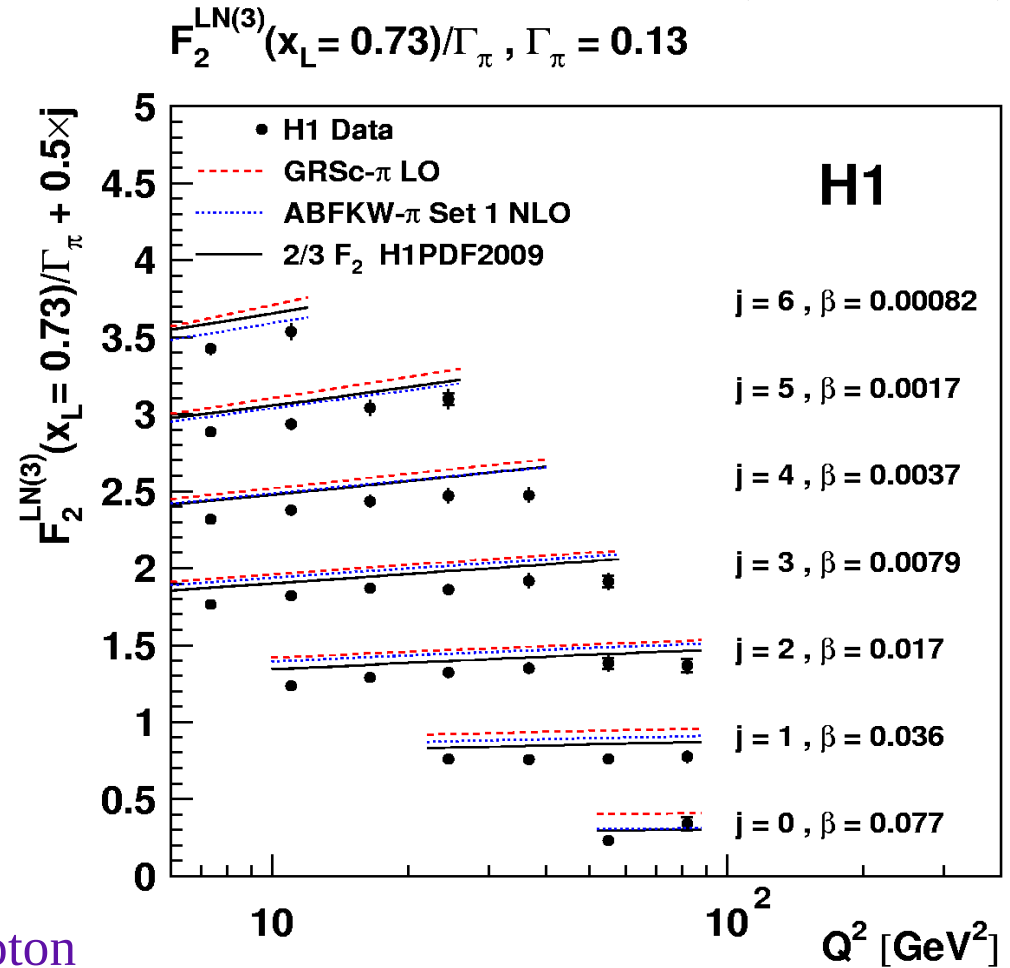
$$\Gamma_\pi = \int f_{\pi/p}(x_L = 0.73, t) dt$$

use pion flux parameterisation (Holtmann et al.):

