Particle Identification with dE/dx (dN/dx)



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OUTLINE

- Thanks to the preceding excellent presentations, there is no need here to motivate the relevance of a PId system for a detector at CEPC and FCCee.
- Focus the attention exclusively to the energy loss measurement (dE/dx) and to cluster counting (dN_{cluster}/dx) techniques for PId in drift chambers. (please, do not expect exhaustive treatments of such complex arguments in 30 min)
- Describe the procedures from measurements to observables.
- Estimate the expected performance in both cases, using currently available technologies.
- ✤ List the constraining parameters which limit the expected performance.





Pld with dE/dx: the task



By definition, the integral of this signal is proportional to the total number of electrons liberated in the ionization process which, in turn, is proportional to the energy lost by the charged particle crossing the x layer of gas (-dE/dx). Knowing the dependence of dE/dx form the velocity β of the crossing particle, given **p**, one can identify the particle

mass.

In the relativistic rise region: $[\Delta(\pi) - \Delta(K)] / \Delta(\pi) \approx 10-15\%$ π/K separation requires resolutions $\delta\Delta/\Delta$ of better than a few %

Also, the theory model description of the energy loss mechanism needs to be accurate at 1% level



PId with dE/dx: the straggling function Definitions and iterative application of convolution integral

 $d\sigma(E,\beta)/dE$ collision cross section for an energy transfer E by a particle of velocity β $\lambda = \lambda(\beta) = 1/(n_{p\sigma})$ mean free path between collisions (n_{p} = linear density of electrons) $N_c = x/\lambda$ mean number of collisions over a length x

$$F_{(1)}(E) = 1/\sigma d\sigma(E,\beta)/dE = n_e \lambda d\sigma(E,\beta)/dE$$

probability to transfer energy E in a single collision

$$F_{(k)}(\Delta) = \int_0^{\Delta} F_{(1)}(E) F_{(k-1)}(\Delta - E) dE$$

$$P(k, N_c) = N_c^{k} / k! \exp(-N_c)$$

$$f(\Delta, x) = \sum_{k=0}^{\infty} P(k, N_c) F_{(k)}(\Delta)$$

probability to transfer energy Δ in k collisions k-fold convolution of $F_{(1)}(E)$ probability of **k** collisions with mean N_c (Poisson)

probability density function for energy loss Δ over x (straggling function)

[Bichsel et al., Phys. Rev. A 11, 1286 (1975)]

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PId with dE/dx: the straggling function





for a rigorous treatment see:

H. Bichsel

A method to improve tracking and particle identification in TPCs and silicon detectors **NIM A562 (2006) 154**

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parameters describing the straggling function: most probable energy loss $\Delta_p(x,\beta\gamma)$ and FWHM W(x, $\beta\gamma$) There exist several different approaches to calculate the energy loss distribution (**the straggling function**) besides the convolution method (iterative application of convolution integral):

- Laplace transform method*
- Monte Carlo method**
- empirical fit to data***
 and a plethora of different models
 based on different parameterization
 of the collision cross section σ with
 ad-hoc corrections

*L. Landau, J. Phys. USSR 8, 201 (1944)

**Cobb et al., Nucl. Instr. Meth. 133, 315 (1976)

***Blum, Riegler, Rolandi, Springer-Verlag 2008 doi: 10.1007/978-3-540-76684-1 10

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PId with dE/dx: the straggling function

comparison with data



Figure 9 Experimental energy-loss distributions of Harris et al (1973) for π and e at 3 GeV/c in 1.5 cm of argon/7% CH₄ at normal density. The dashed and dotted curves are calculations using the model of Landau (1944) with corrections of Maccabee & Papworth (1969) and Blunck & Leisegang (1950) respectively. The solid curves are the predictions of the PAI model.

