

# EFT interpretation of the combined measurement of Higgs production and decay

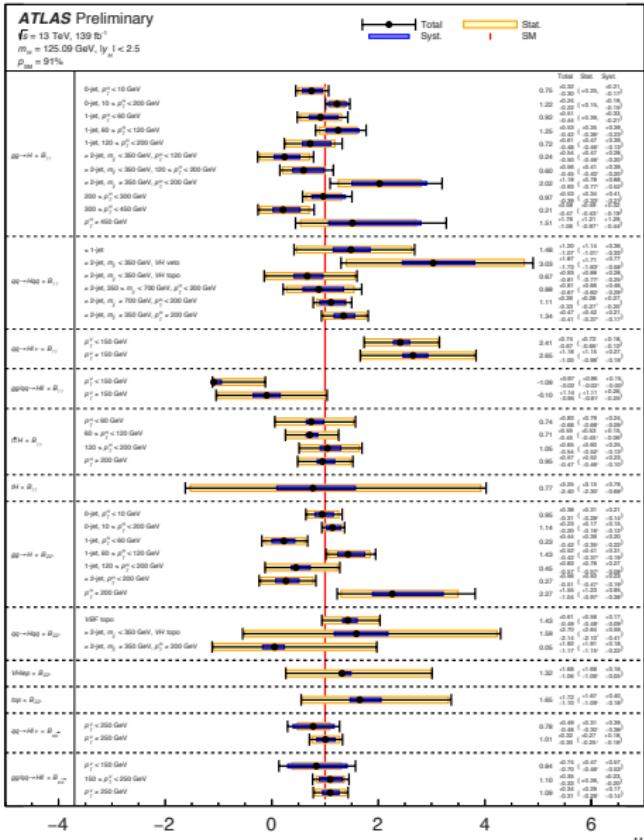
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on behalf of the ATLAS collaboration

December 7th, 2020



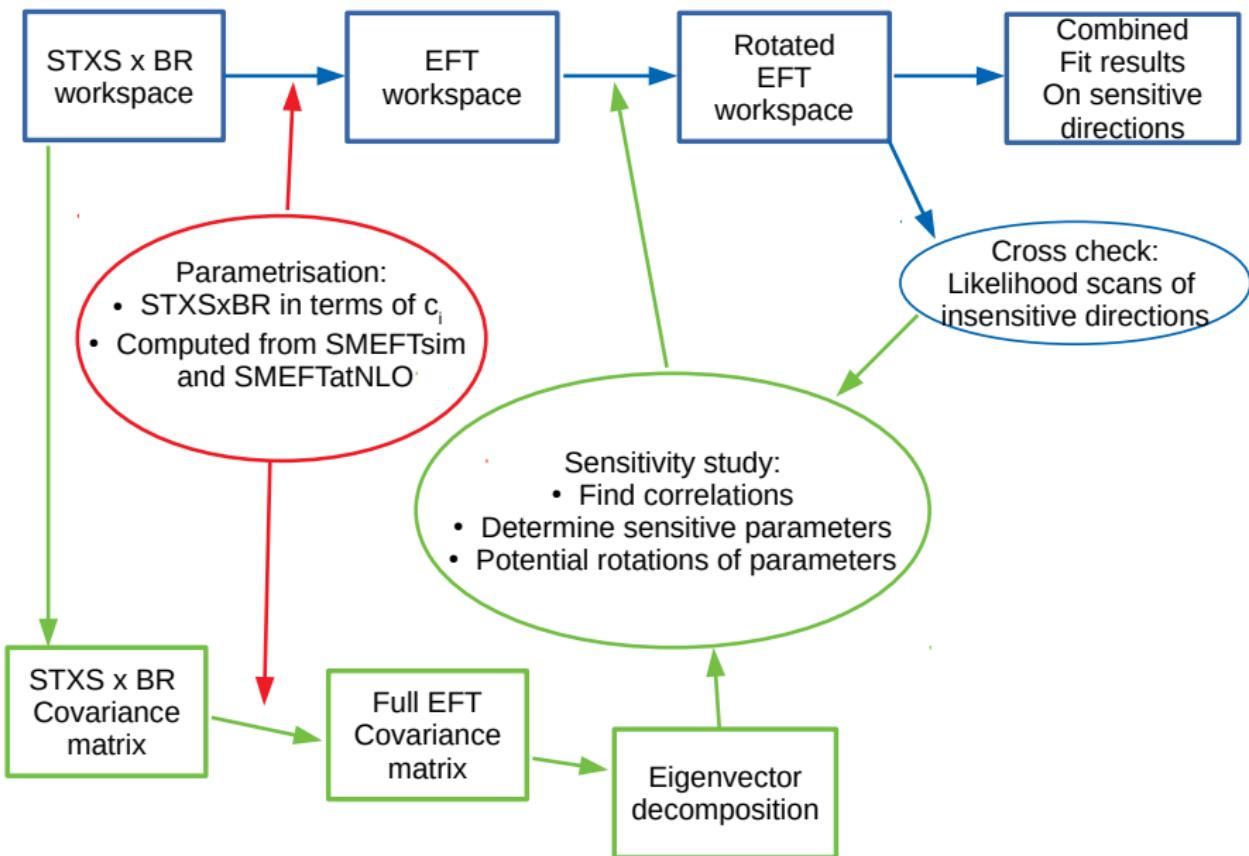
# Introduction and context

- Combined STXS measurement in  $H \rightarrow \gamma\gamma$ ,  $H \rightarrow 4\ell$  and  $VH, H \rightarrow bb$  (see [here](#))
- EFT interpretation:  
[ATLAS-CONF-2020-053](#)
- Aiming at most general and complete possible fit that could be combined later on
- Inputs:  $\sigma_{STXS} \times BR_{H \rightarrow x}$  measurements
  - most Gaussian representation
  - bin merging per decay channel