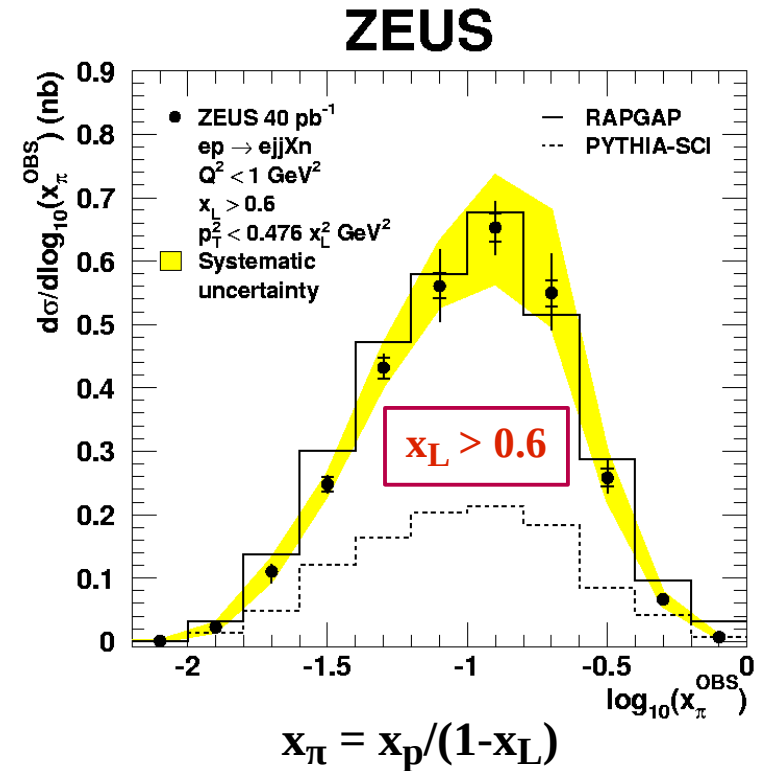
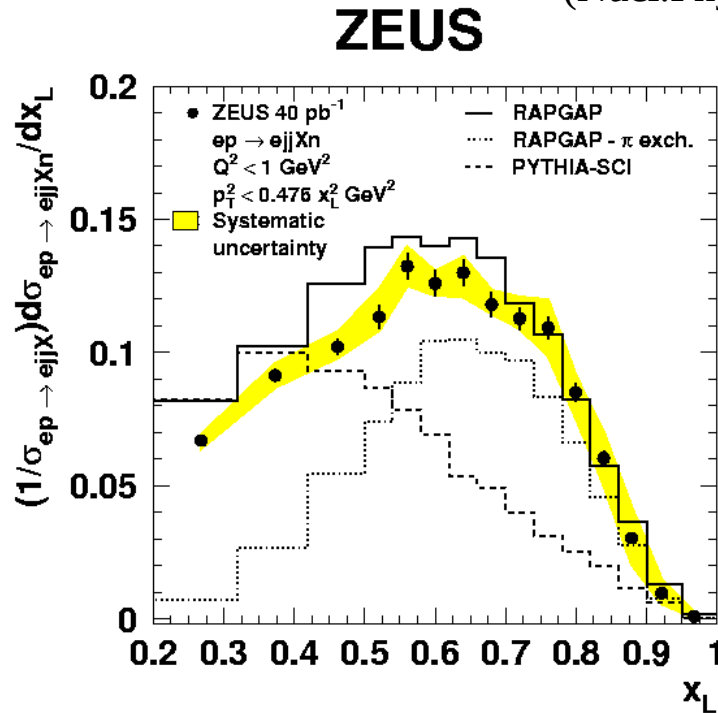
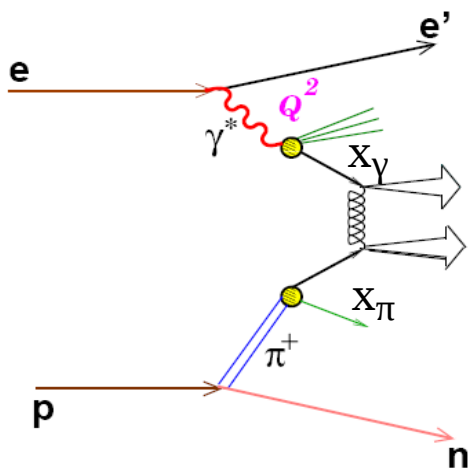
$$f_{\pi^+/p} = \frac{1}{2\pi} \frac{g_{p\pi n}^2}{4\pi} (1-x_L) \frac{-t}{(m_\pi^2 - t)^2} \exp\left(-R_{\pi n}^2 \frac{m_\pi^2 - t}{1-x_L}\right)$$

- ♦  $F_2^\pi$  dependence on  $x$  and  $Q^2$  similar to proton => universality of hadron structure at low  $x$
- ♦  $F_2^{LN}/\Gamma$  below parameterisations and  $F_2$

However: large uncertainty of pion flux normalisation: choice of pion flux, absorption...



(Nucl.Phys.B827 (2010) 1)

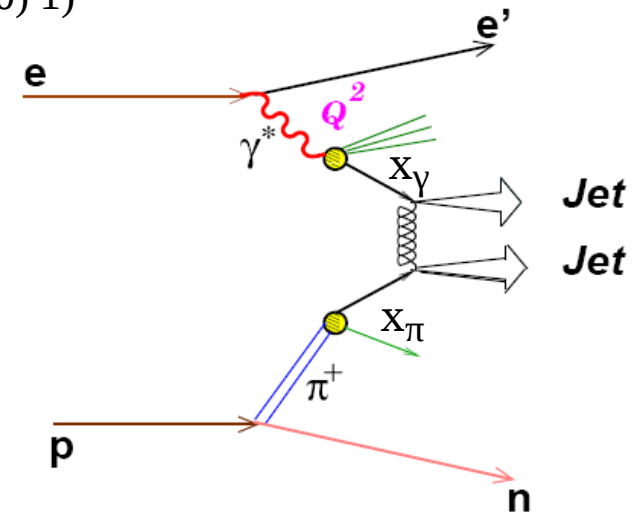
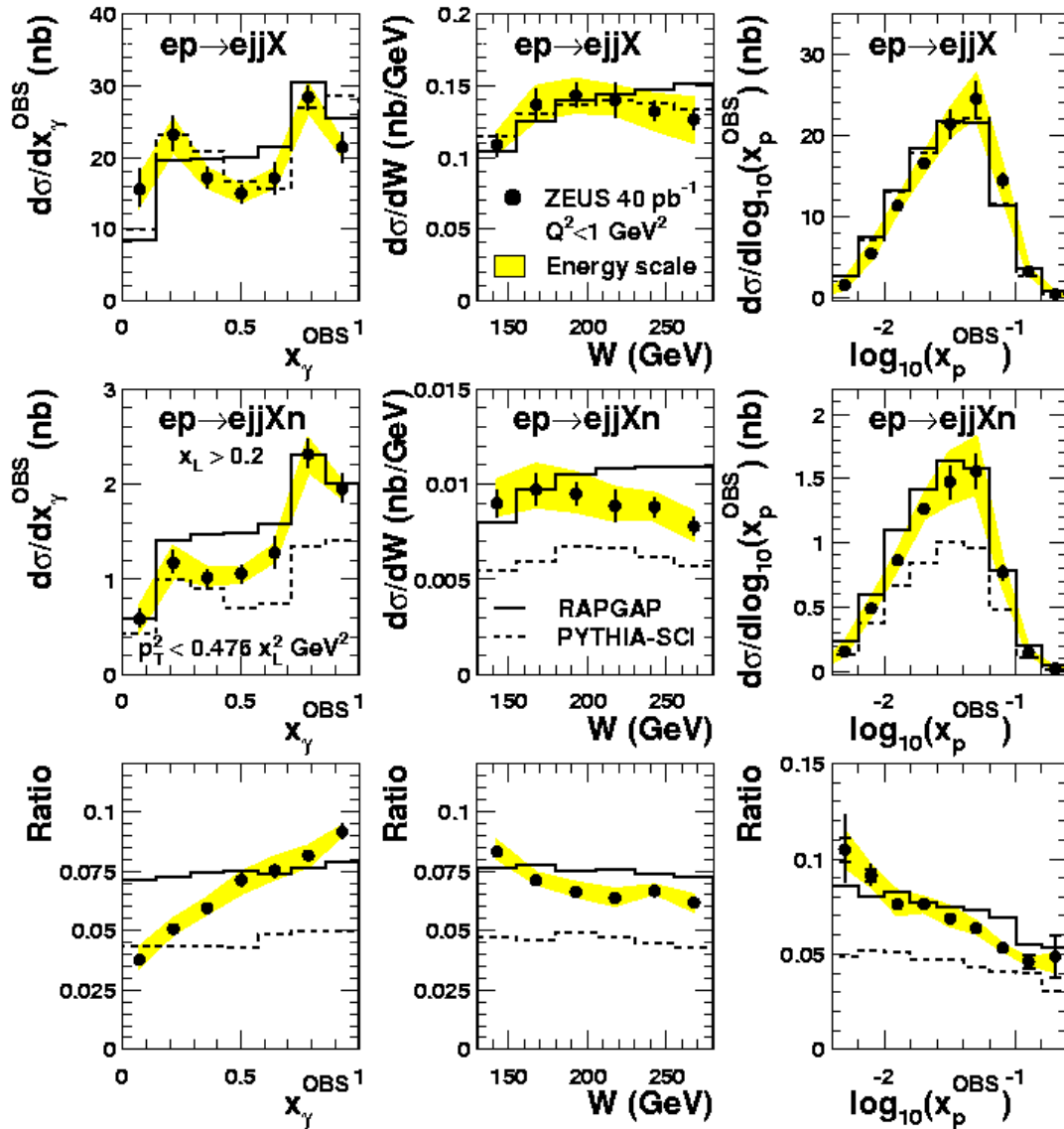