W. Allison and J. Cobb Relativistic charged particles identification by energy loss Ann. Rev. Nucl. Part. Sci. 1980. 30: 253-98





(near ionization minimum) in 5 cm of a mixture of Ar (95%) and CH₄ (5%). The histogram is obtained in the experiment by Kopot et al.¹³). The smooth curves are calculated for 5 cm of Ar at NTP without correction for detector resolution. The dash-dotted, dashed and solid curves are Landau, Blunck-Leisegang distributions and present work results respectively. Experimental and calculated data are normalised to the same Δ_{max}



Fig. 5. The energy loss distribution for 3 GeV/c electrons (Fermi plateau region) in 1.5 cm of a mixture of Ar (93%) and $CH_4(7\%)$. The histogram is taken from a paper by Harris et al.⁹). The smooth curves are calculated for 1.5 cm of Ar at NTP without correction for detector resolution. The dash-dotted, dashed and solid curves are Landau, Blunck-Leisegang predictions and present work results respectively.

V. Ermilova, L. Kotenko, G. Merzon Fluctuations and the most probable values of relativistic charged particle energy loss in thin gas layers NIM 145 (1977) 555

Pld with dE/dx: the maximum likelihood measurement

- The energy loss distribution (straggling function) f(Δ) for a single sample is made of a broad peak due to low energy transfer (soft) collisions with the gas molecules and a long tail due to large energy transfer (hard) collisions which cause the release of more than one electron and/or δ rays.
- Typical FWHM of the energy loss distribution is in the range of 60-100% Δ_p (very slowly dependent from βγ except for very small sample lengths), which makes necessary to FWHM measure many samples (n) along the ionizing track in 75 order to get a good enough estimate of the energy loss. 76 15/01/21



 With the assumption that the shape of the straggling function doesn't depend on βγ, one can construct a likelihood function:

 $L(\lambda) = \prod_{i=1}^{n} f(\Delta_i / \lambda).$

The λ_0 (with its error $\delta(\lambda_0)$) which maximizes $L(\lambda)$ is normally distributed and represents the measured value of the most probable energy loss by the track under scrutiny.

The mass assignment may then be calculated by comparing the expected ionization with λ_0 and $\delta(\lambda_0)$ using normal error statistics.

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PId with dE/dx: the truncated mean measurement

- ♦ A much simpler and more robust procedure for obtaining analogous results is the method of truncated mean.
- \diamond It consists in cutting out a fraction (1–η)·n of the largest Δ_i samples and extending the arithmetic mean to the remaining η·n values (m is the closest integer to η·n):

$$\langle \Delta \rangle_{\eta} = 1/m \sum_{j=1}^{m} \Delta_{j}$$
 $\Delta_{j} \leq \Delta_{j+1}$ for j = 1, ..., n-1

- ♦ It can be shown that the range of values of η which minimizes the relative fluctuations of $<\Delta>_η$ for Argon is between 0.4 and 0.7 (0.8 for Helium). Moreover, the $<\Delta>_η$ distribution behaves like a gaussian distribution.
- \diamond This is equivalent to the maximum likelihood method with:

$$<\Delta>_{\eta} \cong \lambda_{0}$$
 and $\sigma(<\Delta>_{\eta}) \cong \delta(\lambda_{0})$



PId with dE/dx: alternative methods?



PId with dE/dx: particle separation power

♦ The relevant quantity for discriminating between two different particle of masses 1 and 2 of momentum p, rather than $λ_0$ and $δ(λ_0)$ for each of them, is:



Pld with dE/dx: a few experimental facts for a Pld detector

- ♦ Number of ionization acts follows Poison distribution (≈10/cm/bar for He based, ≈30/ cm/bar for Ar based gas mixtures)
- Number of electrons generated in each ionization act (cluster size) is subject to large fluctuations (slide)
- \Rightarrow The accuracy of the ionization measurement depends on the mean free path between ionizing collisions **λ** = 1/(n_eσ) (i.e., on the collision cross section σ and on the electron number density n_e), therefore, on
 - the **gas** mixture;
 - the **sample length x** and its density, or the **gas pressure p** through their product **xp**;
 - the number of samples **n**, or, equivalently, the total length of the track **L** = **nx**.