I was asked to focuss on choices, assumptions, methodology

# Overview of the procedure



# General EFT settings / choices

- SMEFT with Warsaw basis, dim-6 operators with  $U(3)^5$  flavour symmetry,  $m_Z$ ,  $m_W$ ,  $G_f$  input scheme, CP-even,  $\Lambda = 1 \text{ TeV}$
- MG5+Pythia8, interfaced with STXS rivet routine (modified for contact interactions)
- Generator level cuts (currently no common agreement)
  - object cuts to match STXS definitions,  $dr$  cuts to avoid divergencies
  - very inclusive: can be quite different from experimental acceptance

Parameter	Value	Default	Comment
lhaid	90400	263000	sets $\alpha_s$ to the default value assumed in the PDF fit ( $\alpha_s = 0.118$ for 90400)
	PDF4LHC15_nlo_30_pdfsas	NNPDF30_lo_as_0130	
drll, drjj, drbb, drjb	0.05	0.4	Avoid bias in the selection, minimum value of 0.05 chosen to avoid divergences.
ptj, ptb	20 GeV	20 GeV	Chosen to match jet selection of the Rivet routine.
ptl	0 GeV	10 GeV	Cut applied only to charged leptons.
etal	10	2.5	Cut applied only to charged leptons.
ktdurham	30 GeV	X	Merging scale for CKKW-L scheme.

# Process definitions

- Process definition rather well defined
- Exist few (probably not very significant) diagrams which are not straight forward (at least for us experimentalists) to classify in terms of STXS production modes

ggF+bbH	generate p p > h QED=1 add process p p > h j QED=1 add process p p > h jj QED=1 add process p p > h b b~QED=1
VBF+VHhad	generate p p > h jj QCD=0
ZHlep	generate p p > h l+ l- add process p p > h ta+ ta- add process p p > h vl vl~ add process p p > h vt vt~
WHlep	generate p p > h l+ vl add process p p > h l- vl~
ttH	generate p p > h t t~
tHjb	generate p p > h t b~j add process p p > h t~b j
tHW	define p = p b b~ generate p p > h t w- add process p p > h t~w+
$H \rightarrow 4\ell$	generate h > l+ l- l+ l-
$H \rightarrow bb$	generate h > b b~
$H \rightarrow \gamma\gamma$	generate h > a a
+ Additional channels (2-&3-body decays) entering total width	

# EFT parametrisation

- EFT parametrisation is multiplicative correction to best-knowledge STXS and BR predictions in each bin
  - assume / hope that higher order effects cancel in ratio EFT / SM
  - no additional uncertainties, except full SM uncertainty at SM-MC order taken into account
- Parametrisation of each process at its leading order:
  - $ggF$ ,  $ggZ(\rightarrow \ell\ell)H$ ,  $H \rightarrow gg$ : NLO QCD with [SMEFTatNLO](#)
  - $H \rightarrow \gamma\gamma$ : NLO QED from [this paper](#)
  - rest: LO with [SMEFTsim](#)
- Factorisation of production and decay (+ Higgs decays simulated at rest)
- In this step, consider all operators in each bin with interference term impact  $> 0.1\%$ :
  - does not reject operators, but avoid contributions from stat. fluctuations in some bins
  - 0.1% is far below uncertainty on measurement in each bin within validity regime of EFT

# Power in $\Lambda^{-2}$

$$\frac{\sigma_{EFT}}{\sigma_{SM}} = 1 + \sum_i A_i c_i + \sum_{ij} B_{ij} c_{ij}$$

2 fit scenarios considered:

- “Linear”: Taylor expansion, truncation at  $\frac{1}{\Lambda^2}$

$$(\sigma \times BR)_{EFT} = (\sigma \times BR)_{SM} \cdot \left( 1 + \sum_i A_i^{STXS} c_i + \sum_i (A_i^{H \rightarrow X} - A_i^H) \cdot c_i \right)$$

- “Linear + quadratic”: full parametrisation, including pure BSM terms and mixed terms with higher orders

$$\sigma_i^{H \rightarrow X} = \sigma_i \cdot \mathcal{BR}_{H \rightarrow X} = (\sigma_{SM}^i + \sigma_{int}^i + \sigma_{BSM}^i) \cdot \frac{\Gamma_{SM}^{H \rightarrow X} + \Gamma_{int}^{H \rightarrow X} + \Gamma_{BSM}^{H \rightarrow X}}{\Gamma_{SM}^H + \Gamma_{int}^H + \Gamma_{BSM}^H}$$

Only dimension 6 operators are considered!