$Q^2 < 1 \text{ GeV}^2, E_{T}^{\text{jet1}} > 7.5 \text{ GeV}, E_{T}^{\text{jet2}} > 6.5 \text{ GeV}$

- In photoproduction ( $Q^2 \sim 0$ ) hard scale provided by jets with high  $p_T^{\text{jet}}$
- RAPGAP  $\pi$ -exchange and PYTHIA-SCI describe data poor
- Pion-exchange is dominating mechanism at high  $x_L$
- Full RAPGAP (pion-exchange + inclusive  $\gamma p$ ) gives good description of data

(Nucl.Phys.B827 (2010) 1)

## ZEUS



$W$  – total energy of  $\gamma p$  system

$$x_\gamma = \sum_{\text{jets}} (E - p_z) / (2yE_e)$$

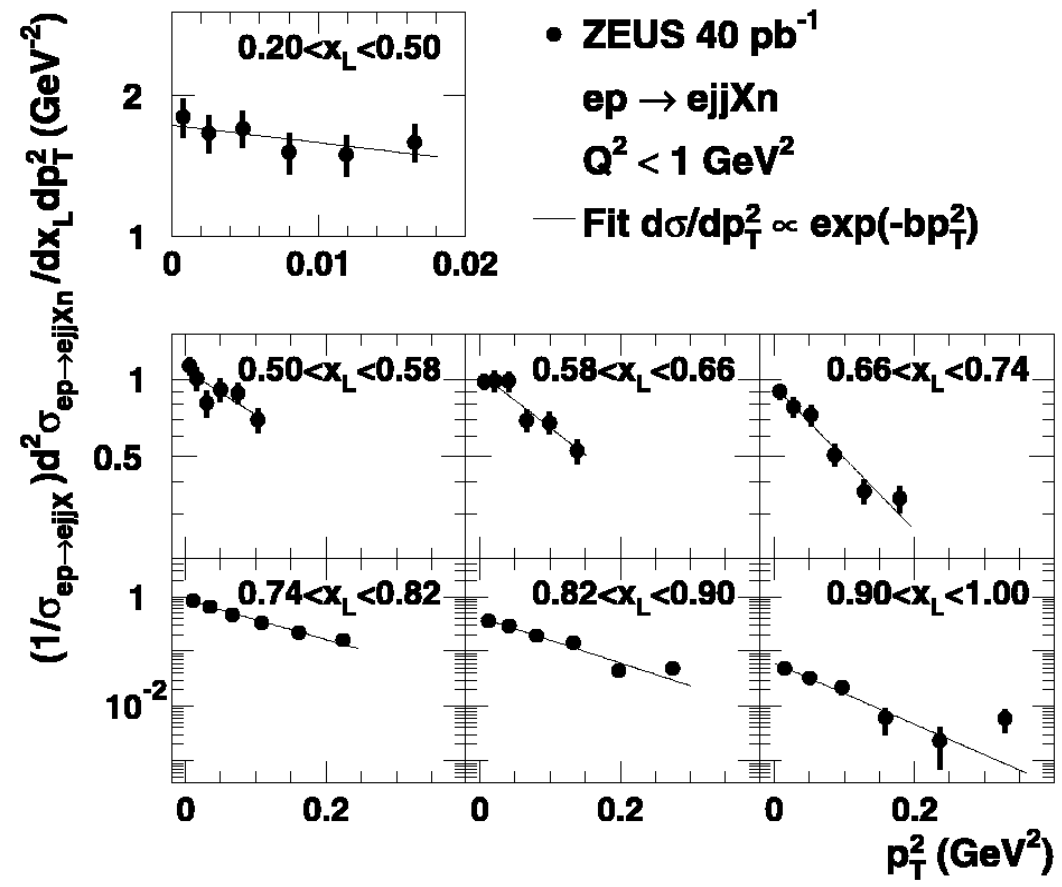
$$x_p = \sum_{\text{jets}} (E + p_z) / (2E_p)$$