♦ Empirical parameterization of resolution $\sigma(\lambda_0) = \delta(\lambda_0)/\lambda_0$ ([%] xp in [cm bar]) (slide):

 $\begin{array}{c} \sigma(\lambda_0) = 41 \ n^{-0.46} \ (xp)^{-0.32} \ [\%] & (based on max. likel., -0.46 \rightarrow -0.43 \ with \ trunc. \ mean) \\ for \ Argon & Allison-Cobb & Walenta \end{array}$







\diamond Number of electrons generated per cluster subject to large fluctuations

\Rightarrow Parameterization of resolution $\sigma(\lambda_0)$



- keeping x fixed and increasing n or L improves the resolution
- keeping n fixed and varying L and x improves the resolution (slide)
- what is the optimal sample length for a fixed total length L? the finer the better (n^{-0.14})



\diamond Average number of electrons per cluster increases with sample length



PId with dE/dx: gas choice



dE/dx performance

Detector	Accelerator	Туре	Size	B (T)	Gas Mixture	Pressure	Number of	Sampling	Effective track	dE/dx resolu	ution Trun	ations	Reference	
			(Ø x L)			(bar)	samples	length (mm)	length (bar * m)	isol., dense	(%) (%)		
ALEPH	LEP	TPC	3.6 m x 4.4 m	1.5	Ar/CH ₄ (91/9)	1	338	4	1.35	4.5	8	-60	D. Buskulic et al., NIM A 360 (1995) 481	
ARGUS	DORIS	drift cells	1.7 m x 2 m	0.8	C ₃ H ₈ /Methylal	1	36	18	0.65	4.1	(4.4) 10)-70	Y. Oku, PhD Thesis, Univ. of Lund (1985), LUNFD6/(NFFL-7024)/	
BaBar	PEP-II	drift cells	1.6 m x 2.8 m	1.5	He/i-C ₄ H ₁₀ (80/20)	1	40	12	0.48	7.5	0	-80	B. Aubert et al., NIM A 479 (2002) 1-116	7.1
BELLE	KEK-B	drift cells	1.9 m x 2.2 m	1.5	He/C ₂ H ₆ (50/50)	1	47	16	0.75	5.5	(7.0) 0	-80	E. Nakano, NIM A 494 (2002) 402-408	6.0
BES	BEPC	jet cells	2.3 m x 2.1 m	0.4	Ar/CO ₂ /CH ₄ (89/10/1)	1	54	5	0.27	9.0	0	-70	J.Z. Bai et al., NIM A 344 (1994) 319	
CDF	TEVATRON	jet cells	2.6 m x 3.2 m	1.5	Ar/C2H6/C2H6O (49.6/49.6/0.8)	1	32	12	0.38	7.0		?	D. Stuart, private communications	
CLEO II	CESR	drift cells	1.9 m x 1.9 m	1.5	Ar/C ₂ H ₆ (50/50)	1	51	14	0.71	6.2	(7.1) 0	-50	Y. Kubota et al., NIM A 320 (1992) 66	
CLEO III	CESR	drift cells	1.6 m x 1.9 m	1.5	He/C ₃ H ₈ (60/40)	1	47	14	0.66	5.0	0	-70	D. Peterson et al., NIM A 478 (2002) 142-146	6.3
CRISIS	TEVATRON	jet cells	1 m x 1 m x 3 m	-	Ar/CO2 (80/20)	1	192	15	2.88	3.2	0	-75	W.S. Toothacker et al., NIM A 273 (1988) 97	3.2
DELPHI	LEP	TPC	2.4 m x 2.7 m	1.2	Ar/CH ₄ (80/20)	1	192	4	0.77	5.7	(6.2) 0	-80	P. Abreu et. al., CERN-PPE/95-194, submitted to NIM	
D0 FDC	TEVATRON	jet cells	1.2 m x 0.3 m	-	Ar/CH ₄ /CO ₂ (93/4/3)	1	32	8	0.26	12.7	0	-70	S. Rajagopalan, PhD Thesis, Northwestern University (1992)	
H1	HERA	jet cells	1.7 m x 2.2 m	1.13	Ar/C ₂ H ₆ (50/50)	1	56	10	0.56	10.0	nc	ne*	I. Abt et al., NIM A 386 (1997) 348-396	
JADE	PETRA	jet cells	1.6 m x 2.4 m	0.48	Ar/CH ₄ /i-C ₄ H ₁₀ (88.7/8.5/2.8)	4	48	10	1.92	6.5	(7.2) 5	-70	K. Ambrus, PhD Thesis, Univ. of Heidelberg (1986)	
KEDR	VEPP-4M	jet cells	1.1 m x 1.1 m	2.0	DME (100)	1	42	10	0.42	10.0	5	-70	S.E. Baru et al., NIM A 323 (1992) 151	
KLOE	DAΦNE	drift cells	4 m x 3.3 m	0.6	He/i-C ₄ H ₁₀ (90/10)	1	58	28	1.62	3.5	0	-80	A. Andryakov et al., NIM A 409 (1998) 390-394 (prototype)	4.5
MARK II	SLC	drift cells	3 m x 2.3 m	0.475	Ar/CO ₂ /CH ₄ (89/10/1)	1	72	8.33	0.60	7.0	5	-75	A. Bojarski et al., NIM A 283 (1989) 617	
NA49	SPS	TPC	3.8 m x 3.8 m x 1.3 m		Ar/CH ₄ /CO ₂ (90/5/5)	1	90	40	3.60	4.7	1(-65	B. Lasiuk, NIM A 409 (1998) 402-406	
OBELIX	LEAR	jet cells	1.6 m x 1.4 m	0.5	Ar/C ₂ H ₆ (50/50)	1	40	15	0.60	12.0	0	-70	F. Balestra et al., NIM A 323 (1992) 523	
OPAL	LEP	jet cells	3.6 m x 4 m	0.435	Ar/CH ₄ /i-C ₄ H ₁₀ (88.2/9.8/2)	4	159	10	6.36	2.8	(3.2) 0	-70	M. Hauschild, NIM A 379 (1996) 436.	2.6
SLD	SLC	jet cells	2 m x 2 m	0.6	CO ₂ /Ar/i-C ₄ H ₁₀ (75/21/4)	1	80	6	0.48	7.0		?	M. Hildreth, private communications	
STAR	RHIC	TPC	4 m x 4.2 m	0.5	Ar/CH ₄ (90/10)	1	45	17.2	0.77	8.0	0	-70	M. Anderson et al., NIM A xxx (2003), in print	
TOPAZ	TRISTAN	TPC	2.4 m x 2.2 m	1.0	Ar/CH ₄ (90/10)	3.5	175	4	2.45	4.4	(4.6) 0	-65	M. Iwasaki et al., NIM A 365 (1995) 143	
TPC/2γ	PEP	TPC	2 m x 2 m	1.375	Ar/CH ₄ (80/20)	8.5	183	4	6.22	3.0	0	-65	G. Cowan, PhD Thesis, Lawrence Berkeley Lab. (1988), LBL-24715	2.5
ZEUS	HERA	jet cells	1.7 m x 2.4 m	1.43	Ar/CO ₂ /C ₂ H ₆ (90/8/2)	1	72	8	0.58	8.5		?	W. Zeuner, private communications	
						– He	based	gas			* = inv	erse gau	ssian mean 1/sqrt[(dE/dx)i] used	
Par	ticle Id	entifi	cation Tec	hnia	ues with dE/dx			Mic	hael Hau	ischild	8 th ICA		P Como 8-Oct-2003 page 26	
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Factors affecting uniform track signal response