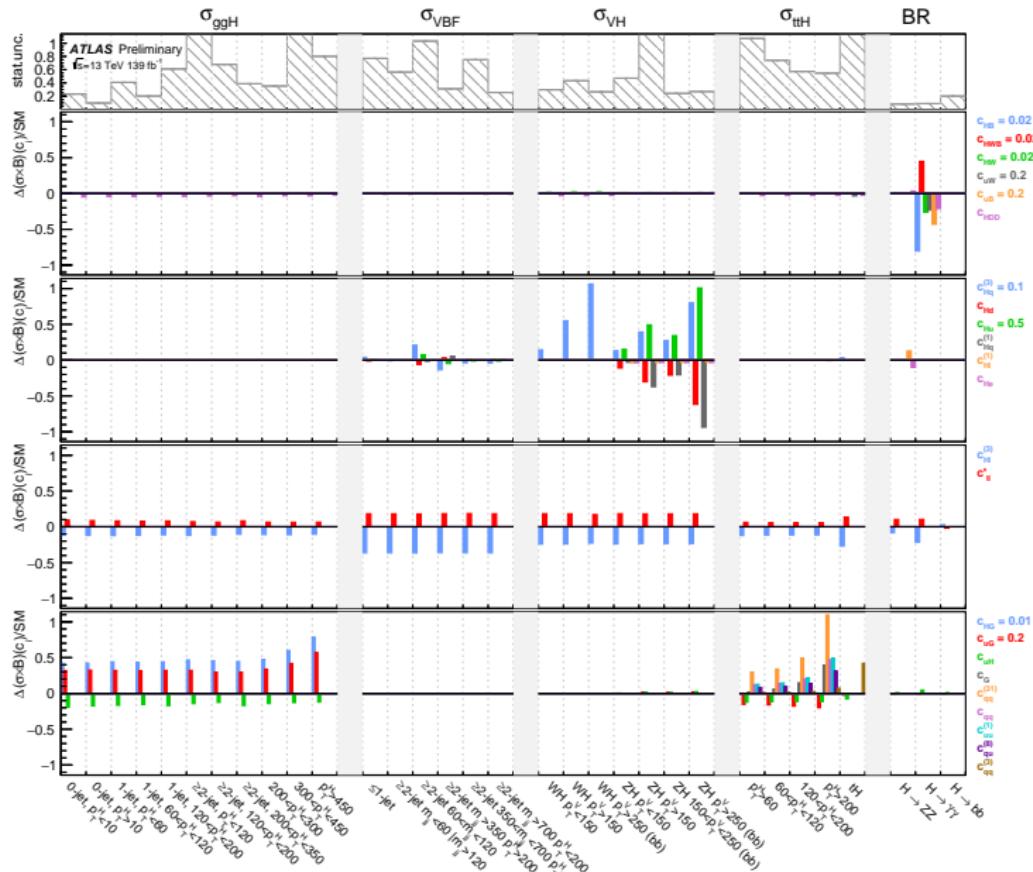
# Sensitivity study

- Fit with all Wilson coefficients does not converge and correlations are making results somewhat arbitrary
- Study sensitivity from covariance matrix: set only parameters to 0 to which there is no sensitivity
- Build full EFT covariance matrix from STXSxBR covariance matrix, propagate linear EFT parametrisation:

$$C_{\text{EFT}}^{-1} = P^T C_{\text{STXS}}^{-1} P$$

- Eigenvector decomposition gives hints on sensitive directions: large eigenvalues  $\leftrightarrow$  good sensitivity
- Do not use full eigenvector decomposition: difficult to interpret intuitively and validate results
  - group only operators with similar impact on specific physics processes
  - no aim to reduce “experimental” correlations (e.g. between ggF and  $H \rightarrow \gamma\gamma$ )