- strong dependence of ratio on  $x_\gamma$  (also on  $W, x_p$ ).
- resolved photon is suppressed in events with neutron

# ZEUS

(Nucl.Phys.B827 (2010) 1)

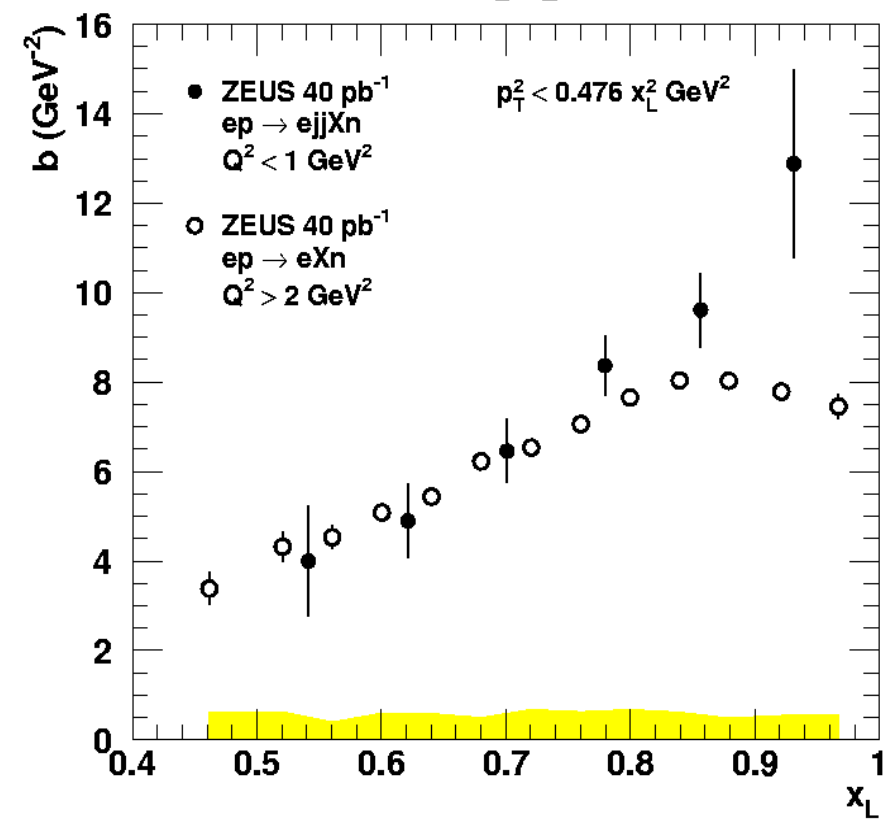
slopes -  $b(x_L)$



Well described by exponential fall-off in  $p_T^2$

$$\frac{1}{\sigma_{inc}} \frac{d\sigma_{LN}}{dp_T^2 dx_L} = a(x_L) \cdot e^{-b(x_L) p_T^2}$$