Gas related factors

- composition (stability, pollutants)
- environmental parameters (pressure, temperature, ...)
- drift, gas gain, diffusion, space charge, attenuation

Geometry factors

- track angle
- cell geometry
- mechanical tolerances
- field uniformity

Electronics factors

- noise (white)
- coherent noise
- baseline stability
- threshold stability
- bandwidth
- electronic gain uniformity (calibrations)

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dE/dx comments and summary

- \diamond Methodology dating back to '80s. Very little progress in performance since then.
- ♦ Helium based gas mixtures, a priori disfavored because of the lower ionization statistics, compensate with fewer fluctuations and equal the Argon performance.
- \diamond However, much less documentation exists for dE/dx with Helium mixtures.
- Using the Allison-Cobb parameterization a dE/dx resolution between 4.0% and 4.5% is granted
- ♦ Given the very low He density, an increase in pressure might improve separation power (by 20% at 2 bar) without jeopardizing too much the momentum resolution (special PId dedicated runs?).
- ♦ A further 25% improvement may come at the expensive cost of a finer (×2) drift cell granularity.
- New techniques (ML?) might make the difference with respect to maximum likelihood and/or truncated mean methods, but do not expect miracles.
- ♦ Only a completely different approach, cluster counting, may provide the necessary quantum leap.