## Parameter rotations

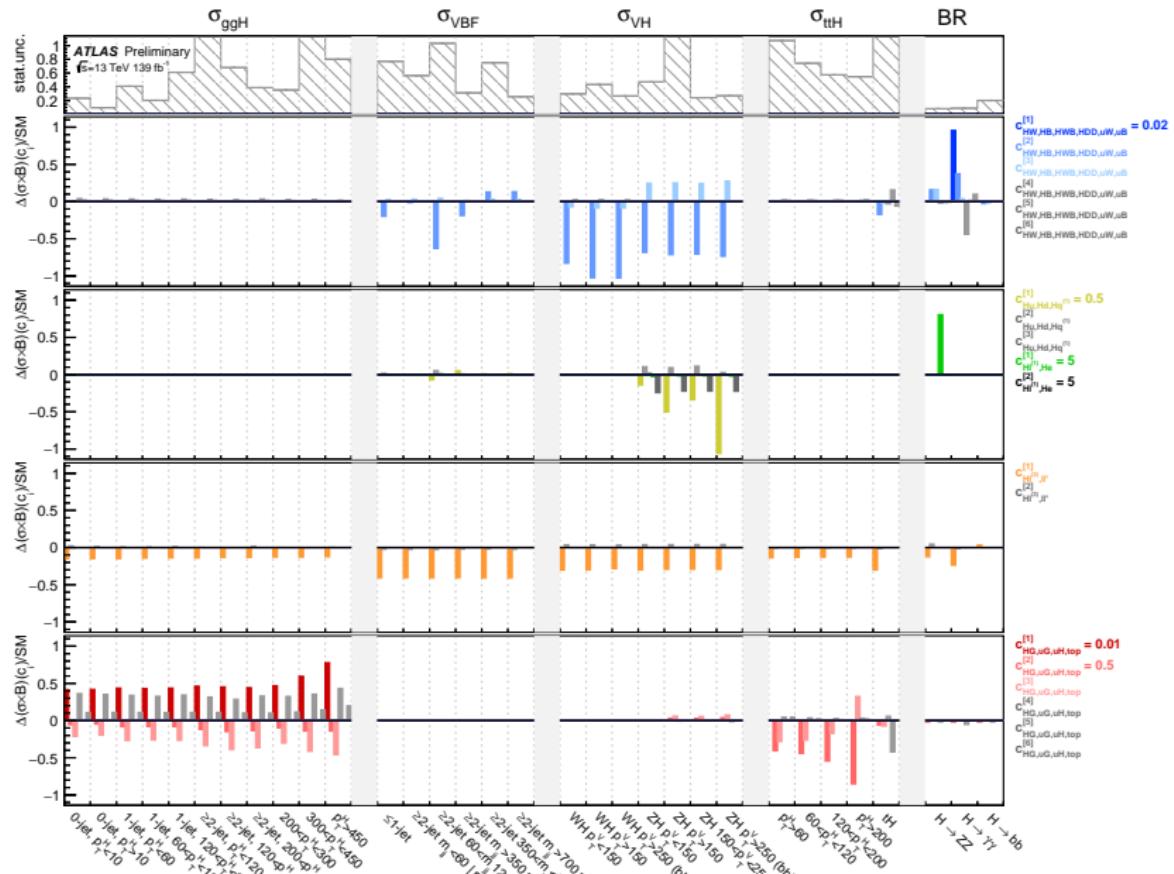


# Parameter rotations

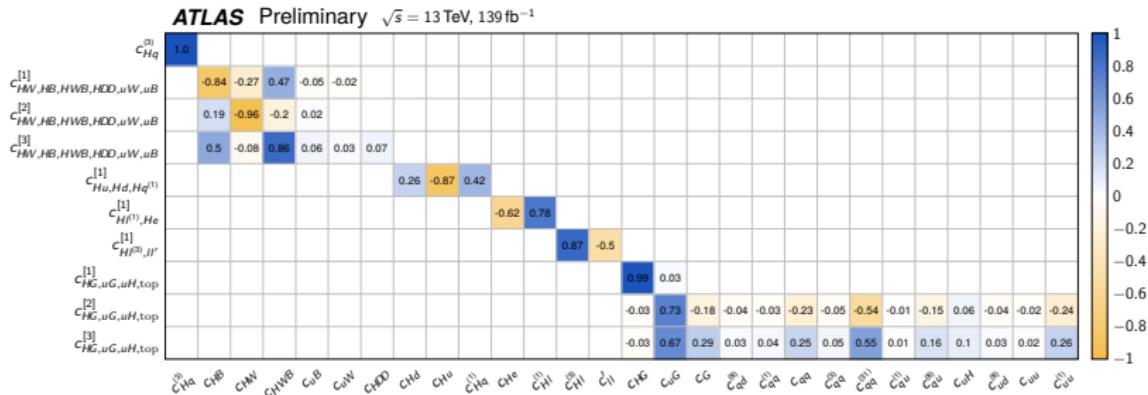
- Group parameter with similar impact in specific processes
- Eigenvector of sub-covariance matrix with these operators
- Keep the ones with “large” eigenvalue, set the others to 0
- Will be validated later on!
- Set operators impacting overall normalisation to zero; in future: would compute impact on fitted directions