# ZEUS

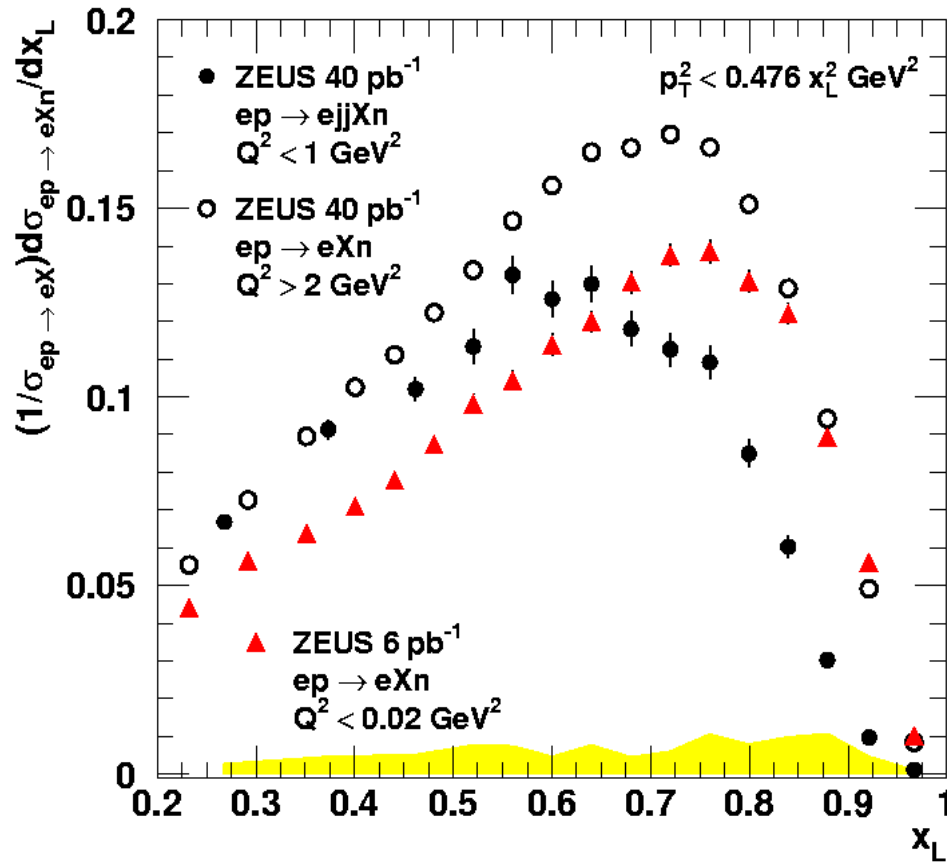


similar  $b$ -values in DIS and  $\gamma p$ +dijet,  
 slightly different at high  $x_L$   
 => same production mechanism



(Nucl.Phys.B827 (2010) 1)

## ZEUS



- LN in DIS
- ▲ LN in  $\gamma p$
- LN + dijet in  $\gamma p$

LN production in photoproduction is suppressed vs DIS at low  $x_L$   
 => consistent with neutron absorption through rescattering models (more absorption in  $\gamma p$  than in DIS due to larger transverse size of real photon)

Suppression is not so prominent in dijet photoproduction (hard scale provided by high  $E_T^{\text{jets}}$ )

Suppression of dijet photoproduction rate at higher  $x_L$  is due to phase space limitation: dijets in the final state leave little room for energetic neutrons

# Summary

## Leading Baryons are good testing ground to study soft vs hard physics

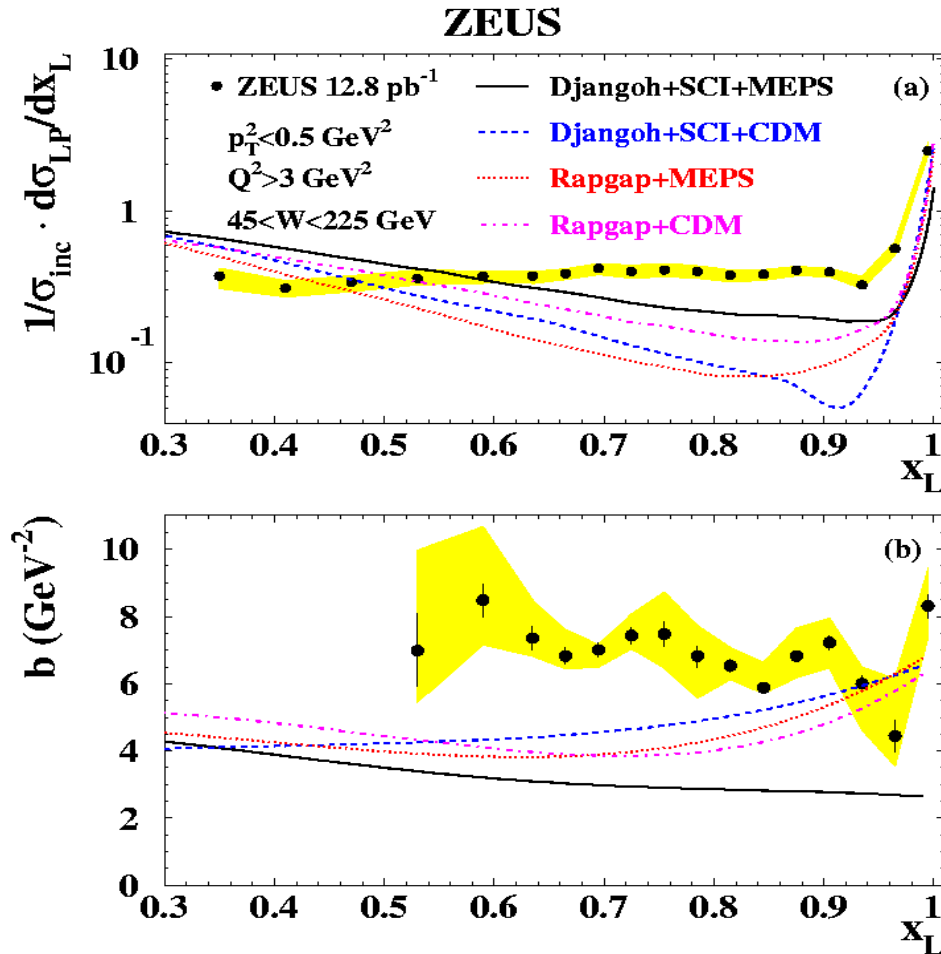
- Precise measurements of LB  $x_L$  and  $p_T^2$  presented in DIS,  $\gamma p$  with dijets.
  - Fragmentation MC-models without meson exchange do not describe the data.
  - Models with virtual meson exchange describe data better.
  - $F_2^{\text{IP}}/F_2$  and  $F_2^{\text{IN}}/F_2$  ratios are independent of  $x$  and  $Q^2$
  - For LN production, pion structure  $F_2^\pi$  estimated and compared with parameterisations of pion structure function
  - Reintroducing hard scale in  $\gamma p$  with high  $E_T$  jets: absorption effect not prominent
- ➔ **Leading baryon data important for an improved theoretical understanding of the proton fragmentation**