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PId with dN/dx: the task

- Cluster counting consists in singling out, in every recorded detector signal, the isolated structures related to the arrival at the anode wire of the electrons belonging to a single ionization act.
- In order to achieve this goal, special experimental conditions must be met: pulses from electrons belonging to different clusters must have a little chance of overlapping in time and, at the same time, the time distance between pulses generated by electrons coming from the same cluster must be small enough to prevent over-counting.
- The fulfillment of both these requirements involves incompatible time resolutions: it appears that the optimal counting condition can be reached only as a result of the equilibrium between the fluctuations of those processes which forbid a full cluster detection efficiency and of the ones enhancing the time separation among different ionization events.

PId with dN/dx: the task - first approach

- > The relevant parameters for a cluster counting measurement are the resolving time τ and the single electron diffusion σ_D .
- The ideal conditions, which guarantee a real Poisson distribution of the cluster counting, are met with a resolving time $\tau = 0$, in absence of diffusion, $\sigma_{\rm D} = 0$.
- For the 90%He/10%C₄H₁₀ gas mixture and a 2.5 cm drift cell, the real optimal conditions are met with τ = 4 ns
- It should be stressed that the obtained result is strictly related to the **detector geometry** as it depends on the impact parameter and on the dimension of the drift cell for the given gas.
- Corrections due to the track angle, impact parameter, saturation effects, attachment (for long drift) are necessary



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Pld with dN/dx: the task – second approach



From the ordered sequence of the electrons arrival times, considering the average time separation between clusters and their time spread due to diffusion, one can reconstruct the most probable sequence of clusters drift times and N_{cl}:

 $\{t_i^{cl}\}, i = 1, N_{cl}$

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For any given first cluster (FC) drift time, the cluster timing technique exploits the drift time distribution of all successive clusters to statistically determine, track by track, the most probable impact parameter, thus reducing the **bias** and improving the average spatial resolution with respect to that obtained from with the FC method alone.



dE/dx and dN_{cl}/dx: experimental results

 μ/π separation at 200 MeV/c in He/iC₄H₁₀ – 95/5 100 samples 3.7 cm gas gain 2×10⁵, 1.7 GHz – gain 10 amplifier, 2GSa/s – 1.1 GHz – 8 bit digitizer



expected 2.0 σ separation measured 1.4 σ separation $\frac{15/01/21}{21}$ cluster counting expected 5.0 σ separation measured 3.2 σ separation

G. Cataldi, F. Grancagnolo, S. Spagnolo Cluster counting in helium based gas mixtures NIM A386 (1997) 458

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Comments:

- PID comes (almost) for free in drift chambers.
- It suffers from blindness at the "crossing points", where additional help is needed ٠
- dE/dx resolutions of around 5% are granted, provided high stability is reached on HV and gas ٠ parameters and on continuous electronics calibration. Alternatives to the maximum likelihood / truncated mean techniques are highly desirable.
- dN_{cl}/dx resolutions are potentially a factor 2 better with respect to dE/dx. Cluster counting requires ٠ fast electronics and sophisticated counting algorithms to be fully efficient. However, given its digital nature, it is less dependent on gain stability issues.

$$\frac{\sigma_{dE/dx}}{(dE/dx)} = 0.41 \cdot N^{-0.46} \cdot \left(x_{track} \left[cm\right] \cdot P\left[atm\right]\right)^{-0.32} = 4.4\% \qquad \frac{\sigma_{dN_{cl}/dx}}{(dN_{cl}/dx)} = \left(\delta_{cl} \cdot L_{track}\right)^{-1/2} = N_{cl}^{-1/2} = 2.2\%$$

Remarks:

- these techniques require no added complexity (and material!) to the whole detector! ٠
- this is particularly relevant for a high precision EM calorimeter at a few %/sqrt(E) ٠
- no compromise on performance and hermeticity of the detector (control of acceptance required at ٠ Z-pole at the level of 10^{-5} !)

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