Parameter	Definition	Eigenvalue	Fit Parameter
$c_{Hq}^{(0)}$	$c_{Hq}^{(0)}$	1900	✓
$c_{HW,HB,HWB,HDD,uB}^{[i]}$	1 $-0.27c_{HW} - 0.84c_{HB} + 0.47c_{HWB} - 0.02c_{uW} - 0.05c_{uB}$	245000	✓
	2 $-0.96c_{HW} + 0.19c_{HB} - 0.20c_{HWB} + 0.02c_{uB}$	33	✓
	3 $-0.08c_{HW} + 0.50c_{HB} + 0.86c_{HWB} + 0.07c_{HDD} + 0.03c_{uW} + 0.06c_{uB}$	4	✓
	4 $0.03c_{HWB} - 0.85c_{HDD} + 0.32c_{uW} + 0.43c_{uB}$	0.017	
	5 $-0.01c_{HW} + 0.07c_{HB} + 0.05c_{HWB} - 0.44c_{HDD} - 0.86c_{uW} - 0.23c_{uB}$	0.0077	
	6 $-0.01c_{HW} + 0.06c_{HB} + 0.04c_{HWB} - 0.29c_{HDD} + 0.39c_{uW} - 0.87c_{uB}$	0.0025	
$c_{HG,uG,uH,up}^{[i]}$	1 $+0.999c_{HG} + 0.038c_{uG}$	176000	✓
	2 $-0.03c_{HG} + 0.73c_{uG} - 0.03c_{qq}^{(i)} - 0.23c_{qq}^{(0)} - 0.05c_{qq}^{(0)} - 0.54c_{qq}^{(0)} - 0.02c_{uu} - 0.24c_{ud}^{(i)} - 0.04c_{ud}^{(0)} - 0.01c_{qu}^{(i)} - 0.15c_{qu}^{(0)} - 0.04c_{qd}^{(0)} - 0.18c_G + 0.06c_{uH}$	20	✓
	3 $-0.03c_{HG} + 0.67c_{uG} + 0.04c_{qq}^{(i)} + 0.25c_{qq}^{(0)} + 0.05c_{qq}^{(0)} + 0.55c_{qq}^{(0)} + 0.02c_{uu} + 0.26c_{ud}^{(i)} + 0.03c_{ud}^{(0)} + 0.01c_{qu}^{(i)} + 0.16c_{qu}^{(0)} + 0.03c_{qd}^{(0)} + 0.29c_G + 0.1c_{uH}$	1.3	✓
	4 $+0.11c_{uG} + 0.01c_{qq}^{(i)} - 0.018c_{qq}^{(0)} + 0.029c_{qq}^{(0)} + 0.012c_{uu}^{(i)} - 0.993c_{uH}$	0.14	
	5 $+0.02c_{qq}^{(i)} - 1.0c_{qq}^{(0)} + 0.06c_{qq}^{(0)} + 0.03c_{uu}^{(i)} + 0.02c_{qu}^{(0)} + 0.02c_{uH}$	0.02	
	6 $+0.07c_{uG} - 0.02c_{qq}^{(i)} + 0.07c_{qq}^{(0)} + 0.03c_{qq}^{(0)} + 0.32c_{qq}^{(0)} + 0.06c_{uu}^{(i)} + 0.04c_{ud}^{(0)} + 0.08c_{qu}^{(0)} + 0.04c_{qd}^{(0)} - 0.94c_G + 0.02c_{uH}$	0.0092	
$c_{HI^0,He}^{[1]}$	$+0.78c_{HI}^{(i)} - 0.62c_{He}$	2.6	✓
$c_{HI^0,He}^{[2]}$	$+0.62c_{HI}^{(i)} + 0.78c_{He}$	0.056	H $\rightarrow \alpha_L$
$c_{Hu,Hd,Hq^{(i)}}^{[1]}$	$-0.87c_{Hu} + 0.26c_{Hd} + 0.42c_{Hq}^{(i)}$	59	✓
$c_{Hu,Hd,Hq^{(i)}}^{[2]}$	$+0.41c_{Hu} - 0.09c_{Hd} + 0.91c_{Hq}^{(i)}$	0.10	VH
$c_{Hu,Hd,Hq^{(i)}}^{[3]}$	$-0.28c_{Hu} - 0.96c_{Hd} + 0.03c_{Hq}^{(i)}$	0.0018	
$c_{HI^0,II'}^{[1]}$	$0.87c_{HI}^{(i)} - 0.50c_{II'}$	27	✓
$c_{HI^0,II'}^{[2]}$	$0.50c_{HI}^{(i)} + 0.87c_{II'}$	0.33	field redefinition

# Parameter rotations



# Choice of fitted directions

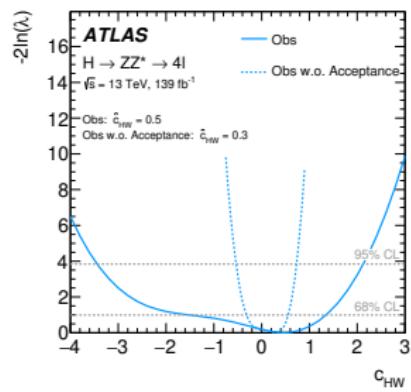
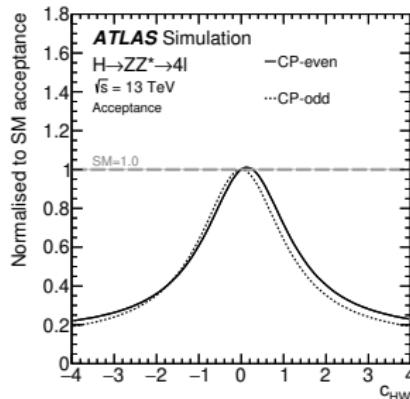
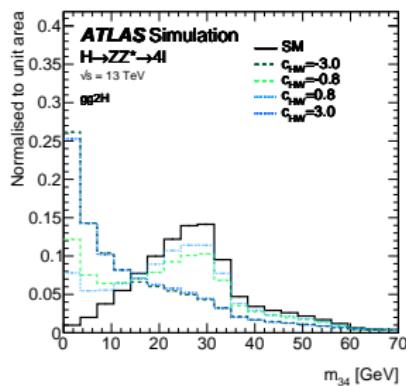


- 10 directions fitted simultaneously
- Used for both fit scenarios (choice of sensitive directions not re-optimised including quadratic terms)

# EFT parametrisation of acceptance

No definition of decay-side binning in STXS:

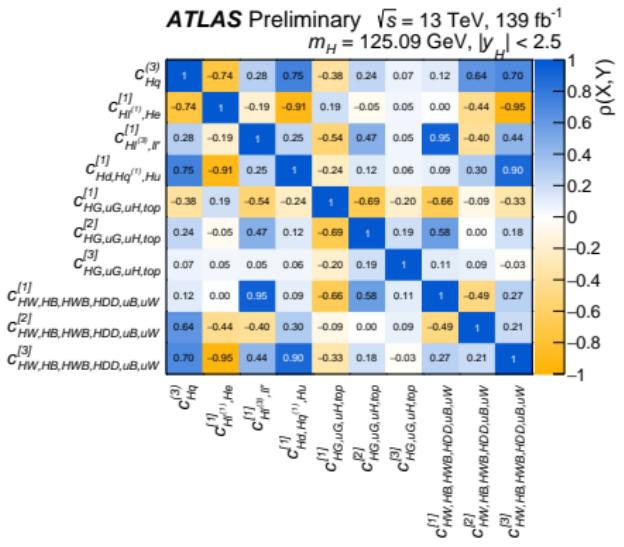
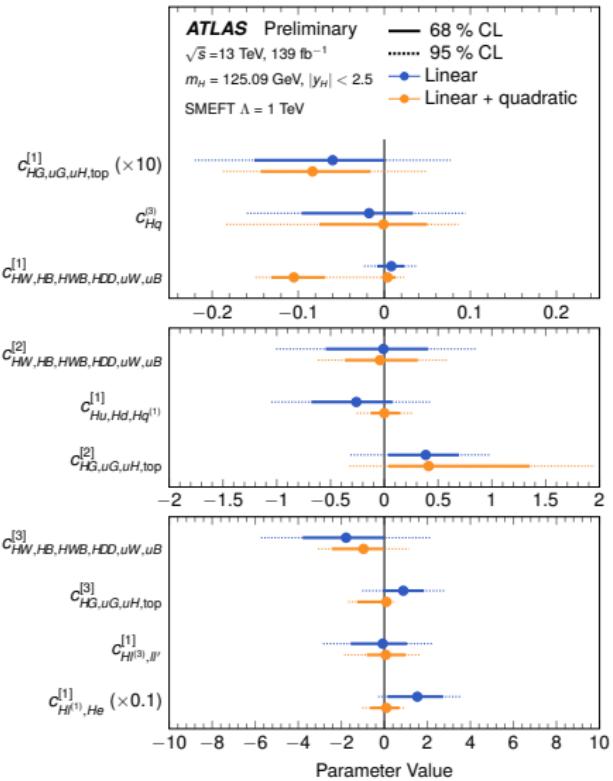
- Experimental requirements on  $m_{12}$  and  $m_{34}$  to target  $H \rightarrow ZZ^*$  decay
- Wilson coefficients might cause diff. kinematics or contact terms with Higgs, Z and leptons



Use acceptance parametrisation from [Eur.Phys.J C 80 \(2020\) 957](#):

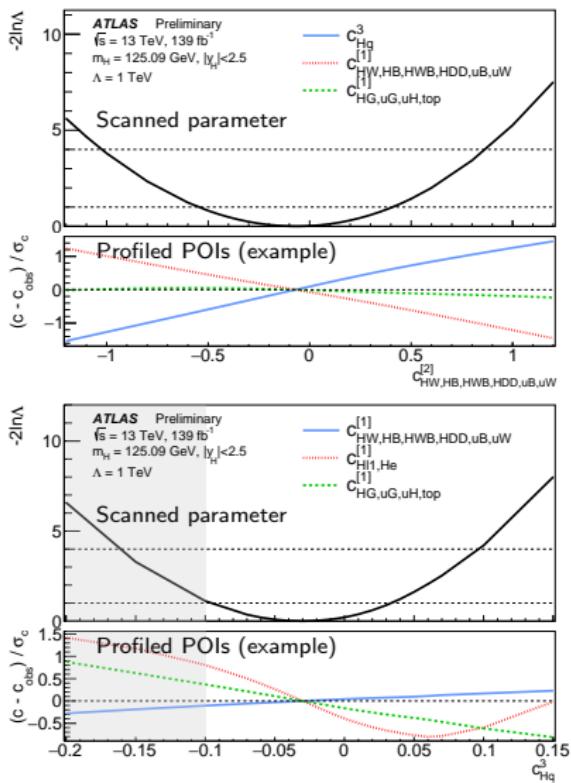
- ad-hoc parametrisation with 3D Lorenzian
- includes  $c_{HW}$ ,  $c_{HB}$ ,  $c_{HWB}$ : neglect other operators
- multiplicative factor: non-linear component in the fit, even for “linear” model

# Fit results



- Excellent sensitivity from simultaneous fit to 10 POIs
- No reduction of “experimental” correlations

# About correlations (linear model)



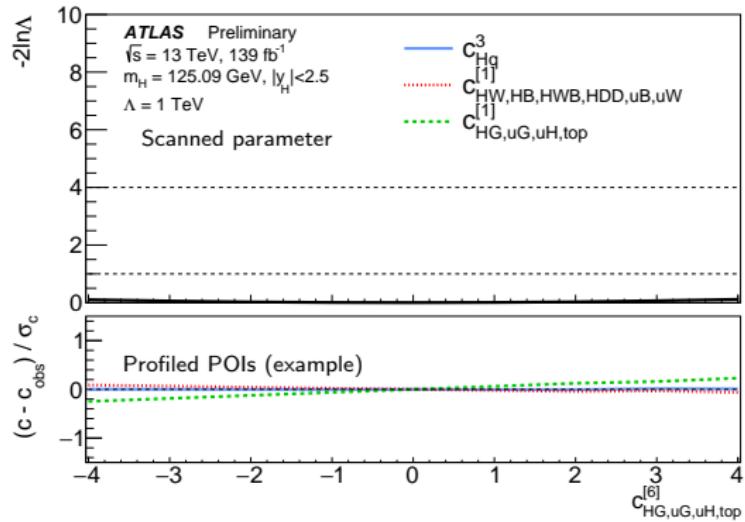
Correlations should be linear to keep generality of results when quoting central values and covariance matrix

- variation of profiled directions in likelihood scans show mostly linear correlations
- exception: parameters relevant mostly in  $H \rightarrow 4\ell$  and correlated with operators included in acceptance correction (this is not a fundamental problem and can be fixed for next round)