## Backup slides

## Kinematic Range

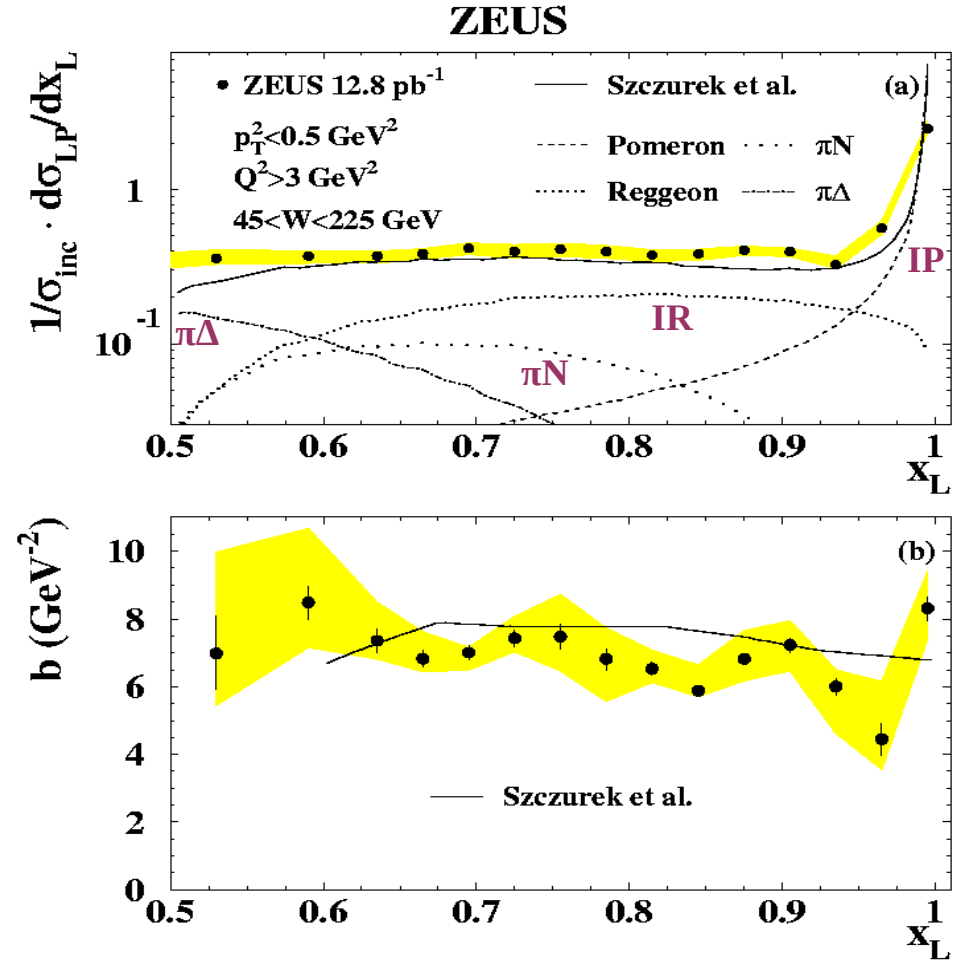
- **ZEUS: Leading Proton production in DIS (DESY-08-176):**  
 $12.8 \text{ pb}^{-1}$ ,  $Q^2 > 3 \text{ GeV}^2$ ,  $p_T^2 < 0.5 \text{ GeV}^2$ ,  $x_L > 0.32$ ,  $45 < W < 225 \text{ GeV}$
- **H1: Leading Neutron production in DIS (DESY-09-185):**  
 $122 \text{ pb}^{-1}$ ,  $6 \text{ GeV}^2 < Q^2 < 100 \text{ GeV}^2$ ,  $p_T^2 < 0.04 \text{ GeV}^2$ ,  $0.32 < x_L < 0.95$
- **ZEUS: Leading Neutron + Dijets in photoproduction (DESY-09-139):**  
 $40 \text{ pb}^{-1}$ ,  $Q^2 < 1 \text{ GeV}^2$ ,  $p_T^2 < 0.475 x_L^2 \text{ GeV}^2$ ,  $x_L > 0.2$ ,  $130 < W < 280 \text{ GeV}$ ,  
 $E_T^{\text{jet1}} > 7.5 \text{ GeV}$ ,  $E_T^{\text{jet2}} > 6.5 \text{ GeV}$ ,  $-1.5 < \eta^{\text{jet1,2}} < 2.5$

Standard fragmentation MC



- good description of diff. peak but all fail at low  $x_L$
- slopes are too low at low  $x_L$

Model with multiple exchanges



- good description of LP yield and slope by adding different exchanges
- reggeon dominant at medium  $x_L$

$$\frac{d^3\sigma(ep \rightarrow enX)}{dQ^2 dx dx_L} = \frac{4\pi\alpha^2}{xQ^4} \left[ 1 - y + \frac{y^2}{2} \right] \cdot F_2^{\text{LN}}(Q^2, x, x_L)$$

$x$

$2.1 \times 10^{-2}$

$9.9 \times 10^{-3}$

$4.6 \times 10^{-3}$

$2.2 \times 10^{-3}$

$1.0 \times 10^{-3}$

$4.8 \times 10^{-4}$

$2.2 \times 10^{-4}$

$F_2^{\text{LN}(3)}(Q^2, x, x_L)$

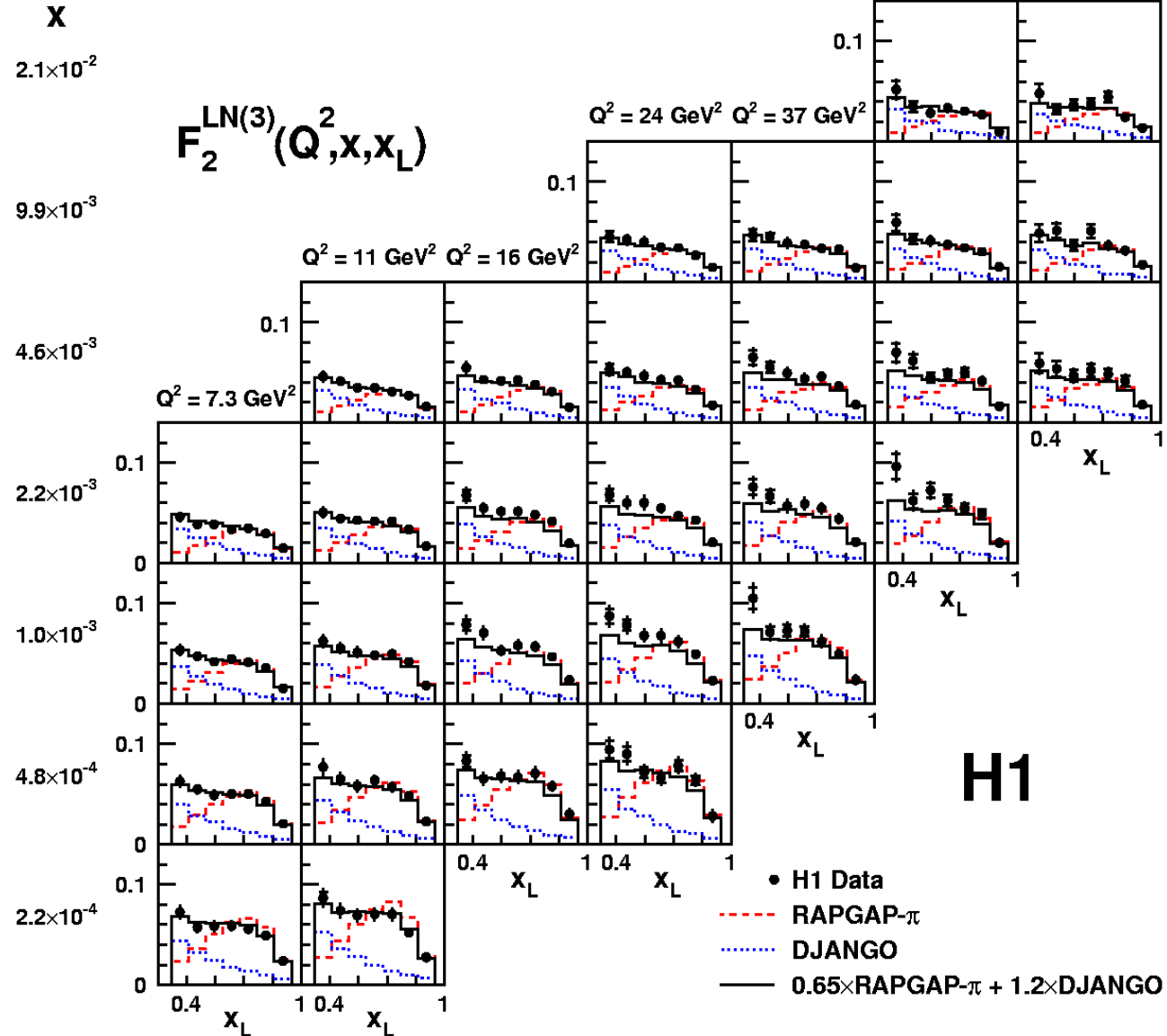
(DESY-09-185)

$Q^2 = 55 \text{ GeV}^2$   $Q^2 = 82 \text{ GeV}^2$

$Q^2 = 24 \text{ GeV}^2$   $Q^2 = 37 \text{ GeV}^2$

$Q^2 = 11 \text{ GeV}^2$   $Q^2 = 16 \text{ GeV}^2$

$Q^2 = 7.3 \text{ GeV}^2$



**DJANGO (standard fragmentation) predicts too low cross section, also  $x_L$  spectrum shape is too different**

**RAPGAP  $\pi^+$ -exchange describes data well for  $x_L > 0.7$**

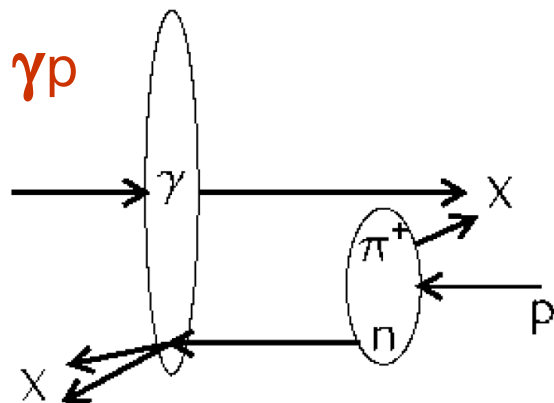
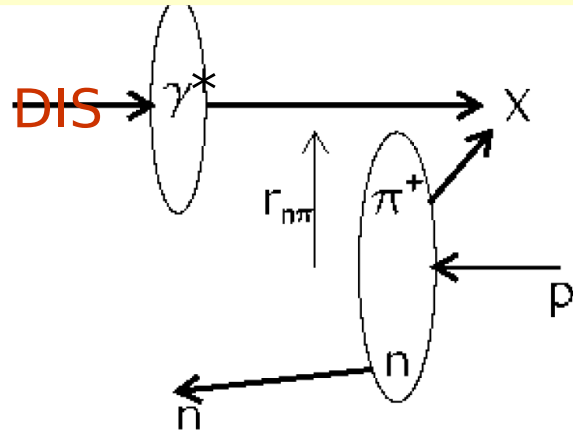
**Mixture of the DJANGO and the RAPGAP MC's can be used to describe data in the whole kinematic region**