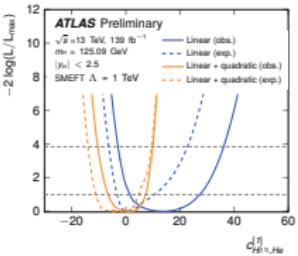
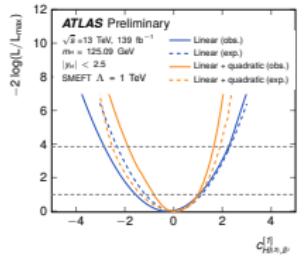
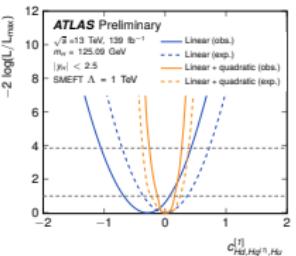
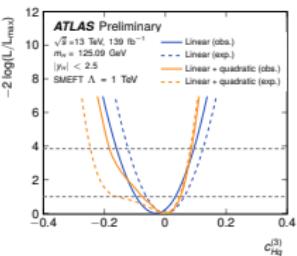
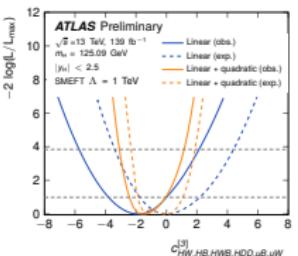
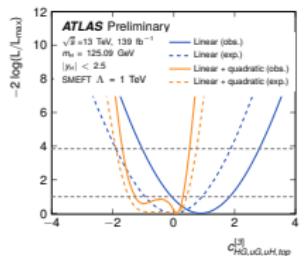
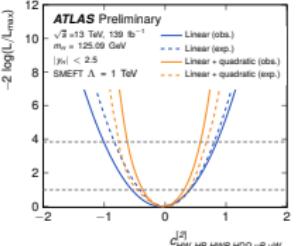
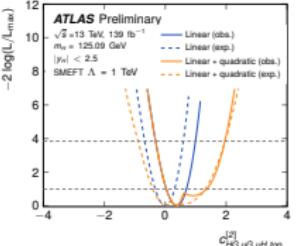
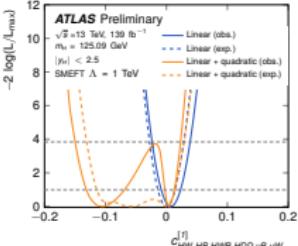
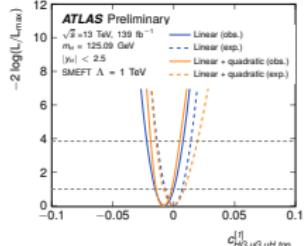
Only valid in linear model; more difficult to handle including quadratic terms!

# Neglected directions

- Setting parameters to SM (zero) can be strong model assumption
- To keep generality of results, show that impact on fitted directions is negligible within EFT validity range



# Impact of quadratic terms



Non-negligible impact from quadratic terms; should study dim-8 terms...

# Summary and outlook

- EFT interpretation of combined STXS measurements in ATLAS (including  $H \rightarrow \gamma\gamma$ ,  $H \rightarrow bb$ ,  $H \rightarrow ZZ^* \rightarrow 4\ell$ )
- Good sensitivity to large set of directions; need to define criteria to chose these directions as not all operators can be fitted separately
- Still learning, a common approach would help to harmonise between experiments and analyses
- Aim to perform general fit, minimising assumptions; improvements / studies still needed:
  - symmetry assumptions, truncations
  - simulation order, SMEFT / SM  $k$ -factors
- More studies needed also on “global” aspects in the future on:
  - acceptance effects in production side: analyses use MVA techniques in variables not used in STXS definition
  - theory uncertainties from higher order, higher dimensions etc.