**H1**

- H1 Data
- RAPGAP- $\pi$
- DJANGO
- $0.65 \times \text{RAPGAP-}\pi + 1.2 \times \text{DJANGO}$

# Absorptive corrections

Absorption: important ingredient to interpret the results in terms of particle exchange



Neutron absorption through rescattering:

enhanced when size of  $\pi$ - $n$  system  $r_{\pi n} \sim 1/p_T$  is small w.r.t. the transverse size of  $\gamma$ , e.g. at high  $p_T$ , low  $x_L$   
 $\Rightarrow$  neutron breaks up or  
 $\Rightarrow$  is kicked to lower  $x_L$ , higher  $p_T$  (migration) and/or escapes detector acceptance (absorption loss)  
 (in other language: multi-Pomeron exchange)

Affects the relative rate of leading neutrons (depends on the scale  $Q$ )

more absorption in photoproduction than in DIS,  
 (real  $\gamma$  transverse size larger than at higher  $Q^2$ )

$\rightarrow$  The calculations/models made without absorption may overestimate the measurements

Effects of absorption and migration estimated:

D'Alesio, Pirner; Nikolaev, Speth, Zakharov;

Kaidalov, Khoze, Martn, Ryskin ;

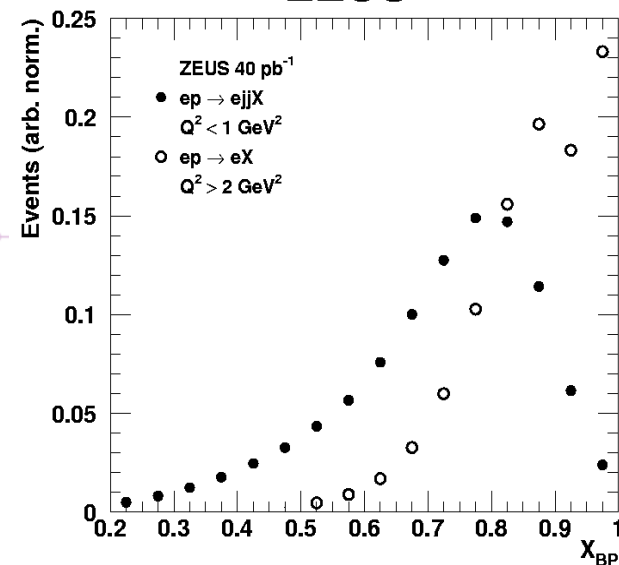
Kopeliovich, Potashnikova, Schmidt, Soffer

Consider  $X_{BP}$  = fraction of p-energy available for LN production

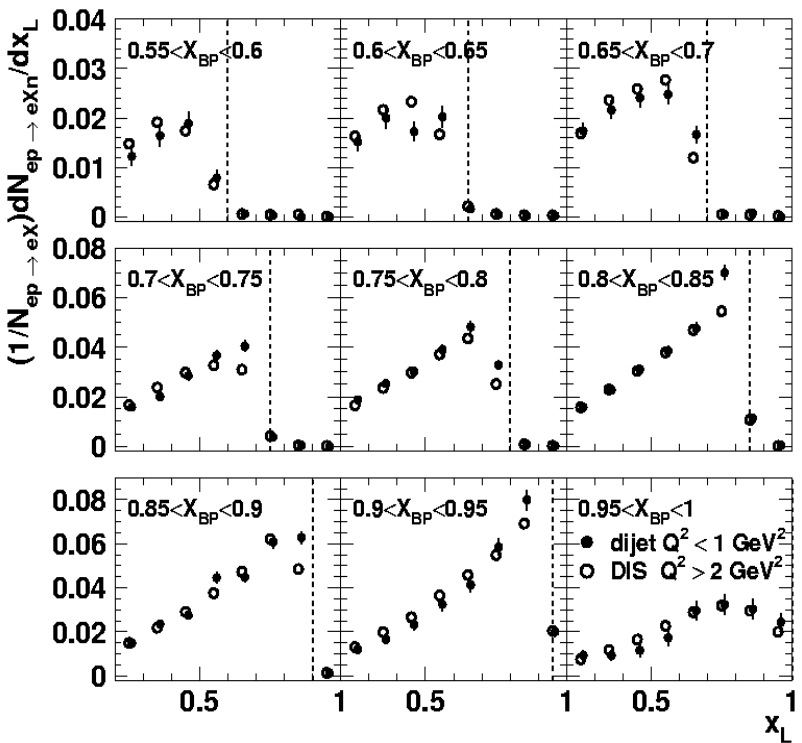
$$x_L < X_{BP} = 1 - (E + P_Z) / (2E_p)$$

$X_{BP}$  dist. is different in DIS and dijet  $\gamma p$ :  
 much less energy available in dijet  $\gamma p$  for LN production

**ZEUS**



**ZEUS**



Reweight DIS LN  $x_L$  dist. to match the  $X_{BP}$  dist. in dijet  $\gamma p$

- ◆ suppression at high  $x_L$  dist. mostly gone
- ◆ large suppression at low  $x_L$  seen in  $\gamma p$  without jets not there

Differences in the  $x_L$  spectra due to kinematic suppression.

For fixed  $X_{BP}$ , same LN rate and  $x_L$  spectrum