# Backup

# Full eigenvector decomposition

No.	Eigenvalue	Eigenvector
1	299310	$-0.02c_W + 0.55c_{HG} - 0.23c_{HW} - 0.70c_{HB} + 0.39c_{HWB} + 0.02c_{uG} - 0.02c_{uW} - 0.04c_{uB}$
2	121830	$-0.83c_{HG} - 0.15c_{HW} - 0.47c_{HB} + 0.26c_{HWB} - 0.03c_{uG} - 0.03c_{uB}$
3	1960	$0.10c_{HW} + 0.03c_{HWB} - 0.02c_{Hl}^{(3)} - 0.05c_{Hq}^{(1)} + 0.99c_{Hq}^{(3)} + 0.09c_{Hu} - 0.03c_{Hd} + 0.02c'_{ll}$
4	38	$+0.03c_{H\Box} + 0.02c_{HDD} + 0.09c_{HB} + 0.15c_{HWB} + 0.02c_{uH} + 0.08c_{uG} - 0.02c_{Hl}^{(1)} - 0.06c_{Hl}^{(3)} - 0.02c_{He} - 0.41c_{Hq}^{(1)} - 0.11c_{Hq}^{(3)} + 0.84c_{Hu} - 0.26c_{Hd} + 0.04c'_{ll}$
5	19	$+0.17c_G + 0.07c_{H\Box} + 0.02c_{HG} - 0.19c_{HW} + 0.10c_{HB} + 0.06c_{HWB} - 0.08c_{uH} + 0.06c_{dH} - 0.69c_{uG} + 0.09c_{Hl}^{(1)} - 0.13c_{Hl}^{(3)} - 0.07c_{He} - 0.02c_{Hq}^{(1)} + 0.03c_{Hu} + 0.10c'_{ll} + 0.03c_{qq}^{(1)} + 0.22c_{qq} + 0.05c_{qq}^{(3)} + 0.52c_{qq}^{(31)} + 0.02c_{uu} + 0.23c_{uu}^{(1)} + 0.03c_{ud}^{(8)} + 0.15c_{qu}^{(8)} + 0.03c_{qd}^{(8)}$
6	10	$-0.20c_{H\Box} - 0.02c_{HDD} - 0.57c_{HW} - 0.34c_{HWB} - 0.02c_{uH} - 0.08c_{dH} - 0.04c_{uG} - 0.13c_{Hl}^{(1)} + 0.54c_{Hl}^{(3)} + 0.13c_{He} - 0.10c_{Hq}^{(1)} + 0.08c_{Hq}^{(3)} + 0.08c_{Hu} - 0.02c_{Hd} - 0.40c'_{ll} + 0.02c_{qq} + 0.04c_{qq}^{(31)} + 0.02c_{uu}^{(1)}$
7	5.9	$+0.08c_G - 0.07c_{H\Box} - 0.03c_{HDD} + 0.73c_{HW} - 0.23c_{HB} - 0.11c_{dH} - 0.13c_{uG} - 0.02c_{uW} - 0.03c_{uB} - 0.15c_{Hl}^{(1)} + 0.44c_{Hl}^{(3)} + 0.10c_{He} - 0.07c_{Hq}^{(1)} + 0.08c_{Hu} - 0.02c_{Hd} - 0.25c'_{ll} + 0.09c_{qq} + 0.02c_{qq}^{(3)} + 0.22c_{qq}^{(31)} + 0.10c_{uu}^{(1)} + 0.06c_{qu}^{(8)}$
8	1.1	$-0.29c_G + 0.04c_{H\Box} - 0.02c_{HDD} + 0.03c_{HG} + 0.08c_{HW} - 0.02c_{HB} - 0.10c_{uH} - 0.68c_{uG} + 0.02c_{Hl}^{(1)} + 0.08c_{Hl}^{(3)} - 0.01c_{He} - 0.02c_{Hq}^{(1)} - 0.01c_{Hq}^{(3)} + 0.04c_{Hu} - 0.02c_{Hd} - 0.03c'_{ll} - 0.04c_{qq}^{(1)} - 0.24c_{qq} - 0.04c_{qq}^{(3)} - 0.52c_{qq}^{(31)} - 0.02c_{uu} - 0.25c_{uu}^{(1)} - 0.03c_{ud}^{(8)} - 0.01c_{qu}^{(1)} - 0.15c_{qu}^{(8)} - 0.03c_{qd}^{(8)}$
9	0.30	$+0.03c_G - 0.01c_W + 0.06c_{H\Box} - 0.12c_{HDD} + 0.09c_{HW} - 0.41c_{HB} - 0.70c_{HWB} + 0.06c_{uH} - 0.11c_{dH} - 0.05c_{uG} - 0.01c_{uW} - 0.02c_{uB} - 0.37c_{Hl}^{(3)} + 0.16c_{He} - 0.36c_{Hq}^{(1)} - 0.02c_{Hq}^{(3)} - 0.03c_{Hu} + 0.01c_{Hd} + 0.10c'_{ll}$
10	0.16	$+0.02c_G - 0.02c_W + 0.27c_{H\Box} - 0.04c_{HDD} - 0.09c_{HW} + 0.09c_{HB} + 0.09c_{HWB} + 0.01c_{eH} + 0.08c_{uH} - 0.52c_{dH} - 0.07c_{uG} - 0.01c_{uW} - 0.04c_{uB} - 0.58c_{Hl}^{(1)} - 0.26c_{Hl}^{(3)} + 0.29c_{He} + 0.31c_{Hq}^{(1)} + 0.10c_{Hu} - 0.12c'_{ll} - 0.04c_{qq}^{(3)}$
11	0.036	$+0.22c_G - 0.56c_{H\Box} + 0.19c_{HDD} + 0.01c_{HG} + 0.03c_{HW} + 0.03c_{HB} + 0.07c_{HWB} - 0.02c_{eH} + 0.70c_{uH} + 0.09c_{dH} - 0.16c_{uG} + 0.04c_{uW} - 0.01c_{uB} - 0.06c_{Hl}^{(1)} - 0.18c_{Hl}^{(3)} + 0.09c_{He} + 0.03c_{Hq}^{(1)} - 0.04c_{Hd} - 0.07c'_{ll} + 0.01c_{qq}^{(1)} - 0.01c_{qq} - 0.10c_{qq}^{(3)} - 0.09c_{qq}^{(31)} - 0.02c_{ud}^{(1)} - 0.01c_{ud}^{(8)} - 0.02c_{qu}^{(8)} - 0.01c_{qd}^{(8)}$
12	0.023	$-0.05c_G + 0.09c_{H\Box} - 0.01c_{HDD} - 0.01c_{HB} - 0.02c_{uH} + 0.01c_{uG} + 0.37c_{uW} + 0.03c_{Hl}^{(1)} + 0.05c_{Hl}^{(3)} - 0.02c_{uB} - 0.03c_{uG}^{(1)} - 0.01c_{uW} + 0.03c'_{ll} + 0.03c_{qq}^{(1)} + 0.08c_{qq}^{(31)} + 0.03c_{ud}^{(1)} + 0.02c_{ud}^{(8)}$